



Reprocessing of XBT profiles from the Ligurian and Tyrrhenian seas over the

time period 1999-2019 with full metadata upgrade

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10 Abstract

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principles (Wilkinson et al., 2016).

11 The advent of open science and the United Nations Decade of Ocean Science for Sustainable Development are revolutionizing the ocean data sharing landscape for an efficient and transparent ocean information and 12 knowledge generation. This blue revolution raised awareness on the importance of metadata and community 13 14 standards to actionate interoperability of the digital assets (data and services) and guarantee that data driven science preserve provenance, lineage and quality information for its replicability. Historical data are frequently 15 16 not compliant with these criteria, lacking metadata information that was not retained crucial at the time of the 17 data generation and further ingestion into marine data infrastructures. The present data review is an example attempt to fill this gap through a thorough data reprocessing starting from the original raw data and operational 18 19 log sheets. The data gathered using XBT (eXpendable BathyThermograph) probes during several monitoring activities in the Tyrrhenian and Ligurian Seas between 1999 and 2019 have been first formatted and 20 21 standardized according to the latest community best practices and all available metadata have been inserted, including calibration information never applied. Secondly, a new automatic Quality Control (QC) procedure 22 23 has been developed and a new interpolation scheme applied. The reprocessed (REP) dataset has been compared to the present data version, available from SeaDataNet data access portal through the saved query Url 24 https://cdi.seadatanet.org/search/welcome.php?query=1866&query_code={4E510DE6-CB22-47D5-B221-25 7275100CAB7F}, processed according to the pioneering work of Manzella et al. (2003) conducted in the 26 framework of the EU Mediterranean Forecasting System Pilot Project (Pinardi et al., 2003). The maximum 27 28 discrepancy among the REP and SDN data versions resides always within the surface layer (REP profiles are 29 warmer than SDN ones) until 150 m depth, generally when the thermocline settles (from May to November). 30 The overall bias and root mean square difference are equal to 0.002 °C and 0.041 °C, respectively. Such differences are mainly due to the new interpolation technique (Barker and McDougall, 2020), the lack of 31 filtering and the application of the calibration correction in the REP dataset. 32 The REP dataset (Reseghetti et al., 2023; https://doi.org/10.13127/rep xbt 1999 2019) is available and 33

accessible through the INGV ERDDAP server (http://oceano.bo.ingv.it/erddap/index.html), which allows

machine to machine data access in compliance with the FAIR (Findable, Interoperable, Accessible, Reusable)





1 Introduction

38 The open science paradigm boosted the sharing of data through different pathways determining the generation of different versions of the same datasets. This might depend on the timeliness of data delivery, either in Near 39 40 Real Time (NRT) or Delayed Mode (DM), the data center managing the dataset, the data assembly center or 41 the marine data infrastructure collating it. The awareness of the importance of a complete metadata description 42 is increasing among the scientific community since it allows interoperability, traceability of the data lifecycle, 43 transparency and replicability of the knowledge generation process. In particular, some key information is 44 crucial in climate science because it allows to re-analyze historical data, quantifying and reducing 45 uncertainties, which are used to derive accurate scientific knowledge (Simoncelli et al., 2022). 46 The data provider should define the overall quality assurance strategy along with the data lifecycle to guarantee 47 the availability of the best data product, which implies the possibility of reprocessing the dataset according to 48 the state-of-the-art Quality Control (QC) procedures and standards. Data driven research should use the most 49 extensive datasets with complete metadata information passed through a trustworthy QC procedure. These are 50 also basic requirements to guarantee data reusability once the data are made openly accessible. The complete set of metadata assures transparency of the data provenance and avoids the circulation of multiple versions. 51 The integration in global databases of data not compliant with these principles emerged recently for 52 53 measurements gathered in the last century, when the importance of storing data with complete ancillary 54 information was not yet clear. A striking example is provided by the XBT (eXpendable BathyThermograph) probes, the oceanographic instruments that recorded the largest number of temperature profiles in the ocean 55 56 from the 1970s to the 1990s (Meyssignac et al., 2019). The complete metadata information is crucial for quality control, data reprocessing (Cheng et al., 2014; 2018; Goni et al., 2019) and integration with other data types 57 to estimate key ocean monitoring indicators, such as the trend of global ocean heat content (Cheng et al., 2020; 58 59 2021; 2022), one of the most important climate change indicators. According to the literature (Cheng et al., 2016 and 2017; Parks et al., 2022), the crucial metadata information that must be associated with XBT data 60 61 includes probe type and manufacturer, fall rate equation, launch height, and recording system. This information was not mandatory for the data ingestion in the main marine data infrastructure, thus most historical data miss 62 63 it. For example, 50% of XBT profiles in the World Ocean Database (WOD) have no information about 64 manufacturer or probe type, necessitating the application of intelligent metadata techniques to complement it (Palmer et al., 2018; Leahy et al., 2018; Haddad et al., 2022). 65 66 This data review originated from the recognition that the present version of historical XBTs from the Ligurian and Tyrrhenian Seas, available through some main marine data infrastructures, SeaDataNet 67 (https://www.seadatanet.org/), World Ocean Database (https://www.ncei.noaa.gov/products/world-ocean-68 database), Copernicus Marine Service (CMS, https://marine.copernicus.eu/), might differ and have incomplete 69 70 metadata description. Our objective was to recover the raw data together with the full metadata description 71 and secure them to the future generation of scientists for their further use. This awareness raised contemporary to the evolution of open science and FAIR (Findable, Accessible, Interoperable and Reusable) data 72 73 management principles, which motivated us to adopt the latest community standards, Quality Control (QC)





74 procedures, and to implement an ERDDAP server as data dissemination strategy. ERDDAP is an open source 75 environmental data server software developed by NOAA and used throughout the ocean observing community 76 (Pinardi et al. 2019; Tanhua et al. 2019) which allows us to become a node of the present data digital ecosystem, 77 in line with one of the expected societal outcomes ("transparent and accessible" ocean) of the UN Decade of Ocean Science 2021-2030 (Ryabinin et al., 2019; Simoncelli et al., 2022). 78 79 The paper describes the reprocessing of temperature profiles from expendable probes recorded between 1999 and 2019 in the Ligurian and Tyrrhenian seas, most of them from ro-pax (Roll-on/roll-off Passengers) vessels 80 81 operating between the Italian ports of Genova and Palermo within the Ships Of Opportunity Program (SOOP) of the Global Ocean Observing System (GOOS), currently identified as MX04 line. Additional XBT data were 82 83 collected through ancillary monitoring surveys with commercial and research vessels. The dataset contains 84 some XCTD profiles (less than 1%) too. The reprocessed dataset (REP) is obtained from the original raw XBT 85 profiles (the readable output of the DAQs). A correction based on the DAQ calibration (when available) is applied to each temperature recorded value but also provided as separate information, to allow the user to 86 eventually subtract it. Automated QC tests specifically tuned for western Mediterranean basins based on the 87 latest documented QC procedures and best practices to assign a Quality Flag are applied, followed by 88 interpolation of raw profiles at a 1 m in depth. All available information collected during data-taking has been 89 90 added the metadata section, according to the SeaDataNet standards (https://www.seadatanet.org/Standards) and IQuOD (International Quality-controlled Ocean Database, 91 https://www.iquod.org/index.html) recommendations. 92 93 The REP data product allows the user to select from the original profiles to the validated and interpolated ones, filtering on the basis of the required quality level, selecting the Quality Flags (QF) associated with both the 94 95 raw and the interpolated data. Furthermore, the dataset is accessible through the ERDDAP data server 96 (http://oceano.bo.ingv.it/erddap/index.html) installed at INGV which provides a simple and consistent way to 97 download it in several common file formats. 98 This study was conducted in the framework of the MACMAP (Multidisciplinary Analysis of Climate change indicators in the Mediterranean And Polar regions) project (https://progetti.ingv.it/it/progetti-99 dipartimentali/ambiente/macmap) funded by INGV (https://ror.org/00qps9a02) (2020-2024) in technical 100 101 collaboration with ENEA and Grandi Navi Veloci (GNV) shipping company. In fact, the reprocessing of the 102 historical XBTs was preparatory to the automatic validation, management and publication of new XBT data 103 gathered on the MX04 line from September 2021, after two years interruption of the monitoring activity. 104 The paper is organized as follows: Section 2 describes the main characteristics of an XBT system; Section 3 describes the original dataset and the monitoring activities that sustained it; Section 4 describes the 105 106 methodology applied for the automatic QC and the correction derived from calibration; Section 5 is about the 107 results; Section 6 summarizes the main results and draws conclusions; Section 7 describes the REP dataset 108 findability and accessibility.



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2 The XBT system, its accuracy, resolution and uncertainties

112 call for a seawater temperature (T) profiler for military applications. Within a few years Sippican optimized the original probe and marketed different XBT types with specifications suitable for variable depths and ship 113 114 speed. XBTs became very popular within the oceanographic community (Flierl and Robinson, 1977) allowing 115 the gathering of T profiles through the use of commercial vessels and not just research vessels. 116 The XBT system consists of: an expendable ballistic probe falling into seawater; a device (DAQ) that records 117 an electrical signal and converts it into usable numerical data (in combination with a computer unit) and the 118 connection between the falling probe and the DAQ (e.g. Goni et al., 2019 and Parks et al., 2022). The sensing 119 component is an NTC thermistor (mounted inside the ballistic probe), that changes its resistance according to 120 seawater T flowing through the central hole of the probe zinc nose where it is located. Its thermal time constant τ (time needed to detect 63% of a thermal step signal) is in the range 0.080 - 0.130 s (so that five-tau rule 121 122 indicates a time of about 0.5 s to almost fully detect a step temperature change). Technical characteristics required by Sippican for the used NTC thermistor (whose features and performances remained unchanged 123 124 since the early 1960s) put limits on high accuracy measurements with XBT probes. 125 Another essential component is the thin double copper wire which is part of the acquisition circuit and which 126 is unwound by two spools simultaneously (clockwise in the ship and counterclockwise in the falling probe), a technique which decouples the XBT vertical falling motion through the seawater from the translational motion 127 128 of the ship. The non-uniform application of the insulating substance on the wire and its defective winding on one of the spools are the cause of a significant part of faulty or prematurely interrupted acquisitions. 129 130 XBT probes do not house any pressure sensor and the depth associated with a temperature measurement is not 131 measured directly but estimated by a phenomenological Fall Rate Equation (FRE) provided by the manufacturer with coefficients that depend on the probe type and which are valid for the world ocean. The 132 133 software transforms a time series of resistance values provided by the DAQ into a series of depth - T values using first a resistance-to-temperature conversion relationship (identical for all XBT types because it is specific 134 135 for the thermistor used, see Appendix A) and thus applying the coefficients of a FRE specific to each probe. 136 Sippican has preset conservative values for the recording time in its acquisition software but these values can 137 be freely modified in order to use all the wire wound on the probe spools. The first column of Table 1 shows 138 the nominal values and the maximum recorded depth in the same areas for each specific probe type. 139 Each component of an XBT system contributes to the overall measurement accuracy on depth and T values. 140 According to Anderson (1980): "Sippican specifies the system with a stated worst case temperature accuracy \pm 0.2 °C (\pm 0.1 °C for the probe and \pm 0.1 °C for a properly maintained and calibrated recorder... and depth 141 accuracy at ± 15 feet (4.6 m) or $\pm 2\%$, whichever is larger". Recently the IQuOD group (Cowley et al., 2021) 142 143 released a summary of uncertainties on T and depth values of different oceanographic devices, and the reported 144 value for XBTs is in the range 0.1-0.2 °C and slightly depending on the manufacturer and the manufacturing 145 date.

