



# Multiscale observation network of ground surface temperature under different landcover types on NE Qinghai-Tibet Plateau

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## Abstract.

20 Ground surface temperature (GST), measured at approximately 5 cm in depth is a key parameter controlling subsurface biophysical processes at the land-atmosphere boundary. This work presents a valuable dataset of GST observations at various spatial scales in the Headwater Area of the Yellow River (HAYR). The HAYR is a representative area of high plateau permafrost on northeastern Qinghai-Tibet Plateau (QTP). GST was measured every three hours using 72 iButton temperature loggers (DS1922L) at 39 sites from 2019 to 2020. At each site, GST was recorded in two plots at distances from 2 to 16 m under similar and different landcover conditions (steppe, meadow, swamp meadow, and bare ground). These sensors proved  
25 their reliability in harsh environments as only 165 measurements were biased from a total of 210,816. A high significant correlation ( $> 0.96$ ,  $p < 0.001$ ) was observed between plots, with a mean absolute error (MAE) of 0.2 to 1.2 °C. The daily intra-plot differences in GST were mainly  $< 2$  °C for sites with similar landcover in both plots and  $> 2$  °C when bare ground was compared to vegetation. From autumn to spring, the differences can increase to 4–5 °C for up to 15 days. The values of the frost number (FN) were quite similar between the plots with differences  $< 0.05$  for most of the sites. This dataset  
30 complements the sparse observations of GST on the QTP and helps to identify the permafrost distribution and degradation at high resolution and to validate and calibrate the regional permafrost models. The datasets are openly available in the National Tibetan Plateau/Third Pole Environment Data Center (<https://dx.doi.org/10.11888/Cryos.tpd.272945>, Şerban and Jin, 2022).

**Keywords:** Ground surface temperature (GST), permafrost, fine-scale, landcover, Qinghai-Tibet Plateau (QTP)



## 35 1 Introduction

Known as the Roof of the World or the Third Pole, the Qinghai-Tibet Plateau (QTP) is the largest and highest plateau in the world due to its complex terrains and an average elevation of 4000 m a. s. l. (Li et al., 2022). The QTP is highly sensitive to climate change and the plateau ecosystems are vulnerable to climate warming because 55% of its surface is underlain by seasonally frozen ground (SFG), and 41% by permafrost (Zou et al., 2017; Cao et al., 2022). In most locations around the world, permafrost monitoring sites have revealed an increase trend in permafrost temperature (Biskaborn et al., 2019). On the QTP, the temperature of permafrost at 6 m depth increased by 0.43 °C from 1996 to 2006 (Wu and Zhang, 2008) and at an average rate of 0.02 °C/year from 2006 to 2010 (Wu et al., 2012). Half of the permafrost from monitoring sites on the QTP is warm with a temperature > -1 °C, while for 2/3 of sites, it is > -1.5 °C (Wu et al., 2010).

Earth system models predicted that permafrost thicker than 10 m covers 36% of the QTP and will continue to decrease at rates of up to 21 cm per year under various climate change scenarios (Zhao et al., 2022). Several models for permafrost distribution have been generated for the QTP but with significant differences in permafrost areal extents and in model accuracies as compared to in-situ observations (Yin et al., 2016; Zhao et al., 2017; Zou et al., 2017; Qin et al., 2020; Cao et al., 2022). However, the borehole networks for permafrost monitoring are sparse on the QTP, concentrated solely along the main infrastructure lines, and could not properly validate the permafrost models. Therefore, the observational networks of ground surface temperature (GST) could represent a solution to better evaluate these models in rugged terrains and at a higher spatial resolution.

GST is essential for understanding the surface energy dynamics and flows, water cycles, ecology, and climate change impacts in the Earth Critical Zone, especially in cold regions. GST directly impacts the chemical and biological processes of carbon and nitrogen in the soil and establishes the quality of soil resources (Li et al., 2022). GST has been largely used to understand the thermal regimes in the periglacial environment, the hydrothermal and biogeochemical dynamics of the active layer, and to delineate the distribution of SFG and permafrost (Rödger and Kneisel, 2012; Vieira et al., 2017; Luo et al., 2019; Wani et al., 2020; Serban et al., 2021; Jiao et al., 2023). GST influences the surface water cycles and vegetation growth and can be used as the upper boundary condition for simulating the thermal state of permafrost and active layer thickness (Wani et al., 2020; Jiao et al., 2023). Thus, GST is monitored through the Global Terrestrial Networks for Permafrost (Biskaborn et al., 2015), and has been recently incorporated into the global database of near-surface temperature (SoilTemp) that covers all types of environments (Lembrechts et al., 2020). GST is usually measured at approximately 5 cm into the ground but in literature, the GST depth was varying from 2 to 10 cm (Onaca et al., 2015; Ferreira et al., 2017; Oliva et al., 2017; Grünberg et al., 2020). The GST depth varies according to the landcover type being closer to the surface in fine soils and up to 10 cm in pebbles (e.g., rock glaciers, scree slopes).

A high spatial variability of the mean annual GST (MAGST) has been reported in alpine regions due to the large heterogeneity of the surface covers, topography, and snow cover conditions. However, few studies compared the variability of MAGST at a small scale such as 0.5 km<sup>2</sup> in the Scandinavian Mountains and Arctic Canada (Gisnås et al., 2014; Grünberg et al., 2020), and



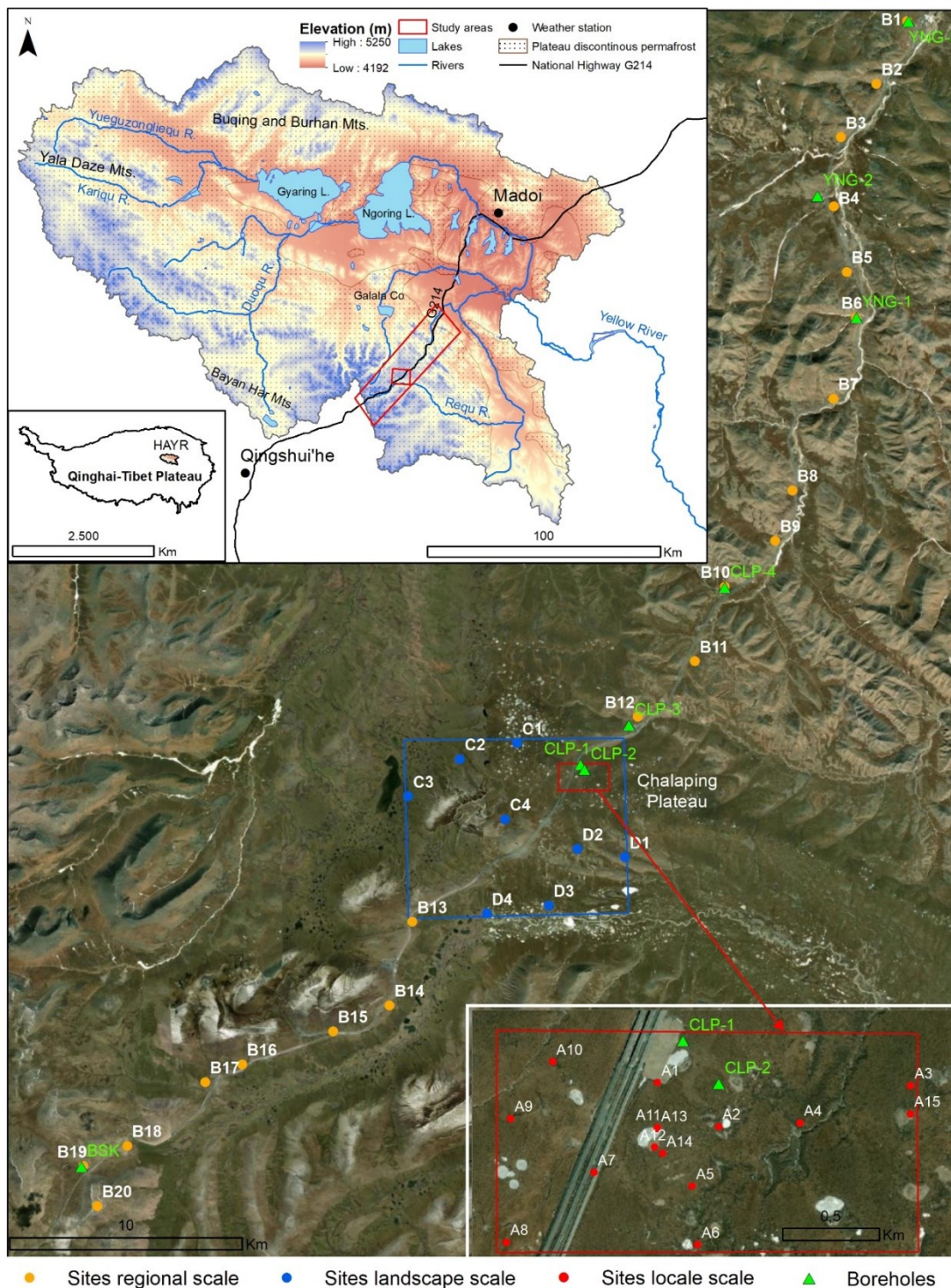
distances < 50 m in the Swiss Alps (Gubler et al., 2011; Rödder and Kneisel, 2012) or up to 100 m in Southern Norway (Isaksen et al., 2011). Recently, variations in MAGST have started to be also assessed on small scales on the northeastern QTP, such as 3.5 km<sup>2</sup> (Luo et al., 2020) and at distances from 2 to 16 m and areas of 2 to 50 km<sup>2</sup> (Şerban et al., 2023). Unfortunately, GSTs are still scantily monitored in mountains, while studies have revealed their importance in the cryosphere, ecosystems monitoring, and hazard mitigation (Messenzehl and Dikau, 2017; Hagedorn et al., 2019; Wani et al., 2020; Li et al., 2022). Nevertheless, we lack systematic monitoring of GSTs and an understanding of their fine scale variability in time and space. This paper presents a unique dataset of GST measurements at various spatial scales and under different landcover types in the Headwater Area of the Yellow River (HAYR). The objectives of this paper are: (1) Present the GST monitoring design from fine-scale (2 to 16 m distance) to local and landscape scales (2 and 50 km<sup>2</sup>) and across an 800-m elevational transect; (2) Evaluate the data quality; (3) Identify the fine-scale variability of GST under similar and different landcover conditions, and; (4) Assess the possibility to identify permafrost occurrence based on GST. This work focuses on presenting the data and mainly the differences of the daily GST at the fine scale. The variability of the MAGST at other scales and their environmental controls have been assessed in detail by Serban et al. (2023).

