

# Permafrost changes in the northwestern Da Xing'anling Mountains, Northeast China in the past decade

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## Abstract

Under a pronounced climate warming, permafrost has been degrading in most areas, but it is still unclear in the northwestern part of the Da Xing'anling Mountains, Northeast China. According to a ten-year observation of permafrost and active-layer temperatures, the multi-year average of mean annual ground temperatures at 20 m was  $-2.83$ ,  $-0.94$ ,  $-0.80$ ,  $-0.70$ ,  $-0.60$  and  $-0.49$  °C, respectively, at Boreholes [Genhe4 \(GH4\)](#), [Mangui3 \(MG3\)](#), [Mangui1 \(MG1\)](#), [Mangui2 \(MG2\)](#), [Genhe5 \(GH5\)](#) and [Yitulihe2 \(YTLH2\)](#), with the depths of permafrost table varying from 1.1 to 7.0 m. Ground cooling at shallow depths has been detected, resulting in declining thaw depths in Yitulihe during 2009-2020, possibly due to relatively stable mean positive air temperature and declining snow cover and dwindling local population. In most study areas (e.g., Mangui and [Genhe](#)), permafrost warming is particularly pronounced at larger depths (even at 80 m). These results can provide important information for regional development and engineering design and maintenance, and also provide a long-term ground temperature dataset for the validation of models relevant to the thermal dynamics of permafrost in Da Xing'anling Mountains. All of the datasets are published through the National Tibetan Plateau Data Center (TPDC), and the link is <https://doi.org/10.11888/Geocry.tpdc.271752> (Chang X, 2021).

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33 **Key words:** Permafrost change, climate warming, ground warming and cooling, declined snow  
34 cover, dwindling local population

## 35 **1 Introduction**

36 Permafrost, defined as ground that remains at or below 0 °C consecutively for two or more  
37 years, is widespread in high latitude and high elevation regions (Zhang et al., 2007). One  
38 quarter of the Northern Hemisphere and 17% of the Earth's currently exposed land surface are  
39 underlain by permafrost (Biskaborn et al., 2019). Areal extent of permafrost in China is  
40 estimated at about  $1.59 \times 10^6 \text{ km}^2$  (Youhua et al., 2012), accounting for one sixth of the total  
41 Chinese land territories. In northeastern China, land area of about  $3.1 \times 10^5 \text{ km}^2$  is underlain by  
42 permafrost (Zhang et al., 2021). Northern part of Northeast China is also characterized by the  
43 extensive and stable inversion of air temperature in winter, thick surficial deposits, dense  
44 vegetation, extensive snow cover, and widespread distribution of wetlands in valley bottoms  
45 and lowlands, resulting in strong regional differentiations in permafrost features (Jin et al.,  
46 2007). Therefore, the latitudinal permafrost in Northeast China is referred to as the "Xing'an  
47 (Hinggan) Baikal permafrost (XBP)" (Jin et al., 2007), a distinct type of ecosystem dominated  
48 permafrost (Shur and Jorgenson, 2007).

49 Permafrost is sensitive to climate change (Farquharson et al., 2019; Sim et al., 2021; Zhang et  
50 al., 2019) and surface disturbances (Guo et al., 2018; Li et al., 2019; Li et al., 2021). Permafrost  
51 has experienced significant warming and widespread degradation during the last several  
52 decades (Jin et al., 2000; Jin et al., 2007; Shanshan Chen, 2020; Zhang et al., 2019; Jin et al.,  
53 2021). It has been evidenced by deeper seasonal thaw (Luo et al., 2018), thinning and warming  
54 permafrost (Gruber, 2012; Jin et al., 2021; Jin et al., 2007; Romanovsky et al., 2010), and an  
55 areal reduction of permafrost in northeastern China (Li et al., 2021; Zhang et al., 2021).  
56 However, most of the regional or local investigations conducted for economic development,  
57 engineering design and construction, and environmental management, such as water supply,  
58 road construction or coalmining (Jin et al., 2007), would be terminated upon the project  
59 completion. Numerous local studies on permafrost changes have been carried out in recent  
60 years; however Permafrost, defined as ground that remains at or below 0 °C consecutively for two or  
61 more years, is widespread in high-latitude and high-elevation regions (Zhang et al., 2007). One quarter  
62 of the Northern Hemisphere and 17% of the Earth's currently exposed land surface are underlain by  
63 permafrost (Gruber, 2012). Areal extent of permafrost in China is estimated at about  $1.59 \times 10^6 \text{ km}^2$   
64 (Youhua et al., 2012), mainly on the Qinghai-Tibet Plateau (about  $1.06\text{-}1.17 \times 10^6 \text{ km}^2$ ) (Zou et al., 2017;  
65 Cao et al., 2019), in northeastern China (about  $3.1 \times 10^5 \text{ km}^2$ ) (Zhang et al., 2021) and mountainous areas

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66 in northwestern China (Cao et al., 2018). Northern part of Northeast China is also characterized by the  
67 extensive and stable inversion of air temperature in winter, thick surficial deposits, dense vegetation,  
68 extensive snow cover, and widespread distribution of wetlands in valley bottoms and lowlands, resulting  
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72 Permafrost is sensitive to climate change (Farquharson et al., 2019; Sim et al., 2021; Zhang et al., 2019;  
73 Ran et al., 2018) and surface disturbances (Guo et al., 2018; Li et al., 2019; Li et al., 2021). It has  
74 experienced significant warming and widespread degradation during the last several decades (Jin et al.,  
75 2000; Jin et al., 2007; Zhang et al., 2019; Jin et al., 2021; Chen et al., 2020), evidenced by deeper seasonal  
76 thaw (Luo et al., 2018), thinning and warming permafrost (Gruber, 2012; Jin et al., 2021; Jin et al., 2007;  
77 Romanovsky et al., 2010), and an areal reduction of permafrost in northeastern China (Li et al., 2021;  
78 Zhang et al., 2021). The permafrost change has attracted extensive attention worldwide (Biskaborn et al.,  
79 2019), because it has significant potential impacts on the terrestrial eco-hydrological processes (Zhang  
80 et al., 2017; Schuur and Mack, 2018; Zhang et al., 2018a; Ala-Aho et al., 2021; Ran et al., 2022) and  
81 carbon cycling (Mu et al., 2020; Schuur et al., 2015). In recent decades, huge efforts have been dedicated  
82 to developing physically based models to reproduce and predict the thermal dynamic processes of  
83 permafrost and their influences. However, lacking long term and systematic in-situ observation of  
84 permafrost temperature is an apparent bottleneck for the mentioned relevant analysis and model  
85 calibration or validation. The observation in deep ground is especially rare and precious.  
86 As a main distribution region of high latitudes permafrost in China, the intensity and progress on  
87 permafrost observation in Da Xing’anling Mountains area was falling far behind other permafrost  
88 regions, e.g., the Qinghai-Tibetan Plateau. Most of such investigation and observation in Da Xing’anling  
89 mountains area were aimed at serving some specific short term projects in economic development,  
90 engineering design and construction, e.g., road construction and coalmining (Jin et al., 2007), and they  
91 were terminated upon the project completion. In recent years, numerous local studies on permafrost  
92 change have been carried out. However, most of them have been based on air and/or ground surface  
93 temperatures provided by weather stations, reanalysis data (Wei et al., 2011; Zhang et al., 2018;  
94 Zhang et al., 2021), (Wei et al., 2011; Zhang et al., 2018b; Zhang et al., 2021), or short-term ground  
95 thermal observations (He et al., 2021; Jin et al., 2007), (He et al., 2021; Jin et al., 2007). Thus, it is  
96 hard to more accurately feature and evaluate the latest distribution and future changes of permafrost in  
97 Northeast China under the combined influences of warming climate and human activities (Serban et al.,  
98 2021).  
99 Fortunately, similar to the Circumpolar Active Layer Monitoring (CALM) sites (Brown et al.,

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100 ~~2000; Grebenets et al., 2021; Shiklomanov et al., 2012), or CALM South sites (Guglielmin,~~  
101 ~~2006; Guglielmin et al., 2012; Hrbáček et al., 2021), since 2009, a less comprehensive~~  
102 ~~observing system was gradually established at Gen'he, Yituli'he, and Mangui in the~~  
103 ~~northwestern part of the Da Xing'anling Mountains, Northeast China.~~(Serban et al., 2021). Similar  
104 ~~to the Circumpolar Active Layer Monitoring (CALM) sites (Brown et al., 2000; Grebenets et al., 2021;~~  
105 ~~Shiklomanov et al., 2012), or CALM-South sites (Guglielmin, 2006; Guglielmin et al., 2012; Hrbáček et~~  
106 ~~al., 2021), a comprehensive observing system was gradually established since 2009 at Gen'he (GH),~~  
107 ~~Yituli'he (YTLH), and Mangui (MG) in the northwestern part of the Da Xing'anling Mountains,~~  
108 ~~Northeast China.~~Periodical collection and calibration of data on the thermal regimes of soils in the active  
109 layer and permafrost at depths have been carried out in boreholes, generally reaching 20 m in depth and  
110 one of them, 80 m, ~~in Gen'he, eastern Inner Mongolia, Northeast China.~~ This thus presents an  
111 opportunity to observe the thermal characteristics of the XAP at depths and to understand and evaluate  
112 temporal changes in permafrost features in different landscapes under a warming climate. These results  
113 can provide important information for regional planning, development, and engineering design and  
114 maintenance in Northeast China. ~~It can also provide a long-term ground temperature dataset for the~~  
115 ~~validation of models relevant to the thermal dynamics of permafrost in Da Xing'anling Mountains.~~

