# A 28 time-points cropland area change dataset in Northeast China from 1000 to 2020

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9 Abstract. Based on historical documents, population data, published results, remote sensing data products, statistical data and 10 survey data, this study reconstructed the cropland area and the spatial pattern changes at 28 time points from 1000 to 2020 in 11 Northeast China. 1000 to 1600 corresponds to historical provincial-level administrative districts, while 1700 to 2020 12 corresponds to modern county-level administrative districts. The main findings are as follows: (1) The cropland in Northeast 13 China exhibited phase changes of expansion-reduction-expansion over the past millennium. (2) The cropland area in Northeast China increased from  $0.55 \times 10^4$  km<sup>2</sup> in 1000 to  $37.90 \times 10^4$  km<sup>2</sup> in 2020 and the average cropland fraction increased from 14 15 0.37% to 26.27%; (3) From 1000 to 1200, the cropland area exhibited an increasing trend, peaking in 1200. The scope of land 16 reclamation was comparable to modern times, but the overall cropland fraction remained low. The cropland area significantly 17 decreased between 1300 and 1600, with the main land reclamation area was reduced southward into Liaoning Province. From 18 1700 to 1850, the cropland area increased slowly, and the agricultural reclamation gradually expanded northward. After 1850, 19 there was almost exponential growth, with the cropland area continuously expanding to the whole study area, and the growth 20 trend persists until 2020; (4) The dataset of changes in cropland of administrative districts in Northeast China, reconstructed 21 based on multiple data sources and improved historical cropland reconstruction methods, significantly enhances time 22 resolution and reliability. Additionally, the dataset shows relatively better credibility assessment results, which can provide a 23 refined data base for historical LUCC dataset reconstruction, carbon emission estimation, climate data construction, etc. The 24 dataset can be downloaded from https://doi.org/10.6084/m9.figshare.25450468.v2 (Jia, 2024).

## 25 1 Introduction

With the conclusion of the hottest year on record, 2023, anthropogenic climate change, considered one of the primary causes of extreme terrestrial heat year, has once again been called for attention (Esper et al., 2024; Perkins-Kirkpatrick et al., 2024). Anthropogenic land cover change (ALCC) is a key driver of global change, significantly impacting climate change (Arneth et al., 2017; Foley et al., 2005; Ito and Hajima, 2020 (Ellis et al., 2021; Roberts, 2019). Cropland constitutes one of the primary land use types, being a land category susceptible to human influence and undergoing alterations. It significantly influences 31 food security, soil health, biodiversity, greenhouse gas emissions, and climate change (Friedlingstein et al., 2023; Godfray et 32 al., 2010; Kalnay and Cai, 2003; Poschlod et al., 2005). Additionally, accurate temporal and spatial changes in cropland are 33 crucial for understanding the carbon budget resulting from human land reclamation, tracking sustainable food production, and 34 other land-based ecosystem functions (Huang et al., 2024; Potapov et al., 2022; Saez-Sandino et al., 2024; Yu and Lu, 2018). 35 Presently, various global historical Land Use and Land Cover (LUCC) datasets, exemplified by the History Database of 36 the Global Environment (HYDE), the Sustainability and the Global Environment (SAGE), the Pongratz Julia (PJ) and the 37 Kaplan and Krumhardt 2010 (KK10) (Goldewijk et al., 2017; Kaplan et al., 2011; Pongratz et al., 2008; Ramankutty et al., 38 2008; Ramankutty and Foley, 1999), have been extensively employed in global change research. Such as carbon emission and 39 carbon neutrality (Xu et al., 2024), climate data construction (Gortan et al., 2024), ecological footprint (Wang et al., 2024), 40 and biological population assessment (Ye et al., 2024), etc. Furthermore, with the progress of research, historical LUCC study 41 outcomes pertaining to the Northeast China have proliferated from a global scale down to the county level (Bai et al., 2007; 42 Cao et al., 2021; He et al., 2023; Hurtt et al., 2020; Jia et al., 2023; Li et al., 2016; Li et al., 2018; Wu et al., 2020; Wu et al., 43 2022; Yang et al., 2017; Ye et al., 2009; Ye and Fang, 2012; Yu et al., 2021; Zhang et al., 2014; Zhang et al., 2022; Zeng et al., 44 2011; Tian, 2005; Jin et al., 2015; Shi, 2015; Zhang, 1991; Zhou, 2001). However, there still exists a disparity or uncertainty 45 in the standardization and spatiotemporal accuracy of the aforementioned cropland data. The cropland data with higher 46 reliability within the region must be carefully selected across different temporal cross-sections. Additionally, conflicts arise 47 between datasets with high spatiotemporal resolution standardization and regional agricultural development history. Therefore, 48 precise cropland change data, particularly long-term cropland datasets standardized with high spatiotemporal resolution will 49 not merely improve the accuracy and reliability of global historical LUCC datasets, but will also play a crucial role in enhancing 50 the precision of climate and environmental simulations and supporting detailed analyses in Northeast China.

51 Northeast China is one of the most important grain bases in China today. The grain output constitutes 25.18% of the 52 national total, with corn and soybean contributing 41.64% and 56.20%, respectively (National Bureau Of Statistics, 2023). A 53 study has indicated that the supply centers for China's three major grains (wheat, corn, rice) significantly moved to the 54 Northeast from 2000 to 2020, while the demand centers did not move simultaneously. This shift underscores the rapidly 55 increasing importance of the Northeast China in ensuring China's food security (Xuan et al., 2023). For the protection and 56 utilization of black soil, the majority of China's black soil is distributed in Northeast China. A study has pointed out that 57 compared to other global black soil regions, the Northeast black soil region's yields of eight major crops (excluding rice) 58 remained in the top three among the world's main black soil distribution countries from 2000 to 2015, with Russia and Ukraine 59 occupying the first two positions (Wang et al., 2024). Furthermore, a study has pointed out that the Northeast black soil region 60 experienced a net loss of soil organic carbon (SOC) of 2.26 g kg<sup>-1</sup> from 1984 to 2021, a decline of approximately 9.36%. The 61 other three major global black soil regions also experienced significant SOC losses during this period, which has impacted the 62 food security of these inherently productive and fertile soil regions (Meng et al., 2024). Additionally, a typical case study in 63 the Northeast China examined the long-term effects of cultivation on soil carbon, nitrogen, and bacterial community in the 64 Northeast black soil region. The results indicated that prolonged cultivation (e.g., 152 years) led to a negatively and 65 exponentially decline in soil organic carbon and total nitrogen. Besides, a shift in bacterial communities towards to 66 Proteobacteria-dominant communities, a decrease in carbon and nitrogen fixation functional groups. The above showed soil 67 erosion led to severe soil organic carbon and total nitrogen loss on hillslope than flat under long-term inadequate cultivation 68 (Liu et al., 2024). This case study also reflects the significance of long-term, accurate, and quantitative historical data on 69 cropland changes in the Northeast China for preventing soil erosion and ensuring food production.

Throughout the prolonged agricultural development, the natural vegetation landscape in the Northeast region has undergone notable transformations. In this study, we used the improved historical cropland reconstruction methods to reconstruct 28 time-points cropland area by assimilating multiple data sources in Northeast China from 1000 to 2020. Our main objective is to provide a long-term time series of cropland area change dataset in Northeast China that is close to the historical "truth value" under a unified standard.

#### 75 2 Data and methods

## 76 **2.1 The study area and the framework for cropland reconstruction**

77 The definition of Northeast China in this study includes Heilongjiang, Jilin and Liaoning Provinces, Hulunbuir City, Hinggan 78 League, Tongliao City, Chifeng City and Xilin Gol League of Inner Mongolia. Northeast China is located between 38°43' and 79  $53^{\circ}33'$  N and between 111°59' and 135°05' E, with a total area of approximately  $1.45 \times 10^{6}$  km<sup>2</sup>, about 15.1% of the total area 80 of China, and the main part of Northeast China has a temperate continental monsoon climate. In this study, the seven time 81 points from 1000 to 1600 are reconstructed based on the provincial-level administrative districts and derived from the 82 Historical Atlas of China (Tan, 1982a; Tan, 1982b). For the period from 1700 to 2020, twenty-one time points are reconstructed 83 based on the county-level administrative districts using the 1:1,000,000 public version of basic geographical information data 84 of released by the National Geomatics Center China (2021)edition) 85 (https://www.webmap.cn/commres.do?method=result100W, last access: 10 January 2024). For the sake of convenience in 86 research and considering the historical evolution of each region, this study consolidates the administrative districts under each 87 prefecture-level city in the Northeast China into a single administrative unit. Additionally, Nianzishan District is merged into 88 Longjiang County, Bayuquan District into Gaizhou City, Qingmenhe District into Fuxin County, Qinghe District into Kaiyuan 89 City, Zhanqian District into Dashiqiao City, Zhalainuoer District into Manzhouli City, Huolinguole City into Zhalute Banner, 90 and Aershan City into Horqin Right Wing Front Banner.



