



# 1 Multi-temporal high-resolution data products of ecosystem

- 2 structure derived from country-wide airborne laser scanning
- 3 surveys of the Netherlands
- 4 Yifang Shi<sup>\*</sup> & W. Daniel Kissling
- 5 University of Amsterdam, Institute for Biodiversity and Ecosystem Dynamics (IBED), P.O. Box 94240,
- 6 1090 GE Amsterdam, The Netherlands
- 7
- 8 Correspondence to: Yifang Shi (<u>v.shi@uva.nl</u>)
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# 11 Short summary

- 12 We present a new set of multi-temporal LiDAR metrics of ecosystem structure derived from four
- 13 national ALS surveys of the Netherlands (AHN1–AHN4), capturing vegetation height, cover, and
- 14 structural variability over the past two decades (1998–2022). Around 70 TB point clouds have been
- 15 processed to read-to-use raster layers at 10 m resolution (~ 59 GB), enabling a wide use and uptake of
- 16 ecosystem structure information in biodiversity and habitat monitoring, ecosystem and carbon dynamic
- 17 modelling.





### 18 Abstract

19 Recent years have seen a rapid surge in the use of Light Detection and Ranging (LiDAR) technology for 20 characterizing the structure of ecosystems. Even though repeated airborne laser scanning (ALS) surveys 21 are increasingly available across several European countries, only few studies have so far derived data 22 products of ecosystem structure at a national scale, possibly due to a lack of free and open-source tools 23 and the computational challenges involved in handling the large volumes of data. Nevertheless, high-24 resolution data products of ecosystem structure generated from multi-temporal country-wide ALS 25 datasets are urgently needed if we are to integrate such information into biodiversity and ecosystem 26 science. By employing a recently developed, open source, high-throughput workflow (named 27 "Laserfarm"), we processed around 70 TB of raw point clouds collected from four national ALS surveys 28 of the Netherlands (AHN1-AHN4, 1996-2022). This resulted in ~ 59 GB raster layers in GeoTIFF format 29 as ready-to-use multi-temporal data products of ecosystem structure at a national extent. For each AHN 30 dataset, we generated 25 LiDAR-derived vegetation metrics at 10 m spatial resolution, representing 31 vegetation height, vegetation cover, and vegetation structural variability. The data enable an in-depth 32 understanding of ecosystem structure at fine resolution across the Netherlands and provide opportunities 33 for exploring ecosystem structural dynamics over time. To illustrate the utility of these data products, we 34 present ecological use cases that monitor forest structural change and analyse vegetation structure differences across various Natura 2000 habitat types, including dunes, marshes, grasslands, shrublands, 35 36 and woodlands. The provided data products and the employed workflow can facilitate a wide use and 37 uptake of ecosystem structure information in biodiversity and carbon modelling, conservation science, 38 and ecosystem management. The full data products are publicly available on Zenodo 39 (https://doi.org/10.5281/zenodo.13940846) (Shi and Kissling 2024).

### 40 1 Introduction

41 Monitoring ecosystem structure is essential for sustainable forest management (Lindenmayer et al., 2000), 42 species distribution research (Jetz et al., 2019; Kissling et al., 2018), dynamic ecosystem modelling 43 (Kucharik et al., 2000), biodiversity monitoring (Noss, 1990), and the conservation and restoration of 44 terrestrial ecosystems (Ruiz-Jaén and Aide, 2005). As one of the Essential Biodiversity Variables (EBVs) 45 classes (Pereira et al., 2013), ecosystem structure provides detailed insights into both the vertical and 46 horizontal profiles of ecosystems, facilitating a deeper understanding of the relationship between 47 vegetation structure and animal ecology (Davies and Asner, 2014) as well as carbon and biomass 48 dynamics (Zhao et al., 2018; Dalponte et al., 2019). However, until a decade ago, the collection of 49 vegetation structure data was difficult and labour intensive, especially over large spatial scales (Davies 50 and Asner, 2014). Although previous studies have explored the use of passive remote sensing 51 technologies, such as high-resolution satellite imagery and aerial photographs, alongside field





52 measurements to obtain structural information (e.g. Wolter et al., 2009; Lamonaca et al., 2008), these 53 applications have largely been confined to plot or local scales with limited scalability and uncertain 54 transferability between different regions.

55 Over the past few decades, the advent of airborne laser scanning has enabled the direct 56 measurement of ecosystem structural properties such as high-resolution topographic variation and accurate estimation of vegetation height, cover, and canopy structure (Lefsky et al., 2002). The LiDAR 57 58 technology used in ALS surveys generates discrete returns (point clouds) and/or full-waveform signals 59 by emitting laser pulses from the sensor towards the target objects (e.g. ground, trees, and buildings, etc), recording the distance between the sensor and the objects ("X", "Y", "Z" coordinates), the amount of 60 61 energy returned to the sensor ("Intensity"), the type of the object ("Classification"), the sequence of 62 returns generated from one pulse ("Return number" and "Number of returns"), the time of the pulse 63 emitted ("GPS time"), and so on. Advances in sensor systems and techniques also allow many countries 64 to carry out ALS campaigns over national or regional extents, producing fine-scale ecosystem 65 measurements across broad spatial extents (Kissling et al., 2022; Assmann et al., 2022). ALS surveys often generate massive amounts of data (e.g. point clouds with a multi-terabyte data volume) which 66 67 contain ecosystem structural information that is essential for ecological and biodiversity research (Kissling et al., 2022; Koma et al., 2021b; Bakx et al., 2019). Although tools and software for processing 68 large amounts of LiDAR data are increasingly available (Roussel et al., 2020; Isenburg, 2017; Meijer et 69 70 al., 2020; Kissling et al., 2022), significant challenges remain, including the need for specialist expertise, 71 extensive data storage, and substantial computational power (Assmann et al., 2022). Ultimately, 72 ecologists, foresters, biodiversity researchers and land managers require raster layers with structural 73 information that can be readily integrated into analytical workflows using software that they are familiar 74 with (e.g. GIS, R, Python). Such raster layers, e.g. LiDAR-derived vegetation metrics, are often generated 75 by statistically aggregating the 3D point cloud information within spatial units such as voxels or 2D raster 76 cells (Meijer et al., 2020; Kissling et al., 2022). These LiDAR-derived vegetation metrics typically capture 77 three key dimensions of ecosystem structure: vegetation height (e.g. maximum vegetation height, 78 vegetation height at a certain percentile), vegetation cover (e.g. the density of vegetation at a given height 79 layer), and vegetation structural variability (e.g. the vertical or horizontal distribution and variability of 80 vegetation within a spatial unit) (Kissling et al., 2023; Bakx et al., 2019). Providing high-resolution (~10 81 m) ready-to-use LiDAR metrics and making them accessible for the public is, therefore, critical for 82 monitoring Essential Biodiversity Variables (EBVs) (Valbuena et al., 2020), modelling species 83 distributions (De Vries et al., 2021; Koma et al., 2021b; Zellweger et al., 2013), and estimating species 84 diversity (Moeslund et al., 2019; Zellweger et al., 2017; Aguirre-Gutiérrez et al., 2017) at a regional or 85 national scale.





86 Ecosystem structure is a three-dimensional phenomenon with horizontal and vertical components 87 that change over time (Zenner and Hibbs, 2000). The increasing frequency of ALS data acquisition offers 88 a unique opportunity to monitor ecological changes and ecosystem dynamics at fine spatial and temporal 89 scales. Several countries have been conducting repeated (sub-)national ALS surveys to obtain fine-scale 90 information on topography and forest ecosystems (Nilsson et al., 2017). For example, the Dutch national 91 ALS programme (AHN, Actueel Hoogtebestand Nederland, https://www.ahn.nl/) has been collecting 92 country-wide LiDAR data since 1996, providing four complete ALS datasets (AHN1-AHN4) with an 93 ongoing fifth survey (AHN5), conducted at intervals of 3 to 5 years. In Spain, under the PNOA-LiDAR project, two national ALS campaigns have taken place during 2008–2015 (LiDAR 1st coverage) and 94 during 2015–2021 (LiDAR 2<sup>nd</sup> coverage), while the third acquisition (LiDAR 3<sup>rd</sup> coverage) has started in 95 2023 96 and is planned to finish in 2025 97 (http://centrodedescargas.cnig.es/CentroDescargas/catalogo.do?Serie=LIDAR, last access: 19 October 98 2024). While the primary goal of many ALS campaigns is to produce terrain models, such as Digital 99 Terrain Models (DTMs) or Digital Surface Models (DSMs), the multi-temporal LiDAR datasets also 100 capture detailed 3D characteristics on vegetation structure over time, providing valuable information for 101 evaluating changes in biomass (Cao et al., 2016; Feng et al., 2024), forest structure (Mccarley et al., 2017; 102 Riofrío et al., 2022; Vepakomma et al., 2011), and forest carbon stocks (Dalponte et al., 2019; Zhao et 103 al., 2018). Furthermore, these datasets are increasingly being integrated with other remote sensing data, 104 such as satellite imageries from Landsat, Sentinel-2, and synthetic aperture radar (SAR), to assess forest 105 changes caused by disturbances like wildfires (Li et al., 2023; Feng et al., 2024) and to model 106 aboveground biomass (Musthafa and Singh, 2022). However, despite the growing availability of multi-107 temporal ALS datasets, there is a noticeable lack of publicly available data products, i.e. LiDAR-derived 108 vegetation metrics, from national ALS surveys.

