1	A long-term high-resolution air quality reanalysis with public facing air quality dashboard
2	over the Contiguous United States (CONUS)
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17 Abstract

We present a 14-year 12-km hourly air quality dataset created by assimilating satellite observations 18 19 of aerosol optical depth (AOD) and carbon monoxide (CO) in an air quality model to fill gaps in 20 the contiguous United States (CONUS) air quality monitoring network and help air quality 21 managers understand long-term changes in county level air quality. Specifically, we assimilate the 22 Moderate Resolution Imaging Spectroradiometer (MODIS) AOD and the Measurement of 23 Pollution in the Troposphere (MOPITT) CO observations in the Community Multiscale Air 24 Quality Model (CMAQ) every day from 01 Jan 2005 to 31 Dec 2018 to produce this dataset. The 25 Weather Research and Forecasting (WRF) model simulated meteorological fields are used to drive 26 CMAQ offline and to generate meteorology dependent anthropogenic emissions. Both the weather 27 and air quality (surface fine particulate matter (PM_{2.5}) and ozone) simulations are subjected to a 28 comprehensive evaluation against multi-platform observations to establish the credibility of our 29 dataset and characterize its uncertainties. We show that our dataset captures regional hourly, 30 seasonal, and interannual variability in meteorology very well across the CONUS. The correlation 31 coefficient between the observed and simulated surface ozone and PM2.5 concentrations for 32 different Environmental Protection Agency (EPA) defined regions across CONUS are 0.77-0.91 33 and 0.49-0.79, respectively. The mean bias and root mean squared error for modeled ozone are 34 3.7-6.8 ppbv and 7-9 ppbv, respectively, while the corresponding values for PM_{2.5} are -0.9-5.6 $\mu g/m^3$ and 3.0-8.3 $\mu g/m^3$, respectively. We estimate that annual CONUS averaged maximum daily 35 36 8-hour average (MDA8) ozone and PM_{2.5} trends are -0.30 ppb/year and -0.24 μ g/m³/year, 37 respectively. Wintertime MDA8 ozone shows an increasing but statistically insignificant trend at several sites. We also found a decreasing trend in the 95th percentile of MDA8 ozone but an 38 increasing trend in the 5th percentile. Most of the sites in the Pacific Northwest show an increasing 39

but statistically insignificant trend during summer. An ArcGIS air quality dashboard has been
developed to enable easy visualization and interpretation of county level air quality measures and
trends by stakeholders, and a Python-based Streamlit application has been developed to allow the
download of the air quality data in simplified text and graphic formats.

46 **1.** Introduction

47 Air quality is one of the most important global environmental concerns as almost the entire global 48 population (99%) is estimated to breathe air that exceeds the World Health Organization (WHO) 49 defined Air Quality Guidelines (WHO, 2023). Exposure to ambient air pollution causes about 4.2 50 million premature mortalities every year (WHO, 2020). Air quality has improved substantially 51 over the past two decades in the US as the Environmental Protection Agency (EPA) observations 52 show that maximum daily 8h average (MDA8) surface ozone levels have decreased by 29% over 53 1980-2021, and annual average concentrations of particulate matter with an aerodynamic diameter 54 smaller than 2.5 µm (PM_{2.5}) have decreased by 37% over 2000-2021 (https://www.epa.gov/air-55 trends/air-quality-national-summary). However, air pollution continues to violate the National 56 Ambient Air Quality Standards (NAAQS) in many parts of the US, such as the Colorado Front 57 Range, California, northeast US, and nearly all the national parks. A recent study reported that 58 97% of US national parks suffer from significant or unsatisfactory levels of harm from air pollution 59 (Orozco et al., 2024). Poor air quality is reported to cause about 160,000 premature deaths in the 60 US, with a total economic loss of about \$175 billion (Im et al., 2018). Exposure to air pollution 61 levels even below the EPA NAAQS can adversely affect human health (Di et al., 2017). To 62 mitigate the risks of air pollution and how air quality is responding to emission control policies, it 63 is, therefore, imperative to quantify past changes in air quality.

Numerous studies have revealed several key features of long-term changes in surface ozone and PM_{2.5} over the US using long-term observations from the EPA monitoring networks. First, both the urban and rural sites in the eastern US show negative ozone trends during the summer season (Butler et al., 2011; Cooper et al., 2012), but lower ozone levels at some sites have an increasing trend during winter and early spring (Bloomer et al., 2010; Cooper et al., 2012; Simon

69 et al., 2015). Second, surface and free tropospheric ozone show positive trends in all seasons at 70 rural and remote sites in the western US (Jaffe and Ray, 2007; Cooper et al., 2012). Third, 71 increasing ozone is observed in the inflow to the US west coast (Jaffe et al., 2003), over the North 72 Pacific (Parrish et al., 2004), and west coast marine boundary layer (Parrish et al., 2009). The 73 Tropospheric Ozone Assessment Report (TOAR) showed that summertime surface ozone 74 continues to decrease over the US, but the trend is less certain at the urban sites (Chang et al., 75 2017; Fleming et al., 2018). Similar regional and seasonal differences in the long-term trends are 76 also seen in $PM_{2.5}$ and its components. For example, carbonaceous aerosols (organic and black 77 carbon) show a widespread decrease over 1990-2010 across the US in winter and spring and show 78 positive but insignificant trends over the western US (Hand et al., 2013). PM_{2.5} levels continue to 79 decrease over the majority of the US except in the wildfire-prone areas (McClure and Jaffe, 2018). 80 In addition to the observation-based trend analysis, chemical transport model (CTM) 81 simulations have been employed to interpret the observed trends. For example, the increase in 82 lower ozone values can be attributed to the increase in Asian emissions from 1980-1995 (Fiore et 83 al., 2002). The anthropogenic emissions and natural variability were found to have competing 84 effects on surface ozone over much of the US over 1980-2005 (Pozzoli et al., 2011). Another study 85 reproduced negative summertime ozone trends over the eastern US but underestimated the positive 86 trends in the western US likely due to underestimation of Asian emission trends or trans-pacific 87 transport or changes in stratosphere-troposphere exchange (Koumoutsaris and Bey, 2012). Lin et 88 al. (2017) quantified the contributions of rising Asian emissions, domestic U.S. emission controls, 89 wildfires and climate to changes in surface ozone from 1980 to 2014. Several studies have also 90 quantified the contributions of wildfires to PM_{2.5} trends in the U.S. (Xie et al., 2020, Burke et al., 91 2023). While global models captured most of the observed variability and trends in summertime

92 ozone, the use of high-resolution regional models is recommended to reproduce interannual
93 variability in winter and spring in the western US (Strode et al., 2015).

94 Apart from the interpretation of observed trends, the CTMs also provide information in 95 areas with no observations. However, CTM simulations suffer from both systematic (i.e., biases) 96 and random errors due to a number of factors, including numerical approximations, inadequate 97 understanding of some processes that control the spatial and temporal distribution of air pollutants, 98 inaccuracies in the initialization of the physical and chemical atmospheric state, and uncertainties 99 in the emission inventories. While continuous efforts are being made to improve the representation 100 of processes controlling PM_{2.5} and ozone (Appel et al., 2010, 2013, 2017; Nolte et al., 2015; Fahey 101 et al., 2017) and emission inventories are updated by the EPA every three years, recent 102 developments have shown that assimilation of the National Aeronautics and Space Administration 103 (NASA) satellite retrievals of atmospheric composition in CTMs can significantly improve air 104 quality simulations (Gaubert et al., 2016; Kumar et al., 2019; Liu et al., 2011; Pagowski et al., 105 2014; Saide et al., 2013). NASA satellite retrievals of atmospheric constituents with a far greater 106 spatial coverage compared to ground-based monitoring networks presents a unique opportunity to 107 develop long-term high-resolution air quality reanalysis, which can be useful for quantifying air 108 quality changes in unmonitored areas and assessing the impacts of changes in air quality on human 109 health and ecosystems.

