



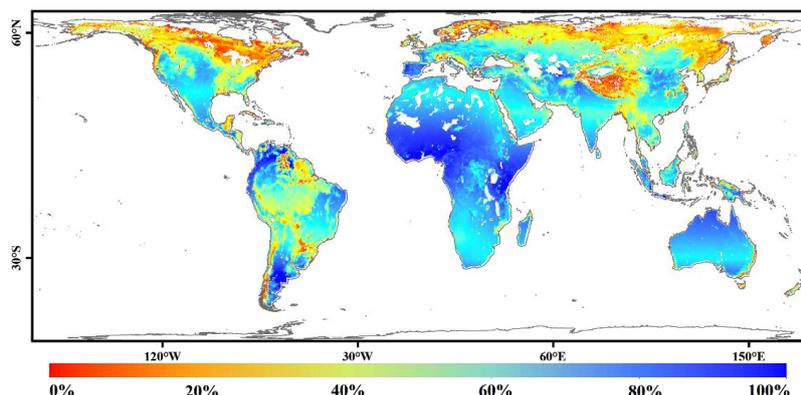


## 43 1. Introduction

44 Soil moisture (SM) is an essential variable that impacts the hydrological balance and  
45 atmospheric feedback (Lei et al., 2022). It is also an important component of the water cycle in  
46 terrestrial ecosystems and has been widely applied in fields such as climate modeling (Berg and  
47 Mulroy, 2006; Koster et al., 2004) and drought monitoring (Ford and Quiring, 2019; Zhang et al.,  
48 2016). Conventional *in-situ* measurements can provide ground-based SM data with very high  
49 temporal resolution and accuracy, which have been commonly used to evaluate simulated SM at  
50 different spatial and temporal scales (Long et al., 2019b). Nevertheless, the sparse distribution of  
51 ground stations hinders the temporal and spatial continuous observations of SM, significantly  
52 restricting its applications at the global scale (Qin et al., 2017; Xing et al., 2017; Owe et al., 2008).  
53 Although the land surface models are capable of providing spatially and temporally continuous  
54 SM observations, the accuracy is often limited by uncertainties in model parameters and structural  
55 design (Shangguan et al., 2023). Satellite remote sensing, especially microwave remote sensing,  
56 has been considered as an effective solution for SM retrieval due to its strong penetration ability  
57 and sensitivity to near-surface SM.

58 The distinctive advantages of microwave remote sensing in SM retrieval have accelerated the  
59 development of global SM products. Numerous active and passive microwave satellites have been  
60 deployed to monitor SM. Active microwave sensors include the NASA-ISRO SAR Mission  
61 (NISAR) (Kellogg et al., 2020), Advanced Scatterometer (ASCAT) (Bartalis et al., 2007),  
62 Sentinel-1 (Davidson et al., 2009), and WindRAD onboard China's meteorological satellite  
63 FengYun-3E (FY-3E/WindRAD) (Li et al., 2023). Passive microwave sensors include the  
64 Scanning Multichannel Microwave Radiometer (SMMR) (Njoku et al., 1980), Special Sensor  
65 Microwave Imager (SSM/I) (Hollinger et al., 1991), Tropical Rainfall Measuring Mission (TRMM)  
66 (Simpson et al., 1996), Advanced Microwave Scanning Radiometer-EOS (AMSR-E) (Kawanishi,  
67 2003), Microwave Radiation Imager onboard FengYun-3B (FY-3B/MWRI) (Yang et al., 2011),  
68 Soil Moisture and Ocean Salinity (SMOS) (Kerr et al., 2010), and Advanced Microwave Scanning  
69 Radiometer 2 (AMSR2) (Parinussa et al., 2015). Additionally, the Soil Moisture Active and  
70 Passive (SMAP) mission integrates both active and passive sensors for global SM observation  
71 (Entekhabi et al., 2009).

72 The FY-3B satellite was equipped with a highly sensitive passive microwave radiometer  
73 (MWRI), which has exhibited the ability to capture the dynamics of SM at both global and  
74 regional scales (Parinussa et al., 2017). Parinussa et al. (2014) applied the Land Parameter  
75 Retrieval Model (LPRM) to the observations of MWRI and compared the SM estimates with the  
76 official FY-3B SM products, demonstrating that both products accurately captured the temporal  
77 variations of SM, with correlation coefficients ( $R$ ) exceeding 0.60. However, there exist noticeable  
78 gaps due to several factors, including discontinuous satellite orbital coverage, sensor limitations,  
79 radio-frequency interference, and frozen soil, resulting in a large number of data losses at the  
80 global scale (Llamas et al., 2020). Such discontinuities significantly affect the application of  
81 FY-3B SM, particularly for spatial pattern analysis at a daily scale. Fig. 1 shows the temporal  
82 coverage proportion of FY-3B SM at the global scale from 12 July 2011 to 19 August 2019. It is  
83 seen that the proportion varies spatially, with particularly severe gaps observed in high latitude  
84 areas. Therefore, there is a great need to reconstruct a spatiotemporally continuous FY-3B SM  
85 dataset to substantially enhance the application value of FY-3B SM products.



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**Fig. 1.** The temporal coverage proportion of FY-3B SM at the global scale from 12 July 2011 to 19 August 2019.

90 Deep learning has been widely applied in the reconstruction of SM, due to its powerful  
91 nonlinear modeling and automatic feature extraction capabilities. Additionally, auxiliary data  
92 generally play a critical role in SM reconstruction, where they can be categorized into  
93 heterogeneous and homogeneous data. The strategy for reconstructing SM based on heterogeneous  
94 data involves deriving various driving variables from external sensors and establishing statistical  
95 or physical relationships between these variables and SM. Common examples include Land  
96 surface temperature (LST), Normalized Difference Vegetation Index (NDVI), precipitation,  
97 Digital Elevation Model (DEM), and soil physical properties, as they are capable of characterizing  
98 land–atmosphere interactions and show strong spatiotemporal associations with SM (Long et al.,  
99 2019a). For example, Zhang et al. (2023) integrated a series of heterogeneous auxiliary data,  
100 including precipitation, temperature, wind speed, and land cover type, and proposed a  
101 spatiotemporal deep learning model for SM prediction. Fang et al. (2017) developed a deep  
102 learning-based prediction system for the SMAP L3 SM product using atmospheric forcing and  
103 static topographic attributes as inputs. Shanguan et al. (2023) employed NDVI, LST, and DEM to  
104 develop a residual deep neural network with spatiotemporal attention and fill the gaps in the  
105 Climate Change Initiative program of the European Space Agency (ESA CCI) SM dataset over the  
106 Tibetan Plateau from 2001 to 2021.

107 In addition to inherent orbital gaps, heterogeneous auxiliary data derived from optical sensors  
108 are also susceptible to widespread data gaps resulting from cloud contamination, which limits the  
109 reconstruction methods to clear-sky conditions (Jing et al., 2018). Moreover, the above methods  
110 mainly rely on the statistical correlation between heterogeneous auxiliary data and SM. However,  
111 discrepancies in their physical meanings inevitably affect the reliability of model fitting (Tavakol  
112 et al., 2019).

113 To overcome these limitations, an increasing number of studies have considered using  
114 homogeneous information from SM itself to provide more reliable data support for SM  
115 reconstruction. The homogeneous information includes spatial information, temporal information,  
116 and an integration of both. For spatial information, missing data often exhibit contextual  
117 consistency and spatial correlation with spatially adjacent data (Shen et al., 2015). For example,  
118 Hegazi et al. (2021) predicted missing agricultural SM with a convolutional neural network (CNN)



119 architecture. From the perspective of temporal information, reconstruction mainly relies on  
120 capturing both short-term dynamic variability and long-term temporal patterns in time series data.  
121 [Li et al. \(2022\)](#) proposed a novel causality-based long short-term memory (CLSTM) model to  
122 learn temporal correlations and causal relationships within SM datasets. [Wang et al. \(2025\)](#)  
123 proposed the Temporal Convolutional Network (TCN)-Transformer Parallel (TTP) model to  
124 extract the partial and global features of annual time series. Actually, both spatial and temporal  
125 information are critical for SM reconstruction, and it has become a new trend to effectively extract  
126 their features simultaneously (spatiotemporal information). Convolutional Neural Network (CNN)  
127 is capable of capturing mid- and high-level abstract features from a spatiotemporal cube,  
128 automatically learning texture and structural features, and maintaining high compatibility with  
129 other modules (e.g., attention mechanisms and residual connections) ([Zhu et al., 2018](#)). Vanilla  
130 convolutions in CNN assume that all pixels in the input image are valid and extract local features  
131 through a sliding window. Thus, in reconstruction tasks, applying convolutions that treat valid and  
132 invalid pixels equally, the results will be affected by invalid values ([Liu et al., 2018](#)). This often  
133 leads to visual artifacts such as color discrepancy, blurriness, and obvious edge responses.

134 To enhance the applicability of convolutions for data reconstruction, partial convolutions  
135 introduce a binary mask (i.e., valid pixels as 1 and invalid pixels as 0) to ensure the convolutions  
136 and normalizations are applied exclusively to valid pixels ([Liu et al., 2018](#)). In each layer, the  
137 distribution of valid pixels within the mask is updated through a mask update step, thereby  
138 enabling more effective handling of incomplete data inputs. Partial convolutions have also been  
139 widely applied to the spatiotemporal reconstruction of SM. For example, [Zhang et al. \(2021\)](#)  
140 developed a new three-dimensional spatiotemporal partial CNN and generated a seamless global  
141 daily SM (SGD-SM) dataset from 2013 to 2019. Subsequently, a long short-term memory (LSTM)  
142 network was integrated with the partial convolutions to further extract spatiotemporal features of  
143 SM, resulting in the SGD-SM 2.0 dataset ([Zhang et al., 2022](#)). [Wei et al. \(2024\)](#) applied a partial  
144 CNN to extract spatial features from SM and produced a global daily seamless SM dataset from  
145 2015 to 2022.

146 Nevertheless, partial convolution employs a fixed-rule mask update mechanism in which as  
147 long as there is at least one valid pixel within the filter range of the previous layer, the  
148 corresponding mask value in the next layer will be set to 1. This prevents the model from  
149 adaptively determining the validity of features. Additionally, the discrete mask update process  
150 often causes invalid pixels to disappear in the deeper layers ([Chang et al., 2020](#)). Moreover, the  
151 mask is shared across channels, limiting the capacity for channel-specific modeling. To deal with  
152 this issue, gated convolution was developed ([Yang et al., 2024](#)). Specifically, by introducing a  
153 learnable dynamic feature selection mechanism for each spatial location in each channel across all  
154 layers, gated convolution can adaptively assess the feature validity at both channel and spatial  
155 dimensions. In contrast to hard masks of partial convolution, the weights in gated convolution are  
156 continuous, which can reduce boundary artifacts and abrupt texture changes ([Dai et al., 2020](#)).  
157 Gated convolution adaptively selects features for irregularly missing data, effectively extracting  
158 locally valid features and demonstrating substantial potential for missing data reconstruction.

159 While gated convolution demonstrates capability in local texture extraction, its ability to  
160 model long-range dependencies and global relationships is limited by the inherent receptive field  
161 of convolution operations. In contrast, the recently developed Shifted Window Transformer (Swin  
162 Transformer) can capture more accurate global features. Specifically, the Swin Transformer adapts



163 the global interaction capability of the Transformer from sequence modeling to vision by a shifted  
164 window strategy (Xu et al., 2024). With this strategy, it accounts for interactions among pixels  
165 across different windows and effectively captures global contextual information. This architecture  
166 has been widely applied in various tasks, including object detection (Zhang et al., 2024c) and  
167 semantic segmentation (Zhang et al., 2024a). Therefore, the Swin Transformer with a shifted  
168 window self-attention mechanism can compensate for gated convolution through hierarchical  
169 global modeling, and the joint use can potentially achieve collaborative optimization between  
170 local details and global texture.

