

1

# Supplementary Materials for

## 2 **Revealing coastal vegetation structural diversity through** 3 **LiDAR-derived relative entropy**

4 Guanpu Qi<sup>1†</sup>, Jiawei Wei<sup>2†</sup>, Zaizhou Xin<sup>3</sup>, Longcheng Yao<sup>1</sup>, Xiong Chen<sup>2\*</sup>, Jun Ma<sup>1\*</sup>

5 <sup>1</sup> State Key Laboratory of Wetland Conservation and Restoration, National Observations and Research  
6 Station for Wetland Ecosystems of the Yangtze Estuary, Ministry of Education Key Laboratory for  
7 Biodiversity Science and Ecological Engineering, and Institute of Eco-Chongming, School of Life  
8 Sciences, Fudan University, # 2005 Songhu Road 10, Shanghai, 200438, China.

9 <sup>2</sup> School of Information Science and Engineering, Fudan University, # 2005 Songhu Road 10, Shanghai,  
10 200438, China.

11 <sup>3</sup> Key Laboratory of Computational Physical Sciences (Ministry of Education), Institute of  
12 Computational Physical Sciences, State Key Laboratory of Surface Physics, and Department of Physics,  
13 Fudan University, Shanghai 200433, China.

14 †These authors contributed to the work equally.

