1 An integrated and homogenized global surface solar

2 radiation dataset and its reconstruction based on a

3 convolutional neural network approach

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Abstract

16 Surface solar radiation (SSR) is an essential factor in the flow of surface energy, enabling accurate 17 capturing of long-term climate change and understanding the energy balance of Earth's atmosphere 18 system. However, the long-term trend estimation of SSR is subjected to significant uncertainties due to 19 the temporal inhomogeneity and the uneven spatial distribution of the *in-situ* observations. This paper 20 develops an observational integrated and homogenized global-terrestrial (except for Antarctica)) 21 stational SSR dataset (SSRIH_{station}) by integrating all available SSR observations, including the existing 22 homogenized SSR results. The series is then interpolated in order to obtain a $5^{\circ} \times 5^{\circ}$ resolution gridded 23 dataset (SSRIH_{grid}). On this basis, we further reconstruct a long-term (1955-2018) global land (except for 24 Antarctica) SSR anomalies dataset with a 5°×2.5° resolution (SSRIH_{20CR}) by training improved partial 25 convolutional neural network deep learning methods based on the reanalysis 20CRv3. Based on this, we 26 analysed the global land (except for Antarctica) /regional scale SSR trends and spatiotemporal variations: 27 the reconstruction results reflect the distribution of SSR anomalies and have high reliability in filling and 28 reconstructing the missing values. At the global land (except for Antarctica) scale, the decreasing trend 29 of the SSRIH_{20CR} (-1.276±0.205 W/m² per decade) is slightly smaller than the trend of the SSRIH_{erid} (-30 1.776±0.230 W/m² per decade) from 1955 to 1991. The trend of SSRIH_{20CR} (0.697±0.359 W/m² per 31 decade) from 1991 to 2018 is also marginally lower than that of the SSRIH_{grid} (0.851 ± 0.410 W/m² per 32 decade). At the regional scale, the difference between the SSRIH_{20CR} and SSRIH_{grid} is more significant 33 in years and areas with insufficient coverage. Asia, Africa, Europe and North America cause the global 34 dimming of the SSRIH_{20CR}, while Europe and North America drive the global brightening of the 35 SSRIH_{20CR}. Spatial sampling inadequacies have largely contributed to a bias in the long-term variation 36 of global /regional SSR. This paper's homogenized gridded dataset and the Artificial Intelligence 37 reconstruction gridded dataset (Jiao and Li, 2023) are all available at 38 https://doi.org/10.6084/m9.figshare.21625079.v1.

39

40 **1 Introduction**

41 Energy flows at the Earth's surface play an essential role in climate change and human activity and link 42 to physical processes such as global warming, glacier retreating, hydrological cycle, and carbon budget 43 (Hoskins and Valdes, 1990; Peixoto et al., 1992; Trenberth and Fasullo, 2013; Wild, 2012). As a critical 44 factor characterizing surface energy flows, Surface Solar Radiation (SSR) largely determines the climatic 45 conditions and ecological environment in which we live. Therefore, a more accurate and comprehensive 46 analysis of the SSR fluxes will help better understand the Earth's atmospheric system. In-situ 47 observations provide the most accurate baseline data for measuring SSR. They allowed for the first time 48 the detection of decadal changes in SSR known as "dimming and brightening" (Wild et al., 2005), 49 especially considering that they cover a longer period concerning another type of data like for example 50 satellite data (Pfeifroth et al., 2018). Even observational data often have uneven distribution and missing 51 data with respect to the satellite data, especially in areas with complex orography (Manara et al., 2020). 52 The sources of *in-situ* SSR observations are mainly collected from the Global Energy Balance Archive 53 (GEBA) (Wild et al., 2017) and the World Radiation Data Centre (WRDC) (Tsvetkov et al., 1995). 54 Furthermore, other SSR station series are obtained from the high quality Baseline Surface Radiation 55 Network (BSRN) (Driemel et al., 2018) and the data centres of individual national hydrometeorological 56 services. However, two issues still need to be addressed: 1) the inhomogeneity of station data resulting 57 from station relocations and instrumentation changes severely impacts the climate change assessment. 58 For the regions with a relatively high density of stations, like Europe (Manara et al., 2019; Manara et al., 59 2016; Sanchez-Lorenzo et al., 2013a; Sanchez-Lorenzo et al., 2015; Sanchez-Lorenzo et al., 2013b), 60 Japan (Ma et al., 2022) and China (Ju et al., 2006; Wang, 2014; Wang et al., 2015; Wang and Wild, 2016; 61 Yang et al., 2018b; You et al., 2013), much previous work has redefined the degree and timing of 62 "dimming and brightening" by addressing the inhomogeneity of the SSR data series. For example, in 63 Spain, the average annual homogenized SSR series has a significant increasing trend (+ 3.9 W/m² per 64 decade) during the 1985–2010 period (Sanchez-Lorenzo et al., 2013a). The period of dimming observed 65 in Italy's homogenized SSR series is not apparent in the 1960s and early 1970s when the raw series 66 (inhomogenized) are taken into account (Manara et al., 2016). The direct measurements of SSR show a 67 level trend from 1961 to 2014 over Japan, while their homogenization series display a decreasing trend 68 (0.8-1.6 W/m² per decade) (Ma et al., 2022). In China, homogenization largely eliminated the dramatic

