

# 1 **Microbial plankton occurrence database in the North American** 2 **Arctic region: synthesis of recent diversity of potentially toxic and/or** 3 **harmful algae**

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11 **Abstract.** The Arctic Ocean is currently undergoing significant transformations due to climate change, leading to profound  
12 changes in its microbial planktonic communities, including photoautotrophic prokaryotes and eukaryotes (i.e., phytoplankton),  
13 as well as heterotrophic, phagotrophic, and mixotrophic protistan species. Among these unicellular organisms, potentially toxic  
14 and/or harmful algal species (hereafter referred to as "HA") are of particular concern, as they pose a threat to human and  
15 ecosystem health if they potentially spread into Arctic waters. Despite their importance, the spatial and temporal distribution  
16 of these communities in the North American Arctic is poorly understood. To address this gap, we compiled and synthesized a  
17 large dataset from various sources, partitioned into nine regions based on the Large Marine Ecosystem classification. Our  
18 dataset contains 385 348 georeferenced data points and 18 268 unique sampling events (Schiffrine et al., 2024), encompassing  
19 1442 unique taxa, with Heterokontophyta (notably diatoms) and Dinoflagellata being the most dominant phyla. Our results  
20 indicate distinct spatial patterns of diversity, with the highest diversity observed in Atlantic-influenced regions of the North  
21 American Arctic. An analysis of the maximum latitude of HA species over time shows a gradual increase, with a notable rise  
22 towards the 1990s. However, this trend is likely influenced by increased research in higher latitudes, meaning no substantial  
23 spread of HA species into the North American part of the Arctic. Our study underscores the importance of extensive and long-  
24 term sampling efforts to understand the Arctic's biodiversity, particularly in documenting the presence and distribution of HA  
25 species. While the occurrence of HA in the Arctic is recognized, our findings highlight the need for further detailed  
26 investigations to fully grasp their ecological impacts and variability in the region. Overall, our results provide new insights  
27 into the spatial patterns and biodiversity of the microbial planktonic communities in the North American Arctic and have  
28 implications for understanding the ecological functioning and response of this region to ongoing climate change.

## 29 1 Introduction

30 The Arctic Ocean has become a focal point for climate change research due to its vulnerability to rapid and significant  
31 alterations in the environment (Meredith et al., 2019). As a result, the Arctic has been the focus of a growing number of  
32 scientific investigations aimed at understanding how these transformations affect the region's ecosystems, people, and global  
33 climate. In 2007, the Intergovernmental Panel on Climate Change recognized the Arctic as a region among the most vulnerable  
34 to climate change, highlighting the urgent need for further research in this area. Since then, a large body of scientific literature  
35 has emerged that explores the effects and implications of climate change on the Arctic marine ecosystem.

36  
37 The Arctic Ocean is undergoing rapid changes, with surface waters warming nearly four times faster than the global average  
38 (Rantanen et al., 2022), leading to significant reductions in sea ice extent and thickness (Hanna et al., 2021; Kacimi and Kwok,  
39 2022). This has resulted in increased melt pond formation (Rösel et al., 2012) and changes in ice dynamics, affecting energy  
40 absorption and water column stratification (Carmack et al., 2016), thereby reducing nutrient supply (Yamamoto-Kawai et al.,  
41 2009). More recently, Ardyna and Arrigo (2020) have highlighted that the Arctic Ocean is experiencing some of the fastest  
42 rates of ocean acidification, adding to the numerous challenges already faced by this rapidly changing region. These dramatic  
43 environmental changes are reshaping the microbial planktonic communities, including photoautotrophic prokaryotes and  
44 eukaryotes (i.e., phytoplankton), as well as heterotrophic, phagotrophic, and mixotrophic protistan species, with significant  
45 implications for ecosystem structure and function (e.g., Ardyna and Arrigo, 2020). The reduction in sea-ice cover has led in  
46 earlier phytoplankton blooms in some regions (Kahru et al., 2011), and increased open water periods, potentially leading to a  
47 second bloom in autumn (Ardyna et al., 2014). In the Barents Sea, shifts in the current surface velocities have driven poleward  
48 intrusions of *Gephyrocapsa huxleyi* (Lohmann) Reinhardt (previously called *Emiliania huxleyi* (Lohmann) Hay & Mohler;  
49 Bendif et al., 2019, 2023), a temperate marine calcifying phytoplankton species (Neukermans et al., 2018; Oziel et al., 2020).  
50 Conversely, in the less productive waters of the Canada Basin, increased freshwater inflow has led to a transition from  
51 nanophytoplankton/diatom communities to picophytoplankton due to altered nutrient availability in the surface layer (Li et al.,  
52 2009). The observed changes in physico-chemical conditions in the Arctic may also increase the potential risk of proliferation  
53 of potentially toxic and/or harmful algal species (hereafter abbreviated as HA). Numerous HA species have already been  
54 detected in several Arctic regions (Bates et al., 2020; McKenzie et al., 2020). Notably, various toxin-producing diatoms of the  
55 genus *Pseudo-nitzschia* Heragallo have been documented in Iceland, Western Greenland, Baffin Bay, Barrow Strait, Beaufort  
56 Sea, Bering Strait, and subarctic regions around Norway (Bates et al., 2020; Pučko et al., 2019). Similarly, toxic dinoflagellate  
57 species belonging to the genera *Alexandrium* Halim and *Dinophysis* Ehrenberg have been detected (Bates et al., 2020; Bruhn  
58 et al., 2021; Dhifallah et al., 2021; Okolodkov and Dodge, 1996; Pučko et al., 2019). Olsen et al. (2019) recently documented  
59 a red tide of the harmful phototrophic ciliate *Mesodinium rubrum* (Lohmann) Leegard at the interface between ice and water  
60 in newly formed pack ice north of Svalbard during early spring. Their findings suggest that ephemeral blooms of this species  
61 are increasingly probable under the context of thinning Arctic sea ice. Nöthig et al. (2015) also described a dominance shift

62 towards the harmful prymnesiophyte *Phaeocystis pouchetii* (Hariot) Lagerheim, driven by a warm-water anomaly in the  
63 Atlantic waters of the West Spitsbergen Current in Fram Strait. Moreover, the increase in maritime traffic due to growing  
64 economic and tourism development in the Arctic may elevate the risk of introducing non-native species, including HA species  
65 (Chan et al., 2019; Dhifallah et al., 2021). These shifts could have significant implications for the future of Arctic marine  
66 ecosystems, impacting the transfer of energy and organic matter through the pelagic food web.

67  
68 The paucity of data on the diversity and richness of Arctic microbial planktonic communities, particularly phytoplankton and  
69 other protist species, hinders our ability to fully understand their spatial and temporal variability. Additionally, the complex  
70 biogeography of the polar region exacerbates this issue. One way to address these challenges and track potential changes in  
71 community structure, dynamics, and phenology is through the use of long-term datasets. The emergence of digital archives of  
72 biological data, such as the Global Biodiversity Information Facility (GBIF; <https://www.gbif.org/>) and the Ocean  
73 Biogeographic Information System (OBIS; <https://www.obis.org/>), has enabled the identification of significant patterns in the  
74 global distribution of microbial planktonic diversity as well as the occurrence, toxicity, and associated risks posed by HA  
75 species (Hallegraeff et al., 2021; Righetti et al., 2019). Despite numerous studies that have utilized long-term datasets to  
76 monitor changes in Arctic microbial planktonic diversity and dominance, most of these studies have been conducted in specific  
77 regions of the Arctic (Blais et al., 2017; Freyria et al., 2021). Furthermore, previous reports on the diversity of Arctic microbial  
78 planktonic communities have not included essential information such as geographic coordinates and dates, limiting the ability  
79 to assess potential changes in diversity and dominance (e.g., Poulin et al., 2011). To date, there has been no effort to  
80 comprehensively combine data from various sources, such as OBIS, GBIF, and both published and unpublished datasets, into  
81 a unified database specifically for the North American Arctic sector. This study aims to fill this gap by creating an extensive  
82 database on a pan-American scale. This database will facilitate the investigation of global trends in the biogeography, diversity,  
83 and composition of microbial planktonic taxa across the North American region of the Arctic Ocean, thereby addressing the  
84 limitations of existing quantitative data.

## 85 2 Data and methods

### 86 2.1 Data acquisition

87 Our database consists of microbial planktonic occurrences (i.e., presences and abundances greater than zero), including  
88 photoautotrophic prokaryotes and eukaryotes (i.e., phytoplankton), as well as heterotrophic, phagotrophic, and mixotrophic  
89 protistan species. These data were compiled from web-based search engines and queries in online databases, such as OBIS  
90 (<https://obis.org/>), GBIF (<https://www.gbif.org/>) and PANGAEA (<https://www.pangaea.de/>). Occurrence data from OBIS (last  
91 accessed: November 20, 2020; n = 575 200) and GBIF (last accessed: November 16, 2020; n = 197 439) were first downloaded  
92 using the keywords “Chromista” and “Plantae”; from 45° N to 90° N and from 40° W to 180° W, without temporal restriction.  
93 Occurrence data from PANGAEA (last accessed: November 2020; n = 1994) were collected using the keywords: “Chromista”,

94 “Phytoplankton”, “Taxonomy”, “Harmful algal bloom”, “Arctic Ocean”, “Polar” and several combinations of these keywords.  
 95 We supplemented the data with records from ArcticNet campaigns (n = 43 982) and individual studies (n = 90 479). We  
 96 included the "sourceArchive" column (Table 1) to specify the origin of each record (i.e., GBIF, OBIS, ArcticNet, or individual  
 97 studies). We standardized the column names to ensure compatibility between different datasets and to adhere to the Darwin  
 98 Core standard (<https://dwc.tdwg.org/>), resulting in a comprehensive dataset of 909 094 data points (Schiffriane et al., 2024).

## 99 2.2 Biogeographic classification

100 Our global database was divided into hexagonal bins using the R package *dggridR* (version 3.1.0; [https://github.com/r-](https://github.com/r-barnes/dggridR)  
 101 [barnes/dggridR](https://github.com/r-barnes/dggridR); Barnes and Sahr, 2020), with a resolution of 2591.40183 km<sup>2</sup>. The chosen grid resolution strikes a balance  
 102 between providing sufficient spatial resolution to capture ecological patterns and minimizing computational requirements.  
 103 Each grid cell was then assigned a corresponding Large Marine Ecosystem (LME) region using the spatial polygons obtained  
 104 from the “*mr\_shp*” function of the R package *mregions* (version 0.1.9; Chamberlain and Schepers, 2021). Arctic LMEs are  
 105 defined by ecological criteria, including bathymetry, hydrography, productivity, and tropically linked populations, and are  
 106 integral to ecosystem-based management approaches with a 5-module framework focused on productivity, fish and fisheries,  
 107 pollution and ecosystem health, socioeconomics, and governance (PAME, 2013). Conserving only grid labelled as “arctic”  
 108 according to the LME classification (PAME, 2013), this new dataset contains 4458 grid cells partitioned into nine different  
 109 regions and 550 033 data points (Schiffriane et al., 2024).

