#### Point-by-Point Response

We acknowledged the referee's comments. In this point-by-point response, we reproduced the comments (black font), gave our responses (blue font), and highlighted the revisions in new version of the paper in *Italics*. The point-by point responses are below.

Main Comments: The Authors certainly have the promise of a very exciting data set that I would like to see published. Unfortunately, there are some major issues with this paper that mean it should be rejected, although if corrected could be resubmitted to this journal possibly.

Reply: Thank you for your valuable comments. Based on the subsequent comments, we have revised the entire manuscript:

- (1) Based on comments 1 and 5, we have uploaded all the data that has undergone quality control according to the IOOS standard. The data is stored in the format of Argo data and can now be downloaded at https://doi.org/10.57760/sciencedb.11996.
- (2) Based on comments 2, we have removed some interpretations of the dataset that are explicitly excluded by the aims of the journal.
- (3) Based on comment 3, 6 and 7, we have adjusted the structure of the manuscript and included relevant references and necessary details about the vehicles themselves and the motivation of data collection.
- (4) Based on comments 4, we have utilized 'CMOcean\_balance' as the colormap to modify the colors of all figures to maintain consistency in the visual style of the manuscript and ensure color accessibility for individuals with color blindness.
- 1. Comment: The data itself as found at the link in the abstract are unusable and seem to be incomplete. The data set spoken about in this paper needs to be completely detailed and metadata provided. There are international best practices for what to include as glider data metadata e.g. oceangliders.org. The data provided here are not even close to that and so are not really usable by the scientific community.

Reply: Following your suggestions, we have uploaded all the data stored in the

format of NC, and can now be downloaded at https://doi.org/10.57760/science db.11996.

Comment: The journal's aims specifically exclude the interpretation of the dataset
which I believe the authors begin to do. While it is exciting to see what can be
learned from such a data set it is best left for another paper (which I hope the authors
write).

Reply: Following your valuable suggestions, we restructured the introduction part. Our UG and AUV experiments are designed to investigate mesoscale eddies, therefore, we also provided the scientific background in this manuscript.

3. Comment: The paper needs a restructure so that the motivations of the data collection are in the introduction. These need to be properly referenced.

Reply: We have adjusted the structure of the manuscript and included relevant references about the motivation of data collection.

In the introduction part, we have added the related descriptions,

"Attributed to the positively track, AUVs and UGs become more and more important tools in exploring marine environment over last two decades, due to the advantages of low cost, long-duration, controllability and reusability. Our group has collected dense UGs and AUVs observations across MEs. UGs adjust buoyancy to generate gliding motion through water columns by a pair of wings, and hybrid underwater gliders have been developed since 2004 (Bachmayer et al., 2004; Caffaz et al., 2010). UG Many international products of UGs were operated, such as "Seaglider" (Eriksen et al., 2001), "Spray" (Sherman et al., 2001), "Slocum" (Webb et al., 2001), "Deepglider" (Osse and Eriksen, 2007), "SeaExplorer". UGs' product companies and related information are listed in Table 2. UGs moves in a sawtooth trajectory at a slow speed of 0.3 m/s, while AUVs are propeller-driven, acting as sawtooth and drifting mode at the maximum speed of 1 m/s (Hobson et al., 2012). It takes around 8/3 days for a UG/AUV to pass a quasi-steady eddy with mean radius of ME (100 km) in SCS. Kinds of sensors, such as, conductivity-temperature-depth(CTD), GPS are installed on the UGs and AUVs to measure marine environment. Hence, UGs and AUVs have been

successfully used in detecting strongly varying features in some marginal seas, such as estimation of trends of Gulf Stream (Todd and Ren, 2023), the water mass exchanges between Bay of Bengal and Arabian Sea (Rainville et al., 2022). We reported UGs experiments since 2014 (Qiu et al., 2015), and made AUV experiments since 2018 (Huang et al., 2019; Qiu et al., 2020). Here, we present 9-year (2014-2022) AUVs and UGs datasets in SCS, and try to show their potential abilities in detecting the evolutions of MEs and the associated submesoscale processes."

4. Comment: The colouring of all figures should be reviewed with colourblind folks in mind. E.g. Figure 1 could have the SLA in grey scale.

Reply: We have utilized 'CMOcean\_balance' as the colormap to modify the colors of all figures to maintain consistency in the visual style of the manuscript and ensure color accessibility for individuals with color blindness.

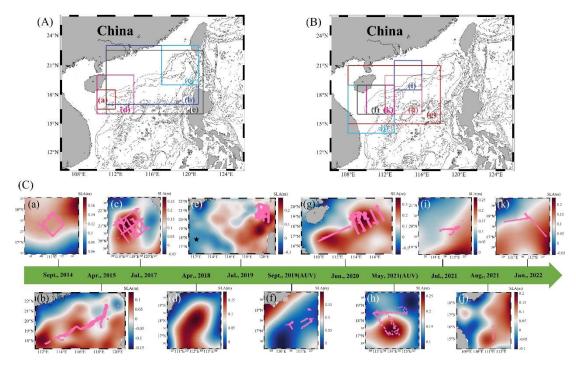


Figure 1. Underwater glider (UG) and autonomous underwater vehicle (AUV) observation sites. (A) observation area for subplots (a)-(e); (B) area for subplots (f)-(j). The grey lines in (A) and (B) are the water depth. (a)-(j) Observation stations (pink dots) with sea level anomaly (SLA, shading colors). The observation times are (a) September, 2014; (b) April, 2015; (c) July, 2017; (d) April, 2018; (e) July, 2019; (f) September, 2019; (g) June, 2020; (h) May, 2021; (i) July, 2021; (j) August, 2021; and (k) June, 2022.

5. Comment: QA/QC of this data set should be undertaken as per international standard where it exists – e.g. IOOS. That could be taken further and local knowledge applied as per 3.1, but choices of acceptable salinity ranges etc. should be justified (referenced).

Reply: We have performed quality control on the data in accordance with IOOS's publication, "Manual for Quality Control of Temperature and Salinity Data Observations from Gliders," and have conducted the following tests:

"Before investigating oceanic phenomena, we did data quality control following standard of integrated ocean observing system (IOOS). The quality control for UG (https://repository.oceanbestpractices.org/handle/11329/289?show=full) includes 9 steps: (1) Timing/Gap Test: Test determines that the profile has been received within the expected time window and has the correct time stamp; (2) Syntax Test: Ensures the structural integrity of data messages (3) Location Test: Test if the reported physical location (latitude and longitude) is within the reasonable range determined by the operator. (4) Gross Range Test: Ensure that the data points do not exceed the minimum/maximum output range of the sensor; (5) Pressure Test: Test if the pressure records increase monotonically with depth, sorted the vertical depth values and removed any duplicate depth values; (6) Climatology Test: Test if the data points are within the seasonal expectation range; (7) Spike Test: Test if the data points exceed the selected threshold compared to adjacent data points, excluded the data with temperature/salinity larger than 35 °C/35 psu; (8) Rate of Change Test: Test if the rate of change in the time series exceeds the threshold determined by the operator; (9) Flat Line Test: Test for continuously repeated observations of the same value, which may be the result of sensor or data collection platform failure. After that a natural neighbored interpolation is utilized to the temperature and salinity to 1-m vertical resolution data."

