

Satellite-based Analysis of Ocean-Surface Stress across the Ice-free and Ice-covered Polar Oceans

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6 Abstract. Ocean-surface stress is a critical driver of polar sea ice dynamics, air-sea interactions, and ocean circulation. This 7 work provides a daily analysis of ocean-surface stress on 25-km Equal-Area Scalable Earth (EASE) Grids across the ice-free 8 and ice-covered regions of the polar oceans (2011-2018 for Arctic, 2013-2018 for Antarctic), covering latitudes north of 60°N 9 in the Arctic and south of 50°S in the Antarctic and Southern Ocean. Ocean-surface stress is calculated using a bulk 10 parameterization approach that combines ocean-surface winds, ice motion vectors, and sea surface height (SSH) data from 11 multiple satellite platforms. The analysis captures significant spatial and temporal variability in ocean-surface wind stress and the resultant wind-driven Ekman transport, while providing enhanced spatiotemporal resolution. Two sensitivity analyses are 12 13 conducted to address key sources of uncertainty. The first addresses the fine-scale variability in SSH fields, which was 14 mitigated using a 150-km Gaussian filter to smooth three-day SSH datasets and enhance compatibility with the other monthly 15 product, followed by linear interpolation to achieve daily resolution. The second investigates uncertainty in the ice-water drag 16 coefficient, which revealed that variations in the coefficient have a proportional influence on the computed ocean-surface stress 17 under the tested conditions. These uncertainties are most pronounced during winter, with median values reaching 20% in the Arctic and 40% in the Southern Ocean. Validation efforts utilized Ice-Tethered Profiler velocity records, revealing moderate 18 19 correlations (r = 0.6-0.8) at monthly timescales, effectively capturing low-frequency signals but with small northward biases. Satellite-derived velocity fields, including both Ekman and geostrophic components, explain 40-50% of the total variance. The 20 21 unexplained variance reflects unresolved processes, such as mesoscale dynamics and other unparameterized factors. This 22 dataset is publicly available at https://doi.org/10.5281/zenodo.14750492 (Liu & Yu, 2024).



24 1 Introduction

Earth's polar regions have undergone profound changes over the past decades, with sea ice playing a central role in the polar 25 26 climate system. By modulating heat, momentum, and freshwater exchanges at the atmosphere-ice-ocean boundary, sea ice 27 directly influences global climate dynamics (Meehl, 1984; Stammerjohn et al., 2012). In the Arctic, rapid sea ice decline has 28 transitioned the region from predominantly thick, multiyear ice to thinner, more dynamic ice, with increased interannual 29 variability (Comiso et al., 2008; Stroeve and Notz, 2018; Moore et al., 2022; Babb et al., 2022). Meanwhile, Antarctic sea ice 30 trends have shown greater complexity, with a modest long-term increase observed until the mid-2010s, followed by a record 31 loss in 2017 and a subsequent continued decline (Liu et al., 2004; Parkinson, 2019; Turner et al., 2022; Purich & Doddridge, 2023). These changes in sea ice extent and thickness have significant implications for polar systems and global climate 32 feedbacks, influencing the Arctic's ability to regulate planetary heat, as well as impacting marine ecosystem, carbon cycling, 33 34 nutrient distribution, and thermohaline circulation (Talley, 2013; Campbell et al., 2019).

Atmospheric circulation is a primary driver of sea ice dynamics and variability. Geostrophic winds, for instance, account for over 70% of sea ice velocity variability (Thorndike and Colony, 1982; Maeda et al., 2020), while broader climate modes, including the Arctic Oscillation, Pacific Decadal Oscillation, and Southern Annular Mode, influence ice extent and distribution (Rigor et al., 2002; Park et al., 2018; Lefebvre et al., 2004). These wind-driven processes interact with sea ice to modify oceansurface stress, impacting Ekman dynamics and the transport of heat, salt, and nutrients (Yang, 2006, 2009; Meneghello et al., 2017). This feedback mechanism, often described as the "ice-ocean governor," plays an important role in regulating polar freshwater storage and circulation (Marshall and Speer, 2012; Abernathey et al., 2016; Ma et al., 2017).

42 Despite significant advancements in understanding these processes, direct measurements at the ice-ocean interface remain

43 limited, with most data concentrated in the Arctic's Canada Basin (Smith et al., 2019; Regan et al., 2019). Satellite remote

44 sensing has been instrumental in addressing these gaps, providing open ocean-surface wind retrievals available since 1988 (Yu

45 & Jin, 2014a) and tracking sea ice motions since 1978 (Cavalieri et al., 1996). Recent advances in satellite altimetry further

46 enable high-resolution monitoring of sea surface height (SSH) changes, offering new insights into mesoscale ocean dynamics

47 (Armitage et al., 2016, 2017; Prandi et al., 2021).

Building upon the concepts developed in previous studies (Yang, 2006, 2009; Meneghello et al., 2018), this analysis utilizes recent satellite-based datasets on wind, ice motion, and SSH to analyze ocean-surface stress across both ice-free and icecovered polar seas. Specifically, we present a daily analysis of ocean-surface stress at 25-km resolution using Equal-Area

51 Scalable Earth (EASE) Grids from 2011 to 2018 for Arctic and 2013-2018 for Antarctic, covering latitudes north of 60°N in

52 the Arctic and south of 50°S in the Antarctic and Southern Ocean (Figure 1).

53 Section 2 provides a description of the satellite datasets used and processing steps, along with the methods for calculating

54 ocean-surface stress and Ekman circulation. Section 3 presents the time-mean patterns and variability of the derived surface

55 stress and Ekman pumping fields. Section 4 addresses quantification of uncertainties in the analysis, including sensitivity to

56 the ice-water drag coefficient and comparisons of with in-situ data.





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Figure 1: Study region in (a) Arctic and (b) Southern Oceans. Blue shading represents the bathymetry in meter. Solid and dashed magenta lines indicate the median sea ice extent boundaries for March and September, respectively, defined by areas with sea ice concentration.