110  
 111 **Table 1: Detailed description of the columns. For details see: <https://dwc.tdwg.org/>**

Variable name	Definition
acceptedNameUsage	Currently accepted name according to AlgaeBase and/or WoRMS
eventDate	Date and time of the event
year	Integer representing the year in which the event occurred
month	Integer representing the month in which the event occurred
day	Integer representing the day of the month in which the event occurred
DayOfYear	Day of the year in which the event occurred
decimalLongitude	Geographic longitude in decimal degrees
decimalLatitude	Geographic latitude in decimal degrees
depth	Depth in meters at which the event occurred
individualCount	Number or enumeration value representing the quantity of organisms
Comments	Additional comments or notes about the record
datasetID	Identifier for the dataset
datasetName	Name identifying the dataset from which the record is derived

basisOfRecord	Nature of the record, based on the Darwin Core terms
sourceArchive	Source from which the records were obtained
institutionCode	Name or acronym of the institution having custody of the object or information referred to in the record
seqnum	Identifier for the grid cell
lme_name	Name of the Large Marine Ecosystem (LME) region
abbrev_lme_name	Abbreviation of the Large Marine Ecosystem (LME) region name
verbatimScientificName	Original scientific name recorded
ModifiedName	Taxonomic name after modification or correction to improve accuracy or consistency
ReduceName	Taxonomic name after reduction to a higher taxonomic rank when the original name contained multispecies or complex designations
parse.name	Taxonomic name used for verification with AlgaeBase and/or WoRMS, obtained through parsing and formatting processes to ensure compatibility and consistency with the databases
openNomenclature	Uncertainty or provisional status of taxonomic identification
acceptedNameUsageID	Identifier for the current accepted scientific name details
scientificName	Scientific name according to AlgaeBase and/or WoRMS
scientificNameID	Identifier for scientific name details
URI	Set of identifiers constructed according to the generic syntax for Uniform Resource Identifiers
acceptedNameUsageAuthorship	The authorship information for the acceptedNameUsage formatted according to the conventions of the applicable nomenclatural Code
taxonomicStatus	Status of the use of the acceptedNameUsage as a label for a taxon
nomenclaturalStatus	Status related to the original publication of the name and its conformance to the relevant rules of nomenclature
taxonRank	Taxonomic rank of the most specific name in the acceptedNameUsage
taxonRankID	Identifier for the taxonomic rank of the most specific name in the acceptedNameUsage
kingdom	Full scientific name of the kingdom in which the taxon is classified
phylum	Full scientific name of the phylum or division in which the taxon is classified
class	Full scientific name of the class in which the taxon is classified
order	Full scientific name of the order in which the taxon is classified

family	Full scientific name of the family in which the taxon is classified
genus	Full scientific name of the genus in which the taxon is classified
isMarine	Boolean flag indicating whether the taxon is a marine organism, i.e., can be found in/above sea water
isBrackish	Boolean flag indicating whether the taxon is an organism that can be found in brackish water
isFreshwater	Boolean flag indicating whether the taxon occurs in freshwater habitats, i.e., can be found in/above rivers or lakes
isTerrestrial	Boolean flag indicating the taxon is a terrestrial organism, i.e., occurs on land as opposed to the sea
isFossil	Boolean flag indicating whether the taxon is an extinct organism
isToxic	Boolean flag indicating whether the taxon is a toxic organism
isHarmful	Boolean flag indicating whether the taxon is a harmful organism
bibliographicCitation	Bibliographic reference for the resource
bibliographicCitation_ToxicHarmfulStatus	Bibliographic reference for the resource's toxic and harmful status
modified	Date on which the resource was changed
database	Database source used for the scientific name verification

112

### 113 2.3 Data quality control

114 Each record underwent a verification process to ensure the accuracy of taxonomic identification. First, we used the AlgaeBase  
115 database, and the API key provided by the AlgaeBase team to validate each record as an accepted name  
116 (<http://www.algaebase.org/>; AlgaeBase. World-wide electronic publication, 2023). If a record was not validated through this  
117 process, we performed a secondary verification using the “*wm\_records*” function from the R package *worms* (version 0.4.3;  
118 Chamberlain and Vanhoorne, 2023), using the World Register of Marine Species database (WoRMS;  
119 <http://www.marinespecies.org/>; Ahyong et al., 2023). If the taxonomic identification could not be found in either of these  
120 databases, we assigned the record to the next higher taxonomic classification level (n = 39). These modifications were specified  
121 in the “ReduceName” column (Table 1). In order to maintain data quality and avoid loss of information, we manually adjusted  
122 a total of 249 taxonomic names, with the modified names indicated in the “ModifiedName” column (Table 1). Taxonomic  
123 records that included qualifiers such as “aff.” (n = 40) and “cf.” (n = 95) were categorized at the species level in our dataset to  
124 simplify taxonomic classification. While this simplification enhances dataset accessibility, it is crucial to acknowledge the  
125 potential introduction of errors due to a certain degree of uncertainty associated with species identification. To maintain  
126 transparency, qualifiers originally denoted by “cf.” and “aff.” were thoughtfully preserved in the “Open\_Nomenclature”  
127 column (Table 1). This approach strikes a balance between simplification and taxonomic rigor, enabling users to recognize the

128 initial uncertainty in identification and facilitating further investigation or refinement of taxonomic assignments as necessary.  
129 Taxonomic records with qualifiers such as “sp.” (n = 193) or “spp.” (n = 324), as well as those indicating a “group” (e.g.,  
130 *Pseudo-nitzschia seriata* group; n = 27), “complex” (e.g., *Gymnodinium/Gyrodinium* complex; n = 3), or containing multiple  
131 species names (e.g., *Pseudo-nitzschia delicatissima/Pseudo-nitzschia pseudodelicatissima*; n = 12), were categorized at the  
132 genus level in the dataset. These qualifiers are denoted in the “Open\_Nomenclature” column (Table 1). Less than 1% of the  
133 records in our dataset could not be identified in either the AlgaeBase or WoRMS databases. The original taxonomic names  
134 were retained in the “verbatimScientificName” (Table 1), allowing for traceability to the harmonized names.

#### 135 2.4 List of potentially toxic and/or harmful algal species

136 In the context of this study, the term “HA” is used as an abbreviation to refer collectively to potentially toxic and/or harmful  
137 algal species. Our comprehensive global list of HA species consists of the IOC-UNESCO taxonomic reference list  
138 (<http://www.marinespecies.org/hab/>; last accessed: June 20, 2023; Lundholm et al., 2009). We subsequently supplemented this  
139 list by incorporating the taxa list of Bates et al. (2020, 2019), which notably included the Ciliophora, *Mesodinium rubrum*  
140 (Lohmann) Leegard. We chose to retain *M. rubrum* due to its significant ecological implications (McKenzie et al., 2020; Olsen  
141 et al., 2019). We excluded the dinoflagellate *Protoperidinium crassipes* (Kofoid) Balech from Bates et al. (2020) since this  
142 heterotrophic species appears to act more as a toxin vector than a toxin producer (e.g., Tillmann et al., 2009). Each taxon was  
143 verified as described in the previous section and merged based on the “acceptedNameUsage” column. We assigned the toxic  
144 and/or harmful status to each record, following the criteria of Lundholm et al. (2009) and Bates et al. (2020, 2019). This  
145 compiled list includes 113 Dinoflagellata, 49 Heterokontophyta, 43 Cyanobacteriota, 11 Haptophyta, and one Ciliophora  
146 species. Of these, 205 species have been identified as toxic (indicated by a flag in the “isToxic” column; Table 1), seven are  
147 considered harmful (indicated by a flag in the “isHarmful” column; Table 1), and five species remain under debate regarding  
148 their toxic and/or harmful status (flagged in both “isToxic” and “isHarmful” columns; Table 1).

#### 149 2.5 Data merger and synthesis

150 The filters implemented during the data merging and synthesis process aimed to ensure the quality and relevance of the dataset.  
151 The filters applied were as follows:

- 152     ▪ Records without year information were removed to ensure data quality and enable meaningful temporal analysis, as  
153     the absence of this crucial temporal component would limit the dataset’s usability for studying time-dependent  
154     patterns or trends.
- 155     ▪ Records with depths greater than 2500 meters were excluded, considering the specific characteristics and depth ranges  
156     of the Arctic region based on bathymetry data.
- 157     ▪ Records classified as “fossil only” or “fossil” in either the AlgaeBase or WoRMS databases (e.g., “isFossil” column;  
158     Table 1) were excluded to focus only on currently occurring microbial planktonic species. However, records classified  
159     as freshwater or brackish according to the AlgaeBase or WoRMS databases (i.e., “isFreshwater” and “isBrackish”

160 columns; Table 1) were retained to account for their ecological relevance and potential responses to changing Arctic  
161 conditions, given the Arctic’s connection to freshwater and brackish coastal regions.

- 162 ■ Records not found in either AlgaeBase or WoRMS were excluded to ensure the inclusion of taxonomically validated  
163 and accepted names.
- 164 ■ Taxa belonging to specific kingdoms (i.e., Animalia, Fungi, Acritarcha), phyla (i.e., Foraminifera, Oomycote,  
165 Rhodophyta, Retaria), and classes (i.e., Phaeophyceae, Ulvophyceae) were excluded to maintain the focus on  
166 microbial planktonic species.
- 167 ■ Records identified at a taxonomic level higher than genus were removed from the dataset to ensure consistent and  
168 accurate taxonomic classification at the genus level. Retaining records at the genus level allows for a more detailed  
169 understanding of the composition of microbial planktonic communities in the study area.
- 170 ■ Duplicate records were removed, using the following columns: “day”, “month”, “year”, “depth”, “decimalLatitude”,  
171 “decimalLongitude”, “verbatimScientificName”, “scientificName”, “acceptedNameUsage”, “basisOfRecord” and  
172 “individualCount” (Table 1). This step ensured that each unique sampling event was represented by a single record  
173 in the dataset.

