









**Abstract.** The surface shortwave cloud radiative effect (CRE) plays a critical role in modulating the Earth's energy balance and climate change. However, accurately quantifying the CRE remains challenging due to significant uncertainties in downwelling surface shortwave radiation (DSSR) and cloud parameter estimates, especially in the Arctic. This paper introduces a novel approach that enhances the accuracy of CRE estimation by constructing a computationally efficient, long-term gridded surface cloud fraction radiative kernels (GCF-CRKs) and integrating refined DSSR estimates and a high-precision cloud fraction (CF). By leveraging the correlation between the top-of-atmosphere (TOA) shortwave radiative parameters and surface radiation, combined with high-precision fused CF datasets from multiple satellite sources, we construct a CF-dependent model to refine DSSR estimates. Based on this model, we construct GCF-CRKs using the CF as the sole perturbation parameter to isolate the CF CRE. Our results indicate that this method significantly improves the accuracy of DSSR estimation under partially cloudy conditions (0<CF<100%), aligning more closely with ground-based observations. In Arctic-wide validation experiments, the root mean square error (RMSE) was decreased 39 by approximately 2.5 Wm<sup>-2</sup>, and the bias was reduced by 1.23 Wm<sup>-2</sup>, which was an improvement of 8.7 % (reduction of RMSE) against the CERES-EBAF. The even greater improvements were achieved 41 at stations in Greenland (RMSE reduced by 4.53 Wm<sup>-2</sup> and a bias reduced by  $\sim 6.89$  Wm<sup>-2</sup>, with an accuracy improved about 11.1%). The GCF-CRKs exhibit similar signs and patterns and enhanced stability compared to existing kernels. The sensitivity analysis results reveal that seasonal and 44 interannual variations introduce GCF-CRK uncertainties of approximately 1 Wm<sup>-2</sup>%<sup>-1</sup> and 0.1 Wm<sup>-2</sup>%<sup>-1</sup>, respectively, while spatial variations within the same latitude range can cause CRK uncertainties of 46 0.2–1.2 Wm<sup>-2</sup>%<sup>-1</sup>. These uncertainties can result in CRE biases ranging from 5 to 50 Wm<sup>-2</sup>, which demonstrates the limitations of existing methods that utilize short-term, small-area parameter data to produce global CRKs. Using these GCF-CRKs, we estimated the spatiotemporal properties of the surface shortwave CRE in the Arctic over a 21-year period (2000–2020), and the trend result indicates that despite the increasing influence of the CF on the Arctic DSSR, the smaller magnitude and interannual trend of the annual average surface shortwave CRE suggest that previous studies may have overestimated the magnitude and rate of the cooling effect of clouds on the Arctic DSSR by up to 4 Wm<sup>-2</sup> and 0.5 Wm<sup>-2</sup> per decade, particularly in Greenland. This study provides a more accurate and efficient assessment of the CRE, and the results underscore the need for more effective measures to mitigate the impact of Arctic amplification on the surface radiative energy balance, which is crucial for understanding and addressing regional and global climate change. The GCF-CRKs can be freely available to the public at https://doi.org/10.5281/zenodo.13907217 (Liu, 2024). **Keywords:** Cloud fraction, Downwelling surface shortwave radiation, Cloud radiative kernel, Cloud

radiative effect



# **1 Introduction**







- interannual variability of the CF's impact on Arctic surface shortwave absorption trends and found that substantial differences in the CF between datasets can introduce uncertainty in the lag effects of the response of the DSSR trend(Sledd and L'ecuyer, 2019; Sledd and L'ecuyer, 2021).
- Some studies have focused on quantifying the impacts of cloud parameters on the Arctic DSSR. By analyzing the correlation between the CF changes and the DSSR across five reanalysis datasets, Zib et al. found that CF deviations could result in monthly surface shortwave (SW) flux discrepancies of 100 greater than 90 Wm<sup>-2</sup> in some reanalysis datasets (Zib et al., 2012). By comparing the relationship between the CF and SW in four reanalysis datasets, Walsh et al. discovered that deviations in the coverage of low-level clouds during the Arctic summer could cause seasonal discrepancies of 103 approximately 160 Wm<sup>-2</sup> (Walsh et al., 2009). Other studies have used similar correlation methods to analyze parameters from satellite observations, model simulations, and reanalysis data and have concluded that CF deviations in the Arctic could lead to annual average DSSR discrepancies of greater 106 than 10–40 Wm<sup>-2</sup> (Hakuba et al., 2017; Huang et al., 2017; Kato et al., 2018). These values greatly exceed the impact of cloud parameter differences on the annual global DSSR(Kato et al., 2011).
- However, the challenges in accurately estimating the DSSR directly impact the accuracy of the CRE estimation, complicating the understanding of Arctic radiative processes. Currently, DSSR estimation methods often rely on mixed model algorithms that primarily address two extreme conditions: overcast skies (CF=100%) and clear skies (CF=0%). For partially cloudy conditions (0<CF<100%), these methods typically combine clear-sky parameterization schemes with existing cloud products and use empirical formulas to derive indirect estimates(Chen et al., 2020). They do not delve deeply into the radiative transfer mechanisms between cloud properties and DSSR, leading to error accumulation and significant biases in DSSR estimates. Consequently, these biases directly impact the accuracy of CRE estimation, further complicating the understanding of Arctic radiative processes.

 In addition to the inherent accuracy of the parameters, how to extract the corresponding radiative contributions from complex perturbation factors is also crucial for enhancing the precision of CRE estimation. Currently, there are three main methods for isolating the radiative contributions of individual influencing factors. The first is the data simulation method, such as using radiative transfer models to simulate the transmission of radiative parameters in the atmosphere and on the surface and quantifying the radiative effect due to cloud properties by inputting additional atmospheric information (Kato et al., 2012; Kim and Ramanathan, 2008). Alternatively, cloud properties simulated using satellite simulators can be converted into synthetic observations obtained from satellite observation systems to isolate the impact of cloud deviations on surface radiative parameters in models. However, low-accuracy CF information introduces significant estimation errors. The second commonly used





 method is the partial perturbation algorithm, initially proposed by Wetherald and Manabe(Wetherald and Manabe, 1988). This method separates TOA radiative flux changes caused by specific variables by taking the difference between global climate model variation experiments and perturbation experiments. While this method can directly calculate various climate feedbacks, it requires rerunning the global climate model for each slight parameter change, demanding high computational resources and resulting in a low operational efficiency(Loeb et al., 2018b).

 The current radiative kernel method, widely used in evaluating climate feedback, constructs a radiative kernel by constraining the change in a single variable due to a small perturbation. This kernel is used as a constant factor to calculate the perturbation effects of the variable on the radiative flux over different time periods and regions(Soden et al., 2008; Zhou et al., 2022). This method requires significantly less overall computation than the partial perturbation algorithm and can effectively reduce correlation errors between different influencing factors. However, due to the vertical nonlinearity effect of cloud parameters, directly estimating the cloud radiative kernel is challenging. Therefore, non-cloud radiative kernels, such as those for temperature, water vapor, and surface albedo, are often used to indirectly estimate the CRE(Vial et al., 2013). This approach can confuse radiative uncertainties caused by non-cloud parameters with the CRE, thereby increasing the estimated radiative contribution of clouds.

 To directly isolate the radiative contribution of the CF, Thorsen et al. applied a partial radiative perturbation-like calculation to observational datasets and proposed an observation-based partial perturbation method, namely, the clouds and the Earth's Radiant energy system-partial radiative perturbation (CERES-PRP) (Thorsen et al., 2018). This method calculates radiative kernels by flexibly combining perturbation variables to achieve flux perturbation calculations. It has been successfully applied to CERES-energy balanced and filled (EBAF) surface radiative parameters (Kato et al., 2018) and long-term studies of Earth's energy budget changes(Loeb et al., 2018a). However, this method calculates kernels using control operations from a single year and neglects the spatiotemporal variability of the parameters, which can lead to significant temporal and regional errors (Kramer et al., 2019). Additionally, similar to most current radiative kernels, this method focuses on TOA radiative budgets and pays insufficient attention to surface radiative budgets and the associated radiative forcing contributions.

 To achieve a higher CRE estimation accuracy, in this study, we used improved DSSR and higher-precision CF data to construct long-term, gridded surface cloud fraction radiative kernels (GCF-CRKs) and incorporated the spatiotemporal variability. These new CRKs were then used to accurately quantify the contribution of the CF to the DSSR and to enable detailed estimation and analysis of the spatiotemporal characteristics and long-term trends of the surface shortwave CRE in the





- Arctic. Section 2 of this paper introduces the observational data. Section 3 provides the details of the
- method for constructing CRKs, In Section 4, the corrected DSSR and the CRE are estimated using the
- CF-CRKs, and the accuracies are validated. Section 5 presents the discussion and conclusions.

