

# Gap-filled subsurface mooring dataset off Western Australia during 2010-2023

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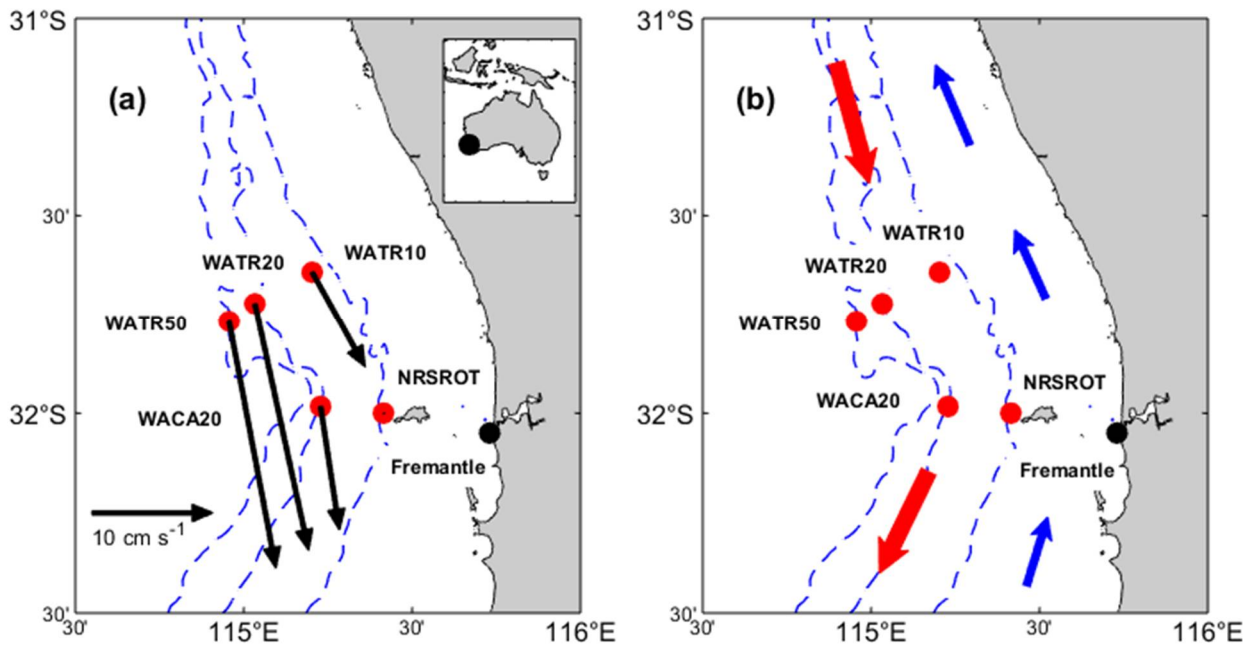
## Abstract

Coastal moorings allow scientists to collect long-term datasets valuable in understanding shelf dynamics, detecting climate variability and changes, and evaluating their impacts on marine ecosystems. However, we often cannot obtain continuous time series data from moorings due to mooring losses or instrument failures. Here, we present an updated version of the 14-year subsurface mooring dataset off the southwest coast of Western Australia (WA) during 2010-2023 (<https://doi.org/10.25919/myac-yx60>, Bui and Feng, 2024). This updated dataset offers continuous daily temperature and current data with a 5-meter vertical resolution, collected from six coastal Integrated Marine Observing System (IMOS) moorings at depths between 48 m and 500 m. Self-Organizing Map (SOM) machine learning technique is applied to fill in the data gaps in the previous version. The data captures the Leeuwin Current variability on the shelf from intraseasonal to interannual time scales. The data also capture the variability of the Capes Current, a wind-driven northward current on the middle shelf. The usage of the in-filled data product is demonstrated by detecting ~~sub-surface marine heatwaves~~extreme temperature events on the Rottnest shelf. The data products can be used to characterise subsurface features of extreme events such as marine heatwaves, and marine cold-spells, influenced by the Leeuwin Current and the wind-driven Capes Current, and to detect ~~long-term~~decadal change signals along the WA coast.

## 1 Introduction

Oceanography moorings are underwater instruments anchored on the sea floor that collect ocean currents, temperature, salinity, and other environmental parameters. Typically, mooring deployment periods range from 4-6 months in shelf waters to up to 18 months in deep oceans (Sloyan et al., 2024). Sustained long-term mooring observations serve as invaluable resources for environmental and climate research and play a vital role in calibrating and validating numerical models (Bailey et al., 2019).

28 The southwest Western Australian mooring array is part of the Integrated Marine Observing System (IMOS) program  
 29 operated by [Commonwealth Scientific and Industrial Research Organisation \(CSIRO\)](#) since 2009, designed to monitor the  
 30 ~~shelf~~ influences of the southward-flowing Leeuwin Current (LC) on the continental shelf (Thompson, 1984; Chen and Feng,  
 31 2021). The anomalous meridional pressure gradient, associated with warm, low-salinity waters from the tropical Pacific Ocean  
 32 entering the Indian Ocean through the Indonesian Archipelago, is the main driver of the LC (Feng and Wijffels, 2002; Godfrey  
 33 and Ridgway, 1985). The strength of the LC varies seasonally, most due to variations in the alongshore winds (Smith et al.,  
 34 1991). During austral summer, strong alongshore northward winds drive northward Capes Current in the mid-inner shelf (Fig.



**Figure 1.** Bathymetry map and mooring locations (red circles) on the Rottneest Shelf. (a) Velocities estimated from measurements, with black arrows representing the mean state of vertically averaged velocities. The 0-200m average is used for the WATR50 mooring. The three dashed lines represent the 50m, 200m, and 500m contours. Black circles indicate the location of the Fremantle tide gauge station. Note that NRSROT consists of two separate moorings. (b) Schematic of shelf currents systems, with red arrows denoting the Leeuwin Current and blue arrows indicating the direction of the wind-driven Capes Current.

35 1). The interannual variability of the LC is often associated with remote signals from the Pacific, ~~with~~ the El Niño–Southern  
 36 Oscillation (ENSO), the current being stronger during La Niña and weaker during El Niño (Feng et al., 2003).

37 The southwest Western Australian mooring array has helped scientists identify the key role of the LC in the development  
 38 of marine heatwaves (MHW) off the coast (Benthuisen et al., 2014; Feng et al., 2013). The mooring data has also been  
 39 employed by Feng et al. (2021) to detect abnormal cooling events off the coast over 2016-2019 (defined as the marine cold  
 40 spell, MCS) when the thermocline depth was elevated due to the weakening of the LC during the El Niño events. The sustained  
 41 IMOS mooring array encompasses six coastal moorings on the Rottneest shelf during 2010-2023, ranging from 50m to 500m

(Fig. 1 and Table 1). The first version of the gridded data from these moorings was published by Chen and Feng (2021) and an extension was published by Bui et al. (2023). Mooring time series are susceptible to missing values due to mooring loss and instrument failure. Strong currents can exert force on the mooring line, causing it to be pushed down in the water column, leaving data gaps near the surface (Sloyan et al., 2023). This paper introduces a new update of the mooring data, filling data gaps with a statistical method.

