¹ Global biogeography of N₂-fixing microbes: *nifH* amplicon database

2 and analytics workflow

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10 Abstract. Marine nitrogen (N₂) fixation is a globally significant biogeochemical process carried out by a **11** specialized group of prokaryotes (diazotrophs), yet our understanding of their ecology is constantly evolving. Although **12** marine dinitrogen (N_2) - N_2 fixation is often ascribed to cyanobacterial diazotrophs, indirect evidence suggests that 13 non-cyanobacterial diazotrophs (NCDs) might also be important. One widely used approach for understanding diazotroph 14 diversity and biogeography is polymerase chain reaction (PCR)-amplification of a portion of the *nifH* gene, which encodes a 15 structural component of the N₂-fixing enzyme complex, nitrogenase. An array of bioinformatic tools exists to process *nifH* 16 amplicon data, however, the lack of standardized practices has hindered cross-study comparisons. This has led to a missed 17 opportunity to more thoroughly assess diazotroph biogeography, diversity diversity, biogeography, and their potential 18 contributions to the marine N cycle. To address these knowledge gaps a bioinformatic workflow was designed that 19 standardizes the processing of *nifH* amplicon datasets originating from high-throughput sequencing (HTS). Multiple datasets 20 are efficiently and consistently processed with a specialized DADA2 pipeline to identify amplicon sequence variants 21 (ASVs). A series of customizable post-pipeline stages then detect and discard spurious *nifH* sequences and annotate the 22 subsequent quality-filtered *nifH* ASVs using multiple reference databases and classification approaches. This newly 23 developed workflow was used to reprocess nearly all publicly available *nifH* amplicon HTS datasets from marine studies, 24 and to generate a comprehensive *nifH* ASV database containing 79099383 ASVs aggregated from 21 studies that represent 25 the diazotrophic populations in the global ocean. For each sample, the database includes physical and chemical metadata 26 obtained from the Simons Collaborative Marine Atlas Project (CMAP). Here we demonstrate the utility of this database for 27 revealing global biogeographical patterns of prominent diazotroph groups and highlight the influence of sea surface 28 temperature. The workflow and *nifH* ASV database provide a robust framework for studying marine N_2 fixation and 29 diazotrophic diversity captured by *nifH* amplicon HTS. Future datasets that target understudied ocean regions can be added 30 easily, and users can tune parameters and studies included for their specific focus. The workflow and database are available,

31 respectively, in GitHub (<u>https://github.com/jdmagasin/nifH-ASV-workflow;</u> Morando et al., 2024c) and Figshare
 32 (<u>https://doi.org/10.6084/m9.figshare.23795943.v+v2;</u> Morando et al., 2024a).

33 1 Introduction

34 Dinitrogen (N_2) fixation, the reduction of N_2 into bioavailable NH₃ is a source of new nitrogen (N) in the oceans and can 35 support as much as 70 % of new primary production in N-limited oligotrophic gyres (Jickells et al., 2017). Over millennia, 36 N_2 fixation may balance the loss of N from the marine system through denitrification and annamox (Zehr and Capone, 2020). 37 N_2 fixation was thought to be performed exclusively by prokaryotes, yet it was recently demonstrated that the marine 38 haptophyte alga, *Braarudosphaera bigelowii*, contains a cyanobacterially-derived organelle specialized for N_2 fixation 39 (Coale et al., 2024). Noting this exception, microorganisms able to fix N_2 (diazotrophs), are broadly characterized into two 40 main groups, cyanobacterial diazotrophs (those phylogenetically related to cyanobacteria) and non-cyanobacterial 41 diazotrophs (NCDs). Historically, cyanobacterial diazotrophs have been considered the most important contributors to 42 marine N_2 fixation (Villareal, 1994; Capone et al., 2005). NCDs, first detected by Zehr et al. (1998), have since been 43 demonstrated to be ubiquitous in pelagic marine waters, and are generally thought to be putative chemoheterotrophs with a 44 highly diverse lineage that includes the massive phylum Proteobacteria as well as Firmicutes, Actinobacteria, and 45 Chloroflexi (Turk-Kubo et al., 2022). However, their contribution of fixed N and their role in the global ocean is not 46 well-understood (Moisander et al., 2017).

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48 Diazotrophs are often present at low abundances relative to other members of ocean microbiomes, which makes them 49 challenging to study (Moisander et al., 2017; Benavides et al., 2021). Distinctive pigments and morphologies that enable 50 some cyanobacterial diazotrophs to be identified by microscopy are lacking in many diazotrophs (Carpenter and Capone, 51 1983; Carpenter and Foster, 2002), including NCDs. Furthermore, many marine diazotrophs are uncultivated, which has 52 required the use of cultivation-independent approaches such as PCR and quantitative PCR (qPCR) (Luo et al., 2012; Shao 53 and Luo, 2022; Turk-Kubo et al., 2022). The *nifH* gene encodes the identical subunits of the Fe protein of nitrogenase, the 54 enzyme that catalyzes the N_2 fixation reaction, and contains both highly conserved and variable regions enabling its use as a 55 phylogenetic marker and as a proxy for N_2 -fixing potential in marine ecosystems globally (Gaby and Buckley, 2011).

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57 Although the importance of marine N₂ fixation is well-established, knowledge gaps remain, and discoveries continue to be 58 made (Zehr and Capone, 2020). For example, high-throughput sequencing (HTS) of *nifH* amplicons is expanding our 59 knowledge of diazotroph biogeography and activity and has revealed surprising new diversity. However, HTS studies often 60 utilize different or custom software pipelines and parameters, rendering direct comparisons between studies difficult. 61 Additionally, many studies do not address the full breadth of diazotrophic diversity because they focus on cyanobacterial 62 diazotrophs while providing only a superficial analysis of the NCDs present. The resulting lack of information on NCD *in* $63 \ situ$ distributions limits our understanding of diazotroph ecology and N_2 fixation as well as our ability to predict how these 64 populations will respond, e.g., trait-based ecological models, to a continually changing ocean.

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66 To address these issues, we compiled published *nifH* amplicon HTS datasets along with two new datasets. Twenty-one 67 studies were reprocessed by our newly developed software workflow, which streamlines the integration of multiple, large 68 amplicon datasets for reproducible analyses. The workflow identifies amplicon sequence variants (ASVs) using a pipeline 69 developed around DADA2 (Callahan et al., 2016) — the DADA2 *nifH* pipeline — and then executes rigorous post-pipeline 70 stages to: remove spurious *nifH* ASVs; annotate the remaining quality-filtered ASVs using multiple reference databases and 71 classification approaches; and obtain *in situ* and modeled environmental data for each sample from the Simons Collaborative 72 Marine Atlas Project (CMAP; <u>https://simonscmap.com</u>). Although created to support research into N₂ fixation (*nifH*), the 73 complete workflow (ASV pipeline followed by the post-pipeline stages) can be adapted for use with other amplicon datasets, 74 including other functional genes or taxonomic markers (16S rRNA genes), with some simple modifications.

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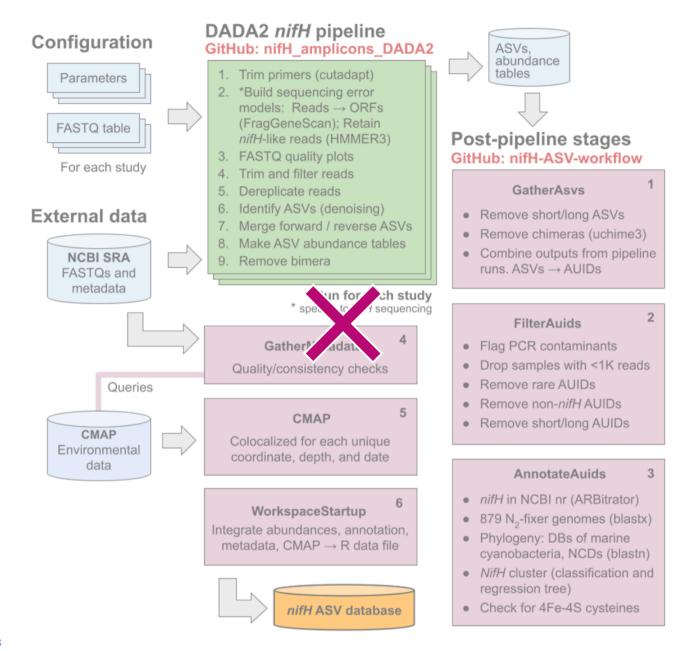
76 In addition to the workflow, our efforts resulted in the construction of a comprehensive database of nifH ASVs with 77 contextual metadata that will be a community resource for marine diazotroph investigations, enhancing comparability 78 between previous and future *nifH* amplicon datasets. The *nifH* ASV database is available in Figshare 79 (https://doi.org/10.6084/m9.figshare.23795943.v1v2; Morando et al., 2024a). The entire workflow required to produce the 80 nifH ASV database is available GitHub repositories, the DADA2 nifH pipeline in two 81 (https://github.com/jdmagasin/nifH amplicons DADA2; Morando et al., 2024b), and the post-pipeline stages 82 (https://github.com/jdmagasin/nifH-ASV-workflow; Morando et al., 2024c).

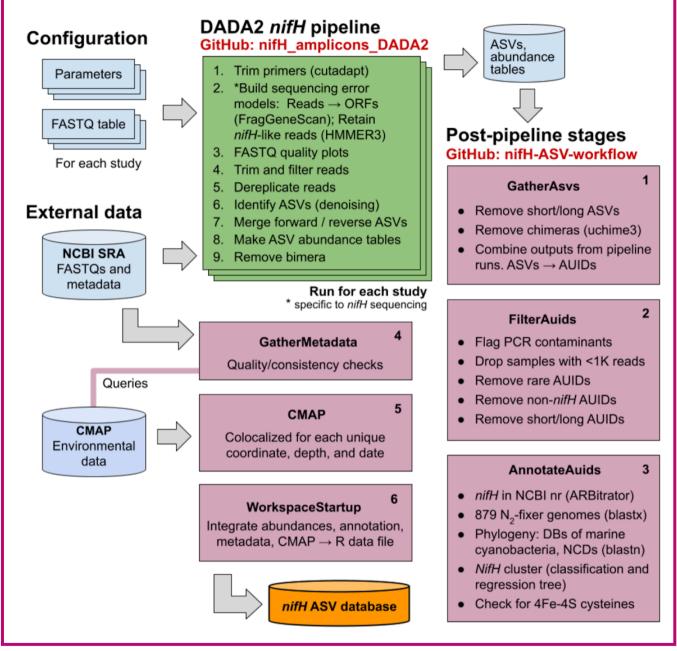
83 2 Data and Methods

84 2.1 Overview of nifH amplicon workflow and nifH ASV database generation

85 The full workflow is comprised of two parts: 1) the DADA2 *nifH* pipeline; and 2) a series of post-pipeline stages (Fig. 1).

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90 **Figure 1:** Schematic of the *nifH* amplicon data workflow. Data from all studies that met our criteria (Sect. 2.2) were downloaded from 91 the NCBI Sequence Read Archive (SRA) and processed separately through the DADA2 *nifH* pipeline (green; Sect. 2.3.2), generally using 92 identical parameters. ASV sequences and abundance tables from all studies were then combined and processed through each stage of the 93 post-pipeline workflow (purple, Sect. 2.3.3) by executing the Makefile associated with each stage. Post-pipeline stages quality-filtered and 94 then annotated the ASVs by reference to several *nifH* databases (DBs), and downloaded CMAP environmental data matched to the date, 95 coordinates, and depth of each amplicon dataset. The main output of the entire workflow (pipeline and post-pipeline) is the *nifH* ASV 96 database, which is available in Figshare (<u>https://doi.org/10.6084/m9.figshare.23795943.v+v2</u>; Morando et al., 2024a). The workflow is **97** maintained in two GitHub repositories, one for the DADA2 *nifH* pipeline (<u>https://github.com/jdmagasin/nifH amplicons DADA2</u>; **98** Morando et al., 2024b) and one for the post-pipeline stages (<u>https://github.com/jdmagasin/nifH-ASV-workflow</u>; Morando et al., 2024c).

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101 Required inputs for the pipeline are raw *nifH* amplicon sequencing reads and sample collection metadata (at minimum the
102 latitude and longitude, depth and sample collection date and time) used to acquire environmental metadata from CMAP.
103 Criteria for including publicly available datasets are detailed in Section 2.2.1.

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105 The DADA2 software package is frequently used for processing 16/18S rRNA gene amplicon sequencing data due to its 106 ability to remove base calling errors ("denoising") and thereby infer error-free ASVs (Callahan et al., 2016). We have 107 developed a customizable pipeline to improve the error models utilized by DADA2 by training them only on reads in a 108 dataset that are valid *nifH* sequences (not PCR artifacts). The DADA2 pipeline runs from the command line in a Unix-like 109 shell, moving through nine steps (Fig. 1 DADA2 *nifH* pipeline) described in Section 2.3.2 for each study independently. 110 After the DADA2 pipeline is completed, outputs from all studies are integrated and refined by the six post-pipeline stages of 111 the workflow, which perform additional quality filtering (e.g., size- and abundance-based selection), identify and remove 112 spurious sequences (e.g., potential contaminants and non-target sequences), and annotate the ASVs (Fig. 1 Post-pipeline 113 stages). By considering ASVs from all studies simultaneously, the workflow considers rare ASVs that might be discarded as 114 irrelevant in a single-study analysis. Workflow stages are executed manually by running their associated Makefiles and 115 Snakefiles within a Unix-like shell.

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117 The workflow generates the final data product published in this work, the *nifH* ASV database, which includes ASV 118 sequences, abundance and annotation tables, sample collection metadata, and sample environmental data from CMAP (Fig. 119 1). The database is available in Figshare (<u>https://doi.org/10.6084/m9.figshare.23795943.v1v2;</u> Morando et al., 2024a) as a set 120 of tables (comma-separated value files) and an ASV FASTA file. However, these are also provided within an R data file, 121 workspace.RData, in the WorkspaceStartup directory in the workflow GitHub repository, for users who wish to analyze, 122 curate, or customize the database using R packages for ecological analysis. All documentation, scripts, and data needed to 123 run the workflow and produce the *nifH* ASV database are provided in the workflow GitHub repository 124 (<u>https://github.com/jdmagasin/nifH-ASV-workflow;</u> Morando et al., 2024c). This includes pre-generated pipeline results for 125 each of the 21 studies as well as the pipeline parameters files.

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127 In summary, the workflow facilitates the systematic and reproducible exploration of *nifH*-based diversity within microbial 128 communities and was applied to available *nifH* amplicon data to generate a globally distributed *nifH* ASV database. Together 129 the workflow and *nifH* ASV database will serve as valuable community resources, fostering future investigations while 130 ensuring comparability between previous and forthcoming studies. In the following sections, detailed descriptions of each 131 stage of the workflow are provided.

