



**An ERA5-derived mesovortex tracking framework for
investigating tropical cyclogenesis**

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Abstract

Previous studies have suggested that the vertical mesovortex couple plays a critical role in tropical cyclogenesis. This couple consists of midlevel and low-level mesoscale vortices, whose formation is believed to result from different physical mechanisms. However, existing tropical cyclone datasets primarily document post-formation stages, and a substantial gap remains between the well-observed life cycles of mature tropical cyclones and the poorly documented mesoscale processes preceding formation. To address this gap, a flexible, objective detection and tracking framework was developed based on ERA5 reanalysis, termed the Mesovortex Analysis of Structure and Tracking (MAST), and a corresponding multi-decadal dataset was constructed for the western North Pacific (WNP) basin covering 1984–2023. Technical validation shows that MAST successfully detects 96% of the mesovortex couple associated with observed tropical cyclogenesis events at the formation time, with more than 50% of cases identifiable as early as 48 h prior to formation. A comparative analysis further reveals systematic differences in the vertical tilt evolution of the mesovortex couple: genesis cases exhibit a steady reduction in tilt with time, whereas non-genesis cases maintain a persistently large tilt of approximately 200 km. The MAST framework extends the long-term record of mesoscale vortex evolution during the pre-formation stage and provides a valuable resource for understanding tropical cyclogenesis, numerical model evaluation, and machine-learning-based genesis prediction.



45 **1. Introduction**

46 While large-scale conditions for tropical cyclogenesis (TCG) have long been
47 established (Gray, 1968, 1998), TCG remains one of the least understood processes in
48 atmospheric science and continues to pose a major challenge for prediction (Liang et
49 al., 2021; Yamaguchi et al., 2015). TCG fundamentally involves multiscale interactions
50 (Fang and Zhang, 2010; Simpson et al., 1997), and the final transition to a self-
51 sustaining tropical cyclone (TC) is critically dependent upon mesoscale processes that
52 concentrate vorticity and organize deep convection (Bister and Emanuel, 1997; Gray,
53 1968; Hendricks et al., 2004; Nam et al., 2023; Simpson et al., 1997). Accumulating
54 evidence suggests that TCG is closely associated with the formation and evolution of a
55 vertical mesovortex couple that consists of mesoscale vortices at low- and mid- levels
56 (Nam et al., 2023; Wu and Fang, 2023).

57 Observational analyses and numerical simulations indicate two key mesoscale
58 structures: the mid-level vortex (MV) and the low-level vortex (LV). The MV, which is
59 often termed a mesoscale convective vortex (MCV), typically forms within the
60 stratiform precipitation regions of larger mesoscale convective systems (MCSs).
61 Numerous studies have demonstrated that an MV frequently serves as a precursor to
62 TCG (Bister and Emanuel, 1997; Hendricks et al., 2004; Montgomery et al., 2006). It
63 plays an essential role in dynamically and thermodynamically modifying the inner-core
64 environment, thereby facilitating a transition toward bottom-heavy convection (Bell
65 and Montgomery, 2019; Montgomery et al., 2006; Raymond et al., 2007; Teng and Tang,
66 2025).

67 The development of the LV is also a critical step towards TCG. It has been argued
68 that the fundamental spin-up mechanism is the concentration of vorticity through mass
69 convergence in the lower troposphere, often driven by deep convection or vortical hot
70 towers (VHTs; Fang and Zhang, 2010; Hendricks et al., 2004; Montgomery et al., 2006;
71 Montgomery and Enagonio, 1998). This process can lead to the formation and
72 aggregation of LVs, moistening the mid-troposphere and facilitating the development
73 of further deep convection (Montgomery et al., 2006; Nolan, 2007; Wang et al., 2012).



74 However, substantial uncertainty remains regarding the relative importance of the
 75 MV and LV, their precise formation timing, and the persistence of their spatial
 76 relationship during TCG. While some numerical studies suggest that environments
 77 characterized by a strong pre-existing LV exhibit higher genesis efficiency (Ge et al.,
 78 2013), others demonstrate that external modulations, such as the diurnal radiative cycle,
 79 can significantly reshape the relative evolution of the two vortices (Teng and Tang,
 80 2025). In addition, statistical analyses of initial vortex structures reveal pronounced
 81 regional variability. For example, a recent study over the western North Pacific (WNP)
 82 indicates that nearly three-quarters of TCs originate from systems in which the LV is
 83 either the dominant initial feature or is already dynamically coupled with the MV (Wu
 84 and Fang, 2023). Despite the sequence of the formation of MV and LV, a central
 85 dynamical constraint on successful TC formation is the presence and alignment of the
 86 vertical mesovortex couple (Nam et al., 2023). Yet existing long-term datasets generally
 87 lack the explicit, continuous tracking of both MV and LV centers required to enable
 88 robust, large-sample statistical analyses of their structural evolution and coupling
 89 processes.

90 To address this gap, we developed a flexible mesoscale vortex detection and
 91 tracking framework, the Mesovortex Analysis of Structure and Tracking (MAST), and
 92 applied it to construct a new multi-decadal dataset based on the European Centre for
 93 Medium-Range Weather Forecasts (ECMWF) fifth-generation reanalysis, ERA5
 94 (Hersbach et al., 2020). The resulting dataset provides the high spatial and temporal
 95 resolution required to detect, characterize, and continuously track multi-level mesoscale
 96 vortices throughout the TCG process.

97 MAST is designed as a highly customizable framework that allows users to flexibly
 98 adjust key detection parameters while simultaneously identifying vortex centers defined
 99 as extrema of the stream function currently at 850 and 500 hPa. This configuration
 100 enables the construction of continuous records of vertically coupled LV and MV pairs,
 101 thereby facilitating explicit and quantitative analyses of vertical tilt and their temporal
 102 evolution.



