

1 **The Tibetan Plateau Space-based**
2 **Tropospheric Aerosol Climatology: 2007–**
3 **2020**

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31 **Abstract.** A comprehensive and robust dataset of tropospheric aerosol properties is
32 important for understanding the effects of aerosol-radiation feedback on the climate
33 system and reducing the uncertainties of climate models. The third pole of Earth
34 (Tibetan Plateau, TP) is highly challenging to obtain long-term in situ aerosol data due
35 to its harsh environmental conditions. Here, we provide the more reliable new vertical
36 aerosol index (AI) parameter from the spaceborne-based Lidar CALIOP onboard
37 CALIPSO for daytime and nighttime to investigate the aerosol's climatology over the
38 TP region during 2007-2020. The calculated vertical AI was derived from the aerosol
39 extinction coefficient (EC), which was rigorously quality-checked and validation, and
40 validated for passive satellite sensors (MODIS) and ground-based LIDAR
41 measurements. Generally, our results demonstrated that there was agreement of the AI
42 dataset with the CALIOP and ground-based LIDAR. Besides, the results showed that
43 after removing the low-reliability aerosol target signal, the optimized data can obtain
44 the aerosol characteristics with higher reliability. The data also reveals the patterns and
45 concentrations of high-altitude vertical structure characteristics of the tropospheric
46 aerosol over the TP. It will also help to update and make up the observational aerosol
47 data in the TP. We encourage climate modelling groups to consider new analyses of the
48 AI vertical patterns, comparing the more accurate datasets, with the potential to increase
49 our understanding of the aerosol-cloud interaction (ACI) and aerosol-radiation
50 interaction (ARI) and its climate effects. Data described in this work are available at
51 <https://data.tpsc.ac.cn/en/disallow/03fa38bc-25bd-46c5-b8ce-11b457f7d7fd>
52 DOI:10.11888/Atmos.tpsc.300614. (Honglin Pan et al., 2023).

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54 **Keywords:** Tibetan Plateau, CALIPSO, Aerosol index vertical structure,
55 Tropospheric aerosols, Aerosol climatology.

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59 **1 Introduction**

60 The three poles (i.e., the Arctic, the Antarctic and the Tibetan Plateau (TP)) have
61 the highest mountains in the world and store more snow, ice and freshwater than any
62 other place. The unique geographical location of the Antarctic, the Arctic, and the TP
63 has unique ecological, climatic, and natural environmental changes. Also, the three
64 locations have a crucial role in global and regional climate change. However, studies
65 have found that these regions are susceptible to climate change. The differences in these
66 regions may also affect key feedback loops for global climate change and the
67 sustainability of human life. Unfortunately, our understanding of the three poles,
68 particularly the relations between the regions, remains limited due to insufficient
69 observation data. Currently, the collection of additional research data for these extreme
70 environments is one of the major bottlenecks in facilitating comprehensive studies of
71 these regions. Sufficient attention has been given to the polar regions and the TP in
72 successive IPCC reports (IPCC, 2013 and 2021). The similarities between TP and the
73 other two polar regions are their low temperatures, remote location, and large water
74 storage capacity. On the other hand, TP has a more highly complex climate than the
75 Arctic and the Antarctic (where ice is the primary medium) and its land surface
76 (including forests, grasslands, bare soil, lakes and glaciers) is more diverse. These
77 differences make the transport and accumulation of pollutants in the TP region different
78 from the other two polar regions.

79 TP is known as the "Third Pole" because it has the third largest ice mass on Earth,
80 after the Antarctic and the Arctic regions (Qiu, 2008). TP also called the "Asia Water
81 Towers", provides fresh water to 40% of the world's population due to its vast water
82 reserves such as glaciers, lakes and rivers (Immerzeel et al., 2010). Furthermore, TP is
83 the "Roof of the World", which covers an area of ~2.5 million km² at an average altitude
84 of about 4,000 m a.s.l. (above sea level) and includes all of Tibet and parts of Qinghai,
85 Gansu, Yunnan, and Sichuan in southwestern China, as well as parts of India, Nepal,
86 Bhutan, and Pakistan (Nieberding et al., 2020). To the north of the TP region is situated
87 by the Taklamakan Desert (TD) (see Figure 1). This high altitude and specific

88 topographic area effectively serve as a heat source during the spring (MAM) and
89 summer (JJA) months. This thermal structure helps the TP to function virtually as an
90 "air pump", attracting warm and humid air from the lower latitude oceans by suction
91 (Yanai et al., 1992; Wu and Zhang, 1998; Wu et al., 2007; Wu et al., 2012).
92 Consequently, large-scale mountains play a crucial role in shaping regional and even
93 global weather and climate through mechanical and thermodynamic effects and affect
94 the global energy-water cycle (Xu et al., 2008; Molnar et al., 2010; Boos and Kuang,
95 2010; Wu et al., 2015). It is closely related to the survival of human beings in the world.

96 Climate projections are simulated responses of the climate system to future
97 emission or concentration scenarios of greenhouse gases (GHGs) and aerosols and are
98 generally calculated using climate models. The reasons for the gap between models and
99 observations may also be due to inadequate solar, volcanic, and aerosol forcing used in
100 the models, and in some modelling, may be due to an overestimation of the response to
101 increasing GHG and other anthropogenic forcing (the latter includes the role of
102 aerosols). The most significant uncertainties in predicting future climate change are
103 related to uncertainties in the distribution and properties of aerosols and clouds, their
104 interactions, and limitations in the representation of aerosols and clouds in global
105 climate models (IPCC, 2021). The primary aerosol types over the TP is dust, which is
106 primarily contributed from the Taklimakan Desert (Liu et al., 2008; Chen et al.,
107 2013; 2022; Xu et al., 2015). Previously some studies of aerosol-cloud interaction (ACI)
108 and aerosol-radiation interaction (ARI) have been conducted (Liu et al., 2022). For
109 example, the dust aerosols lifting over the TP reduce the radius of ice particles in the
110 convective clouds and prolong the cloud lifetime through the indirect radiation effect,
111 which can lead to the development of higher convective clouds. The dust-affected
112 convective clouds move further eastward under the action of westerly winds and merge
113 with local convective cloud masses, triggering heavy precipitation in the Yangtze River
114 Basin and northern China downstream of the TP (Z. Liu et al., 2019; Y. Liu et al., 2019).
115 However, the effect of aerosol on the atmospheric energy and water cycle remains
116 uncertain, mainly due to the lack of long-term and accurate vertical aerosol optical
117 properties dataset over the TP region. This can help better understand aerosol's impact

118 on the atmospheric heating rate and stabilization and the subsequent cloud-precipitation
119 process. Therefore, constructing a more long-term and reliable vertical dataset of
120 aerosol optical parameters can make up the observational facts for aerosol-related study
121 and provide a scientific basis for improving the global climate model simulation over
122 the TP.

123 Generally, the primary aerosol optical parameters (such as extinction coefficient
124 (EC), and aerosol optical depth (AOD)) acquisition method is in situ observations,
125 which have high precision. However, in situ observations are restricted by the
126 distribution of measurement stations over the TP. Hence, the resulting data lack spatial
127 continuity, making it difficult to meet the objectives of growing regional atmospheric
128 environmental studies (Chen et al.,2022; Goldberg et al.,2019; Giles et al., 2019).
129 Satellite remote sensing (active and passive) is an effective tool for collecting aerosol
130 optical information (including the vertical structure and spatial distribution) over a wide
131 range of spatial scales, significantly offsetting the deficiencies of in situ observations.
132 Satellite remote sensing can tackle difficulties connected to insufficient data and uneven
133 geographical distributions to a certain extent (Chen et al., 2022; Wei et al.,2021). While
134 for aerosol products observed from the Cloud-Aerosol Lidar and Infrared Pathfinder
135 Satellite Observations (CALIPSO), the presence of some low-reliability aerosol target
136 (LRAT) caused by cloud contamination, solar noise contamination, especially in the
137 daytime, and ground clutter among mostly aerosol observations skews the distribution
138 of the aerosol EC towards larger values, at least some of which may be identified as
139 aerosols and retained in the analysis, makes the presence of some low confidence
140 aerosol targets bias the distribution of aerosol extinction in most aerosol observations.
141 The distribution of the aerosol EC will show greater biased values (Thomason and
142 Vernier, 2013; Kovilakam et al., 2020; Pan et al., 2020; Kahn et al., 2010), and then will
143 further enhance the aerosol index (AI) value due to the influence of radiation transfer
144 interaction between clouds and the absorption layer, which will not truly reflect the
145 differences in aerosol physical properties (Guan et al., 2008; Liu et al., 2019; Kim et
146 al.,2018). Hence, gaining high confidence in EC helps us analyze aerosol optical
147 properties and leads to numerous pertinent uses of EC data, which is essential for

148 accurately characterizing the upper range of aerosol ECs that occur on the TP.

149 The present study provides a dataset of monthly averaged vertical structure
150 characteristics of tropospheric high confidence aerosol optical properties including EC,
151 AOD, Angstrom exponent (AE), and aerosol index (AI) in the daytime and nighttime
152 over the TP and surrounding areas. The data for the above-mentioned optical properties
153 were retrieved based on the space-borne Lidar CALIOP (Cloud-Aerosol Lidar with
154 Orthogonal Polarization) on board the CALIPSO satellite for the period 2007-2020.
155 The main objective of this study is to calculate new and high-confidence aerosol optical
156 parameters of AI in the vertical distribution, by the strict quality control and validation
157 for passive remote sensing satellite sensor (MODIS) and active remote sensing ground-
158 based LIDAR. AI is dependent on aerosol concentration, optical properties and altitude
159 of the aerosol layer. Also, AI is particularly sensitive to high-altitude aerosols, which is
160 used to indicate small particles (those that act as cloud condensation nuclei) with a high
161 weight (Guan et al., 2010; Buchard et al., 2015; Liu et al., 2019; Nakajima et al., 2001).
162 The comprehensive data set of aerosol optical properties utilized in the study is of
163 substantial importance for understanding the impact of aerosol on the ecosystem and
164 reducing the uncertainties of climate models.

165 The data set used in this study is more effective to characterize the vertical
166 structure of aerosols while following standardized quality control methods to obtain
167 higher confidence in the aerosol vertical structural properties covariate data sets and
168 allow for comparison and application to the study of climate models and other
169 atmospheric science related problems between our records and other public different
170 data sets. To ensure meaningful confidence estimates, it is necessary to apply carefully
171 the following correction procedures and analytical validation for the constructed
172 aerosol covariates over the TP. The main steps to construct the dataset are grouped as
173 follows: (1) Removing the low-confidence aerosol EC at 532 nm and 1064 nm caused
174 by the misclassification of cloud and other interferences (e.g., surface clutter,
175 hygroscopicity etc.). Based on this, an interquartile range (IQR) method (see section
176 2.2) is utilized to discard the low confidence targets, and further obtain the monthly
177 averaged aerosol EC for day and nighttimes with higher confidence; (2) the pseudo-

178 Ångström exponent (hereafter AE) is calculated using the aerosol EC at 532 nm and
179 1064 nm with higher confidence; (3) obtaining vertical AI by the product of the AOD
180 (the vertical layers integral of EC) and AE. (4) Validation for the constructed AI with
181 MODIS and in situ LIDAR measurements using the standardized frequency
182 distributions.

183 **2 The construction of the data set**

184 **2.1 Study area**

185 Figure 1 depicts the geopotential height of the TP and its surrounding areas (27-
186 42° N, 75-102° E, about 4,000 m a.s.l.), and a schematic diagram of CALIPSO
187 satellite ground track over the TP in different months. The role of the "heat-driving air
188 pump" of the TP provides abundant water vapor for the formation of clouds (Luo et al.,
189 1984; Liou et al., 1986). Furthermore, the TP environment is greatly affected by natural
190 and anthropogenic aerosols from the surrounding regions (Chen et al., 2013; Bucci et
191 al., 2014; Xu et al., 2015). The strong convection generated by the TP will promote
192 aerosols' vertical transport and increase aerosols' content in the troposphere and
193 stratosphere (Vernier et al., 2015; Liu et al., 2022). Aerosols also serve as cloud
194 condensation nuclei (CCN) or ice nuclei (IN), modifying cloud structure properties and
195 precipitation (Twomey et al., 1977). Hence, the TP has been called as the pumping pump
196 of water vapor, the cloud incubator, and the sand dust transfer station. By delivering
197 water vapor, clouds, and dust, it regulates extreme weather and climate in the
198 downstream and surrounding areas. It can be seen that the TP plays a crucial role in the
199 impact and regulation of global and regional climate or environments (Luo et al., 1984;
200 Rossow et al., 1999; Wan et al., 2017; Liu et al., 2022).

