HMAGLOFDB v1.0 – a comprehensive and version controlled database of glacier lake outburst floods in High Mountain Asia

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Abstract. Glacier lake outburst floods (GLOFs) have been intensely investigated in High Mountain Asia (HMA) in recent years and are the most well-known hazard associated with the cryosphere. As glaciers recede and surrounding slopes become increasingly unstable, such events are expected to increase, although current evidence for an increase in events is ambiguous. Many studies have investigated individual events and while several regional inventories exist, they either do not cover all types of GLOF or are geographically constrained. Further, downstream impacts are rarely discussed. Previous inventories have relied on academic sources and have not been combined with existing inventories of glaciers and lakes. In this study, we present the first comprehensive inventory of GLOFs in HMA, including details on the time of their occurrence, processes of lake formation and drainage involved as well as downstream impacts. We document 697 individual GLOFs that occurred between 1833 and 2022. Of these, 23% were recurring events from just three ephemeral ice-dammed lakes. In combination, the documented events resulted in 6906 fatalities of which 906 fatalities were from 24 events, which is three times higher than a previous assessment for the region. The integration of previous inventories of glaciers and lakes within this database will inform future assessments of potential drivers of GLOFs, allowing more robust projections to be developed. The database and future, updated versions, are traceable, version controlled and can be directly incorporated into further analysis. The database is available at https://doi.org/10.5281/zenodo.7271187 ~~https://doi.org/10.5281/zenodo.7271188~~ (Steiner and Shrestha, ~~2022~~2023) while the code including a development version is available on ~~github~~GitHub.

1 Introduction

High Mountain Asia (HMA) has the largest expanse of glacier ice (~95000 glaciers) beyond the two poles (Guillet et al., 2022) and also has a large number (~30000) of glacial lakes, covering ~2000 km^2 (Wang et al., 2020). Glaciers in the region have retreated and lost mass between 0.06 and 0.4 m w.e. a^{-1} across all mountain ranges since the 1960s (Bhattacharya et al., 2021),

35 this retreat often leading the formation and rapid expansion of glacial lakes (Nie et al., 2017; Shugar et al., 2020; Zhang et al.,

36 2021a). Numerous lakes have previously resulted in glacial lake outburst floods (GLOFs); (Carrivick and Tweed, 2013; Nie

37 et al., 2018; Song et al., 2016; Veh et al., 2019b). GLOFs have been recorded in various parts of the world for decades (Emmer

38 et al., 2022; Veh et al., 2022), including the European Alps (Huss et al., 2007), the Andes (Iribarren Anacona et al., 2014),

39 North America (Wilcox et al., 2014) and the Hindu Kush Himalaya (HKH) (Ives et al., 2010; Mool et al., 2001; Rounce et al.,

40 2017). Numerous studies suggest that glacial lakes in HMA have grown in total area and number since the 1990s (Chen et al.,

41 2021; Shugar et al., 2020; Wang et al., 2020; Zhang et al., 2015; Zheng et al., 2021a). An overall increase of 5.9% in lake

42 number and 6.8±0.1% in area was reported between 1990 and 2015 (Zheng et al., 2021a). Other studies find an increase by

43 2916 and 273.65 km^2 between 1990 and 2018 (Wang et al., 2020) and by 3342 and 220.64 km^2 between 2009 and 2017 (Chen

44 et al., 2020). Results suggest that the total area increase has been driven by the expansion of proglacial moraine-dammed lakes

45 (Zheng et al., 2021a; Nie et al., 2013, Gardelle et al., 2011). The number of proglacial lakes in contact with glaciers increased

46 by 31.3± 0.3% between 1990 and 2015 (Zheng et al., 2021a), and by 96.27 km^2 (57%) between 1990 and 2018 (Wang et al.,

47 2020) in HMA.

48 Regional studies suggest that ongoing expansion of lakes (Gardelle et al., 2011; Shugar et al., 2020) is expected to create new

49 hotspots of hazardous lakes (Furian et al., 2022; Linsbauer et al., 2015; Zhang et al., 2022a; Zheng et al., 2021a) with

50 implications for GLOF hazards and risk (Haeberli et al., 2016). Numerous processes have been previously identified as direct

51 or indirect triggers of GLOFs. Dynamic slope movements (ice/snow falls, rockfalls, or landslides) into a lake can rapidly

52 displace lake water (Awal et al., 2010; Jiang et al., 2004), similarly to glacier calving creating a displacement wave that

53 overtops the dam (Emmer and Cochachin, 2013; Westoby et al., 2014; Worni et al., 2014). Intense rainfall or ice melt leading

54 to sudden increases in water levels also have the potential to strain dams (Allen et al., 2016; Cook et al., 2018; Worni et al.,

55 2012). Seismic events can destabilize moraine dams, contributing to an eventual failure (Osti et al., 2011; Somos-Valenzuela

56 et al., 2014; Westoby et al., 2014). Seepage, piping, and degradation of an ice-cored moraine can eventually lead to dam failure

57 (Mool et al., 2001; Yamada and Sharma, 1993). Past global assessments indicated that GLOFs have caused more than 12,000

58 fatalities in the last century alone and caused significant damages to infrastructure and farmland (Carrivick and Tweed, 2016).

59 With growing populations, settlements, and infrastructural development in downstream areas, the exposure of communities

60 and structures downstream of these lakes is rising (Li et al., 2022). Timely GLOF risk reduction measures and implementation

61 of risk reduction strategies have become increasingly crucial but remain challenging, especially in politically sensitive regions

62 (Allen et al., 2019; Khanal et al., 2015).

63 Several studies have focused on the causes, mechanisms and trends of GLOFs over the past few decades (Allen et al., 2016;

64 Dwiwedi et al., 2000; Ives, 1986; Mool et al., 2001; Nie et al., 2020; Zheng et al., 2021c), and the number of individual studies,

65 especially in HMA, has increased sharply over recent years (Emmer et al., 2022). A large number of these studies have focused

66 on individual events. While some have attempted to collect information on GLOFs in HMA and have also made data accessible,

67 they are often geographically constrained (Nie et al., 2018; Zhang et al., 2021b; Zheng et al., 2021b) or focus on certain types

68 of GLOFs (Veh et al., 2019a). A recent global study has bridged this gap (Veh et al., 2022). However, it does not cover impacts.

69 Recent studies have noted that a large number of GLOF events were omitted from previous records as they remained unreported
70 or were recorded in local media and had not been documented in scientific literature (Veh et al., 2022; Zheng et al., 2021b).
71 Studies with more detailed information on individual events either do not have accessible inventories, focus on only a certain
72 type of GLOF (Veh et al., 2019a) or do not include more than type of lake and location (Zheng et al., 2021b). Developing
73 more comprehensive datasets is crucial (Emmer et al., 2022), and these have become increasingly necessary as research has
74 shifted from an evaluation of GLOF hazards to risks, including transboundary dimensions (Zheng et al., 2021a). As information
75 on individual events may be added in future (e.g., on triggers or direct and indirect impacts) and continuously more GLOFs
76 are reported, a database where changes can be traced and new releases are possible in the future (Blischak et al., 2016) is
77 crucial and has been successfully employed for cryosphere data (Welty et al., 2020). Recent studies of the cryosphere have
78 also made immense progress in demonstrating the potential benefits of traceable datasets to the scientific community and
79 establishing standards that need to be followed to make records accessible (Mankoff et al., 2021; Welty et al., 2020).
80 Additionally, a database with a clear structure should also be accessible by non-academic stakeholders who are not well versed
81 in machine-readable data as well as scientists who may want to couple it to other regional datasets.

82 In this study, we therefore attempt to (a) provide the first comprehensive dataset of GLOFs in HMA including their location,
83 timing, associated processes, and downstream impacts; (b) complement records from scientific literature with a rigorous
84 evaluation of local sources on previously unrecorded events; and (c) show the potential of making such a dataset fully
85 accessible and interoperable to couple it to other geospatial datasets.

86 2 Methods and data

87 2.1 Compilation of GLOF data

88 Historical GLOF data was compiled by searching peer-reviewed articles, news articles, book chapters, technical reports, and
89 personal communication with the final cut-off date 30th June 2022. The database covers entries from 115 publications,
90 including 83 peer-reviewed journal articles, 16 book chapters, and 16 technical reports. Additionally, online news articles (9),
91 and social media posts (3) were also assessed. Beyond this, the authors also included events reported by anecdotal accounts
92 from local sources. These were collected during fieldwork in the respective affected localities by the authors.

93 Correctly identifying historical events is challenging. It is highly likely that previous estimates of GLOF numbers have
94 underestimated actual occurrences, due to a lack of accessible reports as well as many GLOFs that occurred in remote areas
95 with no discernible impacts on livelihoods or infrastructure (Veh et al., 2022; Zheng et al., 2021b). In this study, we have
96 included events previously reported in scientific literature as well as reports from regional media or records from local civil
97 society organisations and citizens. This expanded list of sources allows to reduce inherent uncertainties in each single one of
98 them. Reported cases from scientific literature may be biased towards research catchments where scientists have a good grasp
99 of historic cases but provide good evidence for individual GLOF characteristics. For GLOFs in HMA this is the case for a few
100 well studied examples like Merzbacher lake (Kingslake and Ng, 2013) or Kyagar (Round et al., 2017). News reports tend to

101 focus on GLOFs with considerable effects on critical infrastructure or human life, and in these cases provide valuable data on

102 impacts. Satellite imagery covers events irrespective of their impact or research interest but provides limited evidence for

103 events that happened before the end of the 20th century. Local knowledge provides insights any of the above sources may have

104 missed but information may have become less accurate as memory fades or while being passed on between generations.

105 Beyond the added number of recorded events, reliance on this expanded source list introduces an inherent risk of

106 misidentification, which must be accounted for. When debris flows or even pluvial floods reach mountain settlements they are

107 often identified as GLOFs, without proof of their source. This happened, for example, after the Chamoli rockslide (Shugar et

108 al., 2021) and regularly happens when debris flows occur in the Upper Indus Basin. Verification of the source is, therefore,

109 essential. If satellite imagery is available, we verified whether the typical v-shaped moraine breach as well as deposits are

110 visible (Zheng et al., 2021b). If available, we checked rapid lake area changes, or exposed lake beds that were clearly visible.

111 For historic events, we ascertained whether a GLOF is technically possible (i.e., if there are lakes in the upstream). High

112 resolution 15 cm HD Maxar satellite images (available through ArcMap) were consulted to confirm doubtful events. For events

113 that were previously not reported and for which dates are uncertain (e.g. if only visible from satellite imagery), we also provide

114 the date and unique identifier of the earliest satellite imagery that holds evidence of the GLOF. Being able to rely on a variety

115 of independent sources and check with stakeholders involved in hazard response in affected regions, we are able to corroborate

116 between multiple sources. As a result, we discarded numerous previous events as unlikely or not verifiable in a separate table

117 (/Database/GLOFs/HMAGLOFDB_removed.csv) and did not include new events where we could not confirm local reports

118 with satellite imagery or records from field visits.