63 2 Data, Method and Processing of the Analysis

64 2.1 Calculation of Ocean-Surface Stress and the Ekman Transport

The ocean-surface stress is estimated using the methodology proposed by Yang (2006, 2009), with modifications by Meneghello et al. (2018). The total ocean-surface stress (τ_o) is calculated as a weighted linear combination of ice–water stress

67 (τ_{iw}) and air-water stress (τ_{aw}) , based on the fractional sea ice concentration:

$$68 \quad \tau_o = \alpha \tau_{iw} + (1 - \alpha) \tau_{aw} \tag{1}$$

69 where α is set to 0 for the ice-free surfaces (defined as sea ice concentration less than 15%) and 1 for ice-covered surfaces

70 (defined as sea ice concentration exceeding 15%). The stresses τ_{iw} and τ_{aw} are parameterized using quadratic drag laws:

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$$\tau_{iw} = \rho_w C_{D,iw} | U_{ice} - U_e - U_g | (\boldsymbol{U}_{ice} - \boldsymbol{U}_e - \boldsymbol{U}_g)$$
(2)

73
$$\tau_{aw} = \rho_a C_{D,aw} | U_{10} | U_{10}$$
 (3)



- where U_{ice} , U_e , U_g , and U_{10} are the local ice motion, Ekman velocity, geostrophic velocity, and equivalent neutral wind at 10m height, respectively. $\rho_w = 1027.5$ kg m⁻³ and ρ_a represent the densities of water and air. In this product, τ_{aw} is taken directly from existing satellite wind products (Yu and Jin 2014a, b).
- 77 $C_{D,iw}$ is the ice-water drag coefficient and $C_{D,iw} = 5.5 \times 10^{-3}$ is adopted in this product as it is a commonly recognized value.
- 78 It is worth noting that, due to the limited availability of direct observations, $C_{D,iw}$ is identified as a key source of uncertainty.
- 79 A sensitivity analysis is therefore provided in the following section to evaluate its potential impact.
- In Equation (2), surface ocean velocity expressed as the sum of U_g and U_e . The geostrophic velocity U_g can be calculated from dynamic ocean topography datasets (McPhee 2013; Armitage et al. 2016, 2017). The Ekman velocity U_e , which moves at an angle of 45° to the right of the ocean-surface stress in the Northern Hemisphere, is calculated as:

83
$$U_e = \frac{\sqrt{2}e^{-i(\pi/4)}}{f\rho_w D_e} \tau_o$$
 (4)

84 where f is the Coriolis parameter, and D_e is the Ekman layer depth. Since U_e and τ_o are interdependent in Eqs. (1) and (4), a

modified Richardson iteration method is applied to solve them iteratively until converge is achieved, starting with $U_e = 0$ in

- 86 the first iteration (Yang 2006).
- 87 Subsequently, the vertical Ekman velocity w_e can be calculated as follows:

88
$$w_e = \frac{1}{f\rho_w} \nabla \times \tau_o$$
(5)

89 A positive w_e indicates upwelling, while a negative w_e corresponds to downwelling.

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91 2.2 Data Description

The calculation of total ocean-surface stress (Eqs. (1)–(4)) requires the following input datasets: ocean-surface wind stress (τ_{aw}) , sea ice concentration (α), sea ice motion (U_{ice}), and dynamic topography for geostrophic velocity (U_g). A brief description of each satellite-based dataset is given in Table 1.

95

96 Table 2: Gridded satellite datasets used in the work.

Variable	Source	Resolution	Period	Reference
Surface	OAFlux2	Daily, 0.25°	1988-present	Yu & Jin, 2014a, 2014b
Wind Stress τ_{aw}				
Ice Motion U _{ice}	Polar Pathfinder v4	Daily, 25 km	1978-2023	Tschudi et al., 2019
Geostrophic U_g	multi-altimeter dataset	3-Day, 25 km	2011-2021	Prandi et al., 2021
			(Arctic)	
			2013-2021	
			(Antarctic)	





	Sea Ice Concentration NSIDC0051, v2 Daily, 25 km 1988-present DiGirolamo et al., 2022						
	α						
97							
98	In this product, the air-water wind stress is taken from OAFlux2 (Yu & Jin, 2014a, 2014b), a satellite-derived 0.25-degree						
99	gridded air-sea flux daily analysis (1988 to present) developed under the auspices of NASA's Making Earth System Data						
100	Records for Use (MEaSUREs) program (Yu, 2019). OAFlux2 winds are synthesized from 19 active and passive satellite wind						
101	sensors and wind stress are calculated from the Coupled Ocean-Atmosphere Response Experiment (COARE) bulk algorithm						
102	version 3.6 (Fairall et al., 2003).						
103	Daily sea ice motion vectors for the Arctic and Antarctic regions are obtained from the National Snow and Ice Data Center's						
104	(NSIDC) Polar Pathfinder Daily 25 km EASE-Grid Sea Ice Motion Vectors, Version 4 (Tschudi et al., 2019, 2020), covering						
105	the period from 1978 through 2023. The ice motion fields are derived from multiple sources, including passive microwave						
106	radiometers (e.g., SSM/I, AMSR-E), visible and infrared sensors (e.g., AVHRR, MODIS), scatterometers (e.g., QuikSCAT),						
107	drifting buoys (e.g., IABP), and atmospheric reanalysis winds. Feature-tracking algorithms are applied to sequential satellite						
108	images to identify ice displacement, while optimal interpolation techniques combine the various data sources to produce daily						
109	sea ice motion estimates. The resulting vectors represent sea ice displacement over a 24-hour period and are gridded onto a 25						
110	km EASE grid.						
111	Geostrophic velocity in the Arctic and Antarctic are obtained from the CLS/PML multi-altimeter combined Arctic/Antarctic						
112	Ocean sea level dataset (Prandi et al., 2021). This dataset spans latitudes north of 50°N on a 25 km EASE2 grid, with a temporal						
113	resolution of one grid point every 3 days. Covering the Arctic from 2011 to 2021 and the Antarctic from 2013 to 2021, the						
114	CLS dataset mitigates the spurious meridional signals often introduced by the longer sampling intervals of CryoSat-2						
115	observations (Auger et al., 2022).						
116	Due to the scarcity of reliable sea surface height data in polar regions, the study also uses the Dynamic Ocean Topography						
117	(DOT) dataset (2003-2021) from the Centre for Polar Observation and Modelling (CPOM; Armitage et al., 2016, 2017) for						
118	comparison and error estimate. CPOM DOT dataset offers relatively coarse spatial resolution and monthly temporal interval						
119	(Auger et al., 2022).						
120	The Sea Ice Concentrations from Nimbus-7 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data, Version 2 (NSIDC-						
121	0051, Cavalieri et al., 1996; DiGirolamo et al., 2022) is used to define the daily ice boundary based on the 15% ice						
122	concentration threshold. NSIDC-0051 provides a reliable, long-term record of sea ice concentration, making it valuable for						
123	studying sea ice conditions and large-scale climate variability (Parkinson, 2019). Widely recognized for its accuracy, the						
124	dataset is frequently used to validate and improve climate model simulations. The daily dataset is available from 1987 to the						
125	present and provide a coverage on a 25 km resolution polar stereographic grid for the both polar regions.						
126	Another issue arises with the OAflux wind dataset, where a significant gap between its ice mask and dataset 0051 is observed						
127	after 2018 (higher than 5% of total grids). This discrepancy limits the reliability of results in the marginal zones in recent years.						

