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# Permafrost changes in the northwestern Da Xing'anling Mountains, Northeast China in the past decade

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18 Abstract. Under a pronounced climate warming, permafrost has been degrading in most areas globally, 19 but it is still unclear in the northwestern part of the Da Xing'anling Mountains, Northeast China. 20 According to a ten-year observation of permafrost and active-layer temperatures, the multi-year average 21 of mean annual ground temperatures at 20 m was -2.83, -0.94, -0.80, -0.70, -0.60 and -0.49 °C, 22 respectively, at Boreholes Gen'he4 (GH4), Mangui3 (MG3), Mangui1 (MG1), Mangui2 (MG2), 23 Gen'he5 (GH5) and Yituli'he2 (YTLH2), with the depths of permafrost table varying from 1.1 to 7.0 m. 24 Ground cooling at shallow depths has been detected, resulting in declining thaw depths in Yituli'he 25 during 2009-2020, possibly due to relatively stable mean positive air temperature and declining snow 26 cover and dwindling local population. In most study areas (e.g., Mangui and Gen'he), permafrost 27 warming is particularly pronounced at larger depths (even at 80 m). These results can provide important 28 information for regional development and engineering design and maintenance, and also provide a long-29 term ground temperature dataset for the validation of models relevant to the thermal dynamics of 30 permafrost in the Da Xing'anling Mountains. All of the datasets are published through the National 31 Tibetan Plateau Data Center (TPDC), and the link is https://doi.org/10.11888/Geocry.tpdc.271752 32 (Chang-X, 2021).

## 33 1 Introduction

34 Permafrost, defined as ground that remains at or below 0 °C consecutively for two or more years, is 35 widespread in high-latitude and high-elevation regions (Zhang et al., 2007). One quarter of the Northern 36 Hemisphere and 17% of the Earth's currently exposed land surface are underlain by permafrost (Gruber, 37 2012). Areal extent of permafrost in China is estimated at about 1.59×10<sup>6</sup> km<sup>2</sup> (Youhua et al., 2012), 38 mainly on the Qinghai Tibet Plateau (about 1.06 1.17×10<sup>6</sup> km<sup>2</sup>) (Zou et al., 2017; Cao et al., 2019), in 39 northeastern China (about 3.1×10<sup>5</sup> km<sup>2</sup>) (Zhang et al., 2021) and mountainous areas in northwestern 40 China (Cao et al., 2018). Northern part of Northeast China is also characterized by the extensive and 41 stable inversion of air temperature in winter, thick surficial deposits, dense vegetation, extensive snow 42 cover, and widespread distribution of wetlands in valley bottoms and lowlands, resulting in strong 43 regional differentiations in permafrost features (Jin et al., 2007). Therefore, the latitudinal permafrost in 44 Northeast China is referred to as the "Xing'an (Hinggan)-Baikal permafrost (XBP)" (Jin et al., 2007), a 45 distinct type of ecosystem dominated permafrost (Shur and Jorgenson, 2007).

46 Permafrost is sensitive-Due to climate change warming (Farquharson et al., 2019; Sim et al., 2021; Zhang 47 et al., 2019; Ran et al., 2018) and surface disturbances (Guo et al., 2018; Li et al., 2019; Li et al., 2021)-48 It, global permafrost has experienced significant warming and widespread degradation during the last 49 several-decades (Jin et al., 2000; Jin et al., 2007; Zhang et al., 2019; Jin et al., 2021; Chen et al., 2020), 50 evidenced by deeper seasonal thawing (Luo et al., 2018), thinning and warming permafrost (Gruber, 51 2012; Jin et al., 2021; Jin et al., 2007; Romanovsky et al., 2010; Wu et al., 2022) (Wu et al., 2022) 52 2022 #2}, and an areal reduction of permafrost in northeastern China (Li et al., 2021; Zhang et al., 2021). 53 The pPermafrost change has attracted extensive attention worldwide (Biskaborn et al., 2019), because it 54 has significant potential impacts on the terrestrial eco-hydrological processes (Zhang et al., 2017; Schuur 55 and Mack, 2018; Zhang et al., 2018a; Ala-Aho et al., 2021; Ran et al., 2022; Luo et al., 2022) and carbon 56 cycling (Mu et al., 2020; Schuur et al., 2015). In recent decades, huge efforts have been dedicated to 57 developing physically based models <u>aiming</u> to reproduce and predict the thermal dynamic processes of 58 permafrost and their consequences influences. However, lacking long term and systematic in-situ 59 observation of permafrost temperature is becomes an apparent bottleneck for the mentioned relevant 60 analysis and model calibration or validation. The oObservations in deep groundpermafrost is especially 61 rare and precious.

