



A long-term (2000-2020) global 0.05 ° continuous atmospheric carbon dioxide dataset (GCXCO₂) combining OCO-2 observations and model simulations based on stack learning

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11 Abstract. High-accuracy atmospheric (carbon dioxide) CO₂ concentration data are critical in understanding the global 12 carbon cycle, but there is still a lack of a high-resolution CO_2 product with long-term and global seamless coverage. In this 13 study, a global continuous 8-day XCO₂ (column-averaged CO₂ dry air mole fraction) product (GCXCO₂) was reconstructed at a spatial resolution of 0.05° from 2000 to 2020, based on OCO-2 satellite data. An ensemble machine learning stacking 14 15 regression model, which combines light gradient boosting machine (LGBM), extreme gradient boosting (XGB), extremely randomized trees (ETR), gradient boosting regression (GBR), and random forest (RF), was utilized to model the relationships 16 between XCO₂ data and auxiliary satellite, simulation data, and meteorological data. A dynamic normalization strategy was 17 18 developed to handle the great temporal variation issue and ensure the temporal expansion of the prediction model. Multiple validation methods were applied to comprehensively evaluate the spatial and temporal generalization ability of the model and 19 20 product. The 10-fold cross-validation shows an overall satisfactory result at a global scale, with $R^2 = 0.974$ and root-mean-21 square error (RMSE) = 0.551 ppm (parts per million). Further spatial extension and temporal prediction experiments also 22 proved that dependable results could be obtained in the regions and time periods without valid OCO-2 satellite observations 23 $(R^2 = 0.958 \text{ and } R^2 = 0.886, \text{ respectively})$. Compared with Total Carbon Column Observing Network (TCCON) ground station observations, the GCXCO₂ product performs better than the model simulation data, demonstrating a better accuracy and a 24 25 higher spatial resolution. Based on the GCXCO₂ product, an upward annual trend of approximately 2.09 ppm/year can be 26 found for global XCO₂ between 2000 and 2020, and significant differences are found between the Northern and Southern hemispheres in different seasons. This product may well be the first remote sensing-based global high-precision long-term 27 28 XCO₂ dataset, which will help advance the understanding of climate change and carbon balance. The dataset can be obtained freely at https://doi.org/10.5281/zenodo.10083102 (Guan and Sun, 2023). 29



30 1 Introduction

The continuous increase of greenhouse gases in the atmosphere has already induced severe global climate change problems (Hegerl and Cubasch, 1996; Wuebbles and Jain, 2001; Lioubimtseva and Adams, 2004; Lonngren and Bai, 2008; Zhang and Caldeira, 2015) and significantly impacted human well-being (Tagwi, 2022). Carbon dioxide (CO_2) is one of the main greenhouse gases, and the global average CO_2 has increased from 336.85 ppm in 1979 to 417.06 ppm in 2022, according to the National Oceanic and Atmospheric Administration (NOAA). Therefore, high-precision quantitative assessment of global CO_2 concentration is crucial for addressing the constantly changing situation.

37 At present, CO₂ column concentration data are obtained based on three main methods: ground station observations, model 38 simulation, and satellite estimation. Ground stations usually use a Fourier transform spectrometer (FTS) to directly measure 39 solar radiation in the near-infrared band, thereby inverting the concentration of CO_2 without the effects of aerosols and clouds. 40 This method, as used by the Total Carbon Column Observing Network (TCCON), can observe column-averaged CO₂ dry air 41 mole fraction (XCO_2) with a high accuracy and low uncertainty, but is usually limited by the sparse distribution of stations 42 and the fact that it is difficult to conduct CO_2 monitoring in large regions. Model simulation methods consider the physical, 43 chemical, and biological processes of CO₂, and estimates its concentration and carbon flux through an atmospheric transport model (Krol et al., 2005), such as CarbonTracker (CT), the Copernicus Atmosphere Monitoring Service (CAMS), and the 44 45 Global Carbon Assimilation System (GCASv2) (Jiang et al., 2021). By assimilating carbon emission inventories and CO₂ 46 observation data, the model simulation XCO₂ usually shows a relatively high accuracy at the intercontinental scale (Kong et 47 al., 2019), but its spatial resolution is too coarse for regional applications (Mustafa et al., 2020). For example, the spatial 48 resolution of the CT dataset is only 3×2 degrees, and the spatial resolution of the CAMS global greenhouse gas reanalysis 49 (EGG4) dataset is 0.75×0.75 degrees.

50 In recent years, satellite remote sensing based estimation has become a new way to obtain XCO₂ data with a higher spatial 51 resolution, and a series of satellite products have been published based on various sensors. The satellites used for monitoring 52 the global distribution of CO₂ include the Greenhouse Gases Observing Satellite (GOSAT) (Yokota et al., 2009) and the 53 GOSAT-2 satellite, which were launched in 2009 and 2018 by Japan, respectively. The United States launched the Orbiting 54 Carbon Observatory-2 (OCO-2) satellite in 2014 (Eldering et al., 2017) and the OCO-3 satellite in 2019 (Eldering et al., 2019). 55 China launched the TanSat satellite in 2016 (Ran and Li, 2019). Satellite observation from space-based platforms can achieve 56 high-resolution repeated observations, and thus timely and accurate detection of changes in XCO₂ can be achieved (Liu et al., 57 2020). However, due to the satellite orbit and observation angle limitations, there are serious missing data problems in the 58 current satellite products. As shown in Fig. 1, all the observations of the OCO-2 satellite over one month show a strip-shaped 59 pattern with apparent gaps, and there are only a few observations in high-latitude areas. These issues make it almost impossible 60 to monitor global CO₂ concentration and carbon flux using only remote sensing data.







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62 Figure 1. The distribution of the OCO-2 satellite observations for January 2015.

