

Permafrost temperature baseline at the 15 m depth on the Qinghai-Tibet Plateau (2010–2019)

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Abstract. The ground temperature at a fixed depth is a crucial boundary condition for understanding the properties of deep permafrost. However, the commonly used mean annual ground temperature at the depth of the zero annual amplitude (MAGT_{DZAA}) has certain limitations for extensive application due to large spatial heterogeneity in observed depths. In this study, we utilized 231 borehole records of mean annual ground temperature at the depth of 15 m (MAGT_{15m}) from 2010 to 2019 and employed a method of support vector regression (SVR) to predict the gridded MAGT_{15m} at a spatial resolution of nearly 1 km across the Qinghai-Tibet Plateau (QTP). The SVR model predictions demonstrated an R^2 value of 0.48 with a negligible negative overestimation (-0.01 °C). The average MAGT_{15m} of the QTP permafrost was -1.85 ± 1.58 °C, with 90% of values ranging from -5.1 to -0.1 °C and 51.2% greater than -1.5 °C. The ground surface freezing degree days (FDD) was the most significant predictor ($p < 0.001$) of MAGT_{15m}, followed by ground surface thawing degree days (TDD), mean annual precipitation (MAP), and soil bulk density (BD) ($p < 0.01$). Overall, the MAGT_{15m} increased from northwest to southeast and decreased with rising elevation. Lower MAGT_{15m} values prevail in high mountainous areas with steep slopes. The MAGT_{15m} was the lowest in the headwater areas of the Amu Darya, Indus, and Tarim river basins (-2.9 to -2.7 °C) and the highest in the headwater areas of the Yangtze and Yellow river basins (-0.9 to -0.8 °C). The baseline dataset of MAGT_{15m} for the QTP permafrost regions during 2010-2019 will facilitate simulations of deep permafrost characteristics and provides fundamental data for permafrost model validation and improvement.

Keywords: Mean annual ground temperature; Permafrost; Support vector regression; Qinghai-Tibet Plateau

1 Introduction

The ground temperature at a given depth range is a fundamental indicator for characterizing the thermal state of permafrost (Romanovsky et al., 2010). However, obtaining ground temperature at a large depth is challenging due to the harsh climatic conditions in permafrost regions and time-consuming drilling operations (Zhao et al., 2024). Previous studies have commonly used the mean annual ground temperature (MAGT) at the depth of the zero annual amplitude (DZAA, representing the

maximum depth that seasonal surface temperature variations can penetrate) (Dobinski, 2011). Biskaborn et al. (2019) used records of the MAGT at the DZAA (MAGT_{DZAA}), demonstrating that most of the permafrost had experienced warming at a global scale with various magnitudes. The rate of increase in the MAGT_{DZAA} is approximately 1 °C per decade in colder permafrost regions in the high-latitude Arctic and 0.3 °C per decade in warmer permafrost in the sub-Arctic regions (Smith et al., 2022).

In addition to indicating permafrost warming at a specific location, the assembled MAGT_{DZAA} records can also be utilized to map regional permafrost occurrence using spatialization methods. For instance, Aalto et al. (2018) produced a map of circum-Arctic permafrost based on MAGT_{DZAA} derived from statistical forecasting models. However, these maps often do not include permafrost extent to the south of 30°N in the Northern Hemisphere. Although the European Space Agency (ESA) Climate Change Initiative (CCI) provides permafrost MAGT products for the Northern Hemisphere (Obu et al., 2021), the deepest depth reached is only 10 m, limiting the applicability to regions where the DZAA exceeds 10 m, such as the Qinghai-Tibet Plateau (QTP). Recently, Ran et al. (2022) updated the Northern Hemisphere permafrost map by incorporating more observed MAGT_{DZAA} data from the QTP and Northeast China and employing multiple machine-learning models, demonstrating significant advancements in mapping permafrost distribution and thermal state.

A critical consideration is the DZAA variability across different regions because it depends on permafrost dynamics. Measurements from 1002 boreholes have shown that the DZAAs range from approximately 3 to 25 m in different permafrost regions (Ran et al., 2022). The DZAAs are typically shallower in peat and mineral soils and deeper in bedrock (Smith et al., 2010). The DZAAs generally range from 10 to 15 m in Central Asia, depending on surface land cover and substrate properties (Zhao et al., 2010). Furthermore, DZAAs undergo change with permafrost warming, as evidenced by *in situ* observations indicating a decrease rate of 0.14-0.17 m per year near the northern limit of elevational permafrost on the QTP from 2005 to 2017, leading to consequent changes in MAGT_{DZAA} (Liu et al., 2021). The spatial and temporal variability of DZAAs complicates the use of the predicted MAGT_{DZAA} maps for comparison and calibration with transient modeling results at specific depths and also limits their utility in estimating characteristics of permafrost at greater depths (e.g., permafrost thickness). Hence, establishing a baseline of MAGT at a specific depth and for a specific period is crucial for permafrost modeling studies.

The QTP has the largest permafrost region in low and mid-latitudes and a typical high-altitude permafrost area (Zou et al., 2017). Over the past two decades, permafrost monitoring efforts on the QTP have established a substantial monitoring network and ground temperature datasets have been published (Zhao et al., 2021). Particularly since 2010, extensive monitoring has been conducted by various research groups in multiple regions, resulting in updated datasets. This study aims to establish a fixed-depth deep permafrost temperature baseline using data from the QTP for a decade (2010-2019) and a machine learning approach to address the limitations associated with the use of MAGT_{DZAA}. Considering the availability of ground temperature records, the data of MAGT at 15 m in depth are used for spatialization. The resulting dataset can serve as a reference for model

simulations during this period and provide an upper boundary condition for estimating characteristics of permafrost at greater depths.