6. Comment: The vehicles themselves can be referenced – other works have previously described them.

Reply: We have added relevant references describing the vehicles.

"UGs adjust buoyancy to generate gliding motion through water columns by a pair

of wings, and hybrid underwater gliders have been developed since 2004 (Bachmayer et al., 2004; Caffaz et al., 2010). UG Many international products of UGs were operated, such as "Seaglider" (Eriksen et al., 2001), "Spray" (Sherman et al., 2001), "Slocum" (Webb et al., 2001), "Deepglider" (Osse and Eriksen, 2007), "SeaExplorer". UGs' product companies and related information are listed in Table 2. UGs moves in a sawtooth trajectory at a slow speed of 0.3 m/s, while AUVs are propeller-driven, acting as sawtooth and drifting mode at the maximum speed of 1 m/s (Hobson et al., 2012). It takes around 8/3 days for a UG/AUV to pass a quasisteady eddy with mean radius of ME (100 km) in SCS. Kinds of sensors, such as, conductivity-temperature-depth (CTD), GPS are installed on the UGs and AUVs to measure marine environment."

7. Comment: Important detail about collection of the data are missing, these could include:

Reply: The deploying time, installed sensors, and diving depths of UGs/AUVs experiment were shown in Table 3. More detailed information, including vehicle serial number, waypoints, matching time, latitude, and longitude is stored in the data with \*.NC format.

(1) Vehicle name/serial number

Reply: We have provided the time, latitude, longitude in the new datasets.

(2) Deployment location and recovery

Reply: We have provided the time, latitude, longitude in the new datasets.

(3) Waypoints

Reply: We have provided the time and location (latitude, longitude) in the new datasets.

(4) Any challenges during missions e.g. malfunctioning sensors, bad/false bottom hits, requirements or challenges for vehicle course

Reply: We have added the challenges in the conclusion parts,

"During the mission, we met some challenges: (1) under strong background current, UGs and AUVs get disturbed and cannot follow the customized routes; (2) Under bad weather, the it's difficult for piloting team to deploy and recovery UGs and AUVs; (3) data receiving capacity depends on the satellite transmission capacity. If both the bio-chemistry and CTD data are included, the data resolution have to be lowered. These challenges require piloting team and oceanographer to work together."

#### (5) Accumulation of biofouling

Reply: As our deploying positions are in open sea, seldom biofouling are found on the UGs and AUVs. The UG recovery could support this (Figure below).

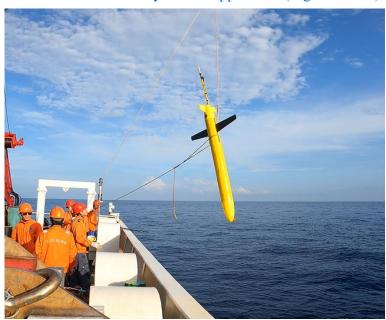


Figure. Underwater glider recovery on March. 30th, 2024.

#### (6) Power management strategies that may affect any of the data

Reply: The battery life may influence the endurance of UG and AUV, but don't influence the data quality. Only if under very low voltage, the CTD and GPS may not work. During the underwater glider works, we keep watching its status as shown in Figure below. If it works under normal status, we will click the diving menu, otherwise (e.g., low voltage), we will recover it. Therefore, the power did not affect the data in previous missions.

- (7) Any other notes from the piloting team
- Reply: See comments (4).
- (8) All oceanographic data need a matching time, latitude, and longitude. How the location underwater was determined needs to be noted, and any interpolation

needs to be explained fully. Any derived values (e.g. density) also need to be described. All units must be provided.

Reply: We have provided the time, latitude, longitude in the new datasets, and the derived values of density has described in the text.

## "3.3 Density derived from temperature and salinity

The value of seawater density  $(\rho, in kg/m^3)$  can be calculated based on temperature  $(T in {}^{\circ}C)$ , salinity (S in psu), and pressure (P in dbar). The UNESCO formula provides a simplified approach to estimate seawater density as follows:

$$\rho(S, T, P) = \frac{\rho_0(S, T)}{1 - \frac{P}{K(S, T, P)}}$$
(2a)

$$\rho_0(S,T) = \rho_{sw}(T) + (b_0 + b_1 T_{68} + b_2 T_{68}^2 + b_3 T_{68}^3 + b_4 T_{68}^4)S + (c_0 + c_1 T_{68} + c_2 T_{68}^2)S\sqrt{S} + d_0 S^2$$
(2b)

$$\rho_{sw}(T) = a_0 + a_1 T_{68} + a_2 T_{68}^2 + a_3 T_{68}^3 + a_4 T_{68}^4 + a_5 T_{68}^5$$
 (2c)

$$T_{68} = T \times 1.00024 \tag{2d}$$

where K(S,T,P) is secant bulk modulus,  $a_0$  and others are coefficients. This formula accounts for the haline and thermal contraction of seawater. The detailed method is related to https://unesdoc.unesco.org/ark:/48223/pf0000188170."

(9) Sampling intervals should be noted.

Reply: The initial position has been provided in the new datasets.

(10) Very importantly these are AUTONOMOUS underwater vehicles and AUTONOMOUS underwater gliders not "automatic". This must be corrected throughout. The authors need to take care to use the correct words so that their work fits appropriately within the greater body of knowledge and can be searched.

Reply: We have appropriately used the words "AUTONOMOUS" and "AUTOMATIC" based on the specific context of the manuscript.

(11) Table 3 indicates that there are data channels (chlorophyll, dissolved oxygen etc.) that are not evident in the downloadable data files, those data should be made available or mention of them removed.

