# 1 Reprocessing of XBT profiles from the Ligurian and Tyrrhenian seas over the

# time period 1999-2019 with full metadata upgrade

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#### Abstract

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The advent of open science and the United Nations Decade of Ocean Science for Sustainable Development are revolutionizing the ocean data sharing landscape for an efficient and transparent ocean information and knowledge generation. This blue revolution raised awareness on the importance of metadata and community standards to activate interoperability of the digital assets (data and services) and guarantee that data driven science preserve provenance, lineage and quality information for its replicability. Historical data are frequently not compliant with these criteria, lacking metadata information that was not retained crucial at the time of the data generation and further ingestion into marine data infrastructures. The present data review is an example attempt to fill this gap through a thorough data reprocessing starting from the original raw data and operational log sheets. The data gathered using XBT (eXpendable BathyThermograph) probes during several monitoring activities in the Tyrrhenian and Ligurian Seas between 1999 and 2019 have been first formatted and standardized according to the latest community best practices and all available metadata have been inserted, including calibration information never applied, uncertainty specification and bias correction from Cheng et al. (2014). Secondly, a new automatic Quality Control (QC) procedure has been developed and a new interpolation scheme applied. The reprocessed (REP) dataset has been compared to the data version, presently available from SeaDataNet (SDN) data access portal, processed according to the pioneering work of Manzella et al. (2003) conducted in the framework of the EU Mediterranean Forecasting System Pilot Project (Pinardi et al., 2003). The comparison between REP and SDN datasets has the objective to highlight the main differences derived from the new data processing. The maximum discrepancy among the REP and SDN data versions resides always within the surface layer (REP profiles are warmer than SDN ones) until 150 m depth, generally when the thermocline settles (from June to November). The overall bias and root mean square difference are equal to 0.002 °C and 0.041 °C, respectively. Such differences are mainly due to the new interpolation technique (Barker and McDougall, 2020) and the application of the calibration correction in the REP dataset.

- The REP dataset (Reseghetti et al., 2024; <a href="https://doi.org/10.13127/rep\_xbt\_1999\_2019.2">https://doi.org/10.13127/rep\_xbt\_1999\_2019.2</a>) is available and accessible through the INGV (Istituto Nazionale di Geofisica e Vulcanologia, Bologna) ERDDAP (Environmental Research Division's Data Access Program) server, which allows machine to machine data access in compliance with the FAIR (Findable, Accessible, Interoperable and Reusable) principles (Wilkinson
- 41 et al., 2016).

### 1 Introduction

- The open science paradigm boosted the sharing of data through different pathways determining the generation
- of different versions of the same datasets. This might depend on the timeliness of data delivery, either in Near
- Real Time (NRT) or Delayed Mode (DM), the data center managing the dataset, the data assembly center or
- 46 the marine data infrastructure collating it. The awareness of the importance of a complete metadata description
- 47 is increasing among the scientific community since it allows interoperability, traceability of the data lifecycle,
- 48 transparency and replicability of the knowledge generation process. In particular, some key information is
- 49 crucial in climate science because it allows reanalysis of historical data, quantifying and reducing uncertainties,
- which are used to derive accurate scientific knowledge (Simoncelli et al., 2022).
- The data provider should define the overall quality assurance strategy along with the data lifecycle to guarantee
- 52 the availability of the best data product, which implies the possibility of reprocessing the dataset according to
- 53 the state-of-the-art Quality Control (QC) procedures and standards. Data driven research should use the most
- 54 extensive datasets with complete metadata information passed through a trustworthy QC procedure. These are
- also basic requirements to guarantee data reusability once the data are made openly accessible. The complete
- set of metadata assures transparency of the data provenance and avoids the circulation of multiple versions.
- 57 The integration in global databases of data not compliant with these principles emerged recently for
- 58 measurements gathered in the last century, when the importance of storing data with complete ancillary
- information was not yet clear. A striking example is provided by the XBT (eXpendable BathyThermograph)
- 60 probes, the oceanographic instruments that recorded the largest number of temperature profiles in the ocean
- from the 1970s to the 1990s (Meyssignac et al., 2019). The complete metadata information is crucial for QC,
- data reprocessing (Cheng et al., 2014; 2018; Goni et al., 2019) and integration with other data types to estimate
- key ocean monitoring indicators, such as the trend of global ocean heat content (Cheng et al., 2020; 2021;
- 64 2022), one of the most important climate change indicators. According to the literature (Cheng et al., 2016 and
- 65 2017; Parks et al., 2022), the crucial metadata information that must be associated with XBT data includes
- probe type and manufacturer, fall rate equation, launch height, and recording system. This information was
- 67 not mandatory for the data ingestion in the main marine data infrastructure, thus most historical data miss it.
- 68 For example, 50% of XBT profiles in the World Ocean Database (WOD) have no information about
- 69 manufacturer or probe type (Cowley et al. 2021), necessitating the application of intelligent metadata
- techniques to complement it (Palmer et al., 2018; Leahy et al., 2018; Haddad et al., 2022).
- 71 This data review originated from the recognition that the historical XBTs from the Ligurian and Tyrrhenian
- 72 Seas, presently available in the main marine data infrastructures SDN (https://www.seadatanet.org/), WOD

(https://www.ncei.noaa.gov/products/world-ocean-database), Copernicus Marine Service (CMS, https://marine.copernicus.eu/) - have incomplete metadata description and the data might also differ. Our 75 objective was to recover the raw data together with the full metadata description and secure them to the future 76 generation of scientists for their further use. This awareness raised contemporary to the evolution of open 77 science and FAIR (Findable, Accessible, Interoperable and Reusable) data management principles, which 78 motivated us to adopt the latest community standards, QC procedures, and to implement an ERDDAP server 79 as data dissemination strategy. ERDDAP is an open source environmental data server software developed by 80 NOAA and used throughout the ocean observing community (Pinardi et al. 2019; Tanhua et al. 2019) which allows us to become a node of the present data digital ecosystem, in line with one of the expected societal 82 outcomes ("transparent and accessible" ocean) of the UN Decade of Ocean Science 2021-2030 (Ryabinin et 83 al., 2019; Simoncelli et al., 2022). 84 The paper describes the reprocessing of temperature profiles from expendable probes deployed between 1999 and 2019 in the Ligurian and Tyrrhenian seas, most of them from vessels operating a commercial line between 86 the Italian ports of Genova and Palermo within the Ships Of Opportunity Program (SOOP) of the Global Ocean Observing System (GOOS), currently identified as MX04 line. Additional XBT data were collected through ancillary monitoring surveys with commercial and research vessels. The dataset contains some XCTD 88 (eXpendable Conductivity-Temperature-Depth probes) profiles (less than 1%) too. The reprocessed dataset (REP) is obtained from the original raw XBT profiles, the readable output of the Data Acquisition System (DAQ). A correction based on the DAQ calibration (when available) is applied to each temperature recorded 92 value but also provided as separate information, to allow the user to eventually subtract it. Automated QC 93 tests, specifically tuned for western Mediterranean basins, based on the latest documented QC procedures 94 (Cowley et al., 2022; Parks et al., 2022; Good et al., 2023; Tan et al., 2023) and best practices to assign a Quality Flag (QF) are applied, followed by interpolation of raw profiles at each meter depth. All available 95 information collected during data-taking has been added in the metadata section, according to the SeaDataNet 96 97 standards (https://www.seadatanet.org/Standards) and IQuOD (International Quality-controlled Ocean 98 Database, https://www.iquod.org/index.html) recommendations. Uncertainty specification for both depth and temperature is also provided, being a crucial information for assimilating data in ocean reanalysis or for 100 utilizing them in downstream applications. Cheng et al. (2014) demonstrated that XBT data are characterized 101 by systematic bias when compared with data gathered from CTD, and computed the commonly used correction 102 scheme for both temperature and depth records, which is very important to derive integrated data products or ocean indicators from multiple data sources and instruments (Cheng et al., 2016). The REP dataset includes 104 Cheng et al. (2014) correction scheme applied to the calibrated profiles at original depth and then interpolated 105 at each meter depth. The REP data product allows the user to select from the original profiles to the validated, interpolated and corrected ones, filtering on the basis of the required quality level, selecting the associated QF. Furthermore, 108 the dataset is accessible through the ERDDAP (Environmental Research Division's Data Access Program) data

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- server (<a href="http://oceano.bo.ingv.it/erddap/index.html">http://oceano.bo.ingv.it/erddap/index.html</a>) installed at INGV (<a href="https://ror.org/029w2re51">https://ror.org/029w2re51</a>) which
- provides a simple and consistent way to download it in several common file formats.
- 111 This study was conducted in the framework of the MACMAP (Multidisciplinary Analysis of Climate change
- indicators in the Mediterranean And Polar regions) project (https://progetti.ingv.it/it/progetti-
- dipartimentali/ambiente/macmap) funded by INGV (https://ror.org/00qps9a02) (2020-2024) in technical
- 114 collaboration with ENEA (Italian National Agency for New Technologies, Energy and Sustainable Economic
- Development) and GNV (Grandi Navi Veloci) shipping company. In fact, the reprocessing of the historical
- XBTs was preparatory to the automatic validation, management and publication of new XBT data gathered on
- the MX04 line from September 2021, after two years interruption of the monitoring activity.
- The paper is organized as follows: Section 2 describes the main characteristics of an XBT system; Section 3
- describes the original dataset and the monitoring activities that sustained it; Section 4 describes the
- methodology applied for the automatic QC and the correction derived from calibration; Section 5 is about the
- results; Section 6 summarizes the main results and draws conclusions; Section 7 describes the REP dataset
- 122 findability and accessibility.

### 2 The XBT system

- In the early 1960s, following a request from the US Navy looking for a seawater temperature profiler for
- military applications, engineers from Francis Associates developed an early version of an XBT probe. The
- prototype was improved within Sippican Corp. (now part of Lockheed Martin Co., hereinafter Sippican) and
- then adopted by the US Navy (Reid, 1964; Arthur D. Little, 1965 and 1966). Within a few years Sippican
- optimized the original project and marketed different XBT types with specifications suitable for various depths
- and ship speed. XBTs became very popular within the oceanographic community (Flierl and Robinson, 1977)
- allowing the gathering of Temperature (T) profiles through the use of commercial vessels (ships of
- opportunity) and not just research vessels.
- The XBT system consists of: an expendable ballistic probe falling into seawater; a device (DAQ) that records
- an electrical signal and converts it into usable numerical data (in combination with a computer unit) and the
- 134 connection between the falling probe and the DAQ (e.g. Goni et al., 2019 and Parks et al., 2022). The sensing
- component is an NTC (Negative Temperature Coefficient) thermistor that changes its resistance according to
- the temperature of seawater flowing through the central hole of the probe nose where it is located. Its thermal
- time constant  $\tau$  (time needed to detect 63% of a thermal step signal) is ~ 0.11 s (Magruder, 1970 and references
- therein) so a time of  $\sim 0.6$  s is needed to detect a step temperature change. Technical characteristics required
- by Sippican for the NTC thermistor, reading circuit and resistance to temperature conversion procedure (e.g.
- Sippican 1991 and Appendix A), put some limits on the accuracy of XBT measurements.
- Another essential component is the thin twin copper wire which is part of the acquisition circuit and which is
- unwound by two spools simultaneously (clockwise from the ship and counterclockwise from the falling probe),
- a technique which decouples the XBT vertical motion from the translational motion of the ship. The albeit
- weak electric current that runs through the wire during acquisition transforms the wire into a large antenna

sensitive to nearby electromagnetic phenomena. A non-uniform coating application and a defective winding on one of the spools cause a significant part of the faulty or prematurely terminated acquisitions.

XBT probes do not house any pressure sensor and the depth associated with a temperature measurement is not measured directly but estimated by a Fall Rate Equation (FRE) provided by the manufacturer with coefficients that depend on the probe type and are valid for the world ocean. The software transforms a time series of resistance values sensed by the thermistor into a series of depth - T values using first a resistance-to-temperature conversion relationship (identical for all XBT types because it is specific for the thermistor used, see Appendix A) and then calculating the corresponding depth values by applying a specific FRE for each probe type. Sippican has preset conservative values for the recording time in its acquisition software but these values can be freely modified in order to use all the wire wound on the probe spools. The first column of Table 1 shows the nominal values and the maximum recorded depth in the same areas for each specific probe type.

