A globally sampled high-resolution hand-labeled validation dataset for evaluating surface water extent maps

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Abstract. Effective monitoring of global water resources is increasingly critical due to climate change and population growth. Advancements in remote sensing technology, <u>especially specifically</u> in spatial, spectral, and temporal resolutions, <u>have revolutionized</u> are revolutionizing water resource monitoring, leading to more frequent and high-quality surface water extent maps using various techniques such as traditional image processing and machine learning algorithms. However, satellite imagery datasets

- 5 contain trade-offs that result in inconsistencies in performance. For example, the disparity, such as disparities in measurement principles between optical (e.g. Sentinel-2) and radar (e.g. Sentinel-1) sensors, and differences in spatial and spectral resolutions among optical sensors. Therefore, developing accurate and robust surface water mapping solutions requires independent validations from multiple datasets in order to identify potential biases within the imagery and algorithms. However, high-quality validation datasets are expensive to build, and few contain information on water resources. For this purpose, we
- 10 introduce a globally sampled, high spatial resolution dataset labeled using 3m-3-meter PlanetScope imagery. Our surface water extent dataset comprises of 90-100 images, each with a size of 1024x1024 pixels, which were sampled using a stratified random sampling strategy We covered covering all 14 biomesand also. We highlighted urban and rural regions, lakes, and rivers, including braided rivers and shorelines. To demonstrate the usability of our dataset, we evaluated our novel Sentinel-1 algorithm called the Equal Percent Solution (EPS) for coastal regions. We evaluated two surface water extent delineation. Our
- 15 method produced an overall accuracy of 88%, with low commission error. However, EPS also had a high omission error. While investigating the source behind this issue using our hand labels, we found evidence that water signals in Sentinel-1 are affected by turbulence and muddiness. Further, mountainous regions distorted the signals from the water in river valleys leading to inaccuracies. Similar to our evaluation, we expect our dataset to be used for analyzing mapping methods using our dataset -Dynamic World (Brown et al., 2022) based on Sentinel-2, and the NASA IMPACT model (Paul and Ganju, 2021) based on
- 20 Sentinel-1. Dynamic World achieved a mean IoU of 72.16% and F1 score of 79.70%, while the NASA IMPACT model had a mean Intersection over Union (IoU) of 57.61% and F1 score of 65.79%. Performance varied substantially across biomes, highlighting the importance of evaluating models on diverse landscapes to assess their generalizability and robustness. Our dataset can be used to analyze satellite products and methodsto gain, providing insights into their advantages and drawbacks.

We expect our high-quality dataset to improve our understanding of the accuracy, spatial generalizability, and robustness of

25 existing surface water products and methods to promote efficient monitoring of our natural resources. Our dataset offers a unique tool for analyzing satellite products, aiding in the development of more accurate and robust surface water monitoring solutions.

1 Introduction

Mapping surface water is becoming increasingly significant important due to the impact impacts of climate change, as several many regions face the prospect of droughts -(Dai, 2013) and floods -(Tellman et al., 2021). Timely, accurate, and reliable monitoring of surface water extent is critical for better management, conservation, and risk reduction practiceshas been , but remains a growing challenge for researchers. Remotely sensed satellite data products have provided a unique vantage point for measuring surface water -extent (Bijeesh and Narasimhamurthy, 2020; Mueller et al., 2016) using different measurement principles such as optical and radar sensors -(Markert et al., 2018). Recent advances in satellite sensors have increased spatial, spectral, and temporal resolutions, which led to a significant rise in interest in leading to significant

35 growth in methods for monitoring surface water using multiple satellite products <u>(Markert et al., 2020; Pekel et al., 2016; Martinis et al., 2022; Giezendanner et al., 2023)</u>. Among these methods, machine learning and deep learning algorithms have become increasingly popular in recent years as they are able to take advantage of gained popularity due to their ability to leverage large volumes of satellite products (data (both public and commercial) to accurately map the carthEarth's surface (Isikdogan et al., 2017; Martinis et al., 2022; Wieland et al., 2023) (Isikdogan et al., 2017; Wieland et al., 2023)

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Even though there are several However, the effectiveness of satellite water products based on multiple sensors, their effectiveness different sensors is not consistent across all conditions. Every satellite data product has several, as each product involves trade-offs between spatial, spectral, and temporal resolutions -(Wulder et al., 2015). Higher spatial resolution satellite products, e.g. products like PlanetScope (PS), often produce more accurate maps than lower resolution Sentinel-2 (10 meterm)

- 45 or Landsat 8 (30 meter) (Acharki, 2022). Similarlym) (Acharki, 2022). Moreover, radar and optical sensors measure surface water properties using different measurement principles differently, leading to variations in accuracy and suitability -(Martinis et al., 2022) even at similar spatial resolutions. -The study by Ghayour et al. (2021) compared Landsat 8 and Sentinel-2 and found performances to vary across multiple methods. Alternatively, Wolpert (2002) asserts that there is performance varied across methods. As Wolpert (2002) asserted, no single algorithm that is guaranteed to perform well in all situations.
- 50 Li et al. (2022) compared widely used methods for surface water detection is expected to perform optimally in every situation. The study by Li et al. (2022) summarizes the current common methods of water extraction based on optical and radar images.

Evaluating these Independently evaluating satellite products and surface water methods using independent validation datasets is crucial to increase trust for increasing trust in the results (Bamber and Bindschadler, 1997). However, these datasets are expensive to build and hence valuable, while existing datasets might such datasets are resource-intensive to create and existing

55 <u>ones may</u> not be suitable for all needs. For example, BigEarthNet (Sumbul et al., 2019) contains close to (Sumbul et al., 2019) contains around 600,000 multi-labeled Sentinel-2 image patches where 83, 000 of which 83,000 contain water bod-

ies. This dataset will confirm confirms the presence of water within a patch , but will not identify each pixel . For a more high-resolution (1m) large-scale solution, the but does not delineate it at the pixel level. The Chesapeake Conservancy Land Cover dataset (Robinson et al., 2019) contains a water class labeled per pixel. LandCoverNet (Chesapeake Bay Program, 2023)

- 60 provides high-resolution (1 m) per-pixel water labels for the Chesapeake Bay watershed regional area. LandCoverNet (Alemohammad and Booth, 2020) contains global data based on 10m-10-meter resolution data from Sentinel-2 data also containing with a water class. Apart from surface water, mapping floods have Flood mapping has also been a strong research direction with Sentinel-1 (S1) based NASA Flood Extent Detection dataset focus, with datasets like the Sentinel-1-based NASA Flood Detection (Gahlot et al., 2021), Sen1Floods11 -(Bonafilia et al., 2020), Sen12-Flood -(Rambour et al., 2020), and C2S-MS
- 65 Floods datasets (Cloud to Street et al., 2022) with (Cloud to Street et al., 2022) that use both optical (Sentinel-2) and radar (S1). Although these datasets are Sentinel-1) imagery. While suitable for validating surface water maps, in some cases they are based on publicly available satellite products that are 10m in resolution, or in case of high spatial resolutionoptions, they are not globally distributed. Further, the some of these datasets rely on 10-meter resolution public satellite imagery or lack global coverage at high resolution. The ephemeral nature of floods requires a specific model for accurate detection even though flood
- 70 water also requires specialized detection models even though floodwater is technically surface water (Bonafilia et al., 2020) --(Bonafilia et al., 2020). Wieland et al. (2023) developed a semi-automated global binary surface water reference dataset that contains with 15,000 tiles (256 × 256 pixels) - Their dataset is based on high spatial resolution data (around 1m) and uses a stratified random sampling technique providing a crucial dataset for benchmarking purposessampled from high-resolution (<1 m) imagery. However, this dataset uses weak labels generated by a model rather than manual labeling, making it less suitable
- 75 for validation.

