https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

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Spatial and morphometric analysis of a comprehensive dataset of loess sinkholes from a small basin in the Chinese Loess Plateau

Sheng Hu [1, 2], Francisco Gutiérrez [3], Fanyu Zhang [4], Sisi Li [1, 5], Ninglian Wang [1, 2],
Xi-an Li [6], Xingang Wang [7], Jinhui Sun [1], Songbai Wu [1, 2]

[1] Shaanxi Key Laboratory of Earth Surface System and Environmental Carrying Capacity, College of Urban and Environmental Sciences, Northwest University, Xi'an 710127, China

[2] Institute of Earth Surface System and Hazards, Northwest University, Xi'an 710127, China

[3] Departamento de Ciencias de la Tierra, Universidad de Zaragoza, C/ Pedro Cerbuna 12, 50009 Zaragoza, Spain

[4] MOE Key Laboratory of Mechanics on Disaster and Environment in Western China, Department of Geological Engineering, Lanzhou University, Lanzhou 730000, China

[5] School of Information Science and Technology, Northwest University, Xi'an 710127, China

[6] School of Geological Engineering and Geomatics, Chang'an University, Xi'an 710054, China

[7] State Key Laboratory of Continental Dynamics, Department of Geology, Northwest University, Xi'an 710069, China

Correspondence: Fanyu Zhang (zhangfy@lzu.edu.cn)

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Abstract. From the perspective of the world, the basic mapping and investigation of the loess sinkhole is far less extensive and in-depth than that of the karst sinkhole survey. To some extent, this hinders people's understanding of the morphological characteristics, development rules, and formation mechanisms of the loess sinkholes. Chinese Loess Plateau (CLP) has the most typical loess landform in the world, and tens of thousands of loess sinkholes have developed. However, due to the lack of high-precision and high-resolution survey data, the identification, characterization, and quantification of sinkholes in the Loess Plateau are basically blank, which seriously hinders the in-depth study of loess sinkholes. We investigated a typical watershed on the Chinese Loess Plateau using photogrammetry, airborne laser scanning, and handheld laser scanner. Based on previous studies, this paper proposes indices and methods for the morphological quantification of loess sinkholes and constructs the first dataset of loess sinkhole morphology containing 1194 records at the basin scale. On this basis, we completed the spatial mapping of loess sinkholes, analysis of distribution patterns, morphological analysis, size-frequency analysis, fitting analysis of different parameters, estimation of subsurface soil erosion, in-depth investigation of typical sinkholes, and quantification of the contributions of different factors to sinkhole development. These efforts provide rich information for a deeper understanding of the morphological characteristics and causes of loess sinkholes and offer data support for comparative studies with sinkholes in other regions. More critically, we preliminarily assessed that the subsurface soil erosion triggered by sinkholes in the study area amounts to as high as 345,000 metric tons. This finding makes it increasingly clear that loess sinkholes are not only a geological disaster process but also a serious soil loss process,

https://doi.org/10.5194/essd-2025-644
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highlighting their undeniable significance in regional soil erosion studies and laying a solid foundation for subsequent research and disaster prevention efforts. Moreover, we believe that the integration of airborne laser scanning and handheld laser scanning may represent a new trend in the detailed investigation of sinkholes in the future. The dataset is available from Zenodo platform (https://doi.org/10.5281/zenodo.14000267).

1 Introduction

It is widely recognized that soil erosion constitutes a global environmental problem with significant societal and economic implications (Morgan, 2005; Poesen, 2018; Llena et al., 2024). When the term 'soil erosion' is used, most people picture surface processes such as sheet, rill, gully, or gravity erosion. However, subsurface mechanical erosion related to soil piping and the associated surface collapse is widely overlooked (Bernatek-Jakiel and Poesen, 2018). The vast international literature on soil erosion reveals an evident knowledge gap regarding soil piping research. Soil piping refers to the formation of shallow conduits in soils and weakly consolidated sediments by seepage, pipe flow, and mass movements (e.g., wall and roof collapse) (Bernatek-Jakiel and Poesen, 2018). Soil pipes, due to their hidden nature and complex patterns, are detected only once their collapse reaches the surface to form a sinkhole (Donnelly, 2008; Bernatek, 2015; Bernatek-Jakiel et al., 2017). Ground instability associated with sinkhole development poses threats to agriculture, transportation infrastructure, water storage facilities, oil and gas pipelines, buildings, and other human assets and activities (Gibbs, 1945; Gutiérrez et al., 2003, 2014; Richards and Reddy, 2007; Peng et al., 2018; Hu et al., 2020). Piping sinkholes cause soil erosion and can induce or favor hazardous processes such as ground

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

collapse, landsliding, debris flows, or gullying (Peng et al., 2018; Li et al., 2020; Hu et al., 2022; Wang et al., 2024). Therefore, gaining insight into the factors controlling piping-related sinkholes, their morphometry and spatial distribution patterns is of prime scientific and practical importance (Hofierka et al., 2018; Bernatek-Jakiel et al., 2019).

The identification of sinkholes and the production of comprehensive sinkhole inventories are a fundamental and challenging task. In recent decades, several countries have conducted extensive research on karst and piping sinkholes and developed national or regional geodatabases (Gao et al., 2002, 2005; Farrant and Cooper, 2008; Rajabi, 2018; Vennari and Parise, 2022; Hu et al., 2024). Traditional sinkhole mapping primarily relies on topographic maps, digital elevation models (DEM), historical aerial photography, or satellite imagery (Panno et al., 1997; Panno and Luman, 2013; De Carvalho Júnior et al., 2014; Vajedian and Motagh, 2019; Gökkaya et al., 2021). However, data acquired through conventional methods are often hampered by poor spatial resolution, making them inadequate for the comprehensive and accurate mapping and morphometric characterization of soil sinkholes, which are usually small. Consequently, researchers have started to use unmanned aircraft systems (UAS) equipped with optical lenses, LiDAR sensors, and thermal cameras to investigate piping sinkholes (Lee et al., 2016; Wu et al., 2016; Hofierka et al., 2018; Hu et al., 2020; Li et al., 2024). UAS technology can capture imagery and topographic data with high resolution and accuracy, and may even allow for filtering vegetation in the case of LiDAR data. Despite the variety of techniques and approaches currently available, each still carries inherent limitations or shortcomings (Bernatek-Jakiel and Poesen, 2018). For instance, although UAS-based

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

photogrammetry can yield high-resolution topographic models, those models do not allow the reliable measurement of 3D morphometric parameters of piping sinkholes, such as depth or volume (Li et al., 2024). Airborne LiDAR, while capable of partly penetrating vegetation to reveal the underlying ground surface, typically employs orthogonal scanning, missing zones along the vertical walls of collapsed pipes, and thus failing to capture the complete inner morphology of the sinkholes (Jiang et al., 2024). The aforementioned mapping technologies and methods are suitable for regional sinkhole surveys, but are not suitable for characterizing the internal morphology of individual sinkholes. In recent years, handheld laser scanners based on simultaneous localization and mapping (SLAM) technology have been developed and successfully applied to forest surveys, archaeological studies, tunnel, and sinkhole investigations (Jones and Beck, 2017; Konsolaki et al., 2020; Mokroš et al., 2021; Yuan et al., 2022; Hu et al., 2024; Jiang et al., 2024). When conducting non-destructive identification and characterization of soil pipes and the associated sinkholes, it is essential to select the most suitable investigation technique or to combine several complementary methods considering factors such as the characteristics of the target features and the survey area (Bernatek-Jakiel and Kondracka, 2016; Borah et al., 2022).

A recent review on soil piping (Bernatek-Jakiel and Poesen, 2018) provides a global synthesis of current knowledge and delineates directions for future research. By collating data from 230 documented piping sites worldwide, the authors produced the first global map of soil-piping investigations, demonstrating that piping erosion occurs across all climate zones and most soil types. Regrettably, the review reveals a striking paucity of research on soil pipes in

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

the Chinese Loess Plateau (CLP), with only two documented study sites. It is widely recognized that the CLP, covering 64×10^4 km^2, hosts the world's most representative loess accumulation. Due to the relatively high permeability, collapsibility, and wetness of loess deposits, together with its porous and jointed structure, pipes and sinkholes can easily form under the presence of water (Li et al., 2010; Geng et al., 2023). In recent years, some scholars in China have identified loess sinkholes as a specific geological hazard and have called for increased focus and research on this process with growing economic implications (Li et al., 2010, 2020; Peng et al., 2018). The intensity map of sinkhole development in the Chinese Loess Plateau (Fig. 1) indicates that the west region exhibits a higher intensity of sinkhole development compared to the east, particularly in the Dingxi and Huining areas, where sinkhole densities typically reach 243 and 265 sinkholes per km^2, respectively (polygon I_1 in Figure 1) (Peng et al., 2018; Hu et al., 2020). Notably, no regional morphometric datasets of piping sinkholes have yet been published, limiting our understanding of their morphological characteristics and developmental patterns.

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Preprint. Discussion started: 13 January 2026

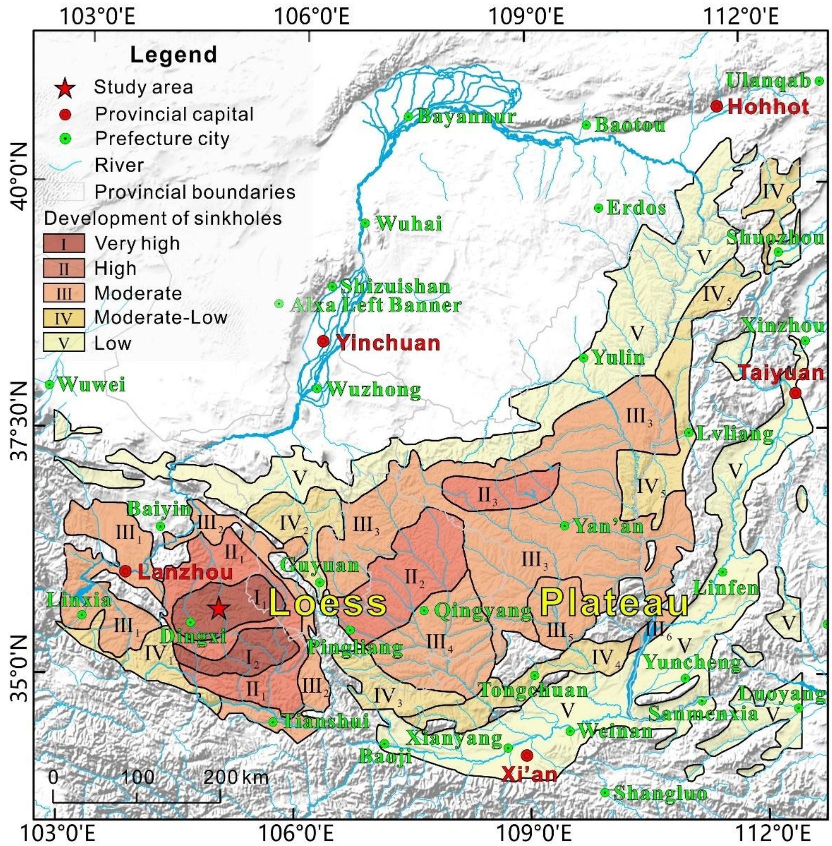

Figure 1. Map showing the degree of piping-related sinkhole development in the Chinese Loess Plateau, classified into five categories (Peng et al., 2018; Hu et al., 2020). The star indicates the location of the study area within a region with very high degree of sinkhole development.

In view of the above, the principal objectives of this study include: (1) to conduct a comprehensive and high-resolution survey of loess sinkholes in a representative basin of the CLP by integrating UAS photogrammetry, airborne LiDAR, and a SLAM-based handheld laser scanner (HLS); (2) to characterize the morphometric features of the sinkholes and produce an open-access database comprising 1194 sinkhole records, complemented with data on multiple

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

attributes; (3) to analyze the spatial distribution patterns of the sinkholes and their relationships with other landforms in order to gain insight into the main controlling factors; (4) to carry out an in-situ investigation inside a typical sinkhole using the HLS, evaluating the potential and advantages of SLAM technology for full sinkhole characterization. Through these efforts, we aim to partially fill the current knowledge gap on loess sinkholes in the CLP and identify suitable surveying approaches. This will make available to the global soil-piping community with a unique case-study dataset and will provide a scientific basis for assessing and managing sinkhole risk in the region. The presented results reveal the strikingly large subsurface erosion volume attributable to piping erosion, underscoring that soil-piping research merits intensified attention, rather than continued neglect.

2 Study area

The study area is a small leaf-shaped watershed drained by the N-flowing Sunjiacha stream. It is located in the southwestern sector of the Loess Plateau of China, approximately 5 km east of Huining city (Figs. 2a-c). The drainage basin is approximately 2960 m long, 1280 m wide, covers about 2.4 km^2, and displays sparse grassland vegetation. The elevation ranges from 2070 m a.s.l. (highest point of the divide) to 1724 m a.s.l. (outlet), yielding a local relief of 346 m. The region is characterized by a semi-arid temperate monsoon climate, with a mean annual precipitation of 370 mm. Great part of the rainfall occurs between May and September and frequent severe rainfall events can account for up to 96% of the monthly precipitation (Hu et al., 2020). Sunjiacha stream is an ephemeral channel that carries water flow after storms or rainy periods. Great part of the slopes in the basin, with the exception of some sectors in the

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

lower part, have been transformed into a terraced landscape on loess deposits for cultivation and to prevent soil erosion (Fig. 2b).

