

# Gap-filling techniques applied to GOCI-derived daily sea surface salinity product for Changjiang diluted water front in the East China Sea

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**Abstract.** The spatial and temporal resolutions of contemporary microwave-based sea surface salinity (SSS) measurements are insufficient. Thus, we developed a gap-free gridded daily SSS product with higher spatial and temporal resolutions, which can provide information on short-term variability in the East China Sea (ECS), such as the front changes by Changjiang diluted water (CDW). Specifically, we conducted gap-filling for daily SSS products based on the Geostationary Ocean Color Imager (GOCI) with a spatial resolution of 1 km (0.01°), using a machine learning approach during the summer seasons from 2015 to 2019. The comparison of the Soil Moisture Active Passive (SMAP), Copernicus Marine Environment Monitoring Service (CMEMS), and Hybrid Coordinate Ocean Model (HYCOM) SSS products with the GOCI-derived SSS over the entire SSS range showed that the SMAP SSS was highly consistent, whereas the HYCOM SSS was the least consistent. In the <31 psu range, the SMAP SSS was still the most consistent with the GOCI-derived SSS ( $R^2 = 0.46$ ; root mean squared error: RMSE = 2.41 psu); in the >31 psu range, the CMEMS and HYCOM SSS products showed similar levels of agreement with that of the SMAP SSS. We trained and tested three machine learning models—the fine trees, boosted trees, and bagged trees models—using the daily GOCI-derived SSS as output, including the three SSS products, environmental variables, and geographical data. We combined the three SSS products to construct input datasets for machine learning. Using the test dataset, the bagged trees model showed the best results (mean  $R^2 = 0.98$  and RMSE = 1.31 psu), and the models that used the SMAP SSS as input had the highest level. For the dataset in the >31 psu range, all models exhibited similarly reasonable performances (RMSE = 1.25–1.35 psu). The comparison with in situ SSS data, time series analysis, and the spatial SSS distribution derived from models showed that all models had proper CDW distributions with reasonable RMSE levels (0.91–1.56 psu). In addition, the CDW front derived from the model gap-free daily SSS product clearly demonstrated the daily oceanic mechanism during the summer season in the ECS at a detailed spatial scale. Notably, the CDW front in the zonal direction, as captured by the Ieodo Ocean Research Station (I-ORS), moved approximately 3.04 km per day in 2016, which is very fast compared with the cases in other

years. Our model yielded a gap-free gridded daily SSS product with reasonable accuracy and enabled the successful recognition of daily SSS fronts at the 1 km level, which was previously not possible with ocean color data. Such successful application of machine learning models can further provide useful information on the long-term variation of daily SSS in the ECS.

## 1 Introduction

Sea surface salinity (SSS) affects the marine biogeochemical environments, atmosphere–ocean interactions, and vertical ocean circulation (Dinnat et al., 2019; Durack et al., 2016). Gridded SSS products is useful for research on climate change and its variability (Lyman and Johnson, 2014; Ciaïis et al., 2013; Domingues et al., 2008; Bagnell and Devries, 2021). In particular, gridded SSS products can provide useful information for monitoring SSS variations in waters affected by river outflow and coastal regions (Geiger et al., 2013; Chen and Hu, 2017, Moon et al., 2019). The East China Sea (ECS)—a continental marginal sea in the western Pacific—receives freshwater from the Changjiang (Yangtze) River, which is the fifth largest river based on discharge (Beardslev et al., 1985). Changjiang River discharge (CRD) forms the Changjiang diluted water (CDW) by mixing with saline ambient waters and causes seasonal and interannual changes in the ECS and Yellow Sea (YS) (Lie et al., 2003; Chen et al., 2008). In summer, owing to the prevailing southerly wind and increasing CRD, the CDW extends eastward toward Jeju Island in Korea by approximately 12–17 km per day and lasts approximately 1–2 months (Kim et al., 2009; Yamaguchi et al., 2012). The CDW generally refers to seawater with a salinity of no more than 31 psu. Low-salinity events caused by the CDW affect the environment by altering the biological or physical properties of seawater, e.g., causing sea surface warming by impeding vertical heat exchange (Chang and Isobe, 2003; Moon et al., 2019). Therefore, spatiotemporally continuous gridded SSS data with a high spatial resolution and temporal resolution of at least a day are essential for monitoring the rapidly changing CDW in the ECS.

Three approaches are mainly followed for SSS estimation : (1) Methods involving in situ observations, resulting in objective analysis data products (Roemmich and Gilson, 2009; Cheng and Zhu, 2016; Lu et al., 2020); (2) data assimilation methods using model-derived reanalysis data and combining numerical simulations with in situ observations (Forget et al., 2015; Balmasede et al., 2013); and (3) methods involving satellite observations, i.e., passive microwave and ocean color products (Reul et al., 2020; Chen and Hu, 2017; Wang and Deng, 2018; Kim et al., 2020; Kim et al., 2022a). First, in situ observations are characterized by temporal and spatial constraints, and in situ observation accuracy is susceptible to influence by data ranges and regions (Von Schuckmann et al., 2014; Zhou et al., 2004). Hence, SSS products obtained from in situ measurements involve limitations regarding spatiotemporally continuous SSS monitoring over vast areas. The Array for Real-time Geostrophic Oceanography (ARGO), which was established in the 2000s, provides in situ measurements of various oceanographic parameters, including sea temperature and salinity, with a sparse array of  $3^\circ \times 3^\circ$  (Dinnat et al., 2019; Vinogradova et al., 2019). ARGO monitors seas in various parts of the world. However, there are a few in situ SSS observations from ARGO floats in the ECS (Kim et al., 2023b). Second, the model-derived reanalysis approach relies on model simulations that use data assimilation schemes to constrain models based on various types of observations, such as in situ and satellite data

65 (Palmer et al., 2017; Cheng et al., 2020; Storto et al., 2019). Such products, particularly those below the ocean surface, may  
be significantly affected by model biases. Therefore, the accuracy of reanalysis products is lower than that of observational  
products when adopting a data assimilation approach in applications such as long-term climate change. The Hybrid Coordinate  
Ocean Model (HYCOM) and Copernicus Marine Environment Monitoring Service (CMEMS) provide SSS fields in the ECS.  
Because these reanalysis data were generated and verified by mainly focusing on open-ocean conditions, the accuracy is low  
70 in waters with low, rapidly changing salinity levels, such as the ECS.

In contrast, satellite observations can resolve the limitations of in situ observations and reanalysis data. Three passive  
microwave radiometers with an L-band (1.4 GHz), including Aquarius (August 2011 to June 2015), Soil Moisture and Ocean  
Salinity (SMOS; since May 2010), and Soil Moisture Active Passive (SMAP; since April 2015), have been used for estimating  
SSS. L-band sensors estimate SSS based on a dielectric constant model (Reul et al., 2020). Because SMOS does not provide  
75 SSS data in the ECS due to sensor errors, including land–sea contamination (LSC) and radio frequency interference (RFI)  
(Olmedo et al., 2018), only SMAP data are currently available. SMAP has been used to monitor SSS; however, uncertainties  
due to RFI and low sea surface temperature (SST) often lead to major errors, especially in river-dominated coastal waters,  
such as the ECS. To compensate for these limitations, Jang et al. (2021) attempted to improve the SMAP SSS in river-  
dominated oceans using machine learning approaches. They used the SMAP SSS, Tb H-pol, Tb V-pol, Tb H/V, HYCOM SSS,  
80 SST, wind speed, and wave height as inputs and in situ data as output. Jang et al. (2022) produced a global SSS product by  
adding land fraction, distance from land, and precipitation data. However, the spatial (25–100 km) and temporal (5–7 days)  
resolutions of these data were too coarse to identify rapidly changing small mesoscale features in the ECS. In comparison,  
ocean color sensors, such as the Moderate Resolution Imaging Spectroradiometer (MODIS), Landsat series, and Geostationary  
Ocean Color Imager (GOCI), can provide SSS products with high spatial and temporal resolutions (Wang and Deng, 2018;  
85 Chen and Hu, 2017). Specifically, GOCI, which operated from 2010 to 2021, had high spatial (0.5 km) and temporal (eight  
images per day) resolutions for monitoring short- and long-term SSS variations in the ECS. Several studies detected SSS  
variations using GOCI (Liu et al., 2017; Sun et al., 2019; Kim et al., 2020; Kim et al., 2021). Choi et al. (2021) analyzed the  
variations in SSS, chlorophyll-a concentration, and SST when Typhoon Soulik passed over the study area and revealed that  
decreasing salinity effects were strongly exhibited two days after the typhoon passed and then became weaker a week after the  
90 passage. Son and Choi (2022) elucidated the spatial and temporal CDW variations in the ECS through monthly GOCI-derived  
SSS maps for the 2011–2020 summer seasons. However, there has been a limit to the SSS estimation due to the wavelength  
band-associated calculations of the ocean color sensor, i.e., the nonlinear relationship between the wavelength information of  
ocean color sensor data and SSS. In addition, only monthly SSS maps can be recognized, owing to severe cloud contamination.  
To overcome this problem, machine learning approaches have been used for SSS estimation. Kim et al. (2020) developed an  
95 SSS detection algorithm using a multilayer perceptron neural network (MPNN), which was applicable only for the summer of  
2016. They used GOCI remote sensing reflectance ( $R_{rs}$ ), SST, longitude, and latitude as inputs and SMAP data as output. Kim  
et al. (2022a) performed a GOCI-II based SSS estimation in the ECS for the summer of 2021 using MPNN. They provided the  
spatial distribution of low-salinity water near the southwestern Korean coasts at an hourly temporal resolution and a spatial

resolution of 250 m, which was better than that of GOCI (500 m). For long-term SSS monitoring in the ECS, Kim et al. (2022b) trained the MPNN using Ocean Color Climate Change Initiative (OC-CCI) data and in situ data collected during the summer seasons of 1997–2021. They investigated the CDW front in the ECS using an SSS-estimated MPNN model. Monthly cumulative isohaline footprints revealed that the CDW propagates to the northeast and forms a longitudinally-oriented ocean front. They mentioned that it is difficult to produce a monthly SSS distribution map because of frequent cloud cover, sun glint, and thick aerosols. Because CDW progresses rapidly, SSS variations caused by CDW must be identified at a daily or finer temporal resolution. If gap-free daily SSS maps with high spatial resolutions can be obtained, the understanding of SSS variations in the ECS can be enhanced. Here, we performed gap-filling for a GOCI-derived daily SSS product with a spatial resolution of 1 km ( $0.01^\circ$ ) using a machine learning approach. For this, we compared three SSS products, namely SMAP, CMEMS, and HYCOM, in the ECS during the summers of 2015–2019. We then trained and tested three machine learning models, namely fine trees, boosted trees, and bagged trees, using the SSS product, environmental variables, and geographical data. Finally, we analyzed the CDW front in the ECS during the summer using the gap-free GOCI-derived daily SSS product.