69 non-climatic rise of the early 1990s and also reduced the increasing trend from 1990 to 2016 (Yang et 70 al., 2018b). However, most of the research was still limited to regional scales. 2) The issue of limited 71 spatial sampling of long observational stations and their uneven distribution especially over areas with 72 complex orography. Considerable efforts have been devoted to filling in /interpolating the missing values 73 in climate datasets ("spatial analysis") (Collins, 1996; Erxleben et al., 2002; Scudiero et al., 2016). The 74 traditional spatial interpolation methods commonly used include Inverse Distance Weighted (Fisher et 75 al., 1993; Shepard, 1968), Kriging (Krige, 1951), Thin-Plate Splines (Bookstein, 1989) et cetera. Since 76 the 1980s, physical parametric interpolation (Feng and Wang, 2021; Tang et al., 2019) and Bayesian 77 fusion schemes (Aguiar et al., 2015) based on multi-source observational data were widely used, when 78 the emergence of highly accurate and relatively precise satellite data. However, the resulting fusion 79 datasets cover a too short period to investigate their decadal and multi-decadal variations and to study 80 the underlying causes. The spatial, temporal, and spectral coverage of a single satellite is limited, and 81 multiple satellite data are therefore often used in tandem with each other; however, such a 82 discontinuity in time and space can introduce inhomogeneity into a dataset (Evan et al., 2007; Feng 83 and Wang, 2021; Shao et al., 2022). Reanalysis products are an important complement containing 84 long-term SSR data, therefore have been widely used in climate studies (Huang et al., 2018; Jiao et 85 al., 2022; Urraca et al., 2018; Zhou et al., 2018a; Zhou et al., 2017) due to the dynamically consistent 86 and spatiotemporally complete atmospheric fields with high resolution and open access to data. 87 However, existing studies have shown that reanalysis products generally overestimate multi-year 88 mean SSR values compared to observations over land (He et al., 2021). With the continuous 89 development of climate system simulations, model data from the Coupled Model International 90 Program (CMIP) have become an important resource for conducting climate change research (Gates 91 et al., 1999; Zhou et al., 2019). Previous studies have shown that the models used in CMIP6 92 overestimate the global mean SSR (He et al., 2023; Jiao et al., 2022; Wild, 2020). The rise of deep 93 learning and big data techniques has brought about an explosion of artificial intelligence (AI). Machine 94 learning is increasingly being used in spatial interpolation, such as the spatial reconstruction of surface 95 temperature datasets (Huang et al., 2022; Kadow et al., 2020; Cao et al., 2022), the spatial and temporal 96 reconstruction of turbulence resolution (Fukami et al., 2021), etc. Furthermore, it shows high accuracy 97 and low uncertainty in reproducing and predicting SSR (Leirvik and Yuan, 2021; Tang et al., 2016; Yang 98 et al., 2018a; Yuan et al., 2021). However, long-term homogenized SSR datasets with global terrestrial

99 coverage have yet to be developed, resulting in significant uncertainties in assessing global SSR variation100 (Jiao et al., 2022).

101 Therefore, developing a more homogeneous and comprehensive global long-term SSR climatic dataset 102 that provides a better benchmark for observational constraints on the global surface energy balance 103 /budget remains a valuable and challenging task. This paper first homogenizes and grids the most 104 extensive collection of available global SSR station observations. Then, the missing grid boxes /years 105 are spatially interpolated using a convolutional neural network (CNN) approach to obtain a globally 106 covered land surface SSR anomalies dataset. Finally, the reconstructed datasets are initially analysed and 107 evaluated. Thus, the paper is divided into seven main sections. The data resources are introduced in 108 Section 2. Section 3 presents the data homogenization, and the CNN model reconstruction methods. The 109 data homogenization and verification are shown in Section 4. Section 5 gives the AI reconstruction results. 110 Section 6 is the availability of the datasets. Conclusions are provided at the end of the paper.

111 **2 Data**

112 Nine SSR datasets are collected to derive the global SSR variable. In particular, six datasets contain data 113 from observational stations (Section 2.1): two global ground-based measurement datasets (GEBA, 114 WRDC) and four homogenized products at regional and country levels (Europe, China, Japan and Italy). 115 Three of the adopted datasets are reanalysis data (Section 2.2.1): Fifth generation European Centre for 116 Medium-Range Weather Forecasts (ECMWF) reanalysis (ERA5), 20th Century Reanalysis version 3 117 (20CRv3) reanalysis data and the Coupled Model Intercomparison Project Phase 6 (CMIP6) historical 118 simulation output (125). Specifically, the ERA5 data are used to fill the data over oceans and Antarctica 119 (Section 3.2.1), 20CRv3 data and CMIP6 simulations are used for the AI model training (Section 5.1) 120 and reconstruction. All have been listed in Table 1.

121 **2.1 In situ observational Data**

122 2.1.1 Global datasets

123 There are two main sources of raw SSR data (see Table 1): the ETH Zurich GEBA with monthly data 124 from 2,445 globally distributed stations, starting from 1922 until 2020, and the WRDC dataset with 125 monthly globally distributed data from 1136 stations since 1964. The first one is available for download

- 126 at https://geba.ethz.ch (Last access: 2022.7. 2). The second one published the first SSR radiation balance
- 127 data in 1965 and then its publication has been issued four times a year since 1993 and is available for
- 128 download at <u>http://wrdc.mgo.rssi.ru/</u> (Last access: July 2021).

129 2.1.2 National (regional) homogenized station datasets

130 1) Chinese homogenized SSR dataset

131 The China Meteorological Radiation Fundamental Elements Monthly Value Data Set has been 132 downloaded at http://www.nmic.cn. The homogenized SSR dataset in China is released by the National 133 Meteorological Information Centre (NMIC), China Meteorological Administration (CMA) (Yang, 2016). 134 The data are available for the period between Jan 1950 to Dec 2014, and the follow-up data are extended 135 with raw observations from NMIC. They used the sunshine duration (SSD) data from nearby stations to 136 construct an arguably better reference to identify inhomogeneities in the SSR data. Then, a combined 137 metadata and the maximum penalty t-test (PMT) method was used to detect the change points. Finally, 138 they were adjusted by a quantile matching (QM) algorithm (Wang and Feng, 2013). The final 139 homogenized SSR station dataset was converted to gridded data using the first difference method (FDM 140 (Peterson et al., 1998)) and is available for download at http://www.nmic.cn. Last Access: September 141 2022.

142 2) Japanese homogenized SSR dataset

Ma et al. (Ma et al., 2022) released a Japanese SSR homogenized dataset in 2022 spanning the period between 1870 and 2015. First, they homogenized SSD based on PMF (penalized maximal F test) and QM algorithms. They then used the homogenized SSD from the previous step as a reference series, combined with metadata and PMT, to detect change points. Finally, they adjusted the change points by the QM algorithm. For more details on data descriptions, the adopted methodology and downloading data refer to <u>https://data.tpdc.ac.cn/en/data/45d73756-3f5a-4d27-82a4-952e268c20e8/</u>, Last Access: March 2022.