119 We also record GLOFs that cannot be directly associated to a glacier, either because from the source or satellite imagery it is

120 not clear which glacier upstream feeds into the lake or because there is no adjacent glacier in any of the available inventories.

121 A number of regional delineations exist for HMA, including the one following RGI (Pfeffer et al., 2014), the HiMAP report

122 (Bolch et al., 2019), an outline favoured by scientists focusing on the Tibetan Plateau (Nie et al., 2017) and the outline of the

123 HKH by ICIMOD. None of these agree with each other, making comparisons of regional statistics very difficult. All our

124 GLOFs are consequently georeferenced and the respective area codes for the RGI and HiMAP inventory are provided to allow

125 aggregation. Discussing regional patterns, crucial when communicating data to policy makers, who may only feel responsible

126 for a certain area within HMA. We follow the delineation of the HiMAP report, but bin together all subregions of the Tien

127 Shan (inventory OBJECTIDs 1-4) to 'Tien Shan', Pamir and Alay (5 – 7) to 'Pamir', West (10) and Central (11) Himalaya to

128 'Himalaya West/Central', the Western Kunlun Shan (13) and the Karakoram (9) to 'Karakoram', Nyainqentanglha (18),

129 Gangdise Shan (17), Hengduan Shan (20) and Eastern Himalaya (12) to 'Himalaya East/Hengduan Shan' and all remaining

130 subregions on the Tibetan Plateau and its fringes (14 – 16, 19, 21, 22) to 'Tibet'.

131 **2.2 Data structure and recorded variables**

132 The database provides access to datafiles as well as code used to process data. All recorded GLOF events are stored in a single

133 *.csv file (/Database/GLOFs/HMAGLOFDB.csv), events that are doubtful or definitely not a GLOF are stored separately

134 (/Database/GLOFs/HMAGLOFDB_removed.csv). A separate metadata file in machine and human-readable YAML (.yml)

135 format is provided as- HMAGLOFDB_Metadata.yml, providing details on all data files and variables in the database. An

136 overview over all recorded database variables is provided in Table 1. Each event has a unique numerical identifier, starting at

137 1. Where available, the date of the event is provided up to the day of the event. Many GLOFs result in high flows or in some

138 cases even repeated drainage over a number of days. In this case only the last day or day of peak flood is reported, whichever

139 can be ascertained. When the exact year of the event is not known, we provide a range or a latest possible point in time based

140 on what the original source reported (*Year_approx*) or when it was first visible on satellite imagery. For events we present here

141 for the first time, but where an exact year is not recorded, we provide imagery IDs for Landsat images, that allowed us to

142 constrain the time of occurrence (*Sat_evidence*). Local names of lakes and glaciers are provided if available, should however

143 used with caution for definite identification as often multiple names (or spellings) exist and sometimes different lakes are

144 called by the same name. Where available, the coordinates of the GLOF's source as well as the final impact location

145 downstream are provided as these coordinates provide the potential to prepare hazard zonation map and allows for studies

146 investigating actual reach of events, their impacts on downstream infrastructure, livelihoods, or ecosystems to analyse and

147 evaluate associated risks. While coordinates for the source are relatively easy to establish and generally somewhere within the

148 outline of a present or past lake, the final impact location is more difficult. We provide coordinates for the most downstream

149 location where the flood was observed due to high flow or any recorded local impact (indicated in *Impact_type* as *Observation*)

150 or, if such information is not given, the furthest location where any deposits are visible from Maxar satellite imagery (*Deposit*).

151 For the latter case it is hence likely that the flood reach estimate is conservative and has reached much further during the actual

152 event. Elevation data is taken from the original source for the event and if that is not provided, extracted from the Shuttle Radar

153 Topography Mission (SRTM) DEM, via the known coordinates. The type of lake (*Lake_type*), namely whether it is dammed

154 by a moraine or ice, or situated on bedrock, on top of (*supraglacial*) or inside a glacier (*water pocket*), is recorded, allowing

155 for a distinction of patterns according to the source. We also record whether the GLOF is potentially of transboundary nature

156 (*Transboundary*), i.e. whether the potential flow path would eventually cross a national border. It does not allow for a statement

157 whether the GLOF has actually crossed the border, and irrespective of date of the event assumes national borders from 2022

158 as given. This information is of importance as transboundary climate risks have received increased attention also for GLOFs

159 and other mass flows (Allen et al., 2022; Steiner et al., 2023). Location data including the country, province, river basin as

160 well as mountain region the source of the GLOF is located in are inherently also provided via the coordinates, however

161 providing this data here allows for a fast aggregation of relevant data for stakeholders not familiar with GIS data.

162 Information related to the GLOF event, the initial driver that caused the lake to form (*Driver_lake*) as well as the GLOF to

163 occur (*Driver_GLOF*) and what mechanism was at play during the drainage event (*Mechanism*) are important insights for both

164 regional assessments of climate drivers of these events as well as indications for dedicated numerical modelling studies

165 investigating drainage events. They are generally subject to great uncertainty or are simply not known at all (in both cases we

166 refer to the variable as *unknown*), and often only known when the site of the lake was visited right after an event. Information

167 on lake area (*Area*), volume drained during the flood (*Volume*), as well as flood discharge (*Discharge_water* and

168 *Discharge_solid*) are provided from the original sources. This information is crucial for planning, designing and
169 implementation of large-scale projects like hydroelectric power plants and other types of infrastructure, in order to ensure
170 sustainable development. These values have been obtained sometimes by measurement, sometimes by rough estimate and
171 uncertainties likely vary across sites, and are only rarely quantified (Kingslake and Ng, 2013; Veh et al., 2023). We finally
172 report a variety of information on impacts on livelihoods and infrastructure aimed to conceptualise flood-induced coping
173 mechanisms, enhance livelihood security, and foster self-reliance toward economic stability. This information is presented in
174 quantitative as well as qualitative formats enabling the database to be read by machines for regional assessments, while
175 retaining information that may not be readily quantifiable. Information on fatalities is categorized by gender and disabilities,
176 as disasters impact women and those with disabilities differently than men (c.f. Zaidi and Fordham, 2021), and the Sendai
177 framework on disaster risk reduction explicitly mentions the necessity of collecting "disaggregated data, including by sex, age
178 and disability, as well as on easily accessible, up-to-date, comprehensible, science-based, non-sensitive risk information,
179 complemented by traditional knowledge" (UNISDR, 2015). Differences in vulnerabilities have also been acknowledged in
180 HMA (Resurrección et al., 2019) but studies in the domain of disaster risk reduction investigating gender dimensions remain
181 rare (Halvorson, 2002; Thapa and Pathranarakul, 2019). These data are important for addressing gender inequality, cultural
182 beliefs, and socio-economic factors, as well as advocating for the integration of gender perspectives into disaster risk
183 management efforts. The lack of depth of data, i.e. when not more information is known than a total number of people killed,
184 also provides evidence for data collection gaps that need to be addressed in future assessments. Impacts are also quantified
185 through the total number of individual houses destroyed or damaged, any other infrastructure like roads or bridges impacted
186 (*Infra*), hydropower infrastructure damaged or destroyed (*Hydropower*) and agricultural land covered in deposits (*Agriculture*)
187 and large livestock killed (*Livestock*). For the few cases were records on total economic damage in monetary units exist they
188 are recorded separately (*Econ_damage*). Contrary to information on total fatalities, these data are less comprehensively
189 recorded and remain likely incomplete at the time of writing. They provide however a potential indication for regional loss
190 and damage assessments. Sources (scientific, media, oral) of all events are provided as full citations (*Ref_scientific_full*) or
191 links to the newspaper sources (*Ref_other*).
192 GLOFs that have been found in other sources but were found not to be associated to lake drainage or breach are stored in a
193 separate *.csv (HMAGLOFDB_removed.csv) with the same format as described above. The file has an additional column that
194 explains the reason for exclusion (*Removal_reason*). It also contains a certainty column (Certainty) that differentiates between
195 cases where we are certain it is not a GLOF, or cases where we cannot ascertain if it was one.
196
197 The database was developed keeping in mind interoperability with other datasets, enabling future local and regional risk
198 assessments, as well as investigations on how the occurrence of GLOFs can be explained on a regional, rather than an
199 individual level. Multiple datasets related to the cryosphere are readily accessible, including historic glacier outlines (Pfeffer,
200 2017; Soheb et al., 2022; Xie et al., 2023), elevation change (Brun et al., 2017; Hugonnet et al., 2021), glacial lakes (Chen et
201 al., 2021; Wang et al., 2020) and permafrost extents (Obu, 2021) These datasets can be easily combined with the GLOF

202   inventory for comprehensive analysis. Beyond the coordinates of lakes and downstream impact, that allow for a combination

203   with other spatial data, we also provide the GLIMS ID of the glacier feeding into the lake that resulted in a GLOF (*G_ID*) as

204   well as the ID of glacial lakes (*GL_ID*) as recorded in lake inventories.

205   Future events will be updated directly in the development version of the database on ~~github~~GitHub on a rolling basis, where

206   additions to the database will be visible as soon as they are updated. Each year when new events are reported, they will undergo

207   detailed quality check, including the documentation of information on impacts or processes that may become available weeks

208   or months after the event through fieldwork or detailed investigations. A new version of the database will be published under

209   the same database DOI (Digital Object Identifier) ensuring accurate and up-to-date information.

210   **Table 1: Variables for the GLOF database, as documented in the Metadata file. Format is either a string (*STR, with * marking cases***
211   ***where only inputs from a predescribed list are possible*) or an integer (*INT*). If an exact number is not available but is non-zero a '+'**
212   **is provided (e.g. 'multiple injured'). '*NA*' is used for unknown input. Note that the Metadata file itself provides further details on**
213   **the individual variables as well as a discussion on naming.**

Variable	*Format*	*Description and unit*
GF_ID	INT	Unique identifier for each individual event, starting at 1
Year_approx	STR	Year of occurrence; given approximately if GLOF has been identified from imagery with no definite account.
Year_exact	INT	Exact year of occurrence
Month	INT	Month of occurrence
Day	INT	Day of occurrence
Lake_name	STR	Local name of the lake, if available.
Glacier_name	STR	Local name of the glacier associated with the lake, if available.
GL_ID	STR	Unique identifier for the lake
G_ID	STR	Unique identifier for the glacier (GLIMS format) associated to the lake
LakeDB_ID	INT	Identifier in which lakes database the lake has been mapped
Lat_lake	INT	Decimal degrees latitude of lake [°, WGS 84]
Lon_lake	INT	Decimal degrees longitude of lake [°, WGS 84]
Elev_lake	INT	Elevation of lake [m a.s.l.]
Lat_impact	INT	Decimal degrees latitude of lowest known impact of the GLOF [°, WGS 84]
Lon_impact	INT	Decimal degrees longitude of lowest known impact of the GLOF [°, WGS 84]
Elev_impact	INT	Elevation of lowest known impact of the GLOF [m a.s.l.]
Impact_type	STR*	Quality of impact record; 'Observation' refers to lowest observed high flow or damages; 'Deposit' refers to lowest visible deposit of sediments (from satellite imagery). Impacts are therefore conservative, and are likely always further downstream than recorded