## 117 2 Study area

118  
119 The Gen'he Station of China Forest Ecological Research Network (CFERN), Yituli'he Permafrost  
120 Observatory (YPO), and Mangui Permafrost Station (MPS) are found in the discontinuous permafrost  
121 zone of Northeast China (Figure 1), where it is characterized by a cold temperate ~~continental~~ climate  
122 under the influences of alternating monsoons. Multi-year averages of ~~mean annual~~ mean annual air  
123 temperature (MAAT) were ~~-4.0 °C~~ at Gen'he (1961–2020), ~~-5.2 °C~~ at the YPO (1965–2005) and  
124 ~~-5.8 °C~~ at the MPS (1996–2005). In the same periods, the multi-year average of annual precipitation  
125 was 440 mm at Gen'he, 460 mm at the YPO, and 480 mm at the MPS. Annual precipitation falls  
126 concentratively in the form of summer rain, according to the chorographic record of Gen'he city.  
127 Snowfall (snow water equivalent, or SWE) accounts for about 12~20% of annual total precipitation.  
128 Stable snow cover usually starts to occur on the ground surface in the late October and generally

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129 disappears in the next April.

130 Vegetation differs slightly from site to site where Boreholes GH4, GH5, YTLH1, YTLH2, MG1, MG2  
 131 and MG3 are located (Figure 1 and Table 1). Borehole GH4 is in a larch (*Larix gmelinii*) forest, whereas  
 132 Boreholes GH5, YTLH1, YTLH2 and MG2 are in sedge (*Carex tato*) meadows. The  
 133 ~~BoreholeMG3~~Borehole MG3 is in an open backyard, and ~~BoreholeMG1~~Borehole MG1, in a birch  
 134 (*Betula*) shrubland with sedges (*Carex tato*) as an understory. However, soil types are similar (brown  
 135 coniferous forest soil).

136  
 137 ~~Figure 1. Location of the study area and the distribution of borehole sites in the zones of frozen  
 138 ground in the northern Da Xing'anling Mountains, Northeast China~~

139  
 140 Among the seven boreholes, Borehole YTLH1 of 8.15 m in depth was first installed for monitoring the  
 141 hydrothermal dynamics of active layer and shallow permafrost at the end of 2008, with weekly manual  
 142 measurement of soil temperatures since 2009. However, in order to monitor the permafrost temperature  
 143 at the depth of zero annual amplitude (generally at 10-25 m in Northeast China), an additional borehole  
 144 (YTLH2) was drilled to a depth of 20 m at a nearby site (10 m away from the YTLH1) with almost  
 145 identical physical and vegetative conditions on the ground surface. The thermistor cables were  
 146 permanently installed for manually monitoring ground temperatures since 2010. Boreholes GH4, GH5,  
 147 MG1, MG2 and MG3 have been monitored since the beginning of 2012, but for different observational  
 148 frequencies (Table 1). These thermistor cables were assembled by the State Key Laboratory of Frozen  
 149 Soils Engineering (SKLFSE), Cold and Arid Regions Environmental and Engineering Research Institute  
 150 (CAREERI; now renamed to the Northwest Institute of Eco-Environment and Resources, or NIEER),  
 151 Chinese Academy of Sciences (CAS), Lanzhou, China, with an accuracy of  $\pm 0.05$  °C in the temperature  
 152 range from  $-30$  to  $+30$  °C, and  $\pm 0.1$  °C, from  $-45$  to  $-30$  °C and  $+30$  to  $+50$  °C.

153 For continuous observation, data for ground temperatures at the Borehole GH4 were automatically  
 154 collected hourly by the Micrologger CR3000 (USA), whereas at other sites were manually measured  
 155 with a multi-meter (Fluke 189®). Unfortunately, not all records for soil temperature are complete for all  
 156 boreholes. For example, there were two hiatuses for the records of Borehole GH4 (2014-2016 and 2017-  
 157 2019) due to the logger damage. Manual records from January to June in 2014 for other boreholes were  
 158 lost in mailing. The measurement at MG3 was halted in 2016 because of borehole damage and that at  
 159 GH5 and YTLH2, in 2020, due to the outbreak of the COVID-19 virus and the ensued traffic control.

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191 year (Figure 3b), which means the permafrost table here has been lowered to 7.0 m in depth. In Borehole  
192 YTLH1, ground thaw occurred occasionally at 2.0 m, for an example, in October 2016, but the ALT  
193 mostly varied from 1.5 m (2011) to 1.0 m (2017) during the observation (Figure 3c). In the same period  
194 in 2016,  $-0.1^{\circ}\text{C}$  was registered as the highest temperature at 2.0 m in depth in Borehole YTLH2 (Figure  
195 2c), but an above-zero temperature, at 1.5 m depth. The depth of the permafrost table fluctuated between  
196 1.6 m (2017) and 2.0 m (2011 and 2016) (Figure 3d and Table 2).

197 **Figure 3** Variability of  $0^{\circ}\text{C}$  isotherms (black curves) of ground temperature for Boreholes GH4 (a),  
198 GH5 (b), YTLH1 (c), and YTLH2 (d). The empty space indicates the period of missing data.  
199  
200

### 201 3.2 Change trends of permafrost temperature at depths

202 Figure 4 highlights the changes in thermal regimes of permafrost at different depths in Boreholes MG1,  
203 MG2 and MG3. Ground temperature was on the rise, but its amplitude decreased with depth since the  
204 beginning of observation in 2012. The depth of zero annual amplitude (ZAA) was estimated to be the  
205 place where ground temperature changes by no more than  $0.1^{\circ}\text{C}$  throughout a year (Everdingen, 1998  
206 (revised 2005)). Although the ground temperature was not measured periodically with a very fine time  
207 step and some values were lost, the estimation could still be reasonable, because the temperature  
208 fluctuation in deep ground is significantly dampened. According to the monitoring data, the depth of  
209 ZAA varies among different boreholes (Table 2) without considering interannual changes. In order to  
210 show more accurate thermal states of permafrost, ground temperatures of 20m were chosen to compare  
211 within different boreholes in this paper. In Borehole MG1, the amplitude of ground temperatures below  
212 8 m in depth was no more than  $0.4^{\circ}\text{C}$ , and seasonal variability was hardly detectable at depths of 16 and  
213 20 m. The results of linear fitting (red trend lines) indicate an overall warming trend of permafrost during  
214 2012-2020. A multi-year average of mean annual ground temperature (MAGT, at 20 m; from 2012 to  
215 2020) of  $-0.877^{\circ}\text{C}$  was obtained in Borehole MG1. In Borehole MG2, ground temperature varied  
216 slightly ( $\pm 0.06^{\circ}\text{C}$ ) with the seasons even at the depth of 20 m, where the MAGT was about  
217  $-0.769^{\circ}\text{C}$ . Permafrost here was also warming, with a rising amplitude of  $0.1\text{--}0.2^{\circ}\text{C}$  from 2012 to  
218 2020. The valid monitoring period was less than 5 years in Borehole MG3 (1 January 2012 to 29 April  
219 2016), when the largest ground temperature range of  $0.2\text{--}0.5^{\circ}\text{C}$  was detected between 8 m and 20 m.  
220 Similar to the Borehole MG2, permafrost at 20 m in depth in Borehole MG3 has been experiencing some

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221 seasonal variations, with a multi-year average of MAGT at  $-0.994^{\circ}\text{C}$ . (Table 2).

222  
223 [Figure 4. Variability of permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes](#)  
224 [MG1, MG2 and MG3 in Mangui, northern Da Xing'anling Mountains, Northeast China during](#)  
225 [2012-2020. GT stands for ground temperature.](#)

227 Permafrost at depths of 8 and 20 m in Boreholes GH4 and GH5 (Figure 5) warmed by 1.5–0.2 and 0.2–  
228  $-0.1^{\circ}\text{C}$ , respectively, during 2012-2020. The warming of permafrost at GH5 was insignificant in  
229 comparison with that at other sites. Mean annual soil temperature at 8 m in depth have slightly warmed  
230 from  $-0.17^{\circ}\text{C}$  in 2012 to  $-0.16^{\circ}\text{C}$  in 2019, and; the MAGT at 20 m in depth, from  $-0.60$  to  
231  $-0.57^{\circ}\text{C}$  over the same period. MAGT at 20 m in depth was averaged at  $-0.59^{\circ}\text{C}$  during 2012-2019.