Form the geological perspective, the investigated zone is located in the Longxi Basin. Its development began during the Yanshan orogeny (ca. 205~66 Ma), expanded further during the Himalayan orogeny (ca. 50 Ma to present), and finally took shape as the Longxi graben basin by the late Neogene. The basement of the basin is composed of Proterozoic metamorphic rocks, Paleozoic volcano-sedimentary rocks, Caledonian intrusive rocks and Mesozoic-Cenozoic sedimentary successions. Since the end of Neogene to Quaternary, the Longxi Basin has been uplifted along with the Qinghai-Tibet Plateau and its surrounding mountains (Niu, 2023). The tectonic uplift in the Quaternary has been accompanied by (Figs. 2b, c): (1) downcutting of the drainage network into the Neogene sediments of the Gansu group; and (2) accumulation of loess and terraces over the relatively flat Gansu group red beds, forming a thick loess-paleosoil succession. The 1:200,000 scale regional geological map indicates that most slopes in the area are underlain by the Q_3 aeolian loess (Malan loess), while Q_4 alluvial and colluvial deposits, largely derived from the former, primarily occur in the valley floors (Fig. 2c). Fig. 2d shows a simplified log of the 333 m deep Huining #11 borehole drilled 2.6 km S of the Sunjiacha basin by the China Geological Survey in 1972, indicating a Quaternary loess 43.5 m thick. In the 1960s, Liu (1964, 1965) observed a gradual NW-to-SE grain-size decrease in the loess in the Loess Plateau, and divided it into three zones: sand loess, typical loess, and clayey loess. This spatial pattern is attributed to factors such as the distance from the source area and the southeastward weakening of winds in winter (Yang and Ding, 2017). Previous studies have

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

shown that the Huining loess has both dust from inland desert areas and detritus generated during the Pleistocene glaciations on the Qinghai-Tibet Plateau (Peng, 2014). Because Huining is close to both source regions, relatively coarse sand and silt particles were deposited here by the northwesterly winds. Thus, the large pore size characteristic of the Q_3 Malan loess is particularly pronounced in this area. The Q_3 Malan loess is a light grey-yellow silt-dominated deposit with relatively uniform particle distribution, loose granular texture and blocky morphology. The grain size of the loess-paleosol sequence at Duanxian site (S0~L29; 62 km north of our study area) studied by Niu (2023) is generally coarse, with a median particle size ranging from 12 to 38.8 µm (silt size range: 2~50 µm). Particles >32 µm and >63 µm represent around 60% and 25% of the silt-dominated deposit, respectively (Niu, 2023).

The thickness of the Q_3 Malan loess is highly variable, ranging from several meters to tens of meters. Under the presence of infiltration water, the Q_3 Malan loess, commonly affected by vertical joints, is highly susceptible to hydrocompaction and piping, leading to the formation of unique loess sinkhole landscapes. In fact, this area is widely recognized as having the highest density of loess sinkholes in the vast Loess Plateau, covering 6370 km^2 (2.33% of the loess accumulation in China) (Fig. 1). Average density of sinkholes in our study area is 498 sinkholes/km^2. The investigated drainage basin, characterized by a dendritic gully network and terraced slopes, displays a large number of loess-related ground instability features, including 1194 loess collapse sinkholes and 288 landslides (Fig. 3). The latter include slope movements with deep and shallow sliding surfaces, typically induced by fluvial undercutting, artificial excavations, and severe rainfall events.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

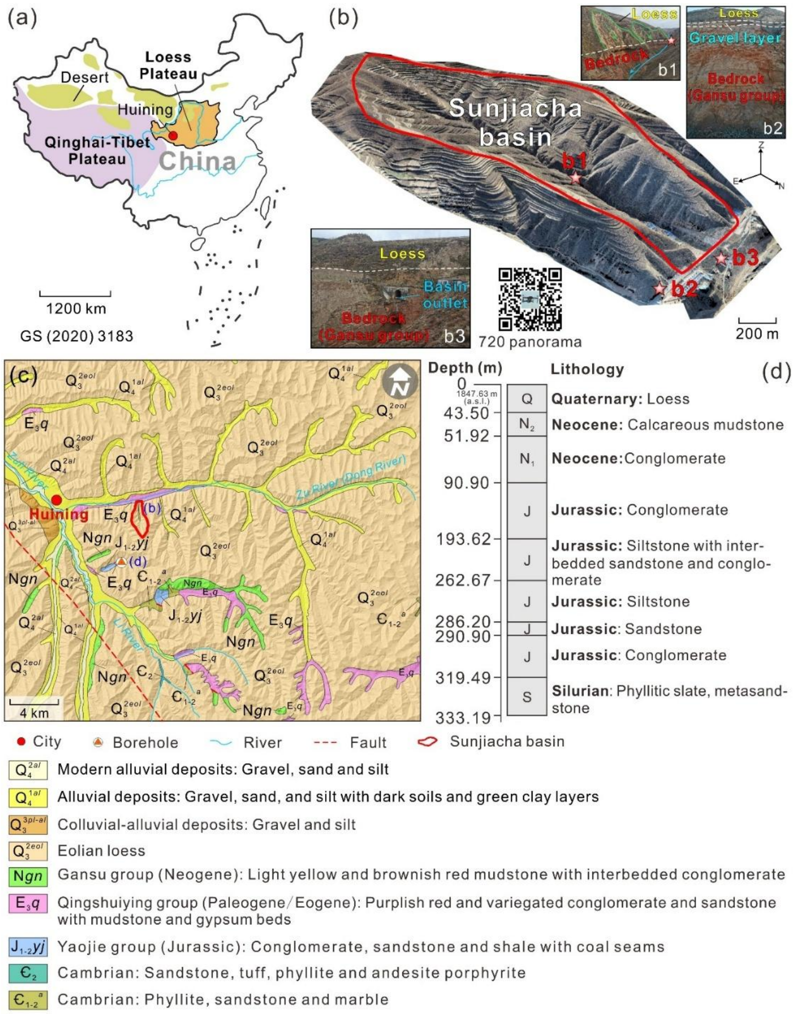

Figure 2. Geographic and geological setting of the Sunjiacha drainage basin within the Loess Plateau. (a) Location in the SW Loess Plateau in China. (b) 3D model of the Sunjiacha basin generated by Structure from Motion Photogrammetry with UAS images. The QR code gives access to an online panorama of the study area generated with drone images. (c) 1:200,000 scale regional geological map (data source: http://dcc.ngac.org.cn/); (d) Stratigraphic log of the Huining #11 borehole drilled for coal exploration 2.6 km south of the study area (see location in c) (data source: http://zk.cgsi.cn/).

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Preprint. Discussion started: 13 January 2026

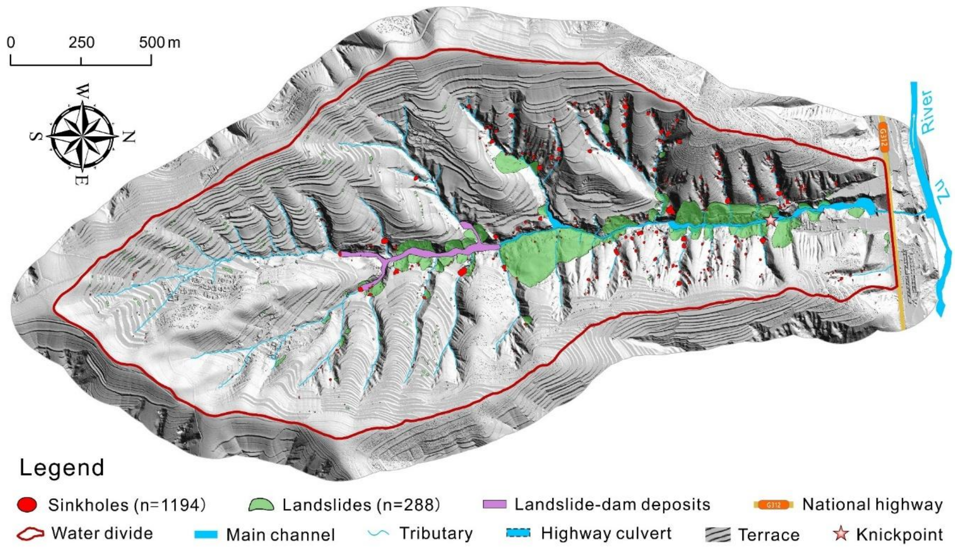

Figure 3. Geomorphological map showing the distribution of loess sinkholes, landslides and deposits accumulated upstream of a landslide dam in the Sunjiacha basin.

3 Methods

3.1 Technical procedure

The flow diagram in Figure 4 outlines the data acquisition and analysis approach followed in this investigation, comprising several steps. Initially, we conducted surveys using an unmanned aircraft system (UAS) equipped with optical cameras and LiDAR sensors, as well as utilizing a handheld laser scanner (HLS). Subsequently, the data collected in the surveys allowed the generation of a Digital Orthophoto Map (DOM), a bare-surface Digital Surface Model (DSM), a Digital Elevation Model (DEM), and 3D terrain point clouds. The drone imagery was processed using the Structure from Motion Photogrammetry software Pix4D Mapper (https://www.pix4d.com/), while the open-source Cloud Compare software (http://www.cloudcompare.org/) was utilized for analyzing the point clouds. ArcMap 10.5 was

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

used to manually map the sinkholes and extract planimetric and three-dimensional morphometric parameters by using the DOM, DEM and relief maps. This allowed the construction of a cartographic sinkhole inventory including a number of categorical and numerical attributes for the morphometric and statistical analysis of the sinkholes. 3D data of the loess sinkholes such as elevation and depth were extracted from noise-filtered terrain point clouds acquired with airborne LiDAR, rather than directly from the UAS-derived DSM, significantly enhancing the accuracy of the parameters.

https://doi.org/10.5194/essd-2025-644
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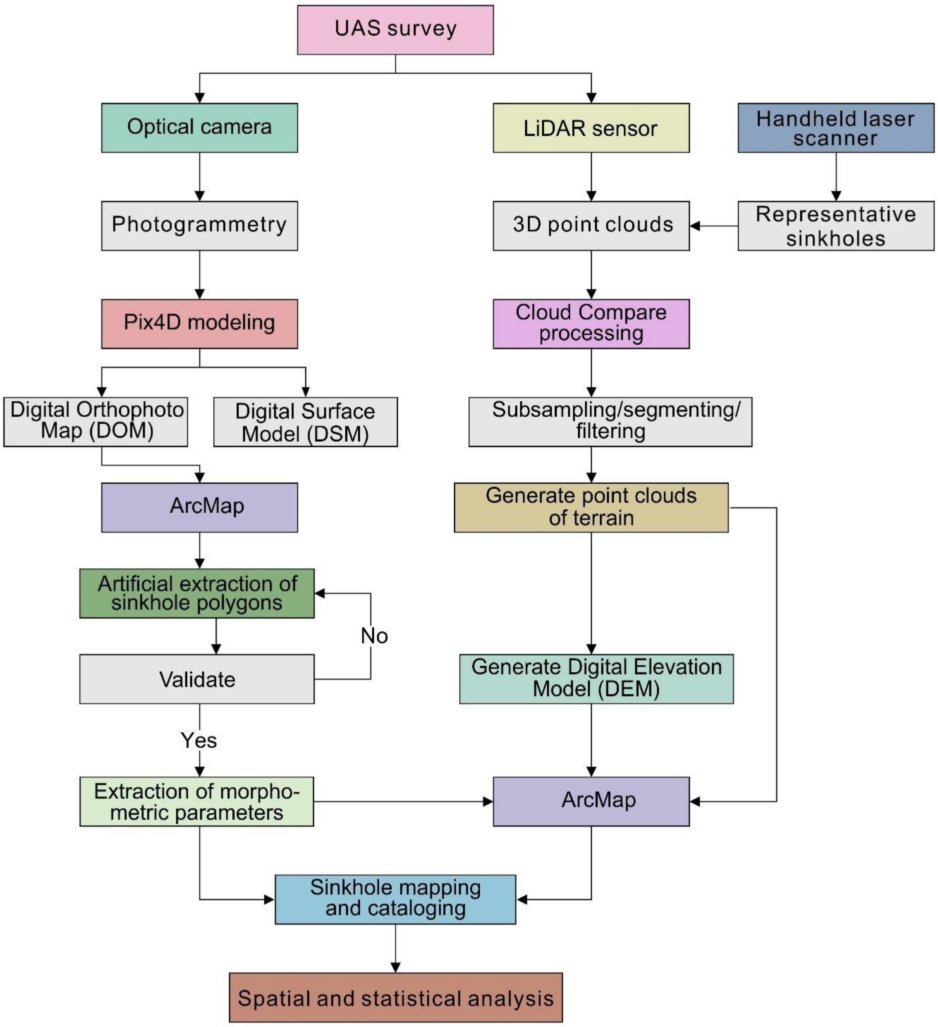

Figure 4. Flow chart illustrating the data collection, processing and analysis approaches used in this study.

3.2 Field investigations

3.2.1 UAS survey

On April 9, 2021, we engaged the professional company Feima Robotics to conduct a detailed survey of the research area using a D2000 UAS (Figs. 5a-e). We executed two flight

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

missions at a height of 200 m utilizing the D-Lidar 2000 LiDAR sensor and the D-CAM2000 optical camera mounted on the drone. Images were taken with longitudinal and lateral overlaps of 70% and 60%, respectively. Point clouds were taken with lateral overlap of 40%. A total of 11 ground control points (GCPs) were distributed across the area and measured with a DGPS. Detailed specifications of the UAS and its sensors are available at http://www.feimarobotics.com/zhcn/productDetailD2000. The D-Lidar 2000 module employs three-echo technology, ensuring effective penetration through vegetation to obtain more accurate bare-ground data. After completing the field survey, we pre-processed the collected data with the UAV Manager software to produce a 3 cm resolution Digital Orthophoto Map (DOM) and a Digital Surface Model (DSM), along with raw point cloud data (40 GB; average density of 192 points/m^2). The modeling report from UAV Manager indicated that the average RMSE (root-mean-square error) for the 11 ground control points (GCPs) was 0.0137 m, with RMSEs of 0.012 m, 0.014 m and 0.015 m for the X, Y and Z coordinates, respectively. An elevation accuracy assessment of 19 laser point cloud validation points measured with the DGPS revealed an average RMSE of 0.029 m, with a maximum error of 0.058 m.