## **2 Data**

# **2.1 Satellite Observational Datasets: CERES-SYN1deg and CERES-EBAF**

 The CERES-syntopic 1° (SYN1deg) dataset is recognized as one of the most accurate global radiative energy balance products, particularly for mid-latitude regions. However, its accuracy in high-latitude areas remains highly uncertain(Jia et al., 2016; Jia et al., 2018). Studies have shown that 170 in high-latitude regions, the RMSE of the CERES-SYN1deg exceeds 33.56 Wm<sup>-2</sup>, and the bias is 171 greater than 3.43 Wm<sup>-2</sup>. This reduced accuracy is likely caused by the significant errors in regions covered by ice and snow(Inamdar and Guillevic, 2015). Moreover, several studies have demonstrated that using more accurate cloud parameters can significantly improve its accuracy, indicating that the inaccuracies in the cloud parameters contribute to the observed errors(Kato et al., 2011; Thorsen et al., 2018).

 The CERES-EBAF (datasets, including the CERES-EBAF-TOA and CERES-EBAF-surface 177 radiative fluxes, are also highly accurate global monthly gridded (1°×1°) datasets. In the EBAF products, CERES shortwave and longwave radiative fluxes are adjusted within their measurement uncertainties to ensure that the CERES's long-term global annual average net flux is consistent with long-term ocean heat storage data(Loeb et al., 2019). The EBAF-surface flux calculation utilizes the National Aeronautics Space Administrations' (NASA) Langley-adjusted Fu–Liou radiative transfer model, which incorporates cloud properties retrieved from CERES-moderate resolution imaging spectroradiometer (MODIS), meteorological data from reanalysis systems, and aerosol data from the aerosol assimilation system, and the calculation of the surface irradiance is constrained by the CERES-observed TOA irradiance. Christensen et al. compared various radiative parameter products for the Arctic and found that the CERES-EBAF represents the average level of these products, suggesting that this dataset should be considered a key benchmark for evaluating Arctic surface radiative budgets(Christensen et al., 2016).

# **2.2 Ground-based Observation Datasets**

 Over the past few decades, globally distributed ground-based radiative flux networks have provided extensive observation validation datasets for satellite observations. Compared to other global regions, the Arctic has a sparse distribution of surface radiative flux stations, and most located in





- terrestrial areas. Nevertheless, these ground stations offer reliable reference data for Arctic radiative
- fluxes.
- (1) AmeriFlux
- AmeriFlux is part of the U.S. flux station network, which is jointly managed by the U.S. Department of Energy's National Energy Technology Laboratory (NETL) and the U.S. Department of Agriculture (USDA). It is an atmospheric flux observation network that primarily monitors and quantifies carbon, water, and energy fluxes in terrestrial ecosystems. This network spans various geographical locations and ecosystems in the U.S., including forests, grassland, wetlands, and cropland. AmeriFlux station data have been widely used to evaluate surface radiative fluxes (Chen et al., 2020). In this study, we used data from 18 stations located above 60°N, primarily in northern and western Alaska, covering diverse ecosystem types such as tundra, wetlands, and forests. (2) FluxNet
- FluxNet is one of the world's largest networks for monitoring and quantifying carbon, water, and
- energy fluxes in terrestrial ecosystems. FluxNet includes several stations located above 60°N, and some
- overlap with AmeriFlux. In this study, DSSR data from 13 stations were selected.



**Figure 1. Spatial distribution of 66 ground stations in four radiation flux networks**

(3) GEBA

 The Global Energy Balance Archive (GEBA) is a centralized database that contains measurements of surface energy fluxes worldwide. The GEBA compiles monthly average data for various radiative energy balance fluxes observed at the Earth's surface, including global radiation (total DSSR), diffuse and direct shortwave radiation, surface albedo, reflected shortwave radiation, downwelling and upwelling longwave radiation, net radiation, sensible and latent heat fluxes, ground heat flux, and latent heat of melting. In the Arctic region, the GEBA includes numerous stations, including both ocean





 buoys and land-based observation stations, providing ground-truth data for surface radiation observations in this region(Wild et al., 2017). In this study, data from22 stations collected during 2000–2020 were selected.

(4) PROMICE

 The Programme for Monitoring of the Greenland Ice Sheet (PROMICE) is a project designed to monitor changes in the Greenland Ice Sheet (GrIS). This network covers the western, central, and eastern parts of Greenland, and variables such as surface height changes, snow depth, temperature, humidity, and the impact of global climate change on the ice sheet are monitored (Ahlstrom and Team, 2011). The PROMICE stations are in a variety of ecosystems, including alpine, glacier, and coastal areas and use automated instruments and sensors to measure atmospheric and surface variables at a high frequency (typically hourly), such as the temperature, humidity, air pressure, wind speed, snow depth, and surface height. In this study, data from 14 stations collected during 2000–2020 were selected as the validation data.

(5) Data Processing and Quality Control

 FluxNet and GEBA directly provide monthly mean flux data, while AmeriFlux provides observations every 30 minutes, and PROMICE provides hourly data. To better validate the monthly mean satellite data, a consistent resampling process is required. The 30-minute and hourly data are first averaged to daily values, and then monthly averages are obtained, minimizing the impact of missing values (Roesch et al., 2011). Before aggregating the data into monthly averages, rigorous quality control must be performed(Jiang et al., 2015). In this study, the data quality was first assessed, and the original data with poor quality marks were removed. The data continuity was then checked, and the monthly shortwave radiation values were calculated only when the daily valid data exceeded 3 hours and the monthly valid data exceeded 15 days.

# **2.3 Fusion CF Dataset**

 High-precision CF information is crucial for obtaining accurate GCF-CRKs. However, existing CF datasets are mostly based on single-satellite data, leading to a low accuracy, discontinuous spatiotemporal coverage, and significant spatiotemporal differences between datasets. To address this, we developed a spatiotemporal fusion framework for multiple-satellite CF products, leveraging their complementary strengths of spatiotemporal completeness and accuracy. We produced a high-precision, 246 spatiotemporally complete,  $1^{\circ} \times 1^{\circ}$  monthly average CF dataset for the Arctic region from 2000 to 2020(Liu et al., 2023). This method enhances the accuracy of passive sensor data using a cumulative distribution function matching algorithm with spatiotemporal extension, and then, it employs a Bayesian maximum entropy fusion algorithm to integrate multiple observation datasets with





250 uncertainties. The final fused dataset yields a 10–20% overall reduction in the inconsistencies between 251 active sensor data and ground observations, and yields more significant improvements in 252 snow/ice-covered regions. The fused product has a better consistency with reanalysis and model data

- 253 and maintains high spatiotemporal completeness within the study period and region. The specific data
- 254 can be downloaded from https://doi.org/10.5281/zenodo.

## 255 **3 Principles and Methods**

#### 256 **3.1 Single-layer Cloud Radiative Transfer Model**

 In remote sensing observations, satellites can directly measure the TOA radiative flux, but the DSSR must be retrieved through inversion. Traditionally, to obtain surface radiative parameters, TOA parameters are used to constrain the surface parameter inversion (Kato et al., 2018; Loeb et al., 2018b). For the shortwave radiative flux, the TOA albedo *α<sup>A</sup>* and atmospheric absorption *a* are defined as 261 follows:

$$
\alpha_A = \frac{F_{TOA}^{\dagger}}{F_{TOA}^{\dagger}} \,, \tag{1}
$$

263 
$$
a = \frac{(r_{toA}^{\perp} - r_{toA,all}^{\uparrow}) - (r_{sf,call}^{\perp} - r_{sf,c,all}^{\uparrow})}{r_{toA}^{\perp}}.
$$
 (2)

264 Based on the principle of energy conservation,

265 
$$
\alpha_A + a = 1 - \frac{F_{sfc,all}^{\perp} - F_{sfc,all}^{\dagger}}{F_{\text{TOA}}^{\dagger}} = 1 - a_s, \qquad (3)
$$

 where *α<sup>A</sup>* is the ratio of the reflected energy at the TOA to the total incident energy, and *as* is the surface absorption rate, i.e., the ratio of the energy absorbed at the surface to the total incident energy at the TOA. In this context, *α<sup>A</sup>* can be expressed as a function of *as*, linking the TOA shortwave flux to the surface shortwave flux. Assuming that the surface albedo does not significantly vary with the seasons within a 1°×1° grid, a strong linear relationship exists between *α<sup>A</sup>* and *as*. The slope of this linear relationship depends on the variation in the atmospheric absorption *a* relative to the surface absorption *as*.

