



AGPC: An Annual 500 m Grided Population (1990–2020) for China Incorporating 3D Building Volume Dynamics

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Abstract. Gridded population datasets with long-term temporal coverage and fine spatial resolution are essential for earth system modeling, urban studies, and disaster risk assessment. However, existing population products often fail to adequately represent population distribution in vertically developed urban environments. This paper presents the AGPC dataset, a temporally consistent gridded population dataset for China at 500 m spatial resolution covering the period 1990–2020. AGPC was generated using a machine-learning-based dasymetric mapping framework, integrating multi-source covariates including three-dimensional (3D) building volume, building function, and other socioeconomic variables. County-level census data were used for model calibration, while annual provincial population totals from official statistical yearbooks were applied as constraints to ensure temporal consistency. The SHapley Additive exPlanations (SHAP) analysis confirms the dominant roles of commercial activity intensity and 3D building volume in shaping fine-scale population distribution and highlights the added value of vertical and functional information beyond conventional two-dimensional (2D) indicators. Population estimates were produced annually and aggregated to multiple administrative scales for validation. Comprehensive evaluations demonstrate the reliability and accuracy of the dataset across spatial and temporal scales. At the county level, AGPC shows strong agreement with census data, with correlation coefficients R greater than 0.89 and relative RMSE values below 1% for independent testing set in baseline years 2010 and 2020. At finer scales, grid-level population estimates aggregated to the township level exhibit high consistency with independent census data R greater than 0.91, indicating satisfactory capability in capturing finer-scale spatial heterogeneity in population distribution. Multi-temporal validation at the city level for seven time points between 1990 and 2020 yields correlation coefficients ranging from 0.79 to 0.99, indicating stable temporal performance. Comparisons with existing global and regional population datasets show that AGPC better captures population patterns in high-density and vertically developed urban areas, avoiding the density saturation effects commonly observed in 2D products. The AGPC dataset provides a robust and scalable population data resource for long-term socioeconomic and environmental analyses in China, and it is available at <https://doi.org/10.6084/m9.figshare.31338352> (Xu et al., 2026).



1 Introduction

The spatial distribution of population is a fundamental component for understanding human–environment interactions (Leyk et al., 2019; Bondarenko M. et al., 2025). It has been proven that reliable knowledge of population distribution and its spatial-temporal changes serve as a fundamental variable in a broad range of applications, including exposures to climate risk (Chen et al., 2020; Macmanus et al., 2021; Doocy et al., 2007; Simarro et al., 2011), interventions in the natural environments (Feng et al., 2021; Wang et al., 2020), provision of public facilities (Song et al., 2018; Chen et al., 2023), and decision-makings from local interventions to global initiatives (McDonald et al., 2011; Doxsey-Whitfield et al., 2015; Tatem, 2014). The demand for timely and spatially detailed population data is particularly strong in regions experiencing rapid urbanization and internal migration, such as East Asia and Latin America (Chen et al., 2024; Cheng et al., 2022; Tu et al., 2022; Sorichetta et al., 2015). In China, in particular, sustainable urban development and evidence-based policymaking rely heavily on accurate and up-to-date gridded population information (Cheng et al., 2022; Tu et al., 2022).

Traditionally, population information has been derived from censuses and civil registration systems that are reported for irregular administrative units and updated at relatively long intervals, typically every ten years (United Nations, 1980). Such data exhibit substantial heterogeneity in spatial resolution, temporal frequency, and accessibility across countries. Therefore, substantial processing and harmonization are typically required before such data can be used in many analytical workflows (Schroeder, 2007). More importantly, census counts aggregated to administrative units fail to represent the highly uneven spatial distribution of population within those units, especially in densely settled urban areas (Sorichetta et al., 2015). This limitation restricts their direct use in fine-scale spatial analyses and may obscure important subregional disparities.

In recent years, advances in the availability of routinely updated remote sensing observations, increasing computational capacity, and improved statistical and machine-learning techniques have created new opportunities for producing spatially refined population estimates (Chen et al., 2019; Leyk et al., 2019). These approaches, commonly referred to as population downscaling or spatialization, aim to overcome the spatial limitations of census data by redistributing population counts from irregular administrative units to regular grids. The earliest and simplest downscaling approach is areal weighting, also known as proportional reallocation, which redistributes census population evenly to target grid cells based solely on their areal overlap (Goodchild and Lam, 1980; Ciesin, 2018). While computationally efficient, areal weighting implicitly assumes a uniform population distribution within administrative units and therefore fails to capture intra-unit spatial heterogeneity (Salvatore et al., 2005).

To address this limitation, ancillary gridded variables with finer spatial detail, such as nighttime light intensity, impervious surface fraction, and accessibility indicators, have been introduced to inform relative population density. These variables are incorporated through dasymetric mapping methods, which allocate population according to spatially varying weights derived from ancillary data (Zandbergen and Ignizio, 2010; Mennis, 2009; Balk et al., 2006; Salvatore et al., 2005). Correspondingly, a number of global and regional gridded population datasets have been developed using different modeling strategies and



ancillary inputs (Leyk et al., 2019). The Gridded Population of the World (GPW) applies areal weighting to generate population grids at 1 km resolution for multiple benchmark years (Ciesin, 2018). The Global Human Settlement Population
 65 Grid (GHS-POP) refines population distribution by proportionally allocating census counts according to built-up area fractions at resolutions up to 100 m (Freire and Halkia, 2014; Freire et al., 2020). The WorldPop program further incorporates a wide range of ancillary variables and machine-learning techniques to produce annual global population estimates at resolutions of 100 m to 1 km (Tatem, 2017; Bondarenko M. et al., 2025). In contrast to these residential population products, LandScan provides annual ambient population estimates at 1 km resolution, representing average
 70 population presence over day and night (Dobson et al., 2000).

At the national scale, substantial efforts have also been devoted to developing gridded population datasets for China. For examples, Zhao et al. (2020) proposed to use multiple machine learning methods including Convolutional neural network, deep neural network, and random forest, to disaggregate the county-level census population in 2015 into a 1 km gridded estimate. Similarly, a POP2018 gridded population product was developed by Chen et al. (2022) using a log linear spatially
 75 weighted regression to provide the ambient population distribution for mainland China at a 0.01° spatial resolution. More recently, (Chen et al., 2024) develop a population downscaling approach that leverages stacking ensemble learning models to generate gridded population dataset for China from the county census data in 2020 at a 100 m spatial resolution. Some other high-temporal-resolution population maps were also developed by incorporating mobile phone positioning and other digital footprint data (Cheng et al., 2022; Tu et al., 2022). In addition, several studies have used existing gridded population datasets
 80 to project future population distributions under different development scenarios (Chen et al., 2020).

Although substantial progress has been achieved in fine-scale gridded population mapping over the past decades, several critical limitations remain and deserve further investigation. First, most existing dasymetric population models primarily rely on ancillary variables that characterize horizontal land-surface features, such as built-up area density, nighttime light intensity, POI density, and accessibility to transportation or public facilities. While these two-dimensional (2D) indicators
 85 are effective in capturing horizontal spatial variation, they fail to represent the vertical population-carrying capacity of densely built urban environments. In rapidly urbanizing Chinese cities, where high-rise residential buildings dominate urban cores, this limitation often leads to systematic underestimation of population density. Incorporating three-dimensional (3D) building attributes, such as building height and volume, is therefore crucial for more realistically representing population distributions shaped by vertical urban growth.

90 Second, despite the availability of multi-year gridded population datasets, most products do not sufficiently account for the dynamic evolution of the urban built environment over time. Key processes such as the expansion of impervious surfaces, increasing building density, and vertical intensification are poorly captured, largely due to the limited temporal availability of ancillary datasets. For example, GHS-POP relies on GHSL built-up layers that are available only for a few benchmark years (1975, 1990, 2000, and 2014) (Freire et al., 2020; Pesaresi et al., 2016), while the constrained WorldPop product
 95 incorporating building footprints is currently available for only a single reference year (Tatem, 2017). The lack of routinely



updated, temporally consistent, and especially 3D built-environment data remains a major bottleneck for time-series population spatialization.

Third, building functions reflect the spatial organization of human activities and play a fundamental role in shaping population distribution. However, most existing studies infer building functions indirectly using POI density (e.g., Chen et al. (2024)), which is strongly biased toward commercial and public facilities and substantially underrepresents residential functions where the majority of the population resides. This imbalance limits the ability of current models to accurately characterize residential land use and population-carrying capacity, leading to systematic biases in population estimates. Explicitly integrating building functional types into population mapping frameworks is therefore essential for capturing fine-scale spatial heterogeneity, particularly in residential-dominated urban areas.

To address the research and data gaps identified in the existing literature, this study advances conventional dasymetric population modeling by explicitly incorporating routinely updated 3D urban building characteristics, including building height, volume, and coverage ratio. In addition, building functional types within settlement areas are explicitly integrated into the construction of dasymetric weights, enabling the model to better capture the heterogeneous influences of different urban functions on population spatial distribution. Together, these enhancements are designed to improve population estimation accuracy, particularly in high-rise and densely built urban environments. Based on this enhanced framework, we develop an annual gridded population dataset for China (AGPC) at a 500 m spatial resolution covering the period 1990–2020, supported by a recently developed spatiotemporally consistent 3D urban structure dataset (Liu et al., 2025). Machine learning models are employed to relate population counts to 3D building characteristics, building functional types, POI density, and road accessibility. Census and statistical yearbook data are further applied as multilevel constraints to generate five-year gridded population estimates for 1990–2020, which are subsequently interpolated to produce a temporally continuous annual population series. Grounded in multi-year 3D building information and constrained by official population statistics, the AGPC dataset effectively captures the population-carrying capacity of urban 3D building space and its evolution over time. It provides a more reliable representation of population dynamics under rapid urbanization and offers robust data support for applications such as population change analysis, disaster exposure assessment, and urban planning.

2 Data and preprocessing

The data used to derive the AGPC dataset consist of four main components. County-level population census data are used as the dependent variable for dasymetric population modeling. Urban environmental indicators, including routinely updated 3D building characteristics that represent population-carrying capacity, are incorporated as covariates in the modeling framework. Annual provincial-level population totals from official statistical yearbooks spanning 1990–2020 are applied as constraints to ensure consistency between gridded population estimates and census-based demographic records for each year.



In addition, town-level population statistics and widely used gridded population datasets are collected for independent validation and comparative evaluation of the resulting population estimates.

2.1 Census and statistical data for population

County-level population census data for mainland China in 2010 and 2020 were obtained from the Sixth and Seventh National Population Censuses of China (Population Census Office under the State Council and National Bureau of Statistics, 2012b; Office of the Leading Group of the State Council for the Seventh National Population Census, 2022b). Population statistics for 2,848 counties across 31 provinces were digitized and linked to corresponding county boundary data acquired from the Tianditu platform (www.tianditu.gov.cn) (Fig. 1-a and b). Hong Kong, Macao, and Taiwan were excluded due to differences in census systems and data availability. These county-level census data were used to train and validate the dasymetric population model using a five-fold cross-validation strategy. In each fold, the data were randomly split into 70% for model training and 30% for validation, and the procedure was repeated five times to comprehensively assess model performance.

Because fine-resolution ground-truth population data are rarely available for direct validation (Leyk et al., 2019), town-level population statistics were collected from the Tabulation on the 2010 and 2020 China Population Census by Township (Population Census Office under the State Council and National Bureau of Statistics, 2012a; Office of the Leading Group of the State Council for the Seventh National Population Census, 2022a) for independent assessment. Approximately 6,800 town-level records for 2010 (Fig. 1-c) and 3,830 records for 2020 (Fig. 1-d), representing a finer spatial scale than the county-level training data, were used to evaluate the accuracy of gridded population estimates, with a particular focus on the model's ability to capture intra-county spatial heterogeneity.

In addition, annual provincial-level population totals for the period 1990–2020 were obtained from official national statistical yearbooks (<https://www.stats.gov.cn/english/>) and applied as constraints to ensure consistency between gridded population estimates and reported demographic statistics for each year. Furthermore, a total of 1,501 city-level population records collected from provincial statistical yearbooks for various years were used to validate the temporal consistency and long-term performance of the gridded population time series.

