



1 **Recurrent mapping of Hourly Surface Ozone Data (HrSOD) across China**
2 **during 2005–2020 for ecosystem and human health risk assessment**

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26

27 **Abstract**

28 Surface ozone is an important air pollutant detrimental to human health and vegetation
29 productivity. Regardless of its short atmospheric lifetime, surface ozone has
30 significantly increased since the 1970s across the Northern Hemisphere, particularly in
31 China. However, high temporal resolution surface ozone concentration data is still



32 lacking in China, largely hindering accurate assessment of associated environmental
33 and human health impacts. Here, we collected hourly ground ozone observations (over
34 6 million records), meteorological data, remote sensing products, and social-economic
35 information, and applied the Long Short-Term Memory (LSTM) recurrent neural
36 networks to map hourly surface ozone data (HrSOD) at a $0.1^\circ \times 0.1^\circ$ resolution across
37 China during 2005–2020. Benefiting from its advantage in time-series prediction, the
38 LSTM model well captured the spatiotemporal dynamics of observed ozone
39 concentrations, with the sample-based, site-based, and by-year cross-validation
40 coefficient of determination (R^2) values being 0.72, 0.65 and 0.71, and root mean square
41 error (RMSE) values being 11.71 ppb (mean = 30.89 ppb), 12.81 ppb (mean = 30.96
42 ppb) and 11.14 ppb (mean = 31.26 ppb), respectively. Air temperature, atmospheric
43 pressure, and relative humidity were found to be the primary influencing factors.
44 Spatially, surface ozone concentrations were high in northwestern China and low in the
45 Sichuan Basin and northeastern China. Among the four megacity clusters in China,
46 namely the Beijing-Tianjin-Hebei region, the Pearl River Delta, the Yangtze River
47 Delta, and the Sichuan Basin, surface ozone concentration kept decreasing before 2016.
48 However, it tended to increase thereafter in the former three regions, though an abrupt
49 decrease in surface ozone concentrations occurred in 2020. Overall, the HrSOD
50 provides critical information for surface ozone pollution dynamics in China and can
51 support fine-resolution environmental impact and human health risk assessment. The
52 data set is available at <https://doi.org/10.5281/zenodo.7415326> (Zhang et al., 2022).

53

54 **1 Introduction**

55 Ozone (O_3) is an important constituent of the atmosphere and is ubiquitously present in
56 both the troposphere and the stratosphere. Stratospheric ozone protects life on Earth by
57 absorbing harmful solar ultraviolet (UV) rays (Norval et al., 2007; Slaper et al., 1997;
58 van der Leun et al., 2003). Tropospheric ozone is a major gaseous pollutant produced
59 in a series of complex reactions between volatile organic compounds (VOCs) and



60 nitrogen oxides (NO_x) in the presence of sunlight (Wang et al., 2017a). Exposure to
61 high-concentration surface ozone can cause severe impacts on human health, inducing
62 high morbidity in respiratory, cardiopulmonary, and cardiovascular diseases (Berman
63 et al., 2012; Li et al., 2018; Magzamen et al., 2017). Moreover, surface ozone of high
64 concentrations could damage the leaf cell structure of plants and thus decrease natural
65 vegetation productivity, crop yield and quality (Cooper et al., 2014; Giles, 2005; Lu et
66 al., 2018; Tian et al. 2016).

67

68 In the past decades, the number of ozone pollution events has increased significantly,
69 particularly in highly populated and developed regions (Huang et al., 2018; Ma et al.,
70 2016; Maji and Namdeo, 2021; Sahu et al., 2021). Real-time surface ozone monitoring
71 networks have been established on a regional basis around the world (Chang et al.,
72 2017). But their coverage is still insufficient in both space and time, due to uneven
73 distribution of monitoring sites and lack of mid- to long-term continuous records in the
74 majority of the world (Chang et al., 2017; Lu et al., 2018). In contrast, satellite remote
75 sensing can monitor the spatial and temporal variability of ozone at regional to global
76 scales. For instance, the Ozone Monitoring Instrument (OMI) on the Aura satellite,
77 launched in 2004, provides global daily total column ozone retrievals. Nonetheless,
78 satellite-based estimates of surface ozone concentrations are not available at high
79 spatial and temporal resolutions (Liu et al., 2010; Shen et al., 2019). Hence, various
80 models have been developed to extrapolate site observations, refine satellite retrievals,
81 or fuse them to generate long-term, high-quality surface ozone datasets (e.g., Liu et al.,
82 2020; Wei et al., 2022).

83

84 These models, according to their underlying principles, can be generally grouped into
85 chemical transport models (CTMs), geostatistical models, and machine learning models.
86 CTMs are physics-based, accounting for atmospheric chemical reactions, emission
87 inventories, meteorological conditions and transport of atmospheric pollutants, but



88 usually are prone to high uncertainties in emission inventories and model assumptions
89 (Liu et al., 2018; Sun et al., 2019; Travis et al., 2016). Geostatistical models, such as
90 Kriging interpolation (Adam-Poupart et al., 2014), land-use regression (LUR),
91 Bayesian maximum entropy (BME; Chen et al., 2020a), and geographically weighted
92 regression (GWR; Zhang et al., 2020), estimate surface ozone by fitting its relationships
93 with the influential factors. However, collinearity (the non-independence of predictor
94 variables) in these geostatistical models usually makes them difficult to estimate
95 accurately (Jumin et al., 2020; Liu et al., 2020). Machine learning models, such as
96 random forest (Wei et al., 2022) and Extreme Gradient Boosting (Liu et al., 2020), have
97 also been widely used due to their strong data-mining ability. Yet ozone concentrations
98 were not independent at individual time points, multiple predictor variables were
99 correlated at different time points, which was neglected in long time series predictions
100 of ozone.

101

102 In recent years, surface ozone pollution in China has become increasingly serious, with
103 frequent large-scale high ozone pollution events (Li et al., 2017a; Mousavinezhad et al.,
104 2021; Wang et al., 2017b). Since 2013, China has established a national ozone
105 observation network (Lu et al., 2018), utilizing which several gridded surface ozone
106 products were generated (Li et al., 2021; Xue et al., 2020). However, gridded products
107 covering an hourly time-step are still lacking in China. Such a data gap impedes
108 accurate assessment of environmental and human health impacts of surface ozone. For
109 example, in estimating ozone damage to vegetation productivity, hourly ozone data is
110 usually required for stomatal ozone flux models (e.g., Feng et al., 2012) or generating
111 ozone exposure index (Ren et al., 2007; Mills et al., 2011). Moreover, hourly ozone
112 data is advantageous over that at coarser temporal resolution in determining ozone
113 exposure of humans (Kim et al., 2011; Niu et al., 2022).

