HMAGLOFDB v1.0 – a comprehensive and version controlled database of glacier lake outburst floods in <u>high-High mountain Mountain</u> Asia

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Abstract. Glacier lake outburst floods (GLOFs) have been intensely investigated in High Mountain Asia (HMA) in recent 16 17 years and are the most well-known hazard associated with the cryosphere. As glaciers recede and surrounding slopes become 18 increasingly unstable, such events are expected to increase, although current evidence for an increase in events is ambiguous. 19 Many studies have investigated individual events and while several regional inventories exist, they either do not cover all types 20 of GLOF or are geographically constrained. Further, downstream impacts are rarely discussed. Previous inventories have relied 21 on academic sources and have not been combined with existing inventories of glaciers and lakes. In this study, we present the 22 first comprehensive inventory of GLOFs in HMA, including details on the time of their occurrence, processes of lake formation 23 and drainage involved as well as downstream impacts. We document 682-697 individual GLOFs that occurred between 1833 24 and 2022. Of these, 230% were recurring events from just three ephemeral ice-dammed lakes. In combination, the documented 25 events resulted in 6907-6906 fatalities, with of which 906 fatalities were from 24 events, which is 6000 of these linked to a 26 single GLOF, three times higher than a previous assessment for the region. The integration of previous inventories of glaciers 27 and lakes within this database will inform future assessments of potential drivers of GLOFs, allowing more robust projections 28 to be developed. The database and future, updated versions, are traceable, version controlled and can be directly incorporated 29 into further analysis. The database is available at https://doi.org/10.5281/zenodo.7271188 (Steiner and Shrestha, 2022) while 30 the development version is available on github. (https://github.com/fidelsteiner/HMAGLOFDB).

31 1 Introduction

32 High Mountain Asia (HMA) has the largest expanse of glacier ice (~95000 glaciers) beyond the two poles (Guillet et al., 2022)

- and also has a large number (~30000) of glacial lakes, covering ~2000 km² (Wang et al., 2020). Glaciers in the region have
- 34 retreated and lost mass on a large scale between 0.06 and 0.4 m w.e. a⁻¹ across all mountain ranges since the 1960s (Bhattacharya

35 et al., 2021), this retreat often leading the formation and rapid expansion of glacial lakes (Nie et al., 2017; Shugar et al., 2020; 36 Zhang et al., 2021a). Many of these Numerous lakes have previously eaused-resulted in glacial lake outburst floods (GLOFs; 37 Carrivick and Tweed, 2013; Nie et al., 2018; Song et al., 2016; Veh et al., 2019b). GLOFs have been recorded in various parts 38 of the world for decades for many decades in different parts of the world (Emmer et al., 2022; Veh et al., 2022), including the 39 European Alps (Huss et al., 2007), the Andes (Iribarren Anacona et al., 2014), North America (Wilcox et al., 2014) and the 40 Hindu kusKush Himalaya (HKH); (Ives et al., 2010; Mool et al., 2001; Rounce et al., 2017). Numerous studies suggest that 41 glacial lakes in HMA have grown in total area and number since the 1990s (Chen et al., 2021; Shugar et al., 2020; Wang et 42 al., 2020; Zhang et al., 2015; Zheng et al., 2021a). An overall increase of 5.9% in lake number and 6.8±0.1% in area was 43 reported between 1990 and 2015 (Zheng et al., 2021a). Other studies find an increase by n=2916 and 273.65 km² between 1990 and 2018 (Wang et al., 2020) and by n=3342 and 220.64 km² between 2009 and 2017 (Chen et al., 2020). Results suggest 44 45 that the total area increase has been driven by the expansion of proglacial moraine-dammed lakes (Zheng et al., 2021a; Nie et 46 al., 2013, Gardelle et al., 2011). The number of proglacial lakes in contact with glaciers increased by $31.3\pm0.3\%$ between 1990 and 2015 (Zheng et al., 2021a), and by 96.27 km² (57%) between 1990 and 2018 (Wang et al., 2020) in HMA. 47 48 Regional studies suggest that ongoing expansion of lakes (Gardelle et al., 2011; Shugar et al., 2020) is expected to create new 49 hotspots of potentially dangerous hazardous lakes (Furian et al., 2022; Linsbauer et al., 2015; Zhang et al., 2022a; Zheng et al., 50 2021a) with implications for GLOF hazards and risk (Haeberli et al., 2016). Numerous processes have been previously 51 identified as direct or indirect triggers of GLOFs. Dynamic slope movements (ice/snow falls, rockfalls, or landslides) into a 52 lake can rapidly displace lake water (Awal et al., 2010; Jiang et al., 2004), similarly to glacier calving creating a displacement 53 wave that overtops the dam (Emmer and Cochachin, 2013; Westoby et al., 2014; Worni et al., 2014). Intense rainfall or ice 54 melt leading to sudden increases in water levels also have the potential to strain dams (Allen et al., 2016; Cook et al., 2018; 55 Worni et al., 2012). Seismic events can destabilize moraine dams, contributing to an eventual failure (Osti et al., 2011; Somos-56 Valenzuela et al., 2014; Westoby et al., 2014). Seepage, piping, and degradation of an ice-cored moraine can eventually lead 57 to dam failure (Mool et al., 2001; Yamada and Sharma, 1993). Past global assessments indicated that GLOFs have caused 58 more than 12,000 fatalities in the last century alone and caused significant damages to infrastructure and farmland (Carrivick 59 and Tweed, 2016). With growing populations, settlements, and infrastructural development in downstream areas, the exposure 60 of communities and structures in the shadowdownstream of these lakes is rising (Li et al., 2022). Timely GLOF risk reduction measures and implementation of risk reduction strategies have become increasingly crucial but remain challenging, especially 61 62 in politically sensitive regions (Allen et al., 2019; Khanal et al., 2015). Several studies have focused on the causes, mechanisms and trends of GLOFs over the past few decades (Allen et al., 2016; 63 64 Dwiwedi et al., 2000; Ives, 1986; Mool et al., 2001; Nie et al., 2020; Zheng et al., 2021c), and the number of individual studies, 65 especially in HMA, has increased sharply over recent years (Emmer et al., 2022). A large number of these studies have focused 66 on individual events. While some have attempted to collect information on GLOFs in HMA and have also made data accessible,