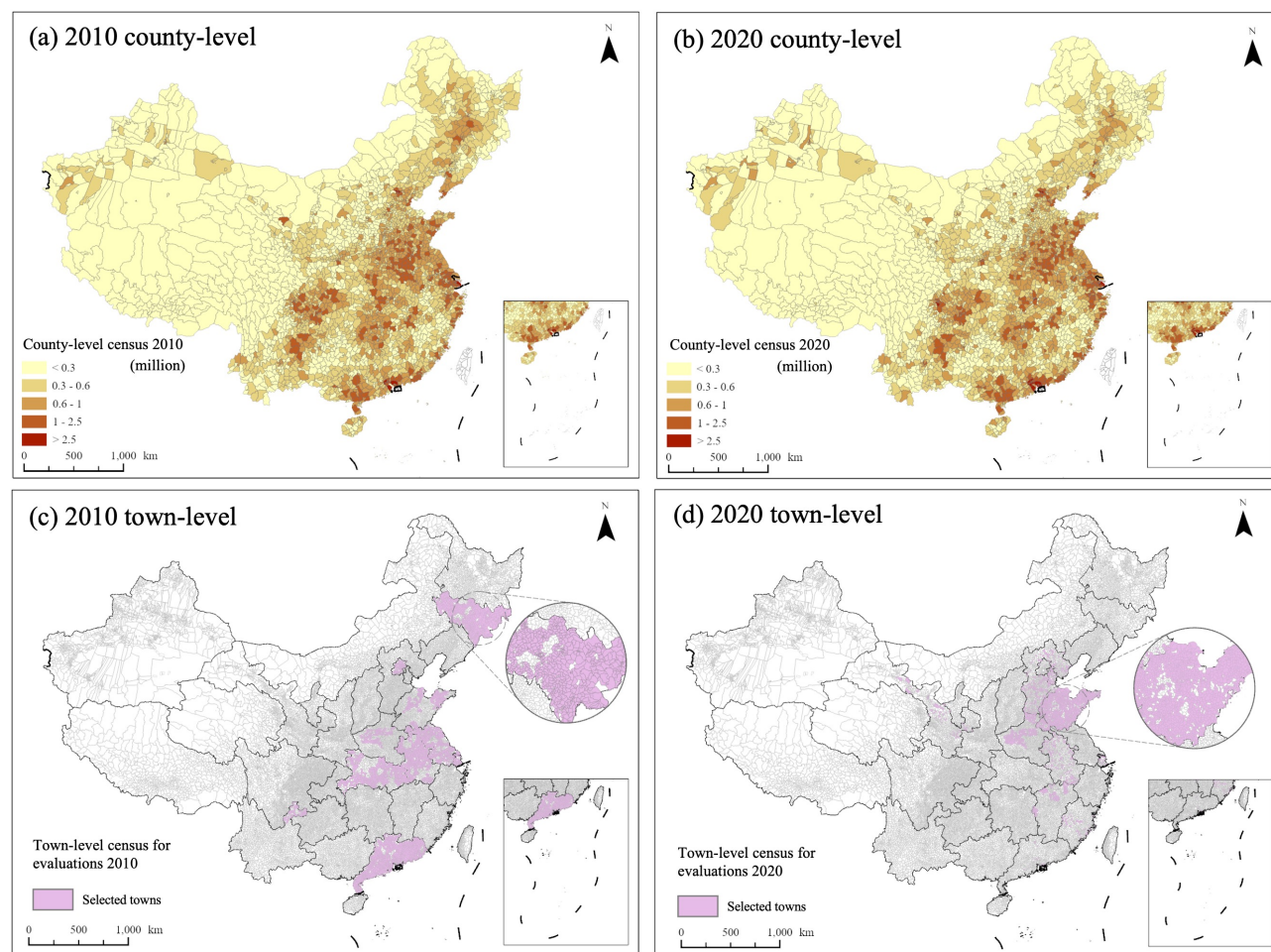


Fig. 1 Population census data from Sixth and seventh National Population Census of China, including county-level population in 2010 (a) and 2020 (b), and selected town-level population in 2010 (c) and 2020 (d)

Population data at multiple spatial scales jointly constitute a hierarchical consistency-control framework for gridded population allocation, with each administrative level playing a distinct role in model training, constraint enforcement, and validation. Fig. 2 illustrates the hierarchical structure and functional roles of population statistics at different administrative levels within the proposed dasymetric modeling framework. For the baseline years (2010 and 2020), county-level census data are used both as the dependent variable for model training and as total-population constraints for grid-level allocation, while town-level population statistics provide an independent dataset for validating the baseline gridded estimates at a finer spatial scale. For multi-temporal population estimation, provincial-level population statistics are applied as annual total-population constraints to ensure interannual consistency of the gridded time series, and city-level population data are used to evaluate the accuracy and temporal stability of the resulting multi-year gridded population estimates.

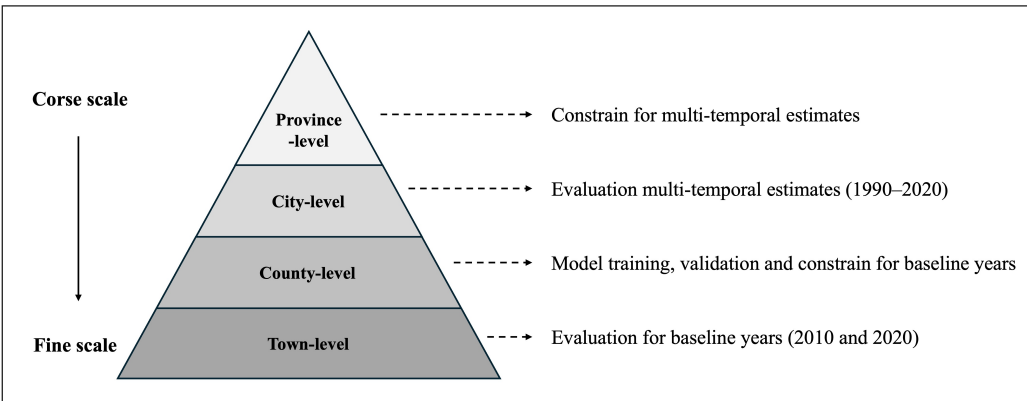


Fig. 2 Hierarchy of population census data and their respective roles in this study

165 **2.2 Urban environmental indicators**

Previous studies have consistently demonstrated that population distribution is strongly associated with both natural and socioeconomic factors (Cheng et al., 2022; Tu et al., 2022; Leyk et al., 2019). Building on this foundation, this study further incorporates 3D urban building information to better characterize the built environment and the vertical heterogeneity of population aggregation. Moreover, population distribution is known to exhibit spatial autocorrelation, whereby environmental conditions in neighboring grid cells may influence local population patterns (Chen et al., 2020). Moreover, the building functional types within settlement areas are explicitly integrated into the modeling, enabling the model to better capture the heterogeneous influences of different urban functions on population spatial distribution. Accordingly, five categories of urban environmental variables, comprising a total of 21 indicators, were selected as model inputs for dasymetric population mapping (Table 1). Together, these variables represent urban 3D structure, building function, socioeconomic activity intensity, transportation accessibility, and natural terrain conditions, providing a multidimensional basis for population disaggregation.

Table 1 Environmental indicators and datasets used in this study

Data category	Indicator	Data format	Source
3D urban structure	Building volume, Volume_nei, Building height, Height_nei	Grided raster (500 m resolution)	Liu et al. (2025); Wu et al. (2026)
POI density	ResidencePOI_den, RecreationPOI_den, ServicePOI_den,	Vector point	Gaode Map (https://amap.com/)



	EducationPOI_den, CommercialPOI_den, OfficePOI_den, MedicalPOI_den, OpenspacePOI_den		
Building function	Residential_frac, Commercial_frac, Industrial_frac, Openspace_frac	Vector polygon	Li et al. (2025)
Proximity to road network	PrimaryRoad_dis SecondaryRoad_dis Tertiary_dis	Vector polyline	OpenStreetMap (https://www.openstreetmap.org/)
Topographic information	DEM, Slop	Grided raster (1 arc-second resolution)	SRTM DEM Nasa Jet Propulsion Laboratory (Jpl) (2013)

Note: The suffix _nei denotes neighborhood effects, _frac indicates fraction-based indicators, _den represents density-based indicators, and _dis indicates distance-based metrics.

180 **2.2.1 3D urban structure dataset**

Building-related variables constitute a core component of the grided population modeling framework. Unlike conventional approaches that rely primarily on 2D land-use indicators or nighttime light data, this study explicitly incorporates 3D urban structure information to quantify the population-carrying capacity of vertical urban space. The 3D building variables were derived from the spatiotemporal GUS-3D dataset developed by our research team (Liu et al., 2025; Wu et al., 2026), which provides global coverage at a 500 m spatial resolution and includes building volume, building footprint ratio, and footprint-area-weighted mean building height for each grid cell. The dataset spans 1990–2020 at five-year intervals, enabling dynamic characterization of the spatiotemporal evolution of urban 3D structure. In this study, building volume and building height were selected as key indicators of population-carrying capacity. To account for neighborhood effects, mean building volume and mean building height within a 5×5 grid-cell window were also included as additional model inputs.

190 **2.2.2 Points of interest data**

POIs serve as spatial proxies for urban functional facilities and socioeconomic activities and can partially reflect the intensity and type of human activities within cities. POI data were collected from Gaode Map (<https://amap.com>), yielding a total of 43,061,000 records. The original 23 POI categories defined by Gaode Map were aggregated into eight functional types to



better capture major urban activity patterns, e.g., residential, recreation, life services, education, commercial, office, medical, and open space. Details of the reclassification and record counts are provided in Table 2. Kernel density estimation (KDE) was applied to each POI category to generate density surfaces at a 500 m spatial resolution. A quartic kernel function (Silverman, 2018) with a uniform bandwidth of 2,000 m was used for all KDE calculations.

Table 2 Summary and classified scheme of points of interest used in this study

Reclassified category	Original category	Numbers of POI
Residential	Accommodation services, Business residential buildings	1,614,411
Recreation	Sports and leisure services	683,836
Life services	Motorcycle services, Automobile services, Automobile maintenance, Life services, Catering services	10,999,972
Education	Science, education, and cultural services	1,238,720
Commercial	Shopping services, Automobile sales, Financial and insurance services	12,231,079
Office	Government agencies, Companies and enterprises	4,115,437
Medical	Healthcare services	1,278,173
Open space	Scenic attractions, Public facilities, Road-related facilities, Transportation facilities	2,073,618

2.2.3 Building function dataset

As discussed above, although POI intensity can partially reflect the intensity and types of human activities, residential POIs are typically underrepresented in current map service datasets compared with commercial and public facilities. This imbalance arises from the data production mechanisms of commercial mapping platforms, which tend to prioritize economically active and publicly accessible locations. As a result, large residential compounds are often represented by only a limited number of residential POIs and are frequently surrounded by dense clusters of commercial POIs, leading to a dilution of residential signals. Consequently, it is necessary to explicitly incorporate building functional information into the modeling framework to mitigate the systematic biases introduced by the imbalance in POI representation. Building functional type information in this study was derived from the an enhanced essential urban land use categories (EULUC–China 2.0) dataset (Li et al., 2025), which provides detailed level-I urban land-use classifications (residential, commercial, industrial, public, and transportation) in vector format. The dataset was rasterized to a 500 m spatial resolution to ensure consistency with other covariates, with each grid representing the proportional composition of functional types. The rasterized functional layers were further reviewed and corrected through visual interpretation of high-resolution satellite imagery to reduce misclassification and improve the reliability of residential function representation.



2.2.4 Road network information

Road networks are a core component of urban transportation infrastructure and are closely associated with human mobility and daily activities, thereby exerting a strong influence on population agglomeration and spatial distribution. Road network data for China were obtained from OpenStreetMap (<https://www.openstreetmap.org/>). To represent major transportation structures and routine commuting patterns, only primary, secondary, and tertiary roads were retained. Road accessibility was quantified using Euclidean distance, calculated separately as the distance from the center of each 500 m grid cell to the nearest road of each class, enabling the model to capture the differentiated effects of road hierarchy on population distribution.

