1 The Earth Topography 2022 (ETOPO 2022) Global DEM dataset

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8 Abstract. Here we present Earth TOPOgraphy (ETOPO) 2022, the latest iteration of NOAA's global, seamless topographic-9 bathymetric dataset. ETOPO1, NOAA's prior release at 1-arc-minute resolution, has been a widely-used benchmark global 10 digital elevation model (DEM) since its initial release in 2009 (Amante and Eakins, 2009). Tsunami forecasting, modeling, 11 and warning systems critically rely upon accurate topographic and bathymetric data to predict and reproduce water movement 12 across global ocean surfaces, wave heights at the coastline, and subsequent land inundation. ETOPO 2022 is an updated 13 topographic-bathymetric dataset at 15-arc-second global resolution that incorporates bare-earth datasets with forests and 14 buildings removed. ETOPO 2022 integrates more than a dozen source datasets for land topography, sea bathymetry, lake 15 bathymetry, and ice-sheet bed elevation data, all of which have been carefully evaluated for quality, accuracy, and seamless 16 integration. We evaluate the relative and absolute vertical accuracies of all land-elevation input datasets, as well as the final 17 ETOPO 2022 tiles, using a geographically optimized, independent database of bare-earth elevation photons from NASA's 18 ICESat-2 satellite mission over the calendar year 2021. Measured against more than 960 billion lidar measurements from ICESat-2 that span nearly the entire globe, ETOPO 2022 measures a global RMSE of 7.17 m. ETOPO 2022 is publicly 19 20 available in both ice surface and bedrock versions that portray either the top layer of the ice sheets covering Greenland and 21 Antarctica, or the bedrock below, and both versions are also available in GeoTiff and NetCDF formats in 15x15° tiles, as well 22 as global tiles at 30- and 60-arc-second resolutions. ETOPO 2022 provides a new, publicly available, seamless, globally 23 validated elevation dataset to meet the present and future needs of the scientific global hazard and mapping communities.

24 1 Introduction

Earth scientists and modelers often rely upon accurate global models of Earth's surface elevation for a variety of earthmodeling applications. The National Centers for Environmental Information (NCEI) at the National Oceanic and Atmospheric Administration (NOAA) has long produced seamless earth topographic datasets by combining topographic and bathymetric data from a variety of sources. The "Earth TOPOgraphy" (ETOPO) datasets have been produced at 5-arc-minute, 2-minute, and 1-minute horizontal resolutions covering the entire earth surface. ETOPO 2022 provides an updated global elevation at a 30 refined spatial resolution of 15-arc-second from the ETOPO1 (1-arc-minute) dataset last released in 2009. Primary end-users

31 of ETOPO are coastal hazard and tsunami modelers; however, ETOPO is used as a baseline dataset in thousands of scientific

32 papers, data products, and references worldwide (e.g. Friedlingstein et al., 2020; Schmidtko et al., 2017; Woodruff et al., 2013).

33 2 Data Description

34 2.1 General Description and File Formats

ETOPO 2022 is a full coverage, seamless, gridded topographic and bathymetric elevation dataset. ETOPO 2022 is an updated, higher-resolution version of previously released ETOPO5 (5 arc-minute), ETOPO2 (2 arc-minute), and ETOPO1 (1 arcminute) global grids. For further use in this document, references to "ETOPO" refer to the ETOPO 2022 release. References to any previous ETOPO grids (ETOPO1, ETOPO5, etc) use the specific version names.

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40 ETOPO is released as a global-coverage dataset comprised of 288 individual 15x15 degree tiles (latitude/longitude) at 15-arc-41 second geographic resolution. The tiles are provided in GeoTiff and Network Common Data Form (NetCDF) formats, with 42 identical information provided in each format. An additional 62 tiles have "bed" versions that provide bedrock elevations under 43 the surface of the Greenland and Antarctic ice sheets. ETOPO is intended to represent a Digital Terrain Model (DTM) with 44 vegetated canopy and buildings removed. Elevations within each grid cell represent the "mean" elevation of bare-earth terrain 45 within that cell. All tiles are in horizontal WGS84 geographic coordinates (EPSG:4326) and vertically referenced in meters 46 relative to the Earth Gravitational Model of 2008 (EGM2008) geoid surface (EPSG:3855). Each tile comes with an 47 accompanying integer Source ID ("sid") tile specifying from which source dataset each ETOPO elevation was derived (see 48 Section 3 Input Datasets and Pre-processing), as well as an accompanying "geoid" tile for converting EGM2008 geoid heights 49 into WGS84 ellipsoid elevation heights (EPSG:4979). Since most other geoid, ellipsoid, and/or tidal vertical datums are 50 defined by grids in reference to the WGS84 ellipsoid, this eases the conversion of ETOPO 2022 tiles into other vertical 51 reference datums of the user's choice. For most purposes, EGM2008 is an adequate approximation of mean sea level at the 15 52 arc-second resolution of ETOPO.

53

The 15-arc-second global grid used in ETOPO 2022 is functionally identical to the grid used in similar products such as GEBCO (GEBCO Compilation Group, 2022) and the Shuttle Radar Topography Mission 15-arc-second DEM (SRTM15+) (Tozer, et. al., 2019), although the underlying datasets and processing steps are distinct.

57 2.2 File Naming Convention

58 ETOPO 2022 tiles are named in the following manner:

- 59
- 60 ETOPO_2022_v[#]_[RR]s_[N][YY][W][XXX][_suffix][.tif]

61 62 with the following information in place of the brackets []: 63 [#] - Version number of the release. In this case, version 1. 64 [RR] - Data tile resolution (15, 30, 60), in arc-seconds [N] - "N" or "S", for Northern or Southern hemisphere 65 66 [YY] - 2-digit latitude of tile's northern (top) border, absolute value [W] - "W" or "E", for Eastern or Western hemisphere 67 68 [XXX] - 3-digit longitude of the tile's western (left) border, absolute value 69 [suffix] - " surface": surface elevations; " bed": bed elevations, " sid": source id numbers, " geoid": geoid heights. 70 [.tif] - File extension: ".tif" (GeoTiff) or ".nc" (NetCDF) formats. 71 72 For example, a tile named 73 74 ETOPO 2022 v1 15s N60W045 bed.tif 75 76 is a GeoTiff file with a resolution of 15 arc seconds, and its upper-left corner is located at a latitude of 60 degrees North and a 77 longitude of 45 degrees West. In this case, the file contains data on bedrock elevations beneath the surface of either the 78 Greenland or Antarctic ice sheets. 79 2.3 Geoid Conversion 80 To convert a given tile from EGM2008 to WGS84-referenced elevations (which can be easily converted to other vertical 81 datums), add the values of the elevation tile to the geoid-height tile: 82 83 ETOPO Elevation (EGM2008) + GEOID = WGS84 Elevation (1) 84 85 To enable easy conversion between vertical elevation reference grids, geoid files are distributed alongside each ETOPO 86 elevation tile. In ice surface and bedrock versions, single global tiles are also provided at 30- and 60-arc-second (i.e., 1-arc-87 minute) resolutions in both GeoTiff and NetCDF format. 30- and 60-second grids were downsampled from the 15-arc-second

88 elevation tiles for more general uses, and do not have accompanying SID tiles.