Variable	Format	Description and unit
Lake_type	STR*	Type of lake (e.g., moraine dammed, ice dammed, bedrock etc.)
Transboundary	STR*	Denotes if impact is potentially transboundary
Repeat	STR*	GLOF that occurred again in past or future
Country	STR	Current national borders (2022) the source lake lies in
Region_RGI	STR	Region ID as used in the RGI 6.0 (Pfeffer, 2017)
Region_HiMAP	INT	Region ID as used in the HiMAP report (Bolch et al., 2019)
Province	STR	1st level province name
River Basin	STR	River basin the lake is located in
Driver_lake	STR*	Driver that caused the lake to form
Driver_GLOF	STR*	Driver that caused the GLOF to occur
Mechanism	STR*	Mechanism involved in lake breach or drainage
Area	INT	Lake area [m^2]
Volume	INT	Volume of the drained lake water [m^3]
Discharge_water	INT	Measured or estimated water discharge downstream [m^3s^{-1}]
Discharge_solid	INT	Measured or estimated debris flow discharge downstream [m^3s^{-1}]
Impact	STR	Narrative description of downstream impacts
Lives_total	INT	Total lives lost
Lives_male	INT	Total male lives lost
Lives_female	INT	Total female lives lost
Lives_disabilities	INT	Total lives of people with disabilities lost
Injured_total	INT	Total injured
Injured_male	INT	Total male injured
Injured_female	INT	Total female injured
Injured_disabilities	INT	Total people with disabilities injured
Displaced_total	INT	Total people displaced
Displaced_male	INT	Total male displaced
Displaced_female	INT	Total female displaced
Displaced_disabilities	INT	Total people with disabilities displaced
Livestock	INT	Livestock lost
Residential_destroyed	INT	Number of residential houses destroyed
Commercial_destroyed	INT	Number of commercial houses destroyed

Variable	Format	Description and unit
Residential_damaged	INT	Number of residential houses damaged
Commercial_damaged	INT	Number of commercial houses damaged
Infra	STR	Other destroyed infrastructure
Agricultural	INT	Area of farmland destroyed [m^2]
Hydropower	INT	Installed hydropower capacity destroyed [MW]
Econ_damage	INT	Total economic damage [USD]
Sat_evidence	STR	Image IDs used as satellite imagery evidence
Ref_scientific	STR	Citation of scientific source
Ref_scientific_full	STR	Full references to the scientific sources
Ref_other	STR	Description of other sources
Remarks	STR	Any other remarks
Removal_reason	STR	Reason for removal (only for separate database of removed events)
Certainty	INT*	Certainty that the removed case is either definitely not a GLOF (0) or may be but was removed due to lack of evidence (1, only for separate database of removed events)

3 Results

216
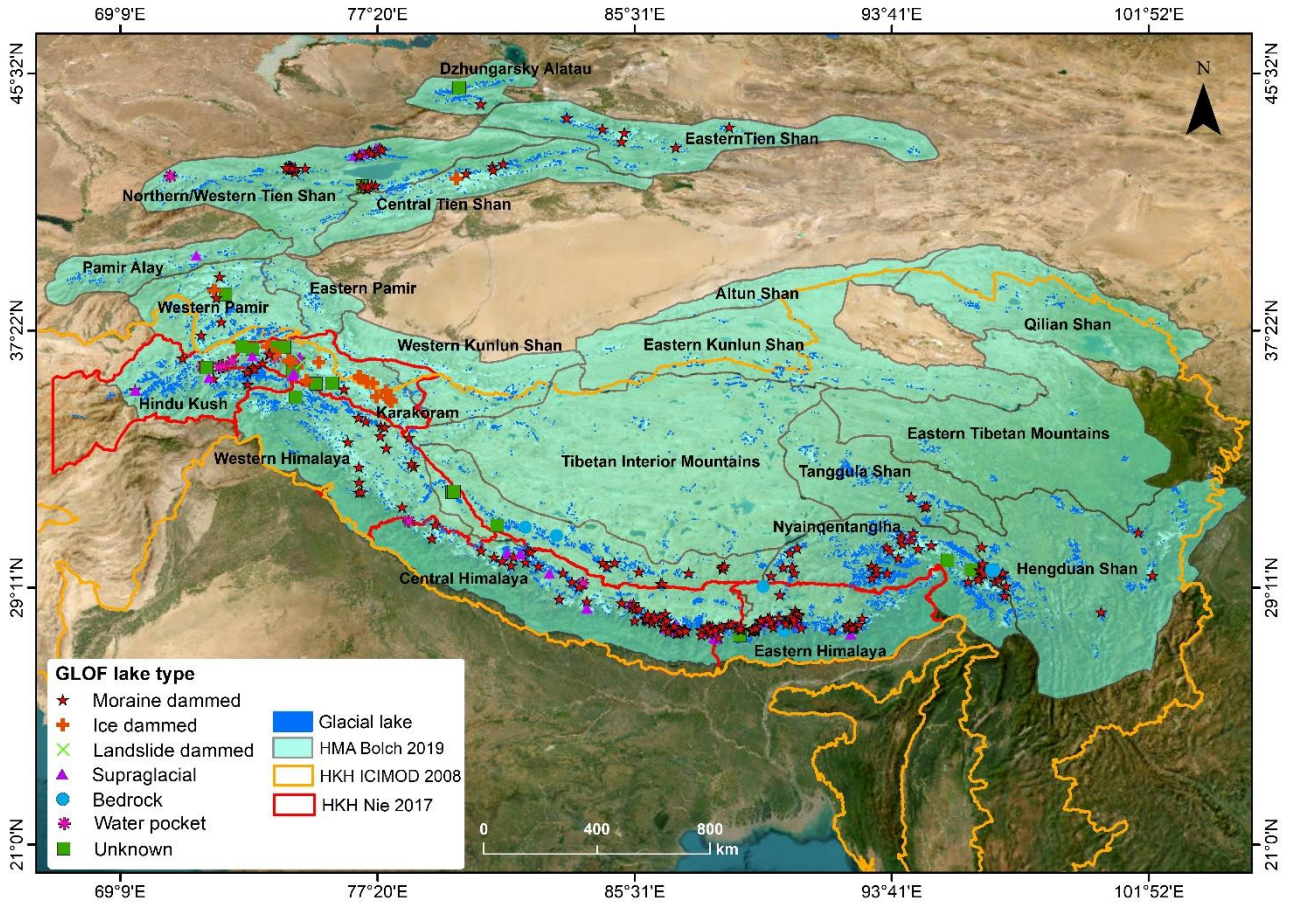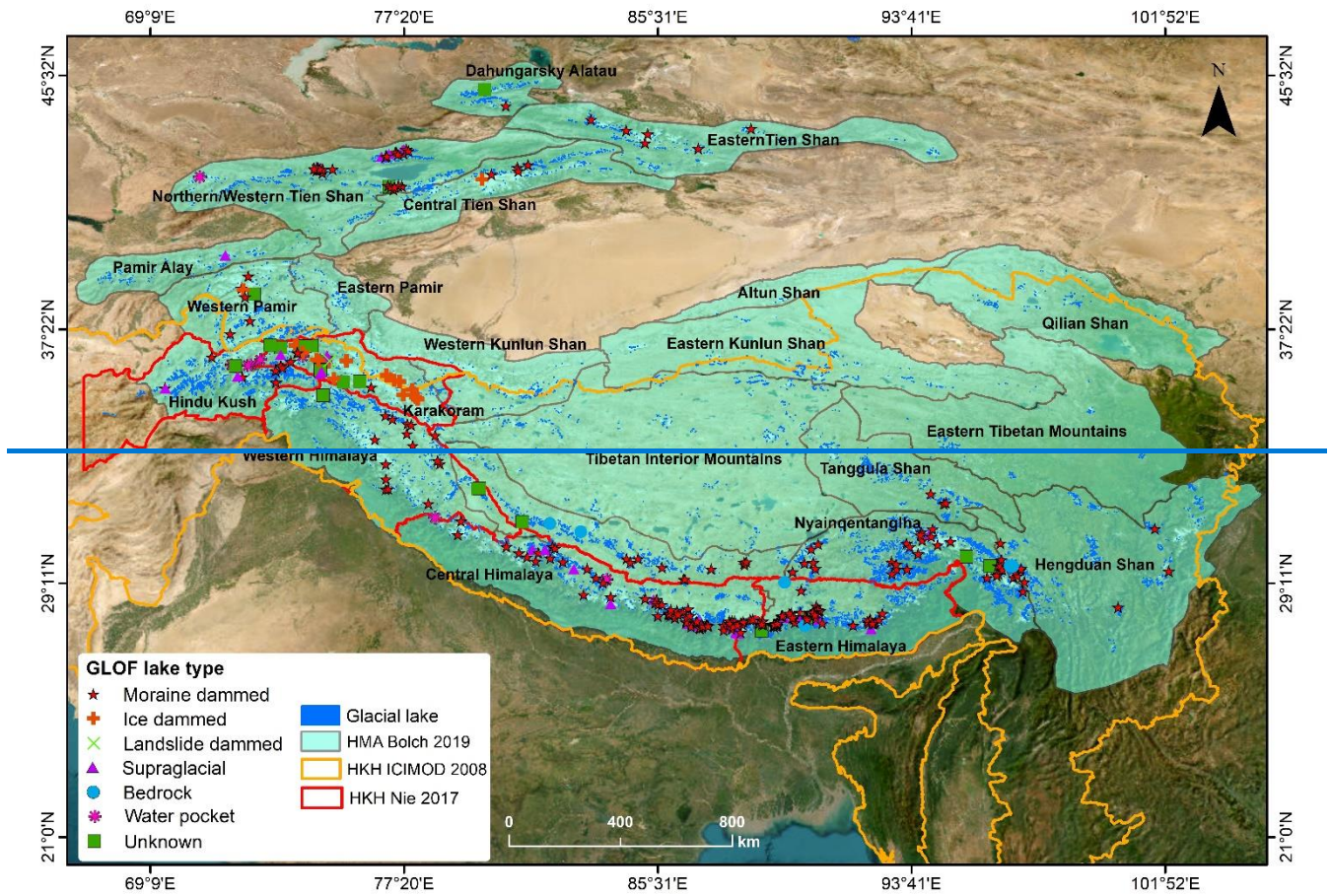
Figure 1: Overview map showing all recorded GLOFs in HMA according to the lake type. The 2018 lake inventory shown here is from (Wang et al., 2020). The HMA outline used is following (Bolch et al., 2019). The external lake database (WangDB, ChenDB) falls within this outline. The HKH outline is based on ICIMOD (https://rds.icimod.org/Home/DataDetail?metadataId=3924) and (Nie et al., 2017)

