



1	Seeing through the Sea with Satellites:
2	Reconstructing Ocean Subsurface Temperature and Salinity with Satellite Observations
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9	Abstract. In-situ measurements of ocean temperature and salinity are critical to ocean-related
10	studies but are limited in space and time. Satellite retrievals provide high-resolution, globally-
11	covered sea surface temperature (SST), salinity (SSS) and cannot directly measure the subsurface
12	information., and height (SSH), but are limited to the ocean surface and cannot directly measure
13	the subsurface information. Here we design a physics-informed algorithm that can reconstruct the
14	vertical distributions of upper ocean temperature and salinity based purely on satellite observations.
15	The algorithm stresses the tight ocean surface-subsurface coupling and the co-variability of ocean
16	temperature and salinity. It is firstly tested with climate model simulations and then validated with
17	actual observations by Argo floats, moored buoys and multiple ocean reanalysis datasets. The
18	resultant satellite-based upper ocean temperature and salinity dataset has a global coverage, a high
19	spatial resolution, and resolves ocean thermohaline structure from surface to 400 m. This dataset
20	complements existing ocean subsurface products as an independent satellite-based observational
21	dataset. The success of our reconstruction algorithm highlights a pressing need to maintain and
22	advance the satellite observations of SST, SSS, and SSH. The reconstructed ocean temperature
23	and salinity dataset can be accessed at https://doi.org/10.5281/zenodo.13145129 (Liu, 2024) and
24	be used by researchers to study mesoscale ocean phenomena, assess the ocean heat content in
25	various sea areas and etc.
26	

27 1 Introduction

Ocean temperature and salinity data are of immense importance for diverse climatic, environmental, ecological, and resource-related studies (Hughes et al., 2003; Cullum et al., 2016). The availability of high-resolution gridded ocean temperature and salinity data, covering the entire global ocean, thus holds the utmost significance for ocean and climate research (Abraham et al.,





32 2013; Wunsch, 2015; Liang et al., 2021; Ponte et al., 2021). Before 2000, temperature and salinity 33 data are primarily provided by ship-based profilers, e.g., Conductivity, Temperature, and Depth 34 (CTD) or Expendable Bathythermograph (XBT), along major trade routes or scientific research vessels in target ocean regions. These ship-based measurements are sparce in space and time 35 (Bagnell and DeVries, 2021), and sometimes only include the measurements of temperature, but 36 not salinity (Zhang et al., 2023). Moored buoys (e.g., the TAO array) provide continuous in-situ 37 measurements of temperature and salinity, but are only available at given sites and limited in space. 38 Since 1999, the Argo program has been in operation, boosting a global network of approximately 39 40 4000 profiling buoys to date (Roemmich et al., 2019). It has the capability of long-term, automatic, real-time, and continuous acquisition of large-scale and deep data, and can provide ocean 41 temperature and salinity from the surface to 2000 m (Riser et al., 2016; Wong et al., 2020). 42 43 However, the irregular spatial distribution of Argo floats results in considerable uncertainties in temperature and salinity values, particularly in regions with low float density such as high latitudes 44 45 and coastal areas (Roemmich et al., 2019). It will therefore be valuable to have an independent 46 observational dataset to cross validate with other Argo-based products, especially when the latter 47 diverge (Liu et al., 2022; Wong et al., 2023).

48 In recent decades, satellite-based ocean observations have been widely used (Loew et al., 2017; Vinogradova et al., 2019; Boutin et al., 2021; Fournier and Lee, 2021). Compared to Argo 49 50 in-situ observations, satellite remote sensing data offers some advantages, including large-area synchronous measurement, high resolution, rapid acquisition speed, short update cycles, and 51 52 abundant information (Boutin et al., 2021). However, satellite retrieved observations are limited 53 to ocean surface properties such as temperature, salinity, and sea level, but not ocean subsurface temperature or salinity. This motivates us to explore whether it is possible to develop an algorithm 54 capable of reconstructing ocean subsurface temperature and salinity using satellite observations. 55 56 In the past, some attempts have been made to reconstruct subsurface temperature and salinity using diverse data sources, such as CTD data (Maes, 1999; Maes and Behringer, 2000), Argo data 57 58 (Hosoda et al., 2008; Zhou et al., 2023), satellite data (Meng et al., 2021; Tian et al., 2022), or a combination of these (Guinehut et al., 2012; Stendardo et al., 2016). The methods employed can 59 60 be categorized into two main groups. For traditional statistical methods, statistical relationships 61 between surface and subsurface properties are firstly identified at the locations with available observations, and then subsurface ocean fields are reconstructed based on these correlations (Maes 62





63 and Behringer, 2000; Fujii and Kamachi, 2003; Wang et al., 2012; Tang et al., 2022). These 64 approaches typically do not incorporate satellite-observed surface salinity, which is critical to subsurface reconstruction as will be shown later, and have only been tested in selected ocean 65 regions. Recently, machine learning-based methods have been proposed to reconstruct the ocean 66 subsurface fields with satellite observations (Meng et al., 2021; Tian et al., 2022; Zhang et al., 67 68 2023). Although these advanced approaches have the capability to autonomously learn and generate fitting parameters without physics-based simplified assumptions, their complexity makes 69 it elusive to provide coherent explanations for certain phenomena seen in the reconstructed data as 70 part of the "black-box" constraint (Manucharyan et al., 2019; Tian et al., 2022). 71