This paper describes the methodology and evaluation of a long-term high-resolution regional air quality reanalysis generated over the CONUS from 2005 to 2018 by assimilating the Moderate Resolution Imaging Spectroradiometer (MODIS) aerosol optical depth (AOD) and the Measurement of Pollution in the Troposphere (MOPITT) carbon monoxide (CO) retrievals daily in the Community Multiscale Air Quality (CMAQ) model. Our regional reanalysis is based on

115 three-dimensional variational (3DVAR) approach, which is different compared to the four-116 dimensional variational (4D-Var) approach (Innes et al., 2019) and Ensemble Kalman Filter 117 approaches (Gaubert et al. 2017, Miyazaki et al., 2020, Kong et al., 2021) used in recent long-term 118 global and regional air quality reanalysis. Among these, 3DVAR is computationally the most 119 efficient approach because it uses only a single model simulation, but its accuracy can be limited 120 by the assumption of a constant background error covariance matrix that both 4DVAR and EnKF 121 address. An air quality dashboard developed to enable the use of this dataset by a variety of 122 stakeholders is also described.

123

124 **2.** Methodology

125 **2.1.** The Chemical Transport Model

126 The CMAQ model version 5.3.2 driven offline by the Weather Research and Forecasting (WRF) 127 model version 4.1 is used to simulate air quality over the CONUS from 01 Jan 2005 to 31 Dec 128 2018. We employ the "cb6r3 ae7 aq" chemical mechanism that uses Carbon Bond 6 version r3 129 for gas-phase chemistry and AERO7 aerosol module for representing aerosol processes, including 130 secondary organic aerosols (Appel et al., 2021). Both the WRF and CMAQ models use a horizontal grid spacing of 12 x 12 km² with WRF (CMAQ) grid using 481 (442), 369 (265), 36 (35) grid 131 132 points in the longitudinal, latitudinal, and vertical directions, respectively. The model top is set to 133 50 hPa for both the models. The meteorological initial and boundary conditions for WRF are based 134 on the six hourly ERA-Interim analyses at a grid spacing of 0.7° x 0.7°. We follow Appel et al. 135 (2017) for physical parameterizations, four-dimensional data assimilation, and soil moisture 136 nudging settings in WRF.

137 Emissions from several anthropogenic emissions sectors such as residential wood combustion, 138 agricultural emissions from livestock and fertilizer applications, and mobile sources depend on 139 meteorological conditions. For example, ambient temperature affects the heating demand, affects 140 the volatilization of emissions from fertilizer use, drives air conditioning use, etc. The SMOKE 141 modeling system allows us to simulate these relationships. To be consistent in the use of 142 meteorological fields for both emission processing and driving CMAQ, we generate meteorology-143 dependent anthropogenic emissions for the EPA National Emissions Inventory (NEI) base years 144 of 2011, 2014, and 2017 by feeding the WRF meteorological fields to the Sparse Matrix Operator 145 Kernel Emissions (SMOKE). The emissions for 2005-2010 are derived by applying EPA reported 146 annual state-wise trends to the NEIv2 2011 emissions. While NEI emissions are available for 2005 147 and 2008, the emissions processing platform for 2005 and 2008 does not process emissions for the 148 "cb6r3 ae7 aq" chemical mechanism of CMAQ used here. Similarly, NEIv2 2014 emissions are 149 used to derive emissions for 2012 and 2013, and the NEIv1 2017 emissions are used to derive 150 anthropogenic emissions for the rest of the years. Fire emissions in CMAQ are represented using 151 the Fire Inventory from NCAR (FINN) version 2.2 which provides daily varying global fire emissions at 1 x 1 km² resolution (Wiedinmyer et al., 2023). FINN emissions are processed through 152 153 SMOKE to enable inline plume rise of fire emissions within CMAQ. Biogenic emissions are 154 calculated online within the model using the Biogenic Emission Inventory System (BEIS). The 155 chemical boundary conditions are based on 6-hourly Whole Atmosphere Community Climate 156 Model (WACCM) simulations (Marsh et al., 2013; Gettelman et al., 2019). The WACCM output 157 is mapped onto CMAQ grids using the Initial Conditions Processor (ICON) and Boundary 158 Conditions Processor (BCON).

160 **2.2. Data Assimilation System**

161 We have used the three-dimensional variational (3DVAR) capability of the community Gridpoint 162 Statistical Interpolation (GSI) version 3.5 to assimilate the Level 2 MODIS AOD retrievals and 163 the Level 2 MOPITT CO retrievals in CMAQ. The MODIS AOD assimilation framework is the 164 same as we developed previously (Kumar et al., 2019) and the MOPITT CO assimilation capability 165 has been developed in this work. We use total aerosol mass per mode (Aiken, Accumulation, and 166 Coarse) and CO mixing ratios as the control variables in GSI. The state variables include individual 167 aerosol components, total aerosol mass per mode, CO mixing ratios, meteorological variables 168 (temperature, pressure, and relative humidity), and CMAQ vertical grid. Daily MODIS and 169 MOPITT retrievals are converted into a format compatible with GSI input modules.

170 A climatological background error covariance (BEC) matrix is generated separately for 171 winter (January) and summer (July) conditions using the GEN BE tool, which reads two different 172 WRF-CMAQ runs driven by different meteorological and emission inputs but valid at the satellite 173 overpass time. Since there are multiple overpasses of the Terra and Aqua satellites that host the 174 MOPITT and MODIS sensors, we calculate the BEC at 15 Z, 18 Z, and 21 Z. The winter BEC is 175 used when assimilating satellite retrievals from November through March and the summer BEC is 176 used for the rest of the months. Our BEC design considers the uncertainties in meteorology, 177 anthropogenic, and biomass burning emissions. Meteorological uncertainties are represented by 178 using two different sets of physical parameterizations (Table A3.1) in two WRF runs to capture 179 errors in meteorology related to assumptions used in physical parameterizations. Species-180 dependent perturbation factors for anthropogenic and biomass burning emissions are estimated by 181 comparing a number of available global/regional anthropogenic and biomass burning emission 182 inventories over the CONUS (Table A3.2 and A3.3). Among the two WRF-CMAQ runs fed to

GEN_BE for BEC estimation, we used the default emissions in the first run and perturbed the emissions in the second run. The BEC was then estimated in terms of variances and length scales (both horizontal and vertical) for total aerosol mass per mode and CO, and used in GSI. We refer the reader to Kumar et al. (2019) for a description of BEC parameters.

187 We have assimilated standard Level 2 Collection 6.1 MODIS AOD and Version 8 188 MOPITT CO retrievals based on the multispectral algorithm (thermal and near infrared) in CMAQ. 189 This multispectral product is more sensitive to near-surface CO over land compared to the thermal-190 infrared only retrievals. MOPITT retrievals agree with in-situ measurements at all vertical levels 191 within $\pm 5\%$ (Deeter et al., 2019). The observation errors for MODIS AOD retrievals are specified 192 as (0.03 + 0.05 * AOD) and (0.05 + 0.15 * AOD) over the ocean and the land, respectively (Remer 193 et al., 2005). The observation errors for CO profiles are used as reported in the MOPITT retrieval 194 product. A simple forward operator and its adjoint based on the parameterization of (Malm and 195 Hand, 2007) is used to convert CMAQ aerosol chemical composition into AOD for a direct 196 comparison with MODIS AOD retrievals as described in Kumar et al. (2019). The forward 197 operator and its adjoint for MOPITT CO assimilation are developed in this study and described in 198 Appendix A1.

