# 2020 forest age map for China with 30 m resolution

- 2 Kai Cheng<sup>1</sup>, Yuling Chen<sup>1</sup>, Tianyu Xiang<sup>2</sup>, Haitao Yang<sup>1</sup>, Weiyan Liu<sup>3</sup>, Yu Ren<sup>1,4</sup>, Hongcan Guan<sup>5</sup>,
- 3 Tianyu Hu<sup>6</sup>, Qin Ma<sup>7</sup>, Qinghua Guo<sup>1,4\*</sup>
- 4 <sup>1</sup>Institute of Remote Sensing and Geographic Information System, School of Earth and Space Sciences, Peking University,
- 5 Beijing 100871, China
- 6 <sup>2</sup> College of Earth Sciences, Chengdu University of Technology, Chengdu 610059, China
- 7 <sup>3</sup> State Forestry and Grassland Administration Key Laboratory of Forest Resources & Environmental Management, Beijing
- 8 Forestry University, Beijing 100083, China
- 9 <sup>4</sup> Institute of Ecology, College of Urban and Environmental Sciences, Peking University, Beijing 100871, China
- 10 <sup>5</sup> School of Tropical Agriculture and Forestry, Hainan University, Haikou 570100, China
- 11 <sup>6</sup> State Key Laboratory of Vegetation and Environmental Change, Institute of Botany, Chinese Academy of Sciences, Beijing
- 12 100093, China
- 13 <sup>7</sup> School of Geography, Nanjing Normal University, Nanjing 210023, China
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- 15 Correspondence to: Qinghua Guo (guo.qinghua@pku.edu.cn)
- 16 **Abstract.** A high-resolution, spatially explicit forest age map is essential for quantifying forest carbon stocks and carbon
- 17 sequestration potential. Prior attempts to estimate forest age on a national scale in China have been limited by sparse resolution
- 18 and incomplete coverage of forest ecosystems, attributed to complex species composition, extensive forest areas, insufficient
- 19 field measurements, and inadequate methods. To address these challenges, we developed a framework that combines machine
- 20 learning algorithms (MLAs) and remote sensing time series analysis for estimating the age of China's forests. Initially, we
- 21 identify and develop the optimal MLAs for forest age estimation across various vegetation divisions based on forest height,
- 22 climate, terrain, soil, and forest-age field measurements, utilizing these MLAs to ascertain forest age information. Subsequently,
- 23 we apply the LandTrendr time series analysis to detect forest disturbances from 1985 to 2020, with the time since the last
- 24 disturbance serving as a proxy for forest age. Ultimately, the forest age data derived from LandTrendr is integrated with the
- 25 result of MLAs to produce the 2020 forest age map of China. Validation against independent field plots yielded an R<sup>2</sup> ranging
- 26 from 0.51 to 0.63. On a national scale, the average forest age is 56.1 years (standard deviation of 32.7 years). The Qinghai-
- 27 Tibet Plateau alpine vegetation zone possesses the oldest forest with an average of 138.0 years, whereas the forest in the warm
- 28 temperate deciduous-broadleaf forest vegetation zone averages only 28.5 years. This 30-m-resolution forest age map offers
- 29 crucial insights for comprehensively understanding the ecological benefits of China's forests and to sustainably manage
- 30 China's forest resources. The map is available at http://dx.doi.org/10.5281/zenodo.8354262 (Cheng et al., 2023b).

#### 1 Introduction

- 32 Forest age is crucial for gaining insights into forest ecosystem succession and condition, thereby playing a pivotal role in
- 33 comprehending the ecological benefits of forests (Lin et al., 2023). China's forests have undergone significant disruptions due

34 to natural disasters and human activities over the past few decades, leading to notable changes in the forest age structure (Niu 35 et al., 2023). Consequently, this scenario presents considerable challenges in accurately assessing forest ecosystem carbon 36 storage (Pan et al., 2011; Tong et al., 2020). The complexity of species composition, extensive forest areas, limited field 37 measurements, and ineffective methods have led to existing national-scale estimates of China's forest age focusing on either 38 sparse resolution (Zhang et al., 2017) or partial forest ecosystem coverage (Xiao et al., 2023). This has resulted in significant 39 uncertainties in evaluating the carbon sources and sinks within China's forest ecosystem (Piao et al., 2022; Wang et al., 2022). 40 Therefore, there is an urgent requirement for time-efficient, high-resolution mapping of forest age across China. 41 At present, China's forest age data is primarily obtained via the national forest inventory, noted for its high accuracy (Xiao et 42 al., 2023) but requires extensive labour and material resources and is time-consuming and costly (Liu et al., 2022). Additionally, 43 most of China's forests are in steep mountainous areas that are difficult to access (Cheng et al., 2023a), which limits the survey 44 range and uneven distribution of field samples, making it difficult to estimate the age of China's forests on a national scale. 45 Thus, the traditional forest inventory method struggles to accurately and timely capture the complete age distribution and 46 spatial characteristics of China's forests. 47 Remote sensing technology has demonstrated effectiveness in estimating forest cover (Su et al., 2020; Tubiello et al., 2023) 48 and forest structure (Yu et al., 2020; Maltman et al., 2023) across various scales. The availability and sharing of Landsat time 49 series data, along with the development of Google Earth Engine (GEE) cloud-processing platform have significantly facilitated 50 the application of remote sensing in forest age estimation. Several studies have been conducted to map China's forest age. 51 Xiao et al. (2023) mapped the age of China's young forests at 30 m resolution using time series Landsat imagery. Yu et al. 52 (2020) produced a 1-km resolution map of the age for planted forests in China. Zhang et al. (2017) developed a 1km stand age 53 map using climate and forest height data. Zhang et al. (2014) mapped a national forest age map with 1 km resolution by using 54 remote-sensing forest height and forest type data. However, the existing China's forest age maps are typically undertaken at 55 coarser spatial resolutions (e.g., 1 km), with finer resolutions (e.g., 30 m) being limited to young forests. There remains a lack 56 of high-resolution forest age spatial dataset covering the entire forest region of China. 57 Statistical models and disturbance detection approaches are two common methods utilized in remote sensing-based forest age 58 estimations. Statistical models deduce forest age by establishing a coherent relationship between remote sensing features and 59 field-collected empirical samples, including parametric regression approaches (Maltamo et al., 2020; Schumacher et al., 2020) 60 and nonparametric machine learning algorithms (MLAs). Growth models represent one of the most widely used parametric 61 models for estimating forest age (Zhang et al., 2014; Zhang et al., 2017; Yu et al., 2020). However, this type of model relies 62 on tree species information, posing challenges in forest age derivation when such data is lacking, particularly at large scales. 63 MLAs has been employed for forest age estimation, owing to their flexibility in addressing complex problems (Alerskans et 64 al., 2022). For examples, Huang et al (2023) integrated random forest (RF) to derived forest age. Chen et al (2016) mapped 65 forest stand age dynamics using RF and Landsat imagery. Nevertheless, the application of MLAs to estimate national forest 66 age has not been deeply explored. Most previous studies used a single MLA, such as Random Forest (RF) (Besnard et al.,