## 2 Materials and methods

### 2.1 Study area

The HAYR, situated on the northeastern QTP, is part of the Sanjiangyuan (Source Area of the Three Rivers – SATRs: Yangtze, Yellow, and Lancang-Mekong rivers) National Park and one of the key water towers in China (Fig. 1). The HAYR is an important water conservation zone and a representative area of discontinuous alpine permafrost on northeastern QTP. Numerous thermokarst lakes and ponds occur in this area. For example, on the Chalaping plateau in an area of 150 km<sup>2</sup>, we mapped 966 water bodies (Şerban et al., 2020). Therefore, the HAYR is a sensitive area to permafrost and ecological degradation under ongoing warming and drying climate (Jin et al., 2009, 2022). Under different climate change scenarios, predictions show a continuous reduction of permafrost extent in the HAYR by up to 62% by 2100 (Sheng et al., 2020). In the HAYR, the climate is cold and dry with a mean annual air temperature (MAAT) below –4 °C and annual precipitations at 300–450 mm. Solar radiation is strong with an annual evaporation potential at 1000–1500 mm (Jin et al., 2009).

The monitoring network of GST is situated in the south-central part of the HAYR on the northern flank of the Bayan Har Mountains and covers an elevational range from 4300 to 5085 m a. s. l. The principal vegetation types in the Bayan Har Mountains are alpine meadows and alpine swamp meadows. Alpine steppes are present mainly at the foot of the mountains while at higher elevations scree slopes and block streams also occur. However, areas of sparse vegetation and bare ground occur everywhere due to the recently intensified degradation of vegetation (Li et al., 2016; Jin et al., 2022).





100 **Figure 1. Location of the monitoring sites of ground surface temperature (GST) in the south-central Headwater Area of Yellow River (HAYR), on northeastern Qinghai-Tibet Plateau (QTP). Permafrost distribution after Wang et al., (2005). Digital Elevation Model ALOS PALSAR from Alaska Satellite Facility (<https://www.asf.alaska.edu/>). Background satellite image from ESRI.**

## 2.2 Design of monitoring networks

105 The observational network of GST in the HAYR was designed to monitor the effects of changing landcover and microtopography on the GST regime in a warming permafrost environment. GST was monitored along an 800-m elevational transect, at local and landscape scales (2 and 50 km<sup>2</sup>) and at a fine scale on distances up to 16 m.

### 2.2.1 Elevational transect (800 m elevation difference)

110 The elevational transect is a relatively linear transect on the northern flank of the Bayan Har Mountains along the National Highway G214 (Fig. 1). Consists of 20 sites (named B sites) covering a distance of 51 km with neighbouring intra-site intervals at 2–3 km. The first site is located near Ye’niugou Village at 4331 m a. s. l. and the highest site is on the summit at 5085 m a. s. l. Therefore, the elevational transect covers an elevational difference of approximately 800 m. Sites are placed in the proximity of both sides of the highway in different landcover types, such as the alpine steppe, meadow, swamp meadow, and bare ground. The boreholes for permafrost monitoring along the Highway G214 revealed SFG at the Ye’niugou Village and  
115 up to 4400 m a. s. l. Permafrost started to occur at elevations from above 4440 m a. s. l. and up to the Bayan Har Mountains Pass (4833 m a. s. l.) during the observational period of 2010–2017 (Luo et al., 2018b).

### 2.2.2 Local scale (2 km<sup>2</sup>)

120 In the middle of the elevational transect on the Chalaping plateau, 13 sites (named A) are placed within an area of 2 km<sup>2</sup> for monitoring the GST at the local scale (Fig. 1). At Chalaping, there is one of the coldest sites of permafrost monitoring in the HAYR. For the period 2010–2017, the measured permafrost thickness was 74 m and the mean annual ground temperatures at 10 and 20 m depths were –1.8 and –1.6 °C, respectively (Luo et al., 2018b). Within the 2 km<sup>2</sup>, sites are placed in homogenous topographical conditions over a flat peat plateau with an elevational difference of only 18 m (from 4714 to 4732 m a. s. l.). Differentiation is caused by micro-topography and landcover variety because sites are placed in alpine meadows, swamp meadows, bare grounds, disturbed grounds by highway construction, thermokarst depressions, between thermokarst ponds,  
125 and near gullies. The linear distance between sites is ranging from 70 to 465 m, with an average of 275 m.

### 2.2.3 Landscape scale (50 km<sup>2</sup>)

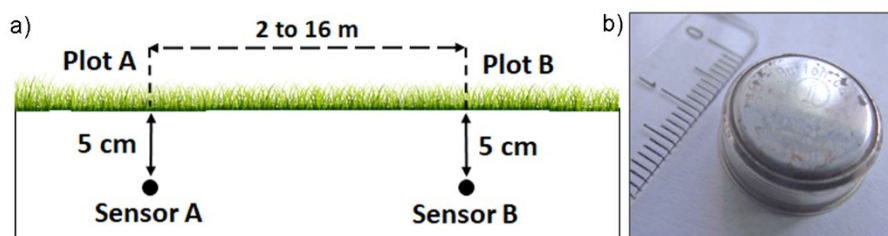
The landscape scale is represented by a wider area covering around 50 km<sup>2</sup> on the Chalaping plateau (Fig. 1). In this area the GST is monitored at eight sites (named C and D sites) located in different landcover types similar to the sites from the local



scale. The difference is that these sites are placed in pristine natural conditions without any visible anthropic disturbances.  
130 These sites are located over a larger elevational gradient of 181 m (from 4597 to 4778 m a. s. l.) and at an average linear distance of 1.15 km.

### 2.2.4 Fine scale (2 to 16 m distance)

The GST is measured at each site by two sensors placed in two plots (named A and B) at a variable intra-plot distance ranging from 2 to 16 m (Fig. 2a). This was done due to backup reasons and to identify the variations in GST at a fine scale. For some  
135 sites, the landcover in the two plots is similar, while that for the others is different (e.g., bare ground *versus* meadow, swamp meadow, or steppe). Bare ground was classified when the vegetation cover in the plots was visually estimated below 10 %. Mainly, the bare ground was represented by fine soils while at a few sites, it was covered by coarse gravels (e.g., B20, D1).



140 **Figure 2. The schematic draw with the monitoring of GST at the fine-scale: the position of the two plots at distances from 2 to 16 m (a). Photo of the iButton temperature logger DS1922L (b).**

### 2.3 Instrumentation and measurements in the field

GST was measured at 41 sites and 83 plots using iButton temperature loggers DS1922L. The data loggers have a storage capacity of 8 kB and measure temperatures from  $-40$  to  $+80$  °C at a resolution of  $0.0625$  °C and an accuracy of  $0.5$  °C. Previous  
145 studies performed comparisons and showed that these sensors measure the temperature around zero degrees at an accuracy between  $-0.12$  and  $0.30$  °C (Gubler et al., 2011; Grünberg et al., 2020). These sensors are small (a diameter of 17 mm and a thickness of 6 mm) and easy to deploy in harsh environments (Fig. 2b). Thus, iButtons have been largely used in the Arctic (Way and Lewkowicz, 2018; Goncharova et al., 2019; Grünberg et al., 2020), Antarctica (Ferreira et al., 2017; Oliva et al., 2017; Hrbáček et al., 2020; Lim et al., 2022), and alpine environments (Gubler et al., 2011; Gisnås et al., 2014; Bosson et al.,  
150 2015; Colombo et al., 2020).

For deploying these sensors, we followed the standard procedures described in previously mentioned studies. All sensors were sealed in pouches to enhance their waterproofing and installed at a depth of approximately 5 cm (Gubler et al., 2011). This is deep enough to avoid direct solar radiation and close enough to the surface to record the thermal effect of surface covers. The measurement period was one hydrological year from 1 August 2019 to 31 July 2020 at a 3 h interval. The position of each plot  
155 was landmarked with wooden and steel sticks and recorded with a handheld GPS with an approximative 3 m accuracy.



Photographs were taken at each site and plot, and a metal detector was used for easier retrieval that assured an 100% success rate.

## 2.4 GST data pre-processing and analysis

The raw GST values were processed by adapting the R scripts developed by Way and Lewkowicz (2018) for automated  
160 manipulation of data from the iButtons loggers. With these scripts were calculated the daily, monthly, and annual values of  
the GST, and the freezing and thawing degree days (FDD and TDD). The FDD represents the annual sum of negative mean  
daily GSTs, presented as cumulated negative degree-days ( $^{\circ}\text{C}\cdot\text{day}$ ). The FDD is a measure of the ground cooling during winter,  
that facilitates comparisons between cold seasons and can supply a quantified input for modelling. The opposite is the TDD  
165 calculated as the annual sum of positive mean daily GSTs, and displayed as cumulated positive degree-days ( $^{\circ}\text{C}\cdot\text{day}$ )  
(Guglielmin et al., 2008; Oliva et al., 2017; Serban et al., 2021). Based on FDD and TDD, the frost number (FN) is calculated  
as follows (Nelson and Outcalt, 1987):

$$FN = \frac{\sqrt{FDD}}{\sqrt{FDD} + \sqrt{TDD}} \quad (1)$$

170 An FN larger than 0.5 denotes more FDD than TDD and indicates a dominating freezing regime that favors permafrost thermal  
stability. In the opposite, an FN smaller than 0.5 indicates a prevailing thawing that favors the thickening of the active layer  
and the ensued permafrost degradation (Hrbáček et al., 2020). However, the FN is not an absolute confirmation of permafrost  
presence, which depends on the local ground conditions, especially the surface and thermal offsets to the top of permafrost  
(Heggem et al., 2006; Rödder and Kneisel, 2012).

175 Several statistical parameters were computed to compare the GST from the two plots of each site: the Pearson correlation  
coefficient ( $r$ ), the absolute differences in daily GST and MAGST, and the coefficient of determination ( $R^2$ ) from linear  
regression models. The linear models were assessed using the root mean square error (RMSE), mean absolute error (MAE),  
and the Akaike information criterion (AIC). The Pearson correlation coefficient was set at three levels of significance  $p <$   
 $0.001$ ,  $p < 0.01$ , and  $p < 0.05$ . The pre-processing steps, statistical analyses, and graphs were performed using R v4.1.3. Site  
180 and plot characteristics and their selected thermal characteristics are detailed in Table 1.