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- Each component of an XBT system contributes to the overall uncertainty on depth and T measurements. Recently the IQuOD group (Cowley et al., 2021) released a summary of T uncertainties specifications for different oceanographic devices determined using available knowledge (Type B uncertainty). The uncertainty estimate associated with XBT probes adopts the accuracy values provided by the manufacturer:
  - for depth: 4.6 m up to 230 m depth and 2% at greater depths;
- for T: within the range 0.1 0.2 °C, with small variations depending on the manufacturer and the manufacturing date. The value associated with the XBT probes in the REP dataset is equal to 0.10 °C. Bordone et al. (2020) compared XBT profiles from SOOP activities in the Ligurian and Tyrrhenian Sea with quasi contemporaneous (± 1 day) and co-located (distance smaller than 12 km) Argo profiles. The XBT profiles used by Bordone et al. (2020) are included in the REP dataset but they went through a different QC and interpolation procedure that could slightly modify their results. In the 0-100 m layer, the mean T difference was 0.24 °C (the median 0.09 °C) and the Standard Deviation (SD) was 0.67 °C. Below 100 m depth, the XBT measurements were on average 0.05 °C warmer than the corresponding Argo values (mean and median were almost coincident) and the SD was 0.10°C. This last SD value agrees with the manufacturer specification and the T uncertainty value reported by Cowley et al. (2021), which has been assigned to the REP data. The values estimated by Bordone et al. (2020) for the surface and sub-surface layer (depth < 100 m) are instead affected by both the XBT (4.6 m) and Argo (2.4 dbar) depth uncertainty estimation, meaning that a small variation in depth could correspond to a large variation in temperature especially when the seasonal thermocline develops, so that the comparison with Argo values would not be significant. The specified uncertainties are independent of the systematic error or bias affecting the XBT temperature and depth measurements, that have been corrected in the REP dataset applying the Cheng et al. (2014) correction scheme.

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In fact, the first part of the XBT motion is critical, meaning that the T and depth values in the surface layer must be considered very carefully, especially if the launch height (which influences the entry velocity of the probe and consequently the time and depth at which it reaches the terminal velocity, i.e. the value used in the

182 FRE) differs from 3 m above sea level, the value suggested by Sippican. Very high launch platforms make the 183 initial depth values calculated through the FRE incorrect (Bringas and Goni, 2015 and references therein). In 184 addition, the time constant of the thermistor (Magruder, 1970 and references therein), the thermal mass of the 185 XBT probe (e.g. Roemmich and Cornuelle, 1987) and the storage temperature, influence the reliability of the first T records. For these reasons, careful data validation in the near surface layer and where the seasonal 186 187 thermocline occurs (i.e. depths shallower than 100 m in the study region), is crucial. 188 The depth resolution depends both on DAQ sampling rate and FRE of the XBT probe. All DAQ models used 189 in this dataset work at 10 Hz (i.e. a sample every 0.1 s, a time interval nearly coincident with the time constant of the NTC thermistor) so that the depth resolution has actual values close to 0.6 m. The T resolution is usually 190 191 0.01 °C when using the standard Sippican software while 0.001 °C is the standard output for Devil/Quoll 192 DAQs and some old Sippican software versions. Throughout the work, three decimal digits are always used 193 for T values and the derived quantities (i.e. vertical gradient). The computer clock (always updated to the UTC 194 value shortly before the start/after the end of operations) provides the time coordinate of each profile with a 195 sensitivity of 1 s. The differences recorded with respect to the standard UTC time have always been smaller 196 than 1 s over a 24 hour time frame. Sippican's manuals released over the years (e.g. Sippican 1968, 1980, 1991, 2006, 2010 and 2014) and reports 197 (e.g. Sy, 1991; Cook and Sy, 2001; Sy and Wright, 2001; Parks et al., 2022) well describe the best practices 198 199 for XBT use. The checking of the XBT system with a tester before and after data collection as well as the 200 complete description of the system characteristics in the metadata is highly recommended for an optimal use 201 of XBT measurements. When strip chart recorders were used, a preliminary and accurate calibration of the 202 acquisition unit with a tester was mandatory (e.g. Sippican, 1968 and 1980; Plessey-Sippican, 1975). With the 203 advent of digital systems this procedure was also recommended (Bailey et al., 1994). Only since July 2010 the 204 tester check has been introduced in the monitoring activity along the MX04 line and few other subsets of profiles contained in the REP dataset. Reseghetti et al. (2018) found a reduction of the (XBT-CTD) temperature 205 206 difference after introducing a correction based on the tester check. This was also confirmed by the comparison between XBT and Argo profiles described in Bordone et al. (2020). Based on these findings, a specific 207 correction has been developed and it represents a key component of the information never used in previous 208 209 data versions and unlocked in the REP dataset (section 4.3). 210 The first XCTD models were developed by Sippican (Sippican, 1983) in the 1980s and were analog. They 211 were completely replaced in the last years of the last century by digital versions produced by the Japanese 212 company TSK (Tsurumi Seiki Co.). XCTD-1 probes present some differences compared to XBTs in terms of 213 resolution and accuracy, and a completely different recording circuitry. The manufacturer (the Japanese 214 company TSK) claims an accuracy of 0.02 °C on T (a factor of five better than XBTs) and a resolution of 0.01 215 °C while the depth accuracy is the same as for XBT probes. These accuracy values can be considered Type B 216 uncertainties, as in Cowley at al. (2021), and they are included in the REP dataset metadata information. The

sampling frequency is 25 Hz (i.e. a reading of the thermistor resistance value every 0.04 s) with a falling speed

which is just over half that the XBT probes (see Table 1), the depth resolution for the model XCTD-1 is about 0.14 m.

#### 3 The dataset

3782 temperature profiles, collected from September 1999 to September 2019 in operations managed by ENEA (S. Teresa Marine Research Centre, STE thereafter) mainly through the use of commercial ships, are included in the REP dataset. They come from XBT probes, plus a few dozen XCTDs. Figure 1 shows the XBT profiles temporal and spatial distribution, highlighting their sparseness, mainly influenced by the irregular monitoring activity and data concentration along the MX04 Genova-Palermo line. The vertical data distribution (Figure 1c) is also non-homogeneous due to the local bathymetry, the use of different probe types and the ship speed.



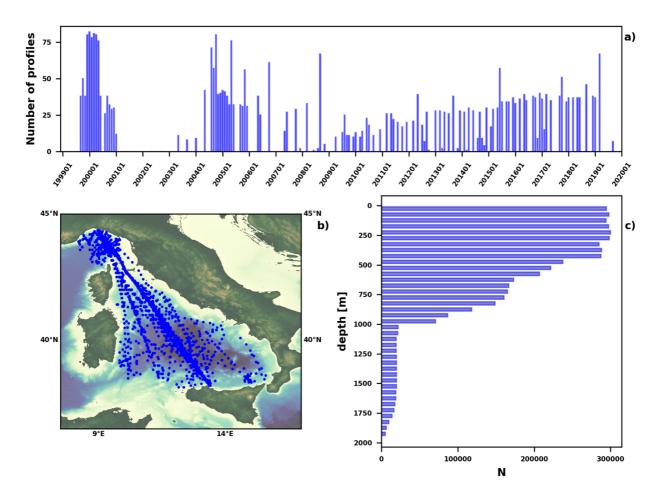


Figure 1 (a) temporal distribution of the REP (reprocessed) XBT profiles; (b) geographical location; (c) vertical distribution in layers of 50 m of depth.

Table 1 shows some of the characteristics of the expendable probes used in this dataset, the FRE coefficients applied to calculate the depth and the mass of the various components of each probe type (ZAMAK - Zink Aluminium Magnesium Kupfer - for the nose, plastic for the body and spool and copper wire, considering the total quantity that can unwind from the on-board spool), which allows to evaluate the overall quantity of

- material abandoned at sea caused by the REP dataset. We have no information regarding the components of the XCTD-1 probes but their nose is made of plastic material. Sippican is the manufacturer of all the XBT probes used, while the XCTD-1 probes are manufactured by TSK - Tsurumi Seiki Co. and marketed in Italy by Sippican.
- 240 The profiles were gathered during the following monitoring activities:

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- 1. SOOP monitoring on the Genova-Palermo MX04 line, which provides the greatest contribution both in terms of campaigns (1999-2000, 2004-2006, 2010-2019) and quantity of profiles;
- 2. SOOP monitoring in collaboration with CSIRO (Commonwealth Scientific and Industrial Research Organization), from 2007 to 2011;
- 3. Sporadic additional SOOP monitoring by ENEA-STE in the Mediterranean (2012-2014);
- 4. An agreement between ENEA and IIM (Italian Hydrographic Institute of the Navy), (2006 2019);
- 5. An operational collaboration between ENEA-STE and National Research Council of Italy Institute of Marine Sciences (CNR-ISMAR, Lerici), (2000 2017).
- The main characteristics of the vessels and the instrumentation used for the data collection are summarized in Appendix B.
  - Table 1 Characteristics of the different probes used: nominal depth suggested (and guaranteed) by Sippican and experienced maximum depth in the Mediterranean; maximum ship speed suggested by Sippican for an optimal drop; coefficients of Fall Rate Equation D(t) = At Bt<sup>2</sup> used for depth calculation (provided by the manufacturer or by IGOSS, Hanawa et al., 1995); per probe amount of ZAMAK, copper and plastic and the number of probes included in the dataset for each probe type.

| Probe type | Rated depth<br>(max depth)<br>(m) | Rated ship<br>speed<br>(knots) | Coeff. A (ms <sup>-1</sup> ) | Coeff. B (ms <sup>-2</sup> ) | ZAMAK (kg) ± 0.001 | Plastic (kg) ± 0.001 | Copper (kg) ± 0.002 | REP<br>dataset |
|------------|-----------------------------------|--------------------------------|------------------------------|------------------------------|--------------------|----------------------|---------------------|----------------|
| T4         | 460 (583)                         | 30                             | 6.691                        | 0.00225                      | 0.613              | 0.052                | 0.202               | 1436           |
| Т5         | 1830 (2272)                       | 6                              | 6.828                        | 0.00182                      | 0.613              | 0.125                | 0.357               | 61             |
| T5/20      | 1830 (2248)                       | 20                             | 6.828                        | 0.00182                      | 0.613              | 0.125                | 0.726               | 188            |
| Т6         | 460 (588)                         | 15                             | 6.691                        | 0.00225                      | 0.613              | 0.052                | 0.158               | 69             |
| T7         | 760 (977)                         | 15                             | 6.691                        | 0.00225                      | 0.576              | 0.052                | 0.240               | 61             |
| DB         | 760 (962)                         | 20                             | 6.691                        | 0.00225                      | 0.576              | 0.052                | 0.294               | 1759           |
| T10        | 200 (292)                         | 10                             | 6.301                        | 0.00216                      | 0.613              | 0.052                | 0.098               | 173            |
| XCTD-1     | 1100 (1100)                       | 12                             | 3.425432                     | 0.00047                      | None               | NA                   | 0.440               | 35             |

The first SOOP in the Mediterranean Sea (September 1999 - December 2000) started in the framework of the European Mediterranean Forecasting System Pilot Project (MFSPP, Pinardi et al., 2003; Manzella et at., 2003; Pinardi and Coppini, 2010) under INGV coordination to support the development of operational oceanography