2021b), to estimate forest age. The extensive distribution of forests, diverse forest types, and varying terrain and climate

- 68 conditions in China make it difficult in using a single model for accurately forest age determination on national scale. Therefore,
- 69 exploring the applicability of MLAs for forest age estimation in various regions of China is essential.
- 70 Disturbance detection approaches, capable of identifying the time of the most recent stand-replacing disturbance, have proven
- 71 accurate in forest age estimation (Li et al. 2024), which mainly include Landsat-based Detection of Trends in Disturbance and
- 72 Recovery (LandTrendr) (Kennedy et al. 2010), Continuous Change Detection and Classification (CCDC) (Zhu and Woodcock
- 73 2014), the Vegetation Change Tracker (VCT) (Huang et al. 2010), Breaks for Additive Season and Trend (BFAST) (Verbesselt
- 74 et al. 2010a; Verbesselt et al. 2010b). Among these algorithms, LandTrendr has been recognized for its efficiency in detecting
- 75 forest disturbances such as fire, deforestation, and urban expansion (de Jong et al. 2021; Rodman et al. 2021). For instance, Li
- 76 et al (2024) mapped planted forest age using LandTrendr algorithm, demonstrating its efficiency and reliable for forest age
- 77 mapping. However, these approaches are limited to obtaining forest age in areas with disturbance recorded by remote sensing,
- 78 thus restricting a comprehensive understanding of forest age structures. Consequently, it is necessary to develop a framework
- 79 that can provide comprehensive forest age information on a large scale.
- 80 The objective of the present study is to generate the first China's forest age dataset at 30 m resolution using multi-source
- 81 datasets through combining remote sensing time series analysis and MLAs. This involves: 1) identifying the most optimal
- 82 MLA for age estimation across various vegetation zone in China and estimating the age of China's forests. 2) Utilizing
- 83 LandTrendr disturbance detection algorithm to identify the most recent forest disturbances from 1985 to 2020, and estimating
- 84 the forest of these disturbed areas. 3) Using the forest age derived by LandTrendr algorithm to update the result of MLAs to
- 85 generate China's forest age map, which is then subjected to validation. The generated 30-m-resolution forest age map provides
- 86 critical information to quantify forest carbon storage and to sustainably manage China's forests.

## 87 2 Materials and methods

#### 88 **2.1 Dataset and pre-processing**

#### 89 **2.1.1 Forest inventory data**

- 90 The data from China's seventh national forest inventory survey from 2004 to 2008 (http://www.forestry.gov.cn/) were
- 91 collected to develop models to estimate forest age. The inventory involves systematically and accurately monitoring the
- 92 national forest resources based on 667 m<sup>2</sup> sample plots covering the whole country (Ren et al., 2011). The main information
- 93 collected from the sample plots are tree species, stand age, average tree height, and geographic location. The stand age is
- 94 determined based on the planting time or is estimated using tree diameter at breast height (Zhang et al., 2017). We totally
- 95 collected 58,033 field plots ranging in age from 1 to 480 years (Figures 1b and 1c). The mean age of the samples is 34.0 years,
- 96 with a standard deviation of 29.6 years. The sample plots were distributed across eight vegetation divisions (Liu et al. 2022)
- 97 (Figure 1b), each containing at least 436 sample plots for building MLA models to estimate forest age (Figure 1d).

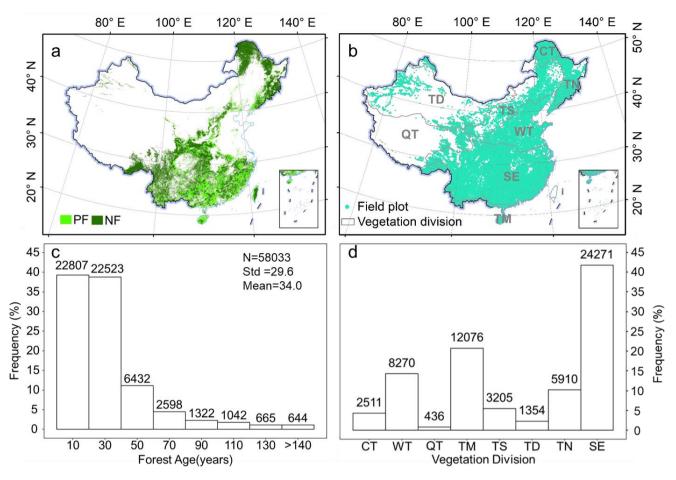


Figure 1. Forest mask and field sample distribution. (a) Planted forest and natural forest mask generated by Cheng et al. (2023a). (b) Distribution of field samples over eight vegetation divisions. (c) Frequency distribution of field sample ages. (d) Frequency distribution of field samples for eight vegetation divisions. PF: planted forest, NF: natural forest, CT: Cold Temperate needleleaf forest, WT: Warm Temperate deciduous-broadleaf forest, QT: Qinghai-Tibet Plateau alpine vegetation, TM: Tropical Monsoon forest-rainforest, TS: Temperate Steppe, TD: Temperate Desert, TN: Temperate Needleleaf-broadleaf mixed forest, SE: Subtropical Evergreen broadleaf forest. N: the number of plots, Std: standard deviation, Mean: mean age.

### 2.1.2 Landsat time-series data

From the GEE platform, we collected Landsat TM, ETM+, OLI Tier 1 surface reflectance images dating from 1985 to 2020 to estimate forest age for disturbed forest regions. All data were atmospherically corrected and processed by the Land Surface Reflectance Code and the Landsat Ecosystem Disturbance Adaptive Processing System algorithms. We removed the clouds or cloud shadows using the C function of the mask algorithm (Du et al., 2023), then we created composited images using a median compositing method for forest regions. Finally, we calculated the normalized burn ratio (NBR) to detect forest

disturbance. NBR has been proved effective in numerous studies detecting forest disturbance (Du et al., 2023; Tian et al.,

112 2023). It is calculated as follows by using the near-infrared (NIR) and short-wave infrared (SWIR) bands:

$$NBR = \frac{NIR - SWIR}{NIR + SWIR}.$$
 (Eq. 1)

## 113 **2.1.3 Forest mask**

- This study uses the 2020 dataset of planted and natural forests at 30 m resolution in China (Figure 1a) as a mask for forest age
- mapping. This dataset is produced by integrating multisource remote-sensing data and a large number of crowdsourced samples,
- with an overall accuracy of over 80% (Cheng et al., 2023a). In this study, we employ this dataset as a forest mask and utilize
- a combination of time series change detection algorithms and MLAs to trace the age of these planted and natural forests.