72 In this study, we aim to develop a novel statistical approach to reconstruct ocean subsurface 73 temperature and salinity fields using sea surface properties. Our algorithm is informed by three 74 key ocean properties or assumptions. First, ocean temperature (T) and salinity (S) co-vary as they 75 are simultaneously influenced by, for example, oceanic advection, warming-induced rainfall 76 increase, etc (Troccoli and Haines, 1999; Kido et al., 2021). Second, ocean subsurface T and S 77 variations are closely associated with ocean surface properties. Third, local vertical-temporal variations of ocean temperature and salinity can be decomposed into a set of orthogonal 78 79 components. For each ocean grid, we firstly perform joint EOF analysis to T(z, t) and S(z, t) and 80 identify a set of EOFs, $EOF_T_i(Z)$ and $EOF_S_i(Z)$, together with a principle component, $PC_i(t)$. We then regress SSH(t) onto $PC_i(t)$ to obtain the associated EOF_SSH_i . With these known EOFs, 81 82 the only information needed to reconstruct T(z) and S(z) is the principle component in front of each set of joint EOFs. To determine those coefficients, SST, SSS, and SSH will be used to 83 minimize the joint cost function. Given the usage of three independent surface constraints, we will 84 use the first three EOFs, which explains about 90% of the T-S variances on average (Fig. S1 in 85 Supplement), to avoid an underdetermined system. Accordingly, we propose the algorithm as is 86 illustrated in Fig. 1 (also see Data and Methods). The example in the flowchart is for the grid 87 located at 8.5°S, 150.5°W in January 2016, at the peak of a strong El Nino event, with the total 88 explained variance of the first three EOFs at this gird reaching nearly 90%. 89







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Figure 1. Flowchart of the algorithm proposed to reconstruct subsurface temperature and salinity with satellite
observations. Argo profiles at 8.5°S, 150.5°W in January 2016 are shown as an example for the purpose of illustration.
The black curves correspond to the first three T-S joint EOF modes at this ocean grid. The first row is EOF_T and the
second row is EOF_S. The explained variances of the first three EOFs are 64.0%, 14.6% and 9.0%, respectively. The
actual temperature and salinity profiles (red curves) do not look alike any of the EOFs (black curves), and our
algorithm is able to accurately capture them based purely on the ocean surface information (*cf.* blue and red curves).

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98 2 Data and Methods

99 2.1 Satellite-based observational datasets

Multiple satellite-based observational datasets are used. The sea surface temperature (SST) is from the National Oceanic and Atmospheric Administration (NOAA) 0.25° Daily Optimum Interpolation Sea Surface Temperature (OISST) version 2.1 (Huang et al., 2021), covering the period from September 1, 1981 to present. The sea surface salinity (SSS) is from Multi-Mission Optimally Interpolated Sea Surface Salinity (OISSS) Global Monthly Dataset V1 (Melnichenko et





105	al., 2021). It has a 0.25° spatial and monthly temporal grid, covering the period from September 1,
106	2011 to December 31, 2020. This dataset uses three satellite missions: the Aquarius/SAC-D, Soil
107	Moisture Active Passive (SMAP) and Soil Moisture and Ocean Salinity (SMOS). The sea surface
108	height (SSH) is from MEaSUREs Gridded Sea Surface Height Anomalies Version 2205 (Fournier
109	et al., 2022). It has a 0.17° spatial and 5-day temporal grid, covering the period from October 1,
110	1992 to December 31, 2022. This dataset is derived from the along-track SSHA data of
111	TOPEX/Poseidon, Jason-1, Jason-2, Jason-3, Jason-CS (Sentinel-6).
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113	2.2 In-situ observational datasets
114	In addition to the satellite-based datasets, in-situ measurements of ocean subsurface
115	temperature and salinity, archived by the Argo floats (Gaillard et al., 2016) will be used. The Argo
116	dataset used in this study has a 0.5° spatial and monthly temporal grid, covering the period from
117	January 1, 2002 to December 31, 2020. This dataset is interpolated on 187 standard depth levels
118	between 0-2000 m depth.
119	
120	2.3 Ocean assimilation products
121	For comparison, monthly temperature and salinity from four ocean assimilation datasets
122	are used in this study, including ORAS5, SODA3, IAP, and ECCO4r4 as introduced below. (1)
123	The European Centre for Medium-Range Weather Forecasts (ECMWF) Ocean Reanalysis System
124	5 (ORAS5) (Zuo et al., 2019) is a global ocean and sea ice reanalysis monthly dataset that
125	assimilated various observational data in an ocean model at a resolution of $0.25^\circ \times 0.25^\circ$ and has
126	75 layers from 0.5 m at the top to 5902 m at the bottom. (2) The Simple Ocean Data Assimilation
127	project version 3 (SODA3) (Carton et al., 2018), created by the University of Maryland, is
128	constructed upon the Modular Ocean Model v5 ocean component of the Geophysical Fluid
129	Dynamics Laboratory CM2.5 coupled model. It has an enhanced horizontal resolution of 0.25°,
130	with 50 layers spanning from 5 m to 5395 m. (3) The Institute of Atmospheric Physics ocean data
131	(IAP) (Cheng et al., 2017) provides global ocean coverage at a horizontal resolution of $1^\circ \times 1^\circ$
132	across 41 vertical levels spanning from 1 to 2000 m. This dataset integrates in situ salinity profiles
133	with coupled model simulations to generate an objective analysis using the ensemble optimal
134	interpolation approach. (4) NASA's Estimating the Circulation and Climate of the Ocean project
135	version 4, Release 4 (ECCO4r4) (ECCO Consortium et al., 2021), which is based on the