2.2.5 Topographic information

Topographic conditions play an important role in shaping settlement patterns and population distribution. Digital elevation models (DEMs) are therefore widely used in gridded population studies (Ye et al., 2019; Tatem, 2017). Among topographic variables, slope reflects surface suitability for urban development, as flatter terrain generally supports higher population concentrations. DEM data were obtained from the Shuttle Radar Topography Mission (SRTM), jointly released by the National Aeronautics and Space Administration (NASA) and the National Imagery and Mapping Agency (NIMA), at a spatial resolution of 1 arc-second (Nasa Jet Propulsion Laboratory (Jpl), 2013), and accessed via Google Earth Engine. Slope was derived from the DEM and resampled to a 500 m resolution using the nearest-neighbor method to ensure consistency with other model inputs.

3 Methodology

This study aims to generate an annual gridded population dataset (AGPC) for mainland China by explicitly accounting for the population-carrying capacity of 3D building space, building functions, and their temporal evolution. The methodological framework consists of two main stages. First, dasymetric population mapping models were trained and validated for the baseline years 2010 and 2020, when detailed census data and comprehensive urban environmental covariates are available. Multiple machine learning algorithms were employed to learn the relationships between population counts and explanatory variables at the county level. Second, the trained baseline models were extended to the period 1990–2020 at five-year intervals by incorporating time-varying urban environmental indicators. The resulting dasymetric weights were further interpolated to an annual scale within each five-year interval to capture continuous temporal dynamics. Annual gridded population estimates were then allocated and constrained using official provincial-level population totals to ensure consistency with reported demographic statistics. The complete workflow is illustrated in Fig. 3, and the detailed modeling procedures are described in the following subsections.

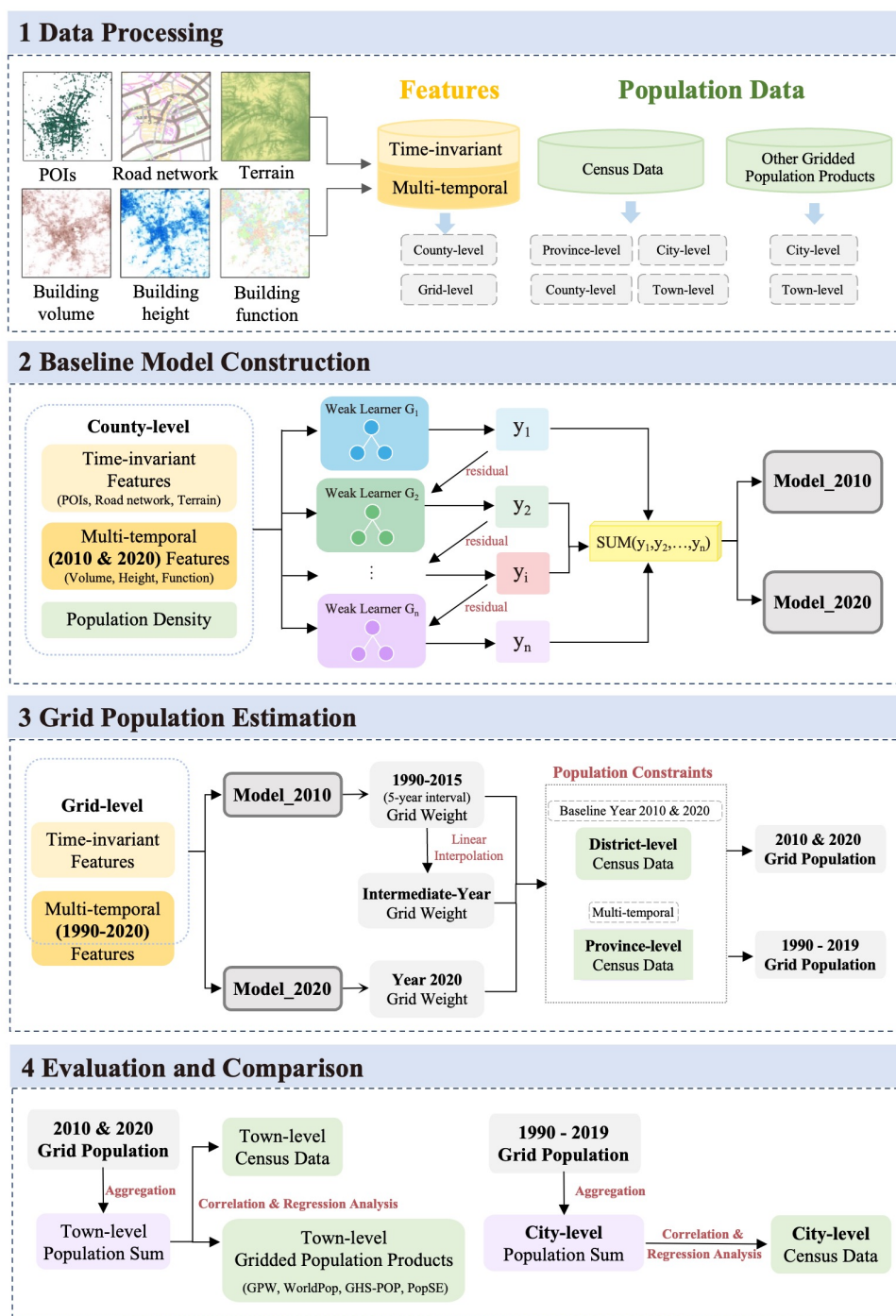


Fig. 3 The overall workflow of deriving the AGPC dataset



3.1 Determine the inhabited region

245 In this study, census population counts were disaggregated only into inhabited regions, following an approach similar to the
 constrained WorldPop framework (Tatem, 2017). Inhabited regions were defined as areas within built-up zones, represented
 by the presence of buildings. This restriction was applied to avoid allocating population to uninhabited land covers and to
 improve the realism of fine-scale population distribution. To capture the spatiotemporal dynamics of inhabited regions over
 the study period (1990–2020), building footprint ratio data from the GUS-3D dataset (Liu et al., 2025; Wu et al., 2026) were
 250 integrated with impervious surface information from the GAUD dataset (Liu et al., 2020). The GUS-3D dataset provides the
 proportion of building footprints within each 500 m grid cell, while GAUD offers binary impervious surface data at a 30 m
 resolution. Although the GUS-3D building footprint ratio was derived from GAUD impervious surfaces, small and
 fragmented impervious features were partially filtered during dataset construction. To compensate for this omission,
 impervious surface fractions were recalculated at the 500 m grid level based on the original 30 m GAUD data and merged
 255 with the GUS-3D building footprint ratios to construct a more complete inhabited-region mask for each year. In addition,
 fragmented settlements not captured by the GAUD dataset, such as small rural villages in mountainous areas (e.g., parts of
 Sichuan Province), were supplemented through manual delineation using high-resolution satellite imagery from Google
 Maps. For these manually identified built-up areas, building height and volume were assigned based on visual interpretation
 to ensure consistency with surrounding settlement patterns. This supplementary procedure improves the spatial completeness
 260 and continuity of inhabited regions, particularly in sparsely populated and topographically complex areas.

3.2 Preparation for county-level covariates and population density

Following established practices in gridded population modeling (Leyk et al., 2019), this study first models the relationship
 between population density and multidimensional geographic covariates at the county level, and subsequently transfers the
 learned relationship to the 500 m grid scale for population spatialization. Accordingly, both population statistics and
 265 environmental variables were aggregated to the county level for model development. Population density, rather than total
 population counts, was adopted as the dependent variable, as it is more appropriate for cross-scale comparison and spatial
 modeling (Ye et al., 2019; Cheng et al., 2022).

County-level population density was calculated by dividing the census population of each county by the area of its inhabited
 region. A total of 21 environmental indicators (Table 1) were used as explanatory variables in the dasymetric population
 270 model. Population density, rather than total population counts, was selected as the dependent variable, as it has been widely
 demonstrated to be more suitable for cross-scale comparison and spatial modeling than absolute population totals (Ye et al.,
 2019; Cheng et al., 2022). County-level population density was calculated by dividing the census population count of each
 county by the area of its inhabited region. A total of 21 indicators (Table 1) were used as covariates to construct the
 dasymetric population model.



275 To ensure comparability among variables with different units, magnitudes, and distributions, a unified normalization procedure was applied to both the explanatory variables and the response variable. First, all 500 m resolution environmental layers were aggregated to the county level by computing their mean values within each county. Given the pronounced long-tailed distributions observed in most variables, a two-step normalization strategy was employed to reduce scale effects and limit the influence of extreme values. Specifically, each variable was first log-transformed:

$$280 \quad x'_{ij} = \ln(x_{ij} + 1) \quad (1)$$

where x_{ij} denotes the original value of feature j in county i , and x'_{ij} is the log-transformed value. Subsequently, scale normalization was performed by dividing each log-transformed variable by its national 95th percentile:

$$\tilde{x}_{ij} = \frac{x'_{ij}}{Q_{0.95}(x'_j)} \quad (2)$$

where $\tilde{x}_{ij}$ represents the standardized feature value used as model input, and $Q_{0.95}(\cdot)$ denotes the 95th percentile of the log-transformed feature j across all counties nationwide. The resulting standardized county-level environmental indicators were used as predictors in the machine learning models, while the log-transformed county-level population density served as the response variable. To ensure consistency between model training and application, the same transformation parameters derived at the county level were subsequently applied to the grid-level covariates during population estimation. This procedure guarantees scale consistency and enhances the robustness and interpretability of the final gridded population predictions.

3.3 Weight calculation by machine learning methods

To model the relationship between population density and environmental covariates, we evaluated three machine learning regression approaches: eXtreme Gradient Boosting (XGBoost) (Chen and Guestrin, 2016), a support vector regression (SVR) (Vapnik, 1997), and a random forest (Breiman, 2001). After extensive testing, XGBoost consistently achieved the lowest RMSE and highest correlation coefficients (see Table S1 in Supplementary Materials) in training and validation phases and was thus selected as the final modeling approach.

XGBoost is an ensemble method based on Gradient Boosting Decision Trees (GBDT), capable of capturing nonlinear relationships and robust against overfitting. Unlike traditional GBDT, XGBoost incorporates both first- and second-order derivatives of the loss function via a second-order Taylor expansion, enabling more precise optimization. The model is trained iteratively, sequentially adding Classification and Regression Trees (CARTs) as weak learners to progressively correct residual errors. Mathematically, the XGBoost can be expressed the prediction as an additive ensemble of decision trees:



$$\hat{y}_i = \sum_{t=1}^n f_t(x_i) \quad f_t \in F \quad (3)$$

where n denotes the number of trees, x_i is the input feature vector of the i -th sample; $\hat{y}_i$ is the corresponding predicted value; and F represents the space of all possible CART. Each new tree is trained to fit the residuals of the existing ensemble, progressively approximating the true target function. The objective function of XGBoost combines a loss term and a regularization term:

$$L = \sum_{i=1}^N l(y_i, \hat{y}_i) + \sum_{k=1}^K \Omega(f_k) \quad (4)$$

where $l(y_i, \hat{y}_i)$ quantifies the prediction error for the i -th sample measuring the discrepancy between observed value y_i and its corresponding prediction $\hat{y}_i$; N is the total number of data samples; K represents the number of decision trees in the ensemble; and $\Omega(f_k)$ is the regularization term that penalizes the complexity of the k -th decision tree. The regularization term is defined as:

$$\Omega(f) = \gamma T + \frac{1}{2} \lambda \sum_{j=1}^T \omega_j^2 \quad (5)$$

where T is the number of leaf nodes; ω_j represents the weight of the j -th leafs; and γ and λ are regularization hyperparameters controlling model complexity. This formulation constrains both tree structure and leaf weights, mitigating overfitting and enhancing generalization. Hyperparameters of the XGBoost model were optimized using a grid search with K-fold cross-validation (GridSearchCV), which evaluates all parameter combinations and selects the configuration that maximizes performance on validation sets. This procedure ensures robust model training and improves predictive accuracy when applied to unseen data.