260 forecasting activities through the NRT provision of ocean observations. XBT profiles were collected along 261 transects crossing the Mediterranean Sea designed to monitor the variability of the main circulation features. 262 The raw profiles were subsampled on board by Argos software (15 inflection points) and quickly inserted into 263 the Global Telecommunication System (GTS) while the full resolution profiles were sent to the ENEA-STE assembly center for QC, interpolation and NRT provision to the forecasting center (e.g. Fusco et al., 2003; 264 265 Manzella et at., 2003; Zodiatis et al., 2005; Millot and Taupier-Letage, 2005a and 2005b). The MX04 line is the only SOOP line still active in the Mediterranean Sea on seasonal basis, thanks to the MACMAP project 266 and the collaboration with GNV, whose ships connect daily (just under 20 hours sailing at about 22 knots) 267 Genova (44.40 °N, 8.91 °E) to Palermo (38.13 °N, 13.36 °E). 268 Starting from September 1999, 20 campaigns were carried out, in collaboration between CNR-ISMAR and 269 ENEA-STE, with initial monthly monitoring frequency, then every 15 days (December 1999 - May 2000), and 270 271 again monthly frequency until December 2000. T4 probes (with some T6 probes) were launched at fixed 272 intervals of time (every 30 minutes), corresponding to a sampling distance of about 11 nm. A Sippican MK12 273 card inserted into the motherboard of a desktop running Windows 98 IIE and with the software set to stop 274 acquisition at 460 m depth was used. All the campaigns were carried out using the MV "Excelsior", its route was always the same and almost coincident with track 44 of the altimetric satellites (Vignudelli et al., 2003). 275 276 After a hiatus of more than 3 years and a campaign in May 2004 to check slightly different operational 277 procedures, monitoring along the MX04 line resumed on a monthly basis from September 2004 to December 278 2005 (no cruises in July and August 2005), with two additional cruises in May and October 2006, for a total 279 of 17 campaigns within the EU MFS-Toward Environmental Prediction project (MFS-TEP, Manzella et al. 280 2007; Pinardi and Coppini, 2010). The ships (always GNV vessels) followed a route with marginal differences 281 compared to the previous one due to the introduction of nature conservation limitations in the Tuscan 282 archipelago. In November 2004, February and December 2005 the route was significantly different due to bad weather and sea conditions. The campaigns were planned to travel as close as possible to the passage date of 283 284 the Jason-1 altimetric satellite along track 44 and for this reason some were carried out on the route traveled in the opposite direction, independently on weather and sea conditions. T4 and DB XBT probes were usually 285 deployed (with a few XCTD-1 and some T6) and the sampling distance was variable from 8 to 12 nm. After a 286 287 few months, the DAQ (a Sippican MK21 ISA), despite excellent operating conditions and good ground connection, began to record profiles with rapid oscillations (amplitude  $\approx 0.05$  °C) not attributable to the known 288 289 water masses characteristics (not shown). Only at the end of the MFS-TEP data taking, careful laboratory 290 checks identified a pair of capacitors on the ISA board as responsible for this malfunction. Unlike MFS-PP, the acquisition software was set to use all the wire available on the probe spool (i.e. 600 m for T4 and 1000 m 291 292 for DB probes). 293 Monitoring on the MX04 line resumed in July 2010, managed directly by ENEA-STE and until January 2013 294 was widely variable both in terms of frequency and sampling distance (due to the uncertainty in the supply of 295 XBT probes). A regular sampling scheme was then adopted with a launch every 10' of latitude (corresponding 296 to 11-12 nm depending on the ship's course), excluding the archipelago of Toscana, with five to six annual 297 repetitions, following the same route as in 2004-2006 (excluding February 2013 and April 2014 because of 298 very bad weather and sea conditions). It was also decided to carry out monitoring campaigns only with good 299 weather and sea conditions. From June 2015, the ships moved to a more westerly route in the northern part of 300 the transect crossing the Corsica Channel (this allows monitoring of the water exchange between the Tyrrhenian Sea and the Ligurian Sea) to rejoin the previous one around at latitude 39°N. The number of drops 301 302 at fixed positions increased to thirty-seven, mainly DB probes while other XBT types were used in particular 303 areas due to the reduced bathymetry (T10) or with interesting deep thermal structures (T5/20). Based on the 304 experience from XBT vs. CTD comparison tests, since March 2011 the XBT probes were placed in the open 305 air (but always in the shade) for at least half an hour before the deployment to allow them to thermalize with the atmosphere and reduce as much as possible the temperature difference with the sea surface layer. 306

- 307 A short SOOP activity in collaboration with CSIRO was completed between December 2007 and March 2011 308 (19 campaigns) using containerships from Hapag Lloyd (namely "Canberra Express", "Stadt Weimar" and "Wellington Express") and CMA CGM ("CMA CGM Charcot") shipping companies, operating between 309 Northern European ports and Australia. These campaigns were characterized by irregular frequency 310 311 throughout the year, a very high launching platform (25 m over the sea level or more) and a sampling distance between 20 and 35 nm. XBT launches began near the Egadi Islands (west of Sicilia) and terminated in the 312 313 Corsica Channel, following a path halfway between the MX04 transect and the island of Sardinia. CSIRO 314 installed a Turo Devil DAO on each vessel while ENEA-STE provided the DB probes.
- 314 Installed a Turo Devil DAQ on each vessel while ENEA-STE provided the DB probes.

  315 Some additional XBT profiles (mainly DB type) were gathered in the Ligurian Sea between May 2012 and
- March 2014 on board the GNV ship "Excellent" (in 5 campaigns) and in 2014 two different cruises using a
- Sippican MK21 USB onboard the container ship "Daniel A" from the Turkish shipping company ARKAS.
- From 2006 to 2019, 10 campaigns were carried out in collaboration between ENEA and IIM, using the ships
- "Ammiraglio Magnaghi", "Aretusa" and "Galatea", collecting a total of about 200 profiles using different XBT
- 320 types, deployed from different heights and using different DAQs.
- Finally, an operational collaboration between ENEA-STE and CNR-ISMAR allowed to carry out 29
- 322 campaigns between 2000 and 2017 using vessels managed by the CNR (mainly RV "Urania", but also RV
- 323 "Minerva Uno" and "Ibis"), gathering several hundred profiles with different XBT probe types deployed from
- different heights and recorded using four different Sippican DAQ units.
- The total amount of material abandoned at sea, due to the launch of the XBT/XCTD probes which constitute
- the REP dataset, is provided using the per-probe values reported in Table 1: over 2300 kg of ZAMAK, 220 kg
- of plastic material and 1060 kg of copper wire. Furthermore, there was no additional contribution to greenhouse
- 328 gas emission since mainly commercial vessels were used and, in the case of research vessels, the launch of
- 329 XBT probes was ancillary to the main activities of the cruise.

### 4 Methodology

- 331 Specific QC procedures for XBT profiles in the Mediterranean Sea were first developed by Manzella et al.
- 332 (2003) within the MFS-PP project and later improved in Manzella et al. (2007). Temperature observations in

the Mediterranean Sea, due to its thermohaline circulation, water mass characteristics and large temperature variability, might present peculiar features like thermal inversions or zero thermal gradient in areas of deep water formation, thus necessitating regional tuning of QC tests. The prior QC procedures included: detection of profile's end, gross range check, position control, elimination of spikes, interpolation at 1 m intervals, Gaussian smoothing, general malfunctioning control, comparison with climatology and final visual check by operator. Some additional constraints were applied: elimination of the initial part of each profile (the first acceptable value is at 4 m depth, following the standard international procedure), allowed temperature values within the 10-30 °C interval, maximum temperature inversion of 4.5 °C in the 0-200 m layer, 1.5 °C below 200 m, and 3 °Cm<sup>-1</sup> as maximum thermal gradient. This QC has not been applied to the data released in NRT through the GTS (Global Telecommunication System, https://community.wmo.int/en/activity-areas/globaltelecommunication-system-gts) but only to the data made available in DM through the SDN infrastructure (accessible through the relative saved query from the SDN CDI data access portal at https://cdi.seadatanet.org/search/welcome.php?query=1866&query\_code={4E510DE6-CB22-47D5-B221-7275100CAB7F}). The raw data for the GTS dissemination were provided to NOAA and in the early 2000s the profiles were also heavily sub-sampled due to the low bit rate satellite system provided by Argos, the basic GTS data transmission system (Manzella et al., 2003). These different dissemination channels contributed to the existence of several versions of the same profile in different blue data infrastructures (i.e. WOD, SDN). A new automated OC procedure, written in Python and structured as a package, has been implemented in the framework of the MACMAP project starting from the original raw XBT profiles, considering the scientific progress made in the field in the last two decades and the full metadata information available. The aim was twofold: first to secure the best version and most complete dataset for further use to the scientific community; secondly to implement an automated QC workflow for the seasonal XBT campaigns started in September 2021 thanks to the MACMAP project. This also allowed to refine and standardize the quality assurance procedures on board of the vessels to record all ancillary information in a pre-defined format and minimize the impact of different operators on the data quality. The calibration correction, detailed in section 4.3, has been added, when available, to the raw data before the QC analysis. However, it is provided as a separate variable associated with each XBT profile and the user can remove it, if required. None of the original data has been deleted but integrated with quality indexes, with the exception of those repeated during data taking. These replicates have been decided by the operator during the sampling activity when the observed profile was affected by serious acquisition problems, both external (i.e. electrical discharge) and probe-specific (wire break or anomalous stretching, insulation penetration, leakage and so on). A final visual check has also been performed using ODV software (R. Schlitzer, Ocean Data View, https://odv.awi.de/, 2023) which highlighted the presence of anomalous behavior in some T profiles that the automatic QC tests could not detect. Some examples will be discussed in Section 5 (Figure 10). This visual check suggested assigning to each profile a general QF, choosing between these two options: 1) excellent indicating all QC done and 2) mixed indicating some problems, with comments to warn the user about the anomalous features.

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### 4.1 Automatic Quality Control procedure

The XBT raw profiles have been QCed using a sequence of independent tests, checking for invalid information on geographic characteristics and for known signatures of spurious measurements. Results of each test are recorded by inserting the relative exit values to the corresponding measurement in ancillary variables (POSITION\_SEADATANET\_QC, DEPTH\_TEST\_QC, TEMPET01\_TEST\_QC) according to the scheme shown in Table 2, while Figure 2 provides an example of the QC tests applied to a profile.

The independent QC tests are described hereafter.

#### Position on land check

The profile position should be located at sea, thus latitude and longitude of each profile is checked against gridded GEBCO bathymetry (GEBCO Compilation Group, 2022) on a 15 arc-second interval grid to determine if it is located on land or not (test 1): if the "height" is negative it is lower than sea level, and it is flagged as GOOD ('profile is at sea'), otherwise is flagged as BAD ('profile is on land'). The ancillary variable, POSITION\_SEADATANET\_QC, contains the exit value of the position check. However, there are no data flagged as BAD due to position on land in the REP dataset, since the operators checked both the position and the launch time before the data transmission to the data assembly center (ENEA-STE). Since we did not encounter specific issues with date and time we did not implement additional checks.

## Depth check

The depth values of each XBT profile are compared to the *local bottom depth* extracted from GEBCO (test 2) and the *last good depth* (test 3) value provided by the operator. Depth values are flagged as GOOD ('depth is below reference depth value') if they are shallower than it otherwise they are flagged as BAD ('depth is above reference depth values'). The corresponding local bottom depth extracted from GEBCO (BATHYMETRIC\_INFORMATION) and the last good depth value provided by the operator (LAST\_GOOD\_DEPTH\_ACCORDING\_TO\_OPERATOR) are annotated in the metadata as global attributes associated to each profile to facilitate further analysis by expert users.

Table 2 Summary of the automated QC tests, the assigned exit values to each measurement and the ancillary variables containing them.

| Test | Check       | Description                     | Exit  | Exit value description           | Ancillary variable     |
|------|-------------|---------------------------------|-------|----------------------------------|------------------------|
|      |             |                                 | value |                                  |                        |
| 1    | Position    | Function to detect incorrect    | 1/4   | 1 coordinates at sea             | POSITION SEADATANET QC |
|      | control     | longitude and latitude values   |       | 4 coordinates on land            |                        |
| 2    | Depth       | Function to detect depth        | 1/4   | 1 depth is below reference depth | DEPTH_TEST_QC          |
|      |             | values out of extreme depths.   |       | 4 depth is above reference depth |                        |
|      |             | The reference depth is the      |       |                                  |                        |
|      |             | local bottom depth from         |       |                                  |                        |
|      |             | GEBCO.                          |       |                                  |                        |
| 3    | Depth       | Function to detect depth        | 1/4   | 1 depth is below reference depth | DEPTH_TEST_QC          |
|      |             | values out of extreme depths.   |       | 4 depth is above reference depth |                        |
|      |             | The reference depth is the      |       |                                  |                        |
|      |             | depth indicated by the          |       |                                  |                        |
|      |             | operator.                       |       |                                  |                        |
| 4    | Gross range | Function to detect T values out | 49/52 | 49: T inside the range           | TEMPET01_TEST_QC       |
|      | check       | of ranges in Table 3            |       | 52: T is out of range            |                        |
| 5    | Surface     | Function to flag the first 4    | 49-52 | 49: T difference < 1 SD          | TEMPET01_TEST_QC       |

| 6 | Vertical<br>gradient         | meters considering as reference std=0.1 and its growing  Function to detect stuck values, decreasing and increasing values according to gradient value and considering only the values that passed the previous checks | 56-58 | 50: 1 SD < T difference < 2 SD 51: 2 SD < T difference < 3 SD 52: T difference > 3 SD 56: stuck value 57: negative gradient out of threshold 57#: negative gradient out of threshold in successive iteration (#=1 or 2) 58: positive gradient out of threshold 58#: positive gradient out of threshold 58#: positive gradient out of threshold in successive iteration (#=1 or 2) | TEMPET01_TEST_QC |
|---|------------------------------|--|-------|---|------------------|
| 7 | Wire break/<br>stretch       | Function based on vertical gradient check to identify wire break on shipside or on probeside   | 61    | 61: wire break/stretch  | TEMPET01_TEST_QC |
| 8 | Spike<br>detection           | Function to detect spike considering the median, media and thresholds $s_k$ in Table 4   | 59    | 59: spike if  T3-<br>median(T1,T2,T3,T4,T5)  !=0 and<br> T3-mean(T1,T2,T3,T4,T5)  $> s_k$   | TEMPET01_TEST_QC |
| 9 | High<br>Frequency<br>spiking | Function to identify feature in the profile like critical drops  | 60    | 60: critical drop   | TEMPET01_TEST_QC |

## Gross range check

The Gross range check applies a gross filter on observed temperature considering T thresholds that vary on 5 vertical layers, as reported in Table 3. T thresholds have been defined analyzing the seasonal T distribution in 4 sub-regions displayed in Figure 3: 1) the Ligurian Sea; 2) the Northern Tyrrhenian Sea; 3) the South-West Tyrrhenian Sea; 4) the South-East Tyrrhenian Sea. The domain subdivision is based on the mean circulation features at 15 m and 350 m depth, computed from the Mediterranean Sea reanalysis (Simoncelli et al., 2014) data over the time period 1999-2018 (Figure 3). A detailed description of the circulation is out of scope here but its main features are detailed in Pinardi et al. (2015) and von Schuckmann et al. (2016, section 3.1).