232 However, permafrost at GH4 was relatively cold, with a multi-year average of MAGT at  $-2.8384^{\circ}\text{C}$   
233 at 20 m in depth. According to Figure 5, ground temperatures fluctuated seasonally at above 20 m in  
234 depth. However, seasonal variations in ground temperature dwindled gradually below 30 m (Figure 6),  
235 leaving only inter-annual variations. Ground temperatures in Borehole GH4 increased with increasing  
236 depth ( $-2.51$ ,  $-1.76$  and  $-0.41^{\circ}\text{C}$  at 30, 50 and 80 m, respectively), whereas the thermal  
237 fluctuations declined downwards ( $0.2^{\circ}\text{C}$  at 20 and 30 m in depth, but  $0.03^{\circ}\text{C}$  at 80 m). Thus, during  
238 2012-2020, the ground at depths of 30-80 m at the GH4 site was warming at an average rate of  $0.00404$   
239  $0.02020^{\circ}\text{C}/\text{yr-dec}$ .

241 [Figure 5. Variations in permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes](#)  
242 [GH4 and GH5 in Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020.](#)  
243 [GT stands for ground temperature.](#)

245 [Figure 6. Variability of deep permafrost temperatures at depths of 30–80 m for Borehole GH4 in](#)  
246 [Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for](#)  
247 [ground temperature.](#)

249 In Borehole YTLH2, remarkable seasonal variations were noted at each measured depth. The seasonal  
250 amplitude of ground temperature gradually dampened with increasing depth, varying from approximately  
251  $0.5^{\circ}\text{C}$  at 8 m in depth to less than  $0.1^{\circ}\text{C}$  at 20 m. Unlike permafrost in Mangui town and Gen'he city, a  
252 significant cooling of permafrost was detected at all depths except 20 m at YTLH2 during the 10-year  
253 observation (Figure 7). The average rate of temperature change at 20 m depth is close to  $0^{\circ}\text{C}/\text{yr-dec}$  and  
254 the MAGT here has been roughly maintained at  $-0.49^{\circ}\text{C}$  in the past decade. (Table 2).

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Figure 7. Variability of permafrost temperatures at depths of 8, 12, 16 and 20 m at Borehole YTLH2 in Yituli'he in northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.

## 4 Discussion

### 4.1 Change trends of near-surface permafrost temperatures

Based on the analysis in Section 3.1, it can be inferred that changes in the ground thermal regimes of the ecosystem-dominated permafrost on the northwestern slope of the Da Xing'anling Mountains are mainly controlled by changes in local factors, such as vegetation and snow covers and human activities, especially in the active layer thickness (ALT). For example, ALT ranges from 2.5 m in 2016 and 2017 to 1.9 m in 2020 for the site in shrubs (MG1), 4.8 m in 2017 to 4.2 m in 2020 in sedge meadow (MG2) and 2.9 m in 2012 to 4.0 m in 2014 in the farmer's backyard (MG3) during the observation period. Apparently, the Borehole MG1, far away from downtown Mangui, had the least ALT because of more shading effect of shrubs than that of meadow (MG2) and less anthropogenic impact than that of backyard (MG3). Declining trend of ALT was also observed in the Nanwenghe Wetlands Reserve on the southern slope of the Da Xing'anling-Yile'huli Mountain Knots, Northeast China, probably driven by a rising surface and thermal offsets of vegetation cover and organic soils (He et al., 2021)(He et al., 2021). Additionally, at the MG3 site, the smaller ALT could be attributed to the shading effect of the farmer's house and more heat loss to the atmosphere caused by snow removal in the yard in winter as well. In Gen'he, at the site of Borehole GH4 in a primeval forest, ALT remained unchanged at 2.2 m from 2012 to 2016 and, without human disturbance, permafrost was well-preserved. On the contrary, at the GH5 site in the suburb meadow frequently disturbed by the nearby livestock, a complex thermal regime was observed in the active layer. Ground temperatures at the depths of 3.5-6.0 m were negative from March to September and positive in other time every year, and; not until 7.0 m in depth, where it became below 0°C all the year round. By definition, the active layer is the layer above permafrost that freezes in winter and thaws in summer. Therefore, 7 m is supposed to be the reasonable ALT or the depth of the permafrost table, and there might be no supra-permafrost subaerial talik (Jin et al., 2021)(Jin et al., 2021) between the active layer and the permafrost table at this site, i.e., attached permafrost. However, the supra-

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285 permafrost subaerial talik, which has appeared in the Nanwenghe Wetlands Reserve about 300 km to the  
286 east of the study site (He et al., 2021), (He et al., 2021), may develop at this site in the future. In Yituli'he,  
287 the two boreholes (YTLH1 and YTLH2) are, about 20 m apart, both in the meadowy swamp to the east  
288 of the railway and to the west of highway. Permafrost here is well developed, partially thanks to the  
289 sufficient moisture provided by lowland swamp, which also possibly facilitates the formation of ice  
290 wedges (Yang and Jin, 2011). (Yang and Jin, 2011),  
291 Notably, there was a decreasing trend in ground temperatures at shallow depths no matter in summer or  
292 winter during 2010-2020 (Figure 2), otherwise suggesting a cooling permafrost at shallow depths in  
293 the last decade on the northwestern slope of the Da Xing'anling Mountains if no ground-surface  
294 conditions are taken into account. The maximum thaw depth (MTD) in Yituli'he rose gradually with  
295 fluctuations during 1980-2005, and it showed a downward trend during 2010-2019 (Figure 8). This could  
296 be related to the thriving vegetation, and declining winter precipitation and/or snow cover in this area  
297 during the observational period. Figure 8b shows a barely changed In the last decade, although the  
298 mean positive air temperature (MPAT) barely changed in Gen'he in the past decade, but (Fig 9b),  
299 precipitation in warm seasons increased slightly, leading to a wetter condition in favor of vegetation  
300 thriving. For example, the maximum vegetation height of *Carex tato* at YTLH1 and YTLH2 grew  
301 significantly from 2009 to 2014. Bushes have also emerged recently near the borehole. Thriving  
302 vegetation will reduce the solar irradiance incident onto the soil surface in summer and cast a cooling  
303 effect on the ground temperature. On the contrary, the winter precipitation (Figure 8a9a) and snow cover,  
304 including the maximal snow depth (Figure 8e9c) and snow duration (Figure 8d9d), declined slightly,  
305 driving the cooling of shallow. The thermal insulation effect of snow cover will be weakened when  
306 the snow the depth of snow cover decreased, which will lead to a larger heat removal from the permafrost  
307 in this region. In addition, the maximum thaw depth (MTD) in Yituli'he was rising but with  
308 fluctuations during 1980-2005, but it presented a fluctuating downward trend during 2009-2020  
309 (Figure 9), also implying a cooling of shallow to air in winter and drive the permafrost cooling. The  
310 detail mechanisms for the cooling permafrost will be further investigated with the help of some physically  
311 based models after complementing observations on the interactions of energy balance between the  
312 permafrost, vegetation, and snow cover.  
313  
314 ~~Figure 8. Climatic characteristics of Gen'he on the northwestern flank of the northern Da~~

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315 ~~Xing'anling Mountains in Northeast China in the past ten years~~

316

317 ~~Figure 9. The maximum thaw depth in Yituli'he on the northwestern flank of the northern Da~~  
318 ~~Xing'anling Mountains in Northeast China between 1980-2020 (Black squares appeared in the~~  
319 ~~paper from Jin et al. (2007), red ones are obtained in this observation. The two boreholes are 10 m~~  
320 ~~from each other, with similar surface, hydrology and soil conditions.)~~

321

#### 322 ~~4.2 Change trends of permafrost temperatures at larger depths~~

##### 323 ~~Permafrost in Mangui~~

324 ~~During the observation period, the averages of MAGTs at the depth of 20 m were  $-0.79$ ,  $-0.70$  and~~  
325  ~~$-0.93$  °C, respectively, in shrubs (MG1), meadow (MG2) and farmer's backyard, indicating a poor~~  
326 ~~correlation between the thermal state of deeper permafrost and vegetation cover or anthropic disturbances.~~  
327 ~~However, there was a close relationship between permafrost change at larger depths and land surface~~  
328 ~~conditions. Permafrost below 8 m was significantly warming in the last decade under a warming climate~~  
329 ~~(Figure 4). In Borehole-MG1 and Borehole-MG2 in particular, the rates of ground warming increased~~  
330 ~~slightly with depth ( $<0.03$  °C/a $\pm$ 3 °C/dec for MG1 and  $<0.02$  °C/a $\pm$ 2 °C/dec for MG2), demonstrating a~~  
331 ~~less significant thermal rising in deeper permafrost. Within the zone of discontinuous permafrost, the~~  
332 ~~negative relationship between effective leaf area index (LAI<sub>e</sub>) and soil moisture may contribute to~~  
333 ~~differential rates of permafrost thaw (Baltzer et al., 2014). (Baltzer et al., 2014). Therefore, more~~  
334 ~~effective water uptake by shrubs than meadow results in lower soil moisture, leading to a more rapid~~  
335 ~~thaw of permafrost at the MG1 site than that at the MG2 site. The warming rate of permafrost in Borehole~~  
336 ~~MG3, with a large warming range, decreased with depth ( $0.05$  °C/a $\pm$ 5 °C/dec at depths of 10 and 12 m,~~  
337 ~~but approximately  $0.02$  °C/a $\pm$ 2 °C/dec at depths of 16 and 20 m), probably due to short monitoring period~~  
338 ~~and less data. However, it does verify that, in Mangui, permafrost at depths is warming or degrading in~~  
339 ~~the last decade.~~