## 118 2.1.4 Forest height data

- 119 The canopy height data for China was downloaded from the website (https://3decology.org/), which was generated based on
- 120 deep learning by integrating Global Ecosystem Dynamics Investigation and Ice, Cloud and land Elevation Satellite -2 data.
- 121 This dataset has a spatial resolution of 30 m and corresponds to 2019. The accuracy of this national forest canopy height data
- 122 was assessed by comparing three independent validation datasets, indicating high accuracy for the canopy height product by
- neural network guided interpolation ( $R^2 \ge 0.55$ , RMSE  $\le 5.5$  m) (Liu et al., 2022). Notably, the forest extent used in this dataset
- 124 is consistent with the forest extent mentioned earlier for planted and natural forests, ensuring spatial consistency when
- 125 estimating forest age.

#### 126 **2.1.5** Climate data

- 127 Climate data were acquired from WorldClim 2.1 (https://worldclim.org/), which offers 19 bioclimatic variables, including
- temperature and precipitation, with 30 arc-second resolutions. The 19 bioclimatic variables include annual trends, seasonality,
- 129 and extreme environmental factors in temperature and precipitation. We resampled the 19 GeoTiff (.tif) files to 30 m resolution
- 130 using a nearest-resampling method for spatial resolution consistency. To reduce the dimension of bioclimatic variables, we
- 131 applied a principal component analysis to map the 19 bioclimatic variables into a new principal component (PC) space. We
- use the first three components PC1, PC2, PC3 to represent the climate factors. According to the results of the analysis, PC1
- gives annual trends in temperature and precipitation, PC2 gives seasonal variations in temperature and precipitation, and PC3
- gives precipitation and temperature extremes (Supplementary Table 1).

## 2.1.6 Soil data

- 136 Soil data were extracted from the harmonized world soil database, V1.2, developed jointly by the Food and Agriculture
- 137 Organization of the United Nations, the International Institute for Applied Systems, the ISRIC-World Soil Information, the
- 138 Institute of Soil Science, Chinese Academy of Sciences, and the Joint Research Centre of the European Commission with a

resolution of 30 arc-seconds. As per previous studies, soil type and texture were selected from the soil dataset in this study to construct the model to estimate forest age (Besnard et al., 2021). We also resampled the soil data to 30 m using a nearest-resampling method.

# 2.1.7 Topographic data

The Shuttle Radar Topography Mission (SRTM) V3 provides global digital elevation data at 30 m resolution and was used in this study to extract topographic variables (Su et al., 2020). Three topographic features, elevation, slope, and aspect, were calculated to estimate forest ages.

**Table 1.** Descriptions of variables used to estimate the forest age of China.

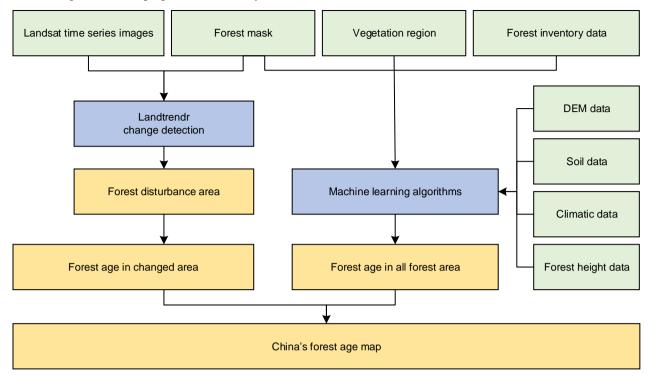
Data type	Data source	Resolution	Time	Variables
Remote sensing images	Landsat TM/ETM+/OLI	30m	1985– 2020	NBR
Forest mask	Planted and natural forest map (Cheng et al., 2023a)	30m	2020	Planted and natural forest
Forest canopy height data	NNGI-Forest Canopy Height	30m	2019	Forest height
Climate data	WorldClim version 2.1 (Fick and	30 arc-second	1970-	PC1, PC2, PC3
	Hijmans 2017)		2000	
Soil data	Harmonized World Soil Database	30 arc-second	1971-	Soil type, soil texture
	V1.2(https://www.fao.org/soils-		1981	
	portal/data-hub/soil-maps-and-			
	databases/harmonized-world-soil-			
	database-v12/en/)			
Topographic	SRTM DEM	30 m	2000	Elevation, slope, and aspect
data				

## 2.2 Forest age estimation

To generate the forest age map for China and explore the performance of MLAs to retrieve forest age, we applied two approaches to estimate forest age in China: The MLA-based approach and the LandTrendr disturbance detection approach. First, the MLA-based approach estimates ages for forest regions using forest inventory and multi-source remote sensing data. Second, the LandTrendr algorithm is applied to detect stand-replacing disturbances based on the Landsat time series images.

Third, we use the forest age map detected by LandTrendr to update the forest age map derived using MLA-based approach,

and generate the China's forest age map with 30 m resolution. Figure 2 shows a detailed flowchart describing the framework for forest age estimation proposed in this study.



**Figure 2.** Framework of China's forest age estimation.

#### 2.2.1 Machine learning approach

#### (1) MLA selection

This study used the following model-screening procedure to explore which model works best for each vegetation division. First, we used the automated machine learning (Auto-ML) open-source Python library LazyPredict to filter for alternative models. LazyRegressor (including 40 MLAs) was used to build stand-age estimation models based on all data, which helps to understand which MLA works well without tuning parameters. The performing models with R<sup>2</sup> greater than 0.60 in each vegetation division were concentrated in thirteen MLAs (Supplementary Table 2). Second, by splitting training data and testing data, the top three MLAs for each vegetation division were determined (Supplementary Table 2). It can be found that the potential optimal models of eight vegetation divisions is concentrated in RF, Gradient Boosting Decision Tree (GBDT), Histogram Gradient Boosting (HistGradientBoost), Light Gradient Boosting Machine (LightGBM), and Categorical Boosting (CatBoost).