89 **3 Input Datasets and Pre-processing**

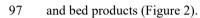
- 90 Table 1 lists the datasets that contributed elevation data in the ETOPO product. Other data sources were assessed and evaluated,
- 91 but were not included in the final ETOPO 2022 data product. The source name acronyms for each dataset are defined in the
- 92 sections following Table 1.
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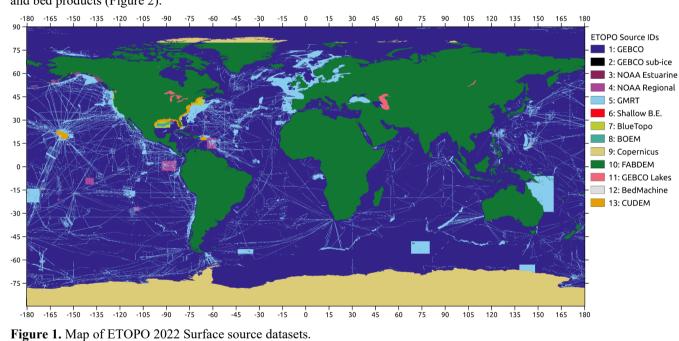
Source Name	Vertical Datum (as distributed)	Layer source ID	Creator	Primary Use	% total coverage, surface	% total coverage, bed
GEBCO 2022	MSL	1	GEBCO Compilation Group (2022)	Sea bathymetry, base layer, large lake bathymetry	58.78 %	49.66
GEBCO 2022 Sub-ice	MSL	2	GEBCO Compilation Group (2022)	Sea bathymetry (sub- ice, polar regions)	0.00 %	8.40 %
NOAA Estuarine DEMs	various	3	NOAA/NCEI (archived)	Sea bathymetry	<0.01 %	<0.01 %
NOAA Regional DEMs	various	4	NOAA/NCEI (archived)	Sea bathymetry	0.22 %	0.22 %
GMRT 4.0	MSL	5	GMRT.org, Lamont-Doherty Earth Observatory	Sea bathymetry	6.75 %	6.73 %
Shallow Bathymetry Everywhere	EGM2008 geoid	6	Oregon State University	Sea bathymetry	<0.01 %	<0.01 %
BlueTopo	NAVD88	7	NOAA OCS	Sea bathymetry	0.05 %	0.05 %
BOEM Gulf of Mexico Bathymetry	MSL	8	BOEM	Sea bathymetry	0.03 %	0.03 %
Copernicus DEM 30m	EGM2008 geoid	9	European Space Agency	Land topography	10.60 %	0.12 %
FABDEM	EGM2008 geoid	10	European Space Agency and	Land topography	23.28 %	22.46 %

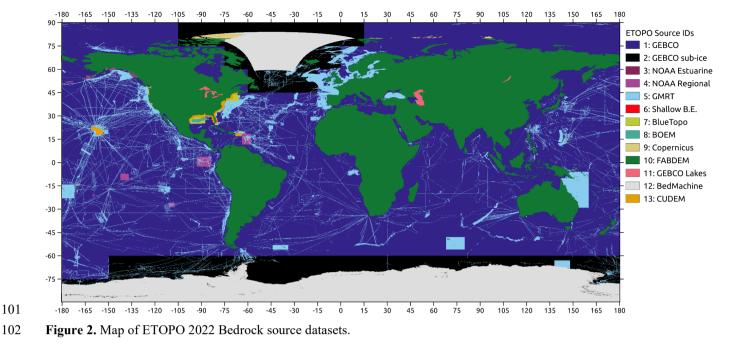
94 **Table 1.** Metadata of the ETOPO source datasets.

			Bristol University			
GEBCO Lake Depths	MSL	11	GEBCO Hydrolakes outlines and GEBCO elevations	Global surveyed lake depths (for very large lakes)	0.12 %	0.12 %
BedMachine	EIGEN-6C4 geoid	12	NASA	Ice sheet bed topography	0.00 %	12.05 %
CUDEM	various	13	NOAA Coastal DEM Team	Land Topography and sea bathymetry (US & Territories)	0.16 %	0.16 %

96 Figures 1 and 2 show the distribution of source datasets across the ETOPO 2022 product for the surface products (Figure 1)







104 The following datasets (Table 2) were not directly included in the ETOPO tiles, but were used for the development, production,

- 105 and/or validation of the source data layers, as described in further sections.
- 106

107 Table 2. Datasets used in ETOPO production and validation but not contributing directly to ETOPO elevation values

Source Name	Vertical Datum	Creator	Primary Use
ICESat-2 - ATL03 and ATL08	EGM2008 / WGS84	NASA	Photon elevation data for DEM evaluation
Hydrolakes	n/a	HydroSHEDS	Global vector outlines of inland water bodies
National Hydrography Dataset (NHD)	n/a	U.S. Geological Survey	Vector outlines of North American inland water bodies
World Settlement Footprint 2015	n/a	(Marconcini, et al., 2020)	Heavy-urban-area footprints (masked during ICESat-2 validation)

108

109 We performed the following pre-processing steps on each dataset before incorporating into the ETOPO 2022 product.

110 **3.1 GEBCO 2022**

111 The General Bathymetric Chart of the Oceans (GEBCO) is an annually-produced global elevation product derived from a 112 global consortium of institutions collaborating on the SEABED 2030 project, with the primary aim of mapping the world's 113 ocean bathymetry in its entirety by the year 2030 (Mayer et al., 2018). GEBCO global elevation grids are produced at 15-arc 114 second resolution and incorporate a mix of data sources, including sonar soundings, lead-line measurements, and interpolated 115 gravimetry data for bathymetry. ETOPO uses the global GEBCO grids as a "base layer", using GEBCO data where other direct 116 measurements are not available. The land-based portions of the GEBCO global grids are based upon reprocessed NASA Shuttle 117 Radar Topography Mission (SRTM) data collected in February 2000 (Rodríguez et al., 2006). Although ETOPO 2022 includes 118 GEBCO in its base-layer even over land, the land-based portions of the ETOPO grid are based primarily on modern satellite 119 radar-derived measurements, and as such, GEBCO data is not used over land for a majority of the ETOPO product.