- 67 they are often geographically constrained (Nie et al., 2018; Zhang et al., 2021); Zheng et al., 2021b) or focus on certain types
- of GLOFs (Veh et al., 2019a). A recent global study has bridged this gap (Veh et al., 2022). However, it does not cover impacts.

69 Recent studies have noted that a large number of GLOF events were ommitted from previous records as they remained 70 unreported or were recorded in local media and had not been documented in scientific literature (Veh et al., 2022; Zheng et 71 al., 2021b). Studies with more detailed information on individual events generally either do not have accessible inventories, 72 focus on only a certain type of GLOF (Veh et al., 2019a) or do not include more than type of lake and location (Zheng et al., 73 2021b). Developing more comprehensive datasets is crucial (Emmer et al., 2022), and these have become increasingly 74 necessary as research has shifted from an evaluation of GLOF hazards to risks, including transboundary dimensions (Zheng et 75 al., 2021a). As information on individual events may be added in future (e.g., on triggers or direct and indirect impacts) and continuously more GLOFs are reported, a database where changes can be traced and new releases are possible in the future 76 77 (Blischak et al., 2016) is crucial and has been successfully employed for cryosphere data (Welty et al., 2020). Recent studies 78 of the cryosphere have also made immense progress in demonstrating the potential benefits of traceable datasets to the scientific 79 community and establishing standards that need to be followed to make records accessible (Mankoff et al., 2021; Welty et al., 80 2020). Additionally, aA database with a clear structure should also be accessible by non-academic stakeholders who are not well versed in machine-readable data (i.e., simple, and easily understandable .csv or .txt files with clear Metadata) as well as 81 82 scientists who may want to couple it to other regional datasets. 83 In this study, we therefore attempt to (a) provide the first comprehensive dataset of GLOFs in HMA including their location,

84 timing, associated processes and downstream impacts; (b) complement records from scientific literature with a rigorous 85 evaluation of local sources on previously unrecorded events; and (c) show the potential of making such a dataset fully 86 accessible and interoperable to couple it to other geospatial datasets (incl. lakes and their temporal evolution).

87 2 Methods and data

88 2.1 Compilation of lake data

89 Knowledge about the presence and characteristics of glacial lakes is arguably the most important baseline for an investigation 90 into GLOFs. In this study, we rely on a previously developed and publicly available dataset of glacial lakes for the Hindu Kush 91 Himalaya (HKH) region (Maharjan et al., 2018). This inventory was prepared using automatic approaches with manual 92 correction, employing Landsat satellite imagery from 2005±2 years. It was delineated for five major river basins within the boundary of the HKH region as defined by ICIMOD (International Centre for Integrated Mountain Development). This 93 database includes moraine dammed, ice dammed, supraglacial, bedrock dammed, as well as lakes created from damming due 94 to debris flows and landslides. For the years 2000, 2010, and 2020 using the same methodology, only moraine dammed, and 95 ice dammed lakes were delineated across the HKH (see Figure 1 for the respective regional outlines). Each mapped lake has 96 97 a time stamp through the image file name as well as an ID in the format previously used for glaciers (GLIMS ID). This allows 98 lakes to be linked to associated glaciers as well as GLOFs. We refer to this dataset as ICIMODDB. 99 We also rely on two previously compiled lake databases, that cover all of HMA but have similar data structures (same GLIMS

100 ID) and equally rely on Landsat imagery. Wang et al. (2020) provide an inventory of lakes identified in 1990 and 2018,

- 101 respectively, relying on a mix of automated detection and manual correction. (Chen et al., (2021) provide a dataset of lakes
- 102 mapped at 30 m resolution each year between 2008 and 2017 without any manual correction. We refer to these two datasets
- 103 as WangDB and ChenDB throughout the manuscript, respectively.