RF is an ensemble learning method that combines multiple decision trees (Breiman 2001; Dutta et al., 2020). It leverages the wisdom of crowds to make accurate predictions. RF mitigates overfitting and provides robust results by training each tree on a random subset of the data and features (Lavanya et al., 2017; Guo et al., 2019). GBDT is an ensemble technique that builds

- a strong predictive model by sequentially training decision trees (Jerome 2001). Each tree corrects the errors of its predecessor
- 173 (Wei et al., 2019), resulting in a highly accurate and robust model. HistGradientBoost is a variant of GBDT that employs
- 174 histogram-based techniques. It efficiently approximates data distributions and reduces memory consumption during training.
- 175 This algorithm is particularly beneficial when dealing with large datasets and complex features (Tesfagergish et al., 2022).
- 176 LightGBM is a gradient-boosting framework that prioritizes speed and efficiency. It employs a histogram-based approach and
- 177 parallel computing, making it suitable for large datasets. CatBoost is a new modification gradient boosting algorithm that is
- designed specifically for handling categorical features. It automatically encodes categorical variables, simplifying the data pre-
- 179 processing stage. CatBoost is known for its robustness and efficiency, can achieve high accuracy on a small-scale dataset.
- 180 We implemented RF, GBDT, and HistGradientBoost by using the Scikit-learn package of Python 3.9.11, while the LightGBM
- and CatBoost algorithms were constructed by using the lightgbm and catboost packages of Python 3.9.11.

## (2) Hyperparameter tuning

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- 183 Hyperparameter tuning of MLAs is critical in the ML model training process because it significantly enhances the model's
- 184 performance, generalization capability, and adaptability (Sandha et al., 2020). Bayesian optimization has been selected for
- 185 hyperparameter tuning due to its complicated derivative evaluation, and nonconvex-function-related features (Mekruksavanich
- 186 et al., 2022). It is implemented by using Optuna, an open source hyperparameter optimization framework to automate
- 187 hyperparameter searches (Akiba et al., 2019). The hyperparameters and their searching range in MLAs are listed in
- 188 Supplementary Table 3.

## 189 (3) Model interpretation

- 190 Furthermore, we used Shapley Additive explanations (SHAP) values (Lundberg and Lee, 2017; Lundberg et al., 2019), a
- 191 model-agnostic technique for interpreting ML models, to explore functional correlations between the variables and forest age
- 192 (Besnard et al. 2021). SHAP derives the Shapely additive contribution values from coalitional game theory (Kim et al. 2023).
- 193 By examining the contribution of each input variable to the model's output, SHAP can identify the primary drivers of the
- model's predictions and provide insights into the underlying causes that influence forest age (Sun et al. 2023). The higher the
- 195 SHAP value, the larger the contribution of the variable. Here we calculated SHAP value using *shap* package in Python.

#### 196 **2.2.2 LandTrendr disturbance detection approach**

- 197 LandTrendr was designed to detect and analyse changes in surface features, particularly disturbances and recovery processes,
- and is commonly applied to multispectral remote sensing imagery from the Landsat satellite series to capture long-term forest
- 199 disturbances (Du et al., 2022). Using LandTrendr to detect forest age involves the following steps:
- 200 (1) Time series data transformation. LandTrendr transforms multiple temporal remote-sensing image datasets into a series of
- indices, such as the NBR.
- 202 (2) Breakpoint detection. Using the generated time series indices, LandTrendr retraces from the state in 2020 in search of
- 203 breakpoints in the time series. These breakpoints signify transition points in the time series, which indicate instances of surface
- 204 disturbance or recovery.

(3) Age estimation. By pinpointing breakpoints, the time of occurrence for each breakpoint is established. Forest age estimates for the current location are accomplished by subtracting the breakpoint time from the latest time.

LandTrendr was implemented on the GEE platform by using the function of *runLT()* provided by the LT\_GEE API (Kennedy et al., 2018). Table 1 lists the main input parameters.

**Table 1.** Parameters of LandTrendr used in this study.

Parameters	Definition	Value
maxSegments	Maximum number of segments to be fitted on the time	10
	series	
spikeThreshold	Threshold for dampening the spikes (1.0 means no	0.9
	dampening)	
vertexCountOvershoot	The initial model can overshoot the maxSegments + 1	3
	vertices by this amount. Later, it will be pruned down to	
	maxSegments + 1	
preventOneYearRecovery	Prevent segments that represent one-year recoveries	False
recoveryThreshold	If a segment has a recovery rate faster than 1/recovery	0.25
	threshold (in years), then the segment is disallowed	
pvalThreshold	If the <i>p</i> -value of the fitted model exceeds this threshold,	0.05
	then the current model is discarded and another one is fit	
	by using the Levenberg-Marquardt optimizer	
bestModelProportion	Takes the model with most vertices that has a p-value that	0.75
	is at most this fraction away from the model with the	
	lowest p-value	
minObservationsNeeded	Minimum observations required to perform output fitting	6

### 2.2.3 China's forest age prediction

Given the extensive forest coverage in China, it is challenging to handle such large forest area for ML and the LandTrendr algorithm to estimate forest age, even with our vegetation zoning efforts. To enhance the efficiency of forest age estimation and conserve computational resources, we have divided China into 1°×1° grids (see Supplementary Figure 2), limiting ML and LandTrendr algorithms to estimate forest age within each grid. Subsequently, we merge the predictive results from each grid using the Mosaic New Raster tool in ArcGIS Pro 3.0 to obtain nationwide forest age map. Finally, the forest age map estimated through LandTrendr algorithm is applied to update the ML-based results to produce China's forest age data.

## 218 2.3 Accuracy assessment

## 219 **2.3.1** Comparison with field samples

- 220 We collected field samples through two sources to validate the generated final forest age map. The first is the forest inventory
- 221 samples independent of training data. The second source involves validation samples obtained from the literatures. To ensure
- 222 the samples collected were representative, we excluded samples dated before 2010. As validation metrics, we used the
- 223 coefficient of determination (R<sup>2</sup>), the root mean square error (RMSE), the mean absolute error (MAE), and the mean error
- 224 (ME). These are given mathematically as

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n} (y_{i} - \overline{y}_{i})^{2}},$$
(2)

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y_i})^2},$$
(3)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} \left| y_i - \mathring{y_i} \right|,\tag{5}$$

$$ME = \frac{\sum_{i=0}^{n} (y_i - \widehat{y}_i)}{n},\tag{6}$$

- where  $y_i$  is the observed value for the *i*th analytic tree,  $\hat{y}_i$  is the predicted value of the *i*th observed value, n is the number of
- 226 trees, and  $\overline{y_i}$  is the mean of the observed value.