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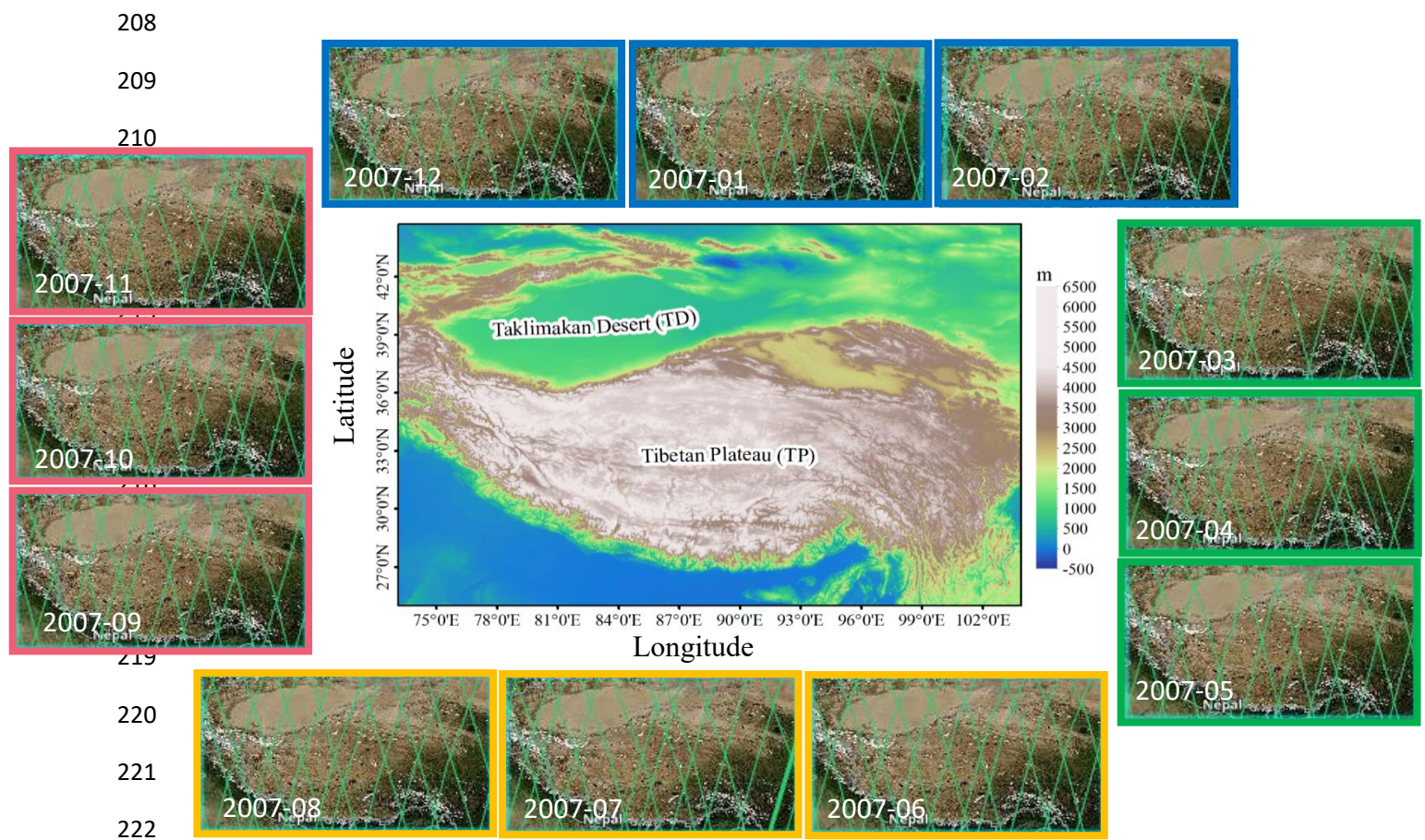
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223 Figure. 1 The geopotential height of the TP and its surrounding areas (27-42°N,75-102°E). The
 224 schematic diagram of the transit of the CALIPSO satellite orbits over the TP in all months of 2007
 225 (with 2007 as an example). The seasons have been classified as March-May is spring, June-August
 226 is summer, September-November is autumn, and December-February is winter).

227 **2.2 CALIOP data and low-reliability aerosol target (LRAT) clearing method**

228 The CALIOP, onboard the CALIPSO satellite was launched by the NASA on 28
 229 April 2006, is the nadir-pointing dual-wavelength polarization Lidar, which can provide
 230 global and continuous information on the vertical distribution of aerosols and clouds at
 231 532 nm and 1064 nm for daytime and nighttime (Winker et al., 2007 and 2009). The
 232 CALIPSO-CALIOP (version 4.20) level-2 aerosol profile product is selected in this
 233 study, with vertical and horizontal resolutions of 60 m and 5 km, respectively. The used
 234 parameter includes Extinction_Coefficient_532 and Extinction_Coefficient_1064
 235 between daytime and nighttime observations from 2007 to 2020. It should be noted that
 236 the CALIOP data uses as few instruments as necessary to complete the monthly aerosol
 237 climatology. We make this decision to limit the impact of differences between

238 instruments due to measurement techniques and wavelength range as well as assess the
239 general quality of the instrument's data set.

240 The presence of some low-reliability aerosol target (LRAT) caused by cloud
241 contamination, solar noise contamination, especially in the daytime, and ground clutter
242 among mostly aerosol observations skews the distribution of the aerosol EC toward
243 larger values (Thomason and Vernier, 2013). Consequently, to eliminate the LRAT, a
244 statistical approach to identify LRAT and extreme outliers is utilized based on the
245 interquartile range (IQR). IQR is a more conservative measure of the spread of
246 distribution than standard deviation (Iglewicz and Hoaglin, 1993). Note that this
247 technique is based on median statistics rather than the mean due to the skewed
248 distribution of EC. In our implementation, we used daily data at each altitude (0.06 km)
249 and latitude (0.05°) bin from 2007-2020 to determine frequency distribution of EC for
250 different months. Besides, we used the lower quartile (Q1) and upper quartile (Q3) of
251 the underlying distribution to find IQR, defined as Q3-Q1, a good measure of the spread
252 in the data relative to the median. Here, an extreme outlier is defined as Q3 + (3.5×IQR),
253 and a more upper outlier (Q3+(1.5×IQR)) is used for comparison (Iglewicz and Hoaglin,
254 1993). Meanwhile, the extreme outlier threshold is used to clear LRAT-affected
255 observations from the data set, which is better and more effective at identifying outliers
256 in the density distribution (Kovilakam et al., 2020).

257 **2.3 AI Data Processing**

258 According to the method described in section 2.2, the aerosol EC (observed at 532
259 nm and 1064 nm for daytime and nighttime) with higher reliability over the TP is
260 obtained. The monthly mean Ångström exponent (hereafter “pseudo-Ångström
261 exponent (AE)”) for daytime and nighttime is derived to establish the 14-year aerosol
262 climatology (2007-2020) based on equation (1). The AE model for EC which is
263 wavelength dependence at 532 and 1064 nm is given by Kovilakam et al. (2020):

$$264 \quad EC_{-532[m,i,j]} = EC_{-1064[m,i,j]} \left(\frac{\lambda_{532}}{\lambda_{1064}} \right)^{AE[m,i,j]} \quad (1)$$

265 where $EC_{-532 [m, i, j]}$ and $EC_{-1064 [m, i, j]}$ are extinction coefficients at 532 nm and 1064 nm,

266 respectively; $AE [m, i, j]$ is the pseudo-Ångström exponent (Rieger et al., 2015; 2019);
267 and the indices $[m, i, j]$ represent the month, latitude, and altitude respectively.
268 $(\lambda_{532}/\lambda_{1064})$ represents the ratio of wavelengths at 532 nm and 1064 nm. The AE is
269 gridded to 0.05° latitude and 0.06 km altitude resolution. Further, the vertical
270 distribution of AI is calculated according to equation (2). AI has been developed by
271 Nakajima et al. (2001) and Liu et al. (2019) with the equation given below:

$$272 \quad AI_{[m,i,j]} = AOD_{[m,i,j]} \times AE_{[m,i,j]} \quad (2)$$

273 Where $AI_{[m,i,j]}$ and $AOD_{[m,i,j]}$ are aerosol index and aerosol optical depth, respectively;
274 $AE_{[m, i, j]}$ is the pseudo-Ångström exponent; and $[m, i, j]$ represent the month, latitude,
275 and altitude respectively. Note that to match the AE, AOD is also transformed into the
276 vertical distribution (not the column parameter). As we focus on the characteristics of
277 aerosols in the troposphere over the TP, we took samples from the surface at an altitude
278 of 12 km with a vertical resolution of 0.06 km. We integrated the EC of each two layers
279 to obtain an AOD, which corresponds to the average of the AE values of each two layers.
280 This achieves spatial matching between AOD and AE at the vertical heights. In the later
281 stage, when using the AI obtained from MODIS for comparative testing, we used the
282 PDF (probability density function) and average values of AI for characterization
283 displayed to facilitate comparison due to the differences in horizontal and vertical space.
284 The data in this manuscript are all based on the vertical structural distribution of
285 altitude-latitude with vertical and horizontal resolutions of 60 m and 0.05° ,
286 respectively. The monthly mean climatology of AI is retrieved from the CALIOP and
287 computed in altitude and latitude at 532 nm and 1064 nm for the daytime and nighttime
288 data sets.

289 **2.4 Aqua-MODIS satellite data**

290 Like the CALIPSO, Aqua is part of the A-Train constellation of satellites.
291 Therefore, the MODIS (Moderate-resolution Imaging Spectroradiometer) onboard
292 Aqua can achieve near-simultaneous observations of clouds and aerosols with
293 CALIPSO-CALIOP (less than two minutes) (Winker et al., 2007; Hu et al., 2010). The
294 Aqua satellite was successfully launched on May 4th, 2002. Aqua is the afternoon star,

295 passing through the equator from south to north at around 13:30 local time. The
 296 observation data of 36 wavebands were obtained, with a maximum spatial resolution of
 297 250 m and a scanning width of 2330 km. MODIS is a passive imaging
 298 spectroradiometer, and there are a total of 490 detectors distributed in 36 spectral bands,
 299 with full spectral coverage ranging from 0.4 microns (visible light) to 14.4 microns
 300 (thermal infrared). In this study, Level 3 data (MYD08_M3) on a 1°×1° (longitude ×
 301 latitude) gridded box is utilized. As shown in Table 1, MODIS can provide AOD and
 302 AE products at 550 nm wavelength. It is worth mentioning that we chose this data
 303 because MODIS data is widely used and has certain reliability in aerosol research. The
 304 parameters of AE and AOD from MODIS are also used to calculate the AI, which is
 305 applied to evaluate the monthly mean climatology of AI from CALIOP over TP (see
 306 Table 1).

307 Table 1 Comparison between MODIS and CALIOP existing data products (√ represents the existing
 308 data products of the satellite, NAN indicates non-existent, × represents non-existent data product
 309 parameters that need further calculation in this study).

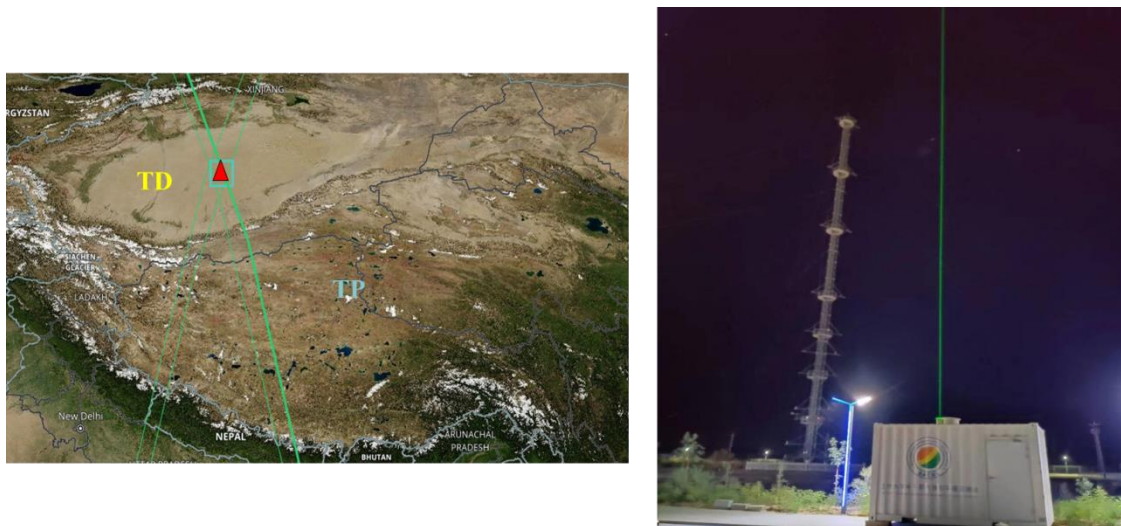
Detector/Satellite	Wavelength	Extinction Coefficient (EC)	Aerosol Optical Depth (AOD)	Angstrom Exponent (AE)	Aerosol Index (AI)
CALIOP/CALIPSO (active)	532nm&1064nm	√	√	×	×
MODIS/Aqua (passive)	550nm	NAN	√	√	×

310

311 2.5 Ground-based LIDAR data

312 Besides, we used the ground-based LIDAR (Light Detection and Ranging)
 313 (38.967 ° N, 83.65 ° E, 1099.3m) detection data from the hinterland of the Taklimakan
 314 Desert (TD) to verify the validity and accuracy of the low confidence aerosol removal
 315 method and the AI calculated by CALIOP detection data. Multi-band Raman
 316 polarization LIDAR (hereafter LIDAR) is mainly used for the detection of dust,
 317 aerosols, and cloud particles in the atmosphere, which detection belongs to “Belt and

318 Road” Lidar Network from Lanzhou University, China (<http://ciwes.lzu.edu.cn/>), has
 319 an advantage with calibrate or validate Satellite observation (see Figure 2). The primary
 320 technical specifications of LIDAR are mentioned in Table 2. For the performance of
 321 LIDAR and the data inversion of aerosol-related optical parameters, the authors advise
 322 the readers to refer to the research work of Zhang et al. (2022, 2023).