Reply: Following your suggestions, we have deleted the data (chlorophyll, dissolved oxygen, etc.) to ensure that the manuscript's description is consistent with the uploaded data.

| 1              | A High Dense Temperature-Salinity Dataset Observed by  |
|----------------|--|
| 2              | Automatic Autonomous Underwater Vehicles toward Mesoscale  |
| 3              | eddies' Evolutions and Associated Submesoscale Processes in  |
| 4              | South China Sea  |
| 5              | Chunhua Qiu <sup>1,2</sup> , Zhenyang Du <sup>1,2</sup> , <del>Jiancheng Yu<sup>3</sup>, Huabin Mao<sup>4</sup>,</del> Haibo Tang <sup>1,2</sup> , Zhenhui Yi <sup>1,2</sup> ,   |
| 6              | Jiawei Qiao <sup>1,2</sup> , Dongxiao Wang <sup>1,2,*</sup> , Xiaoming Zhai <sup>35</sup> , <del>Yeqiang Shu</del> <sup>4</sup> <u>Wenbo Wang<sup>1,2</sup></u>  |
| 7<br>8<br>9    | 1. School of Marine Sciences, State Key Laboratory of Environmental Adaptability for Industrial Products, Sun Yat-Sen University, and Southern Marine Science and Engineering Guangdong Laboratory (Zhuhai), Zhuhai, China |
| 10<br>11       | 2. Guangdong Provincial Key Laboratory of Marine Resources and Coastal Engineering, School of<br>Marine Sciences, Sun Yat-sen University, Guangzhou 510275, China  |
| 12<br>13       | 3. State Key Laboratory of Robotics, Shenyang Institute of Automation, Chinese Academy of Sciences, Shenyang 110016, China   |
| 14<br>15       | 4. State Key Laboratory of Tropical Oceanography, South China Sea Institute of Oceanology, Chinese Academy of Sciences, Guangzhou, China   |
| 16<br>17<br>18 | 53. Centre for Ocean and Atmospheric Sciences, School of Environmental Sciences, University of East Anglia, Norwich, UK  |
| 19             |  |
| 20             | Corresponding author:  |
| 21             | Dongxiao Wang  |
| 22             | School of Marine Sciences,   |
| 23             | Sun Yat-sen University   |
| 24             | Email: dxwang@mail.sysu.edu.cn   |
| 25             |  |
| 26             |  |

**Abstract**. Marginal seas are usually fulfilled with strongly varying mesoscale eddies (MEs), which evolutions plays vital roles in regulating global oceanic energy equilibrium, triggering subemesoscale processes with strong vertical velocity, and inducing high biogeochemistry transport. But the temporal evolutions of MEs and submesoscale processes with several kilometers' resolutions are difficult to be measured by traditional observations with passive working mode. The automatic underwater gliders (AUGUGs) and vehicles (AUVs) positively observe oceanic motion, and could provide us spatiotemporal synchronization information for strongly varying MEs. Here, we present a 9-year high dense dataset of AUVs/AUGUGs observations in 2014-2022 in the South China Sea (SCS) can be downloaded https://doi.org/10.57760/sciencedb.11996 (Qiu et al., 2024b). Totally, 9 AUGUG and 2 AUV cruise experiments were conducted, and 50 AUGUGs (2 AUVs) equipment were deployed with zonal and temporal resolutions of < 7 km and <6 hour. It covers the area of eddy's birth, propagation, and dissipation, presenting us the most complete data to investigate the evolution of MEs at different life stages. 40% of them reach resolutions < 1 km and < 1 hour, which provides us the dynamic characteristics of submesoscale instabilities across and along front at the eddy edge. This dataset has potential in improving the forecast accuracy in physical and biogeochemistry numerical model. Much more aggressive field investigation programs will be promoted by the NSFC in future.

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48 Keywords: <u>Automatic Autonomous</u> Underwater Vehicles; Mesoscale eddies; 49 submesoscale processes; South China Sea

## 1. Background

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Evolutions of mesoscale eddies (MEs), with high geostrophic straining, favors the generation of submesoscale processes with several kilometers' spatial resolution (McWilliam, 2016), and requires high-accuracy, spatiotemporal synchronization and dense observations. Marginal seas (such as, Gulf of Mexico, South China Sea, Mediterranean) are usually fulfilled with multi-scale oceanic motions, i.e. boundary current, mesoscale eddie MEs (MEs; Rossby number  $R_o = U/fL \approx 0.1$ ), and smaller scale processes ( $R_o > 1$ ). MEs, with spatial scale of 50–300 km and temporal scale of several weeks to months, play vital roles in the transport of matter and energy (Chelton et al., 2007; Morrow et al., 2004). They are numerous in the global ocean and also in the tropical marginal sea of South China Sea (SCS; Chen et al., 2011; Wang et al., 2003; Xiu et al., 2010). They easily generate by obtaining kinetic energy from large-scale current, and easily dissipate to submeso- or smaller- scale processes at the slope region via shear and baroclinic instabilities (Oey, 1995; Okkonen et al., 2003). Observation plats for MEs include ship-cruise, satellite, Argo float, mooring, drifters, automaticautonomous unmanned vehicle (AUV), and automatic underwater gliders (AUGUG), etc. These plats have been utilized to detect variations of MEs in SCS (Table 1). Ship-cruise observations are the most traditional methods to investigate the MEs' general structures (Wang et al., 1987; Xu et al., 19961997), but difficult to track their spatiotemporal evolutions. Satellite data provide wide surface information of MEs (i.e., temporal and spatial scales; Chelton et al., 2011) and air-sea interactions have been revealed (Ni et al., 2021). Southwest of Taiwan Islands, northwest of Luzon Islands, Xisha Islands region, and east of Vietnam are the four main eddy birth pools (Hwang et al., 2000; Wang et al., 2003; Nan et al., 2011). After birth, MEs move westward, southwestward, or northwestward under the control of the first-baroclinic Rossby wave (Lin et al., 2007; Xiu et al., 2010; Chen et al., 2011). Since 2002, a large number of Argos have been deployed, providing routine measurements to describe

vertical structures of MEs (He et al., 2018; Table 1). The spatiotemporal resolutions of

Argo profiles are approximately 100 km and 10 days, which is difficult to capture the

Table 1. Observation studies of ME in SCS. ME: mesoscale eddies; SCS: South China Sea

| Ship<br>Observation                     | Dale, 1956   | Cool pool near Vietnam  |
|---|--|---|
| (CTD Station)                           | Wang et al., 1987  | Warm eddy near southwestern of Taiwan Islands   |
|   | Xu et al.,<br>1996/1997;                                       | Northwest of Luzon Islands, named Luzon cold eddy   |
|   | Li et al., 1998  | A warm eddy in northeast of NSCS  |
|   | Chu et al., 1998   | An eddy pair in central of SCS.   |
|   | Fang et al., 2002  | Vietnam warm eddy   |
| Satellite<br>Observations<br>(sea level | Hwang et al., 2000;<br>Wang et al., 2003;<br>Nan et al., 2011a | Topex/Poseidon altimeter data, 94 cold eddy, 124 warm eddy. Southwest of Taiwan Islands, northwest of Luzon Islands, East of Vietnam. |
| anomaly;<br>velocity)                   | Lin et al., 2007;<br>Chen et al., 2011;<br>Xiu et al., 2010    | Radius, life cycle, tracking, seasonal and interannual variations of mesoscale eddies   |
|   | He et al., 2016  | The role of ENSO on interannual variation in Luzon<br>Strait mesoscale eddies   |
|   | He et al., 2019  | MEs' influence on Chl-a   |
| Argo;                                   | Li et al., 2022  | Vertical tilt of Mesoscale eddy   |
| Mooring                                 | He et al., 2018  | Reconstruction data combine altimeter and Argos, revisit the three-dimensional structures of ME                                       |
|   | Zhang et al., 2017   | By using mooring array, investigate eddy looping from Luzon Strait  |