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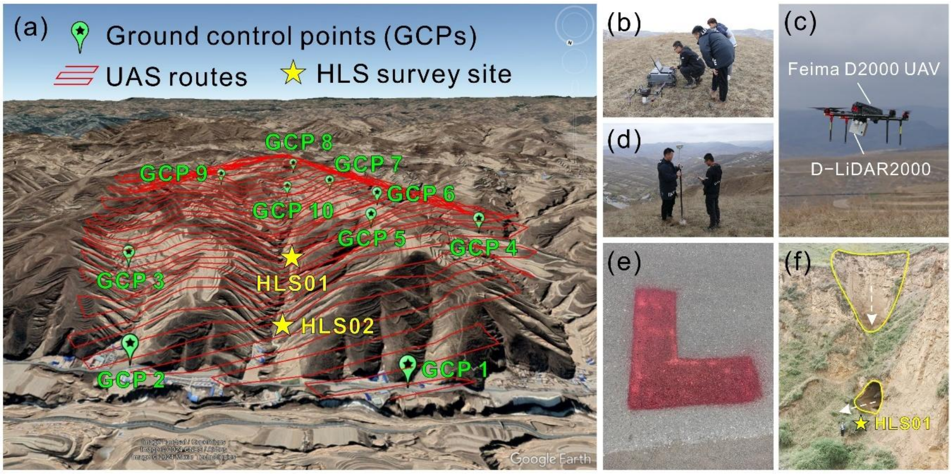

Figure 5. Surveying of the study area with an UAS (Li et al., 2024) and a handheld laser scanner: (a) Terrain model of the study area draped by a Google Earth image. Red lines indicate the route of the UAS. Green paddle icons show the distribution of ground control points (GCPs) used to improve the accuracy of the UAS models. Yellow stars indicate the location of the handheld laser scanner surveys. (b-e) Unmanned aerial system field operations with the control unit (c) and the drone (d), combined with GCPs (e) measured with a DGPS (d); (f) Using the GeoSLAM (ZEB Horizon) handheld laser scanner to scan the interior of a sinkhole in a steep slope with an opening at the bottom.

3.2.2 Handheld laser scanner survey

We used a GeoSLAM ZEB Horizon handheld LiDAR scanner (https://geoslam.com/) with a range of 100 m to carry out high-resolution scans of thirteen representative sinkholes (1 sinkhole in HLS01 site; 12 sinkholes in HLS02 site; see location in Figures 5a, f). This device utilizes SLAM (Simultaneous Localization and Mapping) technology, which can record point cloud data of the terrain or objects in real-time obtaining accurate geographic coordinates. It weighs 1.45 kg, and records 300,000 points per second with a measurement error of 6 mm to 3 cm. After the field survey, we pre-processed and post-processed the point cloud data using

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

GeoSLAM Draw and Cloud Compare software and subsequently we obtained noise-filtered terrain point clouds and DEMs of the representative sinkholes.

3.2.3 Surveying and mapping

Figure 6 illustrates some of the products derived from the UAS survey. We filtered the raw point clouds using the Cloth Simulation Filter (CSF) developed by Zhang et al. (2016) in Cloud Compare. The main parameter settings were: General parameter setting – check Steep slope and Slop processing options; Advanced parameter setting – Cloth resolution 0.5 m, Maximum iterations 999, Classification threshold 0.1 m. Figure 6a shows the terrain point cloud processed in Cloud Compare with above-surface noise filtered out (buildings, people, vehicles, vegetation, towers, and power lines). Figures 6b-f show enlarged views of the dashed boxes indicated in Figures 6a, g-h. Figure 6b illustrates the largest landslide of the study area. Figures 6c and 6d depict an orthoimage and a terrain point cloud of a gully with a string of sinkholes related to a subsurface conduit created by internal erosion. Figures 6e and 6f display the 2D profile of the terrain point cloud and an excerpt of the 3D point cloud of a gully with numerous sinkholes, respectively. Figure 6g shows the 6.87 cm resolution Digital Orthophoto Map (DOM) derived from the drone images. Figure 6h presents the 0.5 m resolution Digital Elevation Model (DEM) generated from the terrain point cloud data in Figure 6a. Figure 6i depicts the 3D models generated by Poisson Surface Reconstruction in Cloud Compare, based on LiDAR point-cloud data from six sinkholes. These spatial data provide the basis for mapping and cataloging sinkholes, identifying sediment-discharge holes, and extracting morphometric parameters.

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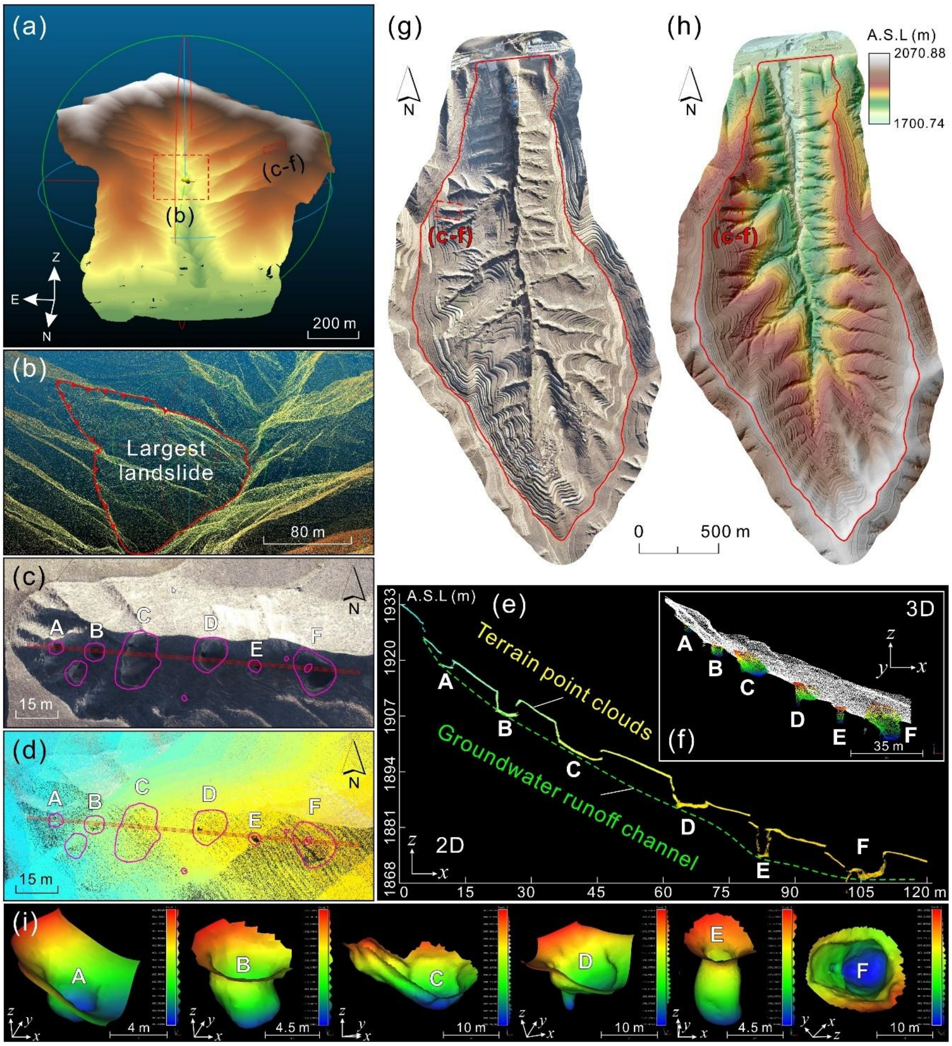


Figure 6. UAS survey results: (a) Bare-surface point cloud of the study area after filtering above-surface objects; (b-f) Partial enlargements of (a); (b) Largest landslide of the study area; (c-e) Orthoimage, point cloud, and a point-cloud section of a row of loess sinkholes (purple line in d) in a collapsed gully, respectively; (f) 3D perspective of (d); (g) Digital orthophoto map (DOM) generated from images captured by the UAS survey; (h) Digital elevation model (DEM) generated from bare-surface point clouds; (i) Poisson surface reconstruction of sinkholes A-F in d.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

3.3 Basic morphometric parameters and extraction methods

Based on a literature review on studies about loess sinkholes and karst dolines worldwide, we selected a number of morphometric parameters for the geometrical characterization of the loess sinkholes (e.g., Day, 1983; Liu and Wang, 2008; De Waele and Gutiérrez, 2022 and references therein). Table 1 presents the selected some parameters, their definition and the approach used for their automatic computation. Key morphological parameters and their interpretations are illustrated in Figure 7.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

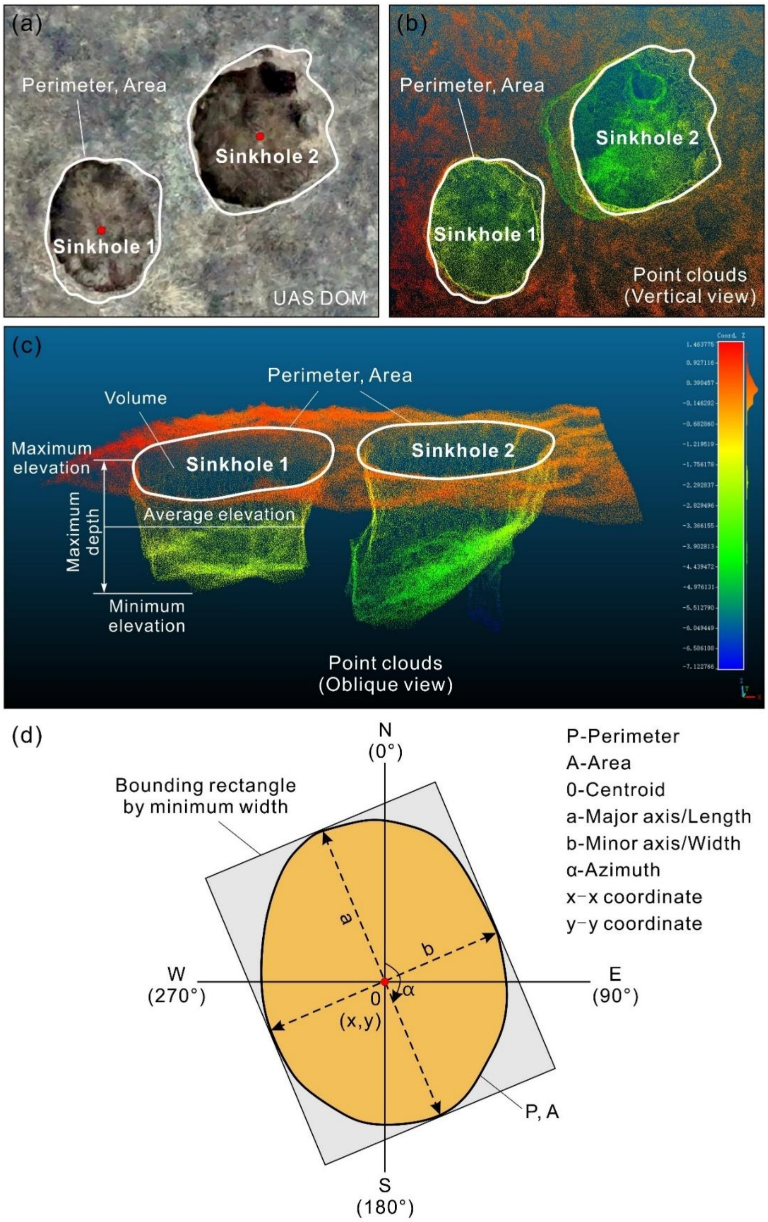
Table 1 Index, definition and computing method of morphology of loess sinkholes.