#### Surface check

In general, a probe needs a couple of seconds from the impact with the sea surface to stabilize its motion and reach the terminal velocity (Bringas and Goni, 2015 and references therein). Different approaches have been followed over the years on how to handle the near-surface values. In the late 70s, IOC proposed to extrapolate upward isothermally the values from 3 to 5 m to obtain the surface temperature for encoding (IOC, 1975) while the FNWC (U.S. Fleet Numerical Weather Central) procedure was to extrapolate from 8 feet (2.4 m) to the surface using the slope at that depth. Wannamaker (1980) suggested reaching the surface starting from 4 m using the slope between 4 and 6 m depth. Afterwards, other authors decided to discard the initial measurements, considering only the values starting from a certain depth to be valid, also depending on the used DAQ (e.g. Bailey et al. 1994; IOC, 1997; Kizu and Hanawa, 2002; Gronell and Wijffels, 2007; Cowley and Krummel, 2022 and reference therein). For example, Manzella et al. (2003) selected the value at 5 m depth as the first acceptable value during MFS-PP project then changed to 4 m during MFS-TEP.

It is preferred that the user is provided all the original measurements by adding a test that analyzes the measurements in the surface layer and annotating the resulting exit value in the ancillary variable. The proposed test chooses as reference the value recorded at time t = 0.6 s (the first value currently considered

- acceptable), calculates the differences between this value and shallower measurements and classifies them using the T standard uncertainty (SD) associated to an XBT probe (0.10 °C) as a metric. In detail, the temperature differences  $T(t_{0.6})$ - $T(t_i)$ , with  $(0.0 \le t_i \le 0.5)$  s are calculated and the QF is assigned as follows:
- GOOD if  $|T(t_{0.6})-T(t_i)|$  ≤ 1\*SD;
  - PROBABLY GOOD if  $1*SD < |T(t_{0.6})-T(t_i)| \le 2*SD$ ;
  - PROBABLY BAD if  $2*SD < |T(t_{0.6})-T(t_i)| \le 3*SD$ ;
- 427 BAD if  $|T(t_{0.6})-T(t_i)| > 3*SD$ .

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The flag GOOD means a value indistinguishable from the record at t = 0.6 s while PROBABLY GOOD defines an excellent compatibility. The PROBABLY BAD and BAD flags simply indicate a difference greater than the established threshold with respect to the reference value at t = 0.6 s.

### **Inversion and gradient checks**

This test is performed to detect unrealistic T oscillations with abrupt T reversals or unusually large T gradients. The vertical gradient is defined as the difference between vertically adjacent measurements,  $Tz=(T_2-T_1)/(Z_2-Z_1)$ , where  $T_2$  and  $T_1$  are temperatures at depths  $Z_2$  and  $Z_1$ , with level 2 being deeper than level 1. This test is applied three times iteratively discarding values that failed the test in the next iteration. The acceptable T gradient ranges (Table 3) have been defined through a statistical analysis in 5 vertical layers and 4 sub-regions (Figure 3) through an approach that blends expert decisions with statistical support. Due to the spatial (horizontal and vertical) and temporal sparseness of the data, the 0.01% and 99.99% quantiles have been computed in the 5 layers considering: 1) the whole dataset; 2) the 4 sub regions; 3) the entire domain but for 4 seasons. The thresholds are the absolute minimum 0.01% quantile and maximum 99.99% quantile deriving from the three cases. The thresholds of the two deepest levels are from case 1, the upper layer uses values from case 2 and the second and third layers use the results of case 3.

Table 3 Temperature and thermal gradient thresholds defined in 5 layers.

| Layer       | Tempera | ture (°C) | Vertical Gradient (°Cm <sup>-1</sup> ) |       |  |
|-------------|---------|-----------|--|-------|--|
| 0-100 m     | 12.000  | 30.000    | -3.400                                 | 0.613 |  |
| 100-250 m   | 12.500  | 17.900    | -0.317                                 | 0.244 |  |
| 250-450 m   | 12.700  | 15.500    | -0.156                                 | 0.170 |  |
| 450-1000 m  | 13.100  | 14.800    | -0.133                                 | 0.137 |  |
| 1000-2300 m | 13.100  | 14.000    | -0.094                                 | 0.090 |  |

#### Wire break/stretch

Results of inversion and gradient checks are used to identify sharp variations toward negative values, indicating that the copper wire breaks on shipside, or toward high values (close to 35 °C or more), when the wire breaks

on probe-side where there is often a progressive increase in temperature values rather than a step transition to full scale.

# Spike detection

This test looks for single value spikes and it checks T measurements for large differences between adjacent values. A spike is detected by computing the median value ( $Med_k$ ) in a 5 points interval (3 m approximately) with the profile value at the central point of the interval ( $T_k$ ). The spike is detected and the consequent flag is applied if  $T_k$  is not equal to  $Med_k$  and the difference ( $s_k$ ) between  $T_k$  and the mean ( $Ave_k$ ) in the chosen interval is greater than a threshold value.

$$456 \qquad Med_k = median(T_{k-2}:T_{k+2})$$

$$457 \qquad Ave_k = mean(T_{k-2}:T_{k+2})$$

$$458 \qquad s_k = T_k - Ave_k , c_k = T_k - Med_k \neq 0$$

The spike threshold values have been defined for the entire region in 5 vertical layers as the 99.9% quantile of the  $s_k$  distribution and they are reported in Table 4. Figure 4a shows the probability distribution of  $s_k$  values with  $c_k$  not equal to zero in 5 layers.  $s_k$  distribution is characterized by large values above 80 m that diminish with depth, as the temperature variability does. The  $s_k$  scatter plot (Figure 3b) shows its values along the water column, with the red dots highlighting the values over the selected thresholds.

Table 4 Spike detection threshold defined in 5 vertical layers.

| Layer      | spike threshold (°C) |  |
|------------|----------------------|--|
| 0-80 m     | 0.236                |  |
| 80-200 m   | 0.085                |  |
| 200-450 m  | 0.054                |  |
| 450-900 m  | 0.050                |  |
| 900-2300 m | 0.022                |  |

## **High Frequency Noise**

It helps to identify critical T drops in the profile (such as large T differences over a large depth) by checking continual spiking over a wide range of depths (Cowley and Krummel, 2022). In case of continual spikes, values before and after a chosen interval (4 m approximately, i.e. 7 points) are tested considering the same acceptable range of T inversion and gradient as in the *inversion and gradient checks* and flagged as bad if they are out of the ranges.

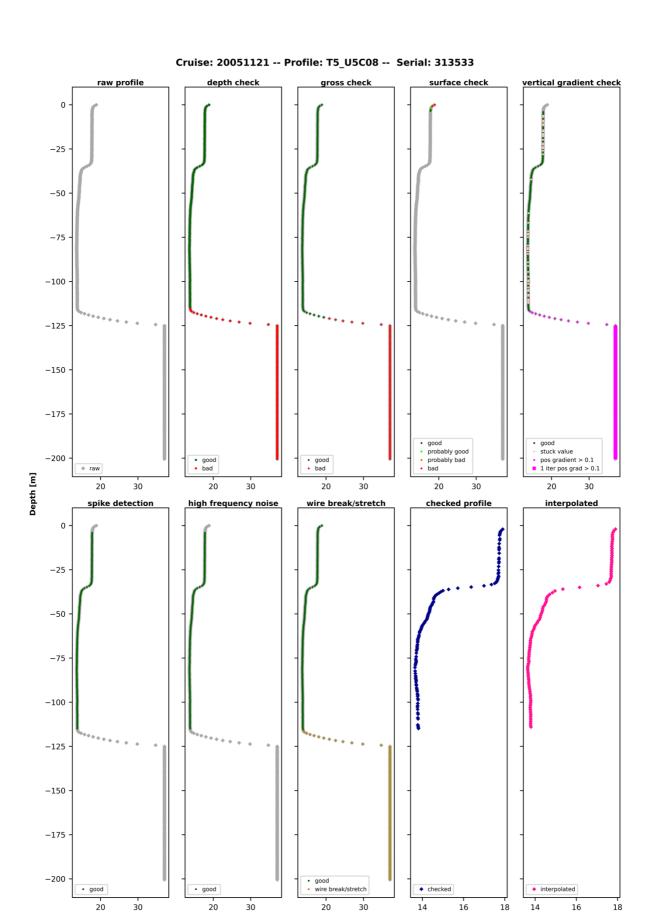


Figure 2 Example of the QFs generated by the automatic QC tests (Table 2) applied to a temperature profile. The raw profile is at the top left and the final interpolated profile is at the bottom right.

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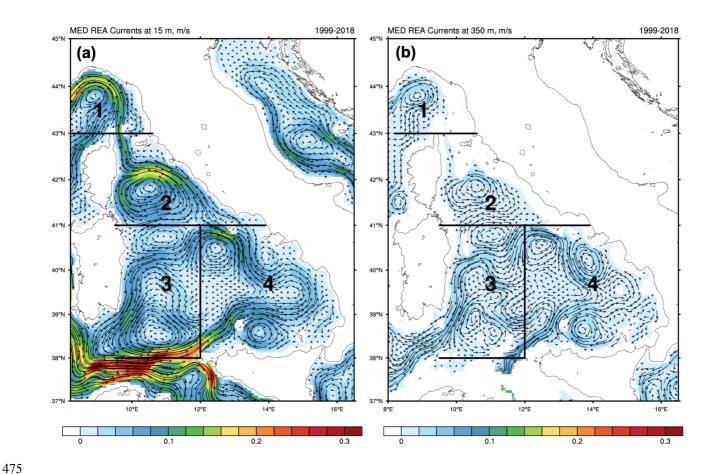


Figure 3 Maps of the mean circulation computed from the Mediterranean Sea reanalysis dataset (Simoncelli et al., 2014) at (a) 15 m and (b) 350 m depth.

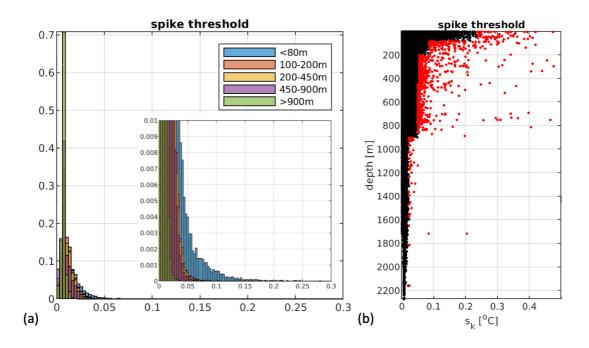


Figure 4 (a) Distribution in terms of probability of the spike threshold ( $s_k$ ) in 5 layers with a zoom probability below 0.1%. (b) Vertical distribution of the spike threshold with indication in red of the values above the 99.99% quantile.

### 4.2 Mapping QC test exit values to standard Quality Flags

(TEMPET01 FLAGS QC or DEPTH FLAGS QC).

Each basic QC test assigns a corresponding exit value to each original depth and T record (Table 2) within the vertical profile in the DEPTH\_TEST\_QC and TEMPET01\_TEST\_QC ancillary variables respectively. The mapping of these ancillary variables to QFs is necessary to allow the user to filter the original data according to the quality requirements for the intended use.

The QFs adopted, whose labels and corresponding definition are reported in Table 5, have been selected from

The QFs adopted, whose labels and corresponding definition are reported in Table 5, have been selected from the SDN Common Vocabulary (IOC, 2013; IOC, 2019; <a href="https://www.seadatanet.org/Standards/Common-Vocabularies">https://www.seadatanet.org/Standards/Common-Vocabularies</a>). The QF (Table 5) associated with each original T measurement or depth value summarizes the results of the performed automatic tests and it is stored in the dedicated ancillary variable

Table 5 The Quality Flags (QF) selected from the SeaDataNet Common Vocabulary (IOC, 2013; IOC, 2019) assigned to the reprocessed XBT data.

| id | label               | definition   |
|----|---------------------|--|
| 1  | good value          | Good quality data value that is not part of any identified malfunction and has been verified as consistent with real phenomena during the quality control process  |
| 2  | probably good value | Data value that is probably consistent with real phenomena but this is unconfirmed or data value forming part of a malfunction that is considered too small to affect the overall quality of the data object of which it is a part |
| 3  | nrobably bad value  | Data value recognised as unusual during quality control that forms part of a feature that is probably inconsistent with real phenomena   |
| 4  | bad value           | An obviously erroneous data value  |
| 8  | interpolated value  | This value has been derived by interpolation from other values in the data object.   |

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The DEPTH\_TEST\_QC contains the outcome of two tests, one based on GEBCO local bathymetry (test 2 in Table 2) and one based on the last good depth recorded by the operator (test 3 in Table 2). Since the GEBCO local bathymetry was often in disagreement with the operator information we decided to keep the output of test 3 in DEPTH\_FLAGS\_QC, considering the operator's annotation more reliable.