62 The areal extent of permafrost in China is estimated at about  $1.59 \times 10^6$  km<sup>2</sup> (<del>Youhua</del>Ran et al., 2012), 63 mainly in the mountainous areas of northwestern China (Cao et al., 2018), on the Qinghai-Tibet Plateau 64 (about  $1.06 \times 10^6 - 1.17 \times 10^6$  km<sup>2</sup>) (Zou et al., 2017; Cao et al., 2019), and in northeastern China (about 65  $3.1 \times 10^5$  km<sup>2</sup>) (Zhang et al., 2021). As a main distribution region of high latitudes permafrost in China, 66 The northern part of Northeast China, e.g., the Da Xing'anling Mountains, is characterized by thick 67 surficial deposit of organic soil and peat, dense vegetation, and widespread distribution of wetlands in 68 valley bottoms and lowlands, and extensive and stable inversion of air temperature and snow cover in 69 winter, resulting in strong regional differentiations in permafrost features (Jin et al., 2007). Therefore, 70 the permafrost in the Da Xing'anling Mountains is referred to as "Xing'an (Hinggan)-Baikal permafrost 71 (XBP)" (Jin et al., 2007), a distinct type of ecosystem-dominated permafrost (Shur and Jorgenson, 2007). 72 However, the intensity and progress on of permafrost observation in Da Xing'anling Mountains area this 73 region was falling far behind other permafrost regions in China, -e.g., the Qinghai-Tibetan Plateau (Zhao 74 et al., 2021; Wu et al., 2022). Most of-permafrost such-investigation and observation in Da Xing'anling 75 mountains Mountains area-were aimed at serving some specific short-short-term projects in economic 76 development, engineering design and construction, e.g., road construction and coalmining (Jin et al., 77 2007), and they were terminated upon the project completion without persistence. In recent years, 78 numerous local studies on permafrost change have been carried out. However, most of them have 79 beenwere based on air and/or ground surface temperatures provided by weather stations, reanalysis data 80 (Wei et al., 2011; Zhang et al., 2018b; Zhang et al., 2021), or short-term ground thermal observations 81 (He et al., 2021; Jin et al., 2007). ThusWithout direct observation of ground temperature profiles and 82 their temporal changes, it is hard to more accurately feature and evaluate the latest distribution and future 83 changes of permafrost in Northeast China under the combined influences of warming climate and human 84 activities (Serban et al., 2021). Similar to the Circumpolar Active Layer Monitoring (CALM) sites 85 (Brown et al., 2000; Grebenets et al., 2021; Shiklomanov et al., 2012), or CALM-South sites (Guglielmin, 86 2006; Guglielmin et al., 2012; Hrbáček et al., 2021), a comprehensive and persistent observing system 87 was gradually established since 2009 at Gen'he (GH), Yituli'he (YTLH), and Mangui (MG) in the 88 northwestern part of the Da Xing'anling Mountains, Northeast China. Periodical collection and 89 calibration of data on the thermal regimes of soils in the active layer and permafrost at depths have been 90 carried out in boreholes, generally reaching 20 m in depth and one of them, 80 m. Thus, This-the 91 observing system thus presents an opportunity to observe investigate the thermal characteristics of the 92 XAP-XBP at depths and to understand and evaluate <u>the</u> temporal changes in permafrost features in different 93 https://www.ightelleas.kapsilipttifnabfigipllnigbdprotubgieigliguthituuiNtwChitatlpoidthitubgrouthpetulasfiechtin 94 and validation of models relevant to the thermal dynamics of permafrost in <u>the</u> Da Xing'anling Mountains<sub>7</sub>, 95 and provide important information for regional planning, development, and engineering design and 96 maintenance in Northeast China.

#### 97 2 Study area

98 The Gen'he Station of China Forest Ecological Research Network (CFERN), Yituli'he Permafrost 99 Observatory (YPOYTLH), and Mangui Permafrost Station (MPSMG) are found in the discontinuous permafrost 100 zone of Northeast China (Figure 1), where it is characterized by a cold temperate continental climate 101 under the influences of alternating monsoons. The Multimulti-year averages of mean annual air temperature 102 (MAAT) were was -4.0°C at Gen'he (1961-2020), -5.2°C at the YPO Yituli'he (1965-2005), and -5.8°C at the MPS Mangui 103 (1996–2005). In-During the same periods, the multi-year average of annual precipitation was 440 mm at 104 Gen'he, 460mmatthe Yituli'he YPO, and 480mmatthe MPSG angui (Yang, 2007). Annual The precipitation falls concentratively mainly in the 105 Emsemmerized getalogeticed Collected Swell new Hard to SWP acoust Color (now decide to SWP find to be in the second 106 Stable snow cover on the ground surface usually starts to occur on the ground surface in the late October and generally 107 disappears in the next April.-108 In the vicinity of CFERN, five boreholes were installed at Gen'he, and the datasets at GH4 and GH5 109 (Figure 1) with good quality were presented in this study. Two boreholes (YTLH1 and YTLH2) and three 110 boreholes (MG1, MG2 and MG3) were installed at YTLH and MG, respectively (Figure 1). The 111 Vegetimifisight/imitoic/heathantime/BodelsCH4CH5YILHLYILH2MGLMC2mMCRebut/(Fguelanlidb)BodelsTocaret 112 located in a larch (Larix gmelinii) forest, whereas Boreholes GH5, YTLH1, YTLH2 and MG2 are in sedge (Carex 113 tato) meadows. The Borehole MG3 is in an open backyard, and Borehole MG1,-\_\_is located in a birch (Betula) shrubland with 114 sedges (Carex tato) as an understory. However, The soil types at all boreholes are similar the same, i.e., (brown coniferous 115 forest soil). 116 117