Parameter	Unit	Computing method	Explanation	Reference
Coordinates	°	Calculate geometry in ArcMap attribute table	X, Y coordinates of the centroid of the sinkhole polygon	
Length (*L*)	m	Length of the fitted minimum bounding rectangle	Length of the major axis, given by the planimetric distance between the antipodal points of the perimeter	Kobal et al, 2015; Wu et al, 2016
Width (*W*)	m	Width of the fitted minimum bounding rectangle	Width perpendicular to major axis, given by the width of the fitted minimum bounding rectangle	Kobal et al, 2015; Wu et al, 2016
Azimuth (*Azi*)	°	ArcMap Minimum Bounding Geometry tool	Clockwise angle between the North and the major axis	Bruno et al, 2008; Kobal et al, 2015; Öztürk et al, 2018
Maximum elevation (*Emax*)	m	Extracted from point cloud data using LAS Point Statistics by Area tool in ArcMap	Maximum elevation of the sinkhole perimeter	
Minimum elevation (*Emin*)	m		Minimum elevation at the sinkhole bottom	
Average elevation (*Eave*)	m		Average elevation of the 3D points that define the sinkhole depression	
Maximum depth (*Dmax*)	m	Extracted from point cloud data	Maximum elevation minus minimum elevation	De Waele and Gutiérrez, 2022; Sevil and Gutiérrez, 2023
Perimeter (*P*)	m	Calculate geometry in ArcMap attribute table	Planimetric length of the mapped edge of the sinkhole	Liu and Wang, 2008
Area (*A*)	m^2	Calculate geometry in ArcMap attribute table	Planimetric area enclosed by the perimeter	Liu and Wang, 2008
Volume (*V*)	m^3	$V=A\times Dmax$	Volume of the 3D space corresponding to the sinkhole depression	Gökkaya et al., 2021; De Waele and Gutiérrez, 2022

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Elongation ratio (*ER*)	*ER*=*L*/*W* or *ER*=*a*/*b*, where *L* (or *a*) and *W* (or *b*) are the major and minor axes (length and width) of the sinkhole, respectively	Length to width ratio	Day, 1983; Basso et al., 2013; Zumpano et al., 2019
Circularity index (*CLI*)	$CLI = 4\pi A/P^2$	Ratio between the area of the sinkhole and the area of a theoretical sinkhole having a circumference equal to the perimeter of the actual sinkhole. The lower the value below 1, the further to a perfect circular shape	De Carvalho Júnior et al., 2014
Compactness index (*COI*)	$COI = A/Ac$, where *Ac* is the area of the smallest circle circumscribing the sinkhole perimeter	Quantifies how much the shape of the sinkhole perimeter is close to a circle. The lower the value below 1, the more complex the sinkhole perimeter	Cole, 1964; Kim and Anderson, 1984; Li et al., 2013; Zhu and Pierskalla, 2016
Length to Depth ratio (*LDr*)	*LDr*=*L*/*Dmax*	Ratio between sinkhole length and depth	Day, 1983

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026



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299 **Figure 7.** Diagrams showing the key morphometric parameters of the loess sinkholes: (a)
300 Images of sinkholes; (b) Point clouds of sinkholes (located at HLS 02 in Figure 5a) in vertical
301 view; (c) Point clouds of sinkholes in oblique view; (d) Diagram of key morphometric
302 parameters of sinkholes.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

4 Results

4.1 Sinkhole mapping and inventorying

Given the utmost high-resolution of the data used for mapping, the inventory can be considered as complete, even including small decimeter-scale holes. This information furnished a database of 1194 loess sinkholes in the study area, including multiple attributes (Table 1 and Data availability): topographic (coordinates, azimuth, maximum, minimum and average elevation); morphometric (length, width, depth, perimeter, area, volume, geometrical indexes); and geomorphic (soil loss). The inventory also differentiates 1162 single sinkholes, and 32 compound sinkholes resulting from the aggregation of two or more adjacent sinkholes. This complete database serves as the basis for the morphometric-statistical analysis presented in this work. For the detailed cataloging and the statistical parameters of these sinkholes, please refer to Table 2 and Data availability. Additionally, 9 thematic maps were generated with some parameters (length, maximum depth, perimeter, area, volume, elongation ratio, circularity index, compactness index, length to depth ratio) to explore spatial patterns of different value ranges. Table 2 presents the main statistics of the sinkholes separated into three categories: all, single and compound.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Table 2 Main statistics of different types of sinkholes.

Statistical indicators	All sinkholes (1194)	Single sinkholes (1162)	Compound sinkholes (32)
Length (m)			
Range	0.19~35.11	0.19~35.11	0.88~33.9
Mean	3.75	3.65	7.37
Median	2.28	2.26	3.69
Depth (m)			
Range	0.42~29.60	0.42~29.60	2.05~18.50
Mean	6.55	6.48	8.36
Median	5.30	5.214	7.76
Perimeter (m)			
Range	0.60~104.14	0.60~98.92	2.67~104.14
Mean	10.75	10.45	21.51
Median	6.43	6.40	10.47
Area (m^2)			
Range	0.03~662.18	0.03~662.18	0.50~635.75
Mean	17.75	16.42	66.19
Median	2.94	2.93	7.97
Volume (m^3)			
Range	0.21~19601.27	0.21~19601.27	2.66~8405.93
Mean	334.75	310.79	1002.98
Median	42.78	42.10	81.28
Elongation ratio			
Range	1~4.55	1~4.55	1.04~1.98
Mean	1.37	1.37	1.31
Median	1.30	1.30	1.28
Circularity index			
Range	0.33~0.98	0.33~0.98	0.74~0.96
Mean	0.89	0.89	0.88
Median	0.92	0.92	0.90
Compactness index			
Range	0.45~0.88	0.45~0.88	0.70~0.82
Mean	0.78	0.78	0.77
Median	0.78	0.78	0.76
Length to depth ratio			
Range	0.11~6.06	0.11~6.06	0.30~2.56
Mean	0.84	0.87	0.87
Median	0.77	0.77	0.72

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

4.2 Spatial distribution patterns

The spatial distribution patterns of the loess sinkholes have been analyzed considering their relationships with other geomorphic features (Fig. 3) and using spatial analysis and statistics tools (Fig. 8). The detailed geomorphological map of the Sunjiacha basin reveal that sinkholes are preferentially distributed in the following zones (Fig. 3): (1) the margins of the deeply entrenched lower-middle section of the Sunjiacha trunk stream; (2) tributary gully systems in the lower-middle part of the Sunjiacha basin; (3) landslides (slid mass and crown), mostly associated with the trunk channel; and (4) man-made terraces. The Kernel density model in Figure 8a shows low densities mainly associated with upper part of the Sunjiacha basin, where the drainage network shows lower degree of incision, and rounded divides characterized by low local gradients. Overall, there is a good spatial correlation between sinkholes and areas with high local topographic gradients and loess deposits disturbed by landslides. The hot spot model based on sinkhole area shown in Figure 8b illustrates a pronounced cluster of small sinkholes (cold spots in blue) associated with recent landslides in the lower sector of the basin. Clustering of large sinkholes (hot spots in red) mainly occur along the main drainages of tributary catchments in the lower part of the Sunjiacha basin.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

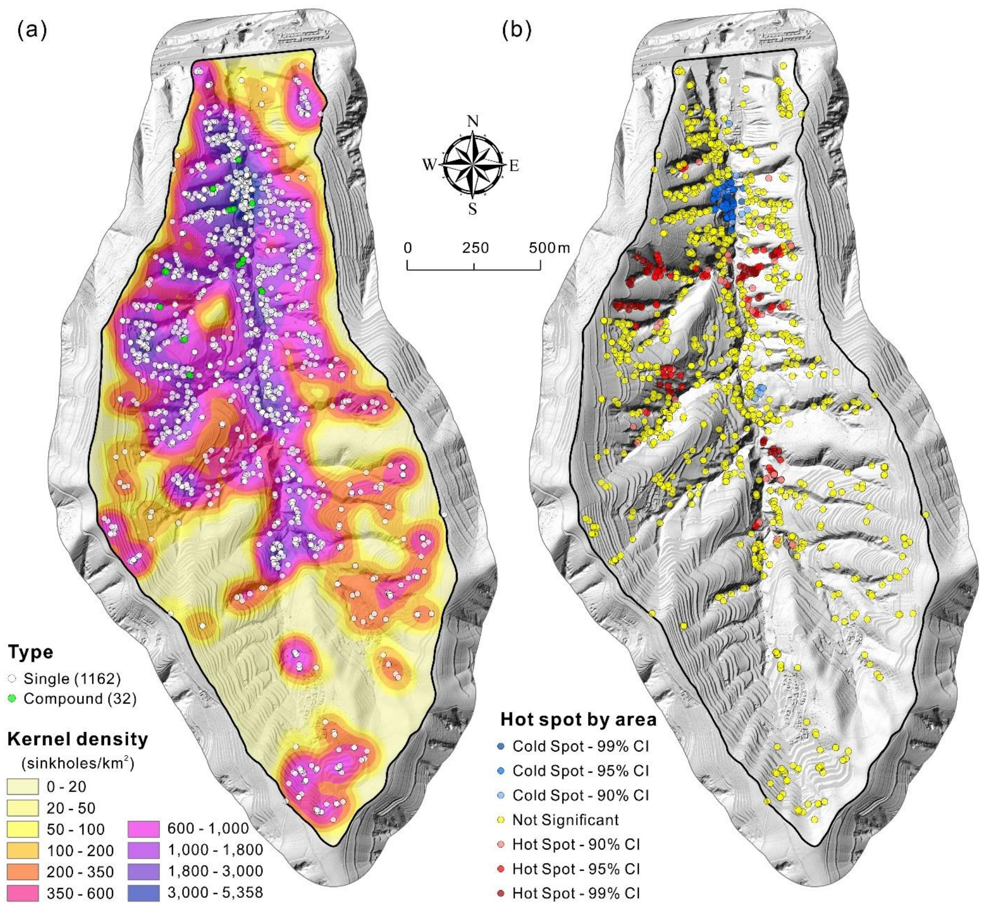

Figure 8. Spatial patterns of loess sinkholes: (a) Type and kernel density map (search radius: 100 m); (b) Hot spot map by sinkhole area (threshold distance: 100 m).

4.3 Morphometric analysis

Here below we analyze the spatial and morphometric parameters computed for the 1194 inventoried sinkholes (1162 single, 32 compound), their frequency-size distribution (Fig. 9), as well as some spatial patterns based on the distribution of different value ranges (Fig. 10).

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

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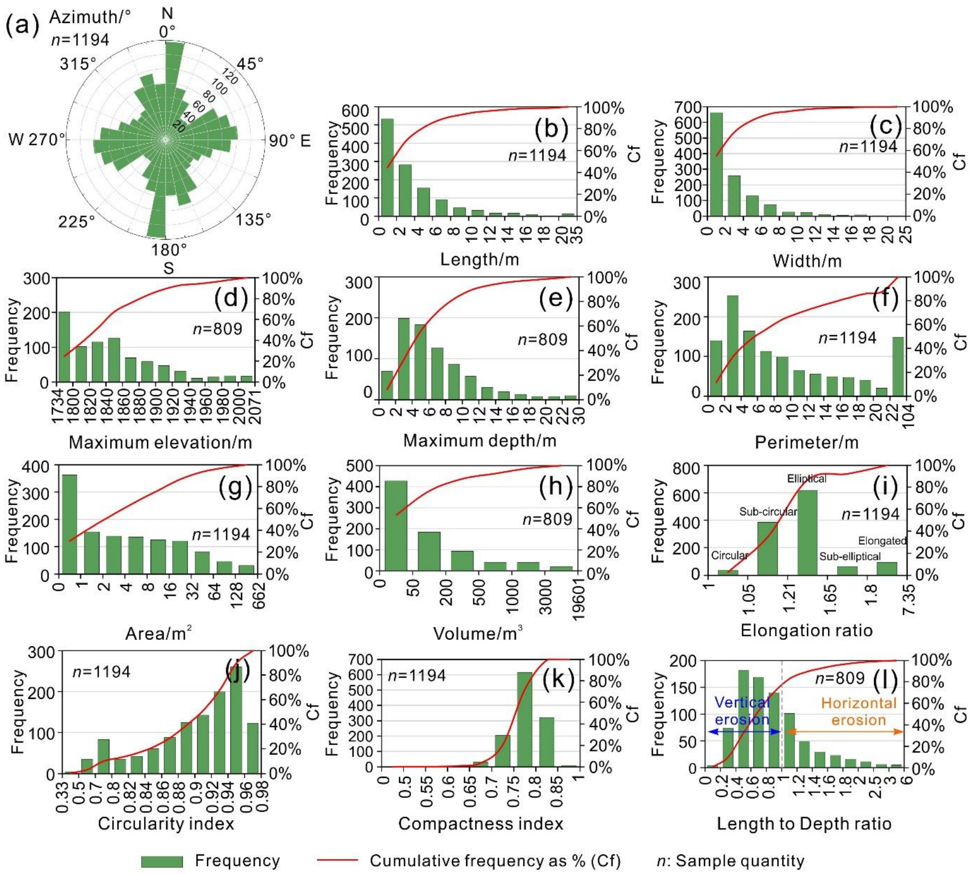

Figure 9. Frequency distribution, represented as number of sinkholes and cumulative frequency in percentage, of different spatial and morphometric parameters of the inventoried sinkholes: (a) Azimuth; (b) Length; (c) Width; (d) Maximum elevation; (e) Maximum depth; (f) Perimeter; (g) Area; (h) Volume; (i) Elongation ratio; (j) Circularity index; (k) Compactness index; (l) Length to Depth ratio.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

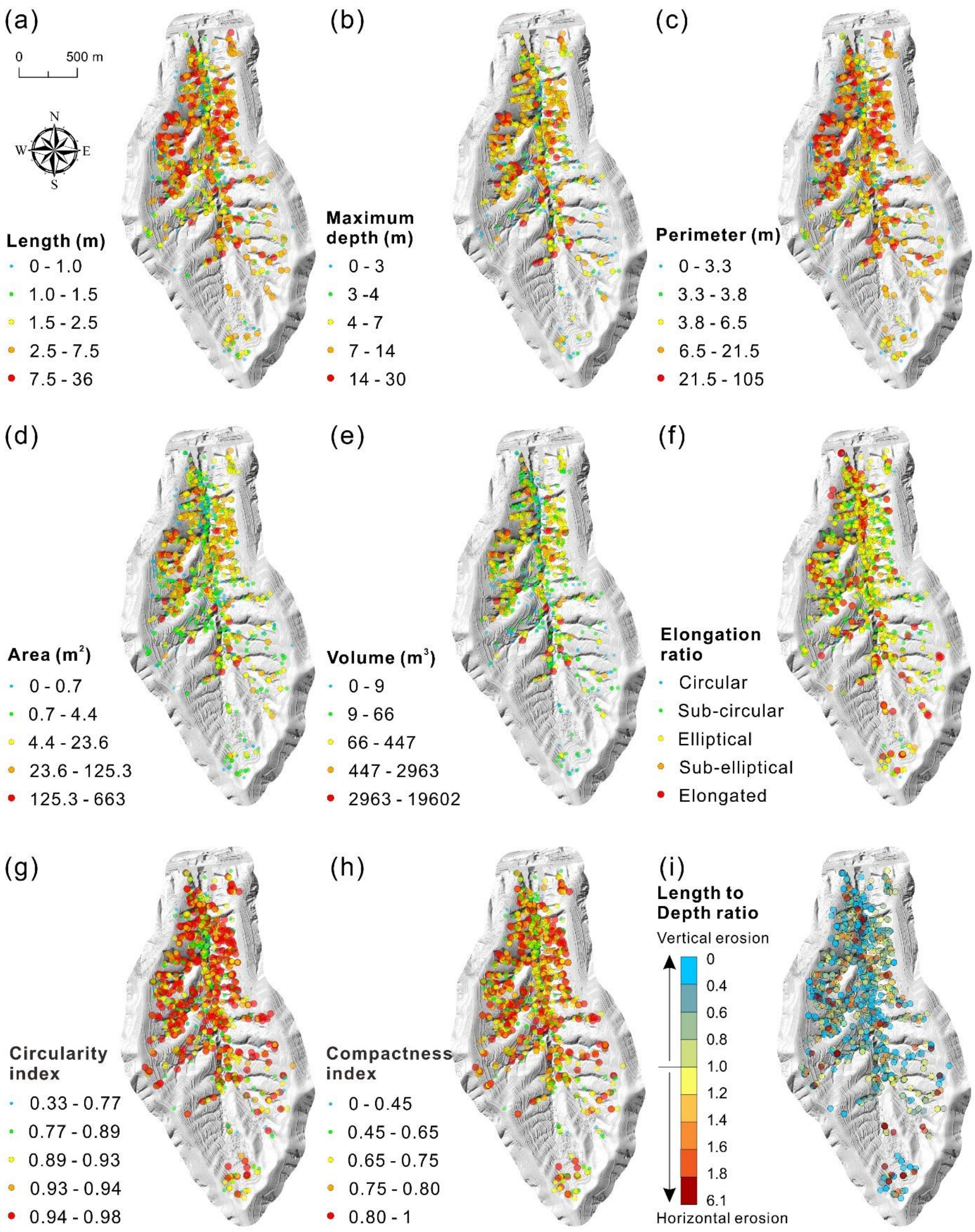

Figure 10. Spatial distribution of the sinkholes categorized into five value ranges: (a) Length; (b) Maximum depth; (c) Perimeter; (d) Area; (e) Volume; (f) Elongation ratio; (g) Circularity index; (h) Compactness index; (i) Length to Depth ratio.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