Attributed to the positively track, AUVs and AUGUGs become more and more important tools in exploring marine environment over last two decades, due to the advantages of low cost, long-duration, controllability and reusability. Our group has collected dense UGs and AUVs observations across MEs. UGs adjust buoyancy to generate gliding motion through water columns by a pair of wings, and hybrid underwater gliders have been developed since 2004 (Bachmayer et al., 2004; Caffaz et al., 2010). Many international products of AUGUGs were operated, such as "Seaglider" (Eriksen et al., 2001), "Spray" (Sherman et al., 2001), "Slocum" (Webb et al., 2001), "Deepglider" (Osse and Eriksen, 2007), "SeaExplorer". Their UGs' product companies and related information are listed in Table 2. UGs moves in a sawtooth trajectory at a

slow speed of 0.3 m/s, while AUVs are propeller-driven, acting as sawtooth and drifting mode at the maximum speed of 1 m/s (Hobson et al., 2012). It takes around 8/3 days for a UG/AUV to pass a quasi-steady eddy with mean radius of ME (100 km) in SCS. Kinds of sensors, such as, conductivity-temperature-depth(CTD), GPS are installed on the UGs and AUVs to measure marine environment. Hence, Multi-year AUGUGs and AUVs have been successfully used in detecting strongly varying features in some marginal seas, such as estimation of trends of Gulf Stream (Todd and Ren, 2023), the water mass exchanges between Bay of Bengal and Arabian Sea (Rainville et al., 2022). We reported AUGUGs experiments since 2014 (Qiu et al., 2015), and made AUV experiments since 2018 (Huang et al., 2019; Qiu et al., 2020). Here, we present 9-year (2014-2022) AUVs and AUGUGs datasets in SCS, and try to show their potential abilities in detecting the evolutions of MEs and the associated submesoscale processes.

Table 2. Types of several popular <a href="UGs">UGs (underwater gliders)</a>

| Types              | Development Organizations  |
|--------------------|--|
| Seaglider          | University of Washington   |
| Spray              | Scripps Institute of Oceanography and Woods Hole,                        |
|                    | https://spray.ucsd.edu/pub/rel/info/spray_description.php                |
| Slocum serials     | Webb Research Cor.   |
| Deepglider /       | Kongsberg Underwater Technology, Inc.                                    |
| Oculus             |  |
| SeaExplorer glider | ACSA, Sep.5, 2013  |
|                    | https://www.marinetechnologynews.com/news/seaexplorer-underwater-glider- |
|                    | record-487228  |
| Sea Wing           | Shenyang Institute of Automation, Chinese Academy f Sciences             |
|                    | https://baike.baidu.com/item/%E6%B0%B4%E4%B8%8B%E6%BB%91%E7              |
|                    | <u>%BF%94%E6%9C%BA/4560334</u>   |
| Petrel             | Tianjin University;  |
|                    | https://baike.baidu.com/item/%E2%80%9C%E6%B5%B7%E7%87%95%E2%             |
|                    | 80%9D%E5%8F%B7%E6%B0%B4%E4%B8%8B%E6%BB%91%E7%BF%                         |
|                    | 94%E6%9C%BA/13977071   |

# 2. Data Description

## 2.1 AUGUG and AUV experiment sites

Different with Rainville et al (2022) and Todd and Ren (2023), most of our experiments aimed to detect the evolution of MEs or submesoscale processes. Two products of Chinese AUGUGs named "Sea Wing" and "Petrel" are utilized in revealing the development of MEs in this study. Since 2014, we have conducted 11 experiments,

totally collecting 24498 temperature and salinity profiles, which is even more than those in Gulf Stream (Todd and Ren, 2023). 50 AUGUGs and 2 AUVs were deployed in northern SCS. The deploying time, installed sensors, and diving depths of AUGUGs/AUVs experiment were shown in Table 3. More detailed information, including vehicle serial number, waypoints, matching time, latitude, and longitude is stored in the data with \*.NC format. The gray highlighted the AUGUG network experiments, with number of AUGUGs ≥3. Such as, in the experiments of 2017, 2019 and 2020, more than 10 AUGUGs were deployed to detect the three-dimensional structures of the mesoscale eddies. The largest AUGUG network was conducted in 2021, including 50 AUGUGs, which was set to investigate eddy-current interaction.

ME: Mesoscale Eddies; AUV: Autonomous Underwater Vehicle; UG: Underwater Glider. Table 3. Information of individual AUGUG/AUV experiment and the observing purpose.

| Seabird Glider Payload CTD(GPCTD)  SBE37 CTD  Seabird Glider Payload CTD(GPCTD)   |
|---|
| SBE37 CTD; DVL++ Seabird Glider Payload CTD(GPCTD)  RBR legato CTD  |
| Seabird Glider Payload CTD(GPCTD) Seabird Glider Payload CTD(GPCTD) *   |
| Seabird Glider Payload<br>CTD(GPCTD);<br>Aanderaa oxygen<br>optode probes and<br>WETLabs fluorescent<br>probes-##   |
| Seabird Glider Payload CTD(GPCTD)   |
| Seabird Glider Payload<br>CTD(GPCTD)  |
| Time Number of Qualified Profiles Qualified Profiles $\frac{Number \ of}{Eliminated}$ Number of $\frac{Eliminated}{Eliminated}$ Number of $\frac{(*: with \ Shipped)}{CTD; #: with \ DO}$ of equipment $\frac{(*: with \ Shipped)}{and \ Chl \ a \ sensors;}$ of equipment $\frac{(*: with \ Shipped)}{(*: with \ Shipped)}$ of equipment $\frac{(*: with \ Shipped)}{(*: with \ Shipped)}$ of equipment $\frac{(*: with \ Shipped)}{(*: with \ Shipped)}$ of equipment $\frac{(*: with \ Shipped)}{(*: with \ Shipped)}$ |

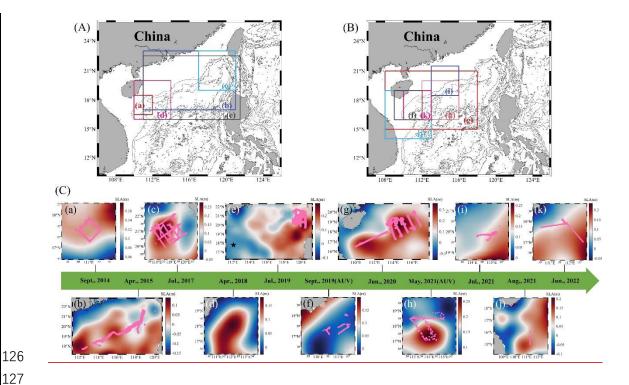


Figure 1. Underwater glider (UG) and autonomous underwater vehicle (AUV) observation sites.