Among the seven boreholes, Borehole YTLH1 of with a depth of 8.15 m in depth was first installed for monitoring the hydrothermal dynamics of active layer and shallow permafrost at the end of 2008, with weekly manual measurement of soil temperatures since 2009. However, in order to monitor the permafrost temperature at the depth of zero annual amplitude (generally at 10-25 m in Northeast China),

120	a depth of 20 m at a nearby site (10 m away from the YTLH1) with almost identical physical and
121	vegetative conditions on the ground surface. The tThermistor cables for monitoring the ground
122	temperatures were permanently installed in the boreholes for manually monitoring ground temperatures
123	since 2010. Boreholes-GH4, GH5, MG1, MG2 and MG3 have been monitored installed and started
124	working since the beginning of 2012, but for with different observational frequencies (Table 1). These
125	All the thermistor cables were assembled with same technology standards by the State Key Laboratory
126	of Frozen Soils Engineering (SKLFSE), ) in Cold and Arid Regions Environmental and Engineering
127	Research Institute (CAREERI; now renamed to the Northwest Institute of Eco-Environment and
128	Resources, or NIEER), of CAS Chinese Academy of Sciences (Chinese Academy of Sciences CAS),
129	Lanzhou, China. The, with an accuracy of ground temperature measurement is ±0.05 °C in the
130	temperature range from $-30$ to $+30$ °C, and $\pm 0.1$ °C, in ranges from $-45$ to $-30$ °C and from $+30$ to
131	+50°C.
132	Among the seven boreholes, Borehole-YTLH1 of with a depth of 8.15 m in depth was first installed for
133	monitoring the hydrothermal dynamics of active layer and shallow permafrost at the end of 2008, with
134	weekly manual measurement of soil temperatures since 2009. However, in order to monitor the
135	permafrost temperature at the depth of zero annual amplitude (generally at 10-25 m in Northeast China),
136	an additional borehole (YTLH2) was drilled to a depth of 20 m at a nearby site (10 m away from the
137	YTLH1) with almost identical physical and vegetative conditions on the ground surface. The tThermistor
138	cables for monitoring the ground temperatures were permanently installed in the boreholes for manually
139	monitoring ground temperatures since 2010. Boreholes-GH4, GH5, MG1, MG2 and MG3 have been
140	monitored installed and started working since the beginning of 2012, but for with different observational
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146	<u>measurement is</u> $\pm 0.05$ °C in the temperature range from $-30$ to $+30$ °C, and $\pm 0.1$ °C <sub>5</sub> in ranges from $-45$
147	to $-30$ °C and from $+30$ to $+50$ °C.
148	For continuous observation, the thermistor cables at GH4 were connected to data fora Micrologger of
1 1 2	

149 <u>CR3000 (USA), and the ground temperatures at the Borehole GH4</u>-were automatically collected in an

hourly bytime step the Micrologger CR3000 (USA), whereas the ground temperatures at other sites boreholes were manually measured with a multi-meter (Fluke 189®). Unfortunately, not all records for soil-ground temperatures are complete in time for all boreholes. For example, there were two hiatuses for the records of Borehole-GH4 (2014-2016 and 2017-2019) due to the logger damage. Manual records from January to June in 2014 for other boreholes were lost in mailing. The measurement at MG3 was halted in 2016 because of borehole damage and that at GH5 and YTLH2, in 2020, due to the outbreak of the COVID-19 virus and the ensued traffic control. The specifics are presented in Table 1.

## 157 3 Results

## 158 **3.1** Ground temperatures in near-surface permafrost and active layer

159 Ground temperatures of near-surface soil (e.g., at depths of 1 and 2 m) responds quickly to changes in 160 air temperature, but the change patterns of ground temperatures show a reduction of amplitude with 161 increasing depth in all these-boreholes. In Boreholes-boreholes\_GH4, MG3, YTLH1 and YTLH2, 162 seasonal variations in ground temperature still could be detected at the depth of 5 m. However, at depths 163 of 3 and 4 m, variations in winter ground temperatures gradually flattens out in Boreholes boreholes GH5, 164 MG1 and MG2, and only the annual variability in summer ground temperatures can be detected at the 165 depth of 5 m (Figure 2). Therefore, only a small temperature amplitude  $(0.5 \sim 1.0^{\circ} C)$  was detected at the 166 depth of 5 m in comparison with that at 3 m ( $2 \sim 3^{\circ}$ C).