174

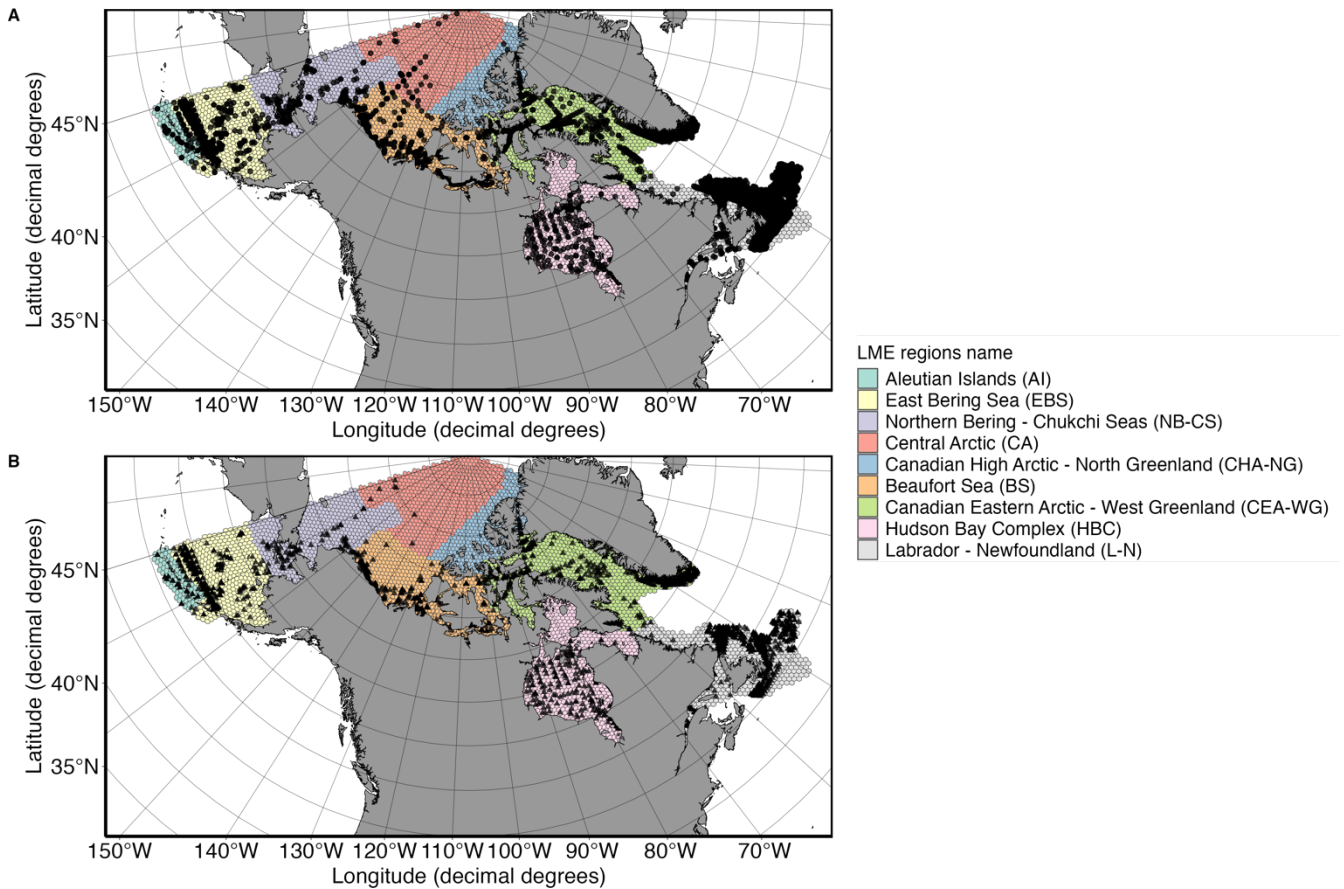
175 After applying these filters, the dataset contains 385 348 individual georeferenced data points and 18 268 unique sampling  
176 events (Fig. 1A; Schiffrine et al., 2024). To access the comprehensive diversity of HA species, we further subset the database  
177 based on the “isToxic” and “isHarmful” columns (Table 1), resulting in a dataset with a total of 48 555 georeferenced data  
178 points of HA species and 6744 unique sampling events (Fig. 1B; Schiffrine et al., 2024).

## 179 2.7 Data analysis

180 The size of each LME region was determined by calculating the total number of grid cells (i.e.,  $n_{total}$ ). For each LME region  
181 and each month, the number of grid cells containing records was counted and summed per year (i.e.,  $n_{sampled}$ ). This value was  
182 then divided by  $n_{total}$  to estimate the percentage of the region that was sampled, or sample coverage, that specific year within  
183 each LME region. The same method was applied to the HA sub-dataset, where  $n_{HA\ sampled}$  represents the number of grid cells  
184 containing HA records summed per year. Mapping and statistical analysis were performed on the filtered dataset (see section  
185 2.6) in R (R version 4.4.1; Team and R Development Core Team, 2019), using *tidyverse* (version 2.0.0; Wickham et al., 2019),  
186 *ggOceanMaps* (version 2.2.0; <https://mikkovihtakari.github.io/ggOceanMaps/>; Vihtakari, 2021), *vegan* (version 2.6-6.1;  
187 Oksanen et al., 2020), and *betapart* (version 1.6; Baselga and Orme, 2012) packages.

188





189

190 **Figure 1: (A) Global distribution of microbial planktonic occurrence and (B) potentially toxic and/or harmful algal (HA) species**  
 191 **records. Abbreviations of the Large Marine Ecosystems (LME) regions are in parentheses.**

## 192 3 Results and discussion

### 193 3.1 Spatiotemporal coverage

194 The use of long-term datasets has significantly improved our understanding of microbial planktonic taxa distribution and  
 195 diversity, as well as the underlying drivers of these patterns at both local (McKenzie et al., 2020; Nohe et al., 2020) and global  
 196 scales (Benedetti et al., 2021; Hallegraeff et al., 2021; Righetti et al., 2019). However, currently available databases on  
 197 microbial planktonic occurrences only provide limited information on the Arctic Ocean. Although PhytoBase (i.e., Righetti et  
 198 al., 2020) is one of the most comprehensive and up-to-date sources of information on phytoplankton occurrence, data above  
 199 60° N are generally underrepresented. Furthermore, the recent study by Hallegraeff et al. (2021) did not specifically address  
 200 the evolution of HA blooms in the Arctic Ocean, but instead grouped the North American region of the Arctic Ocean within  
 201 the broader region of “East Coast America”.

202

203 Despite the existence of several published microbial planktonic taxa lists specifically focused on the North American sector  
204 of the Arctic Ocean, there is currently a lack of a comprehensive and freely available standardized database accessible to the  
205 scientific community. To fill this gap, our project aimed to compile and integrate a large and diverse collection of data from  
206 multiple sources. The objective was to create a comprehensive database covering the distribution of microbial plankton  
207 including photoautotrophic prokaryotes and eukaryotes (i.e., phytoplankton), as well as heterotrophic, phagotrophic, and  
208 mixotrophic protistan species with a particular focus on HA species, across the North American sector of the Arctic Ocean.  
209 Our efforts greatly expanded the spatial and temporal coverage of microbial plankton data across all LME regions in this sector  
210 of the Arctic Ocean compared to PhytoBase. Our database covers an impressive time span of 132 years, from 1888 to 2020,  
211 with 95% of the data collected after 1963. Sampling was mainly concentrated between the months of June to September,  
212 corresponding to reduced ice cover in the Arctic Ocean accessibility by ships to the Arctic. The spatial distribution of the  
213 records was highly unbalanced with 82% of data records falling in the Labrador—Newfoundland region alone, followed by  
214 the Canadian Eastern Arctic—West Greenland with 8% (Figure S1). The remaining regions contribute smaller proportions,  
215 ranging from 0.1% to 5% of the data records (Figure S1). The dataset spans a depth range from 0 to 1010 meters. However,  
216 17% of the dataset lacks depth information, necessitating caution when interpreting the vertical distribution of phytoplankton  
217 and other protists. This is particularly significant in the Arctic marine environment, where subsurface chlorophyll *a* maxima  
218 (SCM) are common (e.g., Martin et al., 2012). The scarcity of depth data may lead to an underestimation of biodiversity in  
219 these deeper layers. While 83% of the entries include depth information, allowing for some general statements regarding  
220 vertical distribution, the vast majority of data focuses on surface layers (95%), and the gaps in depth records impose certain  
221 limitations on our ecological interpretations. Regarding the types of records within the dataset (i.e., basisOfRecord column,  
222 Table 1), the majority (71%) are categorized under “HumanObservation”, which includes occurrences documented through  
223 field notes, literature, or records without physical or machine-recorded evidence. Another significant portion, 19% of the  
224 dataset falls under the “PreservedSpecimen” category, representing samples that have been treated with fixatives for  
225 preservation.

### 226 3.2 Taxonomic coverage

227 A total of 1442 unique taxa were recorded in our study. This number falls within the range reported by Archambault et al.  
228 (2010) and Poulin et al. (2011) for the same region (i.e., 1657 and 1229 taxa, respectively). It's essential to acknowledge that  
229 both Archambault et al. (2010) and Poulin et al. (2011) conducted their analyses based on literature reviews predominantly  
230 reliant on microscopic observations. In more recent comprehensive pan-Arctic taxonomic inventories using genomic  
231 techniques, Lovejoy et al. (2017) and Ibarbalz et al. (2023) reported 2241 and 3082 different OTU taxa, respectively. The  
232 discrepancy in reported taxa between our study and the aforementioned studies can be attributed to the fundamental differences  
233 in our respective approaches—our reliance on mainly microscopic observations (i.e., >90%) versus their exclusive use of  
234 genomic data. Genomic techniques possess the capacity to identify a broader spectrum of species, including those of smaller  
235 size or less conspicuous under microscopic examination, such as the Mamiellophyceae *Micromonas polaris* Simon, Foulon &

236 Marin. Microscopic observations, which constitute a substantial portion of our dataset, inherently introduce certain biases.  
237 They may overlook rare or small species (<3 µm) and encounter challenges related to precise species identification,  
238 compounded by considerations such as the choice of fixative (e.g., acidic Lugol's solution or formalin; Sournia, 1978). As a  
239 result, our study may not offer a fully comprehensive representation of total species richness, particularly concerning rare or  
240 molecularly detectable taxa.

241  
242 In this study, Heterokontophyta and Dinoflagellata were the most commonly occurring phyla, accounting for approximately  
243 45% and 36% of total occurrences, respectively (Fig. 2A). Within the phylum Heterokontophyta, which notably included  
244 diatoms (Guiry et al., 2023), the genus *Chaetoceros* Ehrenberg was the most frequently observed, followed by *Thalassiosira*  
245 Cleve, which accounted for 24% and 14% of total Heterokontophyta occurrences, respectively (Fig. 2B). *Tripes* Bory and  
246 *Gyrodinium* Kofoid & Swezy were the two most abundant genera in the phylum Dinoflagellata, accounting for 20% and 16%  
247 of total Dinoflagellata occurrences, respectively (Fig. 2C). The observed predominance of Heterokontophyta in this study,  
248 particularly the genera *Chaetoceros* and *Thalassiosira*, is in line with the general understanding of Arctic phytoplankton and  
249 other protist diversity (Lovejoy et al., 2017; Poulin et al., 2011). On the other hand, the findings for phylum Dinoflagellata  
250 contrast with prior research that has highlighted the predominance of the genus *Protoperdinium* Bergh (Okolodkov and  
251 Dodge, 1996). The exceptionally high occurrence of *Tripes* and *Gyrodinium* should be interpreted with caution. These two  
252 genera are mainly observed in the Labrador—Newfoundland region, where the majority of the data collected originates from  
253 the Continuous Plankton Recorder (CPR; Figure S2). It is important to note that CPR uses a large mesh size (270 µm) (e.g.,  
254 Richardson et al., 2006), resulting in an over-representation of larger taxa, such as *Tripes* and *Gyrodinium*.