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92 Figure 1: The framework for reconstructing cropland area of Northeast China from 1000 to 2020.

94 The framework of the cropland data reconstruction process in this study is illustrated in Fig. 1. It is essential to note that, 95 unlike reconstructing historical cropland through simulation or speculation, the data foundation in this study incorporates 96 historical literature, proxy data such as population data, revised published results, statistical data, survey data, and remote 97 sensing data products. Historical period reconstruction primarily relies on population data from historical time points. 98 Population data for adjacent standard time points are calculated using the average annual growth rate, and proxy indicators 99 such as average annual cropland area per Man and average cropland area per household are employed to calculate cropland 100 area. Additionally, after correcting published data and supplementing blank areas through standardized data processing, we 101 used historical facts to interpolate cropland area from nearby time points to standard time points through linear interpolation. 102 Trend extrapolation and total control are achieved through polynomial curve fitting. Finally, errors that may exist in the 103 interpolation are corrected based on local gazetteers of China (https://fz.wanfangdata.com.cn/, last access: 10 January 2024). 104 The reconstruction in the modern period primarily involves analyzing the linear relationship between statistical data and survey 105 data. Survey data sequences established are used to control the cropland pixel data obtained through the regional-scale 106 constrained integration of remote sensing data.

#### 107 **2.2 Data sources and reconstruction methods**

## 108**2.2.1 Reconstruction of cropland area from 1000 to 1600**

109 This study covers seven standard time points from 1000 to 1600, spanning the Liao, Jin, Yuan, and Ming dynasties. Due to the

110 absence of direct records of cropland area during this period, cropland reconstruction primarily relies on historical documents, 111 population data, and garrison reclamation data corresponding to the provincial-level administrative districts. During the Liao 112 Dynasty period, this study based on the Dynastic History of Liao Dynasty and the History of Population in China (Wu and Ge, 113 2005a; Toqto'A, 1974) along with other published results (Ge, 2002; Han, 1999; Tan, 1982b), to reconstruct the agricultural 114 and non-agricultural populations within five provincial-level administrative districts in 1111, with an average household size 115 of 6.5 people, 2.08 of whom were Man (a male between the ages of 15 and 50 years in the Liao Dynasty). Population data for 116 the five districts in 1000 and 1100 were calculated based on a 0.5% average annual population growth rate (Wu and Ge, 2005a). 117 During the Jin Dynasty period, this study is primarily based on the Dynastic History of Jin Dynasty and the History of 118 Population in China (Wu and Ge, 2005a; Toqto'A, 1975) along with other published results (Li et al., 2018; Han, 1999; Jin and 119 Mikami, 1984; Liu, 1994a; Liu, 1994b; Tan, 1982b), to reconstruct the agricultural and non-agricultural populations within 120 five provincial-level administrative districts in 1207, with an average agricultural household size of 5.96 people, 2 of whom 121 were Man (a male between the ages of 17 and 59 years in the Jin Dynasty), while an average non-agricultural household size 122 of 10.59 people. Population data for the five districts in 1200 were calculated based on a 0.9% average annual population 123 growth rate (Toqto'A, 1975).

124 When calculating cropland area during the Liao and Jin period (1000~1200), this study primarily involves adjusting the 125 agricultural and non-agricultural population quantities to standard time points. Combining with the constructed method of the 126 average annual cropland area per Man for agricultural population and the average cropland area per household for non-127 agricultural population during the Liao and Jin Dynasties (Jia et al., 2023), the cropland areas for provincial-level 128 administrative units in the Northeast China in the 1000, 1100, and 1200 are calculated separately (Table 1). The main algorithm 129 applied in the Liao and Jin Dynasties can be found in the supplementary materials. Furthermore, due to the lack of significant 130 technological changes in agricultural production in the Northeast China and the southward shift of the northern boundary of 131 the farming-pastoral ecotone within the study area (He et al., 2023; Han, 2012; Zhang et al., 1997), this study maintains 132 consistency with the Liao and Jin Dynasties. The average annual cropland area per Man for agricultural population is set at 14 133 Mu (0.93 hm<sup>2</sup>), and the average cropland area per household for non-agricultural population is set at 2 Mu (0.13 hm<sup>2</sup>) during 134 the Yuan and Ming Dynasties (1300~1600).

During the Yuan Dynasty, this study primarily based on the Dynastic History of Yuan Dynasty (Song, 1976) to obtain the garrison reclamation area and corresponding number of soldiers in the Northeast China around 1300, and the average cropland area per garrison soldier is 100.1 Mu (6.67 hm<sup>2</sup>). Additionally, based on the Dynastic History of Yuan Dynasty and the History of Population in China (Wu and Ge, 2005a; Cao and Ge, 2005b; Song, 1976) along with other published results (Cong, 1993a; Zhan, 2017; Xue, 2012; Zhou, 2021), this study reconstructs the number of ordinary households and Mongol households within the three provincial-level administrative districts of the study area during the Yuan Dynasty (Tan, 1982a). Ordinary households

are further divided into Han households (agricultural population) and other minority ethnic households (non-agricultural 141 142 population) in a 7:3 ratio (Cong, 1993b), with an average agricultural household size of 5 people, 1.67 of whom were Man (a 143 male between the ages of 15 and 59 years in the Yuan Dynasty). Population data for garrison soldiers, Han households, minority ethnic households, and Mongol households in the three districts around 1300 are calculated based on different average annual 144 145 population growth rates ranging from 0.6% to 1.8% during the Yuan Dynasty (Wu and Ge, 2005a). After obtaining the 146 population data, this study subtracts the garrison soldiers and their corresponding households from the ordinary households. 147 Subsequently, the remaining ordinary households are divided into Han households and minority ethnic households in a 7:3 148 ratio. The cropland area for agricultural population is calculated based on the average annual cropland area per Man for 149 agricultural population, while the cropland area for non-agricultural population, including Mongol households, is calculated 150 using the average cropland area per household for non-agricultural population referring the Liao and Jin Dynasties (Table 1).

151 During the Ming Dynasty, this study primarily based on the Dynastic History of Ming Dynasty (Zhang, 1974) to obtain 152 the garrison reclamation area in the Northeast China around 1400. According to historical records and verification, it is 153 determined that each garrison soldier in the Liaodong region possessed 46 Mu (3.07 hm<sup>2</sup>) of cropland, with the proportion of 154 garrison soldiers among soldiers being approximately 30%, and the number of dependents for each soldier being twice that of 155 soldiers (Cao and Ge, 2005b; Li, 2019; Wang, 2009; Zhang, 1974). Additionally, based on the Dynastic History of Ming 156 Dynasty and the History of Population in China (Cao and Ge, 2005b; Zhang, 1974) along with other published results (Cong, 157 1985; Kong and Feng, 1989; Li, 2019; Tan, 1982a), this study reconstructs the population of soldiers and their dependents, 158 ordinary households/aborigines, and the population of minority ethnic households and Mongols (non-agricultural population) 159 within the four provincial-level administrative districts in the 1400. Referring to historical records such as refugee migration, 160 the construction of the Great Wall, and supplementary border garrisons (Cao and Ge, 2005b; Kong and Feng, 1989; Liu et al., 161 2016; Tan, 1982a), the historical maps for the 1500 and 1600 are divided into three provincial districts, and the number of 162 population for these two time points is obtained based on the aforementioned historical documents. During this period, all 163 regular soldiers in the Dusi of Eastern Liao and one-third of their dependents would operate cropland as farmers. The average 164 agricultural household (ordinary households/aborigines/refugees/migrants) size of 6, 2.25 of whom were Man (a male between 165 the ages of 16 and 60 years in the Ming Dynasty) in the Dusi of Eastern Liao. The average non-agricultural household (minority 166 ethnic households) size of 6, 2 of whom were Man in the Dusi of Nuergan, while size of the Mongol households is 5, 1.67 of 167 whom were Man. Population data for soldiers and their dependents, ordinary households/aborigines/refugees/migrants, 168 minority ethnic households in the Dusi of Nuergan, and Mongol households in the western part of the study area in the three 169 provinces are calculated for the 1500 and 1600 based on average annual population growth rates of 0.8%, 0.5%, 0.2%, and 170 0.15%, respectively (Cao and Ge, 2005b). After obtaining the population data, we calculated the garrison reclamation area and 171 civilian cropland area within the Dusi of Eastern Liao and the Dusi of Beiping based on the population of soldiers and

172 agricultural population (ordinary households/aborigines) in the 1400. The minority ethnic population in the Dusi of Nuergan 173 and the Mongol population in the Dada are calculated as non-agricultural population referring the Liao and Jin Dynasties 174 (Table 1). For the 1500 and 1600, we calculated the garrison reclamation area and civilian cropland area within the Dusi of 175 population of soldiers agricultural Eastern Liao based the and population (ordinary on 176 households/aborigines/refugees/migrants). The minority ethnic population in the Dusi of Nuergan and the Mongol population 177 in the Dada are calculated as non-agricultural population referring the Liao and Jin Dynasties (Table 1). The main algorithm 178 applied in the Yuan and Ming Dynasties can be found in the supplementary materials.