167 Based on the thermal observation at Borehole-MG1, 2.6 m (2017) and 1.9 m (2020) in depth were 168 respectively the maximum and minimum depths of the permafrost table (Table 2). Combining the data 169 in Figure 2b and other observational data, the active layer thickness (ALT) at Borehole-MG2 increased 170 from 4.3 m (2012) to 4.8 m (2016), but thinned to 4.2 m (2019) afterwards. The permafrost table at MG3 171 was located at 2.8 m (2012 and 2013), 4.0 m (2014) and 3.3 m (2015) in depth during the observation 172 period. Subtle freeze-thaw cycles were observed at 2.0 m in depth in Borehole GH4 (Figure 2a<sub>2</sub>), and the 173 0 °C isotherms in Figure 3a indicated a range of ALT from 2.2 m (2016) to 2.0 m (2018). In Borehole 174 GH5, there still exist obvious freeze-thaw cycles at the depth of 6.0 m, despite of with a small varying 175 range in ground temperature range (0.5°C) at the depth of 6.0 m, freeze thaw cycles took place. During 176 the monitoring period, However, the sensor ground temperature at the depth of 7.0 m stayed constantly 177 below 0 °C all year round during the monitoring periodin depth showed all negative temperatures, apbut

178 in the left proximityating of to 0 °C and, all year round, with a multi-year average of mean annual soil 179 ground temperature at -0.08 °C. That is, T the thawing front reached down to the depth of 7.0 m every 180 year (Figure 3b), which means the permafrost table here has been lowered to 7.0 m in depth. In Borehole 181 YTLH1, ground thawing occurred occasionally at 2.0 m, for an example, in October 2016, but the ALT 182 mostly varied from 1.5 m (2011) to 1.0 m (2017) during the observation (Figure 3c). In the same period 183 in 2016, -0.1 °C was registered as the highest temperature at 2.0 m in depth in Borehole YTLH2 (Figure 184 2c<sub>2</sub>), but an above-zero temperature, at 1.5 m depth. The depth of the permafrost table fluctuated between 185 1.6 m (2017) and 2.0 m (2011 and 2016) (Figure 3d and Table 2).

# 186 **3.2 Changes trends of permafrost temperature at depths**

187 Figure 4 highlights the changes in thermal regimes of permafrost at different depths in Boreholes 188 boreholes MG1, MG2 and MG3. Ground temperature was on the rise, but its amplitude decreased with 189 depth since the beginning of observation in 2012. The depth of zero annual amplitude (ZAA) was 190 estimated to be the place where ground temperature changes by no more than 0.1°C throughout a year 191 (Everdingen, 1998 (revised 2005)). Although the ground temperature was not measured periodically with 192 a very fine time step and some values were lost, the estimation could still be reasonable, because the 193 temperature fluctuation in deep ground is significantly dampened. According to the monitoring data, the 194 depth of ZAA varies among different boreholes (Table 2) without considering interannual changes. In 195 order to show more accurate thermal statses of permafrost, ground termperatures of 20 m were chosen 196 to compare within different boreholes in this paperstudy. In Borehole MG1, the varying amplitudes of 197 ground temperatures for depths deeper thanbelow 8 m in depth waswere no more than 0.4 °C, and 198 seasonal variability was hardly detectable at depths of 16 and 20 m. The results of linear fitting (red trend 199 lines) indicate an overall warming trend of permafrost during 2012-2020. A mulit-year average of mean 200 annual ground temperature (MAGT, at 20 m; from 2012 to 2020) of -0.77 °C was obtained in Borehole 201 borehole\_MG1. In Borehole-MG2, the ground temperature varied slightly (±0.06°C) with the seasons 202 even at the depth of 20 m, where the MAGT was about -0.69 °C. Permafrost here was also warming, 203 with a rising amplitude of 0.1~0.2 °C from 2012 to 2020. The valid monitoring period was less than 5 204 years in Borehole-MG3 (1 January 2012 to 29 April 2016), when the largest ground temperature range 205 of 0.2-0.5 °C was detected between the depths from 8 m and to 20 m. Similar to the Borehole-that in 206 MG2, the pemafrost temperature at the depth of 20 m in depth in Borehole borehole MG3 has been 207 experiencing some seasonal variations, with a multi-year average of MAGT at -0.94 °C (Table 2).