255  
256 Cyanobacteria are notably scarce in Arctic waters, and their ecological roles appear to be taken over by picoeukaryotic algae  
257 (Buitenhuis et al., 2012; Pedrós-Alió et al., 2015). Studies indicate a marked decrease in the cell abundance of oceanic  
258 picocyanobacteria with increasing latitude in the northern and southern hemispheres, and *Prochlorococcus* Chisholm, Frankel,  
259 Goericke, Olson, Palenik, Waterbury, West-Johnsrud & Zettler ex Komárek, the most abundant photosynthetic cell type in  
260 tropical oceans, is largely absent from polar waters (Flombaum et al., 2013). The International Census of Marine Microbes  
261 (ICoMM) surveys retrieved fewer than 30 true Cyanobacterial tags overall, reflecting their scarcity in Arctic marine waters  
262 (Lovejoy et al., 2011). In addition, a study of molecular diversity in the Beaufort Sea identified picocyanobacteria that were  
263 mostly affiliated with freshwater and brackish *Synechococcus* Nägeli lineages rather than oceanic ones (Waleron et al., 2007).  
264 Similarly, a 16S rRNA gene study of bacterial communities in the Beaufort Sea and Amundsen Gulf found that cyanobacteria  
265 were not among the top 50 most abundant bacterial taxa (Comeau et al., 2011). Our study revealed the presence of 26 distinct  
266 cyanobacteria entries, with 12 identified at the genus level and 14 at the species level. These 26 taxa encompass a diverse range  
267 of Cyanobacteria, with *Synechococcus* Nägeli being the most frequently detected genus, accounting for 91% of the  
268 cyanobacterial occurrences. Overall, cyanobacterial occurrences represent a small fraction of the total (0.8%). These results  
269 are consistent with the hypothesis of an allochthonous origin of Cyanobacteria in the coastal Arctic Ocean, as all species

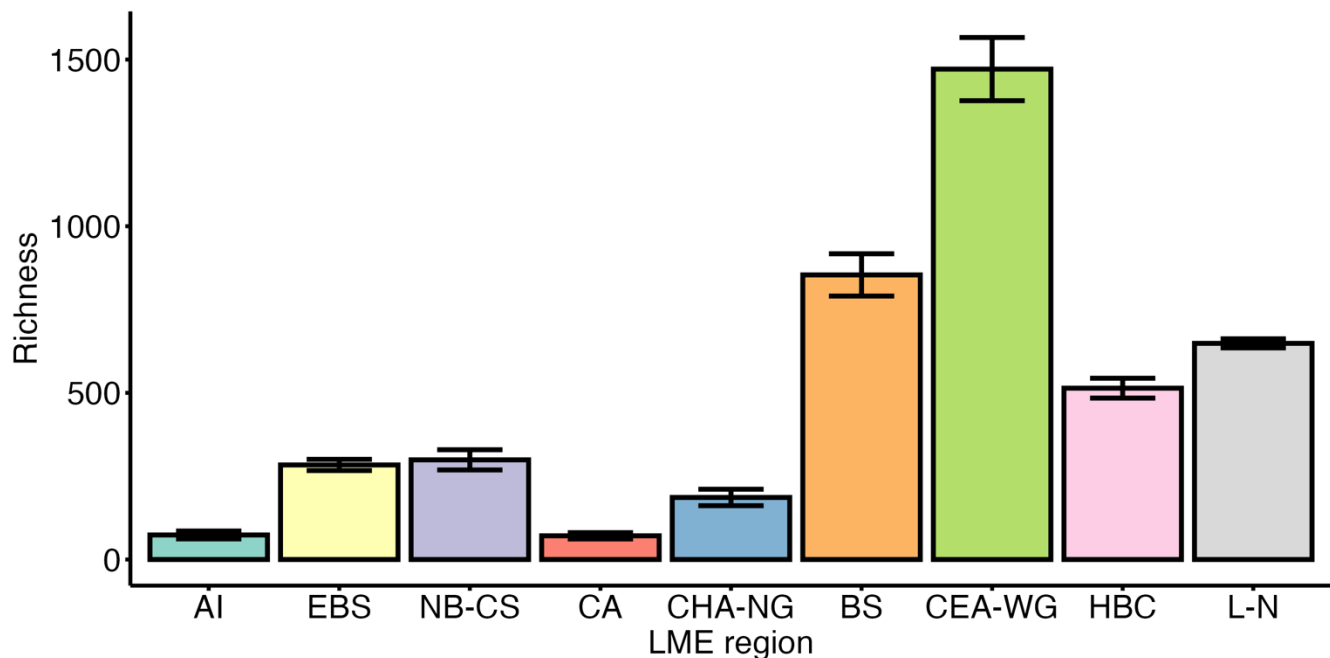


280

281 A well-established concept is the latitudinal gradient of diversity, where the highest levels of diversity are typically found near  
282 the equator, gradually diminishing towards the poles (Ibarbalz et al., 2019; Righetti et al., 2019). However, this pattern is not  
283 universally consistent across all taxa. Chaudhary et al. (2016) has highlighted that for certain groups, diversity may exhibit a  
284 bimodal distribution, with peaks occurring in the mid-latitudes rather than at the equator, and a notable decline in species  
285 richness within equatorial regions. Furthermore, patterns of phytoplankton diversity exhibit significant variation between  
286 different Longhurst provinces (Hörstmann et al., 2024). The primary driver behind these patterns is likely ocean temperature  
287 variability (Chaudhary et al., 2017; Ibarbalz et al., 2019). While environmental conditions undoubtedly contribute to these  
288 diversity patterns, the scarcity of data may also account for the observed low diversity. Righetti et al. (2020) reported a total  
289 of 1704 phytoplankton species, including 239 species within the same grid used in our study. However, our study detected an  
290 additional 1359 taxa, of which 532 belonged to Heterokontophyta and 363 to Dinoflagellata. Our results indicate that previous  
291 research may have significantly underestimated the biodiversity of Arctic phytoplankton and other protists (Righetti et al.,  
292 2019). Such underestimation may bias our understanding of the latitudinal gradient of diversity.

### 293 3.3 Difference in species richness according to the Arctic LME regions

294 LME regions show substantial variation in the nature of the data (i.e., basisOfRecords column; Table 1; Figure S3A) and data  
295 provenance (i.e., sourceArchive column; Table 1; Figure S3A), resulting in high variation in sampling coverage (Figure S4).  
296 Regions with higher contributions from OBIS/GBIF, such as the Labrador—Newfoundland region (Figure S3A), tend to have  
297 more extensive datasets. This leads to greater sampling coverage (Figure S4) and a higher probability of capturing a wider  
298 range of species, providing a more comprehensive representation of local biodiversity. In contrast, regions with higher  
299 contributions from “Individual Studies”, such as the Aleutian Islands, Central Arctic, or Canadian High Arctic—North  
300 Greenland regions (Figure S3A), may have been the focus of more specific scientific research. This results in lower sampling  
301 coverage (Figure S4) and may underrepresent species richness, potentially leading to an incomplete understanding of the true  
302 species composition in these areas. To address this concern, we used the Chao2 index, a widely used nonparametric method  
303 for estimating species richness in a community (Chao and Shen, 2003). The Chao2 index is particularly valuable as it accounts  
304 for rare species, providing a more accurate estimate of species richness in datasets with uneven sampling effort. The application  
305 of the Chao2 index allows us to assess alpha diversity, i.e., diversity on a local scale, within each LME region, especially when  
306 working with frequency counts or presence/absence data. The Chao2 index reveals significant differences in diversity among  
307 the LME regions (Fig. 3). The Canadian Eastern Arctic—West Greenland and Beaufort Sea regions exhibited the highest  
308 values of the Chao2 index, indicating higher species richness and diversity within their phytoplankton and other protist  
309 communities. In contrast, the Aleutian Islands and Central Arctic regions had the lowest values, suggesting lower species  
310 richness and diversity in these areas. The East Bering Sea, Hudson Bay Complex, Labrador—Newfoundland, and Northern  
311 Bering—Chukchi Seas regions also showed moderate to high Chao2 index values, indicating varying levels of species richness  
312 and diversity across these regions.