340

##### 341 ~~Permafrost in Gen'he~~

342 ~~Indeed, there exists some long periods with missing data at GH4, and it is reluctant to make the trend~~  
343 ~~analysis with these missing data. However, at the surface layers, although the fluctuation of ground~~  
344 ~~temperature is relatively huge, the collected data has generally captured the maximal and minimal ground~~  
345 ~~temperature in years with observing data. Simply by a visual inspection, the minimal or maximal ground~~

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346 temperature has an apparent warming trend from 2012 to 2020, which has a good coincidence with the  
347 trend analysis in this study. That is, although the missing values could make some loss for the accuracy  
348 of trending analysis, or make it less robust, they will not change the trend in an antipodal way. In addition,  
349 in depths greater than 8 m, the annual fluctuation of ground temperature was much less than the surface  
350 layers, as shown in Figure 5 and 6. The missing values will not vary too much from the collected values.  
351 Therefore, we speculate the influence of missing values on trending analysis for deep layers will be  
352 smaller than that in the surface layers, and it will decrease with depth, which can be inferred from Figure  
353 5 and 6.

354 In Borehole GH4, lower ground temperatures and greater warming range was observed in comparison  
355 with those in Borehole GH5 in the last decade (Figure 45). Even at depths of 70 and 80 m, ground  
356 temperatures were still rising with time at appreciable warming rates (Figure 56), reflecting the impact  
357 of climatic warming on permafrost at greater depths. A subtle warming trend of permafrost at depths of  
358 8-20 m in Borehole GH5 was also detected with a rate of  $0.004\text{ }^{\circ}\text{C}/\text{a}$  during the observation  
359 period (Figure 45). This warming rate of ground temperature is similar to that of the Borehole 85-8A in  
360 the southern zone of discontinuous permafrost in North America, where the permafrost is often vertically  
361 in isothermal condition and close to  $0\text{ }^{\circ}\text{C}$  in ground temperature (Smith et al., 2010).  
362 In this situation, latent heat effects are considered as the key factor for leading to isothermal conditions  
363 in the ground and allowing permafrost to persist under a warming climate (Smith et al., 2010).  
364 If the effect of large thermal inertia lasts long enough, the supra-permafrost subaerial talik  
365 will be highly likely to form and permafrost will be gradually buried. In a word, permafrost degradation  
366 in Gen'he is also evident at present in both forested landscape and anthropic zones, particularly in the  
367 latter one.

### 369 **Permafrost in Yituli'he**

370 According to previous study (Jin et al., 2007), MAGT at 13 m in Yituli'he rose by  
371  $0.2\text{ }^{\circ}\text{C}$  during 1984-1997, continuously rising from  $-1.00\text{ }^{\circ}\text{C}$  in 1997 to  $-0.55\text{ }^{\circ}\text{C}$  in 2010, except  
372 during the short suspension of monitoring (2005-2008), and peaking at  $-0.53\text{ }^{\circ}\text{C}$  in 2013. After that, it  
373 kept lowering consecutively and by 2018 it was lower than  $-0.70\text{ }^{\circ}\text{C}$ , showing an evident cooling trend  
374 of permafrost in a sharp contrast to the ground warming trends in Gen'he, Mangui, and other permafrost  
375 regions in the world (Douglas et al., 2021; Farquharson et al., 2019).

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376 [Farquharson et al., 2019](#)), Based on the investigation, there was once a Railway Branch Administration  
377 in Yituli'he town since 1964s to 1970s, with a population of over 30,000, but the branch was terminated  
378 in 1998. After that, more and more people emigrated and less than 10,000 residents have remained at  
379 present, thus leaving a chance for restoration of the local eco-environment and for recovering permafrost  
380 temperature.

381 So far, the mitigation of permafrost degradation becomes considerably difficult in the context of a  
382 persistent climate warming ([Brown et al., 2015](#); [Luo et al., 2018](#));([Brown et al., 2015](#); [Luo et al.,](#)  
383 [2018](#)), However, within the dried margin of the Twelvemile Lake (66°27'N, 145°34'W), permafrost  
384 aggradation has taken place due to willow shrub uptake of summer recharge and summer shading  
385 recharge reduction ([Briggs et al., 2014](#)). [Beer et al. \(Beer et al., 2020\)](#)([Briggs et al., 2014](#)). [Beer et](#)  
386 [al. \(Beer et al., 2020\)](#) also found that most permafrost-affected soil could be preserved by increasing the  
387 population density of big herbivores in northern high-latitude ecosystems as a result of reducing  
388 insulation of winter snow cover. The fact that permafrost is cooling in Yituli'he demonstrates that the  
389 ecosystem-protected permafrost in discontinuous permafrost zone may recover if the disturbances, such  
390 as human activities, dwindle. Thus, our research results would provide key evidence for the preservation  
391 of permafrost in areas with intense past anthropic disturbances ([Serban et al., 2021](#)). ([Serban et al.,](#)  
392 [2021](#)),  
393

## 394 **5 Conclusions**

395  
396 Long-term records of permafrost monitoring presented here from the northwestern flank of the Da  
397 Xing'anling Mountains in Northeast China show some important characteristics of ground thermal  
398 regimes in the past eight years (2012-2020). The lowest MAGT at 20 m in depth was  $-2.83$  °C in  
399 Borehole GH4 in a primeval larch forest, and  $-0.94$ ,  $-0.80$ ,  $-0.70$ ,  $-0.60$  and  $-0.49$  °C,  
400 respectively, at MG3, MG1, MG2, GH5 and YTLH2. The maximum of the burial depth of the permafrost  
401 table at about 7.0 m was discovered in Borehole GH5, and the minimum, 1.1 ~ 1.5 m at YTLH1. The  
402 permafrost table was at depths of about 2.0 m at GH4 and YTLH2, and 2.5, 5.0 and 4.0 m at MG1, MG2  
403 and MG3, respectively. Local factors, such as vegetation and snow covers and human activities, are  
404 supposed to be mainly responsible for the changes in the ALT and the thermal state of shallow permafrost

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405 in the study area. The most important fact is that ground cooling at shallow depths, as well as the  
406 declining ALT in Yituli'he after 2009, has been detected during the observation period, which is probably  
407 caused by fairly constant MPAT (mean positive air temperature) and weakened insulation of winter snow  
408 cover.

409 Apart from Yituli'he, permafrost warming at large depths was particularly pronounced during the  
410 observation period, even at depths of 70 and 80 m, with different ground warming rates. It is noteworthy  
411 that geothermal gradient at depths in Borehole GH5 is almost zero (vertically no change) and with MAGT  
412 at about 0 °C due to huge thermal inertia of the ice-rich permafrost. This may most likely lead to the  
413 formation of the supra-permafrost subaerial talik soon. At the Yituli'he Permafrost Observatory,  
414 permafrost has been cooling since the re-establishment of monitoring ~~program~~program in 2010; the  
415 rapidly declining local population might have relieved its stress on the eco-environment and resulted in  
416 permafrost recovery. This fact makes it possible to mitigate the permafrost degradation in the zone of  
417 ecosystem-dominated permafrost, offering a new thought for permafrost protection.

#### 418 Author Contributions

419 XC, HJ, and RH designed the study. XC wrote the manuscript and performed the analysis. YZ  
420 plotted the figures. XL, XJ and GL contributed parts of the field data. HJ improved the writing and  
421 structure of the paper.