In the early 1960s American engineers, who thereafter founded the Sippican Co. (now part of the Lockheed Martin Co., hereinafter Sippican), developed the first version of today's XBT probes following to a US Navy





146 In Bordone et al. (2020) it was found that the XBT measurements in the Mediterranean below 100 m compared 147 to those of almost contemporaneous and co-located Argo profilers (in order to have a practically unchanged 148 measurand) are warmer by about 0.05 °C and with a value of 0.10°C as the standard uncertainty of XBT 149 measurements after correction obtained by comparing them with Argo profiles and which was later used in the 150 QC as the SD for the XBT values. The first part of the XBT fall is critical and hard to describe: a probe needs a few seconds from when it hits 151 the sea surface to make its motion stable (a few tens of meters, given its falling speed of about 6 ms⁻¹, Bringas 152 153 and Goni, 2015). For this reason, careful data validation in the near surface layer and where the seasonal thermocline occurs (i.e. depths shallower than 100 m in the analyzed basin of the Mediterranean Sea), is 154 155 crucial. Below the thermocline, or in the surface layer in the cold periods (due to homogeneous temperature 156 values), the nominal poor depth accuracy for XBT data does not affect the whole quality too much. 157 The depth resolution depends both on DAQ sampling rate and FRE of the XBT probe. All DAQ models used in this dataset work at 10 Hz (i.e. a sample every 0.1 s, a time interval nearly coincident with the time constant 158 of the NTC thermistor) so that the depth resolution depends on specific FRE with actual values close to 0.6 m. 159 The T reading resolution is usually 0.01 °C when using the standard Sippican software while 0.001 °C is the 160 standard output for Devil/Quoll DAQs and some old Sippican software versions. Throughout the work, three 161 162 decimal digits are always used for T values (both raw and interpolated) and the derived quantities (i.e. vertical gradient). The computer clock (always updated to the UTC value shortly before the start/after the end of 163 164 operations) provides the time coordinate of each profile. The differences recorded with respect to the standard UTC time have always been close to 1 s, which corresponds to the instrument sensitivity, in a time interval of 165 166 167 Sippican's manuals released over the years (e.g. Sippican 1968, 1980, 1991, 2006, 2010 and 2014) and reports 168 (e.g. Sy, 1991; Cook and Sy, 2001; Sy and Wright, 2001; Parks et al., 2022) well describe the best practices 169 for XBT use. The checking of the XBT system with a test canister before and after data collection as well as 170 the complete description of the system characteristics in the metadata is binding for subsequent optimal use of the measurements. The evaluation of the performances of the XBT system during data collection is a needed 171 step to improve the quality. When strip chart recorders were used, a preliminary and accurate calibration of 172 the acquisition unit with a test canister (an integral component of an XBT system, tester hereafter) was 173 mandatory (e.g. Sippican, 1968 and 1980; Plessey-Sippican, 1975) but this good practice has been frequently 174 175 disregarded over the years. This operational procedure has been applied only since July 2010 to the monitoring 176 activity along the MX04 line and to few other subsets of profiles. Based on the improvements described by 177 Reseghetti et al. (2018), a specific correction has been developed and is a key component of the information 178 never used in previous data versions and unlocked in the REP dataset (section 4.3) 179 As for the XCTD-1 probes, there are some differences compared to the XBTs in terms of resolution and 180 accuracy, and a completely different recording circuitry. The manufacturer (the Japanese company TSK) 181 claims an accuracy of 0.02 °C on temperature (a factor of five better than XBTs) and a resolution of 0.01 °C 182 while the estimated uncertainty on the depth is the same as for the XBT probes (i.e. 4.6 m or 2%, whichever



is greater). The sampling frequency is 25 Hz (i.e. a reading of the thermistor resistance value every 0.04 s), and, thanks to a falling speed which is just over half that of the XBT probes, the depth resolution for the model XCTD-1 is of about 0.14 m.

3 The dataset

3757 temperature profiles, collected from September 1999 to September 2019 in operations managed by ENEA (S. Teresa Marine Research Centre, STE thereafter) mainly through the use of commercial ships, are included in the REP dataset. They come from XBT probes, plus a few dozen of XCTDs. Figure 1 shows the XBT profiles temporal and spatial distribution, highlighting their sparseness, mainly influenced by the irregular monitoring activity and data concentration along the MX04 Genova-Palermo line. The vertical data distribution (Figure 1c) is also non-homogeneous due to the local bathymetry, the use of different probe types and the ship speed, which may affect the duration of the data acquisition.

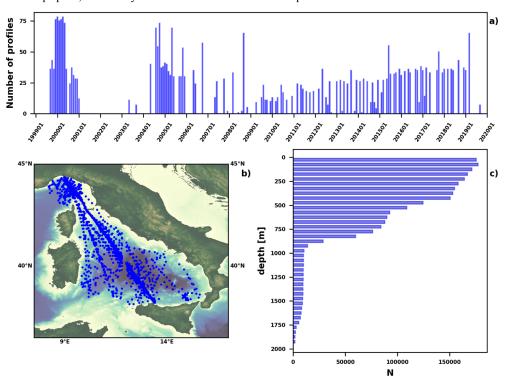


Figure 1 (a) temporal distribution of the REP (reprocessed) XBT profiles; (b) geographical location; (c) vertical distribution in layers of 50 m of depth.

Table 1 shows some of the characteristics of the expendable probes used in this dataset, the FRE coefficients applied to calculate the depth and the weight of the various components of each probe (ZAMAK nose, body and spool in plastic and copper wire, including the total quantity that can unwind from the on-board spool) in order to evaluate the overall quantity of material abandoned at sea caused by the launch of these probes. We





- have no information regarding the various components of the XCTD-1 probes but their nose is made of plastic material. Sippican is the manufacturer of all the used XBT probes as well as the XCTD-1 probes are
- 203 manufactured by TSK Tsurumi Seiki Co. and marketed in Italy by Sippican.
- 204 The profiles were gathered during the following monitoring activities:
- 205 1. SOOP monitoring on the Genova-Palermo MX04 line, which provides the greatest contribution both in terms of campaigns (1999-2000, 2004-2006, 2010-2019) and quantity of profiles;
- 207 2. SOOP monitoring in collaboration with CSIRO (an Australian Government Agency), from 2007 to 2011;
- Sporadic additional SOOP monitoring by ENEA-STE in the Mediterranean (2012-2014);
 - 4. An agreement between ENEA and IIM (Italian Hydrographic Institute of the Navy), (2006 2019);
- 5. An operational collaboration between ENEA-STE and CNR-ISMAR (Lerici), (2000 2017).
- The main characteristics of the vessels and the instrumentation used for the data collection are summarized in Appendix B.
- Table 1 Characteristics of the different probes used: nominal depth suggested (and guaranteed) by Sippican and experienced maximum depth in the Mediterranean; maximum ship speed suggested by Sippican for an optimal drop; coefficients of Fall Rate Equation D(t) = At Bt2 used for depth calculation (provided by the manufacturer or by IGOSS, Hanawa et al., 1995); amount of ZAMAK, copper and plastic for each probe type; number of probes originally considered and those actually included in the dataset.

Probe type	Rated depth (max depth) (m)	Maximum ship speed (knots)	Coeff. A (ms ⁻¹)	Coeff. B (ms ⁻²)	ZAMAK (kg) ± 0.001	Plastic (kg) ± 0.001	Copper (kg) ± 0.002	Original no. profiles	REP dataset
T4	460 (583)	30	6.691	0.00225	0.613	0.052	0.202	1486	1426
Т5	1830 (2272)	6	6.828	0.00182	0.613	0.125	0.357	61	61
T5/20	1830 (2248)	20	6.828	0.00182	0.613	0.125	0.726	197	187
Т6	460 (588)	15	6.691	0.00225	0.613	0.052	0.158	69	69
Т7	760 (977)	15	6.691	0.00225	0.576	0.052	0.240	66	60
DB	760 (962)	20	6.691	0.00225	0.576	0.052	0.294	1828	1747
T10	200 (292)	10	6.301	0.00216	0.613	0.052	0.098	175	172
XCTD-1	1100 (1100)	12	3.425432	0.00047	None	NA	0.440	35	35

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The first SOOP in the Mediterranean Sea (September 1999 - December 2000) started in the framework of the European Mediterranean Forecasting System Pilot Project (MFSPP, Pinardi et al., 2003; Manzella et at., 2003; Pinardi and Coppini, 2010) under INGV coordination to support the development of operational oceanography forecasting activities through the NRT provision of ocean observations. XBT profiles were collected along transects crossing the Mediterranean Sea designed to monitor the variability of the main circulation features





