The eFLaG dataset: developing nationally consistent projections of future flows and groundwater based on UKCP18 **eFLaG: enhanced future FLows and Groundwater. A national dataset of hydrological projections based on UKCP18 – REVISION DRAFT** V1

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#### Abstract

This paper presents an 'enhanced future FLows and Groundwater' (eFLaG) dataset of nationally consistent hydrological projections for the UK, based on the latest UK Climate Projections (UKCP18). The hydrological projections are derived from a range of river flow models (Grid-to-Grid, PDM, GR4J and GR6J), to provide an indication of hydrological model uncertainty, as well as groundwater level (Aquimod) and groundwater recharge (ZOODRM) models. A 12-member ensemble of transient projections of present and future (up to 2080) daily river flows, groundwater levels and groundwater recharge were produced using bias corrected data from the UKCP18 Regional (12km) climate ensemble. Projections are provided for 200 river catchments, 54 groundwater level boreholes and 558 groundwater bodies, all sampling across the diverse hydrological and geological conditions of the UK. An evaluation was carried out, to appraise the quality of hydrological model simulations against observations and also to appraise the reliability of hydrological models driven by the RCM ensemble, in terms of their capacity to reproduce hydrological regimes in the current period. The dataset was originally conceived as a prototype climate service for drought planning for the UK water sector, so has been developed with drought, low river flow and low groundwater level applications as the primary focus. The evaluation metrics show that river flows and groundwater levels are, for the majority of catchments and boreholes, well simulated across the flow and level regime, meaning that the eFLaG dataset could be applied to a wider range of water resources research and management contexts, pending a full evaluation for the designated purpose.

# 1. Introduction

This paper presents an 'enhanced future FLows and Groundwater' (hereafter referred to as "eFLaG") dataset of nationally consistent, and spatially coherent, hydrological (river flow and groundwater) projections for the UK, based on UKCP18 – the latest climate projections for the UK from the UK Climate Projections programme (Murphy et al. 2018). eFLaG provides a successor to the Future Flows and Groundwater Levels (FFGWL) dataset (Prudhomme et al. 2013), which was based on the UKCP09 projections (Murphy et al. 2010).

The eFLaG dataset was developed specifically as a demonstration climate service for use by the water industry for water resources and drought planning, and hence by design is focused on future projections of drought, low river flows and low groundwater levels. By providing a consistent dataset of future projections of these variables, eFLaG

can potentially support a wide range of applications across other sectors. The predecessor, FFGWL, has been widely used within the water industry, but also found very wide application for diverse research purposes (see Section 8).

As in FFGWL, in eFLaG the climate projections are used as input to a range of hydrological models to provide nationally consistent, spatially coherent projections of river flow and groundwater levels for the 21<sup>st</sup> century. The use of an ensemble of river flow models also provides information on hydrological model uncertainty. As well as using an updated set of climate projections, eFLaG capitalises on advances in national-scale river flow and groundwater modelling since FFGWL, and detailed evaluation of the applicability of models for drought simulation, notably research under the NERC Drought and Water Scarcity (DWS) Programme (e.g. Rudd et al. 2017; Smith et al. 2019).

## Previous research on hydrological projections

There is a long history of climate change impact assessment within the UK water industry and academia, which we do not review in detail here. Watts et al. (2015) provides an overview of past research (up to around 2013) on climate projections relevant for the water sector, including for future water resources and drought. However, as context for eFLaG it is worth considering some key developments since that review.

The original FFGWL did not present an assessment of future drought risk, other than seasonal river flows (Prudhomme et al. 2012) and groundwater levels (Jackson et al. 2015), which suggested: pronounced decreases in future summer flows; reductions in annual average groundwater levels; and increases (decreases) in winter (summer) groundwater levels. Since then, the original FFGWL projections have been used in a number of hydrological impact studies. Collet et al. (2018) presented a probabilistic appraisal of future river flow drought (and flood) hazard in the UK, showing hydrohazard 'hot-spots' in western Britain and northeast Scotland, especially during the autumn. Hughes et al. (2021) used the ZOODRM distributed groundwater recharge model to assess changes in 21<sup>st</sup> century seasonal recharge across river basin districts and groundwater bodies in the UK based on the FFGWL climate change projections. The results showed a consistent trend of more recharge being concentrated over fewer months with increased recharge in winter and decreased recharge in summer.

In addition to UKCP09/FFGWL, other datasets have been developed using different Global Climate Model (GCM)/Regional Climate Model (RCM)/hydrological modelling chains. One major development has been the use of large ensemble projections of future climate variables from the Weather@Home RCM (specifically HadRM3P) as part of the MaRIUS project within the DWS Programme (Guillod et al., 2018). The

MaRIUS projections provide large ensembles (100+) of past, present (1900–2006) and future (2020–2049 and 2070–2099) climate outputs. These were used as inputs to the national-scale Grid-to-Grid (G2G) hydrological model to provide a similarly large gridded (1km<sup>2</sup>) dataset of river flow and soil moisture (Bell et al., 2018). Analysis of these datasets has been conducted for drought (Rudd et al. 2019) and low flows (Kay et al. 2018), indicating future increases in hydrological drought severity and spatial extent, and decreases in absolute low flows.

A further source of hydro-meteorological projections now available are those from the EDgE project (End-to-end Demonstrator for improved decision-making for the water sector in Europe), see Samaniego et al. (2019). EdGE-EDgE delivered an ensemble comprising of two GCMs and four 'impact' models (gridded land surface and hydrological models at a 5x5km scale) for the whole of Europe. Visser-Quinn et al. (2019) analysed future river flow drought risk in this ensemble, using a similar approach to Collet et al. (2018), and found similar results in terms of the spatial distribution and magnitude of future changes in droughts, albeit with some differences arising from the use of different scenarios, GCMs and hydrological models.

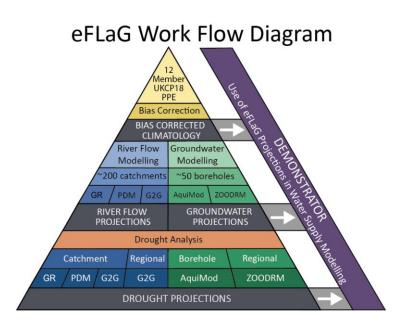
While such products may be used for climate adaptation research, the most relevant for eFLaG is the release of UKCP18. To date, relatively few studies using UKCP18 have been published. Kay et al. (2020) made a rapid assessment of UKCP18 impacts on hydrology compared to UKCP09. More recently, Kay (2021), Kay et al. (2021a,b,c) and Lane & Kay (2021) provided future assessments of potential changes in seasonal mean river flows, high flows and low flows using various UKCP18 products with the G2G hydrological model. They found potential increases in winter mean flows and high flows, and decreases in summer and low flows, albeit with wide uncertainty ranges. To date, and to the authors' knowledge, there have been no published assessments of future groundwater levels or groundwater recharge using UKCP18.

In summary, there have been substantial scientific advances in hydrological projections for the UK since Watts et al. (2015) and FFGWL, including some research on future indicators relevant for water resource availability and drought. However, relatively few datasets have been made available to the community since FFGWL. While MaRIUS and EdGE-EDgE provide complementary hydrological datasets, there remains a need for an accessible dataset based on UKCP18. Existing UKCP18 studies have been focused on time-slice projections and used a single hydrological model (e.g. Kay et al.,  $2021_7$ ,  $a,b_4$ -rc) so there will be significant benefit arising from the eFLaG dataset of transient projections from a range of hydrological models covering river flows, groundwater levels and groundwater recharge.

#### 2. Outline of dataset and overview of the modelling chain

In the following sections we set out the methodology behind the eFLaG dataset. This section firstly provides a brief overview of the various stages of the methodology, and how our method samples the 'cascade of uncertainty' (Smith et al. 2019) emerging from the multiplicity of projections and other modelling choices. While the original FFGWL methodology provided an initial foundation for eFLaG, much has changed in the decade since that study was commissioned, and the new UKCP18 projections differ from UKCP09 (e.g. Kay et al. 2020). . eFLaG therefore required the development of a new methodology, which is described in detail in the following sections.

The whole project workflow is illustrated in Fig 1. eFLaG is driven by the UKCP18 dataset, specifically the 'Regional' 12km projections, to which a bias correction is applied. Section 3 describes the processing of the climate projections, including the bias correction method. The UKCP18 projections are used as input to three river flow models (GR, PDM and G2G), one groundwater level model (AquiMod) and one groundwater recharge model (ZOODRM) to provide simulations for 200 river catchments, 54 groundwater boreholes and 558 groundwater bodies respectively. Section 4 provides more detail on how these sites were selected. Details of the hydrological models and their calibration are given in Section 5. The evaluation of the models is covered in sections 6 and 7. Fig 1 also illustrates how all of the eFLaG projections are feeding into a series of water industry demonstrators, in partnership with UK water providers (specifically, Dwr Cymru/Welsh Water and Thames Water). These are not discussed in detail in this paper, but these were relevant for the site selection and as such are mentioned briefly below.



#### Figure 1 Project workflow illustrating the stages of analysis described in this paper

The question of uncertainty in climate impacts modelling is a challenging one that has been explored in a whole range of studies, going back as far as climate projections have been routinely produced from the 1980s. There are inherent uncertainties at every step of the process, from climate emissions scenarios through to climate modelling, and on to environmental modelling (in our case hydrological modelling, which itself has a vast literature when it comes to uncertainty estimation) and then to wider impacts modelling (e.g. in water supply systems). Recently, Smith et al. (2018) presented this issue as a 'cascade of uncertainty' (using widely adopted terminology, e.g. Wilby and Dessai, 2010). Within eFLaG, as with the majority of climate impact applications, it is not possible to sample across all sources of uncertainty. Following Smith et al. (2019) we adopted a pragmatic approach to 'crystalising' the uncertainty within the available time and resource constraints. In Table 1, we consider the sources of uncertainty, and our approach to sampling from them. The focus in eFLaG is on uncertainty arising from initial/boundary conditions. Additionally, for the river flow simulations, the uncertainty arising from model choice is also accounted for, and within this, model structure is accounted for by considering two versions of one of the models.