#### 422 Competing interests

423 The contact author has declared that neither they nor their co-authors have any competing interests.

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#### 435 **Data availability**

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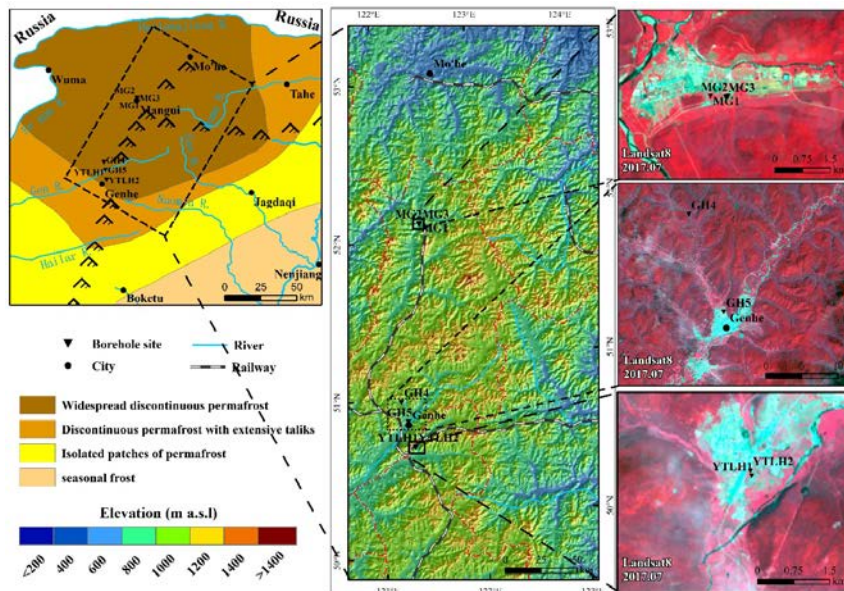
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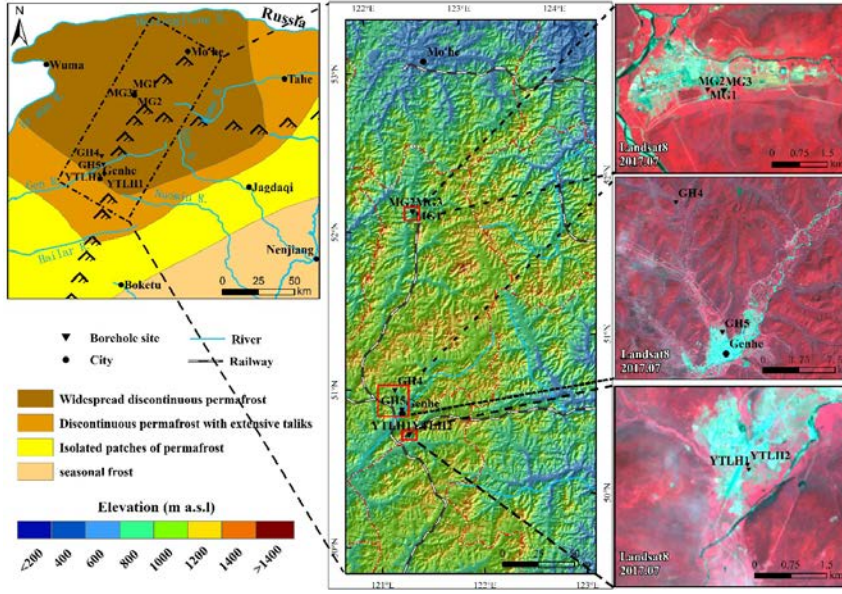
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**Figure 1. Location of the study area and the distribution of borehole sites Mangui1 (MG1), Mangui2 (MG2), Mangui3 (MG3), Gen'he4 (GH4), Gen'he5 (GH5), Yituli'he1 (YTLH1) and Yituli'he2 (YTLH2) in the zones of frozen ground in the northern Da Xing'anling Mountains, Northeast China (The permafrost distribution is from Jin et al. (2007).)**

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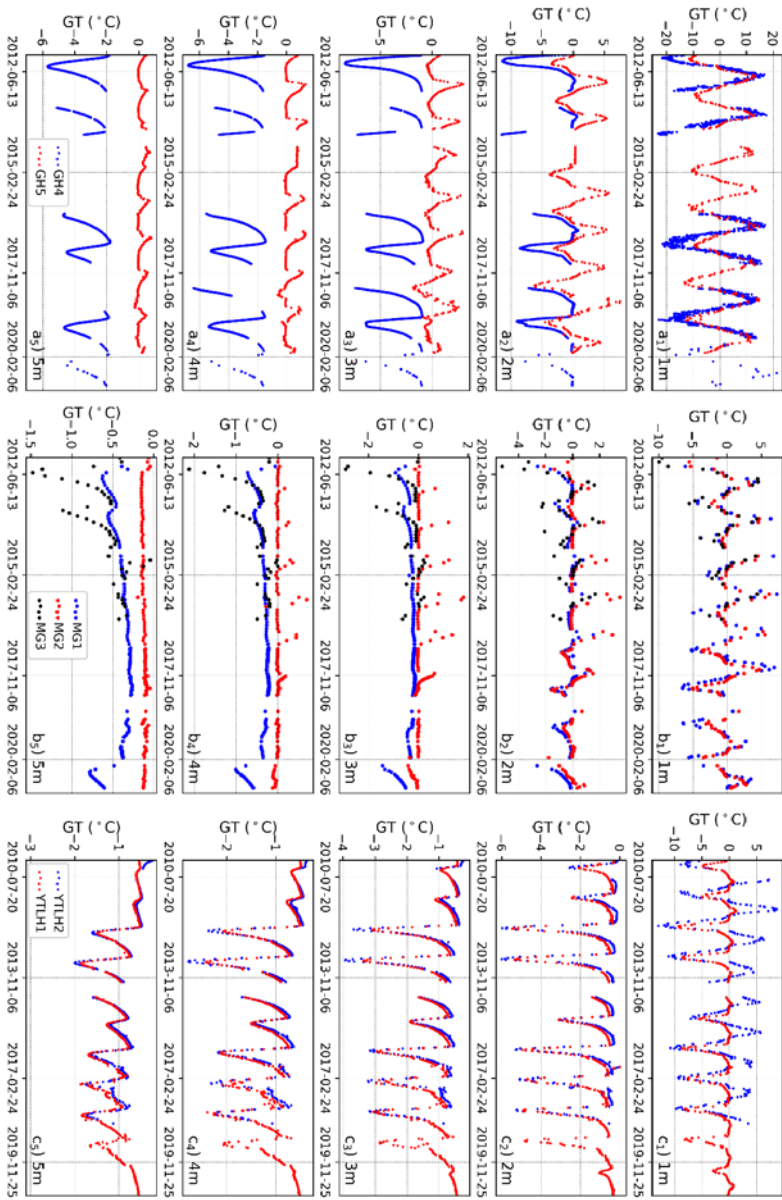
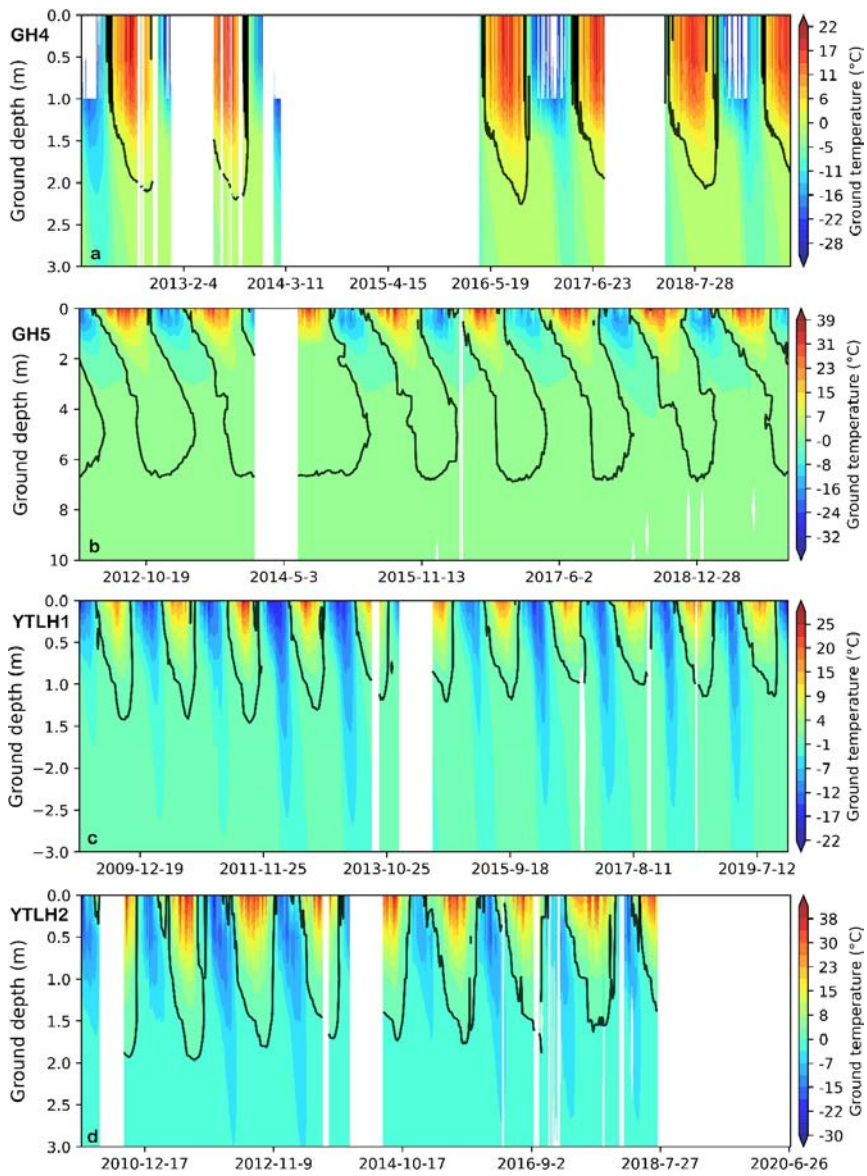


Figure 2. Variability of measured ground temperatures at depths of 1-5 m for Boreholes GH4 and GH5 (a), MG1, MG2 and MG3 (b), and YTLH1 and YTLH2 (c).