225 (e.g. Fusco et al., 2003; Zodiatis et al., 2005; Millot and Taupier-Letage, 2005a and 2005b). The MX04 line is 226 the only line still active on seasonal basis, thanks to the MACMAP project, using GNV ro-pax (Roll-on/roll-227 off Passengers) ferries which connect daily (just under 20 hours sailing at about 22 knots) Genova (44.40 °N, 228 8.91 °E) to Palermo (38.13 °N, 13.36 °E). 229 Starting from September 1999, 20 campaigns were carried out, in collaboration between CNR-ISMAR and 230 ENEA-STE, with initial monthly monitoring frequency, then every 15 days (December 1999 - May 2000), and 231 again monthly frequency until December 2000. T4 probes (with some T6 probes) were launched at fixed 232 intervals of time (every 30 minutes), corresponding to a sampling distance of about 11 nm. A Sippican MK12 card inserted into the motherboard of a desktop running Windows 98 IIE and with the software set to stop 233 234 acquisition at 460 m depth was used. All the campaigns were carried out using the MV "Excelsior", its route 235 was always the same and almost coincident with track 44 of the altimetric satellites (Vignudelli et al., 2003). 236 After a hiatus of more than 3 years and a campaign in May 2004 to check slightly different operational procedures, monitoring along the MX04 line resumed on a monthly basis from September 2004 to December 237 238 2005 (July and August excluded), with two additional cruises in May and October 2006, for a total of 17 239 campaigns within the EU MFS-Toward Environmental Prediction project (MFS-TEP, Manzella et al. 2007; Pinardi and Coppini, 2010). The ships (always GNV vessels) followed a route with marginal differences 240 241 compared to the previous one due to the introduction of nature conservation limitations in the Tuscan archipelago. In November 2004, February and December 2005 the route was significantly different due to bad 242 243 weather and sea conditions. The campaigns were planned to travel as close as possible to the passage date of the Jason-1 altimetric satellite along track 44. T4 and DB XBT probes were usually deployed (with a few 244 XCTD-1 and some T6) and the sampling distance was variable from 8 to 12 nm. After a few months, the DAQ 245 246 (a Sippican MK21 ISA) showed a small degradation and an evident "noise" appeared in the recorded profiles 247 even with excellent operating conditions. Unlike MFS-PP, the acquisition software was set to use all the wire 248 available on the probe spool (i.e. 600 m for T4 and 1000 m for DB probes). 249 Monitoring on MX04 resumed in July 2010, managed directly by ENEA-STE and until January 2013 was widely variable both in terms of frequency and sampling distance (due to the uncertainty in the supply of XBT 250 probes). A regular sampling scheme was then adopted with a launch every 10' of latitude (corresponding to 251 252 11-12 nm depending on the ship's course), excluding the archipelago of Toscana, with five to six annual 253 repetitions, following the same path as in 2004-2006 (excluding February 2013 and April 2014 because of bad 254 weather and sea conditions). From June 2015, the ships moved to a more westerly route in the northern part of the transect crossing the Corsica Channel (this allows monitoring of the water exchange between the 255 256 Tyrrhenian Sea and the Ligurian Sea) to rejoin the previous one around at latitude 39°N. The number of drops 257 at fixed positions increased to thirty-seven, mainly DB probes while other XBT types were used in particular 258 areas due to the reduced bathymetry (T10) or with interesting deep thermal structures (T5/20). 259 A short SOOP activity in collaboration with CSIRO was completed between December 2007 and March 2011 (19 campaigns) using containerships from Hapag Lloyd (namely "Canberra Express", "Stadt Weimar" and 260 "Wellington Express") and CMA CGM ("CMA CGM Charcot") shipping companies, operating between 261





- 262 Northern European ports and Australia. These campaigns were characterized by irregular frequency
- throughout the year, a very high launching platform (at least 25 m over the sea level or more) and a sampling
- distance between 20 and 35 nm. XBT launches began near the Egadi Islands (west of Sicilia) and terminated
- in the Corsica Channel, following a path halfway between the MX04 transect and the island of Sardegna.
- 266 CSIRO installed a Turo Devil DAQ on each vessel while ENEA-STE only provided the DB probes.
- 267 Some additional XBT profiles (mainly DB type) were gathered in the Ligurian Sea between May 2012 and
- 268 March 2014 on board the GNV ship "Excellent" (in 5 campaigns) and in 2014 two different cruises using a
- 269 Sippican MK21 USB onboard the container ship "Daniel A" from the Turkish shipping company ARKAS.
- 270 From 2006 to 2019, 10 campaigns were carried out in collaboration between ENEA and IIM, using the ships
- 271 "Ammiraglio Magnaghi", "Aretusa" and "Galatea", collecting a total of about 200 profiles using different XBT
- types, deployed from different heights and using different DAQs.
- 273 Finally, an operational collaboration between ENEA-STE and CNR-ISMAR allowed to carry out 29
- 274 campaigns between 2000 and 2017 using vessels managed by the CNR (mainly RV "Urania", but also RV
- 275 "Minerva Uno" and "Ibis"), gathering several hundred profiles with different XBT probe types deployed from
- 276 different heights and recorded using four different Sippican DAQ units.

4 Methodology

- 278 Specific QC procedures for XBT profiles in the Mediterranean Sea were first developed by Manzella et al.
- 279 (2003) within the MFS-PP project and later improved in Manzella et al. (2007). Temperature observations in
- 280 the Mediterranean Sea, due to its thermohaline circulation, water masses characteristics and large temperature
- variability, might present peculiar features like thermal inversions or zero thermal gradient in areas of deep
- water formation, thus necessitating regional tuning of QC tests. The prior QC procedures included: detection
- 283 of profile's end, gross range check, position control, elimination of spikes, interpolation at 1 m intervals,
- Gaussian smoothing, general malfunctioning control, comparison with climatology and final visual check by
- 285 operator. Some additional constraints were applied: elimination of the initial part of each profile (the first
- acceptable value is at 4 m depth, following the standard international procedure), allowed temperature values
- 287 within the 10-30 °C interval, maximum temperature inversion of 4.5 °C in the 0-200 m layer, 1.5 °C below
- 288 200 m, and 3 °Cm⁻¹ as maximum thermal gradient. This QC has not been applied to the data released in NRT
- through the GTS (Global Telecommunication System, https://community.wmo.int/en/activity-areas/global-
- 290 <u>telecommunication-system-gts</u>) but only to the data made available in DM through the SDN infrastructure
- 291 (available through the relative saved query from the SDN CDI data access portal at
- https://cdi.seadatanet.org/search/welcome.php?query=1866&query_code={4E510DE6-CB22-47D5-B221-
- 293 7275100CAB7F}). The raw data for the GTS dissemination were provided to NOAA and in the early 2000s
- the profiles were also heavily sub-sampled due to the low bit rate satellite system provided by Argos, the basic
- 295 GTS data transmission system (Manzella et al., 2003). These different dissemination channels contributed to
- the existence of several versions of the same profile in different blue data infrastructures (i.e. WOD, SDN).





297 A new automated QC procedure, written in Python and structured as a package, has been implemented in the 298 framework of the MACMAP project starting from the original raw XBT profiles, considering the scientific 299 progress made in the field in the last two decades and the full metadata information available. The aim was 300 twofold: first to secure the best version and most complete dataset for further use to the scientific community; 301 secondly to implement an automated QC workflow for the seasonal XBT campaigns started in September 2021 302 thanks to the MACMAP project. This also allowed to refine and standardize the quality assurance procedures 303 on board of the vessels to record all ancillary information in a pre-defined format and minimize the impact of 304 different operators on the data quality. The calibration correction, detailed in section 4.3, has been added, when available, to the raw data before the QC analysis. However it is provided as a separate variable associated with 305 306 each XBT profile and the user can eventually remove it. None of the original profiles has been eliminated but 307 integrated with quality indexes, with the exception of those repeated during data taking and those less than 50 308 m deep due to problems during acquisition. A final visual check has also been performed using ODV software (R. Schlitzer, Ocean Data View, https://odv.awi.de/, 2023) which highlighted the presence of anomalous 309 310 behavior in some T profiles that the implemented automatic QC tests could not detect. Some examples will be 311 discussed in Section 5 (Figure 10). The REP dataset has been written in ODV format and imported as ODV 312 collection, which contains interpolated temperature profiles and corresponding quality flags of each profile, 313 together with spatio-temporal details, profile name and ship name. This visual check suggested assigning to each profile a general QF, choosing between these two options: 1) excellent indicating all QC done and 2) 314 315 mixed indicating some problems, with comments to warn the user about the anomalous features.

4.1 Basic automated Quality Control procedure

- 317 The XBT raw profiles have been QCed using a sequence of independent tests, checking for invalid information
- 318 on geographic characteristics and for known signatures of spurious measurements. Results of each test are
- 319 recorded by inserting the relative flag to the corresponding measurement according to the scheme shown in
- Table 2, while Figure 2 provides an example of the QC tests applied to a profile.
- 321 The independent QC tests are described hereafter.

322 Position on land check

- 323 The profile position should be located at sea, thus latitude and longitude of each profile is checked against
- 324 gridded GEBCO bathymetry (GEBCO Compilation Group, 2022) on a 15 arc-second interval grid to determine
- 325 if it is located on land or not: if the "height" is negative it is lower than sea level, and it is flagged as GOOD,
- 326 otherwise is flagged as BAD.

327 Depth check

- 328 The depth values of each XBT profile are compared to the *last good depth* value provided by the operator.
- 329 Depth values are flagged as GOOD if they are shallower than it otherwise they are flagged as BAD. The
- 330 corresponding local bottom depth extracted from GEBCO and the nominal rated depth by the manufacturer
- are not used but annotated in the metadata to facilitate further analysis by expert users.





Table 2 Summary of the automated QC tests and the assigned exit values to each measurement within a profile.