2 Materials and Methods

2.1 Compilation and Processing of MAGT Data

The dataset of mean annual ground temperature at 15 m in depth (MAGT_{15m}) across the QTP permafrost regions consisted of a total of 231 boreholes (Fig. 1). For this dataset, 122 measurements were obtained from the permafrost monitoring networks of the QTP (Zhao et al., 2021) established by the Cryosphere Research Station, Chinese Academy of Sciences (CRS-CAS). The rest 109 measurements were compiled from published articles (Cao et al., 2018; Li et al., 2012, 2014, 2016; Luo et al., 2012, 2013, 2018; Sun et al., 2018; Liu and Shi, 2019). The observational period was from 2010 to 2019. The ground temperature in the boreholes was measured using a cable equipped with a string of thermistors at various depths. The thermistor probe was assembled and calibrated by the State Key Laboratory of Frozen Soil Engineering (SKLFSE, CAS), with a measurement accuracy of ±0.05 °C in laboratory conditions (Wu et al., 2010). All ground temperature measurements were obtained using the same equipment, ensuring the comparability of MAGT_{15m} across various permafrost regions.

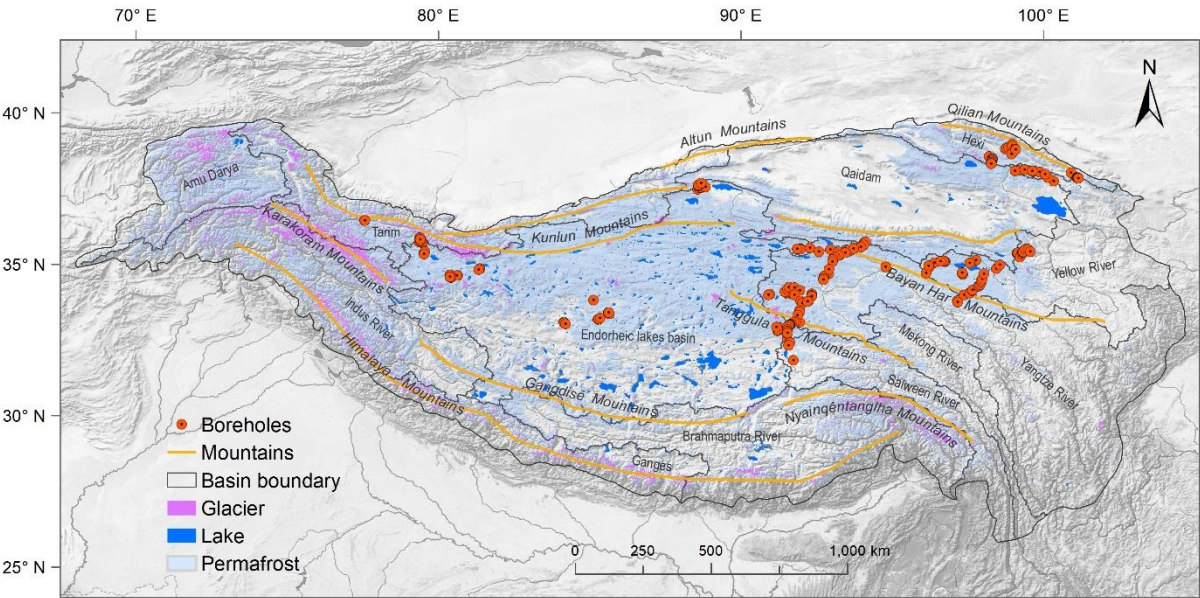


Figure 1: Distribution of boreholes (n=231) for monitoring mean annual ground temperature at 15 m in depth (MAGT_{15m}) on the Qinghai-Tibet Plateau.

Of the 231 boreholes, 180 sites (approximately 78%) only had single-year observations. To ensure that each site had values for each year from 2010 to 2019, we implemented the following processes:

1) For sites with multiple years of $MAGT_{15m}$ observations, we calculated the warming rates from 2010 to 2019 (Fig. 2a) and established the linear relationship between the warming rates and the average $MAGT_{15m}$ (2010-2019) (Fig. 2b). A rigorous selection process was used for sites with a minimum requirement of three observation years and a time span of six years. This selection criterion ensured that the chosen sites provided a robust basis for calculating the warming rate. Consequently, 51 sites were included in the analysis. The $MAGT_{15m}$ range for these sites was -3.95°C to 0.03°C , encompassing a diverse temperature range in the dataset.