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200 2.3. Reanalysis production workflow

Daily analyses of three-dimensional fields of aerosols and CO based on the assimilation of MODIS AOD and MOPITT CO retrievals in CMAQ using the GSI system has been performed using the workflow shown in Figure 1. The first CMAQ simulation on 01 Jan 2005 is initialized using the global model simulations from WACCM, and all subsequent simulations until 31 Dec 2018 are initialized from the previous CMAQ simulations. Every day, we perform 9 simulations following

206 the availability of new satellite observations every three hours owing to difference between Terra 207 and Aqua overpass times. The first simulation runs CMAQ from 00-15 Z, the second simulation 208 assimilates MODIS Terra and Aqua AOD retrievals at 15 Z, and third simulation assimilates 209 MOPITT CO retrievals at 15 Z. The fourth simulation advances CMAQ from 15 Z to 18 Z with 210 the fifth and sixth simulations assimilating MODIS AOD and MOPITT CO at 18Z, respectively. 211 The seventh simulation advances CMAQ from 18 Z to 21 Z, the eighth simulation assimilates 212 MODIS Aqua AOD retrievals at 21 Z, and the ninth simulation advances CMAQ from 21 Z to 00 213 Z of the next day. This resulted in a total of 46,152 jobs submission on the NCAR supercomputer 214 Cheyenne (https://arc.ucar.edu/knowledge base/70549542). An automated script was developed 215 to submit and track successful completion of these jobs.

The assimilation times of 15 Z, 18 Z, and 21 Z were determined based on the analysis of overpass times of Terra and Aqua satellites, which pass over the CONUS between 13:30 Z and 218 22:30 Z. All the satellite retrievals belonging to a 3-hour window are assumed to be available for assimilation at the center of that window. For example, all the satellite retrievals between 1330 Z and 1630 Z are assimilated at 1500 Z.

221 Our previous work has shown that the assimilation of MODIS AOD in CMAQ improved 222 the correlation coefficient between CMAQ simulated and independently observed PM2.5 by ~67% 223 and reduced the mean bias by $\sim 38\%$ over the CONUS during July 2014. To understand whether 224 GSI pushes CMAQ towards MOPITT, we performed and compared one month (July 2018) of 225 CMAQ experiments with and without assimilation of MOPITT CO profiles. We find that the 226 assimilation of MOPITT CO profiles substantially improves the correlation coefficient and reduces 227 the errors (both mean bias and root mean squared error) between CMAQ and MOPITT CO at all 228 the pressure levels except at 100 hPa where the MOPITT sensitivity is the lowest (Appendix A2,

229 Figure A2.1). This simple test confirms the ability of GSI to constrain the performance of CMAQ 230 with satellite observations. Other trace gas species (e.g., ozone and OH) are not affected directly 231 by the assimilation of AOD and CO, but the impact of assimilation indirectly affects these species 232 through photochemical processes in the model. For example, we found instantaneous changes in 233 surface ozone in the range of -1.3 to 3.2 ppbv but monthly average changes are within the range 234 of ± 0.3 ppbv during July 2018.

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2.4. **Output frequency and optimization**

237 The production of a chemical reanalysis also poses a challenge of storing the model output. Since 238 our chemical reanalysis focuses on air quality applications, we saved all the chemical variables 239 together with relevant meteorological parameters (2 m temperature and relative humidity, 10 m 240 wind speed and direction, planetary boundary layer height, precipitation, and downward reaching 241 solar radiation) and deposition (both dry and wet) fluxes every hour at the surface. The total size 242 of this output is 12 Terabytes.

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244 3. Ground-based observations and trend calculation method

245 We have obtained and processed hourly in-situ measurements of 2 m temperature (T2), 2 m relative 246 humidity (RH), 10 m wind speed (WS10), 10 m wind direction (WD10), and surface pressure from 247 the METeorological Aerodrome Reports (METAR) network, which is distributed by the NCEP's 248 Meteorological Assimilation Data Ingest System (MADIS). METAR data are surface weather 249 observations and it consists of meteorological data from airports (Automated Surface Observing 250 Systems) and other permanent weather stations (Automated Weather Observing System) located 251 throughout the US. We used the Level-3 Quality Controlled METRAR data over CONUS to

evaluate our modeled meteorological fields (https://madis.ncep.noaa.gov/madis_metar.shtml).
Daily precipitation data from the 0.1-deg Integrated Multi-satellitE Retrievals for Global
precipitation measurements (IMERG; https://gpm.nasa.gov/data/imerg) dataset is used to evaluate
WRF simulated precipitation.

256 To evaluate the modeled surface PM_{2.5} and ozone concentrations, we have obtained hourly 257 PM_{2.5} and ozone observations from the EPA Air Quality System, which currently measures PM_{2.5} 258 and ozone at more than 1000 sites across the US. The AQS data also contains values below the 259 method detection limit (MDL). The MDLs are different for ozone and PM_{2.5} and also vary as a 260 function of site and instrument type. For consistency, we assume the MDL values of 5 ppb for 261 ozone and 2 μ g/m³ for PM_{2.5} for all sites. All the data below MDL was replaced by MDL/2 262 (https://www3.epa.gov/ttnamti1/files/ambient/airtox/workbook/AirtoxWkbk4Preparingdataforan 263 alysis.pdf; https://pubs.acs.org/doi/10.1021/es071301c). The sites for which two simultaneous 264 measurements (corresponding to two instruments) were available, the mean value is taken for 265 further calculation.

266 The trend calculations were performed using both the observed and modeled ozone and PM_{2.5} values. The monthly mean time series of observed and modeled maximum daily 8-hour 267 268 (MDA8) ozone and 24-hour average PM_{2.5} during 2005-2018 is calculated over all measurement 269 sites. The daily MDA8 ozone over a site is calculated using the EPA's defined methodology 270 (https://www.govinfo.gov/content/pkg/FR-2015-10-26/pdf/2015-26594.pdf, pp 168). For each 271 day, 8-hour running averages are taken from 7 am to 11 pm local standard time, which constitutes 272 17 8-hour running mean values per day. If an 8-hour window has less than 6 hours of data and the 273 mean value of the remaining hours is less than 70 ppb then the data for that window is discarded. 274 If a site has fewer than 13 valid 8-hour mean values or the maximum value of the available 8-hour

275 average is less than 70 ppb then the value for that day is discarded. For PM_{2.5}, a daily 24-hour 276 average value is calculated in local standard time only if at least 18 hours of valid data/day are 277 available. Furthermore, we discarded all sites with $(1) \le 50\%$ data per month, $(2) \le 50\%$ data 278 during each year, and (3) if number of years with \geq 50% data were < 10 years during 2005-2018. 279 The number of valid sites fulfilling the above criteria over CONUS are estimated to be 1012 and 280 369, for MDA8 ozone and 24-hour PM_{2.5}, respectively. Daily values of MDA8 ozone and 24-hour PM_{2.5} are used to calculate monthly 5th percentile, 50th percentile, 95th percentile and mean time 281 series during 2005-18 at each valid site. A similar criterion for seasonal mean, 5th, 50th and 95th 282 283 percentile time series was also used. The number of valid sites during summer season were the 284 maximum (1010/357 for MDA8 O₃/24-hour PM_{2.5}) and were minimum (501/337 for MDA8 285 $O_3/24$ -hour PM_{2.5}) during the winter season. These annual and seasonal MDA8 ozone and PM_{2.5} 286 time series are then used to estimate annual and seasonal trends and the significance of trend values are also tested. 287

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289 4. **Results and Discussions**

290 4.1. Meteorological evaluation

The WRF simulations for the entire period (2005-2018) processed using the Meteorology-Chemistry Interface Processor (MCIP) are collocated with METAR observations of T2, RH, WS10, and WD10 in space and time, and paired values are used for evaluating the model. The evaluation is performed at a regional scale following the EPA regional classification of the CONUS in 10 regions (see Appendix A2, Figure A2.2). The number of METAR sites during 2005-2018 was 1290, and the maximum available hourly data during the study period was 33-68 % over 10 EPA regions. Region 8 has the least data (~33-37%), and other regions have 47-68 % data during 2005-2018. Monthly regional averaged model and METAR observations time series are
compared over 10 EPA regions for T2 (Figure 2), RH (Figure 3), WS10 (Figure 4), and WD10
(Figure 5). Three statistical metrics, namely correlation coefficient (r), mean bias (MB), and root
mean square error (RMSE), for each region are also listed in Figures 2-5.