104 2.12 Compilation of GLOF data

Historical GLOF data was compiled by searching peer-reviewed articles, news articles, book chapters, technical reports, and personal communication with the final cut-off date 30th June 2022. The database covers entries from 1<u>15</u>22 publications, including <u>8379</u> peer-reviewed journal articles, 16 book chapters, and <u>15-16</u> technical reports. Additionally, online news articles (n=9), and social media posts (n=3) were also assessed. Beyond this, the authors also included events reported by anecdotal accounts from local sources. These were collected during fieldwork in the respective affected localities by the authors.

Correctly identifying historical events is challenging. It is highly likely that previous estimates of GLOF numbers have 110 111 underestimated actual occurrences, due to a lack of accessible reports as well as many GLOFs that occurred in remote areas 112 with no discernible impacts on livelihoods or infrastructure (Veh et al., 2022; Zheng et al., 2021b). In this study, we have 113 included events previously reported in scientific literature as well as reports from regional media or records from local civil 114 society organisations and citizens. This expanded list of sources allows to reduce inherent uncertainties in each single one of 115 them. Reported cases from scientific literature may be biased towards research catchments where scientists have a good grasp 116 of historic cases but provide good evidence for individual GLOF characteristics. For GLOFs in HMA this is the case for a few 117 well studied examples like Merzbacher lake (Kingslake and Ng, 2013) or Kyagar (Round et al., 2017). News reports tend to focus on GLOFs with considerable effects on critical infrastructure or human life, and in these cases provide valuable data on 118 119 impacts. Satellite imagery covers events irrespective of their impact or research interest but provides limited evidence for events that happened before the end of the 20th century. Local knowledge provides insights any of the above sources may have 120 121 missed but information may have become less accurate as memory fades or while being passed on between generations. 122 Beyond the added number of recorded events, rReliance on this expanded source list introduces an inherent risk of 123 overreportingmisidentificationed, which must be accounted for. When debris flows or even pluvial floods reach mountain 124 settlements they are often identified as GLOFs, without proof of their source. This happened, for example, after the Chamoli 125 rockslide (Shugar et al., 2021) and regularly happens when debris flows occur in the Upper Indus Basin. Verification of the 126 source is, therefore, essential. If satellite imagery is available, we verified whether the typical v-shaped moraine breach as well 127 as deposits are visible (Zheng et al., 2021b). If available, we checked rapid lake area changes, or exposed lake beds that were 128 clearly visible. For historic events, we ascertained whether a GLOF is technically possible (i.e., if there are lakes in the 129 upstream). High resolution 15 cm HD Maxar satellite images (available through ArcMap) were consulted to confirm doubtful 130 events. For events that were previously not reported and for which dates are uncertain (e.g. if only visible from satellite 131 imagery), we also provide the date and unique identifier of the earliest satellite imagery that holds evidence of the GLOF. 132 Being able to rely on a variety of independent sources, and check with stakeholders involved in hazard response in affected 133 regions, we are able to corroborate between multiple sources. As a result, we discarded numerous previous events as unlikely

- 134 or not verifiable in a separate table (/Database/GLOFs/HMAGLOFDB_removed.csv/HMAGLOFDB_removed.csv) and did
- 135 not include new events where we could not confirm local reports with satellite imagery or records from field visits.
- 136 Although the term GLOF would suggest that a glacier needs to be somewhere adjacent, we also include lake outburst floods
- 137 that have happened far away from any glacier (e.g., Lang Co in the Eastern Himalaya in 2007, 91.807 E 27.828 N) as they
- 138 appear in a landscape that was most likely glaciated at one point. We also record GLOFs that can-not be directly associated to
- 139 a glacier, either because from the source or satellite imagery it is not clear which glacier upstream feeds into the lake or because
- 140 there is no adjacent glacier in any of the available inventories.
- A number of regional delineations exist for HMA, including the one following RGI (Pfeffer et al., 2014), the HiMAP report 141 142 (Bolch et al., 2019), an outline favoured by scientists focusing on the Tibetan Plateau (Nie et al., 2017) and the outline of the 143 HKH by ICIMOD. None of these agree with each other, making comparisons of regional statistics very difficult. All our 144 GLOFs are consequently georeferenced and the respective area codes for the RGI and HiMAP inventory are provided to allow 145 aggregation. Discussing regional patterns, crucial when communicating data to policy makers, who may only feel responsible 146 for a certain area within HMA., we We follow the delineation of the HiMAP report, but bin together all subregions of the Tien 147 Shan (inventory OBJECTIDs 1-34) to 'Tien Shan', Pamir and Alay (5 - 7) to 'Pamir', West (10) and Central (11) Himalaya 148 to 'Himalaya West/Central', the Western Kunlun Shan (13) and the Karakoram (9) to 'Karakoram', Nyaingentanglha (18), 149 Gangdise Shan (17), Hengduan Shan (20) and Eastern Himalaya (12) to 'Himalaya East/Hengduan Shan' and all remaining 150 subregions on the Tibetan Plateau and its fringes (14 - 16, 19, 21, 22) to 'Tibet'.