171 In this paper, a new spatiotemporal reconstruction model was proposed, named GSP  
172 (multi-scale Gated Convolution-residual Shifted Window Transformer Parallel) model. This model  
173 integrates multi-scale gated convolutions, the residual Swin Transformer, and the Convolutional  
174 Block Attention Module (CBAM) to extract effective spatiotemporal features. The GSP model  
175 fully exploits multi-scale gated convolutions and the residual Swin Transformer to extract both  
176 local and global spatiotemporal features. Based on this model, a global, spatially seamless, daily  
177 FY-3B SM dataset from 12 July 2011 to 19 August 2019 was generated. The main contributions of  
178 this study are summarized as follows:

- 179 1) The GSP model was proposed to fill the data gaps in FY-3B SM. Based on the parallel  
180 network architecture, the two branches of the GSP model share inputs but independently  
181 extract the local and global features of the spatiotemporal information of FY-3B SM.  
182 Specifically, the multi-scale gated convolutions can capture local spatiotemporal features  
183 at different scales, and the residual Swin Transformer achieves global spatiotemporal  
184 interactions by window-based multi-head self-attention (W-MSA) and shifted window  
185 multi-head self-attention (SW-MSA). Additionally, the CBAM is incorporated to  
186 adaptively emphasize key information through channel and spatial attention mechanisms,  
187 thereby integrating local and global representations.
- 188 2) Based on the GSP model, a global, spatially seamless, daily FY-3B SM dataset from 12  
189 July 2011 to 19 August 2019 was generated. Compared with the datasets produced by  
190 other reconstruction methods, the developed dataset demonstrates greater accuracy and  
191 provides more realistic spatial texture information.

192

## 193 2. Data

### 194 2.1 FY-3B SM

195 The FY-3B satellite was launched by the China National Space Administration (CNSA) on 5  
196 November 2010. It was equipped with an MWRI for observing the Earth's surface (Geng et al.,  
197 2022; Cui et al., 2017). The MWRI operates across a frequency range from 10.7 GHz to 89 GHz  
198 and acquires data during both descending and ascending passes at local equator crossing times of  
199 approximately 1:40 AM and 1:40 PM, respectively (Liu et al., 2016; Wang et al., 2022). In this  
200 study, the daily FY-3B Level-2 SM product (with a spatial resolution of 25 km) were obtained  
201 from the National Satellite Meteorological Center, which provides publicly available data from 12  
202 July 2011 to 19 August 2019. The data from ascending and descending orbits were composited for  
203 each day.

204



## 205 2.2 *In-situ* data

206 The *in-situ* SM data acquired through the International Soil Moisture Network (ISMN) were  
207 employed to validate the seamless FY-3B SM dataset reconstructed by GSP. ISMN collected  
208 globally distributed *in-situ* SM measurements, coordinated by the European Space Agency (ESA)  
209 and supported by numerous voluntary contributions from researchers and organizations worldwide  
210 (Dorigo et al., 2021). The data are publicly available at <https://ismn.earth/en/>. Considering that  
211 passive microwave radiometers cannot penetrate deeper soil layers, the depth of all selected *in-situ*  
212 observations in this study was smaller than 0.05 m to ensure consistency with the measured depth  
213 of the FY-3B SM (Dorigo et al., 2013). The detailed information of the *in-situ* data used will be  
214 provided in Section 3.3.

215

## 216 2.3 Köppen-Geiger climate classification data

217 The Köppen-Geiger climate classification data divides climates into five major categories  
218 and 30 subtypes, defined by monthly temperature and precipitation thresholds in combination with  
219 seasonal features. Beck et al. (2018) have provided the global maps of Köppen-Geiger climate  
220 classification at 1 km spatial resolution for the present and future conditions, which are accessible  
221 at [www.gloh2o.org/koppen](http://www.gloh2o.org/koppen). In this study, the present Köppen-Geiger classification was employed  
222 and resampled to 25 km to evaluate the reconstruction performance across different climate types.

223

## 224 3. Methods

### 225 3.1 Overview

226 The training and prediction workflow based on the proposed GSP model is shown in Fig. 2.  
227 The FY-3B SM data from 16 July 2011 to 31 December 2016 were employed as the training  
228 dataset, while the FY-3B SM data from 1 January 2017 to 15 August 2019 were used as the testing  
229 dataset for independent evaluation of the reconstruction performance. To reconstruct FY-3B SM  
230 for a given date  $T$ , spatiotemporal data from nine consecutive days (from  $T - 4$  to  $T + 4$ ) are  
231 first selected, and a binary mask is generated based on invalid pixel flags. Training samples are  
232 created through patch selection and simulated mask generation. The testing samples were  
233 generated using the same procedure to ensure consistency in the data preparation process. During  
234 patch selection, the geographical location with spatially complete patches (with a spatial size of  
235  $40 \times 40$  pixels) at  $T$  is considered. The spatiotemporal data (from  $T - 4$  to  $T + 4$ ) are  
236 subsequently organized into the patch groups (with the size of  $40 \times 40 \times 9$ ). Then, the simulated  
237 mask (extracted from real orbital gaps in FY-3B SM) is applied to the spatially complete patch at  $T$   
238 to simulate the missing patterns as closely as possible. Specifically, the global FY-3B SM masks  
239 from 12 July 2011 to 19 August 2019 are traversed, and mask patches (with the spatial size of  
240  $40 \times 40$ ) with missing rates between 30 % and 70 % are selected. The spatiotemporal patch groups  
241 (from  $T - 4$  to  $T + 4$ ) with simulated gaps at  $T$  are used as input features of training data. The  
242 originally known data under the mask at  $T$  are used as the corresponding training labels. The  
243 samples collected from all dates from 16 July 2011 to 31 December 2016 are used together to train  
244 the learning model.

245 During model training, the Euclidean distance is used as the loss function. The loss between

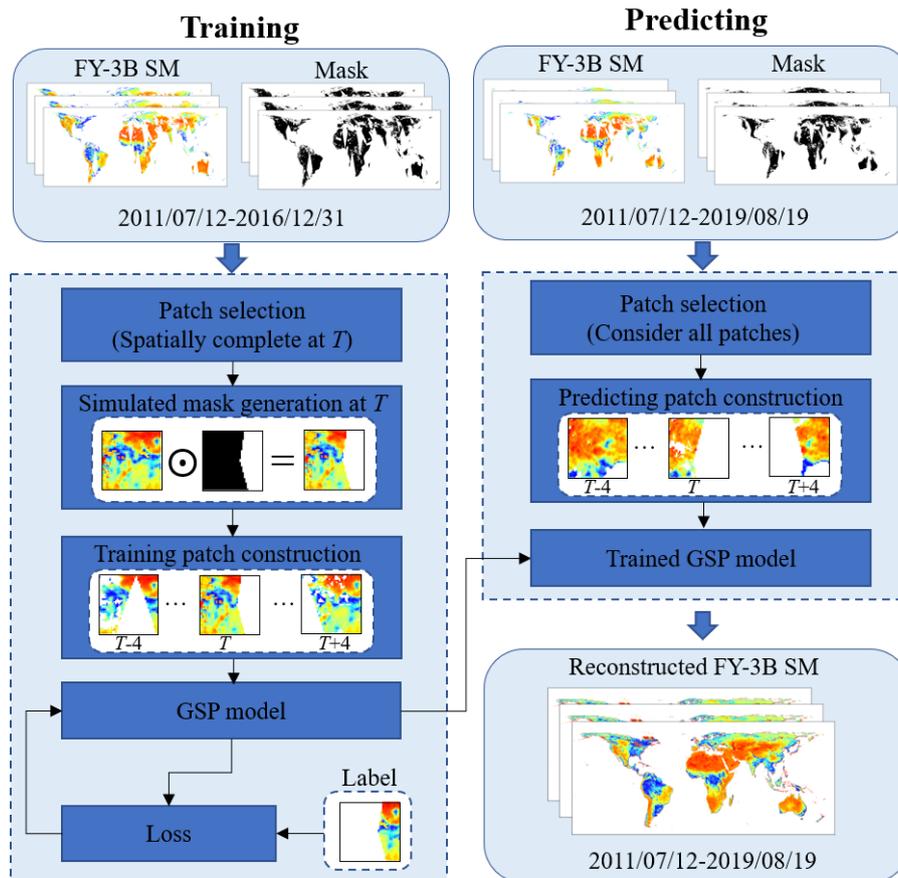


246 predicted and originally known FY-3B SM within the simulated masked regions is calculated, and  
 247 its gradient is propagated to the optimizer through gradient descent to iteratively update the model  
 248 parameters. The formulas of the loss function are as follows:

$$M_n = \begin{cases} 1, & \text{if } X_n \text{ is masked} \\ 0, & \text{otherwise} \end{cases} \quad \#(1)$$

$$Loss(\mathbf{X}, \hat{\mathbf{X}}, \mathbf{M}) = \frac{\sum_{n=1}^N ((\hat{X}_n - X_n) \odot M_n)^2}{\sum_{n=1}^N M_n} \quad \#(2)$$

249 where  $\mathbf{M}$  represents the simulated mask matrix, with 1 representing masked and 0 not.  $M_n$   
 250 indicates the value of  $\mathbf{M}$  at location  $n$ .  $\mathbf{X}$  represents the original spatially complete FY-3B SM  
 251 patch, and  $\hat{\mathbf{X}}$  represents the reconstructed spatially complete FY-3B SM patch.  $X_n$  and  $\hat{X}_n$   
 252 represent the original and reconstructed values of FY-3B SM at location  $n$ , respectively.



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**Fig. 2.** The proposed GSP-based training and predicting workflow.

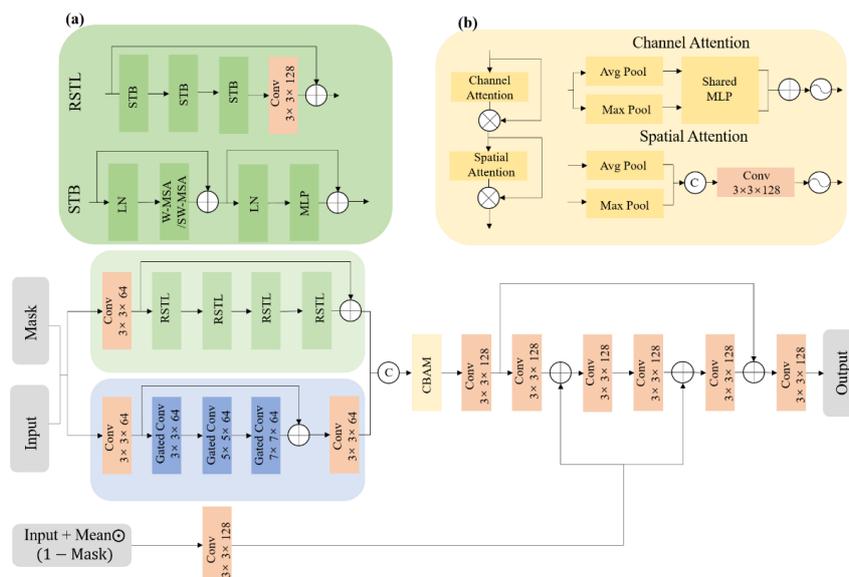
During prediction, for each day, the spatiotemporal patches from nine temporally closest days  
 (with the same size of  $40 \times 40 \times 9$  as training data) for locations with real orbital gaps. The  
 spatiotemporal patches are then input into the trained GSP model to produce seamless patches,



259 which are then stitched together to generate the spatially complete FY-3B SM dataset on each date  
 260 from 12 July 2011 to 19 August 2019. It should be noted that, for the special case of periods  
 261 without sufficient earlier (or later) FY-3B SM data, that is, from 12 July 2011 to 15 July 2011 (16  
 262 August 2019 to 19 August 2019), the FY-3B SM data from  $T$  to  $T + 4$  (from  $T - 4$  to  $T$ ) are  
 263 used as input for the model (i.e., treat the data from  $T - 4$  to  $T - 1$  (from  $T + 1$  to  $T + 4$ ) as  
 264 completely missing data).  
 265

### 266 3.2 GSP architecture

267 As shown in Fig. 3, the model consists of three main components, including the multi-scale  
 268 gated convolution block, the residual Swin Transformer block, and the feature fusion block. The  
 269 multi-scale gated convolutions block and residual Swin Transformer block are organized in a  
 270 parallel architecture to extract local and global spatiotemporal features, respectively. The extracted  
 271 features are then concatenated and fed into the fusion block to fully exploit both types of features.  
 272 The fusion module includes the CBAM and multiple convolutional layers. Residual connections  
 273 are incorporated to transfer features from previous layers to subsequent layers, thereby mitigating  
 274 the problem of gradient vanishing. Furthermore, to enhance the spatial coverage of the initial  
 275 reference data and incorporate more reliable FY-3B SM information from temporally neighboring  
 276 data, the four-month temporal average of FY-3B SM at each location is introduced into the feature  
 277 fusion block as the initial input to mitigate the effect of large-scale data gaps on FY-3B SM  
 278 reconstruction.  
 279