Uncertainty Source	Sampling Approach	Details
<b>Emissions Scenarios</b>	One scenario	RCP8.5
Climate Models	One model	Hadley Centre GCM
Initial/Boundary	12x member PPE	PPE perturbs the parameters of
Conditions	(Perturbed Parameter	the climate model (both the RCM,
	Ensemble)	and the GCM within which it is nested)
Temporal/Spatial	One method	Hadley Centre RCM, monthly
Downscaling		mean bias correction
Model Choice	3x river flow models	GR, PDM, G2G
	2x groundwater models	Aquimod, ZOODRM
Model Structure	2x model structures for the GR modelling framework	Fixed structure for G2G and PDM, but for GR two different model structures were used (GR4J and GR6J), as discussed in section 4.
Model parameter uncertainty	Not considered in eFLaG	Not considered in eFLaG

# Table 1: Sources of uncertainty explored in eFLaG (building on the framework of Smith et al. 2018)

# 3. UKCP Data Processing

The <u>UKCP18</u> regional climate projections were created using perturbed-parameter runs of the Hadley Centre global climate model (GCM, <u>HadGEM3-GC3.05</u>) and regional climate models (<u>HadGEM3-GC3.05</u>, <u>RCM</u>, <u>and</u> HadREM3-GA705 respectively) (Murphy et al. 2018). These provide a set of 12 high resolution (12km) spatially consistent climate projections over the UK, covering the period Dec 1980-Nov 2080. The 12-member <u>RCM</u> perturbed parameter ensemble (PPE) is valuable to represent climate model parameter uncertainty; <u>ensemble members are numbered</u> 01–15 excluding 02, 03 and 14 (as there are no RCM equivalents for these GCM PPE members, Murphy et al. 2018 section 4.3), and 01 is the standard parameterisation. However, it is important to note that, as all ensemble members are based on the same

high emissions scenario (RCP8.5) and underlying climate model structure, they do not represent the full climate uncertainty. The UKCP18 RCM output was processed to provide the variables needed for hydrological modelling – namely, 1km gridded and catchment-average time-series of available precipitation (i.e. after the application of a snow module, see below) and Potential Evapotranspiration (PET), not itself a UKCP18 output but estimated using available UKCP18 variables as described below.

The Hadley Centre climate model uses a simplified 360-day year, consisting of twelve 30-day months. The RCM precipitation and temperature time-series are given for this 360-day calendar, and are therefore not consistent with the 365/6-day observed time-series. Previously, the FFGWL Climate project inserted five (or six in a leap year) days of zero rainfall into the RCM time-series so that the observed and RCM data were using comparable calendars (Prudhomme et al., 2012). However, here the data were kept in the 360-day format, to avoid modifying the time-series with artificial data.

# Precipitation

Daily precipitation time-series were available for each of the UKCP18 RCM-PPE members. However, the RCM data showed biases compared to observed precipitation, as is common for climate data (Murphy et al., 2018; Teutschbein & Seibert, 2012). <u>The RCM data was found to substantially over-estimate precipitation for most months, the exception being for August-October, as shown in Murphy et al. (2018) Fig 4.4. A simple monthly-mean bias-correction methodology was therefore applied, through the following steps:</u>

- 1. The 1km HadUK-Grid observed rainfall product was averaged to 12km for consistency with the RCM data (Hollis et al., 2019).
- For each month and grid-cell, change factors were calculated between the RCM simulated precipitation and observation-based HadUK-Grid time-slice mean of monthly total rainfall over the period 1981-2010. This resulted in bias-correction factor grids being made for each month and RCM, as shown in Fig. 2.
- 3. The change factor grids were then smoothed to prevent spatial discontinuities, by updating each grid cell using a weighted combination of the original grid-cell value and neighbouring values, as in Guillod et al. (2018).
- 4. To produce bias-corrected precipitation estimates, the RCM simulated precipitation time-series were multiplied by the bias-correction factor grid for each month (i.e. all January precipitation was multiplied by the January bias-correction grids, February precipitation by the February correction grid, etc.).

The bias-corrected precipitation products were then downscaled from 12km to 1km based on the distribution of the Standard-period Average Annual Rainfall (SAAR) <u>which covers</u>for the period 1961-1990, as in previous studies (Bell et al., 2007; Kay & Crooks, 2014). This involved calculating the ratio of the observed SAAR at 1km to the

observed SAAR averaged every to the 12km RCM grid, and then multiplying RCM precipitation values by this ratio. This ensured that the introduces further spatial variability related to typical rainfall patterns of rainfall was captured, but the total rainfall across the original 12km RCM grid cell remainsed unchanged.

#### Accounting for snowmelt processes

A simple snow module was applied to account for snow-melt processes (Bell et al., 2016). The snow module converted the 1km bias-corrected precipitation into rainfall plus snowmelt (i.e. available precipitation), based on temperature. This used the minimum and maximum daily temperatures provided by each RCM ensemble member, which were first scaled from a 12km resolution to 1km using a lapse rate based on elevation data. The parameters used in the snow module are given in Supplementary Info (Table S1).

### Potential evapotranspiration

Potential evapotranspiration (PET) was not directly available as an RCM output, and was therefore generated using a range of variables from the RCM-PPE climate timeseries (Table S2). The calculation for PET was based on the CHESS method (Robinson et al., 2016), with some details, in particular an interception correction, introduced from the MORECS method (Hough et al., 1997) — as Robinson et al. (2021), except with the bias-corrected precipitation used within the interception correction. The PET was calculated using the same methodology as the hydro-PE dataset (Robinson et al. 2022) except for the use of eFLaG bias-corrected precipitation data within the interception correction component. This produces Penman-Monteith PET parameterised for short grass. The equation also included monthly stomatal resistance values, which were adjusted for the future period to account for the impact of increased carbon dioxide concentrations on stomata (as in Rudd & Kay, (2016), based on Kruijt et al., (2008)). The PET data were then copied down from a 12km to 1km resolution by simply setting all 1km grid cells to the value of the containing 12km grid cell.

# Outputs

The 1km gridded time-series of 'available precipitation' and PET were then used to produce the time-series of catchment-averages required for each of the eFLaG river catchments and groundwater boreholes. For the river catchments, the catchment average values were derived using the standard UK National River Flow Archive approach for catchment average rainfalls, as described in NRFA (2021). For the boreholes, following Mackay et al. (2014a), averages were taken over the representative aquifer length which was determined as the groundwater flow path

between the borehole and a single discharge point on a river based on the catchment geometry and hydrogeology. For the grid-based models, ZOODRM and G2G, the gridded data were used directly.

The bias-corrected climate outputs are part of the eFLaG dataset described further in Section 9. For each river catchment and groundwater borehole, bias-corrected data are available for the observational period, for the purposes of evaluation of the hydrological model outputs, and for the future. In addition, the gridded bias-corrected climatology will be made available as a separate dataset in future.

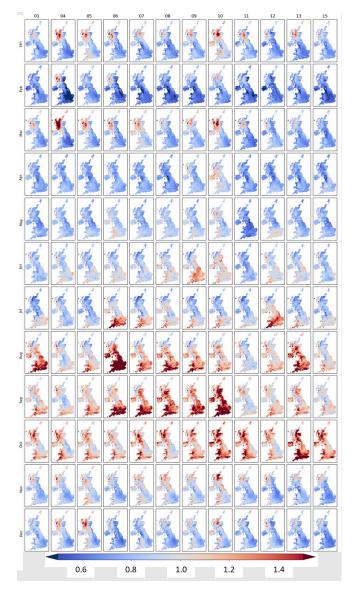


Figure 2: Bias-correction grids applied to correct monthly precipitation. Values are correction factors used to modify precipitation, with a value of 0.5 halving precipitation, 1 meaning no change to precipitation and 2 doubling precipitation etc. Columns show results from each RCM PPE member, rows show results for each month. Note the column numbers reflect the RCM PPE number descriptor for each RCM run (see Sect. 3)and are not sequential (i.e. there is no 2, 3, or 14 and so the numbers run to 15, but there are actually only 12 columns)

# 4. Catchment selection

The UK is fortunate to have one of the densest hydrometric networks in the world, with a legacy of strong commitment to data quality and completeness. There are more than 1,500 river flow gauging stations with flow records on the UK National River Flow Archive (NRFA, Dixon et al. 2013 and <u>https://nrfa.ceh.ac.uk/</u>) and more than 180 observation boreholes with groundwater level records on the BGS National Groundwater Level Archive (NGLA). These archives are the principal sources of validated river flow and groundwater level data at the UK scale. A remit of the NRFA and NGLA is to archive data that are useful for a wide variety of applications, primarily focusing on the most strategically important records. However, such catchments are not always the most relevant for the water industry, and water companies often have their own sites on which they undertake analysis. Since the eFLaG project aims to maximise utility for a range of users, the catchment selection strategy considered both research and industry needs.

Detailed site lists and metadata for river flow, groundwater level and groundwater recharge are catalogued on the EIDC dataset <u>held on the Environmental Informatics</u> <u>Data Centre (EIDC)</u> (Hannaford et al. 2022).

