



#### 1 The HTAP v3.1 emission mosaic: merging regional and global monthly emissions (2000-

#### 2 2020) to support air quality modelling and policies

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Diego Guizzardi<sup>1</sup>, Monica Crippa<sup>1</sup>, Tim Butler<sup>2</sup>, Terry Keating<sup>3</sup>, Rosa Wu<sup>16</sup>, Jacek Kaminski<sup>4</sup>, 4

- Jeroen Kuenen<sup>5</sup>, Junichi Kurokawa<sup>6</sup>, Satoru Chatani<sup>15</sup>, Tazuko Morikawa<sup>7</sup>, George Pouliot<sup>17</sup>, 5
- Jacinthe Racine<sup>8</sup>, Michael D. Moran<sup>16</sup>, Zbigniew Klimont<sup>9</sup>, Patrick M. Manseau<sup>8</sup>, Rabab 6
- Mashayekhi<sup>8</sup>, Barron H. Henderson<sup>17</sup>, Steven J. Smith<sup>10</sup>, Rachel Hoesly<sup>10</sup>, Marilena Muntean<sup>1</sup>, Manjola Banja<sup>11</sup>, Edwin Schaaf<sup>1</sup>, Federico Pagani<sup>11</sup>, Jung-Hun Woo<sup>13,14</sup>, Jinseok Kim<sup>14</sup>, Enrico Pisoni<sup>1</sup>, Junhua Zhang<sup>16</sup>, David Niemi<sup>8</sup>, Mourad Sassi<sup>8</sup>, Annie Duhamel<sup>8</sup>, Tabish Ansari<sup>2</sup>, 7
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- <sup>2</sup>Research Institute Sustainability Helmholtz Centre Potsdam (RIFS Potsdam), Potsdam, 14467, Germany 13
- 14 <sup>3</sup>U.S. Environmental Protection Agency, Washington DC 20460, USA
- 15 <sup>4</sup>Institute of Environmental Protection, National Research Institute, Poland
- <sup>5</sup>Department of Climate, Air and Sustainability, TNO, Utrecht, The Netherlands 16
- 17 <sup>6</sup>Asia Center for Air Pollution Research (ACAP), 1182 Sowa, Nishi-ku, Niigata-shi 950-2144, Japan
- 18 <sup>7</sup>Japan Automobile Research Institute (JARI), Tsukuba, 305-0822, Japan
- 19 <sup>8</sup>Air Quality Policy-Issue Response Section, Canadian Centre for Meteorological and Environmental Prediction,
- 20 Environment and Climate Change Canada (ECCC), Dorval, Quebec, Canada
- 21 9International Institute for Applied Systems Analysis (IIASA), Laxenburg, Austria
- 22 <sup>10</sup>Joint Global Change Research Institute, Pacific Northwest National Lab, College Park, MD, USA
- 23 <sup>11</sup>UniSystems Company, Milan (Italy)
- 24 <sup>12</sup>State Key Joint Laboratory of Environmental Simulation and Pollution Control, School of Environment, Tsinghua University, Beijing 100084, China 25
- 26 <sup>13</sup>Department of Civil and Environmental Engineering, Konuk University, Seoul, South Korea
- 27 <sup>14</sup>Department of Technology Fusion Engineering, Konuk University, Seoul, South Korea
- <sup>15</sup>National Institute for Environmental Studies (NIES), Tsukuba, 305-8506, Japan 28
- 29 <sup>16</sup>Air Quality Research Division, Environment and Climate Change Canada, Toronto, Ontario, Canada
- 30 <sup>17</sup>U.S. Environmental Protection Agency, North Carolina, USA
- 31 <sup>18</sup>Ministry of Education Key Laboratory for Earth System Modeling, Department of Earth System Science, 32 Tsinghua University, Beijing 100084, China
- 33
- 34 Correspondence to: Monica Crippa (monica.crippa@ec.europa.eu)
- 35

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Kristen Foley<sup>17</sup>, Guannan Geng<sup>12</sup>, Yifei Chen<sup>18</sup>, Qiang Zhang<sup>18</sup> 10

<sup>12</sup> <sup>1</sup>European Commission, Joint Research Centre (JRC), Ispra, Italy





Abstract. This study, performed under the umbrella of the Task Force on Hemispheric 1 Transport of Air Pollution (TF-HTAP), responds to the need of the global and regional 2 3 atmospheric modelling community of having a mosaic emission inventory of air pollutants that conforms to specific requirements: global coverage, long time series, spatially distributed 4 emissions with high time resolution, and a high sectoral resolution. The mosaic approach of 5 6 integrating official regional emission inventories based on locally reported data, with a global 7 inventory based on a globally consistent methodology, allows modellers to perform simulations 8 of a high scientific quality while also ensuring that the results remain relevant to policymakers.

9 HTAP v3.1, an ad-hoc global mosaic of anthropogenic inventories, is an update to the HTAP\_v3 global mosaic inventory and has been developed by integrating official inventories 10 11 over specific areas (North America, Europe, Asia including China, Japan and Korea) with the independent Emissions Database for Global Atmospheric Research (EDGAR) inventory for 12 the remaining world regions. The results are spatially and temporally distributed emissions of 13 SO<sub>2</sub>, NOx, CO, NMVOC, NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, Black Carbon (BC), and Organic Carbon (OC), 14 with a spatial resolution of 0.1 x 0.1 degree and time intervals of months and years covering 15 period 2000-2020 (https://doi.org/10.5281/zenodo.14499440, 16 the https://edgar.jrc.ec.europa.eu/dataset htap v31). The emissions are further disaggregated to 16 17 anthropogenic emitting sectors. This paper describes the methodology applied to develop such 18 an emission mosaic, reports on source allocation, differences among existing inventories, and 19 20 best practices for the mosaic compilation. One of the key strengths of the HTAP\_v3.1 emission mosaic is its temporal coverage, enabling the analysis of emission trends over the past two 21 decades. The development of a global emission mosaic over such long time series represents a 22 unique product for global air quality modelling and for better-informed policy making, 23 reflecting the community effort expended by the TF-HTAP to disentangle the complexity of 24 25 transboundary transport of air pollution.

### 26 1 Introduction

Common international efforts have procured an agreement to reduce global air pollutant emissions. For this purpose, the United Nations Economic Commission for Europe (UNECE) Convention on Long Range Transboundary Air Pollution (CLRTAP) and the Task Force on Hemispheric Transport of Air Pollution (TF-HTAP) have been instrumental in developing the understanding of intercontinental transport of air pollution and thus contributing to the reduction of key pollutants in Europe and North America.

33 The success of CLRTAP is based on meeting strict reduction targets for pollutant releases. Therefore, evaluating the resulting implications of these reductions requires an ongoing 34 35 improvement of global emission inventories in terms of emission updating and of methodological refinements. These aspects are instrumental to gain understanding of 36 37 transboundary air pollution processes and drivers and to measure the effectiveness of emissions reduction and air quality mitigation policies. New guidance is available to achieve further 38 39 emission reductions across all emitting sectors. For example, the 2019 establishment of the 40 Task Force for International Cooperation on Air Pollution, which is intended to promote 41 international collaboration for preventing and reducing air pollution and improving air quality 42 globally (UNECE, 2021). As part of the ongoing effort by CLRTAP to reduce emissions and 43 to set out more effective and accountable mitigation measures, the 2005 Gothenburg Protocol 44 (UNECE, 2012) has been revised, including the review of the obligations in relation to emission reductions and mitigation measures (e.g., black carbon and ammonia) and the review 45 46 of the progress towards achieving the environmental and health objectives of the Protocol.





1 The Task Force on Hemispheric Transport of Air Pollution (TF-HTAP) of the Convention has 2 a mandate to promote the scientific understanding of the intercontinental transport of air 3 pollution to and from the UNECE area (https://unece.org/geographical-scope), to quantify its 4 impacts on human health, vegetation and climate, and to identify emission mitigation options

5 that will shape future global policies.

6 This paper describes and discusses a consistent global emission inventory of air pollutants 7 emitted by anthropogenic activities. This important database has been developed to assess the 8 contribution of anthropogenic air pollution emission sources within and outside the UNECE-9 area through atmospheric modelling. This inventory has been compiled based on officially 10 reported emissions, and an independent global inventory where officially reported emissions 11 are not used. This harmonised emissions "mosaic" dataset, hereafter referred to as the 12 HTAP\_v3.1, contains annual and monthly:

- emission time series (from 2000 to 2020) of SO<sub>2</sub>, NOx (expressed as NO<sub>2</sub> mass unit),
- 14 15
- CO, NMVOC, NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC by emitting sector and country, and
   spatially distributed emissions on a global grid with spatial spacing of 0.1x0.1 degree.

# 16 **1.1 Brief description of the previous version of this dataset (HTAP\_v3)**

17 The creation of a global emission mosaic requires the harmonisation of several data sources, 18 detailed analysis of contributing sectors for the different input inventories, development of data 19 quality control procedures, and a robust and consistent gap-filling methodology when lacking information. The development of the HTAP\_v3 global mosaic inventory (Crippa et al., 2023) 20 21 built upon the previous experience of the HTAPv1 (Janssens-Maenhout et al., 2012) and HTAPv2.2 (Janssens-Maenhout et al., 2015) global inventories. HTAP\_v3, as requested by the 22 TF-HTAP modelling community, provided a more refined sectoral disaggregation compared 23 24 to the previous HTAP emission mosaics. It also included tools (https://edgar.jrc.ec.europa.eu/htap\_tool/) that allow the extraction of emission data over 25 selected domains (detailed later in section 4). 26

The HTAP\_v3 mosaic was composed by integrating official, spatially distributed emissions 27 28 data from CAMS-REG-v5.1 (Kuenen et al., 2022), US EPA (U.S. Environmental Protection 29 Agency, 2021b, a), Environment and Climate Change Canada (ECCC) (NPRI, 2017), REAS, 30 CAPSS-KU, and JAPAN (https://www.env.go.jp/air/osen/pm/inventory.html) (Kurokawa and Ohara, 2020; Chatani et al., 2018; Chatani et al., 2020) inventories. As the information gathered 31 32 from the official reporting covers only part of the globe, HTAP\_v3 was completed using emissions from the Emissions Database for Global Atmospheric Research (EDGAR) version 33 34 6.1 (https://edgar.jrc.ec.europa.eu/dataset\_ap61).

35 One of the key strengths of the HTAP v3 emission mosaic was the temporal coverage of the 36 emissions, spanning the 2000-2018 period, enabling the analysis of emission trends over the past two decades. The development of a global emission mosaic over such long time series 37 represented a unique product for air quality modelling and for better-informed policy making, 38 reflecting the effort of the TF-HTAP community to improve understanding of the 39 40 transboundary transport of air pollution. The year 2000 was chosen as the start year since it often represents the year from which complete datasets of annual air pollutant emissions can 41 42 be generated. It also represents a turning point for several emerging economies (e.g., China) and the strengthening of mitigation measures in historically developed regions (e.g., EU, USA, 43 44 etc.).

The two previous generations of HTAP emission mosaics had limited temporal coverage.
HTAPv1 covered the period 2000-2005 with annual resolution





(https://edgar.jrc.ec.europa.eu/dataset htap v1, (Janssens-Maenhout et al., 2012)), while 1 2 HTAPv2.2 covered two recent years (2008 and 2010), but with monthly resolution (Janssens-Maenhout et al., 2015) (https://edgar.jrc.ec.europa.eu/dataset\_htap\_v2). However, the needs of 3 the TF-HTAP modelling community are continuously evolving to both foster forward-looking 4 air quality science and produce more fit-for-purpose analyses in support of efficient policy 5 6 making. HTAP v3 therefore not only covers the time period of the previous HTAP phases, but 7 also extends it forward by almost a decade, to provide the most up-to-date picture of global air 8 pollutant emission trends. Another distinguishing feature of the HTAPv3 mosaic is a considerably higher sectoral resolution than previous iterations of the HTAP mosaic 9 10 inventories (section 2.2), enabling more policy-relevant use of the inventory.

#### 1.2 Use and impact of the HTAP\_v3 global mosaic emission dataset 11

At the time of writing (December 2024), the dataset description paper for the HTAPv3 global 12 13 mosaic emission inventory (Crippa et al., 2023) has been cited 40 times in Scopus, achieving 14 a field-weighted citation index of 4.87, putting it in the 96th percentile for the number of

15 citations compared with similar publications.