15 \*Corresponding author

16 E-mail:

17 Jun Ma: [ma\\_jun@fudan.edu.cn](mailto:ma_jun@fudan.edu.cn)

18 Xiong Chen: [chenxiong@fudan.edu.cn](mailto:chenxiong@fudan.edu.cn)

19 Tel. Jun Ma: +86-21-31246690.

20 Tel. Xiong Chen: +86-21-65643231.

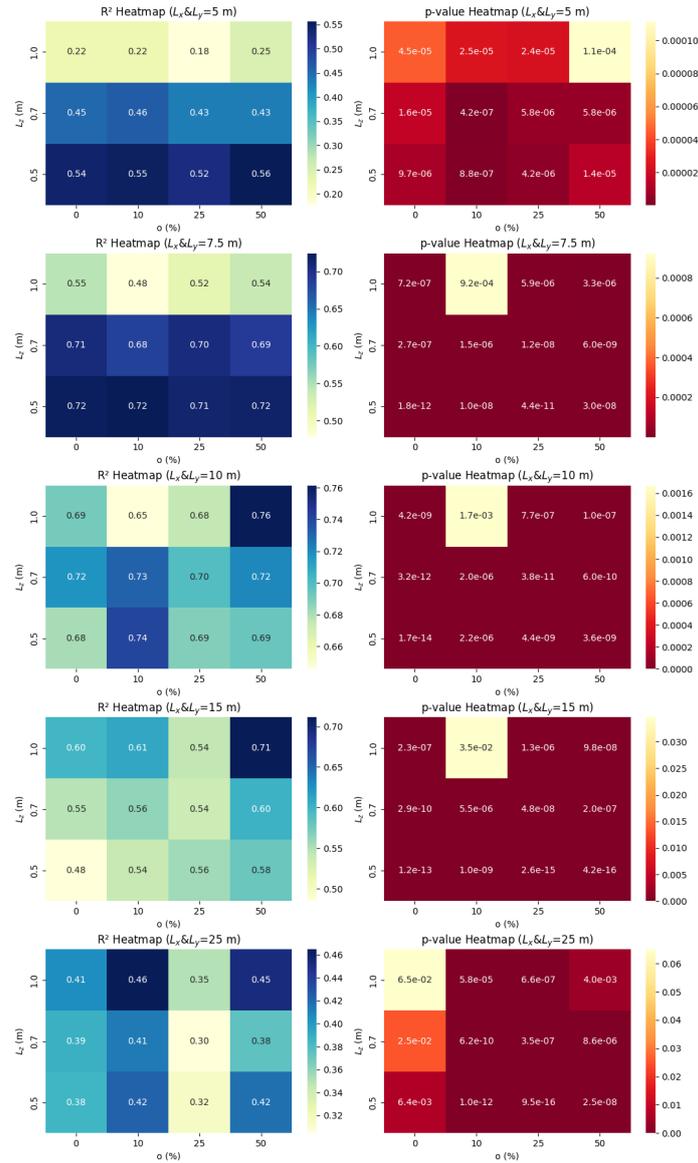
### 21 **This file includes:**

22 Figs. S1 to S6

23 Tables S1 to S5

24 References (1 to 4)

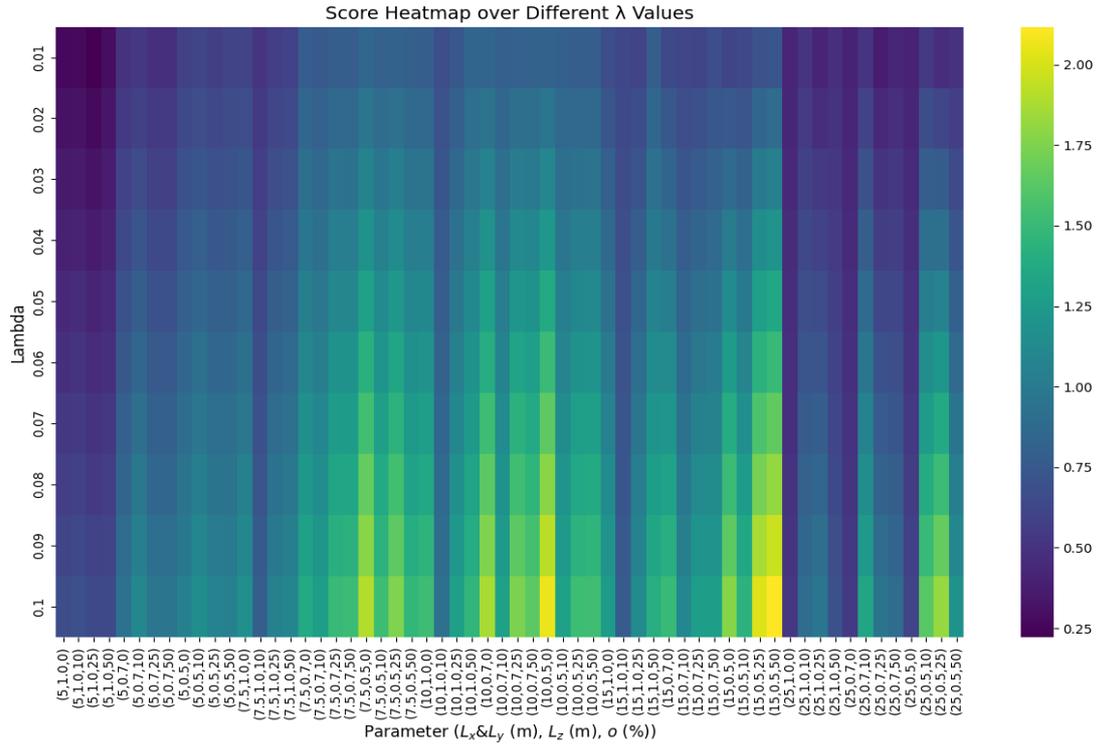
## 25 Figures



26

27 **Fig. S1 | Heatmap of  $R^2$  and p-values for different hyperparameter combinations.**

28 We conducted a grid search over the hyperparameters of the proposed method to find  
 29 the optimal combination for the application. In the tests, we performed regression  
 30 analysis with the Shannon index on a  $60\text{ m} \times 50\text{ m}$  plot and ANOVA analysis on a  $25\text{ m}$   
 31  $\times 25\text{ m}$  plot. In these experiments, the  $R^2$  value and p-value for each parameter  
 32 combination were selected as evaluation metrics. Specifically, we explored window  
 33 side lengths ( $L_x$  &  $L_y$ ) of 5 m, 7.5 m, 10 m, 15 m, and 25 m; window heights ( $L_z$ ) of  
 34 0.5 m, 0.7 m, and 1 m; and overlap ratios of 0, 0.1, 0.25, and 0.5 (o). **Figure S1** shows  
 35 heatmaps of the two metrics under different parameter combinations.



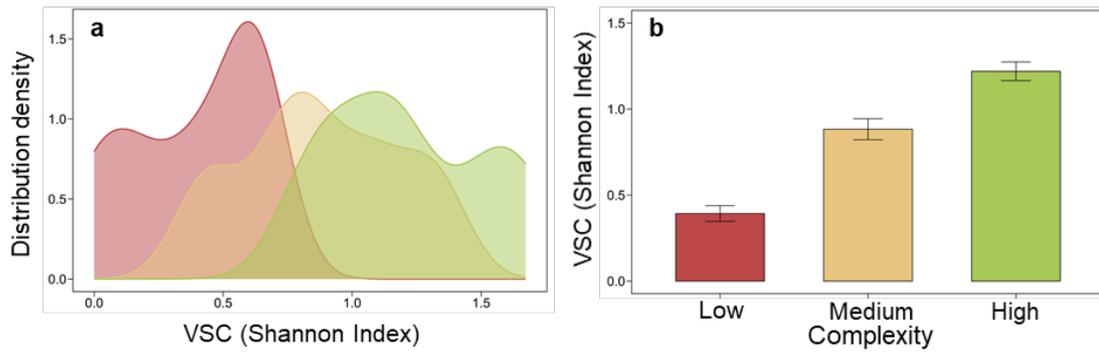
37

38 **Fig. S2 | Heatmap of scores for different hyperparameter combinations under**  
 39 **varying trade-off factors.**