323
 324 Figure 2. CALIPSO satellite orbit passes through the central area of the Taklimakan Desert
 325 hinterland-left (the red triangle represents the observation coordinates of the ground-based LIDAR
 326 - right (38.967° N, 83.65° E, 1099.3m), TD - Taklimakan Desert, TP - Qinghai Tibet Plateau)
 327 (pictures from NASA’S Earth data (left) and photography(right)).

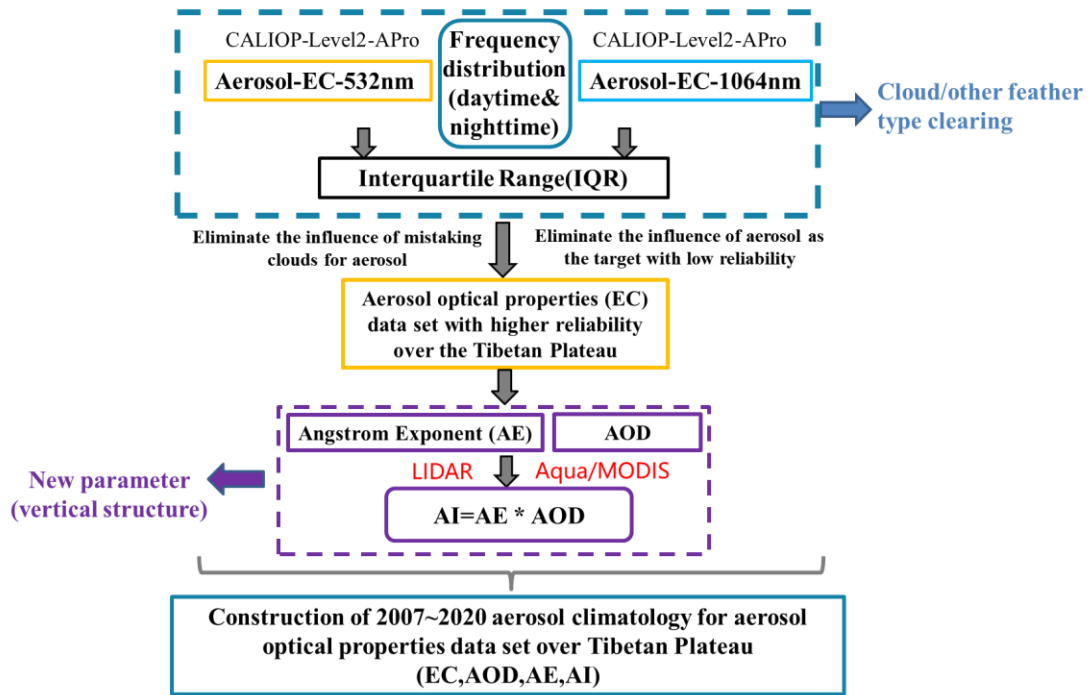
328 Table 2. Basic technical specifications of LIDAR from the hinterland of the Taklimakan Desert (TD).

Detection range	Spatial resolution	Laser wavelength	Laser energy	Pulse frequency
0~20km	7.5m	532nm/1064nm	100mJ	20Hz

329

330 In this study, based on the Level_2 aerosol profile data product (extinction
 331 coefficient, EC) for daytime and nighttime detected by CALIOP from 2007 to 2020,
 332 the low-reliability aerosol target (LRAT) is screened and eliminated. The aerosol
 333 characteristic data set with higher reliability over the TP is constructed, and the data set
 334 is verified and compared with MODIS and ground-based LIDAR to test its
 335 effectiveness and accuracy. Thus, the vertical structure of aerosol properties and its
 336 climatology with higher reliability over the TP can be obtained, providing adequate
 337 observation facts and a basis for the TP. All steps were implemented and processed as

338 follows shown in Figure 3.



339

340 Figure 3. Flow chart of the construction and calculation process of aerosol optical characteristics
 341 data set over the TP.

342 3 Results and discussion

343 3.1 Screening and elimination of Low-Reliability Aerosol Target (LRAT)

344 In this section, we screened and eliminated LRAT for tropospheric aerosol EC
 345 from the CALIOP over the TP, based on the statistical method (see Section 2.2). Figures
 346 4 and 5 show the monthly frequency distribution of EC detected by the CALIPSO-
 347 CALIOP at 532 nm and 1064 nm in the daytime during 2007-2020. While Figures 6
 348 and 7 are the same, but for the nighttime. It is observed that all Figures 4-7 demonstrated
 349 the non-normal distribution of aerosol EC for the daytime and nighttime. It is found
 350 that the upper outlier appeared to remove many enhanced aerosol measurements when
 351 more sand and dust events occurred in the surrounding areas and rose to the TP in spring
 352 and summer. In contrast, the extreme outlier was effectively identified in the frequency
 353 distribution. Therefore, the extreme outlier threshold is used to clear LRAT
 354 observations from the CALIOP data set which is necessary.

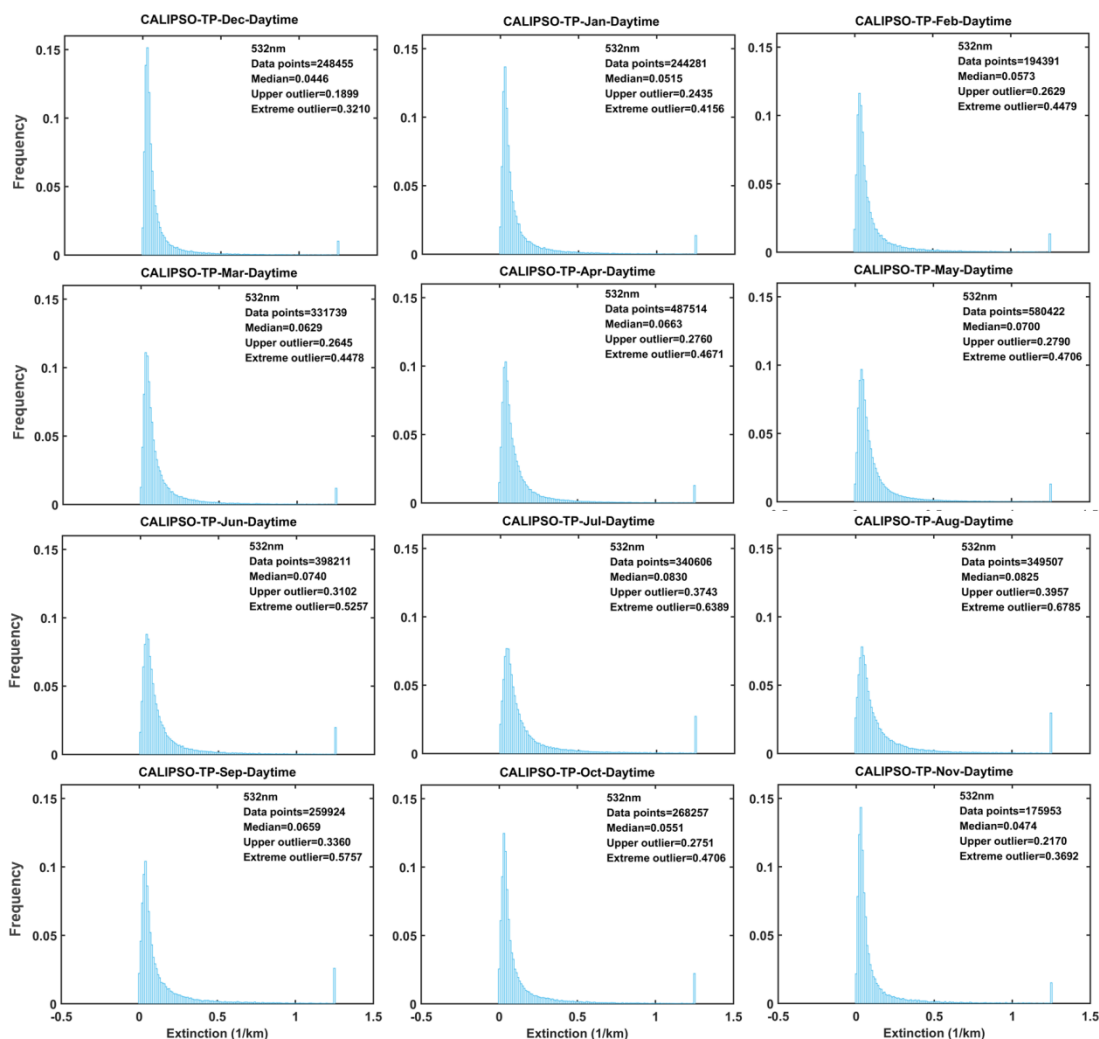
355 After the screening and elimination of LRAT, the monthly aerosol climatology

356 data and extreme outliers are compared for the years 2007-2020. We found that during
357 the daytime for 532 nm and 1064 nm, the aerosol EC over the TP is mainly concentrated
358 between 0 and 0.2. The extreme outliers in July and August are more significant than
359 those in other months, related to the rising motion of the TP as a heat source in summer
360 to trigger convection. This results in more ice clouds in the upper air, thus increasing
361 the probability of misclassification of the cirrus anvil as an aerosol (Carrió et al., 2007;
362 Kojima et al., 2004; Seifert et al., 2007). Also, the aerosol data points (samples) are the
363 largest in May and the smallest in November over TP. Obviously, the aerosol samples
364 are more in the spring and summer seasons than in autumn and winter. This is related
365 to the frequent sand and dust activities in the spring and summer seasons around the TP
366 (such as the Taklimakan Desert) and anthropogenic pollution (Y. Liu et al., 2019, as
367 mentioned earlier).

368 Similarly, during the nighttime for 532 nm and 1064 nm, the aerosol EC over the
369 TP is mainly concentrated between 0 and 0.1, and the extreme outliers in July and
370 August are more significant than those in other months. However, the aerosol EC
371 observed in the nighttime is smaller than the daytime data set. The primary
372 consideration is that the daytime solar noise is considerable and the signal-to-noise ratio
373 of LIDAR observation is low, which further increases the probability that the aerosol
374 EC presents a skewed distribution; It can be seen that the elimination of LRAT from
375 daytime data is more conducive to improving the accuracy of data. Meanwhile, the
376 aerosol data points are the largest in April and the smallest in December over the TP. It
377 can be seen that in April (spring), more aerosol samples were lifted and transported to
378 the TP. Numerous observations have shown elevated dust plumes lofted into the free
379 troposphere during spring, and air parcels between 4 km and 7 km mainly originate
380 from the TD (Huang et al.,2008; Sasano,1996; Liu et al.,2008; Zhou et al.,2002;
381 Matsuki et al., 2003). It is the same as the daytime with spring and summer being more
382 than autumn and winter, while there is one order of magnitude larger than the daytime
383 data. It is not difficult to see that the CALIOP is less sensitive during daytime than
384 nighttime due to signal-noise-ratio reduction by solar background illumination, which
385 leads to weakly scattering layers can be detected during nighttime while missed during

386 daytime (Huang et al., 2013; Liu et al.,2009).