- The general rule adopted for mapping the OC tests exit values to T OFs is the following:
  - GOOD (QF=1) where all the tests pass;
  - BAD (QF=4) where at least one of the checks fails.

We decided to use a higher level of detail, introducing also "probably good" (QF=2) and "probably bad" (QF=3) flags, when it's needed, since surface (test 5 in Table 2) and inversion/gradient tests (test 6 in Table 2) can provide more information on profile behavior. After applying general rule for GOOD and BAD flags, we consider the flags coming from the two mentioned tests and we update the flags as follows:

- PROBABLY GOOD (QF=2) if the surface test returns a "probably good" flag;
- PROBABLY BAD (QF=3) if the surface and/or the inversion test returns a "probably bad" flag.

Only measurements that have associated T and depth QFs equal to 1 or 2 have been used for the interpolation at each meter depth. A relative QF associated to the interpolated profile has also been generated in order to

label ("interpolated value", QF=8) when there is a gap of more than 5 consecutive points in the original profile, which coincides with the number of points used to detect spikes (~3 m).

# 4.3 Calibration of the XBT system and correction

were heavily affected by the DAQ functioning.

As previously highlighted, checking with a tester provides an assessment of the efficiency of an XBT system. Once a tester is connected to an XBT system in a simulated drop, the tester's measurement indicates how the XBT system's reading differs from nominal values at some reference temperatures. These differences, which can be constant or variable over the time interval of data acquisition, can then be used to correct the values of the XBT profiles. Each tester used during the campaigns on the MX04 line after July 2010 has two reference temperatures (see Appendix A for details).

Checks, immediately before the first drop and after the last drop, were routinely performed. Further checks were carried out whenever the computer or DAQ had failures. The differences measured at the reference temperatures at the start/end of each MX04 cruise are shown in Figure 5a, while their drift during a cruise is shown in Figure 5b. The values vary marginally and slightly over the time, but large anomalies occurred in September 2013 (cruise 14) and June 2014 (cruise 18) for unknown reasons. The DAQ used in those campaigns showed an initial offset followed by a random and oscillating variability throughout the day: for example, the recorded values during the checks in June 2014 were 26.678 °C (start), 26.649 °C, 26.668 °C and 26.666 °C

(end) instead of 26.758 °C. This type of anomaly was also found from Reseghetti et al. (2018) during XBT vs.

CTD comparison tests, where it was pointed out that the T differences between the XBT and CTD profiles

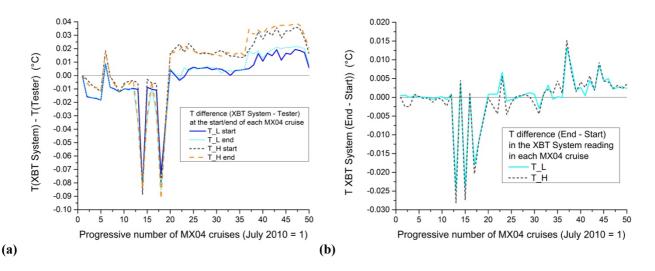


Figure 5 (a) Temperature difference (XBT System-Tester) obtained from the checks at the reference temperatures before starting and at the end of each MX04 cruise. (b) Difference between initial and final measurement with the tester during the same cruise at the reference temperatures.

### 4.3.1 Correction Algorithm

The measurements with a tester are used to correct the T values of each XBT profile of a campaign under the assumption that the difference between the initial and final tester readings at reference temperatures varies linearly over time from the beginning to the end of the campaign. The reference values are obtained by calculating the average resistance value over the last 30 consecutive recorded values at each temperature in the simulated drop (i.e. 3 seconds of acquisition, with a sampling frequency of 10 Hz) and then converted into T values (for details, see Appendix A). The differences between the nominal temperatures and the read values are linearly interpolated as a function of the time elapsed since the first launch to calculate their hypothetical value in correspondence with each XBT probe during the campaign. In case of a single-point tester, a constant correction is added to each value of the XBT profile. In case of two-point tester, the correction is obtained by a further linear interpolation, based on the differences at upper and lower temperatures of this tester.

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- N is the number of XBT probes deployed during the campaign;
- T<sub>+</sub> and T<sub>-</sub> nominal upper and lower temperature on the tester;
  - $\Delta T_{+,i}$ ,  $\Delta T_{+,f}$  initial and final temperature difference at the value  $T_+$ ;
  - $\Delta T_{-,i}$ ,  $\Delta T_{-,f}$  initial and final temperature difference at the value  $T_{-}$ ;
    - $t_i$ ,  $t_f$  initial and final time of the XBT drops (usually,  $t_i$  is set to 0);
  - $t_k$  time elapsed from the initial check with the tester, which is assumed to be coincident with the first XBT drop ( $1 \le k \le N$ );
- $T_{+,k}$  and  $T_{-,k}$  theoretical upper and lower temperature that the tester should read at the k-th drop.
- These last values can be calculated as

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$$T_{+,k} = T_{+,i} + \Delta T_{+,k}$$
 and  $T_{-,k} = T_{-,i} + \Delta T_{-,k}$ 

where the estimated difference at upper and lower reference T corresponding at the k drop are:

$$\Delta T_{+,k} = -\left[\Delta T_{+,i} + \left(\frac{\Delta T_{+,f} - \Delta T_{+,i}}{t_f - t_i}\right)(t_k - t_i)\right] \text{ and } \Delta T_{-,k} = -\left[\Delta T_{-,i} + \left(\frac{\Delta T_{-,f} - \Delta T_{-,i}}{t_f - t_i}\right)(t_k - t_i)\right]$$

The so calculated contributions are combined in the correction term for the specific k XBT:

$$\Delta T_{corr,k} = \left(\frac{\Delta T_{+,k} - \Delta T_{-,k}}{T_{+} - T_{-}}\right) \left(T_{read,k} - T_{-}\right) + \Delta T_{-,k}$$

and then added the original value  $T_{read,k}$  recorded by the DAQ:

$$T_{corr,k} = T_{read,k} + \Delta T_{corr,k}$$

 $T_{corr,k}$  is thus the value that best represents the actual seawater temperature measured by the k XBT probe assuming that the calculated correction (based on the initial and final measurements provided by the tester) is the best way to describe how the XBT system operates when the probe was deployed. Obviously,  $\Delta T_{corr,k}$  is not related to the measurement quality due to the probe characteristics or to possible issues during data acquisition. When the calibration is available, the correction calculated in this way has been applied to the raw data prior to the QC analysis but it is also provided as a separate variable (CALIB) so that the user might decide to remove it. This correction must absolutely not be applied to the profiles from XCTD-1 probes because their

acquisition circuit works in a completely different way and the shipboard DAQ simply acts as a data receiver and does not play an active role in the measurement.

## 4.4 Vertical interpolation

- 572 Three interpolation methods were tested: linear (LI), RR (Reiniger and Ross, 1968) and MR-PCHIP (Barker
- and McDougall, 2020). The goal is to select the most conservative method, i.e. the one that provides the closest
- 574 interpolated T values to the original reading. The original measurements of each XBT profile were subsampled,
- discarding half of the measurements then used as control values against the newly interpolated ones to calculate
- differences and Root Mean Square Differences (RMSD) and therefore evaluate the best interpolation method
- 577 for our dataset.

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- Original values have been interpolated with the three methods on the control depth levels and the resulting T
- estimates have been compared with the measured ones. Figure 6 shows an example of an observed profile with
- 580 highlighted control levels (magenta), the interpolated profile with the three considered methods and the relative
- differences (interpolated-original). Figure 6a presents an example of the large T differences that occur between
- interpolated and measured values (0.4 °C or -0.2 °C) along the thermocline at about 35 m. Figure 6b shows a
- step-like profile below 600 m depth where the differences are very small, less than 0.02 °C, but they can
- slightly increase and differ among the three methods where T vertical gradients occur.
- Mean bias and RMSD have been computed in vertical bins (766) of 3 m thickness and the obtained metrics
- profiles are displayed in Figure 7, associated with their relative vertical data distributions. These metrics have
- been computed for the whole dataset and for two separate time periods: from June to November (when the
- thermocline is well developed) and from December to May (when the water column is more homogeneous).
- The mean bias in Figure 7 presents values in the range (-0.001, +0.001) °C, the interval halves from December
- to May whereas it practically doubles (-0.002, +0.001) °C from June to November. The maximum RMSD
- when considering all profiles is about 0.04 °C, it halves from December to May while it is close to 0.06 °C
- from June to November. Except for the Dec-May plot, the maximum RMSD values are associated with LI and
- 593 RR methods but we note that RMSD  $\leq$  0.01 °C for the three methods below 100 m depth.
- The total RMSD on the entire water column has been summarized in Table 6 for the three time periods and
- the surface layer above 100 m. In fact, the total bias estimated is zero for the three methods and the three time
- 596 periods, while the total RMSD is 0.011 °C for LI, 0.011 °C for RR and 0.010 °C for MR-PCHIP, while in the
- surface layer the values are 0.023 °C, 0.021 °C and 0.019 °C respectively. The maximum RMSD values usually
- occur during the stratified period (Jun-Nov) with values equal to 0.013 °C for LI, 0.012 °C for RR and 0.011
- °C for MR-PCHIP, that in the surface layer become 0.030 °C, 0.027 °C and 0.023 °C, respectively.
- The computed metrics in vertical bins present very small values, much lower than and the specified T
- uncertainty (0.10 °C). However, the absolute differences in the surface layer when the thermocline settles can
- be larger than 0.2 °C as in Figure 6. The MR-PCHIP interpolation always presents the smallest error for the
- analyzed dataset (Table 6) with respect to the reference values, thus it has been applied.

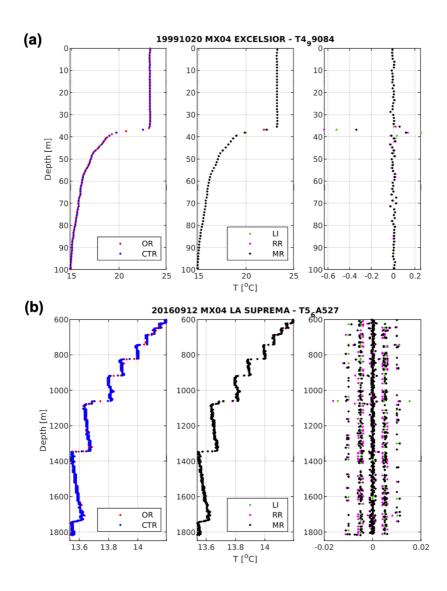


Figure 6 Temperature profiles in the surface layer 1-100 m (a) and in the deep layer 600-1800 m (b): (left) magenta dots represent the control records; (middle) interpolated temperature values with linear LI (linear), RR (Reiniger and Ross, 1968) and MR-PCHIP (Barker and McDougall, 2020); (right) differences between the interpolated and measured T values.