280  
 281 **Fig. 3.** The architecture of the GSP model. The blue box represents the multi-scale gated  
 282 convolution block, and the green box represents the residual Swin Transformer block. (a) is the  
 283 architecture of the residual Swin Transformer layer (RSTL), and (b) represents the CBAM (“STB”  
 284 represents the Swin Transformer block, “LN” is the abbreviation for “Layer Normalization”,



285 “W-MSA” and “SW-MSA” represent window-based multi-head self-attention and shifted window  
286 multi-head self-attention, respectively, and MLP represents the multilayer perceptron. “Conv”  
287 represents the convolution operation, “Avg Pool” represents the average pooling, and “Max Pool”  
288 represents the max pooling).  
289

### 290 3.2.1 Multi-scale gated convolution block

291 To mitigate the limitations of hard mask updated rules of partial convolutions, gated  
292 convolutions are introduced to improve the reconstruction performance under irregular missing  
293 data. Unlike partial convolution, the gated convolutions can learn an automatically soft mask from  
294 data and adaptively adjust the output features according to the soft mask, thereby more effectively  
295 focusing on irregular valid pixel regions (Yang et al., 2024). Additionally, to capture features from  
296 different receptive field scales, the multi-scale gated convolution block (see the blue box in Fig. 3)  
297 is designed with three kernels of varying sizes ( $3 \times 3$ ,  $5 \times 5$ , and  $7 \times 7$ ), enabling the model to  
298 integrate multi-scale spatiotemporal information. The specific implementation is as follows:

$$Gating_{t,x,y} = \sum \sum W_g \cdot F_{t,x,y} \#(3)$$

$$Features_{t,x,y} = \sum \sum W_f \cdot F_{t,x,y} \#(4)$$

$$Output_{t,x,y} = \sigma(Gating_{t,x,y}) \odot \Phi(Features_{t,x,y}) \#(5)$$

299 where  $Gating_{t,x,y}$  and  $Features_{t,x,y}$  represent the soft mask and feature value, respectively.  
300  $F_{t,x,y}$  and  $Output_{t,x,y}$  represents the input feature and output feature, respectively. The subscript  
301  $t, x, y$  is the spatial-temporal coordinate.  $W_g$  and  $W_f$  are the gating and feature convolutional  
302 filters.  $\sigma$  is the sigmoid function to transform  $Gating_{t,x,y}$  to values between 0 (invalid) and 1  
303 (valid), and  $\Phi$  is the activation function (i.e., LeakyReLU) (Yang et al., 2024).  
304

### 305 3.2.2 Residual Swin Transformer block

306 The residual Swin Transformer block (see the green box in Fig. 3) is composed of multiple  
307 residual Swin Transformer layers (RSTL). Each RSTL consists of several Swin Transformer  
308 blocks (STB) combined with residual connections. With each RSTL, multiple STBs are stacked,  
309 and their outputs are subsequently processed by a convolutional layer. A residual connection is  
310 applied between the input and output to effectively integrate features across different layers.

311 The RSTL is a hierarchical vision transformer architecture designed to efficiently model  
312 visual data with varying scales (see (a) in Fig. 3). The input data are first divided into  
313 non-overlapping fixed-size patches through patch partitioning. Each patch is embedded into a  
314 feature vector through a linear transformation, forming the initial token sequence. Between  
315 successive STBs, patch merging operations are applied to merge neighboring patches,  
316 progressively reducing spatial resolution and increasing channel dimensions to construct  
317 multi-scale feature representations (Liu et al., 2021).

318 As shown in (a) in Fig. 3, each STB is composed of two layer normalizations (LN), a  
319 multi-head self-attention (MSA) mechanism (either W-MSA or SW-MSA), and a multilayer  
320 perceptron (MLP). LN is applied before each MSA and MLP, while residual connections are  
321 incorporated to enhance model stability (Tang et al., 2024). In contrast to global self-attention,



322 which is computationally intensive, W-MSA performs computation in local windows, thereby  
323 reducing complexity. To facilitate information exchange across windows, SW-MSA is introduced  
324 between adjacent Transformer blocks (Xie et al., 2023). By shifting the window positions in  
325 successive layers, tokens within one window are able to interact with tokens in neighboring  
326 windows from the previous layer, enabling effective cross-window communication (Li et al.,  
327 2024b). This study used four RSTLs, each containing three STBs, with a window size of 4 for  
328 each STB. The implementation details are as follows:

$$\mathbf{Z}'_l = \text{W\_MSA}(\text{LN}(\mathbf{Z}_{l-1})) + \mathbf{Z}_{l-1} \#(6)$$

$$\mathbf{Z}_l = \text{MLP}\left(\text{LN}\left(\mathbf{Z}'_l\right)\right) + \mathbf{Z}'_l \#(7)$$

$$\mathbf{Z}'_{l+1} = \text{SW\_MSA}(\text{LN}(\mathbf{Z}_l)) + \mathbf{Z}_l \#(8)$$

$$\mathbf{Z}_{l+1} = \text{MLP}\left(\text{LN}\left(\mathbf{Z}'_{l+1}\right)\right) + \mathbf{Z}'_{l+1} \#(9)$$

329 where  $\mathbf{Z}'_l$  (or  $\mathbf{Z}'_{l+1}$ ) and  $\mathbf{Z}_l$  (or  $\mathbf{Z}_{l+1}$ ) denote the output features of the W-MSA (or SW-MSA)  
330 and MLP modules for block  $l$ , respectively; W\_MSA and SW\_MSA denote window-based  
331 multi-head self-attention using regular and shifted window partitioning configurations,  
332 respectively. LN represents the Layer Normalization.

333

### 334 3.2.3 CBAM

335 The CBAM is a lightweight attention mechanism that automatically focuses on important  
336 channel features and key spatial locations by sequentially combining channel and spatial attention  
337 mechanisms (see (b) in Fig. 3) (Woo et al., 2018). The channel attention mechanism emphasizes  
338 informative channels by applying parallel max pooling and average pooling to compress the  
339 feature map along the spatial dimension, after which a shared MLP is used to compute the channel  
340 attention map. The spatial attention mechanism similarly compresses the feature map along the  
341 channel dimension using max pooling and average pooling, generating the spatial attention map  
342 through convolution (Zhang et al., 2024b). The input is weighted by the channel and spatial  
343 attention maps in sequence to produce more reliable feature representations.

344

### 345 3.3 Validation Strategy

346 Two deep learning models for spatiotemporal data reconstruction were selected for  
347 comparison. The first model, named U-TILISE, integrates convolutional U-Net layers in spatial  
348 dimensions with Transformer layers in the temporal dimension to implicitly capture  
349 spatiotemporal patterns of input data (Stucker et al., 2023). The second, the spatiotemporal model  
350 with partial convolutions (STpconv), combines 3D spatiotemporal partial convolutions with a  
351 U-Net architecture to reconstruct data gaps in satellite SM (Appel, 2024). Additionally, a  
352 penalized least square regression model based on three-dimensional discrete cosine transform  
353 (DCT-PLS) was also implemented for comparison, which explicitly utilizes information from both  
354 spatial and temporal dimensions to predict the missing values (Zhang et al., 2016; Wang et al.,



355 2012).

356 The FY-3B SM dataset (from 12 July 2011 to 19 August 2019), reconstructed based on GSP,  
 357 was evaluated using the network-level *in-situ* data, which have been widely recognized as  
 358 references for SM validation. This evaluation validates whether the reconstruction can represent  
 359 the original FY-3B SM at corresponding locations. Meanwhile, to minimize the effects of  
 360 differences in spatial locations of stations, all networks were divided into two types according to  
 361 the distribution of stations, including sparse (i.e., stations are distributed within different pixels)  
 362 and dense (i.e., all stations are distributed within only one pixel) *in-situ* data. Fig. 4 and Table 1  
 363 present the spatial distribution and details of the two types of *in-situ* networks used in this study,  
 364 including 17 sparse networks and five dense networks. Moreover, to further examine the GSP  
 365 model, reconstruction performances under simulated gaps were also considered for evaluation,  
 366 with the originally known data as a perfect reference. Similar to the simulated mask process  
 367 during training, the real missing patterns in FY-3B SM were applied to simulate partial spatial  
 368 gaps to evaluate the GSP performance under different proportions of spatial missing (i.e.,  
 369 30 %-70 %). Four accuracy evaluation metrics were used, including *R*, root mean square error  
 370 (RMSE), mean absolute error (MAE), and unbiased RMSE (ubRMSE).

371

372 **Table 1**

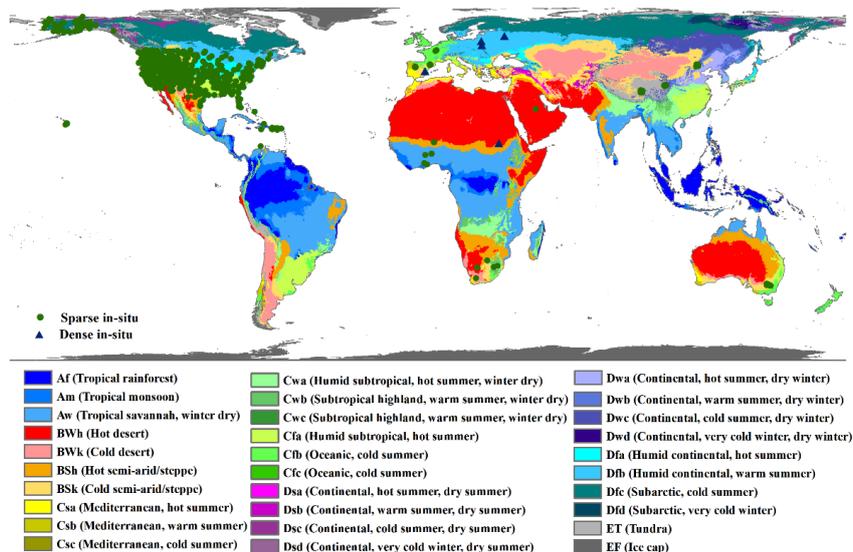
373 Basic information of the *in-situ* networks used for validation.

Types	Network	Number of stations	Location (country)	Period (yyyy/mm/dd)
Sparse	AMMA-CATCH	7	Benin, Niger, Mali	2006/01/01 to 2018/12/31
	ARM	35	USA	1993/06/29 to 2025/12/14
	CTP_SMTMN	57	China	2010/08/01 to 2016/09/19
	MAQU	27	China	2008/05/13 to 2019/06/01
	NAQU	11	China	2010/06/15 to 2019/09/12
	ORACLE	6	France	1985/10/18 to 2013/09/09
	OZNET	38	Australia	2001/09/12 to 2021/09/01
	PBO_H2O	163	USA	2004/09/27 to 2017/12/16
	REMEDHUS	24	Spain	2005/03/15 to 2025/01/01
	SCAN	222	USA	1996/08/15 to 2025/12/16
	SMN-SDR	34	China	2018/07/25 to 2019/12/31
	SNOTEL	509	USA	1996/09/10 to 2025/12/16
	SOILSCAPE	224	USA	2011/08/03 to 2025/12/16
		TAHMO	70	Côte d'Ivoire, Nigeria, Ghana, Uganda, Rwanda, Kenya
Dense	TxSON	41	USA	2014/10/01 to 2022/11/08
	USCAN	115	USA	2000/11/15 to 2025/12/16
	XMS-CAT	20	Spain	2016/08/01 to 2025/12/16
	BIEBRZA_S-1	30	Poland	2015/04/23 to 2018/12/01
	Ru_CFR	2	Russia	2015/05/25 to 2024/12/31
	SD_DEM	1	Sudan	2002/02/08 to 2020/11/12



	SWEX_POLAND	6	Poland	2000/01/01 to 2013/05/06
	VAS	3	Spain	2010/01/01 to 2012/01/01

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**Fig. 4.** Spatial distribution of the *in-situ* data used for validation, with the green points and blue triangles representing the *in-situ* stations in the sparse and dense networks, respectively (the Köppen-Geiger climate classification data were used as the base map).