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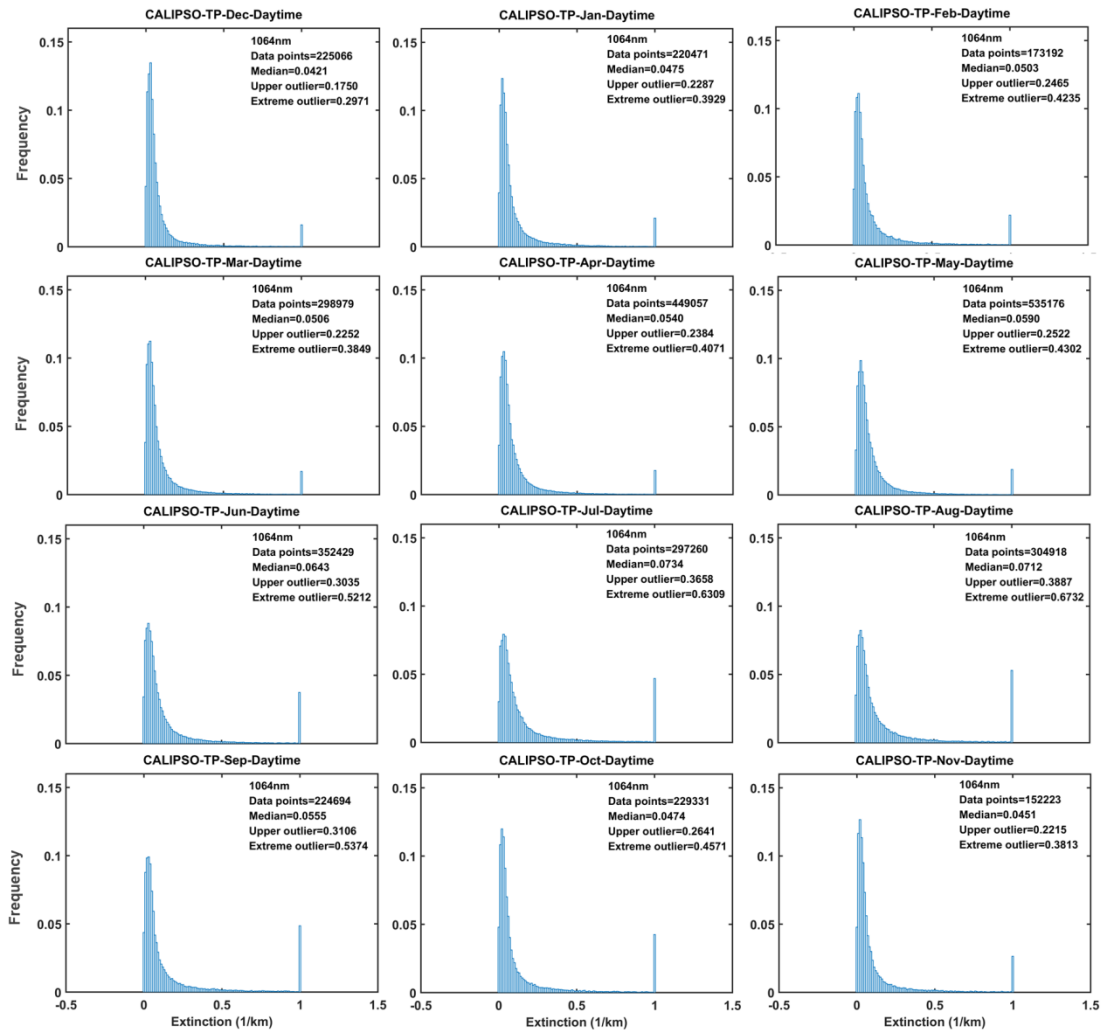
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389 Figure 4. Monthly frequency distribution of aerosol extinction coefficient at 532 nm over the Tibet
390 Plateau (TP) in the daytime during 2007-2020 from January to December (Panels in the first, second,
391 third, and fourth rows corresponds to Winter (December-February), Spring (March-May), Summer
392 (June-August), and Autumn (September-November). The frequency distribution is the number of
393 events normalized to the maximum value. The Upper outlier, extreme outlier and median are also
394 shown in all panels.

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399 Figure 5. The same as in Figure 4, but for 1064nm.

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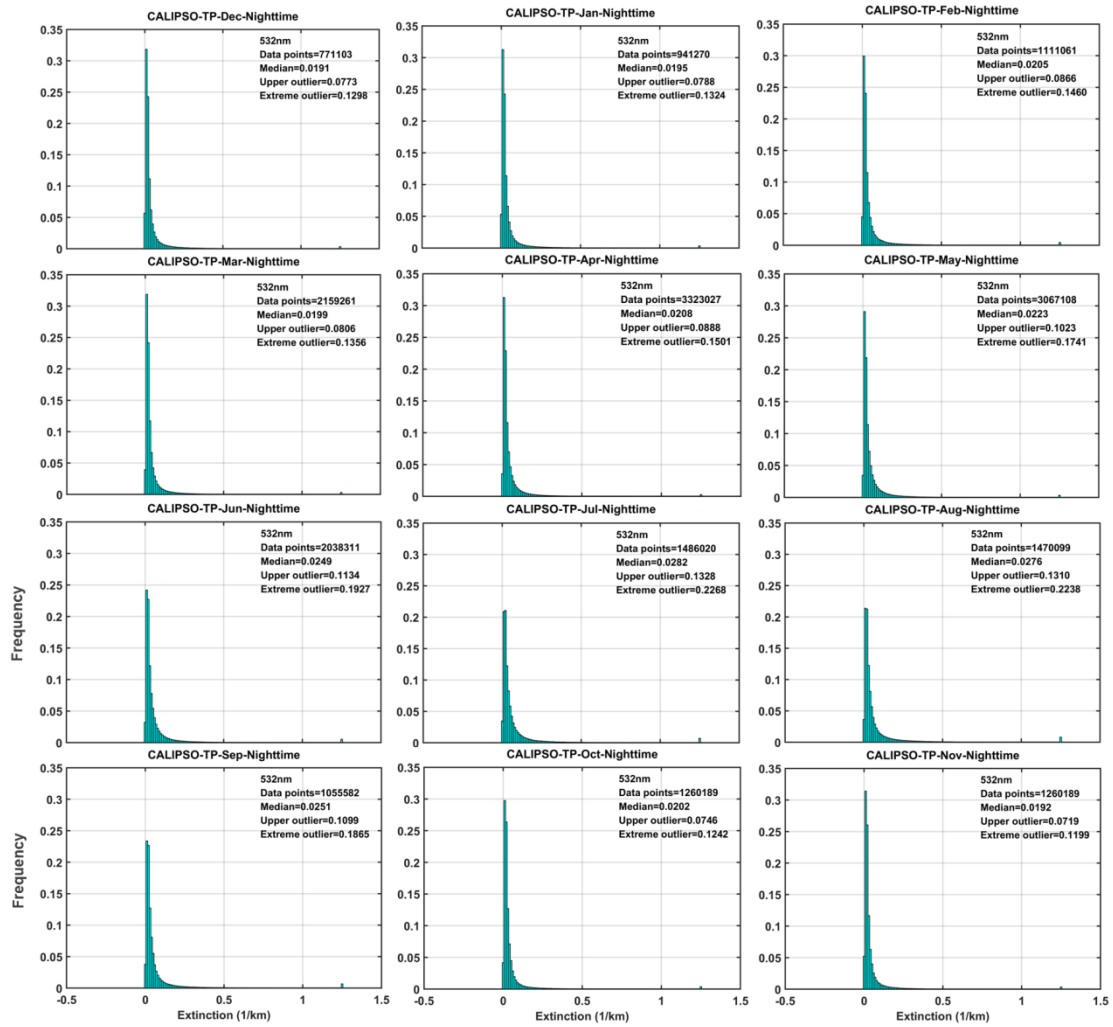
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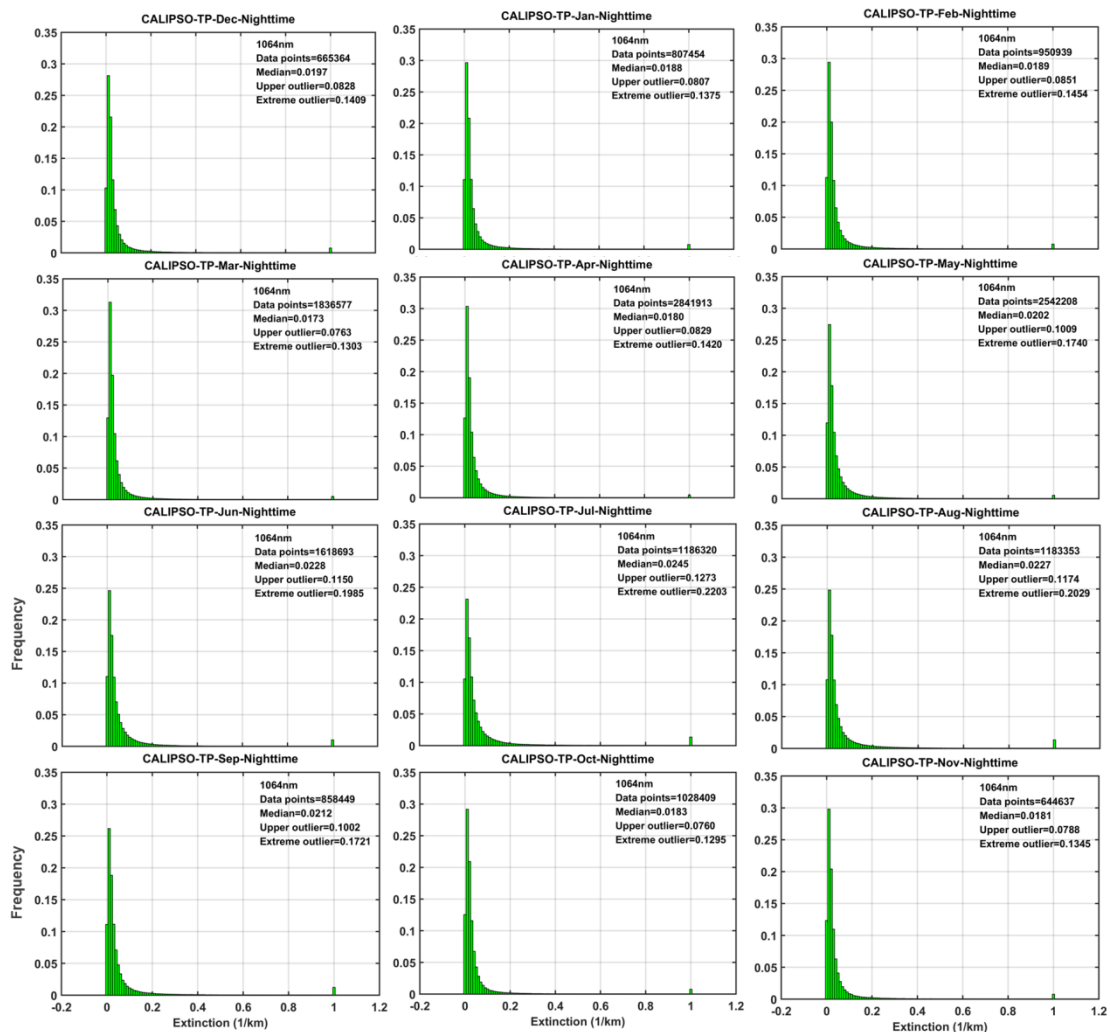
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409 Figure 6. Monthly frequency distribution of aerosol extinction coefficient at 532 nm over the Tibet
 410 Plateau (TP) in the nighttime during 2007-2020 from January to December (Panels in the first,
 411 second, third, and fourth rows corresponds to Winter (December-February), Spring (March-May),
 412 Summer (June-August), and Autumn (September-November). The frequency distribution is the
 413 number of events normalized to the maximum value. The Upper outlier, extreme outlier and median
 414 are also shown in all panels.

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Figure 7. The same as in Figure 6, but for 1064 nm.

419 3.2 Constructing vertical aerosol index (AI) for daytime and nighttime

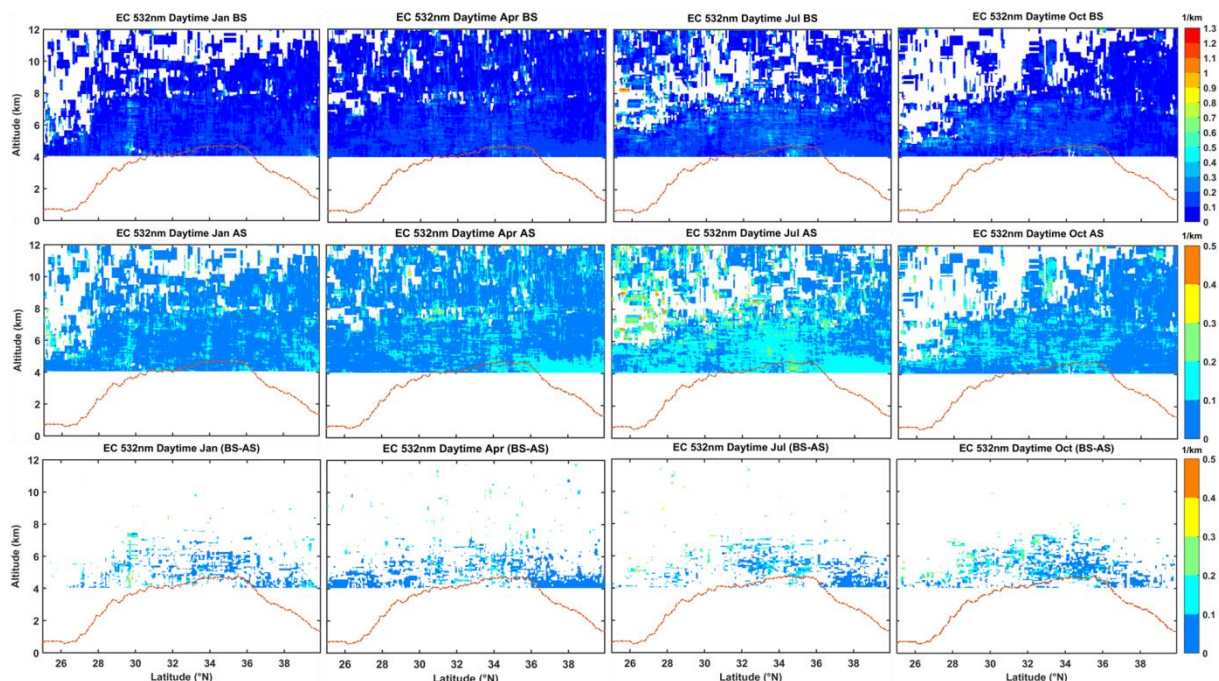
420 Figures 8 and 9 show daytime altitude-latitude plots of the monthly climatology
421 of aerosol EC at 532 nm and 1064 nm before and after the screen, respectively. The
422 monthly mean climatology of the pseudo-Ångström exponent (AE) and Aerosol Index
423 (AI) vertical structure is then computed as shown in Figure 10. We choose typical
424 months of January, April, July, and October to represent the respective seasons of winter,
425 spring, summer, and autumn. Figures 8 and 9 show that extreme outliers in the
426 troposphere over the TP have been eliminated, especially in the lower layer, where more
427 obvious LRAT have been identified and eliminated. In the upper layer (more than 7 km),
428 especially in April and July (i.e., spring and summer), weak cirrus signs may exist in
429 the original aerosol signals and be eliminated. Compared with other seasons, the aerosol
430 on the TP is widely and uniformly distributed in the troposphere in April, indicating