3.4 Calculation of grided population for the baseline years of 2010 and 2020

Dasymetric population mapping exploits the relationship between population density and environmental characteristics to generate spatially explicit weighting surfaces, which indicate the relative likelihood of population presence. These weights are subsequently used to redistribute census population counts to finer grid cells proportionally to their relative magnitude.

3.4.1 Generation of population weight

Grid-level environmental covariates, normalized as described in Section 3.2, were input into the trained XGBoost regression model to predict log-transformed population density for each 500 m grid cell. An inverse logarithmic transformation was then applied to recover weights proportional to population density:



$$W_{grid} = e^{y'} - 1 \quad (6)$$

where W_{grid} denotes the weight that represents the relative population-carrying capacity and attractiveness of each grid cell within its corresponding county; and y' is the XGBoost model output for that grid.

3.4.2 Allocation the girded population

Following weight generation, county-level census totals were imposed as constraints. Population was allocated to individual grid cells proportionally to their relative weights, resulting in the final high-resolution gridded population estimates:

$$P_{grid} = \frac{P_{county} \times W_{grid}}{\sum W_{grid}} \quad (7)$$

where P_{county} denotes the total population of a given county, and P_{grid} represents the estimated population for an individual grid cell within that county. This approach ensures that the sum of grid-level populations within each county matches the official census count while reflecting spatial heterogeneity informed by environmental covariates.

3.5. Deriving the time-series grided population dynamics

To capture multi-temporal population dynamics, the spatial extent of inhabited regions was updated in accordance with changes in building footprint and built-up area data, allowing the model to dynamically reflect urban expansion. This approach enables the population maps to represent both dense concentrations in urban cores and progressive in-filling in peripheral areas associated with outward growth of the built environment.

Most geographic covariates, such as topography, POI density, and road accessibility, were assumed to remain temporally invariant over the study period, while 3D building volume, building height, and their neighborhood effects were updated at each time step. Under this assumption, the trained XGBoost model serves as a transferable spatiotemporal predictor. Multi-temporal population distribution weights were estimated at five-year intervals using the time-varying 3D building characteristics and built-up area information from the GUS-3D dataset. To generate annual population weights, linear interpolation was applied between consecutive five-year intervals:

$$W_{grid,t+k} = W_{grid,t+i} + \frac{1}{5}(W_{grid,t+5} - W_{grid,t}) \quad k = 1,2,3,4 \quad (8)$$

where $W_{grid, t+k}$ denotes the interpolated population weight of a grid in year $t + k$ ($t < y < t + 5$). This procedure produces a temporally continuous sequence of grid-level population weights.

During population allocation, annual provincial-level population totals from official statistical yearbooks were used as constraints. Grid-level population weights were converted into absolute population counts through weighted redistribution within each province, ensuring that the multi-temporal gridded estimates remain fully consistent with official statistics. The



allocation method follows the same formulation applied for the baseline year, maintaining spatial population conservation, statistical consistency, and temporal continuity. Through this approach, a continuous annual gridded population dataset at 500 m resolution was produced for mainland China from 1990 to 2020, extending population mapping from static representations to dynamic spatiotemporal modeling.

3.6 Explanatory analysis and model validation

3.6.1 SHAP model for explanatory analysis

Although ensemble-based machine learning models, such as XGBoost, often demonstrate strong predictive performance, their increasing structural complexity tends to limit interpretability, rendering the underlying decision-making process a “black box” (Meddage et al., 2022). To enhance model transparency and interpretability, this study adopts the Shapley Additive exPlanations (SHAP) framework (Lundberg and Lee, 2017) to explain the population spatialization models.

SHAP is a model-agnostic interpretability approach grounded in cooperative game theory, in which Shapley values are used to fairly attribute a model’s prediction to its input features. Within this framework, a single model prediction is formulated as a cooperative game: each explanatory variable is treated as a “player,” the predicted outcome represents the total “payoff,” and the contribution of each variable corresponds to its allocated share of that payoff. Specifically, the contribution of a given variable is defined as the average marginal change in the model output when the variable is added to all possible subsets of the remaining variables. This formulation enables SHAP to explicitly account for feature interactions and nonlinear effects, ensuring consistency and local accuracy in feature attribution (Mosca et al., 2022; Parsa et al., 2020).

The Shapley value for each explanatory variable is mathematically defined as:

$$SHAP_i = \sum_{S \subset N(i)} \frac{|S|! (n - |S| - 1)!}{n!} [f(S \cup \{i\}) - f(S)] \quad (9)$$

where $SHAP_i$ denotes the contribution of the explanatory variable i ; N is the full set of n explanatory variables; and $f(S \cup \{i\})$ and $f(S)$ represent the model outputs with and without covariate i , respectively.

In this study, SHAP analysis was implemented using the TreeSHAP algorithm, which is specifically designed for tree-based ensemble models such as XGBoost and enables exact and computationally efficient estimation of Shapley values. SHAP values were calculated for all samples in the training dataset to quantify the contribution of each explanatory variable to predicted population density. For global interpretation, mean absolute SHAP values were aggregated across samples to rank overall feature importance. For local interpretation, sample-level SHAP values were examined to explore spatially heterogeneous effects and nonlinear response patterns at the county level. In addition, SHAP dependence plots were employed to investigate potential interaction effects among key predictors, particularly 3D building characteristics, and



building functions, and population density. All SHAP analyses were conducted using the SHAP Python library with default parameter settings.

385 3.6.2 Model validation and evaluation metrics

As fine-resolution population census data are rarely available over long temporal spans, multi-scale validation strategies were adopted in this study. For the baseline years 2010 and 2020, town-level population census data which represents a finer spatial resolution than the county-level data used for model training were used to evaluate the accuracy of the gridded population estimates. For other years, city-level population statistics compiled from multiple statistical yearbooks were employed to assess the performance of the annually generated gridded population products.

Model performance was quantitatively evaluated using three commonly adopted accuracy metrics: the Pearson correlation coefficient (R), the root mean squared error ($RMSE$), and the relative root mean squared error ($rRMSE$). The Pearson correlation coefficient (R) measures the strength of the linear association between predicted population values and corresponding census observations, with values ranging from -1 to 1 . Values closer to 1 indicate stronger agreement between model estimates and reference data. $RMSE$ reflects the average magnitude of prediction errors and provides an intuitive measure of overall model accuracy, with lower values indicating better predictive performance. To account for differences in population magnitude and scale across validation units, $rRMSE$ was calculated by normalizing $RMSE$ by the 95th percentile of the observed population values, thereby expressing prediction errors as a percentage of the overall population level. The 95th percentile was adopted as a robust scaling factor given the highly skewed and near-exponential distribution of population data. The evaluation metrics are defined as follows:

$$R_{y,\hat{y}} = \frac{\sum_{i=1}^n (y_i - \bar{y})(\hat{y}_i - \bar{\hat{y}})}{\sqrt{\sum_{i=1}^n (y_i - \bar{y})^2} \sqrt{\sum_{i=1}^n (\hat{y}_i - \bar{\hat{y}})^2}} \quad (10)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2} \quad (11)$$

$$rRMSE = \frac{RMSE}{Q_{0.95}(y)} \times 100\% \quad (12)$$

where $\hat{y}_i$ denotes the model-predicted population value for sample i ; y_i represents the corresponding observed census population value; $\bar{\hat{y}}$ and $\bar{y}$ are the mean predicted and observed values, respectively; and n is the total number of validation samples; $Q_{0.95}(y)$ represents the 95th percentile of the observed population.



4 Results and discussion

4.1 Model assessments and comparisons

4.1.1 Model evaluation for training and validation phases

410 As described in Section 2, the county-level census dataset (totally 2,845 samples) was randomly divided into a training set (1,991 samples, 70%) and an independent validation set (854 samples, 30%) for both 2010 and 2020. To systematically optimize model performance and reduce the risk of overfitting, a GridSearchCV five-fold cross-validation strategy was employed to identify the optimal hyperparameter configuration. The final model adopted 120 trees with a learning rate of 0.05, a maximum tree depth of 5, and a subsample ratio of 0.7, representing a balance between model complexity and
415 generalization capability.

Quantitative evaluation results for both training and validation phases are summarized in Table 3, while scatter plots comparing predicted and census-based population densities are illustrated in Fig. 4. For the training dataset, Pearson correlation coefficients R between observed and predicted population density reached 0.9590 in 2010 and 0.9656 in 2020. For the independent validation dataset, the corresponding correlation coefficients remained high, at 0.8915 for 2010 and
420 0.9022 for 2020. The consistently strong correlations across both years indicate that the XGBoost model effectively captures the complex and nonlinear relationships between population density and multiple explanatory variables, while maintaining robust predictive performance when applied to unseen samples. The scatter plots further confirm this conclusion. Most data points are closely clustered around the ideal 1:1 line ($y = x$), with no evident systematic overestimation or underestimation across the population density spectrum. The slightly increased dispersion observed in the validation datasets relative to the
425 training datasets is expected and reflects the inherent heterogeneity of county-level population patterns, rather than model instability or structural bias.

Table 3 Quantitative evaluation of model performance for baseline years 2010 and 2020

Year	Sample set	<i>RMSE</i> (persons/km ²)	<i>R</i>	<i>rRMSE</i> (%)
2010	Training	1086.46	0.9590	0.12
	Validation	1610.11	0.8915	0.21
2020	Training	812.86	0.9656	0.10
	Validation	1305.03	0.9022	0.16

In terms of error-based metrics, *RMSE* values for the training datasets were 1086.46 person/km² in 2010 and 812.86
430 person/km² in 2020, while *RMSE* values for the validation datasets increased moderately to 1610.11 person/km² and 1305.03 person/km², respectively. More importantly, relative *RMSE* (*rRMSE*) values for all cases remained below 1%, indicating that prediction errors account for only a small proportion of the overall population magnitude. This level of relative error is



generally considered acceptable and often favorable in large-scale population spatialization studies characterized by substantial spatial heterogeneity. Overall, the combined evidence from correlation analysis, error metrics, and scatter plot diagnostics demonstrates that the proposed XGBoost model achieves both high predictive accuracy and stable generalization performance across different census years. The rigorously calibrated modeling framework therefore provides a reliable foundation for subsequent high-resolution population spatialization and spatiotemporal analysis.

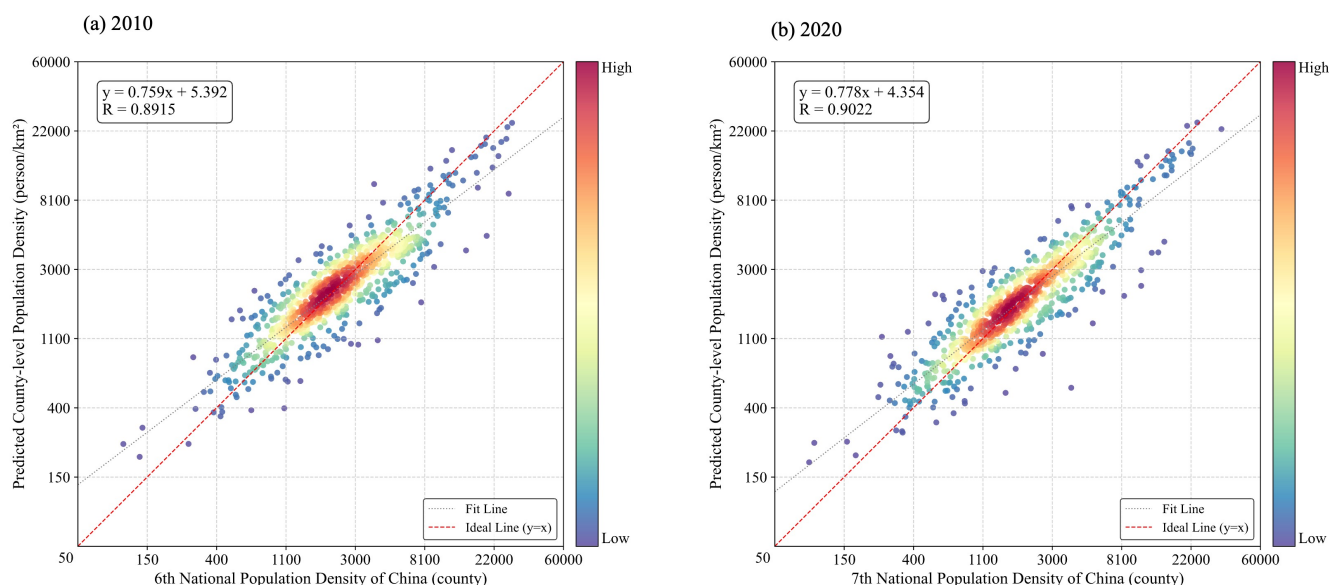


Fig. 4 Comparison between predicted and census population densities at the county-level for 2010 (a) and 2020 (b)

4.1.2 Evaluation for sub-scale estimates

To further evaluate the ability of the trained model to capture finer-scale spatial heterogeneity in population distribution and thereby demonstrate its reliability for gridded population disaggregation, the model was applied to grid-level population estimation and validated against contemporaneous township-level census data, which represent a finer spatial resolution than that used during model training. A total of 6,800 valid township-level population census samples were compiled for 2010 and 3,830 samples for 2020, with a relatively uniform spatial distribution on nationwide, providing a robust basis for model assessment and validation.