## 380 4. Results

### 381 4.1 Validation with *in-situ* data

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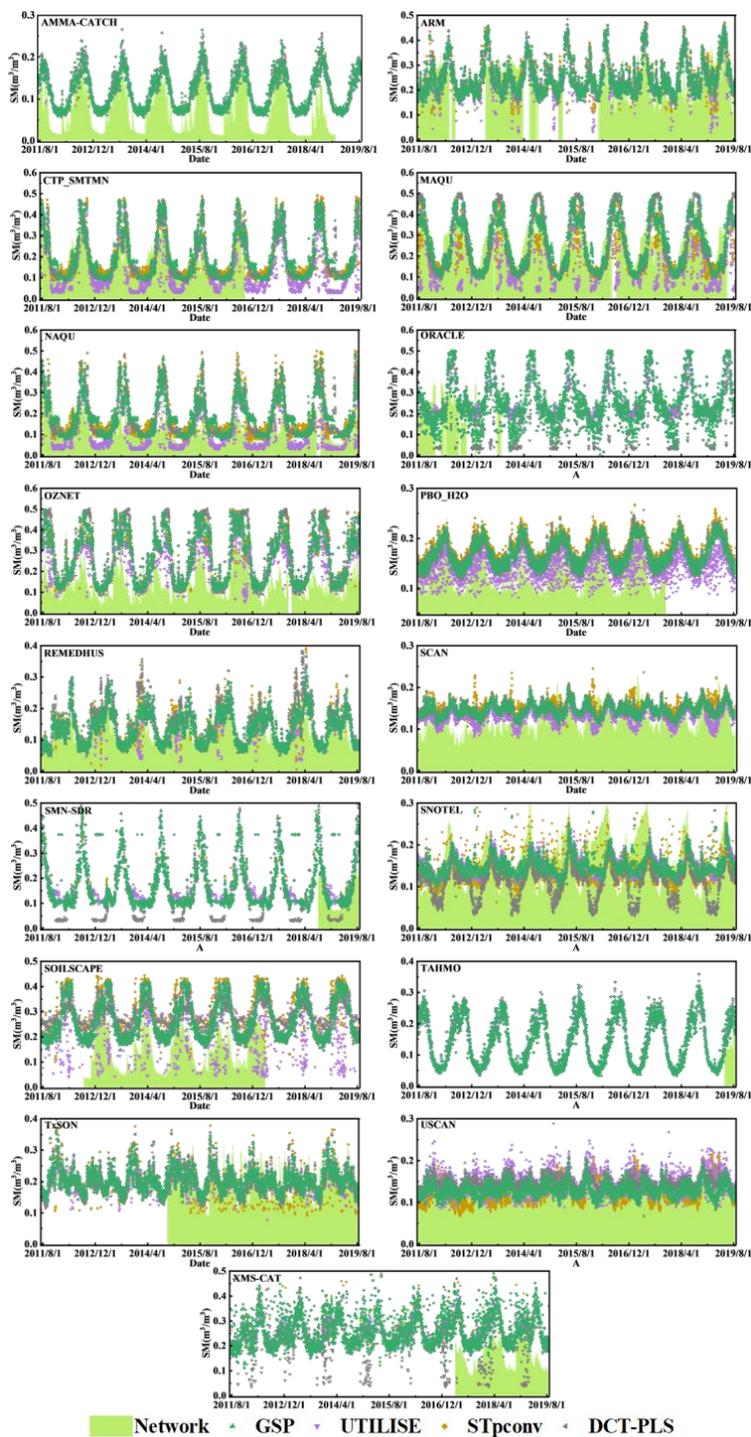
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In the validation based on the *in-situ* data at the sparse networks, the 17 sparse networks were used to evaluate the accuracy of the seamless FY-3M dataset generated by different methods. As shown in Fig. 5, the temporal dynamics of all reconstructed FY-3B SM datasets are generally consistent with *in-situ* data. Among them, the reconstruction based on GSP is the closest to the *in-situ* data, which effectively captures the interannual variation in FY-3B SM. In contrast, the reconstruction based on U-TILISE tends to underestimate FY-3B SM at some networks, such as CTP\_SMTMN and MAQU. The DCT-PLS-based reconstruction displays inconsistent and dispersed temporal patterns in several networks (e.g., SNOTEL and XMS-CAT).

Table 2 presents the quantitative evaluation results across the 17 sparse networks. It is seen that the reconstructed FY-3B SM based on GSP consistently outperforms the other methods in all four metrics. It achieves the largest mean  $R$  of 0.5387, which is 0.1000, 0.0634, and 0.0037 larger than those of U-TILISE, STpconv, and DCT-PLS, respectively. Its mean ubRMSE is  $0.0703 \text{ m}^3 \text{ m}^{-3}$ , which is  $0.0131 \text{ m}^3 \text{ m}^{-3}$ ,  $0.0092 \text{ m}^3 \text{ m}^{-3}$ , and  $0.0025 \text{ m}^3 \text{ m}^{-3}$  lower than the corresponding values for the other three methods. Particularly at the TAHMO network, the GSP-based reconstruction achieves the smallest RMSE and MAE of  $0.0448 \text{ m}^3 \text{ m}^{-3}$  and  $0.0371 \text{ m}^3 \text{ m}^{-3}$ , respectively.



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Fig. 5. Temporal profiles of the reconstructed FY-3B SM dataset at the 17 sparse networks



400 (the date format on the x-axis is yyyy/mm/dd).

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402 **Table 2**

403 Accuracy evaluation of the reconstructed FY-3B SM dataset at the 17 sparse networks (bolded and  
 404 underlines values indicate the greatest and second greatest accuracy).

		<i>R</i>	RMSE (m <sup>3</sup> /m <sup>3</sup> )	MAE (m <sup>3</sup> /m <sup>3</sup> )	ubRMSE (m <sup>3</sup> /m <sup>3</sup> )			<i>R</i>	RMSE (m <sup>3</sup> /m <sup>3</sup> )	MAE (m <sup>3</sup> /m <sup>3</sup> )	ubRMSE (m <sup>3</sup> /m <sup>3</sup> )
AMMA-CATCH	GSP	0.8000	0.0594	0.0529	<b>0.0325</b>	ARM	GSP	<u>0.4969</u>	<u>0.0891</u>	<u>0.0727</u>	<u>0.0746</u>
	U-TILISE	0.8017	<b>0.0585</b>	<b>0.0520</b>	<b>0.0325</b>		U-TILISE	0.4378	0.0958	0.0775	0.0839
	STpconv	<u>0.8019</u>	<u>0.0588</u>	<u>0.0524</u>	<b>0.0325</b>		STpconv	0.4779	0.0950	0.0772	0.0813
CTP_SMTMN	DCT-PLS	<b>0.8063</b>	0.0591	0.0526	<b>0.0325</b>	MAQU	DCT-PLS	<b>0.5150</b>	<b>0.0875</b>	<b>0.0714</b>	<b>0.0733</b>
	GSP	<u>0.7433</u>	<u>0.0969</u>	<u>0.0767</u>	<u>0.0791</u>		GSP	<b>0.5916</b>	<b>0.1246</b>	<b>0.0999</b>	<b>0.0968</b>
	U-TILISE	0.6711	0.1149	0.0914	0.0972		U-TILISE	0.4434	0.1596	0.1294	0.1239
NAQU	STpconv	0.7379	0.1009	0.0794	0.0841	ORACLE	STpconv	0.5068	<u>0.1344</u>	<u>0.1064</u>	<u>0.1101</u>
	DCT-PLS	<b>0.7553</b>	<b>0.0946</b>	<b>0.0740</b>	<b>0.0786</b>		DCT-PLS	<u>0.5509</u>	0.1407	0.1116	0.1149
	GSP	<u>0.6707</u>	<b>0.0924</b>	<u>0.0732</u>	<b>0.0673</b>		GSP	<u>0.3048</u>	<b>0.0958</b>	<b>0.0769</b>	<b>0.0955</b>
OZNET	U-TILISE	0.6017	0.0963	0.0740	0.0841	PBO_H2O	U-TILISE	0.1443	0.1161	0.0881	0.1147
	STpconv	0.6534	0.1006	0.0757	0.0788		STpconv	0.1984	0.1135	0.0886	0.1131
	DCT-PLS	<b>0.6811</b>	<u>0.0928</u>	<b>0.0708</b>	<u>0.0705</u>		DCT-PLS	<b>0.3080</b>	<u>0.0996</u>	<u>0.0808</u>	<u>0.0995</u>
REMEDHUS	GSP	<u>0.4727</u>	<u>0.1753</u>	<u>0.1420</u>	<b>0.1172</b>	SCAN	GSP	<b>0.4689</b>	0.0991	0.0843	<u>0.0649</u>
	U-TILISE	0.3535	<b>0.1729</b>	<b>0.1365</b>	0.1355		U-TILISE	0.3201	<b>0.0949</b>	<b>0.0769</b>	0.0748
	STpconv	0.4385	0.1836	0.1455	0.1307		STpconv	<u>0.4430</u>	0.1040	0.0879	0.0684
SMN-SDR	DCT-PLS	<b>0.4829</b>	0.1923	0.1541	<u>0.1271</u>	SNOTEL	DCT-PLS	0.4342	<u>0.0984</u>	<u>0.0827</u>	<u>0.0672</u>
	GSP	<u>0.5193</u>	<b>0.0919</b>	<b>0.0772</b>	<b>0.0579</b>		GSP	<b>0.4034</b>	<u>0.0866</u>	<u>0.0725</u>	<u>0.0627</u>
	U-TILISE	0.4180	0.0948	<u>0.0784</u>	0.0634		U-TILISE	0.3103	0.0887	0.0730	0.0693
SOILSCAPE	STpconv	0.5131	<u>0.0942</u>	0.0785	0.0610	TAHMO	STpconv	0.3130	0.0964	0.0805	0.0712
	DCT-PLS	<b>0.5871</b>	0.0944	0.0792	<u>0.0593</u>		DCT-PLS	<u>0.3981</u>	<b>0.0834</b>	<b>0.0700</b>	<b>0.0617</b>
	GSP	<u>0.5945</u>	<b>0.0996</b>	<b>0.0778</b>	<b>0.0720</b>		GSP	<b>0.3988</b>	<b>0.1271</b>	<b>0.1063</b>	<b>0.0939</b>
TxSON	U-TILISE	<b>0.7085</b>	0.1063	0.0846	0.0752	USCAN	U-TILISE	0.2958	0.1319	0.1088	0.1048
	STpconv	0.1660	0.1379	0.1018	0.1147		STpconv	0.2759	0.1315	0.1083	0.1037
	DCT-PLS	0.5935	<u>0.1018</u>	<u>0.0801</u>	<u>0.0731</u>		DCT-PLS	<u>0.3452</u>	<u>0.1300</u>	<u>0.1082</u>	<u>0.0975</u>
TAHMO	GSP	<u>0.5864</u>	0.1707	0.1561	<u>0.0787</u>	USCAN	GSP	<u>0.6610</u>	<b>0.0448</b>	<u>0.0371</u>	<u>0.0346</u>
	U-TILISE	0.2870	<b>0.1664</b>	<b>0.1468</b>	0.1157		U-TILISE	0.6505	0.0463	0.0377	0.0356
	STpconv	<b>0.5925</b>	0.1814	0.1651	0.0832		STpconv	<b>0.6640</b>	<u>0.0449</u>	<b>0.0368</b>	<b>0.0345</b>
USCAN	DCT-PLS	0.5728	<u>0.1681</u>	<u>0.1537</u>	<b>0.0771</b>	USCAN	DCT-PLS	0.6560	0.0460	0.0378	0.0349
	GSP	<b>0.4928</b>	<b>0.0798</b>	<b>0.0682</b>	<b>0.0536</b>		GSP	<b>0.3940</b>	0.0859	0.0749	<b>0.0525</b>
	U-TILISE	0.4624	0.0810	0.0687	0.0561		U-TILISE	0.2904	<b>0.0835</b>	<b>0.0708</b>	0.0609



XMS-CAT		Mean					Mean				
		$R$	RMSE ( $\text{m}^3/\text{m}^3$ )	MAE ( $\text{m}^3/\text{m}^3$ )	ubRMSE ( $\text{m}^3/\text{m}^3$ )		$R$	RMSE ( $\text{m}^3/\text{m}^3$ )	MAE ( $\text{m}^3/\text{m}^3$ )	ubRMSE ( $\text{m}^3/\text{m}^3$ )	
	STpconv	0.4343	0.0835	0.0701	0.0597		<u>0.3764</u>	0.0879	0.0759	0.0554	
	DCT-PLS	<u>0.4882</u>	<u>0.0804</u>	<u>0.0683</u>	<u>0.0548</u>		0.3719	<u>0.0851</u>	<u>0.0733</u>	<u>0.0540</u>	
	GSP	<b>0.5583</b>	<b>0.1234</b>	<b>0.1114</b>	<u>0.0619</u>		GSP	<b>0.5387</b>	<b>0.1025</b>	<b>0.0859</b>	<b>0.0703</b>
	U-TILISE	0.2616	0.1344	0.1172	0.0893		U-TILISE	0.4387	0.1084	0.0889	0.0834
	STpconv	0.4879	0.1335	0.1189	0.0701		STpconv	0.4753	0.1107	0.0911	0.0795
	DCT-PLS	<u>0.5493</u>	<u>0.1254</u>	<u>0.1134</u>	<b>0.0618</b>		DCT-PLS	<u>0.5350</u>	<u>0.1047</u>	<u>0.0872</u>	<u>0.0728</u>

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406 **Table 3**

407 Accuracy evaluation of the reconstructed FY-3B SM dataset at the five dense networks (bolded  
 408 and underlined values indicate the greatest and second greatest accuracy).