103 Unlike previous tracking approaches that primarily focus on mesoscale convective
104 systems (MCSs; Feng et al., 2021; Hahn et al., 2025; Huang et al., 2018), MAST
105 incorporates user-selectable connection strategies that robustly resolve vortex
106 interaction, merger, and continuity. As a result, the derived dataset provides precise
107 formation timing and detailed track evolution for both genesis and non-genesis vortex
108 pairs. This capability opens two research avenues that have previously been constrained
109 by data limitations: (1) systematic investigations of vertical alignment and tilt dynamics
110 preceding successful TCG, and (2) statistical analyses of vortex formation timing,
111 structural characteristics, and associated environmental conditions. The adaptable
112 MAST framework and its accompanying dataset establish a valuable resource for
113 advancing understanding of the mesoscale processes governing TCG, with broad
114 applications such as numerical model evaluation and machine-learning-based genesis
115 prediction.

116 The remainder of this paper is organized as follows. Section 2 describes the data
117 sources and the algorithmic design of the MAST framework. Section 3 details the
118 dataset structure and records. Section 4 presents the statistical results, technical
119 validation, and potential applications.

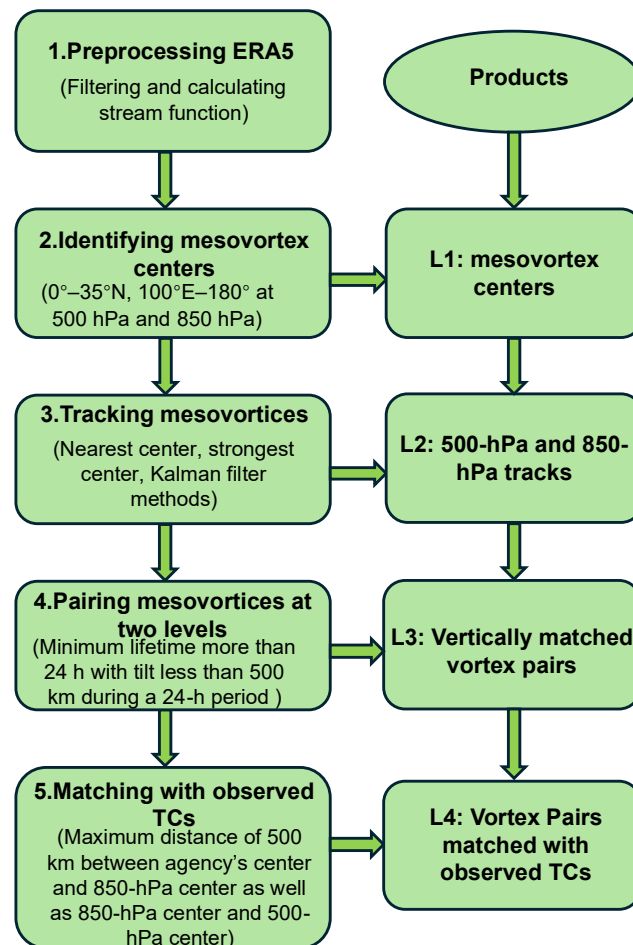
120 **2. Data and methods**

121 LVs and MVs associated with TCG are identified using the ERA5 reanalysis for
122 June–October during 1984–2023. The used wind data have a horizontal resolution of
123 $0.25^\circ \times 0.25^\circ$ and a temporal resolution of 3 hours. TC formation information in the
124 WNP basin is obtained from the International Best Track Archive for Climate
125 Stewardship (IBTrACS) version 4 dataset (Knapp et al., 2010), defined as the first
126 instance when the maximum sustained surface wind speed reaches or exceeds 35 knots.
127 In this study, mesoscale vortices are detected at 500 hPa for the midlevel and 850 hPa
128 for the low level.

129 This section describes the workflow of the MAST framework, from initial data
130 preprocessing to the final matching with observed TC formation events. A schematic



131 overview of the MAST workflow is presented in Figure 1. The framework consists of
132 five main steps: data preprocessing, mesoscale vortex identification, vortex tracking,
133 pairing of LVs and MVs, and matching with observed TC formation events (Fig. 1).
134 Each component of the workflow is described in detail below.



135
136 Figure 1: Schematic flowchart of the Mesovortex Analysis of Structure and Tracking
137 (MAST) framework and the four levels of data products (L1–L4).