4.3.1 Spatial parameters

The analyzed spatial parameters include the orientation of the sinkholes (azimuth) and the maximum elevation. The rose diagram in Figure 9a illustrates the frequency distribution of the azimuth of the major axes of sinkholes, showing preferred N-S and W-E orientations. The number of sinkholes in the Sunjiacha basin decreases as elevation increases (Fig. 9d). In the relatively low elevation range of 1734~1860 m, there are 545 sinkholes (67.37%), while the number of sinkholes in the relatively mid-elevation range of 1860~1960 m, and in the high-elevation range of 1960~2071 m are 216 (26.58%), and 48 (6.05%), respectively. Approximately 94% of the sinkholes are located in the more dissected mid and low elevation areas, despite they represent 76% of the basin area.

4.3.2 Planimetric morphometric parameters

The analyzed planimetric morphometric parameters include length, width, perimeter, area, elongation ratio, circularity index, and compactness index. The frequency distribution of the length (Fig. 9b) and width (Fig. 9c) of sinkholes exhibits a consistent pattern, characterized by exponential decay as the values increase. The number of sinkholes with lengths and widths ranging from 0 to 2 m is the highest, totaling 533 (44.64%) and 661 (55.36%), respectively. Conversely, sinkholes exceeding 10 m in length and width account for only 7.45% and 4.19% of the total sample, respectively. The map in Figure 10a reveals that sinkhole length shows some spatial patterns, with smaller sinkholes preferentially occurring in areas with lower degree of dissection (i.e., head of the basin and slopes close to the basin divides) and in recent landslides associated with the trunk stream.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

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Regarding the ratio between length and width (elongation ratio, *ER*), Basso et al. (2013) and Zumpano et al. (2019) classified the plan shape of sinkholes into five categories: circular ($ER \leq 1.05$), sub-circular ($1.05 < ER \leq 1.21$), elliptical ($1.21 < ER \leq 1.65$), sub-elliptical ($1.65 < ER \leq 1.8$), elongated ($ER > 1.8$). Figures 9i and 10f show that sinkholes tend to have some degree of elongation, but without showing any clear spatial pattern in relation to this parameter. Elliptical shapes dominate in the study area, with 618 sinkholes (51.76%), followed by sub-circular morphologies with 384 depressions (32.16%). Elongated sinkholes also represent a considerable number, totaling 93 (7.79%). Circular and sub-elliptical sinkholes are relatively infrequent, with 35 (2.93%) and 64 (5.36%), respectively. Similarly to length and width, the frequency of sinkhole perimeter and area shows a general decreasing trend as the size increases (Figs. 9f, g). The maximum perimeter and area reach 104 m and 662 m^2, respectively. Sinkholes with a perimeter ≤4 m represent 21.9% (253) of the inventory, and 30.40% those with an area ≤1 m^2. In agreement with length and width, sinkholes with large perimeter and area tend to occur un sectors of the basin where the drainage net shows a greater degree of entrenchment, with the exception of some recent landslides (Figs. 8b, 10c, and 10d).

The circularity index (*CLI*) quantitatively assesses how much the shape of a sinkhole deviates from a perfect circle. CLI is equal to 1 in the case of a perfect circular shape and attains progressively lower values as it becomes less circular (e.g., elongated, irregular edge). The circularity index statistics indicate that 89.87% (1073 sinkholes), 60.64% (724 sinkholes), and 10.30% (123 sinkholes) of the mapped sinkholes have a *CLI* greater than 0.8, 0.9, and 0.96, respectively (Figs. 9j, 10g). The compactness index (*COI)* also quantifies how close is the shape

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

of the sinkhole perimeter to a circle. The elongation and/or complexity of the sinkhole perimeter contributes to reduce the *COI* below 1. The loess sinkholes with a *COI* greater than 0.6, 0.7, and 0.8 represent 99.58% (1189 sinkholes), 96.40% (1151 sinkholes), and 27.72% (331 sinkholes) of the sinkholes, respectively (Figs. 9k, 10h). The statistics of both the *CLI* and *COI* reveal that the perimeter of a great proportion of the sinkholes significantly deviates for a circular shape, in agreement with the calculated elongation ratios. Moreover, these parameters do not show any general cartographic pattern, with the exception of a high proportion of sinkholes with low *CLI* and *COI* values in some landslides associated with the trunk stream (Figs. 10g, h).

4.3.3 3D morphometric parameters

Three-dimensional parameters are those that incorporate the vertical dimension, including maximum depth, volume, and Length to Depth ratio. Note that large-area and large-perimeter sinkholes may have reduced volume if their depth is low. The frequency distribution of the maximum depth of the sinkholes in the study area shows a positively skewed distribution (Fig. 9e). Sinkholes with depths ranging from 2 to 6 m represent 47.22% of the sample (382 sinkholes). Only 58 sinkholes exceed a maximum depth of 14 m, representing just 7.17% of the total. The deepest sinkhole reaches and extraordinary value of 29.6 m and the average maximum depth is 6.55 m. The frequency of the sinkhole volume decreases exponentially as the size increases, with maximum and average values of 19,601 m^3 and 335 m^3, respectively (Fig. 9h). A total of 428 sinkholes (52.90%) have volumes of ≤50 m^3. The maps in Figures 10b and 10e show that deeper sinkholes and large-volume sinkholes (>500 m^3) preferentially occur

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

associated with deeply incised gullies.

To some extent, the length and depth of the sinkholes reflect the horizontal and vertical development of the depressions, respectively. Thus, the Length to Depth ratio *(LDr)* indicates whether sinkholes have greater horizontal (*LDr* > 1) or vertical *LDr* < 1) dimension. The relative value of these parameters can be influenced by multiple factors and processes, some favoring greater lengths (e.g., sinkhole expansion, sinkhole coalescence) and others greater depth (e.g., deep subsurface conduits, erosion at the floor of sinkhole with bottom outlet). The frequency distribution of the *LDr* shows a positively skewed distribution, with 569 sinkholes (70.33%) having a *LDr* lower than 1 (greater depth than length), while those with a *LDr* greater than 1 represent 29.67% (240) of the sinkholes with depth data (Fig. 9l). These values indicate that subsurface vertical erosion dominates in the formation of loess sinkholes in the study area, largely due to the development of relatively deep pipes within the thick loess cover (Fig. 10i).

4.4 Frequency-size relationships

The semi-log graph in Figure 11 represents separately the length of the 1162 single sinkholes and the 32 compound sinkholes mapped in the Sunjiacha basin, versus relative cumulative frequency. The latter indicates the frequency of sinkholes equal or larger than a given length. The length distribution of the single sinkholes, ranging from 35.1 m to 0.2 m and covering 2.3 orders of magnitude (i.e., log Max/Min), shows a wider range than the compound sinkholes, spanning 1.6 orders of magnitude from 33.9 m to 0.9 m. As expected, compound sinkholes tend to reach larger dimensions (i.e., plotted to the right), with a length value for the cumulative frequency of 0.5, 1.7 times larger than that of single sinkholes (3.8 m vs. and 2.2

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

m).

In both cases, the empirical cumulative frequency-size distribution can be modelled satisfactorily by logarithmic functions (natural logarithm) with a high goodness of fit (R^2 >0.97). The regression of the compound sinkholes describes adequately the distribution for the whole length range. In contrast, the empirical distribution of the single sinkholes deviates from the fitted curve for both small (<0.4 m) and large dimensions (>12.7 m). These cut-off or rollover points indicate lower empirical frequencies for the smaller sinkholes and higher empirical frequencies for the larger sinkholes than those shown by the regression. Given the completeness of the sinkhole inventory, the lower rollover can be attributed to physical constraints, such as the minimum span of a pipe-roof required for a collapse to occur. The upper rollover could be related to factors such as the expansion of single sinkholes and the depth distribution of sinkhole-forming underground pipes, which in the study area can reach significant depths given the high thickness of the loess cover. Note that sinkholes reach a maximum depth of 29.6 m.

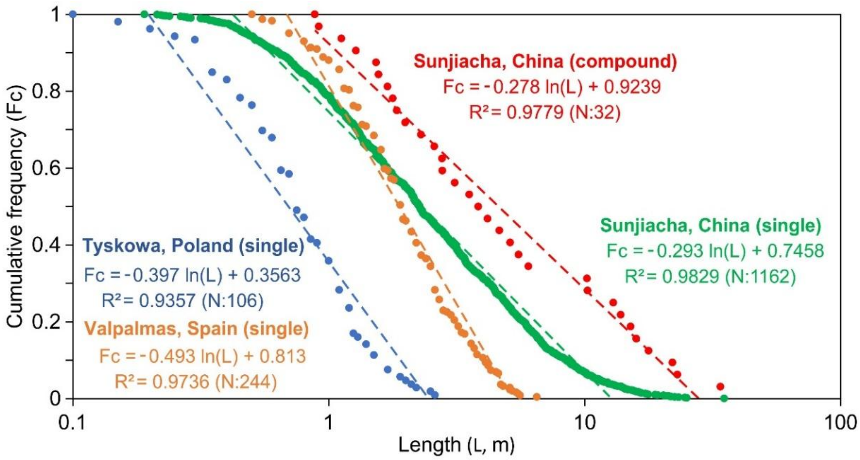

Figure 11. Graph showing the cumulative frequency-size distribution of single and compound sinkholes in the study area, as well as single piping sinkholes in other regions with different

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

soils and environmental conditions (Tyskowa, Bieszczady Mountains, Poland; Valpalmas, Ebro Basin, NE Spain).

4.5 Relationships between different parameters

The planimetric (length, width, perimeter, area) and three-dimensional (maximum depth, volume) size parameters of the sinkholes were fitted pairwise in a matrix diagram showing graphically and with regressions (power functions) the relationships between each pair of morphometric parameters (Fig. 12). As expected, the regressions of pairs of planimetric parameters have always high goodness of fit R^2>0.94. In contrast, the relationship between planimetric and 3D parameters is poorer. R^2 is always <0.6 in the case of maximum depth, and lower than ≤0.9 in the case of volume.