273







# 274 **Figure 2. Relationship between the albedo at the top of the atmosphere and the absorption ratio at the**  275 **surface**

276 Analysis of CERES-SYN1deg 1°×1° monthly average data for the Arctic region revealed that 277 there is a strong linear correlation between  $\alpha_A$  and  $\alpha_s$ , with a correlation coefficient ( $R^2$ ) of 0.97 and a root mean square error (RMSE) of 0.016. This linear relationship indicates that TOA SW parameters can effectively constrain DSSR estimation. If the TOA SW and surface radiative parameters and cloud properties are known, the DSSR can be estimated for a given region. For clear-sky conditions, *R*<sup>2</sup> 280 281 improves to 0.984 and the bias is 0.04; whereas for cloudy conditions,  $R^2$  slightly decreases and the bias increases to 0.22. This discrepancy is primarily due to the greater uncertainty introduced by cloud parameter errors in estimating the surface radiative parameters(Liu et al., 2022). Therefore, we propose a method to estimate the DSSR using TOA observations and clear-sky radiative flux while incorporating CF information into the radiative transfer calculations to isolate the sensitivity of the DSSR to the CF among various cloud parameters.

287 Assuming the surface is a Lambertian reflector, the DSSR can be calculated as follows:

288 
$$
F_{sf,call}^{\downarrow} = F_0(\mu_i) + F_m(\mu_i), \tag{4}
$$

289 where  $F_0(\mu_i)$  is the DSSR in the absence of the surface contribution, and the second term accounts for 290 the multiple reflection effects between the atmosphere and the bright surface.  $\mu_i$  is the cosine of the 291 solar zenith angle. When considering the impact of CF,  $F_0(\mu_i)$  is weighted by *f*:

292 
$$
F_0(\mu_i) = f F_{sfc, cld}^{\downarrow} + (1 - f) F_{sfc, clr}^{\downarrow},
$$
 (5)

293 where  $F_{sfc, cld}^{\downarrow}$  is the surface downward radiative flux under cloudy conditions and zero surface albedo, 294 and  $F_{sfc,chr}^{\downarrow}$  is the surface downward radiative flux under clear-sky conditions. According to Liu et al. 295 and Xie et al.,  $F_{sfc, cld}^{\downarrow}$  can be expressed as a function of  $F_{sfc, clr}^{\downarrow}$  (Liu et al., 2011; Xie et al., 2014):

$$
F_{sfc, cld}^{\downarrow} = (1 - \alpha) F_{sfc, clr}^{\downarrow}, \quad (6)
$$

$$
\alpha = \alpha_{cld,0} + a_{cld,0},\tag{7}
$$





298 where  $\alpha_{cld,0}$  is the cloud albedo, and  $\alpha_{cld,0}$  is the cloud absorption rate. The subscript 0 indicates the 299 case with zero surface albedo. Typically, the cloud absorption rate is much smaller than the cloud 300 albedo (Gautier and Landsfeld, 1997; Xie et al., 2014), and thus, it can be neglected for simplification. 301 Consequently,  $F_0(\mu_i)$  can be expressed as

302 
$$
F_0(\mu_i) = (1 - \alpha_{cld,0} f) F_{sf,c,ctr}^{\downarrow}.
$$
 (8)

 To the first order, the cloud albedo is the primary factor that maintains the close relationship between the CF and planetary albedo (or the reflected SW at the TOA), which has been demonstrated in various observation records (Norris and Evan, 2015). To further calculate the cloud albedo, we introduce the concept of the effective cloud albedo(Betts and Viterbo, 2005; Liu et al., 2010).

307 
$$
\alpha_{SRF, cld} = -\frac{F_{ST, call}^{\dagger} - F_{ST, cclr}^{\dagger}}{F_{ST, cclr}^{\dagger}} = 1 - \frac{F_{ST, call}^{\dagger}}{F_{ST, cclr}^{\dagger}}.
$$
 (9)

308 The effective cloud albedo  $\alpha_{SRF, cld}$  is mathematically similar to the surface albedo but is a 309 dimensionless value. Liu et al. have shown that when accounting for multiple reflection effects 310 between clouds and the surface,  $\alpha_{SRF, cld}$  can be approximated as the product of the cloud albedo, 311 surface albedo, and CF(Liu et al., 2011). Thus,

312 **Equation.** (10)

For conditions with  $r_s = 0$ ,  $\alpha_{SRF, cld,0} = 1 - \frac{F_0}{F_0}$ 313 For conditions with  $r_s = 0$ ,  $\alpha_{SRF, cld, 0} = 1 - \frac{r_0}{F_{sfc, cdr}^{\dagger}} = \alpha_{cld, 0} f.$  (11)

314 To compute the effective cloud albedo, both the numerator and denominator of Equation (9) are 315 multiplied by a function of the surface albedo:

$$
\alpha_{SRF, cld} = 1 - \frac{F_{sfc, all}^{\frac{1}{2}} - F_{sfc, all}^{\frac{1}{2}}}{F_{sfc, clr}^{\frac{1}{2}}(1 - r_s)}.
$$
(12)

317 Thus,

$$
(1 - r_s)(1 - \alpha_{SRF,cd})F_{sfc,clr}^{\downarrow} = F_{sfc,all}^{\downarrow} - F_{sfc,all}^{\uparrow}, \tag{13}
$$

 which represents the net SW at the surface. Based on previous analyses, the surface absorption rate *a<sup>s</sup>* can similarly be expressed as a function of the surface net SW. Therefore, the effective cloud albedo can be expressed as a function of the incident shortwave radiation at the TOA and the surface absorption rate:

323 
$$
F_{TOA}^{\downarrow} a_{s} = (1 - r_{s})(1 - \alpha_{SRF, cld}) F_{sfc, clr}^{\downarrow}.
$$
 (14)

324 Considering that  $a_s$  can be modeled as a linear function of the TOA albedo, the corresponding 325 cloud albedo can be computed using TOA observations, the clear-sky surface SW, and the CF.





326 For a Lambertian surface, the influence of the cloud parameters on diffuse radiation is more 327 pronounced under cloudy conditions. When considering multiple reflection effects, the net SW at a 328 surface with a surface albedo *r<sup>s</sup>* is

$$
F_m = F_0 \frac{r_s \alpha_{Acld} f T^2}{1 - r_s \alpha_{Acld} f T^2},\tag{15}
$$

 $330$  where  $T$  is the transmissivity of the atmosphere to diffuse radiation under cloudy conditions, which is

331 dependent on various atmospheric factors such as aerosols, ozone, and water vapor(Huang et al., 2018).

332 For simplification, in this study, we used empirical parameters combined with observational data.

333 
$$
T = \frac{r_{all} - (1 - f) r_{ctr}}{f} = \frac{F_{diff,all}^{\dagger} - (1 - f) F_{diff,ctr}^{\dagger}}{f F_{TOA}^{\dagger}}.
$$
 (16)

 Ultimately, the all-sky DSSR can be expressed as a function of the satellite-observed TOA shortwave radiation, clear-sky DSSR, and CF. In this study, we focused only on the CRE related to CF perturbations. Therefore, based on the partial perturbation approach, CF is the sole user-defined variable in Equation (14), and the other unknown parameters are consistent with the original CERES-SYN1deg data.

#### 339 **3.2 Separation Method for CF Radiation Contribution Based on Observational Data**

 To isolate the sensitivity of radiative flux changes to the CF from observational data, we developed GCF-CRKs. In traditional CRK algorithms, it is assumed that the perturbation in the flux is linearly related to the perturbation itself, and thus, it is necessary to calculate the CRKs for each atmospheric layer individually, which are then summed. In this study, based on the plane-parallel approximation principle, we utilized the full-layer CF. Within the finite difference framework and in conjunction with the CERES-SYN1deg observational data, it is possible to compute the full-layer 346 CF-CRKs.

 According to Thorsen et al., the essence of partial radiative perturbation methods lies in different forms of finite difference approximations. In this study, the factor influencing the radiative parameters is the CF (*f)*. When it changes by *Δf*, according to the finite difference principle, the effect on the 350 radiative flux  $\delta F$  is

$$
\delta F_{\Delta f,C}^p = F(\bar{f} + \Delta f, \bar{c}_1, \dots, \bar{c}_n) - F(\bar{f}, \bar{c}_1, \dots, \bar{c}_n) + \varnothing_C^p(\Delta f),\tag{17}
$$

352 where *F* is the all-sky DSSR, and  $\Delta f$  is the perturbation of the variable relative to its initial climate 353 mean  $\bar{f}$ , i.e.,  $\Delta f = f - \bar{f}$ . The climate mean value refers to the average of all of the data for a specific 354 calendar month (April–September in this study) within the time series. All of the other variables related 355 to the radiative transfer are represented as  $\bar{c}_1, \ldots, \bar{c}_n$ .  $\varphi_c^P(\Delta f)$  is the truncation error of the forward





356 finite difference. The subscript *C* indicates that the flux perturbation is related to the climate monthly

357 mean initial state. To minimize the impacts of temporal and spatial variabilities of the CF on the results,

358 we prefer to calculate the flux perturbations related to the monthly mean values:

359 
$$
\delta F_{\Delta f,M}^p = F(f + \Delta f, c_1, ..., c_n) - F(f, c_1, ..., c_n) + \emptyset_M^p(\Delta f)
$$
 (18)

 where *f* is the monthly mean CF, and the subscript *M* indicates that the flux perturbation is related to the monthly mean baseline state. In this equation, the truncation error is of the same order of magnitude as the perturbation variable itself, meaning that the computed perturbation flux is influenced not only by the perturbation variable but also by the potential decorrelation between the perturbation and non-perturbation variables. To minimize this, a central finite difference approach can be used to improve the magnitude of the order of the accuracy. Thus, backward finite differences are introduced.