431 that in general, more aerosol loads are lifted over the TP in April. In Figure 10, we
432 compute values between 0 and -1 for much of the troposphere and occasionally are
433 between 0 and 2 in the middle troposphere (less than 8 km), which has similar results
434 or pattern in Kovilakam's study (Kovilakam et al., 2020). Note that the derived value
435 for pseudo-AE is without the physical meaning, and it is simply a means to combine
436 AOD to obtain AI of vertical structure. Using this climatology of pseudo-AE values,
437 we can effectively convert any month of AI data to 532 nm and 1064 nm because the
438 fixed AE is not necessarily applicable to retrieving aerosol extinction in all months.
439 Relevant research points out that the accuracy has been improved using the
440 corresponding AE of each month to correct the satellite data (Kovilakam et al., 2020).

441 Figure 10 also demonstrates the distribution characteristics of AI values at 532 nm
442 and 1064 nm in different seasons over the TP in the daytime. In all seasons, AI is mainly
443 distributed between -0.04 and 0.04. Still, the proportion between 0 and -0.02 is the
444 largest. Here, we have a broad understanding of traditional AI. AI is a way to measure
445 how backscattered ultraviolet (UV) radiation from an atmosphere containing aerosols
446 differs from that of a pure molecular atmosphere (Guan et al., 2010). AI is especially
447 sensitive to the presence of UV-absorbing aerosols such as smoke, mineral dust, and
448 volcanic ash. AI, positively suggests the existence of absorbent aerosols (dust, black
449 carbon, etc.); A small or negative AI suggests the presence of non-absorbable aerosols
450 or clouds) (Hu et al., 2020; Guan et al., 2010; Hammer et al., 2018). AI varies with
451 aerosol layer height, optical depth, and single scattering albedo (Torres et al., 1998; 2007;
452 Hsu et al., 2004; Jeong and Hsu, 2008). However, the significance of obtaining vertical
453 structure AI in our research content is different from that of traditional AI representation.
454 The AI obtained from our research work cannot effectively characterize the absorption
455 and non-absorption of its aerosols, as the results obtained are in the non-ultraviolet band
456 range. However, the aerosol concentration represented by the vertical structure AI is
457 not possessed by column AOD. Compared to the aerosol column concentration AOD
458 information, as AOD is an integral result of the entire layer height, it will to some extent
459 lose some of the true changes in the vertical height of aerosols. The significance of our
460 work is that the AI with higher reliability obtained here can more effectively obtain

461 aerosol concentration information at vertical height. In the four seasons, the distribution
 462 of aerosols in the north is broader than that in the south; In spring, the rise height of
 463 aerosol is higher and the vertical distribution range is more comprehensive. The
 464 elevation in summer is lower than that in the other three seasons, but the aerosol species
 465 are more abundant because there are many ranges of AE values.

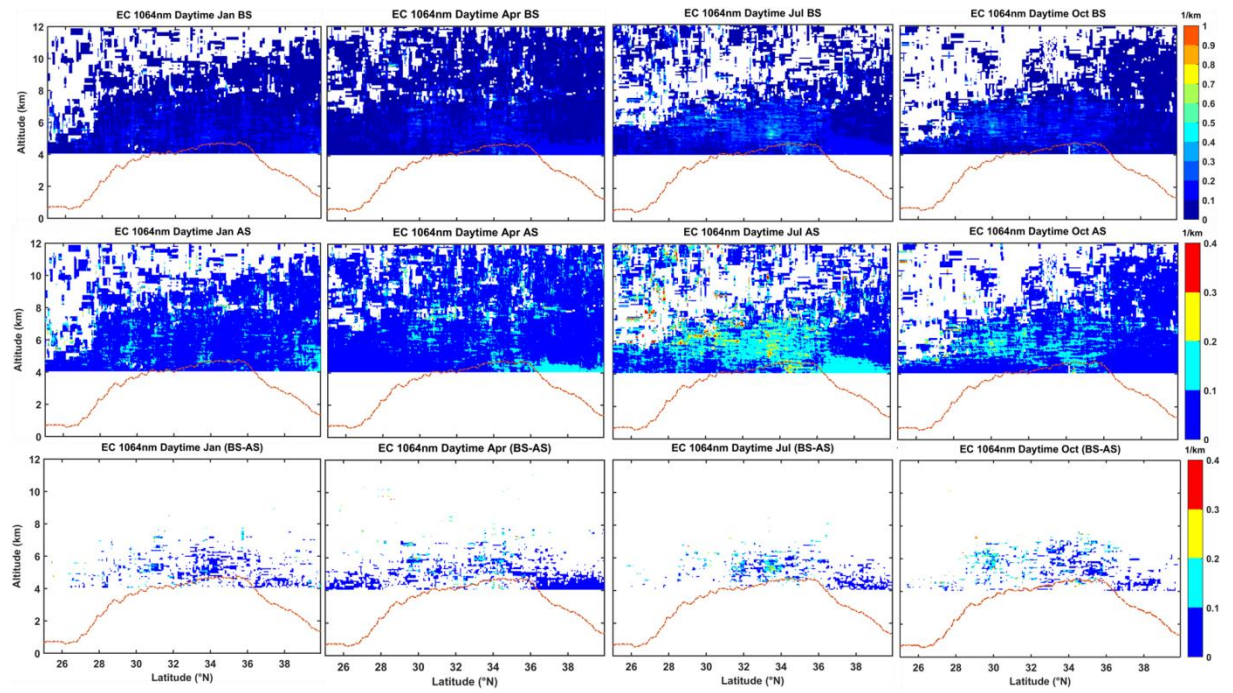
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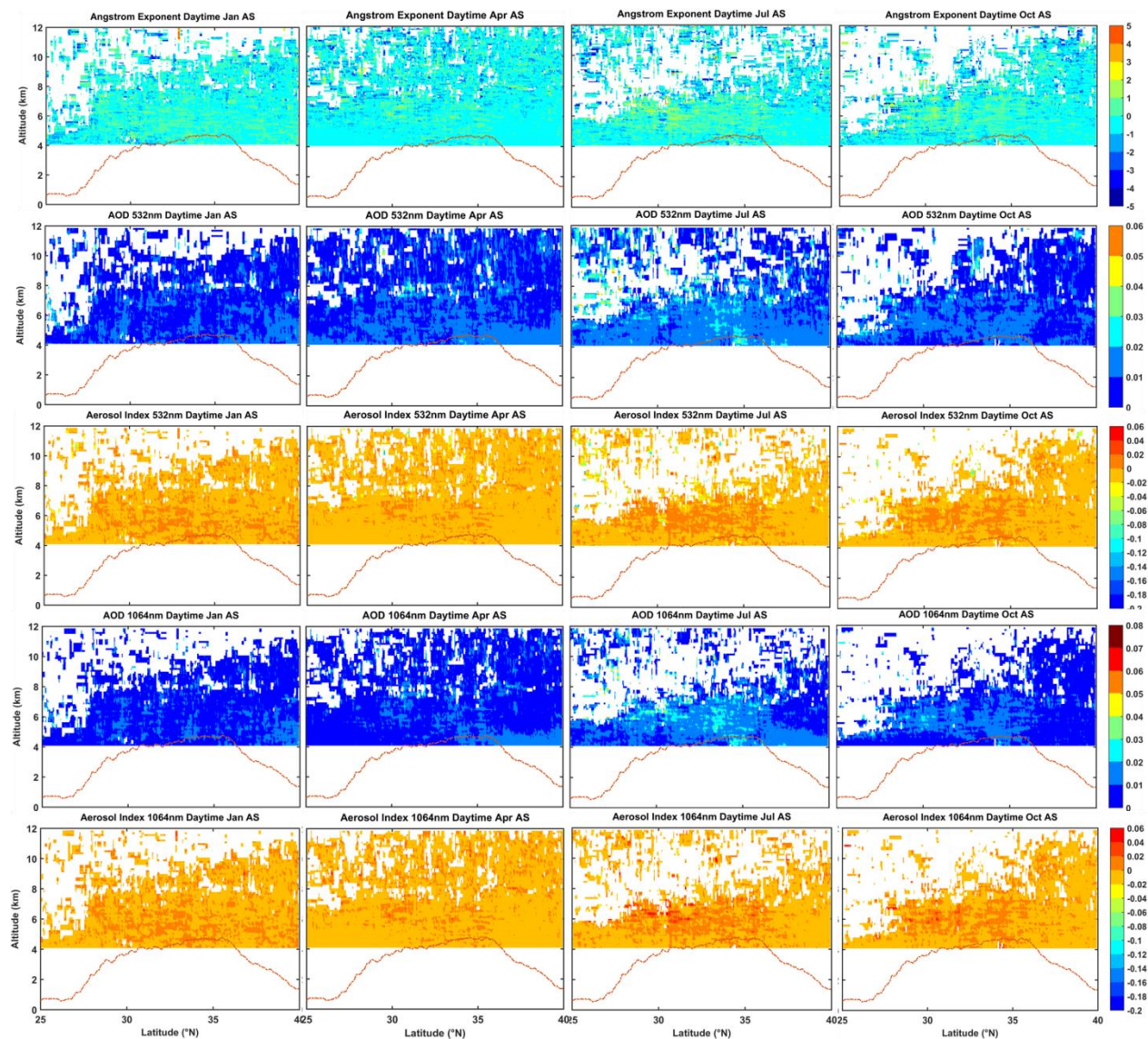
468 Figure 8. The monthly average comparison and difference of aerosol extinction coefficient at 532
 469 nm before and after elimination of low-reliability aerosol target (LRAT) over Tibet Plateau (TP) for
 470 daytime during 2007-2020. The reddish-brown dotted line denotes the surface. (BS: Before
 471 Screened, first line; AS: After Screened, second line; (BS-AS) means Before Screened minus After
 472 Screened, representing spatial lattice with screening and elimination, third line)

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Figure 9. The same as in Figure 8, but for aerosol EC at 1064 nm for the daytime.