Test #	Check	Description	Exit value	Exit value description
1	Position control	Function to detect incorrect longitude and latitude values	49/52	49 profile is at sea; 52 profile is on land.
2	Depth	Function to detect depth values out of extreme depths. The reference depth is the depth indicated by the operator.		49 depth is below reference depth values; 52 depth is above reference depth values
3	Gross range check	Function to detect T values out of ranges in Table 3	49/52	49: T inside the range 52: T is out of range
4	Surface	Function to flag the first 4 meters considering as reference std=0.1 and its growing	.,	49: Good 50: Probably Good 51: Probably bad 52: Bad
5	vertical gradient	Function to detect stuck values, decreasing and increasing values according to gradient value and considering only the values that passed the previous checks		56: stuck value 57: negative gradient out of threshold 57#: negative gradient out of threshold in successive iteration (#) 58: positive gradient out of threshold 58#: positive gradient out of threshold in successive iteration (#)
	wire break/stretch	Function based on vertical gradient check to identify wire break on shipside or on probe-side	61	61: wire break/stretch
7	Spike detection	Function to detect spike considering the median, media and thresholds s_k in Table 4	59	59: spike if T3-median(T1,T2,T3,T4,T5) $!=0$ and T3-mean(T1,T2,T3,T4,T5) $> s_k$
8	High Frequency spiking	Function to identify feature in the profile like critical drops	60	60: critical drop

Gross range check

It applies a gross filter on observed temperature considering T thresholds that vary on 5 vertical layers, as reported in Table 3. T thresholds have been defined analyzing the seasonal T distribution in 4 sub-regions displayed in Figure 3: 1) the Ligurian Sea; 2) the Northern Tyrrhenian Sea; 3) the South-West Tyrrhenian Sea; 4) the South-East Tyrrhenian Sea. The domain subdivision is based on the mean circulation features at 15 m and 350 m depth, computed from the Mediterranean Sea reanalysis (Simoncelli et al., 2014) data over the time period 1999-2018 (Figure 3). A detailed description of the circulation is out of scope here but its main features are detailed in Pinardi et al. (2015) and von Schuckmann et al. (2016, section 3.1).

Surface check

The XBT measurements close to the sea surface are usually considered unreliable and thus excluded from further analysis (e.g. Bailey et al., 1994; Cowley and Krummel, 2022), due to reaching stability in motion and thermal adaptation to the surrounding environment. It is preferred that the user is provided all the original measurements by adding a test that analyzes the measurements in the surface layer and annotating the resulting quality information in the ancillary variables or quality flags. The proposed test chooses as reference the value recorded at time t = 0.6 s (the first value currently considered acceptable), calculates the differences between this value and shallower measurements and classifies them using the standard uncertainty on temperature attributable to an XBT probe $(0.10~{}^{\circ}\text{C})$ as a metric. In detail, the temperature differences $T(t_{0.6})$ - $T(t_i)$, with $(0.0 \le t_i \le 0.5)$ s are calculated and the QF is assigned as follows:



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- GOOD if $|T(t0.6)-T(ti)| \le 1*std$;
 - PROBABLY GOOD if $1*std < |T(t0.6)-T(ti)| \le 2*std$;
- PROBABLY BAD if $2*std < |T(t0.6)-T(ti)| \le 3*std$;
- BAD if |T(t0.6)-T(ti)| > 3*std.

The flag GOOD means a value indistinguishable from the record at t = 0.6 s while PROBABLY GOOD defines an excellent compatibility. The PROBABLY BAD and BAD flags simply indicate a difference greater than

358 the established threshold with respect to the reference value at t = 0.6 s.

Inversion and gradient checks

This test is performed to detect unrealistic T oscillations with abrupt T reversals or unusually large T gradients. The vertical gradient is defined as the difference between vertically adjacent measurements, $Tz=(T_2-T_1)/(Z_2-Z_1)$, where T_2 and T_1 are temperatures at depths Z_2 and Z_1 , with level 2 being deeper than level 1. This test is applied three times iteratively discarding values that failed the test in the next iteration. The acceptable T gradient ranges (Table 3) have been defined through a statistical analysis in 5 vertical layers and 4 sub-regions (Figure 3) through an approach that blends expert decisions with statistical support. Due to the spatial (horizontal and vertical) and temporal sparseness of the data, the 0.01% and 99.99% quantiles have been computed in the 5 layers considering: 1) the whole dataset; 2) the 4 sub regions; 3) the entire domain but for 4 seasons. The thresholds are the absolute minimum 0.01% quantile and maximum 99.99% quantile deriving from the three cases. The thresholds of the two deepest levels are from case 1, the upper layer uses values from case 2 and the second and third layers use the results of case 3.

Table 3 Temperature and thermal gradient thresholds defined in 5 layers.

Layer	Temperature (°C)		Vertical Gradient (°Cm ⁻¹)		
0-100 m	12.000	30.000	-3.400	0.613	
100-250 m	12.500	17.900	-0.317	0.244	
250-450 m	12.700	15.500	-0.156	0.170	
450-1000 m	13.100	14.800	-0.133	0.137	
1000-2300 m	13.100	14.000	-0.094	0.090	

Wire break/stretch

Results of inversion and gradient checks are used to identify sharp variations toward negative values, indicating that the copper wire breaks on shipside, or toward high values (close to 35 °C or more), when the wire breaks on probe-side where there is often a progressive increase in temperature values rather than a step transition to full scale.

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Spike detection

This test looks for single value spikes and it checks T measurements for large differences between adjacent values. A spike is detected by computing the median value (Med_k) in a 5 points interval (3 m approximately) with the profile value at the central point of the interval (T_k) . The spike is detected and the consequent flag is applied if T_k is not equal to Med_k and the difference (s_k) between T_k and the mean (Ave_k) in the chosen interval is greater than a threshold value.

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$$Med_k = median(T_{k-2}: T_{k+2})$$
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$$Ave_k = mean(T_{k-2}: T_{k+2})$$
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$$s_k = T_k - Ave_k , c_k = T_k - Med_k \neq 0$$

The spike threshold values have been defined for the entire region in 5 vertical layers as the 99.9% quantile of the s_k distribution and they are reported in Table 4. Figure 3a shows the probability distribution of s_k values with c_k not equal to zero in 5 layers. s_k distribution is characterized by large values above 80 m that diminish with depth, as the temperature variability does. The s_k scatter plot (Figure 3b) shows its values along the water column, with the red dots highlighting the values over the selected thresholds.

Table 4 Spike detection threshold defined in 5 vertical layers.

Layer	spike threshold (°C)		
0-80 m	0.236		
80-200 m	0.085		
200-450 m	0.054		
450-900 m	0.050		
900-2300 m	0.022		

High Frequency Noise

It helps to identify critical T drops in the profile (such as large T differences over a large depth) by checking continual spiking over a wide range of depths (Cowley and Krummel, 2022). In case of continual spikes, values before and after a chosen interval (4 m approximately, i.e. 7 points) are tested considering the same acceptable range of T inversion and gradient as in the *inversion and gradient checks* and flagged as bad if they are out of the ranges.



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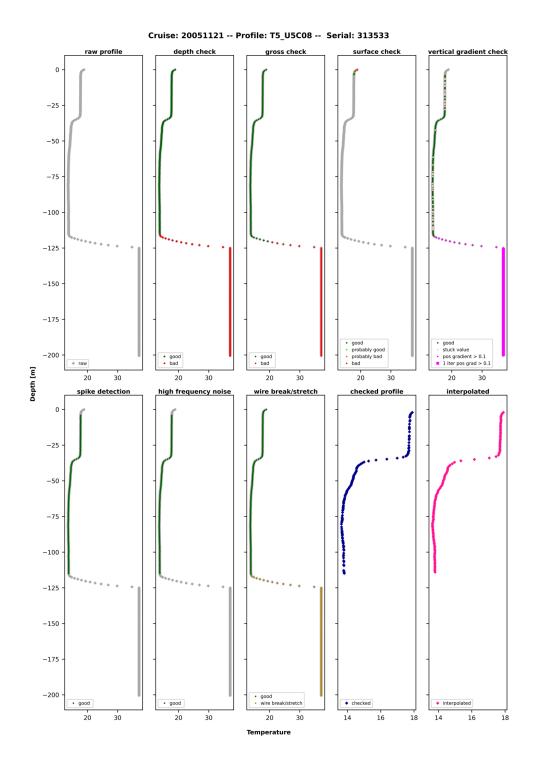


Figure 2 Example of the quality flags generated by the automatic QC tests (Table 2) applied to a temperature profile. The raw profile is at the top left and the final interpolated profile is at the bottom right.





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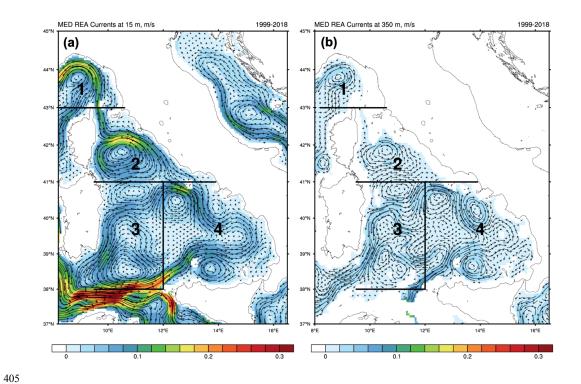


Figure 3 Maps of the mean circulation computed from the Mediterranean Sea reanalysis dataset (Simoncelli et al., 2014) at (a) 15 m and (b) 350 m.

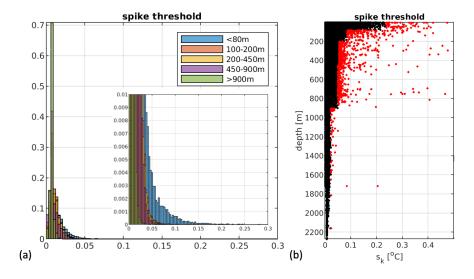


Figure 4 (a) Distribution in terms of probability of the spike threshold (s_k) in 5 layers with a zoom probability below 0.1%. (b) Vertical distribution of the spike threshold with indication in red the values above the 99.99% quantile.



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4.2 Mapping QC test outcome to standard Quality Flags

Each basic QC test assigns a corresponding exit value or label to each original depth and T record (Table 3) within the vertical profile and their mapping to QFs is necessary to allow the user to filter the original data according to the quality requirements for the intended use. The QFs adopted, whose labels and corresponding definition are reported in Table 5, have been selected from the SDN Common Vocabulary (IOC, 2013; IOC, 2019; https://www.seadatanet.org/Standards/Common-Vocabularies). The QF (Table 5) associated with each original T measurement or depth value summarizes the results of the performed automatic tests and it is stored in the dedicated ancillary variable (TEMPET01 FLAGS QC or DEPTH FLAGS QC).