109 Several challenges are posed in generating accurate and standardized data products from multi-110 temporal ALS data (Valbuena et al., 2020). Over the past three decades, advances in LiDAR sensors and 111 associated technologies have led to improvements in point density, classification accuracy, and additional 112 attributes provided in each point (Riofrío et al., 2022). However, these advancements also introduce 113 complexities in data harmonization. In addition to the challenges associated with processing large datasets 114 and high computational costs (Meijer et al., 2020), discrepancies in sensor technology and flight 115 configurations across different ALS surveys can hinder the generation of consistent data products (Lin et 116 al., 2022). For instance, the first Dutch national ALS campaign (AHN1, 1996-2003) had an average point 117 density ranging from 1 point per 16 square meters to 1 point per square meter, with no detailed point 118 classification available. By contrast, in the fourth campaign (AHN4, 2020-2022), the point density has 119 improved to 20-30 points per square meter, with detailed classification code provided for each point 120 following the ASPRS standard (Asprs, 2019). These technological variations inevitably result in data 121 products with varying quality and accuracy, introducing uncertainties in their usability (Tompalski et al.,





122 2021; Hopkinson et al., 2008). To understand ecosystem dynamics accurately, changes detected from 123 multi-temporal ALS datasets should reflect actual ecological changes in the target of interest rather than 124 differences in data acquisition or quality (Riofrío et al., 2022). Identifying the limitations and providing 125 usage notes of derived data products are important for users to interpret the data products correctly and 126 apply them optimally in their analyses.

127 Here, we present a new set of multi-temporal data products of ecosystem structure derived from 128 four national ALS surveys of the Netherlands (AHN1-AHN4). The data products, with a spatial 129 resolution of 10 m, include four sets of 25 LiDAR-derived vegetation metrics representing ecosystem 130 height, vegetation cover, and structural variability, aimed at supporting a wide range of ecological 131 applications. In this paper, we (1) describe the ALS data collection from AHN1-AHN4 and the employed 132 "Laserfarm" workflow to generate the data products, (2) present the detailed characteristics of the 133 generated multi-temporal data products (i.e. LiDAR-derived vegetation metrics as GeoTIFF raster layers) 134 and their known limitations and corresponding usage notes, (3) demonstrate two use cases for using the 135 generated data products in ecological applications, and (4) discuss the potential use and recommendations 136 for utilizing these data products in future research. To facilitate open science, we make the data products, 137 employed workflow, Python script, and related documentation publicly available. We anticipate that this 138 will not only allow the upscaling of ecological and biodiversity research but also benefit a broad range of 139 scientists and decision-makers who are interested in using ecosystem structure information for 140 environmental monitoring and management.

### 141 2 Raw data and processing workflow

### 142 **2.1 Geography and ecology of the Netherlands**

The Netherlands is situated in Northwest Europe (52°22′N, 4°53′E), covering a total land area of 33893 km<sup>2</sup>. It has mostly flat coastal lowlands and reclaimed land (polders) with an average elevation of approximately 30 meters above sea level. The primary ecosystems in the Netherlands include agricultural land, dunes and beaches, forests, wetlands, grasslands, and other (semi)natural environments (Hein et al., 2020). The Netherlands has a temperate maritime climate with continental influence, resulting in an average annual precipitation of 854.7 mm and a mean temperature of 10.5 °C.

### 149 2.2 Four Dutch national ALS campaigns

150 The initial purpose of the AHN programme was to monitor and manage water systems in the Netherlands.

151 It is a collaboration between 26 regional water boards, provinces and Rijkswaterstaat (the executive

- 152 directorate general for public works and water management of the Dutch government) with the aim of
- 153 producing accurate digital elevation models of the Netherlands. To minimize the impact of foliage on
- 154 ground detection during the laser scanning, the AHN data acquisition is performed in the winter period,





155 from December to April. The first generation of AHN (AHN1) was conducted during 1996-2003, with a 156 point density of 1 point per 1–16 square meters, which largely depended on the viability of the technology and the date of acquisition (Swart, 2010). Due to errors in the AHN1 data (e.g. inaccuracies in the inertial 157 158 navigation system, misalignment of overlapping scanning strips, and the presence of artifacts), the data 159 quality of AHN1 is rather poor, especially for areas covered by vegetation (Brand et al., 2003). To support 160 both water and dike management, the second generation of AHN (AHN2) was started in 2007, with improved specifications such as a higher point density (on average 6-10 pts m<sup>-2</sup>) and a higher 161 162 planimetric/vertical accuracy (5-15 cm). It also required some raster data (i.e. DTMs and DSMs) to be 163 delivered with grid cell sizes of 0.5 m and 5 m. With the main aim of obtaining terrain surface information, 164 both AHN1 and AHN2 datasets were delivered in two separate parts: point clouds representing the terrain ("gefilterde puntenwolk") and point clouds representing non-ground points, i.e. trees, buildings, bridges 165 166 and other objects ("uitgefilterde puntenwolk").

167 Benefitting from the advances in LiDAR sensors and related technologies, the third generation of 168 AHN (AHN3) provided not only a higher density of point clouds, but also more information stored for 169 each point, such as point classification code, intensity values, number of returns, and so on (Table 1). 170 Even though both AHN2 and AHN3 were collected within a 6-year cycle (2007-2012 for AHN2, and 171 2014–2019 for AHN3), the actual time difference between AHN2 and AHN3 varies between 4–10 years 172 depending on the area of interest (Fig. 1). For the latest completed AHN (AHN4), the survey was conducted between 2020 and 2022 (3-year cycle), making the country-wide dataset more quickly 173 174 available for the whole Netherlands. All four AHN datasets were provided in LAZ format (i.e. version 175 1.2 for AHN1-AHN3, and version 1.4 for AHN4), under the local Dutch coordinate system "RD new" 176 (EPSG: 28992, NAP:5709). The datasets from AHN1 to AHN4 show an increase in data volume and 177 improved classification as well as additional attributes stored for each point (Table 1). An ongoing fifth 178 ALS survey (AHN5) has started in 2023 (the first part of the data is available, see 179 https://www.ahn.nl/heel-westelijk-nederland-gereed) and the data acquisition will be completed in 2025.







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Fig.1 Data acquisition times for AHN1–AHN4. Different colours indicate the different years of datacollection for each dataset.

184 Table 1. Summary of raw point cloud characteristics collected by different AHN surveys (AHN1– 185 AHN4). Some flight configurations are not available, for instance, the type of sensor, the flight height,

186 flight speed, and the scan angle, especially for the AHN1 dataset. NAP: Normal Amsterdam Level.

Data characteristic	AHN1	AHN2	AHN3	AHN4
Acquisition year	1996–2003	2007–2012	2014–2019	2020–2022



Acquisition season	Leaf-off	Leaf-off	Leaf-off	Leaf-off
Horizontal projection	RD_new	RD_new	RD_new	RD_new
Vertical projection	NAP	NAP	NAP	NAP
Point density (pts m <sup>-2</sup> )	0.05–1	6–15	10–20	20–30
Scan angle (°)	-	$\pm 30$	$\pm 35$	$\pm 35$
Overlapping rate	-	20-35%	20-35%	20-35%
Point cloud format	Laz (1.2)	Laz (1.2)	Laz (1.2)	Laz (1.4)
Vertical accuracy (cm)	5–35	5–15	5-15	5–10
Number of files	2720	60185	1367	1381
Data volume (compressed)	33.1 GB	986.7 GB	2564.8 GB	6408.6GB
Attributes in each point	Χ, Υ, Ζ	Χ, Υ, Ζ	X, Y, Z, intensity, return number, number of returns, classification, scan angle, point ID, GPS time	X, Y, Z, intensity, return number, number of returns, classification, scan angle, point ID, GPS time, amplitude, reflectance, deviation
Classification	uitgefilterd (0) gefilterd (0)	uitgefilterd (0) gefilterd (0)	unclassified (1) ground (2) building (6) water (9) reserved (26)	unclassified (1) ground (2) building (6) water (9) powerline (14) reserved (26)
Available additional layers	-	DSM, DTM	DSM, DTM	DSM, DTM

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# 188 2.3 Processing workflow

189 We employed the high-throughput workflow "Laserfarm" (https://laserfarm.readthedocs.io/en/latest/) to 190 process the multi-temporal AHN datasets. Laserfarm is an open-source workflow designed for processing 191 large amount of LiDAR point cloud data into geospatial data products of ecosystem structure (Kissling et 192 al., 2022). It consists of four main modules: (1) re-tiling, where the original LAZ files (covering 5 km  $\times$ 6.5 km per tile) are re-tiled into 1 km × 1 km LAZ files for an efficient, scalable and distributed processing; 193 194 (2) normalization, where the height (z value) of the lowest point within a  $1 \text{ m} \times 1 \text{ m}$  grid cell is subtracted 195 from each point in the cell, so that the influence of terrain on the height of above-ground points is removed 196 from subsequent processing; (3) feature extraction, where user-defined features (e.g. LiDAR metrics such 197 as the 95<sup>th</sup> percentile of vegetation height and the skewness of vegetation height) are calculated at 10





198 meter resolution using points within an infinite square cell (Meijer et al., 2020); and (4) rasterization, 199 where the extracted feature files (.PLY files) are merged and exported as single-band GeoTIFF raster files. Note that in all four AHN datasets, vegetation points are not classified separately based on the 200 201 ASPRS standard. Instead, they are assigned a classification value 0 ("uitgefilterd") in AHN1 and AHN2, 202 and a value 1 ("unclassified") in AHN3 and AHN4. These classification values were used as vegetation 203 class during the feature extraction. We chose the Laserfarm workflow to process the four country-wide 204 AHN datasets because (1) it enables the efficient, scalable and distributed processing of multi-terabyte 205 LiDAR point clouds at a national scale, (2) it is a free and open-source tool implemented in Python and 206 available as Jupyter Notebooks, and (3) it allows the automated generation of consistent and reproducible 207 geospatial data products of ecosystems structure from different ALS data.