16 Of the studies in which the use of HTAPv3 emission dataset has played a significant role, the 17 primary use of the dataset has been as input data for modelling studies, almost all with a regional focus (Chutia et al., 2024; Clayton et al., 2024; Graham et al., 2024; Hu et al., 2024; 18 19 Itahashi, 2023; Itahashi et al., 2024; Kim et al., 2024, 2023b; Liu et al., 2024; Nawaz et al., 20 2023; Sharma et al., 2023, 2024; Thongsame et al., 2024; Wang et al., 2024). While the 21 upcoming HTAP3-Fires multi-model study (Whaley et al., 2024), with a global focus on the influence of wildfire emissions on air quality, plans to use the HTAPv3.1 dataset for 22 23 anthropogenic emissions, so far only one study has appeared in the literature using the HTAPv3 24 dataset as input for a modelling study with a primarily global focus (Nalam et al., 2024). The 25 mosaic approach used in the development of the HTAPv3 emission data makes it especially 26 interesting for regional modelers, as the spatial distribution of emissions in the component 27 regional inventories is preserved in the final dataset. Furthermore, the use of gap-filling for 28 missing sectors or regions outside of the domain of the component regional inventories, but 29 within the domain of the regional model, allows regional modelers to avoid the need to perform 30 their own gap-filling when preparing their emission data.

31 Another use of the dataset has been as a benchmark for the evaluation of other emission 32 inventories, including other bottom-up inventories (Huang et al., 2023; Soulie et al., 2024; Xu et al., 2024), as well as emission estimates based on assimilation of satellite observations (Ding 33 et al., 2024; Mao et al., 2024; Van Der A et al., 2024; Zhao et al., 2024) and inverse modelling 34 of surface observations (Kong et al., 2024). Several other studies have used emissions 35 information from the HTAPv3 dataset as a reference in their interpretation of air quality 36 observations and their trends (Kim et al., 2023a; Patel et al., 2024; Smaran and Vinoj, 2024). 37

#### 38 1.3 Update to HTAP\_v3.1

As modelers often require up-to-date emission data for the simulation of recent historical 39 periods, emission datasets must be continuously updated. For officially reported emission data, 40 these updates however often lag several years behind the current year. The Task Force on 41 42 Hemispheric Transport is currently planning a set of multi-model experiments of the recent historical period. In order to be as relevant as possible, this study should include as many recent 43 44 years as possible. Since the release of the original HTAP\_v3 dataset in April 2023, several of the regional data providers have updated their emission inventories. The global base inventory 45 has also been updated to EDGAR version 8. With the update from HTAP\_v3 to HTAP\_v3.1, 46

it is now possible to extend the timeseries of the global mosaic emissions until the year 2020. 47





- 1 Furthermore, in the original HTAP\_v3 dataset, emissions from China were included from the
- 2 pan-regional REAS inventory, rather than the China-specific MEIC inventory. The update from
- HTAP\_v3 to HTAP\_v3.1 also provides the opportunity to include the MEIC emissions for
   China, allowing the use of the best available regional emissions for model simulations of air
- quality in China and in regions influenced by emissions from China.

6 The update from HTAP\_v3 to HTAP\_v3.1 also provides the opportunity to respond to 7 feedback from users of the original HTAP\_v3 data, including the improvement of the regional

8 datasets. These updates are described below. Major changes within each data source compared
9 to HTAP\_v3 are summarized in Table 5.

The methodology and data sources for the HTAP\_v3.1 emission mosaic are described in section 2. The long-time coverage of two decades, allows comprehensive trend analysis (see section 3), the HTAP\_v3 data format and data-set access are presented in section 4 and conclusions are provided in section 5.

# 14 2 HTAP\_v3.1 emission mosaic overview: data sources, coverage, and methodology

# 15 2.1 Data input

The HTAP\_v3.1 mosaic is a database of monthly- and sector-specific global air pollutant 16 emission gridmaps developed by integrating spatially explicit regional information from recent 17 18 officially reported national or regional emission inventories. Data from seven main regional inventories were integrated into HTAP\_v3.1, which covered only North America, Europe, and 19 a portion of Asia (including Japan, China, India, and South Korea) (Fig.1). The geographical 20 domain covered by each of these inventories is depicted in Fig. 1, while further details on each 21 22 contributing inventory are presented in section 2.3. The emissions for all other countries, international shipping and aviation (international and domestic) have been retrieved from the 23 24 Emissions Database for Global Atmospheric Research (EDGARv8, https://edgar.jrc.ec.europa.eu/dataset ap81) as represented by the grey areas in Fig.1. 25 Depending on the pollutant, more than half of global emissions are provided by region-specific 26 inventories, while the remaining contribution is derived from the EDGAR global inventory as 27 28 reported in the bar graph of Fig.1, where the share of each individual inventory to global 29 emissions is represented. For all pollutants, the Asian domain is contributing most to global 30 emissions, hence the importance of having accurate emission inventories for this region.

Recent literature studies (Puliafito et al., 2021; Huneeus et al., 2020; Álamos et al., 2022; Keita 31 32 et al., 2021) document additional regional/local inventories which may contribute to future updates of HTAP\_v3.1, in particular extending the mosaic compilation to regions in the 33 Southern Hemisphere. Considering relative hemispheric emission levels as well as the 34 atmospheric dynamics happening in the Northern Hemisphere and regulating the 35 transboundary transport of air pollution, the current HTAP\_v3.1 mosaic should still satisfy the 36 37 needs of the atmospheric modelling community, although improvements using latest available inventories for Africa and South America may also be considered for future updates. 38

Table 1 provides an overview of all data providers, in terms of geographical and temporal coverage, data format, and sectoral and pollutant data availability. Table 2 defines the HTAP\_v3.1 sectors and corresponding IPCC codes. Table 3 further details the sector-pollutant data availability for each inventory and the gap-filling approach required for some sectors and pollutants.





#### 1 2.2 Pollutant, spatial, temporal and sectoral coverage

The HTAP\_v3.1 emission mosaic helps to address the transboundary role of air pollutants by providing a key input for atmospheric modellers and supporting the evaluation of environmental impact analyses for poor air quality. For this reason, HTAP\_v3.1 provides global 0.1 x 0.1 degree emission gridmaps for all air pollutants and specifically for acidifying and eutrophying gases (such as SO<sub>2</sub>, NH<sub>3</sub>, NOx), ozone precursors (NMVOC, CO, NOx), and primary particulate matter (PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC).

8 Emissions from each officially reported inventory were submitted to HTAP on 0.1 x 0.1 degree
9 regional gridmaps. Spatial allocation was performed to these gridmaps for each sector by each
10 inventory group using the best available set of subsector spatial surrogate fields used by each
11 group (e.g., <u>https://www.cmascenter.org/sa-tools</u>). EDGARv8 global gridmaps are also on a
12 0.1 x 0.1 degree grid.

Compared to the two previous HTAP emission mosaics, HTAP\_v3.1 input emission gridmaps were provided with monthly time distributions to better reflect the regional seasonality of sector specific emissions (e.g., household, power generation, and agricultural activities). Information on emission peaks over certain months of the year is also a useful information for the development of territorial policies to mitigate localised emission sources in space and time (e.g., emissions from residential heating over winter months, agricultural residue burning, etc.).

The HTAP\_v3.1 mosaic provides emissions for gaseous and particulate matter air pollutants 19 arising from all anthropogenic emitting sectors except for wildfires and savannah burning, 20 which represent major sources of particulate matter and CO emissions. Wildfires and savannah 21 22 burning are not included in the current mosaic since community efforts are ongoing to tackle 23 these sources specifically. Modellers can find these additional sources on several publicly 24 available global wildfire emission datasets compiled based on the best available scientific 25 knowledge, the Global Fire Emission such as Database (GFED, https://www.globalfiredata.org/) or the Global Wildfire Information System (GWIS, 26 https://gwis.jrc.ec.europa.eu/). When using satellite retrieved emissions from fires, they should 27 28 be treated with caution to avoid double counting the emissions released by e.g. agricultural 29 crop residue burning activities.

30 HTAP\_v3.1 provides emissions at higher sectoral disaggregation than previous HTAP 31 experiments<sup>1</sup> to better understand drivers of emission trends and the effectiveness of sector-32 specific policy implementation. Emissions from 16 sectors are provided by the HTAP v3.1 mosaic, namely: International Shipping; Domestic Shipping; Domestic Aviation; International 33 34 Aviation; Energy; Industry; Fugitives; Solvent Use; Road Transport; Brake and Tyre Wear; Other Ground Transport; Residential; Waste; Agricultural Waste Burning; Livestock; and 35 36 Agricultural Crops. Further details on the sector definitions as well as their correspondence 37 with the IPCC codes (IPCC, 1996, 2006) are provided in Table 2. The selection of the number of sectors was constrained by the sectoral disaggregation of the input inventories (see Table 38 S1). Table 3 provides the complete overview of the emission data provided by each inventory 39 40 group indicating the pollutants covered for each sector and eventual gap-filling information

<sup>&</sup>lt;sup>1</sup>HTAPv1 covered 10 broad emission sectors (Aircraft, Ships, Energy, Industry Processes, Ground Transport, Residential, Solvents, Agriculture, Agriculture Waste Burning, and Waste), while even broader sectoral emissions were provided in HTAPv2.2 (Air, Ships, Energy, Industry, Transport, Residential (including waste), and Agriculture (only for NH<sub>3</sub>)).





1 included using the EDGARv8 data. Table 4 reports a summary of the main features all previous 2 HTAP emission mosaics in comparison with HTAP\_v3.1, showing the advancements achieved 3 with this work. The high sector disaggregation available within the HTAP v3.1 mosaic gives needed flexibility to modellers to include or exclude emission sub-sectors in their simulations, 4 5 in particular when integrating the anthropogenic emissions provided by HTAP\_v3.1 with other 6 components (e.g. natural emissions, forest fires, etc.). However, we recommend particular 7 caution when using a natural emissions model such as MEGAN (Model of Emissions of Gases 8 and Aerosols from Nature, https://www2.acom.ucar.edu/modeling/model-emissions-gasesand-aerosols-nature-megan), which includes the estimation of NMVOC emissions from crops 9 10 and soil NOx emissions (including agricultural soils) that are also provided by the HTAP v3.1 11 mosaic.

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#### 13 **2.3 Inventory overviews**

In the following sub-sections, details are provided on each officially reported inventory used
 to construct the HTAP\_v3.1 emission mosaic.

#### 16 2.3.1 CAMS-REG-v6.1 inventory

17 The CAMS-REG emission inventory was developed to support air pollutant and greenhouse 18 gas modelling activities at the European scale. The inventory builds largely on the official 19 reported data to the UN Framework Convention on Climate Change (UNFCCC) for greenhouse 20 gases (for CO<sub>2</sub> and CH<sub>4</sub>), and the Convention on Long-Range Transboundary Air Pollution (CLRTAP) for air pollutants. For the latter, data are collected for NOx, SO<sub>2</sub>, CO, NMVOC, 21 NH<sub>3</sub>, PM<sub>10</sub> and PM<sub>2.5</sub>, including all major air pollutants. For each of these pollutants, the 22 23 emission data are collected at the sector level at which these are reported for the time series 24 2000-2020 for each year and country. The CAMS-REG inventory covers UNECE-Europe, 25 extending eastward until 60°E, therefore including the European part of Russia. For some non-26 EU countries, the reported data are found to be partially available or not available at all. In other cases, the quality of the reported data is found to be insufficient, i.e. with important data 27 28 gaps or following different formats or methods. In this case, emission data from the IIASA 29 GAINS model instead (IIASA, 2018) are used. This model is the main tool used to underpin 30 pan-European and EU level air quality policies such as the UNECE Convention on Long Range Transboundary Air Pollution (UNECE, 2012) and the EU National Emission reduction 31 32 Commitments Directive (European Commission, 2016).

After collecting all the emission data from the official inventory and the GAINS model, the 33 34 source sectors are harmonised, distinguishing around 250 different subsectors. Some further 35 changes are made to increase consistency, including (1) the use of bottom-up estimates for inland shipping given the differences in the way how these are estimated for in individual 36 37 countries, (2) replacement of reported emissions for agricultural waste burning with consistent 38 estimates based on the GFAS product (Kaiser et al., 2012) and (3) removal of NOx from 39 agricultural activities to prevent possible double counting with soil-NOx estimates in modelling studies. For each detailed sector, a speciation is applied to the PM<sub>2.5</sub> and PM<sub>10</sub> 40 41 emissions, distinguishing elemental carbon (representing BC in the HTAP\_v3.1 inventory), 42 organic carbon and other non-carbonaceous substances for both the coarse (2.5-10  $\mu$ m) and 43 fine ( $<2.5 \mu m$ ) mode.