150 3) European homogenized SSR data

A homogenized dataset of European SSR stations was developed by Sanchez-Lorenzo et al. (Sanchez-Lorenzo et al., 2015) and is currently available as a full public download at <u>https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2015JD023321</u>. They selected the 56 longest Central European SSR series available in GEBA dataset with data for the period comprised between 155 1922 and 2012. They adjusted them to ensure temporal homogeneity homogenizing the data with the

156 Standard Normal Homogeneity Test (Alexandersson, 1986) and the Craddock test (Craddock, 1979).

157 4) Italian homogenized SSR dataset

The Italian homogenized SSR datasets are those published by (Manara et al., 2019; Manara et al., 2016). As candidate stations to use as reference series, they selected the ten series located in the same area of the series to be tested and that series correlate well with the test one. In particular, they tested the change points with the Craddock test (Manara. et al., 2017) and when a break is identified by more than one reference series the preceding portion of the series is corrected, leaving the most recent portion unchanged. In this way, the SSR stations were homogenized, and then the missing values were interpolated.

165 2.2 Other datasets

166 2.2.1 Reanalysis

ERA5 can be used to fill in SSR data from the oceans and Antarctica and carry out the global reconstruction, taking into account its high spatial resolution and reliable performance of SSR (Jiao et al., 2022; Liang et al., 2022). After the reconstruction, we removed the data for the ocean reanalysis and maintain the data only in the land area (except for Antarctica). In addition, two SSR data products (20CRv3, CMIP6) are used to train AI models. These are:

172 1) ERA5 (space-filling data): ERA5 is the fifth generation of the European Centre for Medium-Range 173 Forecasting reanalysis product, which currently publishes data from 1950 to the present (Hersbach et al., 174 2020). In addition, ERA5 has an hourly output and an uncertainty estimate from the ensemble. The data 175 is based on the Integrated Forecasting Model Cy41r2 run in 2016, which contains a 4D-Var assimilation 176 scheme. In ERA5, SSR is obtained from a Rapid Radiation Transfer Model (RRTM) (Mlawer et al., 177 1997). The present study utilizes monthly SSR data for the period 1955-2018 from ERA5 with a 178 resolution of 0.25 ° ×0.25 ° (last accessed in July 2022). It can be downloaded at 179 https://cds.climate.copernicus.eu

2) 20CRv3 (data for AI model training): The 20CR Project is an effort led by NOAA's Physical
Sciences Laboratory and CIRES at the University of Colorado, supported by the Department of Energy,
to produce reanalysis datasets spanning the entire 20th century and much of the 19th century (Slivinski
et al., 2019). 20CR provides a comprehensive global atmospheric circulation data set from 1850 to 2015.

184 Its chief motivation is to provide an observational validation dataset, with quantified uncertainties, for 185 assessing climate model simulations of the 20th century. 20CR uses an ensemble filter data assimilation 186 method which directly estimates the most likely state of the global atmosphere every three hours and 187 estimates the uncertainty in that analysis. The most recent version of this reanalysis, 20CRv3, provides 188 8-times daily estimates of global tropospheric variability across 75 km grids, spanning 1836 to 2015 189 (with an experimental extension from 1806 to 1835). The present study uses monthly SSR data of 190 20CRv3 (NOAA /CIRES /DOE 20CR, 80 members) from 1955-2015. We selected all 80 members of 191 the 20CR as input (1 for evaluation and to test reconstruction, the other 79 for training the CNN model). 192 The SSR of 20CRv3 has a spatial resolution of $0.7^{\circ} \times 0.7^{\circ}$ (Last accessed: May 2022). The download is 193 available at https://portal.nersc.gov/archive/home/projects/incite11/.

194 2.2.2 CMIP6 models output

195 3) CMIP6 models output (data for AI model training): the Coupled Model Intercomparison Project, 196 driven by the World Climate Research Program, is now in its 6th phase. Specifically, CMIP6 is 197 considered as the current state of the art way of producing future climate simulations, including predicting 198 future SSR based on different climate scenarios (Zhou et al., 2018b). It provides an important resource 199 for studying current and future climate change (Eyring et al., 2016). The historical simulations of CMIP6 200 are designed to reproduce observed climate and climate change, constrained by radiative forcing. Its 201 historical simulation spans between 1850 and 2014. In this study, we selected 125 members out of a total 202 of 507 members from several CMIP6 large ensemble models (with more than 10 realizations/runs) with 203 high correlation coefficients with observations as input to train and validate the CNN model (1 for 204 evaluation and to test reconstruction, the other 124 for training the CNN model). We selected the monthly 205 downward shortwave radiation from 1955 to 2014 (see Table S1 in the Supplemental Material (SM)). 206 Last access July 2022. Download at: https://esgf-node.llnl.gov/search/cmip6.

207 3 Methods

- 208 **3.1 Data Quality Control (QC) and homogenization**
- 209 The SSR data homogenization method is only applied to the two inhomogenized *in-situ* observations
- 210 datasets (GEBA and WRDC). The Quality Control (QC) and homogenization flowchart (Figure 1) is
- 211 divided into three steps: 1. QC; 2. Homogenization; 3. Integration and consolidation.

212 **3.1.1 QC**

- 213 The QC of SSR data includes the following steps:
- 1) Simple integration: integration of the GEBA (2445) and WRDC (1136) datasets removing stations
- with no data and leaving 2681 stations.
- 2) Removing duplicate stations: a. Stations with similar latitude and longitude. We consider two
- stations with totally identical latitude and longitude to be the same station; b. Stations less than 10km
- apart. We averaged the duplicate stations in this a and b case; c. Special duplicate stations: Stitching
- 219 together data of the duplicate stations based on metadata from CMA.
- 3) Remove stations or years /months for which a climatic analysis cannot be established: we remove
- 221 stations with records of less than ten years and values more than three times (3σ criterion (Olanow and
- 222 Koller, 1998) the standard deviation of the SSR anomalies.
- 4) Candidate stations (487) with a record length greater than 15 years in the period 1971-2000 are selected. We added stations (715) with more than 10 years of SSR records to increase the number of
- available stations for a better homogenization of the candidate stations (Figure 2).
- 226 **3.1.2 Station series homogenization**
- 227 This paper uses the RHtestV4 software package to test and adjust the SSR station data for homogeneity
- 228 (http://etccdi.pacificclimate.org/software.shtml) (Wang and Feng, 2013). The package is based on the
- empirical penalty functions PMF (Wang, 2008b) and PMT (Wang, 2008a; Wang et al., 2007) for the
- 230 homogenization test. It takes into account the lag-1 autocorrelation of the time series. It embeds a multiple
- 231 linear regression algorithm to significantly reduce the problem of an unbalanced distribution of pseudo-
- 232 identification rates and test efficacy. Also, RHtestV4 uses the QM algorithm (Vincent et al., 2012; Wang
- et al., 2010) and Mean-Adjustments to adjust the identified change points.
- The specific steps are as follows:

- 235 1) Building the reference series
- a. We processed the data from all stations series (715) into the annual first differences (FD) series
- 237 $e_i(\text{Eq. (1)})$ (Peterson et al., 1998).
- b. We calculated the correlation of the annual FD series between the series from the potential reference
- pool and the candidate stations.
- c. We calculated the distance between the potential reference pool stations and candidate stations.
- d. We selected potential stations according to the correlation coefficient ($CC \ge 0.6$) between the series
- from potential reference pool and candidate stations. And the potential stations also satisfy the limits in
- 243 distances (<= 500km) between the potential pool stations and candidate stations.
- e. We obtain the reference FD series (Re) based on the *m* potential reference series (Pe_i) and the CCs
- 245 (c_i) between the potential reference series (Pe_i) and candidate stations series (Eq. (2)).
- f. The synthesized reference FD series (*Re*) (Eq. (2)), plus the average of all potential reference series
- 247 (\bar{R}), yields the final annual reference series (R) (Eq. (3)).

$$e_i = x_i - x_{i+1}$$

i=1, 2, ..., n-1 (1)

$$R_e = \frac{\sum_{i=1}^{m} Pe_i * c_i^2}{\sum_{i=1}^{m} c_i^2}$$
(2)

$$R = R_e + \overline{R} \tag{3}$$

- 248 e_i Annual FD series,
- 249 x_i Raw observational station SSR in the year i,
- 250 *Re* Final reference series,
- 251 Pe_i Potential reference series,
- 252 c_i CC between the potential reference series and the candidate stations series.
- 253 2) Testing and adjusting the candidate series

254 The homogenization test algorithm used in this paper is the PMT. This method is a reference series-

- 255 dependent test for a normalized candidate series. It assumes that the linear trend of the time series is zero
- and uses the degree of mean deviation at different points in the series to find change points. Furthermore,
- 257 it eliminates the effect of different sample lengths on the test results. At the same time, the method
- 258 introduces an empirical penalty factor, which effectively improves detection. We used the PMT to test
- the homogeneity of the candidate series based on the reference series established in 1). We then adjusted

260 the statistically significant (p>0.05) changepoints obtained using the mean adjustment method (p>0.05).

261 We homogenize the monthly series for 66 stations (see Figure S1 in the SM).

262 **3.1.3 Integration and consolidation**

263 As can be seen from Figure 1, the candidate stations (487) are relatively sparse. To better adapt deep 264 learning methods for the dataset reconstruction later, we adjusted, added and integrated station series 265 based on the results of homogenized data from other scholars: 1) We added stations with more than 10a 266 overall (1955-2018) records but no more than 15a during the 1971-2000 period, and removed those 267 stations that were clearly inhomogeneous (25) and some years of the station (3); 2) We subsequently 268 integrate monthly SSR series for 116 stations based on the results of homogenization by other scholars 269 (China (56), Japan (8), Europe (2) and Italy (50)). After the above steps, we end up with a homogenized 270 dataset containing 944 stations (Figure 3). The details of the processing and classification are shown in 271 Table S2 (see in the SM).

272 **3.2 CNN model reconstruction methods**

273 The CNN deep learning model network architecture uses a U-shaped structure similar to the U-net 274 (Ronneberger et al., 2015). The advantage of using this model is: 1) both high and low-frequency 275 information of the picture can be retained, and when reconstructing the SSR data, not only the grid point 276 information close to the missing measurement point will be considered, but also information from more 277 distant locations (which may be remotely correlated with that missing measurement point); 2) This makes 278 the model convergence faster and more economical in terms of computational resources. The upper part 279 of the U-shaped structure, which has no down samples or a low number of down samples, represents the 280 high-frequency information of the graph. These sections contain much of the detail in the graph and the 281 relationships between similar grid points are conveyed by this section. The lower half of the U-shaped 282 structure is down-sampled more often and represents the lower frequency information of the graph. The 283 global radiation of a wide range of undulations is transmitted by it, and then the information at the various 284 levels of the U-shaped structure is connected and transmitted through the skip connection, allowing the 285 whole network to remember all the information of the picture very well. The model uses nearest 286 neighbour upsampling in the decoding phase, the skip links will concatenate two feature maps and two 287 masks as the feature and mask inputs for the next part of the convolution layer. The input to the last part of the convolution layer will contain the original input image concatenated with the holes and the original mask, allowing the model to replicate the gap-free pixels. The complex and variable nature of the sealand boundary then has a significant impact on the reconstruction, when we reconstruct the global land SSR data. Therefore, we use partial convolution at the image boundaries with a suitable image padding, ensuring that the padding content at the image boundaries is not affected by values outside the image. The deep learning models' convolutional layers and loss functions have been described in the SM.

294 We further reconstruct a long-term (1955-2018) global SSR anomalies dataset (SSRIH_{20CR}) by using 295 improved partial CNN deep learning methods based on a "perfect" dataset. CNN consists of three parts. 296 A convolutional layer to reduce the number of weights by extracting local features, a pooling layer to 297 reduce peacekeeping and prevent overfitting, and a fully connected layer to output the desired result. In 298 this paper, a modified CNN network is used to model the reconstruction of the SSR data, with the 299 convolutional layer replaced by a partial convolution method and mask update. This method is the latest 300 in image restoration effects and can restore irregular holes, an advantage over other image restoration 301 methods that can only restore rectangular holes. Therefore, this paper uses the modified CNN model 302 (Kadow et al., 2020) to recover the missing part of the global terrestrial SSR (except Antarctica). The 303 specific reconstruction steps and processes are as in Figure 4.

