

Response to Reviewer Comments

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We thank the reviewers for their thoughtful and helpful comments. Below are our responses (in regular font) to their comments (in ***bolded italic*** font).

8 **Reviewer #1:**
9 **Review of “Radiative sensitivity quantified by a new set of radiation flux kernels based on the**
10 **ERA5 reanalysis”**

11 **By Huang and Huang**

12 **essd-2022-474**

13 **Summary**

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15 ***Radiative kernels, which quantify the impact of unit changes in individual fields on radiative***
16 ***fluxes, have become a key tool in diagnosing radiative feedbacks both in climate models and in***
17 ***observations. In this study the authors develop a new set of radiative kernels using***
18 ***atmospheric and surface fields from the ERA5 reanalysis as inputs to the RRTMG radiation***
19 ***code. Unlike many previous kernels, they generate kernels for both the top-of-atmosphere***
20 ***(TOA) and the surface (SFC) such that impacts of changes in temperature, humidity, clouds,***
21 ***and surface albedo on surface radiation can be diagnosed. The ERA5 kernels are compared***
22 ***with previously generated kernels, and inter-kernel differences are illuminated. The authors***
23 ***also explore the degree to which the derived kernels depend on the state of the climate, with***
24 ***input data from years impacted by El Nino events or with anomalous sea ice concentration***
25 ***resulting in kernels of different strength.***

26

27 ***Overall I find the analysis to be solid and the presentation to be mostly clear. I have some***
28 ***suggestions for improving the readability of the paper and for presenting the relative***
29 ***importance of inter-kernel versus inter-model feedback differences. I also would like the***
30 ***authors to provide more evidence of the quality of this new kernel versus existing kernels. I***
31 ***recommend acceptance pending minor revision, as detailed below.***

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Mark Zelinka

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35 **Major Comments**

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37 ***• Abstract: Since the goals of this data journal are to publish work that documents useful***
38 ***datasets, with the scientific results being secondary, I felt that the abstract spent too much time***
39 ***on the inter-kernel comparison and not enough on the evaluation of the specific ERA5 kernels***
40 ***developed here. For example, it would be good to know in the abstract whether the new***
41 ***kernels have smaller residuals in the global mean or regionally than previous kernels. The***
42 ***bulk of the abstract describes results from all kernels collectively rather than focusing on the***
43 ***ERA5 kernels.***

44

45 **Revised.** ERA5 TOA kernels are as good as other kernel datasets while for surface kernels,
46 ERA5 kernels show better performance, in terms of the radiative sensitivity and radiation closure
47 test. The revised abstract emphasized this point.

48

49 ***• The paper discusses TOA and SFC kernels but does not discuss the implied atmospheric***
50 ***kernels, derived via differencing the TOA and SFC kernels. Perhaps this would make the***
51 ***paper too long, but the authors might consider adding something on ATM kernels.***

52

53 Agreed: the ATM kernels are as important as TOA and SFC kernels. Considering the length and
54 readability of the manuscript, we added ATM kernel results in the supplement.

55
56 • **Organization of the figures:** *I found it to be really taxing and distracting to have to jump
57 between eight large figures on separate pages during Sections 3.1 to 3.2.*

58 *Section 3.1 discusses the ERA5 kernels in isolation. I think it would be more logical to
59 have the first figure or two just show all the ERA5 kernels. This would include the first
60 column of Figs 1-8, which is 32 panels. Perhaps you could have 2 figures with 4 rows and 4
61 columns each. This way a reader can see all of the new kernels just by looking at 2 figures,
62 and can more easily match the discussion in Section 3.1 with the individual figure panels
63 being discussed without flipping between 8 pages. If you do this, I suggest re-labeling so it is
64 obvious above each panel what one is looking at (i.e., “All-sky SFC Air Temperature Kernel”,
65 “Clear-sky TOA LW Water Vapor Kernel”, etc.)*

66 *Section 3.2 discusses the inter-kernel comparison, which refers solely to the two right
67 columns of Figs 1-8. I would suggest making these their own figures. Perhaps some of this
68 material could go in supporting information or the appendix, if you don’t spend much time
69 discussing it. Given the choice of journal, the focus of this manuscript should be to present
70 and evaluate the new dataset, so this intercomparison is somewhat superfluous as it currently
71 stands. It might be worth doing a more rigorous evaluation of ERA5 against other datasets
72 rather than this discussion of the kernel differences collectively.*

73
74 We reorganized the figures, with the ERA5 kernel now shown in Figure 1-2 and the comparison
75 with other datasets in Figure 3-4. We keep the comparison of all kernel datasets in Section 3.2
76 (e.g., the fractional discrepancies) as oppose the difference of ERA5 kernels against other
77 datasets, as there is no truth value to be compared with and the point in this section is to show
78 where these datasets differ most, and indeed the comparison reveals some issues in current SFC
79 kernels.

80
81 • **Multi-kernel dataset:** *Have you considered doing the community service of placing the
82 common-gridded multi-kernel dataset discussed on lines 294-296 on a public website?*

83
84 We added it in the data repository.

85
86 • **Throughout:** *The inter-kernel differences are referred to as “biases”. Perhaps the authors
87 are referring to the fact that all model-based kernels have a biased mean-state with respect to
88 observations, but I think this verbiage is misleading. Also, the definition in L306 quantifies the
89 bias with respect to the multi-kernel average, implying that the multi-kernel average is truth.
90 The inter-kernel differences are a mix of model differences (in mean-state, radiation codes,
91 etc.) and possibly the influence of actual biases (like the issues identified here in the HadGEM
92 and Oslo kernels). If a kernel were to be built from a preindustrial control state, it may be less
93 biased for computing feedbacks with respect to that state than the ERA5 kernels developed
94 here; it depends on the context whether a given kernel is biased. I suggest changing all
95 instances of “bias” to “differences” unless it can be shown to be a true bias with respect to a
96 correct value.*

97

98 Revised. In equation (2), we use the multi-kernel mean as a reference value to illustrate how the
99 kernel values vary among dataset, rather than deeming it as a “truth” value. We add a note in
100 Line 279-280 to explain it.