128 Therefore, considering all factors, the study period for Antarctica is constrained to six years (2013–2018), while an eight-year



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- 129 period (2011–2018) is maintained for the Arctic. However, updates can be easily extended as new data becomes available,
- 130 particularly with the inclusion of high-resolution sea surface height measurements.

131 2.3 Data processing procedure

- 132 Using the methodology described in Eqs. (1)-(5) and the input data listed in Table1, the workflow for processing and analysing
- 133 data to calculate ocean-surface stress and derive vertical Ekman velocity is shown in Figure 2.



135 Figure 2: Workflow for data processing and analysis to calculate ocean-surface stress and derive vertical Ekman velocity.



All datasets are interpolated onto a common 25 km EASE grid format, providing uniform spatial resolution and facilitating consistent analysis across the Arctic and Antarctic regions. Although the discrepancies in sea-ice boundaries are very limited over 2011-2018 (less than 2% of total grids), ice concentration and motion data are adjusted to better align with OAflux2 wind stress. Noting the 25 km resolution could introduce uncertainties near the 15% sea ice concentration boundary, which is commonly used to distinguish sea-ice cover in satellite products. In this grid, the transition between sea ice and open water can span just one grid cell. As a result, care must be taken when interpreting results in these areas to accurately capture marginal ice zone dynamics.

We employed a 2D Gaussian filter with a standard deviation of 75 km to improve consistency and interpretability between CLS and CPOM DOT datasets, which have different resolutions and small-scale characteristics. A sensitivity test is conducted to determine the optimal filter radius, ranging from 50 km to 250 km. Smaller filters (e.g., <50 km) preserve small-scale variability but may complicate the interpretation of large-scale features, while larger filters (e.g., >250 km) can excessively smooth mesoscale processes, such as boundary currents, reducing the dataset's ability to capture key processes of polar dynamics.

To find the optimal filter size, a series of tests were conducted for 2011. The effectiveness of each filter setting is evaluated using the Root Mean Squared Deviation (RMSD):

151
$$RMSD = \sqrt{\frac{1}{N} \sum_{i,j} (w_{i,j} - w_{ref,(i,j)})^2}$$
 (6)

where *w* represents the local vertical Ekman velocity w_e derived from the CLS dataset, filtered with a specific Gaussian filter size (e.g., 100 km, 150 km, etc.), and w_{ref} is the reference vertical Ekman velocity calculated using the CPOM dataset. N is the total number of the grid points with sea ice coverage.

155 The unfiltered CLS dataset exhibits clear seasonal variations in RMSD, with values peaking at 25 cm/day during winter and 156 decreasing in summer (Figure 3a). Applying a Gaussian filter significantly enhances agreement with the CPOM dataset, 157 reducing RMSD by 10–15 cm/day for most of the year. However, in late summer the reduction is only 2–5 cm/day.

Increasing the filter size further enhances spatial agreement (Figure 3b). From no-filter to a 100 km filter, the annual mean RMSD is reduced to 17 cm/day, and increasing the filter size to 150 km further lowers the RMSD to 15.5 cm/day. The standard deviation of daily RMSD is also reduced by half with 150 km filter compared to the unfiltered results. However, larger filter sizes (e.g. 200 km and 250 km) yield only marginal additional improvements. Therefore, the 150 km Gaussian filter is selected as a practical and effective balance between preserving spatial features and minimizing small-scale variability for this work.

- 163 Figure 3c-h demonstrates the impact of varying filter sizes on the spatial structures of τ_o and w_e on March 15, 2011. Without
- 164 filtering, the CLS dataset exhibits residual meridional striping due to satellite sampling artifacts (Auger et al., 2022). This
- 165 pattern is significantly suppressed with a 150 km Gaussian filter. Between the filtered CLS-derived w_e (150 km) and CPOM-
- 166 derived w_e , the correlation coefficients improving markedly from 0.77 (no filter) to over 0.95 (p < 0.05).
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169 Figure 3: Area-averaged ocean-surface stress τ_o and vertical Ekman velocity w_e regarding Gaussian filter setting. (a) Annual cycle 170 of root mean squared deviation (RMSD) of w_e over 2011. Blue shades show total ice-cover areas (right axis). (b) Annual mean RMSD 171 of w_e with shading indicating one standard deviation over a year. (c) Snapshot of τ_o with unfiltered CLS (3/15/2011). (d) Same as c 172 but with 150 km Gaussian filter. (e) Same as c but with CPOM. (f-h) Same as (c-e) but for w_e . Streamlines in (c-e) show the direction 173 of τ_o . Black contours in (c-h) mark the 15% ice concentration on 3/15/2011.