114

115 To address the issue, here we developed a deep learning model based on the Long Short-



116 Term Memory (LSTM) recurrent neural networks to generate hourly surface ozone data
117 (HrSOD) at a spatial resolution of $0.1^{\circ} \times 0.1^{\circ}$ from 2005 to 2020 over China. The paper
118 is organized as follows: the data and methods are introduced in Sect. 2; the results
119 regarding model validation, spatiotemporal variations of surface ozone across China,
120 and surface ozone changes in key regions are presented in Sect. 3; comparison of
121 HrSOD with previous studies and the key variables determining surface ozone
122 dynamics are discussed in Sect. 4; data availability is described in Sect. 5; and the
123 conclusions are summarized in Sect. 6.

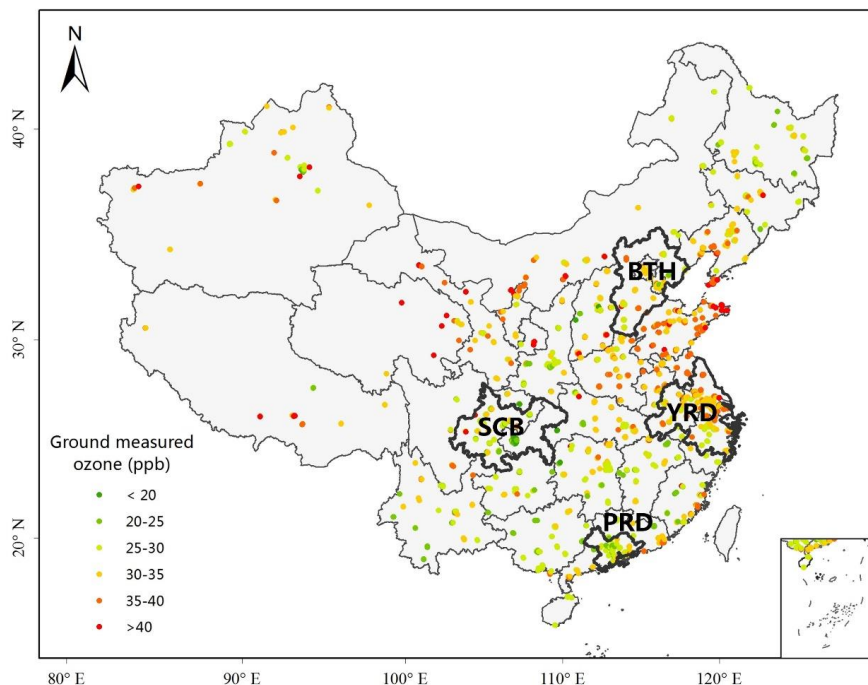
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125 **2 Data and methods**

126 **2.1 Data**

127 **2.1.1 Surface ozone observation data**

128 Over six million records of hourly surface ozone concentration measurements during
129 2015–2020 were obtained from the real-time air quality monitoring platform of the
130 China National Environmental Monitoring Centre (CNEMC; <http://www.cnemc.cn/>,
131 last access: 20 December, 2021). The monitoring network was expanded to more than
132 1500 monitoring sites from 2013 to 2020, covering 31 provinces and 368 cities across
133 mainland China. However, these monitoring sites are mainly located in the eastern
134 region of China, with a much lower site distribution density in the northwest (Fig. 1).



135

136 **Figure 1.** Spatial distribution of surface ozone observation sites in China. The color
137 indicates the annual mean surface ozone concentrations at each site during 2015–2020.
138 The bold black lines indicate the boundaries of four megacity clusters of China, namely
139 the Beijing-Tianjin-Hebei (BTH) region, the Pearl River Delta (PRD), the Sichuan
140 Basin (SCB), and the Yangtze River Delta (YRD).

141

142 Hourly ozone concentrations are measured at all monitoring sites by continuous
143 monitoring instruments, and the unit of ozone reported by CNEMC is $\mu\text{g m}^{-3}$ (standard
144 atmospheric conditions at a temperature of 273 K and a pressure of 1013.25 hPa; $1 \mu\text{g}$
145 $\text{m}^{-3} = 0.467 \text{ ppb}$). According to the Ambient Air Quality Standard (GB3095-2012;
146 MEPC, 2012) set by the Ministry of Environmental Protection of China (MEPC) for
147 ozone concentration data norms and standards, the ozone data were screened by
148 eliminating outliers and null values. The annual mean hourly ozone concentrations
149 ranged from 14–48 ppb in the period 2015–2020 in China, with areas of high ozone



150 concentrations mainly in eastern China, especially in the four densely populated
151 megacity clusters of China, i.e., the Beijing-Tianjin-Hebei (BTH) region, the Pearl
152 River Delta (PRD), the Sichuan Basin (SCB) and the Yangtze River Delta (YRD; Fig.
153 1).

154

155 **2.1.2 Predictor variables**

156 The predictor variables include meteorological factors, land use, population, gross
157 domestic product, remote sensed total column ozone products, and surface ozone
158 concentration observations (see Table 1).

159

160 (1) Climate data

161 A total of seven climatic variables (solar radiation intensity, temperature, relative
162 humidity, pressure, horizontal wind velocity, vertical wind velocity, and precipitation)
163 were obtained from the ERA5-Land reanalysis dataset (Table 1). The dataset has a
164 spatial resolution of $0.1^\circ \times 0.1^\circ$ (about 9 km) and an hourly time-step and was produced
165 by the European Centre for Medium-Range Weather Forecasts (ECMWF;
166 <https://www.ecmwf.int/en/forecasts>, last access: 20 December, 2021). The ERA5
167 reanalysis data combines land surface model simulations with ground and satellite
168 observations (Albergel et al., 2018; Hersbach et al., 2020), and has been widely used
169 across the world (Muñoz-Sabater et al., 2021). It has also been validated in China,
170 showing good performance in air temperature (Zou et al., 2022), solar radiation (Jiang
171 et al., 2020), and precipitation (Jiang et al., 2021).

