



# A global estimate of monthly vegetation and soil fractions from spatio-temporally adaptive spectral mixture analysis during 2001 2022

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31 Abstract. Multifaceted regime shifts of Earth's surface are ongoing dramatically and—in turn—considerably alter global 32 carbon budget, energy balance and biogeochemical cycles. Sustainably managing terrestrial ecosystems requires an 33 increased understanding of these structurally and functionally heterogeneous multi-component information and their 34 changes, but we remain lack of such records of fractional vegetation and soil information at global scale. Here, we provide a globally comprehensive record of monthly vegetation and soil fractions during the period 2001–2022 using a spatio-35 36 temporally adaptive spectral mixture analysis framework. This product is designed to continuously represent Earth's 37 terrestrial surface as a percentage of five physically meaningful vegetation and soil endmembers (photosynthetic vegetation, 38 non-photosynthetic vegetation, bare soil, ice/snow, and dark surface) with high accuracy and low uncertainty, compared to 39 previous vegetation index and vegetation continuous fields product, as well as traditional fully constrained linear spectral 40 mixture models. We also adopt non-parametric seasonal Mann-Kendall tested fractional dynamics to identify shifts based 41 on interactive changes of these fractions. Our results—superior to previous portrayal of the greening planet—not only 42 report a  $+9.35 \times 10^5$  km<sup>2</sup> change of photosynthetic vegetation, but also explore decrease of non-photosynthetic vegetation (-2.19×10<sup>5</sup> km<sup>2</sup>), bare soil (-5.14×10<sup>5</sup> km<sup>2</sup>), and dark surface (-2.27×10<sup>5</sup> km<sup>2</sup>). Besides, Interactive changes 43 44 of these fractions yield multifaceted regime shifts with important implications, such as a simultaneous increase in PV and 45 NPV in central and southwest China during afforestation activities, an increase of PV in cropland of China and India due to intensive agricultural development, a decrease of PV and increase of BS in tropical zones resulting from deforestation. 46 47 These advantages highlight that our dataset which provides locally relevant information on multifaceted regime shifts at the required scale, enabling scalable modelling and effective governance of future terrestrial ecosystems. The data about 48 fractional five surface vegetation and soil components are available on Zenodo (https://doi.org/10.5281/zenodo.8323292, 49 50 https://doi.org/10.5281/zenodo.8331843, Sun, 2023a, b) 51

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#### 59 1 Introduction

- Global terrestrial ecosystems are experiencing rapid and uncertain climate change and anthropogenic impacts since the 60 twenty-first century (Alkama and Cescatti 2016; IPCC 2013; Song et al. 2018), which have profound impacts on shifts of 61 62 Earth's surface, such as greening of the planet (Chen et al. 2019; Piao et al. 2006; Zhu et al. 2016), afforestation (Chen et 63 al. 2019; Tong et al. 2018), deforestation (Qin et al. 2019; Zeng et al. 2018), agricultural expansion (Chen et al. 2019; Zeng et al. 2018; Yu et al., 2021), glacier melting (Hugonnet et al., 2021; Zemp et al., 2019; Soheb et al., 2022), and urban sprawl 64 (Kuang et al. 2020; Liu et al. 2020; Zhang et al., 2022). These land surface shifts inversely play a fundamental role in 65 affecting climate change via considerably altering the Earth's carbon budget, energy balance and biogeochemical cycles 66 67 (Lawrence and Vandecar 2015; Qin et al. 2021). Increased understanding of these land cover changes is urgent requirement 68 (Réjou-Méchain et al., 2021; Liu et al., 2020) to support the scientific, legislative and land management communities who 69 strive to understand locally relevant knowledge and further protect, restore, and promote the sustainable use of terrestrial 70 ecosystems under Sustainable Development Goal.
- However, land surface interpretation is obstructed by extensive existence of mixed pixels in satellite imagery, especially in heterogeneous landscapes (Roberts et al. 1993). Continuous vegetation indexes (e.g., normalized difference vegetation index, leaf area index) provide limited information on surface composition, which hinders our ability of understanding ecosystem's structurally and functionally multifaceted shifts (Smith et al. 2019; Sun 2015).
- 75 Previous advances in spectral mixture analysis method have facilitated investigation of estimating physically fractional 76 vegetation and soil information in the mixed pixels with relatively few field points (Roberts et al. 1993; Small 2004; Smith 77 et al. 1990). These unmixed endmember fractions provide multicomponent time series of information on surface 78 heterogeneous composition and interactive evolution rather than individual vegetation indices (Elmore et al. 2000; Franke 79 et al. 2009; Small and Milesi 2013; Sun 2015) and have been adopted to reveal the temporally dynamical systems under 80 the influence of a changing environment and human (Lewińska et al. 2020; Suess et al. 2018; Sun et al. 2021). Recent 81 studies have proven that spectral mixture analysis model has the advantage of providing more accurate and physically 82 based representation of fraction vegetation-soil continues field in the subpixel level without training samples (Daldegan et 83 al. 2019; Smith et al. 2007). This measurement offers a continuous, quantitative portrayal of land surface properties instead 84 of discrete land cover classes, as well as superior to many of spectral indexes (e.g., vegetation index) (Rogan et al. 2002; 85 Sun et al. 2019; Sun et al. 2020). Despite extensive validation and application of this method at the regional scale, there 86 remain lack of global records of unmixed fractional vegetation and soil information, which may be resulted from the 87 temporal and spatial variability of global intra-class and inter-class endmember spectra (Wang et al. 2021).