#### 227 2.3.2 Comparison with existing forest age data

- 228 To make our forest age map more reliable and comparable, we also downloaded global forest age data product produced by
- Besnard et al. (2021a), which is the only forest age map that can be publicly accessible covering entire China's forests. Then,
- 230 we resampled our result to the same resolution as this global map, and compared our resultant forest age map with it by
- assessing their differences in each cell. Additionally, we also collected estimated average forest ages of China by previous
- studies, and using these statistical numbers to further validate our estimation.

#### **233 3 Results**

## 234 3.1 MLA performance for China's forest age estimation

- 235 Through a rigorous hyperparameter-optimization process and independent validation, four distinct MLAs (RF, GBDT,
- 236 LightGBM, and CatBoost) were selected across eight different vegetation divisions (Table 2). GBDT performed exceptionally
- 237 well for estimating the forest age of cold temperate needleleaf forest (CT) vegetation zone, producing R<sup>2</sup> of 0.47 and RMSE
- 238 of 4.95 years (MAE=17.99, ME=-1.86). RF excelled at estimating the forest age of warm temperate deciduous-broadleaf forest

(WT) vegetation zone, producing an independent validation  $R^2$  of 0.61 and RMSE of 3.47 years (MAE=9.13, ME=-0.01). CatBoost consistently demonstrated strong performance for the Qinghai-Tibet Plateau alpine vegetation (QT), tropical monsoon forest-rainforest (TM), temperate steppe (TS), temperate desert (TD), and subtropical evergreen broadleaf forest (SE) zones, with  $R^2$  values ranging from 0.57 to 0.85 and RMSE values from 2.04 to 7.65 years. LGBMRegressor was the preferred choice in the temperate needleleaf-broadleaf mixed forest (TN) vegetation division, yielding an  $R^2$  of 0.63 and an RMSE of 4.14 years.

**Table 2.** MLA for eight vegetation divisions and their validation metrics.

Vegetation division	Algorithm	$\mathbb{R}^2$	RMSE	MAE	ME
CT	GradientBoost	0.47	4.95	17.99	-1.86
WT	RF	0.61	3.47	9.13	-0.01
QT	CatBoost	0.57	7.65	42.58	10.43
TM	CatBoost	0.85	2.04	1.34	-0.08
TS	CatBoost	0.78	4.16	11.85	-0.87
TD	CatBoost	0.80	5.33	21.02	1.84
TN	LGBM	0.63	4.14	12.78	0.36
SE	CatBoost	0.70	3.49	7.97	0.00

We further analysed the factors influencing the forest age estimation in each vegetation division, and the findings are illustrated in Figure 3. While the prioritization of factors affecting forest age estimation varies across different vegetation divisions, canopy height is unquestionably the predominant factor influencing this estimation. Its absolute value is the highest of the CT, WT, TN, TS, and TM vegetation zones (Figure 3). Moreover, it is among the top three most influential factors in all the remaining vegetation zones. Subsequently, topographical conditions assume prominence, with elevation consistently featuring among the top three factors in the SHAP value across all vegetation divisions. In the TD vegetation division, elevation becomes the most influential factor. Climate factors earn third-tier consideration, particularly in the SE vegetation zone, where the impact of PC2 of the climate factors surpasses that of both canopy height and topographical conditions. In the other vegetation divisions, the influence of climate factors generally falls to the mid-range. In contrast, across all eight vegetation divisions, factors related to soil, such as soil type and soil texture, do not exert a pronounced influence on forest age estimation.

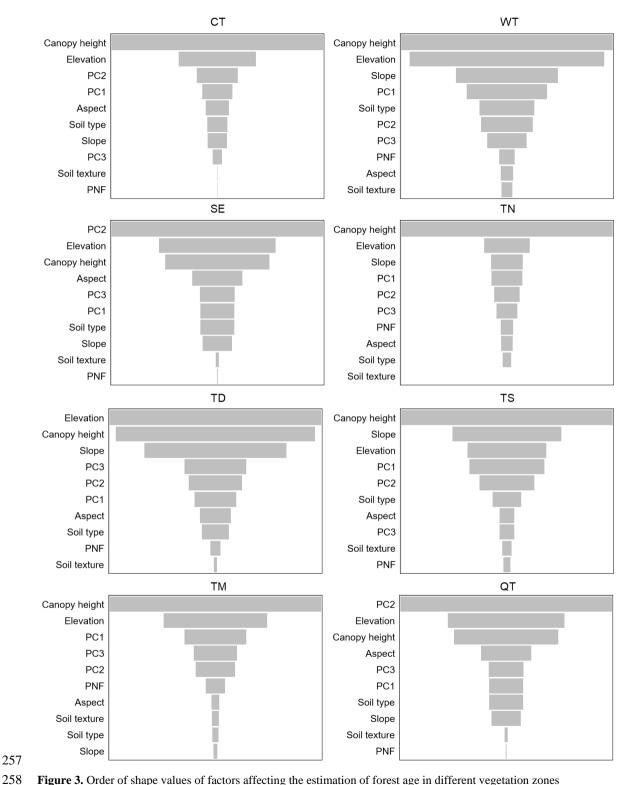


Figure 3. Order of shape values of factors affecting the estimation of forest age in different vegetation zones

## 3.2 China's forest age map

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260 Based on the optimal MLAs and the LandTrendr change detection algorithm, we have obtained forest age data for China as 261 shown in Figure 4. Figure 4a presents the nationwide distribution of forest age as estimated by MLAs, whereas Figure 4b 262 displays the age distribution from 1985 and 2020 as determined through change detection. The results reveal that reforestation 263 activities from 1985 and 2020 are primarily situated in the southern, southeastern, and northern China, aligning with the 264 findings from Xiao et al (2023). Furthermore, estimates derived from MLAs indicate that old-growth forests are primarily 265 located in the northeast and southwest regions of China. 266 The final forest age map for China obtained in this study is depicted in Figure 4c. Statistically, the mean of the estimated 267 China's forest age is 56.11 years with a standard deviation of 32.67 years. Geographically, forests in northeast and southwest China are relatively older than those in other regions (Figure 4c). At the provincial scale, the average forest age ranges from 268 269 3.9 to 116.8 years (Figure 5a, Supplementary Table 6), whereas Qinghai province has the highest mean forest age, and Hong 270 Kong has the lowest mean forest age. Forest ages in Sichuan province are more varied than in other provinces (Figure 5a). On 271 the regional scale, the QT vegetation zones have the oldest forests with an average of 138.0 years, followed by CT (107.6 272 years), TS (107.0 years), TN (68.3 years), TD (60.3 years), TM (53.0 years), and SE (49.2 years) (Figure 5b, Supplementary 273 Table 7). The WT vegetation zones have the youngest forests (28.5 years).