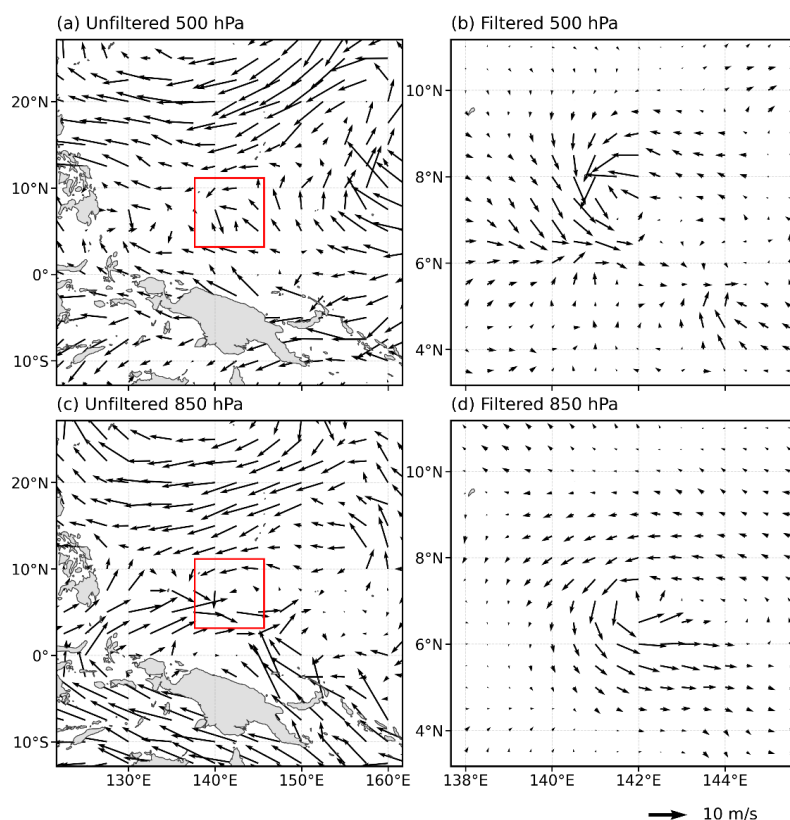
138 2.1 Data preprocessing

139 Although the critical role of the MV and LV in TCG has been well established,
140 there is no universally accepted definition of their spatial scale, which varies
141 considerably among previous studies. Observational and numerical analyses typically



142 identify mesoscale vortices with diameters of approximately 50–200 km (meso- β scale)
143 (Houze et al., 2009; Montgomery et al., 2006; Reasor et al., 2005; Simpson et al., 1997;
144 Teng and Tang, 2025), whereas other studies report larger vortices with diameters of
145 about 200–800 km (meso- α scale) (Bell and Montgomery, 2019; Gray, 1998; Nam and
146 Bell, 2021; Nolan, 2007; Ritchie and Holland, 1997). In this study, MVs and LVs are
147 extracted using a Barnes filter with a 1000-km cutoff scale (Barnes, 1964; Doswell,
148 1977).

149 Figure 2 shows an example of the 500-hPa and 850-hPa mesoscale vortices
150 extracted from the ERA5 reanalysis. The mesoscale vortices were associated with the
151 formation of Super Typhoon Nepartak (2016). At 0000 UTC 1 July 2016, about 60
152 hours before the formation, the filtered fields revealed a nearly circular vortex with a
153 diameter of approximately 400 km at 500 hPa (Fig. 2b) and another with a diameter of
154 around 500 km at 850 hPa (Fig. 2d). In contrast, the unfiltered wind fields exhibited
155 much weaker circulations and the MV at 500 hPa was barely discernible relative to the
156 large-scale environmental flow (Fig. 2a) while no distinct vortex was evident at 850
157 hPa (Fig. 2c). This example demonstrates that the Barnes filter can effectively isolate
158 mesoscale vortices associated with TCG from the large-scale background flow. The
159 horizontal scales of these vortices are near the lower bound of the meso- α range,
160 consistent with previous studies.



161
 162 Figure 2: Mesoscale vortices during the genesis of Typhoon Nepartak (2016). (a, c)
 163 Unfiltered wind vectors at 500 hPa (a) and 850 hPa (c) at 0000 UTC on 1 July 2016.
 164 The red boxes ($8^\circ \times 8^\circ$) denote the region of interest centered on the developing TC and
 165 correspond to the spatial domain shown in the right-hand panels. (b, d) Corresponding
 166 filtered wind fields at 500 hPa (b) and 850 hPa (d).

167 2.2 Identification of mesovortex centers

168 Using the filtered wind fields at 500 hPa and 850 hPa, the MV and LV centers are
 169 identified using the stream function within the domain 0° – 35° N, 100° E– 180° ,
 170 according to the following criteria:

- 171 (1) The stream function value is less than $-5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$, ensuring that the center is
 172 associated with a sufficiently strong cyclonic circulation.
- 173 (2) The grid point is a local minimum of the stream function within a 200 km radius,
 174 constraining the spatial scale of the vortex.



(3) The stream function values at the four surrounding grid points (1° east, west, north, and south) are all negative, ensuring a closed circulation.

The northern boundary of 35°N is chosen because TCG in the WNP basin predominantly occurs south of the latitude. The thresholds used here are our recommendations, 96 % of the TCs in the WNP basin could be detected using these thresholds at formation time. Users can adjust these parameters to suit specific research objectives.

Following the initial center identification, the exact center position of each mesovortex is determined through a three-step iterative cubic spline interpolation. The horizontal grid spacing is successively increased to 0.1° , 0.03° , and 0.01° . The first interpolation is performed within a 2° radius centered on each candidate. Before each subsequent iteration, the radius is reduced to half of its previous value (similar to Yang et al., 2020). After the final iteration, the 200 km separation criterion for local minima is re-applied to the refined set of candidates.

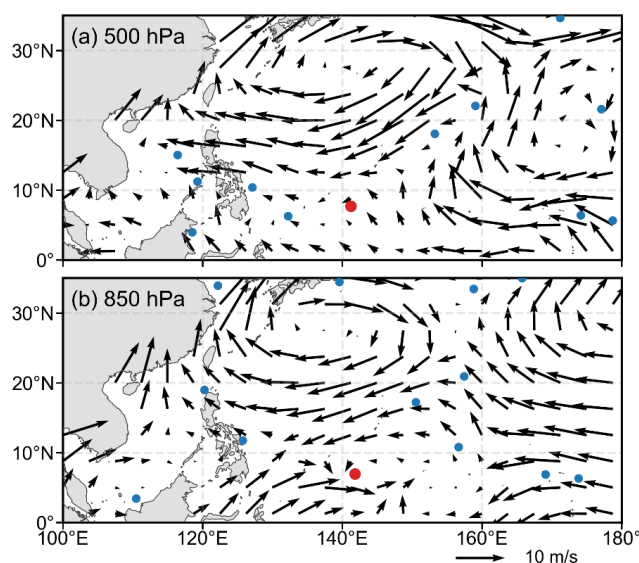


Figure 3: Spatial distribution of identified mesovortex centers (dots) and large-scale wind field (vectors) in the WNP basin at 0000 UTC on 1 July 2016 (a) at 500 hPa and (b) at 850 hPa. Blue dots denote identified mesovortex centers with the red dot for the precursor to Typhoon Nepartak (2016).