101
102 • *Tables 3-6: Could these results be presented more effectively? I’m not sure how insightful it*
103 *is to present all the individual model results in four big tables. The message you are trying to*
104 *convey is the relative importance of inter-kernel differences versus inter-model differences in*
105 *SFC and TOA feedbacks, either broken down into LW, SW, and net, or into individual*
106 *feedback components. I wonder if something analogous to Figure 1 of Chao and Dessler*
107 *(2021) might be more effective. In this case, you would show the spread in each feedback from*
108 *inter-kernel vs inter-model differences. Or would simply showing a figure comparing inter-*
109 *kernel and inter-model standard deviations (ignoring the multi-model mean values) be more*
110 *effective? Deciding on the most important points and then creating a figure that supports*
111 *those points clearly would be worthwhile. Right now it is a bit hard for the reader to wade*
112 *through these four big tables and extract the messages.*

113
114 We reorganized these results and put the tables of component feedback parameters to the
115 supplement for readers who are interested and used figure 8 and 10 to show the relatively larger
116 inter-model difference than inter-kernel difference.

117
118 • *In the end it is still a little unclear to me whether the new ERA5 kernel has a smaller*
119 *residual than the other kernels. Can you make a stronger case for why we need this new*
120 *kernel, and whether it is more accurate? Figures 11 and 12 suggest to me that the residuals*
121 *are comparable to previous kernels; but this should be noted explicitly. If it is not more*
122 *accurate, why should I use it over previous kernels? If it is more accurate, do you advocate*
123 *that the community use this instead of the others? I think it is well established here and*
124 *elsewhere that the inter-kernel differences are small relative to inter-model spread; why are we*
125 *regularly making new kernels in this case?*

126
127 We added more emphasis on the accuracy of this newly generated datasets in the abstract and
128 conclusion. In short, ERA5 TOA kernels are as good as other datasets but ERA5 surface kernels
129 show improved performance compared with others (e.g., Figure 10). This is possibly caused by
130 how the surface kernels are calculated and averaged, e.g., concerning the issues of surface flux
131 kernels of atmospheric temperature. We also emphasized the importance of the consideration of
132 surface pressure when vertically integrating the atmospheric contributions.

133 134 **Minor Comments**

135
136 • *Verbiage: Throughout the paper, I found some of the verbiage to be unnecessarily*
137 *longwinded. Could “kernel of the surface flux” be the “surface kernel”, for example?*

138 Revised

139
140 • *L22: “in” should be “for”*

141 Corrected.

142

143 • **L32:** *I don't understand what is meant by "inter-kernel bias-induced uncertainty", which*
144 *appears in slightly modified phrasing in other places as well (L557). Is this just "inter-kernel*
145 *differences"?*
146 Corrected.
147
148 • **L38:** *delete "on the other hand"*
149 Corrected.
150
151 • **L60:** *suggest also citing the recent work of Chao and Dessler (2021)*
152 Added
153
154 • **L75:** *Suggest citing some additional work, some of which includes surface and atmosphere*
155 *cloud radiative kernels (Zhang et al., 2021; Zhou et al., 2022, 2013)*
156 Added
157
158 • **L81:** *suggest specifying "largely insensitive"*
159 Clarified.
160
161 • **L83:** *"are" should be "is"*
162 Corrected
163
164 • **L107:** *suggest simplifying to "we intercompare"*
165 Revised
166
167 • **L109-111:** *suggest rephrasing this sentence, which I found hard to parse. Also, you probably*
168 *want to specify that you are comparing across-model vs across-kernel differences in this*
169 *sentence (I think)*
170 Revised
171
172 • **L150-152:** *I'm confused by how you describe the analysis. I thought kernels were*
173 *constructed using one experiment, performing many calls to the radiative transfer code, each*
174 *time with a single field / level / location perturbed. This is not how the procedure is described*
175 *here.*
176 Clarified.
177
178 • **L168-169:** *Probably want to remind the reader why the factors of 4 and 8 are present in these*
179 *expressions. It is because the radiation calculations are done 4- or 8-times daily, I think.*
180 Added.
181
182 • **L168:** *"kernels" should be singular*
183 Corrected.
184
185 • **L190:** *suggest "upwelling" instead of "outgoing". Also, suggest simplifying to "the kernel is*
186 *negative"*
187 Revised.
188

189 • **L206: should be “(f,l)” rather than “(g,l)”**
190 Corrected.
191
192 • **L253: “reduce” should be plural**
193 Corrected.
194
195 • **L257: I think you should specify that you are talking about the clear-sky TOA kernel here.**
196 Added.
197
198 • **L336: “by the inconsistency in” should be “by inconsistencies in”**
199 Corrected.
200
201 • **L343-344: could this be simplified to “state-dependency in the kernels”?**
202 Revised.
203
204 • **L354-355: “the” before “interannual” and “cloudiness” is not needed**
205 Corrected.
206
207 • **L359: what is meant by “seasonal SST anomalies” Previously, it is stated that you are**
208 **examining annual means.**
209 Revised.
210
211 • **L363: “since” should be “in the”**
212 Corrected.
213
214 • **L364: “exemplify” should be “illustrate” or “highlight”**
215 Corrected.
216
217 • **L365: All sky what? Kernels?**
218 Revised.
219
220 • **L370: I think some explanation of this result is warranted. Why does Figure 9e have that**
221 **structure, wherein some regions that are moister and cloudier have a larger SW WV kernel**
222 **but some do not (NE Pacific). Also, the panel titles in Figure 9 are a little ambiguous; suggest**
223 **explicitly stating what is shown in each.**
224 Corrected.
225
226 • **Figure 10: suggest deleting the longitude labels which clutter the figure and seem**
227 **unnecessary given the provided coastlines.**
228 We think this is fine.
229
230 • **L385-394: More explanation of why you get these results is needed. Also, this is too long of a**
231 **sentence.**
232 Revised.
233

234 • **L390-394: Is one of the take-aways here that it may be necessary to average over multiple**
235 **years when constructing kernels? Or at least that one has to be careful not to choose a year**
236 **with an extreme Nino index or huge sea ice anomalies when constructing kernels? You might**
237 **consider making this point explicitly.**
238 Yes, added.
239

240 • **L403: missing space between “Table” and “2”**
241 Corrected.
242

243 • **Table 2: “model top level” is not an accurate description of what is reported in that column**
244 Revised.
245