40 We calculated the final score for each parameter combination according to the  
 41 following formula:

$$42 \quad \text{Score} = R^2 - \lambda \log_{10}^{\text{p-value}} \quad (\text{S1})$$

43 where  $\lambda$  is a weighting factor used to balance the two evaluation metrics, we varied  $\lambda$   
 44 from 0.01 to 0.1 and computed the scores for each case, as shown in **Figure S2**. Among  
 45 all parameter combinations, those with a window height of  $L_z=0.5$  m achieved higher  
 46 scores, which may be related to the average height of low-lying vegetation. In particular,  
 47 combinations of small windows without overlap (10, 0.5, 0) and large windows with  
 48 overlap (15, 0.5, 25) and (15, 0.5, 50) achieved the best scores across all tested settings.  
 49 Considering the additional terrain normalization required during processing and the  
 50 pre-trimming of water body regions in the nationwide field dataset, we selected the  
 51 optimal combination (10, 0.5, 0) under  $\lambda = 0.02$  to ensure computational efficiency.



52

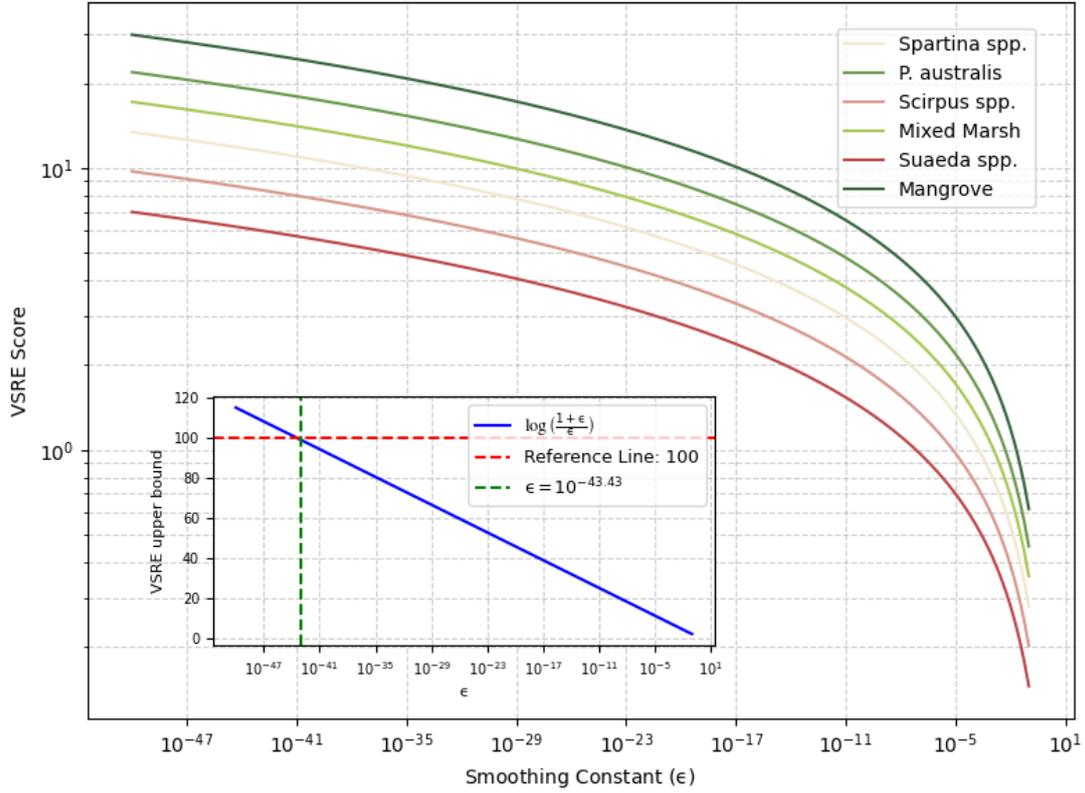
53 **Fig. S3 | VSC index distribution characteristics constructed based on the Shannon**

54 **index. a**, the VSC distribution curve was fitted using kernel density estimation. **b**, Mean

55 values of VSC in groups with different human-defined complexity. Error bars indicate

56 standard deviation.