# **River Flows**

To support selection, a metadatabase was assembled for all NRFA gauging stations in the UK, primarily using the NRFA's metadata holdings published on the NRFA website and in the UK Hydrometric Register (Marsh and Hannaford, 2008). Metadata compiled included membership of key national strategic networks (e.g. near-natural Benchmark (UKBN2; Harrigan et al. 2018a) and operational monitoring networks), capitalising on efforts of other projects in quality controlling data and ensuring catchments are fit for purpose. Selection also considered whether catchments were used in previous relevant projects that have simulated river flows for drought analysis. The selection ensured a strong representation of the original FFGWL catchments (with 117 catchments featuring in both) and also overlap with recent modelling endeavours through the DWS Programme (AboutDrought, 2021) projects 'Historic Droughts', 'IMPETUS' and 'MaRIUS' projects, that used several of the models used by eFLaG (specifically G2G, GR4J). In this regard we ensured that 165 eFLaG catchments overlapped with at least one DWS project.

Selection also focused on data quality. Longer record lengths were prioritised and hydrometric quality was evaluated where possible. Given the extent of hydrometric

issues (at low flows especially) it is not possible for all sites to have the highest quality data, but where decisions were made on similar sites, quality was considered as a tiebreaker. The selection included 80 Benchmark catchments, but did not seek to focus entirely on natural catchments given the limited range of variability they capture (being mostly small and clustered in headwaters), and also included large and disturbed sites known to be important for water industry purposes. Artificial influences are prevalent across the UK and have been shown to prominently affect flow regimes (e.g. Rameshwaran et al. 2022) and drought characteristics (Tijdeman et al. 2018) in many catchments. Hence, the incorporation of a range of Benchmark near-natural catchments and artificially influenced sites is important for ensuring representativeness and demonstrating the utility of the different models used, which treat artificial influences can be accessed for all sites on the NRFA website (in station descriptions and 'Factors Affecting Runoff' codes).

Catchment representativeness was also considered, enabling the eFLaG dataset to sample the hydrological variability of the UK. Representativeness was considered by comparing the distribution of eFLaG potential selections relative to various catchment descriptors from the NRFA Hydrometric Register (altitude, area, annual rainfall, Base Flow Index, land cover and so on).

Finally, this activity focused on ensuring water industry relevance. At the national scale, this was achieved by asking stakeholders at an eFLaG workshop for views on additional catchments (Durant et al. 2022). In this way, 12 catchments were added. Similarly, for the regional demonstrators (Dwr Cymru/Welsh Water and Thames Water), water company teams were consulted to gain a better understanding of strategically important flow records for water companies in the case study regions, leading to an additional five catchments.

The final eFLaG dataset consists of 200 catchments (Fig. 3a) giving good geographical coverage and representativeness of the UK.

# **Groundwater Levels**

Boreholes were selected to ensure a number of essential criteria were met. Firstly, only those boreholes with the highest-quality records of groundwater level were considered. This required regular (at least monthly) and continuous (at least 10 years in length) records of data from boreholes that are in zones which are not significantly affected by groundwater abstraction.

Secondly, sites were chosen to ensure coverage of the UK's principal aquifers where possible, enabling the eFLaG dataset to sample the hydrogeological variability of the UK. This broadly aligns with the requirements of other national-scale assessments of

groundwater resources undertaken as part of the original FFGWL project and the 'Historic Droughts' and 'IMPETUS' projects. Accordingly, the selection aimed to ensure good coherence with these studies also.

Thirdly, as with river flow catchment selection, an additional activity focused on ensuring water industry relevance, both at the national scale, through consultation with stakeholders at the eFLaG workshop, and through consultation with key demonstrator partners (Dwr Cymru/Welsh Water and Thames Water) who identified strategically important boreholes that would strengthen the outputs for long-term drought risk assessment to support the water resources planning case study. Through this activity, several additional boreholes were identified.

These selection criteria identified over 70 'candidate' boreholes for the eFLaG project. A final quality assurance procedure was then undertaken whereby a preliminary analysis of AquiMod's ability to capture low groundwater levels was undertaken at each borehole via visual inspection of the simulated hydrographs. A final set of 54 boreholes was selected (Fig. 3b). They represent a significant advance in aquifer coverage compared to the 24 NGLA boreholes used in FFGWL, 15 of which are used in both.

# **Groundwater Recharge**

The gridded groundwater recharge simulations have been aggregated over 558 'groundwater bodies' covering England (Environment Agency, 2021a), Wales (Natural Resources Wales, 2021) and Scotland (Ó Dochartaigh et al., 2015) (Fig. 3c). These units were used for two principal reasons. Firstly, they are physically justifiable as they reflect known hydrogeological characteristics including groundwater recharge and groundwater flow regimes so that each catchment represents a distinct body of groundwater that can reasonably be considered in isolation. Secondly, they are coherent with the licensing areas defined as part of Catchment Abstraction Management Strategy (Environment Agency 2021b) and management areas for the implementation of the Water Framework Directive. They are, therefore, directly relevant to water regulation and the wider water industry.

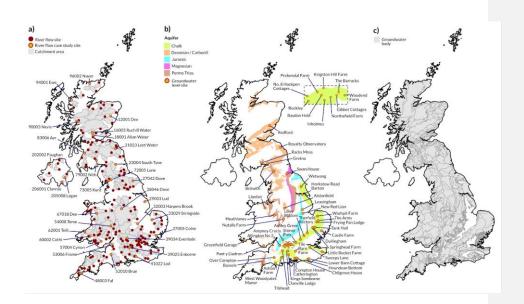


Figure 3 a) Map of the 200 eFLaG catchments - highlighting those used as Case Study sites; b) Map of 54 eFLaG boreholes and principal UK Aquifers including The Chalk, Devonian and Carboniferous aquifers (Devonian/Carbonif.), Jurassic limestones (Jurassic), Magnesian limestones (Magnesian) and Permo-Triassic sandstones (Permo Trias.); c) Map of 558 groundwater bodies. Inset of Figure 3b shows the Berkshire downs where there are a high number of boreholes.

# 5. Hydrological and groundwater model ensemble setup

Creation of an enhanced Future Flow and Groundwater (eFLaG) dataset is underpinned by hydrological and groundwater models used to transform rainfall and potential evaporation (PE) to river flow, soil moisture, groundwater levels and recharge. The approach builds on that employed under FFGWL (Prudhomme et al. 2013) whilst exploiting developments in hydrological modelling for droughts since that time.

For modelling of river flows, eFLaG used two lumped catchment models, PDM (Moore 2007) and the GR suite (Perrin et al. 2003), and one distributed grid-based hydrological model, Grid-to-Grid (G2G; Bell et al. 2009). PDM was used in FFWGL and therefore provides some comparability with that project. Embracing a range of different model structures and spatial representations can provide insights into how assessments of future river flows (and hence, drought or low flow risk under climate change) is sensitive to hydrological model choice. It should be noted that an important difference between the river flow models is in treatment of artificial influences (abstractions and 15

discharges). Grid2GridG2G is not calibrated and simulates natural river flows only (i.e. it does not include artificial influences). The GR suite and PDM do not explicitly include artificial influences either, but as calibrated models they will implicitly include the net effect of artificial influences in the simulations. We return to this important distinction in the results and discussion.

For groundwater, eFLaG adopted the lumped, conceptual, AquiMod groundwater model (Mackay et al. 2014a) to simulate groundwater level time series on a daily time step at the boreholes identified in Section 4. AquiMod was the groundwater level model used in FFGWL providing direct comparison. In addition to groundwater levels, the zooming object oriented distributed recharge model (ZOODRM) (Mansour and Hughes, 2004) was used to study changes in future groundwater recharge.

In the following sub-sections, we describe each of these models in turn, providing information on the model set-up, calibration and past approaches to evaluation. A consistent approach was applied to the model application and evaluation across all these models where possible. However, it is important to emphasise that while some aspects were common, insofar as possible (e.g. model driving data), it was necessary to apply different approaches to suit the model in question. Calibration was done according to past applications and best-practice. Hence, the calibration approach described below is similar for the GR suite and PDM, but different for Aquimod, and by its nature G2G requires no specific calibration here. Where calibration was carried out for the conceptual models, it was undertaken for the full period of record of available data.

Identical approaches to evaluation were adopted across all river flow models, but minor differences applied with groundwater, as described below.

There are two sets of model output in eFLaG, described below – this terminology is adopted throughout.

- simobs: observation-driven simulation (i.e. simulations for the observed period, driven by observational climate datasets, described below). The simobs period varies between models, but covers at least the January 1961 – December 2018 period.
- simrcm: UKCP18 RCM-driven simulation (12 ensemble members) (i.e. simulations driven by the UKCP18 RCM bias-corrected dataset as described in Section 3). These are available for 1980 to 2080. The simrcm runs from the observed period could then be evaluated against the simobs data.

Common driving data was applied across all models for the simobs runs. Accepted national-standard observational climate products were used, including:

- Precipitation and temperature: HadUK-Grid 1km x 1km dataset (Hollis et al. 2019), the national standard gridded meteorological dataset and observational product associated with UKCP18.
- Potential Evaporation (PE). MORECS (Hough et al., 1997), an established, national gridded PE product. Other PE datasets such as CHESS (Robinson et al., 2017) and more recently the Environment Agency's PE product (Environment Agency, 2021c) are available, however the decision to use MORECS was based on availability of data for the whole of the UK.

For all models, evaluation was undertaken in two stages, which is typical practice for appraising a model for simulation of climate change impacts:

- 1. Evaluation when driven with baseline observed climate data
- 2. Evaluation when driven with baseline climate model data.

Stage 1 involves the use of a range of statistics to assess the performance of model simulations driven by observed climate data (the simobs runs) against observations of river flow and groundwater. For Stage 1, a range of metrics are available and widely used to assess how well rainfall-runoff or groundwater models perform against observations. Within eFLaG, a range of different metrics were used to assess performance (Table 3). For river flows, these metrics have a focus on low flow metrics (e.g. NSE on log-transformed flows), but some do evaluate performance across the flow regime. For groundwater levels, a generalised NSE score was used which provides an overall assessment of process realism and fit to groundwater level data. The simulated and observed Standardized Groundwater level Index (SGI) were also compared using the NSE (NSE<sub>SGI</sub>) which focusses in on groundwater extremes including droughts.