Figure 3 displayed the spatial distribution of identified mesovortices and the large-scale wind field by removing mesoscale-filtered components at 0000 UTC on 1 July 2016. Approximately 10 vortex centers were identified at both 500 hPa and 850 hPa levels. Notably, the precursor to Typhoon Nepartak (red dots) was clearly visible across the middle and lower levels approximately 60 hours prior to its genesis.

2.3 Mesovortex tracking

To obtain vortex trajectories, a spatial continuity condition is applied such that the displacement of a mesoscale vortex between two consecutive 3-hour intervals cannot exceed a specified maximum distance ($d_{\max}$). In general, the movement of a continuously evolving mesoscale vortex does not exceed 200 km within three hours. However, given that mesoscale circulations often undergo deformation, the displacement between adjacent time steps may approach the characteristic vortex scale. Therefore, the maximum allowable displacement is set to 300 km.

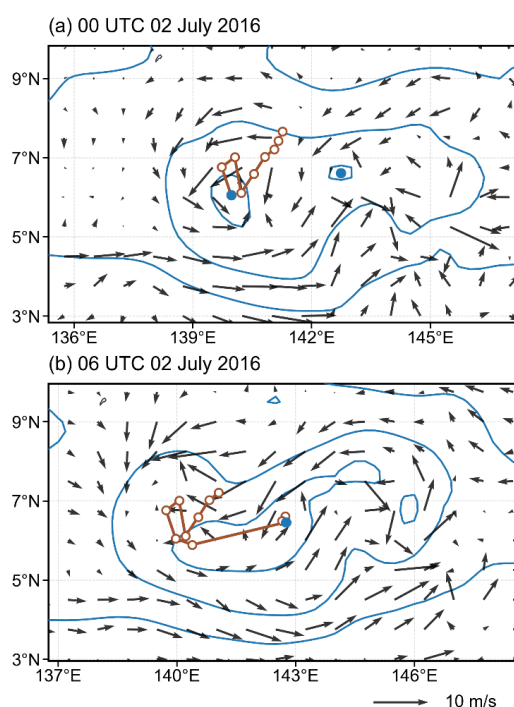
To ensure that the tracked vortex center and any potential candidate at the next time step are within the same coherent cyclonic system, we implement a connectivity check during the tracking process. For each candidate connection, a straight line is constructed linking the established center at time t to the candidate center at time $t+\Delta t$. We sample 15 equidistant points along this connecting line, deriving their stream function values via bilinear interpolation. To account for the deformation of the vortex structure during the time interval, this check is performed using the stream function fields at both time steps. The candidate is accepted if the sampled points exhibit negative (cyclonic) stream function values at either time t or time $t+\Delta t$.

Note that multiple centers can be found in a larger mesoscale vortex. The resulting trajectory depends on how these centers are connected. Currently, three distinct connection methods are implemented within the MAST framework:

- (1) Nearest-center method: The center nearest to the previous position is selected to connect the track.



- 221 (2) Strongest-center method: The center with the minimum stream function value
 222 (i.e., the strongest circulation) is chosen for connection.
 223 (3) Kalman filter method: Unlike the above two methods, which consider only
 224 the current position, the Kalman filter predicts the expected vortex position at
 225 the next step based on its prior motion state. The observed center closest to
 226 the predicted position is then selected for connection. This approach has been
 227 widely used for tracking MCSs (Huang et al., 2018).



228
 229 Figure 4: The filtered 500-hPa stream function (contours) and wind field (vectors) for
 230 the genesis of Typhoon Nepartak (2016). (a) 0000 UTC and (b) 0600 UTC on 2 July
 231 2016. The current vortex center is marked by blue circles, and the brown line indicates
 232 the previous track connected with open circles at 3-hour intervals. The stream function
 233 contour interval is $5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ and only non-positive values are displayed.

234 When multiple centers coexist, several distinct tracks may be identified. Figure 4
 235 illustrates the merger of MVs during the genesis process of Typhoon Nepartak between



0000 UTC and 0600 UTC on 2 July 2016. The track shown in Fig. 4 was constructed using the nearest-center method. At 0000 UTC, the stream function and wind field revealed two centers at (6.05°N,139.97°E) and (6.61°N,142.74°E), respectively(Fig. 4a). By 0600 UTC, these centers merged into a single vortex center at (6.46°N, 142.76°E) (Fig. 4b). Since there is little change in the identified genesis process between $d_{\max} = 300$ km and $d_{\max} = 400$ km, we adopt $d_{\max} = 300$ km in this study. Users can alter $d_{\max}$ threshold according to their own purpose. A smaller $d_{\max}$ focuses on continuous movement, a larger $d_{\max}$ will capture more potential merger processes.

2.4 Pairing of MVs and LVs

Because TCG occurs exclusively over oceans, vortex centers located over land may be connected to existing tracks but are not permitted to initiate new tracks. Approximately 25 % of the tracks identified under the above thresholds consist of a single time step and are therefore excluded. In this study, both the 850-hPa and 500-hPa vortex tracks must have a minimum lifetime of 24 h. To qualify as a vertical mesovortex couple, the 850-hPa and 500-hPa vortex centers must maintain a horizontal separation (tilt) within 500 km continuously for at least 24 h. Also, users are allowed to change these thresholds for their own purpose. It is worth noting that while some of these paired vortices eventually developed into TCs, others did not. In the next step, we isolate the vortex pairs associated with the observed formation events from the full set of identified pairs.