The consistency between model-derived population estimates and observed township-level census data was evaluated using the Pearson correlation coefficient R . As shown in Fig. 5, the predicted and observed population values exhibit a very strong nationwide agreement. The Pearson correlation coefficient R reaches 0.9113 for 2010 and 0.9341 for 2020, indicating that the model successfully captures fine-scale spatial variability in population distribution beyond the spatial resolution at which it was trained. In addition to the strong correlation, the fitted linear regression line exhibits slope of 0.986 for 2010 and 0.914 for 2020, which are both very close to the ideal value of 1. This result suggests that the grid-level population estimates are not only highly consistent with township-level census observations in terms of spatial variation, but also largely unbiased in



455 magnitude. In other words, the model preserves population totals and relative differences across townships without systematic overestimation or underestimation.

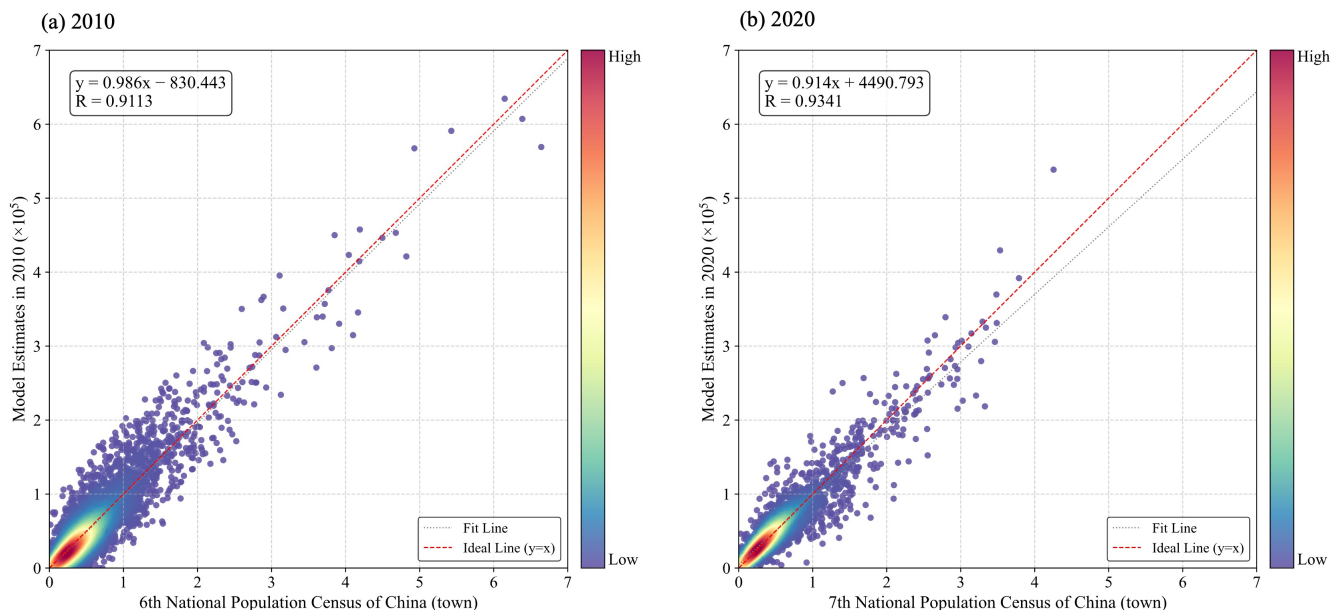


Fig. 5 Comparison between predicted and census population densities at the township level for 2010 (a) and 2020 (b).

4.1.3 Evaluation for multi-temporal estimates

To assess the reliability of the multi-temporal population distribution results, the predicted population maps at six five-year intervals between 1990 and 2020 were systematically validated. Because population estimates for these years were constrained by provincial-level total population counts, model validation was deliberately conducted at the prefecture-level city scale, which represents a finer spatial resolution than the imposed constraint. This evaluation strategy ensures that the validation reflects the robustness and credibility of the spatialized population patterns, rather than merely confirming consistency with the enforced population totals. Specifically, gridded population estimates were spatially aggregated within prefecture-level city boundaries and compared with corresponding city-level population statistics obtained from official statistical yearbooks for the same years. Model performance was assessed using the Pearson correlation coefficient R and linear regression analysis to quantify the strength of agreement and consistency between model-derived estimates and statistical records.

The validation results (Fig. 6 and Table S2) indicate that the model achieves consistently strong performance across all examined time points, with Pearson correlation coefficients ranging from 0.79 to 0.99. The particularly high correlation coefficients observed for the baseline years 2010 and 2020, approaching 1.0, can be attributed to the modeling design: county-level census population counts were directly used as training targets and subsequently disaggregated to grid cells for these two years, such that city-level aggregates of the gridded estimates closely match official city-level statistics. Beyond



these baseline years, the model continues to exhibit strong agreement with independent city-level data, indicating that the
 475 XGBoost-based framework maintains good temporal stability and spatial generalization capability when extrapolated across
 multiple decades. Notably, the Pearson correlation coefficients display an overall increasing trend over time, suggesting
 progressively improved predictive performance in more recent years. This improvement is likely driven by the enhanced
 availability, completeness, and accuracy of building-related and built-up area data in later periods, which provide stronger
 and more reliable explanatory signals for modeling population distribution dynamics.

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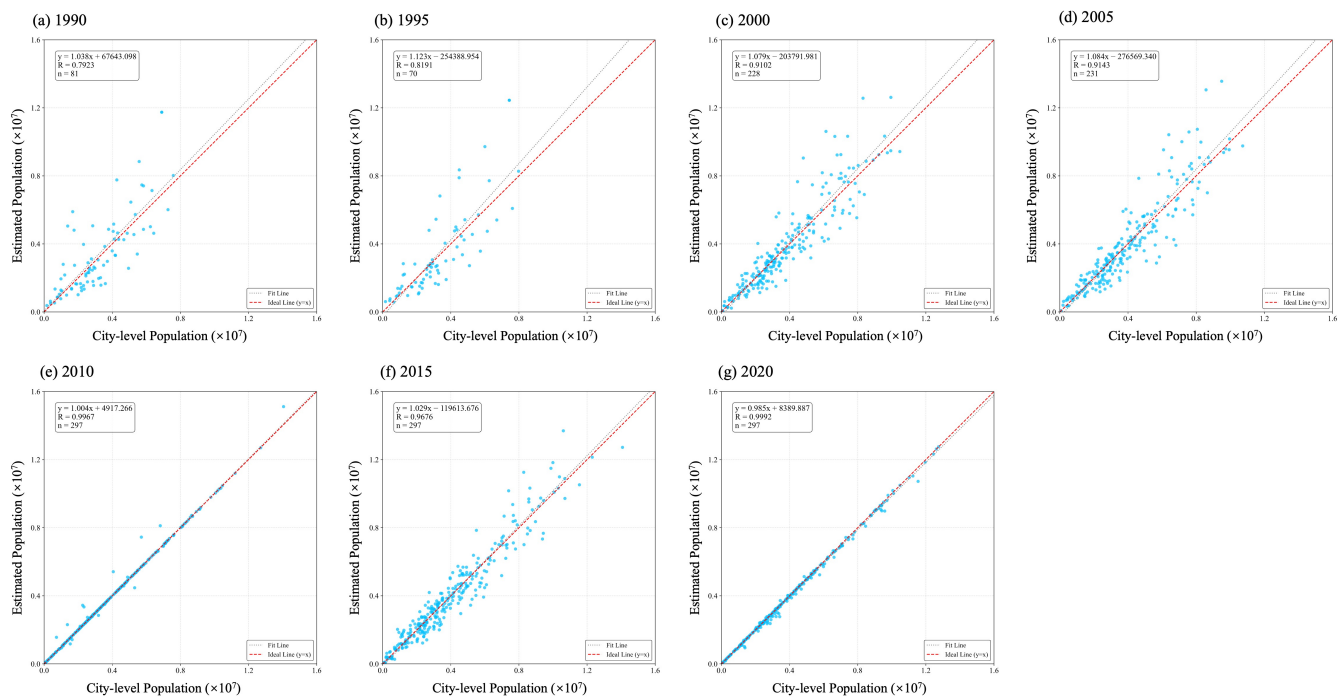


Fig. 6 Multi-temporal evaluations of grid population estimate at city-level

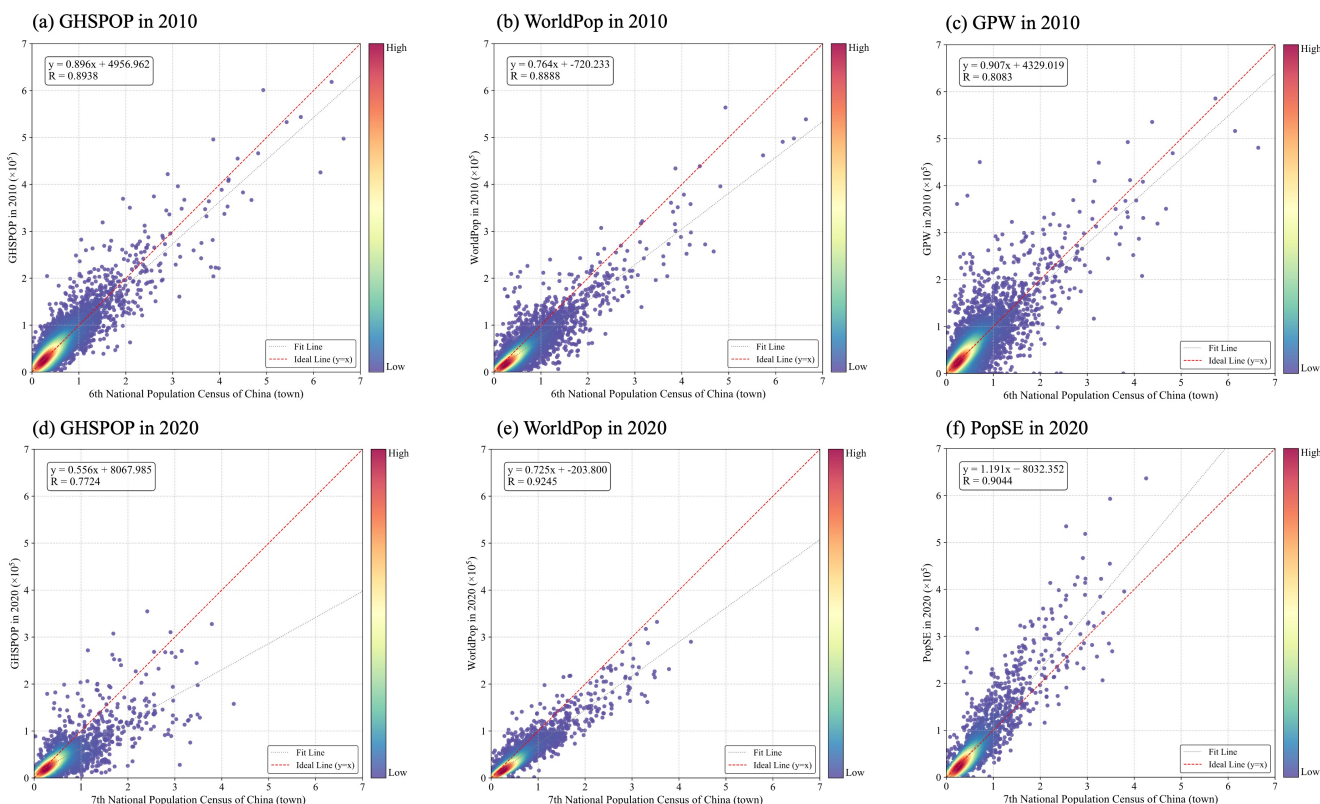
It should be noted that the fitting accuracy for earlier periods (e.g., 1990 and 1995) is comparatively lower, which can be
 primarily attributed to temporal inconsistencies in the input feature data. In this study, several covariates, including
 485 topography, road accessibility, and POI density, were assumed to be temporally invariant. However, the POI data were
 derived from contemporary open platforms and are therefore more representative of recent urban conditions. This mismatch
 may lead to an overestimation of population weights in certain cities in earlier years, particularly economically developed
 ones, resulting in a slight overconcentration of population in these areas. In addition, temporal variations in the availability,
 completeness, and spatial coverage of city-level statistical data across different periods may also contribute to reduced model
 490 stability in some regions. Despite the relatively lower accuracy observed for the early years, the multi-temporal population
 estimates are still able to capture the dominant spatial distribution patterns and long-term temporal evolution of population