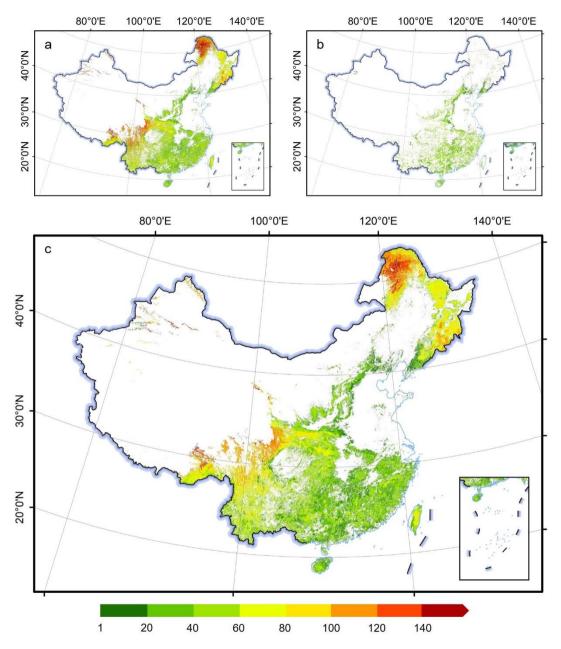
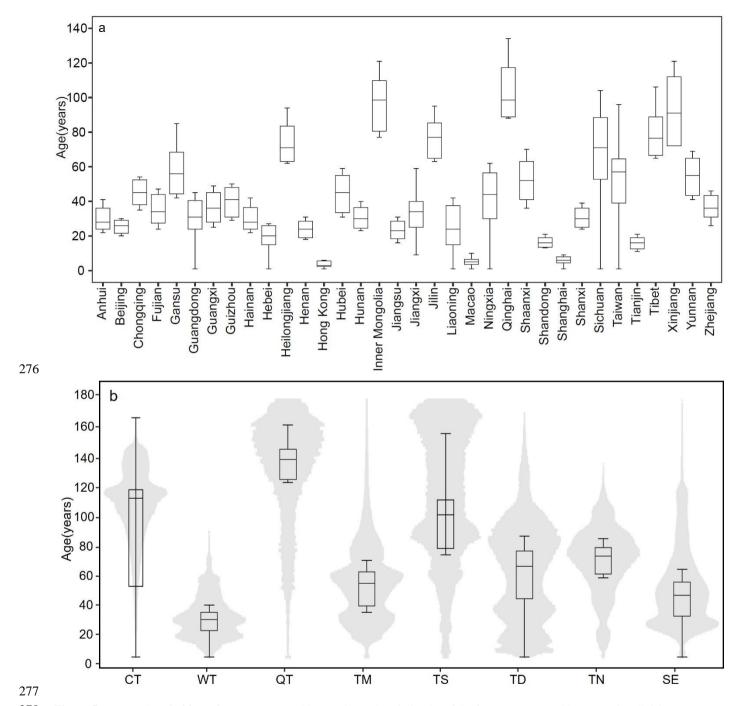


Figure 4. Forest age estimated from LandTrendr (a), MLA (b), and final China's forest age distribution (c) with 30 m resolution.



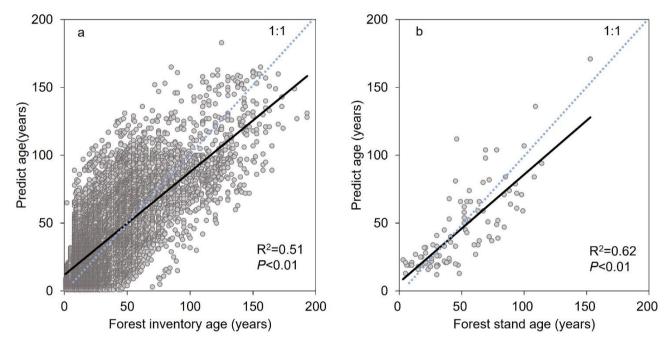
278 Figure 5. (a) Boxplot of China's forest age grouped by provinces (b) Violin plot of the forest age grouped by vegetation divisions.

## 3.3 Evaluation

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## 3.3.1 Comparison with field samples

We initially validated the forest age estimations by using forest inventory data. The forest inventory samples were acquired from 2004 to 2008. To align with the time frame of the forest age data obtained in this study, we shifted the predicted values corresponding to each sample forward by  $\sim 16$  years. This strategy allows us to compare them with the inventory-measured forest ages. Figure 6a shows the comparison, which suggests that they have a significant linear relationship with  $R^2 = 0.51$  (Figure 6a). We collected 99 field measurements of mean forest stand age after 2010 from published papers (Supplementary Table 8) and compared them with our estimated results. Figure 6b shows that the predicted forest ages also present a significant linear relationship with field measurements, with  $R^2 = 0.62$ .

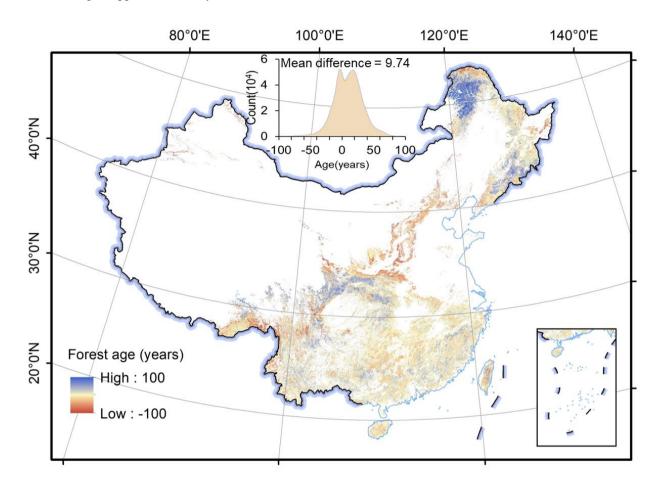


**Figure 6.** Scatter plots of (a) forest inventory age vs predicted forest age for this study and (b) field measurements of forest stand age collected from published papers vs predicted forest.