## 2 Materials

### 2.1 SSS and environmental data

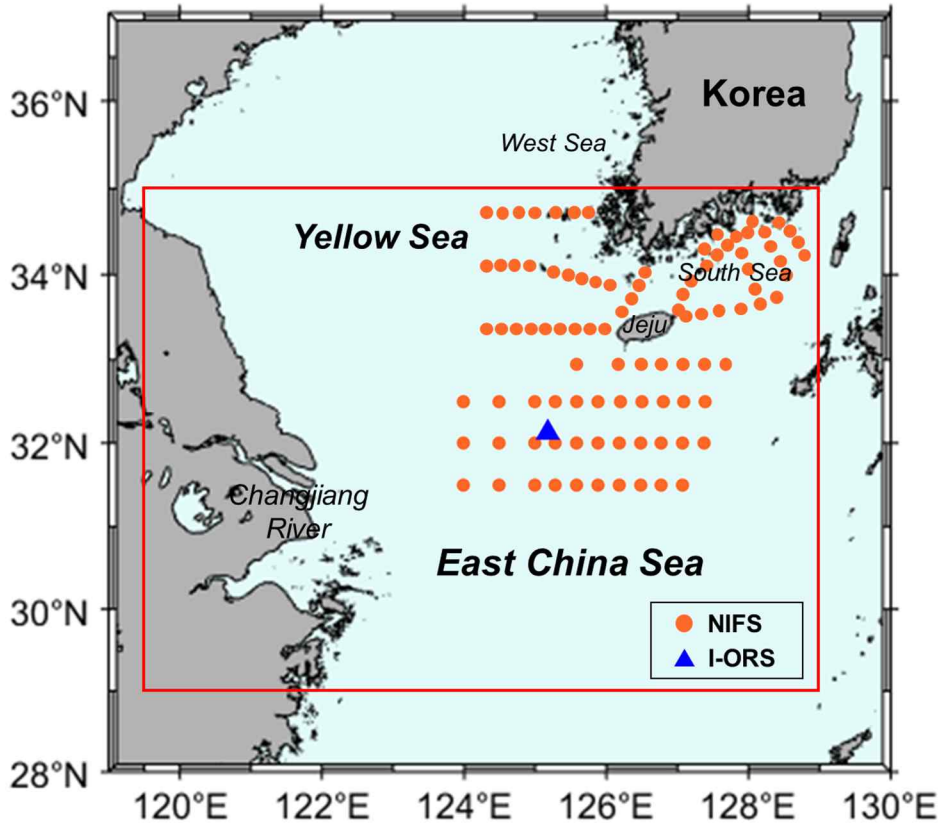
Fig. 1 shows the study area ( $29\text{--}35^\circ\text{N}$ ,  $119.5\text{--}129^\circ\text{E}$ ), including the ECS and YS. Table 1 presents a summary of the inputs and outputs used for model training and testing. All data were obtained according to the study area. The SMAP, HYCOM, and CMEMS SSS products were used as reference SSS data. Among passive microwave radiometers with L-bands, the SMAP product produced by the Jet Propulsion Laboratory (JPL) has a daily temporal resolution (eight-day running mean) (<https://podaac.jpl.nasa.gov/dataset>). We used the version 5.0 SMAP-SSS level 3 product (SMAP\_RSS\_L3\_SSS\_SMI\_8DAY-RUNNINGMEAN\_V5), which has been available since March 27, 2015. SMAP went into safe mode and data collection was disrupted over 38 days from 17 June 2019 to 25 July 2019. The datasets are gridded to  $0.25^\circ \times 0.25^\circ$ . HYCOM is a data-assimilative hybrid isopycnal-sigma-pressure coordinate ocean model, which forms the computational core of Global Ocean Forecasting System (GOFS). Multiple datasets, including Argo data with in situ temperature and salinity (TS) profiles, satellite SST, and altimeter sea surface height (SSH) anomalies, are used for HYCOM assimilation. We used GOFS 3.1 Global Analysis data (<https://tds.hycom.org/thredds/catalog.html>), with a temporal frequency of 3 h and a spatial resolution of  $0.08^\circ \times 0.08^\circ$ . We used the sea water salinity (SS) at a depth of 0.49 m of the CMEMS Global Ocean Physics Reanalysis data (GLOBAL\_MULTIYEAR\_PHY\_001\_030) (<https://resources.marine.copernicus.eu/products>). The GLORYS12V1 product is the CMEMS global ocean eddy-resolving reanalysis and assimilates altimetry data. It has a spatial resolution of  $0.08^\circ \times 0.08^\circ$  and 50 standard levels. The observations were assimilated using a reduced-order Kalman filter, along-track altimeter data, satellite SST, and in situ TS profiles.

## 2.2 Environmental data and GOCI-derived SSS daily map

130 For the SST data, we used the Group for High Resolution SST (GHRSSST) Level 4 Multi-scale Ultra-high Resolution (MUR) Global Foundation SST analysis version 4.1 data (<https://podaac.jpl.nasa.gov/dataset/MUR-JPL-L4-GLOB-v4.1>). The MUR SST analysis is part of the NASA Making Earth System data records for Use in Research Environments (MEaSUREs) Program. The objective of creating the MUR SST was to develop a coherent and consistent daily SST map at a high spatial resolution. The MUR SST has a spatial resolution of  $0.01^\circ$ . For other environmental data, we used the SSH above the geoid, eastward sea  
135 water velocity ( $uo$ ), and northward sea water velocity ( $vo$ ) at a depth of 0.49 m of the GLORYS12V1 product. We used the eastward and northward components of 10-m wind datasets with  $1/4^\circ$  provided by the European Center for Medium-range Weather Forecasts (ECMWF) Reanalysis v5 (ERA5). The data frequency is hourly and daily mean data was used. The wind data were converted to eastward wind stress ( $wsu$ ) and northward wind stress ( $wsv$ ) using an equation based on the air density, drag coefficient, and wind speed (Trenberth et al., 1990). Geographical data, such as longitude and latitude, used in the gridded  
140 data were matched to the gridded map with the scale of SST variable. In addition, we used the GOCI-derived SSS daily map of the ECS developed by Kim et al. (2021). It has a spatial resolution of  $0.005^\circ$ . They employed the MPNN approach using the hourly GOCI  $R_{rs}$  product as input and SMAP SSS data as the output for 2015–2020.

## 2.3 In situ data

Fig. 1 shows the locations of the shipboard measurement data and the Ieodo Ocean Research Station (I-ORS) used to validate  
145 the model performance. We used serial oceanographic observation data provided by the National Institute of Fisheries Science (NIFS) serial oceanographic observation stations ([http://www.nifs.go.kr/kodc/soo\\_list.kode](http://www.nifs.go.kr/kodc/soo_list.kode)). The station and observation layers consist of 25 lines with 207 stations and 14 standard water column layers (0–500 m), respectively. Data are available from 1961 to present and are usually obtained six times a year, whereas, in the case of the ECS, data are available four times a year. As shown in Fig. 1, we used SSS data with the water level of 0 m obtained from the ECS (lines 315, 316, and 317),  
150 West Sea (lines 311 and 312) and South Sea (lines 203, 204, 205, 206, and 400). We obtained 861 SSS measurements at 103 observation points during the June–September period of 2015–2019. The I-ORS salinity data were obtained from the Korea Institute of Ocean Science and Technology (KIOST) (<https://kors.kiost.ac.kr/en/data/sub4.php>). They are provided at depths of 3, 5, 8, 13, 18, 28, 34, and 40 m. The time interval is 10 min. We used salinity data at a depth of 3 m with daily averaging from June to September 2016 (122 days). The I-ORS is located at  $125.18^\circ\text{E}$ ,  $32.12^\circ\text{N}$ . This station has an advantage in terms  
155 of low-salinity water monitoring because it is geographically located on the path of the CDW, extending from the Changjiang River to the waters of the Korean Peninsula. All data were used as the quality control (QC) flag 1 (good) and the specified measurement accuracy is  $\pm 0.003$  psu.



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Figure 1: The study area in the red solid box (29–35°N, 119.5–129°E) includes the East China Sea (ECS) and Yellow Sea (YS). Orange dots indicate the serial shipboard observation stations from the National Institute of Fisheries Science (NIFS), and blue triangle indicated the location of Ieodo Ocean Research Station (I-ORS). The two datasets were used for the model testing.

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Table 1. Summary of the inputs and output used for training and testing of the machine learning model. The output data was used for daily SSS map derived from Geostationary Ocean Color Imager (GOCI) by Kim et al. (2021). In situ SSS data for the model testing were provided by the NIFS and I-ORS.

Data type	Variable	Dataset	Data source	Horizontal resolution
Input	Sea surface salinity (SSS)	SMAP_RSS_L3_SSS_SMI_8	SMAP	0.25° × 0.25°
		DAY-RUNNINGMEAN_V5		
		GOFS 3.1 GLBv0.08	HYCOM	0.08° × 0.08°
		GLOBAL_REANALYSIS_P HY_001_030	CMEMS	0.08° × 0.08°
	Sea surface height (SSH)	GLOBAL_REANALYSIS_P	CMEMS	0.08° × 0.08°
	Eastward horizontal velocity ( $u_0$ )	HY_001_030		
	Northward horizontal velocity ( $v_0$ )			
	Eastward component of 10 m wind ( $wsu$ )	ERA5	ECMWF	0.25° × 0.25°
	Northward component of 10 m wind ( $wsv$ )			
	Sea surface temperature (SST)	MURSST	GHRSSST Level 4	0.01° × 0.01°
	Geographically data (Longitude and Latitude)	-	-	0.01° × 0.01°

Output	Daily SSS	GOCI-derived daily SSS (Kim et al., 2021)	GOCI	$0.005^\circ \times 0.005^\circ$
Validation	In situ SSS	In situ observations	NIFS and I-ORS	Point observation

### 3 Methods

Fig. 2 shows a schematic representation of the generation of gap-free daily SSS product. In this study, we used a daily SSS map at 3:00 UTC during the summer period (June–September) from 2015 to 2019 (610 days) estimated from GOCI  $R_{rs}$ . We also obtained daily maps of other data for the same period. To match the spatial resolution of the gridded maps, input and output data, as shown in Table 1, were sampled at  $0.01^\circ$ , which is the spatial resolution of the SST level. The SMAP, CMEMS, and HYCOM SSS products were compared with the corresponding GOCI-derived daily SSS map through histograms, spatial distributions, and scatter plots. In addition, the data were divided into below and above 31 psu, which is the standard for identifying the CDW, and each of the two categories was evaluated for consistency with the corresponding GOCI-derived SSS. Thereafter, the machine learning models were trained using a training dataset consisting of pixel pairs of GOCI-derived SSS and various combinations of data, such as environmental factors and geographical data. We evaluated the quantitative performance of each machine-learning model using a test dataset. After confirming the performances using in situ SSS, we investigated the time series and spatial SSS distribution of each model. The optimal model was then selected. Finally, we analyzed the CDW front in the ECS as estimated from the selected model. To determine the CDW front, we applied a Savitzky–Golay filter with a window size of four, which smooths according to a quadratic polynomial fitted over each window. This method is more effective when the data vary rapidly. The SSS variations at the location of the I-ORS estimated by the model were compared during the summers of 2015–2019, and the daily progress rate of the CDW was calculated using the time-series diagram for the zonal section at the latitude where the I-ORS is located.