A consistent spatial resolution is applied across the entire domain, where a specific proxy is
 selected for each subsector to spatially distribute emissions, including for instance the use of
 point source emissions, e.g., from the European Pollutant Release and Transfer Register (E PRTR), complemented with additional data from the reporting of EU Large Combustion Plants





(European Commission, 2001) and the Platts/WEPP commercial database for power plants
 (Platts, 2017). Road transport emissions are spatially disaggregated using information from
 OSM (Open Street Map, 2017), combined with information on traffic intensity in specific road
 segments from OTM (OpenTransportMap, 2017). Agricultural livestock emissions are
 spatially distributed using global gridded livestock numbers (FAO, 2010). Furthermore,
 CORINE land cover (Copernicus Land Monitoring Service, 2016) and population density are
 other key spatial distribution proxies.

8 After having spatially distributed the data, the ~ 250 different source categories are aggregated to fit with the HTAP\_v3.1 sector classification (Table S1). Compared to the regular CAMS-9 10 REG sectors an additional split was made for agriculture other (GNFR L) where agricultural waste burning has been included as a separate source. On the other hand, road transport exhaust 11 12 emissions, which are split to fuel type in the regular CAMS-REG inventory, were aggregated in one category. CAMS-REG-v6.1 is an update of an earlier versions (such as v4.2 which is 13 described in detail in Kuenen et al. (2022)) and based on the 2022 submissions of European 14 15 countries, covering the years 2000-2020. While the official version of CAMS-REG-v6.1 only 16 covers 2019-2020, underlying data have been prepared from 2000 onwards, similar to CAMS-REG versions 4 and 5. Additionally for HTAP\_v3.1 a tailor-made version of the inventory was 17 made to support the specific scope of the HTAP v3.1 inventory in terms of years, pollutants 18 and sectors. 19

The data are provided as gridded annual totals at a resolution of 0.05°x0.1° (lat-lon), which implies that they can be easily aggregated to fit with the 0.1°x0.1° resolution of the HTAP\_v3.1 inventory. Along with the grids, additional information is available including height profiles as well as temporal profiles to break down the annual emissions into hourly data (monthly profiles, day-of-the-week profiles and hourly profiles for each day). Furthermore, the CAMS-REG inventory provides dedicated speciation profiles for NMVOC per year, country and sector.

### 27 2.3.2 US EPA inventory

28 Emissions estimates for the United States were based primarily on estimates produced for the EPA's Air QUAlity TimE Series Project (EQUATES), which generated a consistent set of 29 modelled emissions, meteorology, air quality, and pollutant deposition for the United States 30 31 spanning the years 2002 through 2019 (https://www.epa.gov/cmaq/equates). For each sector, a consistent methodology was used to estimate emissions for each year in the 18-year period, in 32 contrast to the evolving methodologies applied in the triennial U.S. National Emissions 33 34 Inventories (NEIs) produced over that span. The HTAP\_v3.1 time series were extended back two years to 2000 using country, sector, and pollutant specific trends from EDGARv6.1. The 35 36 2020 NEI was used for the emission estimates for 2020. Because of the unique nature of 2020, 37 it was not used to back cast any of the previous years.

38 Emissions estimates were calculated for more than 8000 Source Classification Codes grouped 39 into 101 sectors and then aggregated to the 16 HTAP\_v3.1 emission sectors. The 2017 NEI (U.S. Environmental Protection Agency, 2021b) served as the base year for the time series. 40 41 For each sector, emissions estimates were generated for previous years using one of four 42 methods: 1) applying new methods to create consistent emissions for all years, 2) scaling the 2017 NEI estimates using annual sector-specific activity data and technology information at 43 44 the county level, 3) using annual emissions calculated consistently in previous NEIs and 45 interpolating to fill missing years, and 4) assuming emissions were constant at 2017 levels.





The assumption of constant emissions was applied to a very limited number of sources. Foley
 et al. (2023) provides a detailed explanation of the assumptions used for each sector.

3 Emissions from electric generating units were estimated for individual facilities, combining available hourly emissions data for units with continuous emissions monitors (CEMs) and 4 applying regional fuel-specific profiles to units without CEMS. On-road transport and non-5 6 road mobile emissions were estimated using emission factors from the MOVES v3 model (U.S. Environmental Protection Agency, 2021a). A complete MOVES simulation was completed 7 only for the NEI years with national adjustment factors applied for years plus or minus one 8 9 from the NEI year. For California, emission factors for all on-road sources for all years were based on the California Air Resources Board Emission Factor Model (EMFAC) 10 (https://ww2.arb.ca.gov/our-work/programs/mobile-source-emissions-inventory/). New non-11 road emissions estimates for Texas were provided by the Texas Commission on Environmental 12 Quality. Emissions from oil and gas exploration and production were calculated using point 13 14 source specific data and the EPA Oil and Gas Tool (U.S. Environmental Protection Agency, 2021b), incorporating year-specific spatial, temporal, and speciation profiles. Residential wood 15 16 combustion estimates were developed with an updated methodology incorporated into the 2017 17 NEI and scaled backward to previous years using a national activity as a scaling factor. Solvent 18 emissions were estimated using the Volatile Chemical Product (VCPy) framework of Seltzer 19 et al. (2021). Emissions from livestock waste were calculated with revised annual animal 20 counts to address missing data and methodological changes over the period. Emissions for 21 agricultural burning were developed using a new suite of activity data with the same 22 methodology and input data sets from 2002 onwards. County-level estimates were only 23 available for 2002 because activity data based on satellite information was not yet available. Emissions for forest wildfires, prescribed burns, grass and rangeland fires were also calculated 24 25 in EQUATES but not included in the HTAP\_v3.1 data. For EQUATES, fugitive dust 26 emissions (e.g., unpaved road dust, coal pile dust, dust from agricultural tilling) were reduced 27 to account for precipitation and snow cover by grid cell. For use in HTAP v3.1, however, no meteorological adjustments (which decrease annual  $PM_{10}$  emissions by about 75% on average) 28 were applied to fugitive dust emissions. These fugitive dust emissions were included in the 29 30 previous version of this dataset (HTAP\_v3), but are now not included in the base HTAP\_v3.1 mosaic, as wind-blown fugitive dust emissions are not included in the estimates for other 31 regions in either the HTAP\_v3 or HTAP\_v3.1 mosaics. Wind-blown fugitive dust emissions 32 33 are available as a separate file for the US.

Non-point source emissions were allocated spatially based on a suite of activity surrogates (e.g.
population, total road miles, housing, etc.), many of which are sector specific. The spatial
allocation factors were calculated for the EDGARv6.1 0.1 degree grid with no intermediate regridding. The spatial allocation factors were based on the same data as used for the EPA NEI
2017 and were e held constant for the entire time series except for oil and gas sectors which
were year-specific.

40

Emissions from the US EPA inventory were provided from 2002-2020 (Table 1). Emissions
for the years 2000 and 2001 were estimated applying country, sector and pollutant specific
trends from EDGAR to complete the entire time series. Table S1 provides an overview about
the US EPA inventory sector mapping to the HTAP\_v3.1 sectors.





# 1 2.3.3 Environment and Climate Change Canada (ECCC) inventory

The Canadian emissions inventory data were obtained from 2018 and 2021-released edition of Canada's Air Pollutant Emissions Inventory (APEI) originally compiled by the Pollutant Inventories and Reporting Division (PIRD) of Environment and Climate Change Canada (ECCC) (APEI, 2018) and (APEI 2021) respectively. Years 2000-2016 were based on (APEI, 2018) with three additional years (2017-2019) based on (APEI, 2021). Due to methodology changes, there is a slight discontinuity between (2000-2016) and (2017-2019) emissions as they come from different APEI releases.

9 This inventory contains a comprehensive and detailed estimate of annual emissions of seven 10 criteria air pollutants (SO2, NOx, CO, NMVOC, NH3, PM10, PM2.5) at the national and 11 provincial/territorial level for each year for the period from 1990 to 2019. The APEI inventory 12 was developed based on a bottom-up approach for facility-level data reported to the National 13 Pollutant Release Inventory (NPRI) (APEI, 2021), as well as an in-house top-down emission estimates based on source-specific activity data and emissions factors. In general, 14 15 methodologies used to estimate Canadian emissions are consistent with those developed by the 16 U.S. EPA (EPA, 2009) or those recommended in the European emission inventory guidebook (EMEP/EEA, 2013). These methods are often further adjusted by PIRD to reflect the Canadian 17 18 climate, fuels, technologies and practices.

To prepare emissions in the desired HTAP classification, the APEI sector emissions were first mapped to the United Nations Economic Commission for Europe (UNECE) Nomenclature for Reporting (NFR) categories, which involved dividing the sector emissions into their combustion and process components. The NFR categories were then mapped to the HTAP 16 sector categories provided in the sector disaggregation scheme guide. Table S1 provides an overview of ECCC sector mapping to the HTAP\_v3.1 sectors.

The HTAP-grouped APEI inventory emissions files were further processed by the Air Quality 25 Policy-Issue Response (REQA) Section of ECCC to prepare the air-quality-modelling version 26 27 of inventory files in the standard format (i.e., FF10 format) supported by the U.S EPA emissions processing framework. To process emissions into gridded, speciated and total 28 29 monthly values, a widely-used emissions processing system called the Sparse Matrix Operator 30 Kernel Emissions (SMOKE) model, version 4.7 (UNC, 2019) was used. As part of the 31 preparation for SMOKE processing, a gridded latitude-longitude North American domain at 0.1 x 0.1 degree resolution was defined with 920 columns and 450 rows covering an area of -32 33 142W to -50W and 40N to 85N. The point-source emissions in the APEI include latitude and 34 longitude information so those sources were accurately situated in the appropriate grid cell in the Canadian HTAP gridded domain. However, to allocate provincial-level non-point source 35 36 emissions into this domain, a set of gridded spatial surrogate fields was generated for each 37 province from statistical proxies, such as population, road network, dwellings, crop distributions, etc. Over 80 different surrogate ratio files were created using the 2016 Canadian 38 census data obtained from Statistics Canada website (https://www12.statcan.gc.ca/census-39 40 recensement/2016/index-eng.cfm) and other datasets, such as the Canadian National Road 41 Network (https://open.canada.ca/data/en/dataset/3d282116-e556-400c-9306-ca1a3cada77f).

To map the original APEI inventory species to the HTAP's desired list of species, PM speciation profiles from the SPECIATE version 4.5 database (EPA, 2016) were used to calculate source-type-specific EC and OC emissions. As a final step in SMOKE processing, the monthly emissions values were estimated using a set of sector-specific temporal profiles developed and recommended by the U.S. EPA (Sassi, 2021). For the point sources the NPRI





- 1 annually reported monthly emissions proportions were applied. Emissions for the year 2020
- 2 were calculated by applying sector- and pollutant-specific trends from EDGAR.

# 3 2.3.4 REASv3.2.1 inventory

4 The Regional Emission inventory in ASia (REAS) series have been developed for providing historical trends of emissions in the Asian region including East, Southeast, and South Asia. 5 REASv3.2.1, the version used in HTAP\_v3.1, runs from 1950 to 2015. REASv3.2.1 includes 6 7 emissions of SO<sub>2</sub>, NO<sub>x</sub>, CO, NMVOCs, NH<sub>3</sub>, CO<sub>2</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, and OC from major anthropogenic sources: fuel combustion in power plant, industry, transport, and domestic 8 9 sectors; industrial processes; agricultural activities; evaporation; and others. Emissions from 10 REAS were included in the HTAP\_v3.1 global mosaic inventory except for the geographical 11 areas of China, Japan, and South Korea, for which the respective national inventories were 12 used.

13 Emissions from stationary fuel combustion and non-combustion sources are traditionally calculated using activity data and emission factors, including the effects of control 14 technologies. For fuel consumption, the amount of energy consumption for each fuel type and 15 sector was obtained from the International Energy Agency World Energy Balances, with the 16 17 exception of Bhutan, Afghanistan, Maldives, Macau where UN Energy Statistics Database 18 were used. Other activity data such as the amount of emissions produced from industrial processes were obtained from related international and national statistics. For emission factors, 19 those without effects of abatement measures were set and then, effects of control measures 20 21 were considered based on temporal variations of their introduction rates. Default emission 22 factors and settings of country- and region-specific emission factors and removal efficiencies were obtained from scientific literature studies as described in Kurokawa and Ohara (2020) 23 and references therein. 24

25 Emissions from road transport were calculated using vehicle numbers, annual distance travelled, and emission factors for each vehicle type. The number of registered vehicles were 26 obtained from national statistics in each country and the World Road Statistics. For emission 27 factors, year-to-year variation were considered by following procedures: (1) Emission factors 28 29 of each vehicle type in a base year were estimated; (2) Trends of the emission factors for each 30 vehicle type were estimated considering the timing of road vehicle regulations in each country and the ratios of vehicle production years; (3) Emission factors of each vehicle type during the 31 target period were calculated using those of base years and the corresponding trends. 32

In REASv3.2.1, only large power plants were treated as point sources. For emissions from cement, iron, and steel plants, grid allocation factors were developed based on positions, production capacities, and start and retire years for large plants. Gridded emission data of EDGARv4.3.2 were used for grid allocation factors for the road transport sector. Rural, urban, and total population data were used to allocation emissions from the residential sector. For other sources, total population were used for proxy data.