366 
$$
\delta F_{\Delta f,M}^b = F(f,c_1,...,c_n) - F(f - \Delta f, c_1,...,c_n) + \emptyset_M^b(\Delta f). \tag{19}
$$

367 Averaging the perturbation values obtained from the two finite difference calculations yields

$$
368 \t\t \delta F_{\Delta f,M} = \frac{[F(f + \Delta f, c_1, \dots, c_n) - F(f, c_1, \dots, c_n)] + [F(f, c_1, \dots, c_n) - F(f - \Delta f, c_1, \dots, c_2)]}{2} + \emptyset_M(\Delta f^2). \t(20)
$$

 While central differences can reduce the impact of the decorrelation between the related variables, the perturbation states *f+Δf* and *f-Δf* may exceed the physical limits of the parameters, making them impractical for radiative transfer calculations. Therefore, a two-step alternative is proposed: when the CF perturbation state is invalid, initially, the monthly climate mean value is used in place of the corresponding monthly average. If the substituted value is still non-physical, it is replaced with the nearest valid CF value within the effective range. Finally, the central difference is applied to compute the radiative perturbation.

 To further simplify the quantification process of the = CRE due to CF perturbations, in this study, we used Thorsen et al.'s method in the CERES-model by replacing the fixed perturbations with the observed variable anomalies. This means normalizing the perturbation effects of the variable on the radiative perturbation to calculate the CRKs. In this concept, the resulting CF-CRKs are a byproduct of the central difference calculations, representing the contribution of a 1% CF change to the DSSR.

$$
K_{\Delta f} = \frac{\delta F_{\Delta f}}{\Delta f}.\tag{21}
$$

382 Using the high-precision fused CF dataset and CERES observational data, GCF-CRKs can be 383 obtained. The computed full-layer CRK, in combination with the fused CF dataset, allows for 384 correction of the biases in the CERES DSSR data.





## **4 Results and Validation**

# **4.1 DSSR Estimated Using the Single-layer Cloud Radiative Transfer Model**

In this study, we used the single-layer cloud radiative transfer model constructed in Section 3.1 to

estimate the DSSR received at the surface under partly cloudy conditions. To verify the accuracy and

applicability of this model, we compared the estimated results with the DSSR provided by the

CERES-SYN dataset.



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391
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# **Figure 3. Scatter plot comparing the DSSR estimated using the single-layer cloud radiative transfer**

#### **model with the CERES-SYN DSSR dataset.**

 Figure 3 displays a scatter plot comparing the grid-point DSSR estimates with CERES-SYN data for the Arctic region. It is evident from the plot that the estimates obtained using our single-layer cloud radiative transfer model have a high degree of consistency with the CERES-SYN DSSR data. Specifically, the *R*²value between the estimates and observations is 0.985, indicating a very strong 398 positive correlation. Moreover, the RMSE is approximately 9.69 W  $\mathrm{m}$ <sup>-2</sup>, which is considered to be a small error in the field of radiative estimation, further confirming the model's accuracy. Additionally, 400 the bias is approximately 5 W  $\mathrm{m}^{-2}$ , indicating that the average deviation between the estimated and CERES-SYN DSSR values is relatively small, which suggests that the model generally provides accurate DSSR estimates. This result demonstrates that using TOA observations, clear-sky surface shortwave radiation, and CF information to estimate the DSSR under all-sky conditions is highly feasible.

 Using more accurate CF information, we corrected the bias in the CERES DSSR data. Ground station observations are often considered to be effective data for validating the accuracy of satellite radiative parameter retrievals (Chen et al., 2020). We compared the estimated DSSR with the CERES-EBAF DSSR and conducted a quantitative evaluation using monthly mean DSSR observations





 from 66 Arctic ground stations. The *R*², RMSE and bias were used as evaluation metrics. Figure 4 shows scatter plots comparing the estimated DSSR with the CERES-EBAF DSSR and ground 411 observations. In Figure 4, each point represents a monthly mean DSSR in a  $1^{\circ} \times 1^{\circ}$  grid bin. The plot shows that our estimated DSSR is more consistent with the ground observations compared to the CERES-EBAF data. Specifically, for the entire Arctic region, the data of the scatter plot of the estimated DSSR versus ground observations (red) have an *R*² value similar to that of the CERES-EBAF 415 versus ground observations (blue). However, the RMSE of the estimated DSSR is 26.3 W  $\mathrm{m}^2$ , which is 416 approximately 2.5 W m<sup>-2</sup> lower than the value of 28.79 W m<sup>-2</sup> for the CERES-EBAF data, which is an improvement of 8.7 %. The bias between the estimated DSSR and ground observations is also reduced 418 by 1.23 W m<sup>-2</sup> compared to that of the CERES-EBAF data. This indicates that when using ground observations as a reference, our estimated DSSR generally has smaller deviations and a better stability. When focusing on GrIS, the *R*² value of our estimated DSSR is slightly higher than that of the 421 CERES-EBAF data, i.e., by 0.008, but the reductions in the RMSE and bias are more significant, i.e., 422 4.53 W m<sup>-2</sup> and 6.89 W m<sup>-2</sup>, respectively. This means the estimate accuracy improved about 11.1 %. English et al. and Huang et al. found that the CERES-EBAF DSSR dataset overestimates the DSSR by 424 approximately 8.86 to 13 W  $m<sup>-2</sup>$  in the Arctic (English et al., 2015; Christensen et al., 2016). The corrected DSSR values obtained in this study significantly improve this overestimation, with more notable improvements in the GrIS.





428 **Figure 4. Scatter plot comparing the estimated DSSR, CERES-EBAF DSSR, and ground observations**

 To further analyze the differences between the estimated DSSR and CERES-EBAF DSSR, we conducted spatiotemporal difference analysis of the two datasets (Figure 5). Temporally, we observed that the estimated DSSR and CERES-EBAF DSSR exhibit a high degree of consistency in terms of their trends and magnitudes. Specifically, the maximum area-weighted average DSSR in the Arctic 433 region occurred in June, with a value of approximately 250 W  $m$ <sup>2</sup>, while the minimum occurred in 434 September, with a value of approximately 78 W m<sup>-2</sup>. Further analysis revealed that during the spring (April–June), our estimated DSSR values are generally lower than the CERES-EBAF observations, and 436 the largest underestimation occurred in April, i.e., approximately 13 W m<sup>-2</sup>. However, from late





- summer to autumn (July–September), the estimated DSSR was slightly higher than the EBAF DSSR,
- 438 and the maximum overestimation occurred in August, with a value of approximately 5 W m<sup>-2</sup>. Spatially,
- the bias between the estimated DSSR and the CERES-EBAF DSSR exhibits significant variation
- across the different geographic locations. In land areas, particularly along the land-sea boundaries and
- certain regions of Greenland, our estimated DSSR exhibits notable underestimation, with biases
- 442 exceeding 10 W m<sup>-2</sup> from April to July. Conversely, in the oceanic regions, especially the open sea, our
- estimated DSSR is slightly higher than the CERES-EBAF DSSR.



 **Figure 5. Spatiotemporal distribution of the difference between the estimated DSSR and CERES-EBAF DSSR.**

 We performed bias attribution analysis using CF data and calculated the spatiotemporal differences between the fused CF dataset and CERES- single scanner footprint (SSF) CF data (Figure 6). From the CF difference map, we observed that there is a high degree of consistency between the regions of underestimation of our estimated DSSR and the areas where the SSF CF is lower than the fused CF, particularly along land edges and in the GrIS. This suggests that the CERES series data underestimates the CF in these areas, leading to overestimation of the DSSR. However, in the ocean areas that where are not perennially covered by sea ice (perennially open waters), the SSF CF significantly higher than the fused CF (indicated by negative values of the fused CF minus the SSF CF in Figure 6), suggesting that the CERES DSSR values in these regions are likely underestimated. In contrast, in the central Arctic Ocean, the fused CF is notably higher than the SSF CF. Given the negative correlation between the CF and DSSR, the estimated DSSR should be lower in this area, which is contrary to our previous findings. Therefore, when using the estimated DSSR, careful consideration should be given to the results for the central Arctic Ocean.