208 Due to the different characteristics of each AHN dataset (Table 1), several pre-processing steps 209 were implemented before executing the main modules of the Laserfarm workflow (Fig. 2). In particular, for the AHN1 and AHN2 datasets, the step "Reclassification" was carried out before re-tiling, as both 210 211 datasets only have "gefilterd" (ground) and "uitgefilterd" (non-ground) files provided and the raw classification value was set to 0 (never classified) for all points. We therefore reassigned a classification 212 213 value "2" to the ground points ("gefilterd") and a classification value "0" to the non-ground points 214 ("uitgefilterd"). These classification values were later used for the normalization and feature extraction. 215 For the AHN4 dataset, the volume of a single original LAZ file varies from 0.3 MB to 16.5 GB, with an average size of 4.6 GB per file (Table 2). Since handling such volumes is challenging for many computing 216 217 infrastructures (due to their CPUs and random-access memory, RAM), we applied a "Splitting" step before the re-tiling (Fig. 2), with a maximum data volume of  $\sim 500$  MB being used for splitting the original 218 219 tiles into smaller ones.







Fig. 2 Overview of the processing workflow employed for four country-wide AHN datasets of the 223 Netherlands (AHN1-AHN4). The pre-processing step "reclassification" was only conducted for the 224 AHN1 and AHN2 datasets, where ground points were reassigned a classification value "2". The 225 "splitting" step was added to split the large LAZ files from AHN4 into smaller ones before re-tiling. Re-226 tiling, normalization, feature extraction and rasterization are four main modules of the Laserfarm



workflow, which have been applied for all four AHN datasets to generate country-wide LiDAR-derived
 vegetation metrics. The input data were raw LAZ files with different point density, and the output data
 were 25 single-band GeoTIFF raster layers at 10 meter resolution for each AHN dataset.

#### 230 2.4 IT infrastructure and computational cost

231 All four AHN datasets were processed on the IT infrastructure services provide by SURF, the Dutch national facility for information and communication technology (https://www.surf.nl/). Specifically, we 232 used the dCache platform for data storage (https://www.surf.nl/en/services/dcache) and the HPC Cloud 233 234 (https://www.surf.nl/en/services/hpc-cloud) or Spider platform (https://www.surf.nl/en/services/high-235 performance-data-processing) for high-performance data processing. The data processing platforms have 236 fast access to the data storage while enabling scalable and flexible processing of multi-terabytes datasets 237 on distributed resources. We first downloaded the raw AHN1-AHN4 LiDAR point clouds from the 238 PDOK webservices (https://www.pdok.nl/introductie/-/article/actueel-hoogtebestand-nederland-ahn) to 239 the dCache data storage using а customized python script 240 (https://github.com/ShiYifang/AHN/tree/main/AHN downloading). We then ran the Laserfarm workflow for processing the AHN1-AHN3 datasets on the HPC Cloud, where we set up a cluster of 11 241 242 VMs, each VM with 2 cores, 32 GB or 64 GB RAM, and 256 GB local HDD. Due to migration of the 243 computing resources by SURF (from HPC Cloud to Spider), we processed the AHN4 dataset with the 244 Laserfarm workflow on Spider, where a number of flexible and customisable workers with assigned CPU 245 cores were defined based on the computing requirement for each workflow step. We used 2-10 workers, 246 each with 2-4 cores and 16-32 GB RAM for splitting, re-tiling, normalization, and feature extraction, 247 and 2 workers, each with 12 cores and 94 GB RAM for the rasterization step. All input data (i.e. raw LAZ 248 files), intermediate results (e.g. re-tiled LAZ files, normalized LAZ files, featured PLY tiles), and final 249 output (i.e. GeoTIFF raster layers) were automatically stored (and/or retrieved for the next step) on the 250 dCache data storage.

251 The computing time for each AHN dataset varies based on the input data volume, the required 252 processing steps (Table 2), and the settings of the employed infrastructure. The increase in data volumes 253 from AHN1 to AHN4 resulted in a strong increase of the processing time (Table 2). In total, it required 254 57.6 days (wall-time) to process the multi-temporal AHN datasets (AHN1-AHN4). The AHN1 (data 255 volume of 33.1 GB) only took a wall-time of 4.8 days to complete whereas the AHN4 (data volume of 256 6408.6 GB) took a total wall-time of 26.8 days. It is worth noting that the actual computing time of the 257 process might be longer than the wall-time estimates, e.g. due to processing errors, worker failures, and 258 system maintenance.

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- Table 2. Overview of the number of input files, the total volume and the average volume per file for each
   processing step, and the total processing wall-time for each AHN dataset. Note that the total wall-time
- was estimated based on different infrastructure settings for processing the AHN1–AHN3 (HPC Cloud)
- and AHN4 (Spider) datasets.

Data characteristic	AHN1	AHN2	AHN3	AHN4
Input for re-tiling (A	Reclassified) (	Reclassified)		(Splitted)
Number of input files	2720	60185	1367	18797
Total volume	33.1 GB	986.7 GB	2564.8 GB	6408.6 GB
Average volume per file (mean ± SD)	$12.20\pm10.68\ MB$	$16.40 \pm 14.73 \text{ MB}$	$1.75\pm0.93\;GB$	$4.60\pm2.41~GB$
Re-tiling				
Number of re-tiled files	37715	37627	37457	37990
Total volume	33.1 GB	986.7 GB	2564.8 GB	6408.6 GB
Average volume per file (mean ± SD)	$0.83 \pm 1.64 \ MB$	$26.90\pm35.98\ MB$	$0.07\pm0.18\;GB$	$0.17\pm0.09~GB$
Normalization				
Number of normalized files	37715	37627	37457	37990
Total volume	64.0 GB	3682.4 GB	6067.5 GB	9593.3 GB
Average volume per file (mean $\pm$ SD)	$1.70\pm2.13~MB$	97.87 ± 59.23 MB	$0.16\pm0.09~GB$	$0.25\pm0.13~GB$
Feature extraction				
Number of featured files	37715 × 25	37627 × 25	37457 × 25	37990 × 25
Total volume	257.1 GB	282.5 GB	285.9 GB	212.5 GB
Average volume per file (mean $\pm$ SD)	$0.29\pm0.02~MB$	$0.30\pm0.03~MB$	$0.33\pm0.05\ MB$	$0.23\pm0.04~MB$
Rasterization				
Number of rasterized files	25	25	25	25
Total volume	4.8 GB	19.4 GB	18.8 GB	15.6 GB
Average volume per file (mean ± SD)	$202.1\pm101.6\ MB$	$774.5\pm303.5~\text{MB}$	$759.8\pm226.2\ MB$	$625.5\pm160.7\ MB$
Processing time				
Total processing wall- time (days)	4.8	11.7	14.3	26.8





## 267 **3 Data products description**

#### 268 3.1 Overview of data products

The generated data products from each AHN campaign cover the whole Netherlands, ranging from 50.77 N to 53.36 N and from 3.57 E to 7.11 E. The data products are provided as 10 meter resolution GeoTIFF raster files (25 single-band raster layers for each AHN dataset) in the local Dutch coordinate system "RD\_new" (EPSG: 28992, NAP:5709). The total volume of the four data products is approximately 58.6 GB. The pixel value is stored in 32 bit floating point precision. The data products are freely accessible via a permanent Zenodo repository (see Sect. 7).