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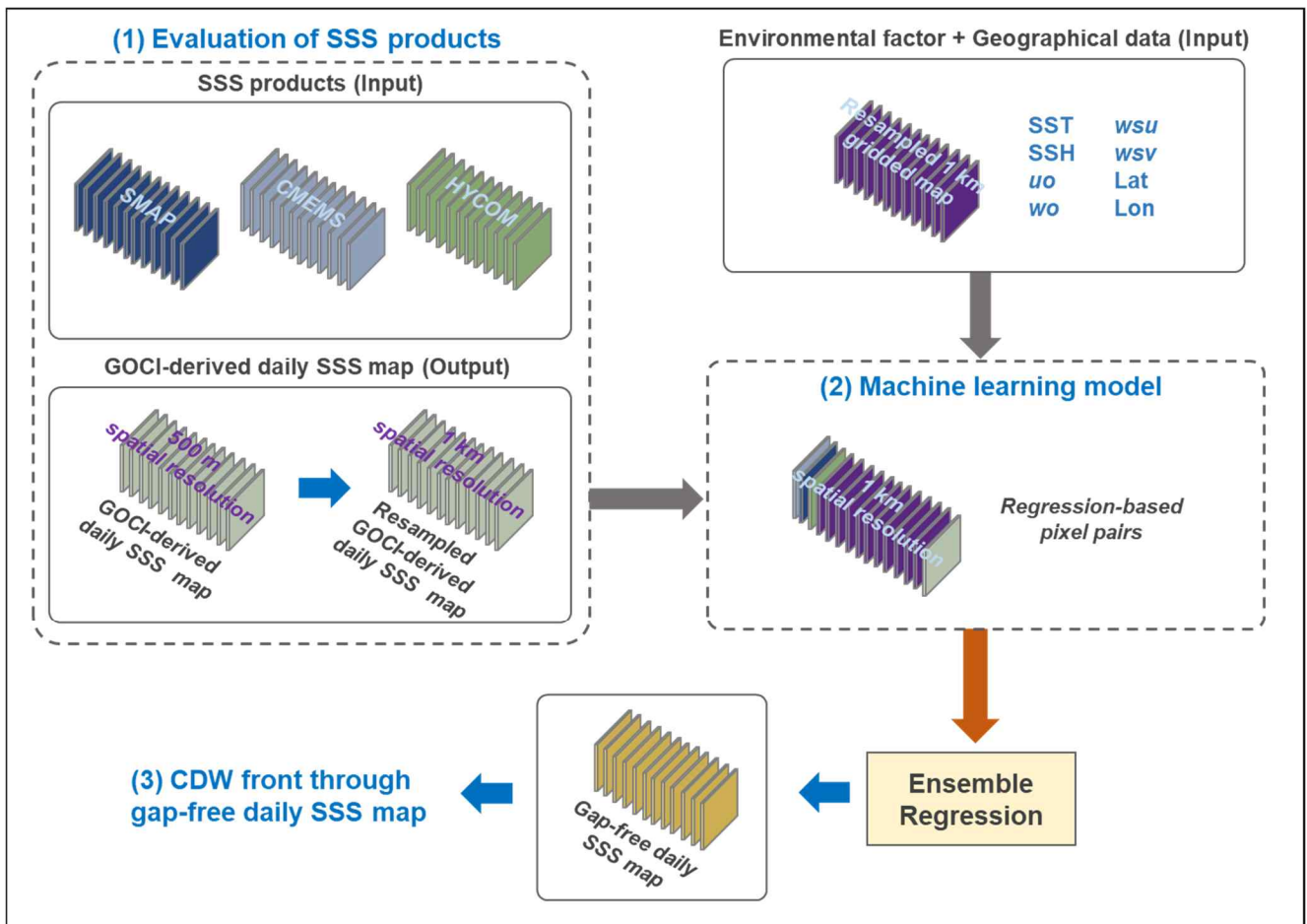


Figure 2: Schematic diagram showing the processes that lead to the production of the gap-free Geostationary Ocean Color Imager (GOCI)-derived daily sea surface salinity (SSS) map. We performed three steps: (1) We evaluated three SSS products in the ECS, including Soil Moisture Active Passive (SMAP), Copernicus Marine Environment Monitoring Service (CMEMS), and Hybrid Coordinate Ocean Model (HYCOM) SSS with the GOCI-derived SSS data, (2) machine learning models were trained and tested using the GOCI-derived SSS map and various combinations of data, including SSS products and environmental data, and (3) we identified the Changjiang diluted water (CDW) front generated from the gap-free daily SSS map.

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### 3.1 Machine learning models

Machine learning models were trained and tested using various input variable groups. Table 2 summarizes the composition of the input variables, the number of pixel pairs, and the training time for the models. To identify the extent to which the three SSS datasets affected the accuracy of the model, we created seven input variable groups: Three input groups (Models 1, 2, and 3) containing only one of the SMAP, CMEMS, and HYCOM SSS products; three input groups (Models 4, 5, and 6) containing combinations of two of the SSS datasets; and one input group containing all three SSS datasets (Model 7). Other data (SST, SSH,  $u_o$ ,  $v_o$ ,  $w_{su}$ ,  $w_{sv}$ , longitude, and latitude) were included in all the input groups. We matched the pixel pairs between the GOCI-derived daily SSS map and the corresponding factor maps. Because the SMAP SSS does not capture the coast due to

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its low spatial resolution, the input groups that included it had a small number of matched pixel pairs. In contrast, the input groups containing only the CMEMS and HYCOM SSS products had 500,000 matched pixel pairs or more. For each model, the training and test datasets were 80% and 20% of the matched pixel pairs, respectively. Due to differences in spatial resolution between input data, the location of non-valued pixels differs by input data, so they were adopted as pixel pairs only if all the input values were available. This is because the accuracy of the trained model is degraded if non-valued pixels are included in input dataset. Then, if at least one of the input data had non-valued pixels, all values of the pixel pairs were converted to zero values. For the training of zero values within the matched images, we added 10% of the total number of zero matrices for each training and test dataset group. For example, the total number of matched pixel pairs in input group 7 was 425,819, and the numbers of pixel pairs in the training and testing datasets were 340,656 and 85,163, respectively. By adding a zero matrix of 10% for each pixel pair, the final numbers of pixel pairs for the training and testing datasets were 374,721 and 93,679, respectively. Using the seven input groups, we trained and tested three machine learning models, namely the (1) fine trees, (2) boosted trees, and (3) bagged trees models. We used a fine regression tree with a minimum leaf size of four. Regression trees are easy to interpret, fast for fitting and prediction, and require low memory usage. Boosted trees are an ensemble of regression trees that use a least-squares boosting algorithm. Boosting algorithms use relatively little time or memory than bagging but might require more ensemble members. The minimum leaf size was set to eight, and the number of learners was 30 with a learning rate of 0.1 when the boosted trees model was trained. Bagged trees are bootstrap-aggregated ensembles of regression trees. They are often very accurate but can be slow and memory intensive for large datasets. The minimum leaf size and number of learners in the bagged trees model were the same as those in the boosted trees model. The computational times rank as follows: Bagged trees, boosted trees, and fine trees.

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**Table 2. Composition of the input variables, number of pixel pairs, and training time required for each model. The three machine learning models, namely the fine trees, boosted trees, and bagged trees models, were trained and tested for estimating SSS from seven input variable groups.**

Models	Input variables		Number of pixel pairs	Training time (sec)		
	SSS products	Other data		Fine trees	Boosted trees	Bagged trees
Model 1	SMAP		430,868	69.55	37.19	79.64
Model 2	CMEMS		567,946	150.43	93.97	185.97
Model 3	HYCOM	SST, SSH,	551,478	109.79	58.75	124.73
Model 4	SMAP+CMEMS	<i>uo, vo,</i>	430,868	73.65	41.81	94.22
Model 5	SMAP+HYCOM	<i>wsu, wsv,</i>	425,819	71.00	42.07	95.25
Model 6	CMEMS+HYCOM	lon, lat	551,376	112.82	63.12	135.95
Model 7	SMAP+CMEMS+HYCOM		425,819	73.50	45.90	97.51

## 225 3.2 Performance evaluation

Data comparison was performed using the coefficient of determination ( $R^2$ ), root mean squared error (RMSE), mean squared error (MSE), and mean absolute error (MAE). The MSE is the square of the RMSE. The MAE is always positive and similar to the RMSE, but less sensitive to outliers. The formulae are defined as follows:

$$230 \quad R^2 = \left( \frac{\sum_i (y_i - \bar{y})(x_i - \bar{x})}{\sqrt{\sum_i (y_i - \bar{y})^2 \sum_i (x_i - \bar{x})^2}} \right)^2, \quad (1)$$

$$\text{RMSE} = \sqrt{\frac{\sum_i (y_i - x_i)^2}{N}}, \quad (2)$$

$$MSE = \frac{1}{N} \sum_i (y_i - x_i)^2, \quad (3)$$

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$$MAE = \frac{1}{N} \sum_i |y_i - x_i|, \quad (4)$$

where  $N$  is the number of pairs and  $i$  represents an individual pair.  $x$  represents the GOCI-derived SSS or in situ observation SSS.  $y$  represents the SSS products and the estimated SSS.  $\bar{x}$  and  $\bar{y}$  are the mean values of  $x$  and  $y$ , respectively.