2.5 Matching with observed formation

To match vertical mesovortex couples with the observed TCG event, a two-step procedure is employed. Using data from the IBTrACS dataset, only LV and MV tracks that persist until the formation time are considered.

Step 1: Matching LVs with TC formation positions. For each TCG event, all active 850-hPa vortex tracks at the formation time are examined. Among the vortices located within a 500-km radius of the official formation position, the one closest to the best-

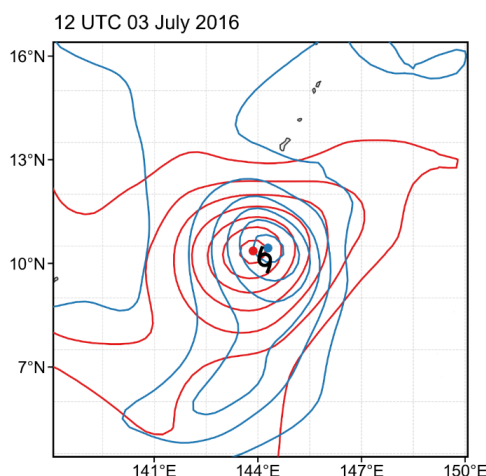


263 track formation point is selected as the corresponding LV track. If no vortices are
 264 identified at formation time, a relaxed matching is carried out, allowing for a ± 6 -h time
 265 window. The spatial distance of 500 km is similar to the criterion of Liang et al. (2021),
 266 but the temporal restriction is much stricter (They use a time window of 24 hours).

267 Step 2: Matching MVs with identified LVs. Using the position of the identified
 268 LVs at the formation time as a reference, the algorithm then searches for MVs within a
 269 500-km radius.

270 Once the vortex pair(s) at the match time are determined, a backward search can
 271 be performed to find all potential vortex pairs related to a particular TC case, including
 272 those that continue to the match time and those that terminated before the match time.

273 Figure 5 illustrates an example of the matching at the formation time of Typhoon
 274 Nepartak (2016). Following the above steps, the algorithm first matches LVs with the
 275 official TC formation position. Within a 500-km radius of the official TC formation
 276 position, the 850-hPa vortex track closest to the formation center was selected as the
 277 corresponding LV (Step 1). Subsequently, using this identified 850-hPa center as a
 278 reference, the algorithm searches for MVs within another 500-km radius (Step 2). In
 279 this case, a well-defined MV was successfully identified as it was located well within
 280 the 500-km search radius of the LV.



281
 282 Figure 5: The filtered 850-hPa stream function (red contours) and 500 hPa stream
 283 function (blue contours) at 1200 UTC 03 July, the formation time of Typhoon Nepartak
 284 (2016). The 850-hPa vortex center (red circle) and 500-hPa vortex center (blue circle)



are also shown. The black TC symbol represents the position in IBTrACS. The contour interval is $5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$, and only non-positive values are plotted.

After the above steps the LV and MV continuous track pairs that are associated with the observed TCs. Up to 96 % of the TC cases are matched with vertical mesovortex couples at formation time. Since possible track merge processes are taken into account during track connection, more than one mesovortex couples may be associated with a single formation case.

3. Dataset overview and descriptions

The data products are organized into four levels (Level 1 to Level 4), representing the sequential stages of the vortex identification, tracking, vertical coupling, and the events associated with the observed TCs (Fig. 1). All data files are provided in plain text (.txt and .csv) format for broad accessibility. The directory structure is strictly organized by basin, year, and the specific strategies used for connection and matching, ensuring full traceability of the workflow.

3.1 Level 1: Vortex detection and center refinement

This component contains the instantaneous locations of potential vortex centers identified from the ERA5 reanalysis dataset. It includes both the preliminary detection results and the refined coordinates after cubic spline interpolation.

- Location:
 - Preliminary: level1/[Basin]_vortices_[Parameters]_preliminary/[Year]/
 - Refined: level1/[Basin]_vortices_[Parameters]_refined/[Year]/
- File Structure: Files are organized by timestamp, named [YYYYMMDDHH]UTC_[Level]hPa[_refined].txt.
- File Format: Each row represents a detected vortex center with the following columns:
 - System_ID: Local index within the time step.
 - Lat: Latitude of the vortex center.
 - Lon: Longitude of the vortex center.



- 313 ○ SF_Value: Stream function intensity value.

314 **3.2 Level 2: Single-level vortex tracks**

315 This component provides the tracks of vortices at 850 hPa and 500 hPa, respectively,
 316 using the Level 1 product.

- 317 • Location: level2/[Basin]_tracks_[Parameters]/Strategy_[ID]/[Year]/
- 318 • File Structure: Each tracked system is stored as a unique file:
- 319 system_[Year]_[Level]hPa_[ID].txt.
- 320 • Summary File: tracking_statistics_summary.csv provides annual statistics on
- 321 track continuity and single-point systems.
- 322 • Track File Format: Each row corresponds to a time step along the track:
 - 323 ○ Year, Month, Day, Hour: Timestamp.
 - 324 ○ Lat, Lon: Vortex location.
 - 325 ○ SF_Value: Intensity.
 - 326 ○ Score: Matching score from the tracking algorithm.
 - 327 ○ Strategy: Connection method used.
 - 328 ○ Duration: Total duration of the system in hours.

329 **3.3 Level 3: Vertically matched mesoscale vortex pairs**

330 This level contains 850 hPa and 500 hPa vortex pairs that satisfy the vertical
 331 coupling criteria (minimum persistence of 24 hours with tilt ≤ 500 km during the
 332 interaction period). These pairs represent the full lifespans of the coupled systems.