172

173 (2) Remote sensing data

174 We collected remote sensing data including OMI Level 3 global daily total ozone grid
175 product (OMIT₃G; in DU; Pawan, 2012) and ozone profile products (PROFOZ;
176 v0.9.3, level 2) measured by the OMI, which is carried by the Earth Observing System
177 (EOS) Aura satellite. The OMI provided daily and near-global column concentration



178 data ($0.25^\circ \times 0.25^\circ$) and profiles ($13 \text{ km} \times 24 \text{ km}$) of O_3 , NO_2 , SO_2 , HCHO. The ozone
179 profile product contained 24 vertical ozone layers (Mcpeters et al., 2008), of which the
180 first layer was selected to represent surface ozone in this study.



181 **Table 1.** Summary of the data sources used in this study.

182

Category	Variable	Unit	Spatial resolution	Temporal resolution	Source
Ground measurements	O ₃ : Ozone	μ g m ⁻³ convert to ppb	-	Hourly	CNEMC
	DSR: Downward shortwave radiation W m ⁻²				
Climate data	RH: Relative humidity	%			
	TEM: 2-m air temperature	K			ERA5-Land reanalysis
	SP: Surface pressure	hPa	0.1° × 0.1°	Hourly	
	WU: 10m u-component of wind	m s ⁻¹			
	WV: 10m v-component of wind	m s ⁻¹			
	PRE: Precipitation	mm			
Socio-economic data	POP: Population	One person per grid	1 km × 1 km	5 years	Resource and Environment Science and Data Center
	GDP: Gross Domestic Production	10000 yuan per grid			
Satellite remote sensing data	TO ₃ : Total column ozone	DU	0.25° × 0.25°	Daily	OMI/Aura products
	SFO ₃ : Surface ozone concentrations	DU	13 km × 24 km	Hourly	
	Land use: Forest \ Grassland \ Urban land \ Cropland		0.05° × 0.05°	Annual	MODIS products



183 (3) Auxiliary data

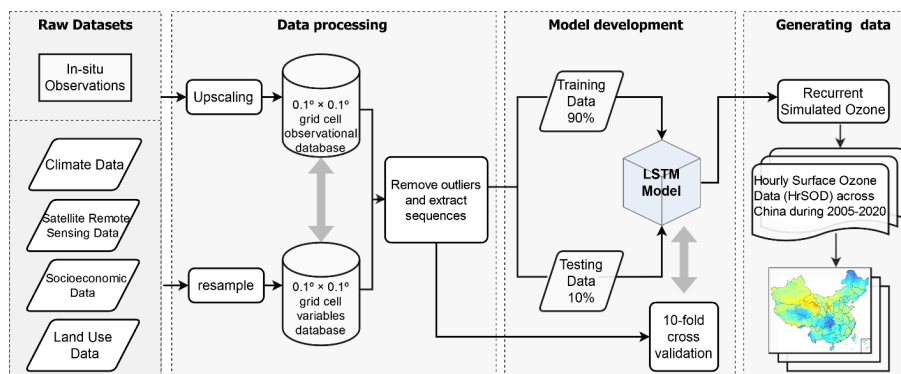
184 Socio-economic data reflect human living and production activities, which are major
185 sources of ozone precursors (VOCs and NO_x). Thus, it is also an important factor for
186 ozone simulation. We obtained population distribution data and Gross Domestic
187 Product (GDP) data with 1 km spatial resolution from the Resource and Environmental
188 Science and Data Center, Chinese Academy of Sciences (Xu et al., 2017). The data has
189 a time interval of five years and thus it is available in four years (2005, 2010, 2015, and
190 2020) during the study period. The nationwide land use data was derived from the
191 Moderate Resolution Imaging Spectroradiometer (MODIS; Friedl and Sulla-Menashe,
192 2015) product (at a resolution of 0.05°).

193

194 (4) Data processing

195 We constructed a 0.1° × 0.1° grid over China and averaged concurrent surface ozone
196 measurements of monitoring sites within a grid cell to obtain grid-level surface ozone
197 concentrations. In addition, all predictor variables (including climate data, land use data,
198 population distribution, GDP data, and remote sensing data) were aggregated or
199 resampled to the targeted grid resolution of 0.1° × 0.1° using the nearest neighbor
200 interpolation and bilinear interpolation approach (Fig. 2).

201



202

203 **Figure 2.** Flowchart for generating hourly surface ozone data (HrSOD) across China.



204 2.2 Model development

205 2.2.1 The long short-term memory network model

206 The long short-term memory network is a special type of recurrent neural networks
207 (RNNs) that differs from traditional neural networks. The traditional artificial neural
208 network (ANN) is fully connected between layers and has no connection within a
209 specific layer, whereas the hidden layers of RNNs are connected (Hochreiter et al.,
210 1997). The output of an RNN is not only affected by the current input features but also
211 influenced by the output of the previous or next moment, hence RNNs have better
212 performance in estimating time-series and have been widely used to proceed sequence
213 data (Goodfellow et al., 2016).

214

215 The LSTM can further overcome the limitations of conventional RNNs that they could
216 be trapped by vanishing gradient or exploding gradient during training (Bengio et al.,
217 1994; Razvan et al., 2013). It excels through integrating input gates, forgetting gates,
218 and output gates into the cell structure. The input gates control whether a cell value can
219 be added to a memory cell, the forgetting gates determine the weight of the value, and
220 the output gates determine which information eventually is output from the cell. The
221 LSTM has a long-term memory capability, which is ideal to predict long time-series of
222 historical ozone concentrations.

223

224 Specifically, based on LSTM, we built a five-layer neural network model for surface
225 ozone concentration prediction. It consists of an input layer, two LSTM layers, one
226 Dense layer (also called the fully connected layers), and an output layer (Table 2). The
227 data specification for the model input layer is in a 3-dimensional format ($n_samples$,
228 n_time_steps , $n_features$), $n_samples$ represents the batch size for training,
229 n_time_steps is the time window of 24 hours, representing the first 24 hours' O₃
230 sequence to predict the O₃ at the 25th hour, and $n_features$ is the number of 12 variables
231 in the training set. The number of neurons in each hidden layer is 50, and we used mean



232 absolute error (MAE) as the loss function and the Adaptive moment estimation (Adam)
233 as the optimization algorithm. The model was trained for 50 epochs with a batch size
234 of 3000. The CNEMC ground measurements were used as the target for the model
235 training and validation.