**Table 1. Sites characteristics in the south-central HAYR. Elev. = Elevation, Dist. = Intra-plot distance, MAGST = mean annual ground surface temperature, FDD/TDD = freezing/thawing degree days, BG = bare ground, AM = alpine meadow, ASM = alpine swamp meadow, AS = alpine steppe, NA = Not available.**

Scale	Site	Elev. (m)	Dist. (m)	Landcover		MAGST		FDD		TDD		FN		
				Plot A	Plot B	Plot A	Plot B	Plot A	Plot B	Plot A	Plot B	Plot A	Plot B	
LOCAL	A1	4720	16	ASM	BG	-0.75	-1.39	-1105.83	-1448.21	831.01	941.03	0.54	0.55	
	A2	4725	8	BG	AM	-2.18	NA	-1604.59	NA	806.93	NA	0.59	NA	
	A3	4724	10	ASM	ASM	-3.13	-0.99	-1952.35	-1118.35	806.56	754.36	0.61	0.55	
	A4	4715	10	BG	BG	NA	-2.02	NA	-1396.03	NA	656.59	NA	0.59	
	A5	4729	10	BG	ASM	-1.69	-0.82	-1439.58	-1281.63	819.61	981.41	0.57	0.53	
	A6	4728	8	ASM	BG	-1.13	-1.19	-1296.93	-1300.41	884.08	864.54	0.55	0.55	
	A7	4721	8	AM	AM	0.31	0.54	-936.5	-947.525	1049.04	1144.25	0.49	0.48	
	A8	4732	2	ASM	ASM	-0.95	-1.33	-1281.56	-1238.51	932.80	752.96	0.54	0.56	
	A9	4726	8	BG	ASM	-2.02	-1.44	-1434.69	-1318.13	695.53	792.26	0.59	0.56	
	A10	4719	14	BG	ASM	-2.49	-0.59	-1621.78	-1283.49	710.49	1068.23	0.60	0.52	
	A12	4728	14	BG	AM	-1.56	-0.59	-1405.35	-1132.46	835.61	914.90	0.56	0.53	
	A15	4722	20	BG	AM	NA	-0.30	NA	-1083.23	NA	974.38	NA	0.51	
	LANDSCAPE	C1	4737	10	ASM	ASM	NA	-0.39	NA	-1203.89	NA	1061.13	NA	0.52
		C2	4704	2	ASM	ASM	-0.23	-0.46	-1060.03	-1101.91	976.80	932.24	0.51	0.52
		C3	4668	4	BG	ASM	-1.36	0.17	-1238.61	-946.575	739.03	1008.11	0.56	0.49
C4		4778	14	ASM	ASM	-2.33	-1.33	-1560.01	-1305.79	706.53	819.54	0.60	0.56	
D1		4645	8	BG	BG	-2.18	-1.65	-1664.2	-1579.48	865.28	975.30	0.58	0.56	
D2		4666	8	ASM	ASM	1.49	0.72	-798.45	-760.113	1344.99	1024.71	0.44	0.46	
D3		4598	14	BG	BG	-1.17	-1.19	-1301.36	-1187.86	871.99	753.45	0.55	0.56	
D4		4598	6	AM	AM	0.84	0.68	-874.888	-854.788	1183.66	1102.10	0.46	0.47	
REGIONAL	B1	4331	6	AS	BG	1.15	0.87	-919.313	-998.125	1338.04	1317.29	0.45	0.47	
	B2	4376	14	AM	AM	1.33	NA	-762.95	NA	1248.78	NA	0.44	NA	
	B3	4333	2	BG	BG	1.26	1.32	-976.663	-923.163	1436.96	1405.19	0.45	0.45	
	B4	4340	10	AM	AM	2.29	2.26	-536.663	-493.963	1374.31	1317.70	0.38	0.38	
	B5	4357	4	AM	AM	1.66	1.13	-775.825	-835.35	1383.04	1248.57	0.43	0.45	
	B6	4399	2	ASM	ASM	1.88	1.82	-616.5	-651.6	1304.34	1315.82	0.41	0.41	
	B7	4432	14	BG	BG	1.14	1.45	-859.138	-805.613	1277.29	1335.59	0.45	0.44	
	B8	4473	10	BG	BG	0.21	0.39	-1023.53	-1006.1	1099.67	1150.05	0.49	0.48	
	B9	4496	6	AM	AM	0.51	0.26	-867.163	-987.175	1053.28	1083.55	0.48	0.49	
	B10	4565	2	ASM	ASM	1.25	1.25	-602.438	-673.525	1058.16	1132.81	0.43	0.44	
	B11	4607	8	ASM	BG	0.06	-0.96	-1023.19	-1306.56	1046.45	954.99	0.50	0.54	
	B12	4643	2	ASM	ASM	-0.42	-0.17	-1105.4	-1131.5	953.46	1070.13	0.52	0.51	
	B13	4642	12	ASM	ASM	1.66	1.47	-537.5	-596.525	1144.90	1118.09	0.41	0.42	
	B14	4637	10	AM	AM	0.50	0.77	-750.925	-742.45	934.69	1025.76	0.47	0.46	
	B15	4686	6	AM	BG	1.06	1.23	-824.063	-837.538	1213.43	1286.84	0.45	0.45	
	B16	4672	2	ASM	ASM	2.22	1.87	-506.238	-591.375	1320.34	1274.85	0.38	0.41	
	B17	4665	8	ASM	ASM	0.83	0.32	-763.213	-900.638	1067.93	1016.53	0.46	0.48	
	B19	4833	14	AM	BG	NA	-0.75	NA	-1229.58	NA	954.39	NA	0.53	
	B20	5085	6	BG	BG	-4.69	-4.29	-2170.91	-2102.7	455.01	533.61	0.69	0.66	





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### 3 Results and discussions

#### 3.1 Data quality check

The datasets were quality checked in order to detect measurement errors. From all 83 sensors, 11 were not included in the dataset because they did not have complete time series. Four sensors had become malfunctioned and have not recorded any measurements, while three sensors stopped recording the measurements after seven months. One sensor was found on the ground surface due to frost-jacking and exposed to direct solar radiation. Other three sensors placed on the shore of a thermokarst lake; after one year, they were found to have submersed approximately 10 cm under the lake water. The HAYR has a dynamic landscape, where thermokarst lakes and ponds have revealed both patterns of expanding and shrinking during the period 1986–2015 (Şerban et al., 2021).

Some studies reported problems with sensor malfunction or retrieval. For example, 13% of the iButtons used to monitor the snowpack in Northwestern Canada failed due to water infiltration (Lewkowicz, 2008), revealing the importance of sealing them in pouches. In the Swiss Alps, 7% of the sensors deployed to monitor the GST reappeared on the surface and were exposed to direct solar radiation (Gubler et al., 2011). The iButtons were widely used also in Antarctica to monitor the GST and proved to be reliable in harsh conditions. Lim et al (2022) reported that among the 131 sites in Antarctica, only at three sites GST was not recorded due to device errors.

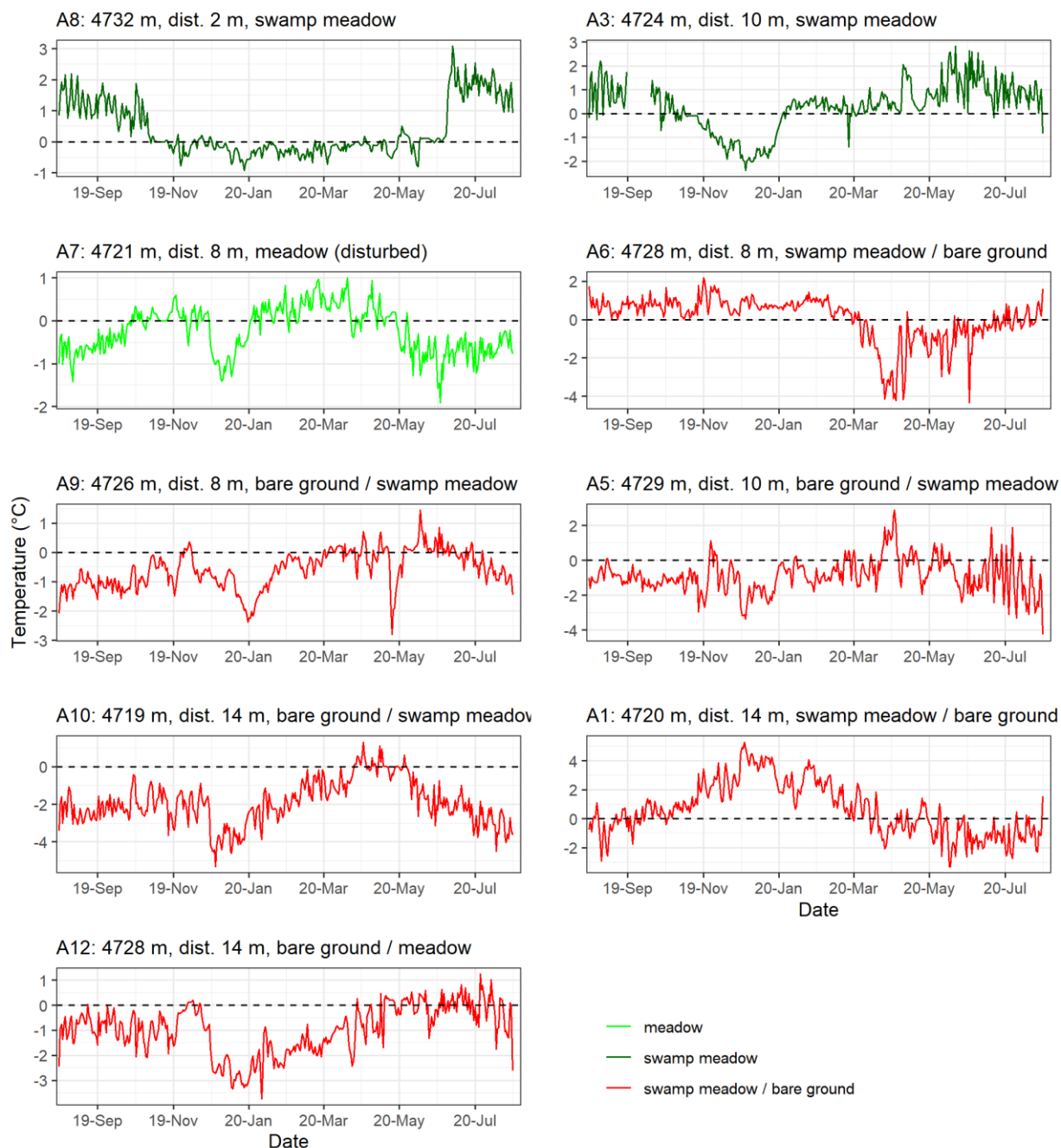
In the monitoring networks of GST in the HAYR, a total of 210,816 measurements were recorded, of which 165 were biased due to sensor malfunctions. From these, the most severe was in plot A3A, with a period of 10 days from 1 to 19 September 2019, with 151 measurements blocked at  $-41$  °C. In addition, there were another 13 erroneous measurements with temperatures of  $-41$ ,  $-39.5$ , and  $87$  °C on 23 and 26 February 2023. The sensor from plot B16B had only one wrong measurement of  $-7.7$  °C on 17 October 2019, while the other temperature readings during that period ranged from 0.1 to 2.6 °C. All these incorrect measurements were replaced with missing values (NA - not available). In addition, there were other 169 NA values due to data downloaded with 1–1.5 days earlier than 31 July 2020 for sites B1 to B8.