**Figure 6. Spatiotemporal distribution of the difference between the fused CF and CERES-SSF CF.**

# **4.2 Temporal and Spatial Characteristics of GCF-CRKs**

 Figure 7 presents the monthly mean GCF-CRK for the surface SW in different months. A positive value, shown in red, corresponds to radiative heating within the system; while a negative value, shown in blue, represents radiative cooling. Notably, all of the grids of the GCF-CRKs in the Arctic are uniformly negative from April to September, but their magnitudes vary spatially and temporally. Temporally, the surface GCF-CRKs exhibit smaller negative values in April, August, and September, 468 with monthly averages of less than −1 Wm<sup>-2</sup>%<sup>-1</sup>. Conversely, in May, June, and July, the overall mean 469 values exceed −1.5 Wm<sup>-2</sup>%<sup>-1</sup>, indicating that during these summer months, a 1% change in the CF contributes more significantly to the cooling effect on the surface shortwave radiation. Spatially, the GCF-CRKs' values over the oceanic regions are generally lower than those over the land, suggesting that changes in the CF have a greater radiative impact over the land. The most substantial negative values are located over Greenland, particularly in the northern region during May where the kernel exceeds −2.5 Wm-2%-1 . This is associated with intense cyclonic activity in the area.







 **Figure 7 Monthly mean GCF-CRKs from April to September** Over the time series, the GCF-CRK displays a clear temporal pattern, with its absolute value 478 increasing from April to June, peaking in June at −1.3 Wm<sup>-2</sup>%<sup>-1</sup>, followed by a decline toward September. However, the uncertainty is also highest during this season, mainly due to the increased solar radiation at lower latitudes of the Arctic during summer, while higher latitudes still receive relatively low incoming radiation. Additionally, parameters such as CF, TAU, and cloud top pressure (CTP) exhibit significant spatial heterogeneity, leading to considerable spatial variability in the radiative kernel.



# **Figure 8 The monthly average of gridded-based surface cloud radiative kernels (GCF-CRKs)**

By September, the cloud radiative kernel diminishes to approximately −0.4 Wm-2%-1 . This reduction is due to the substantial decrease in the incoming solar radiation, which in turn, lessens the absolute impact of the changes in the cloud parameters. Nevertheless, throughout the time series, there is a noticeable trend of increasing absolute GCF-CRK, particularly during the summer months, 490 with a growth rate of approximately 0.03 Wm<sup>-2</sup>%<sup>-1</sup> per decade. This indicates that the influence of 491 the CF on the surface shortwave radiation is gradually increasing.

 The magnitude of the GCF-CRKs primarily depends on the intensity of the incoming SW radiation at the TOA that is reflected, absorbed, and/or scattered by clouds. To further understand





 the factors influencing the changes in the surface SW GCF-CRKs, we analyzed the temporal and spatial correlation coefficients between the GCF-CRKs and cloud parameters such as the CF, TAU, cloud top/bottom pressure (CTP/CBP), and cloud top/bottom temperature (CTT/CBT). These coefficients measure the strength and direction of the linear relationship between the cloud parameters and the kernels (Table 1).

 Table 1 reveals the occurrence of significant temporal and spatial variabilities in how the different cloud parameters impact the surface GCF-CRKs. Across the entire Arctic region, the CBT plays a dominant role in influencing the kernels. From April to September, the CBT initially increases and then decreases, mirroring the trend of the absolute value of the surface GCF-CRKs. This correlation is particularly strong in the oceanic regions, with a coefficient of 0.5278, which is significantly higher than the correlations with the other cloud parameters (Figure A6). This suggests that the magnitude of the surface GCF-CRKs decreases slightly with increasing height. The positive correlation between the kernels and CTP further supports this conclusion, indicating that as the height increases and the CTP decreases, the magnitude of the surface GCF-CRKs also decreases. This is because less of the SW flux reaches the surface due to minimal atmospheric absorption in the cloud-free layers below the clouds.

 The next most influential cloud parameter for the surface GCF-CRKs is the TAU, as thicker clouds scatter more solar radiation back into space. Over the land, the TAU's influence is predominant among all of the cloud parameters, with a correlation of 0.35, which is particularly noticeable in parts of North America and Asia, while there is a slight negative correlation in Northern Europe (Figure A2). In the oceanic regions, this positive correlation is also evident, as the range and timing of the changes in the surface GCF-CRKs' absolute value closely match those of the TAU.

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- **GCF-CRKs (the absolute values are used for clarity)**

**Table 1: Temporal and spatial correlation coefficients between the cloud parameters and the surface** 

	CF	TAU	<b>CTP</b>	<b>CBP</b>	<b>CTT</b>	CBT
Arctic region	0.0435	0.3308	0.0275	$-0.0573$	0.2247	0.3396
<b>Greenland region</b>	$-0.166$	0.1536	0.03	$-0.0382$	0.0253	0.0203
<b>Land no Greenland</b>	0.0618	0.3504	$-0.109$	$-0.0636$	0.0697	0.2108
Ocean region	0.2005	0.4193	0.1867	0.0759	0.4169	0.5278

519 In Greenland, the surface GCF-CRKs are influenced by both the CF and TAU. Specifically, in the northern region of the GrIS during May, June, and July, when the TAU is higher, the surface 521 GCF-CRKs' absolute value is larger in areas with lower CFs, exceeding −2 Wm<sup>-2</sup>%<sup>-1</sup>. In months with lower TAUs, the CF slightly increases, and the corresponding surface GCF-CRKs' absolute





 value decreases. This indicates the occurrence of positive correlations between the TAU and CTP and the surface GCF-CRKs and a significant negative correlation between the CF and the surface GCF-CRKs. Additionally, the changes in the CBT exhibit a significant correlation with the surface

GCF-CRKs in the oceanic regions.

# **4.3 Comparison with Other Surface SW Radiative Kernels**

 As discussed previously, most published CRK datasets are focused on the TOA. To meaningfully evaluate our proposed surface CRKs, we need a surface CRK dataset that covers the Arctic region from April to September for direct comparison. There is only a very limited number of such datasets that satisfy the requirement and we have found only two other qualified surface CRK datasets: the International Satellite Cloud Climatology Project H datasets CRK (ISCCP-FH CRK) (Zhang et al., 2021) and the surface CTP/CBP CRK provided by Zhou (Zhou-CTP/CBP CRK) (Zhou et al., 2022). In their CRK calculation, the ISCCP-H data are used to produce radiative profile fluxes in 49 individual types of clouds for SW, long wave (LW), their sum, and net at both the TOA and surface (SFC). The product only utilizes daytime observations, and the cloud types demarcated by seven cloud optical depths and seven cloud effective pressure layer bins. The difference between the overcast and clear sky fluxes is the overcast cloud radiative effect, and when it is divided by 100, it becomes the 539 CRK (in Wm<sup>-2 %-1</sup>). Both the TOA and SFC CRKs are directly calculated at a 3-hour resolution on a 110 km equal-area map for 2007, as shown by the 49-bin histogram with the specified τ, CTP, and amount of clouds. For the majority of GCM-related uses, the SFC kernel data are averaged to the 542 monthly (and annual) mean values and regridded to a  $2.5^{\circ}$  longitude  $\times 2.0^{\circ}$  latitude equal-angle map. This ISCCP-FH cloud radiative kernel datasets can be downloaded from https://zenodo.org/record/4677580#.YHDsaDwpCUk.

 The surface Zhou-CTP/CBP CRKs were constructed using the rapid radiative transfer model (RRTM). The standard version of the surface CRKs is a function of the latitude, longitude, month, TAU, and CBP, and the TOA CRKs depend on the latitude, longitude, month, TAU and CTP. Considering that at present, the cloud property histograms created using the climate models are functions of the CTP rather than the CBP, the surface CRKs on the CBP-TAU histograms were converted to CTP-TAU fields using the statistical relationship between the CTP, CBP, and TAU derived from collocated CloudSat and MODIS observations. These CRKs also contain seven TAU bin and seven CTP bin cloud fraction histograms, which are divided according to Zelinka's cloud layer classification. Additionally, they considered the ice and liquid clouds separately, so there are a total of  $7 \times 7 \times 2$  types of clouds for each latitude, longitude, and month of the year. Furthermore, the Zhou-CTP/CBP CRKs have been evaluated using independent data sources, and they have a unique





- advantage in reproducing the climatology and anomalies of cloud radiative effects. These CRKs are
- available online at Zenodo (doi: https://doi.org/10.5281/zenodo.4732640).
- Since our calculated kernels are based on grid-level data for all of the cloud layers, to compare our GCF-CRKs with the ISCCP-FH CRKs and Zhou-CTP/CBP CRKs on a common basis, the two comparison CRKs were mapped on 2-D global maps using the total TAU and CTP in the Arctic. Our calculated CRKs were then resampled to match the spatial resolution of the 2-D ISCCP-FH and Zhou-CTP/CBP CRKs. The resulting analysis involved a total of 12,960 grid cells on a 2.5° longitude  $563 \times 2.0^\circ$  latitude equal-angle map from April to September. To minimize the uncertainties introduced by the other cloud parameters in the CF kernel, the TAU and CTP values used were consistent with those from the CERES-SYN dataset used in this study.