236 **Table 2.** Detailed configuration of the neural network

Configuration	Value
Training algorithm	Long Short-Term Memory (LSTM)
Number of hidden layers	3
Number of neurons in a hidden layer	50
Number of input variables	12
Number of output variables	1
Training data percentage	90 %
Validation data percentage	10 %
Data normalization	Minmax
Loss function	Mean absolute error (MAE)
Optimization algorithm	Adaptive moment estimation (Adam)

237

238 **2.2.2 Model evaluation**

239 The 10-fold cross-validation (CV) approach was utilized to evaluate the performance
240 of the LSTM model, with three sampling strategies, namely sample-based CV, site-
241 based CV and by-year CV, corresponding to the model's performances on capturing
242 overall, spatial and temporal patterns, respectively. In each strategy, 90 % of the total
243 surface ozone observations were randomly sampled for training, and the rest 10 % was
244 used for validation, the process of which was repeated 10 times. The overall adjusted
245 coefficient of determination (R^2), root mean square error (RMSE), linear regression
246 slope, and intercept were calculated to evaluate the performance of the model.

247

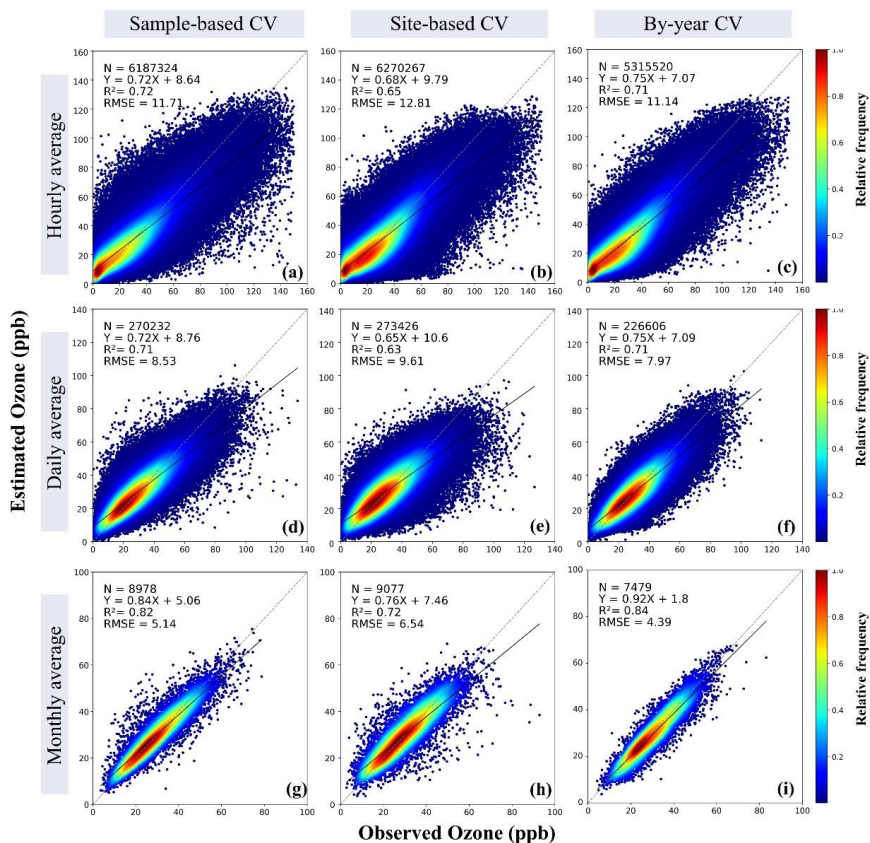


248 **3 Results**

249 **3.1 Model validation**

250 At the hourly time-scale, the LSTM model obtained R^2 values of 0.72, 0.65, 0.71 using
251 three CV sampling methods (sample-based, site-based and by-year), respectively, and
252 the corresponding RMSE values were 11.71 ppb, 12.81 ppb, 11.14 ppb (Figs. 3a–c). At
253 the daily time-step, the model’s performance improved with R^2 values being 0.71, 0.63,
254 0.71 (sample-based, site-based, and by-year) and RMSE values being 8.53 ppb, 9.61
255 ppb, and 7.97 ppb (Figs. 3d–f). The predictive ability of the model further improved at
256 the monthly time-step, with higher R^2 values of 0.82, 0.72, 0.84 (sample-based, site-
257 based, and by-year) and smaller RMSE values of 5.14 ppb, 6.54 ppb, 4.39 ppb (sample-
258 based, site-based, and by-year (Figs. 3g–i).

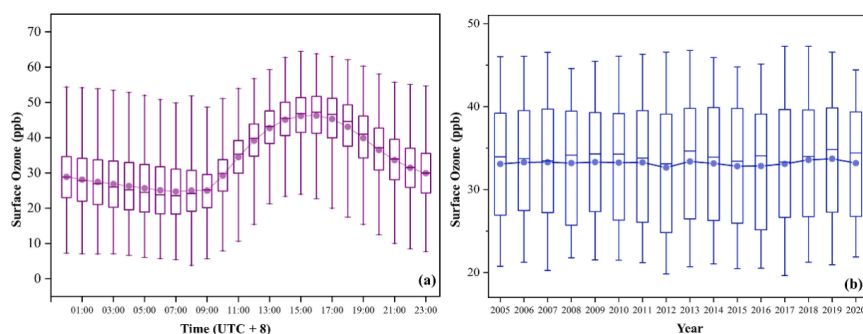
259
260 Among the three CV sampling strategies, the site-based CV (Figs. 3b, e, h) R^2 values
261 were slightly lower than the sample-based CV (Figs. 3a, d, g) R^2 values and by-year CV
262 (Figs. 3c, f, i) R^2 values, while the RMSE values were slightly higher than the sample-
263 based CV RMSE values and by-year CV RMSE values. It is noted that the model
264 underestimated surface ozone when it was at high concentrations, but this bias was
265 largely ameliorated at the monthly time-step (Figs. 3g–i).



266
 267 **Figure 3.** Comparisons between model estimated surface ozone concentrations and
 268 observations across China. The panels are sample-based cross validations at hourly,
 269 daily and monthly time-steps (a, d, g), site-based cross validations at hourly, daily and
 270 monthly time-steps (b, e, h), and by-year cross validations at hourly, daily and monthly
 271 time-steps (c, f, i). The dashed and black lines represent the 1:1 lines and the linear
 272 regression lines, respectively.