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136 Massachusetts Institute of Technology general circulation model (MITgcm) with a prognostic 137 dynamic and thermodynamic sea ice model. It has 50 vertical levels spanning from 5 m to 5906 m 138 and a horizontal resolution of $0.5^{\circ} \times 0.5^{\circ}$.

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140 2.4 TAO/TRITON Moored Buoys array dataset

In-situ buoy measurements of temperature and salinity are used for further validation. The 141 142 Tropical Atmosphere Ocean (TAO)/TRIangle Trans Ocean buoy Network (TRITON) array (TAO/TRITON) (Hayes et al., 1991) were built in the 1980s-1990s and provides since then 143 144 continuously temperature and salinity measurements at a fixed location with high temporal resolution but a low vertical resolution (~20 m, covering 1 m to 200 m in most sites). It spans the 145 tropical Pacific Ocean from 95°W in the eastern Pacific to 137°E in the western Pacific between 146 147 9°N and 8°S. In this study, we analyze temperature and salinity data from a single site, chosen for 148 its complete time period coverage (2012-2020), selected from a limited number of sites that have 149 a sufficiently long record for validation.

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151 2.5 Community Earth System Model2 (CESM2) outputs

To evaluate the performance of the statistical model in this study, we use a list of output variables (SST, SSS, SSH, ocean subsurface temperature and salinity) for CESM2 historical run (Danabasoglu et al., 2020) to verify. We use the monthly data from 'r1i1p1f1' member with a nominal resolution of 100 km spatial and monthly temporal grid, covering from January 1, 1850 to December 31, 2014. This dataset is interpolated on 60 standard depth levels between 0-2000 m depth.

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159 2.6 The reconstruction algorithm

Previous study found that the climatological temperature and salinity data had a close relationship (Stommel, 1947), known as the temperature-salinity (T-S) relationship, but this relationship undergoes variations over time and across different oceans. Maes and Behringer (2000) estimated the salinity profiles using the T-S relationship combined with the empirical orthogonal functions (EOFs) method via a weighted least squares procedure. While this approach attained a certain level of success within particular oceanic regions, it is unable to produce a high-resolution global dataset with CTD datasets that are limited in space. Besides, their methodology relies on





both surface and subsurface data for reconstructing subsurface salinity, indicating limited
applicability. Informed by these studies, in this study, we develop a statistical approach for
reconstructing the subsurface temperature and salinity using high-resolution satellite ocean surface
observations (Fig. 1).

First, the vertical EOFs of the combined subsurface T and S variability are used to present
their vertical structures (Maes, 1999; Maes and Behringer, 2000). For each gird, we can define the
vector:

 $X = [T(1)/\sigma_{T(1)}, T(2)/\sigma_{T(1)}, \cdots, T(N)/\sigma_{T(1)}, S(1)/\sigma_{S(1)}, S(2)/\sigma_{S(1)}, \cdots, S(N)/\sigma_{S(1)}]$ 174 (1)where N is a constant corresponding the index when depth=400m. $T_1, \dots, T_N, S_1, \dots, S_N$ is defined 175 as the departure from the climatology. To jointly account for the temperature and salinity 176 variabilities, we normalize them by their own standard deviation at the surface layer. After 177 performing the joint EOF analysis, we obtain several eigenmodes with real eigenvalues that are 178 orthogonal to each other. Next, we regress the subsurface temperature and salinity anomalies onto 179 each normalized eigenvector to obtain the distinct EOF modes of T (called EOF T) and S (called 180 EOF S), respectively. We can further derive EOF_SSH_i by regressing the satellite-observed SSH 181 182 onto the normalized eigenvector of the *i*th mode found in the *T-S* joint EOF analysis. It is important to note that the joint EOF analysis described above only needs to be done once with the existing 183 data, and will then be used for all future reconstructions. In other words, subsurface reconstructions 184 will only need new satellite observations of ocean surface properties and the previously computed, 185 186 unchanged EOF basis from the joint EOF analysis.