dynamics. Overall, the model demonstrates strong temporal transferability and produces spatially and temporally coherent results, supporting its applicability for long-term population spatialization analysis.

4.1.4 Comparisons with four existing datasets

495 To further substantiate the reliability and comparative advantages of the population dataset developed in this study, we conducted a systematic comparison between our gridded population estimates and several widely used population datasets over the same township-level units (Fig. 7). Specifically, our results were compared with three mainstream global gridded population products: GPWv4 (Ciesin, 2018), WorldPop (Tatem, 2017), GHS-POP (Freire et al., 2020), and a recently released China-specific dataset PopSE (Chen et al., 2024), all aggregated to the township level. As shown in Fig. 7, the Pearson correlation coefficients (R) for these four datasets range from 0.8083 to 0.8938 in 2010 and from 0.7724 to 0.9245 in 2020. In contrast, evaluations of our estimates (Fig. 5) indicate consistently higher correlation coefficients in both years ($R = 0.9913$ in 2010 and $R = 0.9341$ in 2020), demonstrating improved agreement with township-level census observations for these two periods.



505 **Fig. 7 Comparisons among four existing gridded population datasets in 2010 (a-c) and 2020 (d-f) at town-level, including GHSPop, WorldPop, GPW, and PopSE**



Notably, the regression slopes for GHS-POP (0.556 in 2020) and WorldPop (0.764 in 2010 and 0.725 in 2020) are substantially lower than the ideal value of 1.0. This pattern indicates a systematic underestimation of population totals in townships with high population counts, which are typically associated with highly urbanized and densely built-up areas. Such bias likely reflects the limited capacity of these datasets to capture the exceptionally high population-carrying potential of dense, high-volume urban built environments. Similar underestimation tendencies in high-density urban areas have been widely documented in previous evaluations of global gridded population datasets (Bai et al., 2018; Chen et al., 2022). By comparison, PopSE exhibits relatively strong agreement with township-level census data in 2020 ($R = 0.9044$), but still slightly lower than our estimates ($R = 0.9341$). However, its regression slope approaches 1.2, indicating a tendency to overestimate population totals in townships with high population counts relative to census observations. This contrast highlights that, while recent China-specific datasets have made notable progress, challenges remain in achieving a balanced representation of population distribution across both low- and high-density settlements.

Table 4 presents a joint comparison of AGPC and the four abovementioned gridded population datasets in terms of RMSE ($\times 10^4$ persons) and relative RMSE ($rRMSE$) at the township level. Considering these two metrics together provides a more comprehensive assessment of predictive performance across different population magnitudes. In 2010, AGPC achieves the lowest RMSE (2.0324) and the lowest $rRMSE$ (16.0%) among all datasets, outperforming GHS-POP (2.1912; 16.2%), WorldPop (2.5014; 19.0%), and GPW (3.1652; 24.1%). The advantage becomes more pronounced in 2020. AGPC records an RMSE of 1.6273 and an $rRMSE$ of 12.0%, both substantially lower than those other datasets. Notably, the relative error of AGPC in 2020 is nearly half that of GHS-POP, indicating a marked improvement in scale-adjusted accuracy. Taken together, the consistently lower RMSE and $rRMSE$ values indicate that AGPC not only reduces absolute prediction deviations but also maintains better proportional accuracy across townships with varying population sizes.

Table 4 Statistical summary of comparisons among the AGPC and four grided population datasets at township level

Year	Dataset	R	$RMSE (\times 10^4 \text{ persons})$	$rRMSE (\%)$
2010	AGPC	0.9113	2.0324	16.0
	GHSPOP	0.8938	2.1912	16.2
	WorldPop	0.8888	2.5014	19.0
	GPW	0.8083	3.1652	24.1
2020	AGPC	0.9341	1.6273	12.0
	GHSPOP	0.7724	3.1717	23.4
	WorldPop	0.9245	2.6881	16.8
	PopSE	0.9044	2.2728	19.8



4.2 Gridded population maps in 2010 and 2020

Building upon the multi-temporal and multi-scale validation results presented above, as well as the comparative evaluation
 530 against existing population products, the proposed model demonstrates strong predictive accuracy and robust capability in
 population spatialization. The trained XGBoost model was therefore applied at the grid level to generate gridded population
 maps at a spatial resolution of 500 m for 2010 and 2020 (Fig. 8). At the national scale, the derived population distributions
 for both years successfully reproduce the well-known spatial pattern of population distribution across mainland China. A
 pronounced east–west gradient is clearly evident, with high population densities concentrated in eastern and coastal regions
 535 and markedly lower densities across western plateaus and mountainous areas. Major population agglomerations are observed
 along the eastern seaboard and within key urban clusters, including the Yangtze River Delta, the Pearl River Delta, and the
 Beijing–Tianjin–Hebei region, while vast areas of western China remain sparsely populated.

To further demonstrate the model’s ability to capture fine-scale spatial heterogeneity, detailed results are presented in the
 bottom panels of Fig. 8 for three representative urban regions, including Beijing, Shanghai, and the Pearl River Delta urban
 540 agglomeration. At the city scale, the spatial distribution of population closely follows the morphology of built-up areas,
 exhibiting clear spatial clustering patterns. Both Beijing and Shanghai display predominantly monocentric structures, with
 population density peaking in central urban areas and gradually declining toward peripheral districts, forming distinct radial
 gradients. In contrast, the Pearl River Delta region presents a markedly different pattern, characterized by a polycentric urban
 structure with multiple high-density population centers distributed across cities such as Guangzhou, Shenzhen, and Foshan.
 545 These spatial patterns are closely associated with regional variations in building volume, urban form, and residential
 structure. In city centers dominated by dense high-rise development, the predicted population maps exhibit pronounced
 density peaks, directly reflecting the explicit incorporation of 3D building metrics in the modeling framework.

A comparison between the spatial distributions in 2010 and 2020 further reveals systematic changes in intra-urban
 population organization across these metropolitan areas. Grid cells characterized by extremely high population densities,
 550 which primarily concentrated in traditional urban cores and highlighted by the highest-density red color, show a noticeable
 decline in number over time. In contrast, the spatial extent of grids with moderately high population densities, represented by
 orange color surrounding the urban centers, expands substantially. This redistribution pattern suggests a gradual shift from
 highly centralized population concentration toward a more spatially dispersed urban structure. Such a trend is consistent with
 processes of urban spatial restructuring, including inner-city functional adjustment, housing redevelopment constraints in
 555 core districts, and population spillover driven by suburbanization and improved transportation connectivity.

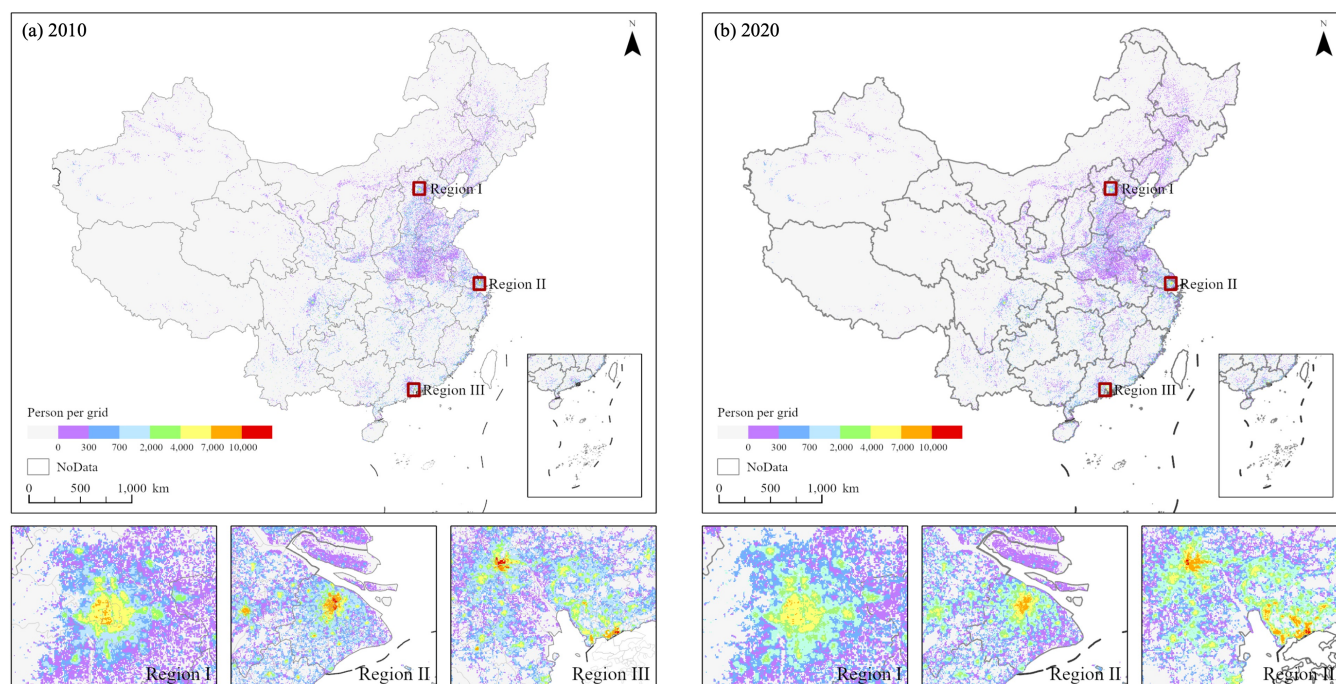


Fig. 8 The 500 m gridded population estimates of China for 2010 (a) and 2020 (b) in AGPC dataset

4.3 Multi-temporal population dynamics during 1990–2020

As described in the methodology section, annual gridded population distributions for 1990–2020 were generated by updating time-varying 3D building characteristics and built-up area indicators in the dasymetric model, while constraining total population using annual provincial-level resident population statistics from official yearbooks. To illustrate long-term spatiotemporal dynamics, three representative cities, e.g., Guangzhou, Shenzhen, and Shanghai were selected to examine changes in population distribution over the past three decades (Fig. 9). These cities reflect distinct urban development trajectories following China's reform and opening up and together capture key stages and structural characteristics of China's urbanization process.