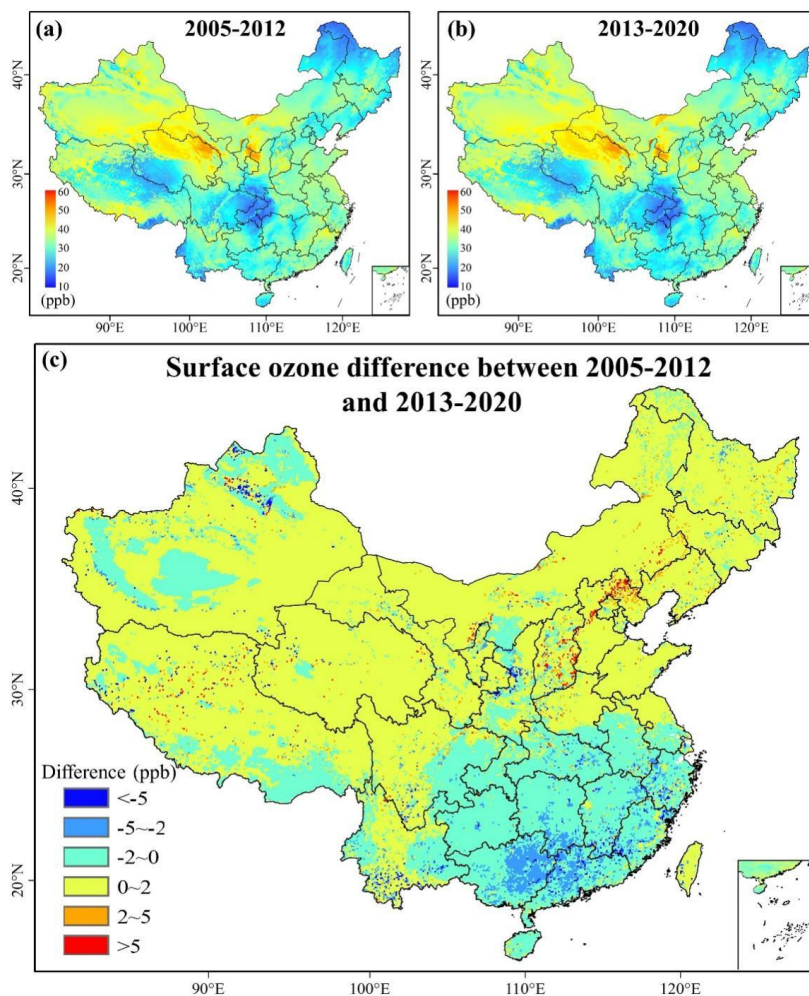


273 3.2 Spatiotemporal variations of surface ozone across China



274
275 **Figure 4.** Diurnal (a) and interannual (b) variations of mean surface ozone
276 concentrations in China during 2005–2020. Boxplots indicate the median (horizontal
277 line) and interquartile ranges (boxes) and the whiskers specify the maximum and
278 minimum values.

279
280 Fig. 4a shows the diurnal variations of mean hourly surface O₃ concentrations across
281 China during 2005–2020. The diurnal variation presented a unimodal curve, which
282 started to increase at around 9:00–10:00 (UTC + 8) and peaked at around 15:00 (UTC
283 + 8) reaching about 46 ppb. After that, the hourly mean O₃ concentrations gradually
284 declined to about 25–28 ppb. The annual average O₃ concentration across China (Fig.
285 4b) ranged from 32.56 ± 7.59 ppb to 33.61 ± 7.16 ppb during 2005–2020. During the
286 first part of the period (2005–2016), ozone concentrations remained stable. However,
287 after 2016 the O₃ concentration showed a significantly increasing trend from 2016
288 (32.75 ± 7.17 ppb) to 2019 (33.61 ± 7.16 ppb), and then an abrupt decrease by 2020
289 (33.09 ± 6.93 ppb).



290

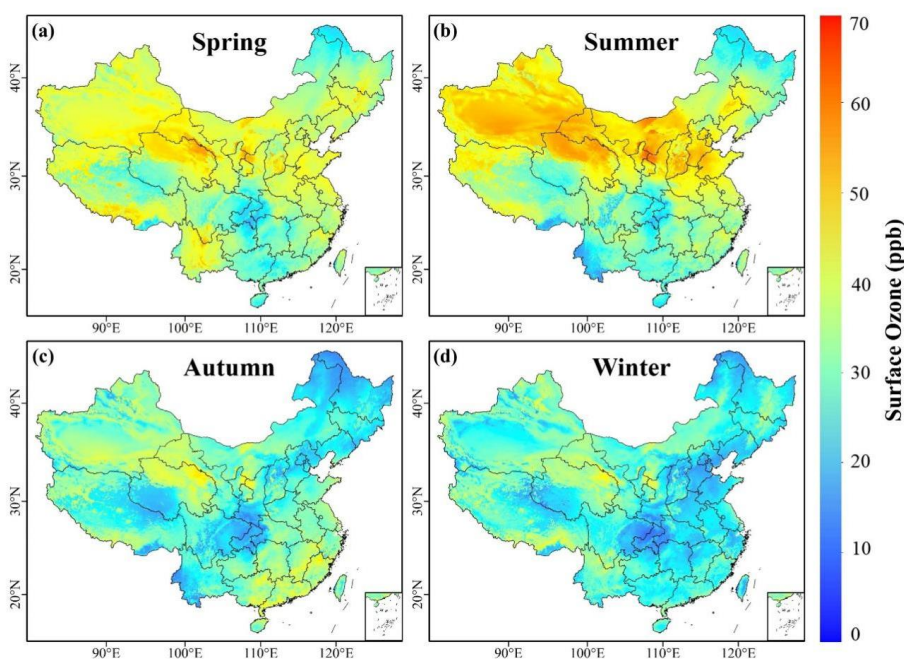
291 **Figure 5.** Mean annual O_3 concentrations during the periods 2005–2012 (a), and 2013–
292 2020 (b), with their difference also shown (c).