# 275 3.2 LiDAR-derived vegetation metrics

In total, 25 LiDAR-derived vegetation metrics were generated from each AHN dataset, representing 276 277 vegetation height, vegetation cover, and vegetation structure variability (Table 3). For vegetation height, we generated 7 LiDAR metrics (i.e. maximum, mean, median, 25th, 50th, 75th, 95th percentile of vegetation 278 279 height) representing the height of vegetation at the canopy surface and for low, middle, and upper 280 vegetation strata (Fig. 3a). We filtered out the points with a z value higher than 10000 m (outliers) during 281 "Normalization" step of the Laserfarm workflow and used a square infinite cell  $(10 \times 10 \text{ m})$  as the target 282 volume to calculate the height metrics (see detailed description of target volumes in Meijer et al. (2020)). 283 To ensure positive height values after normalization, we generally normalized the vegetation points based 284 on the height of the lowest point within a  $1 \text{ m} \times 1 \text{ m}$  grid cell. For vegetation cover, we derived 11 LiDAR 285 metrics consisting of one metric describing the openness of vegetation (i.e. pulse penetration ratio), one metric describing the density of upper vegetation layer (i.e. canopy cover), and 9 metrics quantifying 286 287 vegetation density at different height layers (i.e. below 1 m, between 1-2 m, 2-3 m, 3-4 m, 4-5 m, 5-20 288 m, above 3 m, below 5 m, and above 20 m) (Fig. 3b). The height layers reflect the most relevant height 289 strata to capture the vegetation distribution of major growth forms (e.g. grass, reed, shrubs and trees) 290 (Morsdorf et al., 2010; Miura and Jones, 2010). Special attention was given to represent low vegetation 291 strata (1-5 m) as they are essential for low-stature terrestrial ecosystems such as grasslands, shrublands 292 or agricultural areas when monitoring animal habitats and species distributions (Koma et al., 2021a; Bakx 293 et al., 2019). Note that the pulse penetration ratio is the only LiDAR metric (among the 25 metrics) that 294 used ground points for the calculation. All other 24 metrics are only calculated with vegetation points (i.e. 295 "unclassified" in AHN). For vegetation structural variability, we derived 7 LiDAR metrics representing 296 the vertical variability of vegetation distribution within a cell (Fig. 3c), including the coefficient of 297 variation, Shannon index, kurtosis, skewness, standard deviation, variance, and roughness (sigma) of 298 vegetation height. The detailed description of how those metrics are calculated and their ecological 299 relevance can be found in Table 3.





301 Table 3. Twenty-five LiDAR-derived vegetation metrics capturing ecosystem structure in three key 302 dimensions (vegetation height, vegetation cover and vegetation structural variability), together with their 303 file names in the data products, the formulas for calculation, their descriptions and example of their 304 ecological relevance. Each LiDAR metric is provided as a single-band GeoTIFF raster layer at 10 meter 305 resolution, with the file name "ahn# 10m xx", where # is the number of AHN campaign ("1-4") and xx 306 is the name of the LiDAR metrics. For instance, "ahn4\_10m\_perc\_95\_normalized\_height" represents the 307  $95^{\text{th}}$  percentile of vegetation height derived from the AHN4 dataset. For the calculation formulas, N is the 308 total number of normalized vegetation points within a cell,  $z_i$  represents all normalized z values in a cell, and  $\bar{z}$  is the mean normalized z value in a cell. 309

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LiDAR metric (abbreviation)	File name (ahn#_10m_xx)	Calculation formula	Description	Ecological relevance
Vegetation heigh	nt			
Maximum vegetation height (Hmax)	max_normalized _height	Z <sub>max</sub>	Maximum of normalized z within a cell	Height of canopy surface, tree tops
Mean of vegetation height (Hmean)	mean_ normalized_heig ht	Z <sub>mean</sub>	Mean of normalized z within a cell	Average height of vegetation, mean tree height
Median of vegetation height (Hmedian)	median_ normalized_heig ht	Z <sub>median</sub>	Median of normalized z within a cell	Vegetation height, vertical distribution of vegetation
25th percentiles of vegetation height (Hp25)	perc_25_normali zed_height	Z <sub>25</sub> percentile	25 <sup>th</sup> percentile of normalized z within a cell	Density of vegetation in the low stratum
50th percentiles of vegetation height (Hp50)	perc_50_normali zed_height	Z <sub>50</sub> percentile	50 <sup>th</sup> percentile of normalized z within a cell. It corresponds to the Hmedian.	Average height and vertical distribution of vegetation
75th percentiles of vegetation height (Hp75)	perc_75_normali zed_height	Z <sub>75</sub> percentile	75 <sup>th</sup> percentile of normalized z within a cell	Density of vegetation in the upper stratum
95th percentiles of vegetation height (Hp95)	perc_95_normali zed_height	Z <sub>95</sub> percentile	95 <sup>th</sup> percentile of normalized z within a cell	Height of the vegetation canopy surface, avoiding the effect of outliers (compared to Hmax)

Vegetation cover



Pulse penetration ratio (PPR)	pulse_penetration _ratio	$\frac{N_{ground}}{N_{total}}$	Ratio of number of ground points to total number of points within a cell	Openness of vegetation, canopy fractional cover, laser penetration index
Canopy cover (Density_above _mean_z)	density_absolute _mean_ normalized_heig ht	$100 \times \sum [z_i > \bar{z}]/N$	Number of returns above mean height within a cell	Density of upper vegetation layer
Density of vegetation points below 1 m (BR_below_1)	band_ratio_norm alized_ height_1	N <sub>z&lt;1</sub> /N <sub>total</sub>	Ratio of number of vegetation points below 1 m to the total number of vegetation points within a cell	Density of vegetation below 1 m
Density of vegetation points between 1–2 m (BR_1_2)	band_ratio_1_nor malized_ height_2	$N_{1 < z < 2} / N_{total}$	Ratio of number of vegetation points between 1–2 m to the total number of vegetation points within a cell	Density of vegetation in 1–2 m layer
Density of vegetation points between 2–3 m (BR_2_3)	band_ratio_2_nor malized_ height_3	N <sub>2<z<3< sub="">/N<sub>total</sub></z<3<></sub>	Ratio of number of vegetation points between 2–3 m to the total number of vegetation points within a cell	Density of vegetation in 2–3 m layer
Density of vegetation points above 3 m (BR_above_3)	band_ratio_3_nor malized_ height	N <sub>z&gt;3</sub> /N <sub>total</sub>	Ratio of number of vegetation points above 3 m to the total number of vegetation points within a cell	Density of vegetation in above 3 m layer
Density of vegetation points between 3–4 m (BR_3_4)	band_ratio_3_nor malized_ height_4	N <sub>3<z<4< sub="">/N<sub>total</sub></z<4<></sub>	Ratio of number of vegetation points between 3–4 m to the total number of vegetation points within a cell	Density of vegetation in 3–4 m layer
Density of vegetation points between	band_ratio_4_nor malized_ height_5	$N_{4 < z < 5} / N_{total}$	Ratio of number of vegetation points between 4–5 m to the total number of	Density of vegetation in 4–5 m layer



4–5 m (BR_4_5)			vegetation points within a cell	
Density of vegetation points below 5 m (BR_below_5)	band_ratio_norm alized _height_5	N <sub>z&lt;5</sub> /N <sub>total</sub>	Ratio of number of vegetation points below 5 m to the total number of vegetation points within a cell	Density of vegetation below 5 m
Density of vegetation points between 5–20 m (BR_5_20)	band_ratio_5_nor malized_ height_20	N <sub>5<z<20< sub="">/N<sub>total</sub></z<20<></sub>	Ratio of number of vegetation points between 5–20 m to the total number of vegetation points within a cell	Density of vegetation in 5–20 m layer
Density of vegetation points above 20 m (BR_above_20)	band_ratio_20_n ormalized_height	$N_{z>20}/N_{total}$	Ratio of number of vegetation points above 20 m to the total number of vegetation points within a cell	Density of vegetation in above 20 m layer
Vegetation struct	tural variability			
Coefficient of variation of vegetation height (Coeff_var)	coeff_var_ normalized_heig ht	$\frac{1}{\bar{z}} \times \sqrt{\sum \frac{(z_i - \bar{z})^2}{N - 1}}$	Coefficient of variation of normalized z within a cell	Vertical variability of vegetation distribution
Shannon index (Entropy_z)	entropy_ normalized_heig ht	$-\sum_{i} p_{i} \times log_{2}p_{i}$ where $p_{i} = N_{i} / \sum_{j} N_{j}$ , and $N_{i}$ is the points in bin <i>i</i> .	The negative sum of the proportion of points within 0.5 m height layers multiplied with the logarithm of the proportion of points within 0.5 m height layers within a cell	Vertical complexity of vegetation, foliage height diversity
Kurtosis of vegetation height (Hkurt)	kurto_ normalized_heig ht	$\frac{1}{\sigma^4} \times \sum (z_i - \bar{z})^4 / N$ where $\sigma$ is the standard deviation of the z value in a cell.	Kurtosis of normalized z within a cell	Vertical distribution of vegetation



Roughness of vegetation (Sigma_z)	sigma_z	$\sqrt{\sum (R_i - \bar{R})^2 / (N - 1)}$ where $R_i$ are the residual after plane fitting, and $\bar{R}$ the mean of residuals.	Standard deviation of the residuals of a locally fitted plane within a cylinder	Small-scale roughness and variability of vegetation
Skewness of vegetation height (Hskew)	skew_ normalized_heig ht	$\frac{1}{\sigma^3} \times \sum (z_i - \bar{z})^3 / N$	Skewness of normalized z within a cell	Vertical distribution of vegetation
Standard deviation of vegetation height (Hstd)	std_ normalized_heig ht	$\sqrt{\sum \frac{(z_i - \bar{z})^2}{N - 1}}$	Standard deviation of normalized z within a cell	Vertical variability of vegetation distribution
Variance of vegetation height (Hvar)	var_ normalized_heig ht	$\sum \frac{(z_i - \bar{z})^2}{N - 1}$	Variance of normalized z within a cell	Vertical variability of vegetation distribution











314 Fig 3. Examples of LiDAR metric generation in a 10 m  $\times$  10 m grid cell (the number of all points: N =8348). (a) Metrics of vegetation height (mean, max, and percentiles of normalized height). (b) Vegetation 315 316 cover metrics representing vegetation density within specific height layers. (c) Metrics of vegetation 317 structural variability (e.g. standard deviation and variance of vegetation height are calculated based on mean height  $\bar{z}$ ; kurtosis and skewness of vegetation height are calculated based on the standard deviation 318 319 and mean height within a cell) (see detailed calculation formula in Table 3). The blue line in (c) represents a kernel density estimate (KDE) showing the shape of the points distribution. See abbreviation and 320 321 calculation formula of all metrics in Table 3.