To establish the thoroughly evaluate a product's effectiveness and robustnessof a product, there needs to be multiple independent evaluations-, multiple independent assessments are needed since high accuracy scores on one dataset are not indicative of similar performances on other datasets. One reason is that any single dataset cannot be representative of does not guarantee similar performance on others. No single dataset can fully represent the real world (Paullada et al., 2021). Similarly, hand-labeled

- 80 datasets include subjectivity from labelers (Misra et al., 2016)which means that there could be no single ground truth label. In addition to multiple validation datasets, independent evaluations are necessary due to (Paullada et al., 2021) and manual labels inevitably contain some subjectivity (Misra et al., 2016). Independent evaluations also help mitigate the issue of data leakage (Vandewiele et al., 2021). Data leakage is experienced when researchers involve their validation set as a part of their trainingprocess which leads to an overfit model. Multiple and , where the validation set is improperly used during model
- 85 training, leading to overfitting (Vandewiele et al., 2021). Multiple independent validation datasets are therefore required for thorough evaluation and increased essential for comprehensively evaluating and building trust in remote sensing-based surface water products and methods.

In this researchstudy, we present our hand-labeled a high-quality, globally sampled, high-spatial-resolution dataset based on 90 samples of 3m PS images , each of size 1024x1024 pixelshigh-resolution surface water dataset consisting of 100

90 hand-labeled 1024×1024 pixel PlanetScope images at 3-meter resolution. Our work builds upon existing satellite-based remotely sensed datasets for datasets for validating surface water extentvalidation. Our motivation for this work. The motivation is to provide a higher resolution independent dataset to evaluate hand-labeled dataset for evaluating surface water products based on publicly available derived from medium-resolution datasets, such as public satellites like Landsat and Sentinel system of satellites. Our objective is to understand the advantages and drawbacks of each of these products and methods through our

- 95 validation dataset. We expect our dataset to contribute towards an improved understanding of the accuracy and commercial higher resolution Planet imagery. Our dataset addresses some of the limitations of existing datasets by providing pixel-level water hand labels at a higher resolution (3 meters) compared to some other datasets and encompassing diverse biomes and contexts (urban/rural, mountains/plains, rivers/lakes) for comprehensive evaluations. We evaluate two state-of-the-art surface water extent mapping methods using our dataset: the Dynamic World land use and land cover product based on optical
- 100 Sentinel-2 imagery and the NASA IMPACT inundation mapping model based on radar Sentinel-1 data, which was the winning solution in a recent flood detection challenge. By applying our validation dataset to these products and methods, we aim to better understand their advantages and limitations. We anticipate our dataset will contribute to improved accuracy assessment, spatial generalizability analysis, and robustness evaluation of existing surface water products and methods promote effective monitoring of our natural resources. In the following sections, we describe our sampling strategy in selecting our labels, explain
- 105 the processing of PS imagery required for labeling, analyze our hand-labeled images, and demonstrate the usefulness of our dataset by evaluating our novel surface water mapping method using Synthetic Aperture Radar (SAR) imagery from the ESA S1 satellite constellation. These advancements can ultimately benefit by promoting more effective monitoring and management of water resources, especially in the face of climate change and population growth.

2 Data Preparation

110 2.1 Sampling

Our objective was to build a dataset that is close to a true representation of closely represents the true distribution of surface water features using only 100 samples. A representative dataset will enable testing enables testing the spatial generalizability and accuracy of surface water extent products for their spatial generalizability in addition to their accuracy. Since . However, achieving a true representation is a nearly impossible (Paullada et al., 2021), we approached this problem nearly impossible (Paullada et al., 2021).

- 115 . We approached this challenge by sampling from different biomes, as defined by Olson et al. (2001), as they have multiple climate which encompass various climates and land conditions, giving a better chance of providing high variance within samples. In addition to biomes, we balanced our samples in both urban and rural regions as urban regions have a higher density of built-up environments. Similarly, we highlighted lakes and rivers. We added some examples of braided rivers and shorelines to improve representation.
- 120 In addition to our stratified sampling approach, we incorporated randomness in our sampling We employed a stratified random sampling strategy to ensure the representativeness of our dataset. First, we created a buffer of 2 km around shapefiles by World Wildlife Fund (2005) defining buffer around global rivers and lakes . Next, we shapefiles provided by World Wildlife Fund (2005) using Quantum GIS (QGIS). We then clipped these buffers with the shapefiles of each of the 14 biomes. Then Within each

biome, we randomly placed 50 points on each of the biomes and randomly using QGIS's random point generator and selected

125 at least 5 of them as samples. Finally, to ensure that we address several-

To address the various contexts in which surface water exists, we randomly selected some samples within additional samples from urbanized regions (Patterson and Kelso, 2012), braided rivers, and shorelines. Table ??, coastal regions. Urban areas are spatially heterogeneous, often resulting in increased complexity for water detection. We also separately sampled from lakes and rivers to ensure a balanced representation of both water body types. Braided rivers and coastal areas were included.

- 130 Figure 1 shows the number of samples for each biome. Figure?? shows the , while Figure 2 illustrates the global spatial distribution of the samplesglobally... The number of samples from Tropical & Subtropical Dry Broadleaf Forests and Tropical & Subtropical Coniferous Forests cover less area, the number of samples was therefore limited to ensure fair representation. Two-thirds was limited due to their smaller area coverage. Approximately two-thirds of our labels are from rivers, while the rest are lakes, and the remaining one-third are from lakes. We sampled a larger portion from Deserts and Xeric Shrublands
- 135 (16 samples) because water extraction methods generally perform worse in these regions, especially when using radar imagery (Martinis, 2017).