For temporal distribution, if data for monthly generated power and production amounts of industrial products were available, monthly emissions were estimated by allocating annual emissions to each month using the monthly data as proxy. For the residential sector, monthly variation of emissions was estimated using surface temperature in each grid cell. If there is no appropriate proxy data, annual emissions were distributed to each month based on number of dates in each month.

Monthly gridded emission data sets at 0.25°x0.25° resolution for major sectors and emission
 table data for major sectors and fuel types in each country and region during 1950-2015 are





1 available in text format from a data download site of REAS (<u>https://www.nies.go.jp/REAS/</u>).

Table S1 provides an overview about the REASv3.2.1 sector mapping to the HTAP\_v3.1
sectors.

More details of the methodology of REASv3.2.1 are available in Kurokawa and Ohara (2020)
and its supplement. (Note that REASv3.2.1 is the version after error corrections of REASv3.2
of Kurokawa and Ohara (2020)). Details of the error corrections are described in the data
download site of REAS.) Table S1 provides an overview about the REASv3.2.1 sector mapping
to the HTAP\_v3.1 sectors. For all countries covered by the REAS domain except China, Japan,
and South Korea, the emissions were extended beyond 2015 by applying the sector, country,
and pollutant specific trends from EDGAR.

11

# 12 2.3.5 CAPSS-KU inventory

13 In the Republic of Korea, the National Air Emission Inventory and Research Center (NAIR) 14 estimates annual emissions of the air pollutants CO, NOx, SOx, TSP, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, VOCs, and NH<sub>3</sub> via the Clean Air Policy Support System (CAPSS). The CAPSS inventory is divided 15 into four source-sector levels (high, medium, low and detailed) based on the European 16 Environment Agency's (EEA) CORe InveNtory of AIR emissions (EMEP/CORINAIR). For 17 18 activity data, various national- and regional-level statistical data collected from 150 domestic institutions are used. For large point sources, emissions are estimated directly using real-time 19 stack measurements. For small point, area and mobile sources, indirect calculation methods 20 using activity data, emission factors, and control efficiency are used. 21

22 Even though CAPSS (Clean Air Policy Support System) has been estimating annual emissions 23 since 1999, some inconsistencies exist in the time series because of the data and methodological 24 changes over the period. For example, emissions of PM<sub>2.5</sub> were initiated from the year 2011 and not from 1999. Therefore, in the CAPSS emission inventory, PM2.5 emissions were 25 calculated from 2011, and post-2011 the PM10 to PM2.5 emission ratio was used to calculate the 26 27 emissions from 2000 to 2010. These limitations make it difficult to compare and analyse 28 emissions inter-annually. To overcome these limitations, re-analysis of the annual emissions 29 of pollutants was conducted using upgrades of the CAPSS inventory, such as missing source 30 addition and emission factor updates.

The biomass combustion and fugitive dust sector emissions from 2000 to 2014 were estimated and added in the inventory, which are newly calculated emission sources from 2015. As for the on-road mobile sector, new emission factors using 2016 driving conditions were applied from the year 2000 to 2015. Since the emissions from the combustion of imported anthracite coal were calculated only from 2007, the coal use statistics of imported anthracite from 2000 to 2006 were collected to estimate emissions for those years.

After all the adjustments, a historically re-constructed emissions inventory using the latest emission estimation method and data was developed. Table S1 provides an overview about the CAPSS sector mapping to the HTAP\_v3.1 sectors.

### 40 2.3.6 JAPAN inventory (PM2.5EI and J-STREAM)

41 The Japanese emission inventory contributing to the HTAP\_v3.1 mosaic is jointly developed

42 by the Ministry of the Environment, Japan (MOEJ) for emissions arising from mobile sources

43 and by the National Institute of Environmental Studies (NIES) for estimating emissions from

44 fixed sources.





1 The mobile source emissions data for the HTAP\_5.1, 5.2, and 5.4 sectors are based on the air pollutant named "PM2.5 Emission Inventory 2 emission inventory (PM2.5EI, https://www.env.go.jp/air/osen/pm/inventory.html). PM2.5EI has been developed for the years 3 4 2012, 2015 and 2018 while for 2021 is currently under development. Almost all anthropogenic 5 sources are covered, but emissions from vehicles are estimated in particular detail based on JATOP (Shibata and Morikawa, 2021). The emission factor of automobiles is constructed by 6 7 MOEJ as a function of the average vehicle speed over several kilometres in a driving cycle that 8 simulates driving on a real road. Emission factors are organized by 7 types of vehicles, 2 fuel 9 types, 5 air pollutants, and regulation years, and have been implemented since 1997 as a project 10 of MOEJ. By using these emission factors and giving the average vehicle speed on the road to be estimated, it is possible to estimate the air pollutant emissions per kilometre per vehicle. 11 12 The hourly average vehicle speed of trunk roads, which account for 70% of Japan's traffic volume, is obtained at intervals of several kilometres nationwide every five years, so the latest 13 14 data for the target year is used. For narrow roads, the average vehicle speed by prefecture 15 measured by probe information is applied. It is 20 km/h in Tokyo, but slightly faster in other 16 prefectures. Starting emission is defined as the difference between the exhaust amount in the completely cold state and the warm state in the same driving cycle and is estimated by the times 17 the engine started in a day. Chassis dynamometer tests are performed in a well-prepared 18 19 environment, so for more realistic emissions estimates, temperature correction factor, humidity 20 correction factor, deterioration factor, DPF regeneration factor, and soak time correction factor are used. In addition to running and starting emissions, evaporative emissions from gasoline 21 vehicles and non-exhaust particles such as road dust (including brake wear particles) and tire 22 23 wear particles are combined to provide a vehicle emissions database with a spatial resolution 24 of approximately 1 km × 1 km (30" arc seconds latitude, 45" arc seconds longitude), and a 25 temporal resolution of an hour by month, including weekdays and holidays. Off-road vehicle emissions are estimated separately for 17 types of construction machinery, industrial 26 machinery (forklifts), and 5 types of agricultural machinery. In all cases, emission factors by 27 type and regulatory year per workload are used, as researched by the MOEJ. Although not as 28 29 precise as automobiles, the off-road database is provided with the same temporal and spatial 30 resolution as the automobile database.

Emissions from stationary sources in Japan are derived from the emission inventory developed 31 in the Japan's Study for Reference Air Quality Modelling (J-STREAM) model intercomparison 32 project (Chatani et al., 2018; Chatani et al., 2020, Chatani et al., 2023). In this emission 33 inventory, emissions from stationary combustion sources are estimated by multiplying 34 35 emission factors and activities including energy consumption, which is available in the comprehensive energy statistics. Large stationary sources specified by the air pollution control 36 37 law need to report emissions to the government every three years. The emission factors and their annual variations were derived from the emissions reported by over 100,000 sources 38 39 (Chatani et al., 2020). For fugitive VOC emissions, MOEJ maintains a special emission 40 inventory to check progress on regulations and voluntary actions targeting 30% reduction of fugitive VOC emissions starting from 2000. VOC emissions estimated in this emission 41 inventory are used. Emissions from agricultural sources are consistent with the emissions 42 43 estimated in the national greenhouse gas emission inventory (Center for Global Environmental Research et al., 2022). Emissions of all the stationary sources are divided into prefecture, city, 44 45





- 1 specific to each source. Table S1 provides an overview about the Japanese inventory sector
- 2 mapping to the HTAP\_v3.1 sectors.

# 3 2.3.7 MEICv1.4 inventory

4 The Multi-resolution Emission Inventory for China (MEIC; http://meicmodel.org.cn/), 5 developed and maintained by Tsinghua University since 2010, provides high-resolution, multiscale emission databases for anthropogenic air pollutants and greenhouse gases (Li et al., 2017; 6 Zheng et al., 2018; Geng et al., 2024). The MEIC employs a technology-based approach to 7 effectively capture the fast and complex evolution of technological operations in China. It 8 encompasses 31 provinces across mainland China, incorporates over 700 anthropogenic 9 10 emission sources, and covers key pollutants such as SO<sub>2</sub>, NO<sub>x</sub>, CO, NMVOCs, NH<sub>3</sub>, PM<sub>10</sub>, PM2.5, BC, OC, and CO2. The MEICv1.4 dataset (Geng et al., 2024) is used for the new 11 12 HTAPv3.1 global mosaic inventory, which spans from 1990 to 2020 and is publicly available 13 at http://meicmodel.org.cn/.

14 Emissions in MEIC are calculated using activity rates, unabated emission factors, penetration rates of manufacturing and pollution control technologies, and removal efficiencies of these 15 technologies. Energy consumption data, categorized by fuel type, sector, and province, are 16 derived from the China Energy Statistical Yearbook (https://data.stats.gov.cn/). Industrial 17 18 production data, segmented by product type and province, are sourced from other governmental statistics (https://data.stats.gov.cn/). The distribution of combustion and processing 19 20 technologies across sectors and industries is taken from the Ministry of Ecology and 21 Environment (MEE) (unpublished data, referred to as the MEE database), which compiles 22 plant-level information collected by local agencies and verified by the MEE. Unabated 23 emission factors are based on a broad spectrum of studies (Li et al., 2017). The net emission factors for specific fuels/products within sectors evolve dynamically due to rapid technological 24 25 adoption, necessitating a technology-based methodology to monitor these changes. Penetration rates for various technologies are sourced from extensive statistics (Li et al., 2017) and the 26 27 MEE database.

28 Sector-specific emission models underpin the MEIC framework. For coal-fired power plants, emissions are calculated using detailed unit-level data on activity rates, emission factors, 29 30 control technology progress, operation status, and geographic location, enabling the tracking of changes at the unit level (Liu et al., 2015; Tong et al., 2018). Cement production emissions 31 32 are similarly modeled at the unit level, accounting for operational status, clinker and cement production volumes, production capacity, facility commissioning/retirement dates, and control 33 technologies (Liu et al., 2021). On-road vehicle emissions are estimated using vehicle stock 34 35 and monthly emission factors at the county level, as well as fleet turnover data at the provincial 36 level, capturing spatial and temporal variations in vehicle activity and emissions (Zheng et al., 37 2014). Residential sector emissions are derived using a survey-based model linking solid fuel 38 consumption to heating degree days, income levels, coal production, coal prices, and vegetation coverage, correcting for underreported rural coal consumption and overestimated crop residue 39 40 use in official statistics (Peng et al., 2019).

Monthly emissions are allocated from annual totals using source-specific monthly profiles,
developed based on statistical data such as fuel consumption and industrial production. Spatial
allocation employs geographic coordinates for power and cement facilities, while spatial
proxies like population density and road networks are used for mobile and diffuse sources to





- 1 disaggregate provincial emissions to grid scales. Emissions are first mapped to a 1-km grid and
- 2 subsequently aggregated to a  $0.1^{\circ}$  grid.
- Further details on the methodology of MEICv1.4 can be found in Geng et al. (2024) and its
  supplementary materials.

# 5 2.4 Gap-filling methodology with EDGARv8

6 EDGAR is a globally consistent emission inventory of air pollutant and greenhouse gases 7 developed and maintained by the Joint Research Centre of the European Commission (https://edgar.jrc.ec.europa.eu/, last access: December 2024). The EDGAR methodology used 8 to compute greenhouse gas and air pollutant emissions has been described in detail in several 9 10 publications (Janssens-Maenhout et al., 2019; Crippa et al., 2018) and summarised here after. 11 In EDGAR, air pollutant emissions are computed by making use of international statistics as 12 activity data (e.g. International Energy Balance data, Food and Agriculture Organisation 13 statistics, USGS Commodity Statistics), region- and/or country-specific emission factors by 14 pollutant/sector, and technology and abatement measures, following Eq. (1)

15 
$$EM_{i(C,t,x)} = \sum_{j,k} AD_{i(C,t)} * TECH_{i,j(C,t)} * EOP_{i,j,k(C,t)} * EF_{i(C,t,x)} * (1 - RED)_{i,j,k(C,t,x)}$$

16 (Eq. 1)

17 where EM are the emissions from a given sector i in a country C accumulated during a year t for a chemical compound x, AD the country-specific activity data quantifying the human 18 activity for sector i, TECH the mix of j technologies (varying between 0 and 1), EOP the mix 19 of k (end-of-pipe) abatement measures (varying between 0 and 1) installed with a share k for 20 21 each technology j, and EF the uncontrolled emission factor for each sector i and technology j 22 with relative reduction (RED) by abatement measure k. Emission factors are typically derived 23 from the EMEP/EEA Guidebooks (EMEP/EEA, 2013, 2019, 2016), the AP-42 (U.S. 24 Environmental Protection Agency, 2009) inventory and scientific literature.