# 322 3.3 Auxiliary data

323 Since the point density of AHN datasets changes across space and time, we also provide a raster layer of

324 point density (using all point classes) for each AHN dataset (four in total) (Fig. 4). The AHN1 has a much

325 lower point density (average less than 0.5 pts m<sup>-2</sup>) throughout the whole country than other AHN datasets

326 due to sensor limitations back in 1996. AHN2 and AHN3 have a similar point density (on average 10–20

327 pts m<sup>-2</sup>), while AHN4 has the highest point density (25–30 pts m<sup>-2</sup>). Especially for the AHN2–AHN4

328 datasets, distinct patterns (patches, lines, edges) can be observed in different parts of the Netherlands.

- 329 They are partially due to the influence of the water surface (yellow areas in AHN2, AHN3, and AHN4,
- Fig. 4), but also related to flight lines and operational configurations (e.g. flying altitude and flight speed)

331 during the campaign.







Fig. 4 Point density of AHN1–AHN4 ALS campaigns across the Netherlands. The total number of points was used for calculating the density of points at 10 meter spatial resolution. The four point density layers are made available in the data repository as auxiliary data together with the derived LiDAR metrics (see Sect. 7).





#### 337 **3.4 Limitations and usage notes**

#### 338 **3.4.1 Classification related errors and masks**

339 In the pre-classification of the raw AHN point clouds, there is no "vegetation" class provided based on 340 the ASPRS standard (i.e. class 3: low vegetation, class 4: medium vegetation, or class 5: high vegetation). 341 Instead, the vegetation points in the raw AHN1 and AHN2 datasets are included in the non-ground class 342 ("uitgefilterd", classification value of 0), whereas they belong to the class "unclassified" (classification 343 value 1) in the AHN3 and AHN4 datasets (Table 1). This can introduce errors and biases when using the 344 "uitgefilterd" or "unclassified" class for calculating ecosystem structure properties because points belonging to human infrastructures can still be included in these classes. Particularly, buildings and 345 346 bridges are included (together with other objects other than ground) in the class "uitgefilterd" in the AHN1 347 and AHN2 datasets, while they are classified separately (buildings in class 6: "buildings", and bridges in 348 class 26: "reserved") in the AHN3 and AHN4 dataset — eliminating the errors caused by buildings and bridges in the final data products of the AHN3 and AHN4. Powerlines are not separated from the 349 "uitgefilterd" class in the AHN1 and AHN2 datasets, and included in the class "unclassified" in the AHN3 350 351 dataset, but they are classified separately in the AHN4 dataset as class 14: "powerline". Yet, other human 352 objects and infrastructures (e.g. cars, fences, and transmission towers) are not separated in any of the four 353 AHN datasets and thus included in the non-ground class ("uitgefilterd") of the AHN1 and AHN2 datasets 354 and in the class "unclassified" in the AHN3 and AHN4 datasets, introducing some errors and biases in 355 the final data products. There are also points appearing on water surfaces (e.g. reflected by boats and 356 birds) which are included in the class "uitgefilterd" or "unclassified", causing inaccuracies in the final 357 products. In a previous study (Kissling et al., 2023), the accuracy of the 25 LiDAR metrics generated 358 from the AHN3 dataset was assessed, particularly in relation to the error caused by using the class 359 "unclassified" for calculating ecosystem structure properties. The results showed that the overall accuracy 360 of the generated LiDAR metrics was high  $(0.90 \pm 0.04, n = 25 \text{ LiDAR metrics}, \text{ tested in 100 randomly})$ 361 selected plots throughout the Netherlands, with 10 m  $\times$  10 m size per plot), ranging from 0.87–1. It is 362 worth noting that the impact of those errors on the 25 LiDAR metrics varies, for instance, a stronger bias 363 (i.e. the difference between the generated LiDAR metrics and the ground truth) can be observed in height 364 metrics describing the top canopy layer (i.e. Hmax and Hp95) than in other height metrics or in metrics 365 of vegetation cover in the low strata (i.e. BR below 1 and BR below 5) (Kissling et al., 2023).

To minimize the inaccuracies of the data products caused by human infrastructures and water surfaces, we provide mask layers of water areas, roads, and buildings for both the AHN3 and AHN4 data products based on the Dutch cadaster data (TOP10NL) from 2018 (corresponding to AHN3) and 2021 (corresponding to AHN4) (<u>https://www.kadaster.nl/zakelijk/producten/geo-informatie/topnl</u>, last access 19 October 2024). TOP10NL is part of the Basic Topography Registry (BRT) which provides the standard topographic base files for the whole Netherlands. Like the LiDAR metrics, the masks are calculated at 10





m resolution with the RD\_new / EPSG 28992 projection coordinate system and provided as raster layers in GeoTIFF format. In the masks, water surfaces, buildings and roads were merged into one class with a pixel value assigned to 1 and the rest with a pixel value of 0 (Fig. 5). Since the historical versions of TOP10NL data are not available for AHN1 (1996–2003) and AHN2 (2007–2012), we can only provide the masks for the AHN3 and AHN4 datasets (see Sect. 7 for data availability). However, despite the potential changes in buildings and roads over time, it is still possible to apply the generated masks to all four AHN data products, for instance, to minimize errors and to have comparable areas of interest.

379 Since powerlines are not classified separately for AHN1-AHN3 datasets and thus included in the calculation, it may cause abnormal values of vegetation structure, especially for vegetation height and 380 381 vegetation cover above 20 m (Shi and Kissling, 2023). However, points belonging to powerlines are 382 classified separately in AHN4 (Table 1), which provides a way to minimize errors caused by powerlines 383 in the data products generated from AHN1-AHN3. We therefore extracted all powerline points from the 384 AHN4 raw point cloud and generated a mask (at 10 m resolution) where pixels containing powerlines are 385 assigned a value 1 and the rest as NoData (Fig. 5). Since the transmission towers are not classified 386 separately in all four AHN datasets, the mask only covers the powerlines but not the transmission towers. 387 Users can apply the powerline mask generated from AHN4 to the data products from AHN1-AHN3 and 388 consequently improve the comparability of the LiDAR metrics across time. Note that the powerline 389 infrastructure may also change over time, and the classification of powerlines from the AHN4 may not 390 be fully representative for powerline distributions in earlier time periods.







Fig. 5 Examples of masking roads, water surfaces, and buildings from the 2018 Dutch cadaster data (areas
A, B, and C) and powerlines generated from the AHN4 (area D). Illustrated is the rasterized mask (first
column), the generated vegetation height metric (i.e. Hp95) from AHN3 (second column), and the





corrected LiDAR metric using the masks (third column). Four subareas show the inaccuracies in the
originally generated LiDAR metric and the removal effect of using the mask for roads (area A), water
(area B), buildings (area C), and powerlines (area D). A mask value of 1 represents the pixels with roads,
water surfaces, buildings, and powerlines, while value 0 or NoData represents the rest. The masks and the
LiDAR metrics are at 10 × 10 m resolution. Hp95 = 95<sup>th</sup> percentile of vegetation height.

#### 400 **3.4.2** Strip issues

401 Several strip patterns occur in the data products from AHN2 (Fig. 6). This strip issue specifically affects 402 the pulse penetration ratio layer (representing vegetation openness), where both ground points ("ground" 403 class) and vegetation points ("unclassified" class) were used for the metric calculation. A possible reason 404 could be that the scan angle of the laser scanner used for point cloud acquisition was rather wide, and that 405 the scanner thus has received more laser pulses from the areas located at the edges of the flight lines. 406 Those overlapping areas (edges of the flight lines) often have a doubled point density, which also 407 contributes to the strip patterns in the calculation of the LiDAR metrics using ground points (e.g. pulse 408 penetration ratio). This issue only occurs in an area in the centre of the Netherlands (Fig. 6). Other LiDAR-409 derived vegetation metrics representing vegetation height, cover, and structural variability do not seem to 410 be influenced by this strip issue. This strip issue was not observed in other AHN data products.



411

412 Fig. 6 Strip issues in the AHN2 dataset. The point density (black and white, including all points) and the

413 pulse penetration ratio (colour, representing vegetation openness) show similar strip patterns.