Table 5 The Quality Flags (QF) selected from the SeaDataNet Common Vocabulary (IOC, 2013; IOC/UNESCO, 2019) assigned to the reprocessed XBT data.

id	label	definition
1	good value	Good quality data value that is not part of any identified malfunction and has been verified as consistent with real phenomena during the quality control process
2	r	Data value that is probably consistent with real phenomena but this is unconfirmed or data value forming part of a malfunction that is considered too small to affect the overall quality of the data object of which it is a part
3	nrobably bad value	Data value recognised as unusual during quality control that forms part of a feature that is probably inconsistent with real phenomena
4	bad value	An obviously erroneous data value
8	interpolated value	This value has been derived by interpolation from other values in the data object.

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- The general rule adopted for both depth and T QF is the following:
 - GOOD (QF=1) where all the tests pass;
 - BAD (QF=4) where at least one of the checks fails.

For T, we decided to use a higher level of detail, introducing also "probably good" (QF=2) and "probably bad" (QF=3) flags, when it's needed, since surface and inversion/gradient tests can provide more information on profile behavior. After applying general rule for GOOD and BAD flags, we consider the flags coming from the two mentioned tests and we update the flags as follows:

- PROBABLY GOOD (QF=2) if the surface test returns a "probably good" flag;
- PROBABLY BAD (QF=3) if the surface and/or the inversion test returns a "probably bad" flag.

Only measurements that have associated T and depth QFs equal to 1 or 2 have been used for the interpolation at each meter depth. A relative QF associated to the interpolated profile has also been generated in order to label ("interpolated value", QF=8) when there is a gap of more than 5 consecutive points in the original profile, which coincides with the number of points used to detect spikes (~3 m).





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4.3 Calibration of the XBT system and correction

2014 (cruise 18) large anomalies occurred for unknown reasons.

437 As previously highlighted, checking with a tester provides an assessment of the efficiency of an XBT system. For example, Reseghetti et al. (2018) showed a notable improvement in the accuracy of XBT values compared 438 439 to measurements from a reference instrument (a CTD) in dedicated field tests when tester control correction 440 was applied. 441 The testers used here (built with high-performance resistors) have two reference temperatures (see Appendix 442 A for details). Once a tester is connected to an XBT system in a simulated drop, the tester's measurement 443 reading indicates how the XBT system's reading differs from nominal values at both temperatures. These 444 differences, which can be constant or variable over the time interval of data acquisition, can then be used to 445 correct the values of the XBT profiles. 446 The performance of the XBT system used was verified only for some subsets of the REP dataset. Since July 447 2010, a two-point tester has been used during cruises on the MX04 line with an initial check immediately 448 before the first drop and a final one after the last profile. Additional checks were performed whenever 449 computers or DAQ had failures. The differences measured at the reference temperatures at the start/end of each MX04 cruise are shown in Figure 5a, while their drift during a cruise is in Figure 5b. It is well evident 450 451 that the values vary marginally and slightly over the time, but only in September 2013 (cruise 14) and June

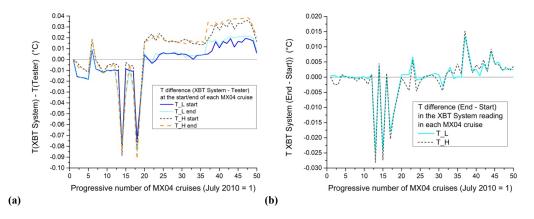


Figure 5 (a) Temperature difference (XBT System-Tester) obtained from the checks at the reference temperatures before starting and at the end of each MX04 cruise. (b) Difference between initial and final measurement with the tester during the same cruise at the reference temperatures.

4.3.1 Correction Algorithm

The measurements with a tester are used to correct the T values of each XBT profile of a campaign under the assumption that the difference between the initial and final tester readings at reference temperatures varies linearly over time from the beginning to the end of the campaign. The reference values are obtained by calculating the average resistance value over the last 30 consecutive recorded values at each temperature in





- the simulated drop (i.e. 3 seconds of acquisition, with a sampling frequency of 10 Hz) and then converted into
- T values (for details, see Appendix A). The differences between the nominal temperatures and the read values
- are linearly interpolated as a function of the time elapsed since the first launch to calculate their hypothetical
- 466 value in correspondence with the k-th XBT probe during the campaign. In case of a single-point tester, a
- 467 constant correction k-th is added to each value of the XBT profile k-th; while in case of two-point tester, the
- 468 i-th correction is obtained by a further linear interpolation, based on the differences at upper and lower
- temperatures of this tester.
- 470 Notation:
- N is the number of XBT probes deployed during the campaign;
- T₊ and T₋ nominal upper and lower temperature on the tester;
- $\Delta T_{+,i}$, $\Delta T_{+,f}$ initial and final temperature difference at the value T_+ ;
- ΔT_{-i} , ΔT_{-f} initial and final temperature difference at the value T_{-i} ;
- t_i , t_f initial and final time of the XBT drops (usually, t_i is set to 0);
- t_k time elapsed from the initial check with the tester, which is assumed to be coincident with the first
- 477 XBT drop $(1 \le k \le N)$;
- T_{+,k} and T_{-,k} theoretical upper and lower temperature that the tester should read at the k-th drop.
- 479 These last values can be calculated as
- 480 $T_{+,k} = T_{+,i} + \Delta T_{+,k}$ and $T_{-,k} = T_{-,i} + \Delta T_{-,k}$
- where the estimated difference at upper and lower reference T corresponding at the k drop are:

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$$\Delta T_{+,k} = -\left[\Delta T_{+,i} + \left(\frac{\Delta T_{+,f} - \Delta T_{+,i}}{t_f - t_i}\right)(t_k - t_i)\right] \text{ and } \Delta T_{-,k} = -\left[\Delta T_{-,i} + \left(\frac{\Delta T_{-,f} - \Delta T_{-,i}}{t_f - t_i}\right)(t_k - t_i)\right]$$

The so calculated contributions are combined in the correction term for the specific k-th XBT:

$$\Delta T_{corr,k} = \left(\frac{\Delta T_{+,k} - \Delta T_{-,k}}{T_{\perp} - T_{-}}\right) \left(T_{read,k} - T_{-}\right) + \Delta T_{-,k}$$

and then added the original value $T_{read,k}$ recorded by the DAQ:

$$T_{corr,k} = T_{read,k} + \Delta T_{corr,k}$$

- $T_{corr,k}$ is thus the value that best represents the actual seawater temperature measured by the k-th XBT probe
- assuming that the calculated correction (based on the initial and final measurements provided by the tester) is
- 489 the best way to describe how the XBT system operates when the k-th probe was crossing the water column
- 490 and measuring. Obviously, $\Delta T_{corr,k}$ cannot say anything about the quality of the measurement due to the
- 491 characteristics of the k-th probe ("hot" or "cold" probe, or possible troubles during the acquisition).
- 492 When the calibration is available, the correction calculated in this way has been applied to the raw data prior
- 493 to the QC analysis but it is also provided as a separate variable so that the user might decide to remove it. This
- 494 correction must absolutely not be applied to the profiles from XCTD-1 probes because their acquisition circuit
- 495 works in a completely different way and the shipboard DAQ simply acts as a data receiver and does not play
- an active role in the measurement.





4.4 Vertical interpolation

498 Three interpolation methods were tested: linear (LI), RR (Reiniger and Ross, 1968) and MR-PCHIP (Barker and McDougall, 2020). The goal is to select the most conservative method, i.e. the one that provides the closest 499 500 interpolated T values to the original reading. The original measurements of each XBT profile were subsampled, 501 discarding half of the measurements then used as control values against the newly interpolated ones to calculate 502 differences and Root Mean Square Differences (RMSD) and therefore evaluate the best interpolation method 503 for our dataset. 504 Original values have been interpolated with the three methods on the control depth levels and the resulting T 505 estimates have been compared with the measured ones. Figure 6 shows an example of an observed profile with 506 highlighted control levels (magenta), the interpolated profile with the three considered methods and the relative 507 differences (interpolated-original). Figure 6a presents an example of the large T differences that occur between 508 interpolated and measured values (0.4 °C or -0.2 °C) along the thermocline at about 35 m. Figure 6b shows a step-like profile below 600 m depth where the differences are very small, less than 0.02 °C, but they can 509 510 slightly increase and differ among the three methods where T vertical gradients occur. 511 Mean bias and RMSD have been computed in vertical bins (766) of 3 m thickness and the obtained metrics profiles are displayed in Figure 7, associated with their relative vertical data distributions. These metrics have 512 513 been computed for the whole dataset and for two separate time periods: from June to November (when the 514 thermocline is well developed) and from December to May (when the water column is more homogeneous). The mean bias in Figure 7 presents values in the range (-0.001,+0.001) °C, the interval halves from December 515 516 to May whereas it practically doubles (-0.002,+0.001) °C from June to November. The maximum RMSD when considering all profiles is about 0.04 °C, it halves from December to May while it is close to 0.06 °C from June 517 to November. Except for the "mixed" plot, the maximum RMSD values are associated with LI and RR methods 518 519 but we note that RMSD < 0.01 °C for the three methods below 100 m depth. The total RMSD on the entire water column has been summarized in Table 6 for the three time periods and 520 521 the surface layer above 100 m. In fact, the total bias estimated is zero for the three methods and the three time periods, while the total RMSD is 0.011 °C for LI, 0.011 °C for RR and 0.010 °C for MR-PCHIP, while in the 522 surface layer the values are 0.023 °C, 0.021 °C and 0.019 °C respectively. The maximum RMSD values usually 523 524 occur during the stratified period (Jun-Nov) with values equal to 0.013 °C for LI, 0.012 °C for RR and 0.011 525 °C for MR-PCHIP, that in the surface layer become 0.030 °C, 0.027 °C and 0.023 °C, respectively. 526 The computed metrics in vertical bins present very small values, much lower than the nominal accuracy 527 associated with the measurements of an XBT system (0.2 °C). However, the absolute differences in the surface layer when the thermocline settles can be larger than 0.2 °C as in Figure 6. The MR-PCHIP interpolation 528 always presents the smallest error for the analyzed dataset (Table 6) with respect to the reference values, thus 529 530 it has been applied to the REP dataset.