302 Monthly regional averaged T2 between model and observations (Figure 2) show excellent 303 correlations of 0.8-1.0 with low mean biases of -0.3 to 0.4 °C and the RMSE ranging from 2.0-5.7 304 $^{\circ}$ C over the 10 EPA regions. The model also performed well (r = 0.7-0.9) in simulating RH (Figure 305 3) over 10 EPA regions with the mean biases of 0.9-3.6 % and the RMSE of 12.5 - 16.3 %. Since 306 RH is estimated as a ratio of vapor pressure to saturation pressure (es) and es depends on T2, the 307 biases in T2 also contribute to the biases in RH. For example, EPA Region 6 which shows the 308 highest T2 RMSE also shows the highest RH RMSE. The model reproduces the variations in 309 surface pressure very well (r = 1.0) with a slight underestimation (MB = -8.1 to 0.2 hPa; RMSE = 310 0.3-8.1 hPa). The slight underestimation in pressure is seen in eight out of 10 EPA regions with 311 the largest MB in Regions 9 (-8.1 hPa) and 10 (-7.4 hPa). The errors in surface pressure (plot not 312 shown) over these regions could also contribute to biases in T2 and RH.

313 Prior to 10 m wind speed comparison, model wind speeds are assigned "zero value" if the 314 hourly wind speed at any site is less than 0.51 m/s (1 knot). This step was needed to make model 315 output consistent with the METAR wind speed data, which treats such wind speeds as calm winds 316 and assigns it a zero value. Our model simulation slightly overestimates (MB = 0.1-0.8 m/s) WS10 317 (Figure 4) over most of EPA regions with the exception of Region 8 (MB = -0.1 m/s). Wind 318 direction (Figure 5) biases (absolute) over these regions were 34°-58°. The correlation coefficients 319 for both WS10 and WD10 are slightly lower in Regions 8-10, which is likely due to the complex 320 topography in these regions. The correlation coefficients for 10 m wind speed were lower than 321 those for temperature, and relative humidity, indicating a slightly poorer model performance for 322 winds. The WRF model is known to overpredict 10 m wind speed at low to moderate wind speeds 323 in all available planetary boundary layer (PBL) schemes (Mass and Ovens, 2010). This 324 shortcoming of the model was partly attributed to unresolved topographical features by the default 325 surface drag parameterization, which in turn influences surface drag and friction velocity, and 326 partly to the use of coarse horizontal and vertical resolutions of the domain (Cheng et al., 2005). 327 The WRF model also captures the seasonally averaged diurnal variations in T2, RH, and 10 m 328 Wind speed very well but overestimates the wind speed particularly at night (see Appendix A2, 329 Figure A2.3).

330 Since WRF and IMERG precipitation have different resolutions, we first mapped the WRF 331 simulated precipitation from a 12 km x 12 km grid on Lambert conformal projection to the IMERG 332 rectilinear grid of 0.1° x 0.1° using the "rcm2rgrid" functionality of the NCAR command language 333 (https://www.ncl.ucar.edu/Document/Functions/Built-in/rcm2rgrid.shtml). The seasonal mean 334 WRF simulated and IMERG derived precipitation are then compared over four seasons during 335 2005-2018 (Figure 6). The model is able to capture the spatial patterns in precipitation in different 336 seasons, with an underestimation of -0.1 to -0.9 mm/day. The highest underestimation is observed 337 during the winter season. The eastern CONUS showed an underestimation during winter, spring 338 and autumn seasons, however, over the western US, the model mostly overestimated the 339 precipitation, especially in the mountainous regions (Rockies, Cascades, and Sierra Nevada). The 340 model also showed larger biases over the lakes and oceanic regions. Despite the biases, this 341 comprehensive evaluation shows that our model simulations captured the key features of regional 342 and temporal variability of the key meteorological parameters over the CONUS fairly well.

344 4.2. Air Quality evaluation

345 Hourly regional averaged observed and CMAQ simulated surface ozone and PM_{2.5} are 346 compared for all the EPA regions in Figures 7 and 8, respectively. In all the regions, the model 347 captures the seasonal cycle in surface ozone characterized by a summertime peak as well as the 348 observed interannual variability very well, with correlation coefficients of 0.77 to 0.91. The model 349 also overestimates the nighttime ozone levels in all the regions (see Appendix A2, Figure A2.4), 350 but a larger overestimation is seen in Regions 8 and 9. The mean bias and RMSE in modeled ozone 351 are very similar across the regions, with values ranging from 3.7 - 6.8 ppbv and 7.0-9.0 ppbv, 352 respectively. The model shows a slightly poorer skill in capturing the variability in PM_{2.5} relative 353 to ozone as reflected by smaller r values of 0.49-0.79 but captures long-term trends in most of the 354 regions reasonably well. The mean bias and RMSE in modeled PM_{2.5} are estimated to be -0.9 to 355 5.6 μ g/m³ and 3.0 to 8.3 μ g/m³, respectively. The largest underestimation of PM_{2.5} is seen in 356 Region 8, particularly from 2005 to 2012 while the largest overestimation is seen in Region 2.

357 In addition to regional evaluation, we also evaluated the model performance for different 358 land use types and location settings (see Appendix A2, Figure A2.5 for classification of the number 359 of sites in these categories). This categorization information by land use and location types was 360 not available for a very small number of sites, and thus, they were excluded from the analysis (sites 361 classified as "NONE" in Figure A2.2). Since Maximum Daily Averaged 8-hour (MDA8) ozone 362 and daily averaged $PM_{2.5}$ are policy-relevant metrics, we focus on the evaluation of these 363 parameters on a monthly averaged scale for this evaluation. We evaluate monthly median (50th percentile), 5th and 95th percentile time series of MDA8 ozone, and daily averaged PM_{2.5} for 364 365 different land use categories and location settings (Appendix A2, Figures A2.6-A2.11).

Among the rural sites, all land use categories showed the highest biases for the 5th percentile, followed by the median and 95th percentile for MDA8 ozone, except for the "Others" category, for which the median showed the lowest bias. For suburban and urban site types, 95th percentile MDA8 ozone consistently showed the lowest bias for all land use types, followed by the median and 5th percentile. Furthermore, "Others" land use category under the rural and urban sites shows the lowest bias for 5th percentile and the median, while "residential" land use type shows the lowest bias for the suburban sites.