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#### Table 1: The index of cropland area reconstruction from 1000 to 1600

Period	Population type	Population (10 <sup>4</sup> )	Proportion of household	Corresponding cropland area	Total cropland	
			registration		area (km²)	
1000,	Agricultural population	371(1000); 612(1100)	Average household size: 6.5 people, 2.08 of whom were	Average annual cropland area per Man is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	5513(1000);	
1100	population	231(1100)	Man	household is 2 $Mu$ (0.13 hm <sup>2</sup> )	9078(1100)	
1200	Agricultural population	587	Average household size: 5.96 people, 2 of whom were Man	Average annual cropland area per Man is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	16949	
	Non-agricultural population	338	Average household size: 10.59 people	Average cropland area per household is 45.3 <i>Mu</i> (3.02 hm <sup>2</sup> )		
1300	Garrison soldiers	0.8	Each soldier represents a household	Average per garrison soldier is 100.1 Mu (6.67 hm <sup>2</sup> )		
	Agricultural population	111	Average household size: 5	Average annual cropland area per Man is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	4350	
	Non-agricultural population (Minority ethnic household)	137	people, 1.67 of whom were Man	Average cropland area per household is 2 <i>Mu</i> (0.13 hm <sup>2</sup> )		
1400	Soldiers and their dependents	70	Approximately 30% of garrison soldiers; Soldiers : dependents = 1 : 2	Average per garrison soldier is 46 <i>Mu</i> (3.07 hm <sup>2</sup> )		
	Agricultural population (ordinary households/aborigines)	10	Average household size: 6 people, 2.25 of whom were Man	Average annual cropland area per Man is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	2790	
	Non-agricultural population (Minority ethnic household, Mongol household)	40	Average minority ethnic household size: 6 people, 2 of whom were Man; Mongol household size: 5, 1.67 of whom were Man	Average cropland area per household is 2 <i>Mu</i> (0.13 hm <sup>2</sup> )		
1500, 1600	Soldiers and their dependents	25(1500); 12(1600)	Approximately 30% of garrison soldiers; Soldiers : Dependents = 1 : 2	Average per garrison soldier is 46 <i>Mu</i> (3.07 hm <sup>2</sup> ); Regular soldiers and one-third of their dependents is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	4875(1500); 5868(1600)	

-	Agricultural population (ordinary households/aborigines/ refugees/migrants)	83(1500); 137(1600)	Average household size: 6 people, 2.25 of whom were Man	Average annual cropland area per Man is 14 <i>Mu</i> (0.93 hm <sup>2</sup> )	
	Non-agricultural population (Minority ethnic household, Mongol household)	68(1500); 81(1600)	Same as 1400	Average cropland area per household is 2 <i>Mu</i> (0.13 hm <sup>2</sup> )	

## 182 **2.2.2 Reconstruction of cropland area from 1700 to 1900**

183 The reconstruction of cropland in this study at five standard time-points from 1700 to 1900 is primarily based on published 184 results and historical documents. Among them, published results utilize the county-level cropland fraction data (CNEC) 185 reconstructed by Ye (Ye et al., 2009) for the three provinces in Northeast China in 1683, 1735, 1780, and 1908. Additionally, 186 data on cropland fraction for 15 counties and districts, including Chifeng City, Balinzuo Banner, Balinyou Banner, Linxi 187 County, Wengniute Banner, Kalaqin Banner, Ningcheng County, Aohan Banner, Kulun Banner, Naiman Banner, Taipusi 188 Banner, Xianghuang Banner, Zhengxiangbai Banner, Zhenglan Banner, and Duolun County, reconstructed by Tian (Tian, 2005), 189 are available for the years 1724, 1782, 1868, and 1911. Detailed description of the data and methods for these published results 190 can be found in the supplementary materials.

191 Before utilizing the published results, this study examined and corrected issues present in the data, unifying it onto the 192 base map used in this study. (1) Correction of published results: CNEC data (Ye et al., 2009) was adjusted based on the 193 historical evolution of administrative boundaries to modern county-level administrative units. In 1908, cropland areas were 194 missing for Qian Gorlos Mongolian Autonomous County, Jiaohe City, Yanji City, Wangqing County, Huichun City, Helong 195 City, and Huinan County in Jilin Province. Wu (Wu, 2021) interpolated these missing values using the principles of geographical proximity and similarity in the regional agricultural development stage. By following the above method, we 196 197 interpolated data for problematic counties in Jilin Province from CNEC data using settlement names evolution data for the 198 past 300 years (Zeng et al., 2011). It is worth noting that for certain time points, due to the absence of cropland in neighboring 199 counties, this study adopted the approach of multiplying the cropland area owned by unit settlements within Jilin Province at 200 that time by the number of settlements in the respective county to obtain the cropland area (Table S1). Furthermore, 201 discrepancies were identified in used CNEC data for some counties in Heilongjiang and Liaoning provinces compared to 202 published data. This study corrected these inconsistencies after verifying historical documents (Table S1).

(2) Unified administration boundaries: The CNEC data (Ye et al., 2009) in 1683, 1735, and 1780 corresponds to historical
 Qing Dynasty administrative districts, and the administrative districts used in 1908, 1914, 1931, 1940, 1950, and 1980 also
 differed from that of this study. The approach taken in this study involves unifying the cropland fraction within each county or

district. The modern county-level administrative vector map used in this study is overlaid with Ye's county-level cropland fraction map. Then we calculated the area of overlap between each county or district in this study and Ye's corresponding county or district and then calculates the cropland area based on the proportional statistics. Similarly, for the Tian's data (Tian, 2005) used in this study for cropland fraction in 1724, 1782, 1868, 1911, and 1933, the same method is applied to unify them onto the modern map used in this study.

211 (3) Linear interpolation and polynomial curve fitting to obtain the cropland area: Previous studies have used the linear 212 interpolation and polynomial curve fitting to reconstruct cropland areas (He et al., 2017; Jin et al., 2015; Ramankutty and Foley, 213 1999; Wei et al., 2016; Wei et al., 2021; Ye et al., 2015; Yu, 2019; Fang et al., 2021), and the interpolated data did not reduce 214 the credibility of their datasets. In addition, previous studies have shown that in the process of reclamation in the Northeast 215 China over the past 300 years, 1860 was a dividing point between slow growth and rapid growth, mainly due to the 216 implementation of the immigration and reclamation policy by the Qing government (Fang et al., 2020; Ye et al., 2009; Fang et 217 al., 2005; Kong and Feng, 1989). Therefore, this study selected the CNEC data (Ye et al., 2009) in 1683, 1735, 1780, 1908 and 218 1914 for linear interpolation and polynomial curve fitting of cropland area data for each county or district in the three provinces 219 of the Northeast China, obtaining data for 1700, 1750, 1800, 1850 and 1900. In addition, this study selected the data from Tian 220 (Tian, 2005) in 1724, 1782, 1868, and 1911; the CNEC data (Ye et al., 2009) in 1735; the data from Ye (Ye and Fang, 2012) 221 in 1916 for linear interpolation and polynomial curve fitting to obtain cropland area data for 1700, 1750, 1800, 1850, and 1900 222 in the Eastern of Inner Mongolia. The problems that may be encountered during the operation and the corresponding solutions 223 are as follows:

224 (Linear interpolation and determination of zero values. The time points involved in this issue include 1700 and 1750 for 225 the three provinces of Northeast China; 1750, 1800, and 1850 for East of Inner Mongolia. For instance, in Northeast China, 226 the cropland area in each county in 1700 is interpolated based on records from 1683 and 1735. At 1700, there are no negative 227 values, but there may be zero values. Specifically, the cropland value in 1683 is 0, while there is definite value in 1735. Our 228 solution involves consulted contemporary county gazetteers to verify the history of land reclamation in 1700. If so, a 229 polynomial curve fitting trend extrapolation was applied to obtain the proportional relationship at the provincial level for 230 adjacent points on the extrapolated trend. Then this proportion was multiplied by the cropland area of the county at the adjacent 231 time-point to obtain the cropland area at that time-point. If the land was not reclaimed, the value at that time point was 232 considered as zero. Similarly, other counties involved in interpolation adopt the same solution when encountering this situation. 233 2 Polynomial curve fitting and correction of negative values. Besides the previously mentioned linear interpolation,

polynomial curve fitting based on the least squares method may encounter problems with data points resulting in negative values. First of all, the main reason for this issue is our historical determination that 1860 was a dividing point between slow and rapid growth. Therefore, we use 1860 as a breakpoint and separate interpolated the data for Ye (Ye et al., 2009, Ye and 237 Fang, 2012) and Tian (Tian, 2005) before and after this period. Second, for time points that cannot be directly obtained through 238 linear interpolation, cropland need to be calculated by polynomial fitting backwards (1800 and 1850 in the three provinces of 239 Northeast China; 1900 in East of Inner Mongolia). For instance, in Northeast China, cropland area in each county in 1800 and 240 1850 are derived from data in 1683, 1735, and 1780 using polynomial curve fitting method. Some counties may show a decline 241 in cropland, potentially resulting in negative values in the extrapolation results. Our solution involves using the proportion of 242 provincial administrative level to multiply by the cropland area in 1780 for correction in the counties' cropland area in 1800 243 and 1850. Third, for time points that cannot be directly obtained through linear interpolation, cropland need to be calculated 244 by polynomial fitting forwards (1900 in the three provinces of Northeast China; 1700, 1910 in East of Inner Mongolia). For 245 instance, in Northeast China, cropland area in each county in 1900 is derived from data in 1908 and 1914 using polynomial 246 curve fitting method. Due to rapid growth of cropland in some counties from 1908 to 1914, the extrapolation for 1900 may 247 result in negative values. Our solution involves using the proportion of provincial administrative level to multiply by the 248 cropland area in 1908 for correction in the counties' cropland area in 1900.

It should be noted that, considering the historical development process of Northeast China during the Qing Dynasty, war factors, and the encouraging land reclamation policies implemented by the Qing government after 1860, we determined that the cropland area in each county of Northeast China in 1900 would not significantly exceed that of 1908. During this period, in Northeast China, the total cropland area was gradually increasing and was not significantly affected by events such as the Second World War, which led to a notable decrease in cropland area in 1950 compared to 1930 and 1940. Therefore, when the extrapolated value for a county in 1900 exceeds that of 1908, the proportion of provincial administrative level is used to multiply by the cropland area in 1908 for correction in the county's cropland area in 1900.

<sup>(3)</sup>The determination of initial cultivation occurred between 1780 and 1908. Few counties in Northeast China where cropland was zero in 1683, 1735, and 1780, but had cropland in 1908. Our solution involves consulted contemporary county gazetteers to verify the history of land reclamation between 1800 and 1900. If local gazetteers indicate the initial cultivation occurred before 1860, this study applies the same method as described in "①Linear interpolation and determination of zero values". If the initial cultivation began after 1860, this study applies the same method as described in "②Polynomial curve fitting and correction of negative values". All the counties where this situation occurs can be found in Table S2.

#### 262 2.2.3 Reconstruction of cropland area from 1910 to 1980

The reconstruction of cropland at eight standard time points from 1910 to 1980 in this study is mainly based on published results, historical documents, statistical data, and survey data. Among these, the published results include the cropland fraction for the three provinces in Northeast China in 1908, 1914, 1931, 1940, 1950, and 1980 (CNEC) (Ye et al., 2009). As well as the cropland fraction for the farming-pastoral ecotone area reconstructed by Ye in 1916 and 1940 (Ye and Fang, 2012). Additionally, Tian's reconstruction provides cropland fraction for 15 counties in the Eastern of Inner Mongolia in 1911 and 1933 (Tian, 268 2005). Historical documents include the Summary of county governance in Northeast China (Xiong, 1933) to supplement 269 cropland area data for the Eastern of Inner Mongolia in 1931. Statistical data include Agricultural and Animal Husbandry 270 Production Statistics (Inner Mongolia Provincial Bureau Of Statistics, 1983) to obtain county-level cropland area for the 271 Eastern of Inner Mongolia in 1950, 1960, 1970, and 1980. Survey data include Manchuria Economic Statistics Charts (Office 272 Of The Governor-General Of Kwantung, 1918) to obtain prefecture-level cropland area data for the Eastern of Inner Mongolia 273 in 1917 as a reference. The North Manchuria and East Support Railway (East Branch Railway Administration Of Russia and 274 South Manchuria Railways Co., 1923) is used as survey data to supplemented for various counties in the Eastern of Inner 275 Mongolia in 1911 and 1914, which was not covered by existing data from Ye and Tian. Additionally, a digital version of the 276 Manchuria Political Map from this document was used to obtain county-level district maps for Northeast China in the 1920s. 277 Detailed description of the data and methods for these published results can be found in the supplementary materials.

Before using the published data from this period, this study also assessed and corrected the issues present in the data. Additionally, when supplementing the data using historical documents, statistical data and survey data, this study referred to the data processing methods of the aforementioned published studies. (1) Correction of published results: This study has provided specific explanations for the correction of CNEC data for this period in previous sections, as detailed in Table S1.

282 (2) Standardization of Data: This study adopted the processing method used by Ye (Ye et al., 2006) for the Summary of 283 county governance in Northeast China (Xiong, 1933). It converted the Qing Dynasty's Mu unit to the standard unit of 284 measurement, square kilometers (km<sup>2</sup>), and made a 10% correction to align this data with the survey data. For the Manchuria 285 Economic Statistics Charts and the North Manchuria and East Support Railway (Office Of The Governor-General Of 286 Kwantung, 1918), this study followed Ye's (Ye et al., 2006) analysis method for similar survey data, treating it as the actual 287 cropland area. Regarding the standardization of administrative boundaries, this study utilized the digitized Manchurian 288 Political Map and employed the method aforementioned to map it onto the modern administrative boundary map used in this 289 study. The standardization of measurement units followed the conversion from the measurement units used in the Japanese 290 survey data to the universal unit of measurement, square kilometers (km<sup>2</sup>), as per Weights and Measures in Northeast China 291 (South Manchuria Railways Co., 1927).

(3) Correlation analysis between statistical data and survey data: In this study, we referred the method used by Ye (Ye et al., 2009) in analyzing statistic data for the simultaneous period in the three provinces in Northeast China to process the countylevel cropland area statistical data for the 1950, 1960, 1970, and 1980 in the Eastern of Inner Mongolia (Inner Mongolia Provincial Bureau Of Statistics, 1983). It is found a stronger correlation between the statistical data and land survey data in 1985 (National Bureau Of Statistics, 1989; Committee Of Integrative Survey Of Natural Resources and Committee Of National Planning Of Chinese Academy Of Sciences, 1990), with a linear regression equation of y=1.3234x and R<sup>2</sup>=98.51% (Fig. 2). That means the land survey data in the Eastern of Inner Mongolia is approximately 32.34% higher than the corresponding

statistical data, then corrected cropland area data by 32.34% for each county in the Eastern of Inner Mongolia for the 1950,



301



Figure 2: Correlation between the statistical cropland data and survey cropland data of the counties in the Eastern of Inner Mongolia
 in 1980's.

302

306 (4) Linear interpolation and polynomial curve fitting to obtain the cropland area: This study selected CNEC (Ye et al., 307 2009) data in 1908 and 1914 for linear interpolation and polynomial curve fitting of cropland area data for each county or 308 district in the three provinces of the Northeast China, obtaining data for 1910 and 1920. Additionally, this study selected the 309 data from Tian (Tian, 2005) in 1911 and the data from Ye (Ye and Fang, 2012) in 1916 and 1940, and the corrected data in 310 1931 from Summary of county governance in Northeast China (Xiong, 1933) for linear interpolation and polynomial curve 311 fitting of cropland area data for each county or district in the Eastern of Inner Mongolia, obtaining data for 1910 and 1920. 312 Since the following operations are the same as 1700~1900, and the problems that may be encountered during the operation 313 and the corresponding solutions have been detailed above, it will not be repeated here.