#### 414 3.4.3 Abnormal values

415 A few pixels with abnormal values still exist in the final products. For instance, several pixels in the Hp95 416 layer have a value higher than 100 m, which cannot represent the upper canopy of vegetation since the 417 tallest tree in the Netherlands (a Douglas Fir, Pseudotsuga menziesii, i.e. a tall and fast-growing conifer 418 native to western North America which was planted between 1860 and 1870 in Apeldoorn, the 419 Netherlands) has been measured to be  $\sim$ 50 meter tall. More generally, most measurements of the tall trees in the Netherlands range between 20–45 m. Hence, abnormal values of vegetation height (e.g. > 50 m) 420 421 most likely reflect the occurrence of human infrastructures that are not included in the AHN1 and AHN2 422 class "uitgefilterd" or not sufficiently captured in the AHN3 and AHN4 classes "building" and "reserved", 423 e.g. aerial and radio masts (up to 350 m tall), tall industrial and meteorological towers and chimneys (50-424 200 m), cranes (50-130 m), elements of bridges (e.g. pylons and steel cables up to 140 m tall), wind 425 turbines (up to 260 m) and powerlines (up to 80 m). Flying objects, such as birds and planes, can also be 426 captured in the datasets, resulting in abnormal height values in the data products. We recommend filtering 427 out those abnormal values before using the data products for further analysis, e.g. by removing grid cells 428 with Hp95 > 50 m.

429 Although the Netherlands has rather flat terrain, it is worth noting that the normalization method 430 implemented in the Laserfarm workflow may introduce inaccuracies in normalized vegetation height 431 values, especially if steep terrain occurs within a grid cell (Kissling et al., 2022). When applying the same 432 workflow for other country or regions, abnormal values may occur in the areas with drastic topographic 433 changes (e.g. cliffs, mountainous area). Users may consider using a different normalization method, for 434 instance, normalizing non-ground points by subtracting the derived DTM from all points, or by 435 interpolating the elevation of non-ground points using the exact position of ground points beneath 436 (Roussel et al., 2020). Some studies also have suggested to use raw point clouds (e.g. the un-normalized 437 DSM) to preserve the geometry of tree tops or plant area index profile in high slope areas (Khosravipour 438 et al., 2015; Liu et al., 2017).

#### 439 **4 Demonstration of ecological use cases**

#### 440 4.1 Monitoring forest structural change across time using multi-temporal ALS data

441 As a use case, we demonstrate here how the multi-temporal data products generated from the Dutch ALS

442 surveys can capture forest structural change over the past two decades (2000–2023). We included the

443 ongoing ALS campaign (AHN5) since the data were made available for the sample area (central location

- 444 coordinates: 52.3250517°N, 5.7409230°E) at the time when the analysis was conducted. This provided a
- 445 longer time series for detecting forest change. The sample area (in a forest area north of the national park
- 446 De Hoge Veluwe) has experienced a clear forest cut in 2011 (between AHN2 and AHN3 surveys), with
- 447 further forest loss and some regenerations captured by AHN4, while the latest AHN5 showed a forest



regrowth in the middle-low vegetation strata (< 10 m) compared to AHN4 (Fig. 7). The histograms derived from point clouds from AHN1–AHN5 show the distribution of points shifting from tall vegetation (above 20 m, AHN1–AHN3) to low vegetation (below 10 m, AHN4 and AHN5). Due to the very low point density of the AHN1 data, detailed information on vegetation structure in the year 2000 is lacking. However, the histogram from AHN1 implies a similar pattern of canopy height as that from AHN2 (Fig. 7). Google Earth imageries obtained on the closest dates available from each AHN survey also provide a good reference for the forest change events, except for the time of AHN1.

455 Six selected LiDAR-derived vegetation metrics derived from AHN1-AHN5 at 10 m resolution effectively capture the changes in vegetation structure over time (Fig. 8). The 95<sup>th</sup> percentile of vegetation 456 457 height (Hp95) and mean vegetation height (Hmean) highlight reductions in forest canopy height due to 458 cutting in 2011 (between AHN2 and AHN3) and in 2019 (between AHN3 and AHN4). The pulse 459 penetration ratio (PPR) reveals shifts in vegetation openness, with openness peaking in AHN4, while the density of vegetation points at 2-3 m (BR 2 3) indicates regrowth in the understory, particularly in 460 461 AHN4 and AHN5 (after 2021). The Shannon index (entropy z) reflects the vertical distribution of 462 vegetation points (i.e. evenness), with AHN2 showing the highest value due to a more even point 463 distribution of the canopy foliage before the canopy was cut. AHN3 shows the widest Shannon index 464 range, capturing both high canopy trees and new re-growth. The standard deviation (i.e. vertical 465 variability) of vegetation height (Hstd) shows a similar pattern as seen in Hp95.







Fig. 7 Forest structural change in a sample plot  $(100 \text{ m} \times 100 \text{ m})$  between 1998–2023 captured by the multi-temporal AHN datasets (AHN1–AHN5). The histograms were generated from each AHN point cloud, showing the distribution of the normalized vegetation height within the plot. The point clouds were





470 coloured by height (blue indicates lower vegetation height and red indicates higher vegetation height).

- 471 AHN1 has a rather poor point density, but shows a histogram of vegetation height that is similar to AHN2.
- 472 The forest cut can be observed from the point clouds of AHN3 and AHN4 compared to AHN2, with forest
- regrowth occurring in AHN5. Google Earth imageries from the example area show the changes of the
- forest. Note that the dates of the Google Earth imageries do not correspond exactly to the dates of the



Fig. 8 Boxplots of LiDAR metrics derived from multi-temporal AHN datasets capturing the changes of the vegetation structure in a 100 m × 100 m sample area (compare Fig. 7). (a) The 95<sup>th</sup> percentile of vegetation height (Hp95) and the mean vegetation height (Hmean) representing vegetation height. (b) The pulse penetration ratio (PPR) and the density of vegetation points between 2–3 m (BR\_2\_3) representing vegetation cover. (c) The Shannon index (Entropy\_z) and the standard deviation of vegetation height (Hstd) representing vegetation structural variability. Boxes show the median and interquartile range, with





whiskers extending to 1.5 times the interquartile range and outliers are plotted as dots. Each grey line represents a single pixel  $(10 \text{ m} \times 10 \text{ m})$  value changing from AHN1–AHN5, showing the influence of the events on vegetation within each pixel (e.g. forest cut and regrowth).

#### 486 4.2 Comparison of vegetation structural difference within Natura 2000 sites

487 In a second use case, we analyse how vegetation structure varies spatially across different Natura 2000 488 habitat types in the Netherlands. Terrestrial habitats were categorized into five main classes: dunes, 489 marshes, grasslands, shrublands, and woodlands, based on the dominant habitat type within each site (see 490 details in Appendix A). For each habitat class, 100 random sample plots ( $10 \text{ m} \times 10 \text{ m}$ , 500 plots in total) 491 were selected where Hp95 is not NA (assuming vegetation occurring in the plots) (Figure A1). We used 492 the data products from AHN4 for the analysis as they are the latest complete products for the whole Netherlands. Four LiDAR metrics were compared: the 95<sup>th</sup> percentile of vegetation height (Hp95), 493 vegetation point density at 1-2 m (BR 1 2) and 4-5 m (BR 4 5), and the coefficient of variation in 494 495 vegetation height (Coeff var). Structural differences among the five habitat types were assessed using the 496 non-parametric Kruskal-Wallis test by ranks (Kruskal and Wallis, 1952), which compares two or more 497 independent groups of equal or different sample sizes without assuming a normal distribution of the 498 residuals. Pairwise comparisons of the statistical significance were conducted among groups (i.e. habitat 499 types) using the Wilcoxon rank-sum test (Wilcoxon et al., 1970).

500 The strongest structural differences among the five habitat types were observed in canopy height 501 (Hp95) and vegetation density in the lower strata (BR 1 2), followed by vegetation vertical variability 502 (Coeff var) and vegetation density in the middle strata (BR 4 5) (Fig. 9). Canopy height (i.e. Hp95) of 503 both woodlands and shrublands showed a statistically significant difference to all other habitat types, 504 whereas grasslands, marshes and dunes did not differ in canopy height (Fig. 9a). The latter three habitat 505 types showed a median canopy height of  $\sim 2.3$  m, whereas it is around 9.9 m and 17.6 m for shrublands 506 and woodlands, respectively. Vegetation density in the low vegetation stratum (between 1-2 m) also did 507 not statistically differ between grasslands, marshes, and dunes (Fig. 9b). However, woodlands and shrublands with their more shaded understory and stronger light competition had much lower vegetation 508 densities between 1-2 m than the three open habitat types (Fig. 9b). In the mid-layer (4-5 m), only the 509 510 vegetation density of woodlands and marshes showed a statistically significant difference (Fig. 9c). The 511 very low mid-layer density in woodlands may be due to the high canopy from trees limiting growth in the 512 understory (e.g. shrubs), whereas shrubs and trees in marshes may generally have a lower canopy height 513 than woodland trees, thus showing high vegetation density at 4-5 m. In terms of structural variability, 514 grasslands and marshes have the highest median values of the coefficient of variation of vegetation height, 515 showing significant differences to woodlands, shrublands and dunes (Fig. 9d). This probably reflects a 516 high heterogeneity in vegetation structure in both grasslands and marshes, where a large variability from



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- 517 low to high vegetation is captured within the  $10 \text{ m} \times 10 \text{ m}$  plots. It is also the only metric among the four
  - 40 \*\*\* \*\*\* 30 (proportion) (b) BR\_12 92 10 0.12 0.12 0.11 µ 0.04 0.02 ĥ 1 1 ( 🗖 ' 0.0 0 Marsh (n = 100) Dune (n = 100) Woodland Woodland Shrubland Grassland Shrubland Grassland Marsh Dune (n = 73)(n = 100)(n = 100)(n = 100)(n = 87) (n = 75)(n = 70)(n = 75)0.6 2.0 BR 4.5 (proportion) (b) 1.0 0 59 0.53 0.06 0.42 0.04 μ<sub>r</sub> 0.03 0.03 0.5  $\hat{\mu}_{medi}$ 0.02 Ţ, 0.0 0.0 Shrubland Shrubland (n = 100) Grassland (n = 100) Marsh (n = 99) Dune (n = 97) Woodland Grassland Marsh Dune Woodland (n = 84)(n = 66)(n = 40)(n = 46) (n = 42) (n = 100)
- 518 selected metrics where dunes showed statistically significant differences to grasslands and marshes.