246 • **L412-419: I think more description and motivation for using these experiments is needed.**
247 **The abrupt-4xCO2 experiment is a fully-coupled experiment whereas piClim-4xCO2 is an**
248 **atmosphere-only experiment. You should also cite the relevant piClim-4xCO2 experiment**
249 **description paper (Pincus et al., 2016). I’ve never seen these two experiments differenced in**
250 **order to derive the temperature-mediated responses without the confounding effects of rapid**
251 **adjustments; this is clever although it limits the number of models available to analyze.**
252 **(Although more than just 6 models are available as far as I can tell.) I suggest explaining**
253 **these choices a little better. I would also suggest mentioning this methodological difference**
254 **when coming your values to those of Zelinka et al (2020) – that study used piControl**
255 **simulations as the baseline and computed abrupt-4xCO2 anomalies and feedbacks differently.**
256 **It is reassuring that the results of the two approaches agree as well as they do.**
257 Added.
258

259 • **L445-446: The end of this sentence is redundant with previous statements; suggest deleting.**
260 We think it is fine.
261 • **L460, L467: small relative to what?**
262 Added. Compared with the total feedback.
263 • **L477: Suggest stating the name of the row rather than making the reader count.**
264 Revised.
265 • **L478-480: suggest citing some examples to explain how you arrive at these percentage**
266 **numbers. Are you comparing inter-kernel standard deviations to inter-model standard**
267 **deviations?**
268 Revised.
269 • **L488: these numbers seem misleading, because most feedbacks have roughly the same**
270 **absolute value of inter-kernel spread; they just vary in the central value. If all feedbacks had**
271 **the same inter-kernel spread, but one feedback happened to be zero (e.g., if the SW cloud**
272 **amount feedback perfectly compensated a SW cloud albedo feedback), the inter-kernel spread**
273 **relative to this would be infinite, but that is not really meaningful.**
274 Revised.
275

276 • **L541: Delete “First of all”**
277 Deleted
278

279 • **L583: This sentence seems to run on and should probably be broken up for clarity.**

280 Revised.
281 • **L585-591: this sentence is also way too long and should be broken up**
282 Revised.
283 • **L594: “it is especially noticed that” can be deleted**
284 Deleted.
285 • **L599-600: suggest making this more concise by removing redundancy**
286 Revised.
287 • **L601-602: How could inter-model spread come from inter-kernel spread? Please rephrase.**
288 Corrected.
289 • **L603: rephrase to “finding is consistent with previous”**
290 Revised.
291 • **L762: specify whether this is an absolute or relative change. I’m pretty sure it is the former.**
292 Added.
293 • **L767: not sure what is meant by the last phrase**
294 Revised.
295 • **L818: “trickiness” is probably too informal; suggest “challenge”**
296 Revised.
297 • **L835: I don’t understand what is meant from “and accounting” onward**
298 Revised.
299 • **Figure A2: are these SFC or TOA kernels? I assume SFC.**
300 Yes, added.
301 • **L854: specify “in these cases”**
302 Revised.

303 304 References

305 **Chao, L.-W., Dessler, A.E., 2021. An Assessment of Climate Feedbacks in Observations and**
306 **Climate Models Using Different Energy Balance Frameworks. *J. Clim.* 34, 9763–9773.**
307 **<https://doi.org/10.1175/JCLI-D-21-0226.1>**
308 **Pincus, R., Forster, P.M., Stevens, B., 2016. The Radiative Forcing Model Intercomparison**
309 **Project (RFMIP): experimental protocol for CMIP6. *Geosci. Model Dev.* 9, 3447–3460.**
310 **<https://doi.org/10.5194/gmd-9-3447-2016>**
311 **Zelinka, M.D., Myers, T.A., McCoy, D.T., Po-Chedley, S., Caldwell, P.M., Ceppi, P., Klein,**
312 **S.A., Taylor, K.E., 2020. Causes of Higher Climate Sensitivity in CMIP6 Models.**
313 ***Geophys. Res. Lett.* 47, e2019GL085782. <https://doi.org/10.1029/2019GL085782>**
314 **Zhang, Y., Jin, Z., Sikand, M., 2021. The Top-of-Atmosphere, Surface and Atmospheric Cloud**
315 **Radiative Kernels Based on ISCCP-H Datasets: Method and Evaluation. *J. Geophys.***
316 ***Res. Atmospheres* 126, e2021JD035053. <https://doi.org/10.1029/2021JD035053>**
317 **Zhou, C., Liu, Y., Wang, Q., 2022. Calculating the Climatology and Anomalies of Surface**
318 **Cloud Radiative Effect Using Cloud Property Histograms and Cloud Radiative Kernels.**
319 ***Adv. Atmospheric Sci.* 39, 2124–2136. <https://doi.org/10.1007/s00376-021-1166-z>**
320 **Zhou, C., Zelinka, M.D., Dessler, A.E., Yang, P., 2013. An Analysis of the Short-Term Cloud**
321 **Feedback Using MODIS Data. *J. Clim.* 26, 4803–4815. [https://doi.org/10.1175/JCLI-D-](https://doi.org/10.1175/JCLI-D-12-00547.1)**
322 **12- 00547.1**

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326 **Reviewer #2:**

327 *The paper presents a set of newly calculated radiation flux kernels using the ERA5 reanalysis*
328 *dataset. The authors discuss how the new radiation flux kernels differ from previous ones and*
329 *how they can be used to improve our understanding of Earth's climate system. Overall, this*
330 *paper presents a valuable contribution to the field of climate science by providing a new set of*
331 *radiation flux kernels that can help improve our understanding of Earth's climate sensitivity. I*
332 *have several major concerns and recommend a major revision.*

333
334 *1. In recent years, one of the improvements of radiative kernels is the development of radiative*
335 *kernels at the surface (SFC) and in the atmospheric column. The kernels at SFC have been*
336 *calculated not only from reanalysis data but also from observational data (Karner et al. 2019).*
337 *Although the ERA5-derived kernels show high consistency with model-based kernels,*
338 *feedback parameters obtained from model- and reanalysis-based kernels have large*
339 *discrepancies with observation-based feedback parameters, especially for the cloud feedback*
340 *(Karner et al. 2019; Zhang et al. 2021). Would you like to conduct more analysis and add*
341 *more discussion on the differences in cloud feedbacks derived from various data sources?*