57



58

59 **Fig. S4** | The variation trend of average VSRE scores for different vegetation  
 60 communities in field data concerning the smoothing constant and the theoretical upper  
 61 bound of VSRE as a function of the smoothing constant.

62 To ensure numerical stability in the computation of RE between voxel-based 3D  
 63 histograms, we adopt a modified formulation:

$$64 \quad RE(\Phi||\Psi) = \sum_{i,j,k} \Phi_{ijk} \log \frac{\Phi_{ijk} + \epsilon}{\Psi_{ijk} + \epsilon} \quad (S2)$$

65 Where,  $\Phi$  and  $\Psi$  are the normalized point cloud distributions in the two windows.  $\epsilon$  is  
 66 a small positive constant introduced to prevent divergence caused by zero entries in  
 67 either distribution. This adjustment stabilizes the computation and implicitly constrains  
 68 the upper bound of the VSER. Specifically, when considering the extremal case where  
 69 a single bin in  $\Phi$  concentrates the entire probability mass (i.e.,  $\Phi_a = 1$  for one index  
 70 and zero elsewhere), and  $\Psi$  is uniform or zero in that bin, the RE divergence simplifies  
 71 to:

72 
$$RE_{max} = \log\left(\frac{1+\epsilon}{\epsilon}\right) \quad (S3)$$

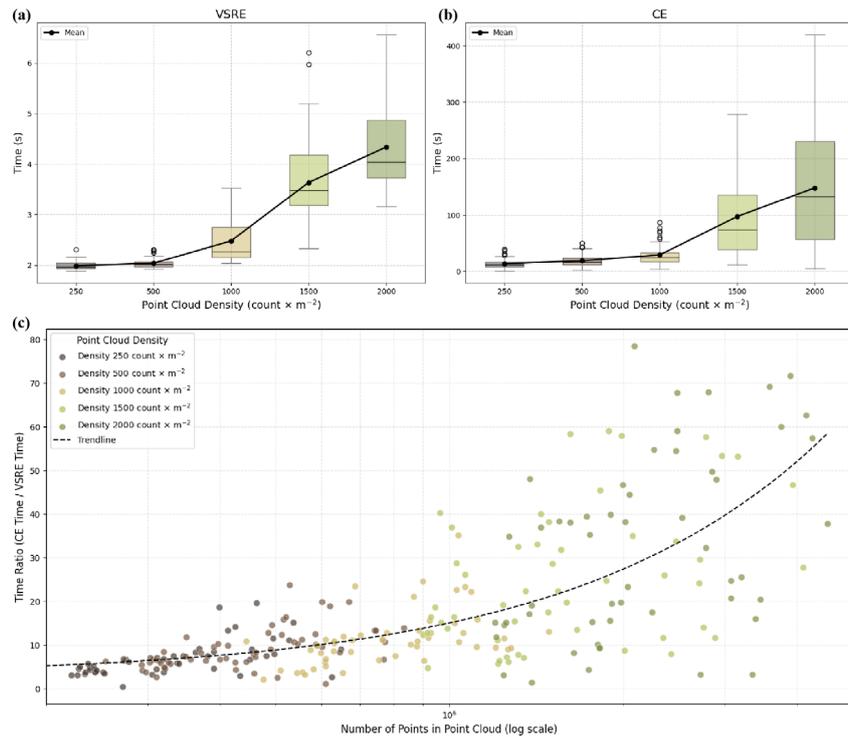
73 is expression shows that the maximum divergence is directly determined by the choice  
74 of  $\epsilon$ . The smaller the value of  $\epsilon$ , the higher the potential upper bound for the RE  
75 divergence. In our experiments with field data, we set:

76 
$$RE_{max} = \log\left(\frac{1+10^{-43.43}}{10^{-43.43}}\right) \approx \log(10^{43.43}) = 100 \quad (S4)$$

77 **Figure S4** presents the distributions of the VSRE scores across various plant species  
78 from field data in China, under different smoothing constants  $\epsilon$ . The figure also shows  
79 the theoretical upper bound of VSRE as a function of  $\epsilon$ . As illustrated, the choice of  $\epsilon$   
80 exerts a significant influence on the numerical stability and the sensitivity of the VSRE  
81 metric. When  $\epsilon$  is set too large (e.g.,  $\epsilon > 10^{-2}$ ), the added smoothing overwhelms the  
82 original differences between distributions, compressing the RE values into a narrow  
83 range. Consequently, the VSRE scores fail to distinguish structural complexity across  
84 vegetation types, resulting in a substantial loss of discriminative power. Conversely,  
85 when  $\epsilon$  is excessively small (e.g.,  $\epsilon < 10^{-50}$ ), although the sensitivity to structural  
86 differences increases, the computation becomes highly susceptible to numerical  
87 instability due to finite-precision floating-point arithmetic. Minor numerical errors may  
88 be amplified in such cases, resulting in unreliable VSRE estimates.