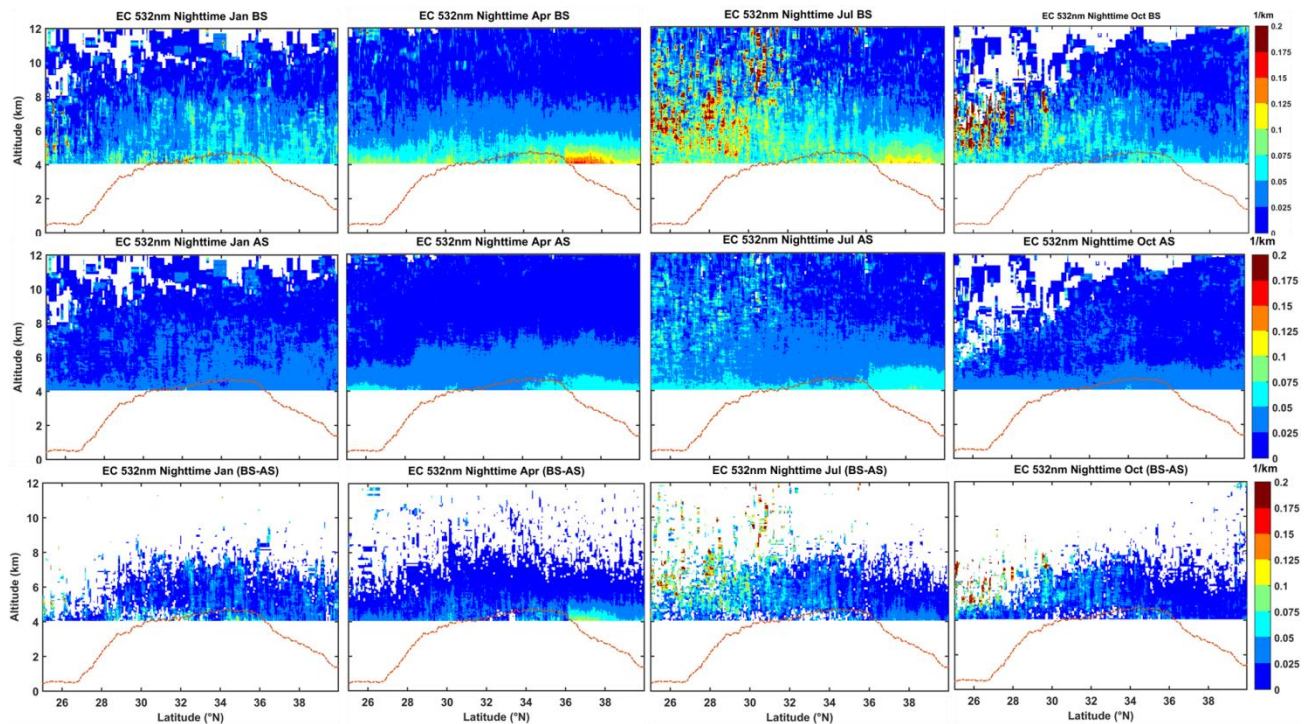
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478 Figure 10. The monthly average construction of vertical structure of Angstrom Exponent (AE) and
 479 Aerosol Index (AI) at 532 nm and 1064 nm over Tibet Plateau (TP) for daytime during 2007-2020.
 480

481 Similarly, Figure 11 includes the nighttime difference plots of aerosol EC at 532
 482 nm between the before and after-screened for different months during 2007-2020. The
 483 difference before and after screening is immense, especially at the height of more than
 484 5 km in the southern region of the TP in July and October. It is observed extreme outliers
 485 in the troposphere over the TP that have been recognized and eliminated. The EC
 486 detected at 1064 nm shows a similar distribution characteristic as found at 532 nm, and
 487 also includes the different attributes before and after the screened and elimination of
 488 LRAT (see Figure 12). In all seasons, AI is mainly distributed between -0.02 and 0.02.
 489 Still, the proportion between 0 and -0.02 is the largest in April and July between 4 and

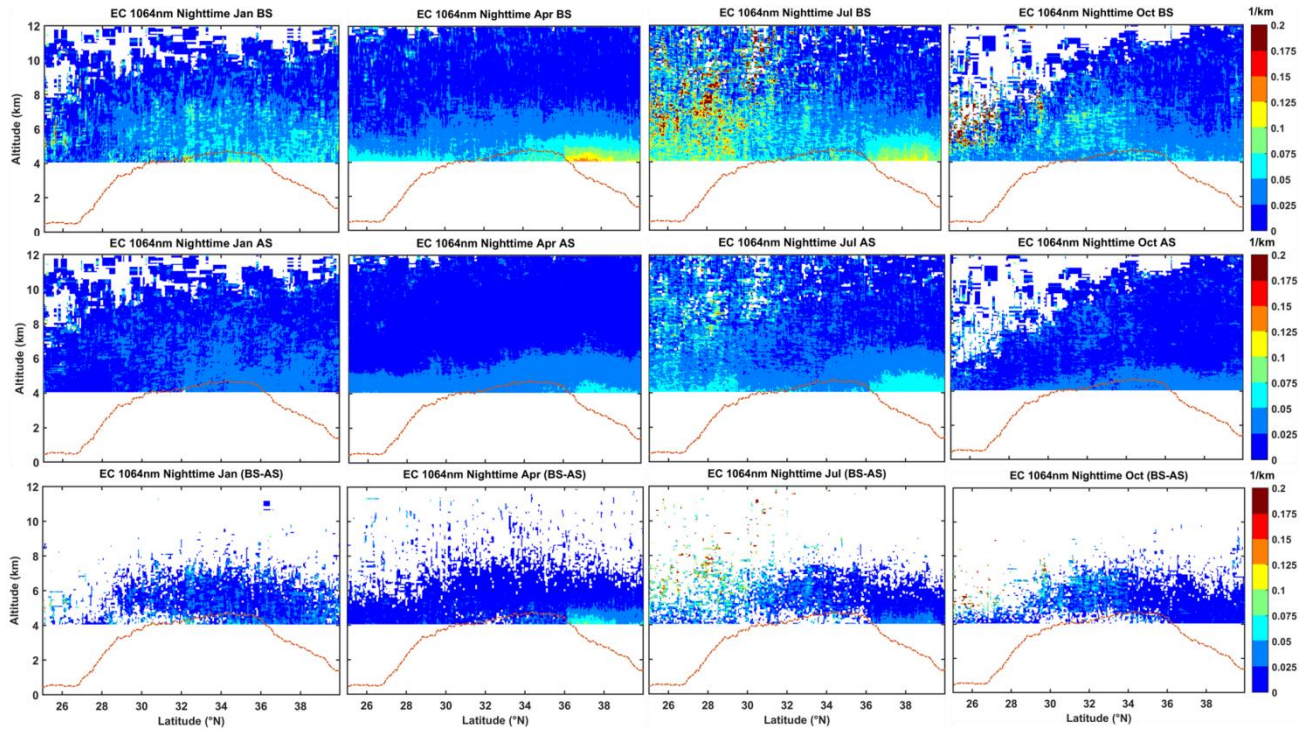
490 8km. Meanwhile, AI above 8 km is mainly concentrated at 0-0.02, indicating modal
 491 characteristics of vertical structure distribution of aerosol concentration and diversity
 492 of aerosol types. It is worth noting that there is a large amount of aerosol over the TP in
 493 January (winter), related to anthropogenic emissions of pollutants in winter and fossil
 494 fuel combustion (such as black carbon and smoke). It is found that the pattern of AI is
 495 more or less consistent with objective facts and phenomena.

496 Interestingly, compared with the daytime, the aerosol detected by CALIOP at night
 497 can rise to a higher height and has a broader distribution range. It can be seen that
 498 because the signal-to-noise ratio at night is higher than that in the daytime. The CALIOP
 499 can detect smaller particles, which is also why the quality and effectiveness of CALIOP
 500 night detection data are better than that in the day. After a series of correction algorithms
 501 and calculating relevant parameters, we constructed the tropospheric AI climatology
 502 dataset over the TP for 2007-2020.



503

504 Figure 11. The same as in Figure 8, but for nighttime at 532 nm.



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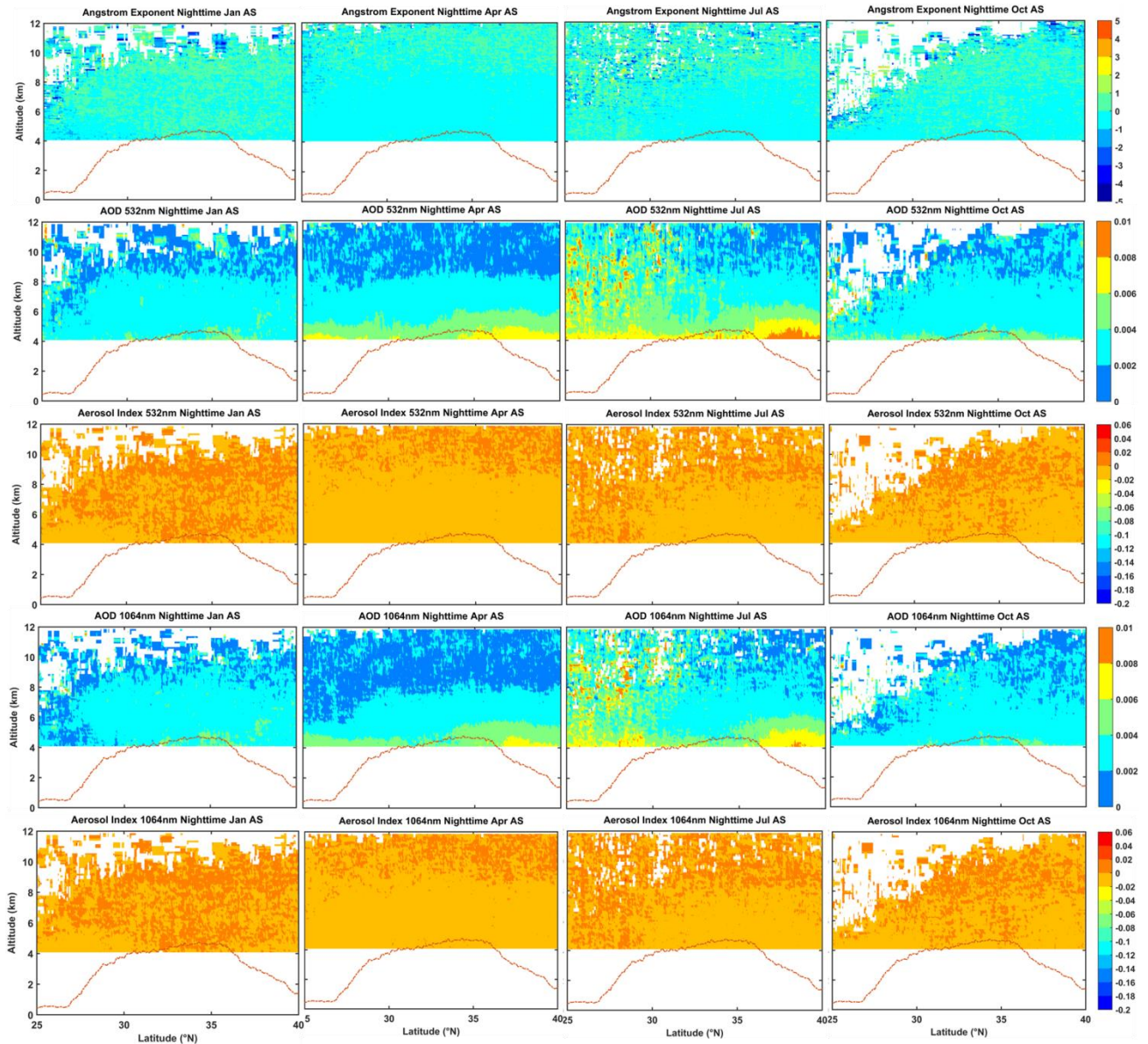
506 Figure 12. The same as in Figure 11, but for nighttime at 1064 nm.

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512 Figure 13. The same as in Figure 10, but for nighttime.

513 **3.3 Validation of the aerosol index (AI) dataset**

514 *3.3.1 Comparisons with satellite Aqua-MODIS AI products*

515 The multiyear monthly average spatial distributions of the AE and AOD from
 516 MODIS have been shown in Figure 14, and AI was also calculated (Figure 14). The
 517 distribution of AE values over the TP in all seasons shows a decreasing trend from
 518 southeast to northwest, indicating that the particles in the upper air of the southeast
 519 region are dominated by small particles. In contrast, the particles in the upper air of the
 520 northwest region are dominated by large particles, especially in April of spring, which
 521 is related to the uplift and transmission of dust aerosol from the Taklimakan Desert to

522 the northern part of the TP in spring. Also, we can see that the AE value of Taklimakan
523 Desert in the north of the TP in April and July in spring and summer is smaller (as the
524 source of the sand area, mainly dust aerosol), which is smaller than in January and
525 October in autumn and winter. AOD and AE showed opposite seasonal variation
526 distribution patterns. According to the spatial distribution pattern of AI calculated from
527 MODIS detection results (AE and AOD), it can be seen that the AI value over the TP is
528 mainly between 0 and 0.4.

529 Figure 14 also compares the normalized frequency distribution of AI over the TP
530 exhibiting a significant difference in all seasons from MODIS and CALIOP between
531 before and after screening. It is evident that, in general, compared with the data results
532 without any processing, after the elimination of the low-reliability aerosol target, the
533 average AI value of CALIOP is closer to the result of MODIS, and the normalized
534 frequency distribution pattern is closer to the same. Interestingly, the AI mean value and
535 normalized frequency distribution pattern of CALIOP in April (spring) after removing
536 the LRAT are more agreement and matched with the results of MODIS; In addition, the
537 mean AI and normalized frequency distribution pattern of CALIOP in July (summer),
538 and October (autumn) is more consistent with the MODIS results, and both have
539 apparent improvement. The difference between the mean AI from CALIOP in January
540 (winter) and the result of MODIS is relatively more extensive, but the normalized
541 frequency distribution pattern is more consistent. This may be related to the type and
542 chemical composition of aerosol particles that rise over the TP in different seasons and
543 the atmospheric climate conditions unique to the topography of the TP. In brief, the
544 accuracy of aerosol parameters AI calculated after obtaining aerosol EC with higher
545 reliability has been dramatically improved (more or less), so even though not
546 completely accurate, this strategy is expected to reduce the inaccuracy of the computed
547 AI at least.