Biome Number of Samples Tropical & Subtropical Dry Broadleaf Forests 2 Tropical & Subtropical Moist Broadleaf Forests 12 Tropical & Subtropical Coniferous Forests 3 Temperate Broadleaf & Mixed Forests 8 Temperate Conifer Forests 7 Boreal Forests/Taiga 8 Tropical & Subtropical Grasslands, Savannas & Shrublands 7 Temperate Grasslands, Savannas & Shrublands 6

140 Flooded Grasslands & Savannas 5 Montane Grasslands & Shrublands 5 Tundra 8 Mediterranean Forests, Woodlands & Serub 5 Deserts & Xeric Shrublands 9 Mangroves 5 Number of samples per biome selected from our stratified random sampling for a total of 90 samples. The temporal distribution of our samples spans from 2021 to 2023, covering different seasons to capture seasonal variations in surface water extent. While our sampling strategy aimed to maximize representativeness within the constraints of labeling resources, we acknowledge that the limited number of samples (100) may not fully capture all global

During the sampling process, we implemented quality control measures to ensure that the selected locations were suitable for labeling and analysis. We downloaded the Planet scene for each location, divided the scene into 1024×1024 sized images, and then selected the image that contained sufficient water and no cloud cover.

¹⁴⁵ surface water variations.

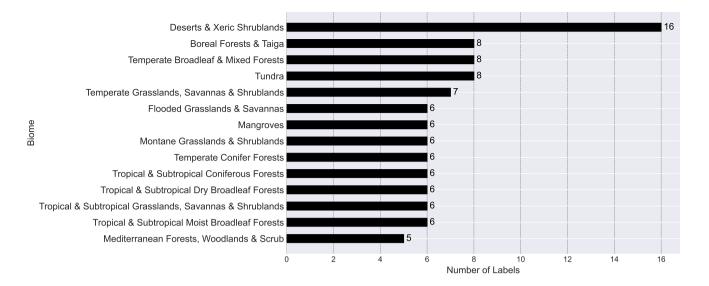


Figure 1. Location Distribution of surface water labels sampled globallylabels across different biomes. The bar chart illustrates the number of surface water labels have been sampled to be representative collected from each of 1) the diverse global 14 biomes (edefined by Olson et al. (2001). f. table ??) and 2) The sampling strategy aimed to ensure a balanced representation of surface water features across diverse ecological regions while accounting for the global spatial distribution areal coverage of each biome.

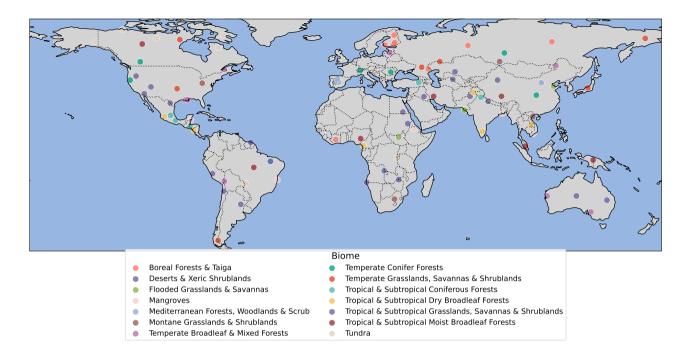


Figure 2. Global distribution of the 100 surface water labels sampled for the dataset. The map depicts the geographical locations of the sampled labels, which were sampled to represent diverse global biomes (refer to Table 1 for the number of labels per biome) and ensure a representative dataset of water features. The sampling approach also aimed to capture the variability of surface water features across urban areas, braided rivers, and coastal regions.

2.2 Data Processing

- 150 After sampling 90 selecting 100 locations based on various criteriaour sampling strategy, we downloaded 8-bandSuperDove PS imagery from the years, 3-meter resolution SuperDove PlanetScope (PS) imagery from 2021 and 2022 using our to 2023 using our access to the NASA Commercial Smallsat Data (CSDA) Programaccess. As our objective is-was to evaluate most medium resolution medium-resolution satellite sensors, including Sentinel-1 (S1), we ensured that the loss of failure of the Sentinel-1B satellite on December 23, 2021, did not create a large temporal gap between the label and the last available scene
- 155 from the satellite. Therefore, for locations found to be For locations only covered by Sentinel-1B satellite and not by and not Sentinel-1A, we acquired PS scenes before the date of failure, which is on Dec 23, 2021. The rest of the samples are all from 2022. In selecting these 90 scenes, we discarded Sentinel-1B failure date.

During the scene selection process, we excluded areas with perennially frozen water. If a location contained seasonal ice, we substituted replaced that PS image with an image from summer when a summer image when the water was not frozen. This

160 approach ensured that our dataset focused on liquid water surfaces, which are more relevant for surface water extent mapping.

From each larger PS scene, we selected an image of extracted a 1024x1024 pixels pixel image, covering an area equal to of approximately 9.4 square km. We decided on keeping the labels large enough to ensure that the corresponding spatially coincident kilometers. We chose 1024x1024 pixel images to ensure sufficient pixels and spatial context for comparison with medium-resolution imagery from Landsat or Sentinel had sufficient pixels in the image for comparison. For example, 30m

165 imagery (e.g., Landsat, Sentinel). For instance, a 30-meter Landsat image corresponding to our labels will be would have around 100x100 pixels, while a Sentinel at 10m will be 10-meter Sentinel image would have approximately 376x376, where Landsat and Sentinel have enough spatial contextpixels. Figure 3 showcases two examples of the PS images selected for labeling, displayed in False Color Composite (near-infrared, red, and green bands).

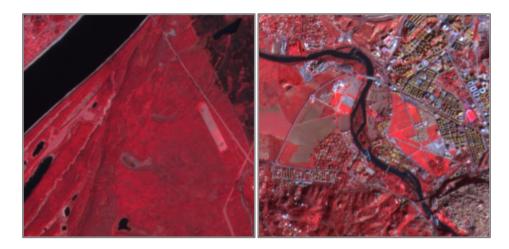


Figure 3. PlanetScope images selected for labeling are shown in False Color Composite (near infrared, red, and green). Left: Vilyuy River, Sakha Republic, Russia (SID09) and Right: Tagus River, Toledo, Spain (SID17).

2.3 Data Labeling

- 170 We recognize that labeling data is a subjective process, and will thus contain certain biases from the labeler (Paullada et al., 2021) . Moreover, the labelers will inherit the biases from the data from which they are labeling. Our objective was to provide information as close to the ground truth as possible. One way to achieve this is to label using a higher spatial resolution dataset relative to the products that will potentially be evaluated. Therefore, we used PS data at 3m with a view to evaluating any satellite product that with lower spatial resolution, e.g., S1 at 10mused high-resolution 3-meter PlanetScope (PS) data for
- 175 labeling, ideal for the evaluation of lower-resolution satellite products such as Sentinel-1 (S1), Sentinel-2 (S2) at 10 meters, or Landsat sensors at 30 meters.

We employed labelers with experience in analyzing and labeling surface waterin satellite imagery, and performed independent quality checks. The labeling was performed by experienced analysts to distinguish between three classes: water, low-confidence water, and non-water. The water class represents areas with a clear presence of water, while the low-confidence water class

180 marks pixels where the presence of water is uncertain but probable. The non-water class encompasses all other land cover types.