It is not possible to do a thorough evaluation of the recharge simulations from ZOODRM, given the difficulty in measuring recharge, particularly at a scale that is commensurable with a national model. However, past applications of ZOODRM (e.g. Mansour et al., 2018) have successfully used monthly river flow data as a means to evaluate ZOODRM's ability to capture catchment water balances and infer the accuracy of seasonal recharge simulations (further details provided in model description). Accordingly, a subset of the river flow metrics relevant to monthly river flows have been used to evaluate ZOODRM for stage 1.

Evaluation Equation Focus Metric  $NSE = 1 - \frac{\sum_{i=1}^{n} (Q_i - q_i)^2}{\sum_{i=1}^{n} (Q_i - \bar{Q})^2}$ Nash-High Sutcliffe Flows/Generalised Efficiency (R<sup>2</sup> groundwater Q<sub>i</sub> and q<sub>i</sub> are observed and modelled flow for day i of a n Efficiency) levels day record.  $\overline{\boldsymbol{Q}}$  is the mean observed flow.  $NSE = 1 - \frac{\sum_{i=1}^{n} (H_i - h_i)^2}{\sum_{i=1}^{n} (H_i - \overline{H})^2}$  $H_i$  and  $h_i$  are observed and modelled groundwater level for day *i* of a *n* day record.  $\overline{H}$  is the mean observed groundwater level. Nash-Sutcliffe  $NSE_{log} = 1 - \frac{\sum_{i=1}^{n} (\log(Q_i) - \log(q_i))^2}{\sum_{i=1}^{n} (\log(Q_i) - \overline{\log(Q_i)})^2}$ Low Flows Efficiency log flows\* Nash-Sutcliffe  $NSE_{sqrt} = 1 - \frac{\sum_{i=1}^{n}(\sqrt{Q_i} - \sqrt{q_i})^2}{\sum_{i=1}^{n}(\sqrt{Q_i} - \sqrt{Q})^2}$ Generalised Efficiency Flows square root flows  $NSE_{SGI} = 1 - \frac{\sum_{i=1}^{n} (SGI_i - sgi_i)^2}{\sum_{i=1}^{n} (SGI_i - \overline{SGI})^2}$ Nash-Sutcliffe Efficiency Groundwater SGI; and sgi; are observed and modelled SGI for day i of a standardised extremes n day record. SGI is the mean observed SGI. groundwater level index Modified  $KGE'_{sqrt} = 1 - \sqrt{(r-1)^2 + (\beta - 1)^2 + (\gamma - 1)^2}$ Kling Gupta where r is the correlation coefficient,  $\beta$  is the bias ratio  $\frac{\mu\sqrt{q}}{\mu_{,\overline{0}}},$ Efficiency Generalised flows [square root and flows]

1 Table 3. Model calibration and evaluation metrics used in eFLaG.

	$\gamma$ is the variability ratio $rac{CV\sqrt{q}}{CV\sqrt{Q}}$ or $rac{\sigma\sqrt{q}/\mu\sqrt{q}}{\sigma\sqrt{Q}/\mu\sqrt{Q}}$		
	$\mu$ , $\sigma$ and <i>CV</i> are the mean, standard deviation and coefficient of variation of flow (here of the square root of modelled and observed flows as indicated by the suffix)		
Absolute Percent Bias	$absPBIAS = \left  \frac{\sum(q_i - Q_i)}{\sum Q_i} \right  100$	Water Balance	
Mean Absolute Percent Error	$MAPE = \left(\frac{1}{n}\sum_{i=1}^{n} \left \frac{Q_i - q_i}{Q_i}\right \right) 100$	Systematic	
Absolute Percent Error in Q95	$Q95_{APE} = \left \frac{Q95 - q95}{Q95}\right  100$	Low Flows	
Low Flow Volume	$LFV = 100 \frac{\sum_{p=70}^{95} (\sqrt{q_p} - \sqrt{Q_p})}{\sum_{p=70}^{95} (\sqrt{Q_p})}$ Here $q_p$ and $Q_p$ are the modelled and observed flow $p$ percentiles	Low Flows	
Absolute Percent Error in the Mean Annual Minimum on a 30-day moving average*	$\begin{aligned} MAM30_{APE} &= \left  \frac{QMAM30 - qMAM30}{QMAM30} \right  100 \\ \text{where } QMAM30 \\ &= \frac{1}{n} \sum_{j=1}^{n} \min_{j} \left( \frac{Q_{j,i-29} + Q_{j,i-28} + Q_{j,i-27} \dots Q_{j,i-1} + Q_{j,i}}{30} \right) \\ \text{Here } Q_{j,i} \text{ is observed flow for day } i \text{ of hydrological year } j \\ \text{for a record of } n \text{ years} \end{aligned}$	Low Flows	
*1/100 <sup>th</sup> of the mean observed flow was added to both modelled and observed flow values during evaluation in order to avoid errors and biases due to very small and zero flows.			

-

Sources of quality controlled, long-term observational data for model calibration and evaluation were the national standard repositories for hydrological data:

- River Flows: UK National River Flow Archive <a href="https://nrfa.ceh.ac.uk/">https://nrfa.ceh.ac.uk/</a>
- Groundwater Levels: UK National Groundwater Level Archive
   <u>https://www2.bgs.ac.uk/groundwater/datainfo/levels/ngla.html</u>

11 Stage 2 appraises the performance of the models when driven by the climate model outputs. That is, it compares the simobs and simrcm runs over the common baseline period. This 12 13 assessment cannot use performance metrics based on time-series, as climate models are 14 not expected to reproduce the sequencing of events seen over the historical period (Kay et al. 15 2015). Instead, the comparison has been done in terms of river flow and groundwater level duration curves, low flow/level metrics and seasonal recharge values. Thus, comparing the 16 17 statistical characteristics of river flows, groundwater levels and groundwater recharge rather than their day-to-day equivalence (Kay et al. 2015, 2018). When looking at the performance 18 19 of an ensemble of climate model runs, the model simulation driven by observed data would 20 ideally sit within the range covered by the ensemble (assuming an ensemble of sufficient size). However, it would not necessarily be expected to sit in the middle of the ensemble 21 22 range, because the set of weather events that actually occurred within the historical observed baseline period is just one realisation of what could have occurred within the range of natural 23 24 variability (Kay et al. 2018).

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10

# 26 Description of the models and specific setup

#### 27 GR4J/GR6J

The GR4J and GR6J models come from a suite of hydrological models provided in the "airGR" modelling suite (Coron et al. 2021) for the R software programme. Both models are well suited to application across many catchments using the inbuilt automatic parameter optimisation function. The simple, efficient form of airGR models also make them suitable for uncertainty and ensemble analyses.

GR4J (Génie Rural à 4 paramètres Journalier) is a simple daily lumped conceptual model with only four free parameters. GR4J has been used for hydro-climate change research across the globe, and has demonstrated good performance in a diverse set of catchments in the UK. The model has been applied in the UK for operational seasonal forecasting, as well as for long-term drought reconstructions nationwide (Harrigan et al. 2018b, Smith et al. 2019).

GR6J (Génie Rural à 6 paramètres Journalier) (Pushpalatha et al. 2011) is a six parameter
variant of the GR modelling suite that was developed to improve low flow simulation and
groundwater exchange. Recently, GR6J has increasingly been applied in UK water resources
applications (e.g. Anglian Water Drought Plan, 2021).

For eFLaG, it was decided, therefore, that using both GR4J and GR6J would be beneficial. Both GR4J and GR6J were calibrated using the inbuilt automatic calibration function, with the modified Kling Gupta Efficiency (KGE, Gupta et al, 2009; Kling et al 2012) as the Error criterion ('ErrorCritKGE2'). KGE offers a thorough error criterion as it calculates the correlation coefficient, the bias and the variability between simulated and observed flows. KGE values range from –Inf to 1, with 1 being a perfect fit. The calibration algorithm was applied to square-root transformed flows in order to place weight evenly across the flow regime. The airGR snowmelt module "CemaNeige" was not applied, as a simple snow module was applied to the climate data to pre-process the precipitation data into rainfall and snowmelt based upon temperature (See section 3).

#### 53 Grid-to-Grid

54 The Grid-to-Grid (G2G) hydrological model is an established area-wide distributed model that has been used to investigate the spatial coherence and variability of floods and droughts at 55 56 catchment, regional and national scales. Model output typically consists of natural river flows 57 at both gauged and ungauged locations, and can be provided as time-series for specific 58 locations as well as 1km x 1km grids. The G2G has been used for climate impacts modelling 59 of floods (Bell et al., 2009, 2012), low flows (Kay et al., 2018) and droughts (Rudd et al., 2019) and is also used operationally for flood forecasting (Cole and Moore, 2009; Moore et al., 60 61 2006).

The G2G is typically configured on a 1km×1km grid using spatial datasets of landscape properties such as soil type and drainage network, together with a few nationally-applied model parameters. The model is thus parameterised using national-scale spatial datasets (e.g. soil grids), rather than via individual catchment calibration. The spatial datasets and parameters used here are the same as those used in previous studies (Rudd et al., 2019; Bell et al., 2009, 2012; Kay et al., 2018).

68 The G2G can either be initialised with model water stores set to default or zero values, or from a states file appropriate to the run start date. In eFLaG the G2G was run for two years 69 70 with observed rainfall and PE to provide a 1 January 1963 states file to initialise the observation-driven G2G model run. The RCM-driven G2G runs were all initialised with a 71 72 generic December states file provided by an obs-driven run (for 1 December 1980), then the 73 first two years of each RCM-driven run were discarded to allow for model spin up. The eFLaG river flow datasets therefore cover the periods, 1 January 1963 to 31 December 2018 74 (simobs) and 1 December 1982 to 30 November 2080 (simrcm). 75

#### 76 PDM

The Probability Distributed Model or PDM (Moore, 2007; UKCEH, 2021) is a simple, very widely used lumped rainfall-runoff model that can be configured to a variety of catchment flow regimes. A brief summary follows but full details are available in Supplementary info S.2.