Fig. 9 Comparison of ecosystem structure between five Natura 2000 habitat types using four different 520 LiDAR metrics of vegetation structure. (a) Canopy height (the 95th percentile of vegetation height, Hp95), 521 522 (b) vegetation density at 1-2 m (BR 1 2), (c) vegetation density at 4-5 m (BR 4 5), and (d) structural 523 variability of vegetation height (coefficient of variation in vegetation height, Coeff var). The bars above 524 the violin plot indicate whether there is a statistical significance between two compared habitat types. The 525 pairwise comparisons of the statistical significance were conducted using the Wilcoxon rank-sum test 526 after the non-parametric Kruskal-Wallis test by ranks. The significant level is marked as follows: \*\*\* (p 527 < 0.001), \*\* (p < 0.01), and \* (p < 0.05). Red dots indicate the median value ( $\hat{\mu}_{median}$ ) of the LiDAR 528 metrics measured for each habitat type. Note that not all sampled plots have vegetation points (from class 529 "(unclassified") between 1–2 m and between 4–5 m, therefore the total number of sample plots for the 530 "BR 1 2" and "BR 4 5" analysis was < 100 for each habitat type (after removing NA value). The NA value also occurs for "Coeff var" when there is only one point (from class "unclassified") in the sampled 531 532 plot (see metric calculation in Table 3).

# 533 **5 Discussion**

519

534 We present a set of multi-temporal high-resolution data products of ecosystem structure derived from

- 535 country-wide ALS surveys of the Netherlands (AHN1–AHN4), capturing vegetation structure dynamics
- over the past two decades (1998–2022). For each AHN dataset, we provide 25 LiDAR-derived vegetation



537 metrics as GeoTIFF raster layers representing vegetation height, vegetation cover, and vegetation 538 structural variability at 10 m resolution. In total, we processed ~ 70 TB (uncompressed) raw point clouds 539 from four national ALS surveys into ~ 59 GB GeoTIFF raster layers as final data products. These data 540 products hold great value for ecological and geospatial applications, including species distribution 541 modelling, habitat characterization, and forest and biodiversity dynamics monitoring. The availability of 542 these ready-to-use LiDAR metrics enables ecologists and researchers to integrate detailed ecosystem 543 structural information from complex 3D point clouds into their studies without the burden of handling 544 large ALS datasets and computational challenges. Additionally, the dataset serves as a valuable resource 545 for detecting vegetation structural changes and analysing ecosystem dynamics using multi-temporal 546 remote sensing techniques.

547 Several key aspects should be considered when utilizing the presented data products. First, many 548 commonly used LiDAR-derived metrics, especially those related to vegetation height (e.g. maximum 549 vegetation height, 95<sup>th</sup> percentile height, mean height), are often highly correlated (Kissling and Shi, 2023; 550 Shi et al., 2018a). To gain a more comprehensive understanding of ecosystem structure, it is advisable to 551 use a complementary set of LiDAR metrics that captures different dimensions of ecosystem structure, or 552 to use dimensionality reduction methods (such as a principal component analysis) to avoid multi-553 collinearity (Kissling and Shi, 2023). For instance, using the coefficient of variation of vegetation height 554 (Coeff var) instead of the standard deviation (Hstd) as a metric of structural variability can avoid 555 correlations with mean or canopy vegetation height (Hmean and Hp95) (Kissling and Shi, 2023). Second, 556 vegetation cover in different height layers is a crucial component of forests and other ecosystems, 557 influencing energy fluxes between the ecosystem and the atmosphere (Shugart et al., 2010; Toivonen et 558 al., 2023). Unlike the cover metrics proposed by Moudrý et al. (2022), where herbaceous, shrub and tree 559 layers were used to represent different vegetation strata, our metrics use fixed height intervals (e.g. 1-2m, 2-3 m, 3-4 m, 4-5 m, 5-20 m, above 20 m) to ensure applicability across diverse ecosystems. Not all 560 ecosystems share the same vegetation growth forms, making these height bin-defined metrics more 561 562 ecosystem-agnostic. The cover metrics from different height layers can be used as predictors of animal 563 species richness (Goetz et al., 2007), species distributions (Davies and Asner, 2014), and habitat 564 characteristics (Vierling et al., 2008; Bakx et al., 2019). Third, LiDAR metrics related to vegetation 565 structural variability (e.g. Hstd, Hskew, and Hkurt) are often influenced by various ecological and sensing 566 methodology-related factors, making them potentially challenging to interpret (Assmann et al., 2022). 567 However, metrics representing structural variability are valuable input for models assessing forest 568 functional diversity and structural types, especially when combined with optical remote sensing 569 (Kamoske et al., 2022; Zheng et al., 2021). Thus, careful selection of LiDAR metrics for specific 570 applications is highly recommended. Terrain and surface descriptors such as DTMs and DSMs (or canopy 571 height model as derivative) can be additionally considered because they are important for forest and 572 habitat classifications (Shoot et al., 2021), quantifying soil moisture or wetness (Assmann et al., 2022),





and analysing species composition (Toivonen et al., 2023; Hill and Thomson, 2005). However, since the
AHN programme has already provided DTM and DSM layers for the AHN2, AHN3, and AHN4 datasets
at 0.5 m and 5 m resolutions in their repository, we did not reproduce these data products.

576 While multi-temporal ALS data offer valuable insights into fine-scale vegetation structural 577 changes and ecosystem dynamics, there are also notable challenges, especially when performing change 578 detection across point clouds with different characteristics, such as point density, scanning angle, and 579 varying vertical and horizontal accuracy (White et al., 2016). Instead of performing change detection directly on point clouds (Xu et al., 2015; Kharroubi et al., 2022), many studies use rasterized LiDAR 580 581 metrics for monitoring changes on vegetation structure. This is less computational intensive and better 582 suited for areas with complex vegetation structure as it regularizes complex 3D point cloud information 583 onto a 2D grid (Vastaranta et al., 2013; Choi et al., 2023). Several commonly used change detection 584 methods can be applied to the multi-temporal data with rasterized LiDAR metrics. These include image 585 differencing (i.e. subtracting the pixel values of one raster layer, such as Hp95 from AHN3, from the 586 other, such as Hp95 from AHN4), threshold-based change detection (i.e. classifying the pixels as 587 "changed" or "unchanged" based on a set threshold after image differencing), and post-classification 588 comparison (i.e. comparing classified raster layers, such as maps of vegetation types based on derived 589 LiDAR metrics, from different time periods) (Noordermeer et al., 2019; Dalponte et al., 2019). Those 590 methods can be applied to the provided AHN data products, especially after masking water areas, roads, 591 buildings, and powerlines. Change metrics derived from multi-temporal LiDAR data can also be 592 combined with clustering methods to characterize areas of structural changes, such as modifications of 593 forests by the eastern spruce budworm (Trotto et al., 2024). Together with the development of deep 594 learning on change detection (Bai et al., 2023), more in-depth insights from the presented AHN datasets 595 can be revealed, enabling accurate and comprehensive analysis of ecosystem dynamics. Given the 596 consistent coordinate system used in the four AHN datasets (EPSG: 28992, NAP: 5709; see Table 1), 597 additional georeferencing steps are unnecessary before conducting further analysis with the data products 598 that we provide. The scan angle, overlapping rate, and vertical accuracy of AHN2-AHN4 are rather 599 comparable (Table 1), potentially reducing errors related to systematic differences across time. However, 600 the data products are generated from point clouds with different point density, which may introduce 601 inconsistencies in capturing vegetation structure. Nevertheless, analyses of tree growth using multi-602 temporal LiDAR data with different point density in forests of Scotland implied that the accuracy does 603 not decrease as long as the point density is exceeding 7 pts m<sup>-2</sup> (Zhao et al., 2018). Several studies also 604 indicated that the spatial distribution of the point cloud remains similar even if the point density varies 605 and increasing point density does not increase area-based estimation accuracy (Hudak et al., 2012; Fekety 606 et al., 2015; Cao et al., 2016). We therefore anticipate that the data products from AHN2, AHN3, and 607 AHN4 are sufficiently comparable for reliable change detection. However, due to the low point density





and reduced accuracy, we do not recommend including the data products from AHN1 in multi-temporalanalyses.