208 During 2012-2020, Permafrost permafrost at depths of 8 and 20 m in Boreholes GH4 and GH5 (Figure 209 5) warmed by 1.5-0.2 and 0.2-0.1°C, respectively, during 2012-2020. The warming of permafrost at GH5 210 was insignificant in comparison with that at other sites. Mean annual soil-ground temperature at 8 m in 211 depth have slightly warmed from -0.17 °C in 2012 to -0.16 °C in 2019, and; the MAGT at 20 m in depth, 212 from -0.60 to -0.57 °C over the same period. MAGT at 20 m in depth was averaged at -0.59 °C during 213 2012-2019. However, permafrost at GH4 was relatively obviously colder, with a multi-year average of 214 MAGT at -2.84 °C at 20 m in depth. According to Figure 5, ground temperatures fluctuated seasonally 215 at depths of 8-above 20 m-in-depth. However, seasonal variations in ground temperature dwindled 216 gradually below at depths deeper than 30 m (Figure 6), leaving only inter-annual variations. Ground 217 temperatures in Borehole GH4 increased with increasing depth (-2.51, -1.76 and -0.41 °C at 30, 50 and 218 80 m, respectively), whereas the thermal fluctuations declined downwards (0.2 °C at 20 and 30 m in 219 depth, but 0.03 °C at 80 m). Thus, dDuring 2012-2020, the ground-permafrost at depths of 30-80 m at 220 the-GH4 site-was warming at an average rate of 0.04-0.20 °C /dec.

In Borehole YTLH2, remarkable seasonal variations were noted at each measured depth. The seasonal amplitude of ground temperature gradually dampened with increasing depth, varying from approximately  $0.5 \,^{\circ}$ C at 8 m in depth to less than  $0.1 \,^{\circ}$ C at 20 m. Unlike permafrost in Mangui town and Gen'he city, a significant cooling of permafrost was detected at all depths except 20 m at YTLH2 during the 10-year observation (Figure 7). The average rate of temperature change at 20 m depth is close to 0  $^{\circ}$ C /dec and the MAGT here has been roughly maintained at  $-0.49 \,^{\circ}$ C in the past decade (Table 2).

## 227 4 Discussion

# 228 4.1 Changes trends of near-surface permafrost temperatures

Based on the analysis in Section 3.1, it can be inferred that changes in the-ground thermal regimes (<u>especially in ALT</u>) of the ecosystem-dominated permafrost on the northwestern slope of the-Da Xing'anling Mountains are mainly controlled by <u>the</u> changes in local factors, such as vegetation and snow covers and human activities, <u>especially in the ALT</u>. For example, ALT ranges from 2.5 m in 2016 and 2017 to 1.9 m in 2020 for the <u>site-borehole</u> in shrubs (MG1), 4.8 m in 2017 to 4.2 m in 2020 for the <u>borehole</u> in sedge meadow (MG2), and 2.9 m in 2012 to 4.0 m in 2014 for the borehole in the a farmer's 235 backyard (MG3) during the observation period. Apparently, the Borehole-borehole MG1, far away from 236 downtown Mangui, had the least ALT because of more shading effect of shrubs than that of meadow 237 (MG2) and less anthropogenic impact than that of backyard (MG3). A Ddeclining trend of ALT was also 238 observed in the Nanwenghe Wetlands Reserve on the southern slope of the Da Xing'aning-Yile'huli 239 Mountain Knots, Northeast China, probably driven by a rising surface and thermal offsets of vegetation 240 cover and organic soils (He et al., 2021). Additionally, at-the MG3-site, the smaller ALT could be 241 attributed to the shading effect of the farmer's house and more heat loss to the atmosphere caused by 242 snow removal in the yard in winter as well. In Gen'he, at the site of Borehole GH4 in a primeval forest, 243 ALT remained unchanged at 2.2 m from 2012 to 2016 and, without human disturbance, permafrost was 244 well-preserved. On the contrary, at the GH5 site in the suburb meadow frequently disturbed by the nearby 245 livestock, a complex thermal regime was observed in the active layer. Ground temperatures at the depths 246 of 3.5-6.0 m were negative-below 0° C from March to September and above 0° C positive in other time 247 every year, and; not until 7.0 m in depth, where it became below  $0^{\circ}$  C all the year round. By definition, 248 the active layer is the layer above permafrost that freezes in winter and thaws in summer. Therefore, 7 m 249 is supposed to be the reasonable ALT or the depth of the permafrost table, and there might be no supra-250 permafrost subaerial talik (Jin et al., 2021) between the active layer and the permafrost table at this site, 251 i.e., attached permafrost. However, the supra-permafrost subaerial talik, which has appeared in the 252 Nanwenghe Wetlands Reserve about 300 km to the east of the study site (He et al., 2021), may develop 253 at this site in the future. In Yituli'he, the two boreholes (YTLH1 and YTLH2) are, about 20 m apart, both 254 in the meadowy swamp to the east of the railway and to the west of highway. Permafrost here is well 255 developed, partially thanksattributed to the sufficient moisture provided by lowland swamp, which also 256 possibly facilitates the formation of ice wedges (Yang and Jin, 2011).