89 By setting  $\epsilon = 10^{-43.43}$ , the theoretical upper bound of the VSRE score is  
90 approximately 100, placing the indicator within a practical, interpretable  $[0, 100]$  range.  
91 This choice ensures that the VSRE metric maintains sufficient sensitivity to capture  
92 structural variations in the point cloud while preserving numerical stability across  
93 different datasets. Moreover, normalizing VSRE within  $[0, 100]$  facilitates intuitive  
94 interpretation and straightforward comparison across studies and ecosystems.

95



96

97 **Fig. S5 | Comparison of Computational Efficiency Between VSRE and CE**

98 **Methods. a-b**, Variation trends of computation time for VSRE and CE concerning point

99 cloud density. **c**, The time ratio (CE Time/VSRE Time) as a function of point cloud

100 size. All statistics were derived from 25m × 25m samples in fixed plots.

101 In **Figure S5**, we compare the computational efficiency between the VSRE and CE

102 methods using 25m×25m plot data. Panels (a) and (b) display box plots of processing

103 time for both methods across varying point cloud densities. As density increases, the

104 computational time rises for both approaches due to the inherent processing demands

105 of larger point clouds. Nevertheless, our VSRE method consistently requires less than

106 one-tenth the time of CE. Panel (c) presents the time ratio (CE/VSRE) as a function of

107 point count, revealing that VSRE's efficiency advantage becomes more pronounced

108 with increasing point numbers, reaching a 70-fold improvement at approximately 5×10<sup>6</sup>

109 points. This significant gain stems from VSRE's bin-wise voxel distribution statistics,

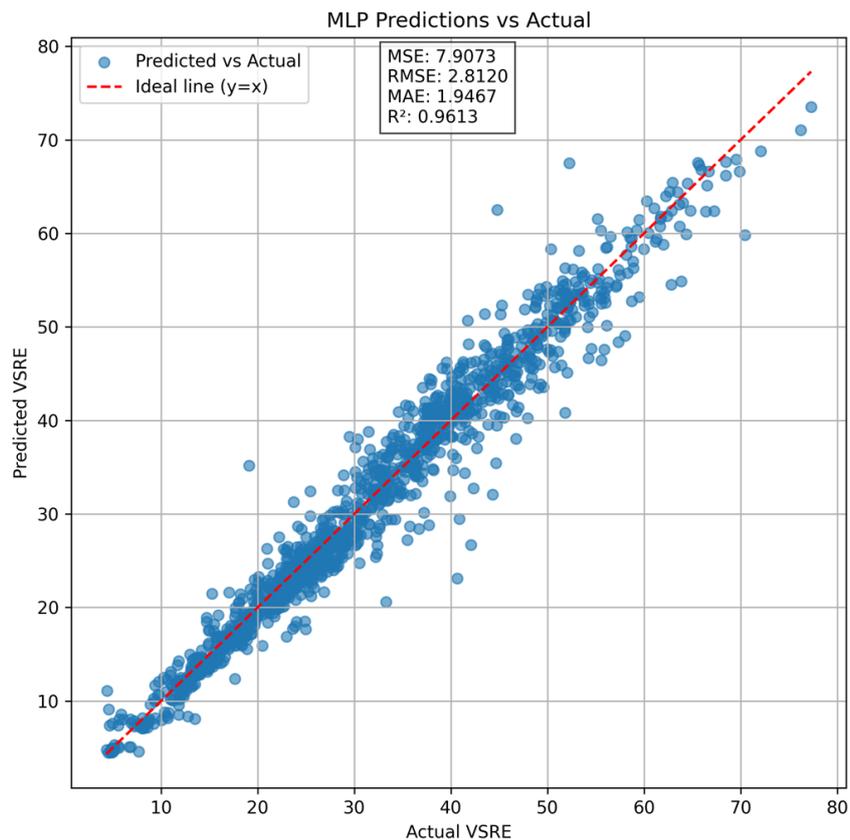
110 which directly characterize local point cloud distributions rather than CE's

111 computationally intensive point-wise resampling and projection operations. The bin-

112 wise approach maintains robustness against variations in point count while substantially

113 reducing computational overhead during distribution construction. It can be expected

114 that as the point cloud grows larger, this efficiency gap will continue to widen.