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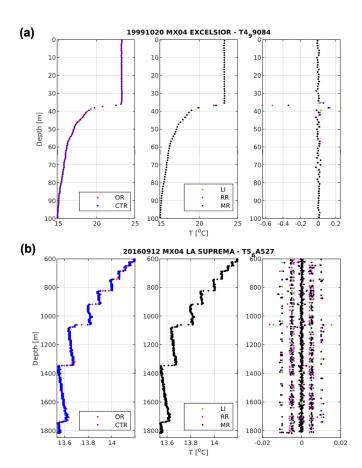


Figure 6 Temperature profiles in the surface layer 1-100 m (a) and in the deep layer 600-1800 m (b): (left) magenta dots represent the control records; (middle) interpolated temperature values with linear LI (linear), RR (Reiniger and Ross, 1968) and MR-PCHIP (Barker and McDougall, 2020); (right) differences between the interpolated and measured T values.

Table 6 Summary of the computed metrics from the three interpolation methods: linear (LI), RR and MR-PCHIP Temperature RMSD [°C] have been computed in the entire water column and in the surface layer (0-100 m) from the whole dataset (All) and in two time periods December-May (mixed) and June-November (stratified).

RMSD	LI	RR	MR-PCHIP	
All	0.011	0.011	0.010	
0-100 m	0.023	0.021	0.019	
Dec-May	0.010	0.010	0.010	
0-100 m	0.014	0.014	0.013	
Jun-Nov	0.013	0.012	0.011	
0-100 m	0.030	0.027	0.023	



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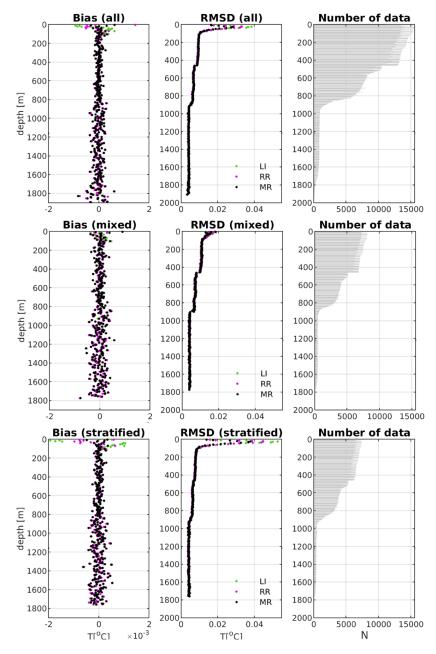


Figure 7 Profile of mean bias (left) and RMSD (middle) computed from profiles interpolated on selected depths and compared to the corresponding measured values considering the three methods: linear (LI), Mr-PCHIP (MR) and Reniger and Ross (RR). Three different time spans are shown: (top) the whole dataset; (middle) from December to May; (bottom) from June to November. (right) Vertical data distribution in 3 m bins.

5. Results





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According to Good et al. (2023) any automatic QC test produces a percentage of True Positives (TP, correctly 546 547 detected erroneous data) and False Positives (FP, incorrectly detected erroneous data) and the general aim 548 would be to maximize the TP (correct flagging) rate and minimize the FP (incorrect flagging) rate. 549 The new automatic QC procedure has been deeply tuned by visual check to reach an optimal TP/FP rate. In 550 specific, efforts have been made to tune the vertical gradient and spike thresholds, tuned by quantiles analysis, 551 to maximize the detection of erroneous data and minimize to flag as BAD data the GOOD ones. This was 552 particularly tricky for the vertical gradient test which detected 121 profiles with out of bounds values, but 28 553 of them appeared FPs (FP/TP rate of 23%) from visual check. In fact, the strong seasonal stratification of the 554 Mediterranean Sea and the presence of several water masses in different water layers might cause the incorrect 555 flagging as BAD of GOOD data, as shown in Figure 8b,d. This makes the vertical gradient test non-optimal 556 for the Mediterranean Basin with a high FP rate, thus a very small percentage associated with the quantiles 557 have been selected to minimize this. The spikes test instead is much more effective (331 profiles with detected spikes of which 11 are FPs), 558 559 providing a low FP/TP rate (3.3%). Figure 9 shows example profiles with true spikes (a) and wrong spikes (b), 560 mainly marked at the start of the thermocline 561 However, some profiles present anomalous features that automatic QC procedure could not detect. The decision was to add a flag associated with the whole profile indicating the depth range where unrecoverable 562 563 problems happened or began. The indispensable premise is the knowledge of the main physical characteristics of the water masses present in the analyzed region. In fact, the very small value of the Rossby radius (≤ 11.5 564 km irregardless of the season) and the occurrence of repeated and well-documented thermal inversions must 565 566 always be considered when the quality of the T profiles is analyzed. Step-like structures ("staircases") are also typical of the southern Tyrrhenian Sea, explained usually in terms of the double diffusion process (Meccia et 567 568 al. 2016; Durante et al., 2021).

The application of a series of QC algorithms to detect erroneous values is not capable of catching all of them.

572 adequate and complete protection of the insulating substance along its entire length are essential to guarantee 573 good quality of the recorded data. For example, most profiles from XBTs launched from ships traveling at low 574 speed (i.e. v < 15 knots) are generally less affected by significant electrical disturbances, even in the presence 575 of non-zero wind. Unfortunately, the ships used on the MX04 line (from which most of the REP profiles belong) have a standard speed close to 22 knots and this makes the acquisition conditions vulnerable. The XBT 576 profiles from containerships also have a lower quality due to the usually very high launch position (h > 25 m), 577 which also makes the probe depth in the initial measurements provided by software questionable (Bringas and 578 579 Goni, 2015). An XBT, during acquisition, due to the electric current present in the copper wire, acts as an 580 antenna sensitive to all electromagnetic phenomena occurring in the atmosphere in a region close to the

Sometimes, the meteorological conditions and a non-accurate knowledge of the bathymetry can make the

expert validation of XBT profiles difficult, but their extreme variability can also be ascribed to multiple

instrumental and operational factors. In every XBT drop, the correct unwinding of the wire from both spools,





launching position and on the ship. The occurrence of atmospheric events (thunderstorms with lightning), even at a relative distance from the ship, can have a non-negligible impact on the "cleanliness" of the recorded signal, same as the proximity to on-board instrumentation producing significant electromagnetic fields and whose operation is random. The physical parameter measured by the XBT system is the electrical resistance, which has two components: one is from the wire and the other from the NTC thermistor which falls through the water column. Gusts of wind combined with turbulence produced by the ship hull can produce "whiplash" on the copper wire and badly influence the shape of the profiles collected with particularly unfavorable wind conditions.

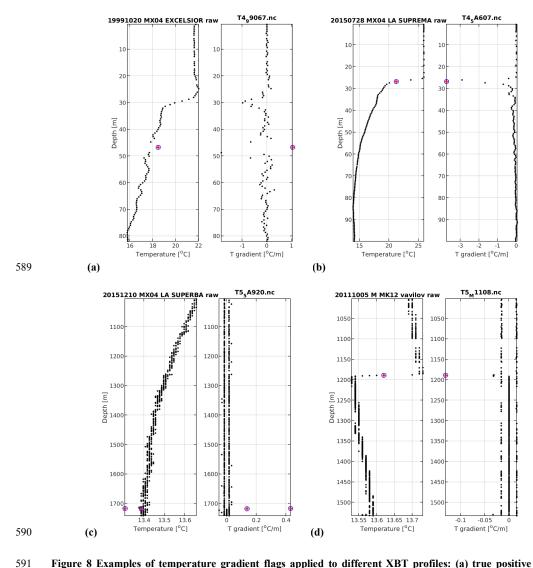


Figure 8 Examples of temperature gradient flags applied to different XBT profiles: (a) true positive vertical gradient anomaly in the surface layer; (b) true positive vertical gradient anomaly in the surface layer; (c) true positive vertical gradient anomaly in the bottom layer; (d) false positive vertical gradient anomaly in the bottom layer.



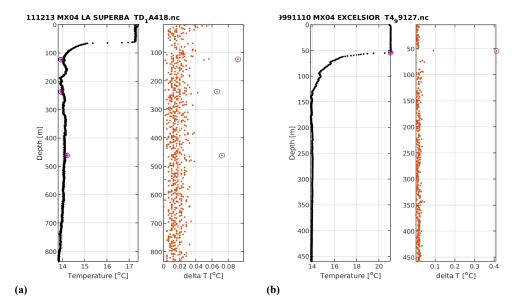


Figure 9 Examples of spikes detected in two different XBT profiles: (a) true spikes; (b) false spike at the start of a steep thermocline. The orange dots in the right panels of (a) and (b) indicate the estimated value of the s_k parameter having c_k not equal to zero.

A difficult task has been how to identify these external influences that induce "oscillations" in the shape of T profile, as in the examples of Figure 10 c-d-e, and how to annotate it in the metadata. Some other anomalous "thermal structures", compared to what is expected in a certain period, region and depth layer are shown in Figure 10 a-b and f (anomaly around 400 m depth in the blue profile and at 550 m in the green one). The "visual check" carried out by the expert allows in some cases to highlight notable deviations in the shape and/or values of a profile compared to adjacent ones. The probability of having the same type of "anomalous" structure recorded by two adjacent XBT probes in time and space is considered negligible, favoring the occurrence of something "physical" instead of non-optimal functioning of a specific probe. Sometimes the initial "BAD" attribution to anomalous structures was subsequently reviewed by the comparison with adjacent profiles that present something similar.

5.1 Validation with SeaDataNet version

A significant part of the XBT profiles included in this dataset have been systematically disseminated through the SDN infrastructure and can be accessed from the data access portal through the saved query Url https://cdi.seadatanet.org/search/welcome.php?query=1866&query_code={4E510DE6-CB22-47D5-B221-7275100CAB7F}). Alternatively, they can be found in the Mediterranean aggregated dataset product (Simoncelli et al., 2020a) in which they are integrated with other data types (CTDs, bottles, MBTs, profiling floats). This data product has been further validated in the framework of the SeaDataCloud project (https://www.seadatanet.org/About-us/SeaDataCloud), as described in Simoncelli et al. (2020b).



The SDN XBT dataset, extracted from Simoncelli et al. (2020a) is considered here as a benchmark to highlight the main effects of the proposed data reprocessing. Bias and RMSD profiles have been computed from 3104 matching profiles with a vertical data distribution shown in Figure 11. Since SDN profiles do not have the calibration correction, we have computed the separate metrics with and without the correction applied. The black dots represent all matching profiles, green dots represent the profiles without correction and the red dots have the correction applied.