Recent advance in endmember variability has verified that Multiple Endmember Spectral Mixture Analysis (MESMA) was recommended be used in most applications considering its robustness in mitigating the endmember variability (Zhang et al., 2019). Such approach is well suited for heterogeneous landscapes because it allows an optimized model with varying the number and types of endmembers within each pixel (Roberts et al. 1998; Franke et al., 2009). However, considering world-wide landscapes with enormous heterogeneity under the climate fluctuations and human activities, the paradox of fine-grained spatial representation and challenged data processing for large scale and long-time series characterization of land surface has not yet been fully solved.

95 Here, we create a unified monthly fractional vegetation-soil nexuses product for the period 2001 to 2021, with an spatiotemporally adaptive MESMA methods at powerful Google Earth Engine (GEE) platform that provide powerful 96 97 computational processing to realize planetary-scale analysis of geospatial data, at the same scale as monthly composites of 98 MOD43A4 imageries (500×500m spatial resolution). This product is designed to continuously represent Earth's terrestrial 99 surface as a percentage of surface endmembers with standard endmember spectra globally, providing a gradation of five 100 surface vegetation and soil components: photosynthetic vegetation (PV), non-photosynthetic vegetation (NPV), bare soil 101 (BS), ice/snow (IS), and dark surface (DA). And we use non-parametric seasonal Mann-Kendall test to quantify global 102 trends and their interactive shifts in fractional vegetation-soil nexuses over the full period.

#### 103 2 Materials and methods

#### 104 **2.1 Dataset**

The MCD43A4 Version 6 Nadir Bidirectional Reflectance Distribution Function Adjusted Reflectance (NBAR) product is selected in this study (Schaaf and Wang 2015). Since the view angle effects have been removed from the directional reflectance, this dataset is provided as more stable and consistent daily surface reflectance imageries (bands 1-7) using best representative pixel of 16-day retrieval period of Terra and Aqua spacecrafts at 500-m sinusoidal projection. The MCD43A4 dataset was then temporally aggregated to produce a monthly composited dataset by taking the medium of all valid reflectance in GEE platform during 2001–2022.

- 111 The Köppen-Geiger climate classification is a reasonable approach to aggregate complex climate gradients into a simple
- but ecologically meaningful classification scheme (Beck et al. 2018). This classification scheme includes five main classes
- and 30 subtypes (Beck et al. 2018). We thus selected recently developed global Köppen-Geiger climate classification maps
- 114 at a 1-km resolution for the present-day (1980–2016). We initially used the 30-subtype classification for the selection of
- 115 typical regions for the endmembers collection. Meanwhile, we aggregated 30 sub-types to five main classes (i.e., tropical,



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arid, temperate, cold, and polar) according to classification scheme criteria to represent a static climate condition in this study.

The land cover datasets are provided by the collection 6 MODIS land cover products (MCD12Q1) with 500-meter spatial resolution in 2001 and 2022 (Friedl and Sulla-Menashe, 2015). We aggregate the International Geosphere-Biosphere Programme (IGBP) classification types of these datasets into three regions—ecological zone, agricultural zone, urbanized zone. We define ecological zone as combination of evergreen needleleaf forest, evergreen broadleaf forest, deciduous needleleaf forest, deciduous broadleaf forest and mixed forest, closed shrublands, open shrublands, woody savannahs, savannahs, grasslands, permanent wetlands, Permanent snow and ice, barren; refine agricultural zone as aggregation of croplands and mosaics of croplands and natural vegetation; and represent urbanized zone by urban and built-up lands.

#### 125 **2.2 Spatio-temporally adaptive spectral mixture analysis**

Recent advances in spectral mixture analysis methods have facilitated investigation of estimating fractional endmember abundances in the mixed pixels (Meyer and Okin 2015; Okin 2007; Roberts et al. 1993). This method assumes that the reflectance of target mixing pixel is a linear combination of the weighting coefficients (proportional endmembers) and associated pure spectra,

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$$R_i = \sum_{i=1}^m F_j E_{i,j} + \varepsilon_i$$

Where  $R_i$  is actual reflectance for band *i*;  $E_{i,j}$  is the reflectance of a given endmember *j* ( $1 \le j \le m$ ) for a specific band *i*; *m* is the number of endmembers;  $F_j$  is fractional abundance of this endmember j; and  $\varepsilon_i$  is the residual error for specific band *i*. The fully constrained least squares spectral mixture analysis model, including abundance sum-to-one constraint and abundance non-negativity constraint, is commonly applied for estimation of fractional endmembers to guarantee physically meaningful results (Heinz and Chein-I-Chang 2002). spectral mixture analysis model is assessed by the model residual error ( $\varepsilon_i$ ), reported as the root-mean-square-error (RMSE):

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} \varepsilon_i^2}{n}}$$

Recent studies have proven that spectral mixture analysis model has the advantage of providing more accurate and physically-based representation of fraction vegetation-soil continues field in the subpixel level without training samples (Daldegan et al. 2019; Smith et al. 2007). The spectral mixture analysis model includes three processes: endmember selection, and fraction estimation, and evaluation.