373 For PM_{2.5}, the largest differences between the model and observations are seen for the 95th percentile at "Others" land use categories compared to the 5th percentile and median. The model 374 375 generally captures the temporal variability in PM2.5 across all land use types (except "Others") and 376 location settings for all three-percentile metrics analyzed here but some anomalies are also evident. 377 For example, residential and commercial sites in the urban category show larger overestimation 378 for the median and 95th percentiles during 2005-2006, indicating higher uncertainties in 379 anthropogenic emission estimates at these sites during these years. While the model follows most of the observed peaks in 95th percentile, it substantially underestimates the observed peaks. 380

381 The errors in air quality simulations can be attributed to the uncertainties in different types 382 of emissions used to drive air quality models, errors in the lateral boundary conditions representing 383 pollution inflow, uncertainties in meteorological parameters (as quantified earlier in this section), 384 and poor understanding of some of the physical and chemical processes controlling the fate of 385 those emissions. To quantify uncertainties in anthropogenic and biomass burning emissions over 386 the CONUS, we compared all available anthropogenic and biomass burning emission inventories 387 over the CONUS and found that anthropogenic emission estimates across various emission 388 inventories vary by a factor of 1.16 - 2.94 (Table A3.2) and the corresponding fire emission

389 estimates vary by 3.13 - 8.0 (Table A3.3). The extrapolation of the NEI emissions to years other 390 than the base years might have also introduced some uncertainties in our simulations because EPA 391 reported state level trends may not always represent local (sub-state) changes in emissions and 392 also do not provide information about new emission sources appearing in the CONUS between 393 two NEI base emission inventory years. In addition, the observation error (0.05 + 15%) of MODIS 394 AOD value over land; Remer et al., 2005) for MODIS AOD increases with increase in the 395 magnitude AOD which in turn restricts the data assimilation system (GSI) in pushing the modeled 396 AOD towards the MODIS AOD. Furthermore, the AOD retrievals do not contain any information 397 about the vertical distribution of aerosols and thus GSI simply scales the modeled vertical profile 398 to match the MODIS AOD within the constraints of observation and model error. Thus, AOD 399 assimilation is unable to correct for any errors in vertical distribution of aerosols resulting from 400 errors in the plume rise of fire emissions.

401

402 4.3. Trend analysis

403 To help air quality managers and the public determine the confidence they can put in using this 404 reanalysis for analyzing changes in air quality in their regions, we have evaluated the trends in our 405 CMAQ simulated MDA8 ozone and 24-hr average PM_{2.5} against the AQS observations. The 406 spatial distribution of positive/negative trend values in MDA8 ozone and 24-hr average $PM_{2.5}$ 407 calculated using monthly median values in AQS and CMAQ data during 2005-2018 are shown in 408 Figures 9 and 10, respectively. Different symbols are used to represent urban, suburban, and rural 409 site types. Based on location, $\sim 42/23\%$ of sites were in rural areas, $\sim 41/45\%$ in suburban areas 410 and $\sim 17/32\%$ were in urban or city centers, respectively, for MDA8 ozone/24-hr average PM_{2.5}. 411 Darker/lighter red and blue colors represent statistically significant/insignificant increasing and

412 decreasing trends at 2-sigma level. The 2-sigma rule is a standard way of testing statistical 413 significance of trends. In a normal distribution, ~95% of the data points lie within 2 standard 414 deviations (±2-sigma) of the mean. If the trend falls outside this range, it is considered unlikely to 415 have occurred by chance (i.e., at a statistical significance in the probability of less than 5%). Over 416 the study period, both the model and observations show decreasing trends in MDA8 ozone over 417 the majority of the CONUS. Most eastern US sites show decreasing trends that were statistically 418 significant with p values less than 0.05. The sites located in western/northwestern US, however, 419 showed mixed results with some sites showing increasing trends, most of which were not 420 statistically significant. Similar results were observed during the summer season with most sites 421 showing statistically significant decreasing trends over the most locations. During autumn and 422 winter seasons, several sites over California and the eastern US showed decreasing but 423 insignificant trends. Some sites over the midwestern US also changed the trend sign during these 424 seasons. The trends in winter seasons were mostly positive over most sites in the US (except for 425 the coastal sites in the southeastern US). About 55% (278 of 501) of the sites showed positive 426 trends in both AQS and CMAQ data during winter but only ~3% (29 of 1012) of the sites showed 427 positive trends in summer. The seasonal changes in monthly median trends discussed above were mostly consistent (67-86%) between the AQS and CMAQ data. A similar analysis with 5th and 428 429 95th percentile time series suggested that the higher percentiles showed mostly decreasing trends, 430 but 5th percentile dataset at the mid-western US, Boston-New York-DC, and central US sites 431 showed increasing trends on a seasonal and annual basis. The MDA8 ozone trend over CONUS 432 (1012 sites) is estimated to be $-0.53 \pm 0.46/-0.56 \pm 0.45$ ppb/year (summertime) and $-0.31 \pm 0.43/-$ 433 0.29 ± 0.39 ppb/year (annual), respectively, for AQS/CMAQ data, with most sites (~70 %) 434 showing negative trends. At the 2-sigma level (p-value < 0.05), the summertime mean ozone trends

435 are $-0.85 \pm 0.36/-0.75 \pm 0.35$ ppb/year for 484/620 sites and annual MDA8 ozone trends are -0.52436 \pm 0.45/-0.47 \pm 0.42 ppb/year for 554/562 sites, respectively, for AQS/CMAQ data over CONUS. 437 This suggests decreases in monthly high ozone days but increases in monthly low ozone. On an 438 annual basis, MDA8 ozone showed the most decreasing trends (AQS/CMAQ= $-0.40 \pm 0.37/-0.34$ 439 ± 0.34 ppb/year) in the 428 rural sites. The mean ozone trends over urban (411 sites) and suburban 440 (170) areas were (AQS/CMAQ = $-0.28 \pm 0.44/-0.29 \pm 0.40$ ppb/year) and (AQS/CMAQ = -0.13 ± 0.44 441 $0.48/-0.15 \pm 0.48$ ppb/year), respectively. The ozone trends over high-altitude sites (16 sites), are 442 mostly negative for AQS/CMAQ = $-0.43 \pm 0.45/-0.12 \pm 0.36$ ppb/year) in summer and annually 443 $(AQS/CMAQ, = -0.39 \pm 0.38/-0.03 \pm 0.29 \text{ ppb/year}).$

444 Similar MDA8 ozone trends were also reported in a previous study (Simon et al., 2015). 445 Mousavinezhad et al. (2023) reported that all regions except the Northern Rockies and the 446 Southwest experienced decreasing trends in median MDA8 ozone values during the warm season 447 of 1991-2020, with rural stations in the Southeast and urban stations in the Northeast experiencing 448 the greatest declines of -1.29 ± 0.07 ppb/year and -0.85 ± 0.08 ppb/year, respectively. They also 449 reported a large decrease in MDA8 ozone 95th percentile in all regions. Similarities in ozone trends 450 between the AQS observations and CMAQ simulations over a longer time period 1990-2015 is 451 also reported by He et al. (2020).