133 2.2 Compilation of nifH amplicon studies

134 2.2.1 Published studies

135 We compiled all publicly available *nifH* amplicon HTS data that were generated using the nifH1-4 primers (Zani, 1999; Zehr 136 and McreynoldsMcReynolds, 1989) and subsequently sequenced on the Illumina MiSeq/HiSeq platform totaling 1921 137 studies (Table 1). Limiting the scope to investigations that used the same amplification primers enabled a more tractable 138 comparison across studies by different research groups that employed varying approaches to sample collection and 139 preparation for sequencing by different centers. Datasets were downloaded directly from the National Center for 140 Biotechnology Information (NCBI) Sequencing Read Archive (SRA) using the GrabSeqs tool (Taylor et al., 2020) by 141 specifying the study's NCBI project accession. Each dataset obtained included paired-end sequencing reads (in FASTQ files) 142 and a table with the collection metadata for each sample. Some datasets could not be retrieved directly from the SRA and 143 were obtained directly from the authors (Table A1). Note that we did not include studies where data was generated from 144 experimental perturbations or particle enrichments (Table A1). Data were last accessed from NCBI SRA on 17 April 2024.

146 Table 1: Information on the studies compiled to generate the *nifH* ASV database. All compiled studies and associated information.147 This includes the study ID used to refer to each dataset, the number of samples, NCBI BioProject accession, a reference to each148 publication and its corresponding DOI.

Study ID	Samples	NCBI BioProject	Reference	DOI	
АК2НІ	43	PRJNA1062410	This study	n/a	
BentzonTilia_2015	56	PRJNA239310	Bentzon-Tilia et al., 2015	10.1038/ismej.2014.119	
Ding_2021	32	SUB7406573	Ding et al., 2021	10.3390/biology10060555	
Gradoville_2020_G1	111	PRJNA530276	Gradoville et al., 2020	10.1002/lno.11423	
Gradoville_2020_G2	56	PRJNA530276	Gradoville et al., 2020	10.1002/lno.11423	
Hallstrom_2021	82	PRJNA656687	Hallstrøm et al., 2022b	10.1002/lno.11997	
Hallstrom_2022	83	PRJNA756869	Hallstrøm et al., 2022a	10.1007/s10533-022-00940-w	
Harding_2018	91	PRJNA476143	Harding et al., 2018	10.1073/pnas.1813658115	
Mulholland_2018	29	PRJNA841982	Mulholland et al., 2019	10.1029/2018GB006130	
NEMO	56	PRJNA1062391	This study	n/a	
Raes_2020	121	PRJNA385736	Raes et al., 2020	10.3389/fmars.2020.00389	
Sato_2021	28	PRJDB10819	Sato et al., 2021	10.1029/2020JC017071	
Selden_2021	10	PRJNA683637	Selden et al., 2021	10.1002/lno.11727	
Shiozaki_2017	2017 22 PRJDB5199		Shiozaki et al., 2017	10.1002/2017GB005681	
Shiozaki_2018GBC	20	PRJDB6603	Shiozaki et al., 2018b	10.1029/2017GB005869	

Shiozaki_2018LNO	20	PRJDB5679	Shiozaki et al., 2018a	10.1002/lno.10933	
Shiozaki_2020	14	PRJDB9222	Shiozaki et al., 2020	10.1038/s41561-020-00651-7	
Tang_2020	6	PRJNA554315	PRJNA554315 Tang et al., 2020 10.103		
TianjUni_2016Turk Kubo_2021	14 136	PRJNA637983 PRJ NA695866	₩uTurk-Kubo et al., 2021	10.1007/s10021-021-00702-z 1 0.1038/s43705-021-00039-7	
TianjUni_2017Wu_2 019	18	PRJNA438304	Wu et al., 2019	10.1007/s00248-019-01355-1	
Turk_2021 Wu_2021	136 14	PRJNA695866 PRJ NA637983	Turk-Kubo Wu et al., 2021	10.1038/s43705-021-00039-7 1 0.1007/s10021-021-00702-z	

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153 Sample quality was validated prior to processing through the DADA2 *nifH* pipeline. Samples were discarded if they did not 154 contain unmerged pairs of forward and reverse reads with properly oriented primer sequences (Table A1). There were two 155 exceptions, studies by Shiozaki et al. (2017) and Shiozaki et al. (2018b), that used mixed-orientation sequence libraries and 156 required preprocessing. The reads in each of these studies were partitioned by whether they captured the coding or template 157 strand of *nifH*, determined by primer orientation. Because HTS sequence quality generally degrades from 5' to 3', the 158 partitioned data were run separately through the pipeline to preserve their sequencing error profiles for DADA2. The ASVs 159 from the misoriented reads (e.g. forward reads with template sequence) were then reverse-complemented and combined with 160 the properly oriented ASVs into a single ASV abundance table and FASTA file. Table 1 and Table A1 provide information 161 for obtaining the raw FASTQ files for all samples evaluated for the *nifH* ASV database including information regarding 162 studies excluded from the database.

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164 2.2.2 Unpublished nifH amplicon datasets

165 Additional *nifH* gene HTS datasets were included from DNA samples collected on two cruises in the North Pacific. One was 166 a transect cruise across the Eastern North Pacific (NEMO; R/V New Horizon, August 2014; Shilova et al., 2017), and the 167 other was a transect cruise from Alaska to Hawaii (AK2HI; R/V Kilo Moana, September 2017). Euphotic zone samples were 168 collected from Niskin bottles deployed on a CTD-rosette (NEMO) or from the underway water system (5 m; AK2HI). 169 NEMO samples (2-4 L) were filtered through 0.2 μm and 3 μm pore-size filters (in series), while AK2HI samples (ca. 2 L) 170 were filtered through 0.2 μm pore-size filters using gentle peristaltic pumping. Filters were dried, flash frozen and stored at 171 -80°C until processing. DNA was extracted using a modified DNeasy Plant Kit (Qiagen, Germantown, MD) protocol, 172 described in detail in Moisander et al. (2008), with on-column washing steps automated by a QIAcube (Qiagen).

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174 Partial *nifH* DNA sequences were PCR-amplified using the nifH1-4 primers in a nested *nifH* PCR assay (Zani, 1999; Zehr 175 and McreynoldsMcReynolds, 1989) according to details in Cabello et al. (2020). All samples were amplified in duplicate and

176 pooled prior to sequencing. A targeted amplicon sequencing approach was used to create barcoded libraries as described in 177 Green et al. (2015), using 5' common sequence linkers (Moonsamy et al., 2013) on second round primers, nifH1 and nifH2. 178 Sequence libraries were prepared at the DNA Service Facility at the University of Illinois at Chicago, and multiplexed 179 amplicons were bidirectionally sequenced (2 × 300 bp) using the Illumina MiSeq platform at the W.M. Keck Center for 180 Comparative and Functional Genomics at the University of Illinois at Urbana-Champaign. Samples were multiplexed to 181 achieve ca. 40,000 high quality paired reads per sample. The AK2HI and NEMO datasets can be found in the SRA 182 (BioProjects PRJNA1062410 and PRJNA1062391, respectively).

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184 2.2.3 Sample collection data and co-localized CMAP environmental data

185 Sample collection data (e.g. coordinates, depth, date) and environmental data provide essential context for the interpretation 186 of diazotroph 'omics datasets. Large-scale multivariate analyses depend on properly formatted, complete, and ideally quality 187 checked metadata from consistently collected and analyzed measurements. However, accessibility to this information is often 188 limited (especially environmental data) for datasets published across multiple decades. Therefore, we first obtained sample 189 collection metadata from the SRA, and corrected or flagged errors and inconsistencies in the GatherMetadata stage of our 190 post-pipeline workflow (described below), to ensure consistency and completeness. For each sample, the geographic 191 coordinates, depth, and collection date (at local noon) from the SRA were used to query the Simons Collaborative Marine 192 Atlas Project on 24 March 2023 (CMAP; https://simonscmap.com/; Ashkezari et al., 2021) for co-localized environmental 193 data using a custom script (query CMAP.py) in the CMAP stage of the workflow (Fig. 1). CMAP is an open-source data 194 portal designed for retrieving, visualizing, and analyzing diverse ocean datasets including research cruise-based and 195 autonomous measurements of biological, chemical, and physical properties, multi-decadal global satellite products, and 196 output from global-scale biogeochemical models. For each sample a mixture of 102100 satellite derived and modeled 197 environmental variables from the CMAP repository were obtained. These, along with the SRA collection data, are included 198 in our database. Aggregated metadata for all samples are summarized in Supplementary Table 1 but a detailed description of 199 environmental metadata can be found at the CMAP website (https://simonscmap.com/catalog). Metadata are available in the 200 nifH ASV database (metaTab.csv for sample metadata and cmapTab.csv for environmental data).

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202 2.3 Automated workflow for processing datasets with the DADA2 nifH pipeline

203 2.3.1 Installation of the DADA2 nifH pipeline and the post-pipeline workflow

204 The workflow (Fig. 1) comprises two software projects installed from separate GitHub repositories, 205 nifH_amplicons_DADA2 which comprisescontains the ASV pipeline and ancillary scripts, and nifH-ASV-workflow which 206 integrates pipeline results for all datasets with annotation and CMAP environmental data to produce the data deliverable of

207 the present work, the *nifH* ASV database. Installation requires cloning the nifH_amplicons_DADA2 repository 208 (https://github.com/jdmagasin/nifH_amplicons_DADA2; Morando et al., 2024b) to a local machine and then downloading 209 several external software packages using miniconda3. Detailed installation instructions are available from the GitHub 210 homepage, as well as a small tutorial to verify the installation on a small *nifH* amplicon dataset and introduce the two main 211 pipeline commands (organizeFastqs.R and run_DADA2_pipeline.sh). Altogether the installation and example take 30–40 212 min.

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After installing the ASV pipeline, installation of the nifH-ASV-workflow proceeds similarly: Clone the GitHub repository (https://github.com/jdmagasin/nifH-ASV-workflow; Morando et al., 2024c) and then download a few additional packages (~10 min to complete). For each study, the nifH-ASV-workflow includes the pipeline outputs (ASVs and abundance tables) which were used to create the *nifH* ASV database. Pipeline parameters and FASTQ input tables for each study are also provided for users who instead wish to rerun the pipeline starting from FASTQs downloaded from the SRA. Because the nifH-ASV-workflow includes data and parameters specific to the studies used in this work, it has a separate GitHub repository from the pipeline. However, we emphasize that together they comprise the *nifH* amplicon workflow in Line 1.

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Adding a new dataset to the workflow can be summarized in four steps: (1) Start a Unix-like shell that includes the required software (by "activating" a minconda3 environment called nifH_ASV_workflow). (2) Generate ASVs for the new dataset by running it through the pipeline, likely multiple times to tune parameters (Table 2). Output can be placed in the Data directory alongside other studies used in this work, and SRA metadata must be added to Data/StudyMetadata. (3) Include the new ASVs in the workflow by appending rows to the table GatherASVs/asvs.noChimera.fasta_table.tsv, which has file paths to all ASV abundance tables. (4) For each stage shown in Fig. 1, run the associated Makefile or Snakefile from the Unix-like shell by executing "make" or "snakemake -c1 --use-conda", respectively. Documentation resides within each Makefile or Snakefile. Input tables from the post-pipeline workflow also have embedded documentation.

²³² Table 2. Parameters for controlling the DADA2 *nifH* **pipeline.** Default values can be overridden in the text file that is passed to 233 run_DADA2_pipeline.sh. Parameters for "Read trimming" and "Error models" are used in steps 1 and 2 of the pipeline (Fig. 1). The 234 remaining parameters are important for controlling how DADA2 trims and quality filters the reads, and merges forward and reverse 235 sequences to create ASVs.

DADA2 <i>nifH</i> pipeline step	Parameter name	Default value	Description	Studies with non-default parameters
Read Trimming	forward	TGYGAYCCN AARGCNGA	Forward primer 5' to 3'. Default is nifH2 (Zehr and Mereynolds, 1989).	None
Remove primers with cutadapt	reverse	ADNGCCATC ATYTCNCC	Reverse primer 5' to 3'. Default is nifH1 (Zehr and McreynoldsMcReynolds, 1989).	None

				1
	allowMissingPrimers	FALSE	If TRUE, retain read pairs even if primers are absent, e.g. if trimmed reads were uploaded to NCBI SRA.	Ding et al., 2021
	skipNifHErrorModels	FALSE	By default, use only <i>nifH</i> -like reads to train error models. If TRUE, use a random sample of all reads.	None
Error Models	NifH_minBits	150	Train error models using reads that align to PFAM00142 at ≥ the specified bit score. The trusted cut off in PFAM00142 (25 bits) is always used to filter reads, then NifH_minBits. If set to 0, only the trusted cut off is used.	Set to 0 for most studies. Exceptions that used 100 bits were: Bentzon-Tilia et al., 2015; Gradoville et al., 2020; Shiozaki et al., 2018a; Turk-Kubo et al., 2021.
	NifH_minLen	33	Train error models using reads with ORFs that align with \geq this many residues to PFAM00142.	None
	id.field	NA	Specify number of ID field if reads do not follow the CASAVA format. Forwarded to filterAndTrim(). If set, usually to 1.	Ding et al., 2021; Wu et al., 2021; Wu et al., 2019; Mulholland et al., 2019; Raes et al., 2020; Tang et al., 2020; Selden et al., 2021; Hallstrøm et al., 2022b; Hallstrøm et al., 2022a
DADA2	truncQmaxEE.fwd	2 Inf	Forwarded to filterAndTrim().	All studies set to 16 unless- used truncLen2.
filterAndTrim(maxEE.fwd maxEE.re v	Inf		All studies set to 24.
,	maxEE.revminLen	Inf20	Forwarded to filterAndTrim().	All studies set to 4.None
	minLentruncLen.fwd	20 0		None Ancillary script
	truncLen.fwdtruncLe n.rev	0	Forwarded to filterAndTrim().	estimateTrimLengths.R determined optimal lengths.
	truncLen.revtruncQ	0 2	Forwarded to filterAndTrim().	(See truncLen.fwd.)All studies used truncLen.
	useOnlyR1Reads	FALSE	If TRUE, only use R1 reads (and do not call mergePairs()). Used if R2 reads are very low quality.	None
DADAC	minOverlap	12	Forwarded to mergePairs().	None
DADA2 mergePairs ()	maxMismatch	0	Forwarded to mergePairs().	All studies set to 1.
inciger an so	justConcatenate	FALSE	Forwarded to mergePairs().	None

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238 2.3.2 DADA2 nifH pipeline

239 To encourage reproducible outputs and usage by non-programmers, the DADA2 pipeline (GitHub repository: 240 nifH_amplicons_DADA2) is controlled by a plain text parameters file (Table 2) and a descriptive table of input samples (the 241 "FASTQ map"). Since a study might include samples with vastly different diazotroph communities and relative abundances, 242 potentially impacting ASV inferences by DADA2, the FASTQ map for a study enables samples to be partitioned into 243 "processing groups" that are each run separately through DADA2. For example, in the present work processing groups

244 usually partitioned the samples in a study by the unique combinations of collection station or date, nucleic acid type (DNA or 245 RNA), size fraction, and collection depth. Pipeline outputs for each processing group are stored in a directory hierarchy with 246 levels that follow the processing group definition. Partitioning datasets into processing groups greatly improves the overall 247 speed of DADA2 and simplifies subsequent analyses that compare ASVs detected in different kinds of samples (e.g., 248 detected versus transcriptionally active diazotrophs, or presence across different stations, depths, and/or size fractions). For 249 generating the *nifH* ASV database, studies that met selection criteria (Sect. 2.2.1 and Table 1) were run through the pipeline 250 using the study-specific FASTQ maps and parameters available in the Data directory of the nifH-ASV-workflow GitHub 251 repository.