63 As a result, a series of seamless mapping methods have been developed in recent years, in order to solve the missing data issue of the satellite observations and obtain continuous XCO₂ data. These methods can be divided into three main types: 64 65 reconstruction-based methods, fusion-based methods, and data-driven methods. The reconstruction-based methods mainly consider the spatial and temporal continuity and correlation of the XCO₂ distribution in the product itself to fill the gaps, and 66 67 thus they do not require any other auxiliary data (Yue et al., 2015; He et al., 2020; Ma et al., 2021). For this reason, these 68 methods are easy to implement, but they cannot reconstruct areas well that have sparse satellite observations. The fusion-based 69 methods integrate multiple data sources, including satellite data (Jing et al., 2014; Jin et al., 2022) and model simulation data 70 (Mingwei et al., 2017; Sheng et al., 2022; Liang et al., 2023), to obtain seamless XCO₂. These methods can integrate multi-71 source observations to obtain seamless data with a stable accuracy, but the spatial resolution is still limited. Over the last two 72 years, data-driven methods have become a popular way to obtain continuous XCO_2 data by establishing the relationships 73 between XCO₂ and related explanatory variables (Li et al., 2022; Zhang and Liu, 2023). Machine learning is the most widely 74 used method, which has a strong nonlinear fitting capability, and can thus achieve a higher precision than the other methods 75 (He et al., 2022; Li et al., 2022; Zhang et al., 2022; Zhang and Liu, 2023). Based on machine learning, several XCO₂ datasets 76 have been produced and the spatio-temporal variation has been analyzed in different regions.

Although previous studies have already produced several global products, there are still obvious limitations. First of all, most of the current global coverage products only focus on the XCO_2 mapping in terrestrial areas, and the ocean areas are neglected. As a result, this is still not globally continuous mapping and cannot meet the demands of global carbon change research. This may be due to the abundance of explanatory variables in terrestrial areas, while there is a lack of such variables in ocean



regions. Secondly, a true long-term global XCO₂ product is still lacking, and most of the previous studies have only 81 82 reconstructed the years in which satellite data are available. Therefore, only a few years of data can be used for long-term 83 analysis. This may be due to the significant changes in XCO₂, making it difficult for the model to expand in the temporal 84 dimension. Finally, the previously produced XCO₂ products have still not been well validated, with the spatial and temporal 85 extension capacity overlooked. Although the commonly used 10-fold cross-validation and ground station data can evaluate the quantitative performance of the model, the accuracy in the regions and times without satellite observations is not well assessed. 86 87 In summary, the current XCO₂ products cannot truly achieve long-term global coverage and have not verified the accuracy of 88 areas without satellite observations. It is therefore necessary to develop new XCO₂ mapping methods to overcome these 89 shortcomings.

Therefore, the aim of this study was to produce a novel global seamless XCO_2 product (GCXCO₂) with a long temporal coverage and high spatio-temporal resolution, based on a machine learning method. The main objectives of this study were: 1) to develop a true global seamless XCO_2 mapping method based on ensemble machine learning, covering both terrestrial and ocean areas; 2) to comprehensively evaluate the spatio-temporal stability of the model and product based on various validation methods; and 3) to analyze the global XCO_2 distribution and variation characteristics in different seasons and years, based on this product.

96 2 Material and methods

97 2.1 Data sources

98 2.1.1 OCO-2 satellite data

99 The OCO-2 satellite uses three-channel high-resolution imaging grating spectrometers to measure the reflected sunlight in the 100 short-wave-infrared (SWIR) CO₂ bands and in the near-infrared (NIR) molecular oxygen (O₂) A band (Oyafuso et al., 2017), 101 with a revisit period of 16 days and an equator crossing time of approximately 1:30 pm. The OCO-2 satellite cross-slit width 102 is approximately 1.29 km at nadir, with 2.25 km in footprint length along-track. The OCO-2 version 10 Level 2 Full Physics 103 (OCO2_L2_Lite_FP_10r) products from 2015 to 2020 were used in this study, which can provide daily XCO₂, solar-induced 104 fluorescence (SIF), and other atmospheric surface properties after radiometric correction. The XCO₂ variable with high quality 105 (flag = 0) in the products was selected and aggregated into regular grid data with a spatial resolution of 0.05 degrees and a 106 temporal resolution of 8 days. We selected the grid cells with more than 10 observations during a period and took the mean 107 value as the observation value.

108 2.1.2 TCCON data

The TCCON, which was established in 2004, is a global greenhouse gas observation network based on FTSs (Toon et al., 2009), mainly monitoring gases such as CO_2 , methane (CH₄), and nitrous oxide (N₂O) in the atmosphere (Yang et al., 2020).





The direct solar spectra are measured in the NIR band to retrieve the column abundances of these gases. Currently, the TCCON has a total of 30 operating stations around the world, with five stations no longer operating and four potential future stations. In this study, version GGG2020 data (https://tccondata.org/) were used, and the observation data with a fractional variation in solar intensity (FVSI) value of more than 5% were filtered out. There are 30 stations with observation records covering 2004 to 2020. The location of each station used in this study is shown in Fig. 2. It is clear that all the stations are located in land areas, mainly distributed in North America, Europe, and East Asia in the Northern Hemisphere, and rarely in the Southern

117 Hemisphere.



118

119 Figure 2. The distribution of the TCCON stations used in this study.

120 2.1.3 Remote sensing auxiliary data

121 The remote sensing auxiliary data used in this study were the Enhanced Vegetation Index (EVI), chlorophyll-a (CHL-a) data, 122 and Moderate-resolution Imaging Spectroradiometer (MODIS) land surface reflectance data. Vegetation plays a critical role 123 in CO₂ absorption in ecosystems (Vicca, 2018), but there is a lack of variables that measure both terrestrial and ocean vegetation. 124 Therefore, different key variables were selected in this study, with EVI and CHL-a representing the CO₂ uptake capacity of 125 land and ocean, respectively. MODIS reflectance band 6 (1628–1652 nm) and band 7 (2105–2155 nm) data, which are close to the observation band of the OCO-2 satellite, were also utilized. The reflectance and EVI data can be downloaded from 126 127 (https://ladsweb.modaps.eosdis.nasa.gov/), and the CHL-a data can be obtained from the Ocean Biology Processing Group 128 (https://oceancolor.gsfc.nasa.gov/). In order to process the missing EVI, CHL-a, and reflectance data, the adaptive spatio-129 temporal tensor completion (ST-Tensor) method (Chu et al., 2021) was applied to reconstruct the global seamless remote 130 sensing auxiliary data. The EVI and CHL-a variables were both normalized to generate the fused CHLEVI variable, which 131 can effectively represent the global CO₂ absorption capacity.