#### 3.2 Variability of GST at the fine scale

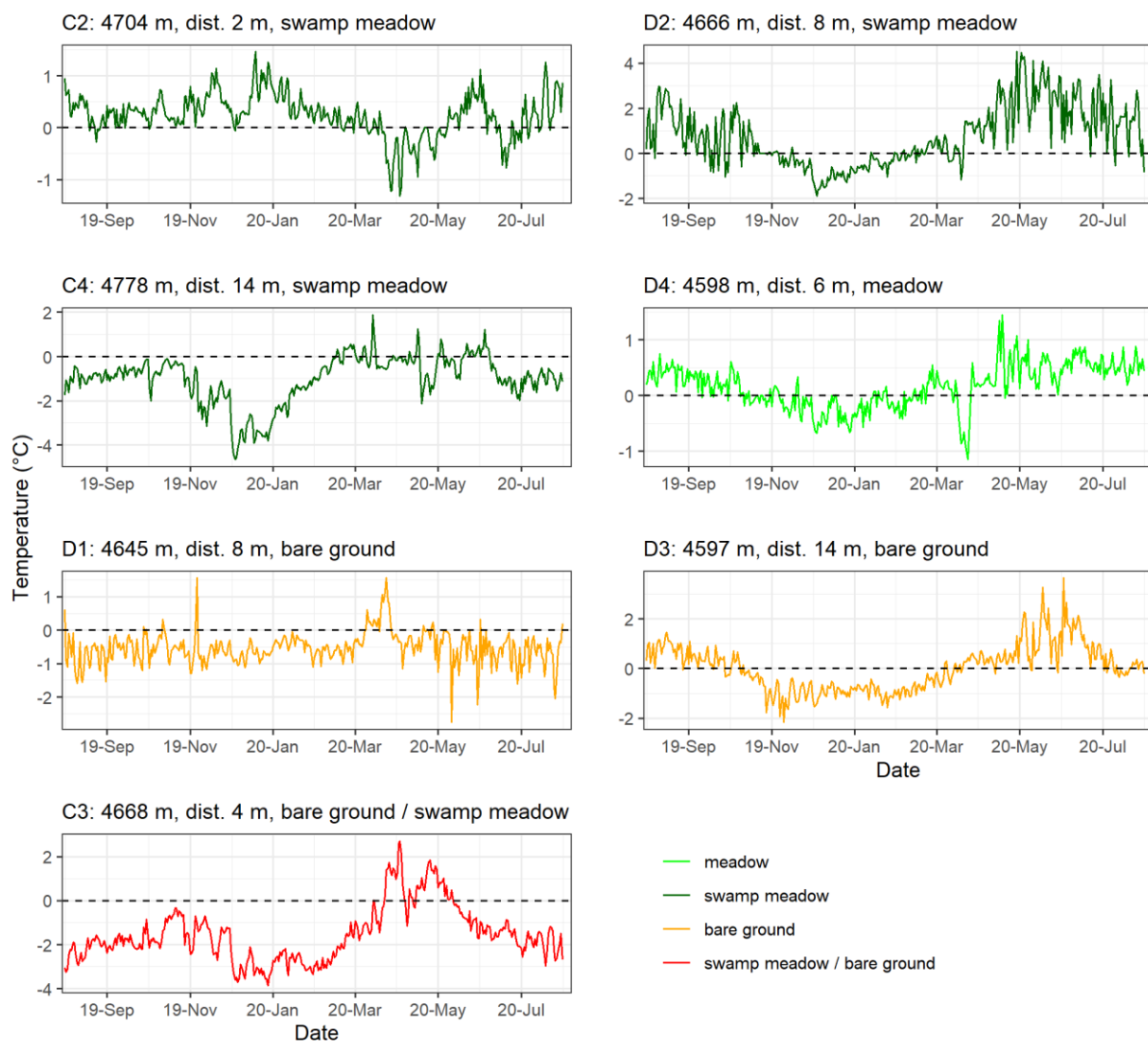
For the local and landscape scale settings, the daily intra-plot differences in GST were up to 2 °C for the sites with similar landcover in both plots (Figs. 3 and 4). Differences were usually larger during autumn and the end of spring and the beginning of summer, while for the other periods were below 1 °C. For the other sites where one plot was in bare ground and the other in vegetation the daily intra-plot differences in GST were frequently exceeding 2 °C. This happened especially at the end of autumn and the beginning of winter and at the end of spring and the beginning of summer. In a time period of several days (from 1 to 15), the differences exceeded even 4 °C in sites A6, A5, A1, A10, and C3. The maximum difference of 5.3 °C was on 4 and 5 December 2019 in sites A1 and A10, sites with the largest intra-plot distance of 14 m. Site C3 recorded longer



periods from autumn to spring with daily differences in GST between 2 and 4 °C. There were a few days with differences higher than 4 °C in sites D2 (6 days in May 2020) and C4 (5 days in December 2019). In both sites, the plots are situated in a swamp meadow at distances of 8 and 14 m, respectively.



225 **Figure 3. Intra-plot differences in daily ground surface temperatures (GST) for period August 2019 – July 2020 at sites at the local scale (2 km<sup>2</sup>) on the Chalaping peat plateau in the south-central HAYR.**

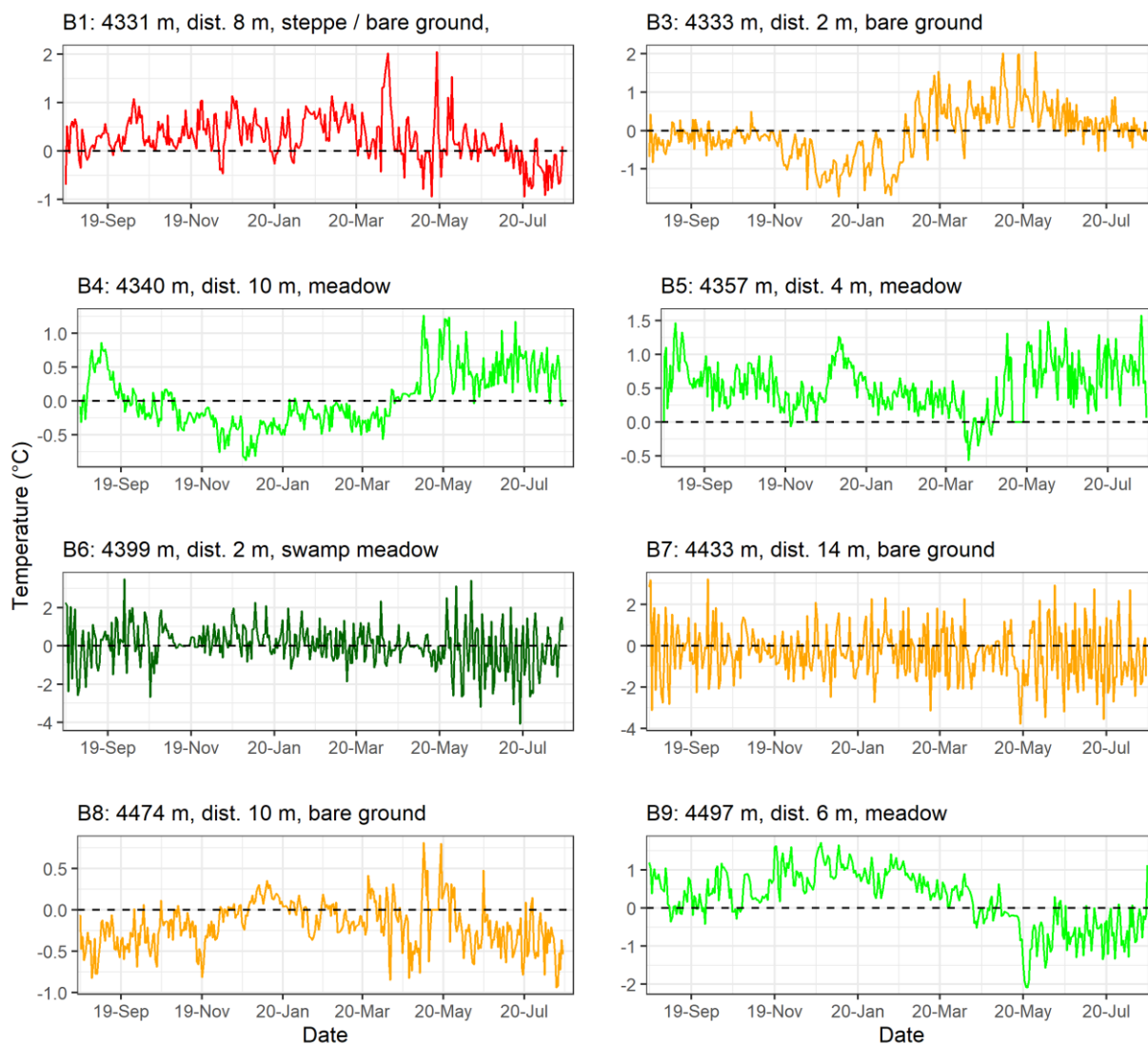


**Figure 4. Intra-plot differences in daily ground surface temperatures (GST) for period August 2019 – July 2020 in sites at the landscape scale (50 km<sup>2</sup>) on the Chalaping peat plateau in the south-central HAYR.**

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Most of the sites along the elevational transect presented a similar pattern with an intra-plot difference in daily GST below 2 °C (Figs. 5 and 6). Differences larger than 2.5 °C were observed mainly at the sites at elevations above 4600 m a. s. l., regardless of the landcover types in the plots. At these sites, for 1 to 5 days, the differences exceeded 4 °C, mainly in summer and autumn (B13, B14, and B16), but also in spring (B13, B15, and B17) and winter (B11). The highest differences of 4.8 °C were recorded on 4 and 12 October 2019 at sites B13 and B16 with both plots in swamp meadow. While at site B13, it was an intra-plot distance of 12 m, and; at site B16, only 2 m.