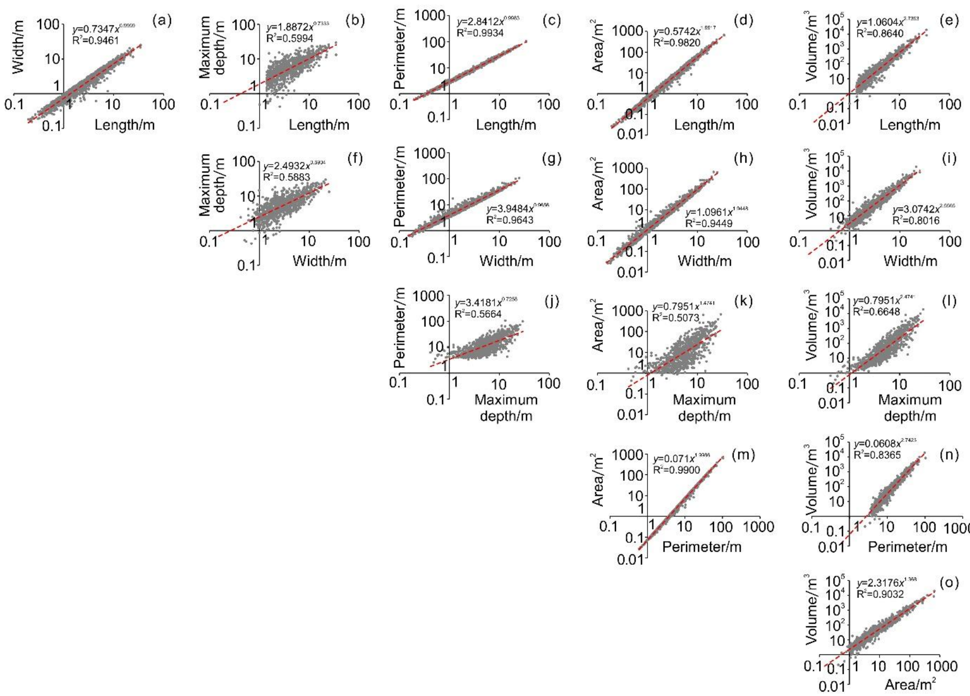

Figure 12. Matrix diagram showing pairwise fitting relationships of planimetric (length, width, perimeter, area) and 3D (maximum depth, volume) morphometric parameters.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

4.6 Subsurface soil erosion

Sinkhole development, including cavity-roof collapse and expansion of sinkhole margins by mass wasting processes, can contribute significantly to soil erosion, despite it is largely overlooked worldwide. The complete and accurate sinkhole inventory constructed in the Sunjiacha basin, including volumetric data, provides an excellent opportunity to assess the impact of sinkhole-related soil erosion within the context of the Loess Plateau. We calculated the soil loss associated with each sinkhole by multiplying the volume of each depression by the soil's dry bulk density, as shown in Figure 13a. Figure 13b illustrates the frequency distribution of soil loss related to individual sinkholes: 0~1 t (389 sinkholes, 32.58%); 1~14 t (211 sinkholes, 17.67%); 14~177 t (361 sinkholes, 30.23%); 177~2014 t (194 sinkholes, 16.25%); 2014~24973 t (39 sinkholes, 3.27%). The aggregate volume of sinkholes (27.08×10^4 m^3) multiplied by the soil's dry bulk density (1.27 t/m^3) yields a total soil loss for the basin of 34.50×10^4 t. Considering the area of the basin (2400 ha), the specific soil erosion related to sinkholes can be estimated at 143.75 t/ha. Note that these values do not include hidden non-collapsed pipes. Figure 13a shows that the impact of the process is quite uneven, with a much greater contribution in the lower half of the basin and in the areas associated with deeply incised gullies.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

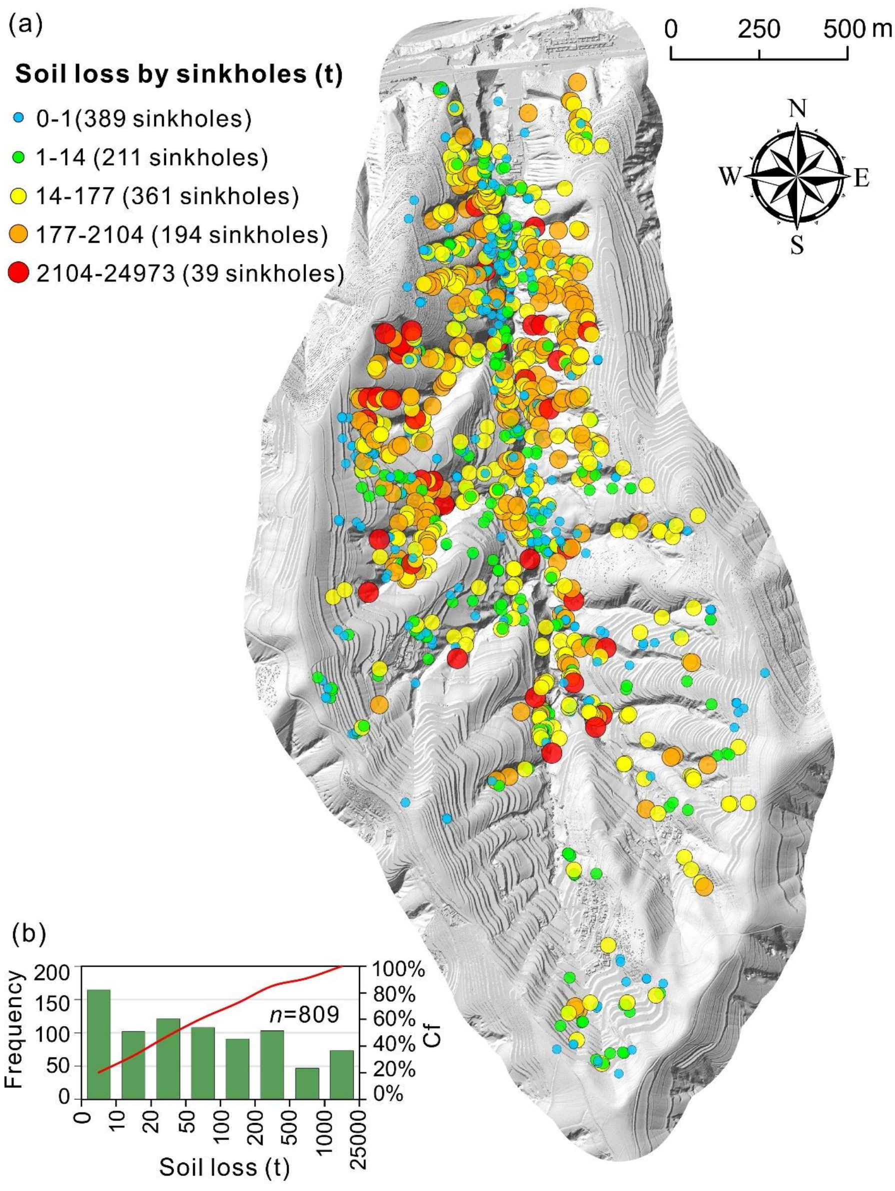

Figure 13. Soil loss by sinkholes. (a) Spatial distribution map indicating soil erosion related to individual sinkholes; (b) Frequency distribution histogram and cumulative frequency (Cf) curve of soil loss by individual sinkholes.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

4.7 In-depth investigation of a complex sinkhole

Point clouds captured by airborne LiDAR surveys cover most of the sinkhole topography, thanks to the vertical orientation of the sensors. However, obtaining comprehensive point clouds of the interior of sinkholes proves challenging due to obstructions and complex morphology. To address the limitations of airborne LiDAR scanning, we employed a handheld laser scanner to conduct an in-depth investigation in the interior of thirteen representative sinkholes. Figures 14a-j shows the field photographs and 3D models of a loess sinkhole (HLS01). Morphometric measurements indicate that the perimeter of the sinkhole at the land surface is 49.7 m, with an area of 179.6 m^2 and a maximum vertical depth of 20.1 m. We adopted both the traditional method and the point cloud slicing algorithm to estimate the volume of this sinkhole. The results show a volume and soil loss of 3610 m^3 and 4585 t calculated by the former method, while the latter yielded values of 1750 m^3 and 2223 t, respectively (Table 3). Due to the fact that the sinkhole has an inclined top opening and a sloping bottom underlain by deposits (Figs. 14a, d), the volume calculated by the traditional method was twice higher than the actual volume. This also proves that handheld laser scanning can capture more accurately the whole geometry of the sinkhole, overcoming the technical shortcomings of airborne LiDAR.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

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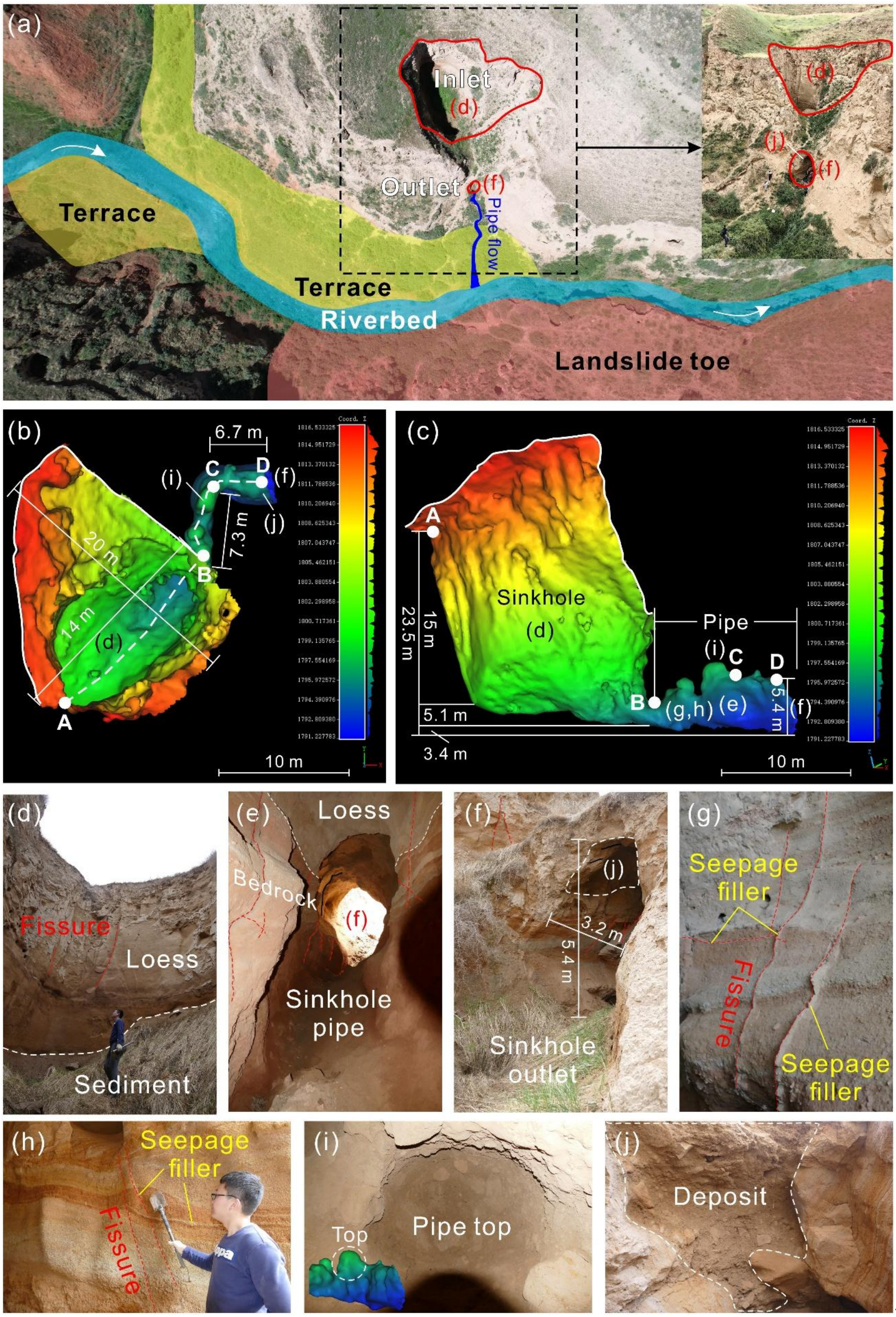
503 

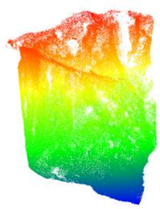
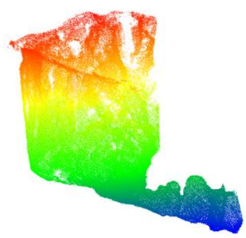
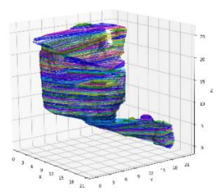
504 **Figure 14.** Investigation of the interior of a representative sinkhole with an opening at the

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

bottom (see Figs. 5a and f): (a) Location, general field view, and landforms; (b) 3D model generated from GeoSLAM point clouds, labelled with morphometric measurements; (c) Model slice along the AD profile line, labelled with morphometric measurements; (d) Photograph of the sinkhole bottom; (e) Photograph of the pipe; (f) Sinkhole outlet; (g-h) Bedrock exposed in the sinkhole wall; (i) Photograph of the pipe top; (j) Poorly-sorted deposits including angular loess clasts accumulated in the sinkhole floor by collapse and mass wasting processes.

Table 3 Comparison of volume and soil loss calculated by traditional method and point cloud slicing algorithm.

	Traditional method	Point cloud slicing algorithm
Data source	Airborne LiDAR	GeoSLAM LiDAR
Visualization	 Vertical scanning by the UAS LiDAR	 Multidirectional scanning by the handheld laser scanner.
Volume calculation principle	Volume= Area×Maximum depth (Gökkaya et al., 2021; De Waele and Gutiérrez, 2022)	 The convex hull algorithm is used to slice the point clouds at a thickness of 0.2 m. The volume of each slice is calculated and then summed up to obtain the total volume.
Soil loss (SL)	SL=ρ×V, where ρ is the dry density of soil, V is the volume of the sinkhole.	
Results	V: 3610 m^3 SL: 4585 t	V: 1750 m^3 (shaft 1606 m^3, pipe 144 m^3) SL: 2223 t

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Interestingly, most sinkholes examined in the field display dominant vertical development, while this particular sinkhole exhibits a complex three-dimensional morphology comprising a vertical shaft connected to a subhorizontal pipe. The upper shaft-like portion of the sinkhole (20 m length × 14 m width × 20.1 m depth) is situated in loess deposits, while the lower portion (14 m length × 3.2 m width × 5.4 m height) is a gently inclined ellipsoidal conduit carved into horizontally bedded and jointed reddish sandstone. This lower conduit ends at the sinkhole outlet perched 8 m above the valley floor (Fig. 14a). We interpret that the development of this complex sinkhole started as a backward propagating conduit at the foot of the slope, associated with a seepage outlet point controlled by joints in the loess cover and the bedrock (Figs. 14d-h). Eventually, the enlarging conduit reached a sufficiently large span to initiate upward roof collapse, ultimately originating the sinkhole. At present, five distinct ceiling cupolas can be clearly observed at the top of this pipe (Figs. 14b, c and i), indicating sites of upward roof propagation (stoping). The incision of the drainage network within a context of rapid crustal uplift resulted in the sinkhole outlet being hanged 8 m above the current thalweg.