## 240 4 Results and discussions

### 4.1 Comparison of the existing SSS with GOCI-derived SSS

To confirm the characteristics of the SSS products in the study area, we examined the statistical distribution of the SMAP, CMEMS, and HYCOM SSS products against that of the GOCI-derived SSS product (Fig. 3a). The distribution of the SMAP SSS product was the most similar to that of the GOCI-derived SSS product, with the median values of the SMAP and GOCI-derived SSS products being 31.04 and 30.86 psu, respectively. However, the SSS ranges of the CMEMS and HYCOM SSS products, especially the one of the latter product, had high probabilities at values close to 35 psu and low probabilities in the range between 25 and 30 psu. For the HYCOM SSS product, there were no values below 20 psu. The median values of the CMEMS and HYCOM SSS products were 32.72 and 33.50 psu, respectively. The HYCOM SSS product showed the lowest degree of agreement with the GOCI-derived SSS product. Fig. 3b shows a clear shift of the CDW during summer in the GOCI-derived SSS map at 21 July 2017, 2:00 UTC, i.e., the date with the least masking (10.25%) due to cloud cover over the entire

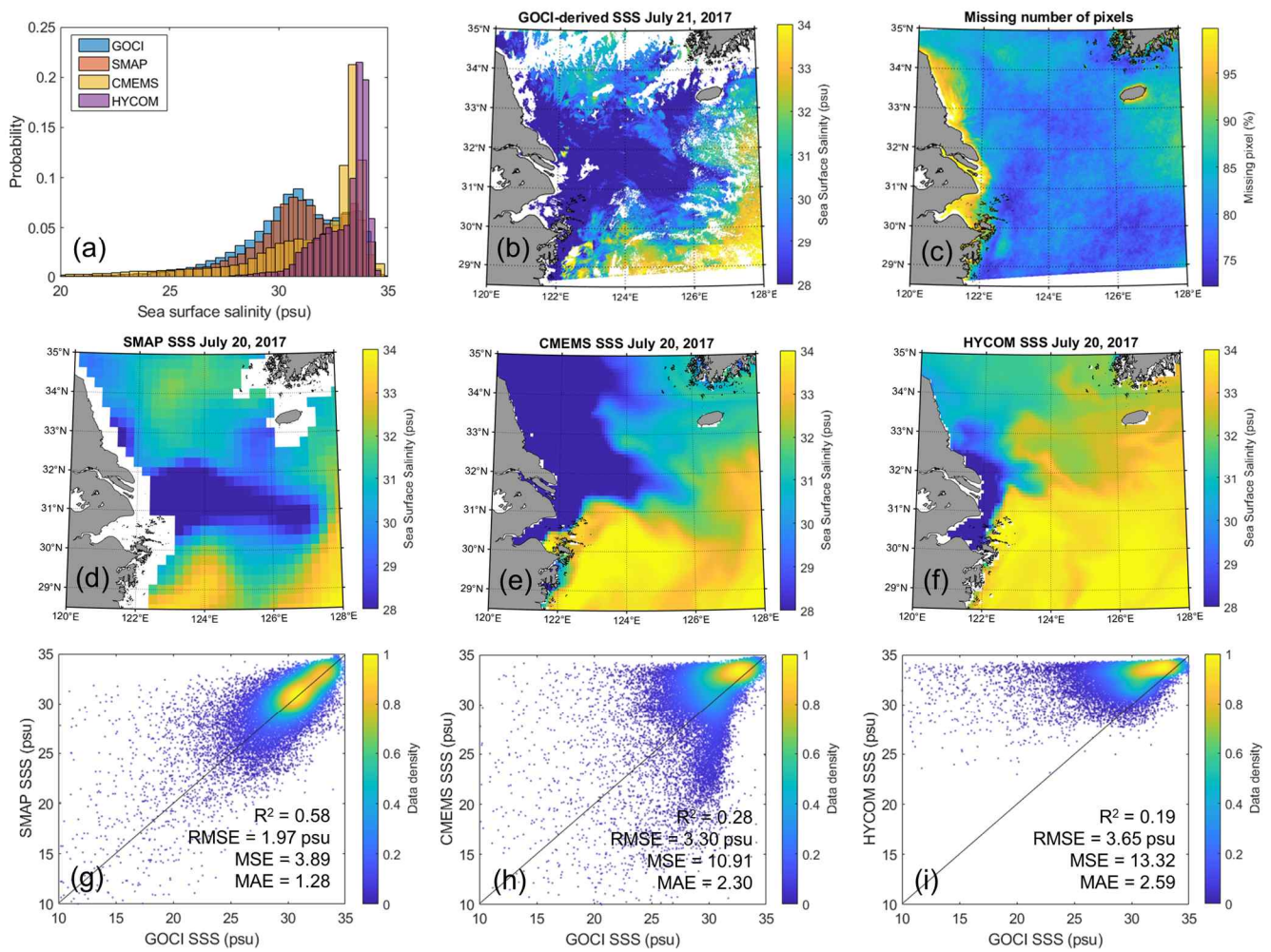
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study period (610 days). However, we were unable to confirm the movement patterns of the continuous CDW on a daily basis because of cloud masking in most SSS maps within the study period. Fig. 3c shows the spatial masking ratio of the GOCI-derived SSS maps with pixel units; the masking ratio was more than 95% around the Changjiang River estuary. The minimum masking ratio was 72%, and we estimated that all pixels in the study area could not provide SSS information for at least 439 of the 610 days. Fig. 3d–f shows the spatial distributions of the SMAP, CMEMS, and HYCOM SSS, respectively, acquired on the day the GOCI-derived SSS map in Fig. 3b was acquired. In addition, we compared the distributions of the SSS data more clearly through scatter plots between the GOCI-derived SSS and the three SSS products (Figs. 3g–i). Consistent with the results in the scatter plot ( $R^2 = 0.58$ ; RMSE = 1.97 psu), the SMAP SSS map showed the most similar distribution to that of the GOCI-derived SSS map; however, it is an 8-day average product, not a daily product. The CDW pattern in the SMAP SSS was roughly consistent with that of the GOCI-derived SSS; however, in the western waters of Jeju Island, the CDW pattern in the SMAP SSS did not appear like it did in the GOCI-derived SSS, thereby confirming that the SMAP SSS was slightly overestimated compared with the GOCI-derived SSS in the scatter plot (Fig. 3g). In the case of the CMEMS SSS, the CDW pattern in front of the Changjiang River estuary was similar to that of the GOCI-derived SSS, but the CDW was distributed along the northern coast, and the high SSS area was expanded in the southern waters (Fig. 3e), resulting in a form that deviated significantly from the 1:1 line ( $R^2 = 0.27$ ; RMSE = 3.34 psu; MSE = 10.91), as shown in Fig. 2h. In contrast, the distribution of the HYCOM SSS had a large expansion of the high SSS area from south to north, and there was no CDW pattern except at the front part of the Changjiang River, which had an extremely low SSS. In line with this, we confirmed that the HYCOM SSS data were considerably overestimated compared to the GOCI-derived SSS data, i.e.,  $R^2 = 0.18$  and RMSE=3.68 psu, especially for the <31 psu case (Fig. 3i). Through the scatter plots, we confirmed that the degree of agreement differed based on the 31-psu criterion. Table 3 shows the results of calculating the consistency with the corresponding SSS products by dividing the GOCI SSS data based on the 31 psu criterion. Even in the <31 psu case, the SMAP SSS still showed the best agreement with the GOCI-derived SSS ( $R^2 = 0.46$ ; RMSE = 2.41 psu), whereas the HYCOM SSS showed the worst agreement ( $R^2 = 0.05$ ; RMSE = 4.86 psu). However, in the >31 psu case, the CMEMS and HYCOM SSS products, with RMSE = 1.59 psu for the former and RMSE = 1.33 psu for the latter, showed as much agreement as that of the SMAP SSS with RMSE = 1.20 psu. This was different from the results in the <31 psu case.

These results may be attributed to the following reasons. The characteristics of the reanalysis data may affect the SSS estimation error in the ECS. Jang et al. (2022) compared the SMAP and HYCOM SSS products with in situ data from the global ocean, including the Pacific, Tropical, Arctic Oceans, and the Amazon River Plume. They reported that the HYCOM SSS in low-salinity regions (<32 psu), particularly in coastal river-dominated areas, exhibited high uncertainty. This may be because the HYCOM SSS data were assimilated into the ARGO data, which are relatively limited in low-salinity regions. The Argo database involves little data from our study area—the ECS. The HYCOM model uses SSS climatology and monthly mean river discharge data and does not use satellite-derived SSS products capable of real-time observations. However, these data are too coarse to reproduce the observed rapid changes in low-salinity water in narrow areas (Wallcraft et al., 2009; Cummings and Smedstad, 2014; Wilson and Riser, 2016; Metzger et al., 2017). Since the CMEMS data are assimilated

285 similarly to the HYCOM, it is judged that they have similar limitations of HYCOM data. Therefore, although reanalysis SSS  
data is gap-free and has a spatial resolution of about 8 km, it is unsuitable for catching daily SSS spatial fluctuations in the  
waters because it has relatively low accuracy in waters with low SSS range. Currently, the SMAP is the only satellite data that  
can provide a continuous spatial SSS distribution in the ECS, although it is an eight-day average dataset and has a rough spatial  
290 resolution of 25 km. Hence, the SMAP data have been frequently used as output for SSS estimations using ocean color sensor  
data. Kim et al. (2021) used the SMAP SSS data as output in an SSS estimation model. The estimated SSS was reasonable,  
with  $R^2 = 0.61$  and  $RMSE = 1.08$  psu concerning in situ SSS. Because we considered the SSS data produced in Kim's model  
as output, the SMAP SSS may—naturally—be the most consistent with the GOCI-derived SSS. Intrinsically, L-band  
microwave sensor-retrieved SSS has some limitations, such as errors due to anthropogenic RFI and LSC (Olmedo et al., 2019).  
In addition, the SMAP SSS has significant uncertainty in the polar regions owing to the relatively low SST (Jang et al., 2022).  
295 This is because the sensitivity of emissivity to salinity decreases as SST decreases, thereby increasing the error in the SMAP  
SSS (Dinnat et al., 2019; Reul et al., 2012). In the high-salinity regions, the reanalysis SSS shows a higher association with in  
situ data than the SMAP SSS. Nevertheless, in ECS, which has the characteristics of low SSS during summer, the SMAP SSS  
data is relatively more consistent with the GOCI-derived SSS than the HYCOM and CMEMS data, so it is more suitable for  
gap-filling of the GOCI SSS data.