## 142 2.2.1 Nested endmember selection considering spatio-temporal variability.

The quality of spectral mixture analysis is significantly dependent on the representativeness of endmember selected. Endmember spectra used in spectral mixture analysis, in general, can either be derived from measured field spectral library or images (Franke et al. 2009; Sonnentag et al. 2007). The image-based endmember selection method is more practical way because advantage of image endmember is that they can be collected at the same scale as the image and are relatively easy to associate with image features (Rashed et al. 2003). Given that such endmember selection would be hampered by temporal and spatial variability of global intra-class and inter-class endmember spectra, we develop a nested framework for endmember selection considering spatial and temporal variability (Fig. 1).

- 150 (1) Recent studies have proposed various compositional endmember frameworks in different application contexts. For 151 example, a framework including substrate, vegetation, dark and ice/snow was proposed and verified globally for both 152 Landsat and MODIS to allow estimated fractions to be compared consistently across diverse climate patterns and land use 153 cover types (Small and Milesi 2013; Sousa and Small 2019). Another framework includes photosynthetic vegetation, non-154 photosynthetic vegetation, soil, and shade (Roberts et al. 1993), this framework was widely adopted for presentation surface 155 structure across tropical rainforest and dryland systems. Thus, we embody five endmembers to represent surface units, these five endmembers include photosynthetic vegetation (PV), non-photosynthetic vegetation (NPV), bare soil (BS), dark 156 157 (DA), ice/snow (IS). Concretely, PV refers to green photosynthetic foliage characterized by chlorophyll absorptions in the 158 visible and high reflectance in the near-infrared bands; NPV represents non-tilled cropland/grassland, and tree litters; BS 159 contains soil, rock, and sediment. DA represents a fundamental ambiguity; thus, it may be either absorptive (e.g., black 160 lava), transmissive (e.g., deep clear water) or non-illuminated (shadow) surface. IS is permanent glaciers and snow that are 161 widespread in the polar regions and high mountains.
- (2) Considering both climate patterns and land cover types, the typical sites employed for standardized endmembers
  selection were chosen based on global MODIS sinusoidal grid (10°× 10° intervals). The Köppen-Geiger climate
  classification zones is adopted as the dominant criterion to undertaking full coverage of climate types (Beck et al. 2018).
  Meanwhile, we also examine land cover diversity, characterized by Simpson's Diversity Index (D) of annual land use cover
  data from Terra and Aqua combined MODIS Land Cover Type (MCD12Q1) Version 6 product in 2020 for each MODIS
  grid.

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$$D = 1 - \sum_{i=1}^{N} (P_i)^2$$

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Where  $P_i$  is percentage of type *i* land use and cover in the grid, *m* is number of land use and cover in the grid. Finally, 10 MODIS grids (i.e., h08v05, h12v12, h13v09, h16v01, h21v03, h22v02, h22v08, h24v06, h26v05, h27v06, h29v12) (Fig. S1a), with high land cover diversity (D>0.7, Fig. S1b), and containing all Köppen-Geiger climate types (Fig. S1c), were selected for generation of standardized endmember spectrum.

173 (3) The representativeness of endmembers always shifts with time variation. A multi-temporal endmembers selection 174 scheme has been validated for various time series images (Sun and Liu 2015; Sun et al. 2018). This process of utilization 175 of both spatially and temporally mixed image collections for endmember selection can consider both spatial and temporal 176 variability. Therefore, the multi-temporal standardized endmembers selection scheme is adopted in 10 typical zones that 177 considering both climate and land cover diversity. Principal component (PC) transformation derived eigenvectors and 178 associated PC images were utilized as criteria for determination of endmember types. Specifically, eigenvector of PC, 179 displaying remarkable differences between shortwave infrared bands with other visible and near-infrared bands, is 180 obviously able to highlight characteristics of IS. While PC eigenvector with relative high contrast between near-infrared 181 band and other bands is mainly dominated by the information of PV, especially in vegetation growing seasons. The BS and 182 NPV will be boosted with the PC when corresponding eigenvector emerges the same direction. Even though there is no 183 obvious regular pattern of eigenvector for DA determination, the PC images can provide adequate information coupled with high-resolution images of Google Earth. After the determination of endmembers type and their PCs in each grid, we 184 185 ranked these PCs by descending order of the variance contribution, and selected PC images of first three timings for 186 endmember selection. We have listed the endmember types and their highlighting timings for each selected gird in Table S1. The image endmembers can be acquired from the vertex's pixels (200-400 pixels) of scatter plot formed by the PC 187 188 images at their corresponding timings in each grid. We then exported these acquired pure pixels as regions of interest to 189 compute original MODIS reflectance as endmember spectra.