To assist the labelers, we created a provided true-color composite (TCC) and a false-color composite (FCC) with images using the near-infrared, red, and green bands, for each sample. We explored several annotation tools for computer vision applications and decided to use Labelbox (Sharma et al., 2019) through an academic license. We found Labelbox to have highly efficient tools for creating quality labels.

185 Examples of PlanetScope imagery and corresponding labels (Top Row: Dong Tranh River, Ho Chi Min City, Vietnam, and Bottom Row: Siran River, Pakistan). The images are labeled with three categories: 1) non-water, 2) low-confidence water, and 3) water. The low-confidence water category marks pixels where delineating between water and no water is not straightforward, but the probability of water being present is high.

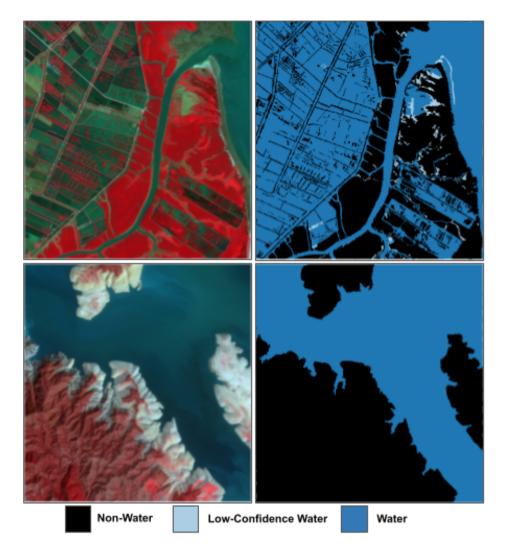
During labeling, we encountered several In cases where the presence of water was uncertain. However, whenever there was

- 190 confusion-unclear in the PS imagery, we cross-referenced with the them with higher-resolution basemaps provided by from Bing and Google. For features that were still not resolved, we introduced a 'Unresolved features were assigned to the lowconfidence water ' category. In total, we have three classes - 'water', 'low-confidence water', and 'non-water'. However, during evaluation-category, ensuring that the water class only includes pixels with a high degree of certainty. During the evaluation process, the low-confidence water class can be ignored since these corresponding features are not confidently identified, hence
- 195 kept separate from the evaluation process . After excluded or added to the water category as necessary.

To streamline the labeling process and ensure the creation of high-quality labels, we utilized the Labelbox platform (Sharma et al., 2019), which provides efficient tools for data annotation. After the initial labeling, we performed quality controls on each of the labels to ensure the accuracy of our labels. several rounds of quality checks on each label to maintain accuracy and consistency across the dataset.

In total, combining time for labeling and we labeled 100 images, each with a size of 1024×1024 pixels, covering a total surface area of 940 square kilometers. The labeling process, including quality control, we spent took approximately 2 hours for each image, equalling 180 per image, resulting in a total of 200 hours of workand leading to 204 square km of . The labeled surface water from a total surface areaof 850 square kmaccounts for nearly 250 square kilometers of the total area. Each label is provided a sampled assigned a unique sample ID (SID) ranging from 1 to 90 and contains 100 and includes the date (YYYYMMDD) of the PS image from which it was labeled used for labeling.

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2.4 Dataset Analysis

We labeled a total of 90-100 1024x1024 PS images at 3m, where water corresponds to 24% of all the 3-meters, with the overall class distribution showing that covers 24.9% of the total surface area, while low-confidence water covers 1.3%1.2%, and the rest (74.673.9%) is non-water (Fig -5). We have a well-distributed number of labeled water pixels per sample with an emphasis on images with lower percentages of water pixels since labels with a higher percentage of surface The distribution of water are relatively easy to delineate. We had a preference towards labeling more heterogenous landscapes but also included labels with

a lot of water to test the limits of satellite data products and mapping methods. Our labels covered pixel percentages for each individual label, as displayed in Figure 6, demonstrates that most labels contain less than 50% water pixels by design, with the mean water surface area per label being 26.10 km². This focus on having more non-water area enables better delineation of

215 water boundaries, as the water class itself tends to be more homogeneous and therefore less complex from both labeling and mapping perspectives.

As mentioned previously, our labeled dataset covers water surface areas across different biomes (Table 1). The mean percentage of water content per label varies substantially between biomes, from a low of 5.29% for Mediterranean Forests, Woodlands & Scrub to a high of 42.95% for Temperate Grasslands, Savannas & Shrublands. This demonstrates the diversity

220 of landscapes and water coverage captured in our dataset. In total, our dataset provides 2609.78 km² of labeled water surface area, covering a variety of landscapes – such as rivers passing through urban regions, braided rivers in the deltadeltas, rivers passing through forests and agricultural fields, including and waterbodies in plain and mountainous regions. The diversity and representativeness of our dataset make it a valuable resource for testing the limits and robustness of satellite data products and mapping methods.

225 2.5 Dataset Structure

All 100 labels are in the GeoTIFF format with the UInt8 data type and a single band. Each pixel can contain 4 possible values: 0 (nodata), 1 (non-water), 2 (low-confidence water), and 3 (water). The labels are in the WGS84 (EPSG:4326) coordinate reference system. Each label has a corresponding PlanetScope image used for labeling in Labelbox. The PlanetScope images are also in the WGS84 (EPSG:4326) CRS and contain three spectral bands (red, green, and blue) in true color composite.

230 Based on our PS image release agreement with Planet, we converted the original surface reflectance values to byte format with possible pixel values between 0 and 255, instead of UInt16.

The label files are named using the following convention: 'SIDX_YYYMMDD.tif', where 'SIDX' is the unique sample ID (X ranging from 1 to 100) and 'YYYYMMDD' represents the date of the PlanetScope image used for labeling. The corresponding PlanetScope images follow the naming convention: 'SIDX_PSID.tif', where SIDX is the same as the label, but

235 PSID is the original SuperDove PlanetScope image ID, allowing for the retrieval of the original surface reflectance values, provided there is access.

Our dataset is organized using the Spatio-temporal Asset Catalog (STAC) format, which is a standardized way to describe and catalog geospatial data. The STAC format provides a clear and consistent structure for storing and accessing the labels and their corresponding PlanetScope images, along with relevant metadata.

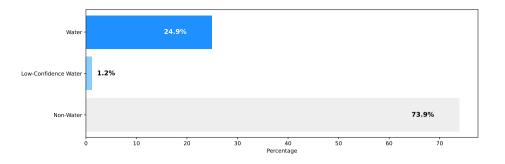


Figure 5. Class distribution across Labels (non-water, low-confidence water, and water) for all chips. Non-water class shares the largest percentage as it encompasses the water class. Low-confidence water pixels are only a minor percentage.