On an annual basis, 24-hr average $PM_{2.5}$ also showed mostly decreasing trends (~79 %) over most of the sites. A majority of these trends were also statistically significant at 2-sigma level (AQS/CMAQ = 70 %/75 %). However, unlike MDA8 ozone, an increasing trend (though insignificant) in summertime $PM_{2.5}$ is observed over the north-western US (Fig. 10). The wintertime trends were also mostly decreasing over most of the sites, except for the northwestern US. During summer season about 5-fold increase (annual ~ 5%; summer ~ 24%) in positive trends

is observed in high PM2.5 days (95th percentile time series) and most of these increases were 458 459 observed over the Pacific Northwest. These summertime increases in PM2.5 trends are also evident 460 from the 95th percentile time series, where a sharp increase in PM_{2.5} is observed during 2017-2018 461 overall sites except industrial locations (see Figure A2.11). In recent years these changes could be 462 even stronger as wildfire activity over the western US has increased in the last decade. The 463 dramatic decreasing trends of PM_{2.5} in the eastern US were also reported in previous studies 464 (Zhang et al., 2018; Gan et al., 2015; Xing et al., 2015) (Gan et al., 2015; Xing et al., 2015; Zhang 465 et al., 2018) due to emission reductions. The increasing trend in the western central area is due in 466 part to frequent wildfires (Dennison et al., 2014; McClure and Jaffe, 2018). For PM_{2.5} the overall 467 mean trends are $-0.24 \pm 0.21/-0.24 \pm 0.24 \mu g/m^3/year$ (369 sites) in AQS/CMAQ data sets. Unlike, 468 MDA8 ozone, the number of sites remained almost the same (337-357 sites in four seasons, 369 469 annual) during seasons and an overall negative trend is also observed (-0.18 \pm 0.25 to -0.30 \pm 0.35 470 $\mu g/m^3/year$). At 2-sigma level, the number of sites that showed negative trends in both the datasets 471 were 69-80 %.

472 On an annual basis, the mean PM_{2.5} trends over urban sites are $-0.17 \pm 0.22/-0.18 \pm 0.15$ $\mu g/m^3/year$, suburban sites are $-0.28 \pm 0.22/-0.24 \pm 0.26 \mu g/m^3/year$ and $-0.3 \mu g/m^3/year$, and urban 473 and city center are -0.23 \pm 0.21/-0.30 \pm 0.27 μ g/m³/year μ g/m³/year, respectively, for AQS/CMAO 474 475 data. The only high-altitude site for PM_{2.5} showed an increase in the annual $(0.07/0.06 \,\mu\text{g/m}^3/\text{year})$ 476 for AQS/CMAQ data) and summertime trend (0.13/0.13 μ g/m³/year for AQS/CAMQ data). 477 During other seasons, mostly low negative trends were observed. The ozone trends over high-478 altitude sites (16 sites), however, are mostly negative (-0.43 \pm 0.45/-0.12 \pm 0.36 ppb/year in 479 summer and $-0.39 \pm 0.38/-0.03 \pm 0.29$ ppb/year, annually). The ozone trends at high altitude sites showed large seasonal variations with min to max ranges of -0.69 to 0.87/-1.5 to 0.26 ppb/year for
AQS/CMAQ data.

- 482
- 483 **4.4.** Air Quality dashboard

484 The comprehensive evaluation of our reanalysis in the above sections shows that our 485 reanalysis is able to capture key features of long-term trends in both MDA8 ozone and $PM_{2.5}$ over 486 most parts of the CONUS. This increases confidence in using this dataset for assessing the trends 487 in unmonitored areas of the CONUS. Therefore, a Geographic Information System (GIS)-based 488 dashboard has been developed to aid in community engagement and understanding of the 489 reanalysis data. The dashboard was developed using Esri ArcGIS Dashboard technology (Esri, 490 2024). An interactive web-based dashboard allows stakeholders to explore air quality annual 491 concentrations and the number of days that exceed a certain threshold over space and time. It 492 provides a step-by-step path for users to explore information at the CONUS, state, and county 493 levels. In the center of the dashboard is a time series chart showing trends in annual concentrations 494 of MDA8 ozone, NO2, PM_{2.5}, PM₁, and PM₁₀ between 2005 and 2018. An indicator element of a 495 dashboard highlights how many days between 2005 and 2018 have exceeded the National Ambient 496 Air Quality Standards (NAAQS) for ozone and PM_{2.5}, and a bar chart graph shows the number of 497 days that exceeded the NAAQS each year. There is also a map that zooms to the selected state or 498 county of interest and illustrates the spatial distribution of air quality variables using a quantitative 499 color bar.

500 The dashboard can be used to better understand how particular events, such as large 501 wildfires, have affected air quality in certain geographic areas. For example, the 2008 wildfires in 502 Shasta and Trinity Counties in California, referred to as the June Fire Siege, had a major impact

503 on air quality (<u>https://storymaps.arcgis.com/stories/c6535ee477e14b72a20393a5f10aefbc</u>). Figure 504 11 shows MDA8 ozone concentrations for Shasta County, California. The dashboard shows a 505 sharp increase in MDA8 ozone concentration in 2008, as depicted in the time series plot. The bar 506 chart in the lower right corner also reflects the large number of days that exceeded the NAAQS 507 criteria for MDA8 ozone in 2008.

508 The dashboards also can be used to visualize the efficacy of air quality management 509 policies. For example, Los Angeles County, CA has designed and implemented strict emission 510 standards to improve air quality. Figure 12 shows the downward trend in $PM_{2.5}$ concentrations in 511 Los Angeles County during 2005-2018. The air quality dashboard is publicly accessible at 512 https://ncar.maps.arcgis.com/apps/dashboards/9a97650dc77b4f7192b99ea9bef36a21. To ensure 513 stakeholders have an understanding of the uncertainties, we have included the following message 514 on the website: "Note that mean bias of 3.7-6.8 ppbv in ozone and that of -0.9-5.6 μ g/m³ in PM2.5 515 could have impacted the calculation of days exceeding the corresponding National Ambient Air 516 Quality Standards."

517 We have also developed a Python-based Streamlit application allowing users to select and 518 download data for specific time periods aggregated over administrative boundaries such as cities, 519 counties, and states. Temporal and spatial aggregations are performed on the server, and only 520 information of interest is downloaded and delivered to the users, taking the data processing 521 workload off of the users. The Streamlit application allows users to select a time period, a temporal 522 aggregation (daily, weekly, monthly, annual), one or more air quality variables, statistics (min, 523 mean, max), and an area of interest (state, county, city). The data can then be downloaded as a 524 comma-separated file as well as graphed on the website as seen in Figure 13. The Streamlit 525 application is available at: https://compass.rap.ucar.edu/airquality/

527 **5.** Data availability

528 The global meteorological datasets used to drive WRF are publicly available through National 529 Center for Atmospheric Research (NCAR) Research Data Archive (https://rda.ucar.edu/). The 530 SMOKE setup used to create emissions for CMAQ is accessible via EPA emissions modeling 531 platform (https://www.epa.gov/air-emissions-modeling/emissions-modeling-platforms). FINN 532 biomass burning emissions can be downloaded from https://rda.ucar.edu/datasets/ds312.9/. 533 Meteorological observations used to evaluate the model performance are downloaded from 534 https://madis-data.cprk.ncep.noaa.gov/madisPublic1/data/archive/. The EPA AOS system observations are downloaded from https://www.epa.gov/aqs. Hourly surface output from the 535 536 WRF-CMAQ-GSI system can be downloaded from https://doi.org/10.5065/cfya-4g50 (Kumar and 537 He, 2023)

538

539 6. Code availability

540 The WRF, CMAQ, and GSI source codes are publicly accessible at <u>https://github.com/wrf-model/</u>,
 541 <u>https://github.com/USEPA/CMAQ</u>, and <u>https://dtcenter.org/community-code/gridpoint-</u>
 542 statistical-interpolation-gsi/download.

543

544 7. Conclusions

Air pollution is an important health hazard affecting human health and the economy in the CONUS, yet millions of people live in counties without air quality monitors. To address this gap and help air quality managers understand long-term changes in air qualities at the county level across the CONUS, we have created a 14-year long 12-km hourly dataset by daily assimilation of 549 atmospheric composition observations from the NASA MODIS and MOPITT sensors aboard the 550 Terra and Aqua satellites in the Community Multiscale Air Quality (CMAQ) model from 01 Jan 551 2005 to 31 Dec 2018. The WRF model has been used to simulate meteorological parameters, 552 which are then used to drive CMAQ offline and for generating meteorology-dependent 553 anthropogenic emissions.