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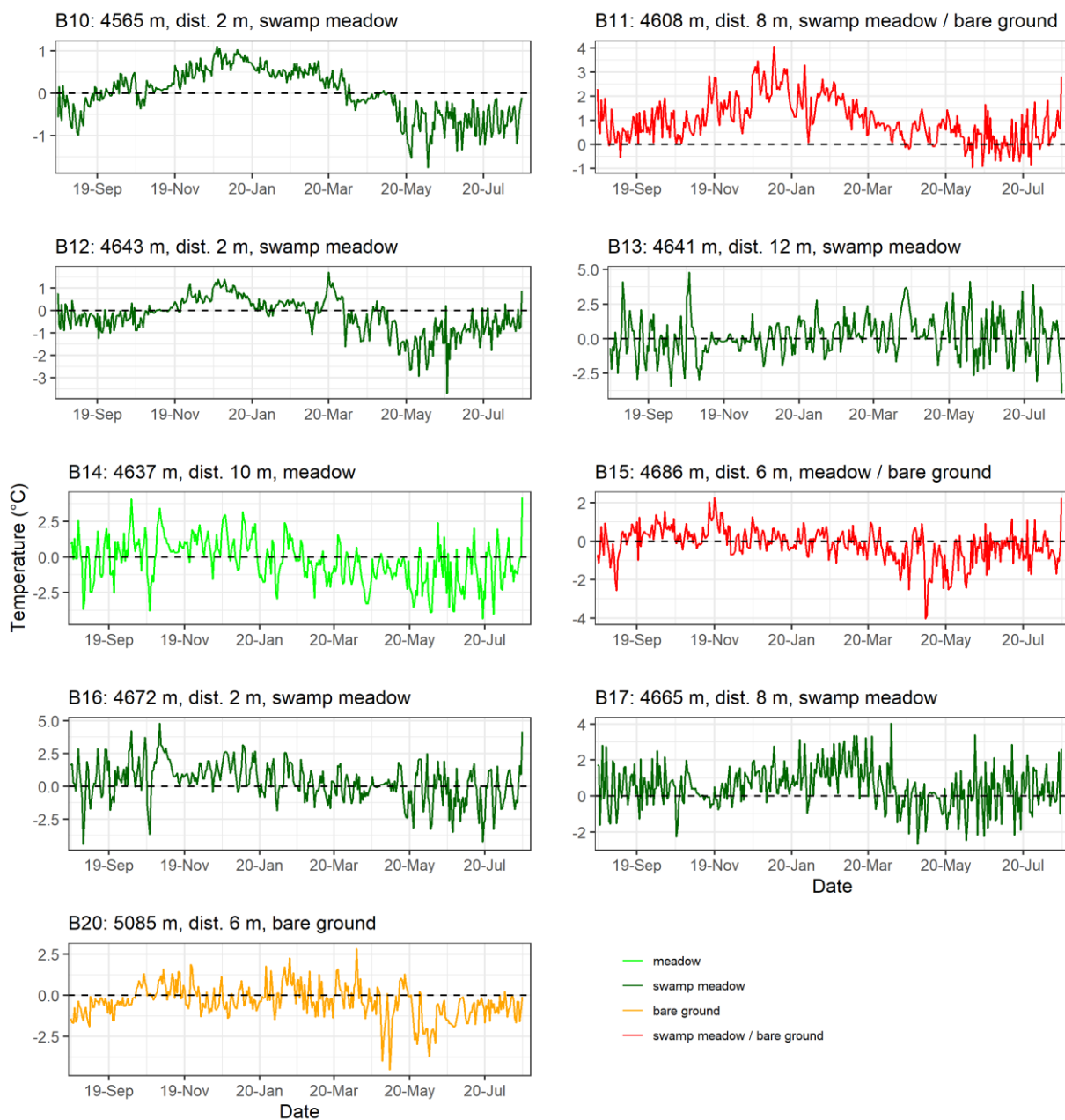


**Figure 5.** Intra-plot differences in daily ground surface temperatures (GST) for period August 2019 – July 2020 in sites B1 to B9 along the elevational transect in the south-central HAYR.

240

The daily GST values revealed a linear relationship between the two compared plots of each site. Correlation coefficients were above 0.962 and for all sites at a significance level of  $p < 0.001$ . The coefficient of determination ( $R^2$ ) was high, ranging between 0.926 at site B14 to 0.999 at site B8 (Table 2). Both sites B8 and B14 had an intra-plot distance of 10 m. These sites revealed the lowest and largest RMSE, MAE, and AIC of 0.250, 0.188, and 23.739 in B8 and 1.500, 1.220, and 1342.126 in B14. Although the  $R^2$  was high, the slightest variation revealed an RMSE of around 1 °C, and; as previously shown, the intra-plot differences in daily GST went as high as 4–5 °C.

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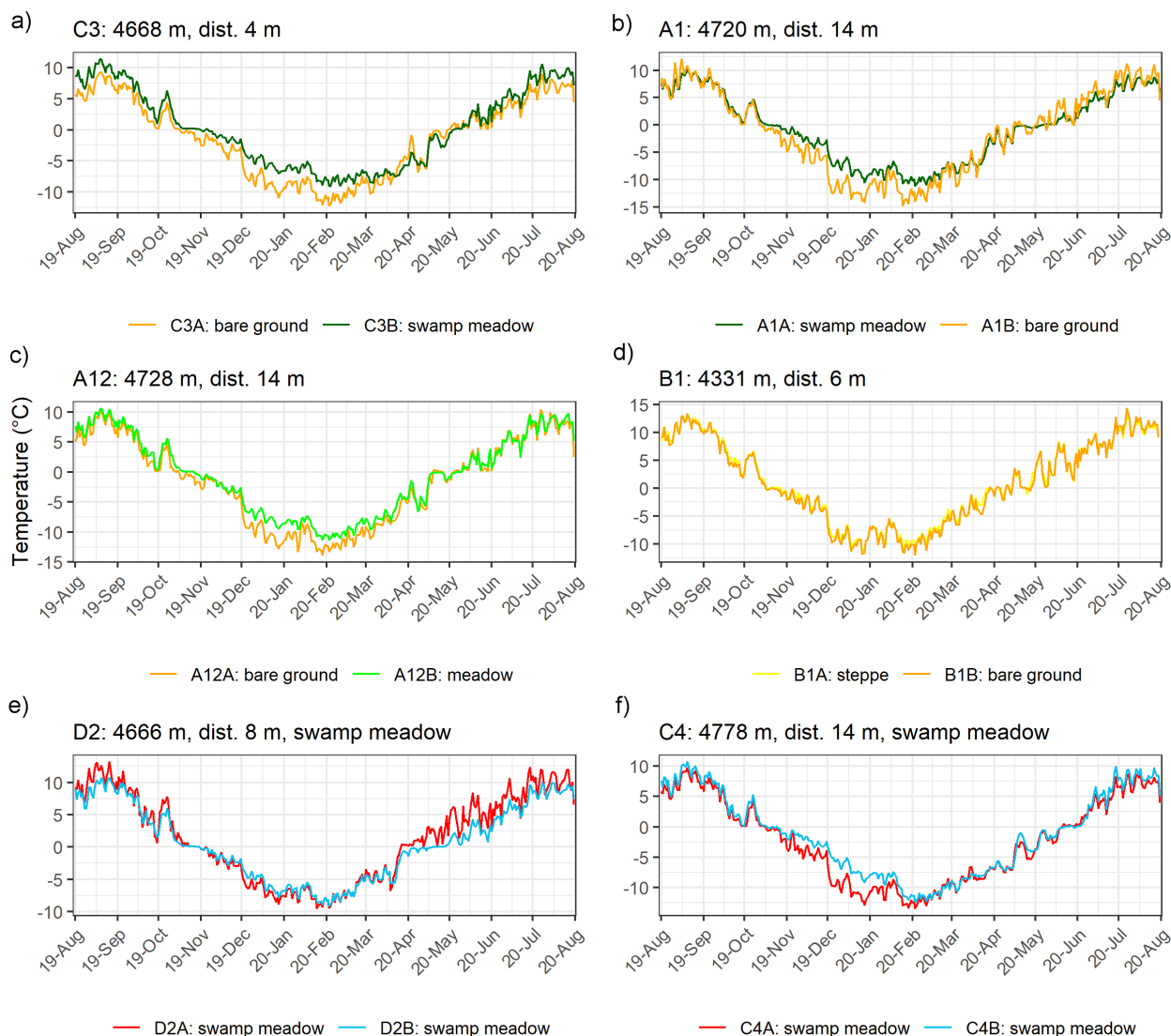
250 **Figure 6.** Intra-plot differences in daily ground surface temperatures (GST) for period August 2019 – July 2020 in sites B10 to B20 along the elevational transect in the south-central HAYR.