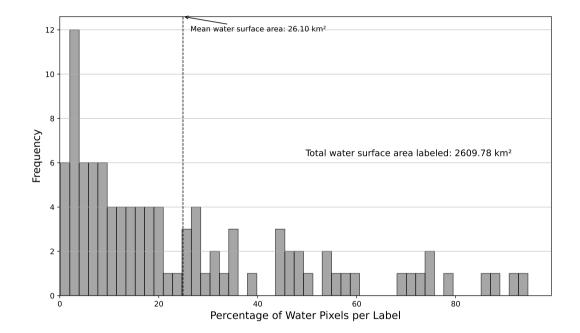


Figure 6. Distribution of water pixels per sample. The figure shows the percentage of water pixels within one sample. Most samples contain less than 50% of water by design, as the focus is to delineate the boundaries since the water class is more homogeneous, therefore, less complex.

240 3 Evaluating surface water mapping method using our hand-labeled dataset

To demonstrate the use of our dataset, we evaluate a novel surface water mapping method called the Equal Percentage Solution (described below)based on S1 imagery. First, we generated watermaps using our method using S1 imagery and evaluated these watermaps against our labels . Apart from evaluating the maps quantitatively, we also visually compared the water maps with the original PS data to understand the possible reasons behind the performance.S1 radar imagery has the advantage of not being

Biome	Mean Water Content per Label %
Boreal Forests & Taiga	22.48
Deserts & Xeric Shrublands	18.96
Flooded Grasslands & Savannas	27.45
Mangroves	40.75
Mediterranean Forests, Woodlands & Scrub	5.29
Montane Grasslands & Shrublands	23.71
Temperate Broadleaf & Mixed Forests	19.48
Temperate Conifer Forests	6.55
Temperate Grasslands, Savannas & Shrublands	42.95
Tropical & Subtropical Coniferous Forests	16.80
Tropical & Subtropical Dry Broadleaf Forests	20.71
Tropical & Subtropical Grasslands, Savannas & Shrublands	11.96
Tropical & Subtropical Moist Broadleaf Forests	27.39
Tundra	30.77

 Table 1. Mean percentage of water content per label across different biomes. The table shows the average proportion of water pixels within

 the labeled samples for each biome, highlighting the variability in water coverage across diverse ecological regions.

245 significantly affected by clouds which increases its overall data availability compared to optical sensors such as Landsat 8/9 and Sentinel-2. We downloaded S1 data using Alaska Satellite Facility's Vertex platform and HyP3 package. In the next two subsections, we explain our surface water mapping method, then we analyze the S1 data and evaluate the results.

3 Evaluating surface water mapping methods using our hand-labeled dataset

3.1 The Equal Percentage Solution

250 Our approach to water mapping with ESA S1 SAR scenes begins with downloading the VV-polarization scenes from the Alaska Satellite Facility (ASF) and pre-processing the VV scenes (Meyer et al. We evaluated two surface water mapping methods based on an optical and a radar satellite imagery product to demonstrate the use of our validation dataset. We used standard metrics for classification - Precision, Sensitivity, Specificity, F1, IoU, and Accuracy for evaluating the two surface water maps. We measured their performance across each biome and their overall performance.

255 3.1 Performance of Sentinel-2 based Dynamic World on detecting surface water

Dynamic World (DW) is a land use land cover product from Google that utilizes a deep learning model trained on their own labeled dataset. The product includes 9 classes, in preparation). After pre-processing, as per Twele et al. (2016) and using

code implemented by the Alaska Satellite Facility (Meyer et al. including water, in preparation), we divide the scene into 200x200 pixel subscenes and order the subscenes according to their backscatter variability. High variability in subscenes is an

- 260 indication that multiple land cover classes are likely in the subscene, with a significant probability that these will include both water and not-water classes. Next, (as per Meyer et al., in preparation), we eliminate the subscenes with the highest and lowest 5-percentile variability; this is done to mitigate the possibility of selecting scenes with a high level of anomalies. Uniquely to our algorithm (to the best of our knowledge) we then filterproduces a map for every Sentinel-2 image. Each Sentinel-2 image is post-processed and cloud-removed. We downloaded Sentinel-2 images within 3 days of each of the selected sub-scenes to
- 265 include only those exhibiting bi-modal behavior and then model the distribution of each resulting sub-scene as a bi-modal Gaussian distribution. From this model, we derive a threshold at which the percentage of false positives and false negatives are expected to be equal. This is done by selecting an essentially random threshold (-15.5 dB) as a starting place, calculating the percentage of expected false positives and false negatives (see Fig. ??), and moving the threshold until the expected percentage of false positives is equal to the expected percentage of false negatives (within +/- 0.1 dB) 100 labeled PlanetScope images. We
- 270 also applied a Not-a-Number (NaN) filter, ensuring that images with at least 90% valid pixels are considered. After applying the temporal and NaN filters, there were 53 corresponding Sentinel-2 based DW maps out of our 100 labels. From each DW map, we extracted the first band, which contains the water class. Each DW class contains continuous values between 0 and 1, where 1 denotes the highest confidence in the model prediction. We converted the continuous values to binary, thresholding at 0.3. The water class is one of the least confused classes in the DW product, so mixed pixels are less likely. Finally, we evaluated
- 275 DW on our labels. Note that for evaluation, we converted the low-confidence water class to water. We finally resampled the DW water class to match the resolution of the labels at 3-meters using nearest neighbor interpolation before evaluating. Note that for evaluation, we merged the low-confidence water class with water. Therefore, labels were either 0 (non-water) or 1 (water).

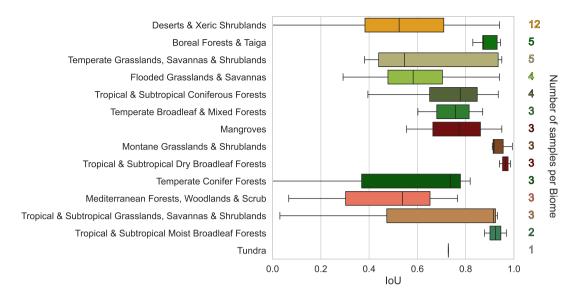


Figure 7. Visualization Intersection over Union (IoU) performance of the thresholding algorithm based Dynamic World (DW) water class across different biomes. The number of samples per biome is shown on the Sentinel-1 VV band: a threshold value is chosen to identify the valley right of each bar. Higher IoU scores suggest better performance in the bimodal distribution to differentiate between the detecting surface waterand non-water classes. In this given schematic representation, The error bars represent the number standard deviation of water pixels dominatesIoU scores within each biome.