Annual country- and sector-specific air pollutant emissions are then disaggregated into monthly values (Crippa et al., 2020) and subsequently spatially distributed by making use of detailed proxy data (Janssens-Maenhout et al., 2019; Crippa et al., 2021; Crippa et al., 2024).

As the most comprehensive and globally consistent emission database, the latest update of the 28 29 EDGAR air pollutant emissions inventory, EDGARv8 30 (https://edgar.jrc.ec.europa.eu/dataset\_ap81, last access: December 2024), is used in the 31 HTAP\_v3.1.1 mosaic to complete missing information from the officially reported inventories, as reported in Table 3. In addition of using the latest international statistics as input activity 32 33 data for computing emissions (e.g. IEA, 2022; FAO, 2023, etc.), EDGARv8 includes important updates compared to previous versions for estimating air pollutant emissions, such as the 34 35 improvement of road transport emission estimates for many world regions (refer to Lekaki et al., 2024) and updated technologies, abatement measures and emission factors for power plant 36 37 emissions and residential emissions in Europe.

EDGARv8 incorporates new spatial proxies used to distribute national emissions by sector over the globe (Crippa et al., 2024) and new monthly profiles for the residential sector making use of heating degree days using ERA-5 temperature data. SO<sub>2</sub> emissions from international and domestic shipping have been revised including the revision of the sulphur content of the fuel following the IMO studies (Smith et al., 2015; Faber et al., 2020) and scientific literature





- 1 (Diamond et al., 2023; Osipova et al., 2021). In the Supplement (Sect. S2), the assessment of
- 2 EDGAR emission data is reported in comparison with global and regional inventories.

# 3 **3 Results**

### 4 3.1 Annual time series analysis: trends and regional and sectoral contributions

5 Having a consistent set of global annual emission inventories for a two-decade period allows the investigation of global emissions trends for the inventory pollutants and regional and 6 sectoral contributions. Figure 2 presents annual time series (2000-2020) of the global emissions 7 8 of the nine air pollutants included in the HTAP v3.1 mosaic separated into the actual 9 contributions of 12 regions. Figure 3 shows the corresponding relative contributions of (a) 16 10 sectors and (b) 12 regions to the 2020 global emissions of these same pollutants. We can then discuss each pollutant in turn. In the following paragraphs we shortly present global and 11 12 regional air pollutant emissions and their trends over the 2000-2020 period as provided by the 13 HTAP v3.1 data. Emissions are not presented with a confidence level since no comprehensive 14 bottom-up uncertainty analysis has been performed in the context of the mosaic compilation, 15 however see discussion in section 3.5. Since 2020 emissions have been strongly influenced by the CODIV-19 pandemic, some of the figures and results will refer to the year 2018 (also for 16 comparability reasons with HTAP v3). 17

Global SO2 emissions declined from 98.9 to 58.3 Mt between 2000 and 2020. This decreasing 18 pattern is found for several world regions with the fastest decline in Eastern Asia, where after 19 the year 2005  $SO_2$  emissions began to decrease steadily. This is consistent with the use of 20 21 cleaner fuels with lower sulphur content and the implementation of desulphurisation techniques in power plants and industrial facilities in China in accordance with the 11th Five-Year Plan 22 (FYP, 2006–2010 (Planning Commission, 2008)) and the 12th Five-Year Plan (FYP, 2011– 23 24 2015 (Hu, 2016)) (Sun et al., 2018). Similarly, industrialised regions, such as North America 25 and Europe, are characterised by a continuous decreasing trend in SO<sub>2</sub> emission, which had started well before the year 2000 due to the implementation of environmental and air quality 26 27 legislation (EEA, 2022). Increasing SO<sub>2</sub> emissions, on the other hand, are found for Southern 28 Asia (+115% in 2018 compared to 2000), South-East Asia and developing Pacific (+60.4%), 29 and Africa (+44.2%). These increases mostly arise from the energy, industry, and (partly) residential sectors, and reflect the need for emerging and developing economies to mitigate 30 31 these emissions. Emissions estimated using satellite retrievals and model inversions confirm 32 the trends provided by the HTAP v3.1 mosaic (Liu et al., 2018). SO<sub>2</sub> is mostly emitted by 33 power generation and industrial activities, which in 2018 represent 42.6% and 27.5%, 34 respectively, of the global total. Despite measures in some specific sea areas to mitigate sulphur emissions, globally they have been rising steadily with increasing activity. International 35 36 shipping represents 11.9% of global SO<sub>2</sub> emissions in 2018 (and 4% in 2020 due to the COVID-19 pandemic), and it is 21.9% higher compared to the 2000 levels (Fig. 3). 37

38 Global NOx emissions increased from 108.2 Mt in 2000 to 113.6 Mt in 2018 as a result of the 39 increase in energy- and industry-related activities for most of the world regions (in particular over the Asian domain), while they declined to 103 Mt as effect of the COID-19 pandemic. 40 41 The strongest decreases are found for North America (-65.8% in 2018 compared to 2000), 42 Europe (-43.6%), Asia-Pacific Developed (-34.8%) and to a lower extent for Eurasia (-4.8%). 43 Comparable spatio-temporal patterns are found by satellite OMI data and ground based 44 measurements of NO<sub>2</sub> concentrations (Jamali et al., 2020). NOx is mainly produced at high 45 combustion temperatures (e.g., power and industrial activities, 35.1% of the global total), but





also by road transportation (26.6% of the global total) and international shipping (14.8% of the
 global total).

3 CO is mostly emitted by incomplete combustion processes from residential combustion, 4 transportation and the burning of agricultural residues. Globally, CO emissions declined from 552.3 Mt in 2000 to 533.9 Mt in 2018 (and 515.5 in 2020), with different regional trends. 5 Historically industrialised regions have reduced their emissions over the years (-45.3% in 6 Europe and -63.1% in North America), while CO emissions increased in Africa by 44.8% and 7 8 in Southern Asia by 49%. Road transport CO emissions halved over the past two decades (-54.5%), while the emissions from all other sectors increased. These results are consistent with 9 10 MOPITT satellite retrievals, which mostly show the same trends over the different regional domains over the past decades (Yin et al., 2015). 11

<u>NMVOC emissions</u> increased from 116.1 Mt in 2000 to 146 Mt in 2018 (and 141.8 Mt in 2020). These emissions are mostly associated with the use of solvents (23% of the 2018 global total), fugitive emissions (22.3%), road transportation (including both combustion and evaporative emissions, 14.3%) and small-scale combustion activities (19.9%). The most prominent increases in the emissions at the global level are found for the solvents sector (+73.4%). In 2018, NMVOC emissions from solvents were 5.3 and 4.5 times higher than in 2000 in China and India, respectively, while a rather stable trend in found for US and Europe.

Global NH<sub>3</sub> emissions increased from 43.3 Mt in 2000 to 55.3 Mt in 2018 (and 56.8 Mt in 2020) due to enhanced emissions from agricultural activities. In particular, NH<sub>3</sub> emissions strongly increased in Africa (+61.2% in 2018 compared to 2000), South-East Asia and developing Pacific (54.9%), Southern Asia (+44.4%), and Latin America and Caribbean (+36.8%).

24 Particulate matter emissions increased from 55.3 Mt PM10 in 2000 to 59.9 Mt in 2018 (and 58.6 Mt in 2020) at the global level, with different regional trends: +65.9% for Southern Asia (in 25 2018 compared to 2000), +56.8.0% for Africa, +39.6% for Middle East, +33.1% for Latin 26 America and Caribbean. These increases are mostly associated with increases in agricultural 27 waste burning and the livestock, energy, and waste sectors. By contrast, Eastern Asia (-40.4%), 28 29 North America (-22.9%), and Asia-Pacific Developed (-33.5%) significantly decreased their 30  $PM_{10}$  emissions over the past two decades due to the continuous implementation of reduction 31 and abatement measures for the energy, industry, road transport and residential sectors (Crippa et al., 2016). As shown in Fig. 3, the relative contribution of North America to global PM<sub>10</sub> is 32 quite high compared to other substances due to fugitive dust emissions (e.g., unpaved road 33 34 dust, coal pile dust, dust from agricultural tilling) which have not been adjusted for 35 meteorological conditions (e.g., rain, snow) and near-source settling and mitigation (e.g., tree wind breaks) because these removal mechanisms are better addressed by the chemical transport 36 models. Additional uncertainty may be therefore introduced for these emissions, depending on 37 the modelling assumptions of each official inventory. Similarly, particulate matter speciation 38 39 into its carbonaceous components is often challenging and subjected to higher level of uncertainty, for instance because different definitions are used for PM in inventories, including 40 41 condensable emissions or not (Denier van der Gon et al., 2015). Improvement of the accuracy of such emissions (e.g. BC and OC emissions over the European domain) are included in this 42 43 work compared to HTAP\_v3.

### 44 3.2 Emission maps

45 Spatially distributed emission data describe where emissions take place, as input for local, 46 regional and global air quality modelling. As noted in section 2.2, nationally aggregated air 47 pollutant amissions are spatially distributed over the corresponding national territory using





spatial proxy data which are believed to provide a relatively good representation of where 1 2 emissions take place. Depending on the emitting sector, air pollutants can be associated with 3 the spatial distributions of point sources (e.g., in the case of power plant or industrial activities), road networks (e.g., for transportation related emissions), settlement areas (e.g., for small-scale 4 combustion emissions), crop and livestock distribution maps, ship tracks etc. Using reliable 5 6 and up-to-date spatial information to distribute national emissions is therefore relevant, 7 although challenging. Multiple assumptions are often made by inventory compilers when 8 developing their inventories, which may result in differences when analysing spatially 9 distributed emissions provided by different inventory compilers over the same geographical 10 domain.

One key goal of the HTAP\_v3.1 mosaic is to collate in one inventory the most accurate 11 spatially-distributed emissions for all air pollutants at the global level, based on the best 12 available local information. Point sources related with emissions from power plant and 13 industrial facilities represent one the most critical spatial information to be retrieved, and their 14 misallocation can significantly affect the characterisation of local air quality. This challenge is 15 16 also present in the HTAP v3.1 mosaic. For example, the REASv3.2.1 inventory is still using limited information to distribute emissions from these two sectors especially for industrial 17 plants. Depending on the region, point source information could be limited compared to 18 datasets used in inventories of North America, Europe, and China. To overcome this issue, in 19 20 HTAP\_v3.1 MEIC data were integrated for China, but the participation of national emission 21 inventory developers from India and other Asian countries is recommended for future updates. The impact can be seen in Fig. 4, which shows the global map of SO<sub>2</sub> emissions in 2018 based 22 on the HTAP\_v3.1 mosaic compilation, where information about the magnitude and the type 23 24 of emission sources for the different regions can be retrieved. The energy and industry sectors 25 contribute a large fraction of SO<sub>2</sub> emissions (Fig. 3a), but the spatial distribution of these emissions is qualitatively different in North America and Europe than in Asia (i.e., more 26 27 "spotty", less smooth and widely distributed). Ship tracks cover the entire geographical marine 28 domain, consistent with emissions from the STEAM model (Jalkanen et al., 2012; Johansson 29 et al., 2017) included in the EDGARv8 database, although showing marked emissions over the 30 Mediterranean Sea, Asian domain, Middle East and North American coasts. Furthermore, emissions from power plant and industrial activities, as well as small-scale combustion are 31 prominent over the Asian domain, Eastern Europe, and some African regions. 32

Sector-specific case studies are presented in the maps of Figs. 5-8. The year 2018 is represented 33 in the maps instead of 2020 to exclude the peculiarities of the COVID-19 pandemic. Figure 5 34 35 shows the comparison of annual NOx emissions for the year 2000 and 2018. The road transport sector is a key source of NOx emissions (cf. Fig. 3a), and this contribution is reflected in the 36 37 visible presence of road networks in the maps. Decreasing emissions are found for industrialised regions (USA, Europe, Japan) thanks to the introduction of increasingly 38 restrictive legislation on vehicle emissions since the 1990s, whereas a steep increase is found 39 for emerging economies and in particular India, China, and the Asian domain. Figure 6 shows 40 41 the different spatial allocation of  $PM_{10}$  emissions from the residential sector during the month of January 2018, with higher emission intensities evident in the Northern Hemisphere (cold 42 season) and the lower values in the Southern Hemisphere (warm season). Figures 7 and 8 show 43 the spatio-temporal allocation of agriculture-related emissions, and specifically, PM10 44 45 emissions from agricultural waste burning and NH3 emissions from agricultural soil activities.