132 2.1.4 Model simulation data

133 NOAA's CarbonTracker version CT2022 dataset (Jacobson et al., 2023) and the CAMS EGG4 dataset were used as XCO₂ 134 model simulation data and to provide the initial spatial distribution of CO₂. These datasets can be downloaded from the Global Monitoring Laboratory (https://gml.noaa.gov/) and (https://ads.atmosphere.copernicus.eu/), respectively. CarbonTracker is a 135 136 CO_2 measurement and modeling system, which is used to track the global carbon sources and sinks. It should be noted that the 137 CT2022 dataset assimilates data from 559 stations provided by 66 laboratories around the world, and the data have been adjusted for the changes in fossil fuel emissions caused by the COVID-19 pandemic. In contrast, the EGG4 dataset focuses on 138 139 the greenhouse gases of CO₂ and CH₄ and assimilates the observation data of the GOSAT, Envisat, MetOp-A, and MetOp-B satellites. However, the data have not been adjusted for the effect of the COVID-19 pandemic. This may have led to significant 140 deviations in the XCO₂ simulated by CAMS for several years around 2019. Therefore, the utilization of two XCO₂ model 141 142 simulation datasets can provide more information and reduce the dependence of the results on a single set of model simulation

143 data.

144 2.1.5 Meteorological data

The meteorological data selected for the modeling were obtained from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2). MERRA-2 is the first long-term global reanalysis to assimilate space-based observations of aerosols, and provides data from 1980 to the present (Gelaro et al., 2017). In this study, global continuous meteorological variables were utilized to establish nonlinear relationships with XCO₂, including air temperature (TEM), wind (U component, V component), specific humidity (QV), sea level pressure (SLP), and surface incoming shortwave flux (SWGDN). These variables are fundamental factors affecting atmospheric transport and vegetation growth, which can be downloaded from (https://disc.gsfc.nasa.gov/datasets?keywords=merra2&page=1).

152 The remote sensing auxiliary data, model simulation data, and meteorological data were all auxiliary data for the model input, 153 and were resampled with spatial and temporal resolutions of 0.05 degrees and 8 days, respectively. The detailed information 154 of the variables can be found in Table 1.

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164	Table 1.	The	detailed	information	of the	auxiliary	data	used in	this	study
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Data type	Source	Variable	Temporal resolution	Spatial resolution	
	MOD09CMG	Reflectance	Daily	0.05°	
Remote sensing data	MOD13C1	EVI	16 days	0.05°	
	MODIS OBPG	CHL-a	8 days	0.05°	
	CT2022	СТ	3 h	$3^{\circ} \times 2^{\circ}$	
CO_2 simulation data	CAMS EGG4	CAMS	3 h	$0.75^{\circ} \times 0.75^{\circ}$	
		TEM			
		QV		0.5°×0.625°	
Meteorological data	MERRA-2	Wind (U,V)	Daily		
		SLP			
		SWGDN			

165 2.2 Model description

166 2.2.1 Overall workflow

167 The long-term global continuous XCO_2 mapping process can be divided into three steps (Fig. 3): data processing, model 168 training and validation, and XCO_2 mapping and spatio-temporal analysis.

169 Step 1: Data processing. By using seamless auxiliary data to match the gridded OCO-2 satellite data, a total of 4833846 data 170 were obtained for 2015 to 2020. The OCO-2 satellite observations were regarded as the true values, and the other variables 171 were used as explanatory variables. Due to the continuous increase of XCO₂ from 2000 to 2020, if we directly trained the

172 model with the OCO-2 satellite XCO₂ as the true values, there would be an out-of-range problem during the model prediction,

173 which means that the model had not learned the corresponding CO_2 concentration.

174 A dynamic normalization strategy was introduced to address this issue. The XCO₂ normalization was implemented separately

175 for each period so that the model labels were not limited by the XCO_2 range, which is the reason why this is called dynamic

176 normalization. Specifically, we calculated the maximum and minimum values of the global model simulation CT values for

177 each period, with the maximum value multiplied by 1.02 (as MAX) and the minimum value multiplied by 0.98 (as MIN). Then

- 178 the CT, CAMS, and OCO-2 satellite observation XCO₂ data were normalized to 0–1 using MAX and MIN.
- 179 Step 2: Model training and validation. Based on dynamic normalization, 150000 OCO-2 matched data from 2015 to 2018
- 180 were selected at random to establish the nonlinear relationships between the XCO_2 and auxiliary data. The ensemble machine
- 181 learning stacking regression model was selected for the modeling. For the trained stacking regression model, various validation
- 182 methods were designed to validate the spatial and temporal generalization ability, including 10-fold cross-validation, spatial
- 183 expansibility validation, temporal extension validation, and ground station data validation.





Step 3: Mapping and spatio-temporal analysis. We produced the global XCO₂ product with full coverage of 0.05 degrees every 8 days from 2000 to 2020. The model trained in Step 2 was used to produce the global maps from 2003 to 2020. Due to the lack of CAMS and CHLEVI from 2000 to 2002, we removed these variables and trained another model for the mapping from 2000 to 2002. The corresponding model validation results are included in the supplementary material (Figs. S1–S5). Based on the product for 2000 to 2020, the spatial distribution characteristics of XCO₂ in different seasons and years were explored. At the same time, the change trends of XCO₂ at the global scale and different latitude areas were analyzed.



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191 **Figure 3.** The overall workflow of this study.

192 2.2.2 Stacking regression model

Stacking regression (Wolpert, 1992) is an ensemble machine learning method that combines multiple basic regression models with a meta-regression model, which can minimize the error rate of the multiple regression models. The model structure typically consists of two layers, with the first layer containing the many basic regression models and the second layer containing the meta-regression model. For the input variables, each basic model predicts a value and inputs the predicted value



(1)

(2)

into the meta model to compute the final prediction. Previous studies (Sesmero et al., 2015) have shown that the stacking is an effective way to improve the performance of the model. In general, it is necessary to choose regressors with significant differences in the first layer, in order to combine different model characteristics. Meanwhile, simple regression model is usually selected for the second layer (Ting and Witten, 1997), to prevent overfitting of the model.