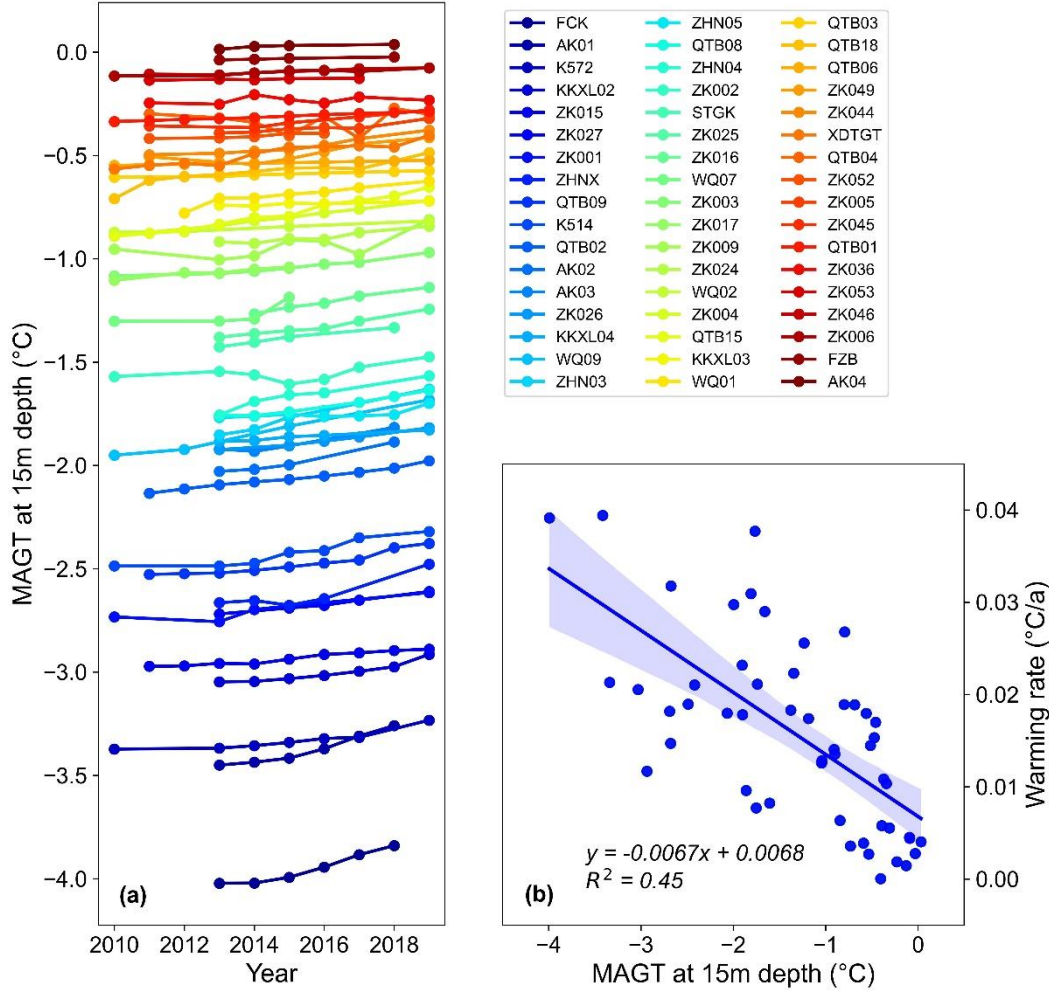


Figure 2: Warming rates of $MAGT_{15m}$ during 2010-2019 (a) and the relationship between warming rates and the average $MAGT_{15m}$ (b).

2) For sites that did not meet the first criterion, i.e., sites with observation years of less than three years or a time span of less than six years (a total of 180 sites), we used the following linear relationship (Equation 1) to estimate in the missing values.

$$MAGT_{15m} \text{ warming rate} = -0.0067 \times MAGT_{15m} + 0.0068 \quad (R^2 = 0.4549) \quad (1)$$

where, the $MAGT_{15m}$ warming rate represents the rate of warming in $MAGT_{15m}$ from 2010 to 2019, and $MAGT_{15m}$ is the mean $MAGT$ value at a depth of 15 m from 2010 to 2019.

After obtaining the yearly $MAGT_{15m}$ values for all sites from 2010 to 2019, we computed the mean $MAGT_{15m}$ value during the ten years. It was used as the input for regional $MAGT_{15m}$ predictions. This approach ensured the integration of long-term trends and provided a representative estimate of the regional $MAGT$.

2.2 Statistical Learning Model

In this study, the support vector regression (SVR) method (Basak et al., 2007) was adopted to predict the $MAGT_{15m}$. Although several machine learning models, including generalized linear model (GLM), generalized additive model (GAM), random forest (RF), and geographically weighted regression (GWR), have been commonly used in various regression analyses (Aalto et al., 2018; Wang et al., 2020; Ran et al., 2022), the SVR method has demonstrated better performance for predicting the $MAGT$ in the QTP permafrost regions compared to other methods (Ran et al., 2021). Moreover, SVR is a deterministic prediction method, ensuring consistent and reproducible results for a fixed set of sample points, contributing to the reliability and replicability of predictions.

The SVR was implemented using the R package e1071. SVR is a nonparametric technique that seeks to find a function deviating from observations by a value not exceeding a threshold (ϵ) for the training points while minimizing the prediction error. The output model depends on kernel functions. Thus, the default radial kernel function has been utilized in this study. Model parameter selection was performed using a tuning method, with a cost parameter of 1000 employed to prevent overfitting and a gamma value of 0.0001. Model performance was assessed using the bias, root-mean-square error (RMSE), and coefficient of determination (R^2) computed via 10-fold cross-validation with 1000 iterations. In each run, 90% of the measurements were used to train the SVR model, while the remaining 10%, to test predictions.

2.3 Environmental and Climate Data

Nine environmental and climate variables were selected as predictors in the prediction of $MAGT_{15m}$ using the SVR method. They were selected based on previous studies (e.g., Aalto et al., 2018; Ran et al., 2021), and these variables were derived from high-quality datasets available at present (Table 1).

Volumetric coarse fragments (CF, %) and bulk density (BD, g/cm³) in the soils were obtained from the SoilGrids 2.0 data (Poggio et al., 2021) with a spatial resolution of 1 × 1 km. In addition to soil texture, soil organic carbon content (SOC, g/kg) data from the Third Pole (Wang et al., 2021) was also used. Average values for a depth range of 0-2 m were used for all soil factors as model inputs. The number of freezing degree days (FDD, °C-days) and thawing degree days (TDD, °C-days) based

on ground surface temperature (GST) were calculated following the method of Zou et al. (2017), and the data period was expanded to 2003–2019. GST, corrected based on MODIS LST, was selected in this study due to its superior performance over air temperature in permafrost modeling (Luo et al., 2018). Mean annual precipitation (MAP, mm) from 1970 to 2000 was derived from the WorldClim version 2.1 dataset (Fick and Hijmans, 2017). Snow cover duration (SCD, days) from 2003 to 2020 was derived from the MODIS daily cloud-free snow cover product (Qiu et al., 2021). The multi-year averages of FDD, TDD, MAP, and SCD were calculated and used as model inputs. The elevation was obtained from a dataset compiled by Amatulli et al. (2018). The multi-year averaged maximum value of the normalized difference vegetation index (NDVI) from 2000 to 2021 was derived from the MOD13A2 products (Wang et al., 2022).

Table 1. Environmental predictors used in the modeling.