221
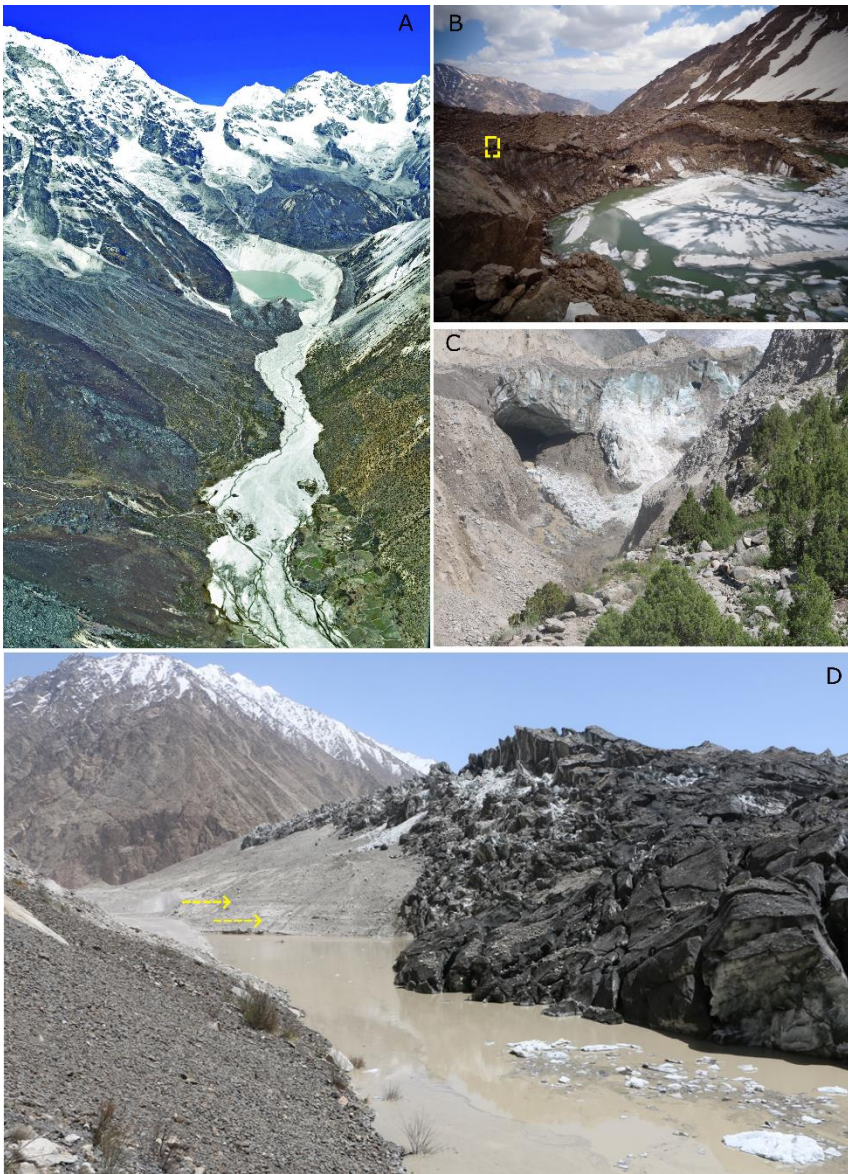
Figure 2: (A) Dig Tsho GLOF (1985; database GF_ID 322) in the Central Himalaya (Nepal) from a moraine-dammed lake. Note the settlements and agricultural land impacted in the lower reach of the deposit. The photo was taken in 2009 (Photo credit: Sharad Joshi). **(B)** Bam Tanab GLOF in the Hindu Kush (Afghanistan) in 2021 from a supraglacial lake (GF_ID 510). The ice tunnel, through which the lake drained is visible in the center. Note the two people sitting on top of the ice cliff (yellow square). The photo was taken several days after the event (Photo credit: Milad Dildar). **(C)** Ice tunnel exit at the terminus of the tributary to Badswat Glacier that caused a GOF in 2018, eroding substantial amounts of the moraine in the immediate downstream (Karakoram, Pakistan, GF_ID 493; Photo credit: Sher Wali). **(D)** Ice dam at Khurdopin Glacier caused by a surge. The terminus moraine is visible in light grey on the left, partly covered by the spillover ice from the surge. The lake at this location refills multiple times after the surge, sometimes up to half of the moraine height (note the water line is slightly visible from a change of colour, yellow arrows). Photo taken from the location where the lake drains under the surged ice (multiple events starting from GF_ID 26; Photo credit: Sher Wali).

233
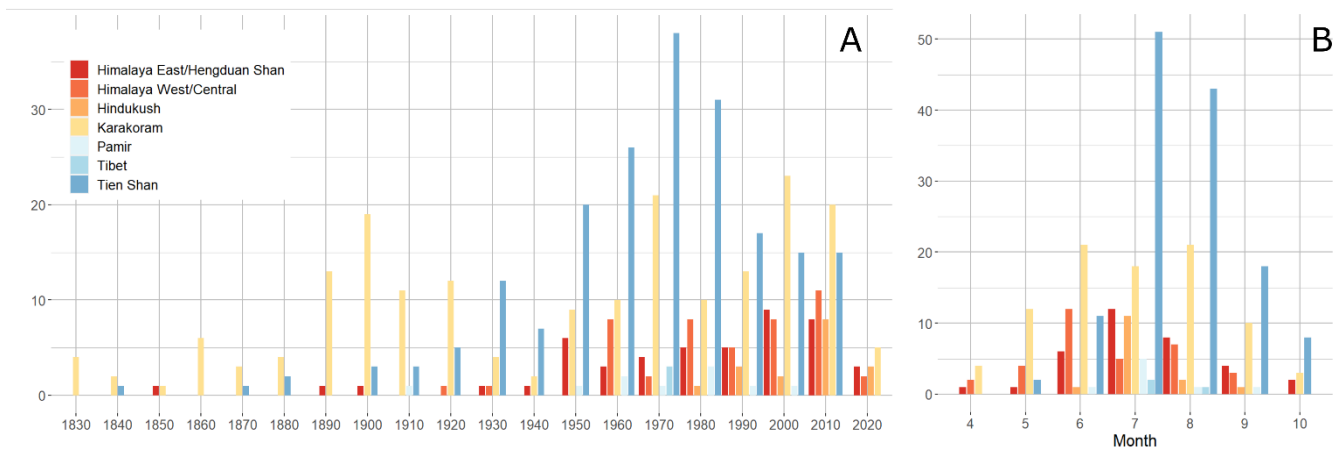
Figure 3: (A) Temporal evolution of recorded GLOF occurrence per region and decade. (B) Seasonal occurrence of GLOFs. Months November to March are not shown, with total events <5.

Our database includes 697 individual GLOF events (Figure 1, Figure 2), recorded between 1833 (with 4 historic events before that date, where any validation of processes is virtually impossible) and 2022 (Figure 3). 7% (46 of 697) of the reported GLOFs here have not been documented in any previous study. Conversely, 101 previously reported GLOFs were removed based on new evidence to the contrary (17) or a lack of strong evidence (84) for it being a GLOF.

28.4% of the events in the database were recorded in China, 24.7% in Kyrgyzstan, 21% in Pakistan, 8.5% in India, 7.6% in Nepal, 4.9% in Kazakhstan, 2.9% in Bhutan, 1.6% in Tajikistan and 0.6% in Afghanistan. Following the RGI delineation, 30% of the GLOFs were recorded in the Karakoram, 18% in the Eastern Himalaya and 29% in the Western Tien Shan. Due to different choices in delineations, this looks considerably different for the HiMAP outlines where 28% are in the Karakoram, 17% in the Northern/Western Tien Shan, 14% in the Central Himalaya and 10% in the Eastern Himalaya, outlining the sensitivity of statements regarding mountain ranges to the choice of delineation product.

For 325 events (47%) we know the month of occurrence and, of these, 74% took place between June and August (Figure 3B). Only 3% of all GLOFs were recorded between November and March. For 275 events (39%) the day of the GLOF is known, potentially allowing for an analysis of prevailing weather patterns preceding the outburst.

The mean elevation of individual lakes associated to a GLOF was 4598 m a.s.l. (336; min = 2562 m a.s.l., max = 5982 m a.s.l.). For the GLOFs where impacts were recorded (459; 66%) the mean elevation difference between lake and lowest recorded impact was 1161 m a.s.l. (min = 19 m a.s.l., max = 4431 m a.s.l.).

47% of GLOFs originated from moraine-dammed lakes, 34% from ice-dammed and 10% from supraglacial lakes (Figure 1). Other types occurred infrequently, and particular glaciers were associated with repeated flood events, including englacial outbursts from water pockets either deep in the ice or superficially around crevasses (3%), outbursts from bedrock lakes in periglacial terrain (1%) and outbursts from landslide dammed lakes that formed below glaciers (0.4%). Multiple events in the Bagrot subbasin in the Upper Indus, as well as in the Ala Archa basin in Kazakhstan may be drainages from water pockets, based on the nature of drainage and the lack of evidence for lakes on the glacier surface or the periglacial terrain, but local

259 evidence is too uncertain to confirm this. For the Ala Archa basin, we have removed many cases, as even previous authors did

260 not insist on all being GLOFs (Medeu et al., 2016). These events all occurred at the terminus of very steep glacier tongues and

261 some observed characteristics of these events (e.g. melt water to the base resulting in ice and terrain collapse) resemble glacier

262 detachments (Kääb et al., 2018). However, the eventual runout as a debris flow with high water content is markedly different.

263 For 4% of the cases, the lake type was unknown, in general, for GLOFs for which no satellite imagery exists for validation.

264 Our knowledge of the mechanisms of how lakes are formed (known for 20% of the cases), triggering (12%) and failure

265 mechanisms of the outburst is (26%) remains limited (Figure 4). Even less is known about the drained total volume (m^3, 15%),

266 discharge of water (m^3 s^{-1}, 10%) or discharge of solids (m^3 s^{-1}, 3%), important variables for modelling studies. Additionally,

267 these reported values are often associated with large uncertainties.

268 Of all GLOFs, 2 were located in an area where no glacier could be found anywhere upstream. However, they are located in

269 areas that were most likely glaciated. 22 GLOFs occurred below glaciers that were not mapped in RGI 6.0, showing the still

270 considerable number of glaciers that are missed from this global inventory. Similarly, 10 GLOFs (at moraine-dammed lakes)

271 were recorded where no lake was apparent in any satellite imagery. This is possible for GLOFs recorded before the satellite

272 age. In 97 cases, a lake or depression was visible but not mapped in any of the inventories. In 196 cases (28%) the lake is

273 ephemeral and hence not in any inventory, especially the case for ice-dammed or supraglacial lakes. Some ice-dammed lakes

274 were in inventories if they were present at the time of the inventorization. However, when comparing these data to a GLOF,

275 their area should be taken with caution, considering their rapid change with a change in meltwater availability.