- **Figure 9. Comparison of latitudinal weighted means for the ISCCP-FH CRKs, Zhou-CTP/CBP CRKs, and our GCF-CRKs**
- Figure 9 shows the latitudinally weighted means of the ISCCP-FH CRKs, Zhou-CTP/CBP CRKs, and the GCF-CRKs we calculated in this study. As can be seen from Figure 9, the latitudinal means of all three CRKs are negative, they exhibit similar trends, and the magnitude of the kernels becomes less negative from low to high latitudes. This indicates that the contribution of the clouds to the surface shortwave radiation decreases with increasing latitude. This trend is primarily due to the reduction in the solar shortwave radiation at higher latitudes and the presence of high-altitude ice clouds, which tend to trap energy, causing a warming effect that reduces the cooling impact of clouds on the surface (Ipcc, 2021).







 However, when considering the latitude-weighted mean across the Arctic, our calculated kernels closely match the ISCCP-FH SFC CRKs at lower latitudes (<72°N), with a nearly zero difference. This region is predominantly land, characterized by low CFs and minimal seasonal variations in the cloud parameters. At higher latitudes (>72°N), our calculated kernel resembles the Zhou-CTP CRKs, and the 587 difference between them increases with increasing latitude, reaching a maximum of 0.21 Wm<sup>-2</sup> %<sup>-1</sup>. At high latitudes, the ISCCP-FH SFC CRKs have a smaller negative magnitude than the Zhou-CTP/CBP CRKs and our GCF-CRKs have, and the difference between them and the other two types of kernels 590 increases with increasing latitude, ranging from approximately 0.1 Wm<sup>-2</sup>%<sup>-1</sup> to 0.44 Wm<sup>-2</sup>%<sup>-1</sup>. This difference is particularly notable in regions such as the sea ice melt zones, perennial open waters, and GrIS where the spatial and temporal variations in the terrain and climate lead to significant CRK discrepancies. We also analyzed the temporal uncertainties of the different CRKs. In lower latitude regions, our estimated kernels exhibit the least temporal uncertainty, while in the high-latitude sea ice regions, the temporal uncertainty of our kernels is similar to those of the other types of CRKs. This is largely due to the significant seasonal variations in the kernels.

 The vertical structure of clouds plays a crucial role in radiative processes. Both the ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs consider the radiative properties of the different cloud layers in their construction. To better compare the vertical performances of the various SFC CRKs, we stratified the gridded cloud properties into four pressure layers (surface to 700 hPa, 700–500 hPa, 500–300 hPa, and 300–50 hPa, representing low, middle-low, middle-high, and high clouds, respectively) based on the CERES-SYN stratification standard.

 Figure 7 shows that for the different cloud layers, all three SFC CRKs display similar trends with latitude, and the magnitude of the latitude-weighted mean decreases with increasing latitude (negative values). The GCF-CRKs exhibit little sensitivity to changes in the cloud layer height as we used the monthly climatological averages for each cloud layer in our calculations, which are relatively stable over time. However, the ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs exhibit some fluctuations 608 with the cloud layer height. The ISCCP-FH SFC CRKs change by approximately 0.25  $\text{Wm}^2\%$ <sup>-1</sup>, while 609 the Zhou-CTP/CBP CRKs change by 0.51 Wm<sup>-2</sup>%<sup>-1</sup>. This variation is not monotonic. For example, when the cloud level rises from the low layer to the middle-low layer, the negative magnitude of the Zhou-CTP/CBP CRKs increases, while it decreases when the cloud height increases continually from the middle-low layer to the middle-high layer, returning to a magnitude similar to that of the low clouds. Therefore, compared to the latitudinal changes, the cloud layer variations have a small impact on the radiative kernel estimation.

 We observed an intriguing phenomenon: the similarity between the ISCCP-FH SFC CRKs, Zhou-CTP/CBP CRKs, and GCF-CRKs varies across the different cloud layers. For example, in the

















 **Figure 10. Comparison of latitudinally weighted means for the ISCCP-FH CRKs, Zhou-CTP/CBP CRK,s and GCF-CRKs in the different cloud layers**

 The differences between the ISCCP-FH SFC CRKs, Zhou-CTP/CBP CRKs, and GCF-CRKs exhibit significant spatiotemporal heterogeneity. In the sea ice regions, the GCF-CRKs have a larger magnitude than the other kernels (with negative differences) have, whereas the opposite is true for the land and perennial open water regions. However, Greenland is an exception where our results indicate that the CF has a more pronounced cooling effect on the surface shortwave radiation. This can be attributed to Greenland's year-round ice and snow cover, high altitudes, extreme dryness and cold, strong near-surface static stability, and persistent low-level inversion layers, which prolong the cloud duration and thus have a greater impact on the DSSR. Temporally, during the months of April and September, when the solar insolation is relatively low, the differences between these radiative kernels are smaller. However, during the months with higher solar insolation, the ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs have larger magnitudes than our calculated CRKs have, with differences 653 ranging from 0.3 to 0.5  $Wm^{-2}\%$ <sup>-1</sup> (positive values). In summary, the overall trend shows that the ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs

have latitudinal variation patterns similar to that of our calculated CRKs in the Arctic region, and the





 differences between the various radiative kernels are much smaller than the latitudinal differences within each CRK dataset. This demonstrates that latitude is a key factor influencing the surface cloud radiative kernels. From a spatiotemporal distribution perspective, our calculated CRKs are generally less negative than the ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs in the land regions and more negative in the ocean regions. However, in Greenland, GCF-CRKs consistently have the largest magnitude (in negative terms), indicating that the cloud cover has a stronger cooling effect in this region. For the different cloud layers, the various radiative kernels compared here have a high consistency with our calculated kernels in specific cloud layers, demonstrating the stability of our proposed kernels. As we cannot definitively determine which of the four datasets represents the absolute truth, we treat them as ensemble realizations of the actual climate, and their differences serve as an estimate of the uncertainty in their measurements or datasets (Zhang et al., 2006). A more accurate validation would require more precise experiments, which are beyond the scope of this study.

#### **4.4 Cloud Shortwave Radiative Effects in the Arctic**

 The interaction between the clouds and surface radiative parameters, known as the CRE, directly impacts the radiation budget of the atmosphere-surface system and the associated temperature changes. This interaction plays a critical role in regulating the annual onset of snowmelt and the yearly melting and formation of sea ice in the Arctic. The surface CRE is defined as the difference in the surface radiative flux under cloudy and clear-sky conditions(Cess and Potter, 1987). Accurately quantifying the variations in the surface CRE in the Arctic is of paramount scientific importance for correctly understanding and predicting global warming trends.

 The role of clouds in the Arctic SW budget varies throughout the year due to the highly seasonal variability of the surface albedo and atmospheric conditions. To more accurately quantify the cloud radiative influences, we utilized the GCF-CRKs, combined with CF products derived from multi-source satellite data, to estimate the daytime CRE in the Arctic. Additionally, we quantified the surface radiative flux anomalies caused by changes in the CF. The surface CRE can be calculated using the following equation:

$$
F_{CRE,sfc} = \sum_i f_i \overline{K_{\Delta f,i}},\tag{23}
$$

683 where  $\overline{K_{\Delta f,i}}$  is the climatological monthly mean GCF-CRKs for the *i*th grid cell, and  $f_i$  is the corresponding CF within that grid cell.

 Figure 11 illustrates the estimated CRE averaged from April to September. As shown in Figure 11, the CRE is consistently negative across the Arctic during the entire study period, confirming the cooling effect of the clouds in this region. This finding is consistent with the conclusions of Sledd et al., who demonstrated through satellite observations that compared to clear-sky conditions, clouds reduce





 the average solar absorption over the land and ocean, thereby delaying the increasing trend of the surface solar absorption under all-sky conditions by 20–40% (Sledd and L'ecuyer, 2021). Due to the high latitudes of the Arctic region, the seasonal variation in the solar elevation angle is significant, leading to considerable differences in the intensity of the surface shortwave radiation across the seasons. Consequently, the CRE exhibits pronounced seasonal variability (Sedlar et al., 2010). In months with lower solar insolation, such as April and September, the CRE values are relatively low, with monthly 695 averages of  $42.12 \text{ Wm}^2$  and  $43.87 \text{ Wm}^2$  (both negative), respectively (latitudinally weighted averages). However, during the months of June and July, when the solar insolation is stronger, the monthly 697 average CRE increases to approximately 95 Wm<sup>2</sup> (negative), indicating that the clouds have a stronger cooling effect on the Arctic surface during summer.

 In terms of the spatial distribution, it was found that in addition to the solar zenith angle, the surface albedo is a crucial factor influencing the surface SW CRE. In perennial open water regions, in which the surface albedo is lower than that of sea ice-covered and land areas at the same latitude, the surface SW CRE remains most strongly negative throughout the entire study period. This effect is 703 particularly pronounced in summer, in which the CRE exceeds 144 Wm<sup>-2</sup> (negative). Conversely, the surface albedo over the Greenland Ice Sheet remains high year-round, resulting in smaller shortwave cloud radiative effect values, a feature that becomes even more prominent in August and September, in 706 which the value decreases to approximately  $-20$  Wm<sup>-2</sup>.