Predictor	Unit	Data Source
Coarse fragments (CF)	%	Poggio et al., 2021 (SoilGrids 2.0)
Bulk density (BD)	g/cm ³	
Soil organic carbon content (SOC)	g/kg	Wang et al., 2021
Freezing degree days (FDD)	°C-days, average for 2003–2019	expanded the data of Zou et al., 2017
Thawing degree days (TDD)	°C-days, average for 2003–2019	
Mean annual precipitation (MAP)	mm, average for 1970–2000	Fick and Hijmans, 2017 (WorldClim version 2.1)
Snow Cover Duration (SCD)	days, average for 2003–2020	Qiu et al., 2021
Elevation (DEM)	m	Amatulli et al., 2018
Normalized difference vegetation index (NDVI)	maximum value in a year, average for 2000–2021	Wang et al., 2022 (MOD13A2 products)

2.4 Ancillary Data

Prior to calculating statistics, the SVR predictions were resampled to a spatial resolution of 1 km by 1 km. Additionally, areas covered by glaciers and lakes were masked from the output results. Glacier areas were masked using the Randolph Glacier Inventory (RGI6.0) data obtained from the National Snow and Ice Data Center (<https://nsidc.org/data/nsidc-0770/versions/6>). Lake areas were masked by referencing the dataset of "the lakes larger than 1 km² in the Tibetan Plateau (v3.1) (1970–2022)" (Zhang et al., 2019) provided by the National Tibetan Plateau Data Center (<http://data.tpdc.ac.cn/>).

3 Results

3.1 Model Performance

The cross-validation of 1000 runs demonstrated that the mean values of the three statistical indicators, i.e., bias, root-mean-square error (RMSE), and coefficient of determination (R²) were -0.01 °C (±0.11 °C), 0.71 °C (±0.13 °C), and 0.48 (±0.14), respectively. Figure 3 shows the scatterplot depicting the relationship between the predicted and observed MAGT_{15m} values. The fitted line is close to the 1:1 line, with a bias and RMSE of 0.01 °C and 0.73 °C, respectively, indicating a close agreement

with the cross-validation results. The predictions exhibited slight underestimations at high MAGT_{15m} values, while overestimations at lower ones.

Multilinear regression analysis revealed that the contribution of FDD to the MAGT_{15m} prediction was highly significant ($p<0.001$), whereas those of the TDD, MAP, and BD were significant ($p<0.01$). However, the contributions of the remaining five factors (DEM, SCD, SOC, CF, and NDVI) were insignificant. Overall, at the plateau scale, the ground surface temperature (especially for FDD), precipitation, and soil bulk density contributed the most to MAGT_{15m}, whereas the other environmental and climate factors modified this influence at the regional scale.

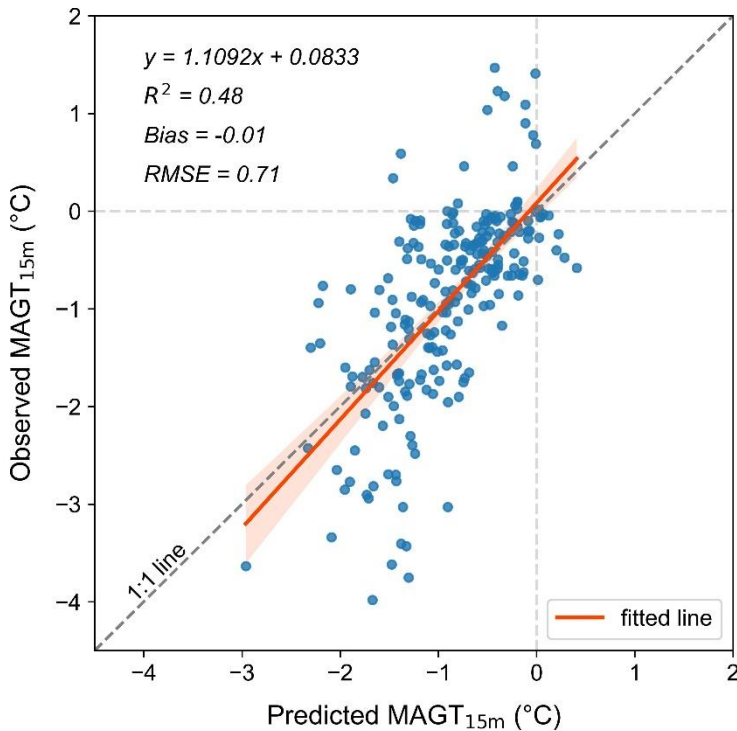


Figure 3: Relationship between predicted and observed mean annual ground temperatures at 15 m depth (MAGT_{15m}) in permafrost regions on the Qinghai-Tibet Plateau during 2010-2019.

3.2 Distributive Characteristics

3.2.1 General distribution characteristics of MAGT_{15m}

Figure 4 illustrates the spatial distribution patterns of the predicted MAGT_{15m} on the QTP for the period 2010-2019. Overall, the MAGT_{15m} exhibited a southeastward increasing trend. Regions with the lowest MAGT_{15m} (depicted as dark blue in Fig. 4) were primarily located in the high mountain regions of the western QTP, e.g., the western Kunlun, Karakoram, and western Himalaya mountains (as displayed in Fig. 1). Regions with lower MAGT_{15m} values were predominantly found in the central

Kunlun, Tanggula, and Qilian mountains of the northern QTP. $MAGT_{15m}$ increased south- and eastward, with high values concentrated in the majority of the Yangtze and Yellow rivers source areas, as well as the southern areas of the endorheic lakes basin. In other high mountainous regions, such as the Altun, Gangdise, eastern Himalayas, and Nyainqêntanglha mountains, $MAGT_{15m}$ lowered rapidly over short distances due to the steep mountainous terrain.