Additionally, we observed a significant accumulation of horizontally stratified flood deposits resting atop the aeolian loess on the fluvial strath terrace (Fig. 14a). The interior of the sinkhole is relatively cool and damp, with the bottom underlain by collapsed soil. We found remnants of past flash-flood or debris-flow deposits on the sinkhole floor, as well as on the walls and outlet ceiling of the connected lateral pipe (Figs. 14d, f, j). These sediments may include: (1) Horizontally bedded deposits accumulated during floods in the drainage, with a stage high enough to cause the penetration of flood waters into the sinkhole outlet

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

(backflooding); (2) Massive to poorly stratified deposits derived from collapse and mass wasting processes acting primarily in the pipe roof and sinkholes margins, respectively.

5 Discussion

5.1 Contributions of different factors to the sinkhole development

The development of loess sinkholes is influenced by multiple factors of different nature, such as topography, climate, hydrology, soil texture, joints and fissures, animal activity, plant root systems, human activity (Bernatek-Jakiel and Poesen, 2018; Peng et al., 2018; Geng et al., 2021; Hu et al., 2022; Kariminejad et al., 2023; Li et al., 2024). At the scale of a small basin, climate exhibits minimal variation. We focus our analysis on the relationships between loess sinkholes and variables related to catchment topography, geomorphology, hydrology, and land use. In order to better understand the controlling factors, a number of topographic and geomorphic indices and variables, such as Slope, Total Catchment Area (TCA), Topographic Wetness Index (TWI), Valley Depth (VD), Channel Network Distance (CND), Landslides, and Landuse, were computed with the open-source SAGA GIS platform and subsequently mapped in ArcMap 10.5 (Figs. 15a-g). The selection of these indices and variables is primarily based on the following considerations: (1) Slope provides the inherent hydraulic gradient conditions for rainfall infiltration and surface runoff concentration, controlling the piping process leading to sinkhole development; (2) Total Catchment Area is the upslope land surface that contributes surface and near-surface flow to a given outlet, pixel, or stream segment (Gallant and Hutchinson, 2011). It is a proxy for the potential volume of water that can reach a pipe or a sinkhole site, having influence on their initial formation and subsequent morphological

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

evolution; (3) Topographic Wetness Index is a steady-state, terrain-based proxy for soil moisture and surface saturation potential. It quantifies the tendency of water to accumulate at any location by integrating local slope with the upslope contributing area (Moore et al., 1991); (4) Valley Depth is a measure of the vertical distance from a valley's highest ridges down to its lowest points. It is a proxy of the degree of dissection; (5) Channel Network Distance represents the vertical height from a location to the nearest stream. Its value on valley margins depends on both gradient and planimetric distance to the nearest drainage; (6) Landslides can remodel the local topography and significantly disturb loess deposits, reducing their mechanical strength and increasing their permeability, which in turn favor piping and sinkhole development; (7) Landuse mainly reflects the impact of human activity, notably terracing, on piping and sinkhole development.

In order to assess the spatial relationships between sinkholes and the different indices and variables, we calculated normalized frequencies for different intervals. This normalized frequency (F_n) is given by the ratio between the proportion of sinkholes in the interval and the proportion of the area of that interval. The higher the value of this 'likelihood ratio', the higher the spatial concurrence between sinkholes and the areas with values within the interval (Figs. 15a1-g1). These data, together with the findings presented in the results contribute to shed light into the formation and spatial distribution of the loess sinkholes.

Overall, the normalized frequency graphs show that the distribution of sinkholes is primarily controlled by hydrological, topographic and geomorphic factors. Water availability is an essential factor, in as much as subsurface flow is the geomorphic agent responsible for piping development. This is illustrated by the higher normalized frequencies of sinkholes in areas with high Total Catchment Area (>100 m^2; F_n=2.97) and high Topographic Wetness Index (>9; F_n=4.92). Slope is the main governing topographic factor, which largely determines hydraulic gradient and the erosional capability of subsurface flow. Sinkholes preferentially occur in high gradient areas and close to incised gullies, with high Slope (>40°; F_n=1.82), high Valley Depth (>10 m; F_n=1.98), low Channel Network Distance (<1 m; F_n=1.98), and areas primarily classified as erosional gullies (F_n=2.53). A good spatial correlation is also observed between sinkholes and landslides, with a normalized frequency of sinkholes within landslides (F_n=3.42). These spatial patterns are clearly recognizable in the detailed geomorphological map (Fig. 3) and the Kernel density (Fig. 8a) and hot spot maps (Fig. 8b). The latter shows that sinkholes developed on landslides tend to be smaller. This could be attributed to a younger age of those sinkholes, developed on a more recent geomorphic surface.

The vast majority of the sinkholes occur in erosional gullies (71.44%, 853 sinkholes). This pattern is consistent with findings reported for soil pipes in other regions worldwide (Verachtert et al., 2010; Kariminejad et al., 2023). Incised gullies may foster the development of pipes and sinkholes through various mechanisms (Bernatek-Jakiel and Poesen, 2018; Peng et al., 2018): (1) create steep hydraulic gradients; (2) guide converging surface and subsurface drainage; (3) favor the development of inlet points (e.g., unloading cracks) and outlet points for seepage flow. As shown in Figures 6c-f, rows of sinkholes occur along the bottom of erosional gullies. These sinkholes can be connected through groundwater seepage channels, as confirmed by electrical resistivity tomography surveys in previous studies (Hu et al., 2022). It can be anticipated that,

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

with the expansion and coalescence of the sinkholes, the gully will experience significant entrenchment and will turn into a drainage dominated by surface flow.

Another interesting feature is the close association between landslides and sinkholes in the Sunjiacha basin. Previous studies have shown that soil pipes in slopes favor efficient drainage and, to some extent, help maintain slope stability (Pierson, 1983; Uchida et al., 2001; Sidle and Bogaard, 2016). However, the sinkholes mapped on the landslides have mostly formed after the development of the slope movements. The greater susceptibility of landslide ground to piping and sinkhole development can be attributed to several factors: (1) landsliding contributes to weaken the loess deposits; (2) the internal deformation of the landslide mass typically involves a bulking effect (dilation and volume expansion) accompanied by a permeability increase; and (3) fissures and other pathways for focused water infiltration are common on landslides (Hu et al., 2020, 2022). At some sites, a causal relationship between landslides and sinkholes can be inferred, showing a cascading geomorphic effect. Figures 15f and f1 illustrate that landslides play an important role in the development of sinkholes. The distance to landslides seems to control the development of sinkholes, but this control effect gradually decays with increasing distance from the landslide boundary. Statistics show that as many as 251 sinkholes (accounting for 21%) have developed within the landslides, making the landslide interior the second largest contributor to sinkhole formation (F_n=3.42). Approximately 43% of sinkholes are distributed within the landslide and its outward 20m buffer zone (F_n=1.87). The size of the sinkholes (e.g., length, area and volume), which can be considered as a proxy for their age, seems to be influenced by the age of the geomorphic surface. Mature sinkholes tend to be larger due to

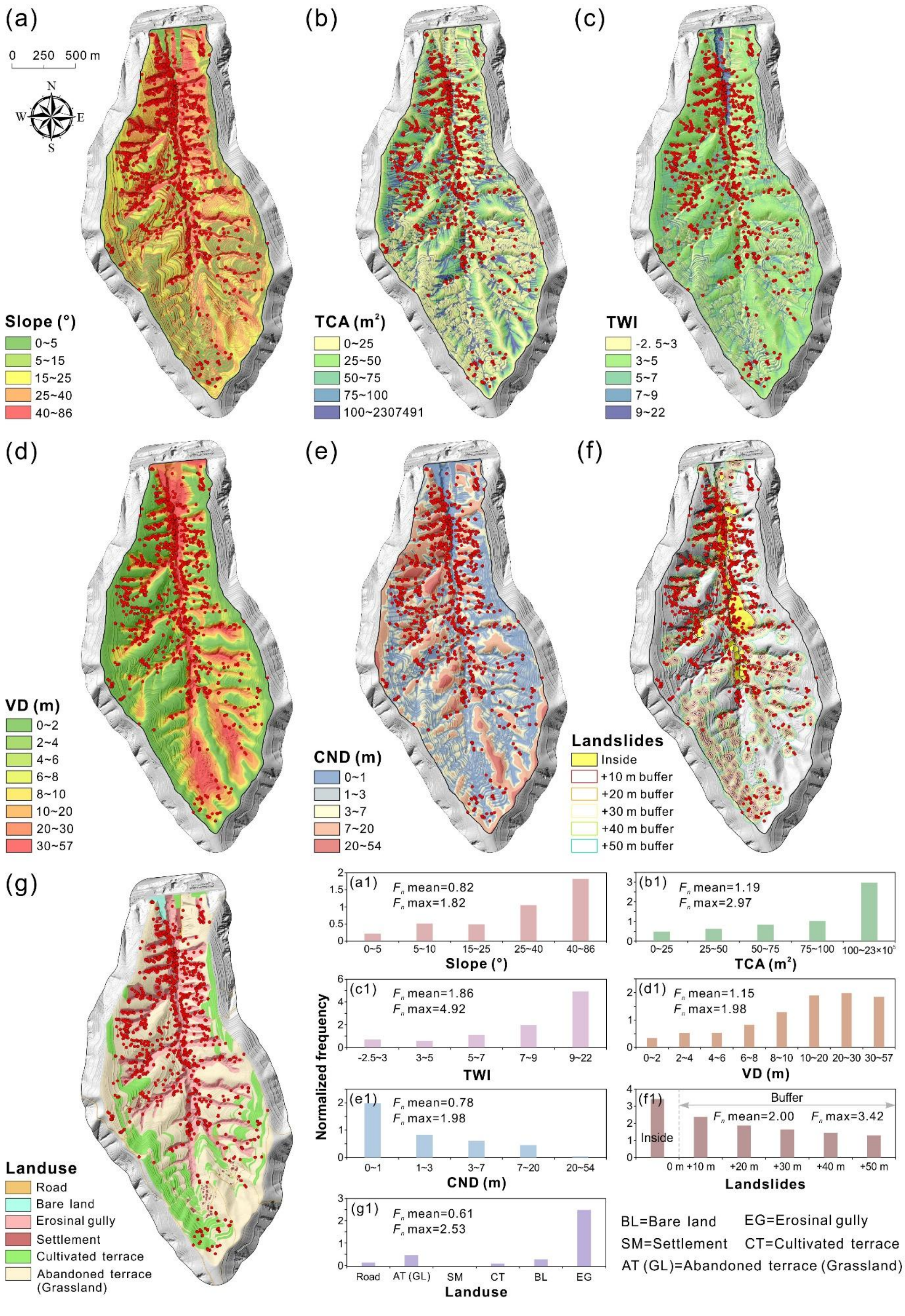
https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

expansion and coalescence, and they usually occur on old geomorphic surfaces (such as old gullies, river terraces and ancient landslides). Conversely, sinkholes developed on landslides that have occurred in the past few years or decades tend to be smaller. This pattern is clearly depicted in the hot spot map shown in Figure 8b.

In recent decades, due to a significant decrease in the local agricultural population and the implementation of policies that promote the conversion of farmland back to forests and grasslands, approximately 74% of the terraced fields have been abandoned for cultivation. The landuse map (Figs. 15g, g1) shows that abandoned terraces have evolved into grasslands in the Sunjiacha basin. Abandoned terraces (25.63%, 306 sinkholes) appear to be more prone to sinkhole formation than cultivated terraces (2.51%, 30 sinkholes). This can be related to more favorable conditions in the abandoned terraces and the lower preservation potential of the sinkholes in the cultivated terraces, where sinkholes tend to be filled soon after their formation. Without a doubt, pipe collapses and gully development pose threats to land productivity, agricultural sustainability, soil nutrient levels, and the carbon cycle, while also potentially destabilizing socio-economic conditions (Llena et al., 2024). By contrast, roads, bare land, and settlement sites seem to exert almost no influence on sinkhole occurrence.

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026



635

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

Figure 15. Spatial relationships between sinkholes and different indices and variables expressed as maps (a-g) and normalized frequency graphs. (a1-g1).

5.2 Spatial and morphological features

Sinkholes tend to be elongated and preferentially oriented in the Sunjiacha basin (Figs. 9a, i). The majority of the major axes of the sinkholes align closely with the directions of the trunk (N-S) and secondary (E-W) channels in the watershed (Fig. 3). These directions tend to guide: (1) subsurface water flow and the trend of pipes generated by internal erosion, and (2) the orientation of unloading cracks (e.g., scarped channel margins) through which water can infiltrate. Both the pipes and the cracks influence the horizontal development of the sinkholes by mass wasting processes acting in the margins and coalescence (e.g., merging of aligned sinkholes connected to a common pipe).

The altitudinal distribution of sinkholes (Fig. 9d) may be governed by several factors: (1) the density and entrenchment degree of the drainage network is higher at lower elevations; (2) ground disturbed by landslides chiefly occurs at low elevation areas associated with the trunk Sunjiacha stream; (3) high-elevation zones (e.g., rounded drainage divides) generally have lower topographic gradient, lower degree of dissection, thinner loess cover, and more restricted runoff contributing areas.

The deeper and larger sinkholes tend to be distributed in the deeper valleys (Figs. 10b, e). This pattern can be attributed to the development of deeper subsurface pipes in areas with thicker loess, greater topographic gradient and lower local base level. Thicker loess tends to accumulate in paleotopographic lows, which subsequently guide gully networks.

The goodness of fit between the planimetric and 3D parameters of the sinkholes is

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

relatively poor (Fig. 12). This indicates a limited dependence between the horizontal and vertical dimensions of sinkholes, in agreement with the wide range shown by the Length to Depth ratio (0~6). That is, sinkholes with small area can have significant depth and volume, and sinkholes with limited volume can reach relatively large areas. This is also reflected by the relatively poor fit shown between the two 3D parameters (volume and maximum depth; R^2=0.66). Even so, the fitting equations presented in Figure 12 provide preliminary empirical support for characterizing and predicting scaling relationships for sinkholes in the Loess Plateau.