It should be noted that this study considers the corrected data in 1931 in various counties of the Northeast China as data for 1930. In addition, the cropland area data for the year 1940 mainly based on the corrected published results. For the missing data in single-digit counties of the Eastern of Inner Mongolia, this study uses data recorded in local gazetteers to fill in the gaps.

## 318 2.2.4 Reconstruction of cropland area from 1985 to 2020

The reconstruction of cropland in this study from 1985 to 2020 at eight standard time points is primarily based on remote sensing data products, statistical data, survey data, and DEM data. Among these, eight sets of remote sensing data products were used (Table 2): AGLC (Xu et al., 2021), CLDC (Yang and Huang, 2021), ESA\_WorldCover (Zanaga, 2021), Esri LandCover (Karra et al., 2021), FROM GLC (Gong et al., 2013), GFSAD30 (Thenkabail et al., 2021), GLC FCS30

323 (Zhang et al., 2023), GlobeLand30 (Chen et al., 2015). It is worth mentioning that we conducted research on ESA WorldCover

324 and Esri\_LandCover after resampling them to a resolution of 30 meters. Survey data includes the 1985 county-level land

325 survey data (Committee Of Integrative Survey Of Natural Resources and Committee Of National Planning Of Chinese 326 Academy Of Sciences, 1989), provincial-level data from the first national land survey (Li, 2000), prefecture-level data from 327 the second national land survey, and county-level data from the third national land survey (https://gtdc.mnr.gov.cn/Share#/, 328 last second 10 January 2024).

- last access: 10 January 2024).
- 329
- 330

Product	Satellite	Туре	Resoluti	Year	Cropland	URL	Reference
	Sensor		on		Classes		
AGLC	Landsat 5 TM	Boolean	30m	2000-	10.Cropland	https://code.earthengine.goo	(Xu et al.,
	Landsat 7			2015		gle.com/?asset=users/xxc/G	2021)
	ETM+					LC_2000_2015	
	Landsat 8 OLI					[2024/01/10]	
CLDC	Landsat 8 OLI	Boolean	30m	1985-	1.Cropland	https://doi.org/10.5281/zeno	(Yang and
	ТМ			2020		do.4417810 [2024/01/10]	Huang,
	ETM+						2021)
ESA_World	Sentinel-1	Boolean	10m	2020	40.Cropland	https://viewer.esa-	(Zanaga,
Cover	Sentinel-2					worldcover.org/worldcover/	2021)
						[2024/01/10]	
Esri_LandC	Sentinel-2	Boolean	10m	2020	5.Crops	https://livingatlas.arcgis.co	(Karra et al.,
over						m/landcover/ [2024/01/10]	2021)
FROM_GL	Landsat TM,	Boolean	30m	2010,	10.Cropland	https://data-	(Gong et al.,
С	ETM+, OLI			2015		starcloud.pcl.ac.cn/zh	2013)
						[2024/01/10]	
GFSAD30	Landsat	Boolean	30m	2015	2.Cropland	https://lpdaac.usgs.gov/prod	(Thenkabail,
	ETM+					ucts/gfsad30aunzcnmocev0	2021)
	OLI					01/ [2024/01/10]	
GLC_FCS3	Landsat OLI	Boolean	30m	1985-	10.Rainfed	https://zenodo.org/records/8	(Zhang et
0D				2020	cropland	239305 [2024/01/10]	al., 2023)
					11.Herbaceous		
					cover		
					12.Tree or shrub		
					cover (Orchard)		
					20.Irrigated		
					cropland		
GlobeLand3	Landsat	Boolean	30m	2000,	10.Cropland	http://www.webmap.cn/map	(Chen et al.,
0	TM/ETM+, HJ-			2010,		DataAction.do?method=glo	2015)
	1			2020		balLandCover [2024/01/10]	

331

332 In this study, based on remote sensing data products, statistical data, survey data, and DEM data, we have developed a 333 constrained integration method that combines multisource cropland cover products with survey data. (1) Correlation analysis

334 between statistical data and survey data: This study obtained cropland survey data at the county-level in 1985, at the provincial-335 level in 1996, at the prefecture-level in 2010 and 2015, and at the county-level in 2020. For the missing years 1990, 2000, and 336 2005, this study referred to the correlation analysis between modern survey data and statistical data (Ye et al., 2009; Cropland 337 Research Group, 1992). This study selected survey data and statistical data from 2010, 2015, and 2020 within the study area, 338 respectively, and established linear regression equation between them. The results showed that the linear regression equation 339 was y=1.256x in 2010, and R<sup>2</sup>=97.03%; y=1.193x in 2015, and R<sup>2</sup>=96.23%; y=1.210x in 2020, and R<sup>2</sup>=99.42% (Fig. 3). This 340 indicates a high correlation between the two types of data at the three time points, and the survey data is approximately 19.3% 341 to 25.6% higher than the statistical data at the same period, with an average of about 22%, then corrected cropland area data 342 by 22% for each county in the study area for the 1990, 2000 and 2005.



Figure 3: Correlation between the statistical cropland data and survey cropland data of the cities in the Northeast China in 2010,
2015 and 2020.

346

343

(2) Establishing Dataset Priorities: After obtaining the modern land survey data levels for each province in the study area
at five-year intervals from 1985 to 2020, the difference between the cropland area in dataset i and the survey data on cropland
area, denoted as D<sub>i,j</sub>, was calculated to evaluate the accuracy of the dataset, as shown in Equation (1):

$$D_{i,j} = abs\left(\frac{A_{s,j}-a_{i,j}}{A_{s,j}}\right) , \qquad (1)$$

where  $A_{s,j}$  represents the survey data on cropland area in Northeast China for year j, and  $a_{i,j}$  represents the cropland area in the i-th subset of the land cover product for year j. The value of  $D_{i,j}$  is lower when the consistency with survey data is higher, indicating a higher priority for the input dataset. It should be noted that in this study, based on the priority and overlap of remote sensing data products used at different time points, pixels in the study area are ranked. Pixels belonging to high-priority products with high overlap will be prioritized as cropland.

(3) Allocation of cropland pixels based on DEM data: The survey data includes detailed slope classification, and the slopes were categorized into five classes:  $<2^{\circ}$ ,  $2\sim6^{\circ}$ ,  $6\sim15^{\circ}$ ,  $15\sim25^{\circ}$ , and  $>25^{\circ}$ , and the corresponding cropland areas for each slope class were recorded. In this study, we selected NASA and METI's DEM data jointly released in 2019: ASTER Global Digital Elevation Model V003 30m. The ASTER Global Digital Elevation Model V003 can be downloaded from the NASA EARTHDATA website (https://www.earthdata.nasa.gov/, last access: 10 January 2024). Pixels prioritized as cropland were allocated to the cropland area corresponding to each slope level in the survey data. The distribution results were controlled by provincial-level cropland area survey data at different time points, resulting in the integration of cropland data at 30m resolution for the Northeast China at 8 time points from 1985 to 2020.

(4) Accuracy assessment and validation of RS products integration results: This study utilizes the confusion matrix was
 used to assess the accuracy of cropland products. The Producer Accuracy (P.A.) and User Accuracy (U.A.) for each product in
 2020 are calculated as two indicators to evaluate the reliability of the spatial distribution of the cropland dataset. The calculation
 methods are as follows:

368 
$$PA = \frac{X}{Ni} \times 100\%$$
, (2)

369 
$$U.A = \frac{X}{N_j} \times 100\%$$
, (3)

where *X* represents the number of correctly classified samples, *Ni* represents the total number of verification samples, and *Nj* represents the total number of samples in the classified result.

This study used three types of verification points for the verification of the integration results (Fig. 4): (1) 346 cropland sample points located in the study area from FROM-GLC. (2) 1052 sample points obtained through field investigations conducted by the author in April 2023 within the study area. (3) A total of 1200 random sample points were generated within the study area. Using high-resolution imagery from Google Earth captured in 2020, the sample points were visually interpreted and validated indoors through image comparison. The results show that the producer accuracy for cropland pixels is 94.85%, and the user accuracy is 96.49%. For non-cropland pixels, the producer accuracy is 91.12%, and the user accuracy is 87.32%. The overall accuracy is relatively high.



**380** Figure 4: Spatial distribution of verification points.

379

## 382 3 Results

The cropland in Northeast China exhibited phase changes of expansion-reduction-expansion over the past millennium. The cropland area in Northeast China increased from  $0.55 \times 10^4$  km<sup>2</sup> in 1000 to  $37.90 \times 10^4$  km<sup>2</sup> in 2020 and the average cropland fraction increased from 0.37% to 26.27% (Fig. 5). Our results clearly show on the map the process of agricultural reclamation in Northeast China and the expansion of cropland in the Songnen and Sanjiang Plains (Fig. 6).