548 Meanwhile, it is proved that using extreme outliers as a limit to get more reliable
549 aerosol detection information is effective and reliable. It is important to note that the
550 550 nm wavelength range of MODIS belongs to the visible light range, and the data
551 products provided at the satellite transit time are the daytime detection results.

552 Therefore, here we compare and verify the daytime detection results of CALIOP (532
553 nm) with MODIS results, which are consistent in time, close in detection wavelength,
554 comparable, and representative. In addition, the quality of CALIOP daytime detection
555 data is inferior to that at night, and the reliability and accuracy of the optimized data
556 are more effectively verified by comparison with the results of MODIS. Passive
557 techniques (i.e., MODIS) have the advantage of providing a 2-D distribution of AI over
558 a wide swath, during active strategies (i.e., CALIOP) with the vertical structure of AI.
559 They are complementary and have their advantages.

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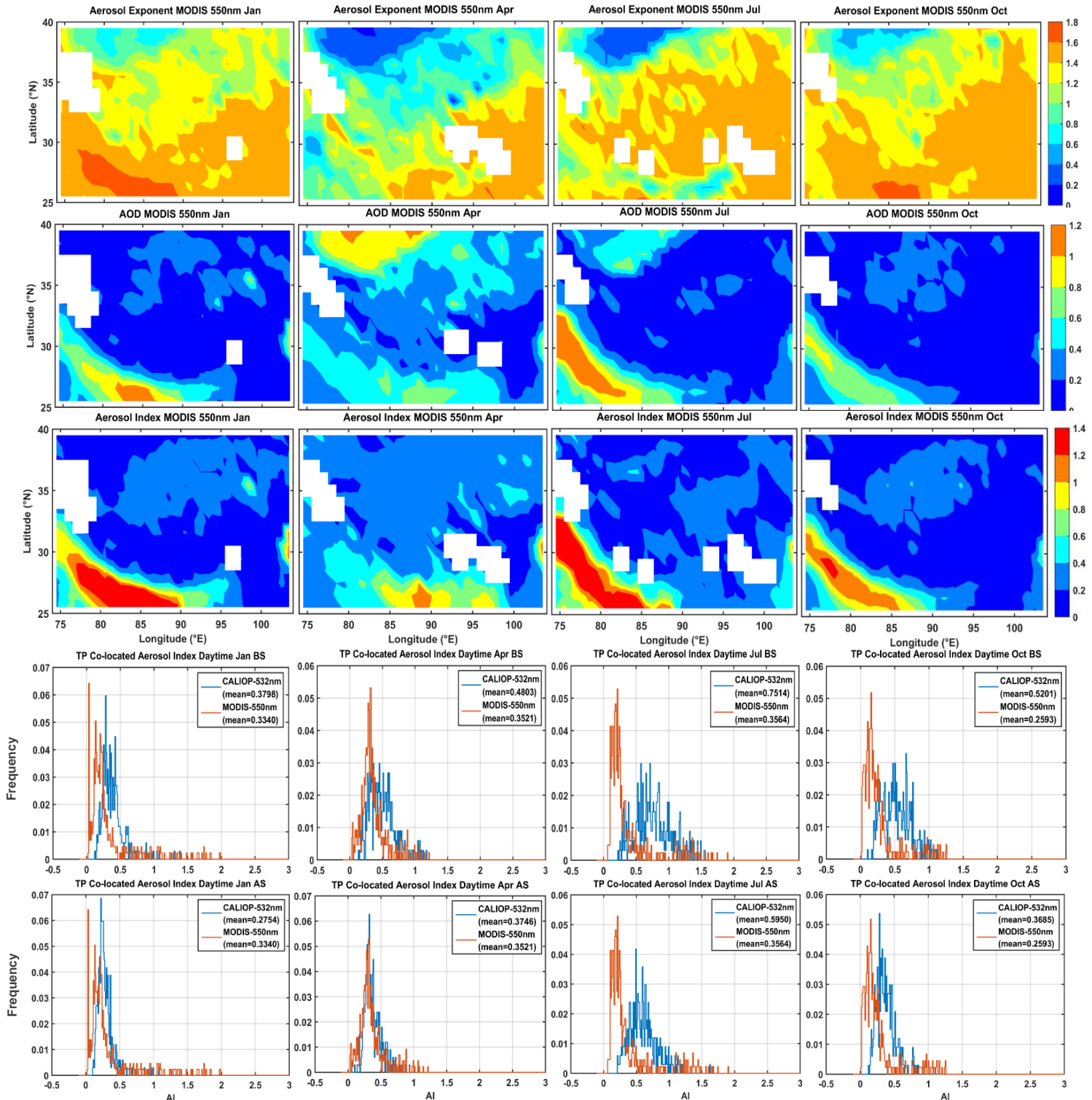
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579 Figure 14. Frequency test of AI calculated by MODIS-based aerosol AE and AOD over the Qinghai
580 Tibet Plateau and AI calculated by CALIPSO-based aerosol AE and AOD with high reliability for
581 daytime (BS: Before Screened, the fourth line; AS: After Screened, the fifth line).

582 3.3.2 Performance evaluation based on in-situ Lidar observations

583 To further verify the performance of the AI product derived from CALIOP over

584 the TP, we chose the ground-based LIDAR observation results in the centre of the
585 Taklimakan Desert in the north of the TP to evaluate the effectiveness and accuracy of
586 the AI vertical structure of CALIOP.

587 To match the transit time of ground-based LIDAR observation and satellite
588 CALIOP observation, we extracted the EC (532 nm and 1064 nm) of ground-based
589 LIDAR during the daytime and nighttime to match the CALIOP adjacent observation
590 period, as shown in Figure 15 (observation case in TD on July 11, 2021, daytime: 03:00-
591 05:00, night: 14:00-16:00, China Beijing time, UTC+8). Considering the daytime
592 detection results of CALIOP for comparison and verification with MODIS in the above,
593 to further strengthen the inspection of CALIOP optimization results, we still choose the
594 daytime results of ground-based LIDAR detection for comparison and verification.
595 From Figure 15, it can also be seen that there are clouds or other LRAT in the daytime
596 high altitude in the ground-based LIDAR detection signal. This will be more beneficial
597 for us to check the validity and reliability of the results of the elimination of LRAT and
598 the calculated AI value.

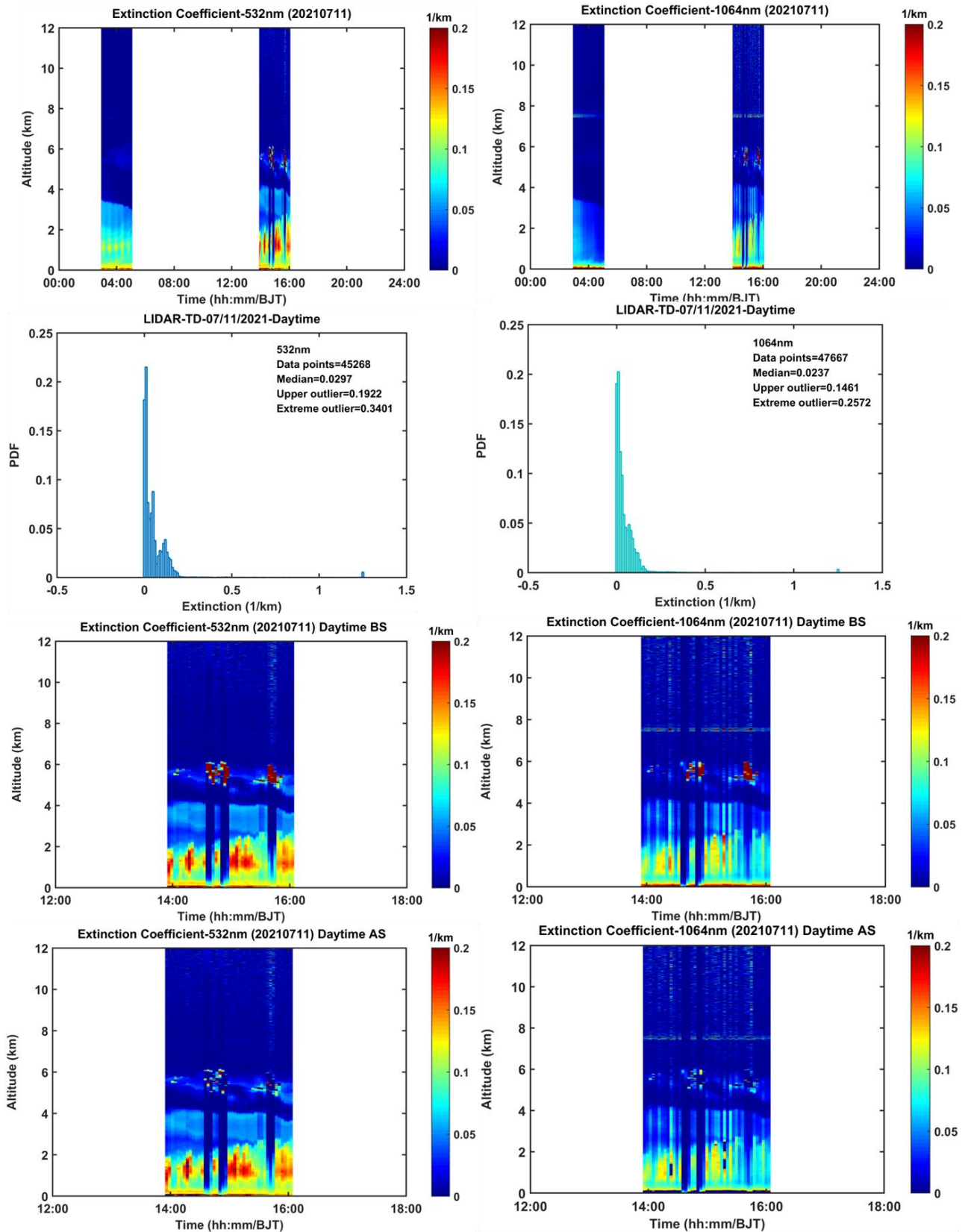
599 Similarly, for ground-based LIDAR detection, we first reverse EC and use the IQR
600 method (see sec.2.2) to obtain extreme outliers and identify and eliminate the LRAT
601 (Figure 15). We can see that the LRAT (such as clouds and surface clutter etc.) are
602 effectively eliminated after the data optimization of 532 nm and 1064 nm detection
603 results EC. It is once again proved that it is effective and reliable to use extreme outliers
604 as a limit to obtain more reliable aerosol detection information.

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609 Figure 15. Elimination of low-reliability aerosol target signals detected by ground-based LIDAR in
 610 the hinterland of Taklimakan Desert.

611

612 It needs to be pointed out that the case of ground-based LIDAR detection on July

613 11, 2021, is quite typical, but there is a significant deviation in satellite transit, and this
614 process cannot be well captured. To maximize and better match this process, we take
615 the ground-based LIDAR observation in the hinterland of the Taklimakan Desert as the
616 centre (38.967 ° N, 83.65 ° E, 1099.3m), select 38.5-39.5 ° N and 83-84 ° E range,
617 extract the ECs observed by CALIOP transit in this range during the daytime from 2007
618 to July 2020, and eliminate the LRAT. After averaging the optimized data, further,
619 calculate the AE value (as shown in Figure 16). Figure 16 depicts the detection results
620 of ground-based LIDAR and CALIOP optimal crossing points and the comparison of
621 calculated AI values. The AE values detected by ground-based LIDAR and CALIOP
622 are mainly distributed between - 1 and 1, and the proportion between - 1 and 0 is the
623 largest. The aerosol can be raised to the height of 6 km, and the higher concentration of
624 aerosol is mainly concentrated below 2 km from the AOD vertical layer, showing a
625 decreasing trend with the increase of height; AI values are primarily distributed between
626 -0.02 and 0.02, and the average value and standard deviation trend of AI change with
627 height are also basically consistent. Generally, all those facts demonstrate the agreement
628 of the AI dataset with the CALIOP and ground-based LIDAR. Besides, all the evidence
629 shows that after removing the LRAT, the optimized data can obtain aerosol
630 characteristics with higher reliability.

631 Based on the monthly climatology AI product, we explored the average vertical
632 structure characteristics of AI over TP during 2007-2020 (as shown in Figure 17). AI
633 values in the daytime and at night over the TP mainly fluctuate around 0, and the
634 standard deviation increases with the increase in altitude. The trend of AI changes with
635 altitude is relatively consistent, and the standard deviation below 6 km is slight,
636 indicating that the dispersion of aerosol particles is small. However, the fluctuation in
637 the daytime is greater than that at night (the data quality at night is better than that in
638 the daytime). In general, the detection results of 532 nm and 1064 nm can achieve
639 complementary observation.