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**Table 14. Summary of coastal mooring stations. NRSROT: National Reference Station west of the Rottneest Island. WACA: Western Australia Perth Canyon. WATR: Western Australia Two Rocks.**

Station	Latitude; Longitude	Station depth (m)	Temperature				ADCP			
			Instrument	Interval (min)	Mean sensor depths (m)	Data span	Instrument	Interval (min)	Bin numbers x bin size	Data span
NRSROT- Temperature	31.9900°S; 115.3850°E	61	SBE39 <sup>a</sup> SBE37 <sup>b</sup>	5-15	27; 33; 43; 55	1/2010- 5/2023				
NRSROT- ADCP	32.0000°S; 115.4170°E;	48					<a href="#">RDI</a> <a href="#">Workhorse</a> <a href="#">600 kHz<sup>c</sup></a> ; <a href="#">Nortek</a> <a href="#">Signature 500</a> <a href="#">kHz<sup>d</sup></a> <a href="#">Signature</a> <a href="#">500</a>	15	11x4m	8/2011- 5/2023
WACA20	31.9830°S; 115.2280°E	200					<a href="#">Nortek</a> <a href="#">Signature 250</a> <a href="#">kHz<sup>d</sup></a> ; <a href="#">Nortek</a> <a href="#">Continental</a> <a href="#">190</a> <a href="#">kHz<sup>d</sup></a> <a href="#">Signature</a> <a href="#">250</a>	15	41x5m	8/2011- 5/2023
WATR10	31.6433°S; 115.2033°E	100	SBE39 SBE37	5-15	25; 30; 35; 40; 52; 70; 90	1/2010- 5/2023	<a href="#">Nortek</a> <a href="#">Aquadopp</a> <a href="#">400 kHz<sup>d</sup></a> ; <a href="#">Nortek</a> <a href="#">Aquadopp</a> <a href="#">400 kHz;</a>	15	17x5m	8/2011- 5/2023
WATR20	31.7233°S; 115.0333°E	200	SBE39 SBE37	<a href="#">5</a> -15	25; 35; 50; 68;	1/2010- 5/2023	<a href="#">Nortek</a> <a href="#">Continental</a> <a href="#">190 kHz<sup>d</sup></a> ; <a href="#">Nortek</a>	15	25x8m	8/2011- 5/2023

					100; 125; 150; 175		<a href="#">Signature 250 kHz<sup>d</sup>Signature 250</a>			
WATR50	31.7683°S; 114.9567°E	500					<a href="#">RDI Long Ranger 75 kHz<sup>c</sup>; Nortek Signature 55 kHz<sup>d</sup>Signature 55</a>	15	26x20m	8/2011- 5/2023

- [a. SBE39 \(and SBE39 plus\) is a self-contained, autonomous temperature logger-. \(SBE: Sea-Bird Electronics\).](#)
- [b. SBE37 is a single-channel CTD \(Conductivity, Temperature, Depth\) sensor.](#)
- [c. RDI ADCPs \(Acoustic Doppler Current Profiles\) are manufactured by Teledyne RD Instruments and comprise Long Ranger 75 kHz and Workhorse 600 kHz. \(https://www.teledynemarine.com/rdi\).](#)
- [d. Nortek ADCPs are produced by Nortek group, including Signature 55 kHz, Continental 190 kHz, Signature 250 kHz, Aquadopp 400 kHz and Signature 500 kHz. \(https://www.nortekgroup.com\).](#)

Various techniques have been employed to address gaps in mooring datasets. Sprintall et al. (2009) utilized a damped least square fitting method to fill substantial gaps in mooring current time series data, in estimating the Indonesian Throughflow transport. Wang et al. (2015) adopted a combination of data extrapolation, interpolation, and a least square regression model to fill in missing data recorded in the central equatorial Indian Ocean. Cao et al. (2015) [employed harmonic analysis and modal decomposition to isolate the tidal currents for each mode and reconstruct the full-depth tidal currents in the northern South China Sea](#). More recently, Sloyan et al. (2023) experimented with a machine learning approach, the Self-Organizing Map (SOM), to fill data gaps in the East Australian Current mooring array. The choice of method depends on the characteristics of data loss, such as the duration of gaps or the depth range affected, as well as the intended analyses of the data.

SOM is a technique that projects high-dimensional input data onto a two-dimensional output space while preserving the topological structure of the input data (Kohonen, 1982). In SOM, units are organized so that similar units are positioned close to each other, while dissimilar ones are separated in the output data space. This method has found extensive applications in meteorology and oceanography (Liu and Weisberg, 2011), and can perform a range of tasks including clustering, data analysis and visualization, feature extraction, and data interpolation (Lobo, 2009).

Chapman and Charantonis (2017) utilized SOM to reconstruct deep current velocities in the Southern Ocean from [sea surface observations data](#). They used densely observed surface velocities, sea surface height, sea surface temperature from satellites, and sparsely observed deep current velocities from Argo floats to train the [SOM maps](#), ~~then~~. [Then, they](#) derived

dense velocity fields at a depth of 1000m. Their method took advantage of local correlations in the data space to find the smallest ~~Euclidian~~Euclidean distance, weighted by the local correlations, between a vector with missing components in the data space and the SOM units, which increased the accuracy of the filled deep velocities.

This study employs the SOM method to fill in the data gaps in the southwest Western Australia mooring data, following the procedure in Chapman and Charantonis (2017), to generate a gap-free time series dataset. The use of the continuous dataset is demonstrated by examining several extreme temperature events that occurred in the region.

## 2 Data and methods

### 2.1 Moored instrument data

#### 2.1.1 Temperature

The *in situ* temperature dataset is collected using Seabird Electronics instruments, including SBE37, SBE39, and SBE39 plus, with sampling intervals varying between 5 and 15 minutes (Table 1). To ensure data quality, the raw dataset underwent rigorous quality assurance (QA) and quality control (QC) procedures (Morello et al., 2014), utilizing the IMOS Mooring toolbox written in the MATLAB scientific programming language. Only data flagged as 1, indicating good quality, are retained for this analysis. The QC data are concatenated, and then linearly interpolated onto a grid of 5 m vertical resolution and averaged daily (Bui et al., 2023). The unfilled data are available in the CSIRO Data Access Portal (<https://doi.org/10.25919/9gb1-ne81>).

For data completion, we use satellite sea surface temperature (SST) sourced from the Regional Australian Multi-Sensor SST Analysis (RAMSSA) version 1.0 (Beggs et al., 2011), to extend the temperature data at each mooring to the sea surface by linear interpolation. The RAMSSA system combines SST data from infrared and microwave sensors on polar-orbiting satellites with *in situ* measurements to generate daily foundational SST estimates. These estimates show significantly lower standard deviation compared to existing regional SST analyses. The absence of bias correction in the data input into RAMSSA has minimal impact nNorth of 40°S, where RAMSSA is on average within  $\pm 0.07$  °C of other multi-sensor SST analyses. However, south of 40°S, RAMSSA is, on average, 0.09°C to 0.25°C warmer than the bias corrected SST analyses studied (Beggs et al., 2011). These errors are much smaller than those estimated by SOM (Fig. 3). From conductivity-temperature-depth (CTD) profiles in the study region, ocean temperatures vary mostly linearly in the near-surface layer (top 30 m, below the foundation SST depth), so linear interpolation is an acceptable approximation.

When minor gaps occur near the bottom, we use two available data points at the bottom of the vertical temperature profile to extrapolate linearly to the sea bottom.

~~These procedures produce resulting~~ daily 5m-vertical resolution, gridded temperatures at NRSROT, WATR10, and WATR20 moorings, spanning from January 2010 to May 2023, ~~are as~~ presented in Figure. S1.

2.1.2 Velocity

The velocity observations on the IMOS mooring array are recorded by various instruments, including RDI Workhorse 300kHz/600kHz, RDI Long Ranger 75 kHz, Nortek Continental 190 kHz, Nortek Aquadopp 400 kHz, and Nortek Signature 55/250/500 kHz. These instruments typically sample at a 15-minute interval and are mounted in an upward-looking configuration above the bottom (Table 1).

The raw velocity data undergo quality control procedures similar to temperature, followed by concatenation and gridding-interpolation into a daily grid with 5m-vertical resolution, as described by Bui et al. (2023). The velocity dataset comprises observations from five stations: NRSROT, WACA20, WATR10, WATR20, and WATR50. Initially, gaps in the time series are filled using linear interpolation if the temporal gap size is less than 3 days. Subsequently, for each velocity profile, gaps near the surface or bottom are filled using linear extrapolation, akin to the technique applied for temperature data. The meridional and zonal components of the velocity datasets, from August 2011 to May 2023, are presented in [Figures S2 and S3](#), respectively.