304 **3.2.1 Data pre-processing**

305 The homogenized station data is converted to grid box anomalies using the Climate Anomalies Method 306 (CAM) (Jones et al., 2001). CAM is a commonly used method for converting station anomaly data to 307 gridded data. We divide all global areas into a $5^{\circ} \times 5^{\circ}$ grid, after which we calculate the SSR anomalies 308 (relative to 1923-2020) within the grid box by averaging the anomalies of all stations (at least 1 station 309 in it). If there are more than one site exists in the same grid box, the record length of this grid box is the 310 total length of all sites in that grid box. Finally, we removed the values that were more than three times 311 the standard deviation of the SSR anomaly time series after gridding. SSRs are all processed as daily 312 average anomalies, i.e., monthly anomalies divided by 30 (each month is approximated as 30 days). We 313 multiplied all the values by 30 again when the reconstruction is complete. The global land (except for 314 Antarctica) distribution and coverage of SSRs after gridding are shown in Figure 5 a, b.

315 As seen in Figure 5a, the SSR is spatially sparsely distributed across South America and Africa. As 316 shown in Figure 5b, SSR coverage increased yearly from 1950 until the mid-1970s, when it slowly decreased. In 2013, the coverage rate decreased sharply due to untimely data submission. Considering
the SSR coverage above, we only kept the years (1955-2018) with data coverage of more than 8% of
global land (except for Antarctica) areas.

320 Comparisons show that the ERA5 has high spatial resolution and relatively reliable performance in 321 the temporal variations and long-term trends (Liang et al., 2022; Jiao et al., 2022). To obtain a higher 322 data coverage and ensure that the AI model runs well, we used the ERA5 to fill the SSR of homogenized 323 global gridded SSR in the Antarctic and ocean areas. However, if we use the SSR of ERA5 to directly 324 fill the SSR of homogenized global gridded SSR (SSRIH_{grid}) in the Antarctic and on the ocean areas, 325 then the relatively weaker ocean SSR variations (variabilities, decadal changes, trends, etc.) from ERA5 326 will inevitably introduce certain systematic biases in land SSR reconstruction due to the SSRs have the 327 lower coverage on the land. Therefore, we designed an algorithm to avoid excessive diffusion of SSR 328 system bias in terrestrial areas: we first calculated the ratios γ_i (*i*=1, 2, 3, ..., *n*) between the SSR from 329 ERA5 and from SSRIH_{grid} on the land in all n years. For a single grid box, the γ_i have small changes 330 and are regarded as a constant γ_{median} (Eq (4)), and the γ_{median} vary by latitude and longitude both on 331 the marine and the land areas. We then extrapolated the γ_{median} for all the grid boxes along the land 332 and sea boundaries. If there is no observation there, then the adjacent ocean ERA5 SSR is used to take 333 its place after it is adjusted according to the differences between the SSR variations (represented by the 334 linear trends) for the different underlying surfaces (Eq (5).

$$\gamma_{median} = Median(\frac{OBS_{i_land}}{ERA5_{i_land}}), \qquad (4)$$

$$OBS_{i_0\&L}(land) = ERA5_{i_0\&L}(Ocean) * \gamma_{median} * \frac{T_0}{T_L}, \qquad (5)$$

$$i = 1,2,3....,n$$

335 γ_{median} : The median value of the ratios of OBS and ERA5 land SSR series,

- 336 *OBS_{i land}*: Land SSR for the year i from SSRIH_{grid} in a single grid,
- 337 $ERA5_{i_land}$: Land SSR for the year i from ERA5 in a single grid,
- 338 $OBS_{i_0\&L}(land)$: LandSSRalong the sea-land boundary(land) for the year *i* from SSRIH_{grid},
- 339 $ERA5_{i \ O&L}(Ocean)$: Ocean SSR along the sea-land boundary for the year *i* from ERA5,
- 340 T_0 : Trend of ERA5 SSR on ocean areasin all *n* years,
- 341 T_l : Trend of ERA5 SSR on areas in all *n* years.

342 3.2.2 AI Model reconstruction

343 We use a server (configured with processor Intel (R) Core (TM) i7-8700 CPU @ 3.20GHz 3.19 GHz,

344 RAM 32G, 64-bit OS, GPU model 516.94, NVIDIA GeForce 1080T version, Python 3.9.12 64-bit,

345 CUDA 10.1) for AI models training. The specific training steps are as follows:

A total of 768 missing value masks (monthly masks between 1955 and 2018) were prepared for
 training and validation using '1' for existing and '0' for missing values;

348 2) The 20CRv3 /CMIP6 training set (monthly values between 1955 and 2015 /2014) and missing value

349 masks are fed into the 20CR-AI /CMIP6-AI model for training;

3) We perform 1,500,000 training sessions with an interval of 10,000 sessions for the training outputmodel.

352 Afterwards, the two AI models are validated against the root mean squared error (RMSE) /CCs of the 353 reconstructed SSRs (SSR_{20CR}/SSR_{CMIP6}). The validation set SSRs, and the optimal number of training 354 cycles is 1,100,000 (see Figure S2, Figure S3 and Figure S4 in the SM). The initial hyper-parameters of 355 the model are set as follows; learning rate of 2e-4 and learning finetune of 5e-5. First, we set the batch 356 size to 16 in the first 500000 iterations and fine-tuned it to 18 in the last 10000000 iterations, for a total 357 of 1500000 iterations, to suppress the overfitting phenomenon generated during the training process, and 358 validate the model every 10000 times and early stopping if the validation shows a decreasing trend, the 359 final number of training times used is 1100000. Second, L2 regularization is also added to regulate the 360 loss function (see Eq. (9) in the SM).

The training result models generated by the different AI models are obtained separately for the different training sets. The model is first used to reconstruct a reanalysis validation set with the same missing value mask as the original observation dataset. This is followed by a validation of the reconstruction against the original reanalysis dataset (calculation of CC and RMSE) to understand the discrepancies in the model reconstruction.