(4) Besides, we collect MODIS derived endmember spectra used in previous study (Clarke et al. 2013; Daldegan et al.
2019; Meyer and Okin 2015; Sousa and Small 2019). Finally, we establish a library of endmember spectra considering
spatio-temporal variability, this library includes 35 GV spectra, 40 BS spectra, 25 NPV spectra, 16 DA spectra, and 15 IS
spectra.

- 194 (5) To ensure feasibility of pixel-by-pixel operations in GEE, we also consider the similarity between the spectral curves,
- 195 the hierarchical clustering method is selected to aggregate these spectra of each endmember as sub-groups, and we also
- 196 calculate average values of sub-groups. Finally, we obtain 4 PV spectra, 4 BS spectra, 3 NPV spectra, 2 DA spectra, and 2
- 197 IS spectra to estimate vegetation and soil fractions at global scale during 2001 to 2020 (Fig. 2).







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Figure 1: A framework for endmember selection considering spatial and temporal variability.







Figure 2: Endmember spectra. a-e, Hierarchical clusters of the endmember spectra of PV, NPV, BS, DA and IS. f, the averaged final endmember spectra including 4 PV spectra, 4 BS spectra, 3 NPV spectra, 2 DA spectra, and 2 IS spectra.

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# 203 2.2.2 Multiple Endmember Spectral Mixture Analysis

The Multiple Endmember Spectral Mixture Analysis (MESMA) has been used to estimate fractional vegetation-soil 204 205 nexuses based on selected endmember spectra. According to the convex geometry concepts, the number of endmember 206 (n+1) in the model should be equal to the intrinsic dimensionality of the spectral space (n) plus one (Boardman 2013). We 207 found the cumulative contribution of the top three PCs has exceeded 99% (Fig. S2), this three-dimensional PC space allows 208 four-endmember models. We initially generate multiple endmember combinations based on selected endmember spectra, 209 and achieve 692 combination models, including two-endmember model (88), three-endmember model (252) and four-210 endmember model (352) (Table S2). The fully constrained least squares spectral mixture analysis model is selected to 211 estimate fractions and count RMSE for each endmember combination in GEE platform. We finally search a specific





endmember combination with the smallest RMSE and achieve the estimated endmember fractions of this combination asfinal fractions.

#### 214 **2.3 Validation of the dataset**

The smallest RMSE of 692 combination models is adopted as criteria to assess suitability and uncertainty of the model. 215 The model suggests a generally good fit when mean RMSE over the image is less than 0.02 (Wu and Murray 2003). 216 217 Moreover, Global Land Cover Validation Reference Dataset (GLCVRD) is provided with a 2m reference dataset from very high resolution commercial remote sensing data within  $5 \times 5$  km blocks in 2010 (Olofsson et al. 2012; Pengra et al. 2015; 218 219 Stehman et al. 2012). These datasets support global estimates of classification accuracy for four major land cover classes: 220 tree, water, barren, other vegetation, cloud, shadow, ice & snow. Various recent studies have selected this dataset to 221 evaluate the continuous fields of land cover types (Baumann et al. 2018; Qin et al. 2019; Song et al. 2018). We use all 222 GLCVRD reference dataset (Fig. 3a) to assess the accuracy of globally fractional vegetation and soil estimates from 223 MESMA. We exclude those pixels covered by cloud and measured percentage of each land cover type within cloud-free 224 land cover maps (Fig. 3d), and firstly count our generated fractional vegetation and soil estimates within each  $5 \times 5$  km 225 block. PV and NPV endmember fractions are aggregated to match percentage of tree and other vegetation; BS corresponds 226 to barren; DA includes water and shadow, IS refers to ice & snow. Based on paired measured fractions and our estimated 227 fractions within blocks, we adopt four accuracy metrics including mean error (ME), mean absolute error (MAE), root-228 mean-square-error (RMSE), and  $R^2$  for accuracy assessment.

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$$ME = \frac{\sum_{i=1}^{n} (p_i - r_i)}{n}$$

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$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (p_i - r_i)^2}{n}}$$

232 
$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (p_{i} - r_{i})^{2}}{\sum_{i=1}^{n} (p_{i} - \bar{r})^{2}}$$

Where  $p_i$ ,  $r_i$  are estimated endmember fractions and reference endmember fractions at *i*th block, *n* is sample size (*n* = 474),  $\bar{r}$  is mean of the reference endmember fractions of all blocks.