120

121 For a small set of large inland water bodies, GEBCO contains surveyed bathymetry data derived from other sources. For each 122 of the following lakes, raster masks for the lake areas were produced from digitizing outlines from the vector HydroLakes 123 dataset (Messager et al., 2016), part of the HydroSHEDs database of global land hydrography data. A separate data layer 124 incorporating just the lake bathymetry from GEBCO was produced and given a higher topographic source ID number than the 125 primary land-based topographic datasets such as CopernicusDEM and FABDEM, so that lake bathymetries supersede other 126 surface topography datasets. The large lakes and coastal estuarine areas in which GEBCO includes plausible lake bathymetry 127 are outlined in Table 3. These lakes were not chosen because they were inherently the biggest in the world (although several 128 of them are the largest lakes on Earth by area), but rather because it was determined that GEBCO contained plausible 129 bathymetry for these lakes, while using a "flat surface" for remaining lakes worldwide. Bathymetries of other large lakes may 130 be included in further updates to the ETOPO data product.

132	Table 3. Large lakes and estuarine	areas from which approximate	e bathymetry was pulled from GEBCO.
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Name	Center Location (Lat, Lon)	Approximate Area (km ²)	ETOPO Tile ID(s)
Caspian Sea	41.9 °N, 50.6 °E	371,000	N45E045, N30E045
Superior	47.8 °N, 88.1 °W	82,103	N45W105, N45W090
Huron	44.8 °N, 82.4 °W	59,600	N45W090, N30W090
Michigan	44.1 °N, 87.0 °W	58,030	N45W090, N30W090
Baikal	53.3 °N, 108.0 °E	31,722	N45E105, N45E090

Erie	42.2 °N, 81.3 °W	25,740	N30W090
Ontario	43.6 °N, 78.0 °W	18,960	N30W090
Laguna Merin	32.8 °S, 53.2 °W	4,500	S45W060
Melville	53.8 °N, 59.4 °W	3,069	N45W075, N45W060
Baker	64.2 °N, 95.4 °W	1,887	N60W105
Bras d'Or	45.9 °N, 60.8 °W	1,100	N45W075
Selawik	66.5 °N, 160.7 °W	1,050	N60W165

134 **3.2 NOAA Estuarine DEMs**

135 In 2018, NOAA updated the National Ocean Service's Estuarine Bathymetry DEMs, gridded representations of bathymetry 136 for various estuaries in the United States, which were initially created in 1998 by the now defunct NOS Special Projects Office. 137 The Estuarine DEMs (National Centers for Environmental Information (NCEI), 2020) provide nearshore and up-river 138 bathymetry for multiple US-based estuarine areas, provided in Mean Low-Low Water (MLLW) tidal elevations. Although 139 these data still represent the "best available" gridded depictions of bathymetry in some locations, they are primarily based on 140 antiquated historical data and do not include many modern survey data, in particular, high-resolution Bathymetric Attributed 141 Grid (BAG) format hydrographic data. The only available data digitized before 1997 were used in the original project. The 142 majority of Estuarine DEMs were included in ETOPO, while several others were omitted where higher-quality data was 143 available from other sources. Most NOAA Estuarine datasets were superseded by other more recent datasets and thus 144 incorporate a small area of the final ETOPO product (less than 0.001 % of global land area).

145 **3.3 NOAA Regional DEMs**

Before the initiation of NOAA's Continuously-Updated Digital Elevation Model (CUDEM) program in 2014 (Amante et al., 2023), the NOAA Coastal Digital Elevation Model team produced numerous regional, integrated topographic-bathymetric DEMs covering various regions within the coastal waters of the United States. These Regional DEMs (NCEI, 2022) are derived from a variety of available data sources at the time of creation and are output in various tidal vertical datums to fit the needs of individual organizations and groups (both internal and external to NOAA) that requested coastal DEMs. The regional DEMs are available on NOAA's THREDDS Catalog at https://www.ngdc.noaa.gov/thredds/catalog/regional/catalog.html. Similar to the NOAA Estuarine DEMs, some individual files were omitted from ETOPO due to the availability of higher-quality data in a specific region. In some areas, specific sub-areas were filtered out from individual regional DEMs due to artifacts, prior to

inclusion in ETOPO 2022. NOAA NCEI-created topographic and bathymetric data newer than the Regional DEMs are

155 included in the high-resolution CUDEM layer (Section 3.11).

156 **3.4 GMRT v4.0**

157 The Global Multi-Resolution Topography Synthesis project (Rvan et al., 2009) maintains a database of gridded high-resolution 158 topographic and bathymetric datasets around the world. They are produced and distributed at multiple gridded resolutions. 159 GMRT primarily focuses on the ingestion and processing of ship-based multibeam sonar data acquired by the United States 160 Academic Research Fleet (ARF). Additionally, GMRT utilizes multibeam sonar and other relevant sources and projects where 161 available. Elevations over land are derived from the United States National Elevation Dataset (NED) and NASA Advanced 162 Spaceborne Thermal Emission and Reflection Radiometer (ASTER) global DEM. Other datasets were used for land elevations 163 in ETOPO 2022, and GMRT is primarily used where multi-beam sonar data exists. ETOPO 2022 made use of GMRT 4.0 data 164 as it existed in June 2022.

165

Some regions in the GMRT bathymetry data—specifically regions that were not derived from multibeam sonar—contained artifacts that did not reflect the true bathymetry in those locations. When such artifacts were found, we manually generated bounding boxes around such regions and filtered them out from the GMRT data (filling with no-data values) before ingesting GMRT into the ETOPO project. These "omitted" regions from GMRT data are outlined in the data file "GMRT omitted regions 15s.csv" included in this dataset.

171 **3.5 Shallow Bathymetry Everywhere**

The Shallow Bathymetry Everywhere project (Forfinski-Sarkozi and Parrish, 2019) maps shallow-water bathymetry using optical image techniques, primarily using the Landsat-8 satellite with machine learning techniques and validated against existing bathymetry surveys and remotely-sensed ICESat-2 lidar data (Forfinski-Sarkozi et al, 2019). At publication time, the dataset encompasses 12 specific regions worldwide available for download at https://shallowbathymetryeverywhere.com/. Eleven regions covering shallow ocean bathymetry were included in ETOPO 2022 while excluding one dataset providing partial coverage over an inland lake (Tahoe, CA).