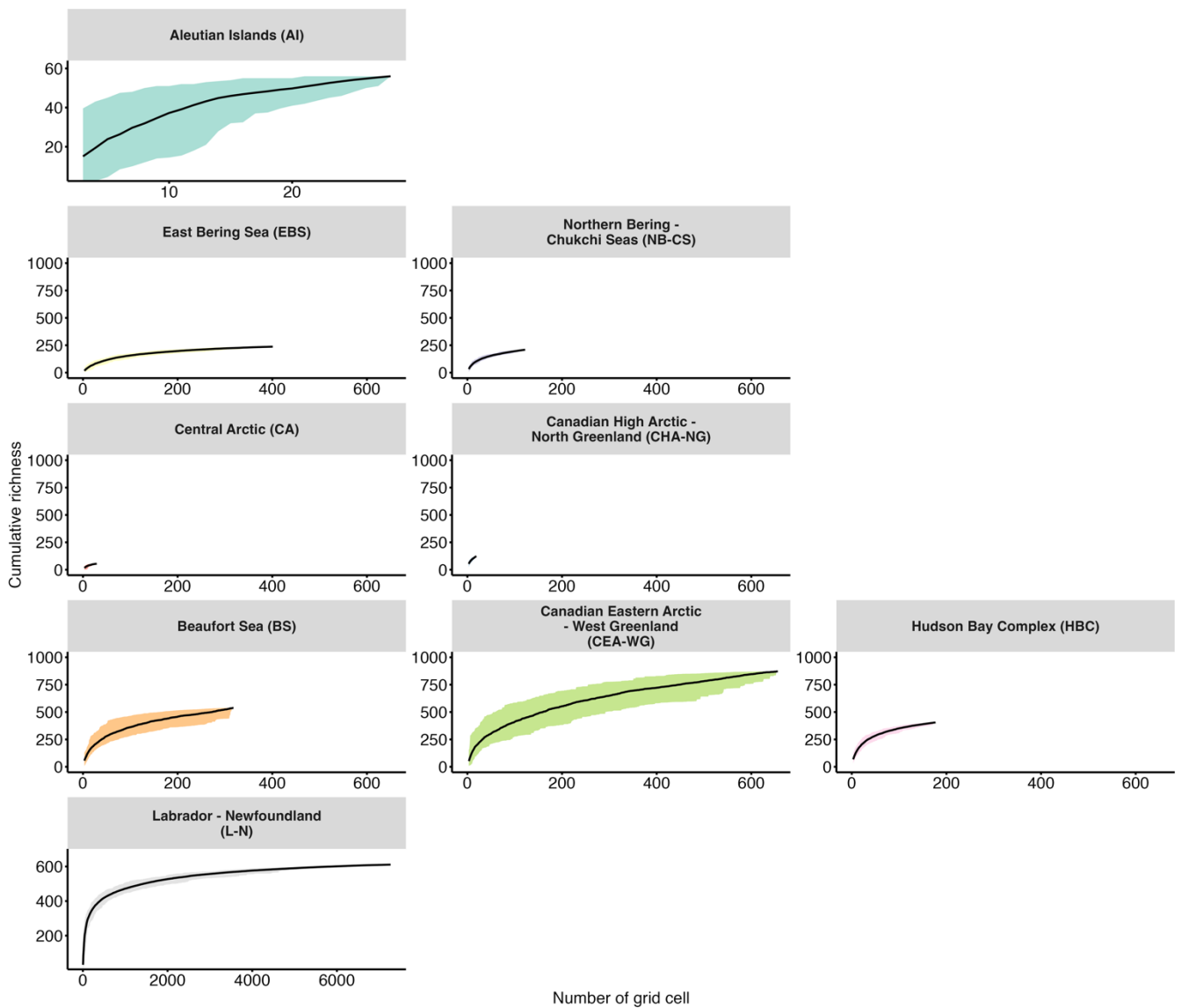


314

315 **Figure 3: Chao2 index for each Large Marine Ecosystem (LME) region. Error bars represent the standard error (i.e., SE). LME**  
 316 **regions are labeled as follows: AI (Aleutian Islands), n = 28; EBS (East Bering Sea), n = 401; NB-CS (Northern Bering—Chukchi**  
 317 **Seas), n = 122; BS (Beaufort Sea), n = 318; CA (Central Arctic), n = 29; CHA-NG (Canadian High Arctic—North Greenland), n =**  
 318 **19; CEA-WG (Canadian Eastern Arctic—West Greenland), n = 656; HBC (Hudson Bay Complex), n = 177; L-N (Labrador—**  
 319 **Newfoundland), n = 7268.**

320

321 To further analyze local diversity, we used species accumulation curves (SACs) to illustrate the number of species sampled  
 322 relative to the level of sampling effort (Thompson and Withers, 2003). SACs typically reach an asymptote when sufficient  
 323 sampling effort is achieved, enabling us to estimate the comprehensiveness of species richness detection. In our analysis, we  
 324 computed SACs based on the number of species observed in each grid cell for each month of every year, yielding valuable  
 325 insights into species richness and the extent of saturation (i.e., completeness of species richness detection) between regions  
 326 (Fig. 4). The Hudson Bay Complex and Labrador—Newfoundland regions exhibited saturation at cumulative richness levels  
 327 of around 400 and 600 taxa, respectively (Fig. 4), indicating that a significant proportion of the taxa present in these regions  
 328 had been sampled. Conversely, the SACs for other regions did not reach a plateau, suggesting that the sampling effort was  
 329 insufficient to capture the complete diversity (Fig. 4). This pattern was particularly pronounced in the northernmost regions,  
 330 such as Central Arctic and Canadian High Arctic—North Greenland (Fig. 4).



331

332 **Figure 4: Cumulative richness as a function of number of grid cell for each month of every year with standard deviation (i.e., SD;**  
 333 **colored area) for each Large Marine Ecosystem (LME) region. LME region abbreviations are in parentheses.**

334

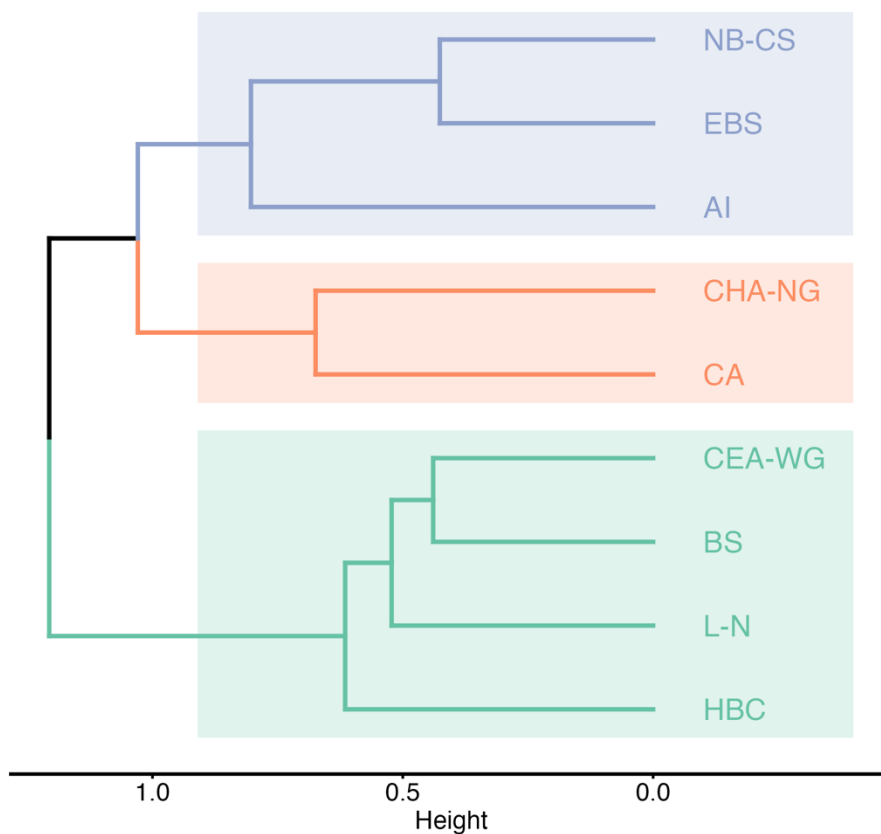
335 The Central Arctic region, known for its extreme environmental conditions, such as low-nutrient concentrations and prolonged  
 336 annual sea-ice cover (Codispoti et al., 2013), exhibits a lower Chao2 index compared to other regions (Fig. 3). However, the  
 337 SAC did not saturate (Fig. 4), indicating that the actual diversity in this region may be higher than observed in this study.  
 338 Despite the inflow of nutrient-rich water through the Bering Strait (Torres-Valdés et al., 2013), which contributes to the high  
 339 productivity in the Pacific regions such as East Bering Sea and Northern Bering—Chukchi Seas (Tremblay et al., 2015), these  
 340 regions display a relatively low Chao2 index (Fig. 3). One possible explanation for this observation is that the sampling effort

341 conducted in these regions may not have been sufficient to capture the complete range of species diversity, leading to an  
342 underestimation of richness. This is supported by the SACs (Fig. 4), which show that the curves for both regions do not reach  
343 a plateau, indicating that the sampling effort was insufficient to fully assess the diversity present in these areas. The Labrador—  
344 Newfoundland region displays an intermediate Chao2 index (Fig. 3), although the SAC indicates that the majority of species  
345 have been recorded (Fig. 4). This saturation can be attributed to the provenance (i.e., “sourceArchive” column; Table 1; Figure  
346 S3A) and the nature (i.e., “basisOfRecords” column; Table 1; Figure S3B) of the data. Notably, 90% of the data come from  
347 OBIS, the majority of which were collected using the Continuous Plankton Recorder (CPR). The CPR's sampling methods,  
348 which involve a large mesh size (270  $\mu\text{m}$ ), fixed sampling depth (5–10 m), and high sampling speed (15–20 knots), are effective  
349 at capturing larger and more robust species but tend to miss smaller and more delicate species, as well as those that are not  
350 consistently present in the surface mixed layer (Richardson et al., 2006). As a result, the intermediate Chao2 index and the  
351 apparent saturation in the SACs may coexist. This is because the limitations of the CPR result in under-sampling of certain  
352 species, leading to apparent saturation in the species accumulation curves, while the Chao2 index may suggest that more  
353 species are present but not captured by the current sampling methods. The Chao2 index values for both the Beaufort Sea and  
354 the Canadian Eastern Arctic—West Greenland regions were remarkably high (Fig. 3). This is consistent with previous  
355 observations of relatively high diversity in the Canadian Eastern Arctic—West Greenland region (Joli et al., 2018;  
356 Kalenitchenko et al., 2019). However, it comes as a surprising result for the Beaufort Sea region, where, except during episodic  
357 upwelling events, the water column is highly stratified, and nutrient concentrations in the surface mixed layer are extremely  
358 low, especially in the northern part (Ardyna et al., 2017). This typically leads to a community with relatively low diversity and  
359 a high prevalence of picoeukaryotes, mostly represented by the psychrophilic prasinophyte *Micromonas polaris* N.Simon,  
360 Foulon & B.Marin (Balzano et al., 2012; Coupel et al., 2015; Tremblay et al., 2009). The relatively high Chao2 index value  
361 for the Beaufort Sea region may be explained by the fact that most of the samples were collected from nearshore areas (Figure  
362 S5). These nearshore areas are known for their high productivity (Ardyna et al., 2017), likely due to their exposure to nutrient-  
363 rich waters that can support the growth and diversity of microbial planktonic communities. Another explanation could be the  
364 influence of stable surface mixed layer in the Beaufort Gyre that provide multiple ecological niches, further contributing to the  
365 observed high diversity. Nevertheless, the SACs for both regions indicate that sampling efforts in these areas are incomplete  
366 (Fig. 4). This implies that diversity may be underestimated and underscores the importance of further sampling to gain a more  
367 accurate understanding of local biodiversity in both the Beaufort Sea and the Canadian Eastern Arctic—West Greenland  
368 regions.