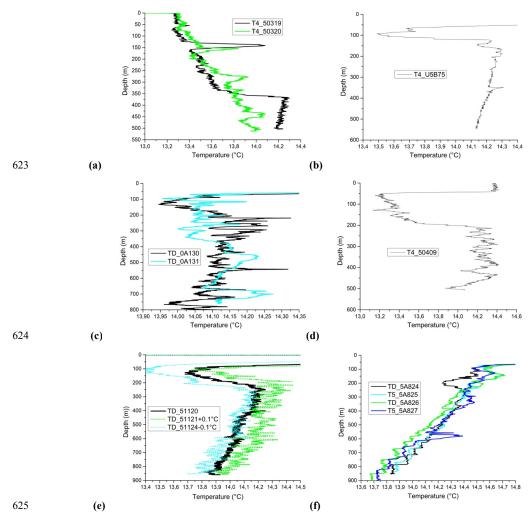


Figure 10 Examples of profiles with critical features. Remark: the axes ranges are different and selected to highlight some peculiar structure. The name of the selected profile(s) is shown in the legend.

The maximum discrepancy among the two data versions resides always within the surface layer until 150 m depth. The maximum bias and RMSD reach approximately 0.05 °C and 0.2 °C respectively, which might imply potential significant changes in downstream applications. The bias is larger (~0.06 °C) when estimated from profiles without correction and slightly smaller (~0.04 °C) from non-corrected profiles, while the largest



RMSD derives from profiles with the correction applied, indicating that the correction slightly increases on average the REP temperature values and consequently the positive bias. The REP profiles are warmer than SDN ones in the surface layer and below 900 m, while between 150 m and 800 m both metrics are small and quite constant. The overall mean bias and RMSD are equal to 0.002 °C and 0.041 °C, respectively. The sharp reduction in the number of observations available below about 900 m depth could affect the shape of both BIAS and RMSD profiles. Such differences are mainly due to the new interpolation technique, the lack of filtering, the application of the calibration correction in the REP dataset, and in very few cases, the use in SDN of wrong FRE coefficients or the incorrect probe type assignment which can produce a change of the depth values.

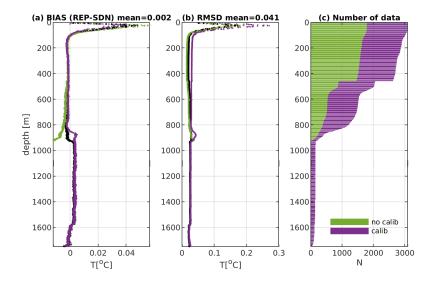


Figure 11 Comparison between the reprocessed (REP) and the corresponding SeaDataNet (SDN) profiles at each meter depth: (a) Bias mean profile; (b) RMSD profile and (c) cumulative vertical data distribution which shows the relative contribution of profiles with calibration an profiles without calibration to the total.

Figure 12 shows an example of matching REP and SDN profile and the relative differences at each meter of depth (a) with a zoom in the surface (b) and bottom layers (c), where the largest differences occur. During the stratified period, the largest differences reside in the thermocline and can exceed 1.5 °C, while in the bottom layer the frequent step-like shape of the profile, due to double diffusion processes (Meccia et al. 2016; Durante at al., 2021), explains the positive average bias in Figure 11a.

In the SDN dataset, the interpolation of raw profiles at each meter depth has been combined with the application of a Gaussian filter to reduce possible noise (Manzella et al., 2003 and 2007). Consequently, a general smoothing of T profiles is observed, which is appreciable to remove/reduce unrealistic high frequency oscillations, if needed, but it also affects the values of the whole profile. The main effect is that the shape of thermal structures is smoothed out, more or less evidently depending on the recorded T gradient.



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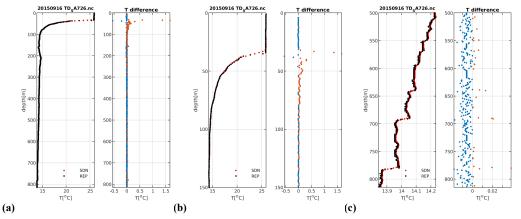


Figure 12 Example of a reprocessed (REP) profile and the corresponding SeaDataNet (SDN) one: (a) whole profiles on the left and relative difference profile on the right; (b) zoom in the surface layer $0-150 \, \text{m}$ (c) zoom in the bottom layer $500-800 \, \text{m}$.

6. Summary and Conclusions

This work presents the reprocessing of XBT profiles in the Ligurian and Tyrrhenian Seas over the time period 1999-2019. The added value of this analysis is the availability of the original raw data and all the metadata from the operational manual notes. This allowed us to create the most complete dataset possible with metadata accompanying each individual T profile. The surface measurements have been added with quality indication and a correction from calibration has been applied, when available, to T values (generally in the range 0.01-0.02 °C), representing the best estimate of the thermal offset due to the operating XBT system characteristics. A new automatic QC procedure and a new vertical interpolation (Barker and McDougall, 2020) have been implemented without the application of any filter that: on one side, removes unrealistic high frequency oscillations, and on the other, it smooths out the thermal structure of the T profiles with main impact on the surface layer during stratified conditions. The adoption of a Gaussian filter from Manzella et al. (2003, 2007) was justified by the purpose of assimilating XBT profiles in the Mediterranean Forecasting System that in the early 2000s was characterized by a much lower resolution compared to the present numerical model capabilities. The REP dataset gives researchers the most complete information for its re-use for different applications (assimilation in ocean and climate models, process and climate studies). It can also be used to test new OC algorithms or the order on which to apply them to further improve the data quality. The adoption of FAIR data management principles through the use of SeaDataNet standards and the dissemination strategy based on the ERDDAP server implementation are additional values of this effort, allowing its machine to machine access. XBTs are a 60-year-old technology. Though the quality of their measurements might not fit the purpose of all applications and they leave debris in the ocean, "XBTs provide the simplest and most cost-efficient solution for frequently obtaining temperature profiles along fixed transects of the upper ocean" (Parks et al., 2022) using ships of opportunity. Moreover, the XBT measurements along the MX04 track were for some periods





682 among the few measurements recorded in the Tyrrhenian and Ligurian Seas. Despite the limitations of the 683 XBT characteristics, they constituted the simplest way to verify the physical state of the upper layer of those 684 basins. It is therefore very important to provide those profiles with the best quality and usability indications. 685 For this reason, the MX04 line has been re-established in the framework of the MACMAP project after a twoyear break on a seasonal base for climate monitoring. 686 687 In recent years, the use of XBTs has also been criticized because all probe components fall to the seabed. Given the current MACMAP sampling strategy with 37 launches in a fixed and determined position, the 688 689 quantity of material abandoned at sea for each campaign can be easily estimated (about 22 kg of ZAMAK, just over 2 kg of plastic and about 11 kg of copper wire). If the XBT probes were made with other materials (e.g., 690 691 iron "nose" and biodegradable plastic components), it would certainly be better. However, in a cost-benefit 692 balance, the environmental impact due to the collection of profiles included in this dataset is exceeded by the 693 scientific results. The use of XBTs allows the planning of monitoring surveys with only 24-36 hours advance notice, along a specific path including coastal areas, using merchant vessels. The MX04 transect has provided 694 a series of tomographic-like "thermal images" along essentially the same path since September 1999, 695 monitoring the area over the years and the occurrence of transient events and their evolution to be analyzed. 696 697 Finally, the deployment of the XBT probes described here did not contribute to additional emissions of CO2 698 and other atmospheric pollutants, because only commercial vessels were used and in the case of research vessels, the launch of the XBT probes was ancillary to the primary activities for which the campaign was 699 700 planned.

7. Data Availability and FAIRness

702 The management of the REP dataset has been conceived since the beginning to be compliant with the FAIR 703 (Findable, Interoperable, Accessible, Reusable) data management principles (Wilkinson et al., 2016) and the 704 open science paradigm. The REP dataset (Reseghetti al.. 2023: https://doi.org/10.13127/rep xbt 1999 2019) is available and accessible through INGV (Bologna) ERDDAP 705 server (http://oceano.bo.ingv.it/erddap/index.html), which allows machine to machine data access and gives 706 707 to the users the possibility to select among several download formats. The raw data with complete metadata 708 description and the interpolated data after data QC are released together with all the processing information in 709 order to facilitate data reuse. The format and the standards adopted for the dissemination of the REP dataset 710 are described in detail in Appendix C. The ODV collection of the REP interpolated dataset, used for the visual 711 check, is also available on request.

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Author contribution

SS conceptualized the work, FR curated the original data (collecting a significant portion of it), CF developed the QC software, under the methodology supervision of SS, FR and LC. GR prepared the correction from the calibration of DAQs. CF manages and curates the reprocessed dataset. SS, FR and CF prepared the manuscript with contributions from GR and LC.





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Competing interests

- 720 S. Simoncelli is a member of the editorial board of the journal. Co-authors declare that they have no conflict
- 721 of interest.

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Appendix A

Characteristics of test canisters

While in the laboratory, it is easy to have environmental conditions constant and under control for measurements, in the field, this is only an aspiration of the operators. Furthermore, repeated operation in conditions of high temperature, humidity and salinity certainly does not facilitate the proper functioning of the 756 electronic instrumentation. The DAQ in an XBT system should read (within the uncertainties of the measurements) the nominal value of a resistance showing no changes in its reading over time because it is an essential component to get good quality XBT measurements. The use of a tester with high quality resistors is the preferred method to verify this. Between 2007 and 2010, two testers were built using very high precision resistors (model KOA-Speer RN73r1jttd1002b10) combined in such a way as to achieve corresponding T values similar to the extreme ones measured in the marine regions under investigation. The resistance values of both testers were checked each year with a Wavetek Datron 1281 8.5 digits multi-meter in a laboratory of the INFN (Italian National Institute of Nuclear Physics) in Milan (room temperature always in the range 20-24 °C during measurements). The reading remained stable (within 0.1 Ohm) over the period 2008-2019 for the former and 2010-2015 for the latter.

Table A1 - The resistance values measured in the control tests with the corresponding temperature values calculated by a Hoge_2 equation for the two testers used in the XBT data acquisition campaigns since 2010.