257 Notably, there was a decreasing trend in ground temperatures at shallow depths no matter in summer or 258 winter during 2010-2020 (Figure 2), otherwise suggesting a cooling permafrost at shallow depths in the 259 last decade on the northwestern slope of the Da Xing'anling Mountains if no ground surface conditions 260 are taken into account. The maximum thaw depth (MTD) in Yituli'he rose gradually with fluctuations 261 during 1980-2005, and it showed a downward trend during 2010-2019 (Figure 8). This could be related 262 to the thriving vegetation, and declining winter precipitation or snow cover in this area during the 263 observational period. In the last decade, although the mean positive air temperature (MPAT) barely 264 changed in Gen'he (Fig 9b), precipitation in warm seasons increased slightly, leading to a wetter 265 condition in favor of vegetation thriving. For example, the maximum vegetation height of *Carex tato* at 266 YTLH1 and YTLH2 grew significantly from 2009 to 2014. Bushes have also emerged recently near the 267 borehole. Thriving vegetation will reduces the solar irradiance incident onto the soil surface in summer 268 and cast a cooling effect on the ground temperature. On the contrary, the winter precipitation (Figure 9a) 269 and snow cover, including the maximal snow depth (Figure 9c) and snow duration (Figure 9d), declined 270 slightly. The thermal insulation effect of snow cover will be weakened weakens when the snow the depth 271 of snow cover decreased declines, which will lead to a larger heat removal from the permafrost to air in 272 winter and drive the permafrost cooling. The dDetailed mechanisms for the cooling permafrost will be 273 further investigated with the help of some physically based models after complementing observations on 274 the interactions of energy balance between the permafrost, vegetation, and snow cover.

#### 275

#### 4.2 Changes trends of permafrost temperatures at larger depths

## 276 **Permafrost in Mangui**

277 During the observation period, the averages of MAGTs at the depth of 20 m were -0.79, -0.70 and -0.93278 °C, respectively, in shrubs (MG1), meadow (MG2) and the farmer's backyard (MG3), indicating a poor 279 correlation between the thermal state of deeper permafrost and vegetation cover or anthropic disturbances. 280 However, there was a close relationship between the permafrost change at larger depths and land surface 281 conditions. The Ppermafrost below deeper than 8 m was significantly warming in the last decade under 282 a warming climate (Figure 4). In Borehole-MG1 and Borehole-MG2 in particular, the rates of ground 283 warming increased slightly with depth (<0.3 °C/dec for MG1 and <0.2 °C/dec for MG2), demonstrating 284 a less significant thermal rising in deeper permafrost. Within the zone of discontinuous permafrost, the 285 negative relationship between effective leaf area index (LAIe) and soil moisture content may contribute 286 to differential rates of permafrost thaw (Baltzer et al., 2014). Therefore, more effective water uptake by 287 shrubs than meadow results in lower soil moisture, leading to a more rapid thaw of permafrost at the 288 MG1 site than that at the MG2 site. The warming rate of permafrost in Borehole MG3, with a large 289 warming range, decreased with depth (0.5 °C/dec at depths of 10 and 12 m, but approximately 0.2 °C/dec at depths of 16 and 20 m), probably due to short monitoring period and less data. However, it does verify 290 291 that, in Mangui, permafrost at depths is warming or degrading in the last decade.

#### 292 Permafrost in Gen'he

Indeed, there exists some long periods with missing data at GH4, and it is reluctant to make the trend

294 analysis with these missing data. However, at the surface layers, although the fluctuation of ground 295 temperatures is relatively huge large at surface layers, the collected data has have generally captured the 296 maximal and minimal ground temperature in for some important years with observing data. Simply by a 297 visual inspection, the minimal or maximal ground temperatures in the observed years has an apparent 298 warming trend from 2012 to 2020, which has a good coincidence with the trend analysis in this study. 299 That is, although the missing values could make some loss for the accuracy of trending analysis, or make 300 it less robust, they will not change the trend in an antipodal way. In addition, in depths the ground greater 301 deeper than 8 m, the annual fluctuation of ground temperature was much less than that in the surface 302 layers, as shown in Figures 5 and 6. The missing values will not vary too much from the closest collected 303 values in time. Therefore, we speculate assume that the influence of missing values on the trending 304 analysis for of ground temperature at depthdeep layers will be smaller than that in the surface layers, and 305 it will decrease with depth, which can be inferred from Figures 5 and 6.