Within the model, a soil water store with a distribution of water absorption capacities controls runoff production through a saturation excess process; stored water is also lost to evaporation. In one configuration, all runoff enters a surface store (the fast pathway) while a

83 groundwater store (the slow pathway) is recharged by soil water drainage. In an alternative

84 configuration, the runoff is split between the two stores according to a fixed fraction. Water in 85 the surface- and ground-water stores is routed using a non-linear storage equation (powers of 1, 2 and 3 were trialled under eFLaG), or, for the surface store, a cascade of two linear 86 reservoirs, before being combined to produce the modelled flow at the catchment outlet. 87 88 Water is conserved within the model, whilst a multiplicative factor (equal to 1 if not required) is applied to the input precipitation. Alternatively, a Groundwater Extension (Moore and Bell, 89 2002) may be invoked to allow modelling of underflow at the catchment outlet, external 90 91 springs, pumped abstractions, and the incorporation of well level data. Multiple hydrological 92 response zones within a catchment can also be represented (not trialled under eFLaG). PDM may be thought of as a toolkit of model components representing a range of runoff production 93 and flow routing behaviours, and with a choice of time-step. 94

95 Under eFLaG, single zone PDM models were invoked with a daily time-step. The model 96 stores were initialised using the mean observed flow over the period of record, and the first 97 two years of model flow discarded to allow for model spin-up. Nineteen different combinations 98 of the above-mentioned toolkit options were systematically trialled for each catchment. 99 Parameter estimation was performed using an automatic calibration procedure that applied 100 a simplex optimisation scheme (Nelder and Mead, 1965) to different combinations of model 101 parameters in turn during three increasingly aggressive stages. The rainfall factor, or, when 102 employed, a spring factor (representing net water exchange for the catchment), were used 103 to achieve zero bias in the modelled flows with respect to observations. Remaining parameters were estimated so as to optimise the modified Kling-Gupta Efficiency calculated 104 105 on either the square root transformed flows, or, to a lesser-limited extent, the log transformed 106 flows (Supplementary info S.2). Each calibration began from multiple different initial 107 parameter choices, with model parameters and performance metrics output at three increasingly aggressive calibration stages. This produced a total of 138 candidate PDM 108 109 model calibrations per catchment. Final selection among these candidates first excluded any 110 models deemed unphysical, such as those containing extreme model parameter values, or 111 using the Groundwater Extension for inappropriate catchments. The best remaining 112 candidate was then selected according to a weighted sum of the modified Kling-Gupta Efficiency calculated on square root (KGE'sard) and log (KGE'log) transformed flows, with 113 114 weights of 0.8 and 0.2 respectively.

#### 115 AquiMod

AquiMod is a lumped conceptual groundwater model that links simplified equations of soil drainage, unsaturated zone flow, and saturated groundwater flow to simulate daily groundwater level time series at a specified borehole (Mackay et al., 2014b). Each of these three components use model parameters that describe site-specific hydrological and hydrogeological characteristics of the groundwater catchment surrounding the borehole. The model also has a flexible saturated zone model structure that can be modified to represent different levels of vertical heterogeneity in hydrogeological properties.

123 For each borehole, the AquiMod parameters and structure were calibrated to achieve the 124 most efficient simulation of available historical groundwater level data using the Nash-125 Sutcliffe Efficiency (NSE), which provides a reliable assessment of overall process realism and goodness of fit to groundwater level time series; following the approach of Mackay et al. 126 (2014a) and Jackson et al. (2016), model parameters that could be related to catchment 127 information (e.g. relating to known land cover and soil type) were fixed. The remaining 128 parameters were then calibrated, using six different saturated zone model structures 129 130 including a one-layer model (fixed hydraulic conductivity and specific yield); two- and three-131 layer models with variable hydraulic conductivity and fixed specific yield; two- and three-layer models with variable hydraulic conductivity and variable specific yield; and a 'cocktail glass 132 representation of hydraulic conductivity variation with depth (Williams et al., 2006). The 133 optimal structure-parameter combination was obtained for each borehole using the Shuffled 134 135 Complex Evolution global optimisation algorithm.

The calibrated models were then evaluated for their ability to capture groundwater level extremes using the Standardized Groundwater level Index, SGI (Bloomfield and Marchant, 2013) as the basis for this evaluation. The SGI is a normalised index, calculated directly from groundwater level time series, which can be used to identify droughts and provide a quantitative status of groundwater resources drought events (e.g. Bloomfield et al., 2019).

141

### 142 ZOODRM

143 ZOODRM is a distributed recharge calculation model originally developed to estimate 144 recharge values to drive groundwater models (Mansour and Hughes, 2004). It is applied over 145 the British Mainland using a 2km square grid. The FAO Drainage and Irrigation Paper 56 146 (FAO, 1988) approach, modified by Griffiths et al. (2006), is used to calculate potential 147 recharge. This method removes actual evaporation and soil moisture deficit from rainfall and calculates potential recharge as a fraction of the excess water using a runoff coefficient value. 148 The model was driven by daily rainfall and potential evaporation data. The model was 149 primarily parameterised using available national scale data including data relating to the soil 150 hydrology (Boorman et al., 1995), vegetation (LCM2000, NERC) and surface topography. 151 152 The latter of these was used to route surface water runoff.

153 The runoff coefficient, which defines the proportion of excess soil water that drains overland 154 via surface runoff, is an unknown parameter which must be calibrated. This was done in two 155 stages. Firstly, the calibration problem was simplified by defining zones of equal runoff 156 coefficient. In total 35 zones were used in ZOODRM which were based on UK 157 hydrogeological and geological maps (DiGMapGB-625, 2008). Then, the runoff coefficient 158 for each zone was manually calibrated by comparing simulated runoff to observed river flows 159 minus baseflow which was calculated using a well-established baseflow separation method 160 (Gustard et al., 1992). This was done using monthly mean flows given that ZOODRM does 161 not have a sophisticated runoff routing scheme, and it is not expected, therefore, to capture 162 daily variability in runoff. The comparison to monthly flows does, however, provide a useful 163 means to evaluate the seasonal water balance of the model which serves as the best 164 available proxy for the accuracy of the recharge simulations. In total, 41 gauging stations 165 were used to assess the model performance.

The only hydrological process that needs initialisation in the ZOODRM is the soil moisture deficit. As all simulations start in January, which is a wet month with minimal potential evaporation, it is assumed that the initial soil moisture deficit is equal to zero. Even so, a warm up period of one year is used to initialise the model.

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# 171 6. Hydrological model evaluation (Stage 1 evaluation)

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This section provides a brief summary of the outputs of the Stage 1 evaluation. Note that for river flows, model evaluation was undertaken at the same gauged locations and for the same

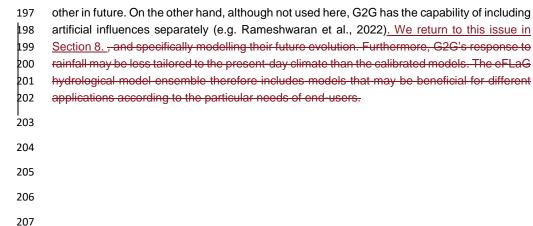
period of time used for model calibration, except G2G which is not specifically calibrated.

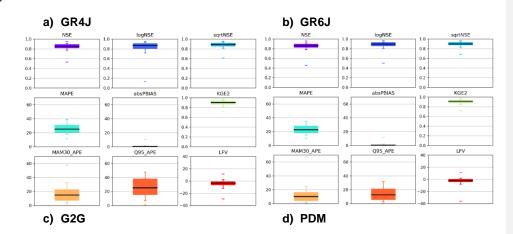
# 176 River Flows

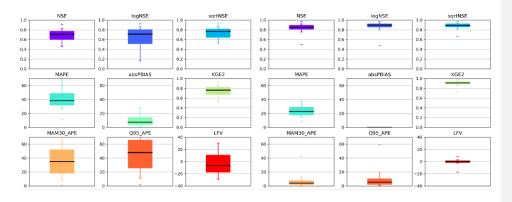
177 Fig. 4 summarises the range of Stage 1 evaluation metrics across all catchments, while Supplementary Figs S2 to S5 provide maps of the evaluation metrics at each catchment. For 178 179 GR4J, generally there was good performance across performance metrics in most 180 catchments. Some outliers are present in the drought metrics, particularly in the South East and London. For GR6J, we observed good performance across all performance and drought 181 182 metrics. GR6J generally performs slightly better than GR4J, particularly as shown in low flow catchments in the logNSE metric. For PDM, very good scores are obtained across the 200 183 184 sites, especially the low flow/drought indicators (bottom rows).

For G2G, again, good performance was observed overall (medians for NSE/ logNSE/ 185 sqrtNSE/ KGE2  $\ge$  0.7). However, the performance was generally lower than for GR or PDM 186 187 because the G2G is not calibrated to individual catchments, and G2G simulates natural flows, 188 whereas the lumped models are calibrated to the observations used for performance 189 assessment. In catchments with a high degree of anthropogenic disturbance, G2G is less 190 able to simulate observed flows, whereas the calibration of the other hydrological models will 191 implicitly account for such artificial impacts, to a degree, meaning they are inevitably more 192 likely to replicate observed flows, even if these processes are not included explicitly.