369  
370 The beta diversity ( $\beta$ ) assessment provides valuable insights into the dissimilarity of taxa composition between multiple  
371 samples, enabling researchers to understand the variation in biodiversity across different spatial scales (Whittaker, 1972). In  
372 this study, we used the Sørensen dissimilarity index ( $\beta_{\text{SOR}}$ ) as the  $\beta$  diversity index to determine the proportion of taxa that are  
373 not shared between LME regions. The  $\beta_{\text{SOR}}$  values range from 0 to 1, where 0 indicates identical taxonomic composition at all  
374 sites, and 1 represents completely different sets of taxa (Baselga, 2010). Our analysis revealed the subdivision of the LME



375 regions into three distinct clusters based on their **taxa** composition (Fig. 5). The first cluster, known as the “Pacific Cluster”,  
376 includes the Aleutian Islands, East Bering Sea, and Northern Bering—Chukchi Seas regions. The second cluster, referred to  
377 as the “Northern Arctic Cluster”, encompasses the Central Arctic and Canadian High Arctic—North Greenland regions. Lastly,  
378 the third cluster, named the “Mixed Arctic Cluster”, consists of the Beaufort Sea, Canadian Eastern Arctic—West Greenland,  
379 Hudson Bay Complex, and Labrador—Newfoundland regions. The grouping of the Aleutian Islands, East Bering Sea, and  
380 Northern Bering—Chukchi Seas regions is anticipated owing to their common water supply and circulation patterns, which  
381 involve receiving water inflows from the Pacific Ocean through the Bering Strait (Rudels and Carmack, 2022). Consequently,  
382 this leads to comparable environmental conditions and nutrient inputs, **which in turn explain the observed similarities in the**  
383 **composition of microbial planktonic communities**. Similarly, the Central Arctic and Canadian High Arctic—North Greenland  
384 regions share a common water circulation pattern in the Arctic Ocean (Rudels and Carmack, 2022). The common circulation  
385 pattern, combined with similar environmental characteristics, contributes to the similarities in taxonomic composition between  
386 these two regions. The inclusion of the Beaufort Sea region with Atlantic-dominant regions (i.e., Canadian Eastern Arctic—  
387 West Greenland, Hudson Bay Complex, and Labrador—Newfoundland) into one unique cluster may initially seem  
388 contradictory due to its geographic location outside the Atlantic side of the Arctic and lack of direct influence from Atlantic  
389 waters (Rudels and Carmack, 2022). However, this clustering is based on similarities in **taxa** composition rather than  
390 geographic proximity or environmental conditions. Despite its location, the Beaufort Sea region exhibits a higher resemblance  
391 in **taxa** composition to the Canadian Eastern Arctic—West Greenland, Hudson Bay Complex, and Labrador—Newfoundland  
392 regions compared to other regions in the dataset. This unexpected similarity may be attributed to oceanic circulation patterns  
393 and water mass transport mechanisms that connect these regions (Rudels and Carmack, 2022). **These circulation patterns and**  
394 **transport mechanisms may facilitate the dispersal of taxa from the Beaufort Sea to Atlantic-dominated regions, thereby**  
395 **influencing the observed similarities in taxa composition (Wassmann et al., 2015)**. Such circulation-driven dispersal was  
396 documented by Reid et al. (2007), who observed the spread of the diatom *Neodenticula seminae* (Simonsen & T.Kanaya)  
397 Akiba & Yanagisawa from the Northwest Arctic to the Atlantic side, possibly through the Canadian Arctic Archipelago and/or  
398 Fram Strait. This observation provides additional support for the concept of shared microbial planktonic composition  
399 influenced by oceanic circulation. These findings provide important insights into the biogeographic patterns of microbial  
400 planktonic communities in the Arctic LME regions and highlight the importance of considering both geographic and ecological  
401 factors when interpreting these patterns.



402

403 **Figure 5: Cluster analysis of  $\beta$  diversity Sørensen dissimilarity index ( $\beta_{SOR}$ ) between the different Large Marine Ecosystem (LME)**  
 404 **region obtained with Ward's cluster method. LME regions are labeled as follows: NB-CS (Northern Bering—Chukchi Seas), EBS**  
 405 **(East Bering Sea), AI (Aleutian Islands), CHA-NG (Canadian High Arctic—North Greenland), CA (Central Arctic), CEA-WG**  
 406 **(Canadian Eastern Arctic—West Greenland), BS (Beaufort Sea), L-N (Labrador—Newfoundland) and HBC (Hudson Bay**  
 407 **Complex).**

#### 408 **3.4 Diversity of potentially toxic and/or harmful algal species**

409 The presence of HA species has been a well-known concern in temperate marine and freshwater ecosystems, but their  
 410 occurrence in the Arctic marine ecosystem is relatively new. With the ongoing climate change in the Arctic Ocean, there is a  
 411 high probability that the frequency of HA occurrence will increase, notably by stimulating cyst germination (Anderson et al.,  
 412 2021). Furthermore, the expansion of HA distributions from other regions due to increased ship traffic in the Arctic may further  
 413 exacerbate this problem (e.g., Chan et al., 2019). HA species pose substantial risks to both human and ecosystem health, and  
 414 can cause massive economic losses through fish kills. The phycotoxins produced by some of these organisms can  
 415 bioaccumulate in higher trophic level organisms, including molluscs, seabirds, and marine mammals. When transferred to  
 416 higher trophic levels, these phycotoxins can result in massive mortality, neurological or gastrointestinal adverse effects if  
 417 consumed at concentrations that surpass safe thresholds. In the Alaskan sector of the Bering Sea, concentrations of these toxins

418 have been detected in shellfish tissues that could pose a health risk to local populations (Gao et al., 2019). This issue is  
419 particularly important as northern populations rely on traditional harvesting of fish, shellfish, and marine mammals for  
420 subsistence food.

421

422 Of the 217 HA species compiled from Lundholm et al. (2009) and Bates et al. (2020, 2019) (see section 2.4 for details;  
423 Schiffrine et al., 2024), our database identified 59 species. Notably, our study detected a higher number of HA species  
424 compared to previous studies conducted by Poulin et al. (2011) and Pućko et al. (2019), who reported 36 and 27 species,  
425 respectively, after updating their species lists with revised taxonomy. It is noteworthy that both studies primarily aggregated  
426 data from literature reviews based on microscopic observations. Our study contributed an additional 25 species, including 16  
427 species from the phylum Dinoflagellata and seven species from the phylum Heterokontophyta. It is important to note that at  
428 least 11 species reported by Poulin et al. (2011) were not detected in the present work, as they occur in other Arctic regions  
429 not covered in our study, such as *Alexandrium minutum* Halim observed in the Russian and Scandinavian regions.

430

431 Many of the species highlighted in our study are of particular concern for the Arctic Ocean due to their production of  
432 phycotoxins. Based on Lundholm et al. (2009) and Bates et al. (2020, 2019), we identified 48 potentially toxin-producing  
433 species, as indicated by the “isToxic” flag (Table 1). Of the 73 accepted species included in the genus *Pseudo-nitzschia*  
434 (AlgaeBase. World-wide electronic publication, 2023; last access June 2024), 28 are known to produce domoic acid (Bates et  
435 al., 2019; Lundholm et al., 2009), with nine of these toxin-producing species being present in our database (Schiffrine et al.,  
436 2024). Meanwhile, at least 16 of the 45 accepted species in the genus *Alexandrium* are known to be toxic (AlgaeBase. World-  
437 wide electronic publication, 2023; last access June 2024; Lundholm et al., 2009), but only five species have been recorded in  
438 our database (Schiffrine et al., 2024). The dinoflagellate genus *Dinophysis* has 276 phototrophic and heterotrophic accepted  
439 species worldwide (AlgaeBase. World-wide electronic publication, 2023; last access June 2024), and 10 of these species have  
440 been found to produce various toxins (Lundholm et al., 2009). Additionally, 14 out of the 133 accepted species of the genus  
441 *Prorocentrum* Ehrenberg have been confirmed to produce a range of toxins (AlgaeBase. World-wide electronic publication,  
442 2023; last access June 2024; Lundholm et al., 2009). Our database contains at least six and five species from the genera  
443 *Dinophysis* and *Prorocentrum*, respectively (Schiffrine et al., 2024).

444

445 Surprisingly, we observed the presence of *Pyrodinium bahamense* Plate in our dataset, an occurrence published by MGnify  
446 (<https://www.ebi.ac.uk/metagenomics>; e.g., Mitchell et al., 2020) and hosted on GBIF  
447 (<https://www.gbif.org/dataset/b42d7c7f-43e5-4e24-abd7-fab3b4fceb09>). This observation is intriguing, as *P. bahamense* is  
448 typically associated with warm tropical waters (Morquecho, 2019), and its presence in Arctic waters is unexpected. The  
449 publication referenced by MGnify (e.g., Joli et al., 2018) does not mention the presence of *P. bahamense*, a fact also confirmed  
450 by the authors (pers. comm.), further adding to the uncertainty of this occurrence. This discrepancy suggests potential  
451 misidentifications when using data from platforms such as MGnify, which are primarily designed for microbiome analysis and

452 may not always accurately identify species. Similarly, we observed the presence of the Pelagophyceae *Aureococcus*  
453 *anophagefferens* Hargraves & Sieburth, in the Canadian Eastern Arctic—West Greenland region. Unlike the occurrence of *P.*  
454 *bahamense*, this finding was published by Elferink et al. (2017b) as a supplement to their work (e.g., Elferink et al., 2017a).  
455 Therefore, this occurrence has undergone a peer-review process, reducing the likelihood of misidentification and increasing  
456 the credibility of the occurrence. Additionally, the presence of this species in the Arctic region was also reported by Ibarbalz  
457 et al. (2023), further supporting its presence in this region. Nevertheless, retaining such data is standard practice in scientific  
458 research to ensure transparency and data integrity. These occurrences underscore the critical importance of rigorous validation  
459 and verification processes in biodiversity studies, particularly when addressing unexpected findings in unique and sensitive  
460 environments like the Arctic. Ensuring the accuracy of species identification through meticulous peer-review and cross-  
461 referencing with established databases is essential. Such occurrences, if confirmed, could indicate significant ecological shifts  
462 driven by climate change, emphasizing the necessity for continuous, comprehensive monitoring to accurately assess and  
463 understand the broader impacts on species distributions and ecosystem dynamics.