### 46 **3.3 Monthly temporal distribution**

# 47 **3.3.1 Monthly variability by region**





1 The magnitude of air pollutant emissions varies by month because of the seasonality of different anthropogenic activities and their geographical location (e.g., Northern vs. Southern 2 3 Hemisphere regions). Figures 9 and 10 (and S3.1, S3.2 and S3.3) show the monthly distribution of regional emissions for those pollutants and sectors for which higher variability is expected. 4 The year 2015 was chosen since it is the last year for which all of the official data providers 5 6 have data. Figure 9 shows monthly NH<sub>3</sub> emissions by region from three agricultural activities 7 (agricultural waste burning, livestock, and crops). These sectors display the largest variability 8 by month, reflecting the seasonal cycle and the region-specific agricultural practices, such as fertilisation, crop residue burning, manure and pasture management, animal population 9 10 changes, etc. In Figure 10, NOx emissions from residential activities show a particular monthly 11 distribution, with the highest emissions occurring during the cold months shifted for the 12 Northern and Southern Hemispheres. By contrast, regions in the equatorial zone do not show a marked monthly profile even for residential activities. The energy sector also follows 13 14 monthly-seasonal cycles related to the demand for power generation, which is also correlated with ambient temperature and local day length. Transport-related emissions do not show a large 15 variation by month, whereas daily and weekly cycles for transport-related emissions, which are 16 17 typically more relevant, are beyond the temporal resolution of this work.

Although a spatio-temporal variability of the HTAP v3.1 emissions is found in these figures, 18 a more in-depth analysis reveals that with the exception of few regions and sectors (e.g., 19 20 Canada, USA and regions gap-filled with EDGAR), no inter-annual variability of the monthly profiles is present, meaning that the majority of official inventories assume the same monthly 21 distribution of the emissions for the past two decades (refer to Figs. S3.4-S3.9). This is different 22 from the approach used for example by EDGAR (Crippa et al., 2020), ECCC for Canada, and 23 24 U.S. EPA for the USA, where year-dependent monthly profiles are used for specific sectors, in 25 particular for residential, power generation, and agricultural activities. Further analysis has shown that for the European domain regional rather than country-specific monthly profiles are 26 27 applied. Therefore, for Europe new state-of-the-art profiles have been made available under 28 the CAMS programme by Guevara et al. (2021).

### 29 3.3.2 Spatially-distributed monthly emissions

An important added value of HTAP\_v3.1 comes from the availability of monthly gridmaps that reflect the seasonality of the emissions for different world regions. Access to spatially distributed monthly emissions is essential to design effective mitigation actions, providing information on hot spots of emissions and critical periods of the year when emissions are highest.

35 Figure 11 shows mid-season PM<sub>2.5</sub> monthly emissions arising from the residential sector in 2018. The global map shows higher emissions in the Northern Hemisphere during January, 36 37 while the opposite pattern is found for the Southern Hemisphere in July. Agriculture is an 38 important activity characterised by strong seasonal patterns, as shown in Figs. 12 and 13. Figure 12 shows PM<sub>10</sub> monthly emission maps from agricultural residue burning in 2018 from 39 HTAP\_v3.1, highlighting higher emissions over certain months of the year related with specific 40 41 burning practices of agricultural residues for different world regions. For example, during the 42 month of April, intense burning of crop residues is found in Africa (Nigeria, Ethiopia, Sudan, South Africa, etc.), South America (Brazil, Argentina, Colombia, etc.), Northern India, and 43 44 South-Eastern Asia (e.g., Vietnam, Thailand, Indonesia, Philippines, etc.). Figure 13 represents the yearly variability of NH<sub>3</sub> emissions from agricultural soils activities, mostly related with 45 46 fertilisation. During the month of March and April, intense agricultural soils activities are found 47 over Europe and North America compared to other months, while during the month of October





- 1 the highest emissions are for this sector are found in China, India, several countries of the Asian
- 2 domain, but also in USA, Australia, and Latin America. These results are consistent with
- satellite based observations performed using Cross-track Infrared Sounder (Shephard et al.,
   2020).
- 4 2020).

# 5 **3.4 Vertical distribution of the emissions**

# 6 3.4.1 Aircraft emissions

7 In EDGAR8 the emissions are provided at three effective altitude levels (landing/take-off, ascent/descent, and cruising). The spatial proxy for the aviation sector is derived from 8 International Civil Aviation Organization (ICAO, 2015) which specifies a typical flight pattern 9 10 with landing/take-off cycle within few km of the airport, followed by climb-out/descending phase during the first 100 km and the last 100km of a flight and finally the remaining part from 11 101 km until the last 101 km as the cruise phase. Routes and airport locations are taken from 12 13 the Airline Route Mapper of ICAO (2015). In HTAP\_v3.1, aircraft emissions are provided as 14 domestic and international, including information about the three altitude ranges in each case.

# 15 **3.4.2 Speciation of NMVOC emissions**

For emission data to be useful for modellers, total NMVOC emissions must be decomposed 16 into emissions of individual NMVOC species. As the chemical mechanisms used by models 17 can differ with respect to the NMVOC species they include, it is not practical to provide an 18 NMVOC speciation which is usable by all models. Instead, a speciation is provided here for 19 the set of 25 NMVOCs defined by Huang et al. (2017) and the corresponding data are made 20 available on the HTAP v3.1 website. The absolute values of 25-category speciated NMVOC 21 emissions were obtained for all countries for the 28 EDGAR sectors from here: 22 https://edgar.jrc.ec.europa.eu/dataset\_ap432\_VOC\_spec. The absolute NMVOC emissions of 23 each species from each sector in this dataset were remapped to the HTAP v3.1 sectors 24 following the mapping from Table 2, then converted to a speciation by dividing by the total 25 emissions of each individual species for the four world regions defined by Huang et al. (2017): 26 Asia; Europe; North America; and Other. The resulting NMVOC speciation is provided as 27 28 supplementary information to this paper for the 25 NMVOC species (Table S3), 4 world regions, and 15 emitting NMVOC sectors<sup>2</sup> following the HTAP\_v3.1 sector classification 29 30 (including 13 sectors defined over the 4 world regions, and the two international sectors: international shipping and international aviation). The list of countries comprising each region 31 is also provided in the supplement (Table S4). NMVOC speciation profiles can be accessed at: 32 33 https://edgar.jrc.ec.europa.eu/dataset\_htap\_v31#p3.

### 34 **3.5 Emission Uncertainties**

# 35 **3.5.1 Overview on uncertainties**

Unlike greenhouse gas inventories, uncertainty is not routinely estimated for air pollutant emissions by country inventory systems. In part this is due to the different and often disparate processes used to generate air pollution data at the country level (Smith et al., 2022), making it more difficult to conduct uncertainty analysis. While combinations of observational and modelling techniques can be used to evaluate air pollutant emissions, these are inherently site specific and can be difficult to generalize.

<sup>&</sup>lt;sup>2</sup> No speciation profile is provided for the 'tyre and brake wear sector' not being a source of NMVOC emissions.





The potential level of uncertainty in any emission estimate depends on how much emission 1 factors vary for a particular activity. We note that the emission species with the lowest 2 uncertainty is carbon dioxide from fossil fuel combustion. This is because CO<sub>2</sub> emission factors 3 4 are closely tied to fuel energy content, which is a quantity that is tracked and reported by both 5 government and commercial reporting systems. Similar considerations apply to SO<sub>2</sub> emissions, where emissions can be reliably estimated if the sulphur content of fuels and the operational 6 characteristics of emission control devices are known. A key aspect here is that uncertainty in 7 8 fuel sulphur content is largely uncorrelated across regions, which means that global uncertainty 9 is relatively low, while regional uncertainty often much higher (Smith et al., 2011). On the 10 opposite end of the spectrum, the emission rates for particulate matter depend sensitively on combustion conditions and the operation of any emission control devices and can vary over 11 12 several orders of magnitude. While this is not an indication of the uncertainty in inventory estimates, this indicates the difficulty of constructing quantitative uncertainty estimates. The 13 14 type of emission process also influences uncertainty, with fugitive emissions and emissions 15 associated with biological processes generally having higher uncertainty levels.

We note also that uncertainty in the overall magnitude of emissions does not necessarily imply a similar level of uncertainty in relative emission trends. Even with uncertainties, the widespread use of emission control devices has resulted in reductions in air pollutant emissions in North America and Europe (Liu et al., 2018; Jamali et al., 2020), as verified by observational and modelling studies.

The emissions in the HTAP\_v3.1 mosaic emissions originate from a variety of sources which 21 22 has some implications for relative uncertainty. Emissions for some regions, such as North America and Europe, were generated by country inventory systems which have been developed 23 24 and refined over the last several decades. It is reasonable to assume these emissions are robust, 25 however even in these regions detailed studies have indicated that actual emissions in some cases appear to be lower than inventory values (Anderson et al., 2014; Hassler et al., 2016; 26 27 Travis et al., 2016). Where EDGAR emission estimates were used in the mosaic uncertainties 28 are likely be higher overall given that inventory information developed in those countries was 29 not available for these regions (Solazzo et al., 2021).

Some information on the robustness of the HTAP\_v3.1 mosaic can be gained by comparing different inventory estimates, which is shown in supplement section S2. In many cases, the agreement between estimates (for example in North America and Europe) simply indicates common data sources and assumptions, although this does indicate that the different inventory groups did conclude that these values were plausible. The larger differences in other regions, however, does point to larger uncertainty there.

# 36 **3.5.2** Qualitative assessment of the uncertainty of a global emission mosaic

Assessing the uncertainty of a global emission mosaic is challenging since it consists of several bottom-up inventories and by definition it prevents a consistent global uncertainty calculation. Each emission inventory feeding the HTAP\_v3.1 mosaic is characterized by its own uncertainty which is documented, where available, by the corresponding literature describing each dataset (see Table 2 and section 2.3). However, the mosaic compilation process may also introduce additional uncertainties compared to the input datasets. In order to limit these additional uncertainties, we made the following considerations:





-for each emission inventory both the national totals and gridded data by sector were gathered.
This process allows the mosaic compilers not to introduce additional uncertainty compared to
the original input regional datasets. While additional uncertainties may arise from the
extraction of the national totals from spatially distributed data (e.g. country border issues which
were one limitation of previous editions of the HTAP mosaics), this is not the case in the current
dataset. Therefore, when regional trends are described by region and pollutant (see section 3),

7 no additional source of uncertainty has to be considered from the mosaic compilation approach.

the sector definition and mapping has been developed following the IPCC categories and when
no data was available for a certain combination of sector and pollutant a gapfilling procedure
is applied using the EDGAR database. Therefore, the datasets are comparable in terms of
sectoral coverage, which reduces uncertainties in this aspect.

- since each inventory provided monthly resolution emission gridmaps and time series there is
 no additional uncertainty introduced by temporal disaggregation as part of the construction of
 the HTAP\_v3.1 mosaic.

- 15 In this work we also provide a qualitative indication of the emission variability by HTAP sector 16 and pollutant at the global level. Table S5 summarises the variability of global HTAP v3.1 17 emissions by sector for the boundary years of this mosaic (years 2000, 2018, and 2020) 18 compared to the global EDGARv8 data. EDGAR emissions are considered as the reference global emission inventory against which comparing the HTAP\_v3.1 estimates although these 19 20 two global products are not fully independent. The variability of the global emissions is 21 calculated as the relative difference of the estimates of the two inventories, i.e. (EDGARv8-22 HTAP\_v3.1)/HTAP\_v3.1). Emission variabilities are also classified as low (L, L<15%), low 23 medium (LM, 15%<LM<50%), upper medium (UM, 50%<UM<100%), high (H, H>100%), 24 based on the EMEP/EEA Guidebook (2019) information. The largest variability is found 25 domestic shipping emissions (CO and NMVOC), energy (OC, BC), agricultural crops (PM), 26 road transport (PM, NMVOC) and industry (NH<sub>3</sub>, NMVOC). In absence of a full uncertainty 27 assessment the variability can be used as proxy of structural uncertainty, keeping in mind that 28 variability could be biased towards overconfidence, thus underestimating the uncertainty. Furthermore, the uncertainty of the spatial proxies has not been assessed and maybe subject of 29
- 30 future activity updates.