- 333 • Location:
 - 334 level3/vertically_matched_systems_[Basin]_[Parameters]/strategy_[ID]/
- 335 • File Structure:
 - 336 ○ Summary: matched_summary_[Year].csv lists all identified pairs and
 - 337 their coupling duration.
 - 338 ○ Tracks: Subdirectories matched_tracks/[Year]/pair_[ID850]_[ID500]/
 - 339 contain two files:
 - 340 1. track_850hPa_[ID].txt



341 2. track_500hPa_[ID].txt

- 342 • Track File Format: Space-separated columns: Time (YYYY-MM-DD HH:MM:
 343 SS), Lat, Lon, SF.

344 **3.4 Level 4: TC-associated mesoscale vortex pairs (Genesis cases)**

345 This component filters the Level 3 pairs to retain only those associated with
 346 observed TC genesis events (based on IBTrACS). It introduces topological status flags
 347 (Continuous, Merger, Interrupted) to describe the vortex evolution relative to the TC.

- 348 • Location: level4/TC_matches_from_pairs_[Basin]/[Parameters]/connect
 349 [ID]/[Year]/[TC_ATCF_ID]/
- 350 • File Structure:
 - 351 ○ Network Flags: network_flags.csv describes the topological status of all
 352 nodes in the vortex network.
 - 353 ○ Tracks Directory: Contains individual track files for each associated pair,
 354 named Pair_[ID850]_[ID500]_[Level]hPa_[ID].txt.
 - 355 • Track File Format: Each row includes status flags:
 - 356 ○ Time, Lat, Lon, SF.
 - 357 ○ Cont (Continuous), Merg (Merger), Intr (Interrupted): Binary flags (0/1)
 358 indicating the system's status at that time step.

359 **4. Results**

360 By comparing with previous studies, this section presents some statistical results
 361 and discussion for the MAST framework. All results shown here are based on the
 362 default thresholds defined in the previous section. It should be emphasized that these
 363 parameters can be flexibly adjusted by users within the MAST framework to
 364 accommodate specific research objectives or to conduct sensitivity tests. Unless
 365 otherwise specified, the representative tracks and statistical analyses (e.g., detection
 366 rates and tilt evolution) presented in this section are derived using the nearest-center
 367 connection method. Robustness tests using the strongest-center and Kalman filter
 368 methods yield highly similar statistical patterns, indicating that the core features of the



dataset discussed below are not sensitive to the choice of connection strategy. While adjusting these tracking thresholds and strategies may lead to minor quantitative variations, such modifications do not alter the fundamental physical insights regarding mesoscale vortex evolution during TCG.

4.1 Validation of mesoscale processes in ERA5

We first demonstrate that the ERA5 reanalysis can effectively capture the evolution of LVs and MVs during TCG. Using the WRF model, Wu and Fang (2019) simulated the mesoscale circulations prior to the formation of Typhoon Nepartak (2016). Although their simulation was initialized with $0.25^\circ \times 0.25^\circ$ NCEP FNL analysis data at 6-hour intervals, the evolution of the LVs and MVs derived from the ERA5 reanalysis with our tracking framework exhibited strong consistency with their simulation.

Their simulation revealed that a LV first emerged at 0800 UTC on 30 June, followed by the appearance of a mid-level counterpart around 0000 UTC on 1 July. While the initial tilt between these vortices was about 150 km, it subsequently increased to 300 km by 1100 UTC on 1 July. Around 0000 UTC on 2 July, there were multiple MVs. The vertical tilt was reduced to less than 100 km by 1200 UTC on 2 July, leading to the formation of Typhoon Nepartak at 1200 UTC on 3 July.

In the ERA5 reanalysis, based on the tracking framework, the 850-hPa mesoscale vortex formed at 0000 UTC on 30 June (Fig. 6a), 8 hours earlier than in the simulation. The MV in ERA5 emerged at 0000 UTC on 1 July, consistent with the simulation. At this stage, the reanalysis indicated a tilt of 50–100 km between the MVs and LVs (Fig. 6b). Similar to the simulation, the tilt increased to approximately 300 km by 1200 UTC on 1 July. By 0000 UTC on 2 July (Fig. 6c), the ERA5 reanalysis also exhibited two MVs (Fig. 6d). Subsequently, the tilt decreased to less than 100 km around 1200 UTC on 2 July (Fig. 6e). We can see that the evolution of LVs and MVs during TCG has been effectively captured in the ERA5 reanalysis.