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305 **Figure 3:** (a) Histogram of the GOCI-derived, SMAP, CMEMS, and HYCOM sea surface salinity (SSS) products in the study area. Spatial distributions of the (b) GOCI-derived, (d) SMAP, (e) CMEMS, and (f) HYCOM SSS data in the study area. (c) Spatial masking ratio of the GOCI-derived SSS maps based on the pixel unit. Scatter plots showing the consistency patterns between the GOCI-derived SSS and (g) SMAP, (h) CMEMS, and (i) HYCOM SSS data using the entire SSS range. Statistical indices: coefficient of determination ( $R^2$ ), root mean squared error (RMSE), mean squared errors (MSE), and mean absolute error (MAE).

310 **Table 3:** The  $R^2$ , RMSE, MSE, and MAE for the SMAP, CMEMS, and HYCOM SSS products with respect to the GOCI-derived SSS data according to the SSS range. The SSS data were divided into above and below 31 psu, which is the standard for defining CDW.

SSS range	Paired number	Salinity product	$R^2$	RMSE (psu)	MSE	MAE
< 31 psu	225,203	SMAP	0.41	2.46	6.05	1.68
		CMEMS	0.12	4.29	18.37	3.42
		HYCOM	0.05	4.86	23.61	3.95
> 31 psu	200,616	SMAP	0.34	1.20	1.45	0.82
		CMEMS	0.23	1.59	2.54	1.04
		HYCOM	0.20	1.33	1.77	1.07

## 4.2 Performance of the SSS models

### 4.2.1 Quantitative evaluation with test dataset

Table 4 summarizes the  $R^2$ , RMSE, MSE, and MAE values of the estimated SSS with respect to the GOCI-derived SSS for the model using the test dataset. Based on the statistical indices, the bagged trees model showed the best results (mean  $R^2 = 0.977$  and RMSE = 1.315 psu), while the boosted trees model had the lowest accuracy (mean  $R^2 = 0.944$  and RMSE = 2.139 psu). The MSE and MAE values suggested the same. The mean MSE and MAE of the boosted trees model were 2.63 and 2.23 times higher than those of the bagged trees model, respectively. The fine trees model performed well, with a mean RMSE of 1.57 psu. Model 1 with the bagged trees model and only the SMAP SSS as input had the highest level ( $R^2 = 0.98$  and RMSE = 1.164 psu). Models 4, 5, and 7 with the bagged trees models and the SMAP SSS as input also had statistical results similar to that of Model 1, with RMSE values of 1.17–1.19 psu. Model 2 with the boosted trees model and only the CMEMS SSS as input showed the worst results ( $R^2 = 0.93$ , RMSE = 2.412 psu, MSE = 5.816, and MAE = 1.782). However, the RMSE values were reasonable for the models with the boosted trees model and the SMAP SSS as input (Model 1, 4, 5 and 7). Notably, the RMSE values of Models 2, 3, and 6 with the bagged trees model and without the SMAP SSS as input showed relatively reasonable levels compared to the models that used the SMAP SSS as input. This indicates that the bagged trees model overcomes the inconsistencies of the CMEMS and HYCOM SSS concerning the GOCI-derived SSS compared to the fine trees and boosted trees models.

Our results are similar to those of previous studies. Jang et al. (2022) improved the accuracy of the SMAP SSS for the global ocean using environmental data, the SMAP and HYCOM SSS, and various machine learning approaches. They reported that, among the models, ensemble tree-based machine learning methods such as random forest (RF), extreme gradient boosting (XGBoost), light gradient boosting model (LGB), and gradient-boosted regression trees (GBRT), showed quantitatively good performances. Shin et al. (2022) evaluated machine learning models with various types of ensemble methods, such as bagged trees, boosted trees, subspace discriminant, subspace k-nearest neighbor (KNN), and random undersampling boosting (RUSBoost), to estimate Sargassum distribution through environmental variables. They found that model accuracy varied depending on the learner type and that the bagged tree model showed the best performance, especially when the learner type was a decision tree. To recognize the impact of geographic factors on model performance, we trained the bagged trees model while excluding the latitude and longitude from the input data of Model 1. As a result, the RMSE, MSE, and MAE values increased by 12.55%, 26.68%, and 12.99% compared to those of Model 1 with geographic factors, respectively. Spatially, the CDW pattern estimated from the model was more dispersed; therefore, the tendency of the movement pattern was not clear. The results of some previous studies are consistent with these results. Shin et al. (2022) reported that the model trained with geographic factors as input variables was more accurate than the model without geographic factors and that Sargassum distribution in the ECS estimated from the model was less spread and more reasonable than those from other models. Kim et al. (2023a) selected physically related variables and geographic factors as inputs to estimate the subsurface salinity using a convolutional neural network (CNN) model. They found that the model without geographic information was less accurate than

345 the model with geographic information. Geographic information is important for the movement of the CDW in the ECS. The Changjiang River, located in the southwestern part of the study area, is a major source of freshwater, and the CDW produced from this location gradually moves northeast. Therefore, a model with a good performance model is possible only when both geographical and environmental factors that can affect the SSS variations are considered.

350 **Table 4. Statistical results of the R<sup>2</sup>, RMSE, MSE, and MAE values between the SSS products estimated from the seven models and the GOCI-derived SSS using the test dataset. The models were divided according to the SSS data used in input, and each training dataset was trained with the three machine learning models, namely fine trees, boosted trees, and bagged trees models.**

SSS model		R <sup>2</sup>	RMSE (psu)	MSE	MAE
<b>Model 1 (SMAP)</b>	Fine trees	0.98	1.384	1.916	0.780
	Boosted trees	0.95	1.950	3.804	1.546
	Bagged trees	0.98	1.164	1.356	0.665
<b>Model 2 (CMEMS)</b>	Fine trees	0.96	1.885	3.551	0.951
	Boosted trees	0.93	2.412	5.816	1.782
	Bagged trees	0.97	1.558	2.428	0.817
<b>Model 3 (HYCOM)</b>	Fine trees	0.96	1.774	3.148	0.916
	Boosted trees	0.94	2.370	5.617	1.763
	Bagged trees	0.97	1.482	2.195	0.791
<b>Model 4 (SMAP+CMEMS)</b>	Fine trees	0.98	1.406	1.976	0.783
	Boosted trees	0.95	1.952	3.810	1.541
	Bagged trees	0.98	1.186	1.407	0.668
<b>Model 5 (SMAP+HYCOM)</b>	Fine trees	0.98	1.384	1.916	0.776
	Boosted trees	0.95	1.954	3.817	1.544
	Bagged trees	0.98	1.166	1.361	0.662
<b>Model 6 (CMEMS+HYCOM)</b>	Fine trees	0.96	1.769	3.131	0.914
	Boosted trees	0.94	2.379	5.661	1.764
	Bagged trees	0.98	1.471	2.164	0.781
<b>Model 7 (SMAP+CMEMS+HYCOM)</b>	Fine trees	0.98	1.385	1.957	0.774
	Boosted trees	0.95	1.956	3.826	1.542
	Bagged trees	0.98	1.175	1.382	0.664

#### 4.2.2 Validation with independent observations

355 We validated the estimated SSS from the models and the existing SSS products using in situ NIFS and I-ORS SSS (Table 5). Among the Models, in the case of in situ NIFS data, Model 1 with the bagged trees model had the best performance with R<sup>2</sup> = 0.65 and RMSE = 1.434 psu while Model 3 had the worst performance with R<sup>2</sup> = 0.59 and RMSE = 1.560 psu. Consistent with the test dataset results, the models with the SMAP SSS as input (RMSE = 1.434–1.488 psu) performed slightly better than those without the SMAP SSS (RMSE = 1.525–1.560 psu). Among the existing SSS products, the RMSE level of the SMAP  
360 was the lowest (0.781 psu), and the CMEMS and HYCOM showed a RMSE of 1.931–2.154 psu. The performance of the models was evaluated using in situ I-ORS data from 2016 when the expansion scale of the CDW was quite large and fast. From June to September 2016, 16 out of 122 days were missing, and 106 matching data points were used to evaluate the performance of the models. Within this period, the minimum SSS was 26.62 psu, and data in the <31 psu range accounted for

89% of the total data, with a maximum SSS of 32.02 psu. The RMSE range of all models was 0.911–1.021 psu, with good performance at a low salinity range. When confirming the consistency between the in situ I-ORS dataset and the three SSS products, the RMSE values of the SMAP, CMEMS, and HYCOM SSS were 1.459, 3.062, and 3.251 psu, respectively. These results were quite different from those when validated using the in situ NIFS data.

**Table 5: The  $R^2$ , RMSE, MSE, and MAE values of the SSS values estimated from Models 1–7 for the bagged trees model, SMAP, CMEMS, and HYCOM concerning in situ NIFS and I-ORS SSS data. N is the number of matches between the in situ SSS and estimated SSS maps. As shown in Fig. 1, in situ data were acquired from close to the coast of the Korean Peninsula; therefore, the SMAP SSS does not provide data around the coast. For this reason, the SSS map estimated from models using the SMAP SSS as input had fewer matched data than those of other models. Since I-ORS is located in the center of the study area, in situ data that matched data on the SSS map estimated from models were the same for all models. Of the 122 days, 16 days were missing, and a total of 106 days were matched.**

In situ observations	Models and products	$R^2$	RMSE (psu)	MSE	MAE	Matched number
NIFS SSS data	Model 1	0.65	1.434	2.06	1.19	478
	Model 2	0.60	1.525	2.33	1.30	841
	Model 3	0.59	1.560	2.43	1.34	795
	Model 4	0.64	1.448	2.10	1.21	478
	Model 5	0.63	1.488	2.21	1.23	457
	Model 6	0.63	1.529	2.34	1.32	795
	Model 7	0.64	1.467	2.15	1.21	457
	SMAP	0.89	0.781	0.61	0.62	417
	CMEMS	0.045	1.931	3.73	1.48	841
	HYCOM	0.18	2.154	4.64	1.52	825
I-ORS data	Model 1	0.71	0.947	0.896	0.798	106
	Model 2	0.70	0.911	0.830	0.761	
	Model 3	0.66	0.994	0.988	0.822	
	Model 4	0.72	0.940	0.884	0.794	
	Model 5	0.66	1.021	1.042	0.881	
	Model 6	0.68	0.959	0.919	0.814	
	Model 7	0.70	0.943	0.889	0.809	
	SMAP	0.75	1.459	2.128	1.172	
	CMEMS	0.001	3.062	9.375	2.766	
	HYCOM	0.089	3.251	10.567	2.864	

We evaluated model performance by dividing the data based on the 31 psu criterion using in situ NIFS SSS data (Table 6). As shown in Fig. 1, in situ NIFS data were acquired from within the area of the CDW, with a minimum SSS of 21.97 psu. Quite a few data were acquired from the northern location, unaffected by the CDW; therefore, the maximum SSS was 34.04 psu. However, of the 861 in situ data, the ones in the >31 psu range accounted for 73.17% of the total, unlike the in situ I-ORS data. When using in situ data in the >31 psu range, the mean RMSE (1.573 psu) was 5.36% higher than the mean RMSE the entire dataset (1.493 psu), and the performances of all models were slightly worse. However, when in situ data in the <31 psu range were used, the mean RMSE (1.308 psu) decreased by 12.32% compared to the mean RMSE of the entire dataset. In particular, the RMSE (1.301 psu) of Model 2 (with only the CMEMS SSS as input) decreased by 14.68%, compared with when all data



were used (1.525 psu). The model performance with data in the < 31 psu range was similarly reasonable in all seven models (RMSE = 1.250–1.347 psu). Our models successfully solved the nonlinear relationships between the input dataset and the GOCI-derived SSS data. This indicates that in a water environment with a low salinity range, the SSS data estimated by our models have a higher accuracy than the existing SSS products, approximately the accuracy level of RMSE = 1 psu.