#### 3.3.2 Comparison with existing forest age map

Figure 7 shows the difference between our estimation and existing global forest age map, which suggests an average difference of 9.7 years. Our mapped forest age is older in northeast regions but younger forests in the middle regions than that from Besnard et al. (2021a) dataset. In addition, we gathered the existing forest age maps over China from published datasets and compared their average forest age with our estimation (Table 3). According to the available data, the average forest age in China ranged from 40 to 43 years between 2000 and 2013, corresponding to approximately 50 to 53 years in 2020. This aligns

closely with the average forest age of 56.1 years obtained in this study for the year of 2020, further underscoring the reliability of the forest age mapped in this study.



**Figure 7.** Comparison with global forest age product. The inset at the top left shows the frequency distribution of differences between the global forest age map and our estimated forest age map.

**Table 3.** China's mean forest age collected from published papers.

Source	Mean forest age (years)	Resolution	Mapping year
Zhang et al. (2017)	42.6	1 km	2013
Zhang et al. (2014)	43	1 km	2005
Dai Ming (2011)	40.6	8 km	1998
Wang et al. (2007)	<40	1 km	2001
Xia et al. (2023)	44.0	1 km	2015
This study	56.1	30 m	2020

## 4 Discussion

305 A high-spatial resolution forest age map is an important input for accurately quantifying forest carbon storage and potential. Although several forest age maps for China were generated in the most recent decades, their spatial resolution is coarser. 306 307 ranging from 1 to 8 km (e.g., Zhang et al., 2014; Zhang et al., 2017), which does not satisfy the application requirements for 308 local-to-regional scales (Xiao et al., 2023). Therefore, we generated a 30 m resolution forest age map of China using remote 309 sensing and inventory data for 2020. Validation against independent forest inventory samples, field measurements collected 310 from published papers, and existing forest age products indicate that the estimated forest age map has  $R^2$  of 0.51 to 0.62, and 311 presented well spatial agreement with the existing forest age product. Such a high-resolution and timely forest age dataset is 312 vital to assess ecological benefits of China's forests and to manage forest resources for sustainable development.

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The generated forest age map indicates that 40.08% of forests are younger than 40 years, 38.11% are 41–80 years old, and 21.81% are over 80 years old. This result indicates that most forests in China are young, which is consistent with the findings of Zhang et al. (2017) and Zhang et al. (2014), even though the specific proportions might vary slightly, which is mainly because they produced forest age distribution data for the year of 2005, whereas our data represents forest age in 2020. Furthermore, similar to Zhang et al. (2017) and Zhang et al. (2014), forests younger than 40 years are primarily in southern and eastern China, whereas forests older than 80 years are predominantly in northeastern and southwestern China (Figure 4). We further analyse the forest age by using China's planted and natural forest mask generated by Cheng et al. (2023a) for 2020. The results reveal that the average forest age for planted forests in China is 29.1 years with a standard deviation of 18.2 years, whereas natural forests have an average age of 69.7 years with a standard deviation of 30.6 years. This result aligns closely with the reported 16.5 years for China's planted forests in 2005 (which equates to approximately 31 years in 2020) by Yu et

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al. (2020).

326 This study combines two methods to estimate forest age across China. We first investigate in-depth the suitability of current 327 mainstream MLAs for estimating forest age. For each vegetation division, we establish the optimal MLA and its optimal 328 parameters (Table 2, Supplementary Table 4). Of the established MLAs, the ensemble learning approaches perform best for 329 both training and evaluation compared with individual-based learners. Several previous studies support the idea that ensemble 330 techniques have achieved better performance than that of its base learners (e. g. Rodriguez et al., 2006; Banfield et al., 2007; 331 Canul-Reich et al., 2007; Rokach, 2009; De Stefano et al., 2011; Matloob et al., 2021). Bagging and boosting are two 332 mainstream ensemble techniques in ensemble learning that combine multiple base models to improve predictive performance. 333 Bagging reduces variance, whereas boosting reduces bias and improves overall model performance (Abbasi et al., 2022). 334 However, most previous studies focus on bagging-based RF models to derive forest structure parameters in remote sensing 335 fields (Simard et al., 2011; Cartus et al., 2012; Montesano et al., 2013; Matasci et al., 2018; Luther et al., 2019; Bolton et al., 336 2020). The present study highlights that ensemble learning algorithms based on boosting, including GBDT, LightGBM, and

CatBoost, demonstrate higher accuracy in estimating China's forest age compared to the bagging-based RF algorithm. Furthermore, within the current ensemble learning framework, the CatBoost algorithm based on boosting has a clear advantage for estimating forest age in China (Table 2). It produces optimal results in five vegetation zones and is as accurate as the best-performing algorithms in the remaining vegetation zones (Supplementary Table 5). Therefore, we recommend giving priority to the utilization of the CatBoost algorithm in deriving the forest structural parameters in China.

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In the process of machine learning modelling for forest age estimation, we selected a total of 10 features, including canopy height, meteorological factors, soil factors, terrain factors, and human activities. Factor analysis indicates that canopy height has significantly influence forest age modelling, which is consistent with previous research, such as Zhang et al. (2017), who estimated forest age in China based on the relationship between canopy height and forest age. The main reason is that canopy height is typically correlated with the growth period (Sharma and Parton, 2007; Schumacher et al., 2020; Lin et al., 2023). Young trees usually have shorter canopy height and, and as trees age, canopy height gradually increases (Yu et al., 2020). Therefore, canopy height gives clues about tree age, and many age-estimation models are based on forest height (Lin et al., 2023). Terrain conditions also play important roles in all vegetation zones, especially the elevation and slope features (Figure 2). This is mainly because terrain factors are closely related to vegetation distribution, growth conditions, and hydrological processes (Fernández-Martínez et al., 2014) and affecting forest age estimation (Lin et al., 2008). Climate factors, including temperature and precipitation, also play a significant role in estimating forest age and have been applied to estimate global forest age (Besnard et al., 2021). Climate elements are most pronounced in the SE and QT vegetation zones because these two zones belong to areas with extreme climates and pronounced seasonal variations (Zhang et al., 2018). The SE region has a warm and humid climate with abundant rainfall (Zhang et al., 2018), which aligns with seasonal growth, making it influential in forest age estimation. The QT region experiences extreme temperature fluctuations, with extremely cold winters and short and cool summers, significantly affecting tree growth rates and cycles (Zhang et al., 2021). Although soil and human activities seem to have a relatively smaller impact in this study, the high accuracy achieved in this study is attributed to the combined contributions of all factors.