115

116 **Fig. S6 | Accuracy of VSRE spatial mapping.** After initial screening, the best-  
 117 performing MLP model was selected for VSRE prediction. A grid search was  
 118 conducted to optimize the model's hyperparameters. The optimal configuration  
 119 included a network architecture with hidden layers (256, 128, 64), a learning rate of  
 120 0.001, a dropout rate of 0.1, a batch size of 64, and batch normalization enabled  
 121 (bn=True). **Fig. S6** shows the performance of the fine-tuned model on the entire dataset.

122 **Tables**

123 **Table S1** | Coordinates and VSRE of the forest LiDAR sample

ID	Longitude	Latitude	Height Min	Height Max	VSRE
1	119.5685625	28.1306505	183.24	202.88	51.65
2	119.5684155	28.13112325	187.47	207.67	42.50
3	119.5715543	28.12946875	186.32	209.44	41.50
4	119.5709303	28.12837475	210.87	230.49	57.84
5	119.5717565	28.128487	188.57	210.84	44.95
6	119.572456	28.13168525	216.79	244.13	35.64
7	119.5608293	28.12190925	193.30	225.95	35.48
8	119.5612788	28.12004175	187.43	216.56	36.28
9	119.5847853	28.22370175	664.73	690.83	22.01
10	119.5853543	28.22377425	686.36	703.42	43.18
11	119.5738523	28.1344965	239.03	263.80	63.46
12	119.582558	28.22422925	664.00	693.83	33.45
13	119.5827285	28.22397425	642.43	669.91	39.11
14	119.5851405	28.22349825	664.55	690.21	27.85
15	119.5854633	28.22349775	666.43	692.79	21.71
16	119.5796698	28.08116525	210.64	246.07	27.44
17	119.57957	28.08140575	213.75	244.79	36.95
18	119.5799563	28.08170375	242.39	271.01	32.08
19	119.5189663	28.0811495	230.55	270.53	14.22
20	119.5828998	28.0871795	255.99	276.02	47.33
21	119.5826563	28.08743325	235.27	262.78	61.26
22	119.5823155	28.08752925	216.27	245.22	50.07
23	138.7030558	25.45691525	205.01	234.99	47.97
24	119.5822858	28.08710225	232.16	256.44	43.09
25	119.5814625	28.08639575	212.10	235.55	42.61
26	119.5811858	28.086243	209.90	240.85	36.33
27	119.5807738	28.08612875	197.18	231.28	34.12
28	119.5807183	28.08586275	207.02	232.85	43.42
29	119.580713	28.08558425	208.65	232.27	31.59
30	119.5807443	28.08524175	208.14	230.94	28.19

125 **Table S2** | VSRE of different vegetation communities in different provinces

Province	<i>Spartina</i> spp.	<i>P. australis</i>	<i>Scirpus</i> spp.	Mixed Marsh	<i>Suaeda</i> spp.	Mangrove
Liaoning	12.80	14.05	/	/	4.38	/
Shandong	10.75	15.43	/	/	5.42	/
Jiangsu	7.15	7.73	9.49	/	12.12	/
Shanghai	/	21.84	6.04	14.49	/	/
Zhejiang	13.79	11.53	/	13.94	/	/
Fujian	12.87	20.12	9.04	15.86	6.28	55.39
Guangdong	23.31	/	/	22.66	/	28.52
Guangxi	15.96	17.65	/	/	/	20.92

**Table S3** | Average accuracy of vegetation classification in different provinces.

Province	Type	Samples	Mean-UA	Mean-PA	Mean-F1-Scores	Kappa	OA
Guangxi	3	423	0.97	0.96	0.96	0.95	0.97
Guangdong	4	321	0.96	0.95	0.96	0.93	0.96
Fujian	5	524	0.85	0.87	0.86	0.84	0.89
Zhejiang	5	510	0.90	0.91	0.90	0.87	0.90
Shanghai	5	460	0.83	0.86	0.85	0.86	0.90
Jiangsu	6	1335	0.84	0.90	0.85	0.88	0.91
Shandong	5	870	0.92	0.89	0.91	0.89	0.92
Hebei	4	203	0.90	0.90	0.90	0.87	0.90
Liaoning	4	746	0.86	0.89	0.87	0.87	0.91
Tianjin	4	280	0.87	0.87	0.87	0.85	0.90