This distinction highlights an important benefit of eFLaG: PDM and GR4J/GR6J are calibrated to present-day flows and hence simulated flows are not natural, as they implicitly include artificial impacts. These runs do not, therefore, allow users to separate natural flows and artificial influences in the baseline period, nor to project how they may change relative to each







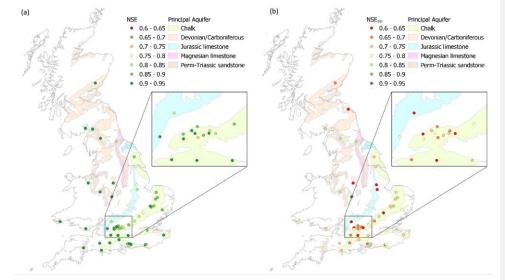
#### Figure 4: Evaluation results summarised across the different models for <u>all 200 catchments</u> for the key evaluation metrics<u>outlined in Table 3</u>

219 In general, the eFLaG dataset shows a very good range of performance comparable with 220 previous applications of these models for the UK (e.g. Rudd et al. 2017; Harrigan et al. 2018b; 221 Smith et al. 2019). There are some commonalities with these previous studies in terms of spatial patterns. Rudd et al. (2017) also noted that G2G performance is likely to reflect the 222 fact that simulated flows are natural (hence performance is poorer in the south and east 223 where artificial influences are typical greater). Issues with poorer performance in 224 225 groundwater-dominated catchments were highlighted for GR4J by Smith et al. (2019) and the 226 present study shows that eFLaG enables some improvement through GR6J. Smith et al. 227 (2019) also highlighted how a lack of snowmelt constrained performance in some areas (e.g. NE Scotland) while the current results also show improvements in these areas in eFLaG, 228 229 given the inclusion of snowmelt accounting.

# 230 Groundwater levels

231 Fig. 5 summarises the model evaluation results for the 54 AquiMod models used in eFLaG. 232 The results show that all 54 models demonstrate good overall efficiency in capturing daily 233 groundwater level dynamics, achieving a NSE ≥ 0.77. All but 11 of the models achieve a NSE  $\ge$  0.85 and 28 of the models achieve a NSE  $\ge$  0.90. These include all 7 models situated in 234 the Permo-Triassic sandstone and 4 out of 5 of the models situated in the Devonian and 235 Carboniferous aquifers. Swan house and Lower Barn Cottage; the only models situated in 236 the Magnesian limestones and Lower Greensand respectively, achieved a NSE of 0.82 and 237 238 0.86. The Chalk and Jurassic limestones borehole models span the full range of NSE scores.

The results show that all 54 AquiMod models are able to capture the historical SGI time series efficiently, achieving a  $NSE_{SGI} \ge 0.6$  which indicates that the models effectively capture groundwater extremes including periods of drought. The majority of models show a lower



NSE<sub>SGI</sub> compared to the NSE, although several models show negligible difference. On
 average the NSE<sub>SGI</sub> is 0.15 less than the NSE.

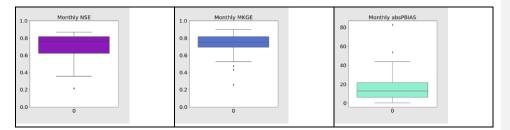
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Figure 5: AquiMod evaluation metric results including SGLNSE (a) and SGLNSE NSE (b).

# 247 Groundwater recharge

ZOODRM demonstrates an ability to efficiently capture monthly mean river flows as is reflected by the medians for NSE and KGE2 which both exceed 0.75 and the median absolute percent bias which is 12.7% (Fig. 6). Fig. S6 shows the distributed recharge model results at the 41 gauging stations across the country. The model uses a simplistic overland routing approach, which is implemented to check the water balance at a monthly basis, noting that large scale spatial recharge values are most commonly used to drive groundwater flow models using monthly stress periods.

NSE	MKGE	absPBias



# 257

258 Figure 6: Distributed recharge model ZOODRM evaluation results.

# 259

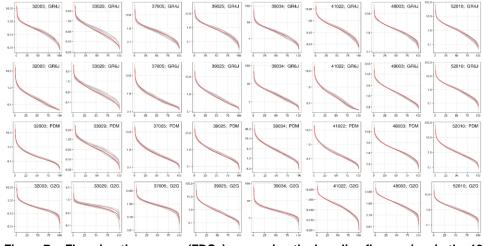
# 260 7. Evaluation of RCM-based runs in the baseline

261

This section briefly considers the outcomes of the Stage 2 evaluation, focusing firstly on flow/groundwater duration curves for a subset of eFLaG sites, and then specifically on representation of particular low flows (low groundwater level) quantiles.

# 265 Flow duration curves

Flow duration curves (FDCs) summarise the entirety of the flow regime from high to low flows by including all river flows and expressing them in terms of the percentage of time a given flow is exceeded. Fig.7 and Figs. S7 to S9 provides a perspective on the ability of the RCMdriven river flow simulations (simrcm) to replicate the range and frequency of flows based on the observation climate-driven river flow simulations (simobs). FDCs are shown for a common baseline period of 1989-2018



# 272

Figure 7 -- Flow duration curves (FDCs) comparing the baseline flow regime in the 12 RCM ensemble members (simrcm, grey lines) to simulated observed (simobs, red line),

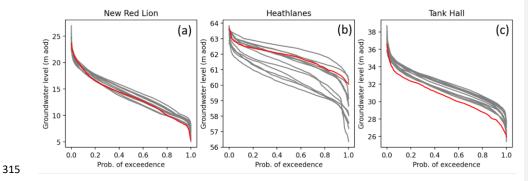
1989-2018. FDCs are featured for four hydrological models (GR4J, GR6J, PDM, G2G;
rows) and eight catchments in southern and eastern England (32003 Harpers Brook,
33029 Stringside, 37005 Colne, 39025 Enborne, 39034 Evenlode, 41022 Lod, 48003 Fal,
52010 Brue; columns). The y-axis represents river flows (cumecs) on a logarithmic
scale.

280 The close correspondence between FDCs derived from the RCM ensemble members and model observations suggests that the RCM ensemble is performing well in replicating flows 281 across the regime This is consistent across most UK catchments, illustrated by the 282 283 representative subset of 32 catchments featured in Fig. 7 and Figs.S7 to S9. The model 284 observations are usually within the range of values from the 12 ensemble members 285 throughout the flow regime. There are some catchments for which the RCM ensemble is 286 more likely to overestimate the lowest half of the flow regime (exceedance probabilities of 50-100), most notably for the Stringside (33029; Fig.7), Dove (28046; Fig.-re. S7), Frome (53006; 287 288 Fig. S8), and Lud (29003; Fig. S7).

289 For certain catchments such as the Stringside (33029; Fig. 7) and Lud (29003; Fig. S7), although there appears to be greater RCM uncertainty in river flows than for other 290 catchments, the differences tend to be exaggerated in smaller, drier catchments with lower 291 flows across the flow regime. The logarithmic y-axis is also a contributing factor to this, and 292 also accounts for the seemingly larger RCM uncertainty in low flows than high flows across 293 294 all catchments. These findings are also consistent across the four hydrological models, with 295 no systematic differences identified for a given hydrological model. In some exceptional circumstances, there are examples of certain models in specific catchments in which the 296 297 lowest river flows derived from the RCM ensemble are much lower than those in the model observations (e.g. 23004 South Tyne (Fig. S7) and 67018 Welsh Dee (Fig. S8) for GR6J, 298 299 33029 Stringside (Fig. 7) for G2G).

#### 300 Groundwater level duration curves

Overall, an analysis of the groundwater level duration curves (GLDCs) at all boreholes 301 (Figs.S10-S15) shows close correspondence between the simrcm and simobs runs whereby 302 303 the simobs GLDC typically lies within the range of the simrcm GLDCs. However, there are 304 some different behaviours across the boreholes which are summarised in Fig. 8. Fig.8a 305 shows the GLDCs for the New Red Lion borehole situated in the Lincolnshire Limestone, the 306 results of which are representative of most boreholes where the majority of simobs GLDCs falls within the range of the simrcm GLDCs. Several of the boreholes show a relatively high 307 308 degree a variability across the simrcm runs in comparison to the simobs including the 309 Heathlanes borehole situated in the Permo-Triassic Sandstone (Fig. 8b). These appear to be 310 associated with boreholes which are known to respond relatively slowly to climate due to local 311 hydrogeological conditions. For example, Heathlanes is known to be representative of a 312 relatively low hydraulic diffusivity aquifer. For some boreholes there are areas of the GLDCs



where the simobs GLDC does not lie within the range of the simrcm GLDC. In the most extreme cases, systematic biases across almost the entire GLDC can be seen (e.g. Fig. 8c).

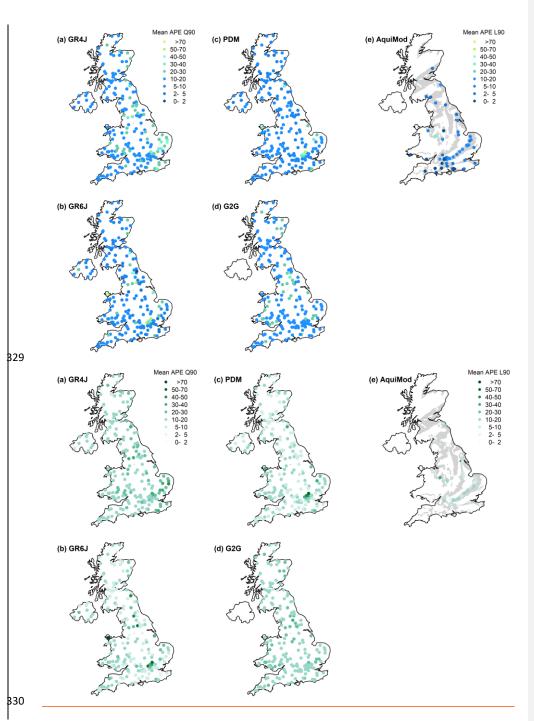
Figure 8 – Groundwater level duration curves (GLDCs) for the period 1989-2018 using
the simrcm (grey lines) simobs (red line) simulations. GLDCs are featured for three
boreholes in different hydrogeological settings which show contrasting behaviour:
(a) New Red Lion, (Lincolnshire Limestone), (b) Heathlanes (Permo-Triassic

320 sandstone, Shropshire), (c) Tank Hall (Chalk).