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The DADA2 pipeline runs from the command line in a Unix-like shell, moving through 9 main steps (Fig. 1 DADA2 *nifH* pipeline): (1) trim reads of primers using cutadapt (Martin, 2011); (2) build sequencing error models; (3) make FASTQ quality plots; (4) trim and filter reads based on quality; (5) dereplicate; (6) denoise (ASV inference); (7) merge forward and merverse sequences; (8) make the ASV abundance table; and (9) remove bimera (Callahan et al., 2016 for steps 2 through 9). These steps will be familiar to DADA2 users, except that for step 2 the error models are trained only on *nifH*-like reads (discussed below). To run the pipeline on other functional genes, the parameters file would need to be edited to disable *nifH*-based error models and to include the expected primers. We again note that the DADA2 pipeline is distinct from the post-pipeline workflow stages which are specific to this work, but together they comprise the workflow in Fig. 1.

261

262 DADA2 parameters impact the ASV sequences identified, and the number of reads used. Thus, exploring parameters is 263 essential for checking the robustness of ASVs (particularly rare ones) and their relative abundances. The DADA2 pipeline 264 supports the optimization of parameters (Table 2). For example, one method and parameters used to trim the reads are 265 especially important because most pipeline steps occur after filterAndTrim (Fig. 1). Two methods are supported: One can 266 trim each read based on its quality degradation (truncQ parameter to the DADA2 filterAndTrim function; Table 2) or all 267 reads at the same position determined by inspecting sample FASTQ quality plots^{*} (truncLen parameter; Table 2, and 268 comparison of methods in Appendix B). The latter approach can be labor-intensive and unsystematic for studies with tens to 269 hundreds of samples. To address this the ancillary script estimateTrimLengths.R can be used to determine trimming lengths 270 that will maximize the percentage of reads that make it through the pipeline. For each FASTQ file in a study, the script 271 chooses 1 K read pairs at random and removes the primers. Then the read pairs are trimmed using every combination of 272 lengths over a window (from 55—85 % of the median read length in 15 bp steps) and successful merges (with \geq 12 bp 273 overlapping and \leq 2 mismatches) are counted. The counts are averaged across all samples (weighting by sequencing depths) 274 and the top ten combinations of forward and reverse trimming lengths are reported in a table, with estimates for the 275 percentages of reads retained and the mean errors per read to help choose the maxEE parameters (Table 2).

277 The pipeline allows one to rerun DADA2 steps 3–9, with outputs saved in separate, date-stamped directories. Read-278 trimmingPrimer removal and error models (steps 1–2) are unlikely to benefit much from parameter tuning, so the pipeline 279 reuses outputs from those steps. Log files and diagnostic plots created by the pipeline are intended to facilitate parameter 280 evaluation as well to capture statistics to support publication. Moreover, logs and other pipeline outputs are consistently 281 formatted across pipeline runs, which enables scripts to aggregate and analyze results across datasets such as in our 282 workflow.

283

284 Step 1 consisted only of read trimmingprimer removal using cutadapt (Martin, 2011). Raw reads were trimmed and retained 285 only when read pairs for which retained only if the forward (nifH2) and reverse (nifH1) primers were both found on the R1 **286** and R2 reads, respectively. DADA2 sequencing error models were built at step 2 using only the reads predicted to be *nifH*, 287 rather than a subsample of all reads as in typical use of DADA2. Reads likely to encode *nifH* were identified as follows: 288 FragGeneScan (version 1.31, (Rho et al., 2010)) was used to predict open reading frames (ORFs) on R1 reads which were 289 then aligned to the nitrogenase PFAM model (PF00142.20) using HMMer3 (hmmsearch version 3.3.2; hmmer.org). ORFs 290 with >33 residues and a bit score that exceeded the trusted cut-off encoded in the model (25.0 bits) were retained. 291 Prefiltering the reads aims to reduce effects of PCR artifacts on the error models. For some studies this approach resulted in 292 increases (~3–10 %) in the total percentage of reads retained in ASVs, and fewer total ASVs, compared to using error 293 models based on a subsample of all reads. Adapting the pipeline to a different marker gene would only require substituting 294 an appropriate PFAM model, or disabling step 2 (by setting skipNifHErrorModels to TRUE; Table 2), which forces the 295 pipeline to make error models by subsampling from all reads. At step 4, DADA2 filterAndTrim() truncated reads at the first **296** base with PHRED score ≤16 and trimmed forward and reverse reads using the lengths suggested by estimateTrimLengths.R 297 and then discarded read pairs that had excessive errors (>2 for R1 reads, >4 for R2 reads) or were <20 bp. The PHRED 298 quality cut off, which corresponds to a 2.5 % base call error rate, was complemented by conservative 299 parameters Conservative parameters were used for merging sequences: At most 1 base pair was allowed to mismatch in the 300 forward and reverse sequence overlap of minimally 12 bp (stage 7). Dereplicating (step 5) and denoising, ASV calling (step 301 6), generating an abundance table (step 8), and bimera detection (step 9), were all performed with default DADA2 302 parameters. Data sets Datasets that passed pre-processing steps (Table 1) were run through the DADA2 pipeline using mostly **303** identical parameters (except for the trimming lengths (truncLen.fwd and truncLen.rev in Table 2).

304

305 2.3.3 Post-pipeline stages

306 The workflow post-pipeline stages (GitHub repository: nifH-ASV-workflow) combine the pipeline outputs, conduct further 307 quality control steps, co-locate the samples with environmental data from the CMAP data portal, and annotate the ASVs 308 (Fig. 1 Post-pipeline stages). Key outputs from the post-pipeline are: a unified FASTA with all the unique ASVs detected 309 across all the studies (i.e. all samples); tables of ASV total counts and relative abundances in all studies; multiple annotations 310 for each ASV by comparison to several *nifH* reference databases; and CMAP environmental data for each sample. These 311 outputs comprise the *nifH* ASV database, and are all available within an R image file (workspace.RData) generated by the 312 workflow which is included in the nifH-ASV-workflow repository. Provision as an R image will make the outputs 313 immediately accessible to many researchers who prefer R due to its extensive packages for ecological analysis. The *nifH* 314 ASV database is also available on Figshare (<u>https://doi.org/10.6084/m9.figshare.23795943.v±v2;</u> Morando et al., 2024a). 315 The remainder of this section describes each of the post-pipeline stages.

316

317 The GatherAsvs stage aggregated ASV sequences and abundances across all DADA2 pipeline runs (i.e. from all samples and **318** studies). First, ASVs were filtered based on length. Chimera sequences were then removed using UCHIME3 denovo (Edgar, 319 20162016a) via VSEARCH (Rognes et al., 2016). Chimera sequences were identified within each sample, but the final 320 classification was based on majority vote (chimera or not) across the samples in the processing group. Second, the 321 GatherAsvs stage combined the non-chimeric ASVs from all studies into a single abundance table and FASTA file. Since **322** each study is run independently through the DADA2 pipeline, ASV identifiers are not consistent across studies. Therefore, 323 each unique ASV sequence was renamed with a new unique identifier of the form AUID.i, where AUID stands for ASV 324 Universal ID entifier. The scripts used to rename the ASVs (assignAUIDs2ASVs.R) and to create the new abundance table 325 (makeAUIDCountTable.R) available nifH amplicons DADA2 are at the GitHub repository (in 326 scripts.ancillary/ASVs to AUIDs). The script assignAUIDs2ASVs.R optionally takes an AUID reference FASTA so that 327 AUIDs can be preserved as new datasets are added to future versions of the *nifH* ASV database.

328

Both rare and potential non-*nifH* sequences were assessed on the unified AUID tables in the next stage, FilterAuids (Fig. 1). First, possible contaminants were identified by the Makefile invocation of check_nifH_contaminants.sh, provided as an ancillary script in the pipeline GitHub repository. In brief, check_nifH_contaminants.sh first translated all ASVs into amino acid sequences using FragGeneScan (Rho et al., 2010), which were then compared using *blastp* to 26 contaminants known from previous *nifH* amplicon studies (Zehr et al., 2003; Goto et al., 2005; Farnelid et al., 2009; Turk et al., 2011). ASVs that aligned at >96 % amino acid identity to known contaminants were flagged. Next FilterAuids removed samples with state states and rare ASVs, defined as those that did not have at least one read in at least two samples or ≥1000 reads in anside one sample.

337

338 Next, the ancillary script, classifyNifH.sh, was employed to identify and remove non-*nifH*-like sequences. The script utilized 339 *blastx* to search each ASV against ~44 K positive and ~15 K negative examples of NifH protein sequences that were found 340 in NCBI GenBank by ARBitrator (run on April 28, 2020; Heller et al., 2014). ASVs were classified based on the relative 341 quality of their best hits in the two databases, similar to the "superiority" check in ARBitrator. An ASV was classified as 342 positive if the E-value of its best positive hit was \geq 10 times smaller than the E-value for the best negative hit, and vice versa 343 for negative classifications. ASVs failing to meet these criteria were classified as 'uncertain'. The *blastx* searches used the 344 same effective sizes for the two databases (-dbsize 1000000), so that E-values could be compared, and retained up to 10 hits345 (-max_target_seqs 10).

346

347 The FilterAuids stage of the workflow exclusively discarded ASVs with negative classifications. "Uncertain" ASVs were 348 retained as potential *nifH* sequences not in GenBank. In the last stage, FilterAuids excluded ASVs with lengths that fell 349 outside 281–359 nucleotides, a size range which in our experience encompasses the majority of valid *nifH* amplicon 350 sequences generated by nested PCR with nifH1–4 primers.

351

352 For each AUID in the *nifH* ASV database, we provide taxonomical annotations using several different approaches, 353 encompassed by the AnnotateAuids stage (Fig. 1) and accessible through ancillary scripts in the GitHub repository (in 354 scripts.ancillary/Annotation). The script blastxGenome879.sh enables a protein level comparison via *blastx* against a 355 database of 879 sequenced diazotroph genomes ("genome879", https://www.jzehrlab.com/nifhnifH). Here, the closest 356 cultivated relative for each AUID was determined by smallest E-value among alignments with ≥50 % amino acid identity 357 and ≥90 % query sequence coverage. Cautious interpretation is suggested because the reference **DB**-database is small and 358 contains only cultivable taxa. Similarly, the top nucleotide match of each AUID was identified by E-value within alignments 359 possessing ≥70 % nt identity and ≥90 % query sequence coverage obtained by *blastn* against a curated database of *nifH* 360 sequences (July 2017 *nifH* database, https://wwwzehr.pmc.ucsc.edu/nifH_Database_Public/www.jzehrlab.com/nifH) by 361 executing the blastnARB2017.sh script. Additionally, *nifH* cluster annotations were assigned to each ASV using the 362 classification and regression tree (CART) method of Frank et al. (2016). This approach was implemented as part of a custom 363 tool that predicted ORFs for the ASVs with FragGeneScan, then performed a multiple sequence alignment on the ORFs, and 364 then applied the CART classifier. The tool is available as the ancillary script assignNifHclustersToNuclSeqs.sh.

365

366 The Makefile created and searched against two "phylotype" databases, one containing 223 *nifH* sequences from prominent 367 marine diazotrophs including NCDs (Turk-Kubo et al., 2022) and another with 44 UCYN-A *nifH* oligotype sequences 368 (Turk-Kubo et al., 2017). These databases were searched using *blastn* with the effective database size of the ARB2017 369 database (-dbsize set to ~29 million bases) to enable E-value comparisons across all three searches. For each ASV, we 370 provide phylotype annotations based on the top hit by E-value if the alignment had \geq 97 % nt identity and covered \geq 70 % of 371 the ASV. Finally, ORFs for all ASVs were searched for highly conserved residues which are thought to coordinate the 372 4Fe-4S cluster in NifH, specifically for paired cysteines shortly followed by AMP residues (described in Schlessman et al. 373 1998). This simple check, performed by the script check_CCAMP.R, was intended to complement the reference-based 374 annotations above. Presence of cysteines and AMP could be used to retain ASVs that have no close reference. Absence could 375 be used to flag ASVs that, despite high similarity to a reference sequence, might not represent functional *nifH* (e.g. due to 376 frameshifts).

378 Since the annotation scripts provided multiple taxonomic identifications for most of the AUIDs, a primary taxonomic ID was 379 assigned for each AUID using the script make_primary_taxon_id.py. If a phylotype annotation (e.g., Gamma A) was 380 assigned, this became the primary taxonomic ID; otherwise, cultivated diazotrophs from genome879 were used (e.g., 381 *"Pseudomonas stutzeri"*). Finally, when neither a phylotype nor a cultivated diazotroph could be determined, the *nifH* cluster 382 (e.g. "unknown 1G") was used. AUIDs without an assigned *nifH* cluster or taxonomic rank below domain were removed 383 from the final *nifH* ASV database unless paired cysteines and AMP were detected. This final data filtration step occurred in 384 the WorkspaceStartup stage described below.

385

386 The CMAP stage was managed by a Snakefile that called the script query_cmap.py to query the CMAP data portal for 387 co-localized environmental data (Fig. 1). The script was passed the main output from the GatherMetadata stage, 388 metadata.cmap.tsv, a table of the collection coordinates, dates at local noon, and depths from all the samples. 389 GatherMetadata reported any samples with missing metadata and ensured standardized formats for the required query fields. 390 Additionally, query_cmap.py validated fields prior to querying CMAP. It should be noted that the precision of values 391 obtained from CMAP depend on floating point arithmetic, not the significant digits of the underlying measurement or model. 392 Therefore, prior to an analysis requiring high precision for specific CMAP variables, it is recommended to consult the 393 original producer of the data to determine the significant digits.

394

395 The last stage of the workflow, WorkspaceStartup, filtered out AUIDs that had no annotation and then generated the final 396 *nifH* ASV database, which is comprised of AUID abundance tables (counts and relative), AUID annotations, sample 397 metadata and corresponding environmental data. These data are provided as text files (.csv and FASTA) within a single 398 compressed file (.tgz) that is available in Figshare (<u>https://doi.org/10.6084/m9.figshare.23795943.v4v2;</u> Morando et al., 399 2024a) as well as within the workflow GitHub repository within an R image file (workspace.RData).

400 2.4 Diazotroph biogeography from DNA dataset of the nifH ASV database

401 The DNA dataset, a custom version of the *nifH* ASV database restricted to DNA samples (representing a majority of the 402 database, only removing 94108 cDNA samples out of 944 total samples), was created to showcase the utility of the 403 workflow. Additional data reduction steps were conducted, averaging replicates and samples from the same location but 404 different size fractions, to enable comparisons between different sampling methodologies.

405 3 Results and Discussion

406 3.1 Generation of the marine *nifH* ASV database

407 All publicly available marine *nifH* amplicon HTS data from studies that met our criteria, including two new studies, were 408 compiled in the present investigation (see Sect. 2.2 and Table A1). Altogether 982 samples from 21 studies, comprising a 409 total of 87.7 million reads (Table 3), were processed through the entire workflow, i.e., the DADA2 *nifH* pipeline (Sect. 2.2.2) 410 as well as the post-pipeline stages (Sect. 2.2.3). The *nifH* ASV database, i.e., the ASV sequences, abundances, and 411 annotations, as well as sample collection and CMAP environmental data, was generated from the 865944 samples, 79099383 412 ASVs, and 34.443.0 million reads that were retained by this workflow (Figs. 1 and 2 and Table 3). To our knowledge it is the 413 only global database for marine diazotrophs detected using *nifH* HTS amplicon sequencing, with comprehensive, 414 standardized ancillary data (Fig. 2 and Supplementary Table 1).