640 In general, the quality and robustness of the aerosol parameter product have
641 improved for EC and AI with some issues that persist in the data set which we mention
642 below:

643 As we do not have ground-based LIDAR detection data on the TP, we have
644 selected ground-based LIDAR data from the centre of the Taklamakan Desert for
645 verification and evaluation. The objectives of the verification and evaluation include
646 the removal of low-reliability aerosol targets and the validation of the effectiveness and
647 rationality of the constructed aerosol AI parameter results. Due to the limited detection
648 data of ground-based LIDAR, we chose a typical aerosol process detected by ground-
649 based LIDAR (July 11, 2021), but it did not match well with the transit time and
650 scanning area of the CALIPSO satellite, resulting in significant errors. Therefore, we
651 choose to compare and verify the results of the average values of July in all years within
652 the central area of the transit Taklamakan Desert detected by CALIPSO (see the green
653 box on the left in Figure 2). Minimize spatial errors caused by significant differences
654 in spatial positions. This kind of error is inevitable in our data processing process and
655 will affect the consistency of detection results to some extent.

656 Besides, although the monthly AI correction significantly improves the
657 comparison between CALIPSO and MODIS, we note somewhat a larger deviation may
658 occur in winter, and the effect after correction in summer is the best and significant,
659 which may be related to the increased probability of mistaking clouds as aerosol
660 particles due to more convective activities in summer. This helps us to refine our
661 research on summer aerosols over the TP.

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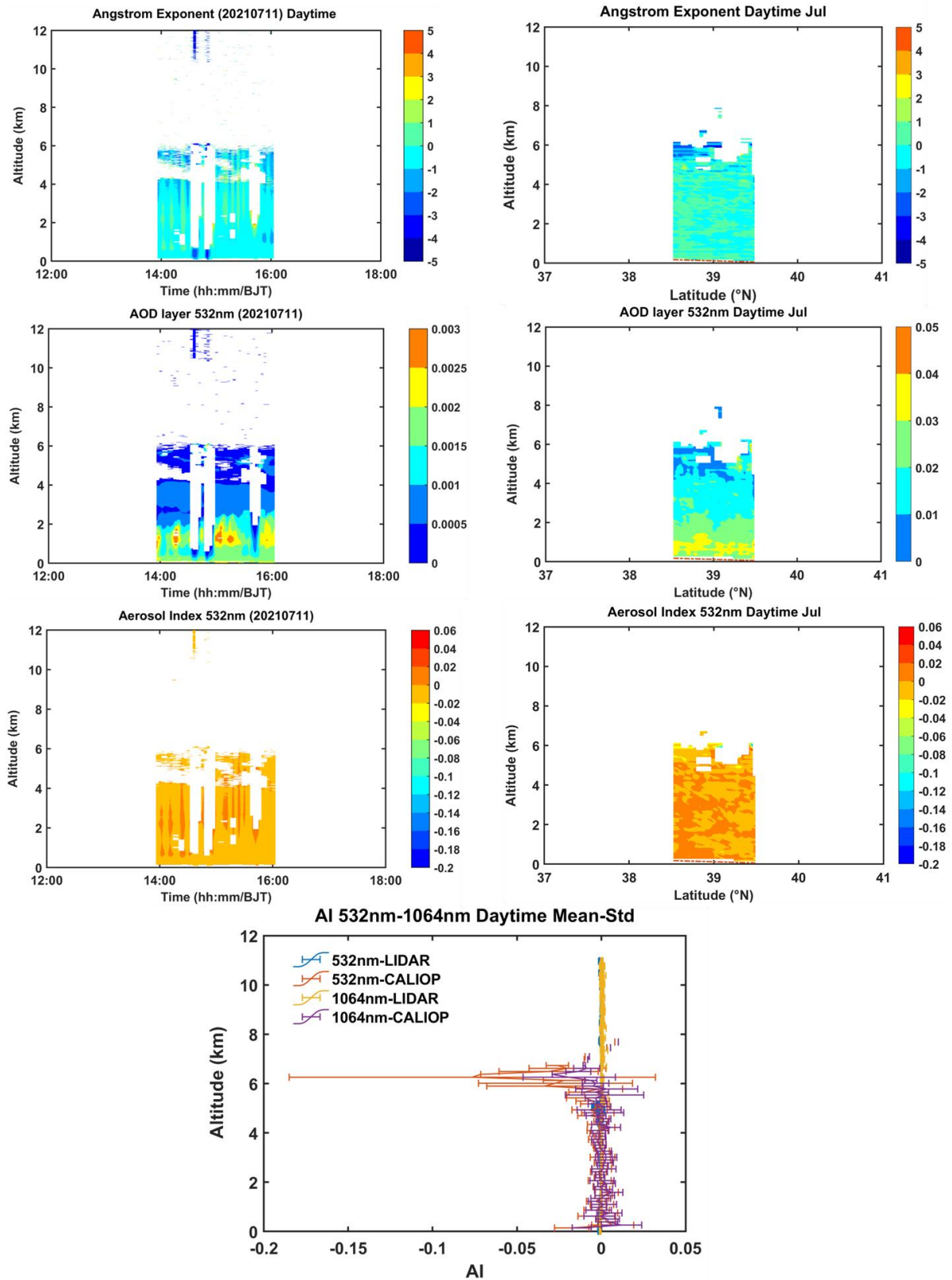
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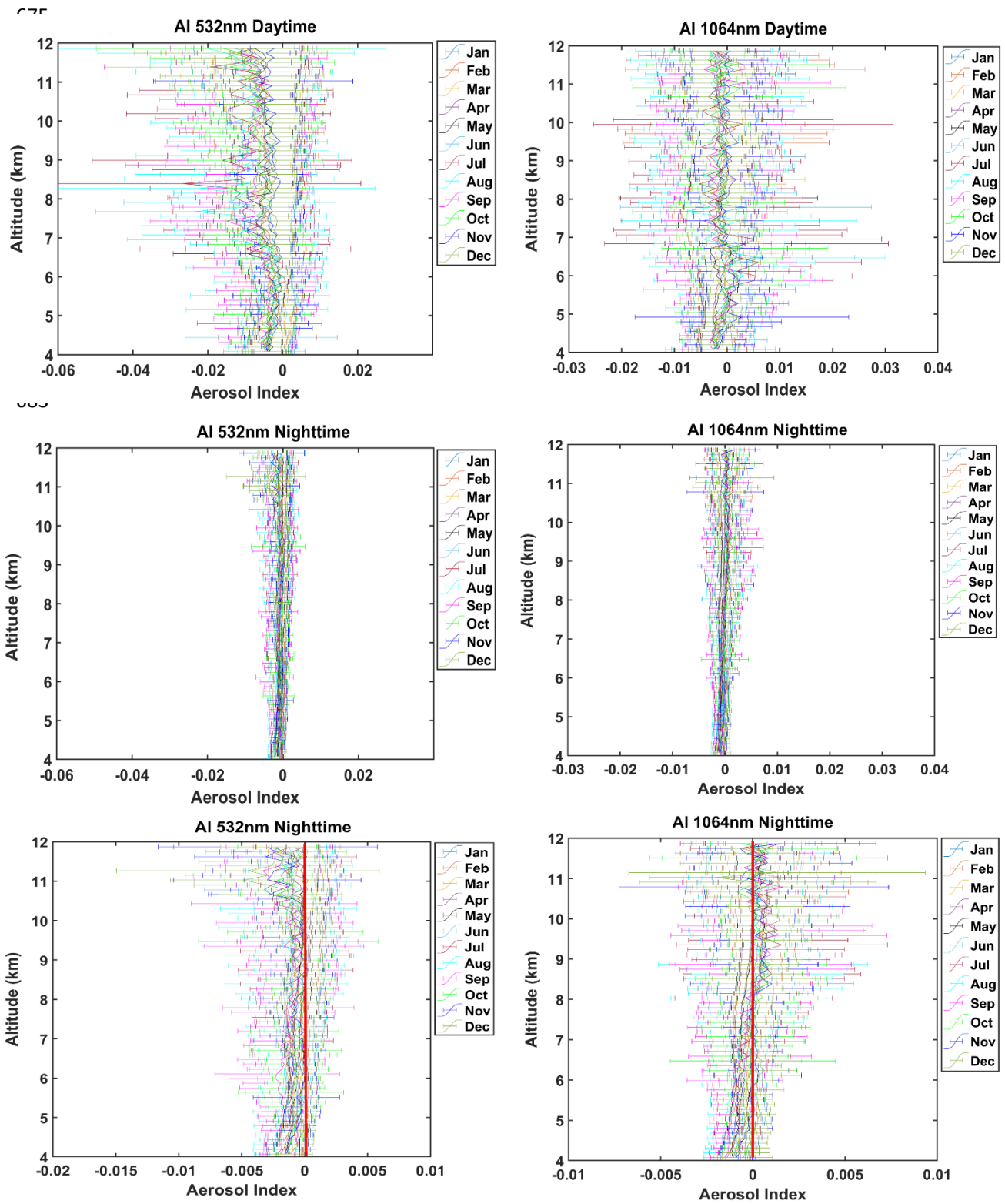
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672 Figure 16. Comparative verification of AI of CALIPSO and ground-based LIDAR remote sensing
 673 over the Taklimakan Desert region.

674



700 Figure 17. Monthly mean changes of vertical structure characteristics of AI (mean & standard
 701 deviation) over TP during 2007-2020.

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705 **4 Data availability**

706 Data described in this work are available at
707 <https://data.tpdc.ac.cn/en/disallow/03fa38bc-25bd-46c5-b8ce-11b457f7d7fd>
708 DOI:10.11888/Atmos.tpdc.300614. (Honglin Pan et al., 2023)

709

710 **5 Summary and outlook**

711 This present study is the first to report long-term, advanced-performance, high-
712 resolution, continuous and high-quality, monthly climatology aerosol AI vertical
713 structure from the CALIOP observation over the TP used to better understand aerosol
714 radiation forcing under the background of accelerated climate change. Using the
715 relationship developed when EC measurements are available, we screened the entire
716 EC record. We assembled a climatology of high-altitude aerosol characteristics for
717 daytime and nighttime from 2007 to 2020. In addition to providing a monthly
718 climatology AI data set for MODIS and ground-based LIDAR validation, our data set
719 also reveals the patterns and numbers of high-altitude vertical structure characteristics
720 of the aerosol troposphere over the TP.

721 To produce accurate and higher reliability of AI values, we applied several
722 correction procedures and rigorously checked for data quality constraints during the
723 long observation period spanning almost 14 years (2007-2020). Nevertheless, some
724 uncertainties remain mainly due to technical constraints, as well as limited
725 documentation of the measurements. Even though not completely accurate, this strategy
726 is expected to at least reduce the inaccuracy of the computed characteristic value of
727 aerosol optical parameters. Following this initial work, we obtained vertical AI value
728 with higher reliability. This provides information about the vertical structures of aerosol
729 that could be used in climate models. The collection of more reliable and robust research
730 data sets of aerosol characteristics in these extreme environments is the key basis for
731 promoting comprehensive research on the energy balance of ground-atmosphere
732 radiation over the Tibetan Plateau and even the global region. We expect that this data
733 set will help some current and future research to simulate the climate change of the
734 monthly climatology. It will also help to update future data sets and study the interaction

735 of aerosol-cloud-precipitation, thus providing sufficient observation facts and basis.

736 Finally, it should be pointed out that the AI obtained in the ultraviolet channel can
737 currently characterize both absorption and non-absorption aerosols. The AI obtained
738 from our research work cannot effectively characterize the absorption and non-
739 absorption of its aerosols, as the results we obtained are in the non-ultraviolet band
740 range, which is also an area that we need to further explore in the future. However, the
741 aerosol concentration represented by the vertical structure AI we obtained is not
742 possessed by column AOD. The significance of our work is that the AI with higher
743 reliability obtained here can more effectively obtain aerosol concentration information
744 and also presents a diversity of aerosol types at the vertical height over TP. This is the
745 main highlight of our research work. The reason why we use AI to test the results of
746 MODIS and ground LIDAR is to verify the effectiveness and reliability of AI.
747 Fortunately, the test results are very consistent and reasonable. Therefore, the AI of
748 physical meaning here can effectively characterize aerosol concentration information
749 at vertical heights.

750 **Author contributions.** HP led the reprocessing of the CALIOP, LIDAR, and
751 MODIS measurements, data analysis and the preparation of the figures, with JH and JL
752 both contributing to the design of the paper and progression of figures and text of the
753 article. ZH, MW and TZ made the original LIDAR measurements. ZH, AM and WH
754 provided the dataset and advice on the re-processing of the LIDAR and CALIOP. KRK
755 and FY contributed to either advising/co-ordinating the data recovery. All co-authors
756 performed writing sections of the paper, and/or reviewing drafts of the paper.

757

758 **Competing interests.** The authors declare that they have no conflict of interest.

759

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762 (<https://ladsweb.modaps.eosdis.nasa.gov/>) instrument scientific teams at NASA for the
763 provision of satellite data, and “Belt and Road” Lidar Network from Lanzhou University,
764 China (<http://ciwes.lzu.edu.cn/>), which are available online and formed the central

765 database in the present work.

766

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776

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