342
343 Agreed: we added in the kernel comparison the kernels based on CloudSat dataset (Kramer et al.,
344 2019) (Figure 3-4) and also in the radiative feedback quantification (Figure 7-10)

345
346 *2. Cloud feedbacks are diagnosed using the adjusted cloud radiative effect method by*
347 *assuming that all-sky decomposition has the same non-closure residual. There are some flaws*
348 *in the assumption. First, the residual (res^o) is introduced during the single variable*
349 *perturbation or linear decomposition without involving cloud related process. Second, the all-*
350 *sky decomposition is assumed that has the same non-closure residual with clear-sky (res^o*
351 *= res^c). It should be proved before being applied. Once the cloud related processes are*
352 *introduced, it would be nearly impossible for the non-closure residual in all-sky to be same as*
353 *the residual in clear-sky. Please reconsider Eqs. 5-6.*

354
355 This may be justified as the non-cloud nonlinear effects are comparable in the clear- and all-skies
356 and the cloud-related terms normally dominate the nonlinear effects in the all-sky
357 decomposition. We recognize there are inaccuracies in the adjusted cloud radiative forcing
358 method, although it is the most widely used. This issue is beyond the scope of this paper but
359 warrants future investigation.

360
361 *3. The non-closure residual terms due to nonlinear effect are discussed in Figs. 11 and 12. As*
362 *shown in Fig. 11, the residual term at the TOA mainly arises from shortwave radiation over*
363 *regions with abundant sea ice cover. Huang et al. (2021) pointed out that the nonlinear effects*
364 *are resulted from the coupling effect between the surface albedo and cloud, and between the*
365 *air temperature and cloud. Given the significant interactive between cloud and other climate*
366 *variables, it's inappropriate to assume the same residual between all-sky and clear-sky*
367 *conditions. For the residual term at the SFC (Fig. 12), the magnitude of longwave radiation is*
368 *comparable to the magnitude of shortwave. There is a lack of necessary discussion of the*
369 *increase in LW residual at SFC relative to that at TOA.*

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371 See the response above, about the same issue of adjusted CRF method.

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We see no strong evidence that surface residual is larger than TOA from figure 7 to 10, although there may be reasons for this to happen, e.g., because temperature and water vapor feedbacks and their biases tend to compensate for the TOA but not so for the surface. This is only a speculation though and would require further investigation to verify.

4. The most important issue is that what's the contribution of ERA5-based kernel to the radiative kernel method. It's highly consistent with model simulation-based kernel, while model simulation can be applied to more accurate analysis such as diagnostic analysis on the role of dynamic processes in climate response.

We added notes and discussions on the accuracy of ERA5 kernel in the abstract and conclusion. In short, the ERA5 TOA kernels are as good as other kernel datasets while for surface kernels, ERA5 kernels show better performance, in terms of both the radiative sensitivity and radiation closure test. Model based radiative kernels show good performance in TOA radiation budget while for surface, they may have some issues, e.g., larger inaccuracies and misattributed surface contribution (e.g., Figure 10, Figure A2). For observation-based kernel, for example, CloudSat kernel (Kramer et al., 2019), it also performs well for TOA but not that well for surface. Besides, satellite observations are subject to the detection of near surface layers and this may lead to some underestimated radiative sensitivity from the bottom layer for surface kernels. The newly generated ERA5 show good radiative closure for both TOA and surface and may best facilitate the analysis of surface energy budget change.

5. The order of the figures needs to be adjusted. It would be better to cite figures near the context instead of figures far away from the context.

We reorganize the figures.

6. In Fig. 6b, the fractional discrepancies of the sensitivity of the TOA SW flux to water vapor in the tropics show six large value centers from the east coast of Africa to the equatorial eastern Pacific. It's hard to understand these large value centers physically. Could you explain it?

This periodic pattern is caused by CAM3 kernel, likely due to a coarse temporal resolution that does not well resolve the diurnal cycle of solar insolation (Line 303-304)

References

- Kramer, R. J., A. V. Matus, B. J. Soden, and T. S. L'Ecuyer, 2019: Observation-based radiative kernels from CloudSat/CALIPSO. *J. Geophys. Res. Atmos.*, 124, 5431–5444, <https://doi.org/10.1029/2018JD029021>.**
- Zhang, Y., Z. Jin, and M. Sikand, 2021: The top-of-atmosphere, surface and atmospheric cloud radiative kernels based on ISCCP-H Datasets: Method and evaluation. *J. Geophys. Res. Atmos.*, 126, 1–34, <https://doi.org/10.1029/2021JD 035053>.**

417 **Reviewer #3:**

418 *In this manuscript, the authors introduce a new set of all-sky and clear-sky, top-of-atmosphere*
419 *(TOA) and surface radiative kernels, generated with the RRTMG radiative transfer model for*
420 *5 years of input fields from ERA5 reanalysis data. The authors incorporate these kernels into*
421 *a more general inter-comparison of the magnitude and structure of existing sets of radiative*
422 *kernels, highlighting the sensitivity of radiative kernels to the input climate state fields used to*
423 *compute them. Along these lines, they also highlight the sensitivity of radiative kernels to*
424 *interannual variability in the climate state, taking advantage of the fact that the ERA5 kernels*
425 *have been computed for 5 years of data, over a period with notable changes in ENSO and sea*
426 *ice coverage.*

427
428 *The manuscript is comprehensive and well written. More multi-kernel comparison analysis is*
429 *certainly needed, so this will be a welcomed addition to the literature. However, I feel the*
430 *paper suffers in a few ways by trying to balance all three tasks (introduce a new kernel, multi-*
431 *kernel comparison, sensitivity of kernels to variability) in one paper. For instance, I think the*
432 *analysis of inter-annual variability is the most valuable part of the work, but the analysis is not*
433 *well connected to the multi-kernel comparison, and the analysis is not as in depth as it could*
434 *be. Additionally, it's not clear why we need the ERA5 kernels when they don't seem all that*
435 *different from the others, and the kernels based on ERA-Interim reanalysis data (ERAi)*
436 *previously developed by the second author of this paper were also calculated for 5 years of*
437 *data and could have been used for the inter-annual variability analysis instead. Given the*
438 *journal, I think justifying the new data product is important. My comments below reflect these*
439 *concerns and hopefully add some additional, useful explanation to my points. Given these, I*
440 *think the paper deserves consideration for publication, pending major revisions.*