(A) observation area for subplots (a)-(e); (B) area for subplots (f)-(j). The grey lines in (A) and (B) are the water depth. (a)-(j) Observation stations (pink dots) with sea level anomaly (SLA, shading colors). The observation times are (a) September, 2014; (b) April, 2015; (c) July, 2017; (d) April, 2018; (e) July, 2019; (f) September, 2019; (g) June, 2020; (h) May, 2021; (i) July, 2021; (j) August, 2021; and (k) June, 2022.

## 2.2 Intercomparison of AUGUGs / AUVs resolution

The AUGUGs and AUVs positions with the mean sea level anomalies (SLAs) during experiment time were shown in Figure 1. Note that all the AUGUGs and AUVs crossed MEs with positive/negative SLAs. The spatial and temporal resolutions of samples were presented in Figure 2. The dominant spatial resolution (blue bars) was 4-7 km in 2014, 2015, and 2019, while it was less than 3 km in other years. In 2017 (Figure 2c), July 2021 (Figure 2f) and 2022 (Figure 2h), the temporal resolution of AUGUGs achieved 1-2 hours, while it was 4-7 hours in other experiments. It indicates that all of the experiments could resolve the MEs (spatial scale of 50-300 km), and 40% of them could be used to resolve submesoscale processes (spatial scale of <3 km).

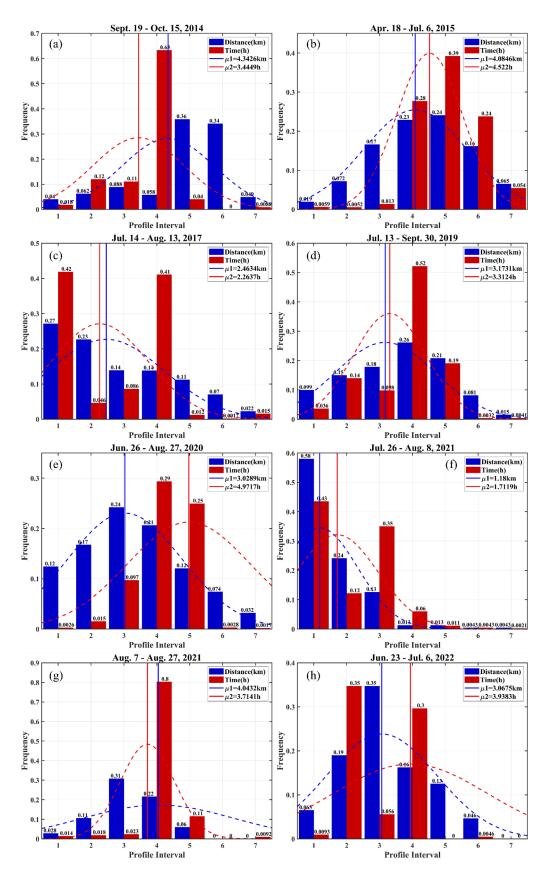


Figure 2. Frequency of spatial (blue bar) and temporal (red bar) sample interval. The red and blue bars (dashed red and blue lines) denote probabilities of spatial and time interval (the normal distributions of spatial and time intervals), respectively.

#### 3 Data Quality Control Method

Before investigating the three-dimensional structures of MEs, we did quality control for the AUGUGs and AUVs.

## 3.1 Quality control for AUGUG data

Two products of Chinese AUGUGs named "Sea-wing" and "Petrel" were used in this study. The communication and navigation subsystem contain iridium satellite communication devices, wireless communication devices, a precision navigation attitude sensor, a Global Positioning System (GPS) device, a pressure meter, and obstacle avoidance sonar. A conductivity-temperature-depth (CTD) sensor with ~6 s sampling resolutions has been installed on the two AUGUG products.

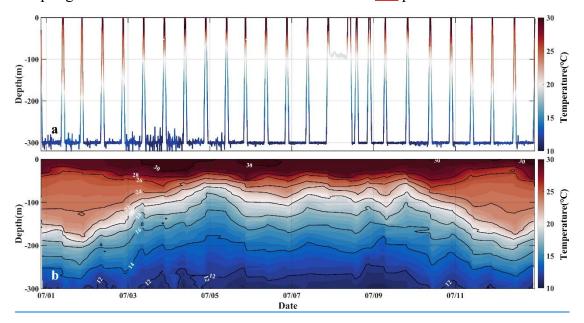


Figure 3. Illustration of (a) original, and (b) interpolated data after quality control. The AUV duration is in July 2021. AUV: autonomous unmanned vehicle.

Before investigating oceanic phenomena, we did data quality control <u>following</u> standard of integrated ocean observing system (IOOS). The quality control for UG (<a href="https://repository.oceanbestpractices.org/handle/11329/289?show=full">https://repository.oceanbestpractices.org/handle/11329/289?show=full</a>) includes 9 steps: (1) Timing/Gap Test: Test determines that the profile has been received within the expected time window and has the correct time stamp; (2) Syntax Test: Ensures the structural integrity of data messages; (3) Location Test: Test if the reported physical location (latitude and longitude) is within the reasonable range determined by the

operator;(4) Gross Range Test: Ensure that the data points do not exceed the minimum/maximum output range of the sensor; (5) Pressure Test: Test if the pressure records increase monotonically with depth, sorted the vertical depth values and removed any duplicate depth values; (6) Climatology Test: Test if the data points are within the seasonal expectation range; (7) Spike Test: Test if the data points exceed the selected threshold compared to adjacent data points, excluded the data with temperature/salinity larger than 35 °C/35 psu; (8) Rate of Change Test: Test if the rate of change in the time series exceeds the threshold determined by the operator; (9) Flat Line Test: Test for continuously repeated observations of the same value, which may be the result of sensor or data collection platform failure. After that a natural neighbored interpolation is utilized to the temperature and salinity to 1-m vertical resolution data.

We have validated the <u>AUGUG</u> observed temperature and salinity profiles with ship observed data during July, 2019 (black star in Figure 1e; Figure 4). The mean bias of temperature is 0.05 °C, and that of salinity is 0.01 psu. The vertical temperature/salinity profiles of ship and <u>AUGUG</u> installed CTD are consistent, supporting that the data are credible.

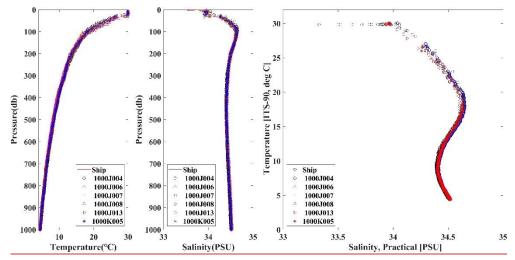


Figure 4. Comparison of (a) temperature, (b)salinity, and (c) temperature-salinity scatter plots between ship installed CTD and AUV installed CTD at station (112.0661°E, 17.7778°N). Red line in (a) and (b) is the ship measured values. <a href="Dot, green triangle">Dot, green triangle</a>, red square, diamond, red triangle, and blue star are for UGs named 1000J004, 1000J006, 1000J007, 1000J008, 1000J013 and 1000K005, respectively. <a href="Different symbols are the different AUG">Different symbols are the different AUG</a>.