306 In Borehole-GH4, lower ground temperatures and greater warming range-rates was-were observed in 307 comparison with those in Borehole GH5 in the last decade (Figure 5). Even at the depths of 70 and 80 308 m, the ground temperatures were still rising with time at appreciable warming rates (Figure 6), reflecting 309 the impact of climatic warming on permafrost at greater depths. A subtle warming trend of permafrost at 310 depths of 8-20 m in Borehole-GH5 was also detected with a rate of 0.04 °C/dec during the observation 311 period (Figure 5). This warming rate of ground temperature is similar to that of the Borehole 85-8A in 312 the southern zone of discontinuous permafrost in North America, where the permafrost is often vertically 313 in isothermal condition and close to 0 °C in ground temperature (Smith et al., 2010). In this situation, 314 latent heat effects are considered as the key factor for leading to isothermal conditions in the ground and 315 allowing permafrost to persist under a warming climate (Smith et al., 2010). If the effect of large thermal 316 inertia lasts long enough, the supra-permafrost subaerial talik will be highly likely to form and permafrost 317 will be gradually buried. In a wordOverall, the permafrost at depth in forested landscape degradation in 318 Gen'he is also taking an evident and ongoing warming trend at present in both forested landscape and 319 anthropic zones, particularly in the latter one.

320 Permafrost in Yituli'he

According to <u>a previous study</u> (Jin et al., 2007), MAGT at 13 m in Yituli'he rose by 0.2 °C during 1984-1997, continuously rising from -1.00 °C in 1997 to -0.55 °C in 2010, except during the short suspension 323 of monitoring (2005-2008), and peaking at -0.53 °C in 2013. After that, it kept lowering consecutively 324 and by 2018 it was lower than -0.70 °C, showing an evident cooling trend of permafrost in a sharp 325 contrast to the ground warming trends in Gen'he, Mangui, and other permafrost regions in the world 326 (Douglas et al., 2021; Farquharson et al., 2019). Based on the an investigation, there was once a Railway 327 Branch Administration in Yituli'he town since 1964s to 1970s, with a population of over 30,000, but the 328 branch was terminated in 1998. After that, more and more people emigrated and less than 10,000 329 residents have remained at present, thus leaving a chance for restoration recovery of the local eco-330 environment and for recovering permafrost temperature.

331 So far, the mitigation of permafrost degradation becomes considerably difficult in the context of a 332 persistent climate warming (Brown et al., 2015; Luo et al., 2018). However, within the dried margin of 333 the Twelvemile Lake (66°27'N, 145°34'W), permafrost aggradation has taken place due to willow shrub 334 uptake of summer recharge and summer shading recharge reduction (Briggs et al., 2014). Beer et al. 335 (Beer et al., 2020) also found that most permafrost-affected soil could be preserved by increasing the 336 population density of big herbivores in northern high-latitude ecosystems as a result of reducing 337 insulation of winter snow cover. The fact that permafrost is cooling in Yituli'he demonstrates that the 338 ecosystem-protected permafrost in discontinuous permafrost zone may recover if the disturbances, such 339 as human activities, dwindle. Thus, our research results would provide key evidence for the preservation 340 of permafrost in areas with intense past anthropic disturbances (Serban et al., 2021).

## 341 5 Conclusions

342 Long-term records of permafrost monitoring presented here from the northwestern flank of the Da 343 Xing'anling Mountains in Northeast China show some important characteristics of ground thermal 344 regimes in the past eight years (2012-2020). The lowest MAGT at 20 m in depth was -2.83 °C in 345 Borehole-GH4 in a primeval larch forest, and -0.94, -0.80, -0.70, -0.60 and -0.49 °C, respectively, at 346 MG3, MG1, MG2, GH5 and YTLH2. The maximum of the burial depth of the permafrost table at about 347 7.0 m was discovered in Borehole-GH5, and the minimum,  $1.1 \sim 1.5$  m at YTLH1. The permafrost table 348 was at depths of about 2.0 m at GH4 and YTLH2, and 2.5, 5.0 and 4.0 m at MG1, MG2 and MG3, 349 respectively. Local factors, such as vegetation and snow covers and human activities, are supposed to be 350 mainly responsible for the changes in the ALT and the thermal state of shallow permafrost in the study

area. The most important fact is that ground cooling at shallow depths, as well as the declining ALT in
 Yituli'he after 2009, has been detected during the observation period, which is probably caused by fairly
 constant MPAT (mean positive air temperature) and weakened insulation of winter snow cover.

354 Apart from Yituli'he, permafrost warming at large depthsdepth was particularly pronounced during the 355 observation period, even at depths of 70 and 80 m, with different ground warming rates. It is noteworthy 356 that the geothermal gradient at depths in Borehole-GH5 is almost zero (vertically no change) and with 357 MAGT at about 0 °C due to huge thermal inertia of the ice-rich permafrost. This may most likely lead to 358 the formation of the supra-permafrost subaerial talik soon. At the Yituli'he Permafrost Observatory, 359 permafrost has been cooling since the re-establishment of monitoring program in 2010; the rapidly 360 declining local population might have relieved its stress on the eco-environment and resulted in 361 permafrost recovery. This fact makes it possible to mitigate the permafrost degradation in the zones of 362 ecosystem-dominated permafrost, offering a new thought for permafrost protection.