If the threshold is between -38.332 dB and -10.278 dB, we add this threshold to a list of candidate thresholds for the entire scene. The upper and lower boundary values for the threshold were established by evaluating the statistics of the S1 pixels corresponding to near-coincident optical data hand-labeled as water in Figure 9 illustrates the performance of the evaluation 280 process (discussed more below). For those pixels evaluated as water, a bimodal, near-Gaussian distribution of the backscatter values was found. On closer inspection, it was found that the higher mode corresponded frequently with rough water, but also other anomalies mentioned below. A backscatter value from a single polarization band cannot be used to distinguish such features from land, so we used the statistics (specifically 3 standard deviations) for the lower distribution to set upper and 285 lower bounds for the subscene thresholds, water class in the Dynamic World product across different biomes using IoU. IoU provides an assessment of the overlap between the predicted and ground truth water pixels, with higher values indicating better performance. The number of samples per biome varies, with some biomes having more representative data than others. For biomes with a larger number of samples, such as Deserts & Xeric Shrublands and Boreal Forests & Taiga, the IoU scores provide a more robust evaluation of the DW water class performance. Despite the variations in sample size, notable differences 290 in performance can be observed among the biomes. It is important to note that the IoU metric is influenced by the amount of

water present in each label. Higher water percentage often leads to higher IoU. However, our dataset has an average of 26.1% surface water pixels, providing a balanced assessment of the DW water class performance.

As per Twele et al. (2016), we then repeat this process starting with the subscenes meeting the requirements above with the highest variability and proceeding with subscenes having successively lower variability. When a maximum of 10 candidate thresholds have been found, we take their median value (similarly to Twele et al. (2016) and Meyer et al., in preparation). This median threshold is then used as the candidate upper threshold for water identification in the full scene. Similar to ASF, if all subscenes meeting the above requirements have been inspected and 10 candidate thresholds are not found, but a minimum of 5 are found, we still consider this a representative sample and use their median value as the threshold for the full scene. If less than 5 candidate thresholds are found, a default value of -15.5 dB (as per Meyer et al., in preparation) is used.

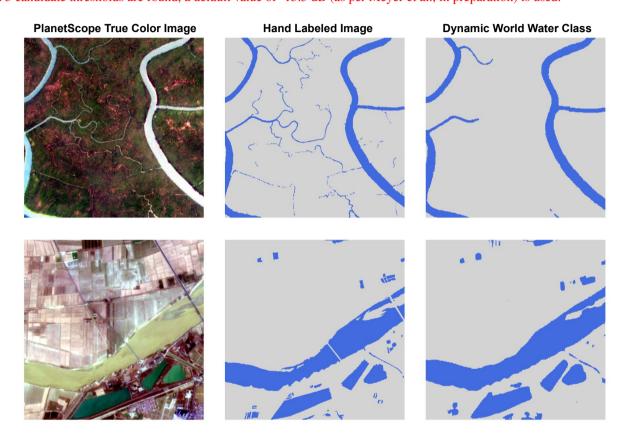


Figure 8. Comparison of a PlanetScope true color image (left), the corresponding hand-labeled image (middle), and the Dynamic World water class prediction (right). Top: Sundarban National Park, Bangladesh (SID01), Bottom: Shandong, China (SID13).

300 During our evaluation of the resulting water maps (discussed below) Figure 8 provides a visual comparison of the Dynamic World water class predictions with the hand labels for two locations: Sundarban National Park, Bangladesh (SID01) and Shandong, China (SID13). The DW product appears to capture the majority of the water pixels accurately, the percentage of false positives was found to not be the same as the percentage of false negatives, however, when we modified the algorithm to require the percentage of false positives to be equal to the percentage of false negatives minus an offset percentage, the evaluation results trended toward equal false positives and false negatives (see Fig. ??). Equal false positives and negatives

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would allow the model to produce more balanced water maps that do not tend to overpredict or underpredictit misses the narrow rivers (SID01) and the incorrectly ignores two bridges (SID13).

 Table 2. Performance metrics for the Dynamic World (DW) water class evaluated on our hand-labeled dataset. The table presents the mean and standard deviation of various metrics. IoU denotes Intersection over Union. Higher values indicate better performance.

<u>Metric</u>	Mean	Std Dev
Precision	0.8812	0.2301
Sensitivity	0.7745	0.2830
Specificity	0.9656	0.0888
F1 Score	0.7970	0.2623
<u>IoU</u>	0.7216	0.2763
Accuracy	0.9529	0.0542

Sensitivity analysis of the model parameters to produce equal false positives and false negatives.

Based on these results, we incorporated an offset of 15.3 percent +/- 0.1 percent offset between the false positives and the false negatives into our algorithm. Requiring the percent false positives to be equal to the percent false negatives less this offset

- 310 in the algorithm described above led to evaluated results for which on averagethe percent false positives were equal to the percent false negatives. All pixels with VV polarization backscatter below the resulting threshold are provisionally labeled as waterand the rest of the pixels are provisionally labeled as non-water. Similarly to the work by (Twele et al., 2016) and Meyer et al. Table 2 summarizes the performance metrics for the Dynamic World water class evaluated on our hand-labeled dataset. The mean precision of 0.8812 indicates that, on average, in preparation (they however, use a fuzzy-logic-based approach) any
- 315 pixelswith a slope greater than 15 degrees or Height Above Nearest Neighbor (HAND; Nobre et al., 2011) above 15m are then labeled as not water 88.12% of the pixels predicted as water by DW are actually water in our ground truth labels. The mean sensitivity (recall) of 0.7745 suggests that DW correctly identifies 77.45% of the water pixels in our labels. The high mean specificity (0.9656) indicates that DW accurately classifies non-water pixels, with minimal misclassification as water. The F1 score, which is the harmonic mean of precision and recall, has a mean value of 0.7970, indicating a good balance between
- 320 the two metrics. The mean IoU of 0.7216 signifies that, on average, there is a 72.16% overlap between the predicted and ground truth water pixels. Lastly, the mean accuracy of 0.9529 shows that DW correctly classifies 95.29% of the pixels overall, including non-water pixels. However, the high standard deviation indicates that there is a large variability in performance for almost all metrics except Specificity and Accuracy, since they take into account the non-water pixels.

3.2 Evaluating Performance of Sentinel-1 imagery and the Equal Percent Solution (EPS) based deep learning model

325 We downloaded the evaluated the performance of a deep learning model (Paul and Ganju, 2021) for inundation mapping that uses S1 images that were spatially and temporally coincident with our labels. All the radar imagery. This deep learning model was the competition winner at the NASA IMPACT challenge for flood detection challenge. Unlike Dynamic World which contained a surface water class, this method focuses on flood or more specifically inundation class. Technically, our hand-labeled dataset also labels inundation although our labels did not focus on capturing flooding. Therefore, we are not

330 directly comparing S1 images were within 3 days of the labeled PS images. Since we are focusing on permanent surface water in this dataset, we do not expect a significant difference between the S1 scenes and the PS-derived labels with respect to surface water extentIMPACT flood model against the Dynamic World water class.