366 4 Data homogenization and verification

 $367 \qquad \text{We homogenized the original monthly stations /gridded SSR time series (SSRIH_{station} / SSRIH_{grid}) using}$

368 the method in section 3.1.2. We selected six continental regions, excluding Antarctica and the Arctic,

369 from the eight continents of the world defined by Xu et al. (Xu et al., 2018) (Asia, Africa, South America,

Europe, North America, Australia, Antarctica and the Arctic). The decreasing trend of the SSRIH_{grid} is consistent with the original gridded SSR series (SSRI_{grid}) during 1955-1991 while the increasing trend during 1991-2018 is weaker. At the regional scale, the SSRIH_{grid} has a generally similar variation to the SSRI_{grid}, and the SSRIH_{grid} usually more representative of climate change than SSRI_{grid} at individual stations.

375 Figure S5 (see in the SM) illustrates the long-term variations of global (Figure S5 (a) in the SM) and 376 continental land SSR (Figure S5 (b) in the SM) from the SSRIgrid and SSRIHgrid (except for Antarctica) 377 during 1955-2018. The most prominent change revolves around the adjustment around 1992: the SSR 378 anomalies were systematically adjusted upward from 1987 to 1992, while the SSR anomalies were 379 systematically adjusted downward from 1993 onwards. Thus, there is a significant decreasing trend for 380 both global land SSRIgrid (-1.995±0.251 W/m² per decade) and global land SSRIHgrid (-1.776±0.230 381 W/m² per decade) (except for Antarctica) from 1955 to 1991. While the increasing trend of the global 382 land $SSRIH_{grid}$ from 1991 to 2018 is 0.851±0.410 W/m² per decade, slightly smaller than the increasing 383 trend of the SSRIgrid (0.999±0.504 W/m² per decade). It is worth noting that 1992 happened to be the 384 second year of the eruption of Mount Pinatubo, and the homogenized SSR data integrated in this paper 385 may be affected by this event. But overall, the homogenization also has limited effects on the global SSR 386 variations from Figure S5 (see in the SM), which is consistent with the influence of data homogenization 387 on a wide range of surface air temperatures (Brohan et al., 2006; Xu et al., 2013).

388 At the regional scale, the differences between the SSRIHgrid and SSRIgrid are more pronounced in Asia 389 and Europe (see Figure S5(b)in the SM). Asia's homogenized SSR show that the regional average SSR 390 has been declining significantly over the period 1958-90; this dimming trend mostly diminished over the 391 period 1991-2005 and was replaced by a brightening trend in the recent decade. The SSRIH_{grid} in Asia is 392 higher than the SSRIgrid from 1985 to 1990 and lower than the SSRIgrid from 2012 to 2015. The SSRIHgrid 393 shows a more moderate short-term increase in Europe from 1960 to 1980. Note also that the Australian 394 raw data prior to 1988 were artificially detrended because at the time the Australia Weather Service was 395 afraid that the instruments would drift. Therefore, they detrended them and unfortunately did not store 396 the raw data, and the SSR evolution in Australia is artificial with no trend (Wild et al., 2005). In addition, 397 the SSRI_{station} and SSRIH_{station} comparisons for all 66 stations are shown in Figure S1 (see in the SM).

398 5 AI reconstruction and comparison

399 5.1 Training of the AI model

We produce two (20CRv3 /CMIP6) separate training and validation sets: we select the 1th member data of the reanalysis data and the model data, respectively, as the validation set, and the remaining 79 (124) ensemble members as the training sets, where each ensemble member included 732 (720) months of SSR data. Each validation set included 732 (720) samples, while the training sets contained 57828 (89280) ensemble members. All the above data, including the *in-situ* observations, are then resampled to monthly anomalies of $5^{\circ} \times 2.5^{\circ}$.

406 We reconstruct the SSR of 20CRv3 /CMIP6 with missing values based on 20CRv3 /CMIP6 datasets 407 using the method in section 3.2 and obtain two reconstructions, SSR_{20CR} and SSR_{CMIP6} , respectively. The 408 SSR of 20CRv3/CMIP6 with missing values uses the $SSRIH_{grid}$ mask between 1955 and 2015 /2014. We 409 compare the global land (except for Antarctica) /regional annual anomalies variation of SSR_{20CR} 410 /SSR_{CMIP6}. The results show that SSR_{20CR} is significantly more consistent with the validation set than 411 SSR_{CMIP6}.

412 Figure 6(a) shows that the RMSE/CC of the SSR_{20CR} (0.247 W/m² /0.970 W/m²) are smaller /larger 413 than those of SSR_{CMIP6} (0.518 W/m²/0.937 W/m²) with the original 20CR /CMIP6 dataset. The 20CR-414 AI model has a better reconstruction ability for SSR at the global land (except for Antarctica) scale. The 415 RMSEs of the SSR_{20CR} (SSR_{CMIP6}) are 1.460 (2.413) W/m², 1.109 (1.829) W/m², 2.219 (2.596) W/m² 416 and 1.286 (2.235) W/m² in North America, Europe, Asia, and Northern Hemisphere, whereas these 417 values are 1.116 (1.766) W/m², 0.622 (1.602) W/m², 1.877 (1.839) W/m² and 0.772 (1.679) W/m² in 418 South America, Africa, Australia, and Southern Hemisphere concerning the original 20CR /CMIP6 419 dataset, respectively. In other words, the RMSEs of the SSR_{20CR} are smaller than those of SSR_{CMIP6} for 420 the original 20CR /CMIP6 dataset except for Australia. In addition, the CCs of the SSR_{20CR} (SSR_{CMIP6}) 421 are 0.958 (0.830) W/m², 0.958 (0.987) W/m², 0.886 (0.669) W/m², 0.930 (0.965) W/m², 0.938 (0.930) 422 W/m², 0.943 (0.916) W/m², 0.936 (0.875) W/m² and 0.903 (0.822) W/m² in North America, Europe, 423 Asia, Northern Hemisphere, South America, Africa, Australia, and Southern Hemisphere with respect 424 to the original 20CR /CMIP6 dataset, respectively. That is, the CCs of the SSR_{20CR} are larger than those 425 of SSR_{CMIP6} to the original 20CR /CMIP6 dataset except for Europe.