#### 235 **2.4 Change of vegetation and soil fractions**

236 Mann-Kendall test is commonly referred to as a nonparametric test method, which is procedures that detects monotonic 237 trends of sequences over time (Kendall 1975; Mann 1945). This approach is robustness for trend detection and insensitivity to outliers and provided with an asymptotic relative efficiency of 0.98 relative to the parametric test derived from the 238 239 coefficient of regression slope (Bradley 1968). When seasonal environmental data of interest are available as time series for which the time intervals between adjacent observations arc less than one year (i.e., daily, weekly, and monthly 240 241 sequences), the null hypothesis of common Mann-Kendall test may be too restrictive (Hirsch et al. 1982). Therefore, a 242 multivariate extension of the Mann-Kendall test has been advanced to handle seasonal sequences. In addition to identifying 243 the trends of time series records, estimate of magnitude of such a trend is also necessary to quantitatively reveal the change of the time series. The seasonal Sen's slopes (change per unit of time) are commonly chosen to express this magnitude 244 245 (Hirsch et al. 1982; Sen and Kumar 1968). Therefore, we impose the seasonal Mann-Kendall test and seasonal Sen's method to define trend and slope (annual change) of endmember fractions at the pixel level. The detailed information about 246 247 seasonal Mann-Kendall test and seasonal Sen's method can be found in Supplementary Methods. If the Mann-Kendall test is not statistically significant ( $p \ge 0.05$ ), we define net change as 0. If the trend test is significant ( $p \le 0.05$ ), we apply the 248 seasonal Sen's method to estimate the per-pixel net change between 2001 to 2022 (i.e., slope times 22 years). Besides, we 249 aggregate per-pixel net change of endmember fractions to spatial scales (such as country, biome, climate zone) to obtain 250 251 total area change estimates at these aggregated scales from 2001-2022 as,

252 Net area change = 
$$\sum_{i=1}^{N} T_i A_i N$$

Where  $T_i$  is Sen's slope of endmember fraction for a statistically significant pixel *i*,  $A_i$  represent area of pixel *i*, *n* is the total number of such pixels in the region, *N* is the length of study period (N = 22).

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#### 255 3 Results

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#### 256 **3.1 Evaluation of monthly estimates of vegetation and soil fractions**

results elucidate that the MESMA model performs well with an ideal model RMSE over globe (0.018±0.022, Fig. 3a-c).

259 We find the regions with RMSE above 0.02 account for less than one-fifth of the global area and are mainly distributed in

260 barren such as Sahara Desert and polar regions. This exceptional performance demonstrates the superiority and low

261 uncertainty of the model. This performance is also evidenced by evaluation results from GLCVRD (Fig. 3e-h, Table S3).

We utilize standard endmember spectra globally to estimate fractional vegetation-soil nexuses via MESMA. The simulated





Specifically, the performance of PV+NPV, BS, and IS endmember estimates is reasonably satisfactory, all of which have MAE less than 0.118, RMSE less than 0.149,  $R^2$  greater than 0.592. Although the MAE (0.050) and RMSE (0.065) perform well, the  $R^2$  of estimated DA against measured DA presents only 0.156. This is resulted from the fact that our estimated DA less than 0.2 is presented as 0 in the reference data, because the interpreted reference dataset of high-spatial satellite observations ignored the shadows of the vegetation. In blocks with a DA greater than 0.2, the estimated DA and measured DA present better consistency, in which the shadows of hills are well measured by GLCVRD.



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269	Figure 3: Evaluation of global fractional endmember estimates. a, the spatial pattern of average of monthly RMSE
270	from 2001 to 2022, the overlaid red dots were spatial distribution of the $5 \times 5$ km validation blocks of GLCVRD reference
271	dataset. <b>b</b> , the boxplot and violin plot for average of monthly RMSE (a), which indicate mean RMSE over image is less
272	than 0.02. c, monthly averaged RMSE from 2001 to 2022 with error bars. d, the schematic of detailed land cover classes
273	of GLCVRD reference dataset. e-h, Scatter plots of PV+NPV, BS, DA, IS fractions against GLCVRD reference dataset
274	(tree + other vegetation, barren, water + shadow, ice & snow). Endmember fractions were derived from corresponding year
275	and month of each $5 \times 5$ km block achieved. <b>i-k</b> , the bi-dimensional histogram of fractional endmembers and other dataset
276	with bin size of 2%, including fractional PV against NDVI (i), fractional PV against LAI (j), fractional PV and NPV against
277	fractional tree and non-tree vegetation of MOD44B vegetation continuous fields product (k).

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# 280 **3.2 Spatial distribution of global vegetation and soil fractions**

281 Globally averaged monthly gradations of five surface vegetation and soil components are illustrated in Fig. 4. Our estimates 282 depict that PV cover presents the largest area for both 30°-60°N and 0-30°S, which together account for more than half of 283 the total global terrestrial vegetation area. We find the average PV fraction in the Northern Hemisphere is significantly less than that in the Southern Hemisphere, especially in the Amazon, although the area of PV at 30°-60°N is slightly greater 284 285 than that of 0-30°S. Dominated by foliage-free desert vegetation and agricultural straw, NPV is mainly found in the semi-286 arid regions (e, g., western China, USA and Australia) and croplands. BS is also located in the drylands of the Sahara, 287 western Asia, and west-central Australia in terms of both fraction and total area. DA and IS, on the one hand, are mainly 288 concentrated in in terrestrial water bodies and mountains, Greenland and global high mountains of the Himalayas and the 289 Andes, respectively.