We analyzed the distribution of pixel values of processed radiometrically corrected S1 's VV band across our labeled water pixels (Fig. ??). We found a bimodal distribution with a larger peak at lower backscatter values and another smaller peak at

- 335 higher backscatter values. We were expecting a normal distribution as these pixels are all related to the water class. Therefore, we investigated the source of this anomaly by isolating the imagery from Alaska Satellite Facility (ASF)'s data repository using the Hyp3 API. S1 pixels with high backscatter values from this smaller peak. Since the water classes were identified using PS imagery at a higher 3m compared to 10m, we were careful to avoid misregistration errors and include non-water samples. To avoid this, we resampled the imagery was searched for each label 3 days before and after the labeled date. We clipped the
- 340 S1 images from 10m to 3m using the nearest neighbor algorithm. Next, we created a negative 20m buffer around the water classes in each label to ensure only water pixels from scenes based on the labels and then we applied the trained model to these clipped S1 images are selected. Analyzing these spurious scenes using the trained model. We then evaluated the predictions from the deep learning model on our labels after resampling the imagery to match the resolution of the higher resolution labels using nearest neighbor interpolation. 72 S1 pixels from within the water class, we made several observations (Fig. ??) the
- 345 presence/absence of temporary objects such as ships on waterbodies is expected due to temporal differencesbetween PS and S1, S1 has significantly different signals for muddy and turbulent waters, further, S1 captured narrower river channels compared to PS in hilly regions possibly due to signal distortion. Additionally, in one case, stray signals from nearby buildings distorted the nearby river and affected the water pixels. These anomalous signals highlight the issues behind detecting water using S1 imagery. images were selected for this evaluation. Note that for evaluation, we converted the low-confidence water class to
- 350 water. Therefore, labels were either 0 (non-water) or 1 (water).

Distribution of pixel values in VV polarisation across Sentinel-1 imagery corresponding to the water class in the hand labels

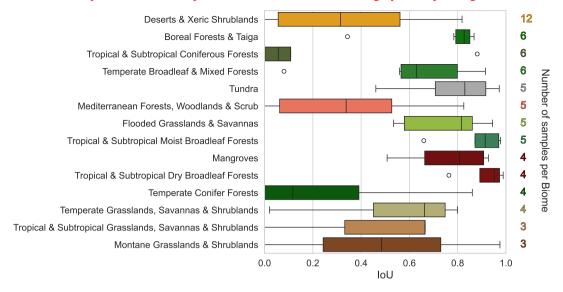


Figure 9. Intersection over Union (IoU) performance of the Sentinel-1 based deep learning model across different biomes. The number of samples per biome is on the right of each bar. Higher IoU scores suggest better performance in detecting surface water. The error bars represent the standard deviation of IoU scores within each biome.

Figure 9 illustrates the performance of the S1-based deep learning model across different biomes using the Intersection over Union (IoU) metric. Performance across biomes has a large variation, with some notable differences. For example, the IMPACT model performed robustly on Tropical & Subtropical Dry Broadleaf Forests, Tropical & Subtropical Moist Broadleaf Forests, Tundra, and Mangroves. Whereas for Tropical & Subtropical Coniferous Forests, Temperate Conifer Forests, and Desert & Xeric Shrublands the model performed less accurately and with large variations. Especially, Mediterranean Forests, Woodlands

& Scrub where the model consistently performed poorly. The effectiveness is influenced by the fact that the training dataset of this model is focused on only 5 flood events globally. Therefore, performing accurately on the global surface water dataset is not the objective of this model. Nonetheless, the objective is still detection inundation and the variation in performance provides clues to how such a model can be improved by sampling from biomes or other contexts (urban, river, lake, etc.).

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Differences between PlanetScope (all in False color composite), Sentinel-1, and Hand-Labels. First row (New Orleans, Lousiana, USA): differences due to passing water vehicles. Second (Tekeze river, Ethiopia): narrower river width in ntinel 1 compared to PlanetScope. Third (Papua, Indonesia): Sentinel 1 did not pick up muddy waters in the river channel

Sentinel-1 compared to PlanetScope. Third (Papua, Indonesia): Sentinel-1 did not pick up muddy waters in the river channel.

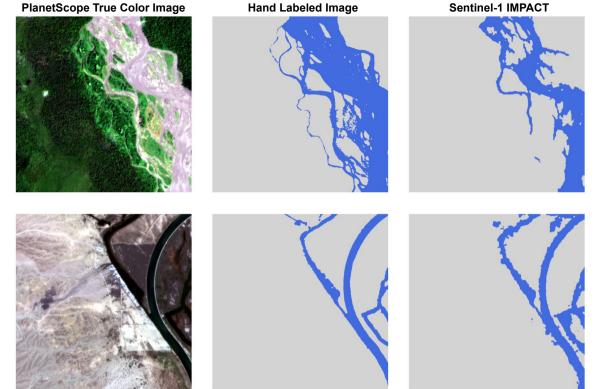


Figure 10. Comparison of a PlanetScope true color image (left), the corresponding hand-labeled image (middle), and the surface water predictions of the Sentinel-1 based deep learning model (right). Top: Nam Dinh, Vietnam (SID33), Bottom: Paymaster Landing, California, USA (SID59).

360 Next, we applied the Equal Percent Solution algorithm to the processed S1 scenes to identify water.EPS achieves a high User's Accuracy at 87.90% but a low Producer's accuracy at 65.67% (Fig ??). Additionally, an overall F1 score of 72.16% and an intersection over union (Jaccard) of 62.47%. These scores are influenced by low commission errors and high omission errors by EPS, suggesting that the algorithm misses a lot of water pixels, but rarely misclassifies non-water to water. The second smaller peak observed in the pixel value distribution of S1's VV band over the water class was mostly comprised of turbulent and muddy waters. We know that increased surface roughness produces a different signal in synthetic aperture radar imagery than calm waters leading to high omission errors. This issue of omission with respect to muddy rivers compared to ealmer lake waters can be observed in figure ??. S1 is more effective for identifying calm waters, but not for turbulent and shallow waters. Our method takes advantage of Figure 10 provides a visual comparison of the Sentinel-1 based deep learning model's predictions with the ground truth labels for two locations: Nam Dinh, Vietnam (SID33) and Paymaster Landing.

370 California, USA (SID59). The model appears to capture the majority of the water pixels accurately. However, the labels and the corresponding prediction by S1-based model demonstrates the complexity of labeling and identifying water in a meandering braided river (SID33). In case of SID59, the S1 properties to detect calm surface water while missing rougher surfaces. The low commission error in useful in situations such as flooding, where fair allocation of resources is important. Therefore, a method with a high User's Accuracy is more reliablemodel performs well except for the coarser edges of a river in a more arid landscape.

 Table 3. Performance metrics for the Sentinel-1 (S1) IMPACT flood detection model evaluated on our hand-labeled dataset. The table presents

 the mean and standard deviation of various metrics. IoU denotes Intersection over Union. Higher values indicate better performance.