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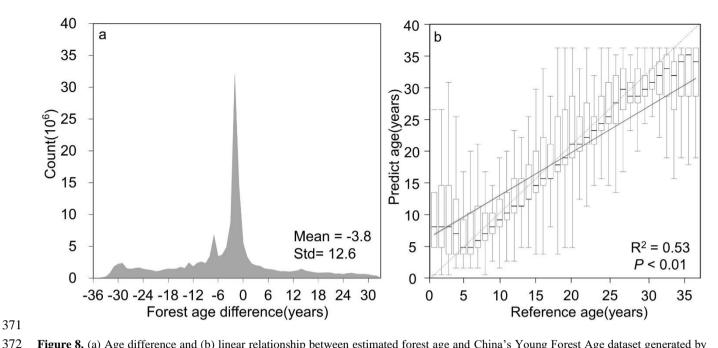
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The second method uses time-series remote sensing imagery and the LandTrendr algorithm to detect pixels that changed within the forest extent from 1985 to 2020. The forest age was estimated according the time since the last disturbance serving as a proxy for forest age. This approach has been extensively used to estimate forest age and is generally acknowledged to be accurate and reliable for detecting disturbance (Hermosilla et al., 2016). For instance, Du et al. (2022) used the LandTrendr algorithm to detect planting times of global planted forests, and Xiao et al. (2023) estimated the forest age of young forests in China since 1984 by using the CCDC time-series algorithm. These successful cases validate the feasibility of using time-series change-detection algorithms to estimate the age of disturbed forests. In this study, we compared our change-detection derived forest age with the age of young forests provided by Xiao et al. (2023) (Figure 8). These two outcomes have a mean difference of -3.79 years (Figure 8a) and have a significant linear relationship with  $R^2 = 0.53$  (Figure 8b).



**Figure 8.** (a) Age difference and (b) linear relationship between estimated forest age and China's Young Forest Age dataset generated by Xiao et al. (2023).

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Overall, we produce a reliable forest age map for China. This forest age product has been validated by independent field samples and compared against existing datasets with a R<sup>2</sup> ranging from 0.51 to 0.62 (Figure 6). However, there is still a slight overestimation of younger forest and an underestimation of older forest compared with validation samples, which is mainly related to dataset and methods used in this study. In terms of dataset, primarily, the utilization of forest mask that delineate planted and natural forests introduces an inescapable source of uncertainty, which is particularly high (approximately 10%) in the southern regions of China (Cheng et al., 2023a). Furthermore, the dependence on canopy-height data generated by Liu et al. (2022) as the crucial determinant in forest age estimation (Figure 2) necessitates meticulous consideration (Zhang et al., 2017), giving the uncertainties in the canopy-height data ( $R^2$ =0.55) could strongly affect the accuracy in forest age modelling. Finally, when benchmarked against extant products, conspicuous disparities in forest age estimates appear within the northeastern and southwestern regions (Figure 7). These disparities, coupled with insights from forest inventory data, highlight the prevalence of older forests (exceeding 100 years) within these regions (Figures 4). The unique challenge posed by estimating the age of such older forests, characterized by sluggish growth rates (Maltman et al., 2023), accentuates the sensitivity to crown height data. Consequently, the uncertainty associated with canopy height data is conspicuously accentuated in these regions. Regarding to methods, we combined MLA and disturbance detection approach to derive forest age, for MLA, overfitting is a common challenge, where a model learns the training data too well and fails to generalize to unseen data (Belgiu and Drăgut 2016). The results presented in Supplementary Table 5 suggest that the constructed forest age models exhibit a certain degree of overfitting, which can cause some errors for forest age estimation. Addressing the issue of overfitting, data

- 392 augmentation and exploring new deep learning algorithms may be promising directions for further investigation. For 393 LandTrendr approach, it is affected by different parameters such as input bands, vegetation parameters (NBR index), climates, 394 vegetation, terrain and atmospheric conditions (Banskota et al. 2014; Hermosilla et al. 2015; Hua et al. 2021; Huang et al. 395 2023; Yang et al, 2018). China's unprecedented development has led to extensive land cover changes, making it one of the 396 most intensively managed forest regions globally (Tong et al., 2020). This has resulted in significant forest fragmentation, 397 posing challenges in using NBR and other indices for change detection (Li et al 2024), and creating uncertainty in forest age 398 identification. Furthermore, while the LandTrendr algorithm effectively captures sharp disturbances like fires, clearcutting, 399 and reforestation, it falls short in detecting subtle changes such as silviculture and thinning (Huang et al. 2023; Zhu 2017),
- 400 This limitation may lead to the omission of young trees and an overestimation of forest age.

# 5 Data availability

- 402 The 30 m resolution forest age map of China generated by this study is openly available at
- 403 https://doi.org/10.5281/zenodo.8354262 (Cheng et al., 2023b). Please contact the authors for more detailed information

### 404 **6 Conclusion**

405 High-resolution and spatially explicit forest age mapping for China play a crucial role in accurately quantifying the current 406 carbon sequestration of forest ecosystems and its potential in the future. Currently, publicly available China's forest age data 407 suffer from low resolution and incomplete coverage of age ranges, making it difficult to meet the requirements of studies at 408 various spatial scales. Therefore, this study combines time-series analysis of remote sensing imagery with MLAs to create the first 30 m resolution China's forest age map for the year of 2020. Validation against forest inventory data, field measurements, 409 410 and existing products demonstrates the R<sup>2</sup> values between 0.51 and 0.62. The estimated forest age data reveal an average forest age of 56.1 years for China, with a standard deviation of 32.7 years. This dataset holds significant importance for understanding 411 412 the carbon source and sink dynamics in China's forest ecosystem.

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#### Author contributions

- 415 KC, YC and QG designed the research. KC, YC, TX performed the analysis, KC and YC wrote the paper. QG, HY, and QM
- 416 supervised and reviewed the paper. HG and YR reviewed the manuscript. QG, KC, YC, WL collected the field measurements
- 417 and existing remote sensing products. KC and YC contributed equally to this work.

#### Competing interests

419 The contact author has declared that none of the authors has any competing interests.

## 420 Acknowledgements

421 We would like to thank the editor and the reviewers for their valuable comments.

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#### 423 Financial support

- 424 This research has been supported by the National Key Research and Development Program of China (grant no.
- 425 2022YFF130203), the International Research Center of Big Data for Sustainable Development Goals (grant no.
- 426 CBAS2022GSP06), the National Natural Science Foundation of China (grant no. 42371329 and 31971575).

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