441 *Ryan Kramer*

442

443 **General**

444 *1) As noted above, some additional justification for producing the ERA5 kernels is necessary,*
445 *particularly given that the ERAi kernels exist, which use similar input data and a similar*
446 *radiative transfer code, also for 5 years of data. For example, is the improvement of RRTMG*
447 *(ERA5 kernels) over RRTM (the ERAi kernels) large enough to warrant new kernels? Even if*
448 *so, the sensitivity of kernels to RT is not really a focus of the analysis here. Does ERA5 have*
449 *more realistic climate state fields than ERAi? The two kernels were computed over different*
450 *periods, so maybe that is reason to make new kernels? But the period for the ERAi kernels*
451 *(2008-2012) also has notable swings in ENSO, so I don't quite see the advantage of the later*
452 *period used for ERA5 kernels.*

453 *1a) Related, what is the justification for developing radiative kernels from reanalysis*
454 *when the fields are available from models and observations? Arguably reanalysis offers a*
455 *happy middle between the two. They may not be pure observations, but they do have the full*
456 *diurnal cycle that most satellite observations do not have. This may be important for*
457 *diagnosing feedbacks in models, where the model fluxes are also a response to the full diurnal*
458 *cycle. But there is also the argument that, in order to diagnose the true feedback, model*
459 *feedbacks should be diagnosed with a kernel developed from models and observed feedbacks*
460 *should be diagnosed with appropriate observations. What is the value of reanalysis-based*
461 *kernels in that context? Some discussion along these lines would be really valuable to a*
462 *community often confused about what kernels they should be using.*

463

464 Following these suggestions, revisions are made to emphasize the strength of this newly
465 generated ERA5 kernel in the abstract and conclusion. As suggested by the comparison and
466 figures in the manuscript, ERA5 TOA kernels are as good as other datasets. Though for surface
467 kernels, it shows better performance than other datasets. These points are added in the revised
468 manuscript.

469

470 Many studies have shown the superior performance of ERA5 compared with other reanalysis,
471 including its older version - ERAi reanalysis. We used this reanalysis for better representation of
472 the real atmosphere and recommend using ERA5 kernels for feedback analysis. Compared with
473 the satellite observation, as the reviewer mentioned, the strength of reanalysis dataset is that it
474 includes the full diurnal cycle and is not limited by the detection of near-surface layers. For
475 example, although the CloudSat kernels show great performance for TOA radiation budget, some
476 of its surface kernels show underestimated strength from the bottom atmospheric layers possibly
477 due to the difficulty of satellite in detecting low atmosphere information.

478

479 It is verified here that the TOA radiative kernels show little discrepancies among the datasets and
480 use of a reanalysis based kernel can well quantify the radiative feedbacks in GCMs. But the same
481 cannot be said about the current surface kernels as illustrated by Figure 9 and 10. More
482 discussion is added in the manuscript to underline this point.

483

484 ***2) This may be the only example where an “older” (ERAi) and “updated” (ERA5) radiative***
485 ***kernel were developed by the same research group using similar RT codes. This could be a***
486 ***really powerful tool for the multi-kernel comparison analysis and should be exploited here,***
487 ***but has not been yet. If the second author still has access to the ERAi kernel input data, I***
488 ***would like this team to include a more in-depth comparison of the ERAi and ERA5 kernels in***
489 ***the context of the multi-kernel intercomparison. Throughout the current manuscript, the***
490 ***authors highlight examples of large multi-kernel differences, tying them to potential***
491 ***differences in the underlying climate input data. For a given example, is the spread also***
492 ***evident in differences between the ERAi and ERA5 kernels? If so, the authors should analyze***
493 ***the climate input fields directly to reveal specifics about why the kernels differ. A few specific***
494 ***comments in the section below try to prompt this type of analysis. Among other groups, I think***
495 ***this could be extremely useful for the ECMWF developers of ERAi and ERA5, who are always***
496 ***trying to understand the biases and limitations of their product, giving your work exposure to***
497 ***an additional, large community.***

498

499 Yes, we added the comparison between ERA5 and ERAi kernel in Figure 5. In general, the
500 differences between these two kernels are smaller than the interannual variation of ERA5
501 kernels, except for WV SW kernel, which is largely affected by the difference in cloud and water
502 vapor fields between ERA5 and ERAi.

503

504 ***3) Section 3.1: The authors should rethink the presentation and the focus of discussion in this***
505 ***section. First, general descriptions of the sign, basic explanation of the causes of the sign, and***
506 ***the zonal-mean vertical structure of kernels are discussed here for the new ERA5 kernels, but***
507 ***these topics have been covered extensively for other kernels and the new kernels don't seem to***
508 ***deviate from that. Therefore, it seems redundant to repeat that information here. This is true***

509 *even for surface radiative kernels, where the structure and sign were covered by Kramer et al.*
510 *2019 a and b (see refs below). The description of the horizontal spatial structure of the kernels*
511 *is newer however, and worthy of focus in this section. Second, the number of figures and*
512 *figure panels in this section is also overwhelming for the reader and should be consolidated.*
513 *Given these points, I would instead:*

514 *-For Figures 1-8, make the first figure or two just the spatial maps of each kernel, with a title*
515 *for each subplot that describes which kernel we are looking at (e.g. all sky, surface temp,*
516 *clear-sky SW WV, etc.).*

517 *-Given their prevalence elsewhere (e.g. Soden et al. 2008; Block and Mauritsen 2013; Kramer*
518 *et al. 2019a,b, Smith et al. 2021), the latitude-pressure subplots of the ERA5 kernels can be*
519 *combined and put in supplemental material. -Any subplot currently referring to the*
520 *intercomparison across existing kernels should be saved for new, separate figures placed in*
521 *Section 3.2, where that material is discussed in the text.*