Based on the above comparison, the higher uncertainty for CMIP6 model output possibly biases the CMIP6-AI method. Thus, the accuracy of the SSR_{20CR} is higher than that of the SSR_{CMIP6} at both global land (except for Antarctica) and regional scales. Therefore, we choose the reconstruction results of the 20CR-AI model as the final AI reconstruction dataset, and subsequent analysis in the following sections is only based on this dataset.

431 **5.2** Comparison of the spatial and temporal variation characteristics

We investigate the long-term trends and spatial and temporal variation of the SSRIH_{20CR}, compare the differences between the SSRIH_{20CR} and SSRIH_{grid}, and suggest: the area and magnitude of the high and low centres of the SSRIH_{20CR} are the same as those of the SSRIH_{grid}; the results of the global land (except for Antarctica) reconstruction are consistent with "dimming and brightening"; the global dimming is primarily dominated by decreasing trends in Asia, Europe Africa and North America, whereas Europe and North America are contributors to the increasing trends.

438 Figure 7 shows the spatial distribution of the SSRIH_{grid} and SSRIH_{20CR} for the three months (July 1960, 439 July 1980, and July 2000). Figure S6 (see in the SM) displays the spatial distribution of annual SSRIH_{orid} 440 and SSRIH_{20CR} from 1955 to 2018. Figure 7 also shows the area and the magnitude of the high and low 441 centres in the SSRIH_{20CR} are the same as in the SSRIH_{grid}. The SSRIH_{20CR} is mainly positive anomalies 442 in Africa and the Eurasian continent in July 1960, especially in India and the Middle East. Afterwards, 443 India showed a continuous and steady decline in SSR. This confirms the well-known phenomenon of 444 global dimming over India (Wild et al., 2009; Soni et al., 2016; Soni et al., 2012; Padma Kumari et al., 445 2007; Kambezidis et al., 2012). In Australia, the SSRIH_{20CR} is dominated by negative anomalies in July 446 1980 and positive anomalies in July 1960 and July 2000. In Greenland, the SSRIH_{20CR} shows a large 447 positive anomaly during three months. In northern Russia, there is a high value in July 2000. The 448 reconstruction can better reflect the anomaly distribution of observation information, and the grid boxes 449 with the missing values are infilled and reconstructed, which has high reliability.

Figure 8 illustrates global land (except for Antarctica) annual anomalies variation and long-term trend of the SSRIH_{20CR} for the period of 1955-2018, 1955-1991 and 1991-2018. Table S3 in the SM demonstrates the trends of global SSR change evaluation for various data sources on different scales. Also, we compare the differences between the SSRIH_{20CR} and SSRIH_{grid}. The minimum value of the SSRIH_{20CR} occurred in 1991 (-2.411 W/m²). The decreasing trend of the SSRIH_{20CR} from 1955 to 1991 455 (-1.276±0.205 W/m² per decade) is slightly lower than that of the SSRIH_{erid} (-1.776±0.230 W/m² per 456 decade). After that, the SSRIH_{20CR} turns to an increasing trend of 0.697 \pm 0.359 W/m² per decade from 457 1991 to 2018. This suggests that the difference between $SSRIH_{20CR}$ and $SSRIH_{grid}$ may be caused by the 458 results observed in limited data coverage (such as in Africa and North America) (Figure 9). After 459 homogenization and reconstruction, the trend (-1.276 W/m² per decade) from 1955 to 1991 corresponds 460 to an overall reduction of -4.6 W/m² over the dimming period, while that (0.697 W/m²per decade) from 461 1991 to 2018 correspond to an overall increase of 2 W/m^2 over the brightening period. This is in amazing 462 agreement with the -4 W/m^2 for the dimming period and the 2 W/m^2 for the brightening period based on 463 an overall surface energy budget assessment ((Wild, 2012) see their Figure 1). Also, similar conclusions 464 (incomplete coverage of observational data lead to an underestimation of global warming trends) have 465 been confirmed in global warming research (Gulev et al., 2021; Li et al., 2021).

466 Figure 9 demonstrates the long-term annual anomaly variations of the SSRIH_{20CR} in different regions 467 and its results compared to the SSRIHgrid. Table S4 in the SM shows the evaluation in continental and 468 hemispheric SSRIH_{20CR} change trends on different scales. The SSRIH_{20CR} shows a similar annual 469 anomaly variation to the global land (except for Antarctica) average trend in North America and Asia, 470 reaches a minimum in the late 1970s or early 1990s, and follows a moderate reversal. In Europe, the 471 SSRIH_{20CR} shows a decrease (-2.180 \pm 1.866 W/m² per decade) between 1963 and 1978 before turning 472 to brightening $(1.081 \pm 0.312 \text{ W/m}^2 \text{ per decade})$. In South America and Australia (Southern Hemisphere), 473 the SSRIH_{20CR} shows no significant variation. In Africa, the SSRIH_{20CR} has a dimming trend (-1.506 \pm 474 0.496 W/m² per decade) from the 1950s to the 1990s, after which it remains levelled off (0.340 \pm 0.998 475 W/m² per decade). The SSRIH_{20CR} shows a decreasing trend (-1.457 \pm 0.246 W/m² per decade) until the 476 1990s in the Northern Hemisphere and a brightening ($0.887 \pm 0.415 \text{ W/m}^2$ per decade) afterwards. The 477 annual average anomaly variations in regions and globally show that Asia, Africa, Europe and North 478 America are the four contributors to the global dimming, while Europe and North America are two major 479 contributors to the "brightening". This is in general agreement with the results obtained by previous 480 machine learning (Yuan et al., 2021). In addition, the discrepancy between the SSRIH_{20CR} and SSRIH_{grid} 481 is more significant in low-coverage areas (right) than in high-coverage regions (left). It is particularly 482 pronounced before 1980 and in South America. This suggests that the limited surface observations are 483 not representative of the continental variation in SSR.

484 To sum up, the AI reconstruction of this paper helps to decrease the uncertainties in SSR variations in

- 485 both spatial scales. Further, it shows that there may be a sampling error in the variations of the global
- 486 land (except for Antarctica) and regional SSR before reconstruction, leading to a systematic deviation in
- 487 the long-term trend of global land (except for Antarctica) or regional SSR.