175 3 Results and Regional Statistics

176 3.1 Arctic Ocean

In this section, we provide a concise overview of the surface stress and the corresponding Ekman velocity fields. Figure 4a shows the time-averaged ocean-surface stress (τ_o) field across the Arctic for 2011–2018. The highest τ_o appears in the icefree Nordic Seas, where strong wind-ocean interactions drive surface stress exceeding 0.3 N/m². In contrast, sea ice reduces momentum transfer and lower the τ_o in ice-covered regions. In the Seasonal Ice Zone (SIZ), marked by the March and September sea ice boundaries, τ_o typically remains below 0.05 N/m². Within the Perennial Ice Zone (PIZ), bounded by the

- 182 September sea ice boundary, it drops further to below 0.02 N/m².
- 183 The seasonal cycle of τ_o is the dominant temporal variability across the Arctic (Stroeve and Notz, 2018). The standard
- 184 deviation (STD) shows a spatial distribution similar to the time-averaged τ_o (Figure 4b), with high variability (>0.1 N/m²) in
- 185 ice-free regions like the Nordic Seas. Variability is significantly suppressed in the SIZ and PIZ, with values below 0.02 N/m²
- and 0.01 N/m², respectively. The R² (the proportion of variance explained by the seasonal cycle) shows that in open-ocean
- regions, 40–60% of variance is explained by seasonal variability (Figure 4c). In ice-covered areas, this ratio drops to less than
 30%.
- 189 The time-mean Ekman pumping rate (w_e), alongside its STD and R² patterns are given in Figure 4d-f. Strong upwelling (>50
- 190 cm/day) is observed in the Nordic Seas, while strong downwelling (<-10 cm/day) occurs in the Beaufort and Chukchi Seas.
- 191 The spatial pattern of STD w_e is similar to that of τ_o (Figure 4e). Seasonal variability ranges from 10–20 cm/day in ice-free
- 192 regions, 4–6 cm/day in SIZ, and falls below 4 cm/day in the PIZ. Seasonal variability accounts for up to 60% of w_e variance
- 193 south of the Denmark Strait, but in other regions, including both ice-covered and ice-free zones, it typically explains 10–30%.
- 194







Figure 4: Mean and variability of ocean-surface stress τ_o and Ekman pumping rate w_e (positive indicates upwelling, negative indicates downwelling) in the Arctic region over 2011-2018. (a) Mean τ_o , with streamlines indicating the direction of stress. (b) Standard deviation of τ_o seasonal variability. (c) R², representing τ_o variance explained by seasonal variability. (d-f) Same as (a-c) but for w_e . Streamlines in (a) show the direction of τ_o . The solid and dashed black lines represent the March and September sea ice boundaries, respectively, defined by 15% sea ice concentration averaged over 2011-2018.

201

- 202 The seasonal cycle of area-averaged wind-ocean surface stress (τ_{aw}) is marked by strong values in winter, peaking around 0.4
- 203 N/m², and much weaker values in summer, dropping below 0.05 N/m² (Figure 5a). This variation corresponds to the seasonal
- 204 retreat of sea ice and the associated expansion of open ocean during summer months.
- 205 In ice-covered regions, the seasonal cycle of ice-ocean surface stress (τ_{iw}) is similar to that of τ_{aw} , though with significantly
- 206 lower magnitudes (Figure 5c). The seasonal peak of τ_{iw} is slightly higher in 2018 than 2013, increasing from 0.010 N/m² to
- 207 nearly 0.018 N/m².





In ice-free regions, the average pumping rate $w_{e,aw}$ peaks during winter upwelling, reaching around 30 cm/day, and transitions to weak downwelling during the summer (Figure 5e). Annual variation in winter maximum upwelling rate is evident, with a notable decline to 10 cm/day by late 2018 (Figure 5f). In contrast, in ice-covered regions, $w_{e,iw}$ is predominantly negative (Figure 5g), although occasional summer upwelling events occur on daily scales. Notably, the winter downwelling rate has decreased from approximately -8 cm/day in 2013–2014 to about -4 cm/day by 2017.

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Figure 5: Mean seasonal cycle and annual time series of area-averaged surface stress τ_o (red) and Ekman pumping rate w_e (orange, positive indicates upwelling, negative indicates downwelling) for the Arctic region. Total areas a of the corresponding areal coverage are also plotted in blue. Variables are subscripted aw when averaged/summed over ice-free open ocean, and are subscripted iwwhen averaged/summed over ice-covered open ocean. Annual and monthly means are shown as dots in all panels. (a) Seasonal cycle of τ_{aw} over the ice-free open ocean. (b) Timeseries of τ_{aw} from 2011-2018. (c) Seasonal cycle of τ_{iw} over the ice-covered ocean. (d) Timeseries of τ_{iw} from 2011-2018. (e-h) same as (a-d) but for w_e .

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222 3.2 Southern Ocean

223 The spatial distribution of the time-mean τ_o in the Antarctic region is shown in Figure 6a. τ_o exhibits a prominent circumpolar

224 pattern. In ice-free regions, τ_o typically ranges from 0.2 to 0.3 N/m². In the SIZ, τ_o decreases significantly, falling to 0.04–

 $225 \quad 0.06 \text{ N/m}^2$, with strong regional variability.

226 The STD of τ_o seasonal variability is evidently strong near the September sea ice boundary, exceeding 0.1 N/m², particularly

227 in the Indian Ocean sector and the southeast Pacific (Figure 6b). Moving northward into subpolar open-ocean, the STD

228 gradually declines to approximately 0.04 N/m². Within the SIZ, seasonal variability diminishes further, typically ranging from

229 0.02 to 0.04 N/m². In the PIZ, it drops below 0.02 N/m². The R² shows that in regions such as the Indian Ocean and southeast

230 Pacific, seasonality explains over 50% of the total variance, while in other areas, this proportion ranges from 20% to 40%

231 (Figure 6c).

232 The spatial structure of the time-mean w_e reveals widespread upwelling south of 50°S (Figure 6d), extending nearly all the

233 way to the coast of Antarctica. In contrast to the Arctic, where strong ice-ocean coupling leads to clear transitions between

234 upwelling and downwelling across ice boundaries, the Southern Ocean does not exhibit this distinct pattern. Downwelling is

235 generally found around 55°S and farther north, or more narrowly along the Antarctic coastline.

The STD pattern of seasonal variability in w_e is relatively consistent across the Southern Ocean (Figure 6e), regardless of seaice coverage, with an average value of approximately 10 cm/day. Higher variability, reaching up to 20 cm/day, occurs only

238 near the September ice boundary and is very localized. The R² pattern is also relatively homogeneous, with most areas showing

239 seasonal variability accounting for about 30% of the variance. Along the east coast of Antarctica, the seasonal cycle explains

240 more than 50% of the variance.