321

#### 322 Low river flows and groundwater levels

Replication of observed low river flows and groundwater levels over a baseline period provides an indication of how well the simrcm runs are performing at the lower part of the river flow and groundwater level regime, and therefore enhances confidence in future low flow and level projections. Figs 9a-d show the difference between the simobs and simrcm 90% exceedance flow (Q90) over the 1989-2018 baseline period reported as absolute percentage error (APE) at each of the 200 catchments for all four river flow models.



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331 Figure 9 -- Comparison of simobs and simrcm runs for river flows and groundwater 332 levels exceeded 90% of the time (Q90 and L90 respectively) between 1989 and 2018. 333 Colour scale indicates the mean of 12 absolute percent errors (APEs) between Q90/L90 in model observations and Q90/L90 in each of 12 ensemble members. Results are 334 presented for: (a) GR4J; (b) GR6J; (c) PDM; (d) G2G; (e) AquiMod. Note: AquiMod 335 336 levels are expressed as a percentage of the simobs range in groundwater levels to remove the influence of aquifer storage. Figures S16 to S18 feature the equivalent 337 338 baseline assessment for Q30/L30, Q50/L50 and Q70/L70.

339 Overall, there is a reasonable agreement between the simobs and simrcm Q90 values across 340 all four models. Mean APEs are less than 20% for most catchments across the four hydrological models. Modelled low flows for GR6J, G2G and particularly PDM are especially 341 342 well replicated in catchments across the UK, with mean APEs higher (20-50%) in GR4J river 343 flows for catchments in East Anglia and parts of northern England and south Wales. The 344 lumped catchment models GR6J and PDM struggle to capture low flows in groundwater-345 influenced catchments of the east Chilterns north of London, with APEs of up to 70%. Considering the natural flows simulated by G2G and the prevalence of artificial influences on 346 347 rivers further south and east in the UK, mean APEs are reasonable in this region and are actually higher in more natural parts of Wales and northern England. 348

Mean APEs at a range of other flow quantiles demonstrate similar patterns (Figs S16 to S18). 349 350 Mean APEs of Q30 for the vast majority of catchments for all four hydrological models are less than 20% (Fig. S16). Mean APEs of Q50 (Fig. S17) and Q70 (Fig. S18) are also 351 reasonable in most catchments and models, though higher mean APEs (20-50%) are 352 353 apparent for both of these flow quantiles in East Anglia for GR4J, in parts of northern England for G2G, and in groundwater-influenced parts of the Chilterns for PDM. Mean APEs are 354 355 similarly higher in GR6J flows at Q50 in East Anglia and at Q70 in the groundwater-influenced Chilterns. Whilst this analysis is primarily an assessment of the ability of the RCM ensemble 356 357 to replicate flows across the regime, it is clear that the hydrological model calibrations also 358 have a role in influencing the outcomes.

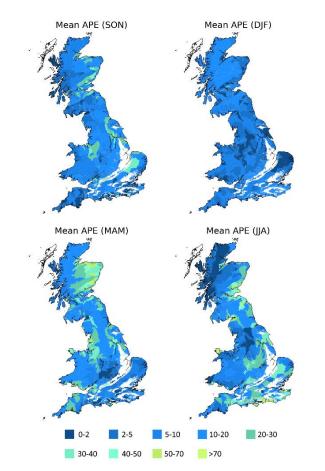
359 Fig. 9e shows the difference between the simobs and simrcm 90% exceedance groundwater 360 level (L90) over the 1989-2018 baseline period reported as absolute percentage error (APE) relative to the simobs range in groundwater levels at each of the 54 boreholes. The use of 361 the range in groundwater level as a reference removes the influence that the aquifer storage 362 has on groundwater variability across the boreholes. There is good agreement between the 363 simobs and simrcm L90 values across the boreholes. Mean APEs are less than 20% for all 364 of the boreholes except for the Heathlanes borehole in the Permo-Triassic Sandstone where 365 Mean APE exceeds 30%. 366

Mean APEs at a range of other groundwater level quantiles demonstrate similar patterns (Figs S16 to S18). Mean APEs of L30 do not exceed 5% for the majority of boreholes. The

mean APE's typically become larger for most boreholes as the level quantile reduces towards
L90. Heathlanes consistently has the highest mean APE for all level quantiles.

#### 371 Seasonal groundwater recharge

372 Fig. 10 provides a comparison of simobs and simrcm runs for seasonal average groundwater 373 recharge between 1989 and 2018 generated by ZOODRM. During the winter months (DJF), 374 when groundwater recharge is highest, the simrcm simulations show good correspondence 375 with simobs simulations where the mean APE is less than 20% for all, but seven of the 376 groundwater bodies. During the summer months (JJA), when groundwater recharge is lowest, the majority of groundwater bodies still show mean APE of less than 20%, but over 377 378 200 of them show errors exceeding 20%. These larger errors are typically associated with 379 groundwater bodies that have lower than average recharge for this time of year. For MAM, 380 the majority of groundwater bodies with errors that exceed 20% are also associated with 381 those GW bodies with below-average recharge for that time of year. There are also some additional areas with significant recharge that show errors exceeding 20% including 382 383 groundwater bodies in eastern-central Scotland, north-west and south-west England. For autumn (SON), the simrcm simulations show good correspondence with simobs simulation 384 385 where the majority (>80%) of groundwater bodies show a mean APE of less than 20%. The majority those with larger errors are situated on the east coast of Scotland and England, north 386 Wales and Cheshire. 387



389

- Figure 10 -- Comparison of simobs and simrcm runs for seasonal average groundwater recharge between 1989 and 2018 generated by ZOODRM. Colour scale indicates the
- 392 mean of 12 absolute percent errors (APEs) between simobs and simrcm.

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894

# 895 8. ConclusionApplications and limitations

- 396
- 897 Applications
- 898

The eFLaG dataset is presented as a nationally consistent dataset of future river flow, groundwater and groundwater recharge, using the latest available climate projections, from UKCP18. In this article, we have described the dataset and its evaluation against Formatted: Font: Bold, Pattern: Clear (Gray-10%) Formatted: Font: Bold

eFLaG ESSD PAPER – REVISION v1

dotservational hydrological datasets, to give some confidence in the use of eFLaG as a
dataset that can be used to assess the potential impacts on climate change on UK hydrology
for a very wide range of applications.

405 The eFLaG dataset was developed specifically as a demonstration climate service for use by 406 the water industry for water resources and drought planning, and hence by design is focused on future projections of drought, low river flows and low groundwater levels. We therefore 407 present eFLaG primarily as a dataset for this purpose. Ongoing work is underway to 408 409 demonstrate the utility of eFLaG for future drought projections (Parry et al. in prep.) and for future drought/water resources planning in practice (Counsell et al. in prep.). The 410 411 predecessor product, FFGWL, has been widely used within the water industry to provide insight into the future evolution of river flows and groundwater levels through the 21st century 412 to support water resources management plans, and also supported significant academic 413 414 water resource planning studies (e.g. Borgeomo et al. 2015; Huskova et al. 2016).

To provide users with a platform for accessing eFLaG datasets, and all the evaluation approaches outlined here, an interactive web application has been developed, the eFLaG Portal (https://eip.ceh.ac.uk/hydrology/eflag/). The Portal provides a user friendly front-end for accessing eFLaG results, with several examples shown in Fig 11. The figure demonstrates how eFLaG data can be used to project future drought characteristics for various timeslices, and also how low flow characteristics change through the 21<sup>st</sup> century.

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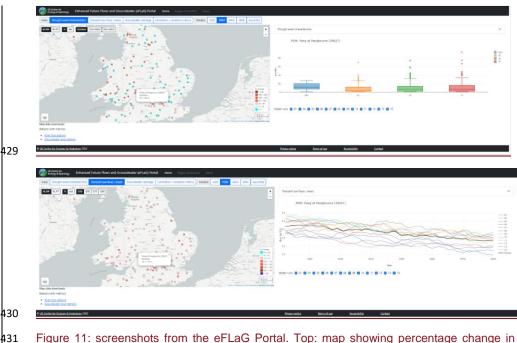


Figure 11: screensnots from the eFLaG Portal. Top: map showing percentage change in
 drought duration between baseline and near future for eFLaG catchments nationally, using
 PDM; boxplots showing % changes using PDM for a river in southern England (the river
 Pang) for three timeslices, with boxplots showing range of RCM uncertainty; other models
 available from other tabs. Bottom: map showing percentage change in a low flow metric (Q90)
 between baseline and near-future for eFLaG catchments nationally, using PDM; with time
 series showing transient projections of Q90 in moving windows through to the 2080s for the
 river Pang using PDM.

439

440 By providing a consistent dataset of future river flows, groundwater levels and groundwater 441 recharge, eFLaG can potentially support a wide range of applications across other sectors. The FFGWL product also found very wide application for diverse research purposes (for: 442 water quality, e.g. Charlton et al. 2018; hydroecology, e.g. Royan et al. 2016; groundwater 443 444 recharge, Hughes et al., 2021; groundwater level reconstruction, Jackson et al., 2016). For 445 eFLaG, the good simulation of river flows and groundwater behaviours across much of the 446 hydrological range suggests that this product could also find application in a whole range of 447 impact studies, subject to additional evaluation for the purposes in mind. While not validated 448 specifically for floods, the encouraging evaluation outputs for higher flow percentiles suggests 449 users can analyse high flow metrics and variability (e.g. frequency of flows above a 450 threshold), even if not annual maximum peak flows.