## 387 **3.1** Changes in the historical cropland area in Northeast China over the past millennium

388 The changes in cropland area in the Northeast China over the past millennium are illustrated in Figure 5. Overall, the proportion 389 of cropland area in the study area from 1000 to 1600 ranged from 0.74% to 4.5% of the total in 2020. During this period, from 390 1000 to 1200, the cropland area showed a growing trend, with an average annual growth rate of 0.56%. In 1200, it peaked at 391  $1.69 \times 10^4$  km<sup>2</sup>, with an overall cropland fraction of 1.17%, although the cropland fraction across the region was relatively low. 392 From 1300 to 1600, the cropland area significantly decreased. In 1400, it reached the lowest point in the past millennium, at 393  $0.28 \times 10^4$  km<sup>2</sup>, with an overall cropland fraction of only 0.19%. The average annual growth rate from 1400 to 1600 was 0.37%. 394 From 1600 to 1850, the cropland area grew slowly, with an average annual growth rate of 0.81%. During this period, the 395 proportion of cropland area in the study area increased from 1.55% to 11.52% of the total in 2020. After 1850, the cropland 396 area exhibited almost exponential growth. The agricultural area continued to expand northward, and this growth trend persisted 397 until 2020, with an average annual growth rate of 1.28%.







#### 401 **3.2** Spatial patterns of cropland distribution in Northeast China over the past millennium

402 The changes in pattern of cropland in the Northeast China over the past millennium are shown in Figure 6. From 1000 to 1200, 403 cropland in the study area had already reached a certain scale in spatial extent, mainly distributed in the Songliao Plain, 404 especially in the southern part of the Liaohe Plain. The extent of cropland was roughly equivalent to the modern era. From 405 1300 to 1600, the main cultivation areas of cropland gradually receded southward to within the boundaries of Liaoning 406 Province. From 1700 to 1850, cropland was mainly concentrated in the Liaoning Province. With the Qing government 407 establishing military garrisons in the northern part of the Northeast China, farming areas was formed around these garrisons, 408 and the farming area showed a trend of expanding northward. Due to the Qing government abandoning reclamation restrictive 409 policies, from 1900 to 1950, the farming area gradually expanded to cover the entire region. Meanwhile, the cultivation 410 intensity in the Hulunbuir City and Xilin Gol League of Inner Mongolia remained relatively low, influenced by war, leading 411 to a slight decrease in the overall cropland fraction in 1950. After 1950, the farming area expanded rapidly and gradually 412 formed a high cropland fraction agricultural zone with the Liaohe Plain, Songnen Plain, and Sanjiang Plain as its core.



413 413 414 Figure 6: Changes in spatial patterns of cropland in the Northeast China from 1000 to 2020.

#### 416 4 Discussion

## 417 **4.1 Credibility assessment**

Based on the study of Fang et al. (2020), three methods including accuracy assessment, rationality assessment, and likelihood assessment, can be used to assess the credibility of historical LUCC dataset. Regarding the likelihood assessment, in reconstructing cropland area from 1985 to 2020, we selected eight RS products to assess the consistency. Based on the control of cropland survey data, we identified high-consistency and high-priority pixels as cropland pixels for this dataset and evaluated and validated the accuracy of the integration results. Therefore, we will not discuss this further here.

The comparative analysis with global historical LUCC datasets and previous studies can be regarded as a form of accuracy assessment. Additionally, we have included data from the Chinese Statistical Yearbook (CSY) and the three National Land Surveys (NLS) in the Figure.7 for reference.

## 426 4.1.1 Comparison with global historical LUCC datasets and previous studies

427 To better showcase the achievements of this study, we chose to compare our results with widely used global historical LUCC

428 datasets: the History Database of the Global Environment (HYDE3.2) (Goldewijk et al., 2017), the Sustainability and the

429 Global Environment (SAGE) (Ramankutty et al., 2008; Ramankutty and Foley, 1999), the Kaplan and Krumhardt 2010 (KK10)

(Kaplan et al., 2011), and the Pongratz Julia (PJ) (Pongratz et al., 2008). Overall, the cropland area curve of Northeast China in this study is generally between the HYDE3.2 dataset and the PJ dataset. The SAGE dataset, KK10 dataset, and PJ dataset consistently show significantly higher values than the results of this study throughout the past millennium. It's worth noting that the KK10 dataset provides the combined area of cropland and pastureland, making it notably larger than the results of this study compared to other datasets. The SAGE dataset, which obtained cropland area data using an improved method in 2000, is relatively close to the results of this study. The curve of the PJ dataset is essentially consistent with the SAGE dataset from 1700 to 1990 because the cropland data in the PJ dataset during this period are derived from the SAGE dataset.

437 From the trend of the curve (Fig. 7), the HYDE3.2 dataset maintains a relatively low level of cropland area from 1000 to 438 1700. In comparison with this study, it fails to demonstrate the historical fact of cropland cultivation in the study area from 439 1000 to 1200. The HYDE3.2 dataset shows an increase in cropland area after 1700, with a growth rate similar to this study. 440 The growth rate significantly rises after 1900, but during this period, its growth rate is notably lower than in this study. The 441 SAGE dataset maintains a relatively high total cropland area and growth rate from 1700 to 1950. Subsequently, cropland area 442 starts to decline, approaching the results of this study in the year 2000. However, the total cropland area in the SAGE dataset 443 from 1700 to 2000 is significantly higher than the results of this study. The KK10 dataset exhibits drastic fluctuations from 444 1000 to 1850, with significant declines in the periods 1200 to 1300 and 1600 to 1700, placing the two points at the trough. For 445 the remaining periods, it maintains a growing trend, and the total area of cropland and pastureland in the KK10 dataset from 446 1000 to 1850 is significantly higher than the cropland area in this study. The PJ dataset shows a fluctuating upward trend from 447 1000 to 1700, with trends in growth and decline generally consistent with this study during this period. The minimum cropland 448 point is also around 1400, and after 1700, the total cropland area and growth rate in the PJ dataset are consistent with the SAGE 449 dataset. The cropland area in the PJ dataset is significantly higher than this study from 1000 to 1990.



Figure 7: Comparison of total cropland area from global historical LUCC datasets, previous studies and this study in the Northeast
 China. CSY denotes the Chinese Statistical Yearbook; NLS denotes the National Land Survey.

450

We also compare the total cropland area with previous representative published studies in Northeast China (Table S3). As shown in Figure. 7, comparatively, our curve was similar to that of the study by Shi (2015), Jin et al. (2015), and He et al. (2023).

457 The data from Shi (2015) for 1661 and 1685 are significantly lower than ours, at these two points, he only had data from 458 Fengtian (roughly equivalent to Liaoning Province). Although his data for 1724 included the total area for Heilongjiang, Jilin, 459 and Fengtian, the territorial scope of Heilongjiang and Jilin during this period was larger than that of present-day Heilongjiang 460 and Jilin provinces. We did not exclude the cropland area according to the proportion of these territory outside present-day 461 China. Additionally, he mentioned that there were 15.35, 15.35, and 17.35 million Qing Mu (9431 km<sup>2</sup>, 9431 km<sup>2</sup>, and 10660 462 km<sup>2</sup>) of banner cropland at these three points, mainly distributed in Zhili (partly within our study area) and various parts of 463 Northeast China, which could not be accurately divided. Therefore, we did not include the banner cropland for these three time 464 points. For his data on Mongolia in 1766, 1812, 1850, 1887, and 1911, we converted it based on the area proportion of the 465 Qing Dynasty Mongolia within our study area, which is 41.58%.

The data from Jin et al. (2015) closely matches our growth trend. For Inner Mongolia, his data for 1661 is missing, and for subsequent time points, we calculated it based on the area proportion of the East of Inner Mongolia (within our study area), which is 55.26%. His data for 1661, 1724, 1820, 1887, 1933, and 1952 is similar to ours. The differences may arise from the specific data and data adjustment methods he used, which differ from ours. Both studies agree that the 1985 land survey data 470 is relatively accurate, resulting in no significant differences at this point.

The data from He et al. (2023) closely matches our growth trend. It should be noted that, for clearer comparison with our study data, we selected standard time points every 200 years from 1000 to 2000 on his cropland area curve. Similarly, we calculated the cropland area in the East of Inner Mongolia (within our study area) based on the proportion of 55.26%. His data from 1000 to 1800 is slightly higher than ours, possibly because of the different methods for reconstruct the cropland area based on the population and the different proxy indicators used by the two studies during this period.