Table 6 Summary of the computed metrics from the three interpolation methods: linear (LI), RR and MR-PCHIP Temperature RMSD [°C] have been computed in the entire water column and in the surface layer (0-100 m) from the whole dataset (All) and in two time periods December-May (mixed) and June-November (stratified).

| RMSD    | LI    | RR    | MR-PCHIP |
|---------|-------|-------|----------|
| All     | 0.011 | 0.011 | 0.010    |
| 0-100 m | 0.023 | 0.021 | 0.019    |
| Dec-May | 0.010 | 0.010 | 0.010    |
| 0-100 m | 0.014 | 0.014 | 0.013    |
| Jun-Nov | 0.013 | 0.012 | 0.011    |
| 0-100 m | 0.030 | 0.027 | 0.023    |

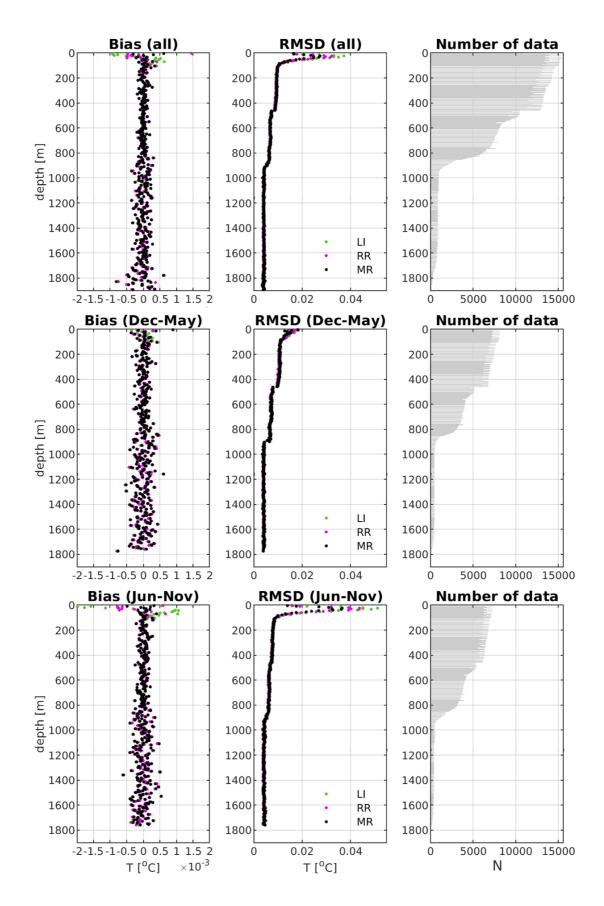


Figure 7 Profile of mean bias (left) and RMSD (middle) computed from profiles interpolated on selected depths and compared to the corresponding measured values considering the three methods: linear (LI), MR-PCHIP (MR) and Reniger and Ross (RR). Three different time spans are shown: (top) the whole dataset; (middle) from December to May; (bottom) from June to November. (right) Vertical data distribution in 3 m bins.

#### 5. Results

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618 The QC algorithms applied to the dataset are not capable of catching all erroneous values. According to Good 619 et al. (2023) any automatic QC test produces a percentage of True Positives (TP, correctly detected erroneous 620 data) and False Positives (FP, incorrectly detected erroneous data) and the general aim would be to maximize 621 the TP (correct flagging) rate and minimize the FP (incorrect flagging) rate. 622 The new automatic QC procedure has been tuned using visual checks to reach an optimal TP/FP rate. 623 Specifically, efforts have been made to tune the vertical gradient and spike thresholds, using quantiles analysis 624 to maximize the detection of erroneous data (TP) and minimize flagging of GOOD data as BAD (FP). This 625 was particularly tricky for the vertical gradient test which detected 121 profiles with out of bounds values, but 626 28 of them appeared FPs (FP/TP rate of 23%) from visual check. In fact, the strong seasonal stratification of the Mediterranean Sea and the presence of several water masses in different water layers might cause the 627 628 incorrect flagging of GOOD data as BAD (FP), as shown in Figure 8b,d. This makes the vertical gradient test 629 non-optimal for the Mediterranean Basin with a high FP rate, thus a very small percentage associated with the 630 quantiles have been selected to minimize this. The spikes test is much more effective (331 profiles with detected spikes of which 11 are FPs), providing a 631 low FP/TP rate (3.3%). Figure 9 shows example profiles with TP spikes (a) and FP spikes (b), mainly marked 632 at the start of the thermocline. 633 However, some profiles present anomalous features that automatic QC procedure could not detect. The 634 635 decision was to add a flag associated with the whole profile indicating the depth range where unrecoverable 636 problems began. The decision is based on the knowledge of the main physical characteristics of the water masses present in the analyzed region. In fact, the very small Rossby radius (~11 km on average) and the 637 638 occurrence of repeated and well-documented thermal inversions must always be considered when the quality 639 of the T profiles is analyzed. Step-like structures ("staircases") are also typical of the southern Tyrrhenian Sea, 640 explained usually in terms of the double diffusion process (Meccia et al. 2016; Durante et al., 2021). 641 Sometimes, the meteorological conditions and a non-accurate knowledge of the bathymetry can make the expert validation of XBT profiles difficult, but their extreme variability can also be ascribed to multiple 642 643 instrumental and operational factors. In every XBT drop, the correct unwinding of the wire from both spools, 644 adequate and complete protection of the insulating substance along its entire length are essential to guarantee 645 good quality of the recorded data. For example, most profiles from XBTs launched from ships traveling at low speed (i.e. v < 15 knots, less than 10% of the dataset) are generally less affected by significant electrical 646 647 disturbances, even in the presence of wind. Unfortunately, the ships used on the MX04 line (from which most 648 of the REP profiles belong) have a standard speed close to 22 knots and this makes the acquisition conditions 649 vulnerable. The XBT profiles from containerships also have a lower quality due to the usually very high launch 650 position (h > 25 m), which makes the probe depth in the initial measurements provided by software 651 questionable (Bringas and Goni, 2015). As mentioned in section 2, the electric current that circulates in the unwinding copper wire transforms it into an antenna sensitive to all electromagnetic phenomena occurring in 652

on the recorded signal, same as the proximity to on-board instrumentation producing significant electromagnetic fields and whose operation is random. The physical parameter measured by the XBT system is the electrical resistance, which has two components: one is from the copper wire and the other from the NTC thermistor which falls through the water column. Gusts of wind combined with turbulence produced by the ship hull can produce "whiplash" on the copper wire and badly influence the shape of the profiles collected with particularly unfavorable wind conditions.

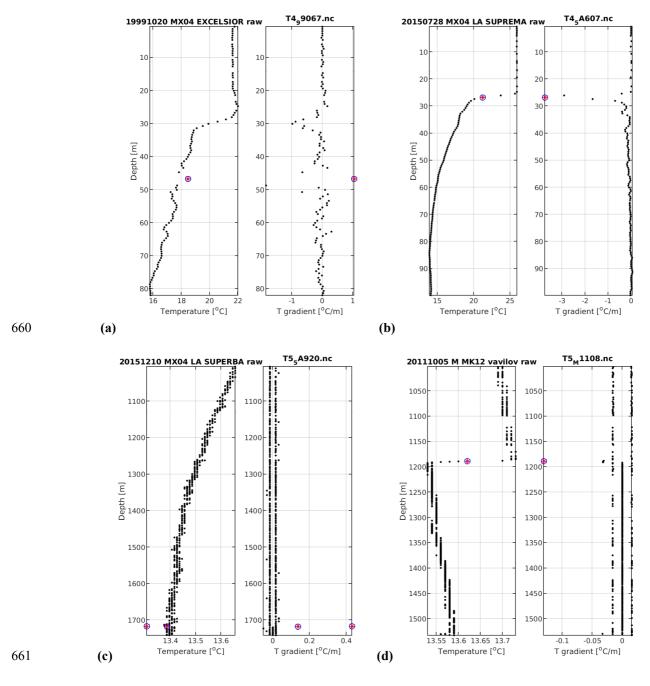


Figure 8 Examples of temperature gradient flags applied to different XBT profiles: (a) true positive vertical gradient anomaly in the surface layer; (b) false positive vertical gradient anomaly in the surface layer; (c) true positive vertical gradient anomaly in the bottom layer, (d) false positive vertical gradient anomaly in the bottom layer. The sub-plots have different axes ranges.

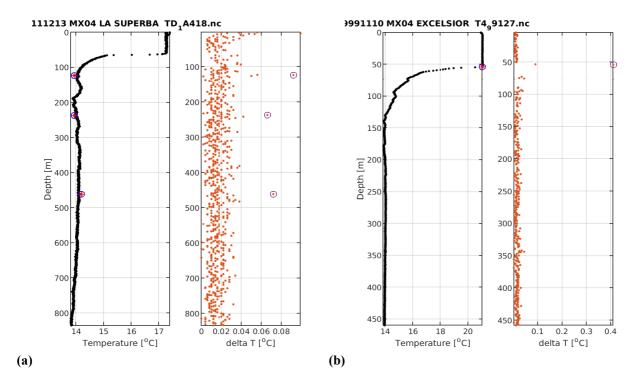


Figure 9 Examples of spikes detected in two different XBT profiles: (a) true positive spikes; (b) false positive spike at the start of a steep thermocline. The orange dots in the right panels of (a) and (b) indicate the estimated value of the  $s_k$  parameter having  $c_k$  not equal to zero. The sub-plots have different axes ranges.

A difficult task has been how to identify the external influences that cause high frequency noise in the T profile, as in the examples of Figure 10 c-d-e, and how to annotate it in the metadata. Some other anomalous thermal structures, compared to what is expected in a certain period, region and depth layer are shown in Figure 10 a-b and f. The visual check carried out by the expert allows in some cases to highlight notable deviations in the shape and/or values of a profile compared to adjacent ones. The probability of having the same type of anomalous structure recorded by two adjacent XBT probes in time and space is considered negligible, favoring the occurrence of something physical instead of non-optimal functioning of a specific probe. Sometimes the initial BAD attribution to anomalous structures was subsequently reviewed by the comparison with adjacent profiles that present similar features (e.g. Fig.10 a).

#### 5.1 Comparison with SeaDataNet data version

A significant part of the XBT profiles included in this dataset have been systematically disseminated through the SDN infrastructure and can be accessed from the data access portal through the saved query Url <a href="https://cdi.seadatanet.org/search/welcome.php?query=1866&query\_code={4E510DE6-CB22-47D5-B221-7275100CAB7F}">https://cdi.seadatanet.org/search/welcome.php?query=1866&query\_code={4E510DE6-CB22-47D5-B221-7275100CAB7F}</a>). Alternatively, they can be found in the Mediterranean aggregated dataset product (Simoncelli et al., 2020a) in which they are integrated with other data types (CTDs, bottles, MBTs, profiling floats). This data product has been further validated in the framework of the SeaDataCloud project (<a href="https://www.seadatanet.org/About-us/SeaDataCloud">https://www.seadatanet.org/About-us/SeaDataCloud</a>), as described in Simoncelli et al. (2020b).

The SDN XBT dataset, extracted from Simoncelli et al. (2020a) is considered here as a benchmark to highlight the main effects of the proposed data reprocessing. Bias and RMSD profiles have been computed from 3104 matching profiles with a vertical data distribution shown in Figure 11. Since SDN profiles do not have the calibration correction, we have computed the separate metrics with and without the correction applied. The black dots represent all matching profiles, green dots represent the profiles without correction and the red dots have the correction applied.

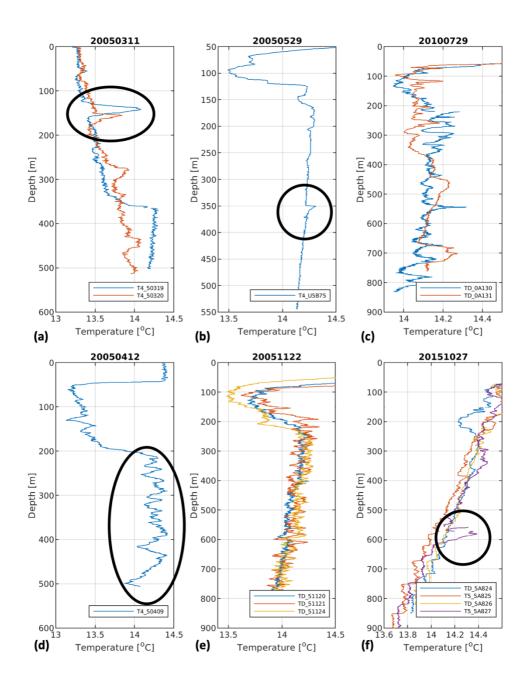


Figure 10 Examples of profiles with critical features: (a-b-f) anomalous thermal structures; (c-d-e) profiles affected by high frequency noise. The name of the selected profiles is shown in the legend. The sub-plots have different axes ranges.

The maximum discrepancy among the two data versions resides always within the surface layer until 150 m depth. The maximum bias and RMSD reach approximately 0.05 °C and 0.2 °C respectively, which might imply

potential significant changes in downstream applications. The bias is larger (~0.06 °C) when estimated from profiles without calibration correction and slightly smaller (~0.04 °C) from calibrated profiles, while the largest RMSD derives from profiles with the correction applied, indicating that the correction slightly increases on average the REP temperature values and consequently the positive bias.

The REP profiles are warmer than SDN ones in the surface layer and below 900 m, while between 150 m and 800 m both metrics are small and consistent. The overall mean bias and RMSD are equal to 0.002 °C and 0.041 °C, respectively. Such differences are mainly due to the new interpolation technique, the lack of filtering, the application of the calibration correction in the REP dataset, and in very few cases, the use in SDN of wrong FRE coefficients or the incorrect probe type assignment which can produce a change of the depth values. The sharp reduction in the number of observations available below about 900 m depth and the application of the tester correction affect the shape of both BIAS and RMSD profiles.