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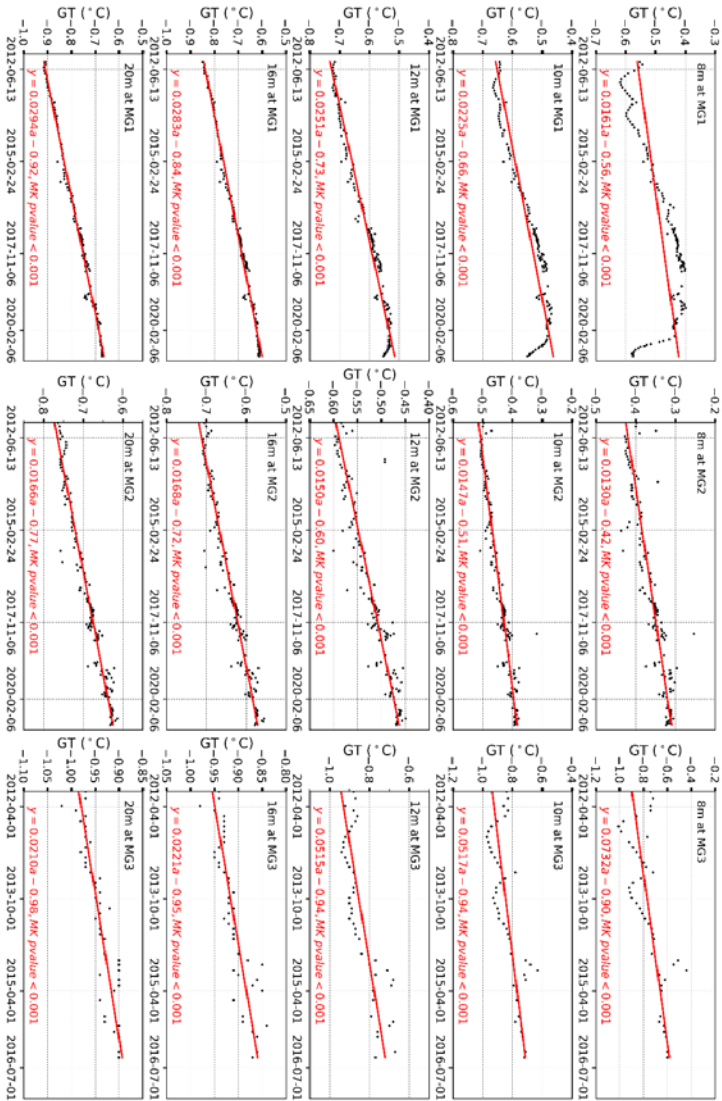
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**Figure 3** Variability of 0 °C isotherms (black curves) of ground temperature for Boreholes GH4 (a), GH5 (b), YTLH1 (c), and YTLH2 (d). The empty space indicates the period of missing data.

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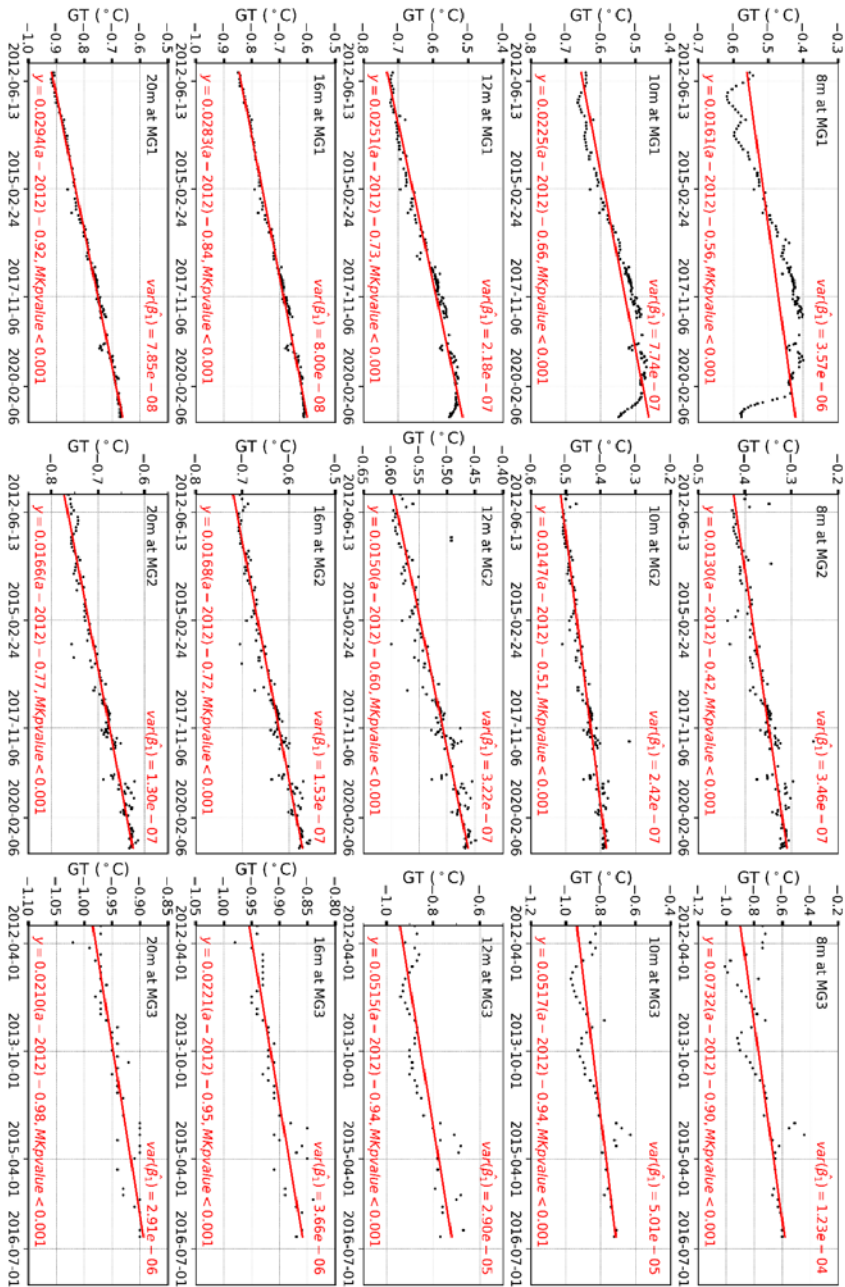
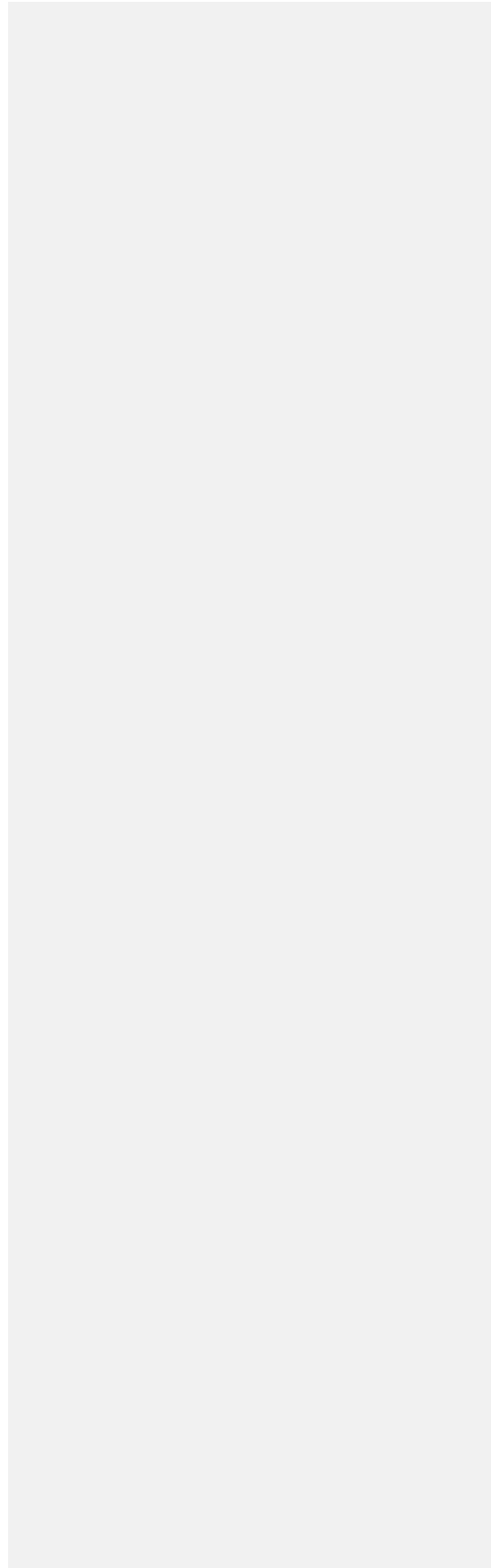
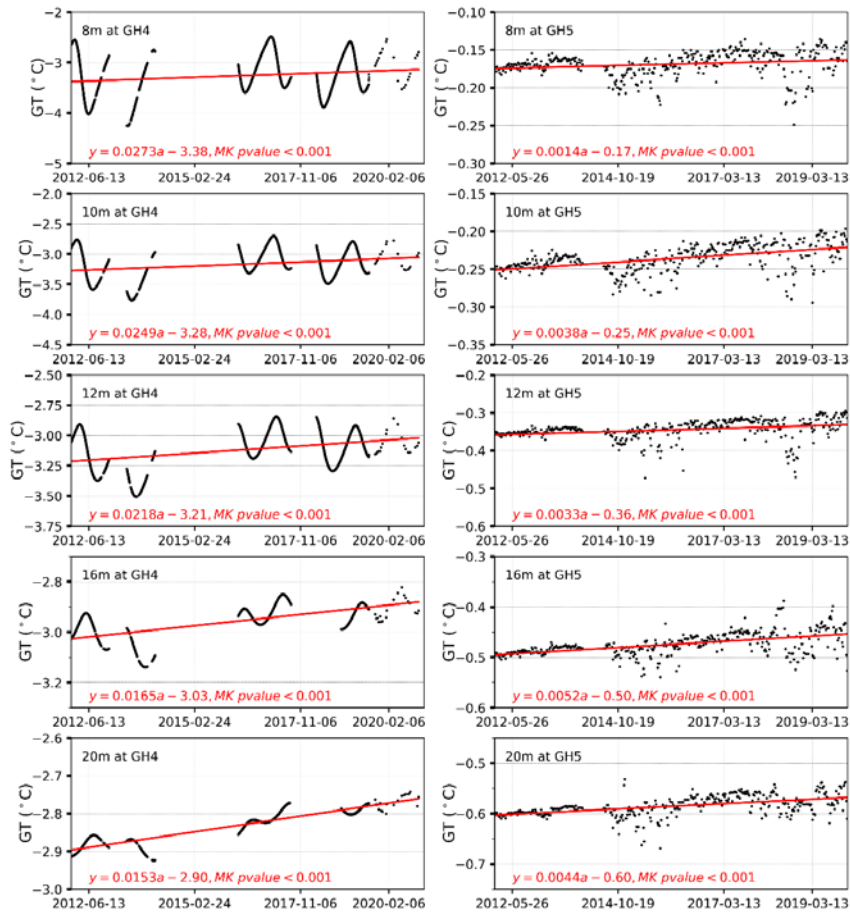