151 2.23 Data structure and recorded variables

- 152 Only a few studies on GLOFs have accessible inventories of events and those are limited to global assessments (Carrivick and Tweed, 2016; Veh et al., 2022). Studies with more detailed information on individual events generally do not have accessible 153 154 inventories, focus on only a certain type of GLOF (Veh et al., 2019a) or do not include more than type of lake and location (Zhong et al., 2021b). As information on individual events may be added in future (e.g., on triggers or direct and indirect 155 156 impacts) and continuously more GLOFs are reported, a database where changes can be traced and new releases are possible 157 in the future (Blischak et al., 2016) is crucial and has been successfully employed for cryosphere data (Welty et al., 2020). A 158 database with a clear structure should also be accessible by non academic stakeholders who are not well versed in machine-159 readable data (i.e., simple, and easily understandable .esv or .txt files with clear Metadata) as well as scientists who may want 160 couple it to other regional datasets. Multiple datasets related to the cryosphere (Wang et al., 2020; Xie et al., 2023; Soheb to et al., 2022; Yang et al., 2023) are already easily accessible (e.g., historic glacier outlinesXie et al., 2023; Soheb et al., 2022, 161 162 dh/dt, glacier lakes, permafrost extents) and can be easily combined with an inventory of GLOFs. 163 Future events will be updated directly in the database in .git on a rolling basis. Quality control will be performed on new events 164 each year, at which time the database will also be updated on the Regional Database System (RDS) of ICIMOD, where the
- 165 Digital Object Identifier (DOI) will remain the same.

166 The database provides access to datafiles as well as code used to process data. All recorded GLOF events are stored in a single 167 *.csv file (/Database/GLOFs/HMAGLOFDB.csv), events that are doubtful or definitely not a GLOF are stored separately (/Database/GLOFs/HMAGLOFDB removed.csv). A separate metadata file specifying input format and units in machine and 168 169 human-readable YAML (.yml) format is provided as a HMAGLOFDB Metadata.yml,*.txt file 170 (HMAGLOFDB Metadata.txt), which is also machine readable providing details on all data files and variables in the database. 171 An overview over all recorded database variables is provided in Table 1. Each event has a unique numerical identifier, starting 172 1, which follows the same format used previously for glaciers (GLIMS ID), here using the format at 173 GFXXXXXXEYYYYN Z, where GF denotes GLOF, X denotes the longitude, E for East, Y the latitude, N for North and 174 Z is a counter for GLOFs that repeatedly occur from a single lake, starting at from 0 to infinite number. The latitude and 175 longitude are in degrees defined to three decimal places (e.g., GF075474E36344N 3). Where available, the date of the event 176 is provided up to the day of the event. Many GLOFs result in high flows or in some cases even repeated drainage over a number 177 of days. In this case only the last day or day of peak flood is reported, whichever can be ascertained. When the exact year of 178 the event is not known, we provide a range or an alatest possible point in time based on what the original source reported 179 (Year approx) or when it was first visible on satellite imagery. For events we present here for the first time, but where an exact 180 year is not recorded, we provide imagery IDs for Landsat images, that allowed us to constrain the time of occurrence 181 (Sat evidence). Local names of lakes and glaciers are provided if available, should however used with caution for definite 182 identification as often multiple names (or spellings) exist and sometimes different lakes are called by the same name. Where 183 available, the coordinates of the source of the GLOF's source as well as the final impact location downstream are provided as 184 these coordinates supports provide the potential to prepare a hazard zonation map and allows for studies investigating actual 185 reach of events, their impacts on downstream infrastructure, livelihoods, or ecosystems to analyse and evaluate the associated 186 risks. While coordinates for the source are relatively easy to establish and generally somewhere within the outline of a present 187 or past lake, the final impact location is more difficult. We provide coordinates for the most downstream location where the 188 flood was observed due to high flow or any recorded local impact (indicated in *Impact_type* as *Observation*) or, if such 189 information is not given, the furthest location where any deposits are visible from Maxar satellite imagery (Deposit). For the 190 latter case it is hence likely that the flood reach estimate is conservative and has reached much further during the actual event. 191 Elevation data is taken from the original source for the event and if that is not provided, extracted from the Shuttle Radar 192 Topography Mission (SRTM) DEM, via the known coordinates. The type of lake (*Lake type*), namely whether it is dammed 193 by a moraine or ice, or situated on bedrock, on top of (supraglacial) or inside a glacier (water pocket), is recorded, allowing for a distinction of patterns according to the source. We also record whether the GLOF is potentially of transboundary nature 194 (Transboundary), i.e. whether the potential flow path would eventually cross a national border. It does not allow for a statement 195 196 whether the GLOF has actually crossed the border, and irrespective of date of the event assumes national borders from 2022 197 as given. This information is of importance as transboundary climate risks have received increased attention also for GLOFs 198 and other mass flows (Allen et al., 2022; Steiner et al., 2023). Location data including the country, province, river basin as

- 199 well as mountain region the source of the GLOF is located in are inherently also provided via the coordinates, however
- 200 providing this data here allows for a fast aggregation of relevant data for stakeholders not familiar with GIS data.