- 201 In this study, five basic models were selected in the first layer of the stacking regression model (Fig. 3): light gradient boosting 202 machine (LGBM) regression, extreme gradient boosting (XGB) regression, extremely randomized trees (ETR) regression, 203 gradient boosting regression (GBR), and random forest (RF) regression. These models all perform well and have different 204 characteristics. LGBM, XGB, and GBR are boosting models that continuously improve on the weak regressors, but their 205 improvement strategies are different. ETR and RF are bagging models that use multiple independent decision trees for the 206 regression, but the splitting methods for the tree nodes are different. In the second layer, we selected ridge regression model 207 to deal with the multicollinearity problem of the first layer output value. Compared with ordinary linear regression, the ridge 208 regression (Hoerl and Kennard, 1970) adds L2 regularization constraint to the coefficient of loss function, which can avoid the 209 significant change of coefficient and make the regression model more stable. Based on the stacking regression model, the 210 nonlinear relationships between XCO_2 and the explanatory variables were constructed as shown in Eq. (1):
- 211 $OCO2_N = f(TEM, QV, U, V, SLP, SWGDN, REF6, REF7, CT_N, CAMS_N, CHLEVI, SCYCLE)$

In the equation, the meaning of auxiliary variables such as TEM can be found in Section 2.1.3 to Section 2.1.5. REF6 and REF7 represent the MODIS reflectance band 6 and band 7 data, respectively. OCO2_N, CT_N, and CAMS_N represent the corresponding normalized variables, and f refers to the nonlinear relationships built on the stacking regression model. Considering the periodicity and seasonality of XCO_2 variation (Zhang and Liu, 2023), the SCYCLE variable was designed to describe this characteristic, which is equal to the sine value of the cycle of one year, which is calculated as shown in Eq. (2) (the range of cycle values is 1 to 46) :

218 $SCYCLE = \sin(cycle * \pi/23)$

219 2.3 Model validation

Typically, 10-fold cross-validation and ground station data have been widely used in past studies to evaluate the model. However, these methods cannot fully validate the spatial and temporal generalization ability and the decay performance. In this study, two more validation methods were designed to sufficiently evaluate the model's spatio-temporal performance, i.e., spatial expansibility validation and temporal extension validation. For all of the validation methods, we calculated the R² and root-mean-square error (RMSE) as the evaluation indicators. It should be noted that we denormalized the output of the stacking regression model by using the MAX and MIN of the corresponding period when calculating the evaluation indicators. The specific meanings of the four validation methods are as follows.





227 2.3.1 Ten-fold cross-validation

In general, 10-fold cross-validation (Breiman and Spector, 1992) can evaluate the model's accuracy on the whole dataset and determine whether the model is overfitting. In this study, the matched OCO-2 data from 2015 to 2018 were randomly divided into 10 subsets to validate the stacking regression model. The 10-fold cross-validation uses nine subsets to train the model and one subset to test the model each time, and repeats this operation 10 times to test each subset.

232 2.3.2 Spatial expansibility validation

The distribution of OCO-2 observations is very sparse, with many areas without samples, and the accuracy of these areas has not been validated by 10-fold cross-validation. Therefore, spatial expansibility validation was designed to evaluate the spatial generalization ability of the stacking regression model. The global area was divided into 23 regions according to the shape of the OCO-2 satellite observation bands (Fig. 1, the solid lines). Similar to the 10-fold cross-validation, the matched OCO-2 data between 2015 and 2018 from each region were used separately for the validation, while 150000 data were randomly selected from other regions to train the stacking regression model. Based on this method, we could simulate the missing OCO-2 satellite observations in large areas and evaluate the spatial prediction ability of the stacking regression model.

240 2.3.3 Temporal extension validation

The existing studies mainly concentrated on the same period for the model training and validation, and ignored the stability of the model in the temporal dimension. This means that it is difficult to determine the performance of the model in different years. Therefore, temporal extension validation was designed to verify the decay performance of the stacking regression model in the temporal dimension, to ensure consistency of the product quality. Here, the matched OCO-2 data from 2019 to 2020 were used to assess the stacking regression model trained by data from 2015 to 2018.

246 2.3.4 Ground station observation validation

The XCO_2 accuracy measured by the TCCON stations is constrained with a precision better than 0.25% (1-sigma) under clear or partly cloudy skies (Messerschmidt et al., 2011), which is approximately less than 0.5 ppm (Mostafavi Pak et al., 2023), so it is suitable to use TCCON XCO_2 data to quantitatively evaluate the prediction deviation of the stacking regression model. In this study, the TCCON station observations were averaged to an 8-day resolution and matched to the corresponding 0.05degree grid. In order to eliminate the potential impact of the satellite observations on the accuracy of the ground station validation, we removed the records with both station observations and OCO-2 satellite estimations. Finally, a total of 6291 records from 30 stations were obtained for the ground station observation validation.



254 3 Results and analysis

The 10-fold cross-validation, spatial expansibility validation, temporal extension validation, and ground station observation validation were implemented to assess the performance of the stacking regression model and $GCXCO_2$ product. The results of these validation methods are presented in turn in this section. The annual and seasonal distribution of global XCO_2 is then explored, and the long time-series XCO_2 changes at a global scale and different latitudes are analyzed.

259 3.1 Ten-fold cross-validation result

The training dataset was divided into 10 subsets for the 10-fold cross-validation, and then each subset was validated separately. 260 All the validation results are summarized in a scatter plot (Fig. 4), where the overall results show a high accuracy, with the R² 261 262 equal to 0.974 and the RMSE equal to 0.551 ppm. The high R² and low RMSE show that the stacking regression model has an excellent fitting ability on the full training dataset. In addition, the R² and RMSE of each validation result are very close 263 (Table S1), indicating that the trained stacking regression model is very stable and there is no overfitting of the model. The 264 regression slope of the trend line is 0.97, which is very close to 1, further indicating good consistency between the predicted 265 266 values and the OCO-2 XCO₂. Therefore, the stacking regression model performs well in the 10-fold cross-validation, showing a high ability for XCO₂ prediction, with only small deviation between the predicted and OCO-2 values. 267



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269 Figure 4. The overall results of the 10-fold cross-validation (all the validation results are summarized in a scatter plot).