178 **3.6 BlueTopo**

BlueTopo is a suite of gridded coastal bathymetry datasets at nested resolutions released by the NOAA Office of Coast Survey (OCS) and distributed publicly (U.S. Office of Coast Survey, 2022). BlueTopo surveys were used where the data was extracted from measurements, whereas regions of interpolated data (usually drawn as triangular irregular networks between isolated survey points) were omitted from ETOPO. Additionally, some data was omitted that was sourced from older datasets (older

183 regional DEMs, e.g.) for which more recent data was available from other sources. The BlueTopo tiles come in nested

- resolutions from 16 m to 2 m grid-cell spacings, in powers of 2. Higher-resolution tiles were weighted above lower-resolution tiles where both existed, favoring the higher-resolution data when subsetting data into ETOPO grid cells. BlueTopo tiles were re-gridded from Universal Transverse Mercator (UTM) projections into the World Geodetic Survey 1984 geographic grids, and vertically transformed from the North American Vertical Datum 1988 (NAVD88) into EGM 2008 elevations before
- 188 inclusion in ETOPO.

189 **3.7 Bureau of Ocean Energy Management (BOEM) Gulf of Mexico Bathymetry**

BOEM released a high-resolution bathymetric map of the northern Gulf of Mexico region from active seismic acoustic surveys in 2017 (Kramer and Shedd, 2017). The BOEM gridded dataset consists of 1.4 billion grid cells at 40 by 40 foot horizontal resolution, with depths relative to mean sea level. BOEM is publicly available for download at <u>https://www.boem.gov/oil-gas-</u> <u>energy/mapping-and-data/map-gallery/northern-gom-deepwater-bathymetry-grid-3d-seismic</u>. The two BOEM data grids (covering the Eastern and Western Gulf of Mexico) were each projected horizontally into WGS84 geographic coordinates before inclusion in ETOPO.

3.8 Copernicus DEM 30 m

197 The Copernicus DEM 30 m global digital elevation model (GLO-30)(European Space Agency, 2022) was produced by the 198 European Space Agency's Copernicus program primarily using the TanDEM-X synthetic aperture radar. GLO-30 is provided 199 worldwide with the exception of 25 1-degree tiles in the Armenia and Azerbaijan regions. A recent study compared the 200 accuracies of multiple global land-elevation models (Copernicus DEM, 2022), and found that Copernicus provided the lowest 201 vertical errors compared against high-accuracy airborne lidar datasets in select study areas. The GLO-30 product is a "digital 202 surface model" indicating it measures the top of tree canopies and buildings rather than bare-Earth elevations, which may 203 result in biases when compared to bare-earth elevation datasets. Copernicus was used as the primary land-elevation layer in 204 the polar regions (Arctic and Antarctic) where forests and urban areas are rare or nonexistent.

205 **3.9 FABDEM v1.0**

206 The Forest and Buildings Removed Copernicus DEM (FABDEM) (Hawker et al., 2022) combines the Copernicus DEM GLO-207 30 product with canopy data products and modeling to produce a simulated global bare-earth Digital Terrain Model (DTM). 208 Satellite-derived forest canopy height measurements come from NASA's Global Ecosystem Dynamics Investigation (GEDI) 209 mission (Dubayah et al., 2020) Global Forest Canopy Height 2019 product (Potapov et al., 2021) as well as canopy elevations 210 derived from ICESat-2 lidar measurements (Neuenschwander and Magruder, 2019), built-environment footprints from the 211 World Settlement Footprint (WSF) (Marconcini et al., 2020) and numerous others data layers to produce a model for canopy 212 and building elevation biases within the Copernicus 30 m GLO-30 product. Correcting for these biases, they produced the 213 FABDEM v1.0 product, which was shown to reduce the errors in their respective study areas against reference DEMs produced 214 by high-accuracy airborne lidar. FABDEM is available for land elevations between 60 °S and 80 °N latitudes and is used in 215 ETOPO where available. Copernicus DEM was used in the polar regions south of 60 °S latitude and north of 80 °N. Since the

216 release of ETOPO 2022, FABDEM has been updated (version 1.2) to further reduce biases and errors, especially in steeply

217 sloped regions (Neal et al., 2023).

218 3.10 BedMachine Greenland and Antarctica

219 The BedMachine Greenland version 5 (Morlighem et al., 2017) and BedMachine Antarctica version 3 (Morlighem, 2020) 220 datasets were used to produce the "bedrock" versions of ETOPO with the Greenland and Antarctic ice sheets removed. 221 BedMachine derives gridded ice thickness data from a combination of NASA airborne radar-sounding measurements and a 222 novel interpolation method that combines ice-flow velocities and model calculations to conserve mass across flowlines of 223 glaciers to provide likely estimates of interpolated bed elevations between direct radar measurements. BedMachine elevations 224 were converted from the Eigen-6C4 geoid to the EGM 2008 vertical references, and converted from polar stereo projections 225 into WGS84 geographic grids for inclusion in ETOPO. It was found that in offshore waters surrounding Greenland, 226 BedMachine derives much of its bathymetric elevation data from the same sources as GEBCO, and thus was used without 227 masking for bed elevations of the Greenland ice sheet and surrounding ocean waters together. Although BedMachine 228 Antarctica and BedMachine Greenland are different datasets, they do not overlap spatially, and were combined into the same 229 dataset layer for ETOPO (Table 1). BedMachine data is only used in the ETOPO 2022 "bedrock" elevation products 230 overlapping the Greenland and Antarctic ice sheets, and are unused in the ETOPO "surface" tiles.

231 3.11 CUDEM

232 The Continuously Updated Digital Elevation Model (CUDEM) framework at NOAA produces high-resolution coastal 233 topographic and bathymetric bare-earth DEMs for U.S. states and territories (Amante et al., 2023). CUDEM combines a suite 234 of airborne, spaceborne, and shipborne data to produce seamless topographic and bathymetric datasets in coastal areas for 235 coastal hazard modeling and management, in a framework that allows frequent on-demand updates after significant coastal 236 changes. The CUDEMs are currently the highest-resolution, seamless depiction of the entire U.S. Atlantic and Gulf Coasts in 237 the public domain; coastal topographic-bathymetric DEMs have a spatial resolution of 1/9th arc-second (~3 m) and offshore 238 bathymetric DEMs coarsen to 1/3rd arc-second (~10 m; Amante el al., 2023). CUDEMs also provide high-resolution DEM 239 coverage for Hawaii, American Territories, and portions of the U.S. Pacific Coast. CUDEM tiles generated prior to August 240 2022 were included in ETOPO 2022.