522
523 *This above list is just a recommendation. I'm sure there are other ways of reorganizing the*
524 *plots to improve manuscript readability, but some reorganization is necessary.*

525
526 *Kramer, R. J., A. V. Matus, B. J. Soden, and T. S. L'Ecuyer, 2019: ObservaDon-Based*
527 *Radiative Kernels From CloudSat/CALIPSO. JGR Atmospheres, 124, 5431–5444,*
528 *<https://doi.org/10.1029/2018jd029021>.*

529 *Kramer, R. J., B. J. Soden, and A. G. Pendergrass, 2019: Evaluating Climate Model*
530 *Simulations of the Radiative Forcing and Radiative Response at Earth's Surface.*
531 *Journal of Climate, 32, 4089– 4102, [hTps://doi.org/10.1175/jcli-d-18-0137.1](https://doi.org/10.1175/jcli-d-18-0137.1).*

532
533 For the completeness of the description, we kept the descriptions of the sign, basic explanation
534 and the vertical structure of radiative kernels, so that the readers who are not familiar with
535 radiative kernels have the basic information. We kept the results in all-sky in the main text and
536 moved the clear-sky result to the supplement for better readability.

537
538 *4) It is evident that clouds play an important role in determining the spatial pattern of the all-*
539 *sky radiative kernels. More description of the type of clouds impacting the kernels would be*
540 *very useful and novel. For example, cloud vertical extent? Cloud base height (for sfc kernels)?*
541 *Optical properties? A deeper analysis of the ERA5 cloud fields would be helpful here. And if*
542 *the fields are still available for the ERAi kernels, even better.*

543
544 Cloud information is documented in Line 122 and 128. As cloud fraction and cloud liquid/ice
545 water content data are from ERA5, they are of the same resolution as other variables (2.5*2.5, 37
546 level), extending from 1hPa to 1000hPa. Cloud droplet radii are from CERES 3-hourly dataset
547 (1*1) and then interpolated to the same resolution as ERA5 data.

548
549 *5) I think the analysis of inter-annual variability in the kernel is the most interesting*
550 *contribution of this paper to the literature. It deserves a more prominent place in the title,*
551 *abstract, and conclusion section.*

552

553 Following this suggestion, we strengthened various aspects of the paper concerning the
554 interannual variability. These responses are detailed below in the responses to the specific
555 comments related to this topic.

556

557 ***6) The inter-annual variability analysis feels disjointed from the introduction of the new***
558 ***kernels in Section 3.1, the multi-kernel comparison, and the feedback estimate section. It is***
559 ***evident that the ERA5 kernels are sensitive to inter-annual variability, but does this really***
560 ***matter for overall kernel spread? For instance, the ERA5 all-sky TOA Ts kernel is clearly***
561 ***sensitive to interannual variability in the Eq. Pacific at ~Longitude 180, but this doesn't***
562 ***appear to be a particularly noteworthy area of inter-kernel differences in fig 1e. It may very***
563 ***well be important, but the analysis at present doesn't offer enough of a connection to make***
564 ***that point. Comparing the inter-annual variability in the ERA5 vs ERAi kernels in more detail***
565 ***may be a useful starting point to help make the connection between this section and the others.***
566

567

567 Both inter-kernel difference and interannual difference of kernel values reflect the dependence of
568 radiative sensitivity on background atmospheric states. We showed this point using the
569 comparison among different kernel datasets first and then used the interannual variability of
570 ERA5 kernel to further show how the change in atmospheric state (e.g., during ENSO or due to
571 sea ice change) impacts the radiative sensitivity, given that these variables from ERA5 are
572 available and used in our calculation.

573

574 The interannual variability of ERA5 kernel indeed proves this point and further comparison
575 between ERA5 and ERAi kernel is also added in Figure 5 to compare the changes in kernel value
576 caused by ENSO. The inter-kernel comparison does not show as much variation in the Central
577 Pacific as in the ENSO case; this is likely because smaller temperature differences between the
578 atmospheric datasets used for kernel calculation (e.g., Figure 5g)

579

580 ***7) After the interesting analysis showing the sensitivity of kernels to inter-annual variability***
581 ***spatially, the feedback analysis in Section 4.2 and 4.3 mostly just focuses on global-mean***
582 ***values. While this type of analysis is valuable in a general sense for kernel users, it doesn't***
583 ***quite fit well with this paper, particularly because the ERA5 kernels don't stand out as being***
584 ***more accurate or unique. Instead, I'd like to authors to focus more on multi-kernel***
585 ***differences in the feedback spatial patterns. Given the large focus on the pattern effect and the***
586 ***influence of regional feedbacks on the global-mean in recent years, I think that could be***
587 ***particularly citeable. We know kernels are generally in agreement in the global-mean***
588 ***(especially for the TOA). But what about for kernel spread in estimates of the regional***
589 ***feedbacks?***

590

591 Following this suggestion, we used the spatial root-mean-squares (RMS) of residual terms to
592 document the spatial biases in each kernel dataset. As indicated by the numbers in Figure 7 and
593 9, the ERA5 kernels show relatively smaller RMS, especially for surface, compared to many
594 other kernels. This indicates the ERA5 kernels may be more suitable for surface feedback
595 quantification. We have clarified these points in the paper.

596

597 **8) It is tough to pick out valuable information from your tables of TOA and Surface**
598 **feedbacks. The authors should turn those into summary figures (e.g. dot plots or scatter plots**
599 **used by e.g. Smith et al. 2018 supplemental, or Zelinka et al. 2020) where possible.**
600

601 Tables are moved to the Supplement and we used figure 8 and 10 to show the inter-kernel and
602 inter-model feedback spread.
603

604 **9) There are now many observation-based kernels in the literature from CloudSat/CALIPSO**
605 **observations, CERES CCCM products, AIRS, and a bunch of others specific to surface albedo**
606 **kernels that are not included in the multi-kernel analysis here. I think it's an open question**
607 **whether these observational kernels should be included in a comparison with model-based**
608 **kernels or not. The authors should provide a brief reason for not incorporating them (or**
609 **should include them if they feel its appropriate), especially since the Kramer et al. and**
610 **Thorsen et al. reference papers are cited in the text.**
611