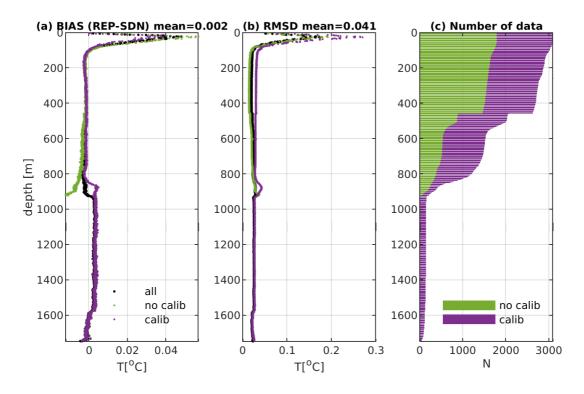


Figure 11 Comparison between the reprocessed (REP) and the corresponding SeaDataNet (SDN) profiles at each meter depth: (a) Bias mean profile; (b) RMSD profile and (c) cumulative vertical data distribution which shows the relative contribution of profiles with calibration and profiles without calibration to the total.

Figure 12 shows examples of matching REP and SDN profiles and their difference with a zoom in the surface (a) and bottom layer (b and c), where the largest differences occur. During the stratified period, the largest differences reside in the thermocline and can exceed 1.5 °C (Figure 12a), while in the bottom layer the calibration correction (see Figure 12b, c) together with the abrupt decrease of the number of data explain the small positive average bias in Figure 11a. In fact, numerous T5/20 profiles (maximum rated depth, see Table 1) were launched (~7% of the total) in the few campaigns in which the acquisition system showed significant negative anomalies and this influenced both BIAS and RMSD profiles below 900 m depth. The frequent step-

like shape of deep profiles (Figure 12c), due to double diffusion processes (Meccia et al. 2016; Durante at al., 2021), causes instead positive spikes in the difference profiles.

In the SDN dataset, the interpolation of raw profiles at each meter depth has been combined with the application of a Gaussian filter to reduce possible noise (Manzella et al., 2003 and 2007). Consequently, a general smoothing of T profiles is observed, which is appreciable to remove/reduce unrealistic high frequency oscillations, if needed, but it also affects the values of the whole profile. The main effect is that the shape of thermal structures is smoothed out, more or less evidently depending on the recorded T gradient.

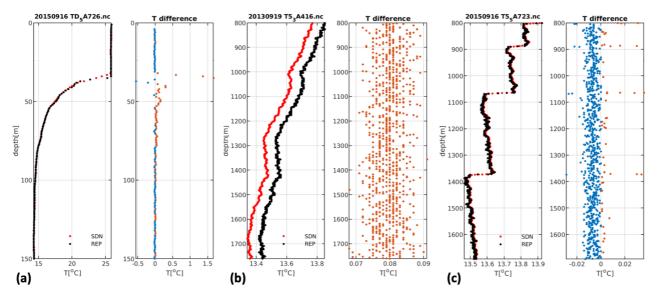


Figure 12 Example of a reprocessed (REP) profile and the corresponding SeaDataNet (SDN) one on the left and their difference on the right: (a) zoom in the surface layer 0-150 m; (b and c) zoom in the bottom layer below 800 m.

#### 6. Summary and Conclusions

This work presents the reprocessing of XBT profiles in the Ligurian and Tyrrhenian Seas over the time period 1999-2019. The added value of this analysis is the availability of the original raw data and all the metadata from the operational manual notes. This allowed us to create the most complete dataset possible with metadata accompanying each individual T profile. The surface measurements have been added with quality indication and a correction from calibration has been applied, when available, to T values (generally in the range 0.01-0.02 °C), representing the best estimate of the thermal offset due to the operating XBT system characteristics. A new automatic QC procedure and a new vertical interpolation (Barker and McDougall, 2020) have been implemented without the application of any filter that: on one side, removes unrealistic high frequency oscillations, and on the other, it smooths out the thermal structure of the T profiles with main impact on the surface layer during stratified conditions. The adoption of a Gaussian filter in SDN data (Manzella et al., 2003; 2007) was justified by the purpose of assimilating XBT profiles in the Mediterranean Forecasting System that in the early 2000s was characterized by a much lower resolution compared to the present numerical model capabilities. Cheng et al. (2014) XBT bias correction scheme for both temperature and depth records has also been applied to the calibrated profiles, in agreement with the recent literature, to facilitate the REP dataset

747 integration with other data types for climate studies. The REP dataset gives researchers the most complete

information for its re-use for different applications (assimilation in ocean and climate models, process and

climate studies). It can also be used to test new QC algorithms or the order on which to apply them to further

- 750 improve the data quality.
- 751 The adoption of FAIR data management principles through the use of SeaDataNet standards and the
- dissemination strategy based on the ERDDAP server implementation are additional values of this effort,
- allowing its machine to machine access.
- XBTs are a 60-year-old technology. Though the quality of their measurements might not fit the purpose of all
- applications and they leave debris in the ocean, "XBTs provide the simplest and most cost-efficient solution
- for frequently obtaining temperature profiles along fixed transects of the upper ocean" (Parks et al., 2022)
- using ships of opportunity. Moreover, the XBT measurements along the MX04 track were for some periods
- among the few measurements recorded in the Tyrrhenian and Ligurian Seas. Despite the limitations of the
- XBT characteristics, they constituted the simplest way to verify the physical state of the upper layer of those
- basins. It is therefore very important to provide those profiles with the best quality and usability indications.
- For this reason, the MX04 line has been re-established on a seasonal base in the framework of the MACMAP
- project after a two-year break for climate monitoring.
- In recent years, the use of XBTs has also been criticized because all probe components fall to the seabed.
- Given the current MACMAP sampling strategy with 37 launches in fixed and determined positions along the
- MX04 line, the quantity of material abandoned at sea for each campaign can be easily estimated (about 22 kg
- of ZAMAK, just over 2 kg of plastic and about 11 kg of copper wire). It would be preferably that the XBT
- probes were made of alternative materials (e.g., iron "nose" and biodegradable plastic components), however,
- 768 in our cost-benefit analysis, the environmental impact due to the REP dataset is balanced by the scientific
- results. Finally, the deployment of the XBT probes described here did not contribute to additional emissions
- of CO2 and other atmospheric pollutants, because only ships of opportunity were used and in the case of
- research vessels, the launch of the XBT probes was ancillary to the primary purpose of the scientific cruise.

## 7. Data Availability and FAIRness

- The management of the REP dataset has been conceived since the beginning to be compliant with the FAIR
- data management principles (Wilkinson et al., 2016) and the open science paradigm. The REP dataset
- (Reseghetti et al., 2024; https://doi.org/10.13127/rep\_xbt\_1999\_2019.2) is available and accessible through
- 776 INGV (Bologna) ERDDAP server (http://oceano.bo.ingv.it/erddap/index.html), which allows machine to
- machine data access, enables downloading subsets of the dataset and gives to the users the possibility to select
- among several download formats. ERDDAP is a FAIR-compliant data access service (O'Brien and Delaney,
- 779 2024) in line with the GOOS (Global Ocean Observing System) Observations Coordination Group
- 780 (https://goosocean.org/who-we-are/observations-coordination-group/) strategy. In fact, according to Lange et
- al. (2023), ERDDAP "(i) supports dozens of popular formats; (ii) provides standards-based metadata and data
- 782 services and formats; (iii) supports federated access of distributed ERDDAP data services; (iv) supports both

- human and machine interactions; (v) supports sub-setting of large datasets; (vi) provides improved discovery
- of datasets through commercial search engines; and (vii) provides support for archival of datasets". The REP
- dataset is machine-readable, enabling its automated transfer, through a federated ERDDAP server's approach,
- 786 to other repositories and marine data infrastructures, such as EMODnet Physics
- 787 (<a href="https://emodnet.ec.europa.eu/en/physics">https://emodnet.ec.europa.eu/en/physics</a>) (Novellino et al., 2024).
- The raw data with calibration information, bias correction and the interpolated data at standard depths after
- data QC are released with complete metadata description together with all the processing information in order
- to facilitate data reuse. The metadata are available through *url metadata* variable (Appendix C.6). Data and
- metadata of each profile can be easily associated through the *profile id* and *cruise id* fields. To facilitate data
- reusability, we prepared a Jupyter Notebook in Python that allows recombining all data and metadata in
- NetCDF files, one per XBT profile. The notebook (Fratianni and Frizzera, 2024) is available on a GitHub
- repository and published on Zenodo.
- The standards adopted for the dissemination of the REP dataset are described in detail in Appendix C.
- The ODV collection of the REP interpolated dataset, used for the visual check, is also available on request.

# 799 **Author contribution**

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- SS conceptualized the work, FR curated the original data (collecting a significant portion of it), CF developed
- the QC software, under the methodology supervision of SS, FR and LC. GR prepared the correction from the
- 802 calibration of DAQs. CF manages and curates the reprocessed dataset. SS, FR and CF prepared the manuscript
- with contributions from GR and LC.

# **Competing interests**

- S. Simoncelli is a member of the editorial board of the journal. Co-authors declare that they have no conflict
- of interest.

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  - The Italian shipping company GNV, a very special partner that has allowed the monitoring activity
- since September 1999: in particular Marco Fasciolo, Dr. Mattia Canevari, the captains, the officers
- and all the crews for their precious collaboration;
- Persons involved in data collection on the MX04 line, namely M. Borghini, F. Dell'Amico, C.Galli,
- 815 E. Lazzoni (CNR-ISMAR), M. Morgigni and A. Baldi (ENEA-STE);
- CNR-ISMAR-Lerici for the very long collaboration that has allowed the acquisition of numerous XBT
- profiles from research vessels, in particular the crew and technicians of the RV Urania;

- The international shipping companies Hapag Lloyd, CMA CGM and Arkas, their managers and crews for their valuable collaboration;
- Responsible officers ashore and on board, crews and technicians of ships belonging to IIM, in particular CF Maurizio Demarte and Dr. Luca Repetti.

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  - The Federal Research Laboratory NOAA-AOML of Miami (FL), in particular Dr. Gustavo Goni and Dr. Francis Bringas, for the supply of the XBT probes used during some MX04 campaigns and for the support in carrying out the operational activities;
  - Stefano Latorre (INFN, Milan), key person in the development and implementation of the testers and their periodic calibration;
  - One of the authors (FR) for having supplied his own instrumentation and XBT probes for carrying out oceanographic campaigns since 2008.
- A very special thanks to Giuseppe M. Manzella, who created the SOOP program in the Mediterranean Sea and coordinated it until 2013 and was among the pioneers in the development of marine data infrastructures. He supported this paper, providing useful comments.
- We acknowledge Marjahn Finlayson for reviewing the English, and Mario Locati (head of the INGV data management office) for his continuous support. This work has been developed in the framework of the MACMAP project, funded by Istituto Nazionale di Geofisica e Vulcanologia (Environment Department), and coordinated by Antonio Guarnieri that we thank.

# Appendix A

#### **Characteristics of test canisters**

While in the laboratory, it is easy to have steady and controlled environmental conditions for measurements, in the field, this is only an aspiration of the operators. Furthermore, repeated operation in conditions of high temperature, humidity and salinity certainly does not facilitate the proper functioning of the electronic instrumentation. The DAQ in an XBT system should read the nominal value of a resistance (within the uncertainties of the measurements) showing no changes in its reading over time. The use of a tester with high quality resistors is the preferred method to verify this. Between 2007 and 2010, two testers were built using very high precision resistors (model KOA-Speer RN73r1jttd1002b10) combined in such a way as to achieve corresponding T values similar to the extreme ones measured in the marine regions under investigation. The resistance values of both testers were checked each year with a Wavetek Datron 1281 8.5 digits multi-meter in a laboratory of the INFN (Italian National Institute of Nuclear Physics) in Milan (room temperature always in the range 20-24 °C during measurements). The reading remained stable (within 0.1 Ohm) over the period 2008-2019 for the former and 2010-2015 for the latter.

Table A1 - The resistance values measured in the control tests with the corresponding temperature values calculated by a Hoge 2 equation for the two testers used in the XBT data acquisition campaigns since 2010.

| Model Resistance 1 (Ohm) |                  | Temperature 1 (°C) | Resistance 2 (Ohm) | Temperature 2 (°C) |  |
|--------------------------|------------------|--------------------|--------------------|--------------------|--|
| Test canister 1          | $4631.0 \pm 0.1$ | $26.758 \pm 0.001$ | $8960.1 \pm 0.1$   | $12.197 \pm 0.001$ |  |
| Test canister 2          | $4397.2 \pm 0.1$ | $27.956 \pm 0.001$ | $8725.3 \pm 0.1$   | $12.759 \pm 0.001$ |  |

The resistance R values shown in Table A1 are then converted to T by applying the Hoge\_2 R to T equation (Sippican, 1991 and 2010; Hoge, 1988; Chen, 2009; Liu et al., 2018)

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$$T = \frac{1}{A + B(\ln R) + C(\ln R)^2 + D(\ln R)^3} - 273.15^{\circ}C$$

with the following coefficients:  $A = 1.2901230 \cdot 10^{-3}$ ,  $B = 2.3322529 \cdot 10^{-4}$ ,  $C = 4.5791293 \cdot 10^{-7}$ ,  $D = 7.1625593 \cdot 10^{-8}$ 

To our knowledge, this equation and the coefficients remained unchanged since the 1990s for all the DAQs, , namely Sippican MK12, MK21 ISA, MK21 USB, MK21 Ethernet, Turo Devil, Turo Quoll. Sippican used the Steinhart-Hart relation for its MK9 model (IOC, 1992) while tabulated R to T values were used for MK-2A and similar recorders (Sippican, 1968; Plessey, 1975).