293

294 Fig. 5a and Fig. 5b show the mean annual surface ozone concentrations in China from
295 2005 to 2012 and from 2013 to 2020, respectively. The spatial distribution of surface
296 ozone concentrations was similar and did not change significantly over the 16-year
297 period. High O_3 concentrations were primarily in the northwest of China, while areas
298 with low O_3 concentrations were mainly located in the Sichuan Basin and the northern



299 part of northeast China. Compared to the first eight years, mean annual surface ozone
300 concentrations in the last eight years increased by 0–2 ppb in most northern regions of
301 China (Fig. 5c). In particular, the BTH region experienced a faster increase of 2–5 ppb.
302 In contrast, in southern China, surface O₃ concentrations had generally decreased,
303 especially in the southern coastal regions, which decreased by 2–5 ppb.
304



305
306 **Figure 6.** Seasonal average surface ozone concentrations from 2005 to 2020 across
307 China in spring (a), summer (b), autumn (c), and winter (d).

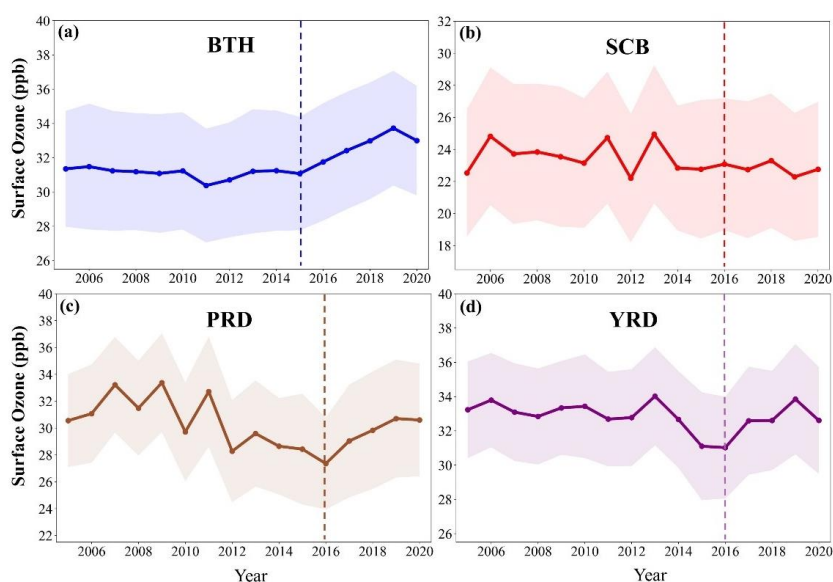
308
309 The multi-year mean seasonal O₃ concentrations were predicted to be 37.64 ± 3.35 ,
310 39.16 ± 2.37 , 28.40 ± 3.17 , and 25.07 ± 2.60 ppb in spring (March–May), summer
311 (June–August), autumn (September–November), and winter (December, January, and
312 February), respectively (Fig. 6). Surface ozone concentrations in spring were higher in
313 northern and eastern China. In summer, the areas with high ozone concentrations were
314 North China, the Northwestern District of China and southern Inner Mongolia. The



315 hotspot areas with high O₃ concentrations in autumn shrink sharply and spread to the
316 southeast coast. During winter, the areas of high O₃ concentrations almost disappeared
317 in southeastern China.

318

319 3.3 Surface ozone changes in key regions



320

321 **Figure 7.** Temporal dynamics of mean annual mean surface O₃ concentrations in the
322 BTH (a), SCB (b), PRD (c), and YRD (d) regions. BTH: Beijing-Tianjin-Hebei region;
323 SCB: Sichuan Basin; PRD: Pearl River Delta; YRD: Yangtze River Delta.

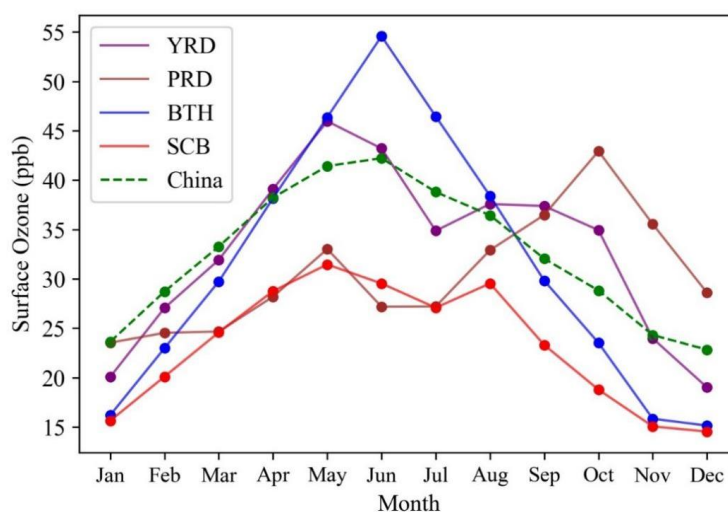
324

325 Among the four megacity clusters, mean annual surface ozone concentrations in BTH
326 (mean = 31.63 ppb), YRD (mean = 32.85 ppb), and PRD (mean = 30.28 ppb) regions
327 were higher than in the SCB (mean = 23.33 ppb) region during 2005–2020 (Fig. 7). In
328 the BTH region (Fig. 7a), surface ozone concentrations remained stable from 2005 to
329 2015. However, after 2015 it showed a continuous and noticeable increase from 31.07 ± 3.31
330 ± 3.31 ppb in 2015 to 33.72 ± 3.34 ppb in 2019, before decreasing to 32.99 ± 3.19 ppb
331 in 2020. In the PRD and YRD regions (Figs. 7c and d), the annual ozone concentrations
332 showed an obvious decline from 29.59 ± 3.94 and 34.01 ± 2.85 ppb in 2013 to $27.35 \pm$



333 3.41 ppb and 31.01 ± 2.96 ppb in 2016, respectively, and then increased from 2016 to
334 30.70 ± 4.39 ppb and 33.84 ± 3.21 ppb in 2019. Same with BTH, both regions
335 experienced a decrease in ozone concentrations in 2020. In contrast, annual surface
336 ozone concentrations in the SCB region were constantly low and had a slow decreasing
337 trend.

338



339

340 **Figure 8.** Mean monthly surface ozone concentrations in China and the four hotspot
341 regions of BTH (a), SCB (b), PRD (c), and YRD (d). BTH: Beijing-Tianjin-Hebei
342 region; SCB: Sichuan Basin; PRD: Pearl River Delta; YRD: Yangtze River Delta.