Results from evaluating Equal Percent Solution applied on Sentinel-1. Top Row: Ho Chi Minh City, Vietnam (F1 score: 83.45%), Middle: Sudd, South Sudan (F1 score: 96.89%). Bottom: Shandong, China (F1 score: 75.22%). Note the omissions

due to surface roughness in the Equal Percentage Solution.

	<u>U</u>	0	_
Metric	Value (%)<u>Mean</u>	Std Dev	
Users Accuracy Precision	87.90 Producers Accuracy 0.6547	65.67 <u>0.3488</u>	
Omission Error Sensitivity	34.33 -0.7485	0.3408	
Commission Error Specificity	12.10 0.8653	0.2309	Overall metrics from the evaluation
F1 Score	72.16 -0.6579	0.3435	
Jaccard-IoU	62.47- 0.5761	0.3406	
Overall-Accuracy	88.00 0.8734	0.1922	

expressed in percentages. Confusion Matrix showing the true positives, false positives, true negatives, and false negatives from the evaluation. Overall performance metrics from the evaluation of Equal Percentage Solution on Sentinel-1 on our hand-labeled dataset.

Per label variance in User's Accuracy, Producer's Accuracy, F1 score, and Overall Accuracy from the surface water maps of Equal Percent Solution on Sentinel-1. Table 3 summarizes the performance metrics for the S1 based deep learning model evaluated on our hand-labeled dataset. The metrics exhibit significant variability across the evaluated labels. The S1 IMPACT model generally found it difficult to predict water pixels across several biomes. Apart from the differences in resolution, turbulent water and water located in spatially heterogeneous landscapes are more complicated to detect. Given the cloud free

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observations, S1 based models can be of considerable benefit for regular monitoring and consistent observations.

4 Limitations

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Although our hand-labeled dataset provides a valuable resource for evaluating surface water extent products, it has several limitations that must be considered. First, the spatial resolution of the dataset is limited to 3m, making it more suitable for evaluating lower spatial resolution imagery (> 3m). For higher resolutions (<= 3m), the influence of human labeling errors on the evaluation results is likely to increase. Despite our efforts to cross-reference multiple sources (PS imagery, Bing , of

higher resolution (<1m Bing and Google basemaps) during our labeling process and implement significant considerable quality control, the dataset unavoidably contains biases from our labelersand the data used to label. In other words, a , in addition to the biases in the optical PS imagery itself. A model using PS will likely perform the best since PS was the primary source for

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0 labeling. Moreover, some features remained unresolved, <u>especially features finer than 3m</u>, leading to the addition of another class called "low-confidence water".

While we made an effort to include samples from diverse contexts in which water can be found (urban, lakes, braided rivers, mountainous regions) and multiple biomes covering different seasons, designing a truly representative dataset is not feasible. The stratified random sampling strategy used to create the dataset aims to cover diverse contexts and biomes but may

395 not capture all the variability in surface water appearance across different regions and seasons. Additionally, the dataset only represents a snapshot in time and does not account for temporal changes in surface water extent, which can be significant in some regions due to seasonal variations, human interventions, or flooding. For example, this dataset does not include frozen water bodies.

Therefore, we recommend using evaluations from multiple independent datasets from various sources to achieve further robustness in evaluation. Finally, While our dataset is primarily a validation dataset and designed for validation purposes, it can still be used for fine-tuning pre-trained models. However, it does not include the input original input PlanetScope images of our labels, which are required for training models. Hence, it cannot be used for benchmarking methods. However, this This ensures that there is no data leak from the training process, maintaining the integrity of the evaluation process.

Reliable and accurate monitoring of global water resources is crucial for sustainable water management and conservation.
405 Remote sensing technology, with the recent rise in data availability and access to computational resources, has revolutionized our ability to monitor water resources using high-resolution products and advanced machine learning algorithms. Despite the existence of numerous solutions, trust in these products remains a challenge since there is no single perfect product or method for surface water mapping. Hence, identifying the advantages and drawbacks of each of these solutions under different

410 is crucial for developing reliable surface water extent products assessing the robustness and generalizability of surface water mapping methods.

conditions. Nevertheless, relying on a single dataset for evaluation has its limitations, and using multiple independent datasets

5 Discussion and Conclusions

In this study, we have presented globally sampled high spatial resolution hand labels based on 3m PlanetScope imagery which serves as an independent validation dataset for surface water extent mapping methods. Our dataset includes locations of surface

415 water from diverse contexts globally sampled, covering 14 biomes, from multiple continents, high-resolution surface water dataset consisting of 100 hand-labeled images derived from 3-meter PlanetScope imagery. Our dataset covers diverse biomes and contexts, including urban and rural areas, lakes, and rivers, including braided riversand shorelines. Using this dataset, we introduced and evaluated a novel Sentinel-1 algorithm called the Equal Percentage Solution for surface water extent mapping . The evaluation process using our hand-labels highlighted the advantages and drawbacks of the satellite imagery product and

420 the method introduced in this study.

Our study underscores rivers, braided rivers, and coastal regions. The thorough labeling process, which involves cross-referencing multiple data sources and extensive quality control, ensures the reliability of the labels. These characteristics make our dataset a valuable resource for evaluating the performance and robustness of surface water mapping methods across a wide range of landscapes.

- 425 By applying our dataset to the S2-based Dynamic World and S1-based NASA IMPACT models, we demonstrated its utility in identifying the strengths and limitations of different satellite imagery products and methodologies. The variability in performance across biomes highlights the importance of using representative validation data to assess the spatial generalizability of mapping methods. Our findings underscore the need for developing and utilizing multiple independent validation datasets to ensure accurate and reliable water resource monitoring . The insights from our evaluation process improve our understanding
- 430 of the characteristics of the satellite product used in our study and how it influences the effectiveness of comprehensively evaluate surface water products and build trust in their results.

Accurate and reliable monitoring of surface water resources is crucial for sustainable water management, climate change adaptation, and conservation efforts. High-quality validation datasets like ours play a vital role in advancing these goals by enabling the development and assessment of more effective mapping methods. We believe that the availability of such datasets

- 435 can facilitate standardized evaluations of data products and surface water extent methods. Ultimately, our dataset contributes to the development of more effective and sustainable water management practices, which are essential for the conservation of our natural resources anticipate that our dataset will contribute to improving the accuracy, robustness, and spatial generalizability of surface water mapping products, ultimately supporting better-informed decision-making and more efficient management of our precious water resources in the face of growing global challenges.
- 440 *Data availability.* Our global surface water dataset (Mukherjee et al., 2024) used in this study is available in the CyVerse Data Commons, accessible via https://doi.org/10.25739/03nt-4f29.

Author contributions. R.M., F.P., and B.T. designed the dataset, developed the sampling strategy, and structured the paper. R.M. and J.G. wrote the paper. R.W., P.S., E.A. labeled the images. R.M., R.W., P.S., E.A., and Z.Z. processed the data. Z.Z. uploaded the dataset.

445 Competing interests. No competing interests are present.

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