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#### 295 **3.3 Globally and regionally fractional endmembers dynamics**

The total area of PV increases  $9.35 \times 10^5$  km<sup>2</sup> from 2001 to 2022, which represents a +1.88% change relative to 2001 green vegetation (Fig. 5; Table S4). This increased trend results from higher magnitude of gain ( $1.57 \times 10^6$  km<sup>2</sup>), nearly 2.5 times



298 the loss area. Our PV area gain estimate basically agrees in magnitude with the global vegetation continuous fields product's estimate of net vegetation area change  $(1.36 \times 10^6 \text{ km}^2)$ , despite differences in the time period covered (1982-299 300 2016) and definition (tree and other vegetation) (Song et al. 2018). Temperate, arid and cold regions together contribute 301 more than 90% of the greening area (Fig. 6; Table S4). In these areas, the China and India are two major contributors (Fig. 302 S3) through land use management like ecological afforestation and agricultural expansion (Chen et al. 2019). Within Brazilian Amazon, we find a large area of PV loss (Fig. S3), which is also supported estimates of forest cover and loss 303 304 (Qin et al. 2019). A decreasing trend is observed in NPV globally (2.19×10<sup>5</sup> km<sup>2</sup>), representing a -1.45% change relative to 2001 NPV area 305 306 (Fig. 5; Table S4). Tropical and temperate regions together contribute more than 80% of the loss area of NPV, which may 307 result from global warming induced tree greening. Although the arid is major source of NPV ( $2.75 \times 10^6$  km<sup>2</sup> in 2001, 18.2% of globe NPV area), the change area of NPV is only less than 10000 km<sup>2</sup> (Fig. 6; Table S4). 308 309 In the context of the greening of the vegetation, the degree of BS is reduced by  $5.14 \times 10^5$  km<sup>2</sup> during study period, indicating 310 a -1.09% change relative to initial BS of 2001. The decreased global BS trend occurs in temperate, arid and cold regions, 311 accounting over 90% of net BS change area. In contrast, tropical region appears an increasing trend  $(+1.22 \times 10^5 \text{ km}^2)$ , and 312 thus offset the decline in BS in the rest of the regions (Fig. 6; Table S4). This outcome result from the forest loss induced 313 soil exposure in Brazilian Amazon and Southeast Asia (Fig. S3). Meanwhile, the total area of DA also represents a net 314 change of -2.27×10<sup>5</sup> km<sup>2</sup>, from 2001 to 2022, which represents a -0.69% change relative to 2001 DA area. The largest 315 negative contributions to the decreased global DA appear in cold (46.26%) and arid (32.87%) (Fig. 6; Table S4). We 316 observed an increase of  $2.46 \times 10^4$  km<sup>2</sup> in IS globally, which represents a +0.11% change relative to 2001 IS. Such positive 317 trend is mainly benefited by the increase of snow and ice in the cold regions, in which the net increase area is 1.5 times 318 greater than the global net IS change (Fig. 6; Table S4). This is caused by the increase of snowfall. However, global 319 warming is causing a substantial melting of snow and ice, resulting in the arid, tropical, temperate and polar regions show 320 a decreasing trend in IS cover.





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Figure 5: Globally fractional endmembers dynamics at pixel level. a, composited RGB image with  $\Delta$ BS,  $\Delta$ PV, and  $\Delta$ DA. b-f, the change magnitude (%) in each pixel for estimated endmembers, i.e.,  $\Delta$ PV,  $\Delta$ NVP,  $\Delta$ BS,  $\Delta$ DA, and  $\Delta$ IS.





- 324 Pixels showing a statistically significant trend (Seasonal Mann–Kendall test, P < 0.05) for either endmember are depicted
- 325 on the change map.



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Figure 6: Global and regional fractional endmembers dynamics. The middle subgraph is aggregated five Köppen-Geiger climate classes. **a-f**, the gain area, loss area and net change area for five land surface endmembers in globe (**a**) and five climate zones, i.e., tropical (**b**), arid (**c**), temperate (**d**), cold (**e**), and polar (**f**).





#### 330 4 Discussions

#### 331 **4.1 Compared with other datasets and traditional SMA model.**

332 We compare our estimates vegetation and soil fractions dataset with NDVI, fractional PV and NPV against fractional tree 333 and non-tree vegetation of MOD44B vegetation continuous fields product (Fig. 7a, b). The PV fractions presents great 334 linear correlations with NDVI and fractional tree and non-tree vegetation (P-value<0.01). However, our product can 335 overcome the problem of saturation of NDVI in the regions embodying high coverage vegetation. Such advance can be 336 supported by previous regional comparison research (Rogan et al. 2002; Sun et al. 2019; Sun et al. 2020). Besides, 337 considering that MOD44B vegetation continuous fields product (DiMiceli et al., 2015) provides a gradation of three surface 338 cover components: percent tree cover, percent non-tree cover, and percent bare, the dark components (i.e., shadow of vegetation and mountain, water) are not quantified. Therefore, fractional PV and NPV is overall biased high, especially in 339 340 areas with low vegetation cover.

Moreover, we also carry out a comparison with traditional linear spectral mixture analysis to demonstrate the advantages of our spatio-temporally adaptive spectral mixture analysis (Fig.7c, d). Such linear spectral mixture analysis was performed using fully-constrained framework based on two fixed endmember spectral curves: (1) average of all spectral spectra for each endmember and (2) existing spectral spectra from Small and Sousa (2019). Both of two fully constrained linear spectral mixture models are inferior to our framework since we consider the variability of the spectra in both time and space.