187 To do the subsurface reconstruction, we assume the variations in the subsurface 188 temperature and salinity anomalies can be expressed as a set of T-S joint EOFs by a linear 189 combination of the dominant modes:

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$$T_{rec}(k) = \sum_{i=1}^{n} C_i EOF_{-}T_i(k), \ S_{rec}(k) = \sum_{i=1}^{n} C_i EOF_{-}S_i(k)$$
(2)

where k represents vertical levels from surface down to 400 m, n is the number of vertical modes. C_i is the coefficient of each mode. To clarify, $EOF_T_i(k)$ and $EOF_S_i(k)$ are the joint EOFs calculated from monthly subsurface temperature and salinity anomalies. $T_{rec}(k)$ and $S_{rec}(k)$ are the vertical profiles of temperature and salinity anomalies to be reconstructed.

195 After these EOFs are computed, the only information needed to reconstruct $T_{rec}(k)$ and 196 $S_{rec}(k)$ are the values of C_i 's at each month. To determine those coefficients, SST, SSS, and SSH 197 will be employed to minimize the (joint) cost function below:



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$$J = w_{SST} [\sum_{i=1}^{n} C_i EOF_T_i(1) - SST_{obs}]^2 / \sigma_{SST}^2 + w_{SSS} [\sum_{i=1}^{n} C_i EOF_S_i(1) - SSS_{obs}]^2 / \sigma_{SSS}^2 + w_{SSH} [\sum_{i=1}^{n} C_i EOF_SH_i - SSH_{obs}]^2 / \sigma_{SSH}^2$$
(3)

200 σ_{SST} , σ_{SSS} and σ_{SSH} represent the standard deviation of SST, SSS and SSH respectively. 201 w_{SST} , w_{SSS} and w_{SSH} are constants which need to be given appropriate values. Notably, while SSH 202 is linked to SSS and SST, our research finds that the introduction of SSH enhances the 203 reconstruction performance. This cost function is a linear combination of the least-square 204 difference between the actual surface and reconstructed surface variables.

To determine the three weighting parameters (w_{SST} , w_{SSS} and w_{SSH}) above that yield the best performance, we use the following formula.

 $J_{t} = \sum_{t=1}^{M} \sum_{k=1}^{N} [T_{rec}(k,t) - T_{obs}(k,t)]^{2} / \sigma_{T(1)}^{2} + \sum_{t=1}^{M} \sum_{k=1}^{N} [S_{rec}(k,t) - S_{obs}(k,t)]^{2} / \sigma_{S(1)}^{2}$ (4) 207 208 where t represents the time and M is the total number of all months. For each set of subjective weighting parameters (w_{SST} , w_{SSS} and w_{SSH}), we calculate the corresponding J_t . Next, these values 209 are used in Eq. (4) to compute the cumulative errors in temperature and salinity across all 210 subsurface layers throughout the entire time period. This process helps identify the optimal 211 212 weighting parameters for minimizing these errors. Globally averaged, the relative magnitude of the three weighting parameters (w_{SST} , w_{SSS} and w_{SSH}) is about 1:1.6:1.1. It highlights the fact that 213 214 the information of sea surface salinity is critical to the performance of subsurface reconstruction. 215 When w_{SST} , w_{SSS} and w_{SSH} are determined, we can incorporate them into Eq. (3) and solve for 216 C_i 's. With these values, we could reconstruct $T_{rec}(k)$ and $S_{rec}(k)$. It is worth noting that w_{SST} , 217 w_{SSS} and w_{SSH} do not change with time but are fixed constants and that C_i 's may change by time. 218 In this approach, we limit our consideration to the first three EOFs when solving for C_i 's, as there 219 are only three input quantities (SST, SSS and SSH).

The joint EOF may differ by space, motivating us to apply the aforementioned procedures separately to obtain distinct coefficients for each ocean grid. When the C_i of each grid point is determined, we use the EOFs of each grid to reconstruct temperature and salinity anomalies individually. When conducting EOF analysis, we do not differentiate the anomaly fields among different seasons. Instead, we perform EOF analysis on the entire time period to ensure a sufficiently long-time dimension for the data.

The reconstruction algorithm has been firstly trained using the 165-year (1850-2014) data from the CESM2 historical runs to evaluate its performance. Climate model simulations like





228 CESM2 have self-consistent surface and subsurface variables. The reconstruction results with the 229 values of w_{SST} , w_{SSS} and w_{SSH} from the entire simulation period (1850-2014) are presented in the 230 main text, and these results do not change much when a shorter period is used. For observations, 231 the requirement for satellite and in-situ measurements lead to a short overlapping period of 2012-232 2020. We will train the statistical model in a certain period (i.e., 2012-2019) and validate with the 233 rest (i.e., 2020), with a total of 9 rounds of training and verification being performed. Based on our 234 sensitivity test, the results remain almost the same as the case with the entire period of 2012-2020 being used for training. 235

236

237 3 Results

238 **3.1** Testing the performance of the algorithm with climate model output

239 Before being applied to observations, our reconstruction algorithm is firstly tested with a 240 165-year (1850-2014) historical simulation of a climate model, CESM2, that has self-consistent 241 surface and subsurface variables. As an example, we first compare the spatial distribution of the 242 CESM2 actual values and reconstructions for January 1860 at the peak of a strong El Niño. Our 243 algorithm successfully reconstructs upper ocean temperature and salinity anomalies in the world ocean, including the tropical eastern Pacific warming, the western Pacific freshening, and the 244 245 concurrent temperature and salinity changes in the world ocean (Fig. 2). Some mismatches are discussed below. For example, temperature mismatches are found around the Southern Ocean and 246 247 the maritime continent, and salinity mismatches are found near the Maritime Continent and the Somali Basin. Since our study only uses the first three EOFs, this approach may result in errors 248 particularly in regions where the dominant modes are not readily apparent or their contributions 249 250 are limited (Troccoli and Haines 1999; Kido et al. 2021).