For the 2010-2023 period, the percentage of missing mooring data varies from 2% to 16% for temperature, and 12%-33% for velocity at various moorings (Table 2). The largest percentage of missing data is at WATR20, situated in the core of the LC system. The percentages of missing data tend to have high values near the surface and bottom layers of a mooring, due to mooring movement and variations of deployment depth over time (Figs. S4 and S5).

2.2 SOM method

To produce a gap-filled data product, we follow the method described in Chapman and Charantonis (2017),the Iterative Completion by Self-Organising Maps (ITCOMPSON). As discussed briefly in the introduction, this method “completes” a gappy dataset by first using available data to train a self-organising map (SOM), which effectively clusters the data into a set

**Table 2. Percentage (%) of missing temperature and velocity for each mooring for the time period of 2010-2023. [Note that temperature profiles are not available at WACA20 and WATR50.](#)**

	Temperature (%)	Velocity (%)
NRSROT	2	12
WACA20		19
WATR10	7	18
WATR20	16	33
WATR50		21

of ~~N~~ discrete states. These states can be represented as a 2-dimensional map, where neighbouring clusters are more similar to each other than distant clusters. Associated with each cluster is a *reference vector* that approximates the mean of all data assigned to that cluster and a weighted mean of data assigned to neighbouring clusters. After the map is trained, new data can be assigned to existing clusters by comparing the ~~Euclidian~~Euclidean distance in data space between that new data vector and the reference vector of each cluster. The cluster with the smallest ~~Euclidian~~Euclidean distance is known as the Best Matching Unit (BMU). Once a SOM is available, data vectors with missing components are presented sequentially, the ~~Best Matching Unit~~BMU is found, and the missing data is completed (in-filled) by replacing it with the relevant components of the reference vector of the BMU. For full details, see Chapman and Charantonis (2017).

A schematic of using the SOM method to fill gaps in the mooring dataset is shown in Figure- 2. We utilized the Vesanto et al. (2000) SOM toolbox for MATLAB~~atlab~~ 5 in this study. The temperature or velocity data for each station, along with ancillary data, are ~~organized-aggregated~~ into data matrices. Ancillary data include day-of-year and daily Fremantle sea level (Fig. 1). Sea level data are obtained from the University of Hawaii Sea Level Center (<https://uhslc.soest.hawaii.edu/>). Fremantle sea level serves as a proxy for the annual and interannual variations of the Leeuwin Current (Feng et al., 2003). ~~We have tested adding alongshore winds to the data matrices, however, there is no improvement to the results, so wind data is not used in the SOM calculation, as the wind information may have been integrated in the sea level data.~~ The temperature input matrix comprises 4869 rows (representing the number of ~~time-step~~time steps) and 77 columns (reflecting the number of different observations at each time step). Similarly, the velocity input matrix consists of 4292 rows and 361 columns. The temperature/velocity input matrix with missing values is indicated by ~~square-D~~Dataset 1 in Figure- 25. Only fully available profiles in the input matrix are selected as the training data shown by ~~Datasets~~square 2. Consequently, the number of rows in the training data is 3675 for temperature and 1146 for velocity.

The number of units in the SOM ~~must-be~~is specified prior to the training process. According to the literature, a small number of SOM units is useful in capturing the general dynamics/features of the system (Liu and Weisberg, 2011), while a larger number provides more detailed information and is more suitable for data gap filling (Sloyan et al., 2023). In our case, where we aimed to capture detailed information from each SOM unit and the training data contained a large number of rows/profiles, we opted for a larger number of units, 1000 units for the temperature data and 500 units for the velocity data. , we tested three configurations: 500, 750, and 1000 units. However, the final results remained consistent across these tests, leading us to select 1000 units. Similarly, for the velocity data, we evaluated scenarios with 300, 400, and 500 units, ultimately choosing 500 units for velocity due to similar consistency in the results. (Liu and Weisberg, 2005) ~~Following several tests, we have chosen 1000 units for temperature, and 500 for temperature and velocity, respectively. This selection was based on the number of rows in the training data. Using lower numbers of units only had minor effects on the results.~~ We used a batch algorithm to train the SOM (Chapman and Charantonis, 2017). The training phase of SOM was done in two steps: the first rough phase, followed by a fine-tuning phase. In the first step, the neighbourhood radius and learning rate were set to



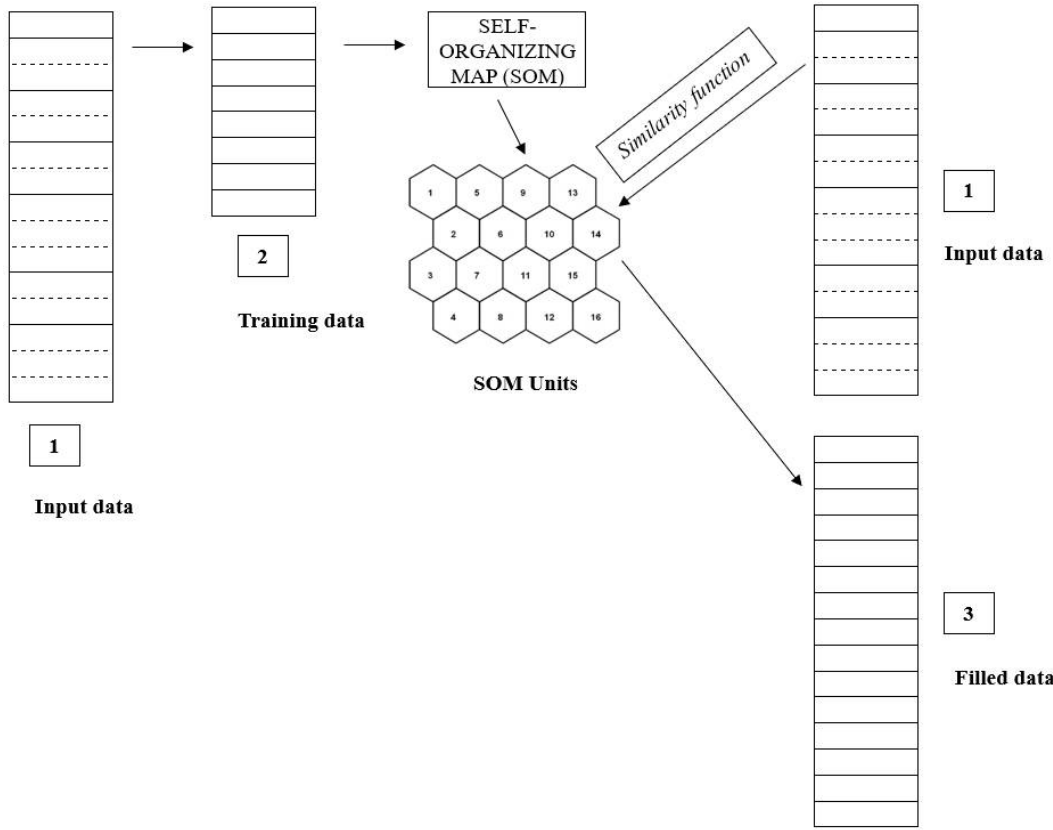


Figure 2. Schematic of the SOM method applied to fill gaps in the mooring temperature and velocity data. Square-Dataset 1 denotes an input data matrix in which rows are daily time vectors, columns are observational variables. In square-Dataset 1, solid lines present full available profiles, while dashed lines show the profiles including missing values. In square-Dataset 2, only full data profiles are selected for training in SOM. In SOM, we pre-define the number of units, for instance 1000 units for temperature, and 500 units for velocity. Each SOM unit contains a reference vector. On the right hand side, each daily input vector in the input data matrix is assigned to each SOM unit using a similarity function defined by Chapman and Charantonis, 2016. Finally, we use the referent vector of each SOM to fill gaps in corresponding daily input vector, shown in square-Dataset 3.

some high values to gain a general orientation of the map, while in the second step, they were set to smaller values to perform only fine adjustments on the SOM unit's position.