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Figure 6: Mean and variability of ocean-surface stress τ_o and Ekman pumping rate w_e (positive indicates upwelling, negative indicates downwelling) in the Antarctic region over 2013-2018. (a) Mean τ_o , with streamlines indicating the direction of stress. (b) Standard deviation of τ_o seasonal variability. (c) R², representing τ_o variance explained by seasonal variability. (d-f) Same as (a-c) but for w_e . Streamlines in (a) show the direction of τ_o . The solid and dashed black lines represent the March and September sea ice boundaries, respectively, defined by 15% sea ice concentration averaged over 2013-2018.

- 249 The seasonal cycle and time series of area-averaged air-water stress τ_{aw} in the Antarctic are shown in Figures 7a and 7b. In
- 250 ice-free regions of the Antarctic, the average τ_{aw} peaks in August at 0.36 N/m² and reaches its minimum in January at 0.13
- 251 N/m². Annual variability is relatively small, ranging between 0.022 and 0.026 N/m², with a notable positive anomaly in 2015,
- 252 when the annual mean briefly increased to 0.028 N/m^2 .
- 253 In ice-covered regions, ice-water stress τ_{iw} shows a delayed seasonal cycle compared to τ_{aw} and peaks in September (Figure
- 254 7c). It is approximately one-fifth to one-half of τ_{aw} , ranging between 0.02 N/m² and 0.08 N/m². The seasonal pattern is

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asymmetrical and aligns with the seasonal cycle of sea ice coverage (Eayrs et al., 2019). Similar to the Arctic, the area-averaged summer minima of τ_{iw} is slightly higher in 2018 compared to 2013, increasing from 0.010 N/m² in to 0.022 N/m².

The seasonal cycle of open-ocean Ekman pumping rate $w_{e,aw}$ is relatively weak (Figure 7e), with higher values in winter (12 cm/day) and lower values in summer (5 cm/day). The absence of a distinct seasonal signal is likely due to the weaker seasonal

cycle observed in 2017 and 2018 (Figure 7f). The annual mean varies narrowly between 7 and 9 cm/day.

260 In ice-covered regions, $w_{e,iw}$ is mostly positive throughout the year, with a brief downwelling period between January and

261 April. A shift toward stronger downwelling occurs in February, with mean values decreasing from -2 cm/day in 2013 to nearly

262 -10 cm/day by 2017. A notable anomaly occurred in 2015 when the annual mean rose sharply from 2 cm/day to 4 cm/day.







Figure 7: Timeseries and seasonal cycle of area-averaged surface stress τ_o (red) and Ekman pumping rate w_e (orange, positive indicates upwelling, negative indicates downwelling) for the Antarctic region. Total areas a of the corresponding areal coverage are also plotted in blue. Variables are subscripted aw when averaged/summed over ice-free open ocean, and are subscripted iw when averaged/summed over ice-covered open ocean. Annual and monthly means are shown as dots in all panels. (a) Seasonal cycle of τ_{aw} over the ice-free open ocean. (b) Timeseries of τ_{aw} from 2013-2018. (c) Seasonal cycle of τ_{iw} over the ice-covered ocean. (d) Timeseries of τ_{iw} from 2013-2018. (e-h) same as (a-d) but for w_e .

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272 4 Uncertainty and Data Quality Assessment

273 4.1 Sensitivity Analysis of Ice-Water Drag Coefficient and uncertainty estimate

The ice-water drag coefficient, $C_{D,iw}$ is often assumed to be constant across time and space due to the scarcity of direct observations that capture its spatiotemporal variability. However, $C_{D,iw}$ can vary significantly depending on environmental conditions such as wind and wave dynamics, ice roughness, sea ice concentration, and surface morphology (Lüpkes et al., 2012; Lüpkes and Birnbaum, 2005; Cole & Stadler, 2019). Reported values for $C_{D,iw}$ range from 0.7 to over 10.0×10^{-3} (Overland, 1985; Guest and Davidson, 1987, 1991; McPhee, 2008; Cole et al., 2014), with some extreme cases reaching magnitudes on the order of 10.0×10^{-1} (Kawaguchi et al., 2024).

Commonly, a representative value of 5.5×10^{-3} has been widely adopted as a pragmatic approximation by the scientific community (Guest and Davidson, 1987; Anderson, 1987). However, this approximation may overlook important spatial and temporal variations in $C_{D,iw}$, highlighting the need for ongoing efforts to improve observations and refine its parameterization. To evaluate the sensitivity of estimated τ_o to the variations in $C_{D,iw}$, two sets of experiments are conducted for 2011: one with

fixed $C_{D,iw}$ values ranging from 1.0×10^{-3} to 10.0×10^{-3} , and another using a randomized weighting map, dynamically varying

285 $C_{D,iw}$ between between order of 10^{-3} and 10^{-2} on a daily basis at each grid cell.

The amplitude of τ_o scales proportionally with $C_{D,iw}$, as implied from Eq. 2 (Figure 8a). For fixed coefficients, the summer mean τ_o increases from 0.003 N/m² at $C_{D,iw} = 1.0 \times 10^{-3}$, to 0.015 N/m² at $C_{D,iw} = 10.0 \times 10^{-3}$, while winter means rise from 0.012 N/m² to 0.053 N/m². Results from the random-weighted $C_{D,iw}$ experiment closely follows the fixed cases of $C_{D,iw} = 5.0$ -6.0 × 10⁻³. Similarly, the annual mean τ_o and its standard deviation increase proportionally with $C_{D,iw}$ (Figure 8b), quadrupling the annual mean and raising the standard deviation from 0.003 N/m² to 0.017 N/m² as $C_{D,iw}$ increases.