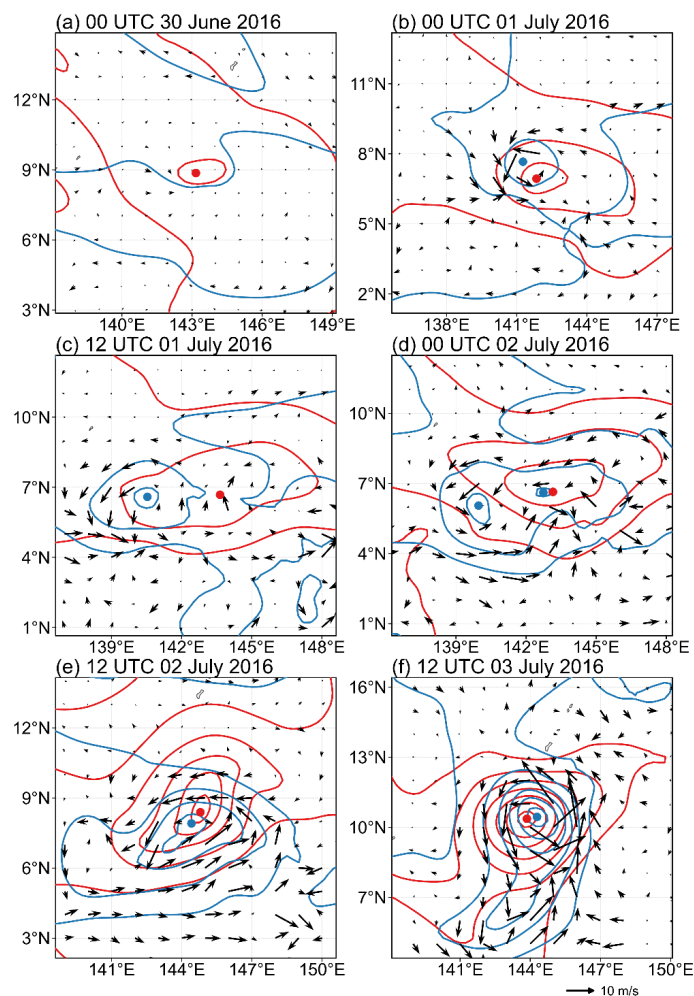


Figure 6: The evolution processes of the precursor mesoscale vortex for Typhoon Nepartak (2016). Panels show the evolution of the filtered stream function (contours), the identified vortex centers (dots) and the filtered 500-hPa wind field (vectors) at (a) 0000 UTC 30 June, (b) 0000 UTC 01 July, (c) 1200 UTC 01 July, (d) 0000 UTC 02 July, (e) 1200 UTC 02 July, and (f) 1200 UTC 03 July 2016. Red contours and the red circle represent the stream function and center at 850 hPa, while blue contours and the blue circle represent those at 500 hPa. The contour interval is $5 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ and only non-positive (cyclonic) values are plotted.

We further evaluated the framework by characterizing the development pathways of mesoscale vortices during TCG. Following Wu and Fang (2023), cases were categorized based on the time lag between the initial detection of LVs and MVs. Development is classified as “Simultaneous” if the two vortices form within 6 h, “Low-



level First” if the 850-hPa vortex precedes the 500-hPa vortex by more than 6 h, and
“Mid-level First” for the reverse sequence. Consistent with Wu and Fang (2023), our
tracking framework reveals that TCG over the WNP is dominated by the “Low-level
First” pathway, which accounts for 59.3 % (451 cases) of all events. The “Mid-level
First” and “Simultaneous” pathways account for 29.0 % (220 cases) and 11.7 % (89
cases), respectively.

4.2 Comparison of tilt evolution between genesis and non-genesis cases

Previous studies have identified sustained vertical vortex alignment as a critical
bifurcation separating successful from failed tropical cyclogenesis (Nam et al., 2023).
Figure 7 illustrates the temporal evolution of the vertical tilt between the mesoscale
vortex centers at 500 and 850 hPa. For genesis cases, time is normalized relative to the
formation time, whereas for non-genesis cases the sequence is referenced to the final
time step when both LVs and MVs are simultaneously detected. In the genesis group
(Fig. 7a), both the mean and median tilt decrease steadily with time, indicating
progressive vertical alignment prior to cyclone formation. In sharp contrast, non-
genesis cases (Fig. 7b) exhibit no systematic alignment trend; instead, the tilt remains
persistently large, on the order of approximately 200 km, highlighting the failure to
establish a coherent vertically aligned vortex.