276 25 GLOFs (4%) have 6906 recorded fatalities (Table 2), although 6000 were attributable to a single event in India in 2013,

277 where fatalities were caused by a multitude of factors in a complex compound event (Allen et al., 2016). This latter event, until

278 recently, accounted for nearly 50% of recorded global GLOF deaths (Carrivick and Tweed, 2016). Nearly all casualties have

279 been attributable to GLOFs from moraine-dammed or supraglacial lakes, while no fatalities have been linked to ice-dammed

280 lake breaches (Table 2), while there may of course have been unrecorded impacts (Hewitt and Liu, 2010). Numbers on injured

281 or displaced remain rare, but are likely much higher, especially considering people moving away, many in the months after an

282 event due to the long-term negative impacts of damaged infrastructure. Nearly 2000 livestock were reported killed. More than

283 2200 residential and commercial buildings were reported destroyed or significantly damaged and numerous bridges were

284 destroyed. At least 71 km^2 of agricultural land was destroyed and hydropower structures with a combined capacity of 164 MW

285 were destroyed or heavily damaged. In only a few cases (13) were estimates of economic damages in monetary values

286 attempted (5.3 billion USD). These were limited to damages associated with the flooding and did not include considerations

287 of the longer-term economic toll of damaged infrastructure, disablement, destroyed farmlands, or the long-term impacts on

288 accessibility of health, education, or market facilities due to impacts on transport infrastructure.

289

290 **Table 2: Number of GLOFs per region and type of lake with associated fatalities (N/fatalities).**

Lake type/Region	Pamir	Tien Shan	Tibet	Hindu Kush	Karakoram	Himalaya West/Central	Himalaya East/Hengduan Shan
Moraine-dammed	6/25	96/65	4/0	10/20	7/0	78/6236	129/454
Ice-dammed	4/0	86/0	0/0	0/0	144/0	0/0	0/0
Supraglacial	1/100	17/0	/0	3/0	26/1	19/0	6/0
Others/unknown	1/0	15/0	0/0	6/3	19/2	1/0	19/0
TOTAL	12/125	214/65	4/0	19/23	196/3	98/6236	154/454

291

292 338 lakes were associated with 697 GLOFs, with 61 (18%) of the lakes causing a GLOF more than once and the 17 (5%) lakes

293 releasing GLOFs at least five times, made up 43% of all GLOFs (Table 3). The return period of some of these GLOFs is rapid,

294 occurring repeatedly over consecutive years such as at Merzbacher or Khurdopin, while for others a GLOF may occur more

295 than decades apart. In many cases, for ice-dammed lakes, this is coupled with the return period of the respective glacier surge.

296 Many of these lakes have resulted in GLOFs until very recently and we hence consider *active*. Others, like the GLOFs at

297 Chong Kumden (ice-dammed), are considered inactive as the tongue has receded so far that even during a surge it cannot block

298 the valley anymore. For Salyk or Topkaragay (moraine-dammed), the tongue recession has presumably also resulted from a

299 lack of direct meltwater supply to the local depression. While the three lakes draining most frequently (and all still *active*) are

300 all ice-dammed, repeat GLOFs are common from all major types of glacial lakes (Table 3). However, repeat drainages are

301 decidedly uncommon in the Himalaya or the Tibetan Plateau.

302 190 (27%) were potentially transboundary GLOFs, i.e. their flood could have crossed a border further downstream, 55 of

303 which originated in China. Fewer than 10 of these GLOFs have however actually recorded impacts across borders (China to

304 Nepal and Uzbekistan to Kyrgyzstan).

305 **Table 3: Lakes with more or equal to five recurring GLOFs in HMA.**

Lake/Glacier name	Lat (°)	Lon (°)	Elev (m a.s.l.)	Region	Outburst recurrence	Period of GLOFs	Lake type
Merzbacher/ Southern Inylshek	42.20	79.85	3271	Central Tien Shan	86	1902 - 2015	Ice-dammed
Khurdopin/Khurdopin	36.34	75.47	3482	Karakoram	37	1882 - 2021	Ice-dammed
Kyagar/Kyagar	35.68	77.19	4880	Karakoram	34	1880 -2019	Ice-dammed
Unnamed/Aksay	42.53	74.54	3637	Northern/Western Tien Shan	30	1877 - 2015	Moraine-dammed

Lake/Glacier name	Lat (°)	Lon (°)	Elev (m a.s.l.)	Region	Outburst recurrence	Period of GLOFs	Lake type
Unnamed/Kuturgansuu	42.52	74.61	3470	Northern/Western Tien Shan	17	1846 - 2010	Moraine-dammed
Unnamed/Chong Kumden	35.17	77.70	4691	Karakoram	14	1533 - 1934	Ice-dammed
Hassanabad/Shisper (both names for lake and glacier)	36.39	74.51	3370	Karakoram	13	1894 - 2022	Ice-dammed
Karambar/Karambar	36.62	74.08	2935	Karakoram	11	1844 - 1994	Ice-dammed
Unknown/Teztor	42.54	74.43	3606	Northern/Western Tien Shan	11	1910 - 2012	Moraine-dammed
Ghulkin/Ghulkin	36.42	74.88	2692	Karakoram	8	1980 - 2009	Supraglacial
Lake number 6/ Glacier No 182/Bezymyannyi/TEU-Severny	43.14	77.28	3380	Northern/Western Tien Shan	8	1973 - 2014	Supraglacial
Unnamed/Halji	30.27	81.48	5347	Central Himalaya	6	2004 - 2011	Supraglacial
Unnamed/Salyk	42.52	74.72	3390	Northern/Western Tien Shan	6	1938 - 1980	Moraine-dammed
Unnamed/Topkaragay	42.49	74.52	3680	Northern/Western Tien Shan	6	1928 - 1993	Moraine-dammed
Unnamed/Central Rimo	35.42	77.61	5100	Karakoram	5	1976 - 2014	Ice-dammed
Unnamed/Batura	36.51	74.85	2713	Karakoram	5	1873 - 1974	Supraglacial
Unnamed/North Terong	35.25	77.31	4400	Karakoram	5	1975 - 2002	Ice-dammed

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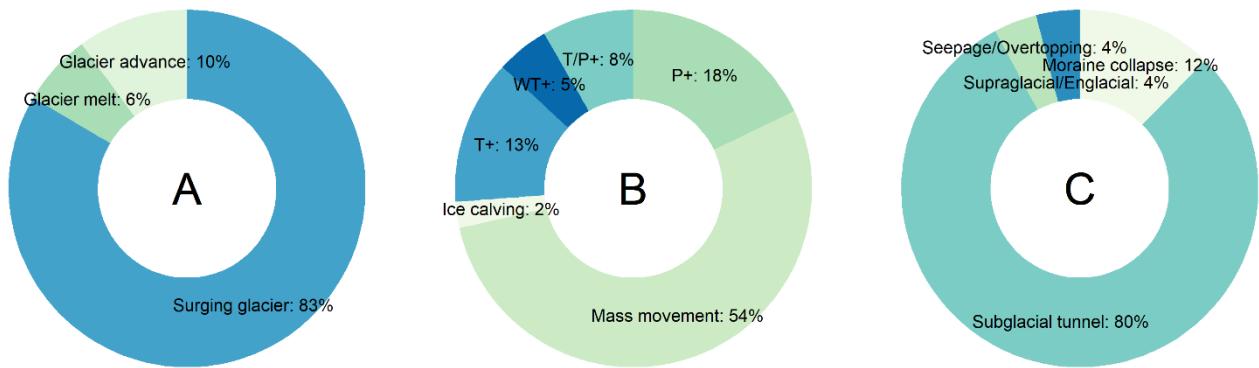
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310 **Figure 4: Different drivers that caused the lake to form (139) (A), caused GLOF to occur (84) (B), and mechanisms involved in lake**
311 **breach/drainage (178) (C). 'Glacier melt' refers simply to melt water provision from any adjacent glacier, irrespective of their state**
312 **of retreat, advance or stability. T, P and WT+ stand for reported temperature, precipitation and water table and temperature**
313 **increases, respectively. Mass movements include ice and rock avalanches as well as landslides, debris flows and other flood events.**
314 **Moraine collapse is most often characterized by ice core thawing. 'Seepage/Overtopping' also includes piping through the dam.**

3.1 Uncertainty of individual variables

GLOFs often occur in remote areas, where reports are scant and confirming process chains and quantifying associated volumes and impacts is challenging. Here we discuss the uncertainties related to variables documented in this database. For many GLOFs (181; 26%) even the year of occurrence is uncertain, which is reflected in the database by either providing NA or a time window during which it must have happened. Days of occurrence (available for 39% of the records) are often also different between reports on the same event, either due to erroneous records or the magnitude of certain GLOFs resulting in floodwaters reaching areas over more than one day. Any recorded day hence likely has an uncertainty of +/-3 days. Coordinates of the source lake are correct if provided, however, do not reflect the exact location of the breach but any coordinate within the perimeter of the lake. Coordinates of the impact area are a lot more uncertain. If provided they show either the lowest location where deposits are still visible on Maxar imagery from 2021 (*Deposit*) or where high flow or damages were reported (*Observation*). Naturally, this is a conservative estimate and high flows have likely reached thousands of meters further downstream and with deposition or erosion occurring along the riverbed that may not be visible on imagery. Elevation values are generally retrieved via the coordinates from the Shuttle Radar Topography Mission (SRTM). Considering the steepness of the terrain across HMA, we expect an unknown uncertainty of the actual elevation of the source, and values should be considered an indication of the elevation range, rather than for example an exact input for a hydrodynamical model.

For a few events, the primary source is local informants, while for some events that have been reported in news articles or by other studies, local sources serve as a way of confirming the event and the associated recorded variables. While local sources have their own uncertainties, including misidentification of another mass flow event as a GLOF or misrepresentation of impacts to either gain attention for compensation (and hence exaggerating impacts) or dispelling concerns of potential tourists (hence underestimating impacts). they also can provide insights that other sources cannot. When the source lake is regularly visited due to agricultural activities or for leisure, locals are often able to make statements on what likely outburst mechanisms were or what caused the event in the first place. Observations on the discharge hydrograph, especially whether the discharge peak arrived rapidly or gradually provide indications on the type of drainage mechanism.

When reporting areas of lakes associated with GLOFs, we refer to the reported value provided in the respective studies. For the few events we report here for the first time and if satellite imagery is available a few days before the event, we make estimates based on that imagery. Lakes linked to GLOFs often exhibit rapid areal changes in the weeks and days prior to eventual failure of the dam or opening of a tunnel. This is especially true for ephemeral ice-dammed lakes (Muhammad et al., 2021; Round et al., 2017; Steiner et al., 2018). Therefore, the lake area mapped many weeks or even months before a drainage event is not necessarily a good proxy for the actual water volume that drained. We report estimated drained volumes and sometimes even estimates of discharge exist. However, the accuracy of such estimates is impossible to verify and measurements during GLOFs are rarely possible (Muhammad et al., 2021), and rating curves in fast changing river beds are unlikely to be accurate. Such data should hence be considered as first order indications of magnitude rather than accurate representations of actual discharge.