270 3.2 Spatial expansibility validation result

The global region was divided into 23 areas according to the shape of the satellite observations for the spatial expansibility validation, which allowed us to verify the prediction accuracy for areas without satellite observations. The results show an average R^2 of 0.958 and an average RMSE of 0.692 ppm (Fig. 5) in the 23 regions. The maximum R^2 is 0.979 and the minimum





 R^2 is 0.937, and the RMSE ranges from 0.451 to 0.877 ppm. These results all demonstrate a good accuracy with minimal 274 275 differences, which proves that the stacking regression model has a strong generalization ability in different regions. Even if 276 there are no satellite observations in an area, the accuracy of the prediction results is still good. At the same time, the areas 277 with higher R^2 typically have lower RMSE, and are mainly distributed in the ocean areas, ranging from 140 \pm to 180 \pm , 60 \oplus 278 to 40 W, and 140 W to 180 W. In contrast, the regions with high RMSE have higher continental proportions, primarily ranging 279 from 120 W to 80 W, 20 W to 20 E, and 60 E to 100 E. Previous studies (Connor et al., 2016) have calculated that the total 280 XCO_2 error of the OCO-2 satellite over ocean is usually smaller than that over land, which may be the reason for the relatively 281 poor accuracy in regions with strong sea-land cross-heterogeneity. Taking the area from 20 W to 20 E as an example, the 282 overall validation accuracy of this area is satisfactory, but its land proportion is relatively high, resulting in a slightly lower overall R² than the areas with a higher proportion of ocean. In summary, there is little difference between the results for the 283 284 different strips, and they all show a good accuracy, indicating that the stacking regression model shows a stable spatial 285 generalization ability.







Figure 5. The results of the spatial expansibility validation, which represent the accuracy of each region (the solid line divisions)
being verified separately.

289 **3.3 Temporal extension validation result**

- 290 The matched OCO-2 data from 2019 to 2020 were used to evaluate the temporal extension performance of the trained stacking 291 regression model. The OCO-2 satellite has few observation samples over 8 days, so it is necessary to assess the prediction 292 accuracy of the trained stacking regression model during periods with few or no satellite observations. The validation results 293 are still good, with $R^2 = 0.886$ and RMSE = 0.823 ppm. The XCO₂ from the different sources between 2019 to 2020 is compared in Fig. 6. The results (Fig. 6 (a), (b), and (c)) show that the predicted XCO_2 obtained using dynamic normalization 294 has the highest R² and the lowest RMSE, compared to the model simulation XCO₂. The CT XCO₂ has numerous discrete 295 296 points in the 395–405 ppm range, and there is a phenomenon of underestimation in the 410–420 ppm range. The accuracy of 297 the CAMS XCO₂ is generally lower than that of the CT XCO₂. The CAMS data are underestimated in the 400–410 ppm range 298 and overestimated in the 410-420 ppm range. In contrast, the predicted results are more consistent with the trend of the OCO-2 satellite observations, with a trend line slope near 1 and RMSE less than 1 ppm. This fully proves that the predicted results 299 300 are superior to the model simulation data in the quantitative evaluation and are closer to the satellite observation level.
- 301 In order to prove the necessity of using the dynamic normalization strategy, the result obtained without adopting this strategy 302 is demonstrated in Fig. 6 (d). This indicates that the model without using dynamic normalization cannot predict high XCO_2 303 values correctly because the corresponding labels are not learned during the model training. Moreover, the model also cannot 304 deal well with discrete points ranging from 400 to 405 ppm. There have been data-driven studies (Zhang and Liu, 2023) that 305 have attempted to integrate multiple satellite data sources to expand the label range and avoid this phenomenon. However, it 306 is difficult to ensure the consistency and high accuracy of the label data, and this has not truly solved the problem of inaccurate 307 prediction caused by the label range. In contrast, the results obtained in this study (Fig. 6 (c)) show that the dynamic 308 normalization strategy can effectively solve the problem of not being able to predict values beyond the training label range. In 309 addition, the use of this strategy makes the model have good robustness in terms of temporal extension, and the prediction 310 accuracy is higher than that of the model simulation data.







Figure 6. Comparison of the XCO₂ from different sources between 2019 to 2020: (a) CT vs. OCO-2, (b) CAMS vs. OCO-2,
(c) XCO₂ predicted using dynamic normalization vs. OCO-2, (d) XCO₂ predicted without using dynamic normalization vs.
OCO-2.

315 **3.4 Ground station observations validation result**

316 3.4.1 Individual station validation result

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All the TCCON station observations records from 2004 to 2020 were compared with the corresponding prediction values. The results show a high-precision result with an average R^2 of 0.947 and an average RMSE of 1.064 ppm (Table 2). In detail, there are 27 stations with R^2 greater than 0.90 and 14 stations with RMSE less than 1 ppm. The PA station has the highest R^2 of 0.994 and the DF station has the lowest RMSE of 0.584 ppm. The R^2 values of the FC, MA, and XH stations are relatively low, but their RMSE values are all less than 2 ppm. This may be due to the significant changes in XCO₂ within a 0.05-degree grid, so that the station observations cannot represent the characteristics of this region. Meanwhile, the small number of station observations may also contribute to the low R^2 values. To sum up, the accuracy of the TCCON station validation is satisfactory,



- 324 with high correlation and little error between the predicted and observed XCO₂. The scatter plot results for each station are
- 325 included in the supplementary material (Fig. S6).
- 326 **Table 2.** TCCON station validation results from 2004 to 2020. The R² and RMSE were calculated from the station observation
- 327 records and the stacking regression model predictions.