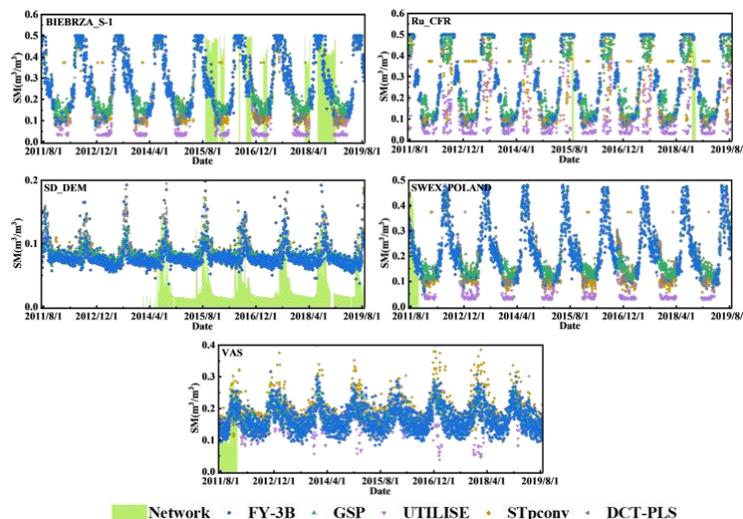
		$R$	RMSE ( $\text{m}^3/\text{m}^3$ )	MAE ( $\text{m}^3/\text{m}^3$ )	ubRMSE ( $\text{m}^3/\text{m}^3$ )			$R$	RMSE ( $\text{m}^3/\text{m}^3$ )	MAE ( $\text{m}^3/\text{m}^3$ )	ubRMSE ( $\text{m}^3/\text{m}^3$ )
BIEBRZA_S-1	FY-3B	0.4304	<b>0.1838</b>	<b>0.1677</b>	<b>0.0782</b>	Ru_CFR	FY-3B	0.5676	0.0874	0.0709	0.0871
	GSP	<u>0.5039</u>	<u>0.2017</u>	<u>0.1866</u>	<u>0.0800</u>		GSP	<b>0.5944</b>	<b>0.0854</b>	<u>0.0690</u>	<b>0.0851</b>
	U-TILISE	<b>0.5145</b>	0.2208	0.1988	0.0972		U-TILISE	0.5777	<b>0.0854</b>	<u>0.0690</u>	<b>0.0851</b>
	STpconv	0.4770	0.2093	0.1907	0.0874		STpconv	0.5876	<u>0.0860</u>	0.0700	<u>0.0856</u>
	DCT-PLS	0.4719	0.2045	0.1879	0.0826		DCT-PLS	<u>0.5939</u>	<b>0.0854</b>	<b>0.0688</b>	<b>0.0851</b>
SD_DEM	FY-3B	<u>0.3824</u>	<u>0.0545</u>	<u>0.0508</u>	0.0299	SWEX_POLAND	FY-3B	<b>0.7869</b>	<u>0.1025</u>	<u>0.0819</u>	<b>0.0740</b>
	GSP	0.3783	0.0557	0.0521	0.0298		GSP	0.7809	0.1032	0.0828	0.0744
	U-TILISE	<b>0.3968</b>	<b>0.0540</b>	<b>0.0505</b>	<b>0.0294</b>		U-TILISE	<b>0.7869</b>	<u>0.1025</u>	<u>0.0819</u>	<b>0.0740</b>
	STpconv	0.3810	0.0549	0.0513	0.0299		STpconv	<u>0.7837</u>	0.1026	0.0824	0.0742
	DCT-PLS	0.4167	0.0559	0.0521	<u>0.0296</u>		DCT-PLS	0.7831	<b>0.1012</b>	<b>0.0810</b>	<u>0.0741</u>
VAS	FY-3B	0.7614	<b>0.0647</b>	<b>0.0534</b>	<b>0.0445</b>	Mean	FY-3B	0.5858	<b>0.0986</b>	<b>0.0850</b>	<b>0.0627</b>
	GSP	<b>0.7785</b>	0.0662	0.0545	<u>0.0462</u>		GSP	<u>0.6072</u>	<u>0.1024</u>	<u>0.0890</u>	<u>0.0631</u>
	U-TILISE	0.7606	<u>0.0657</u>	<u>0.0536</u>	0.0471		U-TILISE	<b>0.6073</b>	0.1057	0.0908	0.0666
	STpconv	0.7178	0.0698	0.0574	0.0486		STpconv	0.5894	0.1045	0.0904	0.0652
	DCT-PLS	<u>0.7670</u>	0.0665	0.0549	0.0471		DCT-PLS	0.6065	0.1027	0.0890	0.0637

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410 To validate the reconstructed FY-3B SM datasets under dense *in-situ* networks, the five  
 411 *in-situ* networks were considered. Additionally, the time series of the original FY-3B SM was  
 412 included to compare with the reconstructed FY-3B SM dataset. As shown in Fig. 6, the original  
 413 FY-3B SM shows the greatest consistency with the *in-situ* data. Moreover, compared with other  
 414 reconstruction methods, the GSP time-series is the closest to the *in-situ* and original FY-3B SM  
 415 time-series. The quantitative evaluation results based on the dense networks are summarized in  
 416 Table 3. The original FY-3B SM and GSP-based reconstruction achieve the lowest RMSE, MAE,  
 417 and ubRMSE among the five dense *in-situ* networks. Compared to U-TILISE, GSP decreases the  
 418 mean RMSE, MAE, and ubRMSE by  $0.0033 \text{ m}^3 \text{ m}^{-3}$ ,  $0.0018 \text{ m}^3 \text{ m}^{-3}$  and  $0.0035 \text{ m}^3 \text{ m}^{-3}$  respectively.  
 419 When compared to STpconv, the corresponding decreases are  $0.0021 \text{ m}^3 \text{ m}^{-3}$ ,  $0.0014 \text{ m}^3 \text{ m}^{-3}$  and  
 420  $0.0021 \text{ m}^3 \text{ m}^{-3}$ . Specifically, the GSP-based reconstruction achieves the largest  $R$  in the



421 SWEX\_POLAND (0.7809) and Vas (0.7785).



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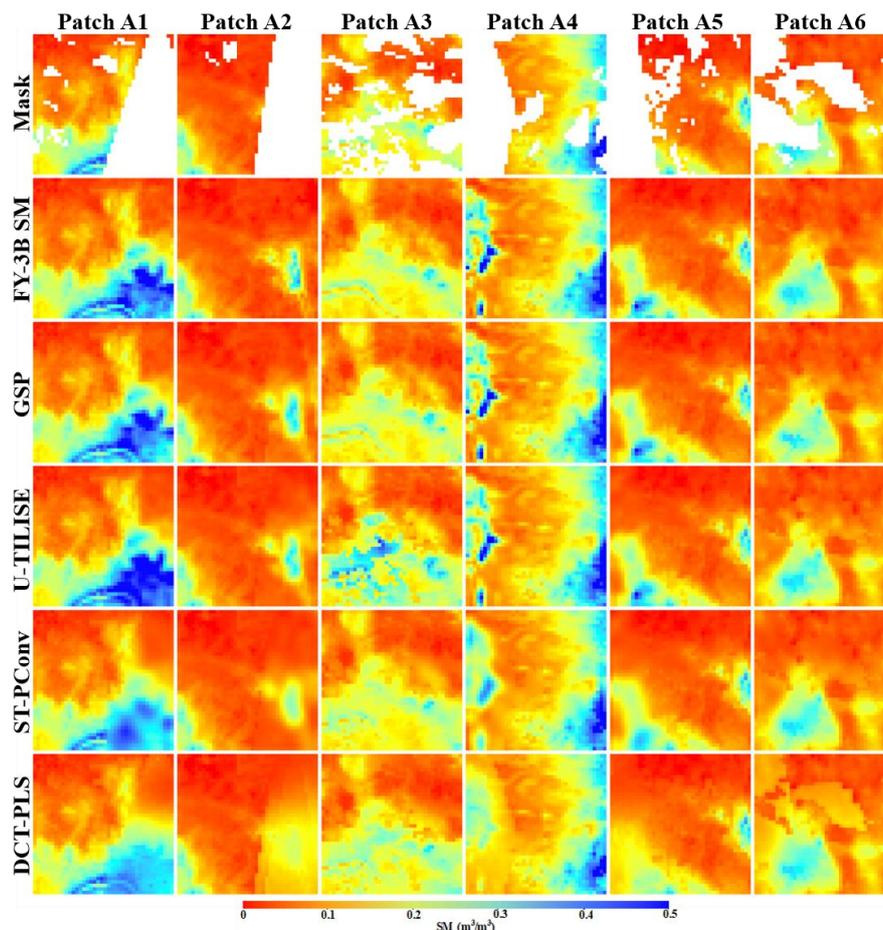
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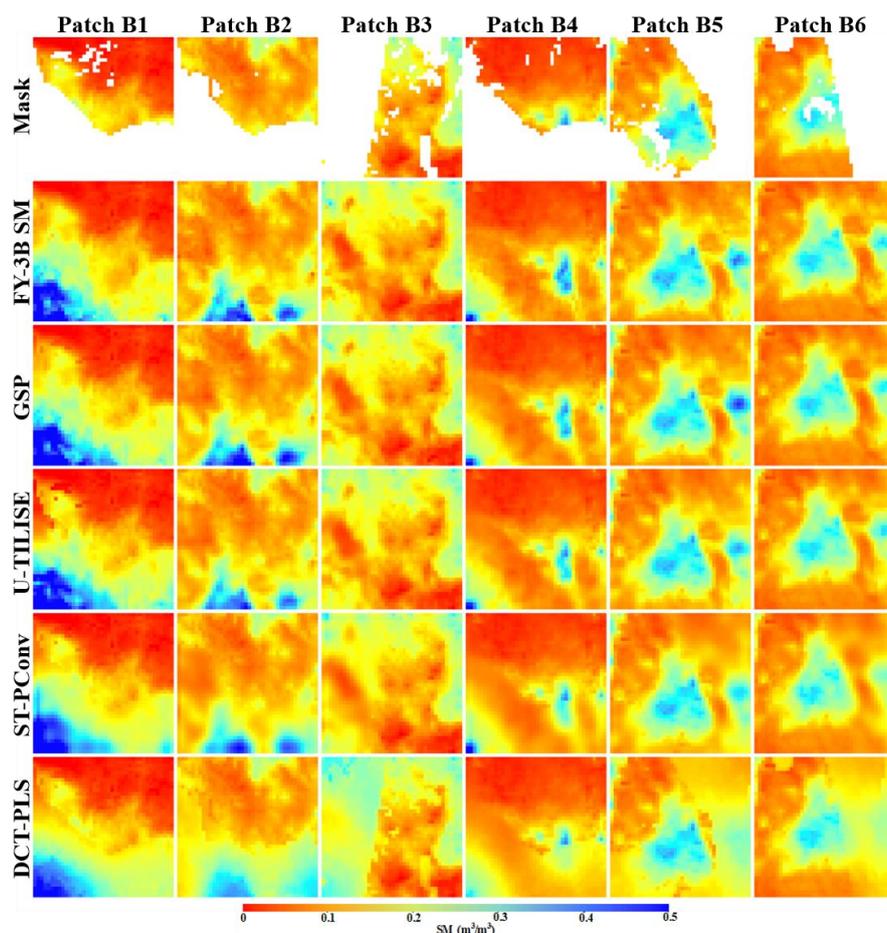
**Fig. 6.** Temporal profiles of the reconstructed FY-3B SM dataset at the five dense networks (the date format on the x-axis is yyyy/mm/dd).