5.3 Frequency-size relationships of sinkholes in different soils and environments

The cumulative frequency-size graph in Figure 11 shows that the length distribution of the compound sinkholes (red) is clearly displaced towards larger dimensions with respect to the single sinkholes (green). The average length of the compound and single sinkholes are 7.37 m and 3.65 m, respectively. This expected deviation in the size distribution can be explained by the different sets of processes that operate in the development of the two sinkhole populations. The size of the single sinkholes is related to pipe-roof collapse and the subsequent expansion of the scarped edge of the depressions by erosional processes, mainly mass wasting and gullying. The size tends to increase with the time elapsed since the initial collapse, as the sinkhole edge recedes. Compound sinkholes result from the coalescence of adjoining and expanding sinkholes and/or the occurrence of a new sinkhole intersecting a pre-existing one, leading to the sudden enlargement of the depressions. The contribution of these processes (coalescence, intersection) is influenced by the density and clustering degree of the sinkholes, in as much as the likelihood of sinkhole aggregation is greater in tightly clustered sinkhole populations (Bernatek-Jakiel et

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

al., 2019; De Waele and Gutiérrez, 2022; Sevil and Gutiérrez, 2023). Moreover, sinkhole merging entails a decrease in sinkhole density by number and a substantial increase in sinkhole size.

Figure 11 shows the cumulative frequency-length distribution of the single and compound sinkholes mapped in the Sunjiacha basin, together with the single sinkholes inventoried in two catchments with contrasting geological and climatic conditions (Bernatek-Jakiel et al., 2019): Valpalmas in the Ebro Cenozoic Basin (NE Spain), and Tyskowa in the Bieszczady Mountains of the Outer Eastern Carpathians (Poland). The pipe collapses in Valpalmas occur in Holocene valley-fill alluvium consisting of indurated and Na-rich cohesive clayey silt that reaches around 8 m in thickness. Here, the climate is semiarid (mean precipitation 500 mm) and sinkholes tend to occur associated with the edge of erosional scarps, showing a tightly clustered distribution. The pipe collapses in the Tyskowa catchment can be considered as a representative sample of those inventoried in several catchments of the Biezszczady Mts., characterized by a humid climate (mean precipitation 900 m; Bernatek-Jakiel et al., 2019). Here, sinkholes occur on relatively thin slope deposits with some eolian component consisting of poorly indurated clayey silt. The single sinkholes in Valpalmas (orange) show a similar size to the single sinkholes in Sunjiacha for the central cumulative frequencies (i.e., Fc 0.5~0.6). Nonetheless, single sinkholes in Valpalmas display a much narrower length range (1.1. vs. 2.3 orders of magnitude, steeper curve) and significantly smaller maximum dimensions (6.5 m vs 35.1 m). The more restricted size range of the small sinkholes can be attributed to the fact that the inventory in Valpalmas was restrictired to sinkholes with lengths $\geqslant 0.5$ m. The differences between

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Sunjiacha and Valpalmas can be ascribed to factors such as the greater morpho-sedimentary diversity of Sunjiacha, where sinkholes occur in a broad range of deposits and geomorphic settings (e.g., loess, colluvium, alluvium), and the wide depth range of sinkhole-forming pipes, substantiated by the measured maximum depth of the sinkholes, ranging from 29.6 to 0.42 m (Figs. 9e, 10b and 14c). Single sinkholes in the humid Biezszczady Mts. of Poland are much smaller, mainly because they occur on thinner and mechanically weaker deposits. The weaker the soils, the smaller the largest span that can reach cavities before collapse. Induration of the deposits by secondary carbonate (i.e., cementation) in this humid environment is less significant that in the semiarid environments of Valpamas and the Loess Plateau.

5.4 Limitations and prospects

Extensive field surveys reveal that loess sinkholes possess highly complex three-dimensional morphologies, rather than a simple cylindrical or conical shape (Figs. 6i, 7c, 14b and 16; Hu et al., 2024). This is illustrated by the high-resolution scanning of 142 sinkholes with a handheld laser device carried out in 2021 in a small basin, named Laozigou, east of our study area (Hu et al., 2024; Jiang et al., 2024). The data can be accessed at https://doi.org/10.1016/j.geomorph.2024.109404. As shown in Table 3, volume estimates based on airborne LiDAR point clouds and simplified volume estimation methods can lead to highly inaccurate approximations. The aggregate volume, and hence the inferred soil loss reported in our study area may therefore be overestimated. Several factors may contribute to the deviation between the actual volume and the volume calculated, leading to over- or under-estimations: (1) volumes are calculated using maximum depth and assuming a cylindrical geometry, but

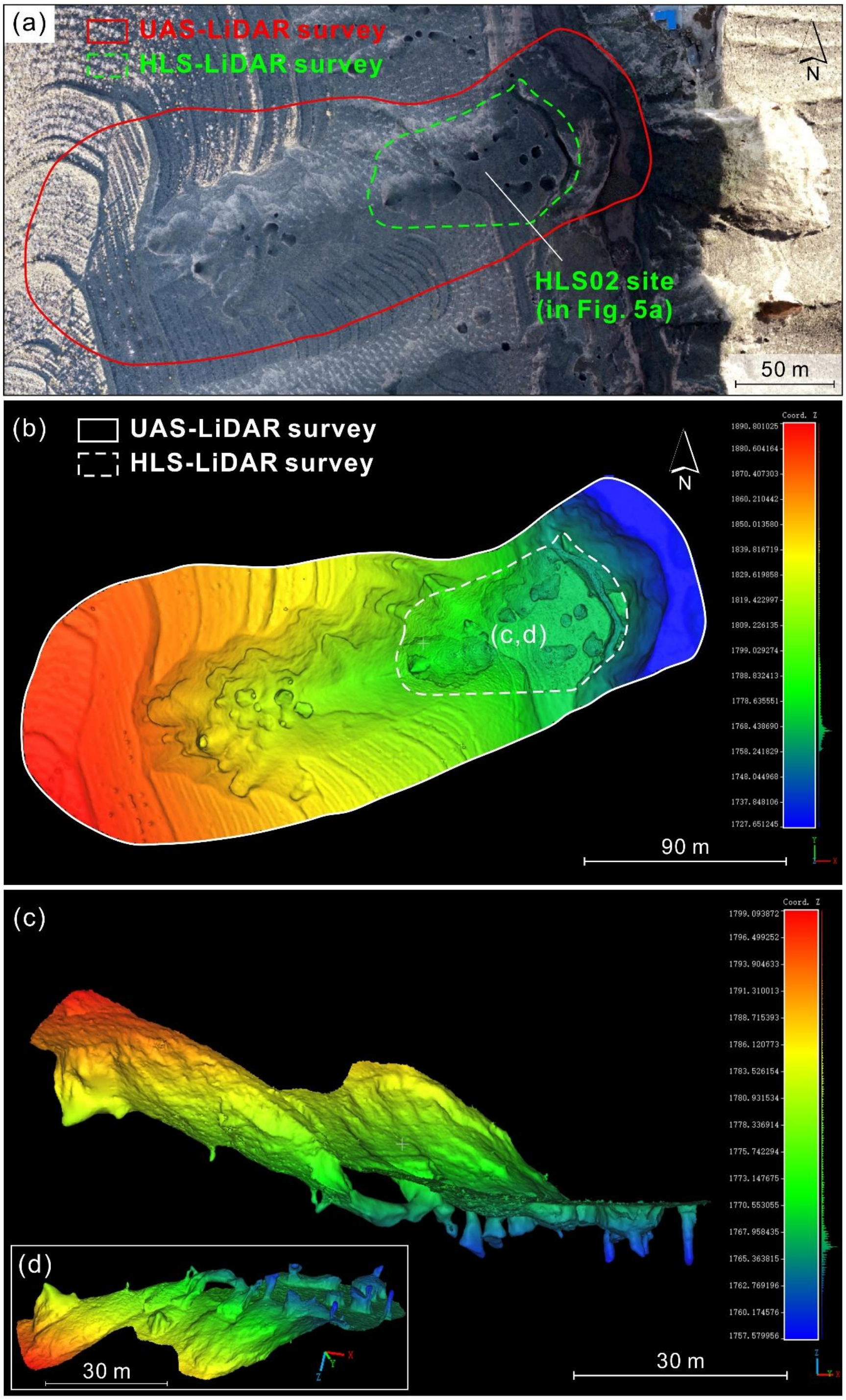
https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Open Access Earth System Science Data Discussions

sinkholes may be conical (overestimation) or the actual depth may be deeper (underestimation); (2) sinkholes may be connected to conduits that cannot be imaged in airborne surveys, resulting in underestimations.

Encouragingly, the comprehensive point clouds acquired by the handheld scanner enable us to develop far more precise cloud-slicing and volumetric-integration algorithms for exact volume computation (Hu et al., 2024). This will enable us to develop a more reliable fitting formula relating sinkhole area and volume, which could be used for refining the results obtained from the UAS surveys. We conducted a survey of a gully by jointly employing UAS-LiDAR and HLS--LiDAR technologies and found that the integrated point cloud data can effectively delineate the internal structure and connectivity of sinkholes, as they overcome the limitations of a single LiDAR technology. Meanwhile, machine-learning approaches for the automatic detection and delineation of sinkholes are rapidly emerging and showing promising results (Zhu et al., 2016, 2020; Jiang et al., 2024; Li et al., 2024; Coşkuner et al., 2025; Creati et al., 2025). Indeed, we have already implemented an end-to-end workflow that couples airborne LiDAR point clouds with deep-learning models to achieve automatic sinkhole identification, instance segmentation, feature extraction, cataloguing, and mapping (Li et al., 2025, in press).

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026



737

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

Figure 16. Sinkhole investigations by jointly using UAS-LiDAR and HLS-LiDAR: (a) The survey areas of the two LiDAR devices; (b) The mesh model generated from the merged point cloud data; (c) The side view of mesh model of HLS-LiDAR survey area; (d) The bottom view of c.

6 Data availability

The dataset supporting this study is openly available on Zenodo at https://doi.org/10.5281/zenodo.14000267 (Hu et al., 2025).

7 Conclusions

High-resolution models derived from photographs and LiDAR data captured with a UAS have allowed the production of a comprehensive cartographic inventory of loess sinkholes in a catchment (2,4 km^2) of the Chinese Loess Plateau with a high density of sinkholes (ca. 500 sinkholes/km^2). The spatial data, including a bare-surface digital surface model and a 3D terrain point cloud, was appropriate for accurately mapping the sinkholes, differentiating between single (1194) and compound depressions (288), and extracting precise planimetric morphometric parameters. This is the first morphometric dataset available for the piping-related sinkholes of the Chinese Loess Plateau. Three dimensional parameters such as depth and volume can be also extracted or estimated, although with much higher uncertainty. Rough cumulative volume estimates yield sinkhole-related soil erosion values of around 140 t/ha. The work illustrates that the limitations of the airborne data for measuring 3D morphometric parameters can be overcome by using SLAM-based handheld scanners. The 3D point clouds obtained with these devices at specific sinkholes, although labor intensive, allow measuring precisely the volume of the scanned voids. Nonetheless, hidden pipes, which may account for

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

a significant volume of subsurface erosion, remain elusive for these direct surveying techniques.

The sinkholes in the analyzed catchment tend to be elongated (52% with elongation ratio 1.21-1.65) and preferentially oriented following the dominant trends of the drainage network. They show a broad range of dimensions, ranging from 0.19 to 35.11 m in length (2.3 orders of magnitude). As expected, compound sinkholes tend to be significantly larger that single sinkholes (7.37 m versus 3.65 m in average length, respectively), although the degree of coalescence is rather moderate (single 97.3%; compound 2.7%). A remarkable feature of the investigated sinkholes is their large vertical dimension. Around 70% of the sinkholes are deeper than longer. The average and maximum depths are 6.5 m and 29.6 m, respectively, indicating the development of deep-seated pipes in thick loess cover or even within the jointed and friable sandstone bedrock. Comparison with other morphometric datasets from semiarid Spain (fine grained alluvium) and humid Poland (thin loess-rich colluvium) reinforces the large size of the studied sinkholes in the Chinese loess, developed on much thicker loess and generally rooted in deeper pipes. The frequency-size relationships produced could be transformed in sinkhole hazard curves incorporating the time dimension (i.e., timing of sinkhole occurrence).

The spatial relationships between the sinkholes and other geomorphic features and various topographic and hydrologic indices reveal that their development is mainly controlled by the amount of water available for subsurface flow (i.e., runoff contributing area) and topographic gradient. Sinkhole preferentially occur associated with the steep margins of deeply incised streams and gully networks. Recent landslides, underlain by weakened and more porous disturbed loess deposits are also identified as areas especially prone to piping and sinkhole

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

occurrence.

Author contributions.

SH, FG, FZ, and SL designed the study and wrote the manuscript. SH, FG, and SL compiled and analyzed the dataset. SH, XW, JS, and SW performed field investigation. NW, XL, and FG supervised and reviewed the manuscript. All authors contributed to the writing and editing of this paper.

Competing interests.

The contact author has declared that neither they nor their co-authors have any competing interests.

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Acknowledgements.

The authors are grateful to Dr. Anita Bernatek-Jakiel for providing published morphometric data on piping sinkholes in the Valpalmas (Spain) and the Tyskowa (Poland) catchments. The work by FG has been supported by the project DIAPERNO (PID2021-123189NB-I00) of the Spanish Government (Ministerio de Ciencia e Innovación). FG belongs to the IUCA and the Geoenvironmental Processes and Global Change (E02_23R) research group financed by the Aragón Government and the European Social Fund (ESF-FSE).

Financial support.

This research has been supported by the National Natural Science Foundation of China

https://doi.org/10.5194/essd-2025-644
Preprint. Discussion started: 13 January 2026

(grant nos. 42371009, 42001006 and 42230712), the National Key Research and Development Program of China (grant nos. 2022YFC3003401 and 2023YFC3008401).

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