Guangzhou exhibits a gradual transition from a compact monocentric configuration toward a more dispersed, polycentric spatial structure. In the early 1990s, population density was predominantly anchored within the historical urban core. Subsequent decades witnessed a progressive encroachment of high-density grids into peripheral zones, a process characterized by the relative attenuation of hyper-dense centers and a corresponding proliferation of medium-density clusters. Post-2000, intensified suburbanization further catalyzed a multi-level hierarchy, where a dominant primary core now coexists with several strategically developing peripheral nodes.

Shenzhen underwent the most radical transformation, evolving rapidly from a singular monocentric footprint into a pronounced polycentric network. Driven by accelerated urbanization, population density diffused swiftly from the nascent



core to multiple emerging functional centers. By the mid-2010s, the spatial distribution achieved a high degree of continuity across the metropolitan area. This was achieved through intensive spatial infilling and vertical development, resulting in a seamless landscape of high-density clusters that reflects Shenzhen's unique efficiency in land-use intensification.

Shanghai demonstrates a comparatively stable yet outward-expanding population configuration. While the initial period (1990–2005) was marked by the aggressive polarization of inhabitants into the urban core, the post-2010 era signifies a critical inflection point. During this phase, extreme density peaks underwent stabilization or subtle thinning likely due to urban renewal and decentralization policies, while a pronounced lateral expansion of mid-to-high density grids (4,000–10,000 persons/grid) surged toward the periphery. This spatial rebalancing marks a transition from 'hyper-concentration' to a more resilient and balanced polycentric urban form.

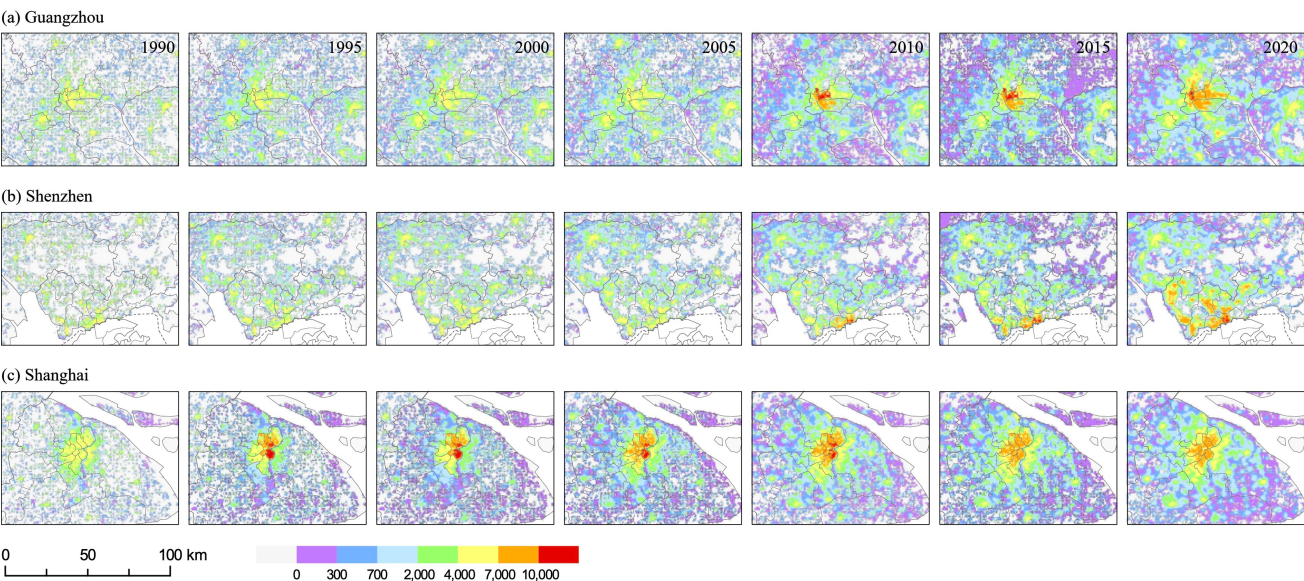


Fig. 9 Population distributions during 1990–2020 in Guangzhou (a), Shenzhen (b), and Shanghai (c)

4.4 Relative contribution analysis through SHAP

To interpret the outputs of the XGBoost-based dasymetric mapping model, the SHAP framework was employed to identify informative relationships between population density and the incorporated covariates. SHAP summary plots for 2010 and 2020 (Fig. 10) show a highly consistent feature-importance ranking across the two periods, indicating strong temporal stability in the population–environment relationships learned by the XGBoost-based dasymetric model. Commercial POI density is the most influential predictor in both years, contributing more than 35% of the total SHAP importance in 2020 (and about 28% in 2010), with predominantly positive SHAP values, suggesting a strong association between commercial activity intensity and higher population density. Slope generally exhibits negative SHAP contributions, indicating that steeper terrain constrains population aggregation, although a small number of high-slope samples show positive effects. 3D



building volume consistently ranks among the most important predictors, with larger volumes corresponding to positive SHAP contributions. Building function variables further differentiate population signals, with residential building ratios showing positive effects, while commercial and industrial ratios tend to contribute negatively. These results highlight the critical role of vertical urban morphology and functional structure in shaping fine-scale population distribution. The strong and stable contribution of 3D building volume confirms that population-carrying capacity in dense urban areas is closely linked to vertical development, which cannot be adequately captured by 2D built-up indicators alone. The increasing importance of residential building functions from 2010 to 2020 reflects the growing influence of functional zoning and the rapid expansion of high-rise residential developments during China's recent urbanization phase. In contrast, residential POI density shows relatively low explanatory power, as residential areas are often sparsely represented in POI datasets despite hosting large populations. This discrepancy underscores the structural limitations of POI-based indicators for representing residential land use and further demonstrates the necessity of explicitly incorporating building-based functional information to reduce systematic biases in population spatialization models.

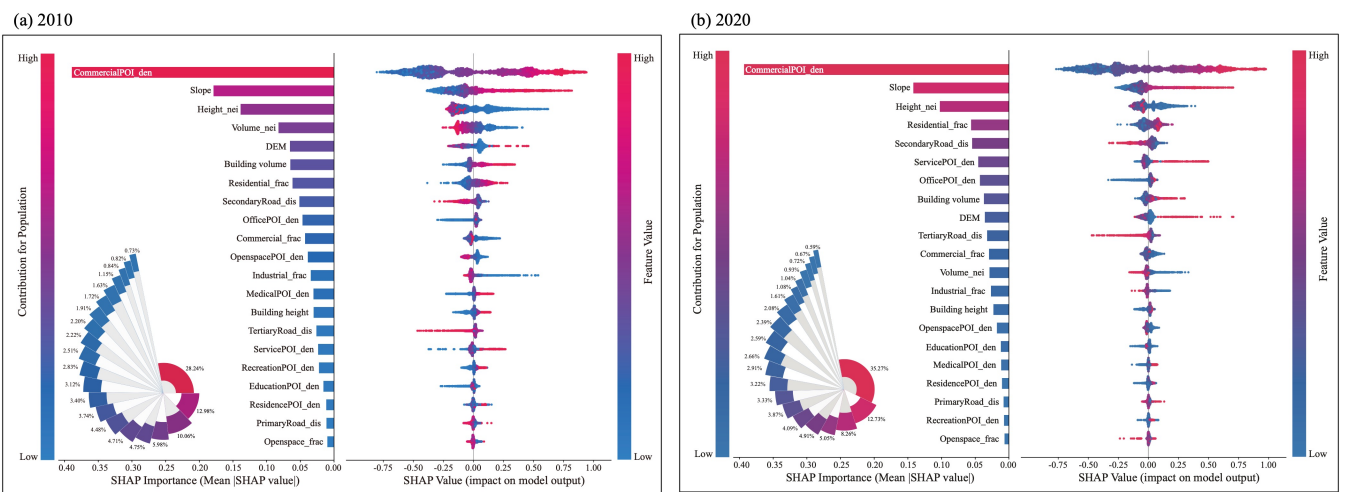


Fig. 10 Relative importance of covariates and their directional contributions to model estimation for 2010 (a) and 2020 (b)

SHAP dependence plots for the most influential predictors in 2010 (Fig. 11) reveal pronounced nonlinear effects and inter-feature interactions underlying urban population distribution. Building volume functions as a key driver, exhibiting a clear transition from weakly negative to strongly positive SHAP contributions at approximately $3.0 \times 10^5 \text{ m}^3$ per grid, indicating a threshold beyond which vertical development substantially enhances population-carrying capacity. This positive effect is further strengthened under high commercial POI density, highlighting a synergistic relationship between vertical built form and urban economic vitality in shaping population concentration. At the same time, environmental constraints are effectively captured by the model. For comparable building volumes, higher slope values consistently attenuate positive SHAP contributions, demonstrating that topographic ruggedness limits the efficiency with which vertical development translates into habitable population density. In terms of functional composition,



commercial POI density shows a rapidly increasing marginal contribution followed by saturation, with its strongest effect occurring in areas characterized by a high residential fraction, suggesting that mixed-use environments with strong service accessibility constitute key population hubs. The residential fraction itself exhibits a distinct threshold near 35%, beyond which its contribution becomes positive. The coloring by building volume further indicates that grids combining high residential proportions with large 3D building volumes, typical of high-rise residential developments, are the most influential configurations associated with elevated population density.

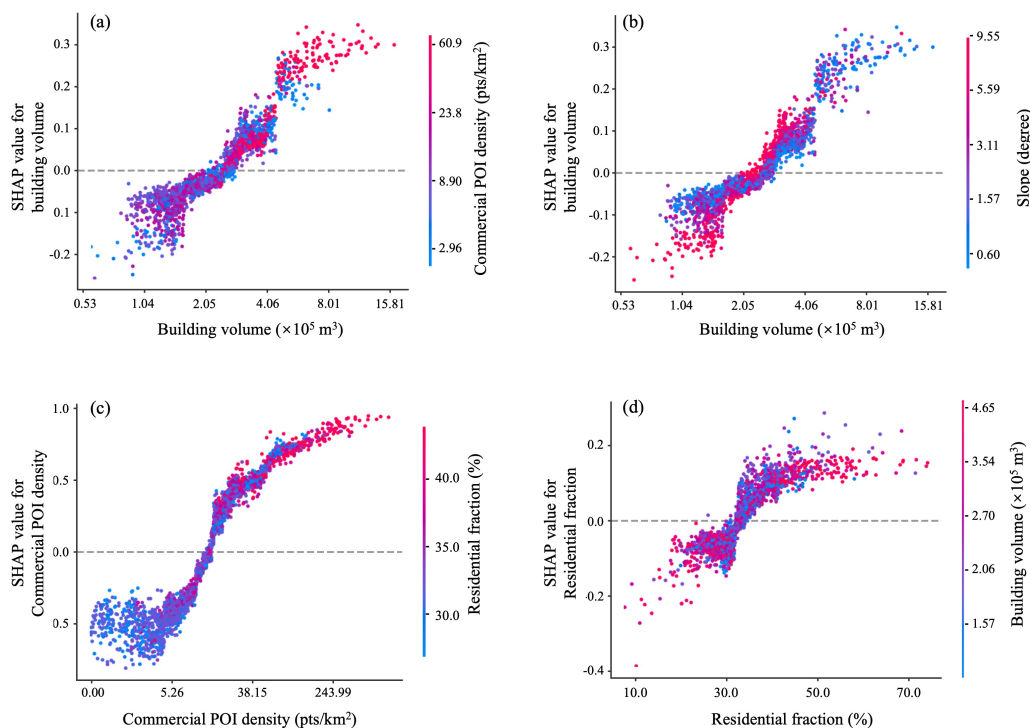


Fig. 11 Dependency plots of covariates identified by the SHAP summary analysis for 2010

A corresponding SHAP dependence plot for 2020 is provided in the Supplementary Materials (Fig S2), revealing a clear evolution in the structural determinants of population density over the past decade. Most notably, model sensitivity to 3D building volume has further intensified. Compared with 2010, building volume in 2020 exhibits a sharper nonlinear threshold, and its positive contribution to population density becomes more strongly coupled with high commercial POI intensity, indicating an increasing interdependence between vertical development and urban economic activity.