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**Table 6: The R<sup>2</sup>, RMSE, MSE, and MAE values between the SSS values estimated from Models 1–7 for the bagged trees model and in situ NIFS SSS data. The data were evaluated by dividing on a 31 psu criterion.**

SSS range	Models	R <sup>2</sup>	RMSE (psu)	MSE	MAE	Matched number
< 31 psu	Model 1	0.45	1.250	1.563	0.887	183
	Model 2	0.41	1.301	1.693	0.925	229
	Model 3	0.42	1.347	1.815	0.961	207
	Model 4	0.41	1.296	1.679	0.944	183
	Model 5	0.40	1.352	1.829	0.936	166
	Model 6	0.46	1.292	1.669	0.940	207
	Model 7	0.43	1.320	1.741	0.936	166
> 31 psu	Model 1	0.28	1.536	2.361	1.374	295
	Model 2	0.25	1.601	2.563	1.439	612
	Model 3	0.25	1.628	2.649	1.472	588
	Model 4	0.31	1.535	2.355	1.376	295
	Model 5	0.26	1.559	2.432	1.395	291
	Model 6	0.27	1.604	2.572	1.453	588
	Model 7	0.26	1.545	2.387	1.371	291

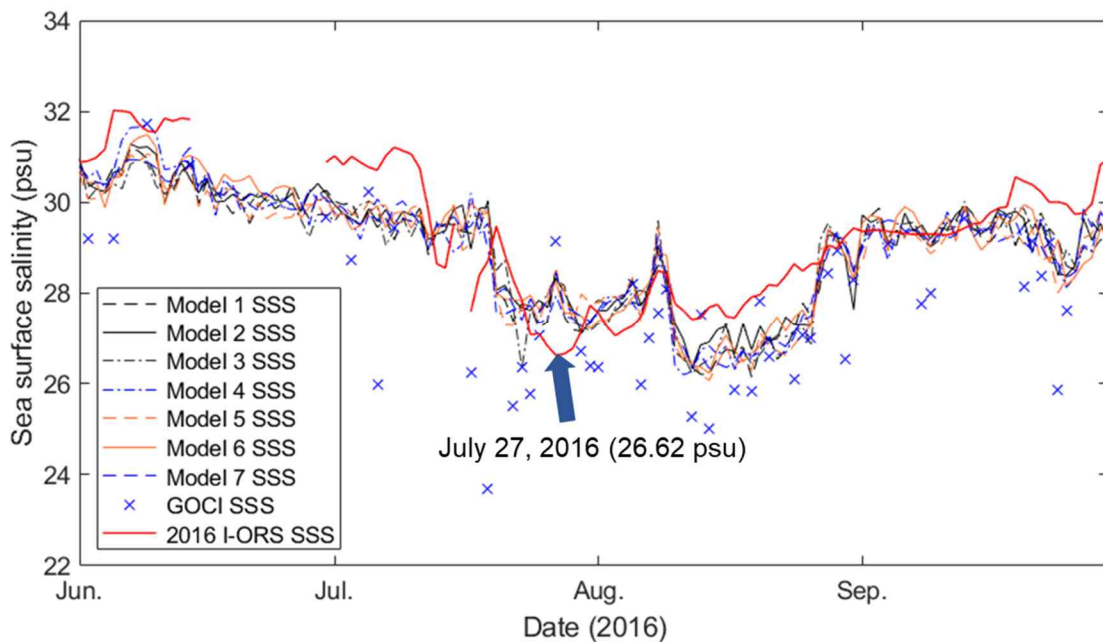
#### 395 4.2.3 Time series and spatial distribution of SSS map

We compared the spatial distributions of the SSS maps to qualitatively evaluate the models. Fig. 4 shows the time series variations of the model-based SSS, GOCI-derived SSS, and in situ I-ORS SSS during the summer period, i.e., from 1 June 2016 to 30 September 2016. Out of a total of 122 days, GOCI-derived SSS data were obtained at the I-ORS location in 48 days, while 60.66% of the SSS data over four months were not observable due to cloud cover. The in situ I-ORS data were missing 13.11% during the same period. However, the SSS data estimated by the models spanned over the entire period and did not include missing data, and the simulated daily variation of the in situ data was better than that of the GOCI-derived SSS. Fig. 5a shows the GOCI-derived SSS map on 27 July 2016, in which the in situ I-ORS SSS value is the lowest, as shown in Fig. 4. SSS data at the location of the I-ORS (red triangle) existed in the GOCI-derived SSS map; however, most parts of the study area were masked by clouds, making it difficult to recognize the CDW pattern. In contrast, Figs. 5b–h show the SSS maps estimated by Models 1–7, respectively, for the same date as that of the GOCI-derived SSS map. Unlike the GOCI-derived SSS map, all the SSS maps estimated by the models provided gap-free SSS distributions and clearly showed that the CDW extended from the Changjiang River estuary to the coast of Jeju Island during summer. However, we confirmed that Models 1, 4, 5, and 7 (Figs. 5b, e, f, and h, respectively), which included the SMAP SSS as input, are masked in coastal areas, and

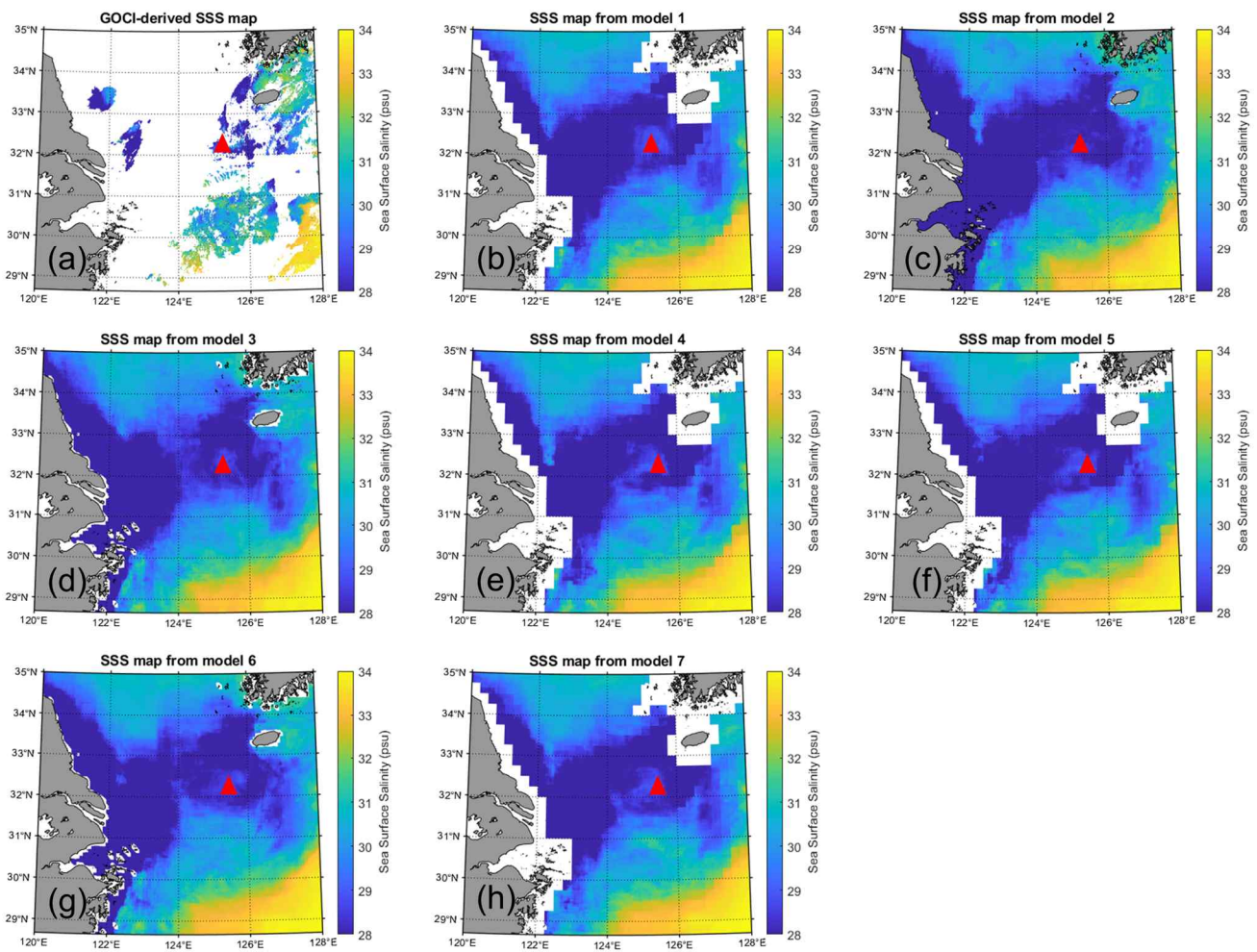
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some of the spatial patterns of the CDW appear in steps because of the spatial resolution of the SMAP (25 km). In contrast, Models 2, 3, and 6 (Figs. 5c, d, and g, respectively), which included the CMEMS and HYCOM SSS as inputs, did not mask the coastal area and provided coastal SSS information regarding the CDW spreading from the front of the Changjiang River estuary. Overall, the CDW patterns in the SSS maps estimated by Models 3 and 6 (Figs. 5d and g) using the HYCOM SSS as input data were similar to those of the other models; however, the CDW distribution tended to be divided around 124°E. Model 2, which included only the CMEMS SSS as input, showed the appropriate CDW distributions and patterns. Through quantitative and qualitative evaluation of the models, we selected Model 1 (only the SMAP SSS as input) and Model 2 (only the CMEMS SSS as input) for the CDW front analysis in the ECS, while considering the simplicity of the input data. The prime SMAP mission was completed in the summer of 2018, having acquired a wide range of scientific data for three years. Since then, SMAP has been approved for an extended phase of operation until 2023. However, the SMAP operation will soon be terminated; therefore, an alternative to the SMAP SSS data is necessary. By quantitative and qualitative model evaluation using a combination of SSS products, we confirmed the usefulness of additional CMEMS SSS data, combined with the SMAP SSS data, to generate a gap-free GOCI-derived SSS map.