241 4 Methods

242 4.1 CUDEM Stacks

The Continuously Updated Digital Elevation (CUDEM) framework (Amante et al., 2023) at the NOAA Centers for Environmental Information (NCEI) and the Cooperative Institute for Research in Environmental Sciences (CIRES) at the

245 University of Colorado, build and provide a series of Python based software tools for the efficient building of seamless DEM 246 data products from a variety of sources. ETOPO was built primarily using the CUDEM "stacks" module, which stacks raster 247 layers such as those listed in Table 1 from a variety of datasets (in various horizontal projections) using weights provided by 248 the user. The stacks module computes output DEMs using a weighted average of the source datasets overlapping a given output 249 grid-cell, or if the "supersede" flag is set, uses the highest-ranked dataset of all data overlapping a given grid-cell. ETOPO was 250 built from the source datasets listed in Table 1 using the stacks module with the supercede flag set. Source data that was at 251 equal or lower-resolution than the output ETOPO grid cells were interpolated using bilinear interpolation from the source 252 dataset. Source data that was higher-resolution than the ETOPO grid cells were interpolated using an average of overlapping 253 grid cells.

254 4.2 Vertical Datum Transformations

255 Gridded input datasets whose vertical reference datum differed from the EGM2008 geoid, and for which transformation grids 256 are available, were transformed vertically into EGM2008 reference elevations using the CUDEM "vdatums" module described 257 in previous literature (Amante, et al., 2023). The core of the "vdatums" module uses the NOAA VDatum Tool, version 4.4 258 (US Department of Commerce, 2022), which itself incorporates processing methods from NOAA's "httpd" (horizontal time-259 dependent positioning) and "NCAT" (NGS Coordinate Conversion and Transformation) tools. BedMachine data products 260 (Greenland and Antarctica) were vertically transformed from the EIGEN-6C4 geoid into WGS84 ellipsoid elevations using 261 the geoid grids included with BedMachine, and then into EGM2008 using "vdatums." In some individual cases (such as NOAA 262 Estuarine and Regional DEMs), individual DEMs in local tidal datums (such as "mean-low-low-water" [MLLW]) were 263 converted using interpolated grids from local tide stations into WGS84 ellipsoidal elevations, and from there to EGM2008. 264 Some datasets presented as being referenced to mean sea level ("MSL") were not referenced to any global datum, and these 265 were unable to be mathematically converted to EGM2008. These datasets were primarily used in off-shore regions where the 266 differences between MSL and the EGM2008 geoid heights are far less than the uncertainties in the bathymetry measurements 267 themselves. In such cases, MSL-referenced data was included unchanged in ETOPO 2022. Any uncertainties added from this 268 implicit non-conversion of data are included in the uncertainty estimates of the ETOPO product.

269 4.3 Coastline Masking of Copernicus and FABDEM

Copernicus and FABDEM provided the majority of land-elevation data for the ETOPO 2022 product. Both datasets contain zero values over ocean waters, which are treated as "NoData." When Copernicus and FABDEM are resampled from their native 1-arc-second resolutions to the ETOPO 2022 15-arc-second resolutions, it can cause the shoreline to "creep" by 1 pixel, because any 15-arc-second grid-cell would be classified as coming from Copernicus or FABDEM if even a fraction of a single 1-second grid cell from those datasets were included anywhere in the ETOPO grid-cell. To avoid this, both Copernicus and FABDEM were resampled into the ETOPO 15-arc-second grid using both "mean" and "nearest-neighbor" interpolation methods. The nearest-neighbor dataset only contained data if the source dataset overlapped with the center of the ETOPO-grid cell, providing a more realistic shoreline outline than using the "mean"-derived data. The mean-derived data was produced for the elevations it provided, but the coastline of mean values was masked using the "nearest neighbor" derived data, so that a mean elevation was produced only if Copernicus or FABDEM overlapped with the center of the ETOPO grid cell. These resampled and masked tiles were used in the final production of the ETOPO tiles.

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282 4.4 Production of 30- and 60-second tiles

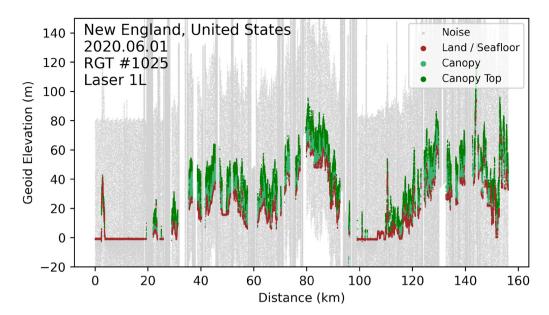
The ETOPO 15-arc second dataset is available in 288 global tiles at 15° latitude and longitude intervals. For users with global applications who do not need the highest resolution, ETOPO is produced in 30- and 60-second (1-arc-minute) resolutions in single global files, in both surface and bedrock versions. The 30- and 60-second global tiles were produced by meaninterpolating and stitching the 15-second ETOPO tiles into a single file. Since the lower-resolution files were generated by averaging the higher-resolution ETOPO, no source ID (sid) files are produced for the ETOPO 30- and 60-second versions.

288 5 Validation Methods

The Ice, Cloud, and land Elevation Satellite 2 (ICESat-2) is a photon-counting spaceborne altimetric lidar. ICESat-2 data was used to rank datasets as well as validate the ETOPO 2022 product over land. ICESat-2 photons from the calendar year 2021 were assimilated and used to assess the bare-earth elevations of land photons over grid cells that underlie ICESat-2 orbit passes. A small number of ICESat-2 granules were discarded due to the presence of data artifacts.