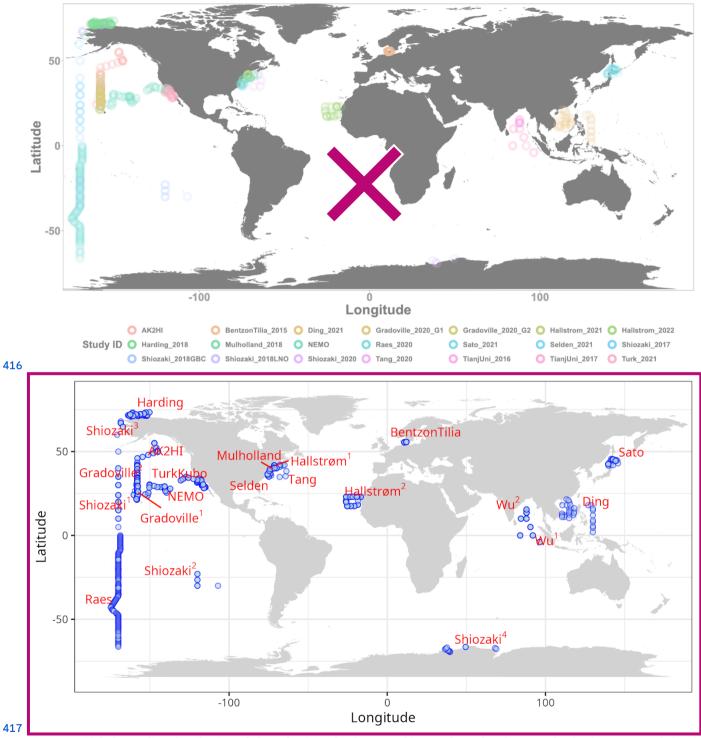


Figure 2: Global sampling distribution of the *nifH* **ASV database.** World map of sampling locations for the datasets compiled and **419** processed to construct the *nifH* **ASV** database. Abbreviated study IDs are used with superscripts ordered by publication year for Shiozaki

420 (2017, 2018GBC, 2018LNO, and 2020), Hallstrøm (2021 and 2022), and Wu (2019 and 2021). For Gradoville the superscripts indicate **421** Gradients cruises 1 and 2. See Table 1 for the citation source linked to each study ID.

422

423

424 Table 3: Summary of the full *nifH* **workflow.** The number of samples, ASVs, and reads retained through the entire workflow (the **425** DADA2 *nifH* pipeline and major post-pipeline stages) to create the *nifH* ASV database. The vast majority ASVs that were removed by **426** GatherAsvs fell outside 200–450 nt. WorkspaceStartup removed ASVs with no annotation and samples that had zero reads after ASV **427** filtering.

						Workspace		
	Initial	DADA2 pipeline	Gather Asvs	≪1K ≤500 reads in sample	rare	non-NifH	length	Startup
Samples	982	982	982	894 951	890 951	890 951	890 95 1	865 944
ASVs	n/a	177,935 1 52,915	97,205 1 39,355	97,172 139, 334	13,774 1 8,193	12,479 16, 253	9,416 1 1,915	7,909 9,383
Reads (millions)	87.7	43.3 48.7	38.7 48. 4	38.6 48.4	36.4 45.5	36.0 45.0	35.1 43 .8	34.4 43.0

428

429

430 Interestingly, studies were affected differently by each step of the DADA2 *nifH* pipeline (Fig. 3 and Table 4). There were 431 major losses of reads during ASV merging, with several studies retaining <2540 % of their total reads by the end of the 432 pipeline (i.e., BentzonTilia_2015, Hallstrom_2022, Shiozaki_2020Sato_2021, and TianjUni_2016Shiozaki_2020), though on 433 average about half60 % of the reads were retained across studies (Fig. 3 and Table 4).



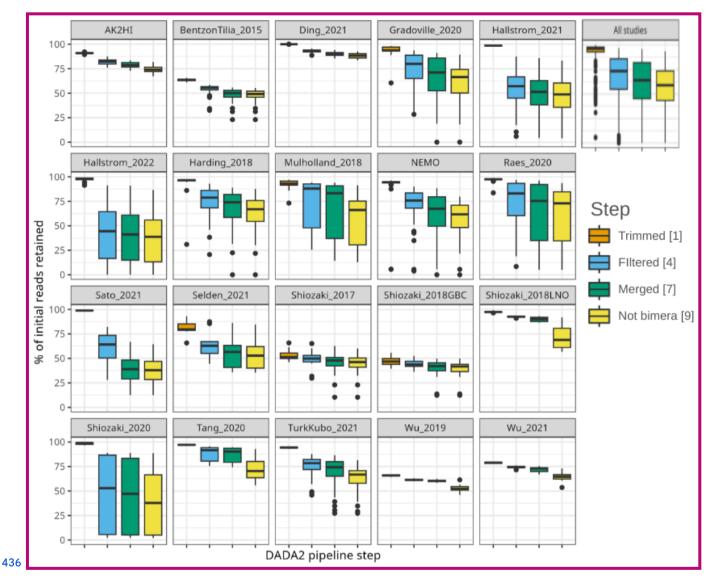


Figure 3: Study-specific retention of reads at each stage of the pipeline. The proportion of total reads in each sample that are retained at the completion of each step of the DADA2 *nifH* pipeline. Each box shows the distribution for samples in the indicated study (using Study IDs in Table 1), or for all samples together (top right). Proportions for Shiozaki_2017 and Shiozaki_2018GBC reflect that approximately half the amplicons were not in the orientation expected by the pipeline (see text). Numbers in the legend indicate pipeline steps in **Fig.**Figure 1.

442

443 Table 4: Quality filtering by the DADA2 *nifH* **pipeline.** For each study ID are shown the mean numbers of reads retained per sample at 444 the end of each stage of the DADA2 *nifH* pipeline, as well as the mean percentage of reads retained. Statistics in the bottom three rows 445 pool all samples. Initial, Trimmed⁴, Filtered⁴, and Merged⁷ and non-Bimera⁹ and their superscripts are specific to the pipeline steps in 446 Fig.Figure 1. At each step (column) the calculations include only the samples that have >0 reads.

AK2HI	4.5E+04	4.1E+04	3.5E 3.7E+0 4	2.8E 3.6E+0 4	2.8E 3.3E+04	62 74.1
BentzonTilia_2015	8.2E+03	5.3E 5.2E+03	4.6E+03	2.2E 4.1E+0 3	2.1E 4.1E+03	26 48.1
Ding_2021	5.6E+04	5.6E+04	4.8E5.2E+0 4	4.5E5.0E+0 4	4.5E 4.9E+04	82 88.1
Gradoville_2020	4.0E+04	3.8E+04	2.9E+04	2.6E+04	2.4E+04	61 60.3
Hallstrom_2021	2.5E+05	2.5E+05	1.5E+05	1.4E+05	1.4E+05	49 48.7
Hallstrom_2022	2.0E+05	1.9E+05	1.0E+05 7.5 E+04	5.4E7.4E+0 4	4.6E 6.6E+04	19 36.3
Harding_2018	4.2E+04	4.1E+04	3.5E 3.1E+0 4	2.4E2.9E+0 4	2.3E 2.6E+04	54 63.2
Mulholland_2018	1.8E+05	1.6E+05	1.5E 1.3E+0 5	1.2E+05	1.1E 1.0E+05	61 58.5
NEMO	5.7E+04	5.4E+04	4.6E4.2E+0 4	3.7E 3.6E+0 4	3.5E 3.3E+04	60 57.1
Raes_2020	9.3E+04	9.1E+04	7.4E 7.7E+0 4	6.6E6.9E+0 4	6.3E 6.5E+04	63 61.0
Sato_2021	7.5E+04	7.4E+04	4.5E+04	2.9E+04	2.9E+04	39 38.8
Selden_2021	1.5E+05	1.2E+05	9.2E+04	8.2E+04	8.0E+04	55 54.7
Shiozaki_2017	1.8E+04	9.3E+03	8.9E+03	5.8E8.4E+0 3	5.8E 8.2E+03	28 44.1
Shiozaki_2018GBC	2.4E+04	1.1E+04	1.1E+04	9.2E+031.0 E+04	9.1E 9.8E+03	35 38.6
Shiozaki_2018LNO	6.7E+04	6.5E+04	5.6E6.2E+0 4	3.5E6.0E+0 4	3.3E 4.8E+04	49 71.5
Shiozaki_2020	2.5E+05	2.5E+05	1.9E 1.8E+0 5	3.4E+041.8 E+05	3.3E+04 1.4E+05	12 39.1
Tang_2020	4.7E+04	4.6E+04	3.9E 4.1E+0 4	3.5E 4.0E+0 4	3.2E 3.4E+04	67 72.4
TianjUni_2016 TurkK ubo_2021	8 .0E 5.5E+0 4	6.3E 5.2E+04	4.2E+04	3.9E 4.0E+0 4	3.7E 3.6E+04	46 63.2
TianjUni_2017 Wu_20 19	8.0E+04	5.3E+04	2.0E 4.9E+0 4	1.5E 4.8E+0 4	1.4E 4.2E+04	18 52.9
Turk_2021 Wu_2021	5.5E 8.0E+0 4	5.2E 6.3E+04	4.6E 6.0E+0 4	4.0E 5.8E+0 4	3.7E 5.2E+04	66 64.4
All mean	8.9E+04	8.5E+04	6.1E5.8E +0 4	4.8E 5.4E+0 4	4.5E 4.9E+04	52 56.9

and studies	median	5.1E+04	4.8E+04	3.8E 3.7E+0 4	2.9E 3.2E+0 4	2.8E 3.0E+04	56 59.0
	sum	8.8E+07	8.4E+07	5.9E5.7E +0 7	4.6E5.3E +0 7	4.3E 4.8E+07	49 60.0

⁴⁴⁷

449 Switching the trimming approach from one based on individual read quality profiles (using truncQ in Table 3) to 450 fixed-length trimming based on overall quality profiles of the forward and reverse reads (using truncLen.fwd and 451 truncLen.rev in Table 2) resulted in more reads being retained for some studies (Sato et al., 2021; Selden et al., 2021; 452 Hallstrøm et al., 2022b; Gradoville et al., 2020). However, fixed-length trimming would have required the selection of trim 453 lengths based on visual, qualitative assessments of hundreds of FASTQ quality plots which is difficult to accomplish in a 454 systematic manner. For consistency we preferred to use nearly identical parameters for most studies (Table 3). ¶

455

456 Post-pipeline stages of the workflow further refined the data (detailed in Methods) (Fig. 4). First, GatherAsvs identified and 457 removed **112**163 chimeras using uchime3 denovo (distinct from the bimera filtering done by the pipeline), and then removed 458 **018**.7 K ASVs that were far outside expected *nifH* lengths (200–450 nt). AUIDs were assigned to the remaining **97**139 K 459 unique non-chimeric ASVs (comprising **30**.748.4 million total reads; Tables 3 and 5). The GatherAsvs length filter had by 460 far the largest impact of any post-pipeline quality filtering, removing 10 % of the reads from the pipeline. Next, FilterAuids 461 dropped four poorly sequenced samples (7 K total reads), as they would likely misrepresent their diazotrophic communities, 462 and then removed 83 K rare ASVs (2.3 millionFilterAuids stage had the largest impacts on retained data. Thirty-one samples 463 with ≤500 reads were removed because they would likely misrepresent their diazotrophic communities. The FilterAuids 464 rarity check had the greatest reduction to pipeline outputs (121 K ASVs removed and 6.0 % of reads), followed by the length 465 filter (4 K ASVs and 2.7 % of reads; Tables 3 and 5).







470 Figure 4: Study-specific retentionloss **of reads at each stage of the post-pipeline workflow.** For each study the violin plots show how **471** many reads from the pipeline were removed by GatherAsvs due to length, the four filtering steps of FilterAuids, or WorkspaceStartup due **472** to the ASV having no annotation (shown in Fig. 1). Losses for all samples combined are shown in the box plot (top right). Studies are **473 ordered by contributionBracketed numbers after each study ID indicate the percentage of reads contributed to the** *nifH* ASV database, e.g. **474 29.7**23.7 % of all the reads in the database were from Hallstrom_2021.

477 Table 5. Quality filtering by the post-pipeline workflow. For each study are shown the mean numbers of reads per sample that were **478** output by the DADA2 *nifH* pipeline and retained by the GatherAsvs, FilterAuids, and WorkspaceStartup stages of the post-pipeline **479** workflow. The Retained (%) column has the mean percentages of reads retained per sample (relative to column DADA2 pipeline values).

480 Additionally, the last three rows show the overall means, medians, and sums of reads across all samples and studies. Superscripts 481 correspond to stage numbers in Fig. 1 Post-pipeline stages. The GatherAsvs¹ column mainly reflects length filtering (200–450 nt), and the 482 WorkspaceStartup⁶ column reflects discarding of ASVs that had no annotation. At each stage (column) the calculations include only the 483 samples that have >0 reads.