128 Indices such as UA (User's Accuracy, 1), PA (Producer's Accuracy, 2), OA (Overall Accuracy, 3), and Kappa (5)  
 129 are commonly used as statistical metrics of consistency between classified data and observed data. The range  
 130 generally varies from 0.20, indicating slight consistency, 0.20~0.40 for fair consistency, 0.40~0.60 for moderate  
 131 consistency, 0.60~0.80 for substantial consistency, and 0.80~1 for almost perfect consistency. The F1-score (4) is a  
 132 metric for measuring the performance of a classification model, particularly suited to situations with imbalanced  
 133 class distributions. The F-score ranges from 0 to 1, where values closer to 1 indicate better precision and recall, while  
 134 values closer to 0 indicate poorer performance.

$$135 \quad UA = \frac{TP}{TP+FP} \quad (1)$$

$$136 \quad PA = \frac{TP}{TP+FN} \quad (2)$$

$$137 \quad OA = \frac{TP+TN}{TP+TN+FP+FN} \quad (3)$$

$$138 \quad F1 - Scores = \frac{2PA*UA}{PA+UA} \quad (4)$$

$$139 \quad Kappa = \frac{N^2*OA - \sum_{i=1}^n a_i*b_i}{N^2 - \sum_{i=1}^n a_i*b_i} \quad (5)$$

140 TP (True Positive, correctly classified positive samples by the model), TN (True Negative, correctly classified  
 141 negative samples by the model), FP (False Positive, incorrectly classified positive samples by the model), FN (False  
 142 Negative, incorrectly classified negative samples by the model),  $a_i$  (the total actual observations for a sample type),  
 143  $b_i$  (the total model classifications for a sample type).

144 **Table S4** | Saltmarsh area in different provinces (km<sup>2</sup>).

Province	<i>Mudflat</i>	<i>Spartina</i> spp.	<i>P.australis</i>	<i>Scirpus</i> spp.	<i>Suaeda</i> spp.	<i>Mixed marsh</i>	<i>Mangrove</i>	Vegetated	Total
Fujian	1048.7	68.6	13.6	93.0	/	/	13.1	175.2	1223.9
Guangdong	712.5	105.8	/	/	/	1.0	67.5	106.8	819.3
Guangxi	573.3	127.7	/	/	/	/	61.6	127.7	701
Hebei	453.7	1.2	1.2	/	1.0	/	/	3.4	457.1
Jiangsu	3196.0	141.6	77.1	46.2	39.1	0.7	/	304.7	3500.7
Liaoning	1539.1	/	66.4	/	75.6	20.8	/	162.8	1701.9
Shandong	1752.8	97.3	65.5	/	63.1	13.0	/	238.9	1991.7
Shanghai	422.9	151.9	98.0	151.5	/	14.9	/	416.3	839.2
Tianjin	92.1	1.6	1.4	/	0.7	/	/	3.7	95.8
Zhejiang	894.6	112.2	98.5	52.7	/	/	0.0	263.4	1158

146 **Table S5** | Model Comparison Based on Cross-Validation MAE

Category	Method	CV MAE Mean
Statistical	Ridge	6.6151
Machine Learning	Random Forest	4.6750
Machine Learning	Gradient Boosting	5.0676
Machine Learning	SVR	7.8506
Machine Learning	XGBoost	4.5278
Machine Learning	KNN	4.2419
Machine Learning	Decision Tree	5.8195
<u>Deep Learning</u>	<u>MLP</u>	<u>3.8087</u>
Deep Learning	Residual	3.8815
Deep Learning	Auto Encoder	3.9343
Deep Learning	Transformer	4.2711

147 MAE (mean absolute error) is the average magnitude of prediction errors and serves as an indicator of overall model  
 148 accuracy. All metrics in this table were calculated as averages from tenfold cross-validation on the dataset. To select  
 149 the most appropriate model for the task, a set of machine learning and deep learning algorithms was compared using  
 150 their default parameter settings.