293

294 Figure 3 shows a point cloud of a single ICESat-2 orbit track over the northeast U.S. from June 1, 2022. By linking ICESat-295 2's ATL03 v5 Photon data product (Neumann, 2021) with its ATL08 Land and Vegetation Elevation (Neuenschwander and 296 Pitts, 2019) data product, we classified photons as land-surface, canopy, canopy top, and noise. Atmospheric/noise photons, 297 seen as "grey" in Figure 3, were discarded. Although canopy and canopy-top photons were used for assessing approximate 298 vegetation cover, they were not used directly in validation processing against the ETOPO bare-earth dataset. Only photons 299 that were classified as land or ice-surface in the ATL03 product, with a "high" confidence level, were included. Since ETOPO 300 is a bare-earth elevation product and ICESat-2 does not filter out photons reflected from the tops of urban structures, validating 301 ETOPO in regions with high rooftops introduces a false negative bias in ETOPO validations using ICESat-2. We used the 302 World Settlement Footprint (WSL) dataset to filter out regions of heavy-urban building cover to help alleviate this bias. In 303 higher-resolution validations, we use the OpenStreetMap database to filter out photons at individual building levels, but such 304 a mask was infeasible at ETOPO's 15 arc-second resolution. Lastly, we filtered out photons that likely reflected off regions of 305 open water using the US National Hydrography Dataset Plus (NHDplus) (Moore et al., 2019) as well as the global HydroLakes 306 (Khazaei et al., 2022) dataset. Best attempts were made to only validate ETOPO against ICESat-2 over grid-cells that represent 307 the land topography.



309

Figure 3. An ICESat-2 photon point cloud over New England, USA. Photons are classified to identify canopy, canopy-top, ground, and noise, according to filtering in the ICESat-2 ATL08 data product, and mapped at an individual photon level in ATL03 granules.

314 ICESat-2 granules are stored and archived at the NASA Distributed Active Archive Center and the National Snow and Ice 315 Data Center (NSIDC). Data granules are formatted and distributed in orbit-track segments, where a single full earth orbit of 316 the satellite is divided into 14 sub-segments by elevation band. While this format is useful when processing individual orbit 317 paths (such as for producing Figure 3, above), it is inefficient for processing photons from multiple orbits that fall over an 318 individual grid cell on a DEM. In those cases, large granule files must repeatedly be subsetted to extract the relatively small 319 number of photons that lie within a specific grid cell, causing significant processing delays. The NSIDC DAAC provides a 320 server-based subsetter for the data, but does not allow correctly combining the ATL03 and ATL08 datasets for photon 321 classification, and thus was unusable for this project. To improve the performance of geospatial searches across multiple 322 ICESat-2 granules, all ICESat-2 photons from calendar year 2021 were re-organized into geographic tiles. 417,660 tiles were 323 created over the Earth's land surface at 0.25x0.25 degree boundaries, and photons from all granules collected in the calendar 324 year 2021 were subdivided into data tiles for each target tile in which data was recovered.

325

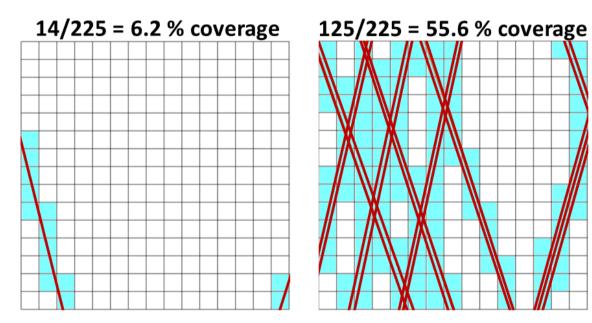
ETOPO was validated on a cell-by-cell basis. First, each 15° ETOPO data tile was subset into 225 $1x1^{\circ}$ "sub-tiles" to reduce the total data load for each tile validation. For each $1x1^{\circ}$ tile, a coastline validation mask was created using the Copernicus DEM dataset outlines, with water bodies and building footprints eliminated to ensure only bare-earth land elevations are being validated from ICESat-2. For each DEM cell, photons are collected falling within that grid cell. The top and bottom deciles

330 (<10th and >90th percentile of z-elevations) of photons are eliminated to reduce the influence of outlier photons in the data.

331

332 With a spatial resolution of 15-arc-seconds (approximately 450 m at the equator), spatial sampling errors were seen to be 333 significantly skewing comparisons between ICESat-2 and DEM grid-cells. A grid-cell in a sloped or mountainous region, in 334 which ICESat-2 only "clips the corner" of a grid cell while missing a majority of the cell's spatial coverage (Figure 4, left), 335 can produce errors of tens to hundreds of meters between the grid-cell's "average" elevation and the average elevations of 336 ICESat-2 photons over the same grid-cell. To alleviate this spatial sampling bias, each 15-arc-second ETOPO grid cell that 337 contained ICESat-2 data was subset in 15x15 1-arc-second subsets, photons were binned into each subset, and the total number 338 of subsets was tallied in order to compute a rough-order "coverage" estimate of ICESat-2 photons across an ETOPO grid-cell. 339 Figure 4 shows a schematic representation of this process, in which two grid cells with substantially different numbers of 340 ICESat-2 overlaps have differing coverage estimates.

341



342

Figure 4. A schematic representation of two ETOPO grid-cells subdivided into 15×15 1-arc-second sub-cells to compute
cell coverage from ICESat-2 orbits. Left: A cell with only two partial orbit passes clipping the corners of the grid-cell,
with lower overall coverage. Right: A cell with multiple ICESat-2 orbit passes and higher coverage.

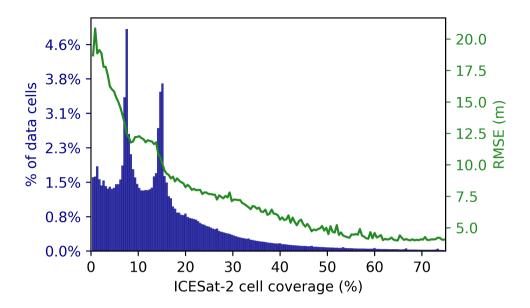




Figure 5. Distribution (blue bars, left) and RMSE (green line, right) of validated ETOPO grid cells as a function of ICESat-2
 grid-cell coverage.

351 Errors were computed for each ICESat-2 grid cell by subtracting the ICESat-2-derived mean elevation of the grid cell against 352 the ETOPO elevation. Figure 5 clearly shows the effect of spatial biasing, where grid cells that have significantly higher 353 coverage estimates (~40% coverage) have consistently lower mean RMSE values compared to ICESat-2 estimates. In Figure 354 5, the two notable spikes in the histogram, at 7.5% and 15% coverage, correspond to ETOPO grid cells containing exactly one ICESat-2 orbit path, and exactly two orbit paths, respectively. Due to the converging orbits of ICESat-2 approaching its "pole 355 356 hole" near 88 ° north and south latitude, a significant majority of ETOPO grid cells with higher ICESat-2 coverages (above 357 40%) are located in the polar regions, especially in Antarctica. This precluded using a set "minimum coverage" to filter out 358 grid-cells with low coverage to calculate the RMSE of the ETOPO global dataset. Any such estimate would be dominated by 359 validations predominantly over Antarctica. In order to avoid spatially biasing the validation data to the polar regions, while 360 still eliminating lower-coverage grid cells that suffer from spatial sampling biases, we computed the RMSE of errors within 361 each 1x1° sub-grid cell used for validation, and only chose grid-cells that had the top 5% coverage of all cells validated within 362 that sub-tile. This provided validation data across a majority of Earth's land-surface (Figure 7, below) while minimizing errors 363 introduced by spatial sampling biases, providing a "geographically weighted" estimate of ETOPO errors.