## 363 Author Contributions

XC, HJ, and RH designed the study. XC wrote the manuscript and performed the analysis. YZ
 plotted the figures. XL, XJ and GL contributed parts of the field data. HJ improved the writing and
 structure of the paper.

## 367 Competing interests

368 The contact author has declared that neither they nor their co-authors have any competing interests.

## 369 Disclaimer

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#### **Data availability**

- 381 The dataset is available from the National Tibetan Plateau/Third Pole Environment Data Center
- 382 (https://doi.org/10.11888/Geocry.tpdc.271752, Chang X, 2021).

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550 Figure 1. Location of the study area and the distribution of Manguil (MG1), Mangui2 (MG2), Mangui3 551 (MG3), Gen'he4 (GH4), Gen'he5 (GH5), Yituli'he1 (YTLH1) and Yituli'he2 (YTLH2) in the zones of frozen 552 ground in the northern Da Xing"anling Mountains, Northeast China (The permafrost distribution is from 553 Jin et al. (2007).)

554



Figure 2. Variability of measured ground temperatures at depths of 1-5 m for Boreholes GH4 and GH5 (a)), MG1, MG2 and MG3 (b)), and YTLH1 and YTLH2 (c)).



Figure 3 Variability of 0 °C isotherms (black curves) of ground temperature for Boreholes GH4 (a), GH5 (b), YTLH1 (c), and YTLH2 (d). The empty space indicates the period of missing data.



Figure 4. Variability of permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes MG1, MG2 and MG3 in Mangui, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.



Figure 5. Variations in permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes GH4 and GH5 in Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.



Figure 6. Variability of deep permafrost temperatures at depths of 30 – 80 m for Borehole GH4 in Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.



Figure 7. Variability of permafrost temperatures at depths of 8, 12, 16 and 20 m at Borehole YTLH2 in Yituli'he in northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.



Figure 8. The maximum thaw depth (1980-2019) in Yituli'he on the northwestern flank of the northern Da Xing'anling Mountains in Northeast China (Black squares appeared in the paper from Jin et al. (2007), red ones are obtained in this observation. The two boreholes are 10 m from each other, with similar surface, hydrology and soil conditions.)



Figure 9. Climatic characteristics of Gen'he on the northwestern flank of the northern Da Xing'anling Mountains in Northeast China in the past ten years

Borehole No.	Lat. (°N)	Long. (°E)	Elev. (m a. s. l.)	Vegetation	Monitoring depths (m)	Time period	Monitoring frequency
				Betula			
MG1	52.037	122.069	633	fruticosa		2012-2020	
				shrubs	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,		א <i>א</i> ר בל- 1
	2005	100 075	C13	Carex tato	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14,	0000 0100	Innuota
7DIM	020.20	122.073	042	meadow	15, 16, 17, 18, 19, 20	2012-2020	
	20 026	177 076	630	Open		2100 0115	
COIM	020.20	122.070	600	courtyard		0107-7107	
				Betula	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,		
		101 500	011	fruticosa	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14,	2012-2014, 2016-2017,	-
UH4	20.932	700.171	011	Larix gmelini		2019-2020	riouriy
				forest	50, 60, 70, 80		
					0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,		
GH5	50.799	121.530	728	Curex tuto	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14, 2012-2019	2012-2019	
				IIIEAUOW	15, 16, 17, 18, 19, 20		
	50 600	121 5/10	107	Carex tato	0.0, 0.1, 0.2, 0.5, 0.8, 1.0, 1.6, 2.0, 3.0,	0100 0000	Weekly
ILLI	20.029	121.049	171	swamp		2009-2019	
				Canox tato	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,		
YTLH2	50.630	121.549	725	Carex tato	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14, 2010-2017	2010-2017	
				swamp	15, 16, 17, 18, 19, 20		

Borehole	ALT	Average MAGT (°C)					
Borenole	(m)	8m	10m	13m	16m	20m	
MG1	1.9-2.6	-0.48(ZAA)	-0.55	-0.63	-0.71	-0.77	
MG2	4.3-4.8	-0.34(ZAA)	-0.44	-0.55	-0.63	-0.69	
MG3	2.8-4.0	-0.75	-0.83	-0.87(ZAA)	-0.91	-0.94	
GH4	2.0-2.2	-3.26	-3.17	-3.06	-2.96(ZAA)	-2.84	
GH5	7.0	-0.17(ZAA)	-0.24	-0.39	-0.47	-0.59	
YTLH2	1.5-2.0	-0.82	-0.74	-0.61(ZAA)	-0.56	-0.49	

 Table 2 ALT and average MAGTs of boreholes at larger depths in the northwestern Da Xing'anling Mountains,
 Northeast China