425 **Figure 4: (a) SSS time series estimated from seven models with bagged trees and the GOCI-derived and in situ I-ORS SSS data over 122 days from 1 June 2016 to 30 September 2016.**



**Figure 5: (a) GOCI-derived SSS map on 27 July 2016. (b)–(h) SSS maps estimated from seven models with the bagged trees. The estimated SSS maps were generated from input data on the same day as the GOCI-derived SSS map. The red triangle represents the I-ORS location.**

#### 430 4.3 CDW front based on gap-free daily SSS

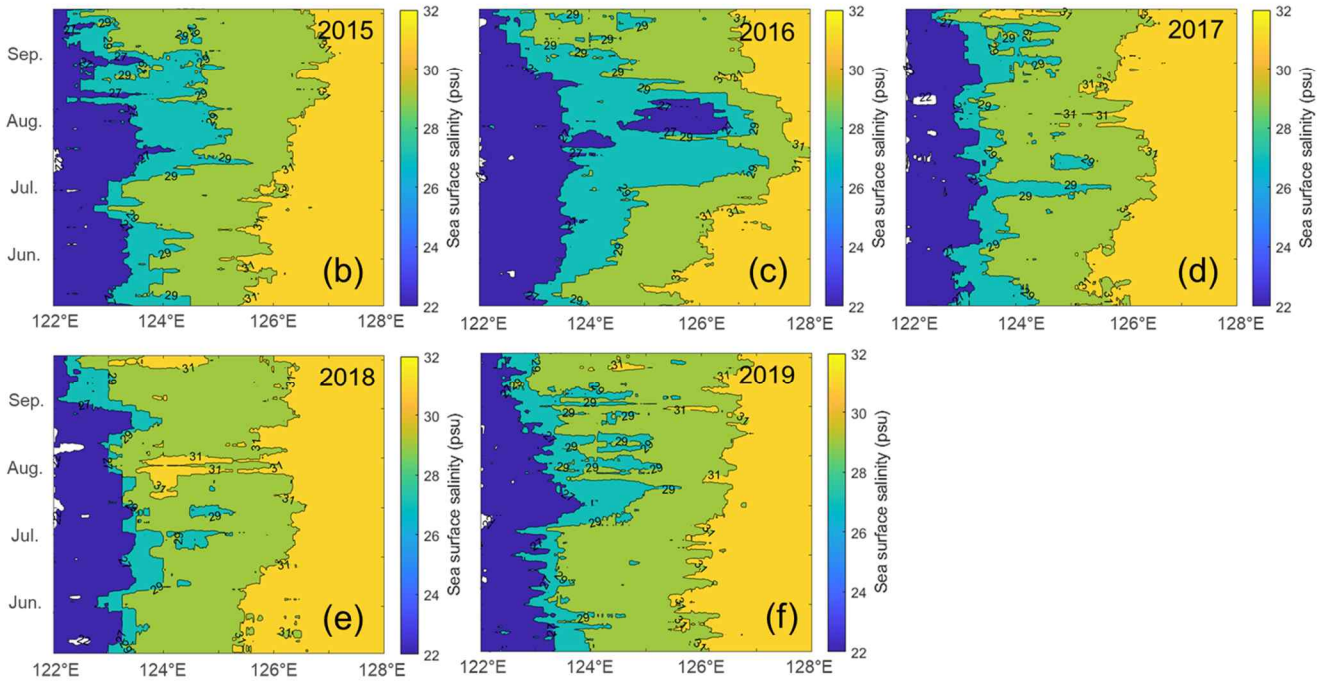
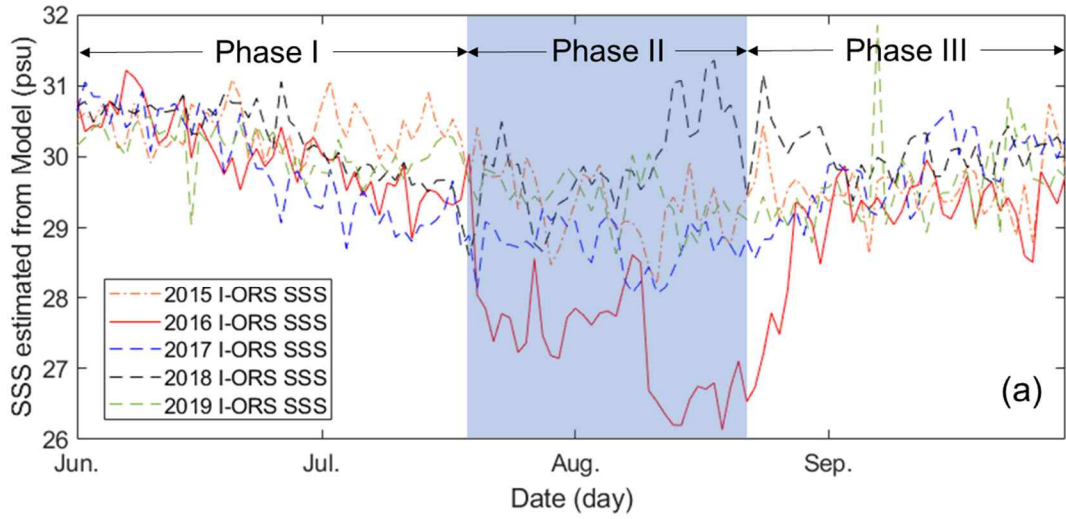
Fig. 6a shows the SSS time series estimated from Model 1 (2015, 2016, 2017, and 2018) and 2 (2019) with bagged trees at the I-ORS location during the summers of 2015–2019. The estimated SSS data with Model 1 in 2019 was not possible because the SMAP SSS was not provided due to safe mode; therefore, Model 2 was used instead. We identified the three phases according to the CDW variations during summer: (Phase I) beginning phase (early June), (Phase II) development phase (end of July), and (Phase III) recovery phase (end of August). The in situ I-ORS SSS generally began to fall under the influence of the CDW in June (Phase I), declined from July to August (Phase II), and then increased in September (Phase III). This happened in 2015, 2016, and 2019, and the difference between the maximum and minimum SSS was approximately 3 psu. However, 2016 and 2018 exhibited different trends. In 2016, the SSS change in Phase I was similar to those in other years, whereas

Phase II showed a sharp SSS decline, contrary to the cases in other years. At the end of August, Phase III showed a sharp SSS increase and SSS recovered to a level similar to those in other years. In contrast, in 2018, Phases I and III showed patterns similar to those in other years, whereas Phase II showed a slight SSS increase, contrary to the case in 2016. To determine the direction and velocity of the CDW front movement in the summers of 2015–2019, we plotted the time series in the cross-sectional direction (A–A' in Fig. 7a). In early June, the CDW front was similarly located near 126°E in all years, and the 29 isohaline appeared near 124°E. In 2015 and 2019, the CDW front expanded to 127°E and gradually moved east until September. In 2017 and 2018, the CDW front did not reach 127°E until September, repeating the trend of heading east and retreating to the west. In an unusual case in 2016, we confirmed that the CDW front extended to 128°E on 1 August, 62 days after 1 June, moving approximately 3.04 km per day (188.29 km/62 days). Regarding the 29 isohaline, in 2017 and 2018, similar to June, it rarely moved east; in particular, in 2018, the tendency to move east was low, resulting in the lowest CDW expansion during the study period. In 2015 and 2019, the 29 isohaline developed at 125°E in August and gradually retreated westward. In 2016, the 29 isohaline extended to 127°E from early June to early August, moving at approximately 4.79 km per day (282.42 km/59 days). This was faster than the CDW front, which lasted one month in August and then gradually retreated in September. The 27 isohaline stayed around 123°E from early June to the end of September in all years except 2016. In 2016, a partial 27 isohaline extended to 126°E, confirming that a fairly low-salinity environment persisted during the summer season of 2016. Focusing on 2016 and 2018, which showed unusual SSS fluctuations different from those in other years, we continuously (i.e., on a daily basis) identified the CDW front (<31 psu) by phase (Fig. 7). The SSS spatial distributions were estimated by Model 1 and were applied with the 29, 31, and 33 psu isohalines for the CDW front. Consistent with the SSS time series in Fig. 6, the CDW front was close to the I-ORS during Phase I in early June (5–8 June) in both 2016 and 2018 (Figs. 7a and 7d, respectively). This indicates that before June, the CDW front had already advanced considerably eastward in the ECS and began to enter the CDW boundary of the <31 psu range in Phase I. However, the CDW front variation patterns in Phases II and III differed. On 19–20 July 2016, during Phase I, the I-ORS location entered the 29 psu boundary, and the CDW front gradually expanded southeast. Conversely, in 2018, Phase I stayed at the 29 psu boundary simultaneously and escaped, and the CDW front remained similar without significant changes. While Phase III in 2016 remained at the boundary of 29 psu boundary and gradually escaped, Phase III in 2018 exhibited a spatial CDW front pattern similar to that of Phase I, and the SSS level had already recovered.