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253Figure 2. Comparisons of the global spatial distribution of CESM2 actual (left column) and reconstructed (right254column) ocean subsurface temperature (a, b) and salinity (c, d) anomalies for the average of 1-100 m in January 1860.255The black boxes in (a) and (c) correspond to the tropical western Pacific region $(140^{\circ}E - 180^{\circ}, 5^{\circ}S - 5^{\circ}N)$ used for256Fig. 3 and 4.

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We next use the equatorial western Pacific (black boxes in Fig. 2a and c), which has 258 259 abundant subsurface temperature variations with little surface signature, as an example to further 260 illustrate the performance of our algorithm. Figure 3 shows the Time-Depth Hovmöller plots of 261 the monthly CESM2 actual and reconstructed values in the tropical western Pacific region, 262 spanning from 2010 to 2014. The reconstruction accurately captures the vertical structure of both temperature and salinity variations for the entire period. The annual average time series of the 263 264 CESM2 actual data also consistently aligns well with the reconstructions across various depths in the tropical western Pacific region (Fig. 4), spanning from 1850 to 2014. In general, the 265 266 mismatches between actual and reconstructed temperature and salinity, particularly below 100 m, can result from that only 3 EOFs are used in our algorithm or that subsurface salinity and 267 268 temperature variations do not always pertain surface signals. Despite these limitations, our reconstruction algorithm can reasonably reproduce the spatial, vertical, and temporal 269 characteristics of temperature and salinity variations in CESM2. 270







271

272 Figure 3. Comparisons of the Time-Depth Hovmöller plots of CESM2 actual (left column) and reconstructed (right

273 column) ocean subsurface temperature (a, b) and salinity (c, d) anomalies over the tropical western Pacific region

274
$$(140^{\circ}E - 180^{\circ}, 5^{\circ}S - 5^{\circ}N)$$
 during 2010-2014



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Figure 4. Comparisons of the time series of CESM2 actual (red line) and reconstructed (blue line) contrast time series for ocean subsurface temperature (left column) and salinity (right column) anomalies at 10 m (a, b), 100 m (c, d) and 250 m (e, f) depths for the tropical western Pacific region $(140^{\circ}E - 180^{\circ}, 5^{\circ}S - 5^{\circ}N)$ during 1850-2014.





279 3.2 Satellite-based ocean subsurface temperature and salinity reconstruction

280 The success of our algorithm in reconstructing the CESM2 subsurface fields leads us to further apply it to observations (see Data and Methods for detailed data description). At each ocean 281 grid, historical Argo in-situ data are used to obtain the T-S joint EOFs, done once for all, and 282 283 satellite measurements of SST, SSS, and SSH are then used as surface constraints to reconstruct the subsurface fields. Firstly, we assess the global pattern of our reconstructed fields averaged 284 within the upper 100 m for January 2016 at the peak of an extreme El Niño as an example (Fig. 5). 285 Using other time snapshots will yield similar conclusions. The large-scale pattern of the 286 287 reconstructed temperature field closely match those of Argo in-situ data: including a considerable warming in the tropical eastern Pacific, a cooling in the tropical western Pacific, and a weak 288 289 warming in the tropical Indian Ocean. The main features in the salinity field has also been well 290 reproduced, including a negative anomaly in the tropical central-eastern Pacific, a positive 291 anomaly in the tropical western Pacific, and a negative anomaly tropical eastern Indian Ocean. It 292 is worth noting that the two El Niño events in Fig. 2 and 5 exhibit different spatial structures of 293 temperature/salinity anomalies, particularly in the western Pacific region, which highlights that the reconstruction can well capture the diversity of El Niño events. Overall, the reconstructed and the 294 295 original Argo fields for January 2016 have a global spatial correlation of 0.88 (Fig. 5a-c) for 296 temperature and 0.86 for salinity (Fig. 5d-f) at a 2°x2° spatial resolution. If a finer resolution (e.g., $0.25^{\circ}x0.25^{\circ}$) is used, the spatial correlations will slightly drop, but the reconstructed fields now 297 298 contain fine-scale features that are absent in Argo datasets (Fig. S2 in Supplement) because of the 299 usage of high-resolution satellite observations.