255 **Table 2. Statistical parameters of daily intra-plot comparisons of ground surface temperature (GST) for the period of August 2019 to July 2020 in the south-central HAYR.**

Scale	Site	$R^2$	RMSE	MAE	AIC	$r$	Scale	Site	$R^2$	RMSE	MAE	AIC	$r$
L O C A L	A1	0.968	1.114	0.917	1123.525	0.984	E L E V A T I O N A L	B1	0.997	0.400	0.298	368.220	0.998
	A3	0.979	0.934	0.741	943.112	0.989		B3	0.993	0.640	0.495	719.838	0.996
	A5	0.980	0.993	0.749	1039.384	0.990		B4	0.997	0.340	0.264	255.483	0.998
	A6	0.971	1.157	0.838	1151.100	0.985		B5	0.997	0.340	0.273	260.373	0.999
	A7	0.995	0.437	0.333	438.255	0.998		B6	0.971	1.040	0.778	1071.205	0.985
	A8	0.995	0.494	0.373	528.223	0.997		B7	0.967	1.230	0.966	1193.099	0.983
	A9	0.991	0.645	0.520	723.413	0.995		B8	0.999	0.250	0.188	23.739	0.999
	A10	0.974	1.148	0.880	1145.850	0.986		B9	0.991	0.590	0.449	659.186	0.995
	A12	0.988	0.790	0.661	872.185	0.994		B10	0.995	0.370	0.289	322.477	0.998
									B11	0.989	0.680	0.549	763.880
L A N D S C A P E	C2	0.996	0.410	0.305	396.916	0.998	T R A N S E C T	B12	0.989	0.690	0.522	773.471	0.994
	C3	0.960	1.250	0.950	1207.409	0.978		B13	0.936	1.340	1.048	1259.732	0.967
	C4	0.975	1.070	0.801	1096.175	0.986		B14	0.926	1.500	1.220	1342.126	0.962
	D1	0.996	0.480	0.352	504.651	0.998		B15	0.983	0.840	0.633	915.171	0.992
	D2	0.971	1.120	0.880	1130.655	0.986		B16	0.940	1.400	1.099	1288.713	0.970
	D3	0.989	0.710	0.515	796.936	0.994		B17	0.965	1.080	0.830	1102.084	0.982
	D4	0.998	0.290	0.220	136.351	0.999		B20	0.985	0.910	0.707	977.181	0.992

Larger differences in GST are particularly pronounced in winter when the bare ground cools faster than the vegetated areas (Fig. 7). Three cases were identified: (i) Situations when the bare ground cooled faster in winter, while alpine meadows and alpine swamp meadows warmed faster in summer (e.g., C3, A5, and A10; Fig. 7a); (ii) Bare ground cooled faster in winter and warmed faster in summer than vegetated areas (e.g., A1; Fig. 7b); (iii) Bare ground cooled faster in winter, with similar warming like vegetated areas in summer (e.g., A12, A9, and B11; Fig 7c). Almost always, the bare ground was colder in the winter from the end of October until the next April. When comparing the bare ground to alpine steppe, the evolution of GST was similar between the two plots (e.g., B1; Fig. 7d). As previously shown, the intra-plot differences in daily GST shot up as high as 4 °C also when compared with the same landcover in both plots. For example, there were sites with alpine swamp meadows in both plots and in one case the differences were during the warm season (e.g., D2; Fig. 7e) while for the other, during winter (e.g., C4; Fig. 7f). At most of these sites with obvious differences, the intra-plot distance was 14 m. Exceptions were at sites D2, B1, and C3 with intra-site distances between adjacent plots of 8, 6, and 4 m respectively. At site C3 in the valley among thermokarst ponds, the large variability of GST was exemplified across short distances controlled by the landcover types. This site presented one of the largest ranges of the MAGST between  $-1.36$  °C in the bare ground plot and  $0.17$  °C in the swamp meadow plot.



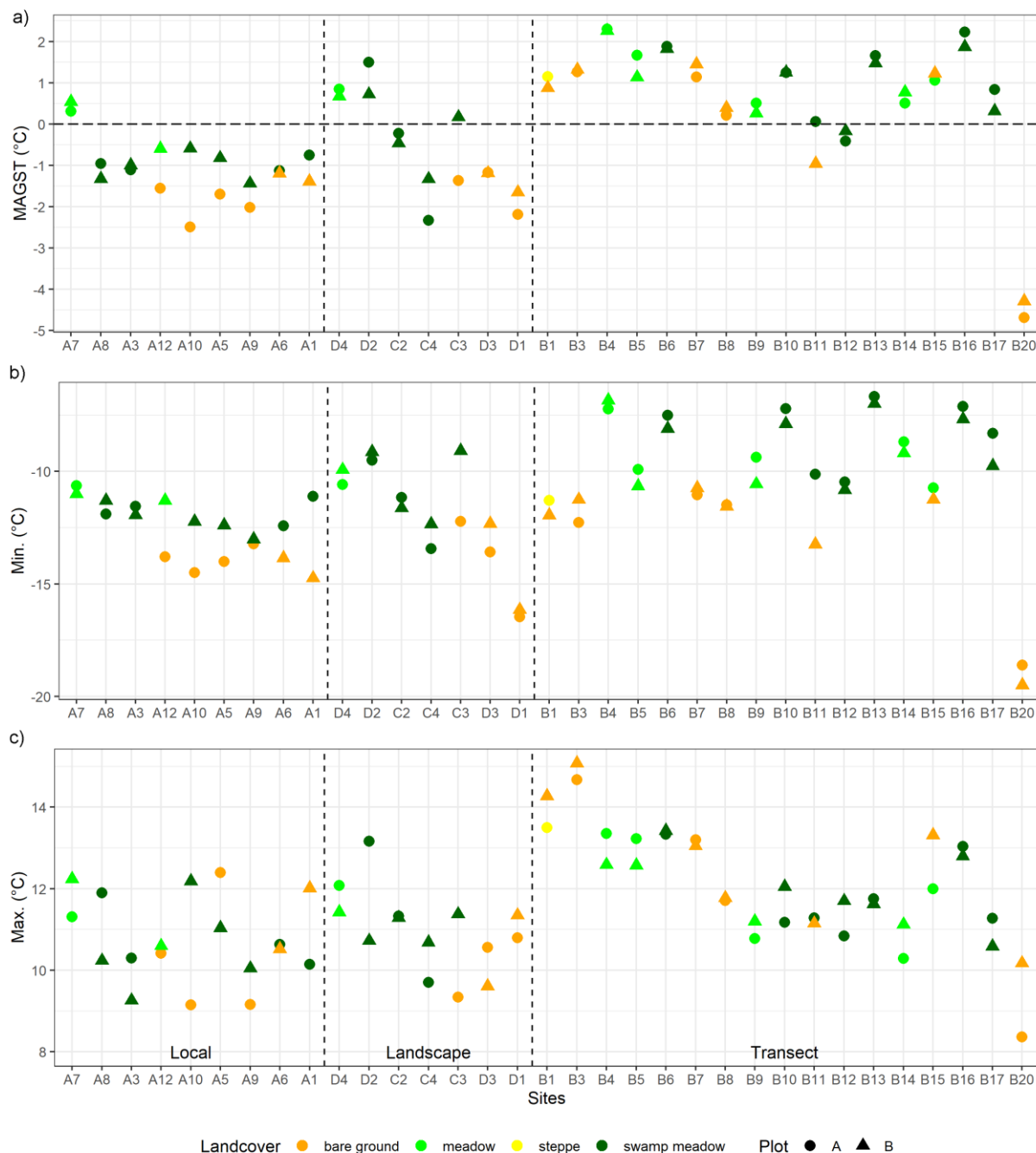
**Figure 7.** Evolution of the daily ground surface temperatures (GST) for period August 2019 – July 2020 at sites with different landcover between the paired plots: bare ground and alpine swamp meadow (a and b), bare ground and alpine meadow (c), bare ground and alpine steppe (d), and sites with alpine swamp meadow in both plots (e and f) in the south-central HAYR.

275 The intra-plot variability of MAGST was mainly below 0.5 °C, especially for sites with the same landcover in both plots (Fig. 8a). Variations between 1 and 2 °C were observed at the sites where the bare ground was compared to vegetated plots (A10, C3, and B11). This variability was observed mainly at all analyzed distances between plots and according to the analysis of variance and linear regression, it was not significantly influenced by distance (Şerban et al., 2023). The intra-plot variability in the minimum GST was slightly higher, exceeding 2 and 3 °C at sites A1, A10, A12, B11, and C3 (Fig. 8b). At all these

280 sites, the bare ground was compared to meadows or swamp meadows, at intra-plot distances of 14 and 16 m, except at B11 and C3 with those of 8 and 4 m, respectively. The intra-plot variability in maximum GST was above 2 °C only at sites A10,



C3, and D2 (Fig. 8c). Site D2 was the only one with such a large variability in the maximum GST values of  $-2.44\text{ }^{\circ}\text{C}$  and with swamp meadow in both plots. At this site, the MAGST variability was high ( $-0.8\text{ }^{\circ}\text{C}$ ) at an intra-plot distance of 8 m.



285 **Figure 8.** Mean annual ground surface temperature (MAGST) (a), the minimum GST (b), and the maximum GST (c) for the period August 2019 to July 2020 in both plots A and B of each site in south-central HAYR. Local scale ( $2\text{ km}^2$ ), Landscape scale ( $50\text{ km}^2$ ), and Elevational transect ( $800\text{ m}$  difference).





290 The detailed comparison of these sites revealed higher MAGST in alpine meadows, followed by alpine swamp meadows, and bare ground. The intra-site MAGST variability has been mainly controlled by elevation and landcover types (Şerban et al., 2023), similar to observation from the Swiss Alps (Gubler et al., 2011).