# 868 Appendix B

Table B1 - Summary of ships, instrumentation and operating conditions during the collection of the XBT profiles in the REP dataset.

| Ship Name              | Call Sign/<br>IMO No. | Number of<br>Campaigns  | Years of<br>Activity                                | DAQ used  | Height launch<br>(m) | Range of ship speed (knots) |
|------------------------|-----------------------|-------------------------|---|---|----------------------|-----------------------------|
| Excelsior              | IBEX<br>9184419       | 20<br>1<br>7            | 1999-2000<br>2012<br>2017-2018                      | MK12<br>MK21 USB<br>MK21 Ethernet                           | 10±0.5               | 20-24                       |
| Excellent              | IBBE<br>9143441       | 1<br>5                  | 2004<br>2012-2014                                   | MK21 ISA<br>MK21 USB  | 10±0.5               | 19-24                       |
| Splendid               | IBAS<br>9015747       | 1                       | 2011  | MK21 USB  | 10±0.5               | 20-22                       |
| La Superba             | ICGK<br>9214276       | 14<br>1<br>23<br>1<br>3 | 2004-2006<br>2010<br>2010-2016<br>2011<br>2016-2017 | MK21 ISA<br>TURO QUOLL<br>MK21 USB<br>MK12<br>MK21 Ethernet | 11±0.5               | 21-28                       |
| La Suprema             | IBIL<br>9214288       | 2<br>6<br>6             | 2004<br>2011-2016<br>2016-2019                      | MK21 ISA<br>MK21 USB<br>MK21 Ethernet                       | 11±0.5               | 21-28                       |
| Wellington<br>Express  | DFCX2<br>9224051      | 5                       | 2007-2008   | TURO DEVIL  | 25±1.0               | 14-20                       |
| Canberra<br>Express    | DFCW2<br>9224049      | 1                       | 2008  | TURO DEVIL  | 25±1.0               | 14-20                       |
| Stadt Weimar           | DCHO<br>9320051       | 8                       | 2009-2010   | TURO DEVIL  | 27±1.0               | 14-20                       |
| CMA CGM<br>Charcot     | A8HE4<br>9232773      | 5                       | 2009-2011   | TURO DEVIL  | 25±1.0               | 14-20                       |
| Daniel A               | TCLA<br>9238064       | 2                       | 2014  | MK21 USB  | 8±0.5                | 14-17                       |
| Ammiraglio<br>Magnaghi | IGMA<br>8642751       | 3<br>1<br>2             | 2008-2013<br>2011<br>2019                           | MK12<br>MK21 USB<br>TURO QUOLL                              | $(3-6)\pm0.5$        | 1-10                        |
| Aretusa                | IABA                  | 1 2                     | 2006<br>2017-2018                                   | MK12<br>MK21 USB  | $(4-5)\pm0.5$        | 1-10                        |
| Galatea                | IABC                  | 1                       | 2013  | MK12  | $(4-5)\pm0.5$        | 1-10                        |
| Urania                 | IQSU<br>9013220       | 12<br>13                | 2000-2012<br>2005-2014                              | MK12<br>MK21 USB  | $(3-12)\pm0.5$       | 0-11                        |
| Minerva 1              | IZVM<br>9262077       | 1                       | 2015<br>2016  | MK21 USB<br>MK21 Ethernet                                   | $(3-8)\pm0.5$        | 0-11                        |
| Ibis                   |                       | 1                       | 2019  | MK21 Ethernet   | 3 ±0.5               | 0-10                        |

# Appendix C

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#### Format and standards

- The data format adopted to archive the REP dataset is the NetCDF (Network Common Data Form). It is self-
- 876 describing since it includes the metadata that describe both data and data structures. The NetCDF
- implementation is based on the community-supported Climate and Forecasts (CF) specification (CF1.6 profile
- for profile data) and it adopts the SeaDataNet vocabularies (https://www.seadatanet.org/Standards/Common-
- 879 Vocabularies). The reference SDN parameter codes (P01 terms
- 880 <a href="https://vocab.seadatanet.org/v\_bodc\_vocab\_v2/search.asp?lib=P01">https://vocab.seadatanet.org/v\_bodc\_vocab\_v2/search.asp?lib=P01</a>) and the associated standard units (P06)
- terms\_https://vocab.seadatanet.org/v\_bodc\_vocab\_v2/search.asp?lib=P06) are used in order to ensure the
- proper interpretation of values by both humans and machines and to allow data interoperability in terms of
- manipulation, distribution and long-term reuse.
- 884 Each XBT NetCDF file contains:
  - **dimensions** that provide information on the size of the variables (a.k.a. "parameters");
  - **coordinate variables** that orient the data in time and space;
  - **geophysical variables** that contain the actual measurements;
    - ancillary variables that contain the quality information (QFs) values;
- additional variables that include some of the variables being part of SDN extensions to CF;
- **global metadata fields** that refer to the whole file, not just to one variable (a.k.a. "global attributes").

#### 891 **C.1 Dimensions**

- The pattern followed by SDN for "profiles" data type is to have an 'INSTANCE' unlimited dimension plus a
- maximum number of z coordinate levels (MAXZ). We included also string size dimension STRING for text
- arrays and added test size dimensions referring respectively to test QFs on temperature (TST T) and depth
- 895 (TST D) values and the maximum number of z coordinate levels for the data re-sampled at a 1 m interval, after
- the OC is applied (MAX INT).

#### C.2 Coordinate variables

- 898 NetCDF coordinates are a special subset of variables which orient the data in time and space. They are:
- LONGITUDE for x:
- 900 LATITUDE for y;
- 901 TIME for t;
- 902 DEPTH for z.

## C.3 Geophysical variables

- 904 Each file contains:
  - depth: depth at original vertical resolution;

- TEMPET01: Calibrated sea water temperature at original vertical resolution;
- DEPTH COR: Original vertical resolution depth corrected by applying Cheng et al. (2014);
- TEMPET01\_COR: Calibrated and corrected sea water temperature as resulting by applying Cheng et al. (2014);
- DEPTH INT: depth interpolated on standard depth levels using Barker & McDougall (2020) method;
- TEMPET01\_INT: TEMPET01 interpolated on standard depth levels using Barker & McDougall (2020) method;
- DEPTH\_COR\_INT: DEPTH\_COR interpolated on standard depth levels using Barker & McDougall (2020) method;
  - TEMPET01\_COR\_INT: TEMPET01\_COR interpolated on standard depth levels (each meter depth) using Barker & McDougall (2020) method;
- Calibration values are provided in a separate variable, CALIB, so that experts can trace back the raw (uncalibrated) profile if needed.
- For each coordinate and geophysical variable four mandatory parameter attributes are included, as defined in Lowry et al. (2019):
- 1. *sdn\_parameter\_urn*: this is the URN (Uniform Resource Name) for the parameter description taken from the P01 vocabulary;
- 2. *sdn\_parameter\_name*: this is the plain language label (Entryterm) for the parameter taken from the P01 vocabulary at the time of the data creation;
  - 3. sdn uom urn: this is the URN for the parameter units of measurement taken from the P06 vocabulary;
- 4. *sdn\_uom\_name*: this is the plain language label (Entryterm) for the parameter taken from the P06 vocabulary at the time of data file creation.
- Moreover, since some of the coordinate variable names could be ambiguous, particularly for the z-coordinate,
- 929 we adopt the standard name (P07 vocabulary,
- 930 <a href="https://vocab.seadatanet.org/v">https://vocab.seadatanet.org/v</a> <a href="body-vocab-v2/search.asp?lib=P07">body-vocab-v2/search.asp?lib=P07</a>), not mandatory in CF but widely used,
- which significantly enhances interoperability.

# 932 C.4 Ancillary variables

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- In order to report data quality information on a point by point basis, every measurement is tagged with a single-
- byte encoded label referred to as a 'flag'. The flag variables are mandatory for all coordinate and geophysical
- variables to which they relate through 'ancillary variables' in the parent variable set to the name of ancillary
- variable attribute (Lowry et al., 2019). The flags are encoded using the SDN L20 vocabulary
- 937 (https://vocab.seadatanet.org/v bodc vocab v2/search.asp?lib=L20) and each ancillary variable carries
- 938 attributes 'flag values' and 'flag meanings', which provide a list of possible values and their meanings.
- 939 For coordinate variables, the ancillary variables are the following:
  - TIME SEADATANET QC: it is the ancillary variable referring to TIME parent variable;

- POSITION\_SEADATANET\_QC: Longitude and latitude flag variables are combined into a single flag for 'position', following OceanSITES (2020) practice.
- For depth coordinate, the ancillary variables are:

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- DEPTH TEST QC: it contains flags coming from the application of depth check test;
- DEPTH\_FLAGS\_QC: it contains flags associated with each original depth value and summarizes the results of the performed depth test check mapped on SDN L20 vocabulary;
- DEPTH\_COR\_FLAGS\_QC: it contains flags associated with each corrected (Cheng et al., 2014; CH14) depth value;
  - DEPTH INT SEADATANET QC: it contains flags associated with the interpolated profile;
- DEPTH\_COR\_INT\_SEADATANET\_QC: it contains flags associated with the corrected (CH14) interpolated profile.
- For temperature geophysical variable, the ancillary variables, similarly to depth coordinate, are the following:
  - TEMPET01\_TEST\_QC: it contains exit values coming from the application of independent temperature check tests;
  - TEMPET01\_FLAGS\_QC: it contains the QFs associated with each calibrated temperature value and summarizes the results of the performed independent temperature test checks mapped on SDN L20 vocabulary;
  - TEMPET01\_COR\_FLAGS\_QC: it contains the QFs associated with each calibrated and corrected (CH14) temperature value;
  - TEMPET01\_INT\_SEADATANET\_QC: it contains QFs associated with the temperature interpolated profile;
  - TEMPET01\_COR\_ INT\_SEADATANET\_QC: it contains QFs associated with the corrected (CH14) temperature interpolated profile

#### C.5 Additional variables

In addition to attributes, some variables from the SDN extension have been adopted:

- 1. SDN CRUISE: an array containing the name of project which funded the cruise;
- 2. SDN\_EDMO\_CODE: an integer array containing keys identifying the organization in the European Directory of Marine Organizations (EDMO, <a href="https://www.seadatanet.org/Metadata/EDMO-Organisations">https://www.seadatanet.org/Metadata/EDMO-Organisations</a>)
  - 3. *SDN\_BOT\_DEPTH*: a floating-point array holding bathymetric water depth in meters where the sample was collected or measurement was made. We considered the local bottom depth extracted from the GEBCO Compilation Group (2021).
- In order to preserve and keep track of metadata associated with each profile (*ulr\_metadata*) in the dissemination through ERDDAP, other variables have been adopted:
- 976 4. *cruise\_id*: an array containing the name of the project which funded the cruise plus the year and the month of the cruise:

5. profile id: an array referring to the sequence of the profile during the corresponding cruise.

#### C.6 Global metadata fields

one per XBT profile.

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The global attribute section of a NetCDF file describes its content overall. All attributes should be human-980 981 readable and contain meaningful information for data discovery and re-use. Most importantly, all available 982 discovery metadata to the SDN mandatory attributes have been introduced following recommendations of the XBT community. Moreover, several studies (Cheng et al., 2014; 2016; 2018; Goni et al., 2019) highlighted 983 984 the dependency of the biases on probe type, time (due to variations in the manufacturing process) and changes 985 in the recording systems (Tan et al., 2021). For these reasons, the following information has been inserted in 986 the XBT metadata description: probe type with serial number, manufacturer, manufacturing date, FRE coefficients used to calculate the depth, launch height, DAQ model and recorder version (Cheng et al., 2016). 987 988 Ship speed, wind speed, and probe mass (available since 2018) have been added to this metadata section, when 989 available. The depth (depth uncertainty) and temperature (TEMPET01 uncertainty) uncertainties, being equal to each 990 991 profile within the REP dataset, have been included as global attributes. 992 The above-mentioned information has been kept and made available through ERDDAP by an url metadata 993 variable in order to manage more efficiently the many metadata strings. A Jupyter notebook in Python 994 (Fratianni and Frizzera, 2024) has been stored on GitHub repository and published on Zenodo 995 (https://doi.org/10.5281/zenodo.13862792) to access and recombine all data and metadata in NetCDF files,

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