Similar to the comparison with He et al. (2023), when selecting the CHCD data from Li et al. (2016) for comparison, we chose standard time points every 100 years from 1700 to 2000 on his cropland area curve for Inner Mongolia, and calculated the area for the East of Inner Mongolia based on 55.26%. The CHCD data for Heilongjiang, Jilin, and Liaoning is consistent with our study (Ye et al., 2009), however, our study corrected Ye's data as explained earlier (Table S1). The difference in cropland area for the East of Inner Mongolia between the two studies may be due to our calculation of cropland area based on the proportion of 55.26%, which may not align with the actual historical agricultural development of Inner Mongolia.

482 For the sake of clear comparison, we selected standard time points every decade from 1900 to 1980, and every five years 483 from 1985 to 2015 from Yu et al. (2021). The difference between the two studies in 2015 is minimal, as both studies 484 acknowledge the national land survey data as authentic. The cropland area in the Northeast from 1980 to 2015 appears stable 485 in his data, possibly because he used national land survey data in 2013 as the baseline and adjusted provincial cropland areas 486 using linear interpolation. Due to the lack of provincial land survey data before 1980, adjustments were made proportionally 487 based on the national acreage data for earlier periods across provinces. While effective at a national scale, this method may 488 introduce errors when applied to individual provinces in the Northeast. Evidence from the 1985 National Land Survey and 489 subsequent land surveys data, along with land-use remote sensing products, supports changes in cropland area in the Northeast 490 since 1985.

The data from Zhang (1991) consistently shows lower values compared to our study across all time points. The differences may arise because the lack of Inner Mongolia for all periods except 1949 in his data. Both studies agree that national statistical data is reliable for 1950s, where his data slightly underestimates compared to our study, likely due to our calculation of cropland area based on the proportion of 55.26% in the East of Inner Mongolia.

The data from Zhou (2001) shows lower values compares to our study in 1661, 1724, and 1753. The differences may arise because the lack of Heilongjiang, Jilin, and Inner Mongolia in these periods. Conversely, in 1812 and 1840, his data significantly exceeds ours possibly because he assumes the northern territorial boundaries were much larger than today, then he used the cropland area data of Heilongjiang, Jilin, and Liaoning in 1952 instead. This approach contradicts the actual historical agricultural development of Northeast China.

500 4.1.2 Spatial distribution of cropland cover compared with HYDE3.2 dataset

21

501 We acknowledged that there is no more credible cropland area data at the global scale than HYDE up to now. Compared to

this study, the HYDE3.2 dataset shows relative differences ratio (RD) in total cropland area for the period 1000 to 1600 as -82.92%, -52.52%, -100.45%, -5.32%, 17.42%, -29.34%, and 0.55%, respectively (Fig. 6~9). The relative differences ratio (RD)

505 
$$\text{RD} = \frac{C_{\text{H}}(y) - C_{\text{T}}(y)}{(C_{\text{H}}(y) + C_{\text{T}}(y))/2} \times 100\%$$
,

504

as shown in Equation (X):

where  $C_{\rm H}(y)$  represents the total cropland area from HYDE3.2 for year y, and  $C_{\rm T}(y)$  represents the total cropland area from this study for year y.

(4)

508 Compared to this study, except for the years 1100 and 1300, where the absolute values of RD in most provinces within 509 the study area did not exceed 50%, for other years, most provinces showed relatively large RD. In the years 1000 and 1100, 510 except for certain areas in Xilin Gol League where the HYDE3.2 dataset showed more cropland area, the rest of the regions 511 generally had less cropland area than this study. In 1200, the HYDE3.2 dataset showed more cropland area in the western 512 region, while the opposite was observed in the eastern region. In 1300, the HYDE3.2 dataset indicated less cropland area in 513 the entire region. From 1400 to 1600, the HYDE3.2 dataset showed more cropland area in the northern region. As the scope 514 of the Dusi of Eastern Liao reduced, this study's cropland area in this region significantly exceeded the HYDE3.2 dataset. In 515 1700, both the HYDE3.2 dataset and this study indicated that most counties in Heilongjiang and Jilin provinces, as well as the 516 northeastern part of Inner Mongolia, had no cropland (Fig. 6, Fig. 8). However, the HYDE3.2 dataset showed that during this 517 period, a considerable area of cropland existed in most regions of Inner Mongolia and the Sanjiang Plain, leading to 34.38% 518 of county-level RDs being greater than 100% (Fig. 9). From 1750 to 1850, the HYDE3.2 dataset showed that the expansion 519 of cropland cultivation gradually extended northward to cover the entire region (Fig. 8). This contradicts the areas without 520 cropland caused by the abandoning reclamation restrictive policies of the Qing government during this period. Additionally, 521 during this period, in the counties which both datasets considered with cropland, this study found that, except for a few counties 522 where cropland area was less than the HYDE3.2 dataset, most counties had significantly more cropland area in this study. 523 During this period, over half of the counties in the study area had RDs greater than 100%. From 1900 to 1950, as the abandoning 524 reclamation restrictive policies, this study observed a decreasing trend in cropland fraction from the center to the periphery in 525 the study area (Fig. 6). Compared to the HYDE3.2 dataset, counties with RD greater than 100% gradually decreased (Fig. 9). 526 Furthermore, during this period, in most areas of the Songnen Plain and the Liaohe Plain, this study's cropland area was 527 significantly greater than the HYDE3.2 dataset. After 1950, the RD for each county in the study area gradually decreased and 528 concentrated in the (-100%, -10] range (Fig. 9), indicating that the cropland area in most counties in this study was significantly 529 greater than the HYDE3.2 dataset.



531 Figure 8: Changes in spatial patterns of cropland of HYDE3.2 dataset in the Northeast China from 1000 to 2015.





533

## 536 4.1.3 Rationality assessment

537 Due to the unavailability of actual historical land cover data, we used the actual historical agricultural development of Northeast 538 China as a reference standard for rationality assessment (Fang et al., 2020). As one of the cases evaluating the distribution 539 rationality of the HYDE3.2 cropland cover in Northeast China over the past millennium, Fang et al. (2020) analyzed changes 540 in the northern boundary and spatial distribution of settlement relics in the Liao, Jin, Yuan, and Ming periods (916~1644), as 541 well as changes in the cumulative number of towns and spatial distribution of towns in the three provinces of Northeast China 542 during the Qing Dynasty (1644~1911). His results indicate that the changes in the HYDE3.2 cropland dataset in Northeast

- 543 China over the past millennium are irrational in terms of its spatial and temporal distribution.
- 544 This study attempts to briefly summarize the population changes, settlements changes (settlement relics, administrative

545 division points from the Historical Atlas of China (Jia et al., 2018; Tan, 1982a; Tan, 1982b), warfare, and land policies that 546 may have influenced land cultivation in Northeast China during the Liao, Jin, Yuan, and Ming periods (from 1000 to 1600). 547 According to the History of Population in China (Wu and Ge, 2005a; Cao and Ge, 2005b), we have corrected and estimated 548 population consistent with our study area and time-points (Table 1, Fig. S1). The population and settlements in Northeast China 549 from 1000 to 1600 exhibited phase changes of expansion-reduction-expansion, with possible reasons including the Liao and 550 Northern Song Dynasties signed the "Chanyuan Alliance (澶渊之盟)" in 1004 after war, the Jin and Southern Song Dynasties 551 signed the "Shaoxing Peace Treaty (绍兴和议)" in 1141 after war, the Jin and Southern Song Dynasties signed the "Longxing 552 Peace Treaty (隆兴和议)" in 1164 after war. During the three treaties and related wars, both the Liao and Jin dynasties in the 553 north benefited significantly. They not only received reparations but also resettled large numbers of captives to the present-554 day Northeast China to engage in agricultural and other productive activities. Historical records also indicate that the rulers of 555 the Liao and Jin dynasties during this period both attached much importance to agricultural production (Wu and Ge, 2022; 556 Han, 1999; Toqto'A, 1974; Toqto'A, 1975).

557 From 1211, when Genghis Khan personally led the Mongol army to attack the Jin Dynasty, until 1233, the Mongols had 558 essentially gained control over the entire Northeast China. Using this region as a base, they also conducted war against Goryeo 559 (present-day Korean Peninsula), which lasted until 1259. From 1259 to 1287, the Mongols made several attempts to establish 560 governing institutions in Northeast China, but faced continuous rebellions. It wasn't until the Yuan Dynasty subdued the 561 rebellions and established the Liaoyang Province in 1287 that effective governance began in the Northeast China. However, 562 during this period, the region suffered from continuous warfare, significant population loss, and severe disruptions to 563 agricultural production (Xue, 2006, 2012). According to the Dynastic History of Yuan Dynasty, from 1294 to 1345, the Yuan 564 government provided relief to Liaoyang Province 40 times. Additionally, rebellions in the Northeast China persisted from 1343 565 onwards, only being effectively subdued the rebellions by 1362, just six years before the collapse of the Yuan Dynasty in 1368 566 (Song, 1976; Xue, 2006, 2012).