Station	Location	\mathbb{R}^2	RMSE	Station	Location	\mathbb{R}^2	RMSE
BR	Bremen, Germany	0.987	1.659	LH	Lauder, New Zealand	0.947	1.590
BU	Burgos, Philippines	0.962	0.679	LL	Lauder, New Zealand	0.976	0.644
CI	Caltech, USA	0.981	0.99	LR	Lauder, New Zealand	0.909	0.776
DF	Dryden, USA	0.991	0.584	MA	Manaus, Brazil	0.634	0.831
ET	East Trout Lake, Canada	0.980	0.795	NI	Nicosia, Cyprus	0.900	1.120
EU	Eureka, Canada	0.992	1.805	NY	Ny-Ålesund, Svalbard	0.993	1.353
FC	Four Corners, USA	0.867	0.869	OC	Lamont, OK (USA)	0.989	0.847
GM	Garmisch, Germany	0.987	1.477	OR	Orl éans, France	0.988	1.129
HF	Hefei, China	0.929	1.246	PA	Park Falls, WI (USA)	0.994	1.003
IF	Indianapolis, IN, USA	0.961	0.808	PR	Paris, France	0.962	1.079
IZ	Izaña, Tenerife	0.989	0.825	RA	Reunion Island	0.984	0.611
JC	JPL, Pasadena, CA, USA	0.937	0.638	RJ	Rikubetsu, Japan	0.958	1.222
JF	JPL, Pasadena, CA, USA	0.981	1.028	SO	Sodankyl ä, Finland	0.993	1.075
JS	Saga, Japan	0.980	0.996	ТК	Tsukuba, Japan	0.936	1.335
KA	Karlsruhe, Germany	0.967	1.087	XH	Xianghe, China	0.764	1.819

328 3.4.2 Overall comparison between CT, CAMS, and GCXCO₂

329 The CT data, CAMS data, and the prediction results obtained in this study were compared with all the station observations. Overall, the prediction results have the highest R^2 and the lowest RMSE from 2004 to 2020 (Fig. 7 (a), (b), and (c)). The 330 RMSE of the prediction results decreases by approximately 0.502 ppm and 0.677 ppm when compared to the CT and CAMS 331 data, respectively. Despite the high R^2 between CT, CAMS, and the station observations, there is a slight improvement in the 332 R^2 of the prediction results. From the scatter plot distribution, there is an overestimation of CAMS data from 375 to 390 ppm 333 334 in Fig. 7 (b), and a slight underestimation of CT data from 400 to 415 ppm in Fig. 7 (a). In contrast, the prediction results 335 alleviate the overestimation and underestimation problem of the model simulation data, with fewer discrete points. The comparison of the model training periods (Fig. 7 (d), (e), and (f)) and model extrapolation periods (Fig. 7 (h), (i), and (j)) also 336 337 shows that our prediction results can significantly reduce the error of the model simulation data.





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Figure 7. All the TCCON station observations vs. the CT data, CAMS data, and the prediction results obtained in this study, from 2004 to 2020. The data for (a), (b), and (c) are from 2004 to 2020. The data for (d), (e), and (f) are from 2015 to 2018, which is the period for model training. The data for (h), (i), and (j) are from the other years, which is the period for model extrapolation.

343 3.4.3 Yearly comparison between CT, CAMS, and GCXCO₂

344 Furthermore, the CT data, CAMS data, and the prediction results obtained in this study were compared with the station 345 observations from different years to verify the accuracy of the product for each year (Fig. 8). Firstly, it is clear that the CAMS data have a relatively high R² from 2004 to 2012 and a relatively low R² from 2013 to 2020. However, the RMSE of the CAMS 346 data is relatively high from 2004 to 2012 and relatively low from 2013 to 2020. This shows that the CAMS data quality varies 347 greatly over the time series. Secondly, the R^2 and RMSE of the CT data vary relatively little in different years, with a stable 348 349 data quality and performance that is superior to the CAMS data. Compared with the CT and CAMS data, the prediction results 350 obtained in this study have the highest R^2 and the lowest RMSE in most years, which shows that the prediction results also 351 have a significant advantage in the temporal dimension.







352



355 3.5 XCO₂ spatio-temporal analysis

356 3.5.1 XCO₂ annual and seasonal distribution

We analyzed the global distribution characteristics of XCO₂ in 2000, 2010, and 2020 (Fig. 9 (a), (b), and (c)). The global mean 357 XCO_2 for these three years is 368.63 ppm, 388.18 ppm, and 411.52 ppm, respectively. The global XCO_2 distribution is very 358 359 similar in these years and the high-value areas of XCO_2 in these three years primarily distributed between the equator and 40 N. The high XCO₂ values on land are mainly in South-East Asia, Central Africa, southern North America, and northern 360 South America. The low XCO₂ values are mainly found in the Southern Hemisphere, Outer Mongolia, and Greenland in the 361 Northern Hemisphere. Figure 9 (d), (e), and (f) shows the trend of XCO₂ changes from 2000 to 2010, from 2010 to 2020, and 362 from 2000 to 2020, respectively. Overall, the global XCO₂ growth rate from 2000 to 2020 was between 2.06 and 2.22 ppm. 363 364 The growth rate of XCO_2 in the first decade (2000 to 2010) was between 1.91 and 2.13 ppm, while the growth rate in the second decade (2010 to 2020) was between 2.28 and 2.42 ppm. This indicates that the growth rate of XCO₂ has increased on 365 the global scale in the past decade. At different time periods, the difference in global XCO₂ growth rate is not significant, and 366 367 regions with slightly higher XCO₂ growth rates are mainly in East Asia, Central Africa, and South America.







368

Figure 9. Global annual global XCO₂ mean distribution and trend. (a), (b), (c) represent annual global XCO₂ mean distribution
in 2000, 2010, and 2020, respectively. (d) represents the trend of XCO₂ changes from 2000 to 2010, (e) represents the trend of
XCO₂ changes from 2010 to 2020, and (f) represents the trend of XCO₂ changes from 2000 to 2020.

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We further analyzed the distribution of XCO_2 in the different seasons of spring (March, April, May), summer (June, July, August), autumn (September, October, November), and winter (December, January, February). The average value of each season for 21 years is shown in Fig. 10. The high XCO_2 values are mainly seen in spring and winter, while the low XCO_2 values are mainly found in summer and autumn. The region from 40 % to 40 % is a high-value region during summer and autumn. During spring and winter, there is a significant difference in XCO_2 between the Northern and Southern hemispheres,





roughly divided by the equator, which may be due to two factors. Firstly, the decrease in vegetation quantity in spring and 378 379 winter leads to a decrease in CO_2 absorption by the ecosystem. Secondly, human activities at this time consume more energy, 380 leading to significant CO₂ emissions. In spring, CO₂ concentrations are higher in East Asia, South Asia, Central Africa, Central 381 America, and Europe. In summer, CO₂ concentrations in Russia, Canada, and Europe are relatively low, while concentrations 382 in other regions on land are similar. When it comes to autumn, Singapore, Indonesia, Brazil, the eastern United States, and eastern China are regions with relatively high CO₂ concentrations. The distribution of CO₂ in winter is similar to that in spring, 383 384 but the concentration of CO_2 in the marine areas of the Northern Hemisphere is relatively low. In general, the annual distribution of CO_2 is similar in spring and winter, indicating that spring and winter have a significant impact on CO_2 385 386 concentration during the year.