Figure 4. Variability of permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes MG1, MG2 and MG3 in Mangui, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.

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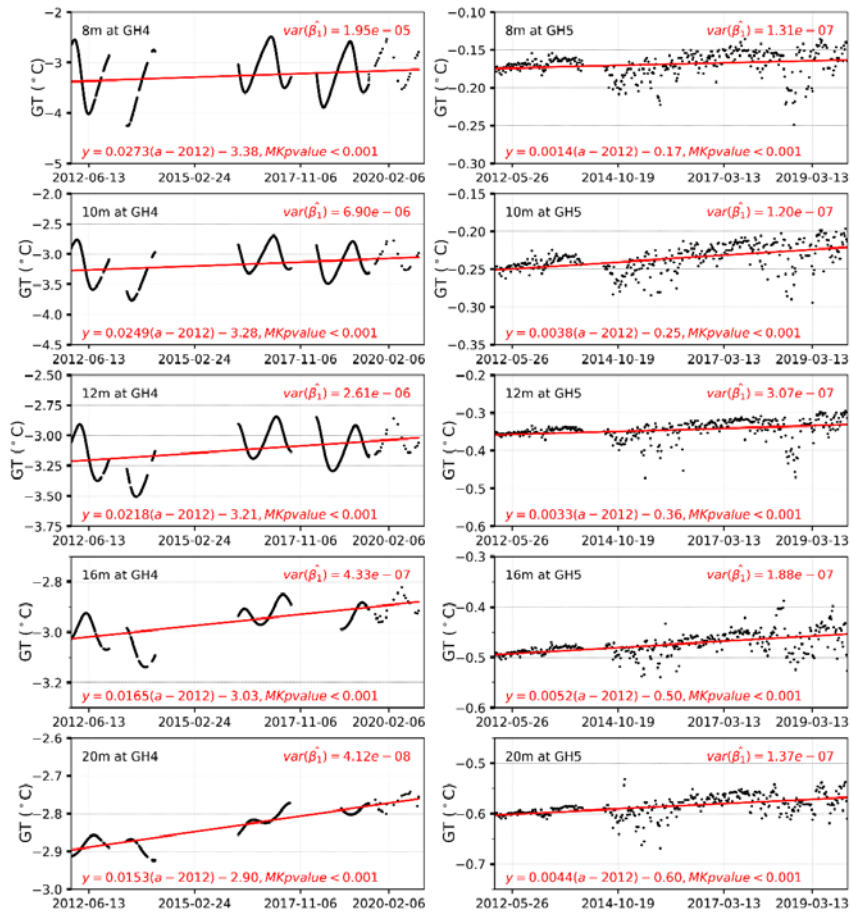


Figure 5. Variations in permafrost temperatures at depths of 8, 10, 12, 16 and 20 m in Boreholes GH4 and GH5 in Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.

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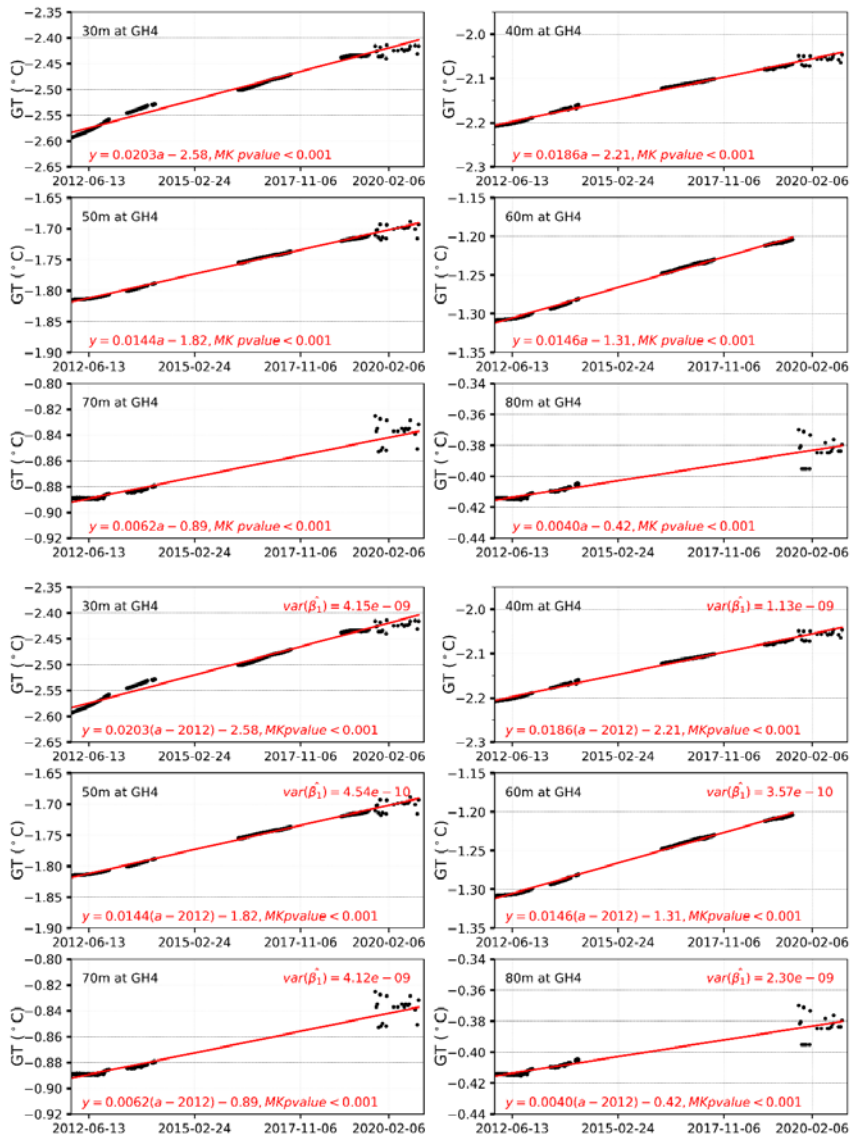
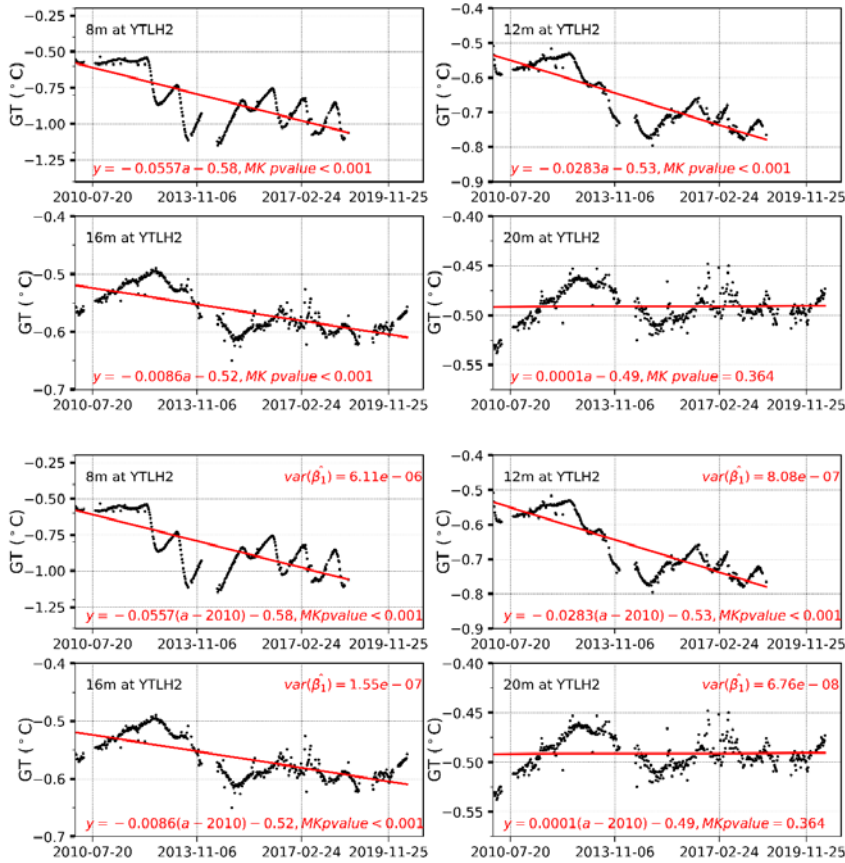