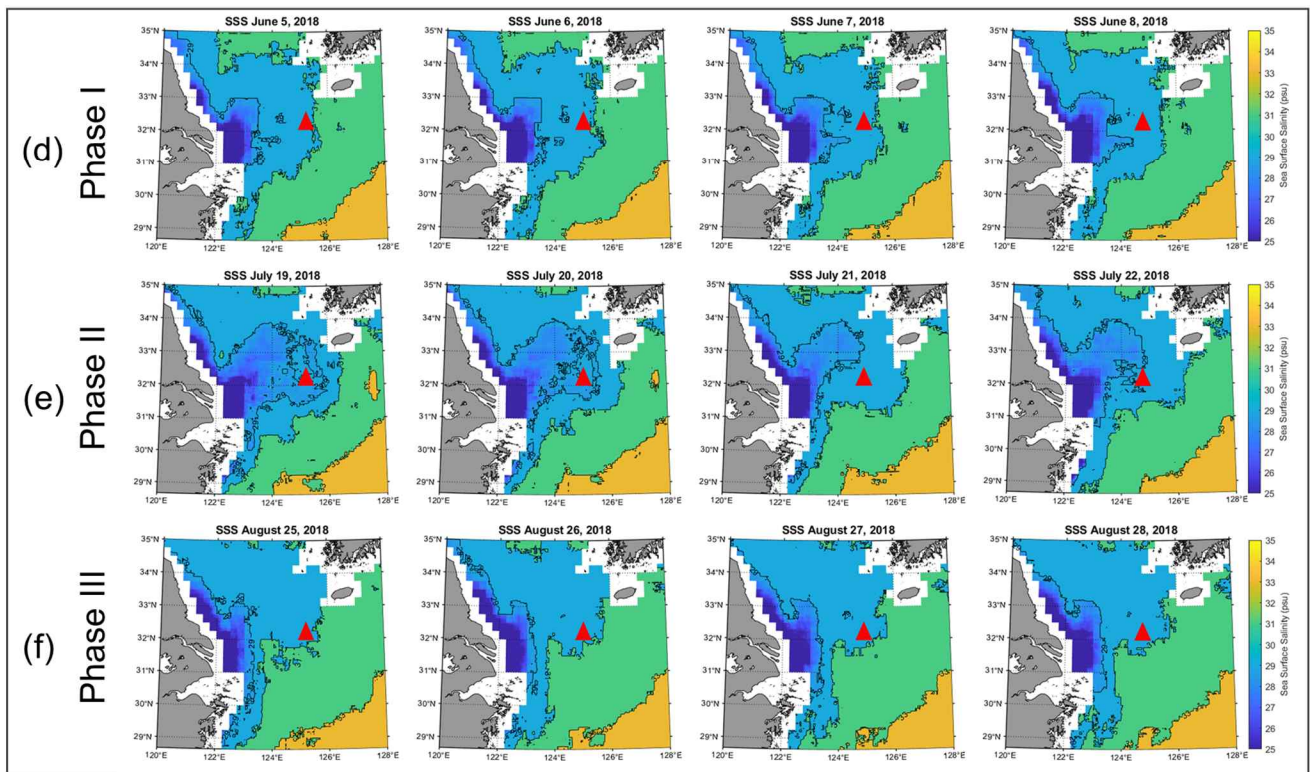
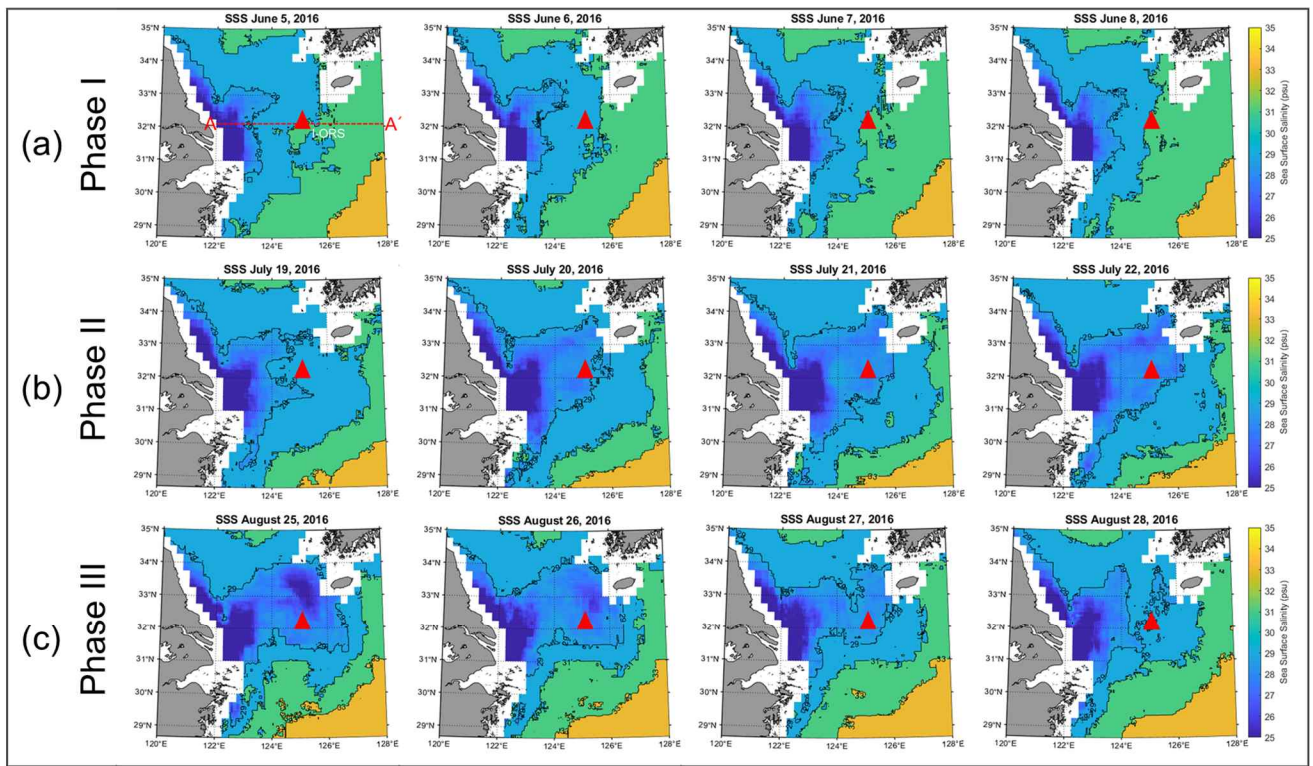
Our results are consistent with those of previous studies on the CDW in the ECS. Moon et al. (2019) recognized that ocean salinity in 2016 was exceptionally low and investigated the contribution of low salinity to sea surface warming in the ECS during the summer of 2016. Through observations, they revealed that a large amount of freshwater in 2016 originated from the Changjiang River. Son and Choi (2022) presented maps that applied various SSS algorithms to GOCI and noted that surface water in the summer of 2016 was loaded with fresh water owing to increased Changjiang River discharge (CRD). In addition, cross- and along-shelf exports of the CDW from the Changjiang River mouth manifested as patches, and salinities below 25 psu were observed along the Changjiang River estuary. Kim et al. (2023a) estimated the CDW volume in the ECS by combining a subsurface salinity map with the SMAP SSS. The CDW volume was highest in 2016, whereas in 2018, it reached

a minimum during summer. They found that the CDW volumes were relatively low from May to early June and increased from June to August, showing a seasonal trend. This may be because the conditions in 2016 and 2018 were different, owing to the amount of CRD, precipitation, El Niño–Southern Oscillation (ENSO), typhoons, and wind. The primary factor controlling the scale of the CDW is the amount of CRD. Kim et al. (2023) reported that the amount of CRD measured at the Datong Station was highest in 2016 and lowest in 2018. They investigated the relationship between CDW volume and CRD and found that the CDW volume peak appeared with a time lag of about  $34 \pm 15$  days after an increase in CRD, and that 2016 had the largest CDW and 2018 was the smallest. In 2016, a strong El Niño event led to a noticeable increase in CRD compared to other years (Kim et al., 2023a). ENSO can increase the CRD in the ECS through the increased precipitation during El Niño events (Park et al., 2011; Wu et al., 2023). In addition, no typhoons crossed the ECS in 2016, indicating that no vertical mixing was caused by typhoons. Strong vertical mixing caused by the passage of a typhoon hinders the CDW expansion. In contrast, the La Niña event in 2018 led to a low CRD and typhoons crossed the ECS. These differences may change the CDW pattern annually.

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490 **Figure 6:** (a) SSS time series estimated by Model 1 (2015, 2016, 2017, and 2018) and Model 2 (2019) with bagged trees at the I-ORS location during the summer of 2015–2019. (Phase I) Beginning phase, (Phase II) development phase, and (Phase III) recovery phase of the CDW. In 2019, it was not possible to estimate the SSS with Model 1 because the SMAP SSS was not provided due to safe mode; therefore, Model 2 was used instead. (b)–(f) Time series of the 122–128°E horizontal transect (A–A' in Fig. 8a) during summer in each year. The plots were applied for the 27, 29, and 31 psu isohalines. The cross section in A–A' is located at 32.12°N.



495 **Figure 7: SSS spatial distribution in the ECS with the 29, 31, and 33 psu isohalines in 2016 and 2018. (a)–(f) Maps corresponding to the stages in Figs. 7a, b, c, respectively. Beginning phase (Phase I): panels (a) and (c); development phase (Phase II): panels (b) and (d); recovery stage (Phase III): panels (e) and (f). The red triangle represents the I-ORS location (32.12°N, 125.18°E).**

## 5 Data availability

The gridded gap-free SSS dataset at  $0.01^\circ \times 0.01^\circ$  spatial resolution during the summer period (June–September) from 2015–2019 is stored at the Korea Institute of Ocean Science & Technology (<https://doi.org/10.22808/DATA-2023-2>, Shin et al., 500 2023). When analyzing the CDW front, Model 1 was used from 2015 to 2018, and Model 2 was used for 2019 due to the safe mode of SMAP SSS data. We provided the SSS dataset of Models 1 and 2 from 2015–2019.

## 6 Summary

To date, the SMAP satellite data and CMEMS and HYCOM reanalysis data are the gap-free gridded SSS products that can be used in the ECS. The reanalysis data showed fair accuracy with respect to the GOCI-derived SSS in the  $>31$  psu range, while 505 the worst agreement was found in the  $<31$  psu range in the ECS during summer seasons. Hence, the reanalysis SSS data was unsuitable for gap-filling in the GOCI-derived SSS. Because the SMAP SSS dataset is an eight-day average dataset, the accuracy of the daily analysis was poor and had a fairly rough spatial resolution of 25 km; however, to date, it is the only dataset that can grasp the gap-free daily spatial SSS distribution with fair accuracy in the  $<31$  psu range. The spatial resolution of these data may be too rough to capture the daily variations of the CDW moving 12–17 km per day. In this study, we 510 overcame the limitations of these datasets and succeeded in producing a gap-free gridded daily SSS product with reasonable accuracy and a spatial resolution of 1 km using a machine learning approach and the corresponding variable of SSS estimation. Eventually, the data produced from our study enabled the recognition of SSS distribution and movement patterns of the CDW front in the ECS daily during summer, which were not previously attempted due to spatial and temporal resolution limitations. These results will further advance our understanding and monitoring of long-term SSS variations in the ECS.

## 515 Author contributions

JS developed the related model and evaluated, and wrote the manuscript. YH conceptualized and supervised this study. DW and SH participated in data processing. YH, BK, and SH revised and reviewed the manuscript.

## Competing interests

The authors declare that they have no conflict of interest.



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