The regions with negative $MAGT_{15m}$ values covered an area of $1.36 \times 10^6 \text{ km}^2$ (excluding glacier and lake areas), accounting for approximately 44.0% of the total QTP area. The average $MAGT_{15m}$ was $-1.85 \pm 1.58 \text{ }^\circ\text{C}$, and 90% of the $MAGT_{15m}$ values ranged from -5.1 to $-0.1 \text{ }^\circ\text{C}$. The zoning statistics of $MAGT_{15m}$ indicated that the area with extremely low values was relatively small. The area with $MAGT_{15m}$ below $-5 \text{ }^\circ\text{C}$ was $0.07 \times 10^6 \text{ km}^2$, accounting for only 5.1% of the regions with negative $MAGT_{15m}$. The areas with $MAGT_{15m}$ below $-3 \text{ }^\circ\text{C}$ was $0.25 \times 10^6 \text{ km}^2$, representing 18.1% of the regions with negative $MAGT_{15m}$. The areas for the $MAGT_{15m}$ ranges of -3 to $-2 \text{ }^\circ\text{C}$, -2 to $-1 \text{ }^\circ\text{C}$, and -1 to $0 \text{ }^\circ\text{C}$ were 0.24 , 0.38 , and $0.49 \times 10^6 \text{ km}^2$, accounting for 17.8%, 28.0%, and 36.1%, respectively.

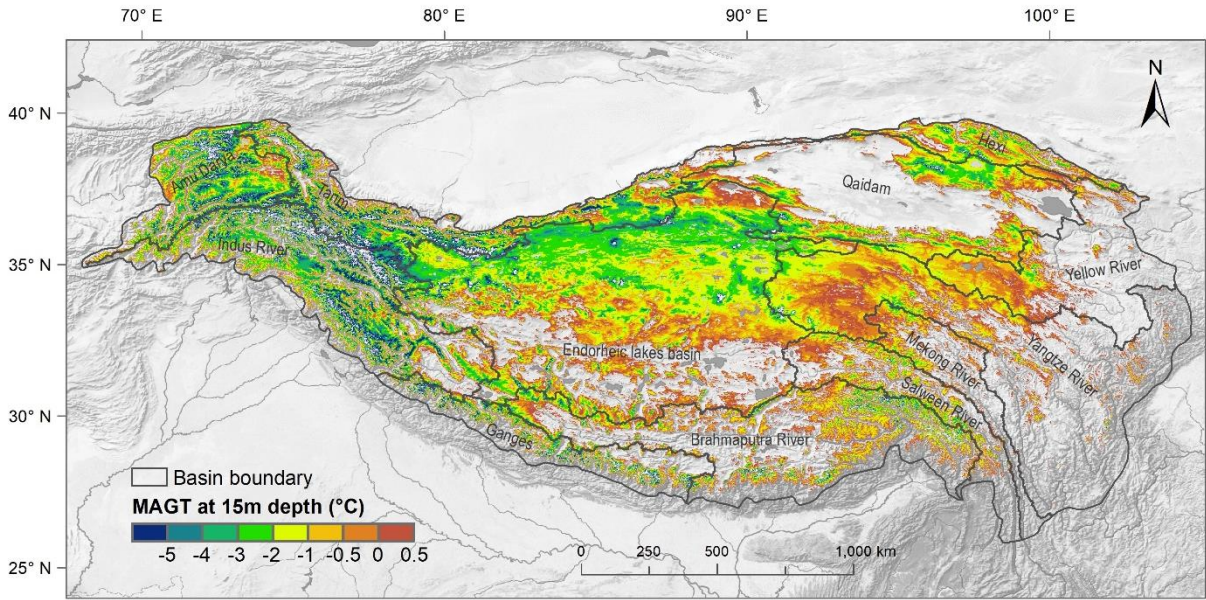


Figure 4: Spatial distribution of predicted mean annual ground temperatures at the 15m depth ($MAGT_{15m}$) across the Qinghai-Tibet Plateau during 2010-2019.

The three-dimensional ground thermal states across the QTP were investigated based on the predicted $MAGT_{15m}$. Figure 5a illustrates the distribution of the $MAGT_{15m}$ at different elevations and the percentage of area. Area analysis reveals that approximately 90% of the $MAGT_{15m}$ values fell in the elevation range of 3840 to 5570 m a.s.l. Generally, $MAGT_{15m}$ demonstrated a decreasing trend as elevation increased, and the variability in $MAGT_{15m}$ was more pronounced at higher elevations and less significant at lower elevations. Meanwhile, the lapse rate of $MAGT_{15m}$ was relatively low at lower elevations and increased as the elevation rises.

The MAGT_{15m} in the west-to-east longitudinal transect increased **monotonously** (Fig. 5b). The western regions of the plateau exhibited the lowest MAGT_{15m} values (e.g., approximately -3 °C in the longitude range of 72 to 78°E), whereas the easternmost areas had the highest values (approximately -1°C to the east of 100°E). Concurrently, the amplitude of the temperature variations gradually declined **with the eastward rising** MAGT_{15m}. Figure 5c illustrates the MAGT_{15m} trend in the latitudinal transect. The regional average MAGT_{15m} was generally stable at approximately -1.5 °C from 28°N in the Himalayas to 33°N in the Tanggula Mountains. **MAGT_{15m}** decreased slightly from 33°N northwards, and the average was lower than in areas south of 33°N. The lowest latitudinal MAGT_{15m} values occurred near 36°N, primarily in the **regions of Kunlun and Karakoram mountains**.