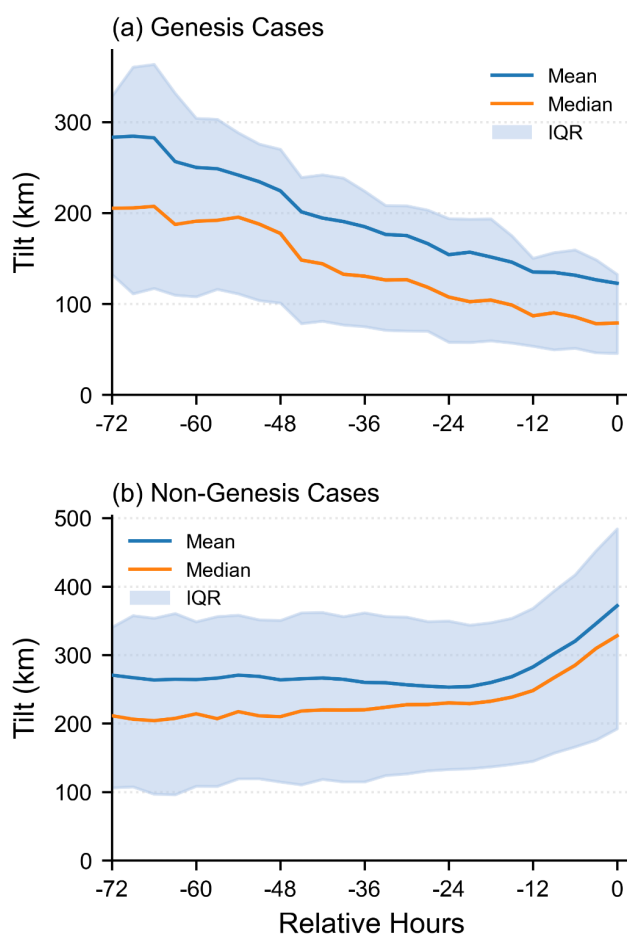


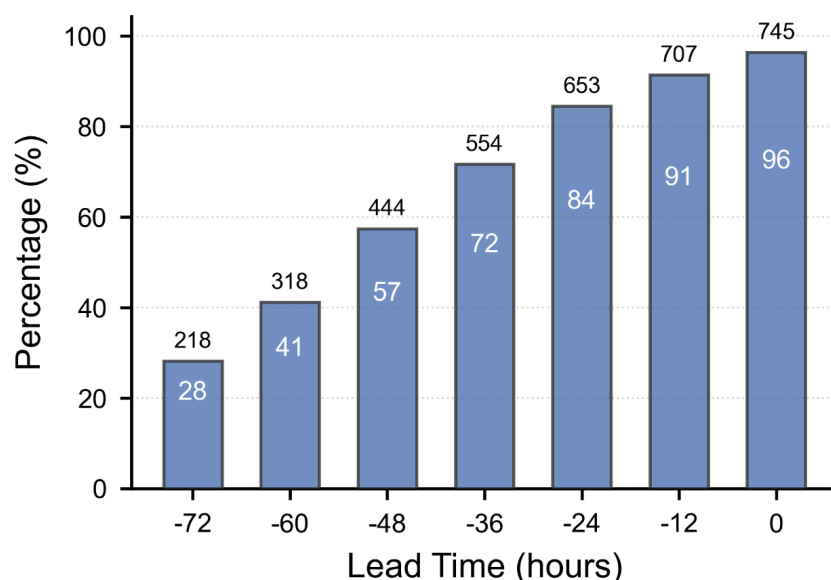
Figure 7: Comparison of tilt evolution between genesis (a) and non-genesis (b) cases. The light blue areas are the interquartile range (IQR) between 25th and 75th percentiles. The dark blue lines are average values, and orange lines are median values.

4.3 Basic performance of the framework

Figure 8 demonstrates the performance of the tracking framework by depicting the detection rate of TCs as a function of lead time prior to formation. Here, a case is considered successfully tracked when both LVs and MVs are simultaneously identified. At the formation time, 96 % of TC cases are successfully detected, and notably, approximately 50 % of the cases can be concurrently tracked as early as 48 h before formation. When the matching criteria are relaxed to allow a ± 6 -h window around the formation time, the tracking success rate further reaches 98 %. The small number of



438 remaining unmatched cases is mainly associated with systems near the domain
 439 boundaries (35°N and 180°) or with poorly defined vortex structures at the time of
 440 formation in the reanalysis dataset.



441
 442 **Figure 8: Percentage of TC cases detected by the MAST framework as a function**
 443 **of lead time relative to the TC formation time (0 h).** The total number of detected
 444 cases is indicated above each bar, and the corresponding percentage is labeled inside
 445 the bar.

446 5. Conclusions and discussion

447 In this study, we developed the Mesovortex Analysis of Structure and Tracking
 448 (MAST) framework to address the long-standing data gap in documenting mesoscale
 449 vortex evolution during TCG. Applied to the ERA5 reanalysis over the western North
 450 Pacific from 1984 to 2023, the framework demonstrates high technical reliability,
 451 successfully detecting 96 % of observed TCs at their formation time and capturing over
 452 50 % of genesis cases as early as 48 hours prior to formation. The multi-level tracking
 453 capability of MAST enables a quantitative characterization of the vertical tilt evolution,
 454 revealing a distinctive and steady reduction in tilt for developing systems compared to
 455 the persistently large tilt in non-genesis cases.

456 To facilitate the effective use of the MAST framework and the derived dataset, this



457 section provides additional information regarding algorithm limitations, strategy
458 selection, data interpretation, and potential applications.

459 The current tracking algorithm (Level 2) tracks vortex mergers by allowing
460 multiple tracks to connect to a single point at the subsequent time step. However, it does
461 not explicitly account for vortex splitting processes, which may lead to track
462 discontinuation in complex divergence scenarios. Furthermore, the 0.25° horizontal
463 grid spacing of the ERA5 input data restricts the algorithm's detection capabilities
464 primarily to meso- α scale systems (approximately 200–1000 km). The identification of
465 smaller meso- β scale vortices may be limited by this resolution.

466 To offer flexibility for different research needs, the dataset provides outputs
467 derived from three connection strategies (for single-level tracking). It is worth noting
468 that while the statistical characteristics of the dataset (e.g., annual frequency, spatial
469 distribution) remain largely consistent across different strategy combinations,
470 significant deviations may occur in the trajectories of individual cases. Users should
471 select the appropriate strategy based on their specific research objectives. For instance,
472 the strongest-center method is suitable for tracking the evolution of the strongest core,
473 while the Kalman filter method is preferable for kinematic analyses in complex flow.

474 The dataset was generated using specific threshold parameters which serve as
475 recommended default values for mesoscale vortex studies: a minimum vortex lifespan
476 of 24 hours and a maximum tilt of 500 km (during the core interaction period). Users
477 running the provided source code may adjust these thresholds to suit specific sensitivity
478 tests. In terms of data interpretation, users should note the strict definitions of “Genesis”
479 and “Non-genesis” cases used in this dataset. “Genesis cases” refer exclusively to
480 vortex pairs where both the low-level and mid-level vortices maintain continuity and
481 successfully match with a confirmed TC at its formation time. In contrast, “non-genesis
482 cases” are defined as vertically coupled vortex pairs where neither the low-level nor the
483 mid-level vortex persists to match with any observed TC genesis event. It is important
484 to emphasize that partial matches—where one vortex layer successfully persists to the
485 TC formation time while the other does not—are explicitly excluded from both the



486 genesis and non-genesis categories. This deliberate exclusion is designed to filter out
487 track fragments generated during the tracking of actual developing TCs. Classifying an
488 incomplete vortex pair belonging to an eventual TC as a “non-genesis” event would be
489 physically unreasonable and could skew statistical comparisons.

490 Finally, this dataset is primarily suitable for three key applications: (a) statistical
491 analyses of the climatology of the TCG process; (b) analysis of individual genesis cases
492 and the validation of numerical simulations; and (c) serving as a training dataset for
493 artificial intelligence (AI) models.

494 **Code and data availability**

495 All code and data have been published in the form of txt files and are made publicly
496 available through the Zenodo repository at <https://doi.org/10.5281/zenodo.18145741>
497 (Fan et al., 2026).

498 **Author Contributions**

499 LW designed the study and wrote the manuscript. JF performed data processing,
500 designed the framework and drafted the manuscript. ZD joined the initial experiment
501 of this study.

502 **Competing Interests**

503 The authors declare that they have no conflict of interest.

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