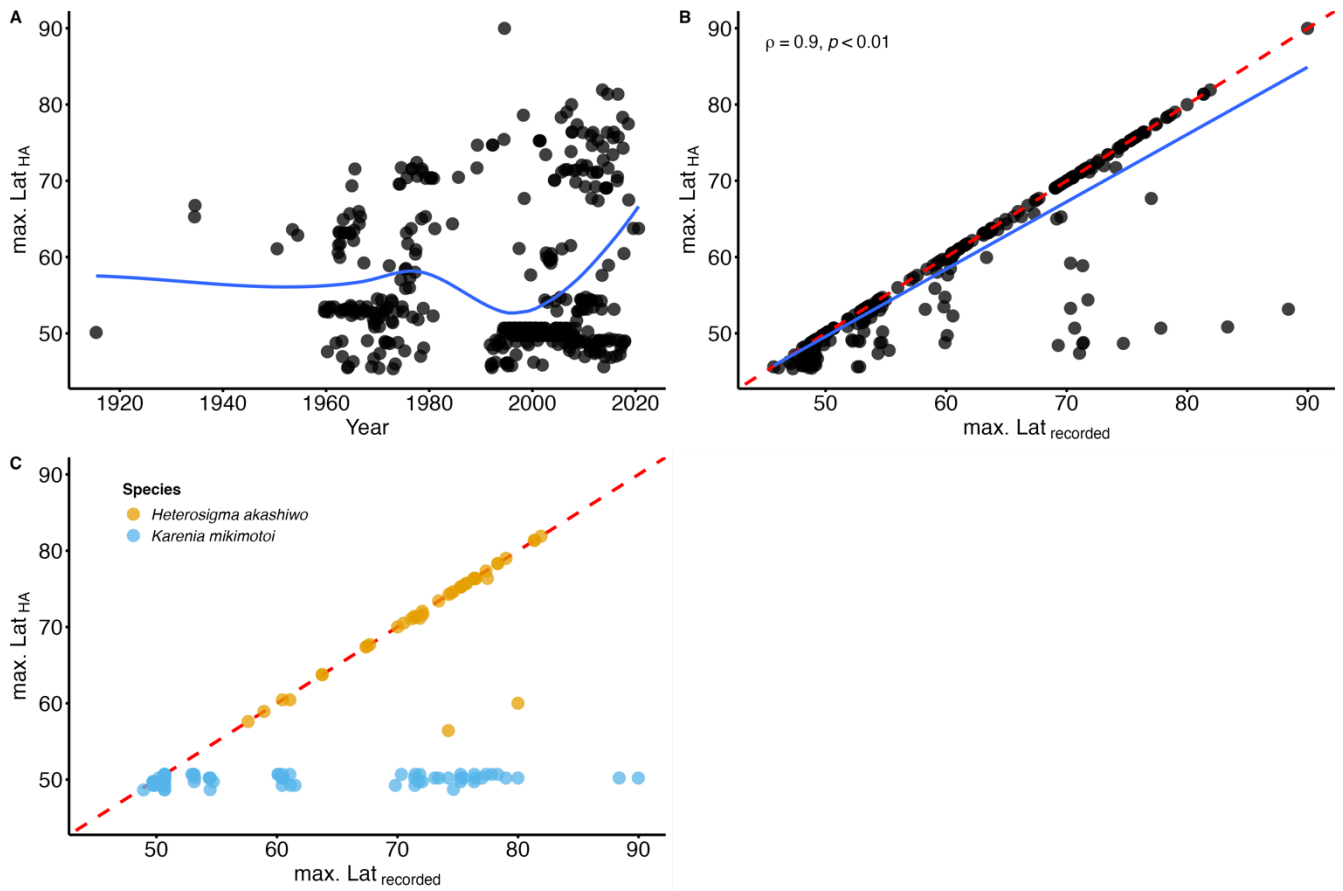
464

465 Approximately 50% of all HA occurrences are primarily represented by only five species: *Pseudo-nitzschia delicatissima*  
466 (Cleve) Heiden, *P. seriata* (Cleve) Peragallo, *Dinophysis acuminata* Claparède & Lachmann, *Prorocentrum cordatum*  
467 (Ostenfeld) Dodge, and *Mesodinium rubrum* (Lohmann) Leegard (Figure S5). Among these species, *P. delicatissima*, *P.*  
468 *seriata*, *P. cordatum*, and *M. rubrum* showcase an extensive geographic distribution, aligning with their broad prevalence  
469 across different regions, including the Arctic (Figure S6) (Bates et al., 2020, 2018; Lassus et al., 2016). In contrast, *D.*  
470 *acuminata* demonstrates a more confined geographic range (Figure S6). While the presence of *M. rubrum*, which serves as  
471 prey for *D. acuminata* (Reguera et al., 2012), could potentially influence the distribution of this species, it cannot provide a  
472 comprehensive explanation for the constrained range of *D. acuminata*. This notion is underscored by the relatively broader  
473 geographic distribution observed for *M. rubrum* (Figure S6). Temperature is also unlikely to be another restricting factor, as  
474 *D. acuminata* demonstrates tolerance to a temperature range from 4 to 10 °C, which corresponds to the temperatures observed  
475 in the region where this species occurred. The observed limited distribution of *D. acuminata* may therefore arise from a  
476 complex interplay of ecological and environmental factors that collectively shape its spatial pattern, a certainty that remains  
477 elusive in the scope of the present study. Additionally, this limited distribution could also be attributed to insufficient studies  
478 focused on this particular species.

479

480 Climate change is expected to cause HA species to move towards northern latitudes, resulting in their increased prevalence in  
481 the North American Arctic region. In the Fram Strait, Nöthig et al. (2015) reported a dominance shift towards the harmful  
482 prymnesiophyte species *Phaeocystis pouchetii* (Hariot) Lagerheim likely due to a warm water anomaly in the Atlantic waters  
483 of the West Spitsbergen Current. Also, during a research cruise in the summer of 2022, an unprecedented bloom in its scale,  
484 abundance, and toxicity of *Alexandrium catenella* (Whedon & Kofoid) Balech was tracked as it moved through the Bering  
485 Strait (Fachon et al., 2024). However, the extent of this northward progression of HA species in other Arctic regions,

486 particularly the North American sector, remains relatively unexplored. In the subsequent sections, we will address this topic  
487 through examining the increase in the northernmost latitude at which HA species are observed over the years. The analysis of  
488 the temporal variation in the maximum latitude of HA species for each month and year (i.e., max. Lat<sub>HA</sub>) reveals a relative  
489 gradual increase over time (Fig. 6A). Notably, the LOESS regression (i.e., a non-parametric method for smooth trend lines)  
490 indicates a marked increase towards the 90s (Fig. 6A), emphasizing the accelerated northward progression of HA species in  
491 recent years. However, this trend is likely influenced by heightened oceanographic research and expeditions in higher latitudes,  
492 as evidenced by the strong correlation between max. Lat<sub>HA</sub> and the maximum recorded latitude (max. Lat<sub>recorded</sub>;  $\rho = 0.9$ ; p-  
493 value  $< 0.01$ ; Fig. 6B). Nonetheless, this association appears to exhibit variability depending on the species (Table 2). It is  
494 noteworthy that among the species analyzed, there are 24 with insufficient available data to calculate the correlation (Table 2).  
495 For 12 species, there is a very weak Spearman rank correlation ( $-0.2 < \rho < 0.2$ ; Table 2), indicating no meaningful linkage  
496 between max. Lat<sub>HA</sub> and max. Lat<sub>recorded</sub>. One such example is the dinoflagellate species *Karenia mikimotoi* (Miyake &  
497 Kominami ex Oda) Hansen & Moestrup, which consistently maintains a near-constant max. Lat<sub>HA</sub> despite increasing max.  
498 Lat<sub>recorded</sub> (Fig. 6C). This pattern suggests that while the sampling efforts expand northward, *K. mikimotoi* seems to be restricted  
499 to a specific latitude range. This limited latitudinal distribution is possibly attributed to its temperature tolerance range (4–  
500 30°C) (Li et al., 2019). The colder temperatures in the North American Arctic align with the lower thermal limit of this species,  
501 likely acting as a thermal barrier to the dispersal of *K. mikimotoi*. Conversely, 12 species demonstrate a strong positive  
502 correlation ( $\rho > 0.6$ ; Table 2) that emphasizes a significant relationship between max. Lat<sub>HA</sub> and max. Lat<sub>recorded</sub>. For instance,  
503 the max. Lat<sub>HA</sub> of the raphidophyte species *Heterosigma akashiwo* (Hada) Hada ex Hara & Chihara appears to be closely  
504 linked to max. Lat<sub>recorded</sub> (Fig. 6C; Table 2). This suggests the possibility of *H. akashiwo* being a permanent resident of the  
505 North American Arctic algal community. However, there remains uncertainty about whether the species observed in the  
506 database correspond to those found in temperate regions, as records of *H. akashiwo* in our database are identified with qualifiers  
507 such as “cf.” or “aff.” (Bérard-Therriault et al., 1999), indicating some uncertainty in their identification. In addition, Arctic  
508 conditions may not be conducive to its growth (Edwardsen and Imai, 2006; Mehdizadeh Allaf, 2023). In particular, toxin  
509 production is lowest at 30 °C, and blooms of *H. akashiwo* have been observed at temperatures  $\geq 15$  °C (Edwardsen and Imai,  
510 2006; Mehdizadeh Allaf, 2023), suggesting that toxin production in the Arctic might be significantly reduced due to lower  
511 temperatures. The findings concerning the constrained latitudinal distribution of *K. mikimotoi* and the potential permanent  
512 residency of *H. akashiwo* in North American Arctic waters highlight the significance of investigating environmental factors  
513 and biological traits that shape the distribution and abundance of HA species Arctic Ocean and adjacent seas. In particular,  
514 gaining insights into thermal limits, growth requirements, and toxin production of these species can provide valuable  
515 information on their responses to the evolving Arctic climate and potential risks to human health and ecosystems. Further  
516 research is needed to investigate the population dynamics and ecological roles of these HA species within the Arctic context,  
517 as well as their interactions with other marine organisms and the physical environment. In addition, utilizing molecular  
518 techniques to confirm the identity of these species would help clarify whether *H. akashiwo* is indeed a permanent resident of  
519 the North American Arctic algal community or if the records are due to misidentifications or persistent contamination.



521

522 **Figure 6: (A)** Temporal variation of the maximum latitude of HA species for each month and year ( $\text{max. Lat}_{\text{HA}}$ ); the blue line  
 523 represents the local polynomial regression fitting (LOESS). **(B)** Relationship between maximum latitude of HA species for each  
 524 month and year ( $\text{max. Lat}_{\text{HA}}$ ) and the maximum latitude recorded for each month and year ( $\text{max. Lat}_{\text{recorded}}$ ); the blue line represents  
 525 the linear model; the red dashed line represents the 1:1 slope. **(C)** Relationship between the maximum latitude of HA species for  
 526 each month and year ( $\text{max. Lat}_{\text{HA}}$ ) and the maximum latitude recorded for each month and year ( $\text{max. Lat}_{\text{recorded}}$ ) for *Heterosigma*  
 527 *akashiwo* (yellow circle) and *Karenia mikimotoi* (blue circle); the red dashed line represents the 1:1 slope.

528

529 While studies have detected phycotoxins in the North American Arctic (Baggesen et al., 2012; Elferink et al., 2017a; Gao et  
 530 al., 2019; Hubbard et al., 2023; Li et al., 2016; Pućko et al., 2023), there are no reports of HA events at high latitudes ( $>60^\circ \text{N}$ )  
 531 in the Harmful Algal Event Database (HAEDAT; <http://haedat.iode.org/index.php>; last accessed: October 2023). HAEDAT's  
 532 criteria for a HA event are strict, including toxin accumulation in seafood above safe levels, discoloration or scum in the water  
 533 causing ecosystem or socioeconomic damage, negative effects on humans, animals, or other organisms, or precautionary  
 534 closures of harvesting areas based on predefined thresholds of toxic phytoplankton cells in the water. **This suggests that these**  
 535 **events may not meet the HAEDAT criteria and raises questions about reconsidering and potentially revising the criteria used**  
 536 **to monitor harmful algal blooms (HABs) in this unique region, particularly in the context of rapid environmental changes. The**

537 impacts of HABs in the Arctic may be more subtle, chronic, and ecosystem-specific, and therefore might not trigger the  
 538 HAEDAT thresholds designed for more temperate regions. For instance, the Arctic marine ecosystem is particularly sensitive  
 539 to changes, and even low-level toxin presence can have significant impacts on local wildlife and indigenous communities that  
 540 rely heavily on marine resources. To address these unique challenges, it may be important to develop Arctic-specific  
 541 monitoring criteria that consider the distinct ecological and socioeconomic context of the region. Such criteria could include  
 542 lower thresholds for toxin levels, more sensitive indicators of ecosystem health, and an emphasis on the cumulative effects of  
 543 low-level exposures over time. Additionally, incorporating traditional ecological knowledge from indigenous communities  
 544 could enhance monitoring efforts, as these communities have long-standing observations and insights into local environmental  
 545 changes. Encouraging the adoption of these tailored criteria would support more comprehensive monitoring efforts, ensuring  
 546 that all relevant harmful algal events are documented. This would not only improve our understanding of HABs in the Arctic  
 547 but also inform better management and mitigation strategies to protect the Arctic marine ecosystem and the communities that  
 548 depend on it.