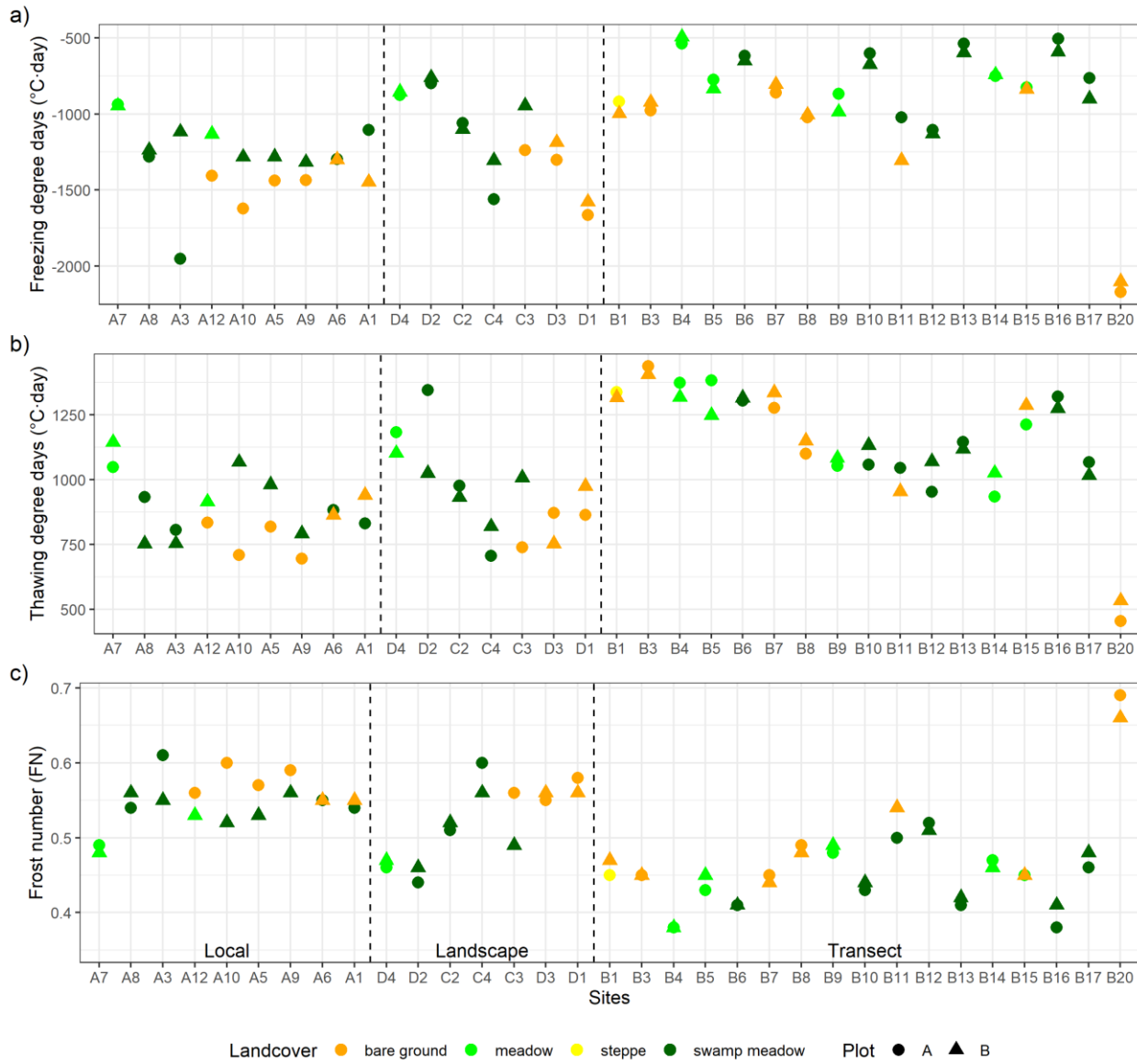
Vegetation plays an important role in better insulating the ground while the lower GSTs in the bare ground reveal the strong coupling with air temperature (Gubler et al., 2011; Aalto et al., 2013). The lower GSTs in the swamp meadows than in dry meadows are caused by the evaporative cooling and the possibly higher thermal offset of the peat soils. In the HAYR, 295 evaporation was three times higher than precipitation from 1986 to 2015 largely influencing the water balance and changes in thermokarst lakes and ponds (Şerban et al., 2021).

A large spatial variability in MAGST has been observed in other regions. For example, in the Swiss Alps, MAGST varied up to 2.5 and 4.3 °C at a distance of 14 and 50 m (Gubler et al., 2011; Rödder and Kneisel, 2012), and up to 6 °C in an area of 0.5 km<sup>2</sup> in the Scandinavian Mountains (Gisnås et al., 2014). Isaksen et al. (2011) reported variations in MAGST of 1.5 to 3 °C 300 over distances of 30–100 m in an isolated patches of cold permafrost, marginal permafrost, and seasonal frost from Southern Norway. These variations were caused due to blocky terrains, steep slopes, and snow cover thickness and duration. On a small and relatively flat area of 0.5 km<sup>2</sup> in the Trail Valley Creek, Canada, MAGST varied up to 3.6 °C due to the variability of vegetation types and snow cover conditions (Grünberg et al., 2020). MAGST variations of up to 2.4 °C were previously observed on the Chalaping plateau but over a larger area of 3.5 km<sup>2</sup> (Luo et al., 2020). Furthermore, MAGST increased by 305 0.06 °C/year from 2011 to 2017 (Luo et al., 2018a). An increase of 0.49–0.43 °C/decade was reported for the entire QTP for soil temperatures at depths of 0–20 cm for the period 1981–2020. These rates were observed from 141 stations and based on the ERA5 reanalysis data (Li et al., 2022). Also based on reanalysis products and in-situ data, a ground warming rate of 0.0994 °C/year at 10 cm in depth has been reported along the Qinghai-Tibet Engineering Corridor for the period 2004–2018. In addition, the ground warming rate was higher in the areas of SFG than in permafrost regions (Jiao et al., 2023).

### 310 3.3 Variability of FDD, TDD, and FN at the fine scale

While most of the sites presented a similar FDD in both plots, there were few sites with a large difference in the FDD between plots (Fig. 9a). Surprisingly, at site A3, both plots were in swamp meadow and the intra-plot difference in the FDD was around –800 °C·day. A lower difference of around –250 °C·day was also revealed at site C4 with both plots in swamp meadow. Sites A3 and C4 had intra-plot distances of 10 and 14 m, respectively (Table 1). Intra-plot differences in FDD up to –340 °C·day 315 were found at sites, where one plot was on the bare ground and the other, vegetated, such as C3, A1, A10, A12, and B11. The intra-plot distances in these sites were 14 and 16 m, except at C3 and B11 with those of 4 and 8 m, respectively.

For the TDD, the intra-plot differences were slightly lower and for fewer sites (Fig. 9b). Sites D2 and A8, with both plots in swamp meadows, had an intra-plot difference in the TDD of 320 m and 180 °C·day, respectively. The distance between plots was only 2 m at site A8 and 8 m at site D2 (Table 1). Other significant differences of 350 and 270 °C·day were at sites A10 320 and C3, but with bare ground in one plot and swamp meadow in the other.



**Figure 9.** Freezing degree days (a), thawing degree days (b), and the frost number (c) at the depth of the ground surface temperature, approximately 5 cm into the ground, for the period August 2019 to July 2020 in both plots (A and B) of each site in south-central HAYR. Local scale (2 km<sup>2</sup>), Landscape scale (50 km<sup>2</sup>), and Elevational transect (800 m difference).

325 The values of FN were quite similar between the plots with differences below 0.05, with the exception at sites A10, A3, and C3 (Fig. 9c). The differences were up to 0.08 at site A10, where the bare ground was compared to swamp meadow at an intra-plot distance of 14 m. In terms of intra-site comparisons, FN was ranging from 0.38 (B4 and B16) to 0.69 (B20), while for most of the sites, FN was between 0.4 and 0.6.



The fine-scale differences in the FDD and TDD are explained by the high heterogeneity of land surface covers and micro-  
330 topography rather than sensor errors. Higher FDD are observed in the drier bare ground, while the higher TDD are observed  
in alpine swamp meadows with wet soils. Even in the same type of landcover, variations in microtopography and moisture  
affect the FDD and TDD. In this area, Luo et al. (2020) reported an earlier start of ground freezing on the top of dry earth  
hummocks than in the adjacent moist depressions. Variations in soil moisture conditions explain the larger intra-plot difference  
in FDD at site A3 with both plots in alpine swamp meadow and on relatively flat terrain. In both years (2019 and 2020), surface  
335 waters were present around plot A3A while 10 m further in plot A3B, surface waters are missing. However, these differences  
in moisture conditions did not affect the TDD, where differences were merely 52 °C·day.

On the Chalaping peat plateau, but over an area larger (3.5 km<sup>2</sup>) than our local scale setting, FDD and TDD were calculated at  
26 locations. FDD ranged between -931 and -1765 °C·day, and TDD, between 429 and 898 °C·day (Luo et al., 2020). At our  
local scale, we obtained a slightly larger variability of FDD and TDD with variations from -936 to -1952 °C·day and from  
340 695 to 1144 °C·day (Fig. 9). Similar to their observations, the highest FDD were recorded in the plots in bare ground (exception  
in site A3), while the highest TDD, in vegetated plots. In addition, the FDD calculated at our sites are comparable with the  
ones derived from remote sensing thermal images for this area (Ran et al., 2021). The FDD from the vegetated sites in the  
HAYR are also comparable with the ones from the vegetated sites of discontinuous permafrost in Signy Island, Maritime  
Antarctica (Guglielmin et al., 2008). Nevertheless, the ones from the bare ground are lower than our bare ground sites. For the  
345 TDD, the values from HAYR are two to three times higher than those reported in Maritime Antarctica. Lower FDD and much  
lower TDD were reported from Livingston Island, Antarctica (Oliva et al., 2017; Hrbáček et al., 2020). Compared to Svalbard,  
the TDD from the HAYR are larger, while the FDD are lower (Christiansen et al., 2013).