## 3.2 Quality control for AUV data

Both CTD and GPS instrument were installed on the "Sea-Whale 2000" AUV. This AUV was designed by Institute of Shenyang Automation, Chinese Academy of Sciences. It could operate in two modes, a "sawtooth-like" mode and a "cruise" mode at a depth of 300 m (Huang et al., 2019).

In the "sawtooth-like" mode, the data quality control procedures are the same as those for AUGUGs. Figures 3 and 4 show the AUV observed temperature after data-quality. In "cruise" mode, the AUV navigates at the depth of around 300 m. Following Qiu et al (2020), we firstly transformed the temperature and salinity at depth z to those at 300 m using a linear regression method (T' = 0.008z' + 0.017; S' = -0.0002z' + 0.0006),

$$T' = T_z - T_{mean}, \tag{1a}$$

$$S' = S_z - S_{mean}, \tag{1b}$$

where  $T_{mean}$  is averaged using a 10-point smooth average, which could maintain the spatial variations from 20 to 30 km. Depth anomaly is defined as the measured depth minus 300 m, z' = z - 300, and the temperature and salinity anomalies as T'and S', respectively. We compared this method with the potential temperature algorithm, and the temperatures obtained at 300 m were highly consistent.

## 3.3 Density derived from temperature and salinity

The value of seawater density ( $\rho$ , in kg/m<sup>3</sup>) can be calculated based on temperature (T in °C), salinity (S in psu), and pressure (P in dbar). The UNESCO formula provides a simplified approach to estimate seawater density as follows:

$$\rho(S, T, P) = \frac{\rho_0(S, T)}{1 - \frac{P}{K(S, T, P)}}$$
(2a)

$$\rho_0(S,T) = \rho_{sw}(T) + (b_0 + b_1 T_{68} + b_2 T_{68}^2 + b_3 T_{68}^3 + b_4 T_{68}^4)S + (c_0 + c_1 T_{68} + c_2 T_{68}^2)S\sqrt{S} + d_0 S^2$$
(2b)

$$\rho_{sw}(T) = a_0 + a_1 T_{68} + a_2 T_{68}^2 + a_3 T_{68}^3 + a_4 T_{68}^4 + a_5 T_{68}^5$$
 (2c)

$$T_{68} = T \times 1.00024 \tag{2d}$$

where K(S,T,P) is secant bulk modulus,  $a_0$  and others are coefficients. This formula accounts for the haline and thermal contraction of seawater. The detailed method is

related to https://unesdoc.unesco.org/ark:/48223/pf0000188170.

## 4. Data Application

## 4.1 Intra-thermocline (Subsurface) MEs observed by AUGUGs and AUVs

Cross-eddy tracks of AUGUG or AUV could observe both the warm core and cold cores (Figure 4). In April 2015, one AUGUG crossed a warm eddy, and observed a subsurface warm core (Figure 1b & Figure 5a). The warm core ranges from 50-500 m depth with radius about 100 km, which is termed as intra-thermocline anticyclone and has been reported in Shu et al (2016). Qiu et al (2019) utilized the same experimental dataset to investigate the asymmetry structures of this intra-thermocline eddies, suggesting that the centrifugal force should be taken into account when revealing the velocity of MEs, i.e. gradient wind theory. This gradient wind theory has been cited in a deriving global cyclogeostrophic currents data (Cao et al. 2023). In June 2020 (Figures 1g & 5d-f), one AUGUG captured a subsurface cold eddy with a negative temperature and positive salinity core, which is the value minus the zonal mean value. And the highly dense core ranged from surface to 500 m depth. Above all, single AUGUG/AUV could capture both the surface and the intra-thermocline eddy's position, range and strength.

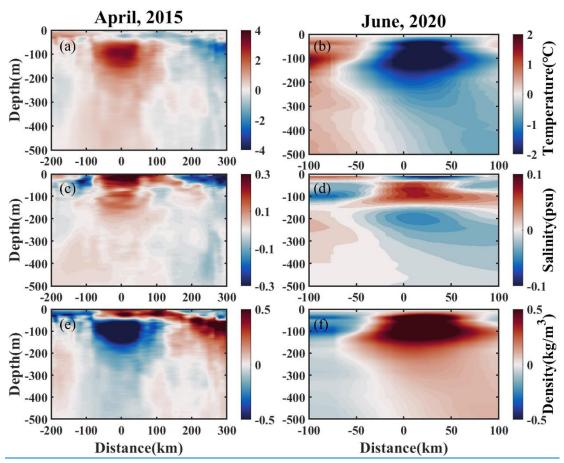


Figure 5. Contour of (a) and (b) temperature anomaly (c) and (d) salinity anomaly, (e) and (f) density anomaly in April, 2015(left panels) and June, 2020(right panels).

AUGUG/AUV could track the development of intra-thermocline MEs. During developing stage, MEs can easily deform and may cause cross-slope transports at the continental slope (Wang et al., 2018; Su et al., 2020; Qiu et al., 2022), and produce submesoscale process (Dong et al., 2018; Yang et al., 2019). To observe the development of ME, "Sea-Whale 2000" AUV have traversed an anticyclonic ME using 5 repeated rectangular tracks from May to July 2021(Figure 1h). This experiment was supported by National Key R&D Program.

An anti-cyclonic eddy with low Brunt-Väisälä frequency squared value ( $N^2 = \frac{1}{\rho} \frac{d\rho}{dz} < 10^{-4} \text{ s}^{-1}$ ), located in the subsurface layer from 50-200 m depth, and existed as an intra-thermocline anticyclonic eddy (Figure 6). The repeated cruise of AUV was separated to five stages, termed as T1(June 8-11), T2 (June 19-23), T3 (June 29- July 4), T4 (July 10- 15), and T5 (July 21-26). Taking 22.5 kg/m³ and 23.5 kg/m³ as the

upper and lower boundary of the intra-thermocline ME, we calculated the area and the mean temperature within the mesoscale eddy. The area and mean temperature decreased from T1-T3, and then increased from T4-T5, indicating the intra-thermocline anticyclonic eddy weakened from T1-T3 and strengthened from T4-T5. This development has been described in detail by Qiao et al (2023), who found the eddy moved eastward during T1-T3 and got stuck during T4-T5.