Figure 7: Comparisons with other datasets and LSMA models. a, b, the bi-dimensional histogram of fractional endmembers and other dataset with bin size of 2%, including fractional PV against NDVI (a), fractional PV and NPV



against fractional tree and non-tree vegetation of MOD44B vegetation continuous fields product (b); c, d, the boxplot and
 violin plot for average of monthly RMSE for two fixed endmember spectral curves using fully constrained linear spectral
 mixture models, including (c) average of all spectral spectra for each endmember and (d) existing spectral spectra from
 Small and Sousa (2019).

#### 354 4.2 Advances and uncertainties of estimates of global vegetation and soil fractions

355 This paper implements a globally monthly estimates of fractional vegetation-soil nexuses in 2001–2022 via high-accuracy and time-consuming MESMA algorithm at sub-pixel scale (Roberts et al. 1998), benefited from the GEE platform that can 356 357 provide powerful computational processing to realize planetary-scale analysis of geospatial data. Moreover, we can more 358 conveniently target the most optimal model from 692 combination models for each MODIS pixel, thus help to understand 359 the specific vegetation-soil compositional structures in each pixel or region (Roberts et al. 1998). Such scheme can improve 360 the ecologists and managers understanding of multifaceted terrestrial ecosystems for differentiated measures. These monthly estimates of fractional vegetation-soil nexuses can be upgraded to multi-timescale (daily, yearly) products to serve 361 362 different needs, and thus provide multicomponent time series of information on surface heterogeneous composition and 363 interactive evolution. Moreover, these fractional endmembers have been proven their potential for application in land use cover classification (Sun et al., 2020), time-series evolutionary pathways (Sun et al., 2021; Daldegan et al., 2018) and 364 365 biophysical process modelling (Sun et al., 2022; Sousa and Small, 2018). This globally comprehensive record of monthly vegetation and soil fractions during the period 2001–2022 may provide basic data for quantification and modelling of 366 global change, as well as provide an important foundation for measuring sustainable development goals such as land 367 368 degradation neutrality (Chasek et al., 2019; Sun et al., 2019).

The temporal and spatial variability of endmembers has always been a significant constraint in obtaining global-scale 369 370 vegetation and soil fractions from imagery (Wang et al. 2021). The spatio-temporally adaptive framework employed helps 371 to increase the representativeness of endmember selection, and MESMA also considers the suitability of each combination 372 of these endmembers within each pixel. However, considering the limitations of computational resources, our solution on 373 hierarchical clusters of the endmember spectra can improve considerably cost-effective unmixing of long time-series 374 satellite records over globe under the neglect of certain accuracy requirements (Fig. 3). Furthermore, to validate the 375 representativeness of the hierarchical clustering results, we select a spectral spectrum from actually selected endmember 376 spectra that exhibit the largest mean squared error from the mean of cluster for each cluster. These selected spectral spectra 377 were then used to reconstruct an extreme library of endmember spectra and used to estimate fractional vegetation and soil 378 using MESMA. It can be found that 90% of the RESE's differences are concentrated within 1% (Fig. 8a), indicating the 379 relative stability of the unmixed results from two libraries as well as the effectiveness of the clustering. These are also



corroborated by the differences between unmixed endmember fractions (Fig. 8b-e), as indicated by that more than 90% of global pixels have a difference of 10% or less, as well as more than 70% of global pixels present a difference up to 1%, except for the two endmembers with higher spatial variability (NPV, 61.59%; DA, 62.59%). With the assumption of increased computational power in the future, we believe that utilization of combination models from actually selected endmember spectra (35 GV spectra, 40 BS spectra, 25 NPV spectra, 16 DA spectra, and 15 IS spectra) or expanded endmember spectra may further improve the accuracy and stability of estimates of gradations of five surface vegetation and soil components at global scale.





Figure 8: Difference in unmixed results between mean endmember library and endmember library in hierarchical
cluster. a, b, c, d, e and f represent histogram of RMSE, PV, NPV, BS, DA and IS.

## **4.3 Implications of global and regional shifts from pairs of two endmembers**

We find greening of Earth characterized by increased photosynthetic vegetation and reduced bare soil exposure, is observed in temperate and cold countries such as China and Russia (Fig. 9; Figure S3). This finding is in agreement with the finding of climate-driven greening trend in Northern Hemisphere (Piao et al. 2006). While the biomass decreases, exhibited as





394 decreased PV and increased BS (Fig. 9), presented only half of the global climate-driven greening. These findings imply a 395 global trend towards greening in the context of global warming, as supported by a large number of published studies on 396 global vegetation change (Chen et al. 2019; Piao et al. 2006; Song et al. 2018). Moreover, the polar zone is hotspot of ice 397 melting and agrees an accepted fact of accelerated retreat of glaciers and ice under global warming (Hugonnet et al. 2021; 398 Zemp et al. 2019).