484 👎

Study ID¶	DADA2¶	Gather ¶	į	FilterAuids ²	Workspace ⁴	Retained ¶	
	pipeline¶	Asvs ¹ ¶	Rare	Non-NifH	Length¶	Startup ^{6¶}	<mark>(%)</mark> ¶
AK2HI¶	2.8E+04¶	2.7E+04¶	2.7E+04¶	2.7E+04¶	2.5E+04¶	2.5E+04¶	90¶
BentzonTilia_2015¶	2.1E+03¶	2.1E+03¶	2.6E+03¶	2.6E+03¶	2.6E+03¶	2.6E+03¶	85¶
Ding_2021¶	4.5E+04¶	4.5E+04¶	4.2E+04¶	4.2E+04¶	4.1E+04¶	4.1E+04¶	91¶
Gradoville_2020¶	2.4E+04¶	2.3E+04¶	2.2E+04¶	2.1E+04¶	2.1E+04¶	2.0E+04¶	80¶
Hallstrom_2021¶	1.4E+05¶	1.4E+05¶	1.3E+05¶	1.3E+05¶	1.2E+05¶	1.2E+05¶	92¶
Hallstrom_2022¶	4.6E+04¶	2.6E+04¶	3.8E+04¶	3.8E+04¶	3.4E+04¶	3.4E+04¶	50¶
Harding_2018¶	2.3E+04¶	1.9E+04¶	1.8E+04¶	1.7E+04¶	1.7E+04¶	1.5E+04¶	64¶
Mulholland_2018¶	1.1E+05¶	9.3E+04¶	9.3E+04¶	9.1E+04¶	8.7E+04¶	8.4E+04¶	72¶
NEMO¶	3.5E+04¶	3.1E+04¶	3.1E+04¶	3.1E+04¶	3.0E+04¶	3.0E+04¶	80¶
Raes_2020¶	6.3E+04¶	5.8E+04¶	5.6E+04¶	5.6E+04¶	5.6E+04¶	6.0E+04¶	76¶
Sato_2021¶	2.9E+04¶	2.7E+04¶	2.1E+04¶	2.0E+04¶	1.5E+04¶	1.4E+04¶	4 <mark>3¶</mark>
Selden_2021¶	8.0E+04¶	8.0E+04¶	6.0E+04¶	5.2E+04¶	4.9E+04¶	4.4E+04¶	52¶
Shiozaki_2017¶	1.2E+04¶	1.2E+04¶	1.1E+04¶	1.1E+04¶	1.1E+04¶	1.1E+04¶	<mark>83¶</mark>
Shiozaki_2018GBC	2.0E+04¶	2.0E+04¶	2.0E+04¶	2.0E+04¶	2.0E+04¶	2.0E+04¶	93¶
Shiozaki_2018LNO	3.3E+04¶	3.3E+04¶	3.3E+04¶	3.3E+04¶	3.3E+04¶	3.3E+04¶	92¶
Shiozaki_2020¶	3.3E+04¶	2.8E+04¶	4.2E+04¶	4.2E+04¶	5.7E+04¶	5.7E+04¶	61¶
Tang_2020¶	3.2E+04¶	3.0E+04¶	2.9E+04¶	2.9E+04¶	2.9E+04¶	2.9E+04¶	91¶
TianjUni_2016¶	3.7E+04¶	3.7E+04¶	3.5E+04¶	3.5E+04¶	3.5E+04¶	3.5E+04¶	93¶
TianjUni_2017¶	1.4E+04¶	1.4E+04¶	1.4E+04¶	1.4E+04¶	1.4E+04¶	1.4E+04¶	96¶
Turk_2021¶	3.7E+04¶	3.3E+04¶	3.3E+04¶	3.2E+04¶	3.2E+04¶	3.2E+04¶	83¶
All- mean¶	4.5E+04¶	4.2E+04¶	4.1E+04¶	4.0E+04¶	4.0E+04¶	4.0E+04¶	79¶
samples median¶	2.8E+04¶	2.6E+04¶	2.6E+04¶	2.6E+04¶	2.5E+04¶	2.6E+04¶	90¶
and- studies¶ sum¶	4.3E+07¶	3.9E+07¶	3.6E+07¶	3.6E+07¶	3.5E+07¶	3.4E+07¶	79¶

Study ID	DADA2 Gather			Filte	Workspace	Retained		
	pipeline	Asvs ¹	Small	Rare	Non-NifH	Length	Startup ⁶	(%)
AK2HI	3.3E+04	3.3E+04	3.3E+04	3.3E+04	3.2E+04	3.0E+04	2.9E+04	89.2
BentzonTilia_2015	4.1E+03	4.1E+03	4.0E+03	3.1E+03	3.1E+03	3.1E+03	3.0E+03	72.8
Ding_2021	4.9E+04	4.9E+04	4.9E+04	4.6E+04	4.6E+04	4.5E+04	4.5E+04	92.2
Gradoville_2020	2.4E+04	2.3E+04	2.3E+04	2.2E+04	2.1E+04	2.1E+04	2.0E+04	82.6

Hallstrom_	_2021	1.4E+05	1.4E+05	1.4E+05	1.3E+05	1.3E+05	1.2E+05	1.2E+05	92.2
Hallstrom_2022		6.6E+04	6.5E+04	6.5E+04	6.4E+04	6.4E+04	6.2E+04	6.2E+04	68.1
Harding_2	018	2.6E+04	2.6E+04	2.6E+04	2.4E+04	2.3E+04	2.0E+04	1.7E+04	75.6
Mulhollan	d_2018	1.0E+05	1.0E+05	1.0E+05	9.5E+04	9.3E+04	8.8E+04	8.4E+04	80.0
NEMO		3.3E+04	3.3E+04	3.3E+04	3.2E+04	3.2E+04	3.0E+04	3.0E+04	84.2
Raes_2020		6.5E+04	6.5E+04	6.5E+04	6.1E+04	6.1E+04	6.0E+04	5.9E+04	75.3
Sato_2021		2.9E+04	2.7E+04	2.7E+04	2.2E+04	2.0E+04	1.5E+04	1.4E+04	49.2
Selden_202	21	8.0E+04	8.0E+04	8.0E+04	6.0E+04	5.2E+04	4.9E+04	4.5E+04	59.0
Shiozaki_2	Shiozaki_2017		1.6E+04	1.6E+04	1.5E+04	1.5E+04	1.4E+04	1.4E+04	82.5
Shiozaki_2	018GBC	2.2E+04	2.2E+04	2.2E+04	2.1E+04	2.1E+04	2.1E+04	2.1E+04	90.4
Shiozaki_2	018LNO	4.8E+04	4.8E+04	4.8E+04	4.6E+04	4.6E+04	4.6E+04	4.6E+04	95.0
Shiozaki_2	020	1.4E+05	76.6						
Tang_2020)	3.4E+04	3.4E+04	3.4E+04	3.3E+04	3.3E+04	3.3E+04	3.3E+04	97.9
TurkKubo	_2021	3.6E+04	3.5E+04	3.5E+04	3.5E+04	3.5E+04	3.4E+04	3.3E+04	94.1
Wu_2019		4.2E+04	4.2E+04	4.2E+04	4.1E+04	4.1E+04	4.1E+04	4.1E+04	96.3
Wu_2021		5.2E+04	5.2E+04	5.2E+04	4.8E+04	4.8E+04	4.8E+04	4.8E+04	93.2
All	mean	5.0E+04	4.9E+04	4.9E+04	4.6E+04	4.6E+04	4.5E+04	4.4E+04	80.9
samples and	median	3.0E+04	3.0E+04	3.0E+04	2.9E+04	2.8E+04	2.7E+04	2.6E+04	93.0
studies	sum	4.9E+07	4.8E+07	4.8E+07	4.6E+07	4.5E+07	4.4E+07	4.3E+07	90.0

487

488 Finally, ASVs were removed if they were classified as non-*nifH*, based on a strong alignment to sequences in NCBI nr that 489 ARBitrator (Heller et al., 2014) classified as non-*nifH*. Specifically, an ASV was classified as non-*nifH* if the ratio of 490 E-values for its best negative and positivepositive and negative hits, among sequences classified by ARBitrator, was >10. A 491 total of 96,095137,366 of the 97,205139,355 non-chimera ASVs had database hits which resulted in 40,44850,233 positive, 492 12,97720,528 negative, and 42,67066,605 uncertain classifications. This approach was used to leverage ARBitrator's high 493 specificity for detecting *nifH* as well as to enable users to identify ASVs that have high percent identity matches to sequences 494 in GenBank. An alternative approach would have been to classify the ASVs based on their alignments to HMMs for NifH 495 versus NifH-like proteins (e.g. protochlorophyllide reductase), used by the NifMAP pipeline for *nifH* operational taxonomic 496 units (Angel et al., 2018). Finally, FilterAuids removed ASVs with lengths outside 281–359 nt, a total of 974 K reads and 497 2063 ASVs4338 ASVs comprising 1.2 million reads (Figs. 1, 4 and Tables 3 and 5). After FilterAUIDs, the total number of 498 samples in the dataset was reduced from 982 to 890951 and the number of ASVs from 97,205 to 9416139,355 to 11,915.

500 FilterAuids also flagged a total of 20002342 ASVs as possible PCR contaminants. Although we opted to flag, not remove, 501 these ASVs, the workflow can be easily altered to remove contaminants. Most studies contained low levels of contamination 502 (\leq 1 %) based on our criteria. However, several studies were flagged with ~9–3029 % of their reads being similar to known 503 contaminants. Identifying potential contaminants is challenging given their numerous sources, study specific nature (Zehr et 504 al., 2003), and lack of control sequence data from blanks.

505

506 Next, AnnotateAuids assigned annotations using our three *nifH* reference databases and CART (Fig. 1). In total 79319406 of 507 the 941611,915 quality filtered ASVs were annotated, usually with multiple references (Fig. A1). Most (79269322 ASVs) 508 had hits to both genome879 and ARB2017, likely because the 879 sequenced diazotrophs had *nifH* homologs in GenBank 509 that were found by ARBitrator. Fewer ASVs had hits to the databases that targeted UCYN-A oligos (102217 ASVs) and 510 other marine diazotrophs (645938 ASVs; 96211 ASVs also had UCYN-A hits). Most ASVs (79059380 total) were assigned 511 to NifH clusters 1–4 by CART (respectively, 4100; 79; 36074923; 101; 4205; and 109151 ASVs), including five ASVs that 512 had no hits to our databases. The majority of ASVs (77499257 total) had open reading frames (ORFs) that contained paired 513 cysteines and AMP which might coordinate the 4Fe-4S cluster, and all 77499257 also had annotationsannotation from the 514 reference databases or CART. A few ASVs had annotations but lacked residues to coordinate 4Fe-4S: 2029 ORFs lacked the 515 paired cysteines and another 159120 ORFs had paired cysteines but not AMP, usually due to a substitution for M. The last 516 step of AnnotateAuids assigned primary IDs (described above) to 79009383 ASVs. In All of them were retained in the final 517 stage of the post-pipeline workflow, WorkspaceStartup retained these 7900 ASVs. One ASV, which had no phylogroup but 518 did have paired cysteines and AMP, was also retained. In total the *nifH* ASV database had 7909 ASVs comprising 34.4 519 million reads (Table 3(below)).

520

521 In the CMAP stage, sample collection metadata (date, latitude, longitude, and depth) were used to download CMAP 522 environmental data (102100 variables) for each sample in the *nifH* ASV database (Fig. 1). The CMAP data will enable 523 analyses of potential factors that influence the global distribution of the diazotrophic community. Aggregated metadata for 524 all samples are available in the *nifH* ASV database (metaTab.csv for sample metadata and cmapTab.csv for environmental 525 data).

526

527 The last stage of the post-pipeline workflow is WorkspaceStartup, which generates the *nifH* ASV database (Fig. 1). ASVs 528 with no annotation are removed as well as samples with zero total reads due to ASV filtering steps. The *nifH* ASV database 529 consisted of 21 studies, 865944 samples, 79099383 AVS and 34.443.0 million total reads (Tables 3 and 5). The database is 530 heavily biased toward euphotic zone DNA samples, with euphotic heuristically defined as follows: Samples were classified 531 as coastal (< 200 km from a major landmass) or open ocean. Euphotic samples were then identified as those collected above 532 a depth cut off, 50 m for coastal samples and 100 m for open ocean. Samples obtained from DNA (n=768836) far exceeded 533 those from RNA (n=94108) extracts. Likewise, a majority of the samples were from the euphotic zone (789861 compared to 534 7383 from the aphotic zone). The database also includes replicate samples (n=256286) and size fractionated samples 535 (n=142170).

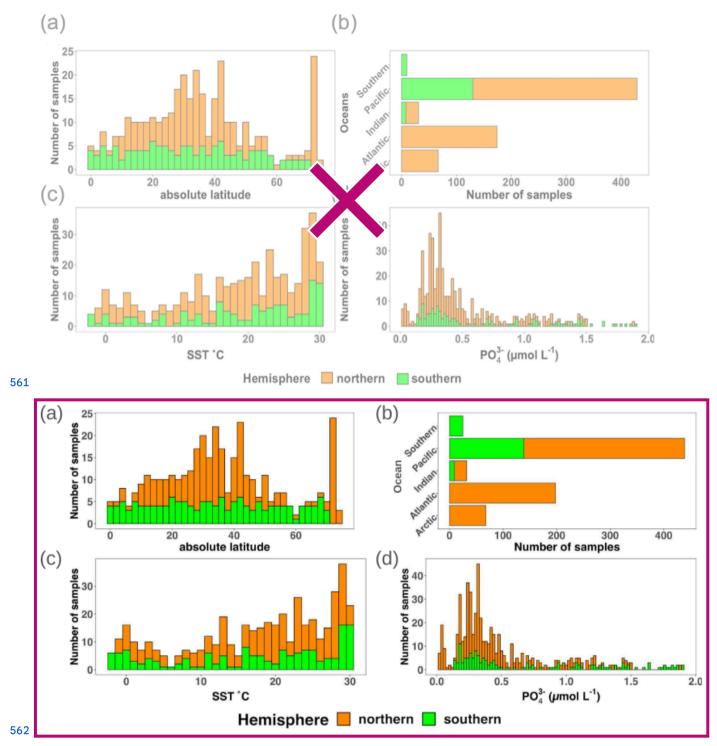
536 3.2 Global nifH ASV database

537 3.2.1. Comparison to an OTU database

538 New studies with Illumina amplicon data have mainly used DADA2 (Callahan et al., 2016) and other methods that
539 distinguish fine-scale variation from sequencing errors (Eren et al., 2014; Edgar, 2016b; Amir et al., 2017). Earlier studies,
540 including 13 of the 19 previously published studies in the *nifH* ASV database (Table C1), used *de novo* operational
541 taxonomic units (OTUs) which were obtained by clustering the sequences at 97 % nucleotide identity. OTUs masked
542 sequencing errors as well as fine-scale variation and had other disadvantages compared to ASV approaches (Callahan et al.,
543 2017). Although cross-study comparisons ideally will use the same pipeline for all the studies—the motivation for our
544 workflow—previously published results should be considered. Therefore, for each study in the *nifH* ASV database,
545 diazotroph communities were compared to versions generated using the NifMAP OTU pipeline (Appendix C). The ASV and
546 OTU communities mainly had similar *nifH* clusters, except for several studies where the workflow retained substantially
547 more sequencing reads (Fig. C1, Table C1).