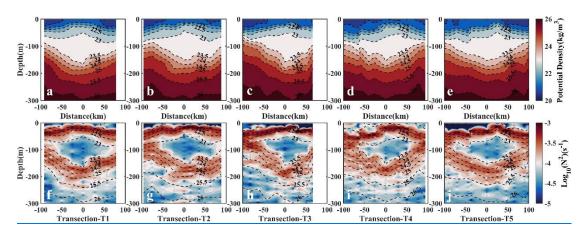


Figure 6. The profiles of density (upper panel) and Brunt frequency (lower panel) during (a,f)T1, (b,g)T2, (c,h)T3, (d, i)T4, (e, j)T5 period, which was 06/08-06/11,06/19-06/23,06/29-07/04,07/10-07/15,07/21-07/26, respectively.

#### 4.2 Vertical Tilt of MEs at different life-stages observed by AUGUGs

Several systematic AUGUG networks were conducted in 2015, 2017, 2019, and 2020. A whole life cycle of ME usually experiences birth, developing, mature and dissipate stages (Zhang and Qiu, 2018; Yang et al., 2019), and the eddy's age has suggested to influence on the kinetic energy of ME. Luzon strait is an eddy birth zone, where Kuroshio branch intrudes the SCS (Chen et al., 2011; Su et al., 2020). And then, most of the eddies move westward to the continental shelf zone under the modulation of Rossby wave, finally dissipate in Dongsha Islands, Xisha Islands or merged with other eddies (Yang et al., 2019; Su et al., 2020; Qiu et al., 2022).

The systematic AUGUG experiments provide us probability in capturing the different vertical structures of MEs at different life stages. After data quality, we firstly mapped the temperature and salinity data onto  $1 \text{ km} \times 1 \text{ km} \times 1 \text{ m}$  grid, and then

calculated the water density,  $\rho$ . The ME follows geostrophic balance, that is, the geostrophic velocity could be derived under the force balances between pressure gradient and Coriolis force. Finally, we derived the geostrophic velocity,  $v_g$ , by using thermal-wind relationships,

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$$v_g(x, y, z) = v_0 - \frac{g}{f\rho_0} \int_{z_0}^{z} \left( \frac{\partial \rho(x, y, z)}{\partial x} + \frac{\partial \rho(x, y, z)}{\partial y} \right) dz, \tag{3}$$

where  $\rho_0$  is the referenced water density, f is the Coriolis frequency,  $v_0$  is the referenced geostrophic velocity at depth 1000 m and assumed to be 0.

Figure 7a-b depicts the three-dimensional temperature and velocity structures of a ME (120 °E) at birth stage, as observed by 12 AUGUGs in July 2019 (Figure 1e&6a-b). A warm core was located at subsurface layer and the eddy center exhibited a northeastward vertical tilt (solid black line). In July 2017 (Figures 1c & 6c-d), 10 AUGUGs were deployed westward to the Luzon Strait (119 °E). This eddy was in its developing phase and possessed a significant eastward vertical tilt from deep up to surface, reaching depths deeper than 500 m. The eastward vertical tilt is suggested to have been induced by the background current, westward propagation of Rossby Waves (e.g., Qiu et al., 2015; Zhang et al., 2016; Li et al., 2019), and advection background temperature gradient (e.g., Bonnici& Billant, 2020; Gaube et al., 2015; Li, Wang, et al., 2020). Throughout this experiment, the AUGUGs encountered the tropical storm "Haitang", results in that the ME underwent horizontal deformation, giving rise to submesoscale processes (Yi et al., 2022; Yi et al., 2024).

In June 2020, 6 AUGUGs were deployed across another warm ME in the shelf region (Figures 1g and 6e-h). The eddy was under dissipating stage due to the steep topography, displaying a significant southwestward tilt from a depth of 500 m to surface (Figure 7e-7f). This kind of southwestward vertical tilt was revealed by potential vorticity in a numerical model (Qiu et al., 2022), which was attributed to shallower water depth to the west of mesoscale eddies, and caused asymmetries of the velocities within the MEs. Qiao et al (2023) also captured an eastward movement of a ME by using AUV observations in June 2021(Figure 1h). Based on tensor decomposition of barotropic instability energy, they suggested wave-current interaction played the most

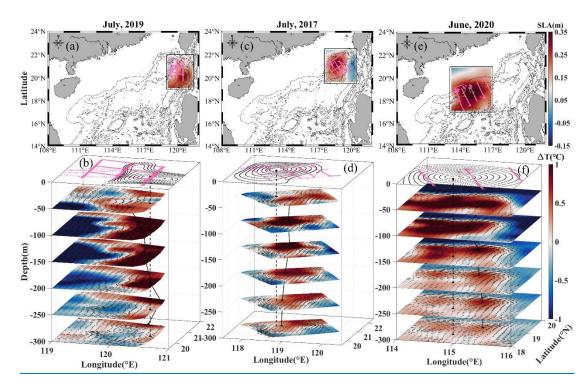


Figure 7. Eddy structures during periods of (a-b) eddy burstbirth, (c-d) westward movement, and (e-f) dissipation along slope movements. Sea level anomaly (SLA) and AUGUGs' positions are superimposed in upper panels (a, c, e), isobaths are represented by solid lines. The AUGUG observed temperature and derived geostrophic velocities are in the 3D plots (b, d, f). Pink lines are the tracks of AUGUGs. Dashed lines denote the centers of mesoscale eddies from SLA fields, and solid dot lines are the centers from warm cores. UG: Underwater Glider.

## 4.3 Submesoscale instabilities at the edge of MEs observed by AUGUGs

Fine structures, i.e., submesoscale process, usually occurs within MEs, either at the eddy edge (front; filament) or entrained in the eddy center, in terms of spiral structures or "eye-cat" structures (Zhang and Qiu, 2018; Ni et al., 2021; Hu et al., 2023; Qiu et al., 2024). They could cascade kinetic energy downward to turbulent scale via symmetric or centrifugal instabilities, and also induce kinetic energy inverse cascade to MEs via mixed layer baroclinic instabilities (i.e., Fox-Kemper et al., 2008; McWilliams, 2016). However, the submesoscale processes within MEs are difficult to be observed by Argo with 10-day's temporal resolution. Tang et al. (2022) attempted to observe submesoscale fronts using NAVIS float, and found that mixed-layer baroclinic

instability dominated this frontogenesis. Qiu et al. (2019) and Shang et al (2023) have captured the submesoscale front at the eddy's edge by using a "virtual mooring" AUGUG observation. As passively driven by flow, NAVIS can only observe submesoscale process in an approximate Lagrangian fashion, whereas AUGUGs traversing a front could provide us both the cross-front and along-front information, depending on our observational scheme.

In our datasets, 40% of AUGUG observations have high spatiotemporal resolutions (<3 km, <4 h; Figure 2), which are fine enough to capture the submesoscale processes positively. Here, we present two examples of submesoscale instabilities at the edge of MEs to show the advantages of AUGUG observations.