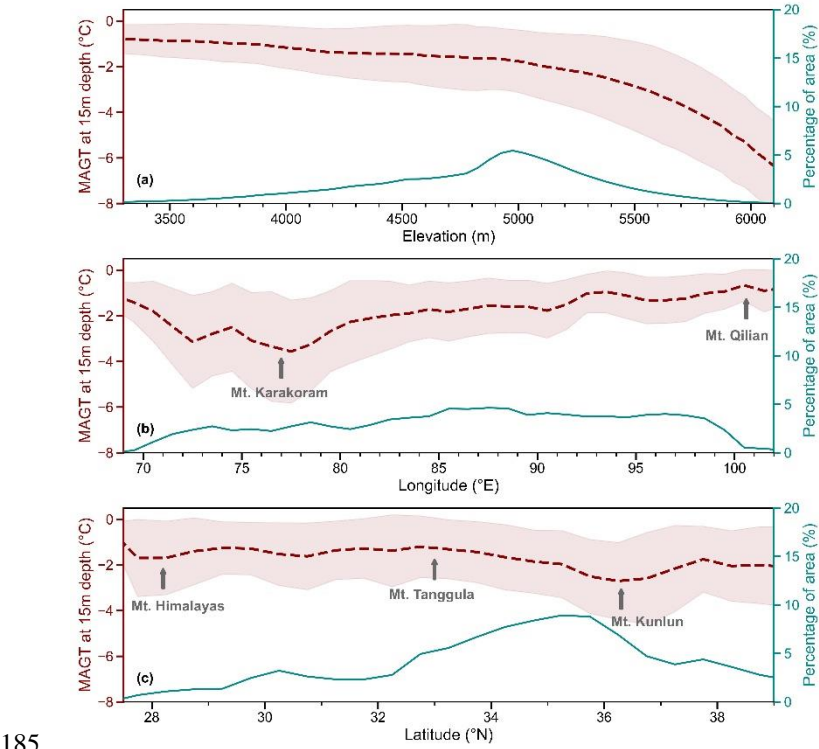


Figure 5: Variations of mean annual ground temperature at 15m depth (MAGT_{15m}) along elevation (a), longitude (b), and latitude (c) transects on the Qinghai-Tibet Plateau (the dashed red line represents the mean MAGT_{15m}, and the light-red shaded area indicates its standard deviation; the cyan dashed line shows the areal percentage).

Due to the predominant mountainous and **high-plateau** terrain of the QTP, we utilized slope as a topographical indicator to assess the spatial distribution characteristics of the MAGT_{15m}. Considering the slope distribution pattern within the study areas, we aggregated the slope gradients into four classes: flat (slope < 2°), gentle (2° to 8°), moderate (8° to 17°), and steep (> 17°). Statistical analysis reveals that the percentage of area (PA, %) of flat, gentle, and moderate slopes was 16.2%, 25.8%, and 20.5%, respectively. Regions with steep slopes comprised a significant portion, with a PA of 37.5% (Fig. 6a). Overall, the

average $MAGT_{15m}$ exhibited a decreasing trend as the slope increased, indicating that lower $MAGT_{15m}$ values were more prevalent in areas with steeper slopes (e.g., high mountainous regions of the QTP).

Additionally, we analyzed the distribution of the four slope classes in three $MAGT_{15m}$ intervals: low (< -3 °C), medium (-3 to -1.5 °C), and high (> -1.5 °C) (Fig. 6b). The areas of low-, medium-, and high-temperature regions were 24.5 , 41.6 , and 69.5×10^4 km², accounted for 18.1%, 30.7%, and 51.2%, respectively. Most of the $MAGT_{15m}$ values in the low-temperature interval were concentrated in regions with steep slopes and accounting for 60.6% of the total area. The areas of moderate slopes account for 21.4%, and the combined areas of flat and gentle slopes represented only 18.0% of the total. The areas of flat, gentle, moderate, and steep slopes accounted for 17.9%, 29.5%, 19.5%, and 33.1% in the medium-temperature interval and for 19.6%, 27.7%, 20.7%, and 32.0% in high-temperature interval, respectively, indicating similar proportions. Moreover, the areas of flat and gentle slopes were predominant in the medium- and high-temperature intervals, representing more than half of the total area in each interval. Topographically, $MAGT_{15m}$ values in high- and medium-temperature intervals (i.e., $MAGT_{15m} > -3$ °C) occurred substantially in areas with flat and gentle slopes, whereas low-temperature regions were rare on these slopes.

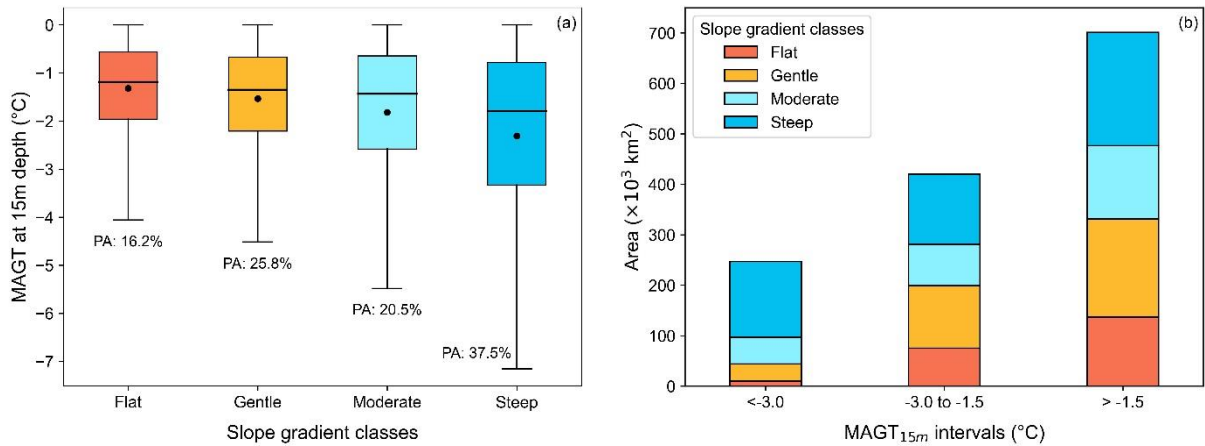


Figure 6: Mean annual ground temperature at 15 m depth ($MAGT_{15m}$) for different slope gradients on the Qinghai-Tibet Plateau during 2010-2019 (PA: percentage of area).

3.2.2 Regional distribution characteristics of $MAGT_{15m}$

To explore the spatial variability in different regions, we conducted the zonal statistics of the $MAGT_{15m}$ for 12 primary river or lake basins on the QTP (Fig. 7). Figures 7a and 7b show the box plots and cumulative plots of the three temperature intervals (<-3 , -3 to -1.5 , and >-1.5 °C) of $MAGT_{15m}$ in these basins, respectively. Figure 7 is organized primarily from west to east based on the location of the basins, showing an overall increasing trend in $MAGT_{15m}$, consistent with the longitudinal profile results (Fig. 5b).