201 Information related to the GLOF event, the initial driver that caused the lake to form (Driver lake) as well as the GLOF to 202 occur (Driver GLOF) and what mechanism was at play during the drainage event (Mechanism) are important insights for both 203 regional assessments of climate drivers of these events as well as indications for dedicated numerical modelling studies 204 investigating drainage events. They are generally subject to great uncertainty or are simply not known at all (in both cases we 205 refer to the variable as *unknown*), and often only known when the site of the lake was visited right after an event. IDs of tThe 206 source lake location (IDs), and associated glacier, lake Information on lake area (Area), volume drained during the flood 207 (Volume)before flood, as well as flood discharge (Discharge water and Discharge solid) are provided from the original 208 sources and river basin are given where known and existent. This information is crucial for planning, designing and 209 implementation of large-scale projects like hydroelectric power plants and other types of infrastructure, in order to ensure 210 sustainable development. These values have been obtained sometimes by measurement, sometimes by rough estimate and 211 uncertainties likely vary across sites, and are only rarely quantified (Kingslake and Ng, 2013; Veh et al., 2023). We finally 212 report a variety of -iInformation on impacts on livelihoods and infrastructure are provided aimed to conceptualise flood-induced 213 coping mechanisms, enhance livelihood security, and foster self-reliance toward economic stability. This information is 214presented in quantitative as well as qualitative terms formats enabling the database to be read by machines for regional 215 assessments, making it possible to machine read the database, while retaining information that may not be readily quantifiable. 216 Information on fatalities is categorized by gender and disabilities, as disasters impact women and those with disabilities 217 differently than men (c.f. Zaidi and Fordham, 2021), and the Sendai framework on disaster risk reduction explicitly mentions 218 the necessity of collecting "disaggregated data, including by sex, age and disability, as well as on easily accessible, up-to-date, 219 comprehensible, science-based, non-sensitive risk information, complemented by traditional knowledge" (UNISDR, 2015). Differences in vulnerabilities have also been acknowledged in HMA (Resurrección et al., 2019) but studies in the domain of 220 221 disaster risk reduction investigating gender dimensions remain rare (Halvorson, 2002; Thapa and Pathranarakul, 2019). These 222 data areis important for addressing gender inequality, cultural beliefs, and socio-economic factors, as well as advocating for 223 the integration of gender perspectives into disaster risk management efforts. The lack of depth of data, i.e. when not more 224 information is known than a total number of people killed, also provides evidence for data collection gaps that need to be 225 addressed in future assessments. Impacts are also quantified through the total number of individual houses destroyed or 226 damaged, any other infrastructure like roads or bridges impacted (Infra), hydropower infrastructure damaged or destroyed (Hydropower) and agricultural land covered in deposits (Agriculture) and large livestock killed (Livestock). For the few cases 227 were records on total economic damage in monetary units exist they are recorded separately (*Econ damage*). Contrary to 228 229 information on total fatalities, these data are less comprehensively recorded and remain likely incomplete at the time of writing. 230 They provide however a potential indication for regional loss and damage assessments. Sources (scientific, media, oral) of all 231 events are provided as full citations (*Ref scientific full*) or links to the newspaper sources (*Ref other*). (scientific, media, oral).

- A separate metadata file specifying input format and units is provided as a *.txt file (HMAGLOFDB_Metadata.txt), which is
 also machine readable.
- 234

GLOFs that have been found in other sources but were found not to be associated to lake drainage or breach are stored in a separate_*.csv (HMAGLOFDB_removed.csv) with the same format as described above. The file has an additional column; with a separate column that explains ing what the reason for exclusion was_(*Removal_reason*). It also contains a certainty column (Certainty) as well as a column on certainty on it being no GLOF (*Certainty*, that differentiates ing between cases where we are certain it is not a GLOF, or simplycases where we can-not ascertain that if it was one). The name and explanation of each variable and units are provided in Table 1.

- 241
- 242 The database was developed keeping in mind interoperability with other datasets, enabling to allow for future local as well as 243 and regional risk assessments, as well as investigations on how the occurrence of GLOFs can be explained on a regional, rather 244 than an individual level. Multiple datasets related to the cryosphere are already easily readily accessible, including historic 245 glacier outlines (Pfeffer, 2017; Soheb et al., 2022; Xie et al., 2023), elevation change (Brun et al., 2017; Hugonnet et al., 2021), 246 glacial lakes (Chen et al., 2021; Wang et al., 2020) or and permafrost extents (Obu, 2021); and These datasets can be easily 247 combined with an inventory of the GLOFs inventory for comprehensive analysis. Beyond the coordinates of lakes and 248 downstream impact, that allow for a combination with other spatial data, we also provide the GLIMS ID of the glacier feeding into the lake that resulted in a GLOF (G ID) as well as the ID of glacial lakes (GL ID) as recorded in lake inventories. 249 250 Future events will be updated directly in the development version of the database on github on a rolling basis, where additions
- 251 to the database will be visible as soon as they are updated. Whenever new events are reported, they will be screened, quality
 252 checked and updated in the database as the information becomes available. Each year when new events are reported, they will
 253 undergo dDetailed quality controlcheck, e.g. recording of further- including the documentation of information of inpacts or
 254 processes that may become available only weeks or months after anthe events after through fieldwork or detailed
 255 investigations, are carried out, will be performed on new events each year, at which time a A new version of the database will
 256 he published update the error database DOL (Digital Object Identifier) ensuring converte end up to data information
- 256 <u>be published under the same database DOI (Digital Object Identifier) ensuring accurate and up-to-date information.</u>
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 Table 1: Variables for the GLOF database, as documented in the Metadata file. Format is either alphanumerical (A/N), random text

 260
 (TXT), text from a prescribed lista string (STR, with * marking cases where only inputs from a predescribed list are possible) or an

integer (*INT*). If an exact number is not available but is non-zero a '+' is provided (e.g. 'multiple injured'). '*NA*' is used for unknown input. Note that the Metadata file itself provides further details on the individual variables as well as a discussion on naming.