- 291 Figures 8c-h show the spatial distribution of τ_o and w_e in response to varying $C_{D,iw}$. Under low $C_{D,iw}$ circumstances,
- 292 momentum transfer between ice and ocean is reduced, leaving small scale variability indistinct particularly in the central Arctic.
- As $C_{D,iw}$ increases, regions with high surface stress intensify, particularly in areas like Baffin Bay, the Chukchi Sea, and north of Fram Strait.
- At $C_{D,iw} = 1.0 \times 10^{-3}$, the Ekman pumping rate in regions like the Fram Strait barely reach ±8 cm/day, whereas at $C_{D,iw} = 10.0$ × 10⁻³, it exceeds ±30 cm/day, with strong contrasting upwelling and downwelling patterns. additionally, while the random-



- 297 weighted $C_{D,iw}$ experiment introduces spatial noise, the broader spatial structures of both τ_o and w_e remain consistent with
- 298 fixed-coefficient runs.
- 299





Figure 8: Area-averaged ocean-surface stress τ_o and Ekman pumping rate w_e regarding different $C_{D,iw}$. (a) annual cycle of τ_o of 2011, area-averaged over the sea ice-cover region. Blue areas show total ice-cover areas (right axis); (b) annual mean of τ_o with shading indicating one standard deviation over a year. Red dashed line marks $C_{D,iw} = 5.5 \times 10^{-3}$, black dotted line shows the annual mean of random $C_{D,iw}$ experiment. (c) Snapshot of τ_o with $C_{D,iw} = 1.0 \times 10^{-3}$ (3/15/2011). (d) Same as c but with $C_{D,iw} = 10.0 \times 10^{-3}$. (e) Same as c but with random $C_{D,iw}$. (f-h) Same as (c-e) but for w_e . Streamlines in (c-e) show the direction of τ_o . Black contours in (c-h) mark the 15% ice concentration on 3/15/2011.



307

308 The final estimated uncertainty ε_{iw} , in the ice-water stress τ_{iw} is quantified daily through the integration of standard errors 309 from sensitivity analyses of $C_{D,iw}$ and spatial Gaussian filter tests. Both filter tests and $C_{D,iw}$ tests are extended to the full eight-310 year/six-year period. Using the root-sum-square method, the combined uncertainty is expressed as:

311
$$\varepsilon_{iw} = \sqrt{(\varepsilon_{iw,F})^2 + (\varepsilon_{iw,C})^2} = \sqrt{\left(\frac{\sigma_F}{\sqrt{N_1}}\right)^2 + \left(\frac{\sigma_C}{\sqrt{N_2}}\right)^2} \tag{7}$$

where σ_F is the standard deviation of τ_{iw} from different Gaussian filter settings, and σ_C represents the standard deviation of τ_{iw} from sensitivity analysis on varying $C_{D,iw}$. The terms N_1 and N_2 denote the number of runs performed in each sensitivity analysis. This estimate assumes independence between $C_{D,iw}$ and geostrophic fields (which were spatially filtered), with perturbations of comparable amplitude between the two sets of sensitivity analysis.

316 Figure 9 shows the spatial distributions of relative uncertainty (ε_{iw} to τ_{iw}) for the Arctic (March 15, 2013) and the Southern

317 Ocean (September 15, 2013) during winter season. Overall, spatial filtering produces scattered patterns (Figures 9a–9d), while

318 varying ice-water drag coefficient yield smoother distributions (Figures 9e–9h). Median uncertainties are comparable between

319 the two sets of experiments, ranging from 14–18% in the Arctic to 22–25% in the Antarctic. The greater uncertainties in the

320 Antarctic reflect higher local stress variability and increased sensitivity to parameter changes, which also manifests as the

321 higher uncertainties observed in winter compared to summer (Figures 3 and 8).

In the Arctic, combined uncertainties for zonal surface stress (τ_x) typically range from 10–20%, while locally it could exceed along dynamic regions such as the Fram Strait and Beaufort Sea. Meridional stress (τ_y) exhibits similar spatial distributions, but with higher uncertainties near the Mendeleev Ridge. Median uncertainty levels for both zonal and meridional

325 components are below 20%.

326 Conversely, Antarctic uncertainties are substantially higher, with median values around 40%. The highest uncertainties (>60%)

327 are concentrated near the sea ice boundary, particularly in the eastern Weddell and Ross Seas. Regional hotspots include the

328 Antarctic Peninsula and western Ross Sea for τ_x , and Enderby Land and the Amundsen Sea for τ_y .

329 Noting these uncertainties come from gridding and theoretical assumption, and are not accounted for the uncertainty from the

330 input datasets. For instance, ice motion typically carries significant uncertainties in both speed and angle, varying substantially

- across different datasets (Sumata et al., 2014; Wang et al., 2022).
- 332







333

Figure 9: Estimated uncertainty fields for zonal and meridional ice-water surface stress, expressed as a ratio to the estimated icewater surface stress in the Arctic (3/15/2013) and Southern Ocean (9/15/2013). (a) standard error introduced by Gaussian filter in the Arctic, zonal direction; (b) error from filter in the Arctic, meridional direction; (c-d) same as (a-b) but for the Antarctic; (e-h) same as (a-d) but for standard error in ice-water drag coefficient $C_{D,iw}$; (i-l) same as (a-d) but for the combined uncertainty.

338

339 4.2 Validation with ITP Observations

- 340 Since surface stress is not usually directly measured, assessing the performance of our analysis is challenging. To address this,
- 341 we revisit the assumption that surface velocity comprises both Ekman and geostrophic components, as described in Eq. (2).
- 342 The geostrophic velocity (U_q) is derived from the dataset provided by Prandi et al. (2021), while the Ekman velocity component
- 343 (U_e) can be easily calculated from the ocean-surface stress using Eq. (4).
- 344 This assumption provides a first-order approximation of surface velocity, and neglects other processes, such as ageostrophic
- 345 motions, vertical shear, and submesoscale dynamics, which may introduce additional uncertainties. Here we compare the





satellite retrieved surface velocity, i.e., sum of U_g and U_e , against velocity profiles obtained from Ice-Tethered Profilers (ITP, Krishfield et al., 2008; Toole et al., 2011; <u>http://www.whoi.edu/itp</u>), which includes velocity sensors (ITP-V) as described by Williams et al. (2010). Noting this comparison does not necessarily validate the accuracy of our stress analysis but rather

evaluates whether the simplified assumptions underlying the methodology adequately capture the complex dynamics of theArctic Ocean.