612 Yes, we included the CloudSat kernel for comparison in the revised manuscript.
613

614 **Specific or Minor Comments**

615 **Line 311-312 and Appendix: We discussed similar RT issues regarding the surface**
616 **temperature kernel for surface fluxes in Kramer et al. 2019 (JCLim). The authors can cite**
617 **and/or refer to it for some additional support. We also argued that, as noted in the author's**
618 **current Appendix and elsewhere, similar RT issues can bias the lowest level of the surface flux**
619 **Ta kernel in an equal and opposite manner as the Ts kernel, thereby allowing a kernel like**
620 **CAM5 to be correct in its estimate of the vertically integrated Temperature feedback, but for**
621 **the wrong reason. This likely explains why CAM5 and ERA5 kernels agree in Figure A2c and**
622 **A2d. Your text somewhat gets to this point, but I'd call it out directly as a warning to kernel**
623 **users: Some kernels may give you the correct temp. feedback for the wrong reason.**
624 **Presumably this is true for the TOA temperature feedback too, but the contribution from the**
625 **surface and near surface layers to that vertically integrated feedback are small, so maybe it**
626 **doesn't matter much?**

627 **Kramer, R. J., B. J. Soden, and A. G. Pendergrass, 2019: Evaluating Climate Model**
628 **Simulations of the Radiative Forcing and Radiative Response at Earth's Surface.**
629 **Journal of Climate, 32, 4089– 4102, <https://doi.org/10.1175/jcli-d-18-0137.1>.**
630

631 Following this suggestion, we added a note to caution this issue in Line 873-875.
632

633 **Line 316-319 and more generally: Bright and O'Holloran (2019) and Donohoe et al. (2020)**
634 **performed nice, detailed comparisons of surface albedo kernels. These papers should be cited**
635 **and their work should be put into context of the author's own results within the present**
636 **manuscript. This would also be useful for the section on diagnosing radiative feedback spread,**
637 **since the authors show that the kernels give quite different results in the poles. Riihela et al.**
638 **(2021) also did a comparison of surface albedo kernels in the context of sea ice states, and**
639 **should be cited somewhere in the present manuscript.**

640 **Bright, R. M., and T. L. O'Halloran, 2019: Developing a monthly radiative kernel for surface**
641 **albedo change from satellite climatologies of Earth's shortwave radiation budget: CACK**
642 **v1.0. Geosci. Model Dev., 12, 3975–3990, <https://doi.org/10.5194/gmd-12-3975-2019>.**

643 *Donohoe, A., E. Blanchard-Wrigglesworth, A. Schweiger, and P. J. Rasch, 2020: The Effect*
644 *of Atmospheric Transmissivity on Model and Observational Estimates of the Sea Ice*
645 *Albedo Feedback. Journal of Climate, 33, 5743–5765, [hTps://doi.org/10.1175/jcli-d-19-](https://doi.org/10.1175/jcli-d-19-0674.1)*
646 *0674.1.*

647 *Riihelä, A., R. M. Bright, and K. Anbla, 2021: Recent strengthening of snow and ice albedo*
648 *feedback driven by Antarctic sea-ice loss. Nat. Geosci., 14, 832–836,*
649 *<https://doi.org/10.1038/s41561-021-00841-x>.*

650

651 There references are now added.

652

653 *Line 316-227 and more generally: Aligning with general comment 4 above, the author’s*
654 *assumption that clouds are the cause of the discrepancies discussed here is likely right, but*
655 *this has been alluded to before in past work. The author’s have a unique opportunity to prove*
656 *it directly by using the kernel’s cloud input data directly in the analysis. Using the ERA5 and*
657 *ERAi cloud fields can the authors confirm their assumption that cloud fields matter? Or*
658 *provide a more detailed analysis? Among all the potential sources of kernel differences, clouds*
659 *seem to warrant deeper investigation.*

660

661 We included a comparison between ERA5 and ERAi kernel in Figure 5 and there the difference
662 in cloud mainly leads to the difference in water vapor SW kernel as this difference only appears
663 in all-sky (Figure 5l) but not in the clear-sky (Figure S7f).

664

665 *Figure 2f: The ERAi and ERA5 kernels are noticeably different below ~800mb. Why?*

666

667 Mainly due to the difference in water vapor and air temperature below 800hPa.

668

669 *Figure 3e and 3k: There are large standard deviations relative to the magnitude of the ERA5*
670 *kernels at certain locations within the vertical kernel structure, but for anything above*
671 *~950mb, the kernel magnitude is small relative to the lowermost atmospheric levels, and likely*
672 *does not contribute much to the vertically-integrated quantity. A zoomed in version of these*
673 *plots, highlighting the important standard deviation across kernels in the lowermost levels,*
674 *would be informative.*

675

676 We would like to keep the current vertical range as the discrepancy in the South Pole region
677 extents to about 300hPa.

678

679 *Figure 3f and 3l. Why is the ERAi kernel so much larger at the lowest levels near the surface*
680 *than the ERA5 kernel (and the other kernels)? This is true for both all-sky and clear-sky.*
681 *Could vertical resolution of the kernels be playing a role? We discuss the potential influence*
682 *of resolution in the Appendix of Kramer et al. (2019, JCLim).*

683

684 Yes, this is due to the vertical resolution and how the air temperature perturbation is added.

685

686 *Interestingly, there is also a fairly large difference between ERA5 and ERAi in the all-sky LW*
687 *WV kernel for surface fluxes (figure 5L), but it only shows up in the all-sky kernel, not the*
688 *clear-sky. Does that suggest clouds matter more for the LW WV kernel in explaining*

689 *differences than they do for the LW Ta kernel, relative to other potential sources of kernel*
690 *spread?*

691
692 This is an interesting observation and hypothesis. The comparison however may be obscured by
693 the averaging issues noted in the Appendix. We tried to not speculate here.

694
695 ***Line 368: Is this the sensitivity of the surface to the vertically integrated kernel change? Only***
696 ***the sensitivity to a certain level of WV change? It is not obvious from the figure 9 caption***
697 ***either. Some rethinking of how the kernels are described in the text here and elsewhere would***
698 ***be helpful. Maybe shorthand acronyms or some other naming convention would be helpful.***

699
700 It is relative to the vertically integrated kernel. Caption is revised accordingly.