610 All software and tools employed in the pipeline for producing the data products are free and open-611 source, ensuring a standardized yet flexible processing framework for country-wide ALS data and 612 enabling reproducibility for future surveys. While existing ALS processing software such as OPALS 613 (Pfeifer et al., 2014) and LAStools (http://lastools.org/) are not (fully) open-source, and others like 614 **FUSION** (https://forsys.sefs.uw.edu/fusion/fusionlatest.html), CloudCompare 615 (https://www.danielgm.net/cc/), and lidR (Roussel et al., 2020) lack horizontal scalability and do not 616 provide reproducible end-to-end workflows for large ALS datasets, the employed "Laserfarm" workflow 617 fills a niche by addressing these challenges. Laserfarm is a high-throughput, modular, and reproducible 618 end-to-end workflow designed for efficiently extracting LiDAR metrics of ecosystem structure using 619 distributed computing infrastructures (Kissling et al., 2022). With the workflow materials that we provide, 620 users can implement additional pre-processing steps (e.g. splitting, reclassification) and customize 621 required parameters based on the input ALS data and available computing resources. The demonstrated 622 configurations of IT infrastructure, computational cost, and time efficiency for processing multi-temporal 623 AHN datasets serve as a reference for users to estimate the processing requirements for future national or 624 regional ALS datasets. It is worth noting that the normalization method implemented in the Laserfarm 625 workflow subtracts the elevation of the lowest point within a given neighbourhood to remove the influence of the terrain. This approach was specifically chosen for its effectiveness in handling small 626 627 ditches and canals that are common in the Dutch landscape, providing a straightforward way to generate 628 positive height values after normalization. However, it may be less suited for capturing continuous 629 normalized height values and fine-scale terrain variability in smaller grid cells (< 1 m) (Kissling et al., 630 2022).

631 The data products presented here also make a great contribution to multi-source data fusion in 632 remote sensing and ecological research (Ghamisi et al., 2019). Through the two use cases in Sect. 4, we 633 demonstrate the utility of these multi-temporal datasets for monitoring long-term forest dynamics and 634 characterizing habitat types. These applications can be further extended to other studies, such as 635 improving land cover classification accuracy, particularly for objects composed of similar materials (e.g. 636 grasslands, shrubs, and trees). Moreover, the fusion of vegetation structural information from LiDAR, 637 spectral data from optical remote sensing (e.g. high-resolution digital aerial photogrammetry, Landsat and 638 Sentinel-2 imagery), climate data, and field measurements underscores the value of integrating 639 complementary remote sensing data across diverse applications. These include wildlife habitat 640 characterization (Boelman et al., 2016), tree species identification (Shi et al., 2018b), forest structure and 641 carbon stock mapping (Li et al., 2024), as well as assessing disturbances and recovery of ecosystem 642 process (Li et al., 2023). Additionally, combining ecosystem structure data from multiple LiDAR





- 643 platforms, such as terrestrial, drone-based, airborne, and spaceborne LiDAR, could provide a more
- comprehensive understanding of ecosystem structure, spanning from understory to canopy level and
- 644
- 645 across local plots to national or continental level.

#### 646 6 Code availability

- 647 Jupyter Notebooks for processing AHN datasets: https://github.com/ShiYifang/AHN
- Laserfarm workflow repository: https://github.com/eEcoLiDAR/Laserfarm 648
- 649 Laserchicken software repository: https://github.com/eEcoLiDAR/laserchicken
- 650 Code for downloading AHN dataset: https://github.com/ShiYifang/AHN/tree/main/AHN\_downloading
- 651 Code for generating masks for AHN datasets: https://github.com/ShiYifang/AHN/tree/main/AHN masks
- 652 Code for demonstration of ecological use cases: https://github.com/ShiYifang/AHN/tree/main/Use case

#### 7 Data availability 653

- 654 All data products from AHN1-AHN4 (25 GeoTIFF layers for each AHN dataset), three masks (two for
- 655 roads, water surfaces, and buildings from both AHN3 and AHN4, and one for powerlines generated from
- 656 AHN4), and four point density layers (for AHN1-AHN4) are available from a Zenodo repository
- 657 (https://doi.org/10.5281/zenodo.13940846) (Shi and Kissling 2024). The data used for the demonstrated
- 658 use cases are also provided in the same repository. A detailed description of the provided data can be
- 659 found in the README file in the data repository.





## 660 8 Conclusions

661 Ecosystem structure information derived from country-wide ALS data becomes increasingly needed for biodiversity science and ecosystem monitoring. The multi-temporal data products of ecosystem structure 662 663 and the employed workflow presented here not only provide ready-to-use information for ecosystem 664 monitoring and modelling within the Netherlands, but also enable reproducing desired data products from 665 existing and upcoming large-scale ALS data beyond the Netherlands. We highlight the capability of multi-666 temporal ALS data products in capturing ecosystem structural dynamics across time and their usability in combination with other data sources. We also carefully evaluated the limitations and usability of 667 668 generated data products and provided solutions or recommendations for future processing and usage. We 669 envisage that the provided data products and the employed workflow will empower a wider use and 670 uptake of ecosystem structure information in biodiversity and ecosystem science, land management, 671 natural resource conservation, and policy support and decision making.





# 673 Appendix A

674 The source information about Natura 2000 sites was retrieved from the Europe Environment Agency 675 (Natura 2000 (vector) - version 2021). The shapefile of the Natura 2000 sites and the attributes of each 676 site that used the we for analysis were downloaded via 677 https://sdi.eea.europa.eu/datashare/s/JWt9KJCFMrPODc7/download. The information on the habitat class (from the table named "Natura2000 end2021 HABITATCLASS.csv") was used to group them into 678 679 five habitat types (i.e. dunes, marshes, shrublands, grasslands, and woodlands). The table contains the 680 following information: description of the habitat class, habitat code, site code, and percentage of habitat 681 composition within the site.

682 We first selected all the Natura 2000 sites within the Netherlands (i.e. SITECODE starting with 683 NL), then summarized the highest percentage of habitat class within each site and grouped them into six main habitat types: water, dunes, marshes, shrubland, grassland, and woodland. For water, we included 684 685 marine areas, sea inlets (habitat code: N01), tidal rivers, estuaries, mud flats, sand flats, and lagoons 686 (habitat code: N02), and inland water bodies (habitat code: N06). For dunes, we included costal sand 687 dunes, sand beaches, and machair (habitat code: N04). For marsh, we included bogs, marshes, water 688 fringed vegetation, and fens (habitat code: N07) and salt marshes, salt pastures, and salt steppes (habitat 689 code: N03). For shrubland, we included heath, scrub, maquis and garrigue, and phygrana (habitat code: 690 N08). For grassland, we included dry grassland, steppes (habitat code: N09), humid grassland, mesophile 691 grassland (habitat code: N10), and improved grassland (habitat code: N14). For woodland, we included 692 broadleaved deciduous woodland (habitat code: N16), coniferous woodland (habitat code: N17), evergreen woodland (habitat code: N18) and mixed woodland (habitat code: N19). For each Natura 2000 693 694 site, the habitat type with the highest composition percentage was chosen as the dominate habitat. In total, 695 there were 197 Natura 2000 sites within the Netherlands, including 36 water sites, 25 dune sites, 23 marsh 696 sites, 17 shrubland sites, 54 grassland sites, and 42 woodland sites. For our study, we excluded water sites 697 for the vegetation structure analysis (remaining 161 sites in total). For each habitat type, we randomly 698 selected 100 sample plots (10 m  $\times$  10 m for each plot, i.e. in total 500 plots) where Hp95 is not NA 699 (assuming vegetation occurring in the plots) using the sampleRandom() function in R (Figure A1). The 700 shapefile of the 500 sample plots across the Natura 2000 sites was then used to extract the pixel values of 701 the LiDAR metrics for comparison.

702 The shapefile of the Natura 2000 sites within the Netherlands (with habitat class information in attributes),

100 sample plots for each habitat class, original and grouped habitat class information (.csv files), and the

R processing script are provided in the data repository (see Sect.7).







706

Figure A1. Natura 2000 sites and their habitat types in the Netherlands. The non-water habitat types were grouped into 5 classes (i.e. dunes, marshes, grasslands, shrublands, and woodlands) to conduct vegetation structure comparisons. For each class, we randomly sampled 100 plots ( $10 \text{ m} \times 10 \text{ m}$  each) where Hp95 was not NA (assuming that vegetation occurs in the plots) for the analysis (n = 500 in total).





# 712 Author contributions

- 713 Yifang Shi: Conceptualization, Data curation, Formal analysis, Methodology, Validation, Visualization,
- 714 Writing original draft, Writing review & editing. W. Daniel Kissling: Conceptualization,
- 715 Investigation, Funding acquisition, Project administration, Supervision, Writing review & editing.

# 716 Competing interests

717 The contact author has declared that none of the authors has any competing interests.

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- 723 point clouds' (eEcoLiDAR) (Kissling et al., 2017). The development of the data products was also
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