**Figure 11. Climatological monthly mean Arctic CRE**

The surface SW CRE is influenced by several cloud parameters, such as the CF, TAU, CTP, and

CTT. In perennial open water areas, the CF remains high throughout the year (>80%), with an annual

variation of approximately 5%. However, during the summer months (June–August), the TAU, CTP,





 and CBP increase, and both the CTT and CBT are strongly correlated with the intensification of the negative CRE trend. In the central Arctic Ocean, the CF exhibits interannual variability of greater than 30%, and the CRE initially increases and then decreases over the course of the year. This trend is regulated not only by the solar elevation angle and surface albedo but also by the TAU, CTP, and CTT. As the duration and angle of the solar insolation increase, the Arctic sea ice melts more extensively. Studies have reported that for every 106 km² reduction in the sea ice area, the annual average absorbed solar 719 radiation in the region above 75–90°N increases by 2.5 W m<sup>-2</sup> to 6 W m<sup>-2</sup> (Hartmann and Ceppi, 2016).This is primarily due to the positive surface albedo feedback induced by the substantial sea ice changes, which further amplifies the absorption of solar radiation. However, the melting sea ice, along with the intensified atmospheric and oceanic circulation, brings more warm and moist air into the Arctic, enhancing cyclonic activity. This results in increased cloudiness, thicker cloud layers, and lower cloud heights (Figures A1–A6). The presence of clouds can introduce a negative cloud optical thickness feedback, thereby reducing the absorption of solar radiation (Goosse et al., 2018).

 This study also compared the CRE estimated using the CRKs with the actual surface CRE calculated from the CERES-EBAF, the after is derived from the differences between the all-sky DSSR and clear-sky DSSR. The two CRE values had highly consistency, with a spatial correlation of 0.84, an 729 RMSE of 12.22 Wm<sup>-2</sup>, and a bias of 1.93 Wm<sup>-2</sup>, which suggest that the surface CRKs can effectively explain the spatial distribution of the surface SW CRE observed in the Arctic. The difference distribution map (Figure 12) reveals that across most of the regions of the Arctic, the error between the CRE estimated using the GCF-CRKs and that estimated using the CERES-EBAF data is within 5 733 Wm<sup>-2</sup>, particularly over land areas, excluding Greenland. However, in Greenland, the CRE intensity estimated using the GCF-CRKs is significantly higher (more negative) than the CRE derived from the CERES-EBAF data. This discrepancy is primarily due to the higher CF in this region, in which our single-layer cloud radiative transfer model yields a higher DSSR value, resulting in more negative GCF-CRKs. This effect is especially pronounced during months with stronger solar insolation (May to July). Based on the accuracy validation conducted earlier using ground station data, we have reason to believe that the original CERES-EBAF data underestimate the sensitivity of the DSSR to the CF in Greenland.

 Additionally, we observed that in the open ocean regions, the CRE estimated using the GCF-CRKs is slightly lower than the CRE derived from the CERES-EBAF data. This is mainly associated with the middle and low level clouds. When large amounts of optically thick middle and low level clouds are present, they can reflect more incoming solar radiation, thereby reducing the DSSR





- that reaches the surface. However, due to the limited observational data available for the oceanic
- regions, further validation work in these areas needs to be conducted in future studies.





 **Figure 12. Spatiotemporal distribution for the surface SW CRE differences. The CRE calculated from the GCF-CRKs minus the CRE derived from the CERES-EBAF DSSR data.**

 To obtain detailed information about the temporal variation in the surface CRE in the Arctic, we employed the Sen–Mann–Kendall trend analysis method to calculate the long-term trends. This method has been widely used in climatology for evaluating changes in climate parameters as it is more robust against individual noise than the least squares method, making it more suitable for analyzing long-term trends (Cai and Yu, 2009; Karlsson and Devasthale, 2018). We calculated the annual latitude-weighted average CRE for both the CRE calculated using the GCF-CRK (red in Figure 13) and the CRE calculated using the CERES-EBAF data (blue in Figure13) from April to September and assessed the 21-year trend at the 95% significance level. The trend analysis clearly shows that the interannual variations in the CRE obtained using both methods exhibit a decreasing trend (negative), indicating that the cloud-induced surface radiative flux anomalies in the Arctic are increasing year by year. However, the magnitude of this influence differs slightly between the two methods. The CRE calculated using the CERES-EBAF data exhibits a trend of −1.64 Wm⁻² per decade, while the trend of the CRE calculated 762 using the GCF-CRKs is gentler, −1.131 Wm<sup>-2</sup> per decade. This suggests that the rate of change in the clouds' influence on the surface radiative fluxes over time may not be as large as previously thought. We also observed that the CRE calculated using the GCF-CRKs generally exhibits smaller negative values than the CRE calculated using the CERES-EBAF data. This discrepancy is primarily due to the detection of a lower CF in the perennial open water areas and many land areas, resulting in higher DSSR values and a greater surface SW CRE. The largest difference between the two 768 (approximately 4 Wm<sup>-2</sup>) occurred in 2010, and the smallest difference  $(0.15 \text{ Wm}^{-2})$  occurred in 2000.







 **Figure 13. Interannual variation trend of the cloud radiative effect (CRE) in the Arctic region (focusing only on daytime, April to September, at the 95% confidence level).**

 In terms of the spatial distribution trends (Figure A7), the overall trend patterns of the CRE calculated using the GCF-CRKs and CERES-EBAF data are consistent. Significant decreasing trends occur in the oceanic regions, while significant increasing trends occur over Baffin Island and parts of the Asian continent. The remaining regions do not exhibit significant trends at the 95% confidence level. We also noticed that in areas with significant trend changes, the CRE calculated using the GCF-CRKs exhibits a much more gradual change than that calculated using the CERES-EBAF data, suggesting that the cooling effect of the clouds on the Arctic DSSR may be overestimated. To achieve the goal of limiting the temperature rise to within 1.5°C above pre-industrial levels, more robust emission reduction measures are necessary to mitigate the impact of the Arctic amplification effect on 781 the surface radiative energy balance.

#### **5 Discussion**

 During the estimation process, there are some certainties that can impact the results. These uncertainties arise from the establishment of the radiative transfer model and the spatiotemporal sensitivity of the radiative kernels, which will be analyzed further in the following sections.

# **5.1 Uncertainty Due to Surface Albedo**

 The surface albedo, defined as the ratio of the solar radiation reflected from the Earth's surface to the solar radiation incident upon it, is a crucial parameter influencing the accuracy of DSSR estimation from TOA observations. The land surface albedo is highly variable both spatially and temporally, making accurate surface albedo data essential for better characterizing the DSSR. In this study, we used the ratio of the outgoing to incident shortwave radiation under clear sky conditions as the surface albedo for the Arctic region. To assess the reliability of this albedo information, we compared it with albedo data from the CERES-EBAF dataset.









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- **Figure 15 Scatter plot comparing the DSSR estimated without considering multiple reflection effects (MRE)**
- 

**and the CERES-SYN1deg DSSR.**







# **5.2 Temporal and Spatial Sensitivity of the Surface SW CF Radiative Kernels**

827 In contrast to existing cloud radiative kernels that use radiation parameters from one year or shorter periods, our study developed a long-time monthly GCF-CRK using the established radiative transfer function. To better understand the temporal and spatial variability of the SFC GCF-CRK, we conducted a detailed sensitivity analysis.



# **Figure 16. Latitude-weighted mean of the deseasonalized grid-based surface cloud fraction cloud radiative**

# **kernels (SFC GCF-CRKs)**

 From the latitude-weighted average values of the GCF-CRKs (Figures 9 and 10) and the climate 835 monthly average distribution maps (Figure 7), it is evident that the SFC GCF-CRKs becomes less 836 negative with increasing latitude (a change of approximately 0.43  $Wm^{29/6-1}$ ). Additionally, there are significant differences in the SW CRE calculated using the SFC GCF-CRKs across various spatial





 locations. For example, in the sea ice areas and perennial open water regions at the same latitude, the 839 difference in the SFC GCF-CRKs ranges from approximately 0.2 to 1.2 Wm<sup>-2</sup>%<sup>-1</sup>, leading to CRE 840 . deviations of greater than 50  $Wm^2$ . This highlights the significant impact of the spatial distribution on 841 the radiative kernels, suggesting that using CRKs and data for only specific regions to represent global values can introduce substantial errors. Furthermore, regarding the uncertainty level, the time series uncertainty within the same latitude 844 band can reach up to 1  $Wm^{2}\%$ <sup>-1</sup>. The regional distribution maps for different months reveal the

 occurrence of considerable seasonal variability in the GCF-CRKs, which is closely related to the seasonal changes in the solar altitude and cloud parameters. To mitigate the impact of seasonal variations, we calculated the deseasonalized time series standard deviation (Figure 16). The standard 848 deviation significantly decreases across different latitude bands, although it still exhibits an increasing 849 trend with latitude. Overall, the values remain below 0.1  $Wm^{-2}\%$ , indicating that seasonality is a crucial factor affecting the CRKs.