351

352 Table 2: Details of the ITP-V records

Unit ID	Start		Last		# of Days	# of Profiles	
	Position	Date	Position	Date	_		
ITP-70	76.81°N	8/26/2013	77.11°N	7/15/2014	324	3713	
	138.89°W		156.51°W				
ITP-77	73.37°N	3/11/2014	75.89°N	10/2/2014	206	2367	
	134.99°W		158.50°W		(153*)	(1800*)	
ITP-78	74.36°N	3/12/2014	74.08°N	8/6/2014	148	1694	
	135.14°W		145.43°W		(130*)	(1500*)	
ITP-79	75.38°N	3/22/2014	75.02°N	9/30/2014	193	1694	
	136.50°W		148.37°W		(143*)	(1636*)	
ITP-80	77.36°N	8/14/2014	75.68°N	5/24/2015	284	3260	
	146.15°W		151.79°W				

^{*}Data towards the end of the series exhibits quality issues that necessitate truncation.







Figure 10: ITP-V drift tracks in the Arctic Ocean (colored curves). The deployment locations are marked by circles, latest locations
 by triangles, and the cutoff locations for ITP-77 and ITP-79 by stars.

358

355

We use velocity data collected from five ITP-V missions deployed on multiyear sea ice in the Canada Basin between 2011 and 2019 (Figure 10; Table 2). Observations from ITP-77, ITP-78, and ITP-79 are truncated to exclude periods with significant data gaps and drifts near the end of their deployments.

The five ITP-Vs are categorized into two groups based on deployment timing and drift trajectories. ITP-70 and ITP-80 were deployed during summer, operated for ~300 days, and primarily drifted between 75–80°N. In contrast, ITP-77, ITP-78, and

364 ITP-79, deployed in March 2014, operated for less than 200 days and followed more constrained east-to-west trajectories
 365 between 73–75°N.

The satellite-derived velocity, U_a+U_e , is temporally interpolated to pair with the ITP sampling frequency (2–6 hours) and then 366 367 extracted at the nearest grid point along each track (Figure 11). For ITP-70 and ITP-80 pairs, satellite-derived velocity shows moderate agreement, particularly in high-frequency signals. For ITP-77, ITP-78, and ITP-79 pairs, the agreement is weaker, 368 369 especially in the zonal components. In the meridional components, ITP-77 exhibits better agreement during the first 100 days. 370 The reduced agreement for ITP-77, ITP-78, and ITP-79 pairs can be attributed to several factors. The coarse spatial resolution of the satellite data (25 km, daily) may limit its ability to capture small-scale velocity variations. Second, the March deployment 371 372 period coincides with heightened kinetic energy in the Beaufort Gyre (Cassianides et al., 2023), leading to increased eddy 373 activity in the Canada Basin (Son et al., 2022; Regan et al., 2020), which likely contributed to the large velocity variation

- 374 captured by ITPs.
- 375







Figure 11: Timeseries of zonal and meridional surface velocity. (a) Zonal velocity along ITP-70 track. (b) Zonal velocity along ITP77 track. (c) Zonal velocity along ITP-78 track. (d) Zonal velocity along ITP-79 track. (e) Zonal velocity along ITP-80 track. (f-j)
same as (a-e) but for meridional velocity. Green curves represent velocity data retrieved from ITPs. Red curves are collocated
obtained from the satellite-derived velocity fields, i.e., geostrophic plus Ekman velocity.



Figures 12 and 13 present scatterplots comparing the paired observations of each ITP-V with satellite-derived velocity components. In general, ITP-70 and ITP-80 pairs (Figure 12) demonstrate better linear relationships compared to the other ITP-Vs (Figure 13). In all cases, satellite-derived velocity has a weak northward bias.

For ITP-70 pair, a notable pattern emerges after 200 days, with better consistency particularly in the meridional (and northward) direction. Similarly, ITP-80 pair shows better agreement in the zonal component after 200 days. These patterns align with the trajectory data in Figure 10, which show predominantly northward and westward movements for ITP-70 and ITP-80, respectively, during this period. In contrast, the other three ITP pairs exhibit weaker correlations with satellite-derived velocity (Figure 13). Satellite-derived velocity also underestimates the surface velocity observed by ITPs, particularly after 100 days.

389



390

Figure 12: Scatterplots of collocated surface velocity pairs for ITPs with data spanning more than 200 days (unit: m/s). (a) Zonal velocity along ITP-70 track. (b) Zonal velocity along ITP-80 track. (c-d) Same as (a-b) but for meridional velocity. The total number of collocation pairs (N) is given. Correlation coefficients, mean differences (DIF), and standard deviations (STD) of the differences between satellite-derived velocity and ITP observations are also displayed. 95% confidence ellipse (black contour), linear fitting (black dotted line) are also given in each panel.











Figure 13: Scatterplots of collocated surface velocity pairs for ITPs with data spanning less than 200 days (unit: m/s). (a) Zonal velocity along ITP-77 track. (b) Zonal velocity along ITP-78 track. (c) Zonal velocity along ITP-79 track. (d-f) Same as (a-c) but for meridional velocity. The total number of collocation pairs (N) is given. Correlation coefficients, mean differences (DIF), and standard deviations (STD) of the differences between satellite-derived velocity and ITP observations are displayed. 95% confidence ellipse (black contour), linear fitting (black dotted line) are also given in each panel.

403

404 Table 3 and Figure 14 summarize the velocity comparison between satellite-derived estimates and ITP-V observations. A

- 405 consistent northward bias is evident in the satellite-derived velocity. The meridional velocity aligns closely with ITP
- 406 measurements, but the zonal component (ITP-77 and ITP-78) exhibits a weak eastward bias. Satellite-derived velocity also
- 407 have lower standard deviations than ITP-V measurements, indicating reduced variability.
- 408 Satellite-derived velocity explain a substantial portion of the variance in ITP-V measurements with averaging R² at 0.5, and
- 409 reaching as high as 0.8 for the zonal component (ITP-78) and 0.7 for the meridional component (ITP-79). These results
- 410 demonstrate the analysis's ability to capture significant variability, even though correlation coefficients between satellite and
- 411 ITP velocity remain modest, mostly ranging from 0.3 to 0.4.
- 412

Table 3: Comparison of satellite-derived velocity to the ITP velocity along the ITP tracks. Numbers in the bracket are from the velocity fields without spatial filter. Correlations (r) with p < 0.05 are in bold.