343

344 The seasonal patterns of surface ozone concentrations were different in four key regions
345 (Fig. 8). The monthly mean ozone concentrations were higher than 38.00 ppb across
346 China from April to July and less than 24.00 ppb in January, November, and December.

347 The ozone concentrations in BTH were unimodal distribution and gradually increased
348 with time, peaking in June (55.58 ppb) and then began to decline, reaching the lowest
349 value in December (15.22 ppb). Unlike BTH, the other three regions (YRD, SCB, and
350 PRD) showed a bimodal pattern. The first peak of ozone concentrations occurred in
351 May (45.29 ppb in YRD, 31.76 ppb in PRD, and 30.99 ppb in SCB), and the ozone



352 concentrations in the YRD, PRD, and SCB reached the second peak in September
353 (38.53 ppb), October (40.55 ppb), and August (29.90 ppb), respectively. The lowest
354 surface ozone concentrations were found to be 18.35 ppb (YRD in December), 23.03
355 ppb (PRD in January), and 14.11 ppb (SCB in December).

356

357 **4 Discussion**

358 **4.1 Comparison with previous studies**

359 To make comparisons with previous studies, we also generated maximum daily average
360 8-hour ozone (MDA8) concentration using the HrSOD data. The HrSOD MDA8 O₃
361 concentrations compared equally (Table 3) with previous products produced on the
362 daily or monthly scales, indicating that HrSOD is reliable in deriving ozone exposure
363 indexes at longer time-scales. The mean annual HrSOD MDA8 O₃ concentrations
364 (2005–2020) was 43.56 ppb (Figure S1) and it showed no significant trend during the
365 period 2005 to 2015 but tended to increase after 2016 with a growth rate of 0.44 ppb yr
366 ⁻¹ ($p < 0.005$), similar to previous reports (Liu et al. 2020; Wei et al. 2022; Xue et al.
367 2020; Table S1). The spatial distributions of mean annual and seasonal HrSOD MDA8
368 ozone concentrations were also consistent with existing research (Liu et al. 2020; Meng
369 et al. 2022; Figs. S2 and S3). Areas with higher ozone concentrations in summer were
370 concentrated in the North China Plain (NCP), due to decreasing PM_{2.5} concentrations
371 and high NO_x emissions, as well as the influence of rapidly increasing temperatures
372 (2017–2019) and foehn winds (Li et al., 2020). Pollution in southeastern China is more
373 severe in autumn, which may be related to the Asian summer monsoon, tropical
374 cyclones, and sea-land winds (Liu et al. 2020).

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380 **Table 3.** Comparison with the model performance of previous studies in predicting
 381 surface ozone in China.

Method	Time Range	Metric	Accuracy (R^2 / RMSE)			Reference
			(ppb)			
			Hourly	Daily	Monthly	
GWR	2014	Monthly	–	–	0.81/–	Zhang et al. (2020)
Data fusion	2013–2017	[O ₃] MDA8	–	0.70/12.23	0.69 / 9.01	Xue et al. (2020)
XGBoost	2005–2017	[O ₃] MDA8	–	0.78/10.03	0.90/5.17	Liu et al. (2020)
RF	2015	[O ₃] MDA8	–	0.69/12.42	0.71/8.87	Zhan et al. (2018)
STET	2013–2020	[O ₃] MDA8	–	0.83/8.82	0.90/5.80	Wei et al. (2022)
RF	2013–2019	[O ₃] MDA8	–	0.80/9.77	0.83/6.612	Meng et al. (2022)
LUR/BME	2015	[O ₃] MDA8	–	0.80/10.97	–	Chen et al. (2020a)
LSTM	2005–2020	[O₃] MDA8 (derived from HrSOD)	–	0.73/11.37	0.82/6.85	This study
LSTM	2005–2020	Hourly	0.72/11.71	0.71/8.53	0.82/5.14	This study

382 LSTM: Long Short-Term Memory; XGBoost: Extreme Gradient Boosting; RF:
 383 Random Forest; STET: Space-Time extremely randomized trees; LUR/BME: land-use
 384 regression/Bayesian maximum entropy; GWR: geographically weighted regression.



385 **4.2 Key variables in estimating surface ozone concentrations**

386 The importance of different variables in the LSTM model was calculated by the
387 permutation importance method (François et al., 2006). Specifically, the feature
388 importance was determined by the degree of decline in the performance score of the
389 model after the random rearrangement of different features. As shown in Fig. S4, air
390 temperature, surface pressure, relative humidity, day of year (DOY), and downwelling
391 surface radiation were the top five factors affecting the spatiotemporal variability of
392 surface ozone concentrations in China, consistent with previous studies (e.g., Wei et al.
393 2022).

394

395 The importance of air temperature in predicting surface ozone concentrations is mainly
396 reflected in two aspects. On the one hand, temperature and UV radiation intensity has
397 a strong correlation and can be used to characterize UV radiation intensity; on the other
398 hand, high temperatures contribute to the volatilization of ozone precursors (such as
399 biogenic volatile organic compounds) and accelerate the rate of photochemical
400 reactions (Xu et al., 2011). There is a significantly negative correlation between ozone
401 concentrations and atmospheric pressure, as changes in air pressure are usually
402 correlated with temperature. For example, low pressure corresponds to higher
403 temperatures, and when the near-surface is controlled by low pressure, pollutants from
404 surrounding areas converge towards the center, driven by high-pressure air masses,
405 resulting in a sharp increase in ozone concentrations in the center of the low pressure
406 (Kovač-Andrić et al., 2009). The relative humidity is negatively correlated with O₃
407 concentrations because the high relative humidity generally corresponds to
408 precipitation, fog, and other weathers that do not have strong UV radiation, which is
409 not conducive to the occurrence of photochemical reactions and the further
410 development of O₃ pollution. Furthermore, precipitation facilitates the removal of
411 pollutants such as O₃ (Chen et al., 2020b; Li et al., 2017b). The importance of DOY
412 indicates there is temporal autocorrelation in ozone concentrations of neighboring days.
413 GDP and population data show levels of urbanization, fossil fuel consumption, and
414 other socio-economic activities, which are closely related to emissions of ozone



415 precursors such as NO_x and VOCs (Trainer et al., 2000). Although DSR is not the most
416 important variable, it also makes a great contribution to simulating O₃ concentrations,
417 which is necessary for the photochemical reactions of O₃ generation (Chen et al.,
418 2020b).