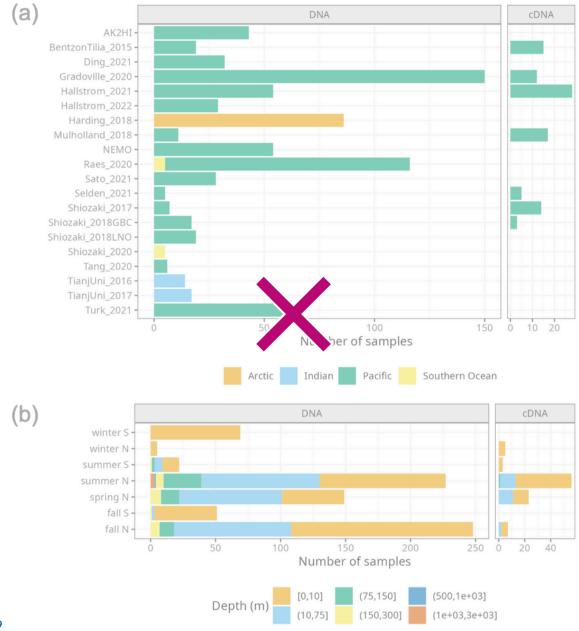
548 3.2.2. Sample Distribution

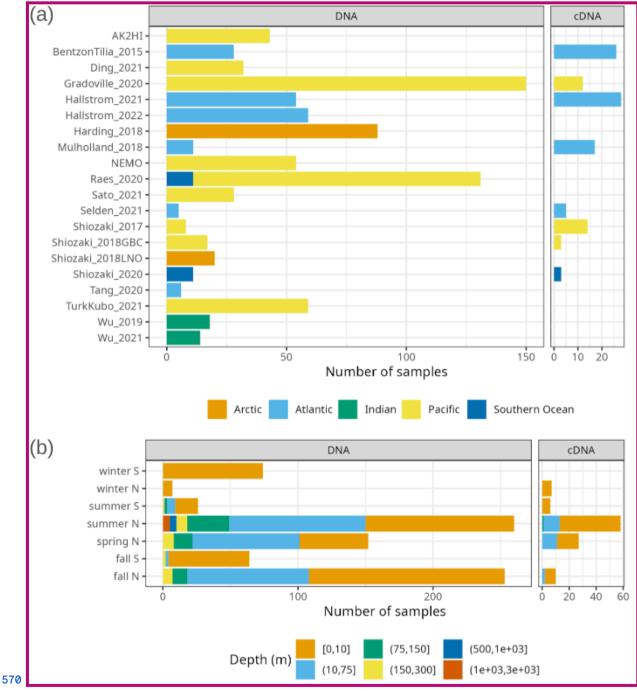
549 Investigations of N₂ fixation and diazotrophic communities have focused on specific ocean regions and this is reflected by 550 the uneven global distribution of *nifH* amplicon datasets in the *nifH* ASV database (Figs. 2, 5a, and 5b). There is an outsized 551 influence of the northern hemisphere, especially in the Pacific Ocean where most of the database samples were located 552 (429439) and 69.768.3 % of these samples originated from the northern hemisphere (Figs. 2, 5a, 5b, and 6). Ten studies are 553 found within the Pacific, with several containing >50 samples (Figs. 2 and 6). Notably, Raes_2020 (Raes et al., 2020) is the 554 largest dataset stretching from the equator to the Southern Ocean, making up almost the entirety of the southern hemisphere 555 Pacific samples (Figs. 2 and 6). Two new studies carried out in the North Pacific constitute the only previously unpublished 556 data of the *nifH* ASV database (Table 1). AK2HI was a latitudinal transect from Alaska (U.S.) to Hawaii (U.S.) and NEMO 557 was a longitudinal transect across the Eastern North Pacific from San Diego, CA (U.S.) to Hawaii (U.S.) (Fig. 2; Sect. 2.2.2). 558 The amplicon data compiled for the *nifH* ASV database was primarily generated from DNA, with most RNA samples 559 deriving from Atlantic Ocean studies and no contribution from RNA samples in the Arctic or Indian Oceans (Fig. 6).



563 Figure 5. Location, temperature, and phosphate distributions of the *nifH* **ASV database.** The number of samples from the *nifH* ASV **564** database by (a) absolute latitude, (b) the world's oceans, (c) sea surface temperature (SST, °C) and (d) Pisces-derived PO_4^{3-} (µmol L⁻¹). **565** Environmental data, (c) and (d), were retrieved from the CMAP data portal.

566 ¶567 All bars are stacked.





⁵⁷¹

572 Figure 6. Samples in the *nifH* **ASV database by collection location, season, and amplicon type.** The number of samples from each **573** study are shown by ocean and study (a), and by the collection season, hemisphere, and depth (b). For both panels the amplicon type (DNA **574** or cDNA) is shown, but *x* axis scales differ between (a) and (b). See Table 1 for citations for the studies in (a). For (b) there were no **175** and the table to table the table to table **1** for citations for the studies in (a).

575 samples collected between 500 1000 m.

577

578 Under-sampled regions include the Eastern South Pacific (n=6) and the Western Indian Ocean (n=0) (Figs. 2, 5a, and 6a). 579 Only two studies originated from the Indian Ocean, a unique environment with intense weather and shifting circulation 580 patterns that include monsoon seasons and upwelling conditions that will require much greater sampling coverage to capture 581 diazotroph biogeography. No South Atlantic samples were found during compilation that met the criteria for inclusion in the 582 *nifH* ASV database, though there are several studies from this region (Table A1). Most Atlantic Ocean samples were coastal 583 and from the North Atlantic. Thus, the Atlantic subtropical gyres, which are known to host diverse diazotrophs (Langlois et 584 al., 2005), are underrepresented by *nifH* amplicon data (Fig. 2).

585

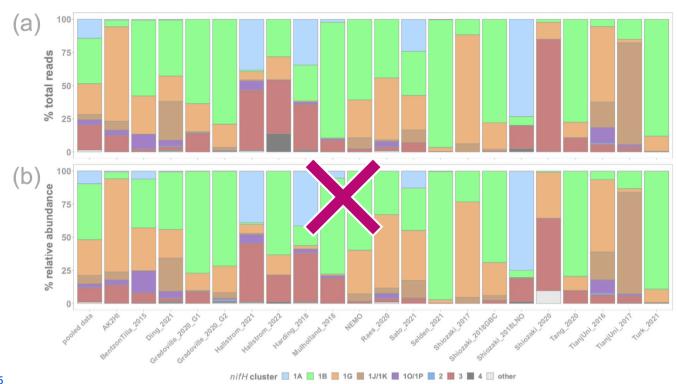
Tropical and subtropical regions, often associated with high temperatures and low nutrients, are highly represented in the 587 database (Figs. 2 and 5a). This likely influenced the ranges of environmental variables with most samples in the database 588 originating from locations with SST above 15 °C and PO_4^{3-} below 0.5 µmol L⁻¹ (Figs. 5c and 5d). Northern hemisphere 589 samples were collected in all seasons, though fewer from the winter. In contrast, most southern hemisphere samples were 590 collected in the winter and fall (Fig. 6b). While most DNA samples are from the euphotic zone (Fig. 6b), cDNA samples are 591 almost exclusively from the euphotic zone, and mainly from the northern hemisphere during the spring and summer (Fig. 592 6b), indicating an incomplete picture of diazotroph activity.

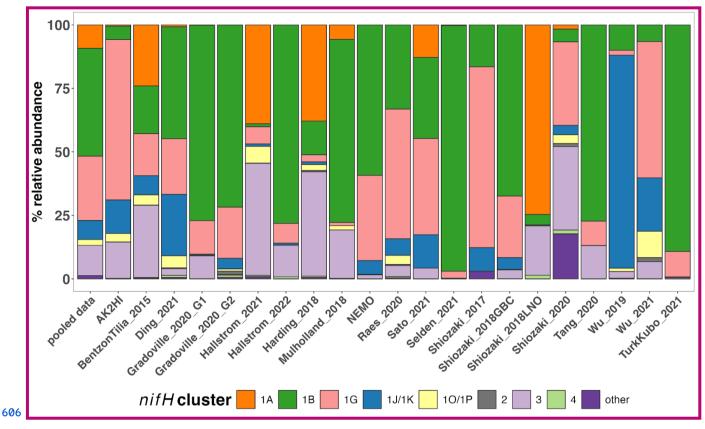
593

The disproportionate spatial and seasonal coverage between hemispheres in the *nifH* ASV database mirrors collection biases 595 in other N_2 fixation metrics including: N_2 fixation rate measurements; diazotroph cell counts; and *nifH* qPCR data, which are 596 heavily sourced from the North Atlantic (Shao et al., 2023) or, when targeting NCDs, also the North Pacific (Turk-Kubo et 597 al., 2022). These biases underscore the need for future work in understudied regions and seasons.

598 3.3 Study-specific patterns in global diazotroph assemblages in the DNA dataset

599 To demonstrate how the *nifH* ASV database can be used, a subset of the data was created that comprised of all DNA samples 600 (89.188.8 % of the total dataset; Fig. 7) and referred to herein as the "DNA dataset"." Samples derived from cDNA 601 (n=94108; Fig. 6) were removed. Replicate samples (n=256286) or those with multiple size fractions (n=142170) were 602 combined by averaging across replicates or size fractions. This reduced the number of DNA samples to 711762 and the total 603 number of reads in the count table to 30.036.6 million from 34.443.0 million.





607 Figure 7. Study-specific diazotroph assemblage patterns in the DNA dataset. The percentage of (a) total reads and (b) relative
608 abundance over the DNA dataset for each major *nifH* cluster. The first column of each panel ('pooled data') uses all the compiled data
609 while each subsequent column only uses data from the indicated study. Colors represent different *nifH* subclusters; 'other' are the
610 remaining *nifH* clusters.

612

613 As demonstrated in a previous global analysis of diazotroph assemblages (Farnelid et al., 2011), cyanobacterial sequences 614 (cluster 1B) dominate the samples, making up 34 % and 42 % of the total reads and relative abundance, respectively relative 615 abundance (Fig. 7). Though photosynthetic cyanobacteria would be expected to thrive in euphotic waters, NCDs are also 616 widespread in the ocean surface (Langlois et al., 2005; Delmont et al., 2018; Delmont et al., 2022; Pierella Karlusich et al., 617 2021; Turk-Kubo et al., 2022). Indeed, among the NCDs, γ-proteobacteria (*nifH* cluster 1G) were the most prevalent, 618 comprising ca. 23 % of total reads and 27 % of 27 % of the total relative abundance, while δ-proteobacteria (clusters 1A and 619 3) accounted for 3321 % of total reads and 21 % of the total relative abundance of the DNA dataset (Fig. 7). Less prominent 620 clusters 1J/1K (α- and β-proteobacteria) and 1O/1P (γ-/β-proteobacteria and Deferribacteres) were ca. 4 % and 6 % of the 621 reads and 4 % and 3 % of the relative abundance, respectively. The remaining ASVs comprised <1.5 % of the total reads and 622 relative abundancesabundance and came from clusters associated with nitrogenases that do not use iron (e.g. cluster 2) or 623 that are uncharacterized (cluster 4) (Fig. 7).

625 Cluster 1B (cyanobacteria) were generally high in individual studies across the *nifH* DNA dataset, comprising ≥25 % of the 626 relative abundance community in two-thirds of the studies (Fig. 7), which is the highest of any cluster. Studies carried out in 627 polar regions (Harding_2018, Shiozaki_2018LNO, Shiozaki_2020) and the Indian Ocean (TianjUni_2016 and 628 TianjUni_2017Wu_2019 and Wu_2021) were distinct from this pattern, with low relative abundances of cluster 1B. Instead, 629 Arctic studies had high relative abundances of cluster 1A and 3 (both primarily comprised of δ-proteobacteria) and while 630 clusters 1J/1K (α- and β-proteobacteria) and 1O/1P (γ-/β-proteobacteria and Deferribacteres) were the 631 predominatepredominant groups in the Indian Ocean.

632

633 The second most abundant group was the cluster 1G (γ-proteobacteria), making up ca. 25 % of the total **reads**relative 634 abundance across the DNA dataset, with study-specific relative abundances greater than 25 % in eight out of 21 studies (Fig. 635 7). Members of this group were often found at high relative abundances in Pacific Ocean studies (AK2HI, NEMO, 636 Raes_2020, Sato_2021, Shiozaki_2017), as well as in other ocean regions including the Atlantic (BentzonTilla_2015), Indian 637 (TianjUni_2016Wu_2021) and Southern Ocean (Shiozaki_2020). The notable exception is in Arctic studies; (Harding_2018, 638 Shiozaki_2018LNO) where cluster 1G was almost absent (Fig. 7).

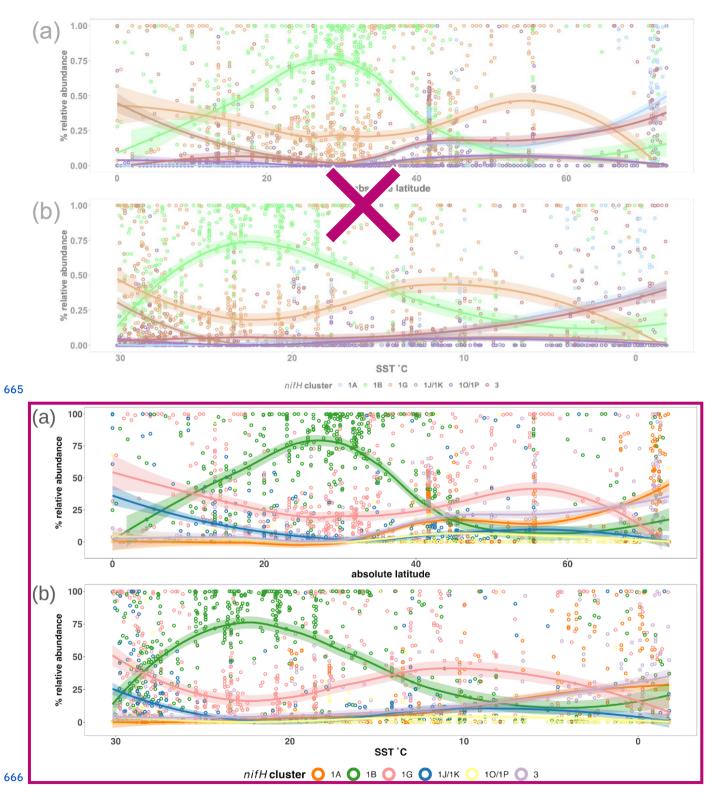
639

640 In several studies, including BentzonTillia_2015, Hallstrom_2021, Mulholland_2018, Selden_2021, Tang_2020, and 641 Hallstrom_2022, diazotroph assemblages had high relative abundances of putative δ-proteobacteria (clusters 1A and 3), 642 reflecting possibly a coastal/shelf or upwelling signature (Figs. 2 and 7). The only study with samples primarily from the 643 Southern Ocean (Shiozaki_2020) was also the only study with a large portion of *nifH* cluster 1E (*Bacillota*).

644 3.3.2 Emerging patterns in global diazotroph assemblages across the DNA dataset

645 The *nifH* ASV database enables new analyses of global diazotroph biogeography in the context of environmental parameters, 646 through co-localization with satellite and model outputs publicly available through CMAP (Ashkezari et al., 2021). To 647 demonstrate the utility of the *nifH* ASV database, we present here patterns in relative abundances of *nifH* clusters across 648 absolute latitude and SST in the DNA dataset. Cosmopolitan distributions were evident for γ-proteobacterial (1G) and 649 cyanobacterial diazotrophs (1B; Fig. 8a), corroborating and extending previous findings (Farnelid et al., 2011; Shao and Luo, 650 2022; Halm et al., 2012; Fernandez et al., 2011; Löscher et al., 2014; Cheung et al., 2016). At low to mid latitudes, 651 γ-proteobacterial (1G) diazotrophs generally had high relative abundances and were often the dominant taxa when present. 652 However, they declined within the gyre regions, ranging between ~25–50 % of the population when present, while 653 cyanobacterial diazotrophs (1B) increased and became dominant in the subtropical gyres (Fig. 8a). Notably, cluster 1G 654 diazotrophs reached high relative abundances in each transitional zone, before mainly disappearing at latitudes above 56° 655 (Fig. 8a). However, as mentioned previously, sampling bias likely plays a large role at these higher latitudes where the 656 number of studies and samples are sparse (Figs. 2 and 5).

658 Clusters 1B and 1G were both detected over the full range of SST (approximately -2–30 °C) but peaks in their relative 659 abundances occurred in distinct SST ranges. Cyanobacterial diazotrophs had multiple peaks in relative abundance in waters 660 >18 °C underscoring their dominance in tropical gyre regions (Fig. 8b). The 1G cluster also spanned the entire temperature 661 spectrum but had notably higher presence and relative abundance above SSTs of 8 °C and 11 °C, respectively (Fig. 8b). The 662 overlap between 1G and 1B has been reported previously, however the factors controlling this are unknown (Moisander et 663 al., 2014; Shiozaki et al., 2017; Shiozaki et al., 2018b; Liu et al., 2020; Tang et al., 2020; Messer et al., 2015).