348 Estimates on impacts are conservative. Based on our observations from fieldwork and the concurrent reporting of hazards,
349 media coverage often overlooks to report on remote villages and numbers of mortalities or valuations of infrastructure impacted
350 are prone to deflation or inflation as a result of inaccurate transmission of information or wilful tampering with data. This can
351 happen for various reasons, as evidenced through fieldwork across the region. The government not reporting impacts or making
352 them look smaller than they are can be favourable as this would otherwise provide arguments for becoming more active in
353 mitigation response, which they may not be able or willing to do. Reports on high impacts are often also perceived as damaging
354 to tourism and hence discouraged. In light of financial reparations, either from the national to the local governments or
355 communities or by the global community to a country, overreporting of impacts is naturally preferred. If impacts in doubtful
356 cases could not be verified by additional sources, they were not reported. Reported data from scientific literature we dismissed
357 in rare cases where for example a GLOF was reported twice within two days, and it was likely that this happened due to an
358 erroneous reporting dates.

359 **4 Discussion**

360 In the section below, we discuss the potential of the GLOF database for comparisons with existing and future data sources
361 related to regional assessments and investigations of individual events. We then conclude by comparing the database to
362 previous efforts and address its inherent limitations.

363 **4.1 Temporal and spatial trends**

364 The discussion on whether GLOFs are increasing with a changing climate trend has been dealt with elsewhere in detail
365 (Harrison et al., 2018; Veh et al., 2022). Here, we only provide a brief discussion that stems from the compilation of the data,
366 and that is crucial when using databases of hazard events in remote areas like mountains. As we rely on different source types,
367 namely previous academic publications, news and technical reports, satellite imagery and local knowledge from involvement
368 in field work in numerous locations across HMA, we are able to complement the deficiency any single of these approaches
369 may have and provide some perspectives on trends that may be due to observational bias. Figure 3A shows a clear and rapid
370 increase of recorded events in the Tien Shan from the mid 20th century with a subsequent decrease in the 1990s. There is little
371 indication for this being climate-related and we hypothesize this is a function of increased visibility thanks to the extensive
372 efforts of scientists at the end of the Soviet era to monitor debris flows (and as a consequence related GLOFs), which died
373 down as the Central Asian states became independent. This aligns exactly with the development and subsequent demise of
374 cryosphere monitoring in the area between 1950 and 1990 (Hoelzle et al., 2019). Medeu et al. (2019) on the other hand argue,
375 that at least for Kazakhstan a decrease in debris flows from glacial sources can be attributed to the successful monitoring and
376 lowering of lakes in the region since the late 1990s. Conversely, for the Karakoram, there are many peaks in observed events
377 starting at the turn of the 19th century. This may be explained either by surge cycles occurring around the same time, resulting
378 in ephemeral ice-dammed lakes, or the piqued interest of the British Empire around the turn of the century in the region during

379 the Great Game (most reports on GLOFs stem from British officers stationed in the region, cf. Hewitt and Liu, 2010). Interest
380 decreased until Independence and finally grew again towards the end of the century as seen in other areas with increased
381 infrastructure development, starting with the construction of the Karakoram Highway between the 1960s and 1970s
382 (Kreutzmann, 1991) and the arrival of media in remote areas. There is a relatively consistent increase of reported events in the
383 Hindu Kush and the Himalaya region, albeit total events are much lower (i.e. <1 event yr^{-1} for a whole region). We believe
384 that our records of events have also increased due to an increase in interest in the topic in the region (Emmer et al., 2022),
385 which led to more detailed documentation of individual events by various scientists.

386 The seasonal patterns (Figure 3B) are instructive as they show a clear earlier peak in the year for the Himalaya region, a more
387 stretched out peak in the Karakoram and shift in the Tien Shan, influenced by Westerlies rather than the South Asian Monsoon,
388 providing an indication for the potential of using such a dataset to investigate the importance of regional precipitation patterns
389 for drivers of outburst floods.

390 The database records four GLOF events in Afghanistan, three of which for the first time in this database, with 15 fatalities and
391 more than 300 houses destroyed, and one from a previous publication only based on geomorphic evidence with no recorded
392 impacts. This puts the country on the map with respect to GLOF hazards and emphasizes the importance of local data for
393 accurate assessments, considering that these events occurring between 2013 and 2021 remained previously unnoticed in
394 literature. Due to the lakes located on a debris-covered surface draining englacially, hence leaving no clear evidence of a dam
395 breach, potentially visible from satellite imagery, they also constitute examples that remain difficult to identify with automated
396 approaches relying on satellite imagery only.

4.2 Comparisons to other regional data
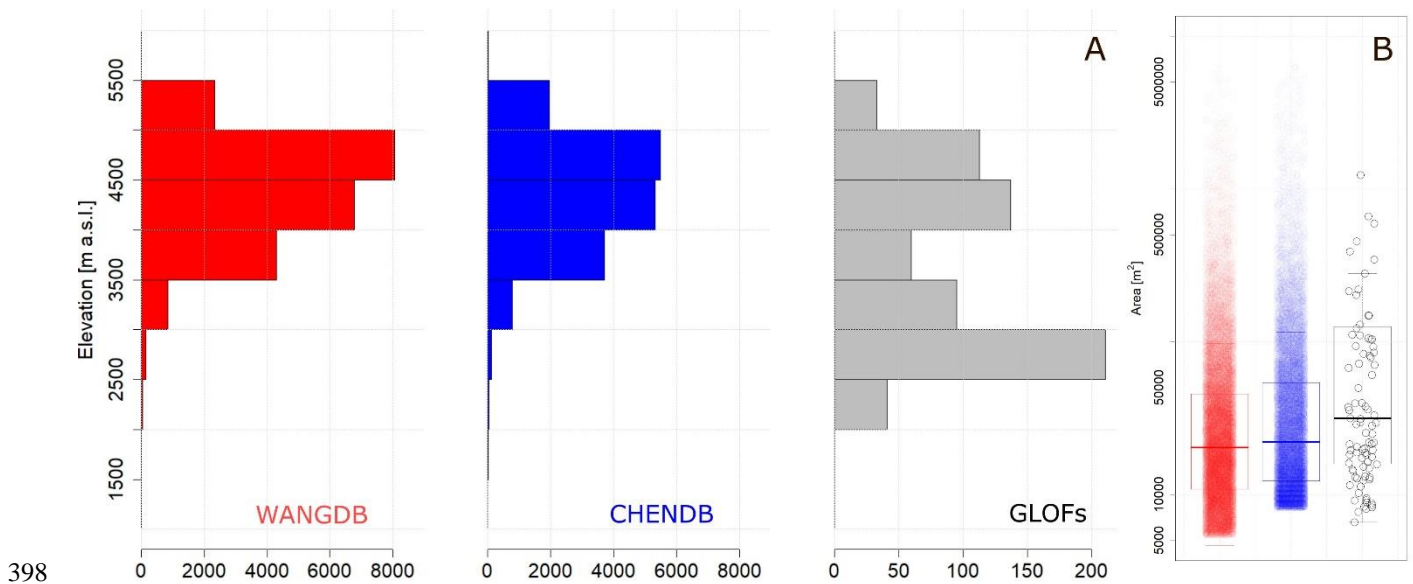
Figure 5: (A) Elevational distribution of unique lakes recorded in databases, covering two years 1990 and 2018 (WANGDB, Wang et al., 2020) and ten years between 2008 and 2017 (CHENDB, Chen et al., 2021), compared to only those lakes that resulted in GLOFs (GLOFs). (B) Lake areas of unique lakes in the same databases as well as specifically for the lakes that resulted in GLOFs, where available.

Combining the lake and GLOF inventories allows for a comparison of topographic as well as meteorological conditions which may have contributed to the occurrence of GLOFs and those that did not. This is useful for the identification of potentially dangerous glacial lakes (Ahmed et al., 2022; Ashraf et al., 2021, 2012; Bajracharya et al., 2020; Bolch et al., 2008; Duan et al., 2020; Zhang et al., 2022b). The evaluation of meteorological conditions would require an in depth discussion of the respective datasets and wider synoptic conditions, attempted previously for mudflows (Mamadjanova et al., 2018).t The database suggests that mass movements in the lake's vicinity, as well as intense precipitation immediately preceding the GLOF are important drivers (Figure 4B), but only 12% of all entries record any driver and they are generally only based on local assessments that may not provide the definite reason. As quality controlled reanalysis data becomes more readily available at the kilometre scale for HMA (Wang et al., 2021), more comprehensive analysis of climate drivers become possible and would elucidate, which climate variables are crucial to understand with respect to a changing climate, to anticipate changes in GLOF occurrence.

A comparison to already established inventories of glacial lakes is more straightforward. Figure 5 shows elevational and area statistics of lakes from two different inventories (Chen et al., 2021; Wang et al., 2020). While both inventories rely on Landsat data for identification,, one follows a manual approach in identifying the outlines in 1990 and 2018 (Wang et al., 2020; henceforth referred to as WANGDB), while the second delineates automatically at the 30 m pixel resolution (Chen et al., 2021; CHENDB), allowing for decadal data between 2008 and 2017 but a coarser resolution of outlines. Both inventories include

419   lakes from different time steps, and we consider a lake that is present in more than one time step once, at whatever earliest

420   year it appears. The GLOF database allows us to quickly visualize, that while lakes follow a unimodal distribution over altitude

421   similar for both approaches of delineation, GLOFs are bimodally distributed with peaks above 2500 and 4000 m a.s.l. (Figure

422   5A). This provides a clear indication of lakes at lower elevation being relatively more susceptible to an outburst, but no clear

423   decrease of the risk with elevation. Of the 339 lakes that resulted in GLOFs, 99 (29%) appear in inventories at one point and

424   we hence have an indication of their size (Figure 5B). While CHENDB covers more time steps, the minimum lake area it can

425   detect (8100 m^2) is nearly twice as large as for WANGDB (4600 m^2). 9 out of the 99 lakes with area data that resulted in

426   GLOFs, hence only appear in WANGDB. On top of the many lakes that do not appear in inventories at all (71%) due to their

427   ephemeral nature or being present before the coverage of inventories, the possibility that potentially dangerous glacial lakes

428   are completely missed by lake inventories relying on satellite imagery hence needs to be considered.

429   **4.4 GLOF paths**

430   Records of the extent of GLOF events (459) allow for an evaluation of GLOF paths (Figure 6). Exploiting other spatial datasets,

431   this can help in evaluating possible drivers as well as impacts. Repeat spatial products related to the cryosphere available in

432   HMA include decadal glacier outlines (He and Zhou, 2022; Lee et al., 2021; Xie et al., 2023), distributed data on ice mass loss

433   (Brun et al., 2017; Hugonnet et al., 2021), permafrost probability (Obu, 2021) and snow cover (Muhammad and Thapa, 2021).

434   Such datasets can be compared with recorded GLOF events and lakes that have not resulted in GLOFs to evaluate potential

435   drivers. The hazard of a GLOF is closely associated with moraine stability, which is linked to the immediate history of glacier

436   retreat in the area. Areas of recent permafrost change are likely also more susceptible to mass movements, which have already

437   been identified as important drivers of GLOF events (Figure 4). Rapid increase in local temperature is often recorded as a

438   reason for GLOFs occurring (Figure 4), but to date no studies exist that establish a definite link between increased melt from

439   either ice or snow that eventually results in the drainage of a lake or failure of a dam.