Variable	Format	Description and unit
GF_ID	A/NINT	Unique identifier based on the GLOF (GF) location and repeat occurrence
		starting at 0 if applicable (GLIMS format) for each individual event, starting
		<u>at 1</u>
Year_approx	<u>STR</u> TXT	Year of occurrence; given approximately if GLOF has been identified from
		imagery with no definite account.
Year_exact	INT	Exact year of occurrence
Month	INT	Month of occurrence
Day	INT	Day of occurrence
Lake_name	<u>STR</u> TXT	Local name of the lake, if available.
Glacier_name	<u>STR</u> TXT	Local name of the glacier associated with the lake, if available.
GL_ID	A/NSTR	Unique identifier for the lake (GLIMS format)
G_ID	STRA/N	Unique identifier for the glacier (GLIMS format) associated to the lake
LakeDB_ID	INT	Identifier in which lakes database the lake has been mapped
Lat_lake	INT	Decimal degrees latitude of lake [°, WGS 84]
Lon_lake	INT	Decimal <u>degrees</u> longitude of lake [°, WGS 84]
Elev_lake	INT	Elevation of lake [m a.s.l.]
Lat_impact	INT	Decimal degrees latitude of lowest known impact of the GLOF [°, WGS 84]
Lon_impact	INT	Decimal <u>degrees</u> longitude of lowest known impact of the GLOF [°, WGS 84]
Elev_impact	INT	Elevation of lowest known impact of the GLOF [m a.s.l.]
Impact_type	STR <u>*</u>	Quality of impact record; 'Observation' refers to lowest observed high flow
		or damages; 'Deposit' refers to lowest visible deposit of sediments (from
		satellite imagery). Impacts are therefore conservative, and are likely always
		further downstream than recorded
Lake_type	STR <u>*</u>	Type of lake (e.g., moraine dammed, ice dammed, bedrock etc.)
Transboundary	STR <u>*</u>	Denotes if impact is potentially transboundary
Repeat	STR <u>*</u>	GLOF that occurred again in past or future
Country	STR	Current national borders (2022) the source lake lies in
Region_RGI	STR	Region ID as used in the RGI 6.0 (Pfeffer, 2017)
Region_HiMAP	INT	Region ID as used in the HiMAP report (Bolch et al., 2019)
Province	STR	1 st level province name

Variable	Format	Description and unit
River Basin	STR	River basin the lake is located in
Driver_lake	STR <u>*</u>	Driver that caused the lake to form
Driver_GLOF	STR <u>*</u>	Driver that caused the GLOF to occur
Mechanism	STR <u>*</u>	Mechanism involved in lake breach or drainage
Area	INT	Lake area [m ²]
Volume	INT	Volume of the drained lake water [m ³]
Discharge_water	INT	Measured or estimated water discharge downstream [m ³ s ⁻¹]
Discharge_solid	INT	Measured or estimated debris flow discharge downstream [m ³ s ⁻¹]
Impact	<u>STR</u> TXT	Narrative description of downstream impacts
Lives_total	INT	Total lives lost
Lives_male	INT	Total male lives lost
Lives_female	INT	Total female lives lost
Lives_disabilities	INT	Total lives of people with disabilities lost
Injured_total	INT	Total injured
Injured_male	INT	Total male injured
Injured_female	INT	Total female injured
Injured_disabilities	INT	Total people with disabilities injured
Displaced_total	INT	Total people displaced
Displaced_male	INT	Total male displaced
Displaced_female	INT	Total female displaced
Displaced_disabilities	INT	Total people with disabilities displaced
Livestock	INT	Livestock lost
Residential_destroyed	INT	Number of residential houses destroyed
Commercial_destroyed	INT	Number of commercial houses destroyed
Residential_damaged	INT	Number of residential houses damaged
Commercial_damaged	INT	Number of commercial houses damaged
Infra	<u>STR</u> TXT	Other destroyed infrastructure
Agricultural	INT	Area of farmland destroyed [m ²]
Hydropower	INT	Installed hydropower capacity destroyed [MW]
Econ_damage	INT	Total economic damage [USD]
Sat_evidence	<u>STR</u>	Image IDs used as satellite imagery evidence

Variable	Format	Description and unit
Ref_scientific	TXT <u>STR</u>	Citation of scientific source
Ref scientific full	<u>STR</u>	Full references to the scientific sources
Ref_other	<u>STR</u> TXT	Description of other sources
Remarks	<u>STR</u> TXT	Any other remarks
Removal_reason	<u>STR</u> TXT	Reason for removal (only for separate database of removed events)
Certainty	INT <u>*</u>	Certainty that the removed case is either definitely not a GLOF (0) or may be
		but was removed due to lack of evidence (1, only for separate database of
		removed events)