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Figure 5. Comparisons on the global spatial distribution of Argo in-situ (left column) and reconstructed (middle
column) ocean subsurface temperature (a, b) and salinity (d, e) anomalies for the average of 1-100 m in January 2016.
Scatter plots (right column) of Argo in-situ versus reconstructed temperature anomalies (c) and salinity anomalies (f)
for all the global grids in January 2016. The correlation r between Argo in-situ data and reconstruction is shown in the
left-upper side of each panel. Both Argo in-situ and reconstructed datasets are interpolated to a 2° x 2° resolution in
this figure, and the high-resolution version is shown in Fig. S2 in Supplement.

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308 To further assess our algorithm, we select three representative ocean regions that have 309 distinctive vertical structures of T-S variations, including the equatorial western Pacific, the equatorial eastern Pacific and the North Pacific Blob region (Fig. 6). In the equatorial eastern 310 311 Pacific, its temperature variability peaks at 70 m and has a considerable surface signature as 312 manifested by El Niño. The equatorial western Pacific has large subsurface ocean heat content 313 variations, critical for El Niño preconditioning, but its surface temperature variability is rather 314 weak. For both regions, salinity variability peaks at the surface, decays with depth, and increases again until reaching another local peak (Maes, 1999), and such intricate vertical structures 315 316 presumably pose a challenge in reconstructing subsurface salinity. In the North Pacific Blob region, 317 both temperature and salinity variabilities stay roughly uniform in the upper 100 m and decrease 318 with depth below that. Meanwhile, these results indicate the significant differences in temperature 319 and salinity changes across various ocean regions, highlighting the necessity of evaluating the 320 performance of the reconstruction algorithm in diverse ocean regions.







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Figure 6. The vertical profile of the standard deviation in annual average temperature (a, b, c) and salinity (d, e, f) anomalies across the three regions tropical western Pacific ($140^{\circ}E - 180^{\circ}, 5^{\circ}S - 5^{\circ}N$), tropical eastern Pacific ($130^{\circ}W - 95^{\circ}W, 5^{\circ}S - 5^{\circ}N$), and North Pacific Blob region ($150^{\circ}W - 130^{\circ}W, 35^{\circ}N - 48^{\circ}N$).

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The Time-Depth Hovmöller plots of the Argo in-situ data are compared with reconstructed 326 temperature and salinity anomalies in the aforementioned three regions (Fig. 7 and 8). For the 327 328 equatorial western Pacific, strong subsurface temperature anomalies can be reconstructed even 329 when surface temperature anomalies almost vanish, and the double peaks of the same sign in the 330 vertical structure of salinity anomalies can also be well captured. For the equatorial eastern Pacific, 331 surface and subsurface temperature anomalies tend to show the same sign, while salinity anomalies 332 exhibit a vertical dipole structure, both successfully captured by our reconstruction. For the North 333 Pacific Blob region, the two-year marine heatwave event in 2014-15 and its downward propagation 334 can be reconstructed, but the salinity reconstruction seems to be less satisfactory.







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336 Figure 7. Comparisons of the Time-Depth Hovmöller plots for Argo in-situ (left column) and reconstructed (right

column) ocean subsurface temperature anomalies over the three regions, including tropical western Pacific (a, b),
tropical eastern Pacific (c, d) and North Pacific Blob (e, f), during 2012-2020.



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340 Figure 8. Same as Figure 7, but for salinity.





341 To have a closer assessment, we also directly compare the time series for the Argo in-situ 342 and the reconstructed temperature and salinity anomalies at various depths within the three regions (Fig. 9 and 10). The reconstructed temperature and salinity at various depths in both tropical 343 western Pacific and the tropical eastern Pacific are generally consistent with Argo. Interestingly, 344 there is some disagreement between the reconstructed and the Argo salinity anomalies in the 345 tropical western Pacific at 120 m, even worse than 250 m, which may indicate the surface-346 subsurface decoupling around this depth. In general, there is less agreement between 347 reconstruction and Argo in the North Pacific Blob region than the tropical Pacific, which may 348 349 imply a stronger surface-subsurface coupling for the latter. Follow-up work is needed to understand the regional and vertical differences in the performance of the reconstruction algorithm. 350





352 Figure 9. Comparisons of the time series of Argo in-situ (red line) and reconstructed (blue line) contrast time series

for ocean subsurface monthly temperature anomalies at 20 m (a, b, c), 120 m (d, e, f) and 250 m (g, h, i) depths over
these three regions during 2012-2020.







356 Figure 10. Same as Figure 9, but for salinity.

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358 **3.3 Overall assessment against the Argo dataset**

A global assessment on the overall performance of our algorithm in space and time is 359 360 provided. The spatial correlation coefficient between the Argo and the reconstructed fields (2°x2° ocean grids in total), as is done in Fig. 5c and f, is repeatedly computed for each month and for 361 362 each vertical level (Fig. 11a and b). The highest correlations are found near the surface, as one would expect from the surface constraints being provided. Statistically significant correlations are 363 found at all depths for both temperature and salinity $(p < 10^{-5})$. Particularly high correlation 364 365 coefficients are identified for 2015-16 as dominated by the large signals from the extreme El Niño. 366 Similarly, but now at each ocean grid, the Argo-reconstruction correlation coefficient is computed 367 for all months and all levels (Fig. 11c and d). Statistically significant correlations are identified for 368 99.9% global oceans excluding the ice-covered area ($p < 10^{-5}$), implying that our algorithm can well capture both the vertical structure and the temporal evolution of temperature and salinity anomalies. 369 370 The highest correlations are found over the tropical Pacific, the North Pacific, and the tropical Indian Ocean, which may suggest a strong surface-subsurface coupling over these regions, and the 371 372 detailed mechanisms causing such spatial structure need further investigations. Meanwhile, this





- 373 could also be attributed to the higher consistency between satellite and Argo data in tropical
- 374 regions (Lee 2018).