554 The meteorological parameters, ozone, and PM_{2.5} have been extensively validated against 555 multi-platform observations to characterize uncertainties in our dataset, which air quality managers 556 need to determine the confidence they can put in our dataset. We show that our dataset captures 557 regional scale hourly, seasonal, and interannual variability in the meteorological variability well 558 across the CONUS. The model shows an excellent performance in simulating the regional and 559 temporal variability in temperature and relative humidity but a slightly poorer performance in 560 simulating winds and precipitation, which are well known shortcomings of the WRF model. The 561 model also shows a higher skill in reproducing variabilities in surface ozone (r = 0.77-0.91) than 562 $PM_{2.5}$ (0.49-0.79). The mean biases for CMAQ ozone and $PM_{2.5}$ are estimated to be 3.7-6.8 ppbv 563 and -0.9-5.6 μ g/m³, respectively, and the corresponding RMSE values are 7-9 ppbv and 3.0-8.3 564 $\mu g/m^3$, respectively.

The MDA8 ozone trend over CONUS is estimated to be $-0.53 \pm 0.46/-0.56 \pm 0.45$ ppb/year (summertime) and $-0.31 \pm 0.43/-0.29 \pm 0.39$ ppb/year (annual), respectively, for AQS/CMAQ data with ~70% of sites showing negative trends. At a 2-sigma level, the summertime MDA8 ozone trends are $-0.85 \pm 0.36/-0.75 \pm 0.35$ ppb/year and annual MDA8 ozone trends are $-0.52 \pm 0.45/ 0.47 \pm 0.42$ ppb/year, respectively, for AQS/CMAQ data over CONUS. Annually, at 2-sigma level, 46% sites showed negative trends in both the data. Annual mean PM_{2.5} trends are $-0.24 \pm 0.21/ 0.24 \pm 0.24 \mu g/m^3/year$, respectively in AQS/CMAQ data sets, and ~79% of the sites showed

572	negative trends. Annually, at 2-sigma level, 66% sites showed negative trends in both the data.
573	During summertime, the negative trend percent is reduced to 71%, where an increase in positive
574	trends are observed in the northwestern US.

575 An air quality dashboard has been developed, which provides a step-by-step path for users 576 to explore information at the CONUS, state, and county levels. This dashboard allows the users to 577 visualize air quality information in the form of maps, bar charts, and the NAAQS exceedance days. 578 Finally, a Python-based Streamlit application is developed to allow the download of the air quality 579 data in simplified text and graphic formats for the end user's choice of the region and time of 580 interest.

8. Figures





Figure 1: Architecture of the daily GSI/CMAQ based chemical data assimilation workflow.





592

Figure 2: Time series of monthly averaged 2 m temperature over 10 EPA regions (R1-R10) from WRF-CMAQ setup (red) and METAR observations (black) during 2005-2018. Orange and Grey lines represent the standard deviation for WRF-CMAQ and METAR, respectively. The correlation coefficient (r), mean bias (MB), and the root mean square error (RMSE) for each region is also shown.

Figure 4: Same as Figure 2 but for 10 m wind speed.

606 Figure 5: Same as Figure 2 but for 10 m wind direction.

Figure 6: Spatial distribution of mean daily precipitation and bias during four seasons in 20052018 (top to bottom, *viz.*, Winter, Spring, Summer and Autumn). Left, center and right panels
represent mean precipitation from WRF, IMERG and bias (WRF-IMERG) precipitation,
respectively.

Figure 7: Time series of hourly averaged surface ozone over 10 EPA regions (R1-R10) from
WRF-CMAQ setup (red) and EPA AQS observations (black) during 2005-2018. The correlation
coefficient (r), mean bias (MB), and the root mean square error (RMSE) for each region is also
shown.

620 Figure 8: Same as Figure 7 but for daily averaged surface fine particulate matter (PM_{2.5}).

Figure 9: Spatial distribution of positive (blue colors), negative trends (red colors) in MDA8 ozone
at different statistically significant levels (p-values) using annual, seasonal monthly median time
series (top to bottom). Plots on the right show differences in trend values [CMAQ-AQS].

Figure 10: Spatial distribution of positive (blue colors), negative trends (red colors) in 24-hour
avg. PM_{2.5} (right panel) at different statistically significant levels (p-values) using monthly median
time series (top to bottom). Plots on the right show differences in trend values [CMAQ-AQS].

- 631 Figure 11: Dashboard reflecting Ozone concentrations for Shasta, CA.

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- **Figure 12:** PM_{2.5} concentrations for Los Angeles, CA.

Figure 13: Streamlit Air Quality App to easily download and summarize data in a CSV format.

649 9. Appendices

650 A1: Forward and Adjoint operators for MOPITT CO assimilation

MOPITT retrieved profile consists of 10 levels, including a surface level followed by 100 hPa thick layers from 900 hPa to 100 hPa. The CMAQ vertical profile of CO cannot be compared with MOPITT CO directly and needs to be convolved with the MOPITT a priori profile and averaging kernel. Following (Barré et al., 2015; Gaubert et al., 2016), the CMAQ profile that can be compared directly to MOPITT can be written as:

$$656 \quad CO_{ret}^{CMAQ} = 10^{(AK^{MOPITT} \log_{10}(CO^{CMAQ}) + (I - AK^{MOPITT})\log_{10}(CO_{apr}^{MOPITT}))}$$
(1)

657

 CO_{ret}^{CMAQ} is the CMAQ CO profile convolved with MOPITT a priori averaging kernel (AK^{MOPITT}) 658 and a priori profile (CO_{apr}^{MOPITT}) that can be compared directly to the MOPITT retrieved CO profile. 659 CO^{CMAQ} is the 10-layer CMAQ profile mapped to the MOPITT pressure grid. A 660 log_{10} transformation is necessary because the averaging kernel matrix for retrievals is obtained 661 with CO parameters in $log_{10}(CO)$. Differentiation of equation (1) will yield the sensitivity of 662 CO_{ret}^{CMAQ} with respect to CO^{CMAQ} , which represents the adjoint of the forward operator. For the 663 purpose of derivation, let $CO_{ret}^{CMAQ} = y$; $CO^{CMAQ} = x$; $AK^{MOPITT} = A$; and (I - I)664 AK^{MOPITT}) $log_{10}(CO_{apr}^{MOPITT}) = C$ then equation (1) can be written as: 665

$$666 y = 10^{(Alog_{10}(x) + C)} (2)$$

667 Applying the differentiation rule $\frac{d [a^u]}{dx} = ln(a) \cdot a^u \cdot \frac{du}{dx}$; we can differentiate equation (2) as: 668 $\frac{dy}{dx} = ln(10) \cdot 10^{(Alog_{10}(x) + C)} \cdot \frac{d}{dx} (Alog_{10}(x) + C)$ (3)

669 Since *A* and *C* do not depend on CMAQ simulations, they are constants and thus their 670 differentiation is zero. Since $\frac{d}{dx}(log_{10}(x)) = \frac{1}{x \ln(10)}$, equation (3) simplifies to

671
$$\frac{dy}{dx} = 10^{(Alog_{10}(x) + C)} \cdot A \cdot \frac{1}{x} = A \cdot \frac{y}{x}$$
 (4)

- 672 Substituting the values of *y*, *x*, *A*, and *C* in equation (4), the changes in CO vertical profile in the
- 673 MOPITT space can be related to changes in CO vertical profile in CMAQ as follows:

$$674 \qquad dCO_{ret}^{CMAQ} = AK^{MOPITT} \cdot \frac{cO_{ret}^{CMAQ}}{cO^{CMAQ}} dCO^{CMAQ} \tag{5}$$