263 3 Results

264 3.1 Lakes

For the years 2000, 2010 and 2020, respectively, a total of 1610, 1666, and 1725 glacial lakes larger than 0.003 km² were identified with a total area of 221.40 km², 250.63 km², and 269.28km². In 2020, lakes were more densely distributed in the

- 267 Central (34%) and Eastern (29.6%) Himalaya, and sparsely distributed in the Karakoram (4.3%). During the past 20 years, the
 268 number of lakes increased by 7.1% and the total area by 21.6%, indicating growth rates of about 0.4% a⁺ and 1.1% a⁺,
 269 respectively.
- 270 Lakes smaller than 0.1 km²-made up the largest proportion of all lakes (1180; 68%) in 2020, but lakes larger than 0.1 km²

271 contributed 83.08% of the total area. Lakes between 0.2 to 1 km²-contributed most to the total expansion of glacial lakes, with

an area increase of 16.2% during the 20 year period. Only 8 lakes were larger than 3 km², but they contributed 13.7% of the

total area which is equal to the area contributed by 253 glacial lakes between 0.1 and 0.2 km².

274 Glacial lakes were found between 2500 and 6000 m a.s.l., with more than 70% of the lakes being concentrated between 4500

and 5500 m asl. Only 0.4% of the lakes were situated below 3500 m a.s.l., while 15% were above 5500 m a.s.l. Between 2000

and 2020 the expansion of glacial lake area was highest between 2500 and 3500 m (47%) and 5500 and 6000 m a.s.l. (25%).





279

Figure 1: Overview map showing all recorded GLOFs in HMA according to the lake type. The 2018 lake inventory shown here is from (Wang et al., 2020). The HMA outline used is following (Bolch et al., 2019). The external lake database (WangDB, ChenDB) falls within this outline. The HKH outline is based on ICIMOD¹ (<u>https://rds.icimod.org/Home/DataDetail?metadataId=3924</u>) and (Nie et al., 2017)

⁴ https://rds.icimod.org/Home/DataDetail?metadataId=3924



285 Figure 222: (A) Dig Tsho GLOF (1985; database GF ID GF086586E27874N-0322) in the Central Himalaya (Nepal) from a moraine-286 dammed lake. Note the settlements and agricultural land impacted in the lower reach of the deposit. The photo was taken in 2009 287 (Photo credit: Sharad Joshi). (B) Bam Tanab GLOF in the Hindu kKush (Afghanistan) in 2021 from a supraglacial lake (GF_ID 510069638E35460E_0). The ice tunnel, through which the lake drained is visible in the center. Note the two persons people sitting 288289 on top of the ice cliff, just right of the top of the big boulder in the foreground (vellow square). The photo was taken several days 290 after the event (Photo credit: Milad Dildar). (C) Ice tunnel exit at the terminus of the tributary to Badswat Glacier that caused a 291 GOF in 2018, eroding substantial amounts of the moraine in the immediate downstream (Karakoram, Pakistan, 292 GF074060E36505E_0GF_ID 493; Photo credit: Sher Wali). (D) Ice dam at Khurdopin Glacier caused by a surge. The terminus 293 moraine is visible in light grev on the left, partly covered by the spillover ice from the surge. The lake at this location refills multiple 294 times after the surge, sometimes up to half of the moraine height (note the water line is slightly visible from a change of colour, 295 vellow arrows). Photo taken from the location where the lake drains under the surged ice (multiple events under 296 GF075474E36344Nstarting from GF_ID 26; Photo credit: Sher Wali).



298

Figure 3: (aA) Temporal evolution of recorded GLOF occurrence per region and decade. (Bb) Seasonal occurrence of GLOFs.
 Months November to March are not shown, with total events <5.

301 Our database includes 682-697 individual GLOF events (Figure 1, Figure 2Figure 2), recorded between 1833 (with 4 historic 302 events before that date, where any validation of processes is virtually impossible) and 2022 (Figure 3a). 7% (465 of 682697) 303 of the reported GLOFs here have not been mentioned in anydocumented in any previous study. Conversely, 10120 previously 304 reported GLOFs were removed based on new evidence to the contrary (176) or a lack of strong evidence (10484) for it being 305 a GLOF.