364

A small number of individual ICESat-2 granule files were found to have biased elevations relative to other orbits (even crossing orbits) in the same DEM tile, providing bimodal error distributions due to artifacts in one particular ICESat-2 granule. These specific ICESat-2 granules were flagged as anomalous data and omitted from further analyses.

369 Only the 288 ETOPO 15s tiles were validated in this manner. Since ICESat-2 cannot validate bedrock elevations underneath

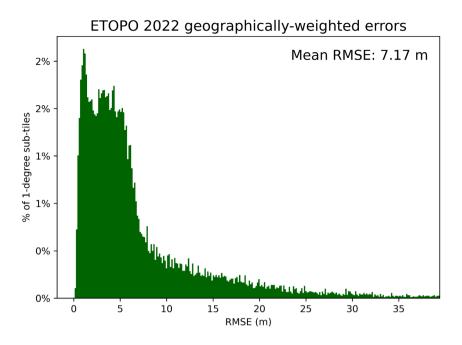
the ice sheets, only land surface elevation tiles were validated. The ETOPO 30s and 60s global files were subsampled from
 ETOPO 15s tiles, and were not independently validated.

372 6 Validation Results

373 Using the mean RMSE of the errors computed in grid-cells within each 1x1° ETOPO sub-tile, we find that ETOPO has a mean 374 RMSE over land of 7.17 m (Figure 6). Sub-tiles here are used in order to not geographically bias the validation data to the 375 poles, where more validation data exists. A map of these RMSE errors is provided in Figure 7. The geographic distribution of 376 errors clearly shows that RMSEs are greater in mountainous regions, a somewhat unsurprising result. The largest RMSE's 377 were seen at the coastline of Antarctica, where unavoidable mismatches can occur at the ice edge where consistently-calving 378 icebergs can open large leads and open water. ICESat-2 is measuring a constantly-changing surface while ETOPO is attempting 379 to represent a snapshot elevation dataset. Persistent negative biases of several meters are seen over the interior of the Greenland 380 and Antarctic ice sheets (where ETOPO showed lower elevations than indicated by ICESat-2). Copernicus DEM's X-band 381 radar signals from the TanDEM-X satellite can penetrate snow and firn by up to several meters, which could cause such biases 382 there. They may also be at least partially an artifact of blowing snow caused by persistent katabatic winds, which is corrected 383 for in ICESat-2's ATL06 Land Ice Elevation (Smith and Team, 2023) data product, but was not used for these analyses because 384 ATL06 version 5 did not provide indices to map ice elevations back to a photon level as ATL08 does. ATL06 may be worked 385 into future validation efforts of other global DEMs beyond ETOPO 2022.

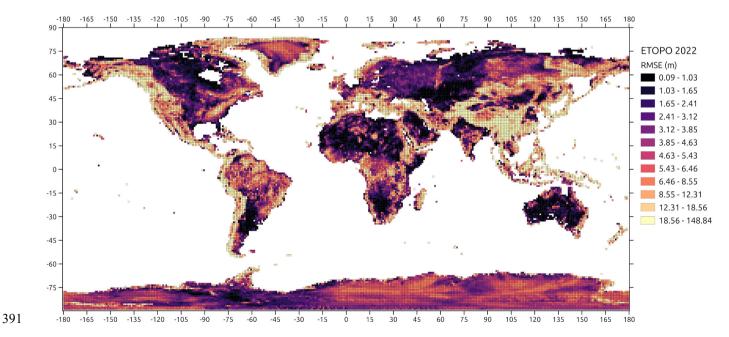
386

387 To our knowledge, this is one of the few instances where ICESat-2 has been used to validate a DEM on a global scale.



388

Figure 6. Distribution of ICESat-2 derived RMSEs averaged over each $1x1^{\circ}$ ETOPO sub-tile over land. The mean RMSE of the dataset is 7.17 m.

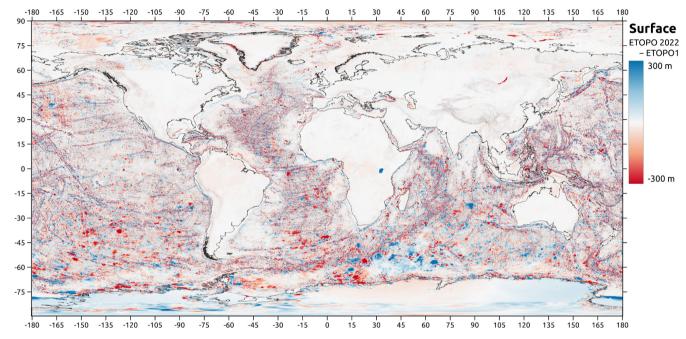


392 **Figure 7.** Map of RMSEs of 1x1° ETOPO sub-tiles over land, validated against ICESat-2.

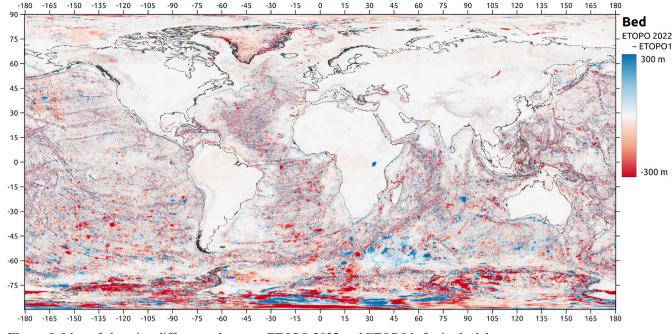
393 7 Comparison with ETOPO1

ETOPO1, the previous iteration of NOAA's global seamless topographic-bathymetric Earth elevation data product, was released in 2010 at 1 arc-minute resolution, in both ice-surface and ice-bed versions (Amante and Eakins, 2009). Large amounts of elevation source data have been collected globally since ETOPO1's release, and as a result, ETOPO 2022 was built from entirely different datasets than ETOPO1, justifying a direct comparison. We compared the ETOPO 2022 60-second bed and surface grids to the ETOPO1 products on the same grid. Maps of the elevation differences are presented in Figures 8 and 9.