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Figure 10. Seasonal distribution of global average XCO₂ from 2000 to 2020.

389 3.5.3 XCO₂ long time-series change

390 The global average change in XCO₂ every 8 days from 2000 to 2020 is shown in Fig. 11 (a). The fitting trend line indicates

- 391 that global XCO₂ has shown an upward trend, with an average increase of 0.0458 ppm every 8 days and an annual increase of
- 392 approximately 2.09 ppm. This reveals the significant increase in atmospheric CO₂ concentration from 2000 to 2020, which
- 393 may be due to human activities and the burning of fossil fuels (Jiang et al., 2022). At the same time, the global XCO₂ has
- 394 shown an obvious seasonal trend, showing an increasing trend from January to March and September to December, and a



downward trend from April to August. The beginning of April has seen the highest XCO_2 of the year, while the beginning of September has seen the lowest XCO_2 of the year. The seasonal changes of XCO_2 each year may be related to plant growth (Yuan et al., 2018). In winter, when plant growth slows and photosynthesis decreases, CO_2 concentrations in the atmosphere usually rise slightly. In summer, the growth of plants increases and they absorb more CO_2 , causing the concentration of CO_2 in the atmosphere to decrease. Based on the distribution of the concentrations around the trend line, it can be seen that the CO_2 growth rate from 2000 to 2008 was close to the annual average of 2.09 ppm, the growth rate from 2009 to 2015 was lower than the annual average, and the growth rate from 2015 to 2020 was higher than the annual average.

The global region was divided into 18 regions based on a latitude bandwidth of 10° to analyze the temporal variation characteristics of XCO₂ at different latitudes. The result (Fig. 11 (b)) indicates that the changes in XCO₂ at different latitudes were similar to the global changes, and they all showed a continuous upward trend. The XCO₂ was close in the different latitudes in summer, while the XCO₂ in the Northern Hemisphere was significantly higher than that in the Southern Hemisphere in winter. Meanwhile, we found that XCO₂ value changed sharply at the equator, with significant differences between the Northern and Southern Hemisphere in winter.





Figure 11. XCO₂ long time-series change: (a) Changes in global average XCO₂ every 8 days from 2000 to 2020. 2001-46
represents the 46th cycle of 2001 (eight days per cycle). (b) Long-term change of XCO₂ at different latitudes (2000–2020).

411 4 Discussion

412 **4.1 Comparison of stacking regression and basic regression**

413 To verify the effectiveness of the stacking regression model, we compared the 10-fold cross-validation R² and RMSE between

414 stacking regression and basic regression (Fig. 12). The mean R^2 of the stacking regression cross-validation is 0.974, which is

415 better than the basic regression. At the same time, the RMSE of the stacking regression model is also the lowest, at only



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416 0.551 ppm, indicating that the stacking regression model is very stable. Among the five basic regressors, the GBR model 417 performs the worst, the LGBM and XGB models have an R² greater than 0.96, while the ETR and RF models perform better. 418 With respect to the RMSE, the GBR model shows the worst performance, followed by the LGBM and XGB models, while the 419 ETR and RF models achieve better results. Overall, from the quantitative results, the stacking regression model performs the 420 best.



Figure 12. Comparison of the 10-fold cross-validation results between stacking regression and basic regression (Each point represents the result of each validation, and the curve represents the normal distribution curve; IQR refers to interquartile range of data).

425 Furthermore, we also compared the spatial distribution of the stacking regression product, ETR product, CAMS data, and CT 426 data. Typical regions from different periods were selected in Fig. 13. Overall, the stacking regression product and ETR product 427 have more spatial details than the CT and CAMS model simulation data, and their spatial distributions are consistent. In the case of the 45th period of 2003, we chose East Asia for comparision and found that the spatial details of the stacking regression 428 429 product are richer than that of ETR product. Meanwhile, the spatial distributions of the stacking regression product and ETR 430 product are more consistent with the CAMS data in this period. In the case of the 45th period of 2020, we chose Amazon 431 region for comparision and found that stacking regression product still have better spatial distribution. However, the stacking 432 regression product in this period is more similar to CT data and differ from the situation in 2003. This may be due to the high 433 accuracy of CAMS data in 2003 and the low accuracy near 2019, which is consistent with our results in section 3.4.3. This 434 phenomenon indicates that our product fully combines the advantages of CAMS and CT data, reducing the uncertainty of 435 XCO_2 spatial distribution. Therefore, although ETR and the stacking regression model are relatively close in the quantitative 436 results, it is clear that the stacking regression model shows advantages in the spatial distribution, and we believe that stacking 437 regression is more suitable for the mapping of global high spatio-temporal resolution and high-accuracy XCO₂.







Figure 13. Comparison of the spatial distribution between the stacking regression product, ETR product, CAMS data, and CT
 data in different periods.

441 **4.2 Variable importance analysis**

438

442 In order to explore which variable has a significant impact on XCO₂, we used the permutation importance method to evaluate

the importance of the explanatory variables. The results of this method depend on the decrease in the performance score of the





model after the variables are randomly rearranged (Breiman, 2001). The specific calculation process is as follows. Firstly, select an evaluation index (such as R^2 or RMSE) for the trained model and calculate the initial score on the validation set. Then, randomly shuffle each variable in the validation set and recalculate the corresponding score of the model. The importance of a variable is defined as the difference between the recalculated score and the initial score.