#### 549 4 Data availability

550 The dataset described in this work is published in the Zenodo repository: <https://zenodo.org/records/13376814> (Schiffine et  
 551 al., 2024).

#### 552 5 Code availability

553 The code used in this study is publicly accessible on Zenodo <https://zenodo.org/records/13376814> (Schiffine et al., 2024).  
 554 This repository contains the scripts and tools used for various aspects of our study, including data conversion, data quality  
 555 control, analysis, and visualization.

557 **Table 2: Summary of the Spearman rank correlation ( $\rho$ ) analysis between the maximum latitude of HA species for each month and**  
 558 **year ( $\max. Lat_{HA}$ ) and the maximum latitude recorded for each month and year ( $\max. Lat_{recorded}$ ) for each HA taxon.**

Phylum	Class	Species	$\rho$	p-value
<b>Ciliophora</b>	<b>Litostomatea</b>	<i>Mesodinium rubrum</i> Lohmann	0.381	***
<b>Cyanobacteria</b>	<b>Cyanophyceae</b>	<i>Dolichospermum spiroides</i> (Klebahn) Wacklin, L.Hoffmann & Komárek	—	—
		<i>Planktothrix agardhii</i> (Gomont) Anagnostidis & Komárek	—	—
		<i>Alexandrium catenella</i> (Whedon & Kofoid) Balech	—	—
<b>Dinoflagellata</b>	<b>Dinophyceae</b>	<i>Alexandrium monilatum</i> (J.F.Howell) Balech	—	—
		<i>Alexandrium ostenfeldii</i> (Paulsen) Balech & Tangen	-0.042	NS

	<i>Alexandrium pseudogonyaulax</i> (Biecheler) Horiguchi ex K.Yuki & Y.Fukuyo	-0.177	NS
	<i>Alexandrium tamarense</i> (Lebour) Balech	0.026	NS
	<i>Amphidinium carterae</i> Hulburt	-0.097	NS
	<i>Amphidinium klebsii</i> Kofoid & Swezy	—	—
	<i>Amphidinium operculatum</i> Claparède & Lachmann	—	—
	<i>Dinophysis acuminata</i> Claparède & Lachmann	0.322	***
	<i>Dinophysis acuta</i> Ehrenberg	0.46	***
	<i>Dinophysis norvegica</i> Claparède & Lachmann	0.144	*
	<i>Dinophysis ovum</i> F.Schütt	—	—
	<i>Dinophysis tripos</i> Gourret	-0.7	*
	<i>Gonyaulax spinifera</i> (Claparède & Lachmann) Diesing	0.078	NS
	<i>Gymnodinium catenatum</i> H.W.Graham	—	—
	<i>Karenia mikimotoi</i> (Miyake & Kominami ex Oda) Gert Hansen & Moestrup	0.164	**
	<i>Lingulodinium polyedra</i> (F.Stein) J.D.Dodge	—	—
	<i>Margalefidinium fulvescens</i> (M.Iwataki, H.Kawami & Matsuoka) F.Gómez, Richlen & D.M.Anderson	—	—
	<i>Margalefidinium polykrikoides</i> (Margalef) F.Gómez, Richlen & D.M.Anderson	—	—
	<i>Phalacroma rotundatum</i> (Claparède & Lachmann) Kofoid & J.R.Michener	0.014	NS
	<i>Prorocentrum concavum</i> Y.Fukuyo	—	—
	<i>Prorocentrum cordatum</i> (Ostenfeld) J.D.Dodge	0.561	***
	<i>Prorocentrum emarginatum</i> Y.Fukuyo	—	—
	<i>Prorocentrum lima</i> (Ehrenberg) F.Stein	0.038	NS
	<i>Prorocentrum mexicanum</i> Osorio-Tafall	—	—
	<i>Prorocentrum micans</i> Ehrenberg	-0.536	*
	<i>Prorocentrum rathymum</i> A.R.Loeblich III, Sherley & R.J.Schmidt	—	—
	<i>Protoceratium reticulatum</i> (Claparède & Lachmann) Bütschli	0.515	***
	<i>Pyrodinium bahamense</i> L.Plate	—	—
	Noctilucopephyceae <i>Noctiluca scintillans</i> (Macartney) Kofoid & Swezy	—	—
	Syndiniophyceae <i>Hematodinium</i> Chatton & Poisson	—	—
<b>Haptophyta</b>	Coccolithophyceae <i>Chrysochromulina leadbeateri</i> Estep, Davis, Hargreaves & Sieburth	—	—
	<i>Haptolina ericina</i> (Parke & Manton) Edvardsen & Eikrem	0.005	NS
	<i>Haptolina hirta</i> (Manton) Edvardsen & Eikrem	0.867	***



<b>Heterokontophyta</b>	Bacillariophyceae	<i>Phaeocystis pouchetii</i> (Hariot) Lagerheim	0.638	***	
		<i>Prymnesium parvum</i> N.Carter	-0.489	*	
		<i>Prymnesium polylepis</i> (Manton & Parke) Edvardsen, Eikrem & Probert	-0.014	NS	
		<i>Pseudohaptolina birgeri</i> (Hällfors & Niemi) Ribeiro & Edvardsen	0.8	*	
		<i>Pseudo-nitzschia australis</i> Frenguelli	—	—	
		<i>Pseudo-nitzschia delicatissima</i> (Cleve) Heiden	0.681	***	
		<i>Pseudo-nitzschia fraudulenta</i> (Cleve) Hasle	—	—	
		<i>Pseudo-nitzschia granii</i> (Hasle) Hasle	—	—	
		<i>Pseudo-nitzschia obtusa</i> (Hasle) Hasle & Lundholm	0.881	***	
		<i>Pseudo-nitzschia pseudodelicatissima</i> (Hasle) Hasle	0.703	***	
		<i>Pseudo-nitzschia pungens</i> (Grunow ex Cleve) Hasle	0.68	***	
		<i>Pseudo-nitzschia seriata</i> (Cleve) H.Peragallo	0.695	***	
		<i>Pseudo-nitzschia turgidula</i> (Hustedt) Hasle	0.803	*	
		Coccinodiscophyceae	<i>Corethron pennatum</i> (Grunow) Ostenfeld	0.69	***
		Dictyochophyceae	<i>Dictyocha fibula</i> Ehrenberg	0.688	***
		Dictyochophyceae	<i>Octactis speculum</i> (Ehrenberg) F.H.Chang, J.M.Grieve & J.E.Sutherland	0.673	***
		Mediophyceae	<i>Chaetoceros concavicornis</i> Mangin	0.4	***
			<i>Chaetoceros convolutus</i> Castracane	0.175	**
			<i>Chaetoceros debilis</i> Cleve	0.387	***
			<i>Leptocylindrus minimus</i> Gran	0.367	***
Pelagophyceae	<i>Aureococcus anophagefferens</i> Hargraves & Sieburth	—	—		
Raphidophyceae	<i>Heterosigma akashiwo</i> (Hada) Hada ex Y.Hara & Chihara	0.868	***		

559 NS, p-value > 0.05; \*, p-value < 0.05; \*\*, p-value < 0.01; \*\*\*, p-value < 0.001; — indicates not tested.

## 560 6 Conclusion

561 Several databases exist that document the occurrence of microbial planktonic taxa in temperate marine ecosystems. However,  
562 these resources often have limited representation of polar ecosystems or may lack such data entirely. Given the substantial  
563 environmental changes in the Arctic Ocean and their impact on microbial planktonic communities, it is crucial to expand our  
564 understanding of Arctic phytoplankton biodiversity and biogeography. This study compiled various sources of digital  
565 biological records, both published and unpublished, to create a comprehensive dataset for North American Arctic marine  
566 microbial planktonic occurrences. This dataset encompasses 385 348 individual georeferenced data points and 18 266 unique  
567 sampling events, covering 1422 species, including key phyla like Heterokontophyta, Dinoflagellata, Haptophyta, Ciliophora,

568 and others. This effort addresses the historical limitations of Arctic microbial planktonic data, which were often confined to  
569 specific regions or lacked comprehensive geographic and date-referenced records (Poulin et al., 2011).

570

571 Our study provides the largest database to date on the occurrence of microbial planktonic species, including photoautotrophic  
572 prokaryotes and eukaryotes (i.e., phytoplankton), as well as heterotrophic, phagotrophic, and mixotrophic protistan species in  
573 the North American part of the Arctic. This dataset can serve as a valuable resource for investigating the biogeography and  
574 phenology of microbial planktonic taxa in the region, particularly when integrated with other published datasets. Through the  
575 application of geostatistical methods, our database contributes to a refined understanding of potential changes in Arctic  
576 microbial planktonic communities in the future. Additionally, by supplementing our dataset with information concerning the  
577 toxicity or harmful nature of species, it facilitates assessments of the potential proliferation of toxic and/or harmful species  
578 within the Arctic Ocean.

579

580 Moreover, in light of the comprehensive analysis conducted in this study, it becomes evident that routine phycotoxin  
581 monitoring should encompass the North American Arctic. Historically, this region has been overlooked, driven by the  
582 assumption that high-latitude Arctic areas are not prone to significant toxic algal blooms or phycotoxin contamination.  
583 Nevertheless, our research, which sheds light on the diversity, distribution, and prevalence of HA species within this distinct  
584 area, emphatically underscores the urgency to reassess this perspective. The conspicuous presence of HA species underscores  
585 the imperative for a comprehensive and proactive monitoring strategy.

#### 586 **Author contributions**

587 NS led the study, the data processing and archiving, and the writing. FD, MP, SL, AR, and MG provided the quality-controlled  
588 data from different Arctic regions. KD initiated the collection of data in Iqaluit and surrounding areas of Frobisher Bay as part  
589 of the Baseline program in 2019. All authors reviewed and commented the manuscript.

#### 590 **Competing interests**

591 The authors declare that no competing interests are present.

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