 To further investigate the temporal sensitivity of the SFC GCF-CRKs, we calculated the SW CRE using CRKs estimated over varying time periods (Figure 17). In this experiment, we calculated the average SFC GCF-CRKs for 1-year to 21-year cumulative periods, with 1-year intervals, and used these kernels to compute the corresponding CRE. We then compared these results with the CRE obtained from the difference between the all-sky DSSR and clear-sky DSSR.

 The analysis revealed that when using only 1 year of data to estimate the SFC GCF-CRKs, the resulting CRKs are less negative than the average CRKs calculated using data for multiple years, 861 leading to a larger CRE discrepancy (approximately 2.5 Wm<sup>-2</sup>). As the accumulation period increased, particularly beyond 5 years, the annual average CRKs gradually stabilized, and the difference in the CRE decreased (close to zero). Thus, we recommend using data spanning at least 5 years to calculate the radiative kernels in order to minimize errors caused by interannual variability.



## **6 Data Availability**

 The gridded surface cloud fraction radiative kernels (GCF-CRKs) is available on the Zenodo repository at https://doi.org/10.5281/zenodo.13907217 (Liu et al., 2024). The data are provided in netCDF format with five individual files (54.5MB) at 1° spatial resolution and monthly temporal resolution only involved sunlit months from Apr to Sep during 2000-2020. The longitude ranges from 180°W~180°E and the latitude ranges from 60°N~90°N.

#### **7 Conclusions**

 This paper presents a novel and more computationally efficient method for estimating the surface shortwave cloud radiative effect (CRE) in the Arctic region by developing grid-based surface cloud fraction cloud radiative kernels (GCF-CRKs) that incorporate spatiotemporal variability and integrate refined downwelling surface shortwave radiation (DSSR) estimates and high-precision cloud fraction (CF) data. The key contributions of this work are describes below.

## **1. Enhanced DSSR Accuracy**

 By leveraging the correlation between the top-of-atmosphere (TOA) radiative parameters and incorporating the effect of cloud fraction (CF) on surface shortwave radiation under various CF conditions, we derived the DSSR under all-sky conditions as a function model related to the satellite-observed TOA shortwave radiation, clear-sky DSSR, and CF. By incorporating CF information into the estimation process, this method addresses the limitations of traditional approaches 883 which often rely on the radiative transfer calculated under clear (CF=0) or overcast (CF=100%) conditions, thus enhancing the accuracy of the DSSR estimation under partially cloudy conditions (0<CF<100%). For our Arctic-wide validation experiments using data from stations, the root mean square error (RMSE) of our estimated DSSR compared to ground observations decreased by 887 approximately 1.5 Wm<sup>-2</sup>, and the bias decreased by 1.23 Wm<sup>-2</sup> compared to the CERES-EBAF data, means an 8.7% improvement in the accuracy of the estimate. This accuracy improvement is even more 889 pronounced at the Greenland stations, with an RMSE reduction of approximately 4.53  $\text{Wm}^2$ , about  $11.1\%$ , and a bias reduction of approximately 6.89  $Wm-2$ .

#### **2. Development of Spatiotemporal Grid-Based CRKs**

 To quantify cloud-induced surface radiative anomalies more accurately, we developed long-term gridded surface CF radiative kernels (GCF-CRKs) based on the function model related to the CF. By 894 embedding spatiotemporal characteristics directly into the CRKs and using the observation parameters, this method significantly enhances the accuracy and computational efficiency of CRE estimation in the Arctic. Additionally, compared to existing methods, which decompose cloud layers and potentially overlook nonlinear effects, our approach directly calculates the radiative kernels for the entire cloud layer. This avoids the bias associated with the nonlinear effects in the layer-by-layer algorithm.





 Comparisons with other CRKs, including ISCCP-FH SFC CRKs and Zhou-CTP/CBP CRKs, reveal that all of the kernels have negative values with consistent spatiotemporal trends, and the magnitude can be regulated by the cloud optical depth (TAU) and cloud base pressure (CBP). The results confirm that our estimated kernels have better stability and increase the cooling effect of the CF in Greenland 903 by approximately 0.5 Wm<sup>-2</sup>  $%^{-1}$ .

# **3. Improved CRE Estimation**

 By applying the developed GCF-CRKs and integrating high-precision CF data, this study provides a more accurate estimation of the CRE on the Arctic DSSR. We compared these estimates with the surface SW CRE calculated directly from the difference between the all-sky DSSR and clear-sky DSSR in the CERES-EBAF data. The results indicate that the CRE is generally negative in the Arctic, and its intensity is strongly regulated by the solar radiation intensity, surface albedo, and cloud parameters (e.g., the CF, TAU, CTP, and CTT). The spatial distribution of the CRE calculated using the GCF-CRKs is consistent with the CRE obtained using the CERES-EBAF data, but there are important distinctions. The original CERES-EBAF data tend to underestimate the sensitivity of the CF in Greenland and overestimate it in perennial open waters and some land areas due to overestimation of the CF. Furthermore, Sen–Mann–Kendall trend analysis of the long-term data revealed that the surface SW CRE exhibits an increasing trend in the Arctic, suggesting that previous studies may have 916 overestimated the cooling effect of clouds on Arctic surface shortwave radiation by  $0.15-4$  Wm<sup>-2</sup> and 917 overestimated the cooling rate by  $0.5 \text{ Wm}^2$  pre decade.

 In summary, this study successfully demonstrates the development of a more computationally efficient and accurate method for the estimating surface shortwave CRE in the Arctic by integrating high-precision CF data and improved DSSR estimates into spatiotemporal grid-based CRKs. The proposed approach provides significant advancements in our understanding of cloud radiative effects in the Arctic and provides a robust tool for improving climate model predictions and informing climate change mitigation strategies. This finding underscores the need for more robust mitigation strategies to address the impact of Arctic amplification on the surface radiation energy balance. It also highlights the need for continued research to refine the accuracy of radiative kernel methods, particularly in regions with complex cloud dynamics and significant seasonal variability. We found that neglecting spatial differences, seasonal variations, and interannual changes can result in significant temporal and spatial errors. Nonetheless, this study has limitations, including the coarse spatial and temporal resolution of the data and insufficient validation in marine areas. Addressing these limitations will be a focus of future research efforts.





**Appendix A. The spatiotemporal distribution of cloud parameters**



Figure A1. The average monthly cloud fraction (CF) in the Arctic from April to September, 2000-2020



Figure A2. The average monthly cloud optical depth (TAU) in the Arctic from April to September, 2000 -2020



Figure A3. The average monthly cloud top pressure (CTP) in the Arctic from April to September, 2000 -2020







Figure A4. The average monthly cloud base pressure (CBP) in the Arctic from April to September, 2000 -2020



Figure A5. The average monthly cloud top temperature (CTT) in the Arctic from April to September, 2000 -2020



Figure A6. The average monthly cloud base temperature (CBT) in the Arctic from April to September, 2000-2020





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#### The Variation Trend of CRE in Arctic (CRK) The Variation Trend of CRE in Arctic (EBAF) **160°W** 160°W  $\frac{160}{5}$  $160^{\circ}$ E  $\ddot{o}$  $\mathbf 0$  $[Wm^{-2}]$  $-20$  $-15$  $-10$  $-5$  $\mathbf 0$ 5 10 15 20

# 945 **Appendix B. The trend distribution of the shortwave cloud radiative effect**

- 946
- 947 Figure B1. The trend distribution of the shortwave cloud radiative effect (CRE) in the Arctic. The left figure is the
- 948 CRE estimated by grid-specific surface cloud fraction (CF) radiative kernels and CF, and the right figure
- 949 represents the CRE estimated by CERES-EBAF Downwelling surface shortwave radiation differences under
- 950 all-sky and clear-sky. The black area shows significance at the 95% confidence level.

# 951 **Author contributions**

- 952 Xinyan Liu: Conceptualization, Data curation, Methodology, Writing original draft, 953 Investigation, Visualization, Funding acquisition. 954 Tao He: Conceptualization, Methodology, Writing - review & editing, Supervision, Funding
- 955 acquisition.
- 956 Qingxin Wang and Xiongxin Xiao: Methodology, Writing review & editing.
- 957 Yanyan Wang and Shanjun Luo: Data curation.
- 958 Yichuan Ma, Lei Du and Zhaocong Wu: Writing review & editing.

# 959 **Competing interests**

960 The contact author has declared that none of the authors has any competing interests.

# 961 **Disclaimer**

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#### **Acknowledgments**



## **Financial support**

 This work was supported by the Basic Foundation for Scientific Research of the Henan Academy of Sciences (240625003); the Scientific Research Foundation of the Henan Academy of Sciences (241825014); National Natural Science Foundation of China Grant (42090012); National Key Research and Development Program of China (2020YFA0608704); and the Scientific Research Foundation for 981 High-End Talents of the Henan Academy of Sciences (242025005).

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