Figure 11. (a-d) Correlation coefficient between Argo in situ datasets and reconstructions (left column: temperature,
right column: salinity) associated with the global map (top row) and depth (0-400 m with a 10 m interval)-time (from
January 2012 to December 2020) Hovmöller for each grid point (bottom row). Both Argo in-situ and reconstructed

 $\label{eq:action} 379 \qquad \text{datasets are interpolated to a 2° x 2° spatial resolution in this figure.}$

380

Figure 12 shows the root-mean-squared-error (RMSE) between the Argo and the 381 382 reconstructed fields (2°x2° ocean grids in total). Unlike the correlation coefficient, the temperature and salinity reconstruction errors reach the largest at the depths of 50-100 meters and are smaller 383 384 near the surface or below 200 m. The relatively small RMSE results from the strong agreement between satellite observations and Argo at the surface (Du and Zhang, 2015) and the weaker 385 386 temperature and salinity variabilities in general below 200 m. In terms of spatial distribution, the 387 RMSEs of temperature and salinity tend to be larger over the deep tropics, where it is warm and rainy, and over the Kuroshio and Gulf stream, where it is dominated by ocean fronts and storm 388 389 tracks. Overall, the RMSE between the Argo and the reconstruction fields exhibits considerable 390 variations in space and time, and is generally comparable on a global scale compared to some other 391 machine learning-based algorithms (Tian et al., 2022).







392

393 Figure 12. Same as Figure 11, but for the root-mean-squared-error (RMSE).

394

395 **3.4 Evaluation against in-situ buoy measurements**

396 Next, we regard the in-situ buoy measurements of subsurface temperature and salinity from 397 TAO/ TRITON as true observations and compare them with our reconstruction together with other 398 existing ocean subsurface datasets. We present the results for the site at 8° N, 137° E, where most temporally complete measurements are available in Fig. 13 for the purpose of illustration and show 399 400 the results for another few sites that have reasonably long subsurface records in Fig. S3-S6 in 401 Supplement. Overall, our reconstruction agrees well with the in-situ buoy measurements for both 402 temperature and salinity and at various depths (Fig. 13), and it falls within the spread across other 403 existing datasets. In some cases, our reconstruction clearly outperforms other subsurface datasets. 404 For example, in early 2016, in-situ buoy measurements recorded a sharp increase in both 405 temperature and salinity at 150 m. This feature is accurately captured by our reconstruction, but 406 not by other products. A detailed inter-data comparison and investigations on the cause of data 407 disagreement need to be done routinely in future studies.







408

Figure 13. Comparisons between TAO/TRITON, our reconstruction, and other subsurface datasets at the site of 8°N,
137° E for the depths at 25 m (a, b), 75 m (c, d) and 150 m (e, f). The TAO/ TRITON time series is represented by the
red curve, the reconstructed time series by the blue curve, and the average of Argo in-situ data and four assimilation
data (ORAS5, SODA3, ECCO4r4, IAP) is depicted by the black curve. The gray shading curve denotes one standard
deviation across the Argo, ORAS5, SODA3, ECCO4r4, and IAP datasets (see Data and Methods).

414

415 4 Discussion and outlook

In this study, our novel reconstruction algorithm provides a promising tool to develop a 416 satellite-based dataset for ocean subsurface temperature and salinity that has a global coverage and 417 a high spatial resolution. This algorithm achieves the reconstruction of subsurface ocean 418 419 temperature and salinity anomalies solely based on surface data with satisfactory accuracy in 420 comparison to actual observed values. Specifically, we first used CESM2 historical data to validate 421 the accuracy of this algorithm as CESM2 model has self-consistent surface and subsurface 422 variables. The reconstruction of CESM2 subsurface fields shows an impressive agreement in its spatial distribution, temporal variability, and long-term change compared to CESM2 actual values. 423