426 *4.2 Validation with original FY-3B SM (simulated gaps)*

427 As mentioned in Section 3.3, simulated gaps were used to evaluate the reconstruction  
 428 performance of different methods under varying proportions of spatial data missing, where the  
 429 originally known data were used as a reference for accuracy evaluation. Figs. 7 to 10 present the  
 430 spatial distribution of both original and reconstructed FY-3B SM under various missing  
 431 proportions ranging from 30 % to 70 %, with six randomly selected patches illustrated for each  
 432 scenario. It is noted that the DCT-PLS results are relatively oversmoothed, with visible seams in  
 433 several areas, such as Patch B2 with the 40 %–50 % missing proportion and Patch C4 with the  
 434 50 %–60 % missing proportion. Moreover, compared with DCT-PLS, the deep learning-based  
 435 methods produce more accurate reconstructions, suggesting their ability to exploit  
 436 spatiotemporally adjacent information, even under spatially large-scale and temporally continuous  
 437 gaps. Among the methods, the reconstructions based on GSP are the closest to the original FY-3B  
 438 SM. Table 4 presents the accuracy metrics of the FY-3B SM reconstructed by different methods  
 439 under varying proportions of spatial missing. Under the 30 %–40 % missing proportion, compared  
 440 with U-TILISE, STpconv, and DCT-PLS, the MAE of GSP is 0.0018 m<sup>3</sup>m<sup>-3</sup>, 0.0023 m<sup>3</sup>m<sup>-3</sup>, and  
 441 0.0091 m<sup>3</sup>m<sup>-3</sup> smaller, and the ubRMSE is 0.0050 m<sup>3</sup>m<sup>-3</sup>, 0.0049 m<sup>3</sup>m<sup>-3</sup>, and 0.0127 m<sup>3</sup>m<sup>-3</sup> smaller,  
 442 correspondingly. When the missing proportion increases to 60 %–70 %, GSP achieves an *R* value  
 443 of 0.9829, which exceeds those of U-TILISE, STpconv, and DCT-PLS by 0.0118, 0.0152, and  
 444 0.0612, respectively.

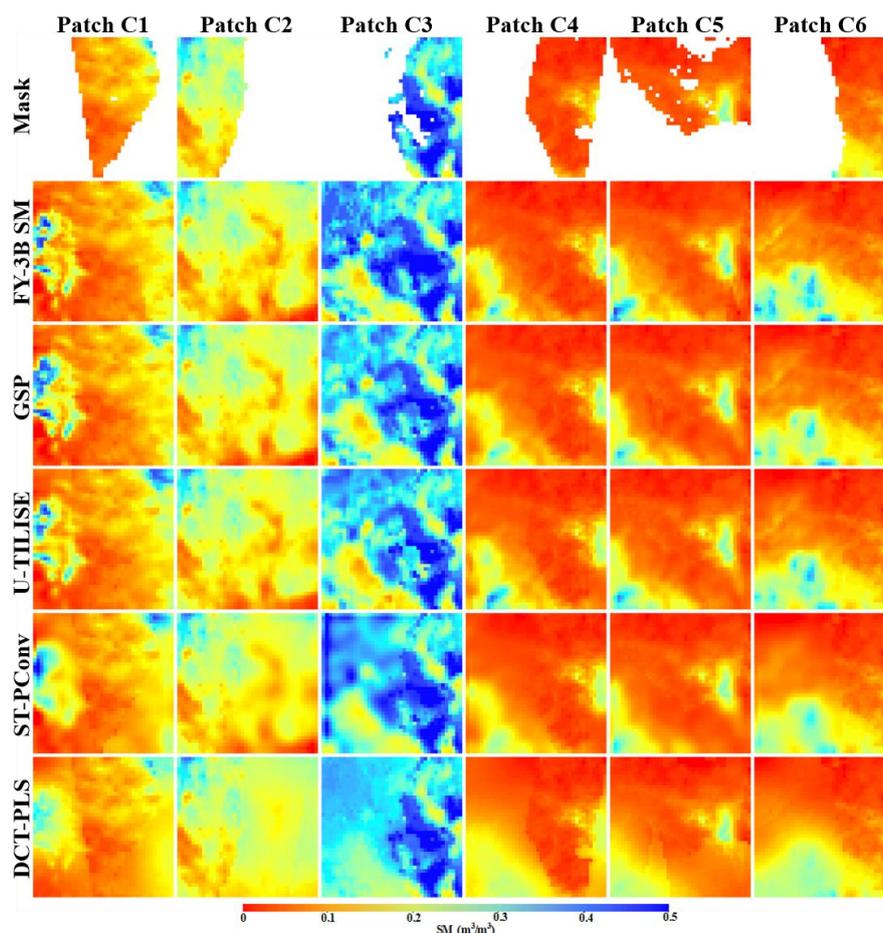


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446 **Fig. 7.** The reconstructed FY-3B SM with simulated 30 %-40 % missing proportion for six  
447 randomly selected patches, with the latitude and longitude of the patch center as: A1 (2017/1/16,  
448 5.5728 °N, 20.5640 °E); A2 (2017/12/12, 9.5122 °N, 27.0716 °E); A3 (2018/1/6, 4.5928 °N,  
449 24.4685 °E); A4 (2018/9/22, 37.4601 °N, 101.7787 °W); A5 (2018/12/24, 8.5238 °N, 25.7701 °E);  
450 and A6 (2019/7/31, 11.0997 °S, 32.2777 °E). The first row represents the simulated missing masks  
451 for the six patches, and the second to sixth rows represent the original and reconstructed FY-3B  
452 SM based on different methods (the date format is yyyy/mm/dd).

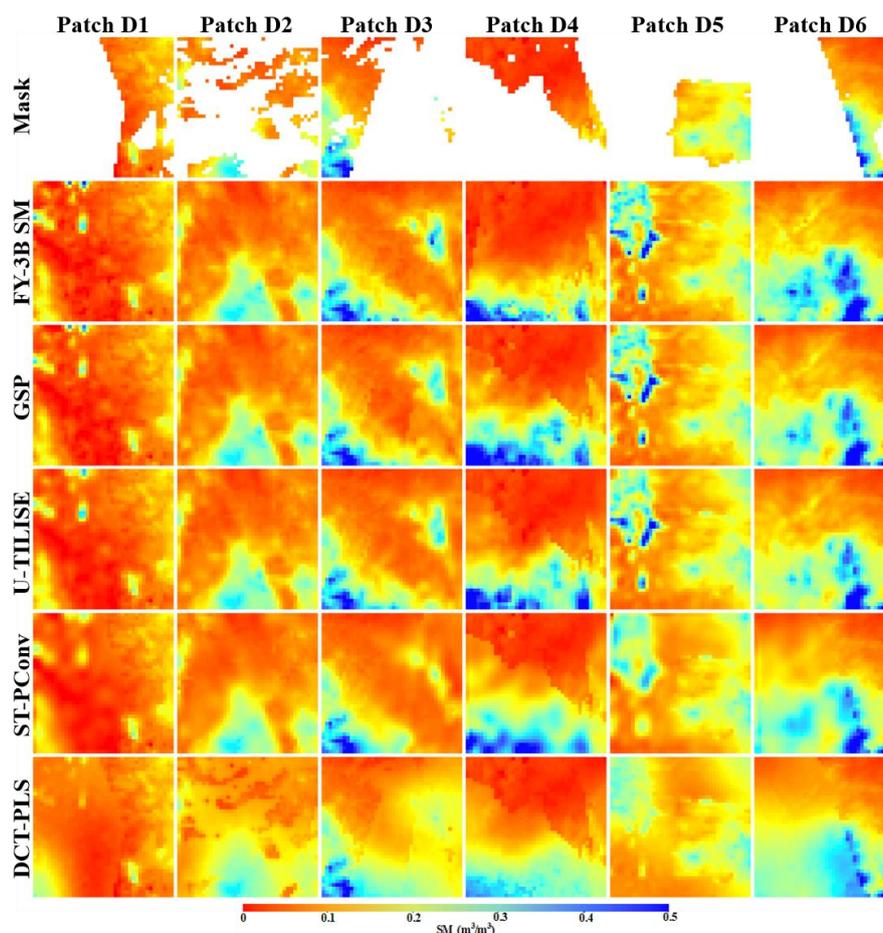


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**Fig. 8.** The reconstructed FY-3B SM with simulated 40 %-50 % missing proportion for six randomly selected patches, with the latitude and longitude of the patch center as: B1 (2017/6/21, 12.4958 °N, 6.7679 °W); B2 (2017/7/3, 9.1165 °S, 20.564 °E); B3 (2017/9/5, 11.0997 °S, 58.8286 °W); B4 (2017/12/7, 9.5122 °N, 28.3731 °E); B5 (2018/7/13, 12.0962 °S, 19.2625 °E); and B6 (2018/7/26, 13.0964 °S, 19.2625 °E). The first row represents the simulated missing masks for the six patches, and the second to sixth rows represent the original and reconstructed FY-3B SM based on different methods (the date format is yyyy/mm/dd).



462  
463 **Fig. 9.** The reconstructed FY-3B SM with simulated 50 %-60 % missing proportion for six  
464 randomly selected patches, with the latitude and longitude of the patch center as: C1 (2017/6/9,  
465 38.7007 °N, 103.0803 °W); C2 (2017/9/12, 10.1066 °S, 57.5271 °W); C3 (2017/10/1, 9.1165 °S,  
466 60.1302 °W); C4 (2017/12/12, 8.5238 °N, 25.7701 °E); C5 (2017/12/25, 8.5238 °N, 27.0716 °E);  
467 and C6 (2018/10/30, 9.5122 °N, 23.1672 °E). The first row represents the simulated missing  
468 masks for the six patches, and the second to sixth rows represent the original and reconstructed  
469 FY-3B SM based on different methods (the date format is yyyy/mm/dd).  
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471  
472 **Fig. 10.** The reconstructed FY-3B SM with simulated 60 %-70 % missing proportion for six  
473 randomly selected patches, with the latitude and longitude of the patch center as: D1 (2017/6/16,  
474 31.5358 °N, 104.3818 °W); D2 (2017/7/29, 13.0964 °S, 19.2625 °E); D3 (2017/12/14, 7.5380 °S,  
475 27.0716 °E); D4 (2018/6/24, 11.4978 °N, 27.0716 °E); D5 (2018/9/28, 36.2407 °N, 130.0803 °W);  
476 and D6 (2018/11/13, 8.5238 °N, 20.5640 °E). The first row represents the simulated missing  
477 masks for the six patches, and the second to sixth rows represent the original and reconstructed  
478 FY-3B SM based on different methods (the date format is yyyy/mm/dd).  
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488 **Table 4**  
 489 Accuracy of the reconstructed FY-3B SM under different proportions of spatial missing (30 %–70 %  
 490 in Figs. 7-10).