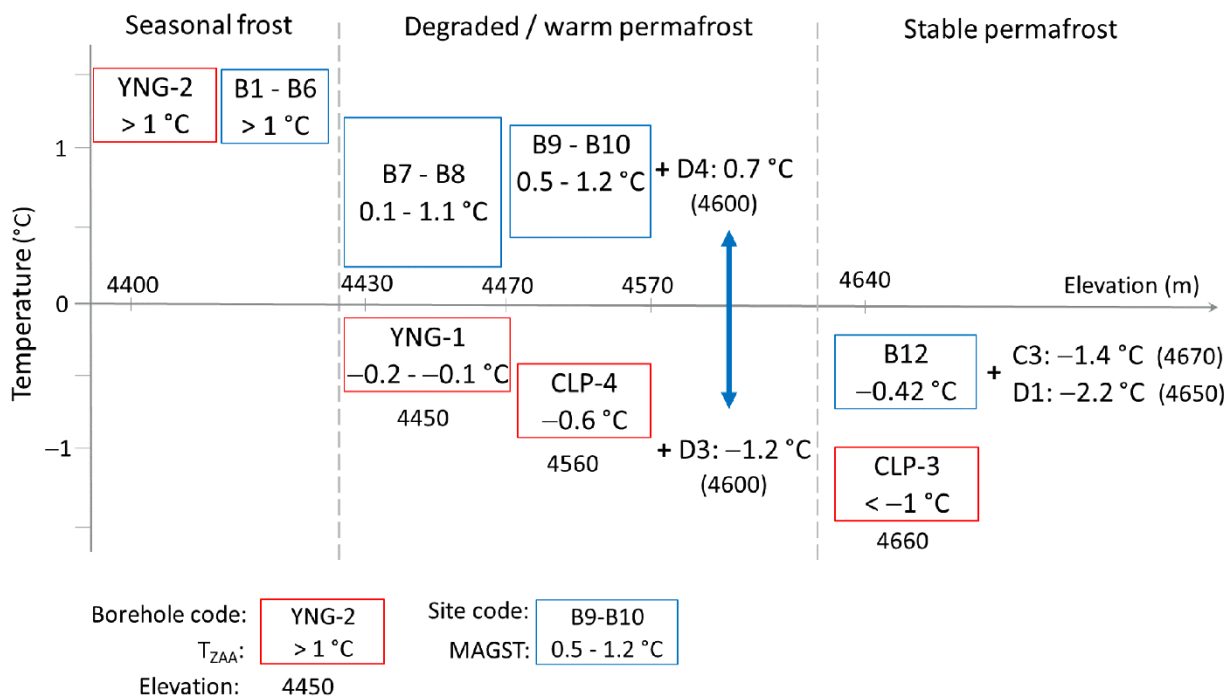
### 3.5 MAGST as a permafrost indicator

GST has been broadly used for understanding the thermal evolution of the SFG, active layer, and permafrost. Negative MAGST  
350 or low and stable GSTs in winter were interpreted as a confident indicator of the permafrost occurrence and distribution (Onaca  
et al., 2015; Vieira et al., 2017; Goncharova et al., 2019; Luo et al., 2019; Wani et al., 2020). However, positive MAGSTs  
were reported in areas of permafrost boundary conditions from Scandinavia, the Alps, or Antarctica (Ishikawa, 2003; Ikeda,  
2006; Etzelmüller et al., 2007; Colombo et al., 2020; Hrbáček et al., 2020). Therefore, due to the large spatiotemporal  
variability in GST, it was regarded a qualitative indicator to characterize the ground thermal state. For example, to describe  
355 the ground thermal regime at a specific location and period, to identify the local cold and warm repartition, and to estimate the  
probability of permafrost occurrence (Bosson et al., 2015).

To test this supposition in the HAYR, we compared the MAGSTs from our sites with the ground temperature available from  
the boreholes along the elevational transect (Figs. 1 and 10). MAGST was compared with the multi-year (2010–2017) average  
temperatures at the depth of zero annual amplitude ( $T_{ZAA}$ ) at 10 and 15 m depths (Luo et al., 2018b). The sites from the  
360 beginning of the elevational transect and up to 4400 m a. s. l. (B1 to B6) have revealed a MAGST higher than 1 °C. They  
correspond well with the  $T_{ZAA}$  higher than 1 °C from borehole YNG-2 (4395 m a. s. l.), where SFG is present. The MAGST



from the plots of the sites B7 and B8 varied from 0.1 to 1.1 °C and matched well with the  $T_{ZAA}$  of  $-0.2$  and  $-0.1$  °C at the depths of 10 and 15 m from borehole YNG-1 at 4450 m a. s. l. At this site, permafrost is severely degraded and revealed a permafrost thickness of only 15 m. The next two sites, B9 and B10, showed a MAGST between 0.5 to 1.2 °C that relate with the warm permafrost from CLP-4 (4560 m a. s. l.) with a  $T_{ZAA}$  of  $-0.6$  °C (Fig. 10). These sites correspond with the lower elevational limit of the discontinuous alpine permafrost from the northern flank of the Bayan Har Mountains identified at borehole YNG-1 at 4450 m a. s. l. (Luo et al., 2018b). The borehole CLP-3 with a  $T_{ZAA}$  of  $-1.2$  °C revealed stable permafrost and the lower limit of continuous elevational permafrost (4660 m a. s. l.). It agrees well with the MAGST of  $-0.42$  °C from the vicinity of site B12. Sites at the landscape scale situated further away from the borehole, but at a similar elevational range (4600 to 4670 m a. s. l.), showed a MAGST between  $-2.2$  and  $-1.2$  °C (e.g. C3, D1, D3). Hence, these sites identified the presence of stable permafrost as well. However, site D4 at approximately the same elevational range (4600 m a. s. l.) revealed a MAGST of 0.7 °C which, as we have previously seen, suggests the presence of warm and degraded permafrost. This shows the high spatial variability of permafrost thaw over a short distances that cannot be identified by the sparse borehole networks, but can be reliably identified by monitoring the spatial variations in GST. In the absence of key boreholes, GST monitoring, combined with geophysical surveys, is more confident in detecting the presence or absence of permafrost (Hauck et al., 2004; Bosson et al., 2015; Onaca et al., 2015).



380 **Figure 10.** Comparison of the mean annual ground surface temperature (MAGST) for the period August 2019 to July 2020 in south-central HAYR with the multi-year (2010-2017) average temperatures at the depth of zero annual amplitude ( $T_{ZAA}$ ) at depths of 10 and 15 m. Boreholes data are from Luo et al. (2018b).  $T_{ZAA}$  = Temperatures at the depth of zero annual amplitude (10 to 15 m).



385 Considering that the  $FN > 0.5$  and  $MAGST < 0$  °C indicate the probable occurrence of permafrost (Heggem et al., 2006), at the local scale on the Chalaping plateau, only site A7 disregards these conditions (Figs. 8 and 9). This has been expected because this site is located between the two separate highway lines and has been disturbed by highway construction and operation (Şerban et al., 2023). On the landscape scale, these conditions are overpasses at sites D2 and D4 and by plot C3B, all located in the alpine meadow and swamp meadow. Most sites along the elevational transect suggest the permafrost absence or highly decayed along the highway. The exception is at site B20 from the top of the mountain and sites B11 and B12, which suggest permafrost conditions with an  $FN > 0.5$  and  $MAGST < -1$  °C. This agrees with previous studies that reported permafrost warming and deterioration along the engineering corridors on the QTP (Jin et al., 2000, 2006, 2008; Wu et al., 2012; Luo et al., 2018c; Xing et al., 2023). At eight sites of monitoring permafrost along the Qinghai-Tibet Engineering Corridor, annual mean soil temperature at 10 cm in depth ranged from  $-2.62$  to  $-0.20$  °C (Zhao et al., 2021).

390 In zones of discontinuous and sporadic alpine permafrost,  $MAGST$  ranged from  $-3.7$  to  $2.4$  °C in Southern Norway and from  $-2.7$  to  $3.1$  °C in the Swiss Alps (Ikeda, 2006; Isaksen et al., 2011; Rödder and Kneisel, 2012). In the Swiss Alps, a  $MAGST$  of  $1.5$  and  $2$  °C was considered the boundary between the presence and absence of permafrost (Ikeda, 2006). At a site from the High Atlas Mountains (North Africa), a  $MAGST$  of  $3.2$  °C was interpreted as an indicator of the permafrost presence due to the low and stable winter temperature of  $-6.0$  to  $-4.5$  °C. The high  $MAGST$  was caused due to the high summer GSTs, while the low and stable GSTs during winter were preserved under a thick and continuous snow cover at a site prone to snow accumulation (Vieira et al., 2017). Snow cover and its melting/ablation played key roles in the spatiotemporal variability of GST in many alpine areas (Apaloo et al., 2012; Rödder and Kneisel, 2012; Gisnås et al., 2014), but this might not be the case in the HAYR. Snow is not influencing the GST in the HAYR due to its low thickness, discontinuity and short-lived periods even though frequent snowfalls occur all year, it melts quickly under the strong solar radiation.

#### 4 Data availability

405 The datasets are openly available from the National Tibetan Plateau/Third Pole Environment Data Center (<https://dx.doi.org/10.11888/Cryos.tpdc.272945>, Şerban and Jin, 2022).

#### 5 Conclusions

A particular observational network for GST has been established in the HAYR covering various environmental conditions and scales. GST was monitored from fine (distances up to 16 m) to local and landscape scales (2 and 50 km<sup>2</sup>) and along an 800-m elevational transect. This analysis emphasized the large variability in GST over short distances ( $<16$  m) in the HAYR over one hydrological year (2019–2020) under the influence of landcover conditions:



- The sites with similar landcover in both plots mainly presented an intra-plot difference of daily GST below 2 °C. The difference frequently exceeded 2 °C at sites where the bare ground was compared to vegetated plots. For some sites, from autumn to spring, the difference increased to 4–5 °C for a duration of up to 15 days. This revealed a faster cooling of the bare ground under a strong coupling with air temperature due to the lack of a consistent layer of snow accumulation.
- 415 -The intra-plot variability in MAGST was < 0.5 °C (similar landcover in both plots), and increased to 1–2 °C when the bare ground was compared to vegetated plots. Higher variability was observed for the minimum annual GST, frequently exceeding 2–3 °C for sites with different landcover in the two plots.
- The annual FDD/TDD were quite similar between plots for most sites, while for some the differences were usually less than 350 °C·day. In the drier, bare ground, a higher FDD was observed, while in the swamp meadows with a high moisture content,
- 420 a higher TDD.
- The negative MAGST was associated with an FN > 0.5, indicating the probable presence of permafrost, and further validated by the boreholes measurements. Sites with a MAGST < -1 °C corresponded well with the stable permafrost, while sites with a MAGST > 1 °C matched the areas of SFG. The sites with a MAGST that ranged between -1 and 1 °C agreed with the lower elevation limits of discontinuous permafrost on the northern flank of the Bayan Har Mountains.
- 425 This dataset at a high spatial resolution provides important data support for improving the upper boundary conditions in modelling approaches. It represents a useful input or validation dataset for permafrost and SFG models, as well as for reanalysis and remote sensing products. Furthermore, is helpful for understanding the effect of GST on the surface water cycles and vegetation development, soil organic carbon, and the rapid landscape changes in the HAYR. The multiscale observational network of GST on the HAYR is worth maintaining for long-term monitoring and intra-annual comparisons and could
- 430 represent a model for other cold regions worldwide.

### Author contribution

- SR and HJ obtained funding and designed the study and the observational network. SR prepared the datasets and performed the analysis. SM plotted part of the figures and contributed to the field data acquisition. SR, SM, DL, QW, RH, XJ, and XL participated in the some of field work in establishing the study transects and boreholes in the HAYR and the ensued datasets
- 435 for comparison analysis. SR wrote the manuscript and all authors revised the manuscript.

### Competing interests

The authors declare that they have no conflict of interest.



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