424 After being verified by CESM2, we applied the algorithm to observations. The reconstructed 425 temperature and salinity fields generally match those from the Argo in-situ observations in their 426 large-scale patterns, vertical structures, and interannual variations. Interestingly, the algorithm 427 vields satisfactory results even at deep subsurface levels (e.g., 200-400 m) where the signals are 428 weak. Also, the reconstruction seems to be even better for salinity than for temperature. 429 Furthermore, our reconstruction exhibits enhanced performance in the tropical oceans, and the 430 reconstruction skill gradually diminishes with increasing depth as one would expect from the surface constraints of our algorithm. Finally, a comparison with temperature and salinity data from 431 432 a TAO/TRITON site reveals that our reconstruction generally resides in the spread across major 433 ocean assimilation or reanalysis products and can sometimes capture short-term and local 434 variability that other products fail to capture. These results suggest that our reconstructed 435 temperature and salinity fields can be readily used to complement the existing ocean subsurface 436 products as an independent, satellite-based observational dataset. For example, we can use this 437 dataset to assess the ocean heat content in various ocean regions. Besides, the usage of satellite 438 observations presents a unique advantage of our reconstruction dataset that can achieve high spatio-temporal resolutions for studies on mesoscale ocean phenomena. To make such 439 440 reconstructions possible, there is an urgent need to maintain continuous observations of satellite 441 observations on ocean properties like SST, SSS, and SSH as emphasized here.

442 Limitations and challenges do exist for our proposed approach. Firstly, the reconstruction 443 is less satisfactory in certain ocean regions, for example, the tropical Atlantic where ocean surface 444 temperature and salinity barely covary (Kido et al., 2021). More generally, the potential error 445 associated with our reconstruction can also come from other sources, including the imperfection 446 of the algorithm (e.g., usage of 3 EOF modes) and the inherent errors of satellite datasets (Boutin et al., 2016; Yan et al., 2021). These challenges point to the need of improving the algorithm and 447 448 minimizing the satellite observational error in future studies. Secondly, in comparison to machine learning methods, our approach falls short in reconstructing the deep ocean fields below 500 m 449 450 (Tian et al., 2022). Nevertheless, our joint EOF-based algorithm is more interpretable as opposed to machine learning, allowing for a deeper understanding on the physics of temperature and salinity 451 452 co-variability and the surface-subsurface coupling. Follow-up work along this line is currently 453 underway. It is important to emphasize that in-situ measurements (e.g., Argo floats, CTD, moored





454	buoys) remain necessary to provide independent subsurface observations, especially in the deep
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463	research, interpreted the results, and wrote the manuscript.
464	
465	Competing Interests
466	The authors declare no competing interests.
467	
468	Data availability
460	Datasets produced by this study are available to the public at
409	
469	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024).
409 470 471	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST
409 470 471 472	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS
489 470 471 472 473	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS (https://podaac.jpl.nasa.gov/dataset/OISSS_L4_multimission_7day_v1), SSH
470 471 472 473 474	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS (https://podaac.jpl.nasa.gov/dataset/OISSS_L4_multimission_7day_v1), SSH (https://podaac.jpl.nasa.gov/dataset/SEA_SURFACE_HEIGHT_ALT_GRIDS_L4_2SATS_5DA
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470 471 472 473 474 475 476	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS (https://podaac.jpl.nasa.gov/dataset/OISSS_L4_multimission_7day_v1), SSH (https://podaac.jpl.nasa.gov/dataset/SEA_SURFACE_HEIGHT_ALT_GRIDS_L4_2SATS_5DA Y_6THDEG_V_JPL2205), Argo in-situ dataset (https://www.seanoe.org/data/00412/52367/), ORAS5 (https://www.ecmwf.int/en/forecasts/dataset/ocean-reanalysis-system-5), SODA3
470 471 472 473 474 475 476 477	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS (https://podaac.jpl.nasa.gov/dataset/OISSS L4_multimission_7day_v1), SSH (https://podaac.jpl.nasa.gov/dataset/SEA_SURFACE_HEIGHT_ALT_GRIDS_L4_2SATS_5DA Y_6THDEG_V_JPL2205), Argo in-situ dataset (https://www.seanoe.org/data/00412/52367/), ORAS5 (https://www.ecmwf.int/en/forecasts/dataset/ocean-reanalysis-system-5), SODA3 (https://www2.atmos.umd.edu/~ocean/index_files/soda3.15.2_mn_download_b.htm), ECCO4r4
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409 470 471 472 473 474 475 476 477 478 479 480	https://doi.org/10.5281/zenodo.13145129 (Liu, 2024). The data used in the manuscript are publicly available for OISST (https://www.ncei.noaa.gov/products/optimum-interpolation-sst), OISSS (https://podaac.jpl.nasa.gov/dataset/OISSS_L4_multimission_7day_v1), SSH (https://podaac.jpl.nasa.gov/dataset/SEA_SURFACE_HEIGHT_ALT_GRIDS_L4_2SATS_5DA Y_6THDEG_V_JPL2205), Argo in-situ dataset (https://www.seanoe.org/data/00412/52367/), ORAS5 (https://www.ecmwf.int/en/forecasts/dataset/ocean-reanalysis-system-5), SODA3 (https://podaac.jpl.nasa.gov/dataset/ECCO_L4_OBP_05DEG_DAILY_V4R4), IAP (https://podaac.jpl.nasa.gov/dataset/ECCO_L4_OBP_05DEG_DAILY_V4R4), IAP (http://www.ocean.iap.ac.cn/pages/dataService/dataService.html?navAnchor=dataService), TAO/TRITON array (https://www.pmel.noaa.gov/tao/drupal/disdel/), CESM2 (https://esgf-
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