As shown in Figure 8a, 4 diving AUGUGs were deployed at the eddy's edge (front) in 2017. 3 AUGUGs cross the front and 1 AUGUG tracks along the front. All of them successfully observed the submesoscale instabilities. Following Thomas *et al* (2013), the converted angle of the Richardson number,  $\phi_{Ri}$ , can also be used to determine the nature of the instability:

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$$\phi_{Ri} = tan^{-1} \left( -\frac{1}{Ri} \right) = tan^{-1} \left( \frac{|\nabla \cdot b|^2}{N^2 \cdot f^2} \right), \tag{3a}$$

342 
$$Ri \approx Ri_g = \frac{N^2}{\left(\frac{\partial \overline{vg}}{\partial z}\right)^2} = \frac{N^2 \cdot f^2}{|\nabla \cdot b|^2} < \frac{f}{\zeta_g}, \text{ and } f \cdot \zeta_g > 0.$$
 (3b)

where f is the Coriolis parameter, and  $\vec{v}_g$  is the geostrophic velocity.  $b = -g\rho/\rho_0$ , is the buoyancy flux, g is the gravitational acceleration, and  $\rho$  is the seawater density, and  $\rho_0$  is the reference density.  $N^2 = \partial b/\partial z$  is the vertical buoyancy frequency.  $\zeta_g = curl(\vec{v}_g)$  is the vertical relative vorticity.  $\phi_{Ri}$  can be used to judge when instability occurs. For anticyclonic eddies, inertial instability or symmetric instability occurs when  $-45^\circ < \phi_{Ri} < \phi_c$ ; symmetric instability occurs when  $-90^\circ < \phi_{Ri} < -45^\circ$ ; symmetry instability or gravitational instability occurs when  $-135^\circ < \phi_{Ri} < -90^\circ$ ; and gravitational instability occurs when  $-180^\circ < \phi_{Ri} < -135^\circ$ .

Figure 8a shows that AUGUGs observed several types of submesoscale instabilities, in terms of gravity instability, symmetric instability and mixed instabilities from symmetric and centrifugal instabilities at the anticyclonic eddy's edge. Figure 8b shows

submesoscale instabilities in 2019. In this case, gravity instability dominates the upper mixed layer. Symmetric and centrifugal instabilities are not significant. These two cases provide us enough information to detect frontal genesis processes in Euler filed, while Navis or Argos provide frontal information in Lagrange view.

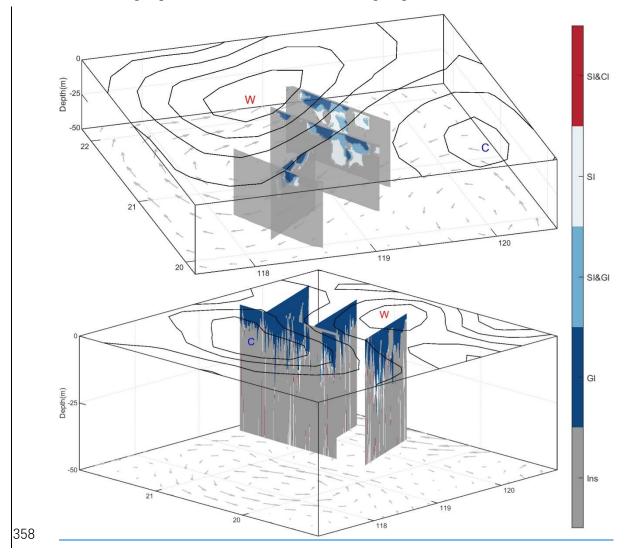


Figure 8. Analyzed submesoscale instabilities at the edge of mesoscale eddies. (a)in 2017, and (b) in 2019. SI: symmetric instability; CI: centrifugal instability; GI: gravity instability. W: anticyclonic eddy; C: cyclonic eddy. Isolines are the sea level anomaly.

## 5. Data availability

The dataset of AUV and AUGUG used in this manuscript was deposited in Science Data Bank, whose DOI is <a href="https://doi.org/10.57760/sciencedb.11996">https://doi.org/10.57760/sciencedb.11996</a> (Qiu et al., 2024b).

#### 6. Conclusions and Potential Future Plan

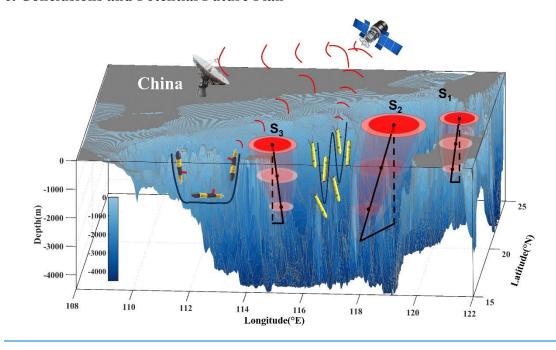


Figure 9. Scheme of <u>AUGUG</u>s observed mesoscale eddies at different life stages in the northern SCS. S1: birth stage; S2: developing/mature stage; S3: dissipating stage. <u>UG: Underwater Glider; SCS: South China Sea.</u>

Based on 9-year AUVs and AUGUGs observations in SCS, we obtained high-resolution temperature and salinity profiles datasets in SCS. The dataset provides 24498 profiles and covers 463 days' experiments, including 11 experiments from 50 AUGUGs and 2 AUVs. To our knowledge, the 9-year dataset is enough in detecting the horizontal asymmetry, vertical tilt, temporal evolution, life cycle of MEs (Figure 9), and the associated submesoscale processes. The dataset supports us to investigate the subsurface MEs, revealing eddy-current and eddy-topography interactions successfully. However, to understand the feedback of MEs to the variability of larger scale current, i.e. western boundary current, routine AUGUGs and AUVs observations are needed in future.

Besides tracking MEs, AUGUGs and AUVs have been proved to positively capture more smaller scale oceanic process, such as internal tide (Gao et al., 2024), turbulences by using turbulent parameterization schemes (Qi et al, 2020). And AUGUGs/AUV installed with more sensors could also provide us geochemical parameters (e.g., Yi et

al., 2022), presenting the potential ability in improving the forecast accuracy in physical and biogeochemical numerical model. More projects gathering AUVs network are ongoing and will be promoted in future.

During the mission, we met some challenges: (1) under strong background current, UGs and AUVs get disturbed and cannot follow the customized routes; (2) Under bad weather, the it's difficult for piloting team to deploy and recovery UGs and AUVs; (3) data receiving capacity depends on the satellite transmission capacity. If both the biochemistry and CTD data are included, the data resolution have to be lowered. These challenges require piloting team and oceanographers to work together.

#### **Author contributions**

Conceptualization: DX, JC; data curation: CH, ZY, HB, ZH, HB, JW, YQ; formal analysis: CH, ZY; funding acquisition: CH, DX, JC; investigation: CH, DX, JC; methodology: CH, DX, JC; project administration: CH, DX, JC; software: CH, DX; supervision: CH, DX; validation: XM, DX, WB; writing: CH, XM, YQ. All the authors have read and agreed to the published version of the manuscript.

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## **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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