667 **Figure 8: Global**Influence of SST on the global distribution of major *nifH* clusters in the photic zone of the DNA dataset. The 668 relative abundance of *nifH* genes for each major *nifH* cluster from every photic zone sample compiled in the DNA dataset versus (a) 669 absolute latitudinallatitude and (b) SST. Smoothing averages (lines) were calculated using local polynomial regression fitting (LOESS) 670 with 95 % confidence intervals (translucent colored areas). Each color represents a different *nifH* cluster. SST in (b) is from warmest to 671 coolest coldest temperatures to show that trends are similar to those in (a).

672

673 δ-proteobacterial diazotrophs (clusters 1A and 3) were generally found in cooler, higher latitude waters. Notably, both 674 clusters 1A and 3 were mainly found below ~10°C (Fig. 8b). δ-proteobacteria associated with cluster 1A were generally 675 found at latitudes >32° and reached maximum relative abundances near the poles, including in the Beaufort Sea, the highest 676 latitude region surveyed (72°; Figs. 2, 5, and 8a). The vast majority of cluster 1A δ-proteobacteria were found at SST <5 °C 677 (Fig. 8b). Though cluster 3 and 1A distributions were similar, cluster 3 showed broader spatial and temperature ranges, with 678 consistent but low relative abundances in the subtropics and tropics (Fig. 8).

679

680 In contrast, the relative abundances of cluster 1J/1K and 1O/1P diazotrophs declined as SST decreased and latitude 681 increased, becoming rare at higher latitudes (Fig 8). The highest relative abundances for these clusters were observed near 682 the equator, and in some cases, comprised 100% of the diazotroph assemblage in high SST, tropical samples. These patterns 683 suggest that temperature was an important factor controlling the narrow SST band (\geq 26 °C) clusters 1J/1K and 1O/1P 684 occupied, establishing them as the *nifH* clusters with the smallest geographic range in the *nifH* ASV database (Fig. 8).

685

686

687 3.4 Limits and caveats to interpreting nifH amplicon data

The PCR amplification of the *nifH* gene and its transcripts has been vital in advancing the knowledge of diazotroph ecology dee to its high sensitivity, detecting diazotrophs at abundances that are often orders of magnitude lower than other marine microbes. This approach has facilitated the discovery of many novel diazotrophs, and provided the first evidence of the distribution of unicellular diazotrophs throughout the open oceans (FaleonFalcón et al., 2004; FalconFalcón et al., 2002; Zehr et al., 1998; Zehr et al., 2001). Advances in HTS technologies have revealed diverse diazotrophic assemblages, including the ubiquitously distributed NCDs (Turk-Kubo et al., 2014; Shiozaki et al., 2017; Raes et al., 2020). Hese discoveries have fostered a new perspective of global diazotrophic ecology (Zehr and Capone, 2020), improved our models of diazotrophic distributions and global N fixation rates (Tang et al., 2019) and will continue to drive new research def questions.

697

698 However, interpreting *nifH* PCR-based data requires the consideration of several important caveats. Diazotrophs constitute a 699 small fraction of the total microbial community, and thus often require numerous PCR cycles in conjunction with nested 700 PCR for detection. Increasing the number of cycles can exacerbate known amplification biases (Turk et al., 2011) and 701 increase the likelihood of detecting contaminant sequences (Zehr et al., 2003). Strategies to mitigate and assess 702 contamination exist, e.g., by employing ultrafiltration of reagents and including blanks at different stages of the sampling and 703 sequencing process (Bostrom et al., 2007; Farnelid et al., 2011; Blais et al., 2012; Moisander et al., 2014; Langlois et al., 704 2015; Fernandez-Mendez et al., 2016; Cheung et al., 2021), but such strategies have not been universally adopted. 705 Additionally, relative abundances of PCR amplicons cannot easily be related to absolute abundances. For example, the 706 relative abundance of a taxon can change even if its absolute abundance remains constant, or the relative abundance can 707 remain constant despite changes in the total assemblage size. Moreover, the complexity of the diazotroph assemblage can, if 708 the HTS sequencing depth is insufficient, cause rare ASVs to go undetected, or have relative abundances which are too low 709 to interpret.

710

711 Primary objectives in studying marine diazotrophic populations include understanding the contribution of each group to N_2 712 fixation, the factors influencing their activity, and their global distributions. The relative abundances of *nifH* genes and 713 transcripts estimated by the workflow can point to potentially significant contributors to N_2 fixation rates. Yet, the presence 714 of *nifH* genes or transcripts does not always correlate with N_2 fixation rates (e.g. (Gradoville et al., 2017)). This underscores 715 the need for cell-specific rates to better constrain N_2 fixation, the assemblages driving given rates, and the taxa-specific 716 regulatory factors of N_2 fixation to better constrain global biogeochemical modeling.

717

718 Various methods are available to target specific diazotroph taxa over space and time (e.g. qPCR/ddPCR, fluorescent in situ 719 hybridization (FISH)-based methods). Universal PCR assays, e.g., those used in the studies compiled here (nifH1-4), are an 720 important complement because they better capture the overall diversity of the diazotrophic assemblage. Unlike primers 721 designed for specific sequences, universal primers can amplify unknown or ambiguous sequences, enabling the discovery of 722 genetic diversity. This includes microdiversity, where sequences show subtle variations from known ones, or even 723 identifying entirely novel taxa. Primers specific to novel sequences can then be developed for use in the mentioned 724 quantitative methods, enabling experiments to characterize the growth, activity, and controlling factors/dynamics of putative 725 diazotrophs growth.

726

727 Tools like RT-qPCR, where transcript abundances are assessed directly, or FISH-based methods where single-cells are 728 identified for cell-specific analysis, provide complementary perspectives into the activities of putative diazotrophs. 729 Enumerating diazotrophs using techniques like these can help standardize the relative abundances associated with amplicon 730 sequencing via matching taxa across each method. By assessing diversity and abundance simultaneously, major players can 731 potentially be identified and monitored.

732

733 Through genome reconstruction, `omics studies can enhance the characterization of putative diazotroph amplicon sequences734 by providing a robust suite of associated genetic data, e.g., taxonomic, phylogenetic, and metabolic. Previous studies have

735 led to the assembly of dozens of diazotrophic genomes (Delmont et al., 2022; Delmont et al., 2018). However, `omics 736 methods often require massive amounts of data to detect rare community members, and linking genes of interest to other 737 genomic information, e.g., taxonomy, remains quite difficult. Gene-specific models are also required to retrieve diazotrophic 738 information and these models can benefit greatly from the high quality diazotrophic sequences of the *nifH* ASV database. In 739 summary, the complementary perspectives afforded by the methods just described should all be used to obtain robust insights 740 into diazotrophic assemblages.

741

742 4 Data availability

743 The *nifH* ASV database is freely available in Figshare (<u>https://doi.org/10.6084/m9.figshare.23795943.v±v2</u>; Morando et al.,
744 20242024a). HTS datasets for the 21 studies in the database can be obtained from the NCBI Sequence Read Archive using
745 the NCBI BioProject accessions in Table 1.

746 5 Code availability

747 The workflow used to generate the *nifH* ASV database is freely available in two GitHub repositories, one for the DADA2 748 *nifH* pipeline (<u>https://github.com/jdmagasin/nifH_amplicons_DADA2</u>; Morando et all., 2024b) and one for the post-pipeline 749 stages (<u>https://github.com/jdmagasin/nifH-ASV-workflow</u>; Morando et al., 2024c).

750 6 Conclusions

751 The workflow and *nifH* ASV database represent a significant step towards a unified framework that facilitates cross-study 752 comparisons of marine diazotroph diversity and biogeography. Furthermore, they could guide future research, including 753 cruise planning, e.g., focusing more on the southern hemisphere and areas outside of the tropics, and molecular assay 754 development, e.g., assays to characterize NCDs for single-cell activity rates.

755

756 To demonstrate the utility of our framework, the DNA dataset was used to identify potentially important ASVs and 757 diazotrophic groups, establishing global biogeographic patterns from this aggregated amplicon data. Cyanobacteria were the 758 dominant diazotrophic group, but cumulatively the NCDs made up more than half of the total data. Distinct latitudinal 759 patterns were seen among these major diazotrophic groups, with NCDs (clusters 1G, 1J/K, 1O/1P, 1A, and 3) having a 760 greater contribution to relative abundances near the equator and at higher latitudes, while cyanobacteria (1B) comprised a 761 majority of the diazotroph assemblage in the subtropics. SST appeared to restrict and differentiate the biogeography of 762 clusters 1J/1K and 1O/1P (warm tropics/subtropics) from clusters 3 and 1A (cool, high latitude waters), but did not play as763 large of a role for the biogeography of clusters 1B and 1G.

764

765 We provide the workflow and database for future investigations into the ecological factors driving global diazotrophic766 biogeography and responses to a changing climate. Ultimately, we hope that insights derived from the use of our framework767 will inform global biogeochemical models and improve predictions of future assemblages.

768

769 Appendix A:

770 Figures:

771

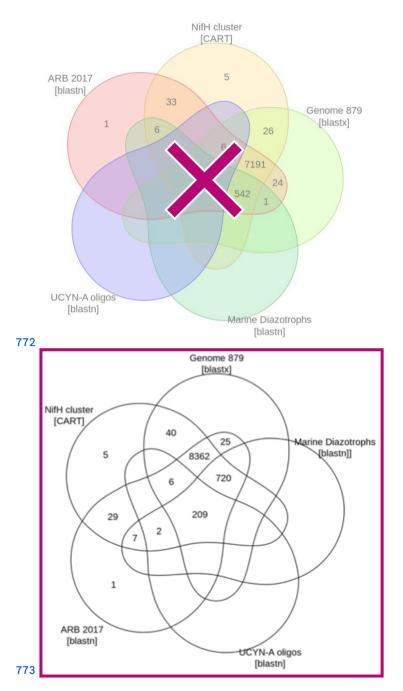


Figure A1. ASV annotations. The Venn diagram summarizes annotations assigned to **7931**9406 ASVs during the AnnotateAuids stage of the workflow (Fig. 1). Numbers indicate how many ASVs received each type of annotation. Of the **9416**11,915 ASVs from the preceding **776** workflow stage, FilterAuids, only the **7931**9406 ASVs shown received annotations.

778 <u>Tables:</u>

Table A1. Compiled *nifH* **amplicon studies.** Information on all studies compiled to generate the *nifH* ASV database, as well as studies that were not ultimately included and the reasons for this. The table provides the study ID used to refer to each dataset, the NCBI **781** BioProject accession, the number of samples, and the DOI of the publication in which the dataset became public.

Study ID¶	NCBI- BioProject¶	Sample s¶	Publication DOI¶	In nifH ASV DB?¶	Reason excluded¶
AK2HI¶	PRJNA106241	4 3¶	This study¶	94	ff
NEMO¶	PRJNA106239	56¶	This study¶	*	4
Cabello_2020	PRJNA605009	75¶	10.1111/jpy.13045-20-043¶	n¶	Time series samples
Harding 2018	PRJNA476143	91	10.1073/pnas.1813658115¶	₩	4
Turk_2021	PRJNA695866¶	136¶	10.1038/s43705-021-00039-7¶	y ¶	4
Gradoville_2020_G1¶	PRJNA530276¶	1119	10.1002/lno.11423¶		4
Gradoville 2020 G2¶	PRJNA530276	56¶	10.1002/lno.11423¶	y ¶	4
Turk-Kubo 2015	PRJNA300416	119	10.5194/bg-12-7435-2015¶	n¶	Mesocosm samples
Famelid 2019	PRJNA392595	155	10.1002/2017GB005681¶	n¶	4
Shiozaki_2017¶	PRJDB5199¶	229	10.1002/lno.10933¶	y¶	4
Shiozaki 2018LNO¶	PRJDB5679	20¶	10.1038/s41561-020-00651-7	y ¶	4
Shiozaki 2020¶	PRJDB9222	14 ¶	10.1029/2017GB005869¶	9 ¶	4
Shiozaki 2018GBC¶	PRJDB6603¶	201	10.3389/fmicb.2018.00797¶	y¶	
Li 2018	PRJNA434503	16¶	10.1002/lno.10542¶	n¶	Issues merging reads
Gradoville 2017	PRJNA328516	49 ¶	10.1038/ismej.2014.119¶	γ¶	4
BentzonTilia 2015	PRJNA239310	56¶	10.3389/fmicb.2017.01122¶	₩	4
Gradoville 2017 Frontiers	PRJNA358796¶	45 ¶	10.1038/srep27858¶	n¶	Perturbation experiments¶
Rahav 2016¶	n/a¶	n/a¶	10.1038/s41396-018-0050-z¶	n¶	Samples were sorted prior to sequencing
Gerikas Ribeiro 2018¶	PRJNA377956¶	55¶	10.1038/nmicrobiol.2016.163¶	n¶	Samples contained very- few sequences¶
MartinezPerez_2016	PRJNA326820¶	27¶	10.1029/2020JC017071¶	y¶	4
Sato_2021¶	PRJDB10819¶	28 ¶	10.1002/lno.11727	y¶	4
Selden_2021¶	PRJNA683637¶	10 ¶	10.1029/2018GB006130¶	7¶	4
Mulholland_2018¶	PRJNA841982¶	29 ¶	10.1038/s41598-019-39586-4¶	₩	4
MoreiraCoello_2019¶	PRJNA473903¶	24	10.1007/s10021-021-00702-z¶	y¶	4
TianjUni_2016¶	PRJNA637983¶	14 ¶	10.1007/s00248-019-01355-1¶	9¶	4
TianjUni_2017¶	PRJNA438304¶	18	10.1002/lno.11997¶	9¶	4
Hallstrom_2021¶	PRJNA656687¶	821	10.1007/s10533-022-00940-w¶	9¶	4
Hallstrom_2022¶	PRJNA756869¶	83¶	10.3389/fmars.2020.00389¶	₽	4
Raes_2020	PRJNA385736¶	121	10.1038/s41396-020-0703-6¶	y¶	4
Tang_2020¶	PRJNA554315¶	6	10.3390/biology10060555¶	y¶	¶
Ding_2021	SUB7406573¶	321	10.1007/s13131-019-1513-4¶	7	4

*: Data were obtained from authors, not the SRA.