Figure 6. Variability of deep permafrost temperatures at depths of 30 – 80 m for Borehole GH4 in Gen'he, northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.

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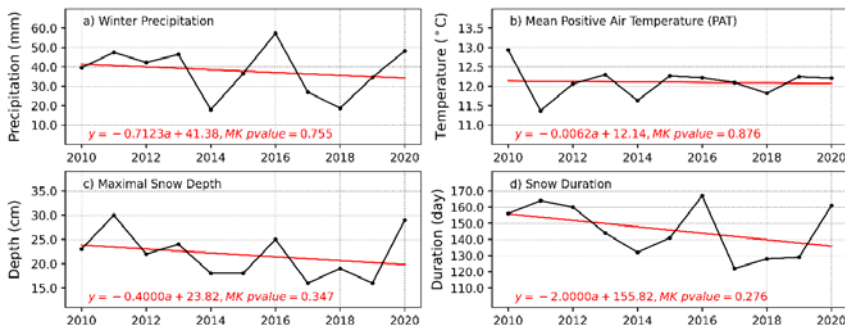
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**Figure 7. Variability of permafrost temperatures at depths of 8, 12, 16 and 20 m at Borehole YTLH2 in Yituli'he in northern Da Xing'anling Mountains, Northeast China during 2012-2020. GT stands for ground temperature.**

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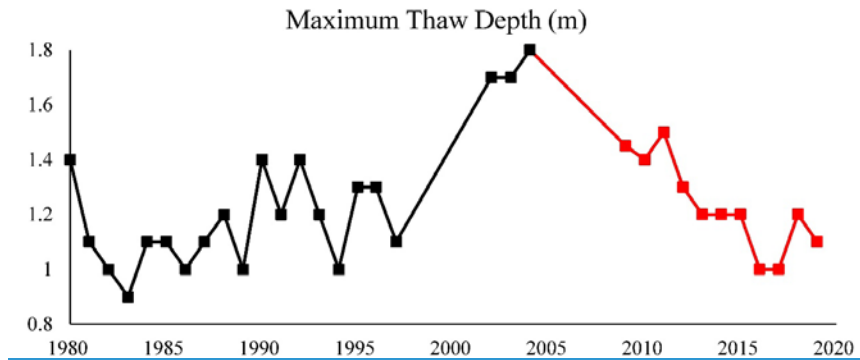


Figure 8. Climatic characteristics of Gen'he on the northwestern flank of the northern Da Xing'anling Mountains in Northeast China in the past ten years

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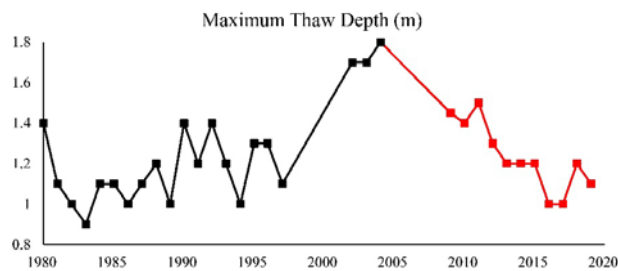


Figure 9. The maximum thaw depth (1980-2019) in Yituli'he on the northwestern flank of the northern Da Xing'anling Mountains in Northeast China between 1980-2020. (Black squares appeared in the paper from Jin et al. (2007), red ones are obtained in this observation. The two boreholes are 10 m from each other, with similar surface, hydrology and soil conditions.)

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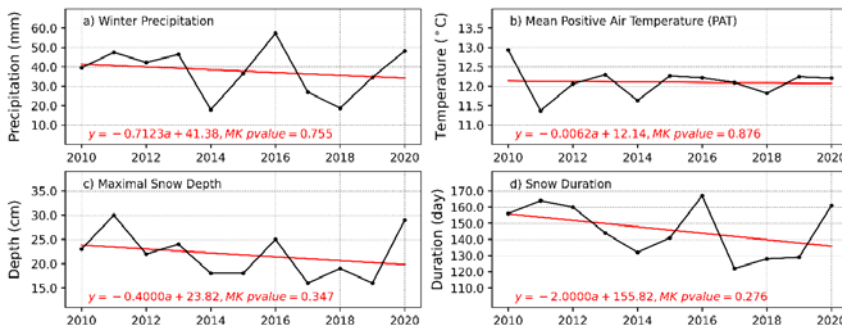


Figure 9. Climatic characteristics of Gen'he on the northwestern flank of the northern Da Xing'anling Mountains in Northeast China in the past ten years

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Table 1. Characteristics and monitoring information of ground temperature boreholes in the northwestern part of Da Xing'anling Mountains, Northeastern China

Borehole No.	Lat. (°N)	Long. (°E)	Elev. (m a. s. l.)	Vegetation	Monitoring depths (m)	Time period	Monitoring frequency
MG1	52.037	122.069	633	<i>Betula fruticosa</i> shrubs	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,	2012-2020	Monthly
MG2	52.036	122.075	642	<i>Carex lato</i> meadow	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20	2012-2020	Monthly
MG3	52.036	122.076	639	Open courtyard		2012-2015	
GH4	50.932	121.502	811	<i>Betula fruticosa</i>	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,	2012-2014, 2016-2017, 2019-2020	Hourly
				<i>Larix gmelini</i> forest	15, 16, 17, 18, 19, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80		
				<i>Carex lato</i> meadow	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,		
GH5	50.799	121.530	728	<i>Carex lato</i> meadow	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20	2012-2019	
YH1	50.629	121.549	721	<i>Carex lato</i> swamp	0.0, 0.1, 0.2, 0.5, 0.8, 1.0, 1.6, 2.0, 3.0,	2009-2019	Weekly
				<i>Carex lato</i> swamp	4.0, 5.0, 6.0, 7.0, 8, 15		
YH2	50.630	121.549	725	<i>Carex lato</i> swamp	0.0, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5,	2010-2017	
				<i>Carex lato</i> swamp	5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20		

**Table 2 ALT and average MAGTs of boreholes at larger depths in the northwestern Da Xing'anling Mountains, Northeast China**

Borehole	ALT	Average MAGT (°C)				
	(m)	8m	10m	13m	16m	20m
<u>MG1</u>	<u>1.9-2.6</u>	<u>-0.48(ZAA)</u>	<u>-0.55</u>	<u>-0.63</u>	<u>-0.71</u>	<u>-0.77</u>
<u>MG2</u>	<u>4.3-4.8</u>	<u>-0.34(ZAA)</u>	<u>-0.44</u>	<u>-0.55</u>	<u>-0.63</u>	<u>-0.69</u>
<u>MG3</u>	<u>2.8-4.0</u>	<u>-0.75</u>	<u>-0.83</u>	<u>-0.87(ZAA)</u>	<u>-0.91</u>	<u>-0.94</u>
<u>GH4</u>	<u>2.0-2.2</u>	<u>-3.26</u>	<u>-3.17</u>	<u>-3.06</u>	<u>-2.96(ZAA)</u>	<u>-2.84</u>
<u>GH5</u>	<u>7.0</u>	<u>-0.17(ZAA)</u>	<u>-0.24</u>	<u>-0.39</u>	<u>-0.47</u>	<u>-0.59</u>
<u>YTLH2</u>	<u>1.5-2.0</u>	<u>-0.82</u>	<u>-0.74</u>	<u>-0.61(ZAA)</u>	<u>-0.56</u>	<u>-0.49</u>