The lowest $MAGT_{15m}$ values were observed in the **headwater areas** of the Amu Darya, Indus, and Tarim **river basins** in the western QTP, with average $MAGT_{15m}$ values of -2.69°C , -2.93°C , and -2.80°C , respectively. These three basins also exhibited the largest standard deviations, ranging from ± 1.89 to $\pm 2.11^{\circ}\text{C}$. Low $MAGT_{15m}$ values occurred throughout these basins, with areas of $MAGT_{15m} < -3^{\circ}\text{C}$ comprising 37.7% to 42.4% and those $< -5^{\circ}\text{C}$ comprising 12.0% to 17.0% of the total basin area.

220 Another region with low **$MAGT_{15m}$** and high **variability** was the Ganges River basin on the **southern** QTP. The mean $MAGT_{15m}$ was $-2.30 \pm 1.84^{\circ}\text{C}$, and areas of $MAGT_{15m} < -3$ and $< -5^{\circ}\text{C}$ comprised 31.4% and 10.1% of the total basin area, respectively.

The average **$MAGT_{15m}$** in the **endorheic lakes** basin was -1.73°C , higher than **that** in the western basins, with a small standard deviation of $\pm 1.17^{\circ}\text{C}$. This result indicates minimal spatial **variability** in **$MAGT_{15m}$** , despite the basin being the most extensive permafrost area of the QTP. Few low $MAGT_{15m}$ values were observed in this basin, accounting for only 12.1% and 1.4% of

225 areas with $MAGT_{15m} < -3$ and $< -5^{\circ}\text{C}$, respectively. The Brahmaputra River basin exhibited the highest mean $MAGT_{15m}$ among the western and central QTP basins, reaching $-1.31 \pm 1.21^{\circ}\text{C}$.

The six river basins **on** the eastern QTP generally exhibited higher **$MAGT_{15m}$** with smaller fluctuations. The Qaidam and Hexi basins **on** the northeastern QTP had lower $MAGT_{15m}$ values of $-1.59 \pm 1.19^{\circ}\text{C}$ and $-1.44 \pm 1.11^{\circ}\text{C}$, respectively. **On** the southeastern QTP, the Salween and Mekong **river** basins exhibited $MAGT_{15m}$ values of $-1.09 \pm 0.93^{\circ}\text{C}$ and $-0.91 \pm 0.80^{\circ}\text{C}$,

230 respectively. These basins had few areas with **$MAGT_{15m} < -3^{\circ}\text{C}$** , with only 0.4% and 0.2% of the area falling below this threshold. Conversely, a substantial proportion had **$MAGT_{15m} > -1.5^{\circ}\text{C}$** , at 73.2% and 80.3%, respectively. The $MAGT_{15m}$ values were slightly higher eastward in the Yangtze and Yellow **river** basins, with averages of $-0.92 \pm 0.74^{\circ}\text{C}$ and $-0.80 \pm 0.61^{\circ}\text{C}$, respectively. The standard deviations in these basins were below 1°C . Less than 0.2% of the area had **$MAGT_{15m} < -3^{\circ}\text{C}$** , whereas the majority had **$MAGT_{15m} > -1.5^{\circ}\text{C}$** , accounting for 81.8% and 87.9%, respectively.

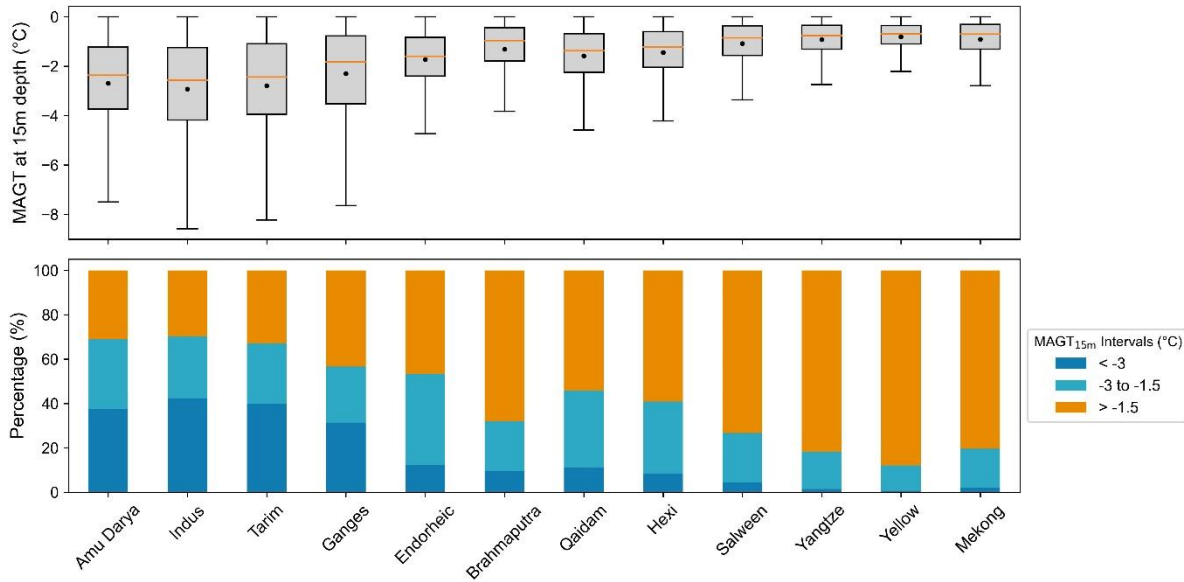


Figure 7: Distribution (a) and percentage of area in three intervals (b) of MAGT at 15 m depth (MAGT_{15m}) in 12 basins of the Qinghai-Tibet Plateau during 2010-2019.