440   On the downstream, distributed datasets on infrastructure, population or ecosystems allow for assessments of impacts and

441   vulnerabilities (Figure 6). Coupling of GLOF paths with distributed population data (Thornton et al., 2022) would allow for

442   the computation of people potentially impacted, coupled with remotely sensed vegetation and agriculture data which would

443   allow for estimates on local economic and ecological impacts. GLOF paths could also be used to develop hazard zonation

444   maps, as is already standard practice for avalanches in many regions.

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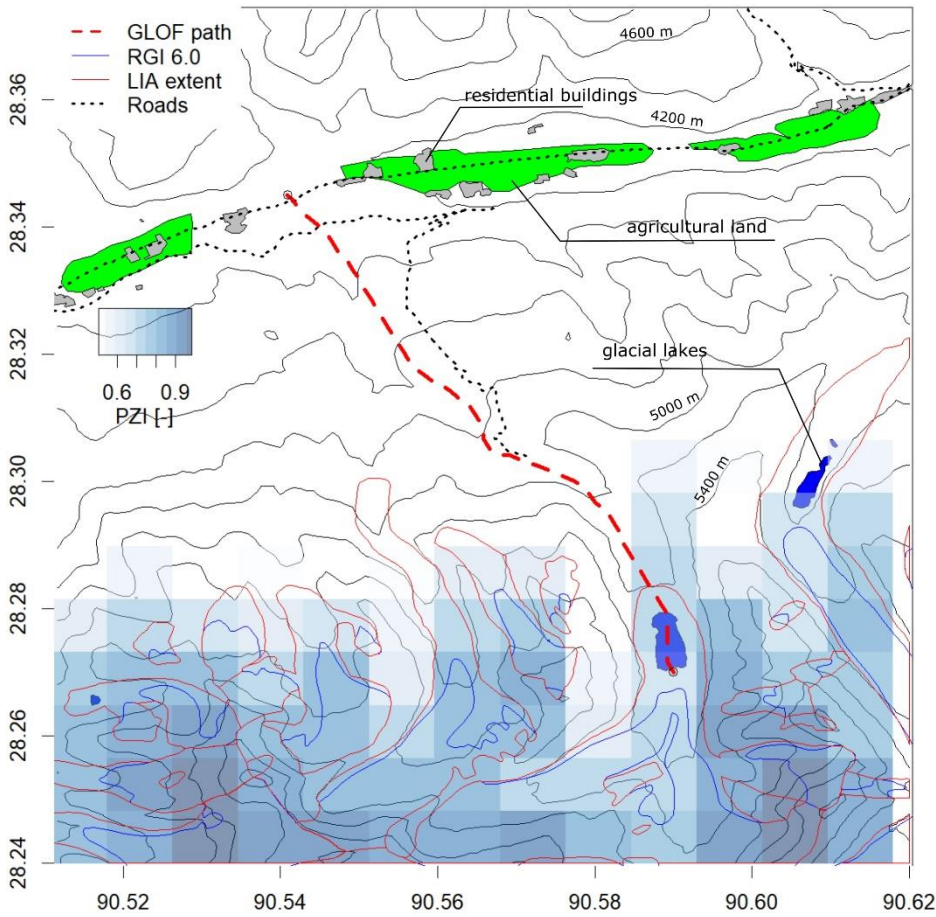
Figure 6: Extracted GLOF path for one event (GF_ID 651), showing the RGI 6.0 outline, the possible glacier extent during the little
ice age (Lee et al., 2021) and probability of permafrost occurrence, with high values suggesting a likely and low values a less likely
occurrence of permafrost (PZI, Obu, 2021). Shape files of roads are taken from Open Street Map (OSM), residential and agricultural
areas are mapped from Maxar imagery. The figure can be directly created in the available R code from the database for any event.

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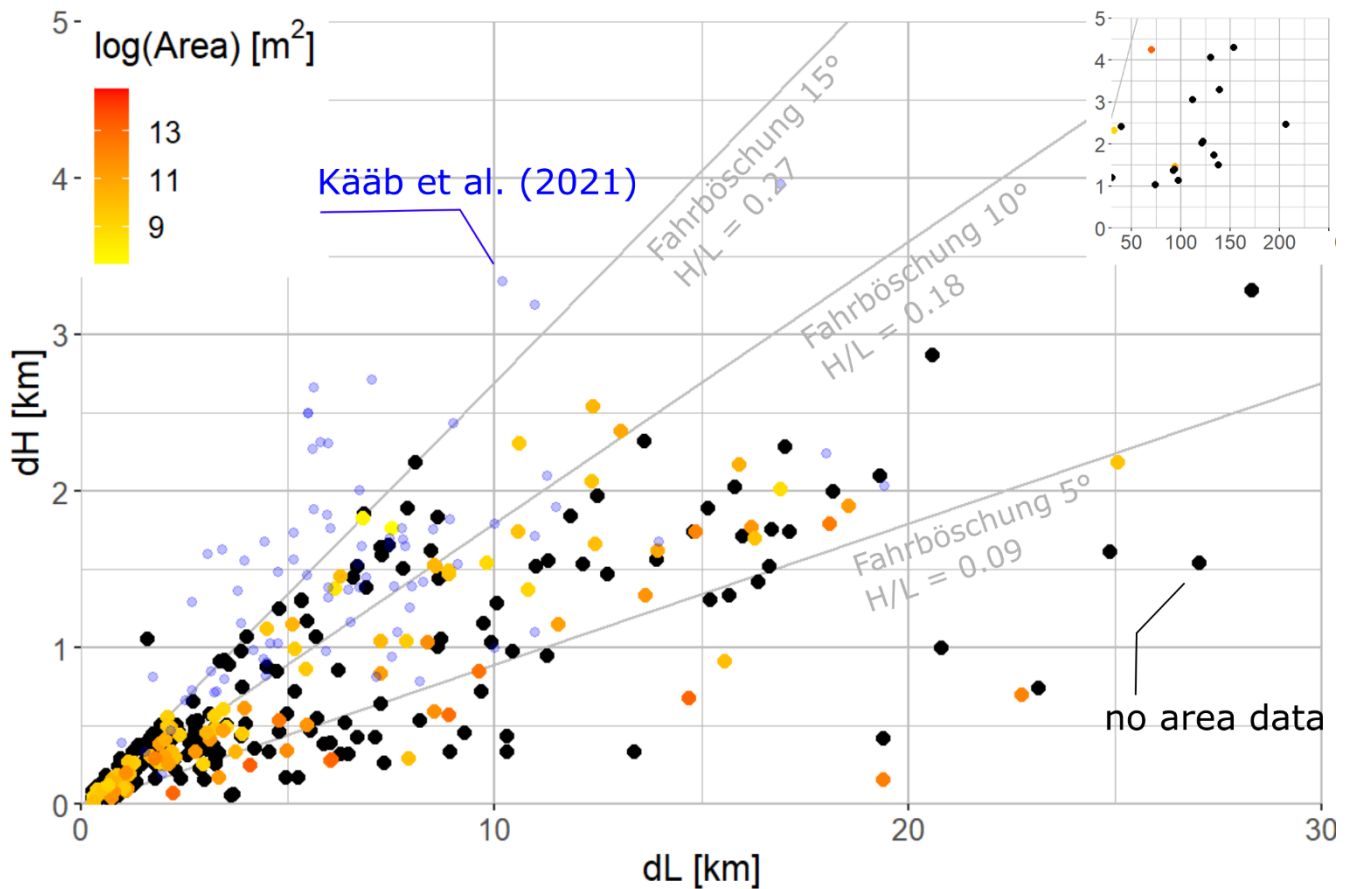
Figure 7: Reach angles (*Fahrböschung*) for all GLOF events with recorded downstream impact location (459). Black dots are events where no recorded lake areas exist. Shaded from yellow to red are GLOFs for which some record of lake area exists (either from the original source or a lake database), that may not necessarily be the lake area just before the event. In light blue records from Kääb et al. (2021) are shown, which largely relate to glacier detachments or historic large scale debris flows and GLOFs.

For GLOFs where the location of the lake and the lowest recorded evidence of the outburst flood wave (either reported flood or observed debris deposits), we are able to calculate reach angles, i.e. the elevation difference over the distance of the flood path between start and end point (Figure 7). Current studies estimating the future exposure of downstream infrastructure and livelihoods to potentially dangerous glacial lakes are based on estimated runouts (Schwanghart et al., 2016; Taylor et al., 2023; Zheng et al., 2021a), without the ability to rely on actual expected reach. While a definite prediction of any future GLOF reach will never be possible and even detailed numerical studies of individual events are sensitive to small changes in topography (Muhammad et al., 2021; Westoby et al., 2014), the data presented here allows future studies to apply ranges of a potentially likely reach, depending on glacier size and path topography. With a median runout length of 6.1 km (mean μ = 32 km, biased towards a few events of exceptional reach; Figure 7 inset) and a median elevation drop of 1036 m (μ = 1126 m), the mean reach angle is 0.14 (σ=0.09; 8°). Nearly all of the markedly cluster below a reach angle of 0.27 (15°, Figure 7), considerably shallower than large scale mass flow events like glacier detachments (Kääb et al., 2021). For comparable drop heights GLOFs

467   hence travel considerably further than glacier detachments, but the data also suggests a limit in reach, that can provide an upper

468   bound when assessing the potential reach of lakes at risk of an outburst in future. While it would be possible to establish lake

469   volumes from lake areas (Cook and Quincey, 2015), the inherent uncertainties in these approaches and the discrepancy between

470   date of lake mapping and GLOF event result in large overall uncertainties trying to establish a link between lake volumes and

471   potential reach of GLOFs . We therefore only use areas from the available inventories or mapped areas from just before the

472   event as a proxy (Figure 7). Lakes with an area larger than 10^5 m^2 (123) result in median reach angles of 0.16 (9.0°), lakes

473   smaller (107) in slightly steeper angles of 0.17 (9.8°), suggesting that the size of a GLOF holds some potential for projecting

474   its reach, apart from the many other influencing factors.

4.5 Downstream impacts

476   Excluding the single Kedarnath event in 2013, wherein 6000 people were reportedly killed by a combination of other hazards

477   including but not limited to the GLOF, 906 people were reported to be killed directly by 24 GLOFs. This number is three times

478   higher than the previous record of 300 (Carrivick and Tweed, 2016), only considering the time until 2005 to cover the same

479   periods our database still records 854 fatalities. Only 25% (54) of all recorded events (216) in the region had previously any

480   impact recorded (Carrivick and Tweed, 2016), while our database now has impacts on livelihoods or infrastructure noted for

481   149 events (21%). Comparing this number to other mountain hazards in the region is difficult, as data are rare. Snow and ice

482   avalanches (excluding mountaineering accidents) in the same region have resulted in more than 3000 fatalities, with records

483   only starting in the 1970s (Acharya et al., in review). Landslide fatalities for countries entirely in HMA suggest 67 deaths in

484   Afghanistan, 50 in Bhutan, 809 in Nepal and 75 in Tajikistan between 2004 and 2010 (Petley, 2012), suggesting this hazard

485   to be considerably more dangerous to human life.