701
702 ***Plot 9: I really like this figure. I'm not sure anyone else has shown the sensitivity of these***
703 ***temperature and water vapor kernels to variability spatially yet. But I think it would be helpful***
704 ***to go one step further and show what level of WV and cloud variability is impacting the***
705 ***temporal variability of the kernels most. And does that particular level help explain the multi-***
706 ***kernel spread in those kernels, evident in Figure 1-8? Or is inter-annual variability not***
707 ***enough to explain the kernel spread? This comments connects with my general comment #6***
708 ***above.***

709
710 Following this suggestion, we added Figure S8 to show the vertical distribution of water vapor,
711 cloud profiles and also water vapor kernels. In the ENSO case, the relatively weaker water vapor
712 LW kernel in the Central Pacific (Figure 5e) is contributed from almost the whole troposphere
713 (Figure S8c), possibly caused by the increase of cloud cover in the upper troposphere (Figure
714 S8b). For the difference between ERA5 and ERAi kernel, the vertically integrated difference in
715 water vapor SW kernel (Figure 5l) is mainly contributed from the mid-to-low troposphere
716 (Figure S8f), which also corresponds to the discrepancies noticed in Figure 4i, and is partially
717 due to the increase of cloud cover in mid-troposphere (Figure S8e).

718
719 In summary, the interannual variability contribute to but does not fully explain the inter-kernel
720 differences as shown in Figure 3 and 4, but both of them demonstrate the state-dependency of
721 radiative kernels. We clarified these points in the paper.

722
723
724 ***Plot 9: The TOA SW WV kernel is a potentially interesting case where the largest multi-kernel***
725 ***spread (tropics around 500mb in Figure 6k) sits above the level at which the ERA5 kernel is***
726 ***the strongest (closer to 800mb in Figure 6j). Building on my comment above, how does the***
727 ***importance of inter-annual variability play into the large kernel spread at this ~500mb level?***
728 ***And does the spread at 500mb actually matter much for the vertically-integrated quantity?***
729 ***This level of detail could be useful for e.g. modeling centers trying to connect TOA biases to***
730 ***particular biases in their climate states.***

731
732 As shown by Figure S8, it suggests that the interannual variation partly explains the
733 discrepancies among kernel datasets. The difference of cloud field between ERA5 and ERAi
734 suggest that the discrepancies in WV SW TOA kernel in all-sky are mainly caused by the cloud.

735

736 **Figure 10: I struggle to see spatially where the variability in water vapor is having an effect on**
737 **the kernels shown in the other subplots. Maybe only for the NE coast of Greenland? Should**
738 **cloud changes be shown in this plot instead? I'd give more detailed evidence about why water**
739 **vapor is important here.**

740

741 Replaced it with cloud cover.

742

743 **Line 412-419: Some explanation of why you need both abrupt4xCO2 and piCLim-4xCO2 (e.g.**
744 **to remove rapid adjustments) is needed, since most people just use abrupt4xCO2 with Gregory**
745 **regression to get feedbacks.**

746

747 Yes, this is to remove the rapid adjustment. Explanation was added in Line 437.

748

749 **Line 436 and Equation 5: What does the clear-sky residual term mean physically and why**
750 **does it matter for computing cloud feedback? Although the author's math in Equation 6**
751 **works out to be the same as what everyone else uses, I think the way they've introduced this**
752 **method, and terminology used, is less common. Some extra detail would be helpful.**

753

754 The clear-sky residual term means the unexplained part by kernel method. In adjusted cloud
755 radiative forcing method, such a non-closure term is actually attributed to the cloud feedback.

756

757 **Line 463-464: Block and Mauritsen (2013) can be cited here for their nice discussion and**
758 **analysis of the non-linearity of the surface albedo kernel in 4xCO2 runs.**

759 **Block, K., and T. Mauritsen, 2013: Forcing and feedback in the MPI-ESM-LR coupled model**
760 **under abruptly quadrupled CO2. J. Adv. Model. Earth Syst., 5, 676–691,**

761

<https://doi.org/10.1002/jame.20041>.

762

763 Added.

764

765 **Last Column Table 3: The multi-model values differ somewhat across the different kernels,**
766 **but not the associated standard deviations, which look to be essentially the same for all rows of**
767 **the column. Does this suggest the kernels can estimate feedbacks differently in a systematic**
768 **manner across all models, but they do not necessarily estimate the magnitude of the feedback**
769 **spread differently? In other words, the kernel may get the model-mean feedback value wrong,**
770 **but the spread in feedbacks correct? This is worth noting if so.**

771

772 As feedbacks are calculated by the product of radiative kernels (K_X) and the anomalies (ΔX),
773 when calculating the standard deviation of feedbacks among the models by the same radiative
774 kernels, it is the variation of ΔX among models that matters (as all models use the same K_X) and I
775 think that's why different kernel datasets show a close multi-model standard deviation.

776

777 **Line 487-491: Since you are not using cloud radiative kernels, the inter-kernel differences in**
778 **cloud feedback must come from the difference between all-sky and clear-sky kernels (cloud**
779 **masking). Can you identify which of the kernel terms is the culprit? From a related discussion**
780 **see text around figs 9-11 in Kramer et al. (2019, JGR), for example.**

781 ***Kramer, R. J., A. V. Matus, B. J. Soden, and T. S. L'Ecuyer, 2019: Observation-Based***
782 ***Radiative Kernels From CloudSat/CALIPSO. JGR Atmospheres, 124, 5431–5444,***
783 ***<https://doi.org/10.1029/2018jd029021>.***
784

785 In our calculation, we found that the inter-kernel differences in cloud LW feedback are almost
786 equally contributed from Ta, Ts and WV, and in cloud SW feedback are contributed more from
787 the albedo. As no dominant contributor or general feature is found, we chose to make no specific
788 additional comment here.

789
790

791 ***Line 769-793: This is a really nice description of how you develop the water vapor kernel. I'd***
792 ***highlight in the main text that you have included this section in appendix. I think many kernel***
793 ***users and developers are looking for a description like this and will turn to it in the future.***
794 ***There are often question about this calculation.***

795

796 We chose to keep the technical details in the appendix.

797

798