ITP-Vs		Mean (ITP)	Mean (Sat.)	STD (ITP)	STD (Sat.)	r	\mathbb{R}^2
ITP-70	Zonal	-0.006	-0.006	0.023	0.014	0.27	0.58
	Meridional	-0.005	-0.003	0.021	0.016	0.39	0.36
ITP-77	Zonal	-0.022	-0.016	0.023	0.012	0.06	0.36
	Meridional	-0.005	-0.004	0.025	0.022	0.33	0.32
ITP-78	Zonal	-0.021	-0.011	0.023	0.014	0.39	0.80
	Meridional	-0.013	0.001	0.027	0.018	0.39	0.44
ITP-79	Zonal	-0.006	-0.007	0.026	0.014	0.22	0.39
	Meridional	-0.020	-0.001	0.028	0.014	0.49	0.73
ITP-80	Zonal	-0.004	-0.001	0.021	0.014	0.38	0.65
	Meridional	-0.002	0.010	0.025	0.014	0.30	0.20
Mean	Zonal	-0.010	-0.008	0.023	0.014	0.27	0.55
	Meridional	-0.009	0.002	0.025	0.017	0.38	0.41









⁴²⁰

421

422 Additionally, satellite-derived velocity shows stronger correlations with ITP measurements when analyzed over longer 423 timescales, such as monthly averages or more. Applying a 30-day low-pass filter to both datasets improves the overall 424 agreement, with correlation coefficients exceeding 0.8 (p<0.05) for zonal geostrophic velocity from ITP-70, ITP-78, and ITP-425 80 pairs, and over 0.7 (p<0.05) for the meridional component of ITP-79 pair (Figure 15). This could come from strong seasonal



- Science Science
- 426 signals in ocean dynamics. The additional application of a 30-day low-pass filter reduces the influence of short-term, small-
- 427 scale features, aligning satellite observations closely with smoothed patterns in ITP measurements.
- 428



429

Figure 15. Bar chart of the correlation coefficient between the timeseries of ITP-V velocity and the satellite-derived velocity. A 30day low pass filter is applied to all timeseries. The Ekman and the geostrophic components of the satellite-derived velocity are also compared.

433 **5 Data Availability**

- 434 Daily fields of ocean-surface stress vectors and derived vertical Ekman velocity for the polar oceans are provided for two
- 435 periods: 2011–2018 for the Arctic (EPSG number 3408) and 2013–2018 for the Antarctic (EPSG number 3409) and are
- 436 available at https://doi.org/10.5281/zenodo.14750492 (Liu & Yu, 2024). The datasets include three auxiliary fields: (i) land
- 437 mask, (ii) grid longitudes and latitudes, and (iii) uncertainty estimates for ocean-surface stress.





- 438 The input datasets can be found at NSIDC (ice motion: <u>https://nsidc.org/data/nsidc-0116/versions/4</u>; ice extent:
- 439 <u>https://nsidc.org/data/nsidc-0051/versions/2</u>) and AVISO website (dynamic topography:
- 440 <u>https://www.aviso.altimetry.fr/en/data/products/sea-surface-height-products/regional/arctic-ocean-sea-level-heights.html</u>).
- 441 ITP-V data used in this work are retrieved from the WHOI website at https://www2.whoi.edu/site/itp/. CPOM-
- 442 DOT/geostrophic currents data are provided by the Centre for Polar Observation and Modelling, University College London
- 443 (<u>https://www.cpom.ucl.ac.uk/dynamic_topography</u>).

444 6 Conclusions

This work presents a daily, 25 km resolution dataset of satellite-derived ocean-surface stress for the Arctic (2011-2018) and Southern Oceans (2013-2018). The dataset provides detailed daily maps of τ_o across polar regions north of 60°N and south of 50°S. This dataset achieves finer spatial and temporal resolution, enabling more precise analysis of short-term air-sea interactions and regional Ekman dynamics. In both the Arctic and Antarctic, it captures short-term and sharp transition between

449 Ekman upwelling in ice-free regions and downwelling in ice-covered areas.

- Uncertainty in the derived ocean-surface stress fields arises primarily from two sources. The first is the spatial filter applied to the SSH datasets, which reduces small scale variability and enhances consistency between the sea level fields. The second source of uncertainty is related to the ice-water drag coefficient, which is poorly observed and can vary significantly between order of 10^{-3} and 10^{-2} . These factors result in a median uncertainty of approximately 20% in the Arctic and about 40% in the Southern Ocean.
- The derived Ekman velocity is used to validate against ITP data from the Arctic's Canada Basin. Satellite-derived surface 455 velocity, which combine Ekman and geostrophic components, capture over 50% of the observed variation in surface velocity. 456 457 Correlation coefficients range from 0.6 to 0.8 on monthly and longer timescales, indicating moderate to strong agreement. It 458 is important to consider the complex dynamics of the Arctic Ocean when interpreting these statistics. In addition to Ekman 459 and geostrophic velocity (Regan et al., 2019), processes such as shallow eddy activity (Timmermans et al., 2008; Kenigson et al., 2021; Meneghello et al., 2021), turbulent mixing (Guthrie et al., 2013; Kawaguchi et al., 2014, 2019), and internal waves 460 (Kawaguchi et al., 2016; Zhao et al., 2016) also contribute to the observed variability. Many of these processes remain 461 462 challenging to observe and parameterize.
- Future updates will focus on two primary areas. First, once the OAFlux ice mask is corrected, we will incorporate 2021 data into the dataset to extend its temporal coverage. Second, the availability of reliable surface height products for the polar region will further enhance data accuracy. While awaiting these advancements, we will assess the potential impacts of transitioning to reanalysis data on our results. Additionally, future research will address key processes that remain underrepresented, such as variable Ekman depth and mesoscale turbulence, to refine the depiction of polar ocean dynamics. Incorporating these factors
- 468 will improve the ability to capture localized features critical for understanding air-ice-ocean interactions.





469 Author contributions

- 470 CL: conceptualization, data curation, formal analysis, methodology, software, visualization, writing original draft preparation,
- 471 writing review and editing. LY: conceptualization, project administration, supervision, validation, writing review and 472 editing.

473 Competing interests

474 The contact author has declared that none of the authors has any competing interests.

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