675 By writing equation (5) in matrix form and then transposing the forward operator matrix, we can

676 write the adjoint of the forward operator as a recursive matrix equation:

$$677 \qquad dCO^{CMAQ} = dCO^{CMAQ} + AK^{MOPITT} \cdot \frac{cO_{ret}^{CMAQ}}{cO^{CMAQ}} dCO_{ret}^{CMAQ} \tag{6}$$

- 678
- 679

683 Figure A2.1: Correlation coefficient, Mean bias, and Root Mean Squared Error (RMSE)

between CMAQ and MOPITT CO profiles at ten MOPITT retrievals pressure levels for the

685 CMAQ experiments with (ASM) and without (BKG) assimilation of the MOPITT CO profiles

- during July 2018. These statistics are based on 118552 data points at each level.
- 687

- 689 Figure A2.2: Map showing the EPA regions over which model evaluation has been performed.
- 690 The map is reproduced from <u>https://www.epa.gov/aboutepa/visiting-regional-office</u>. Our
- 691 evaluation does not include Puerto Rico in Region 2, Hawaiian Islands in Region 9, and Alaska
- in Region 10.

693
694Hours (LST)Hours (LST)Hours (LST)693
Figure A2.3: Seasonal mean diurnal variations in 2 m Temperature (Top panel), relative

695 humidity (middle panel) and 10 m wind speed (bottom panel) from METAR observations and

696 WRF model.

Figure A2.4: Average diurnal profile of ozone (top panel) and PM_{2.5} (bottom panel) over all

699 AQS sites in CONUS.

Figure A2.5: The stacked histogram shows the number of sites in each location setting (different

bars) and land use type (different colors) for MDA8 ozone (left) and 24-hr avg. PM_{2.5} (right).

Figure A2.6: The Annual mean (derived from monthly median values) time series of MDA8
Ozone using AQS data (black) and CMAQ (red) over different location type (top to bottom) and
land-use type (left to right) during 2005-2018. The number of sites for each scenario are presented
in brackets. The blue color represents the mean bias.

Figure A2.7: Same as Figure A2.6 but time series is derived from monthly 5th percentile values

Figure A2.8: Same as Figure A2.6 but time series is derived from monthly 95th percentile values.

Figure A2.9: The Annual mean (derived from monthly values) time series of 24-hour avg. PM_{2.5}
using AQS data (black) and CMAQ (red) over different location types (top to bottom) and landuse type (left to right) during 2005-18. The number of sites for each scenario are presented in
brackets. The blue color represents the mean bias.

Figure A2.10: Same as Figure A2.9 but time series is derived from monthly 5th percentile values.

Figure A2.11: Same as Figure A2.9 but time series is derived from monthly 95th percentile values.

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747 A3: Additional Tables

748	Table A3.1: Key physics	and chemical schemes	used in the WRF-	CMAQ configuration.

Physics	Setup-1	Setup-2
	(standard simulation used for	(sensitivity simulation used to
	assimilation)	generate background error)
Long-wave radiation	RRTMG	RRTM Longwave
Short-wave radiation	RRTMG	Goddard Shortwave
Microphysics	Morrison double-moment	Thomson
Cumulus	Kain–Fritsch version 2	Grell 3-D ensemble
Land surface model	Pleim–Xiu LSM	Unified Noah LSM
Surface Layer	Pleim–Xiu surface layer	MYNN
PBL	ACM2	MYNN level 2.5
Gas-phase chemistry	CB06	CB06
Aerosol chemistry	AERO7	AERO7
Anthropogenic and	EPA NEI	EPA NEI perturbed by factors*
fire emissions		derived from uncertainty analysis
		of multiple emission datasets
Biogenic emission	Online CMAQ BEIS	Offline MEGAN

Emissions	HTAP v2	EDGAR	MACCity	CAMSv4.2	NEI+	Min-Max
(Tg/yr)	[2010]	v4.3.2	[2005-16]	[2005-16]	[2014]	Ratio
		[2010]				
СО	56.20	56.77	46.02 ± 6.39	56.49 ± 6.46	45.69	1.24
NH ₃	4.42	5.14	4.44 ± 0.14	5.12 ± 0.07	3.25	1.58
NO _x	11.07	10.93	10.40 ± 1.00	10.46 ± 0.96	12.03	1.16
SO ₂	13.10	12.52	10.87 ± 2.44	11.48 ± 1.90	4.46	2.94
CH ₂ O	0.12	0.20	0.17 ± 0.02	0.26 ± 0.02	0.16	2.17
NMVOC	15.61	14.57	6.58 ± 0.82	14.92 ± 0.74	12.28	2.37
OC	0.61	0.36	0.48 ± 0.08	0.36 ± 0.01	0.79**	2.19
BC	0.34	0.20	0.28 ± 0.06	0.21 ± 0.02	0.26**	1.70
PM _{2.5}	2.02	N/A	N/A	N/A	3.67	1.82

750 **Table A3.2:** Annual anthropogenic emissions for nine species over CONUS during 2005-2018.

⁺ Except NEI, all other emissions are simply summed over {20-50 N} & {60-130 W} region

** CONUS PM_{2.5} emissions are 5.15 Tg/yr which has 8% BC (or EC) and 28% OC

753 <u>https://www.epa.gov/sites/production/files/2019-08/documents/210pm_rao_508_2.pdf</u>

754

Emissions	Top-Down	Top-Down emissions		Bottom-up emissions		
(Tg/yr)	QFED	GFASv1.3	FINNv1.5	GFEDv4.1	NEI	Ratio
СО	12.90 ± 2.59	8.99 ± 2.40	10.93 ± 2.21	5.41 ± 1.12	16.95	3.13
NH3	0.56 ± 0.11	0.12 ± 0.03	0.18 ± 0.04	0.07 ± 0.02	0.27	8.00
NO _x	0.56 ± 0.11	0.20 ± 0.06	0.47 ± 0.10	0.18 ± 0.04	0.25	3.11
SO ₂	0.32 ± 0.07	0.07 ± 0.02	0.09 ± 0.02	0.04 ± 0.01	0.13	8.00
CH ₂ O	0.16 ± 0.03	0.15 ± 0.04	0.15 ± 0.03	0.10 ± 0.02	0.22	2.20
tVOC	0.53 ± 0.11	1.05 ± 0.28	1.86 ± 0.40	1.06 ± 0.22	3.92	7.40
OC	2.99 ± 0.63	0.60 ± 0.17	0.66 ± 0.13	0.34 ± 0.09	0.45	8.79
BC	0.24 ± 0.05	$0.\overline{05 \pm 0.02}$	$0.\overline{06 \pm 0.01}$	$0.\overline{03 \pm 0.01}$	0.15	8.00
PM _{2.5}	4.37 ± 0.92	0.90 ± 0.24	N/A	0.61 ± 0.14	1.48	7.16

756 Table A3.3: Annual biomass burning emissions for nine species over the CONUS during 2005-757 2018.

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RK, PB, CH, GGP, SA, HW, and OG conceptualized the study. All the authors contributed to the design of the study. RK, CH, and PB performed all the model simulations including the data assimilation system developments and experiments. PB, CH, RK, and SA contributed to the model evaluation and trend analysis. RK, FL, JB, OG, KS, MC, and SS contributed to the design of the air quality dashboard and Streamlit application. RK prepared the first draft of the paper. All authors contributed to the editing of the manuscript.

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767 11. Competing interests

The authors do not have any competing interests. The funding agency had no role in the design of the study, in the collection, analyses, interpretation of data, in the writing of the manuscript,

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