One of the important steps was the assignment of each input vector to a specific SOM unit,  $u$ , shown in-on the right-hand side of Figure- 2. Firstly, we estimated the local correlations in the data space, represented by a  $COR$  matrix.

$$COR=1+\sqrt{\sum DAT\_cor^2}, \quad (1)$$

Where  $DAT\_cor$  is a correlation matrix among each normalized input vectors within a SOM unit.

Given with local correlations in the data space, we then calculated the minimum Euclidean distance between a normalized input vector  $X$  and the referent vector of the SOM unit,  $ref^u$  using a similarity function (Chapman and Charantonis, 2017). The similarity function is defined as:

$$sim(X, ref^u) = \sum \left[ \sqrt{(ref^u - X)^2} \right], \quad (2)$$

After determining the most appropriate SOM unit, the missing values in the input vector were extracted from the corresponding referent vector, providing the in-filled data, shown in square Dataset 3 (Fig. 2).

### 2.3 Validation of SOM-based infilling technique

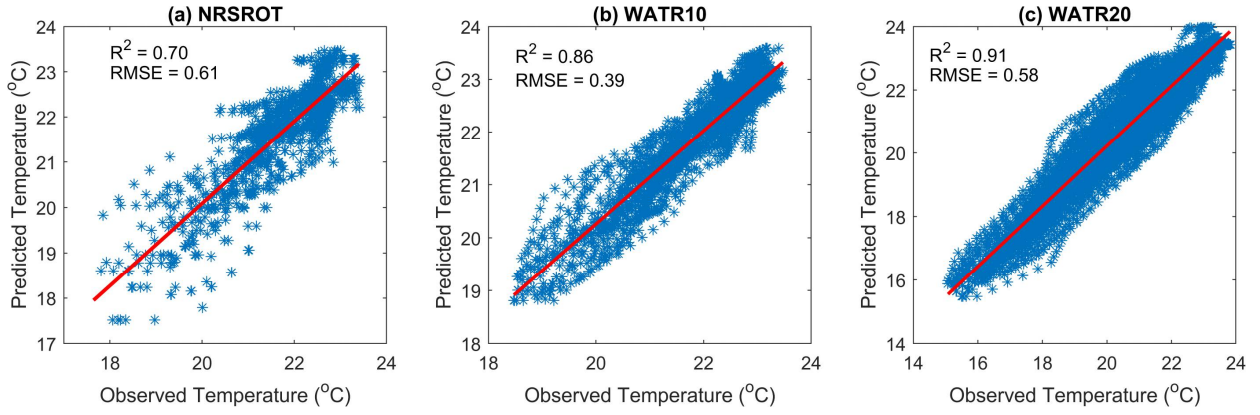
For mooring data, a failed mooring/instrument often results in a block of data being lost until the next deployment. To simulate this effect, we withhold temperature data at one site for 150 days from 1/1/2020 to 30/5/2020, which is roughly the length of one deployment cycle. We utilize temperature data at the other two sites to identify the best matching SOM units, to fill in the withholding data. At NRSROT, the  $R^2$  and the root-mean-square-error (RMSE) between withheld and filled temperature data are 0.70 and 0.61°C, respectively; at WATR10, these values are 0.86 and 0.39°C, and at WATR20, they are 0.91 and 0.58°C, as shown in Figure-3. Furthermore, we evaluate the ability of the SOM to reconstruct extreme temperature patterns. As shown in Figure S6, a comparison of the observed and SOM-predictedSOM-derived temperatures at WATR20 during the validation period (January 1 to May 30, 2020) highlights this capability. Black crosses in both panels denote days identified as marine cold spells (MCS), defined as periods where temperatures fall below the 10th percentile for at least five consecutive days (Hobday et al., 2016). Both the observed and SOM-predictedderived temperatures successfully captured three bottom-intensified MCS events as in observations-occurring near the bottom, demonstrating the method's reliability in reconstructing extreme cold temperature patterns.

To assess potential overfitting, the SOM was tested on a separate period, spanning January 10 to June 8, 2012, with 150 days withheld from training. The resulting root mean square error (RMSE) values were 0.41°C at NRSROT, 0.36°C at WATR10, and 0.55°C at WATR20. If we repeat this process and validate the method against data included in training dataset, we obtain RMSE figures similar to those obtained from withheld data, indicating that the SOM method is not overfitting the dataset.

For a different period spanning from 10/1/2012 to 8/6/2012, with 150 days withheld, the comparisons yield RMSE values of 0.41°C at NRSROT, 0.36°C at WATR10, and 0.55°C at WATR20. If we repeat this process and validate the method against data included in training dataset, we obtain RMSE figures similar to those obtained from withheld data, indicating that the SOM method is not overfitting the dataset. To assess further the accuracy of the SOM method, we compare it with thea simple climatology method over the same validation period, as shown in Figure S7. Overall, the mean vertical temperature profiles from the SOM method are closer to the observed data than those from the climatology method (Fig. S7a-c). As a result,

the residuals from the SOM method, calculated by subtracting the filled SOM values from the observed temperatures, are smaller than the climatological residuals. Additionally, the standard deviation of the observed temperatures is closer to that of the SOM data, while it differs significantly from the climatological values (Fig. S7d-f). These findings suggest that the SOM method is more reliable than the climatology method.

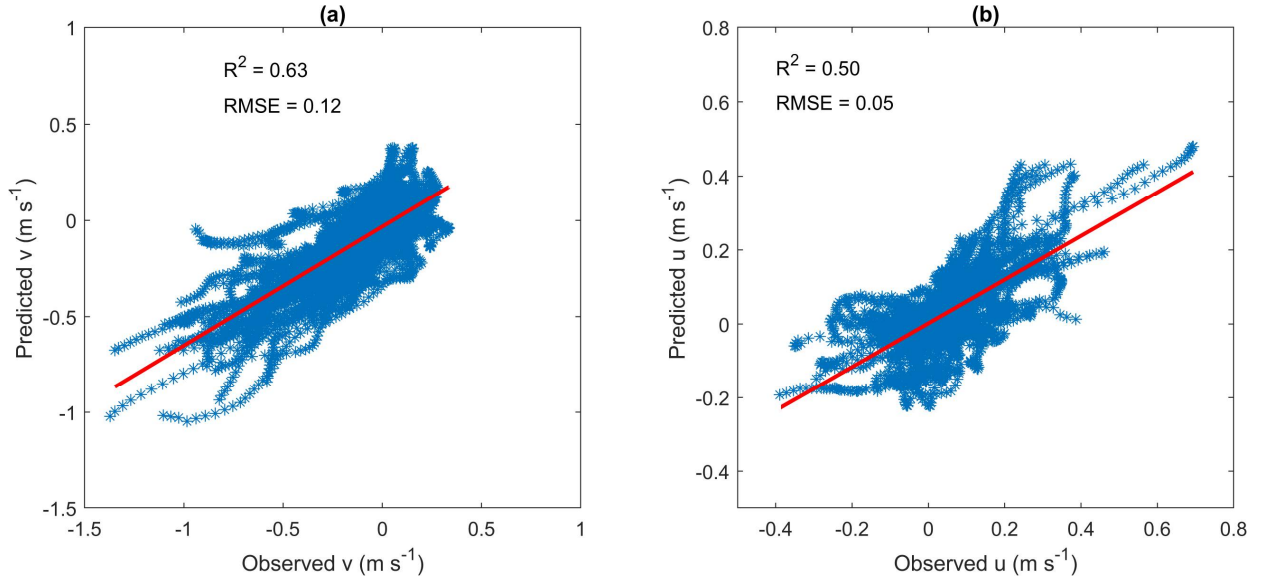
Using the same approach, we examine the accuracy of velocity data gap filling. Specifically, we consider the period from 5/2020 to 8/2020, during which velocity data at WATR50 within the depth range of 70-450m are withheld for 90 days. For the meridional velocity,  $R^2$  and RMSE values between withheld and infill data are 0.63 and  $0.12 \text{ m s}^{-1}$ , respectively (Fig. 4a). For the zonal component, these values are 0.50 and  $0.05 \text{ m s}^{-1}$ , respectively (Fig. 4b). To determine if the SOM method overfits the data, we withheld velocity data from a different period spanning from 5/2012 to 8/2012. The resulting RMSE values for the meridional and zonal velocities are  $0.13$  and  $0.06 \text{ m s}^{-1}$ , respectively. These findings align with the RMSE from the validation data, indicating that the SOM method effectively avoids overfitting.