### 31 **4 Data availability**

32 The HTAP\_v3.1 emission mosaic data can be freely accessed and cited using

- 33 <u>https://doi.org/10.5281/zenodo.14499440</u> (Crippa, 2024). All data can be also accessed
- 34 through the EDGAR website at the following link:
- 35 https://edgar.jrc.ec.europa.eu/dataset\_htap\_v31.
- 36 Data are made available in the following formats:
- Monthly gridmaps of emissions (in Mg/month) at 0.1x0.1degree resolution: there is one
   NetCDF file per year and substance that includes the emissions for each sector for the
   12 months.
- Monthly gridmaps of emission fluxes (in kg/m²/s) at 0.1x0.1degree resolution: there is one .NetCDF file per year and substance that includes the emission fluxes for each sector the emission fluxes for the 12 months.





- Annual gridmaps of emissions (in Mg/year at 0.1x0.1degree resolution: there is one .NetCDF file per year and substance that includes the emissions for each sector.
- 3

1

2

5

• Annual gridmaps of emission fluxes (in kg/m<sup>2</sup>/s) at 0.1x0.1degree resolution: there is one .NetCDF file per year and substance that includes the emission fluxes for each sector.

6 The full set of HTAP\_v3.1 data is quite large, requiring substantial network bandwidth and 7 time for download, and substantial storage space. To make it easier for users to query and use the data, additional products are available. For global modellers who may not require such high 8 spatial resolution, gridmaps at 0.5x0.5 degree resolution are made available following the 9 10 abovementioned specifications of the higher spatial resolution data. Furthermore, to allow 11 regional modellers to download only the data for the regions they need, the JRC EDGAR group 12 has also developed an interface to allow the users of the HTAP\_v3.1 mosaic to extract emission 13 data over arbitrarily specified geographical domains. The HTAP tool is accessible after creation 14 of an ECAS account (https://webgate.ec.europa.eu/cas/login) and it is available at: https://edgar.jrc.ec.europa.eu/htap\_tool/. 15

# 16 5 Conclusions

The global air pollution mosaic inventory HTAP v3.1 presented and discussed in this paper is 17 a state-of-the-art database for addressing the present status and the recent evolution of a set of 18 19 policy-relevant air pollutants. The inventory is made by the harmonization and blending of seven regional inventories, gapfilled using the most recent release of EDGAR (EDGARv8). 20 Compared with the previous version of this dataset (HTAP\_v3), the HTAP\_v3.1 dataset 21 includes updates to many of the constituent inventories, an extension of the timeseries by two 22 years, and the inclusion of the MEIC emissions for China. By directly incorporating the best 23 24 available local information, including the spatial distribution of emissions, the HTAP\_v3.1 25 mosaic inventory can be used for policy-relevant studies at both regional and global levels. As such, the HTAP\_v3.1 mosaic inventory provides a complement to globally consistent emission 26 inventories such as EDGAR. The global and regional trends of air pollutant emissions in the 27 HTAP\_v3.1 mosaic are comparable with other commonly available global emission datasets. 28

By providing consistent times series for two decades, HTAP\_v3.1 allows an evaluation of the
impact and success of the pollution control measures deployed across various regions of the
world since 2000. Similarly, its finer sectoral resolution is suitable for understanding how and
where technological changes have resulted in emissions reductions, suggesting possible
pathways for strengthening appropriate policy actions.

All these features make HTAP\_v3.1 a database of interest for policy makers active in the air quality regulatory efforts. HTAP\_v3.1 provides a picture of a world where most pollutant emissions are following a steady or decreasing path. However, several areas of the world show an increasing emission trend, with wide portions of the world remaining subjected to unsatisfactory levels of ambient air quality.

39 When using the HTAP\_v3.1 emission mosaic, users should consider the following limitations,

- 40 for example when combining the HTAP\_v3.1 data with other emission input needed to run 41 atmospheric models:
- 42 agricultural waste burning emissions should be treated with caution to avoid double-counting
- 43 when combined with existing biomass burning emission inventories;





- 1 NMVOC and NOx emissions from agricultural soils should be treated with caution to avoid
- 2 double-counting when combining the HTAP\_v3.1 data with a natural emissions model such as
- 3 MEGAN (Model of Emissions of Gases and Aerosols from Nature);
- the speciation of NOx emissions into its components (NO, NO<sub>2</sub>, HONO) is not provided by
  the global HTAP\_v3.1 mosaic and it is beyond the scope of the current work since the regional
  inventories report total NOx with no speciation. Standard practice in global models is to emit
  all anthropogenic NOx as NO, while we expect that regional modelling groups will have access
  to appropriate best practices for their particular regions. In particular for road transport, the
  partitioning of NOx emissions between NO, NO<sub>2</sub>, and HONO is highly region-dependent, and
  it is based on the fleet composition (e.g., number of diesel vehicles relative to gasoline vehicles)
  and technology level (e.g., the level of exhaust after treatment)
- 11 and technology level (e.g., the level of exhaust after treatment).

Thanks to the continuous improvement of local and regional emission inventories, recent literature shows new datasets that report regional information over areas of the world not covered by local inventories in the current HTAP\_v3.1 mosaic (e.g. Argentina (Puliafito et al. 2021) and Africa (Keita et al., 2021). Future updates to this mosaic may also integrate reliable and up to data information over South America or Africa as time and resources permit.

Similar to its predecessors, we expect that this new HTAP\_v3.1 mosaic inventory will be used as a basis for global assessments of long-range, transboundary transport of air pollution under the Task Force on Hemispheric Transport of Air Pollution, while also providing a convenient and useful information for regional modellers seeking the best available regional emissions with a consistent gap-filling methodology.

### 22 Author contributions.

MC and DG developed the mosaic gathering input from all data providers. The co-chairs of the 23 24 TF-HTAP (TK, TB, RW and JaKa) fostered the dialogue with international institutions contributing to this work with their data. PM, RM, JR, JZ, DN, MS, MDM, RW provided data 25 for Canada, JuKu, SC, TM provided data for Japan, JeKu provided data for Europe, J-HW, JK 26 provided data for Korea, TK, GP provided data for USA, JiKi provided data for Asia. The JRC 27 28 EDGAR group (MC, ES, DG, EP, MM, FM, ES, MB, FP) lead the drafting of the publication 29 with input from colleagues contributing to the HTAP\_v3.1 mosaic. SJS and HS performed detailed data comparison among available emission inventories. TA calculated and provided 30 the NMVOC speciation fractions for all the sectors for the four regions. 31

Competing interests. The authors declare that they have no conflicts of interest nor competing
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- 1 Figure 1. Overview of the HTAP\_v3.1 mosaic data providers. Data from officially reported emission grid 2 maps were collected from the US Environmental Protection Agency, Environment and Climate Change 3 4 Canada, CAMS-REG-v6.1 for Europe, REASv3.2.1 for most of the Asian domain, CAPSS-KU for South
- Korea, MEICv1.4 for China and JAPAN (PM2.5EI and J-STREAM) for Japan. The share of the total
- 5 emissions covered by each data provider is reported in the bar chart at the bottom.







1 Figure 2. Time series of gaseous and particulate matter pollutants from HTAP\_v3 by aggregated regions. 2 3 4 5 Regional grouping follows the Intergovernmental Panel on Climate Change Sixth Assessment Report (IPCC AR6) definitions. Table S3 provides information on the country affiliations in the IPCC AR6 regions.













1

Figure 4. HTAP\_v3.1 mosaic: SO<sub>2</sub> emission grid maps for the year 2018.









1 2

Figure 5. HTAP\_v3.1 mosaic: NOx emission grid maps in 2000 (a) and 2018 (b).



4 Figure 6. HTAP\_v3.1 mosaic: PM<sub>2.5</sub> emissions from residential activities in January 2018.







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 Figure 7. HTAP\_v3.1 mosaic: PM<sub>10</sub> emissions from agricultural waste burning in January 2018.



Figure 8. HTAP\_v3.1 mosaic: NH<sub>3</sub> emissions from agricultural soil activities in January 2018.









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7 Figure 10. Monthly variability of NOx emissions for relevant emission sectors for the different world 8 regions in 2015.







2 Figure 11. PM<sub>2.5</sub> monthly emission maps from the residential sector in 2018 from HTAP\_v3.1.



4 5













- Table 1 Overview of data input to the HTAP\_v3.1 emission mosaic. For each data source all substances (SO<sub>2</sub>, NO<sub>x</sub>, CO, NMVOC, NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC) are provided. 1 2

Data source	Sectors	Time	Geocoverage and	References
		coverage	spatial resolution	
		and		
		resolution		
CAMS-REG-	All*	2000-2020	- 53	Kuenen et al. (2022)
v6.1		Annual	A A A	
		emission	A A A A A A A A A A A A A A A A A A A	
		gridmaps +		
		monthly	0.1°x0.1°	
		profiles		
US EPA	All*	2002-2020		U.S. Environmental
		Monthly		Protection
		emission	have a start of the start of th	Agency (2021a, b)
		gridmaps		
			0.1°x0.1°	
ECCC	All*,	2000-2019		NPRI (2017)
	excluding	Monthly		
	agricultural	emission		
	waste	gridmaps		
	burning		0.1°x0.1°	
REASv3.2.1	All*,	2000-2015		https://www.nies.go.jp
	excluding	Monthly	Stran 1	/REAS/
	brake and	emission		(last access: June
	tyre wear,	gridmaps	No States	2023),
	domestic		0.1°x0.1° (The	Kurokawa and Ohara
	shipping,		original spatial	(2020)
	waste,		resolution of	
	agricultural		REASv3.2.1 is	
	waste		0.25°x0.25°.	
	burning		Assuming that	
			emissions are equally	
			distributed in the	
			0.25° cell,	
			REASv3.2.1 data	
			were converted to 0.1°	
			cell and provided to	
			HTAP_v3.1)	
CAPSS-KU	All*	2000-2018		
		Annual		
		emission	de 125-0	
		gridmaps +	<i>a</i>	
		monthly	0.1°x0.1°	
		profiles		





JAPAN (PM2.5EI and J- STREAM)	All*	2000-2020 Monthly emission gridmaps	0.1°x0.1°	https://www.env.go.jp/ air/osen/pm/inventory. html (last access: Dec 2024); Shibata and Morikawa, (2021); Chatani et al. (2020)
MEICv1.4	All*, excluding brake and tyre wear, waste and agricultural waste burning	2000-2020 Monthly emission gridmaps	0.1°x0.1°	http://meicmodel.org.c n/ (last access: December 2024); Geng et al., (2021)
EDGARv8	All*	2000-2020 Monthly emission gridmaps	0.1°x0.1°	https://edgar.jrc.ec.eur opa.eu/ dataset_ap81 (last access: December 2024)

1 \*International shipping and aviation (international and domestic) are fully provided by EDGAR.