451 As with FFGWL, there are a number of advantages of using eFLaG for future projections: it 452 is a spatially coherent dataset, meaning that future changes in hydrological variables can be 453 compared between catchments, boreholes and aquifers at the regional-to-national scale. This 454 is a key benefit for both research as well as practical water resources planning. Spatially 455 coherent projections are needed to address the spatio-temporal dynamics of droughts (e.g. Tanguy et al. 2021) and how these may change in future and what this may mean for water 456 resources planning - where, in practice, water resources management plans often involve 457 458 transfers between regions (e.g. Murgatroyd et al. 2021). Another key benefit of eFLaG is that 459 transient time series (daily data from 1980 to 2080) allow users to can explore the future evolution of river flow and groundwater variability on interannual and decadal timescales, 460 rather than just using 'Change Factor' approaches that compare between future time slices 461 462 and the baseline.

463 The use of an ensemble of outputs enables users to consider uncertainty in driving data (via 464 the 12 member RCM ensemble) as well as, for river flows, hydrological model uncertainty. In 465 addition, different models provide different benefits: G2G performs less well against 466 observations than the (calibrated) lumped catchment models, but does enable the 467 characterisation of natural flows, which is vital for some uses (e.g. in providing naturaliszed 468 river flows for regionalisation or as a baseline for assessing impacts, as common in 469 hydroecology applications e.g. Terrier et al. 2021). Moreover, abstractions and discharges 470 can be added to the naturalised runs, as demonstrated by Rameshwaran et al. 20224. This 471 opens up the possibility of projecting the evolution of future naturalised and impacted river 472 flows separately - a follow-up study on this topic is underway.

(and against which artificial influences can be modelled separately in future). <u>, and</u>
 <u>specifically modelling their future evolution</u>. Furthermore, G2G's response to rainfall may be
 less tailored to the present-day climate than the calibrated models, as noted in the limitations
 <u>section</u>. The eFLaG hydrological model ensemble therefore includes models that may be
 beneficial for different applications according to the particular needs of end-users.

#### 478 Limitations

Users of the eFLaG dataset should be aware of its limitations. While the evaluation shows encouraging results at the national scale, there are inevitably some catchments and boreholes where the evaluation (either Stage 1, Stage 2 or both) indicates poorer quality simulations. Users must be aware of this, and should consult all the provided evaluation metrics when considering which catchments to use (and which models to use) in their analyses.

Users must also be aware that while there is some consideration of uncertainty through the adoption of the RCM PPE, and the use of a multiple models for river flows, there are many other sources of uncertainty not sampled in eFLaG. While the PPE gives a range of 12 outcomes, it is only one UKCP18 product and one emissions scenario, so does not sample Formatted: Font: Bold, Font color: Custom Color(RGB(43,87,154)), Pattern: Clear (Gray-10%) Formatted: Font: Bold the full range of outcomes in UKCP18. <u>The emissions scenario, RCP8.5, is considered to be</u>
<u>a pessimistic scenario (Hausfather & Peters, 2020), so this should be borne in mind, and the</u>
<u>eFLaG projections (along with other uses of the UKCP18 Rregional projectionse) can</u>
<u>arguably be seen as akin to a 'worst case' for planning (Arnell et al. 2021). Future work</u>
<u>should position eFLaG against the wider range of UKCP18 outcomes.</u>

494 Furthermore, only one bias correction approach is used. Although we use a range of 495 hydrological-river flow models, clearly other hydrological models could provide different 496 outcomes than the set used here, and we have only used one groundwater level model and 497 recharge model respectively so have not considered model uncertainty for groundwater. and 498 wwwe have also not considered other sources of uncertainty in the hydrological modelling (e.g. parametric uncertainty, as in e.g. Smith et al. 2019), nor the impacts of different 499 500 observational driving climate datasets (e.g. different formulations of Potential 501 Evapotranspiration, as in e.g. Tanguy et al. 2018). These studies demonstrate these can be 502 significant sources of uncertainty, but it was beyond scope to consider within the resources 503 available to eFLaG given the high number of existing runs - future studies should address 504 this.

The eFLaG modelling framework adopted the approach of calibrating using a full period-ofrecord, unlike some studies (e.g.) that have adopted a split sample approach. Given the length of record, this is unlikely to be too significant (as shown for GR4J in the UK by Harrigan et al. 2018) relative to using split sampling, but at the same time, uncertainties inevitably remain about future projections well outside the calibration period, not least given likely nonstationarities in catchment properties. Future studies using the same modelling suited could consider alternative approaches (cite some refs from R2, e.g. Todoroviće et al. 2022).

512 Following on from this, one important limitation of this study - in common with the original 513 Future Flows product (Prudhomme et al. 2012), and indeed a-a great majority of climate 514 projections in hydrology - is the lack of explicit modelling of human disturbances. This is 515 simply unavoidable as large-scale datasets of artificial influences have only recently been 516 made available in the UK, and only for England (e.g. Rameshwaran et al. 2022). This 517 especially applies for the lumped catchment models and groundwater level model. As such 518 processes are not represented, they will simply be accounted for implicitly during calibration. 519 Of course, this is unrealistic as artificial influences are likely to change in future and such 520 non-stationarity could be locally significant. However, it should be borne in mind that the 521 purpose of eFLaG is to model future river flow characteristics based on current catchment 522 conditions, rather than truly chart future river flow trajectories in these catchments. For most 523 practical applications, assuming current artificial influences and projecting forwards in time is 524 entirely reasonable, especially in the absence of any informed understanding of how artificial 525 influences will change.

Finally, eFLaG only provides projections for a subset of the UK gauging station network (200 catchments from some 1200 on the NRFA, for example). This is an inevitable constraint, as with the original FFGWL product (300 locations). While we have tried to sample UK hydrology to give users as much scope as possible, there will still be a need to transpose projections to sites of interest for some users. One of the benefits of eFLaG is that gridded river flow and recharge models are used. While these gridded datasets are not made available here, future initiatives will be looking to exploit them for providing projections at ungauged locations.

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#### 534 9. Data Availability

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The eFLag dataset is associated with a Digital Object Identifier. This must be referenced fully
for every use of the eFLag data as: <u>https://doi.org/10.5285/1bb90673-ad37-4679-90b9-</u>
0126109639a9

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All eFLaG files are available through the UKCEH Environmental Informatics Data Centre:
 <a href="https://catalogue.ceh.ac.uk/documents/1bb90673-ad37-4679-90b9-0126109639a9">https://catalogue.ceh.ac.uk/documents/1bb90673-ad37-4679-90b9-0126109639a9</a>

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The data are stored as .csv files in the folder structure shown in the Guidance note available at Hannaford et al. (2022). In total there are 3304 files: one for each variable, model and catchment/borehole combination. They can be broadly split into two groups of files (Table 4), simobs and simrcm, as follows.

547 simobs

548 For the meteorological data, the simobs files contain date-indexed, observation-driven 549 simulations (sim) data for precipitation with snowmelt and potential evaporation. For river 550 flows and groundwater levels the simobs files contain date-indexed, observation-driven 551 simulations (sim) and associated observations (obs) if they exist.

552 simrcm

For the meteorological data, the simrcm files contain date-indexed, RCM-driven simulations
for the twelve RCMs used in eFLaG for both precipitation with snowmelt and potential
evaporation. For river flows and groundwater levels the simrcm files contain date-indexed,
RCM-driven simulations for the twelve RCMs used in eFLaG.

# 557 Table 4. eFLaG dataset structure information

	Data	Name of file	Years available
simobs	Daily meteorology (precipwsnow (mm d <sup>-1</sup> ) + PET (mm d <sup>-1</sup> ))	ukcp18_simobs_[nrfa-station- number/borehole-name].csv	Jan 1961 – Dec 2018

	Daily river flow (m <sup>3</sup> s <sup>-1</sup> )	modelname_simobs_nrfa-station- number.csv	Jan 1963 – Dec 2018
	Daily groundwater levels (m AOD)	AquiMod_simobs_borehole-name.csv	Jan 1962 – Dec 2018
	Daily groundwater recharge (mm d <sup>-1</sup> )	zoodrm_simobs_groundwater-body- name.csv	Jan 1962 – Dec 2018
simrcm	Daily meteorology (precipwsnow (mm d <sup>-1</sup> ) + PE mm d <sup>-1</sup> )	ukcp18_simobs_nrfa-station-number.csv	Dec 1980 – Nov 2080
	Daily river flow (m <sup>3</sup> s <sup>-1</sup> )	modelname _simrcm_nrfa-station- number.csv	Dec 1982 – Nov 2080
	Daily groundwater levels (m AOD)	AquiMod_simrcm_borehole-name.csv	Jan 1982 – Nov 2080
	Daily groundwater recharge (mm d <sup>-1</sup> )	zoodrm_simrcm_groundwater-body- name.csv	Jan 1981 – Nov 2080

558

where *modelname* is G2G, PDM, GR4J, GR6J. NRFA station numbers and borehole names are given
 in the eFLaG\_Station\_Metadata.xlsx workbook.

#### 561

### 562 Conditions of Use

The eFLaG dataset is available under a licensing condition agreement. For non-commercial use, the products are available free of charge. For commercial use, the data might be made available conditioned to a fee to be agreed with UKCEH and NERC BGS licensing teams, owners of the IPR of the datasets and products.

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## 577 Author Contributions

578 JH led the study and the river flow components, JM led the groundwater level and 579 groundwater recharge components. AK and RL created the bias-corrected climate input data. 580 Site selection was carried out by SP, TC and JM. Hydrological simulations were run by KS 581 and TC (GR models), AR, AK and VB (G2G model) and JW, RM, SC and SW (PDM). JM and 582 MM produced the groundwater level and groundwater recharge simulations. CC, MD, MS, 583 AW carried out the demonstrator work and water industry engagement that helped design 584 and shape eFLaG. ST led on data management. JH led the preparation of the manuscript 585 with input from all authors. All authors contributed to the direction of the study and delivery of 586 the dataset.

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