**Figure 3.** Scatter plots of observed and estimated-SOM-derived temperatures at the three moorings between 1/1/2020 and 30/5/2020, a period of 150 days. The red lines are the line of best-linear fits of the scatter plots.

### 3 Data application

Having confirmed the effectiveness of the SOM method for filling missing values in a mooring dataset, we now employ all non-missing daily data to train the SOM, and then fill the data gaps. The filled temperature data exhibit consistent temporal and spatial variability (Fig. 5). The gap-filled data capture cold temperature events at WATR20 during early 2010 and mid-



**Figure 4.** Scatter plots of observed and estimated-SOM-derived (a) meridional and (b) zonal velocities at WATR50 between 5/2020 and 8/2020, a period of 90 days, and a depth range of 70-450 m. The red lines are the line of best-linear fits.

2016, coinciding with periods when the thermocline shoaled under the influence of El Niños, consistent with our understanding of the dynamics of the Leeuwin Current system (Feng et al., 2021).

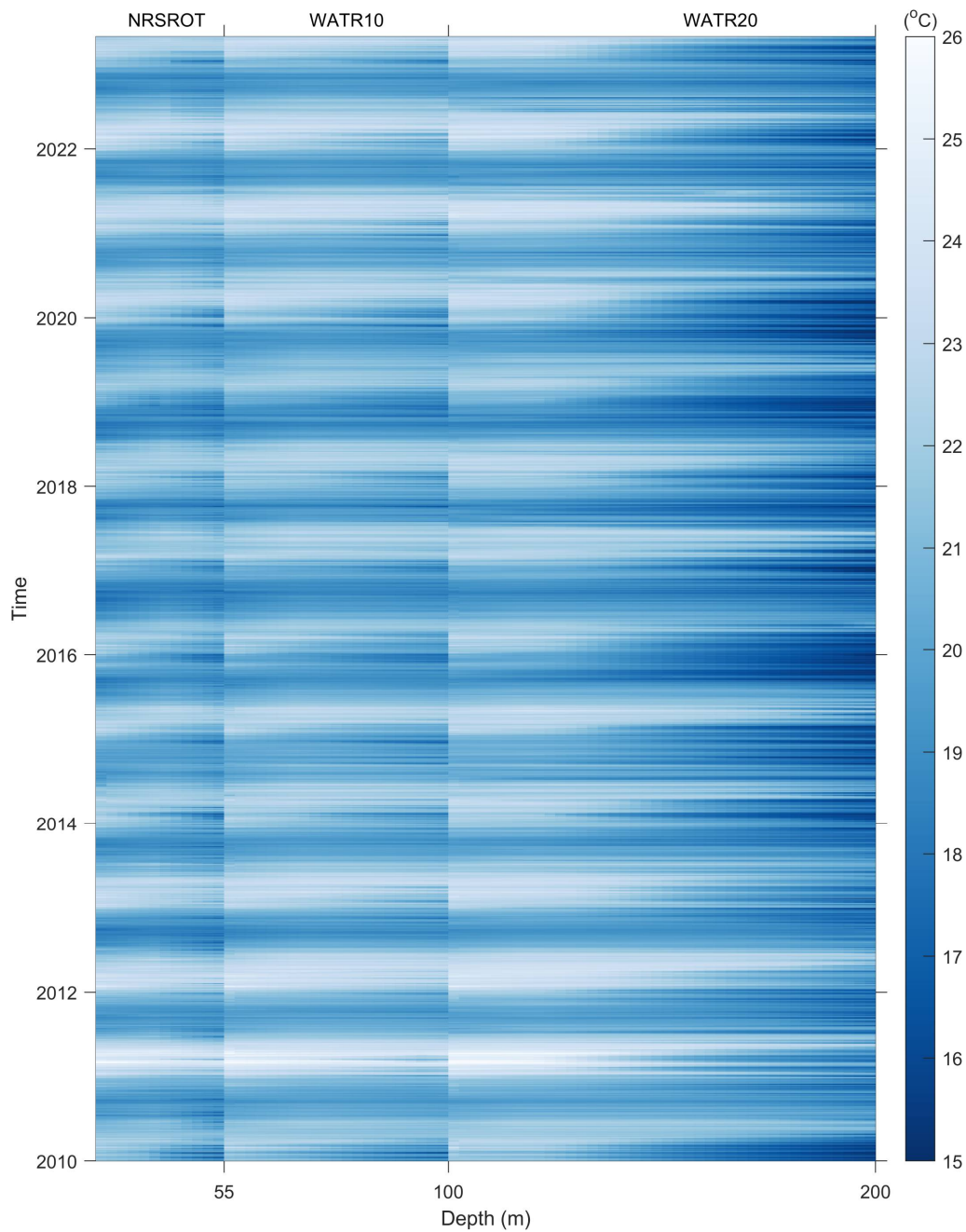
The preprocessing of the input data via interpolation/extrapolation has dual advantages: (1) enhancing the accuracy of reference vectors in the SOM by increasing the number of good data profiles, and (2) reducing the potential for errors near the bottom depth ~~in the input data~~. For example, without extrapolating the temperature data to the bottom, there are blocks of anomalous warm biases near the bottom depth in the ~~filled-SOM-derived~~ data (Fig. S4S8).

Figure 6 compares the consistency between observed and ~~gap~~-filled temperature time series at three specific depths. The filled temperatures (shown in red lines) exhibit temperature variance similar to those of the observed time series. For example, at a depth of 95m at WATR10 towards the end of 2011, ~~the filled temperature is anomalously warm, reflecting the enhanced Leeuwin Current system during a La Niña period (indicated by the red line rising above the black dashed line) the filled temperature is anomalously warm, reflecting the strengthened Leeuwin Current system during a La Niña period (Feng et al., 2013), as shown by the red line rising above the black dashed line.~~ Another example, at a depth of 190m at WATR20, during the beginning of 2010 and ~~in the~~ winter of 2016, the filled temperature was cooler than normal (indicated by the red line below the black dashed line) due to the shoaling of the thermocline towards the surface during El Niño episodes.

Continuous temperature time series are crucial for detecting subsurface marine heatwaves (MHWs) or marine cold spells (MCSs) that significantly affect marine ecosystems (Smale et al., 2019). Figure 7 shows the mean intensity of detected MHW or MCS events at WATR20 based on daily gap-filled temperatures. ~~The definition of each MHW or MCS event is based on Hobday et al. (2016). An MHW (MCS) event is classified as a thermal event when its temperature exceeds the 90<sup>th</sup> percentile threshold (or falls below the 10<sup>th</sup> percentile threshold) for at least 5 days. Additionally, two consecutive events occurring within a temporal gap of less than two days are considered a single combined event. This plot is performed using MATLAB code for MHW/MCS detections (Zhao and Marin, 2019).~~ Following the intense MHWs during 2011-2013 (Fig. 7a), MCSs occurred from 2016 to 2020, contributing to the recovery of impacted marine ecosystems (Fig. 7b). Many of the events are subsurface or bottom intensified, which are less detectable from ocean surface based on satellite data alone.