In addition, the residential fraction shows a more pronounced tipping point than in 2010, suggesting that population concentration has become increasingly polarized toward high-density residential clusters rather than being diffusely distributed across mixed-use areas. While the dominant explanatory factors remain broadly consistent between the two periods, the reduced dispersion and steeper marginal responses observed in the 2020 dependence plots imply a transition



635 toward a more mature and spatially structured urban system. In such a system, 3D building volume and functional specialization serve as increasingly dominant proxies for population distribution, reflecting the consolidation of high-rise, functionally differentiated urban forms during advanced stages of urbanization.

4.5 Effectiveness of 3D building and urban function indicators

To further assess the contribution of 3D building volume and building function features to population spatialization, an ablation-based comparative modeling strategy was adopted. Specifically, we first constructed a baseline dasymetric model
640 using only conventional covariates listed in Table 1, including POI density, proximity to the road network, and topographic variables. The performance of this baseline model was evaluated on both the training and validation datasets. Subsequently, 3D urban environment indicators (e.g., building volume and height) and building function variables were incrementally incorporated into the model. By comparing model performance before and after the inclusion of these features, we quantitatively examined the extent to which 3D building characteristics and functional information improve the accuracy and
645 robustness of population spatialization.

Table 5 Model comparisons when incorporating 3D urban environment and building function variables

Year	Model	RMSE (person/km ²)		R		rRMSE (%)	
		Training	Validation	Training	Validation	Training	Training
2010	Baseline	1290.28	1884.44	0.9488	0.8689	0.14	0.21
	Baseline + 3D	1198.30	1905.24	0.9537	0.8747	0.13	0.22
	Baseline + 3D + Func	1086.46	1610.11	0.9590	0.8915	0.12	0.21
2020	Baseline	911.99	1372.90	0.9560	0.8899	0.12	0.17
	Baseline + 3D	843.75	1350.72	0.9610	0.8947	0.11	0.17
	Baseline + 3D + Func	812.86	1305.03	0.9656	0.9022	0.10	0.16

The comparative results (Table 5 and Fig S3) clearly demonstrate that incorporating 3D urban environment indicators and building function variables leads to substantial performance improvements for both the 2010 and 2020 models. For example, in the 2020 training phase, the inclusion of 3D urban environment indicators reduced the RMSE from 912 (rRMSE: 0.12%)
650 to 844 person/km² (rRMSE: 0.11%), while the Pearson correlation coefficient *R* increased from 0.9560 to 0.9610. Model performance was further enhanced after adding building function variables (RMSE: 813, *R*: 0.9656, rRMSE: 0.10%). Similar improvements were observed for the validation results and for the 2010 model, indicating the robustness of these effects across years and datasets. These comparisons confirm that 3D building characteristics provide significant explanatory power in population spatialization by explicitly capturing vertical development and function-specific population-carrying capacity,
655 thereby improving both the accuracy and stability of population distribution estimates.



4.6 3D volume-based vs. 2D-based population estimates

Theoretically, population estimates in the AGPC dataset should show a positive relationship between building volume and population at the 500 m grid level. This is because the model explicitly incorporates the population-carrying capacity implied by 3D building volume and height. Grids with larger building volumes are therefore expected to accommodate more residents. In contrast, gridded population products based solely on 3D built-up coverage, such as WorldPop and GHS-POP, are expected to lose this relationship when built-up coverage approaches saturation. Additional vertical development cannot be captured by these models. This divergence should be most pronounced in regions with strong spatial heterogeneity in building volume.

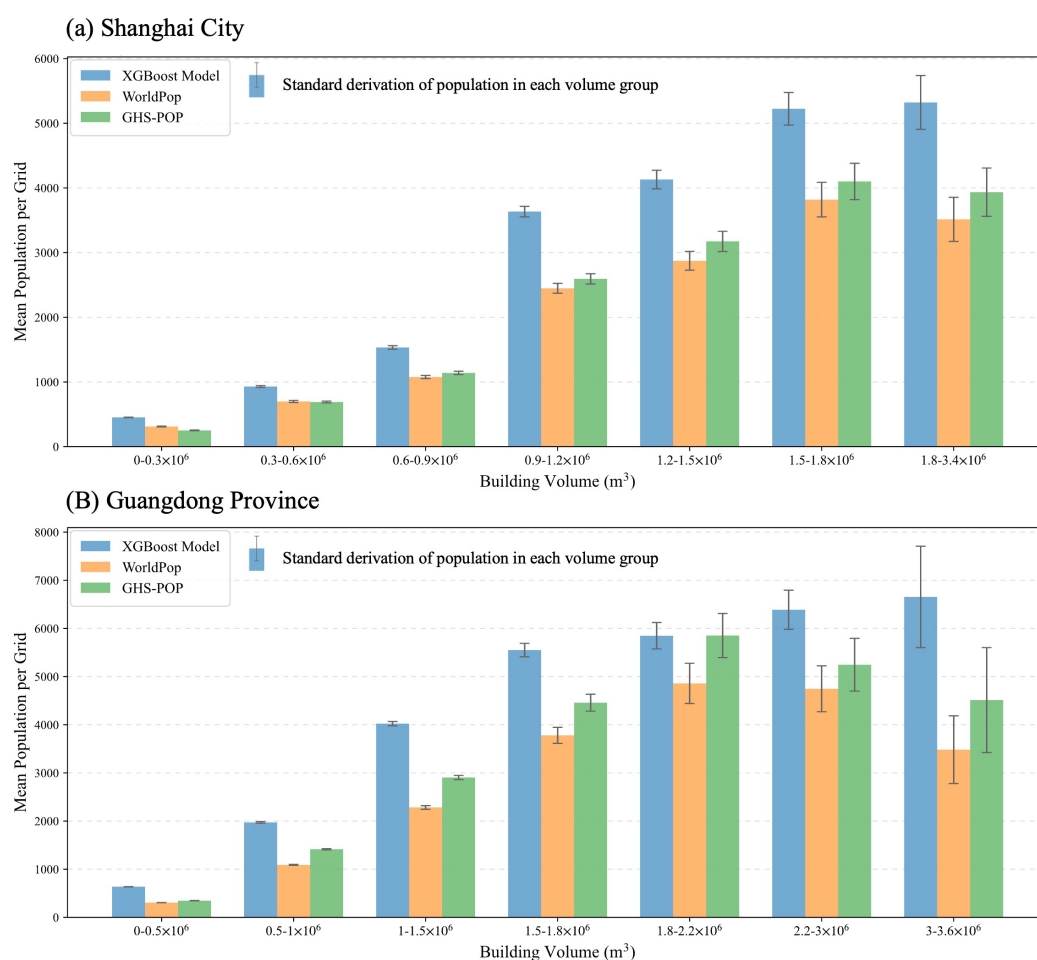


Fig. 12 Distribution of grided population in various building volume groups in Shanghai city (a) and Guangdong province (b)

To test this hypothesis, we selected regions with pronounced variation in building volume. Grids were grouped into volume intervals, and the corresponding gridded population estimates were analyzed (Fig. 12). Results show that the mean grid-level



population in the AGPC dataset increases consistently with building volume, with the strength of this relationship varying by urbanization level. Notably, population estimates continue to rise in high-volume intervals, indicating strong population concentration driven by vertical urban development. In contrast, conventional 2D-based products (WorldPop and GHS-POP) exhibit a different pattern in highly urbanized areas. Population estimates increase with building volume at low to medium ranges but level off or even decline at higher volumes. This reveals a clear “density saturation” effect, whereby population variation in grids with near-complete building coverage becomes insensitive to further increases in building volume. This limitation reflects the reliance of 2D models on indicators such as built-up coverage and nighttime lights, which cannot represent population aggregation in vertically intensive urban environments. Overall, these comparisons demonstrate that incorporating 3D building volume enables a more realistic and robust representation of population distribution in high-density cities.

4.7 Limitations and future perspectives

Although the proposed AGPC dataset provides spatially and temporally consistent gridded population estimates and outperforms existing products at specific time epochs by explicitly accounting for vertical urban development and function-specific population-carrying capacity, several limitations should be acknowledged. First, some explanatory variables, such as POI density and road network characteristics, were assumed to be temporally static due to the lack of long-term historical datasets. This assumption may introduce uncertainty, particularly for early periods, as these factors are closely linked to urban development and population redistribution. Second, the relationship between covariates and population density was assumed to be identical across county and grid scales. This assumption is potentially affected by the modifiable areal unit problem (MAUP) and may not fully hold at finer spatial resolutions. Similar assumptions are common in previous population downscaling studies, largely because gridded population ground truth data are generally unavailable for model calibration and validation. Third, when reconstructing multi-temporal population dynamics, population weights at the grid level were assumed to change linearly within each five-year interval, even though annual provincial population totals from official statistical yearbooks were used as constraints. This simplification may smooth short-term population fluctuations driven by abrupt socio-economic or policy changes.

Looking forward, the proposed framework is readily generalizable and can be extended to other countries and regions worldwide. The incorporation of higher-quality and time-resolved covariates is crucial for further improving gridded population mapping. With the increasing availability of fine-scale datasets, integrating emerging data sources, such as mobile phone signaling data, social media check-in data, and housing rental information, has strong potential to enhance the accuracy and temporal responsiveness of future gridded population datasets.



5 Conclusion

This study developed the AGPC dataset, a spatially and temporally consistent gridded population product for mainland China during 1990–2020 at a 500 m resolution, by integrating 3D building volume and building function information into an XGBoost-based dasymetric mapping framework. By explicitly representing the population-carrying capacity of vertically developed urban environments, the proposed approach addresses key limitations of conventional 3D population products and improves the characterization of population distribution in dense urban areas. Comprehensive validation across multiple spatial scales demonstrates the robustness and reliability of the proposed model. At the county level, the XGBoost-based framework achieves high predictive accuracy, with Pearson correlation coefficients exceeding 0.95 in the training phase and above 0.89 in independent validation, while relative RMSE values consistently remain below 1%. At finer spatial scales, grid-level population estimates aggregated to the township level show strong agreement with independent census data, yielding Pearson correlation coefficients of 0.9113 in 2010 and 0.9341 in 2020, together with regression slopes close to the ideal value of 1.0, indicating both high consistency and minimal systematic bias. Multi-temporal validation further confirms the temporal stability of the AGPC dataset: for seven time points between 1990 and 2020, city-level aggregated estimates exhibit Pearson correlation coefficients ranging from 0.79 to 0.99 when compared with official statistical records, with improved performance observed in more recent years. These results suggest that the model maintains reasonable spatial generalization and temporal transferability despite limited availability of historical covariates.

Comparative analyses with existing population products further highlight the advantages of the proposed approach. AGPC consistently outperforms GPWv4, WorldPop, GHS-POP, and PopSE in terms of Pearson correlation coefficient as well as RMSE and rRMSE for both benchmark years. More importantly, AGPC preserves a stable and monotonic relationship between population density and building volume across the full range of urban development intensity. In contrast, conventional 2D population products exhibit clear density saturation effects in high-volume urban environments, leading to systematic underestimation in densely built city centers.

Overall, the results demonstrate that explicitly incorporating 3D building volume and building functional information substantially enhances the accuracy, stability, and interpretability of gridded population estimates, particularly in high-density and vertically developed cities. The AGPC dataset provides a reliable long-term population baseline for China from 1990 to 2020 and offers a scalable methodological framework for population spatialization in other rapidly urbanizing regions. As such, it has broad potential applications in urban studies, environmental assessment, disaster risk analysis, and sustainable development research.

Data availability

The AGPC dataset derived in our study is stored in GeoTIFF format and is freely available at <https://doi.org/10.6084/m9.figshare.31338352> (Xu et al., 2026).



Author contributions

Xiaocong Xu, Jinpei Ou and Yan Zhou designed the experiments. Xiaocong Xu and Shiyu He performed the analysis.
 730 Xiaocong Xu and Xiaoping Liu prepared the manuscript with contributions from all co-authors. All authors contributed to and approved the final paper.

Competing interests

The contact author has declared that none of the authors has any competing interests.

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