488 **6** Data availability

- 489 Both the SSRIH_{grid} (the homogenized monthly gridded SSR data over 1923-2020) and the SSRIH_{20CR}
- 490 (the monthly 20CR-AI model reconstructed SSR data for 1955-2018) are currently publicly available on
- 491 the figshare website under DOI at <u>https://doi.org/10.6084/m9.figshare.21625079.v1</u> (Jiao and Li, 2023).
- 492 These datasets are also available at <u>http://www.gwpu.net</u> for free.

493 7 Conclusion

In this study, we integrate global station observations based on the raw observational SSRs from GEBA and WRDC, combined with existing homogenized SSR datasets from other scholars. Also, we homogenize the globally distributed station data using the RHtestV4 software package. An improved CNN deep learning algorithm is subsequently used to reconstruct the SSR anomalies. Thus, a reconstructed SSR anomaly dataset, SSRIH_{20CR}, is obtained based on training sets (20CRv3), for the years 1955-2018, with a resolution of $5^{\circ} \times 2.5^{\circ}$. The main results are as follows:

500 1) The first integrated and homogenized global SSR monthly dataset is developed, which contains 944 501 stations in total and covers the longest periods (from the 1920s to recent years). A $5^{\circ} \times 5^{\circ}$ grid boxes 502 version of the monthly SSR anomalies dataset is derived.

2) This paper develops 5°×2.5° full-coverage monthly land (except for Antarctica) SSR anomalies
reconstructed datasets based on the above observations, using the 20CRv3 to train the AI model.
Comparative validations /evaluations show that the SSRIH_{20CR} provides a reliable benchmark for global
SSR variations.

507 3) On average, the global annual SSR variations based on the SSRIH_{grid} are not significantly different,

508 except that the increasing (brightening) trend after 1991 is a little smaller for the latter. The short-term

- 509 brightening SSR in Europe from the 1970s- to the 1980s disappear at the regional scale. At the same time,
- 510 the brightening SSR after the 1990s in Asia slowed or postponed.

511 Author contributions

- 512 Boyang Jiao: Software, Data curation, Writing- Original draft preparation, Visualization, Investigation.
- 513 Yucheng Su: Software, Data curation.
- 514 Qingxiang Li: Methodology, Supervision, Conceptualization, Validation, Writing Review and Editing.
- 515 Veronica Manara: Providing the homogenized Italian dataset, Writing Review and Editing.
- 516 Martin Wild: Writing Review and Editing.

517 **Competing interests**

518 At least one of the (co-) authors is a member of the editorial board of Earth System Science Data.

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Captions of tables and Figures

774	Table 1: List of information on the various types of data used in this paper.
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777	(third step).
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798	to 1971-2000) before/after reconstruction.
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801	
802	

	Abbreviation	Resolution	Time	Reference		
In site Down	GEBA (Station)	Monthly	1922-2020	(Wild et al., 2017)		
<i>In-suu</i> -Kaw	WRDC (Station)	Monthly	1964-2017	(Tsvetkov et al., 1995)		
	China (Station)	Monthly	1950-2016	(Yang et al., 2018b)		
	Japan (Station)	Monthly	1870-2015	(Ma et al., 2022)		
<i>In-situ</i> -Homo	Europe (Station)	Monthly	1922-2012	(Sanchez-Lorenzo et al., 2015)		
	Italy (Station)	Monthly	1959-2016	(Manara et al., 2016; Manara et al., 2019)		
	ERA5 (Grid)	Monthly/	1950-2020	(Hersbach et al., 2020)		
Doopolygig /		0.25°×0.25°				
Model	20CRv3 (Grid)	Monthly/	1940-2015	(Slivinski et al., 2019)		
Widder		0.7°×0.7°				
	CMIP6 (Grid)	Monthly/-	1940-2014	(Eyring et al., 2016)		
804						

803 Table 1: List of information on the various types of data used in this paper



II Homogenization

806 Figure 1: Flowchart of quality control (QC) (first step), homogenization (second step) and integration (third step).



808 Figure 2: Spatial distribution of candidate stations ("*") and added stations ("+"). The different colour bars

809 represent the length of the station record in months (Units: Month).



811 Figure 3: Spatial distribution of stations after homogenization (Units: Month), different colours represent the

812 length of station records in months.



814 Figure 4: Flowchart of AI reconstruction.



818 global land (except for Antarctica) SSR series. The different colours represent the length (the sum of all 819

records) of the station record, Units: Year. (b) Grid box coverage for the homogenized global land (except

⁸²⁰ for Antarctica) SSR (SSRIH_{grid}) except for Antarctica.



823 Figure 6: Reconstruction capabilities of the AI model. (a) Global land (except for Antarctica) means time-824 series analysis and AI model reconstruction evaluation. The red line is the SSR of the reconstruction based 825 on the 20CR-AI /CMIP6-AI model (SSR20CR /SSRCMIP6); The grey line is the masked datasets with missing 826 values of the SSRIHgrid. The solid black line is the 20CR and CMIP6 validation set (the SSR from the 1th 827 member of 20CRv3 /CMIP6). (b) Comparisons of the SSR_{20CR} (columns 1, 3) /SSR_{CMIP6} (columns 2, 4) with 828 the SSR from the 20CR and CMIP6 validation set. Colour bars represent counts with the same values for 829 both. Figures also show the SSR20CR (SSRCMIP6) correlation coefficient (CC), root mean squared error (RMSE) 830 and fitting equation compared to the original dataset in different regions.



832 Figure 7: Spatial distribution of the SSRIH_{grid} (a1-3) and SSRIH_{20CR} (b1-3) in typical months. 1-3 is July

833 1960, July 1980, and July 2000, respectively.



Figure 8: Global land (except for Antarctica) annual SSR anomaly variations (relative to 1971-2000)
before/after reconstruction. The Black solid line represents the SSRIH_{grid} annual anomalies. The solid blue
line represents the SSRIH_{20CR} annual anomalies. The histograms represent the decadal trends of the
SSRIH_{grid} /SSRIH_{20CR} (unit: W/m² per decade) and their 95% uncertainty range from 1955 to 1991, 1991-

839 2018 and 1955-2018.





841 Figure 9: Same as Figure 8, but for regional annual anomaly variations. The green colour filling diagram

842 represents the variation in grid box coverage (before reconstruction).