567 In 1368, the Ming Dynasty was established, and remnants of the Yuan Dynasty retreated to the northern grassland, known 568 as the Northern Yuan Dynasty (Tatar), which partly within our study area. It wasn't until 1389 that the Ming Dynasty established 569 the "Uriyangga three Commanderies (兀良哈三卫)" in the region from present-day Qiqihar city to Baicheng city, gaining 570 certain practical control over the region. However, from 1399 to 1402, the Ming Dynasty faced the internal strife of the 571 "Jingnan Campaign (靖难之役)" weakening its influence over the Northeast China, allowing some ethnic minorities to further 572 occupy territories to the south. In 1409, the Ming Dynasty established the Dusi of Nuergan, reflecting their policy of 573 appeasement and assimilation towards ethnic minorities in the Northeast China. In 1449, the Ming Dynasty experienced the 574 "Tumu Crisis (土木之变)", prompting substantial efforts to fortify defensive structures. This also greatly strengthened the 575 defensive capabilities of the Ming Great Wall and confined the major agricultural population and agricultural areas of the 576 Northeast within the Dusi of Eastern Liao (south of the Ming Great Wall). This situation persisted until the Ming Dynasty's

577 collapse in 1644 (Cao and Ge, 2022; Fan, 2015; Cao and Ge, 2005; Zhang, 1974). All these pieces of evidence contribute to

578 the validation of the rationality of our dataset to a certain degree.

## 579 4.2 Uncertainty analysis

In this study, the uncertainty mainly consisted in two aspects: the definition and selection of data, the application of methods. Regarding the data aspect: (1) In this study, the definition of cropland before 1950 is: the sum of arable land and land under permanent crops, and the temporary changes in land use and fallow land during historical periods were not considered. The cropland area for 1950 and later are basically consistent with the identification rules in the National Land Survey. Although the temporary changes in land use and fallow land during historical periods, this may still result in our reconstruction slightly less cropland than actual historical period.

(2) Due to the completeness of historical documents, the reconstruction results of cropland for seven time points from 1000 to 1600 in this study are at the provincial-level, which may not finely reflect the spatiotemporal characteristics of cropland. Especially between 1000 and 1300, the results may lead readers to mistakenly believe that cropland were evenly distributed across the entire Northeast China. However, based on the distribution of settlement relics during this period, cropland may mainly distribute on the Liaohe Plain and on the southern part of the Songnen Plain, then reduced southward into Liaoning Province.

(3) The two proxy indicators of 14 Mu (0.93 hm<sup>2</sup>, the average annual potential cropland area per Man of the agricultural population) and 2 Mu (0.13 hm<sup>2</sup>, the average cropland area per household in the nonagricultural population) from 1000 to 1600 may lead to inaccuracies in cropland estimation. The reasons for using population to reconstruct cropland during this period have been detailed in the previous section, necessitating further analysis and clarification of the corresponding cropland-related indicators.

597 Firstly, the conclusion of 14 Mu per Man for agricultural population during the Liao and Jin Dynasties (1000~1200) is 598 primarily derived from historical records in the Jin Dynasty (1200) and the relationship between population and cropland in 599 the early Qing Dynasty (1661~1680) (Jia et al., 2023). There are two reasons why 14 Mu was used in the Yuan and Ming 600 Dynasties (1300~1600): one reason is the agricultural household size and the ratios of Man in agricultural household in 601 Northeast China during the Yuan and Ming Dynasties (1300~1600) are closer to those of the Liao and Jin Dynasties 602 (1000~1200) (Table 1). And the per capita cropland area owned by agricultural population in the Liao-Jin-Yuan-Ming periods 603  $(1000 \sim 1600)$  consistently ranged between 4 and 5 Mu (0.27 $\sim$ 0.33 hm<sup>2</sup>), slightly higher than the subsistence level of 3 Mu per 604 capita in previous studies for the same historical period in this region (Ye et al., 2009; Fang et al., 2006; Shi, 1990), which is 605 relatively reasonable. The second reason is that there were no significant changes in agricultural production technology in 606 Northeast China during the Liao-Jin-Yuan-Ming periods (1000~1600), and the population declined significantly compared with the Liao and Jin Dynasties (1000~1200) due to factors such as warfare. However, considering the social stability at standard time-points during the Yuan and Ming Dynasties (1300~1600), the strong willingness of the agricultural population towards cultivation, and the limitations of individual cultivation capabilities, the cropland from the Liao and Jin Dynasties could be relatively easily inherited and reclaimed by descendants.

Secondly, Similar to the agricultural population, considering the non-agricultural household size, stable agricultural production technology, the historical inheritance of most ethnic groups, this study continues to use 2 Mu as the calculation indicator of non-agricultural population in the Yuan and Ming Dynasties (1300~1600) (Cong, 1993a; Cong, 1993b; Wu and Ge, 2005a; Cao and Ge, 2005b; Liu et al., 2016).

615 Regarding the method aspect: (1) From 1700 to 1980, cropland areas at multiple time points in this study were derived 616 through linear interpolation and polynomial curve fitting. Although we have fully considered historical facts and other research 617 conclusions (Fang et al., 2020; Ye et al., 2009; Fang et al., 2005) when selecting the interpolation time points, 1860 was chosen 618 as the dividing point between slow growth and rapid growth. This method, compared to data recorded at each specific historical 619 point, may affect the accuracy of the value at those standard time points. (2) From 1700 to 1980, the county-level administrative 620 boundaries in the published data used in this study differ from the modern county-level administrative boundaries used in this 621 study. Especially in the CNEC data (Ye et al., 2009) in 1683, 1735 and 1780, there is county-level in Liaoning province, 622 Assistant Governorate Jurisdiction (prefecture-level) in Heilongjiang and Jilin province. This would result in counties 623 belonging to different Assistant Governorate Jurisdictions in present-day having the same cropland fraction. This problem is 624 difficult to correct further because the lowest administrative level in Northeast China available in historical data during this 625 period is Assistant Governorate Jurisdiction (prefecture-level).

## 626 **5 Data availability**

All cropland data reconstructed in this study are publicly available at https://doi.org/10.6084/m9.figshare.25450468.v2 (Jia,
2024).

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#### 630 6 Conclusion

Based on historical documents, proxy data such as population data, revised published results, remote sensing data products, statistical data, and survey data, and utilizing a series of data processing methods, as well as accuracy and rationality assessment methods, we established a 28 time-points cropland area dataset in Northeast China at provincial-level and county-level spatial resolutions from 1000 to 2020. Reconstruction results indicate that cropland area in Northeast China grew slowly before 1850 and experienced rapid expansion after 1850, maintaining this growth trend until 2020. This dataset illustrates the characteristics 636 of cropland changes in Northeast China over the past millennium, especially in the past 300 years. Between 1000 and 1200, 637 the extent of cropland was roughly equivalent to the modern era. Subsequently, until 1850, the cropland was mainly 638 concentrated in the Liaoning Province. However, with the Qing government establishing military garrisons in the northern part 639 of the Northeast China, farming areas was formed around these garrisons from 1700 to 1850. With the implementation of the 640 immigration and cultivation policy in the latter half of the 19th century, the spatial pattern of cropland coverage in Northeast 641 China changed significantly after 1850, with agricultural zones rapidly expanding across the entire region. After 1950, the 642 expansion of high cropland fraction agricultural zones in Northeast China became more pronounced, gradually forming core 643 areas with high cropland fraction in the Liaohe Plain, Songnen Plain, and Sanjiang Plain.

Despite the fact that the cropland area change dataset in this study is presented at the provincial-level and county-level, the dataset we reconstructed based on historical records at 28 time points can be approximated as "truth value". This dataset provides crucial support for the long-term land use changes in the Northeast China. In the future, we will further investigate gridded cropland allocation methods based on the historical cultivation process in the Northeast China, aiming to better serve research such as carbon emission, climate data construction, climate-ecosystem modeling and the conservation and utilization of black soil, etc.

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Author contributions. RJ, XF and Yu Y designed this work. RJ wrote the manuscript. XF and Yu Y provided suggestions on
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655 **Competing interests.** The authors declare that they have no conflict of interest.

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