419

420 **4.3 The driving factors for surface ozone concentrations in key regions**

421 The four city clusters (BTH, PRD, SCB, and YRD) are key areas for the Chinese
422 government to combat air pollution. However, they have different meteorological
423 conditions, climatic backgrounds, and levels of economic development, resulting in
424 differences in surface ozone concentration variabilities (Yan et al., 2021). The increase
425 in surface ozone concentrations in the BTH region was mainly attributable to
426 anthropogenic emissions (VOCs and NO_x), as well as a rapid reduction in PM_{2.5}, which
427 slowed down the sink of hydrogen peroxide radicals and thus accelerated ozone
428 production. The meteorological conditions of lower humidity and strong solar radiation
429 also contributed to ozone pollution (Li et al., 2019; Mousavinezhad et al., 2021; Wei et
430 al., 2021). In the YRD, PRD and SCB, meteorological factors had a greater influence
431 on surface ozone concentration changes after 2016, with the increase in ozone
432 concentrations in the YRD attributed to increased solar radiation and temperature, and
433 lower atmospheric pressure. Simulation results from the three-dimensional air quality
434 model and system also indicated that greenhouse gas emissions caused changes in
435 meteorological factors that led to increased O₃ concentrations in the YRD region (Xie
436 et al., 2017). Weaker meridional winds, lower relative humidity, and higher
437 temperatures escalated ozone pollution in the PRD region from 2016 to 2018. A
438 decrease in solar radiation and planetary boundary layer height accelerated the decrease
439 in ozone concentrations in the SCB region after 2017 (Mousavinezhad et al., 2021). In
440 addition, ozone concentrations in three areas (BTH, YRD, and PRD) decreased in 2020,
441 mainly due to COVID-19 lockdown (Wei et al., 2022).

442

443 **4.4 Uncertainties and limitations**

444 In this research, uncertainties exist in several aspects. First, the monitoring stations were



445 mainly concentrated in the central-eastern region of China, which potentially could not
446 fully capture the relationship between surface ozone concentrations and environmental
447 factors in western China. Moreover, most monitoring stations were in urban areas,
448 resulting in limitations of the model in estimating surface ozone concentrations in
449 natural and agricultural ecosystems. Second, the input data may also cause uncertainty.
450 For example, ERA5 reanalysis data underestimates surface temperatures in the coastal
451 urban agglomerations of southeast China and the Tibetan Plateau region (Li et al., 2022;
452 Zou et al., 2022), which may lead the model to underestimate ozone concentrations. To
453 further improve ozone estimation accuracy, it is necessary to improve the accuracy of
454 meteorological data, land use maps, as well as socio-economic data. In addition, the
455 mismatch in temporal resolution between OMI remote sensing data and ozone
456 measurements may also affect the final estimation accuracy. Although the temporal
457 trends of surface ozone concentrations are well captured by the LSTM networks, spatial
458 information, such as the changes in pollutant concentrations due to the emission and
459 transport of surrounding pollutants are not fully considered. Therefore, the current deep
460 learning model can be further improved by combining other algorithms. For instance,
461 CNN networks have powerful feature extraction capability and can be combined with
462 LSTM to generate an integrated CNN-LSTM model, which makes better use of the
463 temporal memory strengths and feature representation capability in prediction.

464

465 **4.5 Potential applications of HrSOD**

466 Compared to current available surface ozone products in China, HrSOD covers a longer
467 time range and has a higher temporal resolution. This enables it to support more robust
468 historical environmental impact and human health risk assessments. HrSOD can be
469 used to derive various ozone exposure indicators, such as seasonal 7-h mean O₃
470 concentrations (M7), seasonal 12-h mean O₃ concentrations (M12; Legge et al., 1995),
471 sum of all hourly average concentrations > 60 µg kg⁻¹ (SUM06; Lefohn and Foley,
472 1992), cumulative ozone exposure index based on sigmoid-weighted daytime O₃
473 concentrations (W126; Fuhrer et al., 1997), accumulated hourly O₃ concentration over
474 a threshold of X µg kg⁻¹ during daylight hours (AOTX; Fuhrer et al., 1997). Therefore,



475 HrSOD can meet various requirements by ozone impact models, providing flexibility
476 for assessing ozone effects on ecosystem (Ren et al., 2007) and epidemiological studies
477 (Huangfu and Atkinson, 2020).

478

479 **5 Data availability**

480 The HrSOD dataset is available on the Zenodo repository at
481 <https://doi.org/10.5281/zenodo.7415326> (Zhang et al., 2022). The gridded ozone
482 concentration data are provided in NetCDF format at 0.1° spatial resolution and hourly
483 temporal resolution during 2005–2020 in ppb. The file size is 40 GB. The daily data is
484 a NetCDF file and the file is named "YYYYMMDD.nc", where "YYYY", "MM" and
485 "DD" refer to the year, month, and day of the file.

486

487 **6 Conclusions**

488 In this study, we trained and validated a LSTM model to estimate HrSOD during 2005–
489 2020 across China. The predictor variables included meteorological factors, remote
490 sensing data, socio-economic data, and land use data, and more than six million ground
491 station monitoring records were collected as reference data. Compared with
492 observations, the model showed good performances at diurnal, seasonal to annual time-
493 scales and site to regional levels. HrSOD showed that surface O₃ concentrations in
494 China tended to increase from 2016 to 2019 due to anthropogenic and meteorological
495 factors such as temperature, humidity, and radiation intensity, despite a decrease in 2020
496 due to COVID-19 lockdown. In summary, HrSOD had high spatial and temporal
497 accuracies, long time ranges and high temporal resolution, enabling it to be easily
498 converted to various evaluation indicators for ecosystem and human health assessments.

499

500 **Author contributions**

501 WZ and DL contributed equally, with WZ performing the data curation, modelling,
502 validation, and writing the original draft of the paper. DL was responsible for
503 conceptualization, providing data, and reviewed the manuscript. HS was the lead and
504 corresponding author of this work, supported and supervised the study and reviewed



505 the paper. HT and SW also conceptualized the project and supervised the simulations
506 and analyses. RY, WT and HM provided coding and developed the model. HT, NP, JY,
507 FL, BD and SW contributed to the writing of the manuscript.

508

509 **Competing interests**

510 At least one of the (co-)authors is a member of the editorial board of Earth System
511 Science Data.

512

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532

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