399 Besides, the overexploitation of resources is one of environmental problems of interest and an important factor in causing 400 above climate change and disasters. Global overexploitation has led to problems such as vegetation degradation and 401 intensive utilization of agricultural land. The human overexploitation of forest and grassland induced biomass decrease present a decrease of PV and increase of BS (Fig. 9; Fig. S3), especially over tropical rainforest of Brazilian Amazon and 402 South Asian. This finding agrees with deforestation and agriculturalization in these regions provided by previous studies 403 404 (Oin et al. 2019; Zeng et al. 2018). Within agricultural area, the agricultural intensification is a human-driven greening 405 process characterized by increased photosynthetic vegetation and reduced bare soil, this shift mainly occurs in India and 406 the North and Northeast China Plain (Fig. 9; Fig. S3) (Chen et al., 2019). We also found urbanization-driven biomass 407 decrease in the global terrestrial ecosystems, especially in China and North America (Fig. 9; Fig. S3), resulted from occupation of agricultural and ecological lands during urban sprawl (Kuang et al., 2020, 2021; Zhao et al., 2022). 408

409 Eco-restoration depicts a process that currently needs urgent attention in our understanding and utilization of resources and 410 environment. Different from climate-driven greening that presents trends of increasing PV and decreasing BS, the human-411 driven afforestation shows positive trends of both PV and NPV, mainly attributed to recent implementing of policies on 412 the ecological restoration through large number of protective forests planted (Fig. 9; Fig. S3). These afforested regions are primarily found over China, Europe, North America, supported by previous study on greening world (Chen et al. 2019). 413 414

Moreover, Green space construction in urbanized regions has been carried out, integrated with road construction and city

415 renovation, and generate an increasing of footprint of urban greening, especially in China (Fig. 9; Fig. S3).







Pixels showing a statistically significant trend (Seasonal Mann–Kendall test, P < 0.05) in both endmembers are depicted on the map. The color of each pixel was displayed in quadrant of  $\Delta X$  and  $\Delta Y$ , where  $\Delta X$  and  $\Delta Y$  are horizontal and vertical endmembers, respectively. The top right corner was 2D histogram of change magnitude (%) of paired two endmembers. the x-axes and y-axes were represented by  $\Delta X$  and  $\Delta Y$ , respectively. These Figure 9: Characteristics of each pair of two endmembers. The bottom left corner was global maps of co-location of paired two endmembers. 2D histogram plots were created with bin size of 1% for both axes. The color bar was normalized number of pixels in each bin on a log scale. 417 418 419 420 421

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#### 5 Data availability

The data about fractional five surface vegetation and soil components can be exported from GEE platform via provided codes or are available on two Zenodo (<u>https://doi.org/10.5281/zenodo.8323292</u>, <u>https://doi.org/10.5281/zenodo.8331843</u>, Sun, 2023a,

b). The first dataset includes five fractions from 2001-2011, another includes five fractions from 2012-2022. The file is a compressed month-by-month GeoTIFF data for each year, according to the grid of longitude 60° and Latitude 50°. Since the dataset for each year includes 216 files, named as "SMA\_year\_(month-1)\_gridid.tif", like "SMA\_2001\_0\_0.tif". The public datasets have been listed in the Methods.

#### 6 Code availability

430 The GEE codes for the MESMA and seasonal Mann-Kendall test will be available at GitHub (https://github.com/qiangsunpingzh/GEE\_mesma) or other platforms upon publication; Common code for generating figures is available at https://matplotlib.org/.

#### 7 Conclusions

- In this paper, to provide locally detailed socio-ecological knowledge about globally multifaceted changes in fractional 435 vegetation-soil nexuses under climate change and anthropogenic impacts, we estimated monthly vegetation and soil fractions in 2001–2022 that provide multi-component information on surface heterogeneous composition based on a spatio-temporally adaptive spectral mixture analysis framework. This product of monthly vegetation and soil fractions from 692 combination models can provide an accurate estimate of surface heterogeneous composition, better than previous vegetation index and vegetation continuous fields product, as well as traditional fully constrained linear spectral mixture models. This solution can both improve considerably cost-effective unmixing of long time-series satellite records over globe and meet the accuracy requirements. Based on these estimates of vegetation and soil fractions, we find a greening trend of Earth, as indicated by a increase of the total area of PV, which represents a +1.88% change relative to 2001 green vegetation. This greening trend can
  - be found all climatic zones other than the tropics. In addition to the trends in the greening reported by other study, we also found that the increase in PV was accompanied by a decreasing trend in BS, DA and NPV in most regions. And there is a trend
- 445 of simultaneous increase in PV and NPV in central and southwest China during afforestation activities. Therefore, a combination between interactive changes of vegetation and soil fractions can be adopted as a valuable measurement of climate change and anthropogenic impacts.



#### Author contributions

Q.S., D.S., P.Z., and H.L. designed the study. Q.S. and P.Z. performed the analysis with support from D.S., H.L., J.H., S.L.,
and S.Y. Q.S., P.Z., and D.S. drafted the paper. Q.S., P.Z., X.J., M.S., F.L., W.D., S.M., A.L., Y.Z., and H.L. collected data and prepared figures. All authors contributed to interpretation of the results and discussions as well as manuscript editing.

#### **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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