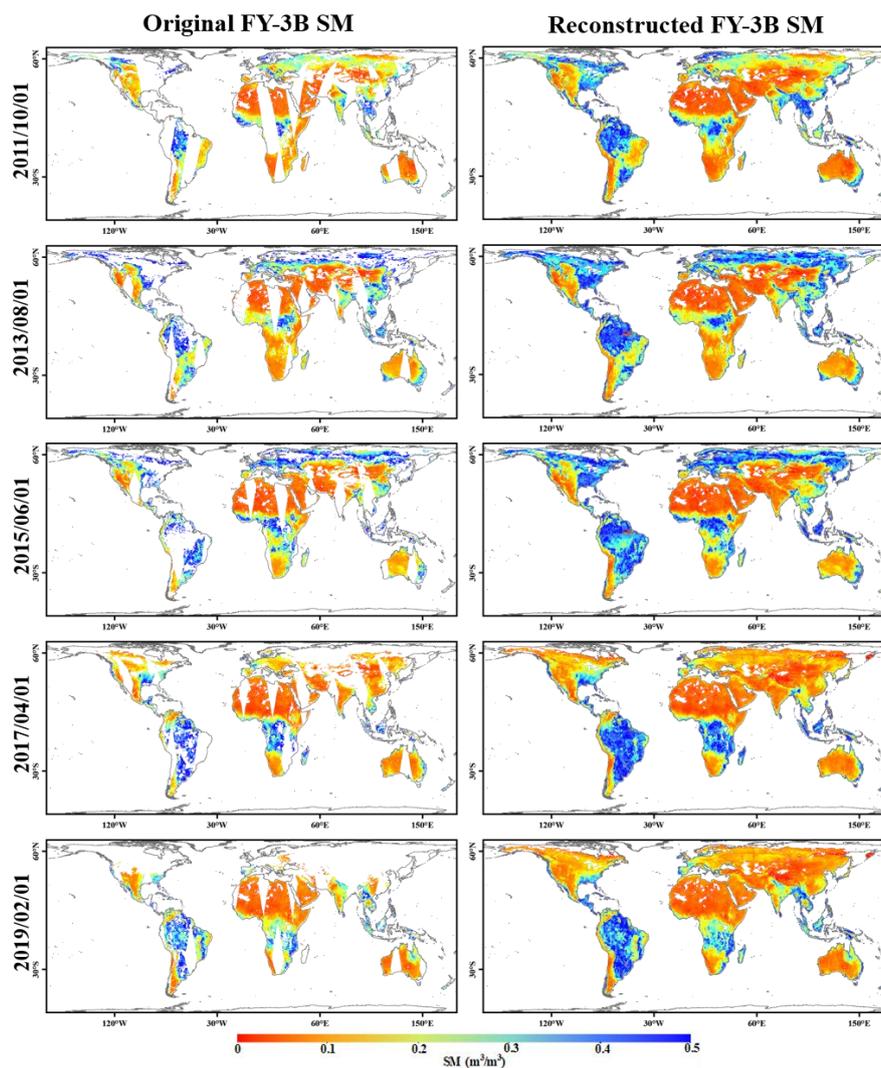
		<i>R</i>	RMSE (m <sup>3</sup> /m <sup>3</sup> )	MAE (m <sup>3</sup> /m <sup>3</sup> )	ubRMSE (m <sup>3</sup> /m <sup>3</sup> )
	GSP	<b>0.9836</b>	<b>0.0119</b>	<b>0.0073</b>	<b>0.0118</b>
30 %-40 %	U-TILISE	0.9668	0.0168	0.0091	0.0168
	ST_PConv	0.9671	0.0167	0.0096	0.0167
	DCT-PLS	0.9275	0.0253	0.0164	0.0245
	GSP	<b>0.9837</b>	<b>0.0119</b>	<b>0.0073</b>	<b>0.0119</b>
40 %-50 %	U-TILISE	0.9660	0.0171	0.0091	0.0171
	ST_PConv	0.9686	0.0165	0.0095	0.0164
	DCT-PLS	0.9242	0.0259	0.0167	0.0252
	GSP	<b>0.9815</b>	<b>0.0121</b>	<b>0.0073</b>	<b>0.0121</b>
50 %-60 %	U-TILISE	0.9680	0.0159	0.0088	0.0159
	ST_PConv	0.9679	0.0159	0.0094	0.0159
	DCT-PLS	0.9175	0.0261	0.0169	0.0252
	GSP	<b>0.9829</b>	<b>0.0121</b>	<b>0.0073</b>	<b>0.0121</b>
60 %-70 %	U-TILISE	0.9711	0.0157	0.0089	0.0157
	ST_PConv	0.9677	0.0166	0.0095	0.0166
	DCT-PLS	0.9217	0.0262	0.0169	0.0255

491

## 492 5. Discussion

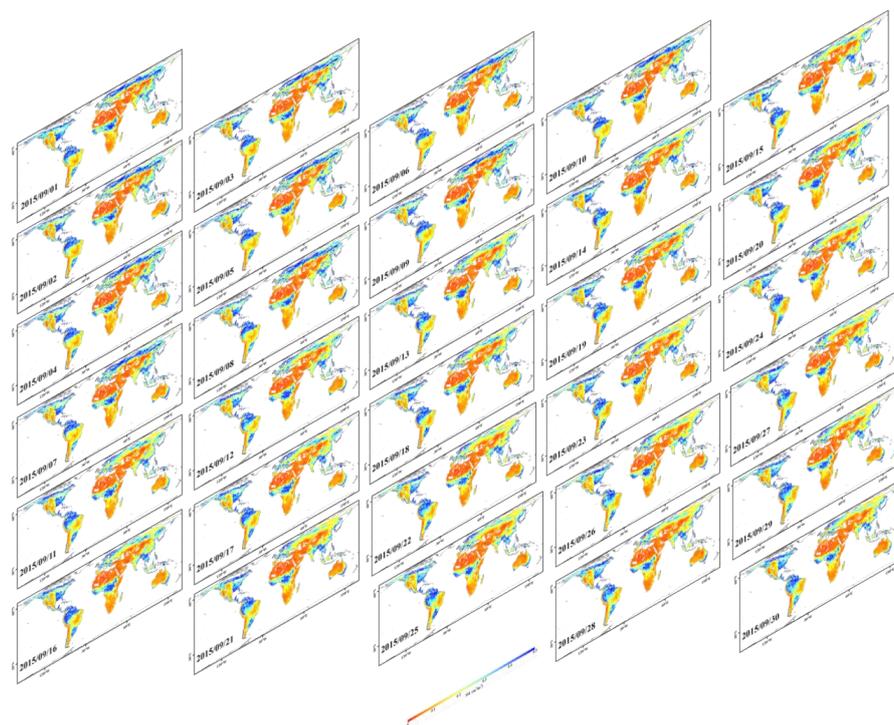
### 493 5.1 Visualization of the reconstructed dataset at the global scale

494 Using the GSP-based deep learning framework, this study generated a global, spatially  
 495 seamless, daily FY-3B SM dataset from 12 July 2011 to 19 August 2019. Fig. 11 shows the  
 496 original and reconstructed FY-3B SM on five randomly selected dates (i.e., 1 October 2011, 1  
 497 August 2013, 1 June 2015, 1 April 2013, and 1 February 2011). Most of the missing regions in the  
 498 original FY-3B SM are effectively reconstructed, and no noticeable stitching traces are observed in  
 499 the reconstructed FY-3B SM, indicating great consistency with the original FY-3B SM.  
 500 Furthermore, Fig. 12 also presents the GSP-reconstructed FY-3B SM for all 30 days of September  
 501 2015, where spatial patterns remain stable across days, aligning with the spatiotemporal variability  
 502 of the original FY-3B SM.



503  
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505

**Fig. 11.** Original and reconstructed FY-3B SM on five randomly selected dates at the global scale (the date format on the x-axis is yyyy/mm/dd).

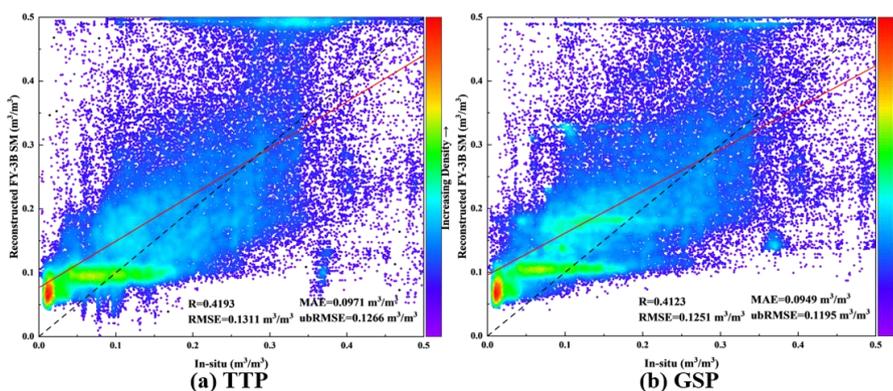


506  
507  
508  
509

**Fig. 12.** The GSP reconstructed FY-3B SM in September 2015 (the date format on the x-axis is yyyy/mm/dd).

### 510 5.2 Comparison with the TTP-based FY-3B dataset based on in-situ data

511 To compare the GSP-based FY-3B SM dataset with the previous TTP-based dataset (Wang et  
512 al., 2025), *in-situ* data at the station-level were used as reference data for evaluation. The scatter  
513 plots between the *in-situ* data and reconstructed datasets are shown in Fig. 13. The GSP-based  
514 reconstruction yields lower RMSE, MAE, and ubRMSE than the TTP-based results, with  
515 reductions of  $0.0060 \text{ m}^3/\text{m}^3$ ,  $0.0022 \text{ m}^3/\text{m}^3$ , and  $0.0071 \text{ m}^3/\text{m}^3$ , respectively. Meanwhile, due to the  
516 significant scale differences between *in-situ* data and remote sensing data, the validation strategy  
517 based on *in-situ* data suffers from unavoidable uncertainties. Therefore, triple Collocation (TC)  
518 was conducted for comparative analysis. The TC method has been widely applied in *in-situ*  
519 measurements and satellite observations to assess systematic biases and random errors, providing  
520 an evaluation framework for three correlated measurements of the same variable with mutually  
521 independent errors. Specifically, TC was applied to the *in-situ* data, the TTP-based FY-3B SM  
522 dataset, and the GSP-based FY-3B SM dataset. As shown in Table 5, the *in-situ* data exhibit the  
523 lowest  $R$  and largest RMSE, which is mainly attributed to the large-scale differences between the  
524 *in-situ* data and the other two datasets. The reconstructed FY-3B SM dataset based on GSP  
525 achieved the greatest accuracy in both metrics, with  $R$  exceeding that of the TTP-based product by  
526  $0.0571$  and RMSE lower by  $0.0262 \text{ m}^3/\text{m}^3$   
527



528

**Fig. 13.** The scatterplot between the station-level *in-situ* data and reconstructed FY-3B SM.

529

530

531

**Table 5**

532

Evaluation based on Triple Collocation.

	<i>R</i>	RMSE (m <sup>3</sup> /m <sup>3</sup> )
<i>In-situ</i>	0.5853	0.0747
<b>TTP</b>	0.9180	0.0472
<b>GSP</b>	<b>0.9751</b>	<b>0.0210</b>

533

### 534 5.3 Comparison with the TTP-based FY-3B dataset based on GLDAS-Noah data

535 In contrast to the TTP model, the GSP model further incorporates spatial information, aiming  
 536 to more effectively exploit surrounding, valid spatiotemporal data to reconstruct accurate FY-3B  
 537 SM. To further evaluate the reconstruction performance in terms of spatial texture, the Global  
 538 Land Data Assimilation System-Noah (GLDAS-Noah) with 25 km spatial resolution, which  
 539 provides spatially complete SM, was adopted as a reference for both qualitative and quantitative  
 540 analysis. Taking the TTP- and GSP-based data on 16 July 2011 as an example, one region from  
 541 each of the six continents was randomly selected for visual comparison, as shown in Fig. 14.  
 542 Generally, the TTP-based FY-3B SM reproduce less spatial texture, while GSP-based results  
 543 present closer spatial structure to that of GLDAS-Noah, emphasizing the importance of  
 544 considering spatial information in the FY-3B SM reconstruction. Furthermore, as shown in Table 6,  
 545 the GSP outperforms TTP in terms of all accuracy metrics, with *R* being 0.0317 larger and  
 546 ubRMSE being 0.0366 m<sup>3</sup>/m<sup>3</sup> lower.