399 400



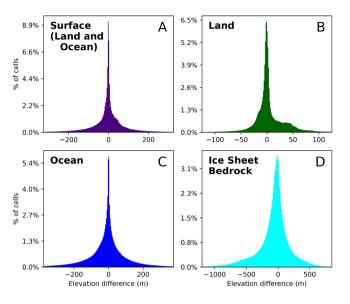
402 **Figure 8.** Map of elevation differences between ETOPO 2022 and ETOPO1, for ice surface datasets.



403 404

Figure 9. Map of elevation differences between ETOPO 2022 and ETOPO1, for ice bed datasets.

406 The greatest differences between ETOPO 2022 and the previous ETOPO1 product are in the ice sheet bed elevations (Figures 407 9 and 10.D), which had a root-mean-square (RMS) difference of 291 m from ETOPO1 to ETOPO 2022. The large 408 discrepancies between these two datasets are a result of a vastly greater number of direct measurements of the ice sheet bed 409 from ground-penetrating radar measurements, collected primarily via airborne measurements (MacGregor et al., 2021), and 410 improved physically-based interpolations between depth measurements (Morlighem, 2020; Morlighem et al., 2017). Similarly, 411 differences are large between the ocean bathymetries of the two datasets (RMS 152 m), owing to vastly greater volumes of 412 bathymetry collected from new technologies such as swath-mapping multi-beam sonar. The differences are greatest in the 413 Southern Ocean (Figure 9), where spaceborne gravimetric bathymetry estimates have improved our understanding of deep 414 ocean bathymetry even where direct measurements remain sparse. Land elevation differences are relatively smaller (Figure 415 10.B, RMS 53.4 m). It is worth noting that in areas of heavy canopy cover, most notably in the Amazon and Congo rainforest 416 basins, ETOPO 2022 records lower elevations than ETOPO1, largely due to the post-processing in FABDEM to reduce biases 417 from canopy-top returns in spaceborne radar-altimetry collections. Also noteworthy is a visible "line" at 60 ° north latitude in 418 northern Canada and Russia. North of this line the elevation differences between ETOPO1 and ETOPO 2022 are of markedly 419 greater magnitudes (both positive and negative) than south of that line. Land surface elevations in ETOPO1 were primarily 420 derived from NASA's Shuttle Radar Topography Mission (SRTM), first released in 2010, which only spanned up to 60° north 421 latitude but excluded the polar regions. Elevations north of that line were derived by other methods, including lower-resolution 422 spaceborne altimeters and digitized map data.



424

Figure 10. Histograms of ETOPO 2022 (60s) - ETOPO1 elevations, A) for all land and ocean surface elevations (Figure 9, full map), B) for land surface only. C) for ocean bathymetry only, and D) for ice sheet bed elevations (Figure 9, Greenland and Antarctic ice sheets). Note the different X-axes in the subplots.

428 8 Known Issues and Limitations

429 Even with improved technologies, known issues exist in ETOPO 2022 that may be addressed in future versions. Large swaths 430 of ETOPO 2022 ocean bathymetry come from the GEBCO data product, which itself comes from a wide variety of direct 431 measurements and indirect interpolations. Since GEBCO and ETOPO 2022 use the same 15-arc second global grid, users who 432 wish to see which source dataset GEBCO used in an ETOPO grid cell can download the GEBCO Type Identifier (TID) grids 433 for accompanying GEBCO 2022 tiles (Mayer et al., 2018). Since many regions of the ocean floor remain unmapped by direct 434 surveys, other methods are used to gap-fill direct measurements, such as inverse satellite gravimetry or interpolations between 435 existing surveys. Especially close to the coast, such methods can produce artifacts such as deep "pits" of dozens-to-hundreds 436 of meters depth in near-shore coastal regions, which may affect the accuracy of tsunami models and other use-cases in certain 437 regions (Amante and Eakins, 2016). We caution users when relying on GEBCO-derived near-shore bathymetry data to check 438 the TID grids of the GEBCO surveys and pay attention to "indirect measurements" (TID #40-46) in those surveys.

439

440 Some ETOPO users may note elevations in the ETOPO grid don't match known elevations of notable landmarks (such as the 441 summit of Mt. Everest, e.g.). Since ETOPO is computed as a "mean elevation" of a 15-arc second grid cell (covering 442 approximately ~211,000 m2 at the equator), it is natural for there to be at times notable differences between an ETOPO grid

- 443 elevation and the elevation of a specific point within that cell, especially in steeply-sloped terrain. For users wishing for closer
- 444 matches to individual landmark points, we recommend higher-resolution datasets.
- 445
- ETOPO 2022 is not intended for navigational use, especially nautical navigation. Ships should rely upon coastal surveys and other bathymetric charts designed for navigational use.

448 9 Code and Data Availability

ETOPO tiles are freely available to use for all private, academic, or commercial purposes except navigation. Data is available for download on the NOAA ETOPO landing page: <u>https://www.ncei.noaa.gov/products/etopo-global-relief-model</u>. Source datasets for ETOPO are all publicly available at their respective data repositories outlined and referenced in Section 3. ETOPO data is covered by a Creative Commons Zero v1.0 Universal (CC0-1.0) license as described in NOAA's metadata description at <u>https://data.noaa.gov/waf/NOAA/NESDIS/NGDC/MGG/DEM//iso/xml/etopo_2022.xml</u>. When using ETOPO 2022 data from either link, please reference this manuscript as well as the following citation:

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NOAA National Centers for Environmental Information. 2022: ETOPO 2022 15 Arc-Second Global Relief Model. NOAA
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A vast majority of processing for ETOPO 2022 was performed in Python 3.9, using open-source libraries and tools. Source code for the ETOPO workflow is maintained on its GitHub repository: <u>https://github.com/ciresdem/ETOPO</u>. The CUDEM suite of tools that ETOPO relies upon is maintained at its own repository: <u>https://github.com/ciresdem/cudem</u>. Both code repositories are covered by MIT open-access licenses (licenses viewable at each respective GitHub link).

463

The ETOPO 2022 User Guide is also available for download on the ETOPO landing page. Although this manuscript covers the processing steps in greater detail than the User Guide, the User Guide will be periodically updated whenever errors are found or revisions are made to the data and is seen as the "most current" review of the dataset. The User Guide is a recommended reading for data users.

468

469 10 Competing Interests

470 The contact author has declared that none of the authors has any competing interests.

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