Model	Resistance 1 (Ohm)	Temperature 1 (°C)	Resistance 2 (Ohm)	Temperature 2 (°C)	
Test canister 1	4631.0 ± 0.1	26.758 ± 0.001	8960.1 ± 0.1	12.197 ± 0.001	
Test canister 2	4397.2 ± 0.1	27.956 ± 0.001	8725.3 ± 0.1	12.759 ± 0.001	

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The resistance R values shown in Table A1 are then converted to T by applying the Hoge 2 R to T equation (Sippican, 1991 and 2010; Hoge, 1988; Chen, 2009; Liu et al., 2018) with the following coefficients:

772 A = 1.2901230e-3773 B = 2.3322529e-4774 C = 4.5791293e-7775 D = 7.1625593e-8

776 This equation and the associated coefficients remained unchanged since the 1990s for all the DAQs used.





778 Appendix B

Table B1 - Some details of instrumentation and operating conditions during the collection of the XBT profiles
 included in the REP dataset for all the ships participating the measurements.

Ship Name	Call Sign/ IMO No.	Number of Campaigns	Years of Activity	DAQ used	Height launch (m)	Range of ship speed (knots)
Excelsior	IBEX 9184419	20 1 7	1999-2000 2012 2017-2018	MK12 MK21 USB MK21 Ethernet	10±0.5	20-24
Excellent	IBBE 9143441	1 5	2004 2012-2014	MK21 ISA MK21 USB	10±0.5	19-24
Splendid	IBAS 9015747	1	2011	MK21 USB	10±0.5	20-22
La Superba	ICGK 9214276	14 1 23 1 3	2004-2006 2010 2010-2016 2011 2016-2017	MK21 ISA TURO QUOLL MK21 USB MK12 MK21 Ethernet	11±0.5	21-28
La Suprema	IBIL 9214288	2 6 6	2004 2011-2016 2016-2019	MK21 ISA MK21 USB MK21 Ethernet	11±0.5	21-28
Wellington Express	DFCX2 9224051	5	2007-2008	TURO DEVIL	25±1.0	14-20
Canberra Express	DFCW2 9224049	1	2008	TURO DEVIL	25±1.0	14-20
Stadt Weimar	DCHO 9320051	8	2009-2010	TURO DEVIL	27±1.0	14-20
CMA CGM Charcot	A8HE4 9232773	5	2009-2011	TURO DEVIL	25±1.0	14-20
Daniel A	TCLA 9238064	2	2014	MK21 USB	8±0.5	14-17
Ammiraglio Magnaghi	IGMA 8642751	3 1 2	2008-2013 2011 2019	MK12 MK21 USB TURO QUOLL	$(3-6)\pm0.5$	1-10
Aretusa	IABA	1 2	2006 2017-2018	MK12 MK21 USB	$(4-5)\pm0.5$	1-10
Galatea	IABC	1	2013	MK12	$(4-5)\pm0.5$	1-10
Urania	IQSU 9013220	12 13	2000-2012 2005-2014	MK12 MK21 USB	$(3-12)\pm0.5$	0-11
Minerva 1	IZVM 9262077	1	2015 2016	MK21 USB MK21 Ethernet	$(3-8)\pm0.5$	0-11
Ibis		1	2019	MK21 Ethernet	3 ±0.5	0-10

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783 Appendix C

Format and standards

- 785 The data format adopted to archive the REP dataset is the netCDF (Network Common Data Form). It is self-
- 786 describing since it includes the metadata that describe both data and data structures. The NetCDF
- 787 implementation is based on the community-supported Climate and Forecasts (CF) specification (CF1.6 profile
- 788 for point data) and it adopts the SeaDataNet (SDN) vocabularies
- 789 (https://www.seadatanet.org/Standards/Common-Vocabularies). The reference SDN parameter codes (P01
- 790 terms, https://vocab.seadatanet.org/v bodc vocab v2/search.asp?lib=P01) and the associated standard units
- 791 (P06 terms https://vocab.seadatanet.org/v_bodc_vocab_v2/search.asp?lib=P06) are used in order to ensure the
- 792 proper interpretation of values by both humans and machines and to allow data interoperability in terms of
- 793 manipulation, distribution and long-term reuse.
- 794 Each XBT NetCDF file contains:
- 795 **dimensions** that provide information on the size of the variables (a.k.a. "parameters");
- **coordinate variables** that orient the data in time and space;
- **geophysical variables** that contain the actual measurements;
- ancillary variables that contain the quality information (Quality Flags, QFs) values;
- additional variables that include some of the variables being part of SDN extensions to CF;
- **global metadata fields** that refer to the whole file, not just to one variable (a.k.a. "global attributes").

801 C.1 Dimensions

- 802 The pattern followed by SDN for "profiles" data type is to have an 'INSTANCE' unlimited dimension plus a
- 803 maximum number of z coordinate levels (MAXZ). We included also string size dimension STRING for text
- 804 arrays and added test size dimensions referring respectively to test QFs on temperature (TST_T) and depth
- 805 (TST D) values and the maximum number of z coordinate levels for the data re-sampled at a 1 m interval, after
- 806 the Quality Check (QC) is applied (MAX INT).

C.2 Coordinate variables

- 808 NetCDF coordinates are a special subset of variables which orient the data in time and space. They are:
- LONGITUDE for x;
- *LATITUDE* for y;
- *TIME* for t;

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• *DEPTH* for z.

813 C.3 Geophysical variables

814 Each file contains:





- full resolution raw Temperature (T) data corrected via calibration based on tester check (when available) and,
- interpolated data at each meter depth
- Calibration values are provided in a separate variable as well, so that experts can trace back the raw
- 819 (uncalibrated) profile if needed.
- 820 For each coordinate and geophysical variable four mandatory parameter attributes are included, as defined in
- 821 Lowry et al. (2019):
- 822 1. sdn_parameter_urn: this is the URN for the parameter description taken from the P01 vocabulary;
- 2. *sdn_parameter_name*: this is the plain language label (Entryterm) for the parameter taken from the P01 vocabulary at the time of the data creation;
- 3. sdn_uom_urn : this is the URN for the parameter units of measurement taken from the P06 vocabulary;
- 4. *sdn_uom_name*: this is the plain language label (Entryterm) for the parameter taken from the P06 vocabulary at the time of data file creation.
- 828 Moreover, since some of the coordinate variable names could be ambiguous, particularly for the z-coordinate,
- 829 we adopt the standard_name (P07 vocabulary,
- 830 https://vocab.seadatanet.org/v bodc vocab v2/search.asp?lib=P07), not mandatory in CF but widely used,
- which significantly enhances interoperability.

832 C.4 Ancillary variables

- 833 In order to report data quality information on a point by point basis, every measurement is tagged with a single-
- 834 byte encoded label referred to as a 'flag'. The flag variables are mandatory for all coordinate and geophysical
- variables to which they relate through 'ancillary variables' in the parent variable set to the name of ancillary
- 836 variable attribute (Lowry et al., 2019). The flags are encoded using the SDN L20 vocabulary
- 837 (https://vocab.seadatanet.org/v bodc vocab v2/search.asp?lib=L20) and each ancillary variable carries
- attributes 'flag_values' and 'flag_meanings', which provide a list of possible values and their meanings.
- For coordinate variables, the ancillary variables are the following:
 - TIME SEADATANET QC: it is the ancillary variable referring to TIME parent variable;
 - POSITION_SEADATANET_QC: Longitude and latitude flag variables are combined into a single flag for 'position', following OceanSITES (2020) practice.
- 845 For depth coordinate, there are three different ancillary variables:
 - DEPTH TEST QC: it contains flags coming from the application of depth check test;
- DEPTH_FLAGS_QC: it contains flags associated with each original depth value and summarizes the results of the performed depth test check mapped on SDN L20 vocabulary;
- DEPTH_INT_SEADATANET_QC: it contains flags associated with the interpolated profile.

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- 851 For temperature geophysical variable, the ancillary variables, similarly to depth coordinate, are the following:
 - TEMPET01_TEST_QC: it contains flags coming from the application of independent temperature check tests;
 - TEMPET01_FLAGS_QC: it contains flags associated with each original temperature value and summarizes the results of the performed independent temperature test checks mapped on SDN L20 vocabulary;
 - TEMPET01_INT_SEADATANET_QC: it contains flags associated with the temperature interpolated profile.

860 C.5 Additional variables

In addition to attributes, some variables from the SDN extension have been adopted:

- 1. SDN_CRUISE: an array containing the name of project which funded the cruise;
- 2. SDN_EDMO_CODE: an integer array containing keys identifying the organization in the European Directory of Marine Organizations (EDMO, https://www.seadatanet.org/Metadata/EDMO-Organisations)
- SDN_BOT_DEPTH: a floating point array holding bathymetric water depth in meters where the sample was collected or measurement was made. We considered the local bottom depth extracted from the GEBCO Compilation Group (2021).

Moreover, in order to preserve and keep track of metadata associated with each profile in the dissemination through ERDDAP, other variables have been adopted:

- 4. cruise_id: an array containing the name of the project which funded the cruise plus the year and the month of the cruise;
- 5. profile_id: an array referring to the sequence of the profile during the corresponding cruise.

C.6 Global metadata fields

The global attribute section of the NetCDF file describes its content overall. All attributes should be human-876 877 readable and contain meaningful information for data discovery and re-use. Most importantly, all available 878 discovery metadata to the SDN mandatory attributes have been introduced following recommendations of the 879 XBT community. Moreover, several studies (Cheng et al., 2014; 2016; 2018; Goni et al., 2019) highlighted 880 the dependency of the biases on probe type, time (due to variations in the manufacturing process) and changes 881 in the recording systems (Tan et al., 2021). For these reasons, the following information has been inserted in 882 the XBT metadata description: probe type with serial number, manufacturer, manufacturing date, FRE 883 coefficients used to calculate the depth, launch height, DAQ model and recorder version (Cheng et al., 2016). 884 Ship speed, wind speed, and probe mass (available since 2018) have been added to this metadata section. When 885 available, mass of the XBT probe, wind speed and ship speed are other useful information included in this 886 metadata section.

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- The above mentioned information has been kept and made available through the ERDDAP by an url_metadata
- variable associated to the entire dataset, which contains details specific to each profile.





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