547

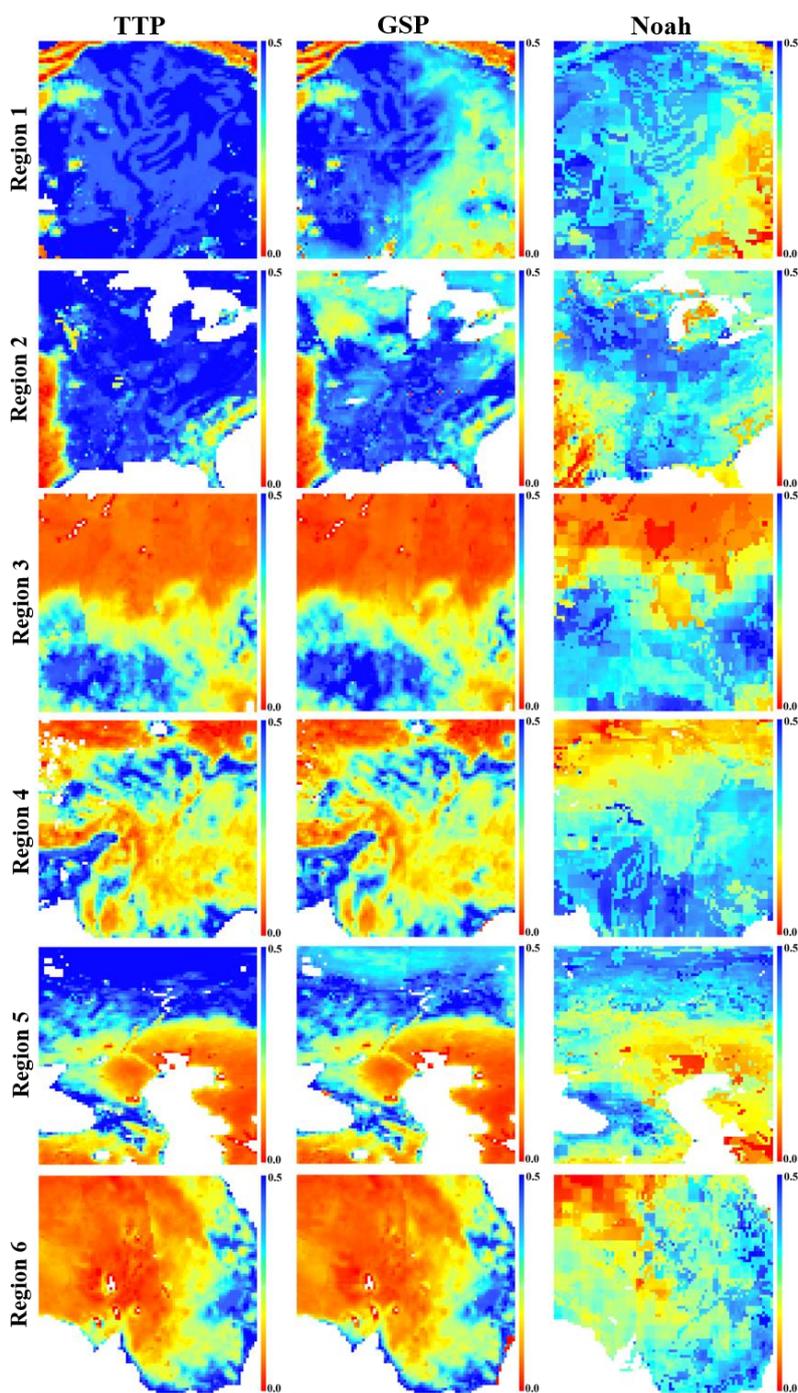
**Table 6**

549 Accuracy of the reconstructed FY-3B SM based on TTP and GSP (with the GLDAS-Noah SM as  
 550 the reference).

	<i>R</i>	RMSE (m <sup>3</sup> /m <sup>3</sup> )	MAE (m <sup>3</sup> /m <sup>3</sup> )	ubRMSE (m <sup>3</sup> /m <sup>3</sup> )
<b>TTP</b>	0.4772	0.1865	0.1591	0.1567
<b>GSP</b>	<b>0.5089</b>	<b>0.1302</b>	<b>0.1061</b>	<b>0.1201</b>



551



552

553 **Fig. 14.** The spatial texture of TTP- and GSP-reconstructed FY-3B SM datasets and GLDAS-Noah



554 SM dataset for six randomly selected regions, with the latitude and longitude of the patch center as:  
 555 Region 1 (4.0054 °S, 67.4187 °W); Region 2 (37.7069 °N, 88.2429 °W); Region 3 (11.6971 °N,  
 556 26.2907 °E); Region 4 (28.3807 °N, 99.1757 °E); Region 5 (48.4103 °N, 47.1150 °E); and Region  
 557 6 (28.6028 °S, 140.8243 °E).  
 558

559 *5.4 Sensitivity analysis based on different climate types*

560 SM controls the distribution of available surface energy and latent heat exchanges, thereby  
 561 serving as a critical link between the hydrological and energy balances (Li et al., 2024a). This  
 562 study considers 28 climate types (excluding ET (Tundra) and EF (Ice cap)) to explore the  
 563 performance of GSP under different climate types. Original FY-3B SM observations from 1  
 564 January 2017 to 19 August 2019 were masked randomly (consistent with the steps for constructing  
 565 the training dataset) to evaluate the accuracy under different climate types. As shown in Table 7,  
 566 the GSP model maintains stable performances across different climate types, with a mean  $R$  and  
 567 RMSE of 0.9496 and  $0.0389 \text{ m}^3/\text{m}^3$ . Among the climate types, the BWh exhibits the greatest  
 568 accuracy (RMSE= $0.0154 \text{ m}^3/\text{m}^3$ , MAE= $0.0117 \text{ m}^3/\text{m}^3$ , and ubRMSE= $0.0118 \text{ m}^3/\text{m}^3$ ), which may  
 569 be attributed to the absence of vegetation, low precipitation, and reduced atmospheric water vapor,  
 570 enhancing the reliability of X-band MWRI SM retrieval for the ground measurements, as well as  
 571 the great surface homogeneity that enhances reconstruction accuracy.

572 **Table 7**

573 Accuracy of the GSP-reconstructed FY-3B SM under different climate types.

	$R$	RMSE	MAE	ubRMSE
<b>Af</b>	0.9259	0.0419	0.0300	0.0416
<b>Am</b>	0.9384	0.0408	0.0291	0.0407
<b>Aw</b>	0.9643	0.0357	0.0248	0.0355
<b>BWh</b>	0.9333	0.0154	0.0117	0.0118
<b>BWk</b>	0.9395	0.0194	0.0141	0.0164
<b>BSh</b>	0.9691	0.0259	0.0175	0.0251
<b>BSk</b>	0.9558	0.0251	0.0169	0.0240
<b>Csa</b>	0.9480	0.0379	0.0251	0.0369
<b>Csb</b>	0.9595	0.0381	0.0271	0.0373
<b>Csc</b>	0.9140	0.0355	0.0267	0.0350
<b>Cwa</b>	0.9580	0.0361	0.0251	0.0361
<b>Cwb</b>	0.9549	0.0347	0.0246	0.0345
<b>Cwc</b>	0.9233	0.0318	0.0240	0.0298
<b>Cfa</b>	0.9512	0.0390	0.0279	0.0390
<b>Cfb</b>	0.9010	0.0543	0.0364	0.0542
<b>Cfc</b>	0.8910	0.0620	0.0472	0.0609
<b>Dsa</b>	0.9267	0.0216	0.0150	0.0202
<b>Dsb</b>	0.9658	0.0293	0.0196	0.0287
<b>Dsc</b>	0.9674	0.0396	0.0257	0.0393
<b>Dsd</b>	0.8812	0.0638	0.0443	0.0638



<b>Dwa</b>	0.9507	0.0404	0.0271	0.0402
<b>Dwb</b>	0.9562	0.0371	0.0251	0.0369
<b>Dwc</b>	0.9630	0.0388	0.0261	0.0388
<b>Dwd</b>	0.9037	0.0614	0.0427	0.0605
<b>Dfa</b>	0.9389	0.0432	0.0302	0.0432
<b>Dfb</b>	0.9447	0.0427	0.0285	0.0427
<b>Dfc</b>	0.9538	0.0438	0.0283	0.0438
<b>Dfd</b>	0.9288	0.0517	0.0347	0.0517
<b>Mean</b>	0.9496	0.0389	0.0272	0.0381

574

#### 575 5.5 Ablation study

576 To validate the effectiveness of each block and parallel architecture in the GSP model, four  
 577 ablation experiments were carried out (i.e., 1) with only the multi-scale gated convolution block; 2)  
 578 with only the residual Swin Transformer block; 3) with the multi-scale gated convolution block  
 579 and the residual Swin Transformer block in parallel without the CBAM block; and 4) the  
 580 multi-scale gated convolution block and the residual Swin Transformer block in sequential  
 581 connection). Table 8 shows the accuracy metrics of the ablation experiments based on the data  
 582 with simulated gaps from 1 January 2017 to 19 August 2019. Compared with other versions, the  
 583 GSP model yields consistently greater accuracy. Specifically, the  $R$  of GSP is 0.0120, 0.0078,  
 584 0.0114, and 0.0151 larger than that of versions 1) - 4) respectively. These results demonstrate that  
 585 each block in the GSP framework contributes positively to the reconstruction of FY-3B SM.  
 586 Furthermore, compared to sequential connections, the parallel architecture more effectively  
 587 integrates the features extracted by different blocks.

588

589 **Table 8**

590 Results of ablation study (“multi-scale Gated Conv + residual Swin Transformer” presents the  
 591 multi-scale gated convolution block and the residual Swin block in sequential connection).

	$R$	RMSE ( $\text{m}^3/\text{m}^3$ )	MAE ( $\text{m}^3/\text{m}^3$ )	ubRMSE ( $\text{m}^3/\text{m}^3$ )
<b>multi-scale Gated Conv</b>	0.9707	0.0158	0.0103	0.0158
<b>residual Swin Transformer</b>	0.9749	0.0148	0.0096	0.0148
<b>multi-scale Gated Conv-residual Swin Transformer parallel without the CBAM</b>	0.9713	0.0158	0.0099	0.0158
<b>multi-scale Gated Conv + residual Swin Transformer</b>	0.9676	0.0167	0.0108	0.0167
<b>GSP</b>	<b>0.9827</b>	<b>0.0120</b>	<b>0.0073</b>	<b>0.0120</b>

592

#### 593 5.6 Future research

594 We proposed a deep learning model, namely GSP, to reconstruct the global, spatially  
 595 seamless, daily FY-3B SM dataset from 12 July 2011 to 19 August 2019. The dataset demonstrates  
 596 reliable accuracy. In the future, the proposed GSP model and the produced dataset can be further



597 enhanced in several aspects. Firstly, it would be worthwhile to consider fusing multiple  
598 heterogeneous auxiliary data, such as precipitation and LST, to fully explore the effective  
599 information in the data. Secondly, in terms of network architecture, it is possible to consider  
600 combining physical mechanisms (e.g., precipitation event, dry-down events, or  $\tau - \omega$  model)  
601 with deep learning models to increase the interpretability of the model, such as by imposing  
602 constraints on the network architecture or loss function. Finally, it would be interesting to further  
603 enhance the spatial resolution of the produced FY-3B SM dataset to support finer-scale  
604 applications, including agriculture and water resource management.

605

## 606 6. Data availability

607 The global, spatially seamless, daily FY-3B SM dataset from 12 July 2011 to 19 August 2019  
608 based on GSP is available at <https://doi.org/10.6084/m9.figshare.30633548> (You et al., 2026).

609

## 610 7. Conclusion

611 In this study, to fill the inherent orbital gaps in the FY-3B SM, the GSP model was proposed  
612 to generate a global, spatially seamless, daily FY-3B SM dataset from 12 July 2011 to 19 August  
613 2019. The GSP model takes full advantage of the spatiotemporally adjacent data in the time-series  
614 through collaborative local-global feature learning and an adaptive fusion mechanism,  
615 harmonizing local detail preservation and global dependency modeling. Specifically, the  
616 multi-scale gated convolution block can accurately capture local spatiotemporal features under  
617 different receptive fields. The residual Swin Transformer block applies a shifted window strategy  
618 to achieve information interaction across multiple windows, thereby learning global  
619 spatiotemporal features. Moreover, the CBAM adaptively fuses local and global spatiotemporal  
620 features by employing channel and spatial attention mechanisms, enabling the model to focus on  
621 critical features.

622 The *in-situ* data and original FY-3B SM data were used to validate the performance of the  
623 GSP model for real and simulated gaps, respectively. In the validation based on the *in-situ* data,  
624 we selected 17 sparse networks and five dense networks to evaluate the reconstructed FY-3B SM  
625 dataset. Moreover, in the validation based on the original FY-3B SM, to simulate realistic FY-3B  
626 SM data gaps, we selected original FY-3B SM orbital gaps with different proportions as masks,  
627 and the original SM data were used as a reference for both qualitative and quantitative evaluation.  
628 The main conclusions are summarized below:

- 629 1) By referring to the sparse and dense *in-situ* networks, the ubRMSE of GSP-reconstructed  
630 FY-3B SM dataset is  $0.0703 \text{ m}^3/\text{m}^3$  and  $0.0631 \text{ m}^3/\text{m}^3$ , respectively, which is more  
631 accurate compared to the three benchmark methods (i.e., U-TILISE, STpconv, and  
632 DCT-PLS).
- 633 2) Based on the station-level *in-situ* data and GLDAS-Noah data, the GSP-reconstructed  
634 FY-3B SM dataset exhibits greater accuracy than the recently developed FY-3B SM  
635 dataset based on TTP.
- 636 3) For simulated gaps, when the spatial missing proportions range from 30 % to 70 %, the  
637 reconstructed FY-3B SM based on GSP maintains consistently the greatest accuracy. The  
638 GSP method also demonstrates strong robustness, with  $R$  and RMSE keeping above 0.98



639 and around 0.0120 m<sup>3</sup> respectively.  
640 4) The GSP-reconstructed FY-3B SM dataset demonstrates stable performance across  
641 different climate types, with an average MAE of 0.0272 m<sup>3</sup> and ubRMSE of 0.0381  
642 m<sup>3</sup>  
643

#### 644 **Author contributions**

645 YY designed the research, analyzed the data, wrote the original manuscript, and produced the  
646 dataset. QW designed the research, revised the whole manuscript and provided the funding to  
647 support the research. RX and XT revised the whole manuscript and provided direction and  
648 comments. All the authors edited and approved the final manuscript.  
649

#### 650 **Declaration of Competing Interest**

651 The authors declare that they have no known competing financial interests or personal  
652 relationships that could have appeared to influence the work reported in this paper.  
653

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