To highlight the role of data products in detecting subsurface marine heatwaves (MHWs), we examine several representative cases at three specific depths of different moorings: NRSROT-40m, WATR10-80m, and WATR20-100m (Fig. 8). We also analyze the meridional component of velocity at these depths to explore the role of ocean currents in contributing to MHWs. A MHW at 40m depth at NRSROT lasted for 9 days in September 2020, with a maximum intensity of 1.5°C, and was classified as moderate strength (Category I) MHW (Fig. 8a). During this period, the current was directed southward (Fig. 8b). A MHW at 100m depth at WATR10 lasted for a relatively longer duration of 20 days in September 2014, with a maximum intensity of 1.9°C, and was classified as strong (Category II) MHW. Although peak current occurred during the MHW event, it led to the peak temperature anomaly by 9 days (Fig. 8d). A MHW at 100m depth at WATR20 began on 13 August 2022, and lasted for 10 days with a maximum intensity of 1.4°C. Unlike the other events, the peak current led to the MHW timeframe,

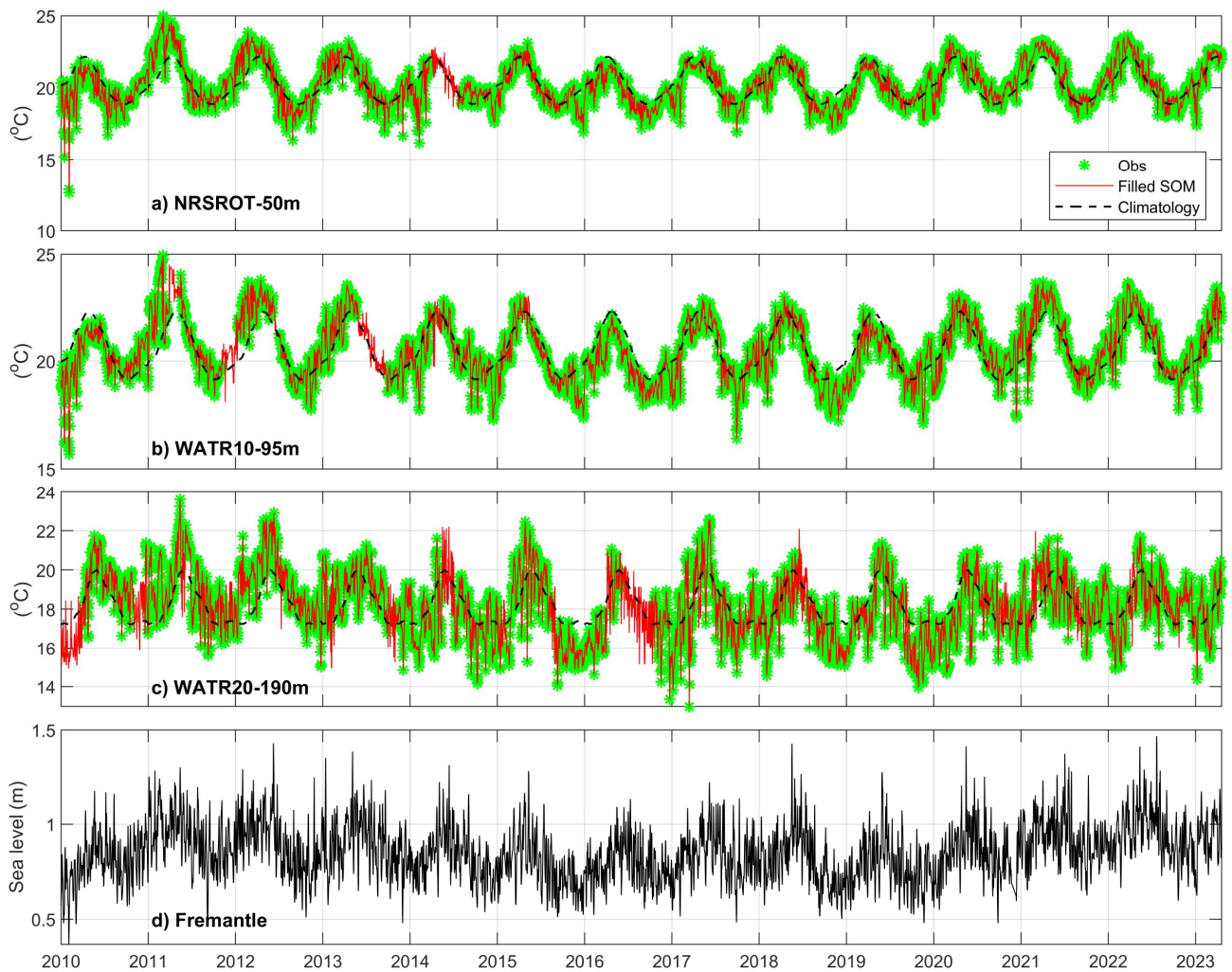
specifically on 10 August- 2022. These observations suggest that strong southward currents often coincide with or precede MHWs by several days. Further research is needed to clarify the impact of the Leeuwin Current in driving subsurface MHWs on the Rottneest Shelf. -In addition, we zoomed in on the ~~we compared the observed and~~ SOM-filled temperatures from January to July 2011 ~~at three moorings to assess the role of datasets in identifying subsurface marine heatwaves (MHWs) when there was a two-month gap at the WATR10 mooring (Fig. S9). Despite these data gaps (Fig. S9e), the~~ The gap-filled temperatures at WATR10 (Fig. S9d) enabled us to detect the ~~accurately reconstructed the~~ MHW events across the water column ~~spanning the entire water column, highlighting the SOM's effectiveness in recovering extreme warm temperature patterns.~~



**Figure 5. Data matrix of daily gridded, 5m resolution gap-filled temperatures for NRSROT, WATR10 and WATR20. The x axis shows the depth ranges of each moorings, while y axis presents time period from Jan 2010 to May 2023. Note that 0m follows directly after preceding mooring. The SST data are derived from the Regional Australian Multi-Sensor SST Analysis (RAMSSA) version 1.0. [White spaces indicate missing observations.](#)**

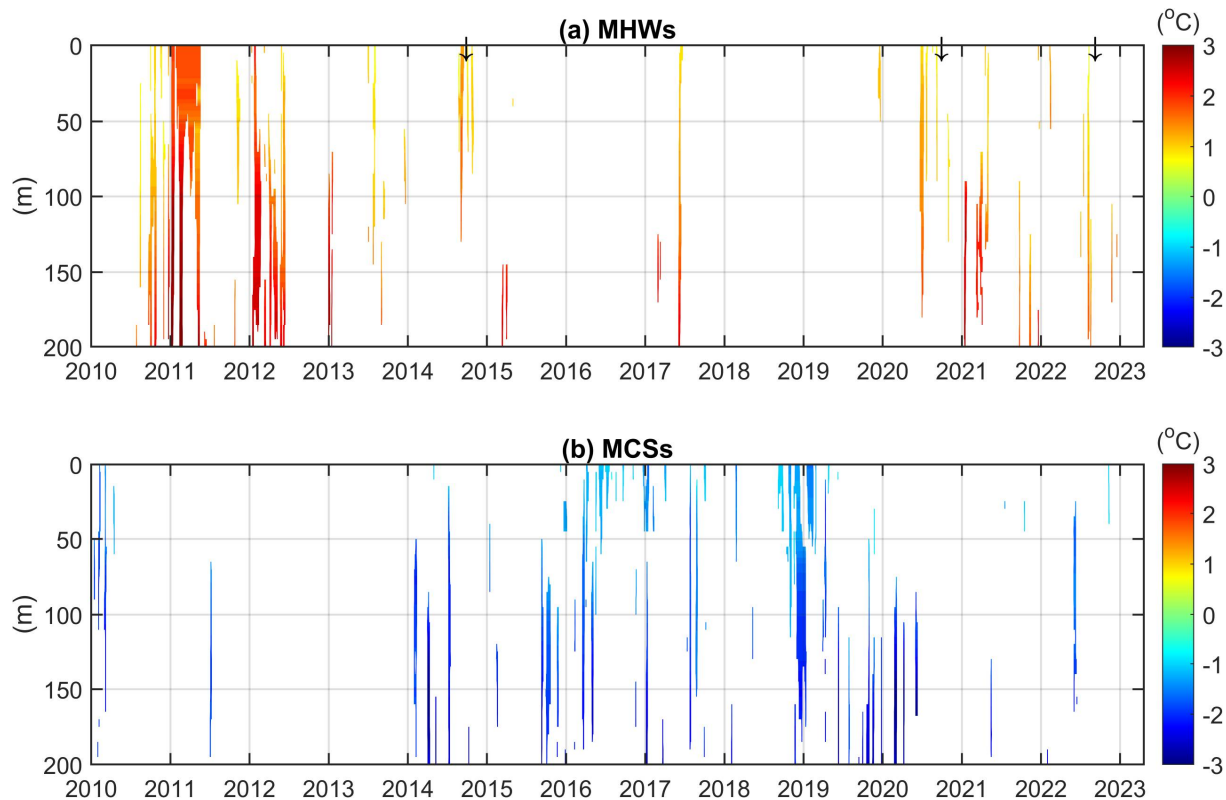
[is needed to clarify the underlying mechanisms.](#)