- Here, R^2 was selected as the evaluation index for the variable importance analysis. Each variable was randomly shuffled 10 448 449 times, and the change in R² based on the evaluation results was calculated. The results (Fig. 14) indicate that CT and CAMS 450 are the two main influencing variables, due to the strong correlation between the model simulation data and satellite observation 451 data (Mustafa et al., 2020). In this study, CT data plays a more important role than CAMS data, which may be due to the higher 452 correlation between the CT2022 data and the OCO-2 satellite data. In addition, we found that the SCYCLE variable causes a 0.149 change in \mathbb{R}^2 , indicating that XCO₂ has significant periodicity, which is consistent with our analysis result in Section 453 3.5.2. The other auxiliary variables together also can cause a 0.149 change in \mathbb{R}^2 , indicating that the selected auxiliary variables 454 455 can effectively supplement information for the mapping of XCO₂. For the meteorological data, QV has the greatest impact, contributing to a change of 0.037. In terms of the remote sensing auxiliary data, REF6 has the greatest impact, indicating that 456 457 remote sensing data still play a certain role in XCO₂ mapping. Although the importance of remote sensing auxiliary data is not 458 very high, the spatial distribution details of the GCXCO₂ product are all derived from the remote sensing auxiliary data.
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460

461 **Figure 14.** The importance of each explanatory variable calculated by the permutation importance method.



462 **4.3 Innovation and limitations**

463 In this study, a high-accuracy global XCO_2 dataset was generated—the $GCXCO_2$ product—with a spatial resolution of 0.05 464 degrees and a temporal resolution of 8 days, from 2000 to 2020. Furthermore, the newly proposed spatio-temporal validation 465 method acted as a supplement to the existing validation methods.

466 The contributions of this work are as follows. Firstly, a method for global seamless XCO₂ mapping covering terrestrial and 467 ocean areas was developed, based on remote sensing data, model simulation data, and meteorological data. The results 468 demonstrate the high accuracy and stable spatio-temporal scalability of the model. Compared to the existing products (Li et 469 al., 2022; Zhang et al., 2022; Zhang and Liu, 2023), which all cover terrestrial areas, the GCXCO₂ product covers both 470 terrestrial and ocean areas and achieves a full spatial coverage. Secondly, the use of the dynamic normalization strategy in the model training effectively improves the generalization ability of the model in the temporal dimension. Due to the large range 471 472 of XCO₂, it is almost impossible to directly use short-term concentration values to construct a model to achieve long-term 473 inversion, and the results without using dynamic normalization show obvious errors. However, we solved the key problems 474 by using a moving normalization method with the help of model simulations, and thus we can first achieve 21-year mapping. It means that it is possible to rely on short-term satellite observations for long-term XCO₂ mapping. Finally, we developed a 475 novel validation method to evaluate the spatio-temporal extensibility in the absence of OCO-2 satellite observations. The 476 477 spatial expansibility and temporal extension validations also prove the high accuracy of the GCXCO₂ product.

478 However, there are still some limitations to this work. Firstly, the global XCO_2 mapping method is heavily reliant on the XCO_2 479 model simulation data, which limits the real-time production ability. Future research should attempt to utilize more suitable 480 remote sensing explanatory variables for real-time mapping. Meanwhile, we only used OCO-2 satellite observations in this 481 study, and future studies could use multiple satellite data sources to obtain more samples, which would involve multi-sensor 482 fusion and put forward a higher requirement for data processing. Finally, although the various validation methods have confirmed the high accuracy of the stacking regression model and product, we were unable to analyze the authenticity of the 483 484 spatial distribution of the product, due to the lack of real high-resolution seamless XCO₂ data. Therefore, exploring validation 485 methods for the spatial distribution is also a potential research direction.

486 **5 Data availability**

The long-term (2000-2020) global XCO₂ dataset GCXCO₂ can be obtained freely at <u>https://doi.org/10.5281/zenodo.10083102</u> (Guan and Sun, 2023). The data is stored in NetCDF file format, with a time resolution of 8 day and a spatial resolution of 0.05 degree. The file is named after "year-cycle", for example, 2000-01 represents the XCO₂ data for the first eight day of 2000.



491 6 Conclusion

492 In this study, the stacking regression model was utilized to construct the nonlinear relationships between the OCO-2 satellite 493 XCO₂ data and satellite observations, model simulation data, and meteorological data for global seamless XCO₂ mapping. The high spatio-temporal resolution (8-day, 0.05 degree) global GCXCO₂ product covering 2000 to 2020 was produced. The 10-494 495 fold cross-validation results ($R^2 = 0.974$, RMSE = 0.551 ppm) and the TCCON station validation results ($R^2 = 0.988$, RMSE = 1.140 ppm) confirmed that the model and product have an overall good performance and accuracy. Furthermore, the results of 496 the spatial expansibility validation ($R^2 = 0.958$, RMSE = 0.692 ppm) and temporal extension validation ($R^2 = 0.886$, RMSE = 497 498 0.823 ppm) also demonstrated that the stacking regression model has an excellent spatio-temporal generalization ability. The innovative use of dynamic normalization enabled the model to expand in the temporal dimension and successfully generated 499 the product covering 21 years. More importantly, the comparison at different scales proved that the $GCXCO_2$ product has a 500 501 higher spatial resolution and accuracy than the model simulation data, and is closer to the accuracy level of the OCO-2 satellite 502 data.

According to the $GCXCO_2$ product, the seasonal distribution of global XCO_2 varies significantly, and the XCO_2 in the Northern Hemisphere is clearly higher than that in the Southern Hemisphere in spring and winter. Meanwhile, from 2000 to 2020, the global mean XCO_2 has risen from 368.65 ppm to 411.49 ppm, indicating an average annual increase of approximately 2.09 ppm and revealing apparent global-scale changes. The XCO_2 at different latitudes has also shown a similar upward trend and seasonal variation characteristics in the long time series.

508 The GCXCO₂ product generated in this study will be of great significance for regional carbon monitoring, carbon policy

509 formulation, and global carbon flux calculations, and can also provide seamless CO₂ data for global climate change studies,

510 ecological research, and other studies.

511 Author contribution

- 512 Huanfeng Shen: Conceptualization, Methodology Xiaobin Guan: Project administration , Writing Review & Editing, Data
- 513 curation Zhihao Sun: Writing Original Draft, Validation, Visualization, Software Dong Chu: Methodology Guanglei Xie:
- 514 Validation, Software Yuchen Wang: Software, Resources

515 Competing interests

516 The authors declare that they have no conflict of interest.



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