151

152 **Terrain simulation**

153 To focus on structural diversity, the input point cloud data must first undergo terrain  
 154 undulation removal. A Gaussian mixture model in the horizontal plane efficiently fits  
 155 rugged terrain due to its multi-modal nature. Given a set of LiDAR point cloud data  
 156  $\mathcal{P} = \{(x_i, y_i, z_i)\}_{i=1}^N$ , where  $x_i$ ,  $y_i$ , and  $z_i$  denote the 3D coordinates of the  $i^{th}$  point,  
 157 the fitted surface  $\hat{z} = f(x, y)$  can be expressed as(Wolfe, 1970):

$$160 \quad \hat{z}(x, y) = \sum_{k=1}^K \omega_k \cdot G_k(x, y) \quad (S5)$$

158 Where  $G(x, y) \sim \mathcal{N}(\vec{\mu}, \Sigma)$  is a bivariate Gaussian distribution. For the  $k^{th}$   
 159 distribution:

$$161 \quad G_k(x, y) = A_k \exp \left( -\frac{1}{2} \begin{bmatrix} x - \mu_k^{(x)} \\ y - \mu_k^{(y)} \end{bmatrix}^T \Sigma_k^{-1} \begin{bmatrix} x - \mu_k^{(x)} \\ y - \mu_k^{(y)} \end{bmatrix} \right) \quad (S6)$$

162 adopt learnable  $A_k$  as the amplitude,  $(x - \mu_k^{(x)}, y - \mu_k^{(y)})$  as the distribution center.

163  $\Sigma_k$  is the covariance matrix defining the distribution's orientation and spread:

$$169 \quad \Sigma_k = \begin{bmatrix} \sigma_k^{(x)2} & \rho_k \sigma_k^{(x)} \sigma_k^{(y)} \\ \rho_k \sigma_k^{(x)} \sigma_k^{(y)} & \sigma_k^{(y)2} \end{bmatrix} \quad (S7)$$

164 Where  $\rho_k$  is the correlation coefficient and  $\sigma_k^{(\cdot)}$  denotes the standard deviation in a  
 165 given direction. To effectively fit real terrain, independent distributions with weights  
 166  $\omega_k$  are linearly combined to form peaks ( $\omega_k > 1$ ) or valleys ( $\omega_k < 1$ ). The point  
 167 cloud  $\mathcal{P}$  is divided into grids ( $P \times Q$ ) along the x and y directions, extracting the  
 168 minimum z-coordinate points in each grid as the ground morphology data S:

$$170 \quad S = \left\{ \arg \min_{(x,y,z) \in \mathcal{P}} z \mid (x, y) \in (x_p, x_{p+1}] \times (y_q, y_{q+1}] \right\}_{p=1, q=1}^{P, Q} \quad (S8)$$

171 Residuals are defined as:

$$172 \quad r_i = z_i - \hat{z}(x_i, y_i) \forall z_i \in S \quad (S9)$$

173 To further enhance the robustness of outlier fitting, we adopt the Tukey loss  
 174 function(Beaton and Tukey, 1974; Belagiannis et al., 2015), which suppresses the

175 influence of mild outliers without compromising overall fitting performance. This  
 176 improves the robustness of terrain normalization:

$$177 \quad \mathcal{L}(r_i) = \begin{cases} \frac{\delta^2}{6} \left( 1 - \left( 1 - \frac{r_i^2}{\delta^2} \right)^3 \right), & |r_i| \leq \delta \\ \frac{\delta^2}{6}, & |r_i| > \delta \end{cases} \quad (\text{S10})$$

178 To prevent degeneration of the covariance matrix and improve model stability, a  
 179 regularization term is added to the final loss(Grave et al., 2011). Consequently, the  
 180 optimization objective for the fitting stage is summarized as:

$$181 \quad \arg \min_{\Theta} \sum_{i=1}^{P \times Q} \mathcal{L}(r_i) + \lambda \sum_{k=1}^K \text{Tr}(\Sigma_k^{-1}) \quad (\text{S11})$$

182 Where the model parameters are defined as:

$$183 \quad \Theta = \{(\omega_k, A_k, \vec{\mu}_k, \Sigma_k)\}_{k=1}^K \quad (\text{S12})$$

184

185 **Reference**

186 Beaton, A. E. and Tukey, J. W.: The fitting of power series, meaning polynomials,  
187 illustrated on band-spectroscopic data, *Technometrics*, 16, 147-185, 1974.

188 Belagiannis, V., Rupprecht, C., Carneiro, G., and Navab, N.: Robust optimization for  
189 deep regression, *Proceedings of the IEEE international conference on computer vision*,  
190 2830-2838,

191 Grave, E., Obozinski, G. R., and Bach, F.: Trace lasso: a trace norm regularization for  
192 correlated designs, *Advances in neural information processing systems*, 24, 2011.

193 Wolfe, J. H.: Pattern clustering by multivariate mixture analysis, *Multivar. Behav. Res.*,  
194 5, 329-350, 1970.

195