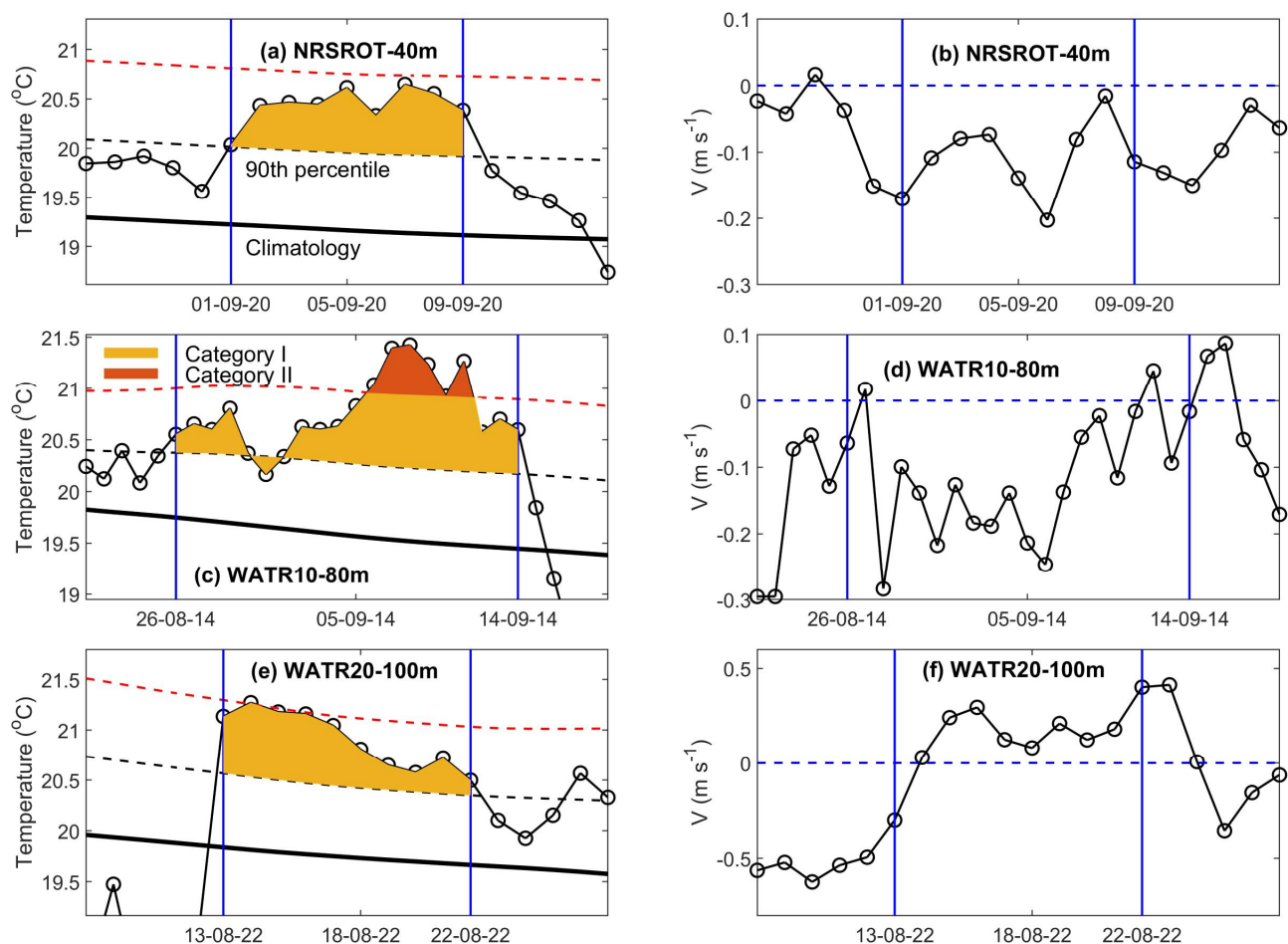
**Figure 6. Comparison of observed and gap-filled temperature timeseries for a) NRSROT at 50m, b) WATR10 at 95m and c) WATR20 at 190m. The black dashed lines show daily climatological timeseries at corresponding depths. The climatological values are estimated from gap-filled data. [The bottom panel shows Fremantle sea level timeseries.](#)**





**Figure 7.** Mean intensity for individual (a) MHW and (b) MCS events at WATR20. Estimation is based on daily gap-filled temperature. The definition of each event follows Hobday et al. (2016). This plot is performed using [Matlab/MATLAB](#) code (Zhao and Marin, 2019). The threshold temperature in identifying a MHW or a MCS is set at the 90<sup>th</sup> and 10<sup>th</sup> percentile, respectively. Three arrows in (a) denote times of MHW events shown in Figure 8.

282  
283



**Figure 8.** Left panels: Examples of marine heatwaves at NRSROT-40m (a), WATR10-80m (c), and WATR20-100m (e). Categories are moderate (yellow – category I) and strong (red – category II), as defined by Hobday et. al., 2018. In (a), (c), (e), the dashed red lines are estimated as twice the 90<sup>th</sup> percentile difference from the mean climatology value. Right panels: Meridional component of the current velocity at the same time and depth as MHWs shown in the corresponding left panels. In all panels, vertical blue lines indicate the time frame of each MHW event.

Overall, the gap-filled velocity data are consistent with temporal periods of data gaps both at the mooring location itself and at and the adjacent mooring sites (Figs. S5-S10 – S8-S13). The observed mean vertical profiles agree well with those derived from the filled data (Fig. S127), indicating that the SOM method reconstructed accurately the intricate vertical structure of the LC system.

The LC flows along the shelf break, making velocities measured at WATR20 and WACA20 suitable for characterizing its primary features. From the v-component data, the maximum mean currents recorded at WATR20 and WACA20 are -0.25

290 m s<sup>-1</sup>, and -0.12 m s<sup>-1</sup>, respectively (Fig. [S7-S12d](#), b). Furthermore, the depths corresponding to these maximum values at the  
291 two stations are 80m, and 100m, respectively. It can be inferred that the LC decelerates and deepens as it flows from WATR20  
292 to WACA20. The irregular topography around the head of Perth Canyon may contribute to this disturbance (Fig. 1).