2

# 3 Table 2. Definition of HTAP\_v3.1 sectors and correspondence to IPCC codes.

HTAP_v3	HTAP_v3 detailed	Sector description	IPCC 1996	IPCC 2006
main	sectors		codes	codes
sectors				
HTAP_1:	HTAP_1:	International water-born navigation.	1C2	1.A.3.d.i
Internatio	International			
nal	Shipping			
Shipping				
HTAP_2:	HTAP_2.1.1:	Domestic Aviation landing&takeoff.	1A3aii	1.A.3.a.ii
Aviation	Domestic Aviation			
	LTO			
	HTAP_2.1.2:	Domestic Aviation	1A3aii	1.A.3.a.ii
	<b>Domestic</b> Aviation	climbing&descent.		
	CDS			
	HTAP_2.1.3:	Domestic Aviation cruise.	1A3aii	1.A.3.a.ii
	<b>Domestic</b> Aviation			
	CRS			
	HTAP_2.2.1:	International Aviation	1A3ai	1.A.3.a.i
	International	landing&takeoff.		
	Aviation LTO			
	HTAP_2.2.2:	International Aviation	1A3ai	1.A.3.a.i
	International	climbing&descent.		
	Aviation CDS			
	HTAP_2.2.3:	International Aviation cruise.	1A3ai	1.A.3.a.i
	International			
	Aviation CRS			
HTAP_3:	HTAP_3: Energy	Power generation.	1A1a	1.A.1.a
Energy				





HTAP_4:	HTAP_4.1:	Industrial non-power large-scale	1A2+2+5B	1A2+2
Industry	Industry	combustion emissions and emissions		(excluding
	5	of industrial processes. It includes:		2D3+2E+
		manufacturing mining metal		2E + 2C + 2C
		manufacturing, mining, metal,		$2.1^{\circ} + 2.0) + 7.1^{\circ}$
		cement, chemical and fossil fuel		/A
		fires.		
	HTAP_4.2:	It includes oil and gas exploration	1B + 1A1b +	1.B+ 1.A.1.b +
	Fugitive	and production and transmission,	1A1ci +	1.A.1.c.i +
	-	including evaporative emissions	1A1cii +	1.A.1.c.i.i +
		(mainly NMVOC).	1A5biii	1.A.5.b.i.i.i
	HTAP 4.3:	Solvents and product use	3	2D3 + 2E + 2E
	Solvents	borrents and product user	5	± 2G
HTAD 5.	HTAP 51. Road	Road Transport combustion and	1 A 3h	1 A 3 h
Cround	Transport	avaporative amissions only	(avaluding	(avaluding
Ground	Transport	evaporative emissions only.	(excluding	(excluding
Transport			resuspension)	resuspension)
	HTAP_5.2: Brake	Re-suspended dust from pavements	IA3b	1.A.3.b
	and Tyre wear	or tyre and brake wear from road	(resuspension	(resuspension
		transport.	only)	only)
	HTAP_5.3:	Domestic shipping: inland	1A3d2	1.A.3.d.ii
	Domestic shipping	waterways + domestic shipping.		
	HTAP_5.4: Other	Ground transport by pipelines and	1A3c+1A3e	1.A.3.c+
	ground transport	other ground transport of mobile		1.A.3.e.ii
	0	machinery.		
HTAP 6:	HTAP 6:	Small-scale combustion, including	1A4 + 1A5	1.A.4+ 1.A.5
Residentia	Residential	heating cooling lighting cooking		
l	Restuction	and auxiliary angines to equin		
1		and advinary engines, to equip		
		residential, commercial buildings,		
		service institutes, and agricultural		
		Tacilities and fisheries.	-	
HTAP_7:	HTAP_7: Waste	Solid waste disposal and wastewater	6	4
Waste		treatment.		
HTAP_8:	HTAP_8.1:	Agricultural waste burning	4F	3.C.1.b
Agricultur	Agricultural waste	(excluding Savannah burning).		
e	burning			
	HTAP 8.2:	Livestock emissions, including	4B	3.A.2
	Agriculture	manure management.		
	livestock			
	HTAD 83.	Emissions from grons fartilisars and	$AC \perp AD$	3 C 2 + 3 C 2
	A quioultune one	all agricultural soils activities	4C + 4D	3.0.2 + 3.0.3
	Agriculture crops	an agricultural sons activities.		±3.€.4+ 3.€.7





1 Table 3. Overview of pollutant and sector provided by each inventory in HTAP\_v3.1. "ALL" indicates that

2 all substances are provided. "N/A" indicates that the emissions for those sectors were not provided and/or

3 used in HTAP\_v3.1 for a specific inventory and were gap-filled with the corresponding information from

4 EDGARv8. The other cells represent the data availability for each sector and inventory. The pollutants' 5 font style refers to the data source: plain text represents pollutant emissions provided by a specific

inventory, bold indicates emissions gap-filled using EDGARv8, and italic indicates combinations of sectors-

pollutants available for specific regional inventories but not in EDGAR, which typically represents minor

8 sources of emissions included in officially reported inventories. These minor sources are included in the

9 HTAP\_v3.1 mosaic.

Data provider	REAS v3.2.1	CAPSS-KU	MEICv1.4	JAPAN	ECCC	US EPA	CAMS-REG- v6.1	EDGARv8
HTAP_1: International Shipping	N/A	N/A	N/A	N/A	N/A	N/A	N/A	ALL
HTAP_2.1: Domestic Aviation	N/A	N/A	N/A	N/A	N/A	N/A	N/A	ALL
HTAP_2.2: International Aviation	N/A	N/A	N/A BC OC	N/A BC OC	N/A	N/A	N/A	ALL
HTAP_3: Energy	ALL	ALL	NOx, NH3, CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	NOx, NH3, CO, PM2.5, PM10, NMVOC, SO2	ALL	ALL	ALL	ALL
HTAP_4.1: Industry	ALL	ALL	ALL	BC, OC, NOx, <b>NH3</b> , CO, PM2.5, PM10, NMVOC, SO2	ALL	ALL.	ALL.	ALL
HTAP_4.2: Fugitive	ALL	BC, OC, NOx, <b>NH3</b> , CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	BC, OC, NOx, NH3, CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	BC, OC, NOx, <b>NH3</b> , CO, PM2.5, PM10, NMVOC, SO2	ALL	ALL	ALL	ALL
HTAP_4.3: Solvents	NMVOC, NH3, PM10, PM2.5	NMVOC, NH3, PM10, PM2.5	NMVOC, NH3, PM10, PM2.5	NMVOC, NH3, PM10, PM2.5	NMVOC, NH3, PM10, PM2.5	<i>CO</i> , <i>NOx</i> , <i>OC</i> , NMVOC, <b>NH3</b> , PM <sub>10</sub> , PM <sub>2.5</sub> , <i>SO</i> <sub>2</sub>	<i>NOx</i> , NH <sub>3</sub> , <i>CO</i> , PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, <i>SO</i> <sub>2</sub>	NMVOC, NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub>





HTAP 51. Road								
Transport	ALL	ALL	ALL	ALL	ALL	ALL	ALL	ALL
HTAP_5.2: Brake and Tyre wear	N/A	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>	BC,OC,P M <sub>2.5</sub> ,PM <sub>1</sub>
HTAP_5.3: Domestic shipping	N/A	ALL	BC, OC, NOx, NH3, CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	N/A	ALL	ALL	BC, OC, NOx, NH3, CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	ALL
HTAP_5.4: Other ground transport	ALL	ALL	BC, OC, NOx, <b>NH3,</b> CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	BC, OC, NOx, NH3, CO, PM2.5, PM10, NMVOC , SO2	ALL	ALL	ALL	ALL
HTAP_6: Residential	ALL	ALL	ALL	BC, OC, NOx, <b>NH3</b> , CO, PM <sub>2.5</sub> , PM <sub>10</sub> , NMVOC, SO <sub>2</sub>	ALL	ALL	ALL	ALL
HTAP_7: Waste	N/A	ALL	BC, OC, NOx, NH3, CO, PM2.5, PM10, NMVOC , SO2	ALL	ALL	ALL	ALL	ALL
HTAP_8.1: Agricultural waste burning	N/A	ALL	BC, OC, NOx, NH3, CO, PM25, PM10, NMVOC, SO2	ALL	N/A	ALL	ALL	ALL
HTAP_8.2: Agriculture livestock	NOx, NH3, PM10, PM2.5, NMVOC	NOx, NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , <i>BC</i> , <i>OC</i> , NMVOC	NOx, NMVOC , NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , OC,	<b>NOx,</b> NH <sub>3,</sub> PM <sub>10</sub> , PM <sub>2.5</sub> , <b>OC,</b> NMVOC	<b>NOx,</b> NH <sub>3,</sub> PM10, PM <sub>2.5</sub> , <i>BC, OC</i> , NMVOC	NOx, NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , <i>BC</i> , <i>OC</i> , NMVOC,	NOx, NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , <i>OC</i> , NMVOC	NOx, NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , NMVOC



					NO	NOx,	NOx,	
		NOv			NUX, NHa	$NH_3, CO,$ PM <sub>10</sub>	$\mathbf{NH}_3, \mathbf{CO},$ $\mathbf{PM}_{10}$	
	NOx,	NH <sub>3</sub> ,	NOx,	NOx,	$PM_{10}$ ,	$PM_{2.5}$	$PM_{2.5}$	NOx,
HTAP_8.3:	NH <sub>3</sub> ,	$PM_{10}$ ,	NH <sub>3</sub> ,	NH <sub>3</sub> ,	PM <sub>2.5</sub> ,	BC, OC,	OC,	NH <sub>3</sub> ,
Agriculture	PM10,	PM <sub>2.5</sub> ,	PM10,	PM10,	BC, OC,	NMVOC,	NMVOC,	PM <sub>10</sub> ,
crops	PM2.5	BC, OC	PM <sub>2.5</sub>	PM <sub>2.5</sub>	NMVOC	$SO_2$	$SO_2$ ,	PM <sub>2.5</sub>

1

# 2 Table 4. Main features of the different HTAP mosaics.

	HTAP_v1	HTAP_v2.2	HTAP_v3	HTAP_v3.1
Time coverage	2000-2005	2008 and 2010	2000-2018	2000-2020
Time resolution	yearly	yearly and monthly	yearly and monthly	yearly and monthly
		SO <sub>2</sub> , NOx, CO,		
		NMVOC, NH <sub>3</sub>		
	CH <sub>4</sub> , NMVOC,	(only for		
	CO, SO <sub>2</sub> , NOx,	agriculture),		
	$NH_3$ , $PM_{10}$ ,	$PM_{10}$ , $PM_{2.5}$ ,	SO <sub>2</sub> , NOx, CO, NMVOC,	SO <sub>2</sub> , NOx, CO, NMVOC,
Substances	PM <sub>2.5</sub> , BC, OC	BC, OC	$NH_3$ , $PM_{10}$ , $PM_{2.5}$ , BC, OC	NH <sub>3</sub> , PM <sub>10</sub> , PM <sub>2.5</sub> , BC, OC
Sectors	Aircraft, Ships, Energy, Industry Processes, Ground Transport, Residential, Solvents, Agriculture, Agriculture Waste Burning, and Waste	Air, Ships, Energy, Industry, Transport, Residential (including waste), and Agriculture (only for NH <sub>3</sub> )	International Shipping, Domestic Shipping, Domestic Aviation, International Aviation, Energy, Industry, Fugitives, Solvent Use, Road Transport, Brake and Tyre Wear, Other Ground Transport, Residential, Waste, Agricultural Waste Burning, Livestock, and Agricultural Crops	International Shipping, Domestic Shipping, Domestic Aviation (Take-off/Landing, Climbout /Descending, Cruise), International Aviation (Take-off/Landing, Climbout/Descending, Cruise), Energy, Industry, Fugitives, Solvent Use, Road Transport, Brake and Tyre Wear, Other Ground Transport, Residential, Waste, Agricultural Waste Burning, Livestock, and Agricultural Crops
Geographical	~	~ .		~
coverage	Globe	Globe	Globe	Globe
Spatial	0.19-0.19	$0.10 \times 0.10$	0.19-0.19	0.1%-0.1%
resolution	0.1°X0.1°		0.1°X0.1°	0.1 <sup>-</sup> X0.1 <sup>-</sup>
	UNFCCC, REAS, GAINS,	ErA, Environment Canada, MICS, TNO/EMEP Europe (MACC II), MICS Asia	CAMS-REG-v5.1, REASv3.2.1, US EPA, ECCC, CAPSS-KU,	CAMS-REG-v6.1, REASv3.2.1, US EPA, ECCC, CAPSS-KU, JAPAN
Input datacate	EWIEP, EPA, EDGAR $v/1$	$H^+$ KEA52.1, EDGARv/13	STREAM (PM2.3EI and J-	(FW12.3E1) and $J-STKEAMI),MEICv14 EDGARv8$
Reference	Janssens- Maenhout et al., 2012	Janssens- Maenhout et al., 2015	Crippa et al., 2023	This work

3





1 2 Table 5. Main updates of emission input data of HTAP\_v3.1 for each data provider compared to

# HTAP\_v3.

Data provider	Major changes compared to HTAPv3
<b>REAS v3.2.1</b>	No major changes.
CAPSS-KU	No major changes.
MEICv4.1	New data for China mainland.
JAPAN	Update of road transport emissions, and added off-road vehicles emissions. Re-evaluation of emission factors of stationary combustion sources. Extended time series up to 2020.
ECCC	Extended time series.
US EPA	Extended time series, no meteorological adjustments are applied to fugitive dust emissions.
CAMS-REG-v6.1	<ol> <li>Use of country reported data based on 2022 inventory submissions and based on this extension of the time series up to 2020,</li> <li>Use of updated point source data based on new Industrial Reporting database from the European Environment Agency,</li> <li>Consistent inclusion of condensable organics in PM and its components (BC &amp; OC) for small combustion.</li> </ol>
EDGARv8	New spatial proxies, updated SO <sub>2</sub> emissions from shipping, extended time series up to 2020.