4 Discussion

The SVR method exhibited more scattered predictions in the low-temperature range compared to the high-temperature range, as illustrated in Figure 3. While performing well in the high-temperature range with slight overestimations, the SVR method may potentially result in some degree of underestimation in regions with lower temperatures. This variability could be attributed to the scarcity of MAGT_{15m} observations with colder temperature. Notably, most of the MAGT_{15m} observations utilized in this study were obtained from the eastern QTP regions, particularly the source area of the Yangtze and Yellow river, which are characterized by relatively higher MAGT_{15m} values. Additionally, the analysis suggests that the vertical lapse rate of the MAGT_{15m} increased with rising elevation. Hence, future monitoring efforts should prioritize colder permafrost areas at higher elevations to ensure adequate representation in the study area.

We considered negative MAGT_{15m} as an indicator of permafrost and estimated the permafrost area as approximately 1.36×10^6 km² (excluding glacier and lake areas), accounting for about 44.0% of the total QTP. This finding broadly aligns with previous studies based on MAGT_{DZAA} reporting permafrost extents of approximately 1.30×10^6 km² by Wang et al. (2020) and 1.32×10^6 km² by Zhao et al. (2024). The discrepancies may arise from differences in data samples. Our study benefited from integrating MAGT_{15m} records from 231 boreholes, providing a more comprehensive dataset in terms of spatial coverage and quantity, ensuring the reliability of our prediction. Furthermore, the estimated permafrost area within the Chinese territory of the QTP was approximately 1.11×10^6 km², comparable to values derived from the temperature at the top of the permafrost (TTOP) model (1.06 to 1.09×10^6 km²) (Zou et al., 2017; Cao et al., 2023). Differences in measurement depths and temperature values

255 between $MAGT_{DZAA}$ and $MAGT_{15m}$ may have contributed to slight variations in permafrost area. Additionally, permafrost may still persist in areas where $MAGT_{15m}$ exceeds 0°C . Statistical analysis reveals that the areas with $MAGT_{15m}$ within the ranges of $0-0.1^{\circ}\text{C}$ and $0-0.2^{\circ}\text{C}$ cover approximately $0.05\times 10^6\text{ km}^2$ and $0.10\times 10^6\text{ km}^2$, respectively.

Based on the classification criteria established by $MAGT_{DZAA}$, the permafrost can be categorized into cold ($\leq -1.0^{\circ}\text{C}$) and warm ($> -1.0^{\circ}\text{C}$) permafrost (Wu et al., 2010). Using the predicted $MAGT_{15m}$ data, we analyzed the distribution characteristics of permafrost on the QTP based on this classification. Cold permafrost was the dominant type, covering 63.7% of the permafrost regions, while warm permafrost accounted for 36.3% during the period from 2010 to 2019. The modes of permafrost degradation vary depending on ground temperature regimes (Jin et al., 2006; Wu et al., 2010). The cold permafrost tends to be more prevalent in steep alpine regions in the QTP, as depicted in Figure 6. These areas typically exhibit thin surface sediment layers and shallow burial depths of bedrock. These characteristics indicate that the degradation of cold permafrost on the QTP is primarily involves increasing ground temperatures, particularly in regions with limited ground ice. In areas with ice-rich permafrost, the slope effect may contribute to an increased occurrence of thaw slumps (Luo et al., 2022). Notably, a significant portion of the warm permafrost area on the QTP is located on flat and gentle slopes, where ice-rich permafrost is often found (Zou et al., 2024). Rapid permafrost thawing in these areas may increase surface deformation, resulting in ecological evolution (Jin et al., 2021), hydrological imbalance (Walvoord and Kurylyk, 2016), and engineering stability (Ma et al., 2011). Of particular concerns are the headwater areas of Yangtze and Yellow river basins, where warm permafrost is predominant, making these regions highly susceptible to the impacts of short-term permafrost thaw.

The gridded $MAGT_{15m}$ data generated in this study represents the average values in the period from 2010 to 2019, providing valuable boundary conditions for future permafrost dynamics in the QTP. For instance, this data can be leveraged in conjunction geothermal datasets to more accurately estimate permafrost thickness. Moreover, the depth-fixed $MAGT$ baseline can serve as a robust data for validating numerical model simulations of long-term changes in permafrost temperature. These validations are crucial for enhancing our understanding of QTP permafrost responses to environmental drivers and climate change. Additionally, the $MAGT_{15m}$ data offers critical insights for understanding geological processes and ecosystem dynamics, thereby supporting related studies in the QTP permafrost regions.

5 Data availability

280 The gridded data generated by this study is publicly available and can be downloaded at the National Tibetan Plateau Data Center (TPDC) (<https://doi.org/10.11888/Cryos.tpd.c.301165>, Zou et al., 2024). The data in GeoTIFF format can be used with GIS software.

6 Conclusion

This study produced gridded data of $MAGT_{15m}$ during 2010-2019 at a spatial resolution of nearly 1 km in permafrost regions on the QTP. Regions with negative $MAGT_{15m}$ covered 1.36×10^6 km² (excluding glacier and lake areas), or 44.0% of the QTP area. The average $MAGT_{15m}$ was -1.85 ± 1.58 °C, with 90% of values in the range of -5.1 to -0.1 °C and 51.2% higher than -1.5 °C. The FDD was the most significant predictor of $MAGT_{15m}$, followed by TDD, MAP, and BD. Across the QTP, $MAGT_{15m}$ exhibited a monotonous eastward increase, a slight northward decrease, and an accelerated upward decrease. Lower $MAGT_{15m}$ values were more prevalent in alpine areas with steep slopes. Areas with flat and gentle slopes accounted for approximately half of both the medium (-3.0 to -1.5 °C) and high (-1.5 to 0 °C) $MAGT_{15m}$ intervals. The lowest $MAGT_{15m}$ values (-2.9 to -2.7 °C) were observed in the headwater areas of the Amu Darya, Indus, and Tarim river basins of the western QTP. Conversely, the Yangtze and Yellow river basins exhibited the highest $MAGT_{15m}$ (-0.9 to -0.8 °C), and more than 80% of areas were warm permafrost regions. Our gridded $MAGT_{15m}$ dataset can serve as a valuable resource for further studies on characteristics of elevational permafrost at greater depths of the QTP, particularly for estimating permafrost thickness.

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