#### 293 **4 Data availability**

294 The outcome of this research yields the in-filled data product, which is available at <https://doi.org/10.25919/myac-yx60>  
295 (Bui and Feng, 2024). The product comprises continuous daily-5m resolution temperature and current variables (Table [23](#)).  
296 All data products are available as NetCDF files. In addition to main parameters such as temperature and current, we provide  
297 quality control flags that indicate the original data sources. Specifically, we use seven flags for SOM-filled temperatures and  
298 four flags for SOM-filled currents, as detailed in Table [23](#).

299 We provide direct links to all datasets used in this study:

- The unfilled gridded data- <https://doi.org/10.25919/9gb1-ne81> (Bui et al., 2023);
- Satellite sea surface temperature from the Regional Australian Multi-Sensor SST Analysis (RAMSSA)- <https://portal.aodn.org.au> (Beggs et al., 2011);
- Fremantle sea level from the University of Hawaii Sea Level Center - <https://uhslc.soest.hawaii.edu>.

**Table 23. Variables included in the in-filled data product.**

Parameter	Variable name	Units	Description
Time	TIME	<a href="#">Days</a>	An array containing time information (-days since 1950-01-01 00:00:00 UTC).
Depth	DEPTH	Meters (m)	An array containing depth levels.
Longitude	LONGITUDE	°E	
Latitude	LATITUDE	°N	
Temperature	TEMP	°C	A matrix containing temperatures over the entire record for whole water column.
Temperature_quality control	TEMP_quality_control		A matrix containing flag values that indicate the original temperature data. 1: Observed temperature 2: SST 3: Interpolated temperature near surface 4: Extrapolated temperature near bottom 5: SOM filled temperature near surface 6: SOM filled temperature in sensor range 7: SOM filled temperature near bottom
U velocity	UCUR	m s <sup>-1</sup> (true east)	A matrix containing current data over the entire record for whole water column.
V velocity	VCUR	m s <sup>-1</sup> (true north)	
Current_quality_control	UCUR_quality_control VCUR_quality_control		A matrix containing flag values that indicate the original current data. 1: Observed current 2: Extrapolated current near surface 3: Extrapolated current near bottom 4: SOM filled current

## 304 5 Code availability

305 We provide scripts in MATLAB to download and plot the data products. These scripts are available online (Bui and  
306 Feng, 2024), and are available under a Creative Commons Attribution 4.0 International license (CC BY 4.0).

## 307 6 Summary and discussion

308 In this research, we have employed a SOM-based method to fill significant temperature and velocity measurement gaps  
309 from a mooring array on the Rottnest shelf off southwest Western Australia that monitors the Leeuwin Current and associated  
310 shelf processes. We use daily temperature records from 3 moorings of approximately 134.5 years, and nearly 13 years of daily  
311 current velocity records from 5 moorings, in conjunction with daily SST and coastal sea level at Fremantle, to train SOM.  
312 Because this is a relatively small mooring array, we pre-process observational data using interpolation and extrapolation to  
313 have enough non-missing daily data profiles to train SOM. Evaluated with withholding data, the RMSE for temperature  
314 estimations at the 3 moorings are 0.61°C at NRSROT, 0.39°C at WATR10, and 0.58°C at WATR20, respectively. The RMSE  
315 for the meridional (alongshore) and zonal (cross-shore) velocities are 0.1 m s<sup>-1</sup> and 0.05 m s<sup>-1</sup>. In addition, the data pre-  
316 processing brings better consistency between the observed and gap-filled data.

317 Since the strength of the LC is largely also influenced by local winds, it is necessary to have evaluated the impact of  
318 including local winds during SOM training. Figure S14 presents the observed and reconstructed temperatures at the three  
319 moorings between January 1, 2020, and May 30, 2020, with local winds incorporated into the SOM training process. Compared  
320 to the case where local winds were excluded (Fig. 3), we found that including local winds resulted in a lower RMSE at  
321 NRSROT but a higher RMSE at WATR20. Overall, the differences were minimal. Local winds are important for the seasonal  
322 climatology of the Leeuwin Current, however, on interannual and intraseasonal time scales, the Leeuwin Current is more  
323 influenced by remotely forced coastal Kelvin waves, as reflected in coastal sea level variations (Feng et al. 2003; Marshall and  
324 Hendon, 2014). Therefore, we conclude that incorporating The effects of local winds may also have been integrated into the  
325 sea level variations in SOM training has a negligible impact on the results.

326 Does the SOM method capture the non-linear phenomena in the study area? SOM is an unsupervised learning method  
327 capable of capturing non-linear processes in the training data. However, as a statistical approach, it relies on enough realizations  
328 in the training dataset to properly capture the nonlinearity. Liu and Weisberg (2005) showed that the SOM method, unlike the  
329 linear empirical orthogonal functions (EOF), was able to reveal asymmetric features in the Florida Current system, such as  
330 variations in current strength and coastal jet location. However, as a statistical approach, it relies on enough realizations in the  
331 training dataset to properly capture the nonlinearity. In the Rottnest shelf region, several factors contribute to the non-linear  
332 variability in both temperature and velocity fields. Mesoscale eddies can stem from the instability of the Leeuwin Current.  
333 Intense land-sea breezes during summer amplify near-inertial currents (Mihanović et al., 2016). Additionally, the strong shear  
334 zone between the Capes Current and the Leeuwin Current in summer, as well as interactions between the strengthening of the

LC and the Perth Canyon in winter, can generate sub-mesoscale eddies (Cosoli et al., 2020). SOM may well capture the mesoscale processes in the LC. Due to their randomness, however, these non-linear submesoscale processes may not be fully captured in daily velocities compared to daily temperatures. This is reflected in the lower R-squared values for velocities compared to temperatures (Figs 3, 4).

Our continuous daily data products reveal that numerous MHW and MCS events occur sub-surface, which are undetectable while using altimetry data (Fig. 7). We also find that intense MHW events are frequently related to strong southward currents at the same depth (Fig. 8). However, the role of advection temperature due to shelf/slope LC current or warm-core eddies remains unclear. Future mooring observations are needed to better understand the characteristics of MHWs and MCSs, as well as the factors driving extreme temperatures.

Addressing small gaps in the mooring data appears to be a crucial step before training SOM. We have tried two other options: assigning missing values as zeros or replacing them with climatological values derived from the original data. We have experimented with these two options with an iterative approach (e.g. Sloyan et al. 2023) but found that the filled temperature time series exhibits some inconsistency, such as a block of constant values or temperature inversions. Our option of pre-processing the observational data by filling small gaps increases the number of good profiles for training, e.g., 75% of temperature profiles are gap-free. The method can be easily applied to fill data gaps in shelf mooring arrays with small gaps in the vertical so that little errors are introduced from linear extrapolation. For complex mooring systems with enough redundancy, the Iterative Completion Self-Organizing Maps (ITCOMPSOM) method outlined in Sloyan et al. (2023) could be more useful.

We have provided examples that highlight the advantages of using filled mooring data for end-users. By utilizing this filled data, climatological products are free from noisy data, ensuring the information is of high quality. The continuous daily temperature time series are essential for characterizing sub-surface marine heatwaves and cold spells on the Rottneest shelf, which can last from days to weeks. Furthermore, the gap-filled velocity time series from the mooring array allows researchers to estimate episodic cross-shore and along-shore processes and volume transport on the Rottneest shelf, offering valuable insights into the volume transport dynamics of the Leeuwin Current and Capes Current. These mooring data products, when combined with other observational platforms such as the IMOS glider program and surface radar observations, can be integrated into ocean-climate models to improve the accuracy of climate marine predictions for Western Australia.

## 7 Supplement

The supplement related to this article is available online at: <https://doi.org/10.25919/myac-yx60>.

## 365 8 Author contributions

366 MF conceptualized and designed the study. TB and MF performed the study, with SOM source codes provided by CC.  
367 TB processed the data, produced the figures and first draft of the manuscript and associated data products; and MF and CC  
368 reviewed and edited the manuscript.

## 369 9 Competing interests

370 The contact author has declared that none of the authors has competing interests.

## 371 10 Disclaimer

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