486   Impacts beyond fatalities are often recorded, but socioeconomic valuations are lacking. In the few individual events with

487   valuations of damage by the immediate event, this is typically focused on the value of expensive national infrastructure like

488   hydropower projects. The value of agricultural land, residential or commercial buildings, or long-term damage due to damage

489   to road, health or education infrastructure are rarely assessed. Infrastructure datasets that allow for a rapid assessment of

490   damage are generally not available. Such an assessment was previously attempted for GLOFs globally (Carrivick and Tweed,

491   2016). However, a more thorough discussion of available impact datasets for HMA is required. Many of these data are available

492   in reports prepared for disaster response (e.g. for the Upper Indus basin, both Afghanistan and Pakistan, prepared by AKAH

493   or UNDP (Ashraf et al., 2015; Gohar, 2014) or Kazakhstan prepared by scientists from local Universities (Medeu et al., 2016))

494   but have so far not been compiled in a standardized manner.

495   A few GLOF events have now been recorded already that have reached urban (e.g. GF_ID 131 south of Almaty, where dam

496   structures were erected as adaptation measures) and semi-urban (e.g. GF_ID 515 north of Melamchi) space. As more and more

497   infrastructure is being built in upstream areas and close to river channels, vulnerabilities are expected to increase. Future studies

498   should investigate potential flood impacts on built up areas as well as hydropower infrastructure, which often require different

499   model setups than for environments with infrastructure only including roads and single houses (Fischer et al., 2022).

500 While nearly one-third of all GLOFs could potentially be transboundary (i.e. the downstream path eventually crosses a current

501 national border), less than ten events have resulted in confirmed transboundary impacts. While a lot of attention has been given

502 to transboundary climate risks in the recent past, our data suggests that it may be less the actual flood wave that is of

503 transboundary concern and more the associated impacts in one country (e.g. road disruptions with impacts on trade) that could

504 have knock-on effects across borders, including a disruption of trade, access to health care or education (Steiner et al., 2023).

505 Mass flow events like GLOFs have been previously identified as an important part of the sediment balance in mountain rivers

506 (Cook et al., 2018), with potential implications for landscape formation as well as hazards (Li et al., 2022). The database does

507 include recorded values of discharge of water (72; $\mu = 3248$ m^3 s^{-1}; min: 3.3 – max: 21300 m^3 s^{-1}) as well as sediment (19; μ

508 $= 620$ m^3 s^{-1}; 25 – 2000 m^3 s^{-1}) but those observations are already associated to large uncertainties. An appraisal of the existing

509 data and estimates of drained volumes based on satellite imagery from before and after the GLOF events could provide an

510 approach to estimate the role this large number of GLOFs plays in overall sediment fluxes and could be a focus of future

511 research.

4.6 Comparison to previous inventories and limitations

513 The most comprehensive databases of GLOFs covering HMA were produced by Veh et al. (2019a), focusing on moraine-

514 dammed lakes exclusively but identifying many previously unidentified events. Zheng et al. (2021b) also identified many

515 events that had hitherto gone unidentified. Both studies have been consulted for this study. 144 GLOFs in this inventory (21%

516 of the total) were previously only reported in (Zheng et al., 2021b), 15 (2%) in (Veh et al., 2019a). We also followed the

517 approach of Zheng et al. (2021b) to question any previous records and keep a separate record of events that were initially

518 identified as GLOFs but turned out not to be or cannot be ascertained to be. Future studies should investigate events we have

519 identified as outbursts from water pockets (22 cases as well as potentially more in what we have discarded as GLOFs in a

520 separate database), to better understand involved processes. None of these water pockets are visible before any event, and

521 posterior evidence of their existence is limited to vast subglacial channels that appeared (e.g. Figure 2C) as well as apparent

522 rapid lowering of glacier surfaces but sometimes simply the observation of previously filled crevasses at the snout where a

523 debris flow suddenly emerged. In Switzerland, these types of outburst floods have been earlier estimated to account for 30 to

524 40% of all glacier floods (Haeberli, 1983), but detailed investigations are limited to one especially disastrous case dating back

525 to the 19th century (Vincent et al., 2015). All events in HMA originated at the terminus of relatively steep glacier tongues and

526 may have some similarity in genesis to glacier detachments (Kääb et al., 2021, 2018) but runout properties – fast debris flows

527 with no visible fraction of ice - are distinctly different. More comprehensive investigations into these cases will be required in

528 future. Veh et al. (2022), a global study that also covers the region already provides an accessible database, however a

529 considerable smaller number of recorded events (459; 11 events in our database were sourced from this database).

530 A large motivation for GLOF studies has been the question of whether GLOFs have been increasing in the recent past with a

531 change in climate. Recent global and regional studies on moraine-dammed lakes have shown that there is no apparent trend,

532 but suggest a possible lag that may eventually result in an increase in such events (Harrison et al., 2018; Veh et al., 2019a). A

recent global study investigating all types of outbursts suggests a weak coupling of temperature rise and GLOF frequency (Veh et al., 2022). We can confirm findings from Veh et al. (2022) that currently available records likely do not cover all GLOFs that have actually occurred. The fact that we still overlook past events and the strong regional variability seem to make it challenging to find definite climate relations and any such statements should be treated with caution. For some individual lakes that have a documented history of draining since the end of the 19th century (e.g. Hassanabad/Shisper, Kyagar, Merzbacher, Karambar) no apparent trend is visible, while damages have likely increased due to increased exposure of infrastructure in high mountain areas (Li et al., 2022). Hotspots where ice-dammed lake outbursts have repeatedly been unleashed have, in some cases, even completely ceased to exist as the glacier tongues have receded so far that they cannot dam the main valley anymore (e.g. the Shyok glaciers). This is in line with a recent global study finding a decrease in extreme outburst floods from ice dammed lakes (Veh et al., 2023).

The attention that GLOFs in general have received in the region in the past (see Emmer et al., (2022) for a comparison of events versus actual studies globally, with a notable discrepancy for the Himalaya) has possibly led to the misidentification of GLOF events. Flash floods and debris flows where the source remains unclear are often immediately recorded as GLOFs in media (especially in the Western Himalaya, the Karakoram, and Hindu Kush), as this generally gives it more attention than the term 'debris flow' would. Conversely, due to a lack of records in unpopulated areas many GLOFs may have gone unrecorded (Veh et al., 2022; Zheng et al., 2021b) as confirmed in this study. What remains poorly documented so far are impacts in numerous dimensions. Not only are direct impacts poorly recorded in accessible format, secondary medium and long-term effects remain missing from the literature. People injured (qualitatively and quantitatively), people displaced temporarily and permanently, gender and age group of people affected are rarely reported. Effects on mental health have been recently reported but no studies exist in scientific literature (Ebrahim, 2022). With high rates of both erosion and deposition, GLOFs can cause damage to agricultural land as well as infrastructure for years after the actual event, eventually causing damage from the remaining devaluation of land or lack of access to health facilities, markets or education. Information on the severity of deposits would be needed for actual economic impacts and is crucial to further contextualize GLOFs in loss and damage frameworks (Huggel et al., 2019). To this end, it is crucial that future efforts rely on datasets that are transparent and accessible to all stakeholders to make decisions on adaptation that are targeted and sustainable.

5 Data availability

The GLOF database is published under https://doi.org/10.5281/zenodo.7271187https://DOI:10.5281/zenodo.7271188 (Steiner and Shrestha, 20232). The code to process data as well as the development version, where new events are added regularly, is available on githubGitHub (https://github.com/fidelsteiner/HMAGLOFDB). Events added in the development version will be quality checked before they are retained in further releases published on Zenodo. To provide an accessible version of the data to non-academic stakeholders, the database is also shared on the ICIMOD RDS (Regional Database System), where it will be

564 ~~updated annually (https://doi.org/10.26066/RDS.1973283) (ICIMOD, 2022).~~ also visualized on an interactive map accessible

565 from the README file in the published database as well as the development version.

6 Conclusion

567 In this study, we present a comprehensive compilation of GLOF events in High Mountain Asia from the mid 19th century until

568 2022. The inventory is machine-readable and version-controlled and will be updated as information on new events become

569 available in future. It includes basic information on time and location, involved processes and impacts and is linked to other

570 inventories of glacial lakes and glaciers allowing for future investigations into drivers of outburst floods. Of 697 individual

571 events, 47% have a known month of occurrence, allowing investigations into seasonality, and 39% have a recorded day of

572 drainage, allowing future investigations of prevailing weather preceding the event. 52% of all GLOFs can be associated with

573 a lake mapped in at least one glacial lake inventory, and 95% to a mapped glacier, allowing for straightforward analysis on

574 how upstream glacier mass loss and lake area change influences the occurrence of outburst events. We have a good overview

575 of what type of lakes were the source, revealing that large regional differences exist, with sparingly few ice-dammed lakes in

576 the Himalaya and only very few moraine-dammed lakes in the Karakoram linked to GLOFs. The mechanisms of lake formation

577 or drainage are documented for only very few events. Volumes of floods are not only seldom documented but also highly

578 uncertain. However, the combination with lake inventories allows for approximate estimates, with lake area changes as a

579 proxy. With a record of minimal potential reach of GLOF events in 66% of all cases we can show that GLOF events generally

580 do not exceed reach angles of 15° (and events with higher volumes even less), information that can be useful for future hazard

581 mapping.

582 Our dataset suggests that 906 deaths were directly associated to a GLOF event, three times as many as previously reported for

583 the region. Compared to other mountain hazards in the region, GLOFs however have caused a relatively small loss of human

584 life. Other impacts however remain relatively poorly documented.

585 With 7% of all events recorded here never mentioned in literature before coming from local and oral information rather than

586 other academic publications. Our study emphasizes the importance of considering all types of sources and acknowledges the

587 high likelihood, that our current records of GLOFs probably remain an underestimation of actual events. Conversely, we also

588 emphasize the importance of cross-checking sources carefully, as mass flow events in the region have been previously

589 mistakenly recorded as GLOFs without sufficient examination. While this is the first GLOF inventory to comprehensively

590 address downstream impacts, much of this information has never been recorded. Injuries are rarely recorded nor are the

591 monetary values of damaged property or the long-term economic effect of damaged infrastructure. Future studies should

592 evaluate methods to estimate damages rapidly, which will require more interdisciplinary approaches including social scientists

593 for field assessments and economists for ways to upscale risk and damage assessments. Further focus should also be given to

594 documenting local and indigenous knowledge on GLOF hazards, which could reveal impacts that so far have been overlooked.

595 As our understanding of the changing cryosphere in HMA is ever increasing, and with the expansion of our understanding of
596 topics such as snow melt and permafrost, future studies should attempt to combine inventories of lakes and GLOFs with
597 potential processes even further upstream that may result in cascading hazards in future. Such issues are expected to increase
598 in future. Other hazards should ideally also be documented in formats that make it possible to pair it with already existing
599 inventories for regional studies and modelling attempts.

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