Study ID	Sam ples	NCBI BioProject	Reference DOI		In <i>nifH</i> ASV database?
AK2HI	43	PRJNA1062410	This study	n/a	Yes
BentzonTilia_2015	56	PRJNA239310	Bentzon-Tilia et al., 2015	10.1038/ismej.2014.119	Yes
Cabello 2020	75	PRJNA605009	Cabello et al., 2020	10.1111/jpy.13045	No. Time series samples
Ding_2021	32	SUB7406573	Ding et al., 2021	10.3390/biology10060555	Yes

Farnelid 2019	155	PRJNA392595	Farnelid et al., 2019	10.1038/s41396-018-0259-x	No. Particle
Gérikas Ribeiro 2018	55	PRJNA377956	Gérikas Ribeiro et al., 2018	10.1038/s41396-018-0050-z	enrichment samples No. Samples had very few sequences
Gradoville 2017 Frontiers	45	PRJNA358796	Gradoville et al., 2017	N	
Gradoville_2020_G1	111	PRJNA530276	Gradoville et al., 2020	10.1002/lno.11423	Yes
Gradoville_2020_G2	56	PRJNA530276	Gradoville et al., 2020	10.1002/lno.11423	Yes
Hallstrom_2021	82	PRJNA656687	Hallstrøm et al., 2022b	10.1002/lno.11997	Yes
Hallstrom_2022	83	PRJNA756869	Hallstrøm et al., 2022a	10.1007/s10533-022-00940-w	Yes
Harding_2018	91	PRJNA476143	Harding et al., 2018	10.1073/pnas.1813658115	Yes
Li 2018	16	PRJNA434503	Li et al., 2018	10.3389/fmicb.2018.00797	No. Issues merging reads
Mulholland_2018	29	PRJNA841982	Mulholland et al., 2019	10.1029/2018GB006130	Yes
NEMO	56	PRJNA1062391	This study	n/a	Yes
Raes_2020	121	PRJNA385736	Raes et al., 2020	10.3389/fmars.2020.00389	Yes
Rahav 2016	n/a	n/a	Rahav et al., 2016	10.1038/srep27858	No. Samples sorted prior to sequencing
Sato_2021	28	PRJDB10819	Sato et al., 2021	10.1029/2020JC017071	Yes
Selden_2021	10	PRJNA683637	Selden et al., 2021	10.1002/lno.11727	Yes
Shiozaki_2017*	22	PRJDB5199	Shiozaki et al., 2017	10.1002/2017GB005681	Yes
Shiozaki_2018GBC*	20	PRJDB6603	Shiozaki et al., 2018b	10.1029/2017GB005869	Yes
Shiozaki_2018LNO	20	PRJDB5679	Shiozaki et al., 2018a	10.1002/lno.10933	Yes
Shiozaki_2020	14	PRJDB9222	Shiozaki et al., 2020	10.1038/s41561-020-00651-7	Yes
Tang_2020	6	PRJNA554315	Tang et al., 2020	10.1038/s41396-020-0703-6	Yes
Turk-Kubo 2015	11	PRJNA300416	Turk-Kubo et al., 2015	ubo et al., 2015 10.5194/bg-12-7435-2015	
TurkKubo_2021	136	PRJNA695866	Turk-Kubo et al., 2021	10.1038/s43705-021-00039-7	Yes
Wu_2019	18	PRJNA438304	Wu et al., 2019	10.1007/s00248-019-01355-1	Yes
Wu_2021*	14	PRJNA637983	Wu et al., 2021	10.1007/s10021-021-00702-z	Yes

784

785 Appendix B: Read trimming method effects on workflow outputs

786 It is well-established that error rates increase with the number of PCR cycles during Illumina sequencing (Manley et al., 787 2016). DADA2 trims the reads to remove the low-quality tails, an important early step that impacts the proportion of 788 sequences retained during quality-filtering and merging, as well as the ASVs detected (Fig. 1). Usually sequencing quality 789 plots are inspected to identify a trimming length that will on average cut the reads before quality declines significantly. 790 However, inspecting tens to hundreds of quality plots (depending on the study size) is laborious and unsystematic. For the 791 present work, the pipeline ancillary script estimateTrimLengths.R was used to efficiently identify lengths that maximized the

792 percentages of reads retained for each study (Section 2.3.2). The optimized lengths appeared in the parameter files as **793** truncLen.fwd and truncLen.rev used by DADA2 filterAndTrim (Table 2).

794

795 An alternative to fixed-length trimming is to trim each read based on its individual quality profile, at the first position where 796 the estimated sequencing error rate exceeds a threshold specified in the truncQ parameter to filterAndTrim (Table 2). This 797 approach might reduce mismatches in the overlapping regions during the merge step and thus retain more read pairs. 798 However, spurious low-quality bases could cause overly aggressive trimming, and picking a threshold that allows most 799 sequences to overlap is not straightforward.

800

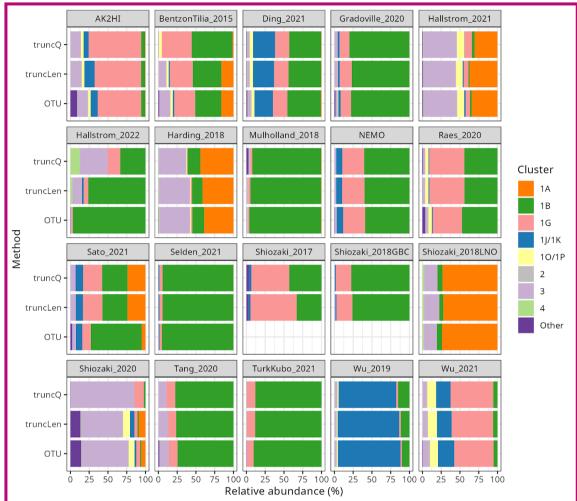
801 The quality of the raw sequencing data is a critical factor in the generation of the final ASV table. When analyzing a new 802 dataset, testing both the fixed-length (truncLen) and quality-based (truncQ) trimming methods is suggested because they are 803 fundamentally different and filterAndTrim impacts all downstream DADA2 steps. If both methods produce similar ASVs 804 and abundances, additional parameter tuning is unlikely to impact the analysis meaningfully.

805

806 To illustrate how the trimming approach can impact workflow outputs, a version of the *nifH* ASV database was generated as 807 shown in Figure 1 except that reads were trimmed at the first position where the estimated error rate was >2.5 % (truncQ = 808 16 in Table 2). This threshold typically produces forward and reverse ASVs of sufficient length to overlap without 809 mismatches. The truncQ version of the database had substantially fewer samples, reads, and ASVs (Table B1), partly 810 because truncQ appeared more affected by low quality reads (discussed below). Only 1783 ASVs out of 9383 in the *nifH* 811 ASV database were detected by both trimming methods, but they comprised 88.3 % of the total reads in the database (Table 812 B1). The 7600 ASVs (16.7 % of reads) that were found only using truncLen had mainly low abundances and were detected 813 mainly in one to several samples. Although truncQ was less sensitive to rare ASVs, for most studies the relative abundances 814 of *nifH* groups were similar using either trimming approach (Fig. B1).

815

816 There were three exceptions where sequencing quality issues caused substantial differences in the results from truncQ and 817 truncLen, BentzonTilia_2015, Hallstrom_2022, and Shiozaki_2020. Using either trimming method, all three studies lost 818 high percentages of reads during filterAndTrim (Fig. 3; losses using truncQ were comparable). This indicates that 819 sequencing errors remained after trimming (>2 errors in the trimmed forward reads and >4 in the reverse; maxEE in Table 2). 820 However, the subsequent losses during mergePairs were much higher using truncQ (vs. truncLen), respectively 58 % (10 %), 821 61 % (5 %), and 72 % (6 %) of reads. This suggests that trimming with truncQ=16 more frequently produced reads that 822 failed to overlap during the merge step. For these three studies the workflow discarded many samples due to having \leq 500 823 reads, but more with truncQ (vs. truncLen), respectively n=54 (34); 59 (29); and 14 (5) samples discarded. These three 824 exceptions suggest that truncLen-based trimming can retain substantially more reads and samples for FASTQs with lower 825 quality reads, which could impact relative abundances (Fig. B1).



829 Figure B1. Relative abundances using different DADA2 trimming methods and the NifMAP OTU pipeline. *nifH* cluster relative abundances are shown for each study when processed using the NifMAP OTU pipeline (Angel et al., 2018) or by the *nifH* workflow using **831** two methods for trimming reads, quality-based (truncQ) or fixed-length (truncLen). ASV or OTU abundances for the samples in a study **832** were pooled to calculate the relative abundances shown. The three results for each study were calculated using only the samples that were **833** retained by both runs of the *nifH* workflow. Shiozaki_2017 and Shiozaki_2018GBC used mixed-orientation sequencing libraries and could **834** not be processed by NifMAP.

835

836 <u>Tables:</u>

⁸³⁷ Table B1. Impact of read trimming method on workflow outputs. The table compares the *nifH* ASV database, generated using **838** fixed-length read trimming (truncLen for DADA2 filterAndTrim), to an alternative database for which reads were trimmed at the first **839** nucleotide where the error rate was >2.5 % (truncQ=16). No other pipeline or post-pipeline parameters were changed. **840**

	truncLen	truncQ	% decrease

Samples	944	847	10.4
ASVs	9383	1997	78.7
Reads	43.0E+6	26.3E+6	38.9

⁸⁴¹

843 Appendix C: Comparison of communities from the workflow to previous studies

844 Prior to DADA2 (Callhan et al. 2016) and other approaches that distinguish fine-scale variation from sequencing errors 845 (Eren et al. 2014, Edgar 2016b, Amir et al. 2017), most amplicon studies—for 16S rRNA as well as functional 846 genes—processed their sequencing data into operational taxonomic units (OTUs). Usually this meant *de novo* clustering the 847 amplicon sequences at 97 % nucleotide identity and using a representative sequence from each of the OTUs (clusters) for 848 subsequent analyses. For 16S rRNA genes, it is known that PCR artifacts and sequencing errors can inflate the number of 849 OTUs and cause diversity to be overestimated (Quince et al., 2009; Eren et al., 2013). For *nifH* amplicon data, these issues 850 have been mitigated in previously published OTU analyses by analyzing broad diazotroph groups (Table C1).

851

To demonstrate whether communities derived from the workflow differ substantially from those previously published, a comparison was made between the results from the *nifH* workflow and another *nifH* pipeline, NifMAP (Angel et al. 2018). NifMAP is an OTU pipeline that uses hidden Markov models in an attempt to distinguish true *nifH* sequences from orthologs often mistaken for *nifH*. NifMAP was used to generate proxies for most of the 21 studies since complete OTU sequences and abundances were not available for the 19 original studies. Using NifMAP for all studies was more systematic than trying to reproduce the original results which depended on different software and methods for quality filtering. Additionally, the workflow and NifMAP both use CART (Frank et al. 2016) to identify *nifH* clusters enabling the cross-comparison of major *nifH* groups. Both also distinguish *nifH* from orthologs, the workflow using classifyNifH.sh described in section 2.3.3). Only the samples that were processed by both the workflow and NifMAP were compared (n=902).

861

862 The main result was that similar diazotroph communities were detected by the *nifH* workflow and NifMAP (Fig. B1). For **863** every study they agreed on the two most abundant *nifH* subclusters, usually with ≤ 3 % difference between the relative **864** abundances from the workflow and NifMAP. These results suggest that comparisons between new and previously published **865** *nifH* amplicon studies are possible, especially if both use similarly broad taxonomic levels, e.g., *nifH* subclusters.

866

867 However, for two studies there were clear differences between the *nifH* workflow and NifMAP that speak to the utility of the 868 workflow. For Hallstrom_2022 the workflow detected additional *nifH* subclusters, mainly 3 and 1G, and for Sato_20201 the 869 workflow detected 1G and 1A at much higher levels (Fig. B1). These compositional differences likely stemmed from vastly 870 greater numbers of reads retained by the workflow compared to NifMAP (1034 % and 264 % more reads, respectively for 871 the two studies; Table C1). The NifMAP logs revealed that poor read quality caused NifMAP to discard the majority of reads 872 in the first two steps. Only 10% of the Hallstrom_2022 reads could be merged, the lowest of any study (median 78 %, range 873 10–94 %), and 56 % of the reads from Sato_2021. The merged reads were short for both Hallstrom_2022 (mean 174 nt) and 874 Sato_2021 (198 nt) in comparison to all studies (median of 307 nt). NifMAP then discarded, respectively, 66 % and 58 % of 875 the merged reads due to lengths < 200 nt. In comparison, for Hallstrom_2022 the workflow discarded most reads during 876 DADA2 filterAndTrim (using truncLen) due to sequencing errors but discarded few reads during mergePairs (Fig. 3 and 877 Table 4). This suggests that DADA2 denoising worked very well for this dataset because the forward and reverse ASVs were 878 allowed at most one mismatch in their overlapping region (Table 2). In contrast, Sato_2021 had substantial losses of reads 879 during both filterAndTrim and mergePairs (Fig. 3 and Table 4). Together these results indicate that the *nifH* workflow can 880 potentially retain more reads than NifMAP, particularly when data quality is low, with noticeable impacts on community 881 composition.

882

883 Although community compositions from the workflow and NifMAP were mainly similar (Fig. B1), the workflow tended to 884 retain more of the sequencing reads (Table C1). For 9 of the 18 studies analyzed by both the workflow and NifMAP, there 885 was <10 % difference in the number of reads retained into final sequences (ASVs or OTUs; Table C1). However, 6 of the 886 other 9 studies had more reads retained by the workflow (14–1034 %) and 3 had more reads retained by NifMAP (10–23 %). 887 Although the workflow retained more reads, usually there were fewer ASVs than OTUs despite compression from clustering 888 at 97 % nucleotide identity (Table C1). This is consistent with the known limitations of OTUs mentioned earlier, errors and 889 overestimated diversity.

890

891

892 <u>Tables:</u>

893

Table C1. Summary of the total reads and final sequences obtained by the workflow (ASVs) and NifMAP (OTUs) applied to the same samples. A total of 902 of 944 samples in the *nifH* ASV database were compared. This excludes 42 samples from Shiozaki_2017 and Shiozaki_2018GBC that used mixed-orientation sequencing libraries and could not be processed by NifMAP. The Change (%) column is relative to reads in OTUs. OTUs in column 6 count clusters (97 % nucleotide identity). *: The original publication analyzed OTUs.

	Samples compared	Reads (K)			Sequences	
Study ID		In OTUs	In ASVs	Change (%)	OTUs	ASVs
AK2HI	43	1319	1259	4.6	987	283
BentzonTilia_2015*	54	220	171	22.6	1043	352
Ding_2021*	32	1358	1446	-6.5	1362	435
Gradoville_2020 (G1,G2)*	162	3200	3304	-3.3	642	333
Hallstrom_2021	82	4531	10,216	-125.5	14,606	6403
Hallstrom_2022*	59	455	5155	-1033.8	91	165

Harding_2018*	88	1384	1579	-14.1	1715	842
Mulholland_2018	28	2527	2439	3.5	1706	549
NEMO	54	1830	1665	9.0	591	177
Raes_2020	131	7668	7793	-1.6	1421	395
Sato_2021	28	106	388	-264.1	141	169
Selden_2021	10	405	445	-9.9	217	60
Shiozaki_2018LNO*	20	618	913	-47.8	929	283
Shiozaki_2020	14	946	1935	-104.7	1664	123
Tang_2020*	6	229	196	14.2	235	35
TurkKubo_2021*	59	2011	1976	1.8	305	74
Wu_2019*	18	801	734	8.3	504	102
Wu_2021*	14	749	674	10.0	1315	180

900

901 Author Contributions

902 KTK and MM designed the study with input from SC and MMM. JM created and optimized the DADA2 pipeline for *nifH* 903 amplicon analyses. JM and MM developed the post-pipeline workflow. MM and JM compiled the database, retrieved 904 environmental data from CMAP, and analyzed the database. MM, JM and KTK wrote the manuscript with input from 905 MMM, SC, and JPZ.

906 Competing Interests

907 No competing interest is declared.

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917

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