- $2\underline{89.4}\%$ of these-the events in the database were recorded in China, $2\underline{4.72.4}\%$ in Kyrgyzstan, 21% in Pakistan, $\underline{8.59}\%$ in India, 7.68% in Nepal, 4.95% in Kazakhstan, 2.93% in Bhutan, 1.6% in Tajikistan and 0.6% in Afghanistan. Following the RGI delineation, 3029% of the GLOFs were recorded in the Karakoram, 1849% in the Eastern Himalaya and 2829% in the Western Tien Shan. Due to different choices in delineations, this looks considerably different for the HiMAP outlines where 289% are in the Karakoram, 17% in the Northern/Western Tien Shan, 145% in the Central Himalaya and 104% in the Eastern Himalaya, outlining the sensitivity of statements regarding mountain ranges to the choice of delineation product.
- For 32506 events (475%) we know the month of occurrence and, of these, 74% took place between June and August (Figure
- 313 $\frac{3bFigure 3B}{2}$). Only 3% of all GLOFs were recorded between November and March. For $2\frac{7556}{2}$ events ($\frac{3927}{2}$) the day of the
- 314 GLOF is known, potentially allowing <u>for</u> an analysis of prevailing weather patterns preceding the outburst.
- The mean elevation of <u>individual</u> lakes associated to a GLOF was 4598175 m a.s.l. (n = 336675; min = 2530-2562 m a.s.l.,
- max = 5982 m a.s.l.). For the GLOFs where impacts were recorded (n=45962; 668%) the average mean elevation difference
- between lake and lowest recorded impact was $11\underline{6138}$ m a.s.l. (min = 19 m a.s.l., max = 4431 m a.s.l.).
- 318 479% of GLOFs originated from moraine-dammed lakes, 342% from ice-dammed and 104% from supraglacial lakes (Figure
- 319 1). Other types occurred infrequently, and particular glaciers were associated with repeated flood events, including englacial
- 320 outbursts from water pockets either deep in the ice or superficially around crevasses (3-3), outbursts from bedrock lakes in
- 321 periglacial terrain (1%) and, outbursts from landslide dammed lakes that formed below glaciers (0.45%) and rapid drainage

322 from supersaturation of meltwater in crevasses at the tongue that subsequently led to moraine failure (0.6%). This latter case, 323 only reported in 4 cases between 2005 and 2018 all in the Upper Indus Basin are technically not a GLOF, since no lake ever 324 exists, and we refer to it as a glacier outburst flood (GOF). Multiple events in the Bagrot subbasin in the Upper Indus, as well 325 as in the Ala Archa basin in Kazakhstan may be of similar origindrainages from water pockets, based on the nature of drainage 326 and the lack of evidence for lakes on the glacier surface or the periglacial terrain, but local evidence is too uncertain to confirm 327 this. For the Ala Archa basinlatter, we have removed many cases, as even previous authors did not insist on all being GLOFs 328 (Medeu et al., 2016). These events all occurred at the terminus of very steep glacier tongues and some observed characteristics 329 of these events (e.g. melt water to the base resulting in ice and terrain collapse) resemble glacier detachments (Kääb et al., 330 2018). However, the eventual runout as a debris flow with high water content is markedly different. For 4% of the cases, the 331 lake type was unknown, in general, for GLOFs for which no satellite imagery exists for validation.

Our knowledge of the mechanisms of how lakes are formed (known for 20% of the cases), triggering (12%) and failure mechanisms of the outburst is (263%) remains limited (Figure 4). Even less is known about the drained total volume (m³, 152%), discharge of water (m³ s⁻¹, 104%) or discharge of solids (m³ s⁻¹, 32%), important variables for modelling studies. Additionally, these reported values are often associated with large uncertainties.

336 Of all GLOFs, 2 were located in an area where no glacier could be found anywhere upstream. However, they are located in 337 areas that were most likely glaciated. 22 GLOFs occurred below glaciers that were not mapped in RGI 6.0, showing the still 338 considerable number of glaciers that are missed from this global inventoryies. Similarly, 10 GLOFs (at moraine-dammed 339 lakes) were recorded where no lake was apparent in any satellite imagery. This is possible for GLOFs recorded before the 340 satellite age. In 97 cases, a lake or depression was visible but not mapped in any of the inventories. In 192-196 cases (28%) 341 the lake is ephemeral and hence not in any inventory, especially the case for ice-dammed or supraglacial lakes. Some ice-342 dammed lakes were in inventories if they were present at the time of the inventorization. However, when comparing this these 343 data to a GLOF, their area should be taken with caution, considering their rapid change with a change in meltwater availability. 344 26-25 GLOFs (4%) have 6907-6906 recorded fatalities (Table 2), although 6000 were attributable to a single event in India in 345 2013, where fatalities were caused by a multitude of factors in a complex compound event (Allen et al., 2016). This latter 346 event, until recently, accounted for nearly 50% of recorded global GLOF deaths (Carrivick and Tweed, 2016). Nearly all 347 casualties have been attributable to GLOFs from moraine-dammed or supraglacial lakes, while no fatalities have been linked 348 to ice-dammed lake breaches (Table 2), while there may of course have been unrecorded impacts (Hewitt and Liu, 2010). 349 Numbers on injured or displaced remain rare, but are likely much higher, especially considering people moving away, many 350 in the months after an event due to the long-term negative impacts of damaged infrastructure. Nearly 2000 livestock were 351 reported killed. More than 2200 residential and commercial buildings were reported destroyed or significantly damaged and numerous bridges were destroyed. At least 71 km² of agricultural land was destroyed and hydropower structures with a 352 353 combined capacity of 164 MW were destroyed or heavily damaged. In only a few cases (n=13) were estimates of economic 354 damages in monetary values attempted (5.3 billion USD). These were limited to damages associated with the flooding and did

- 355 not include considerations of the longer-term economic toll of damaged infrastructure, disablement, destroyed farmlands, or
- 356 the long-term impacts on accessibility of health, education, or market facilities due to impacts on transport infrastructure.
- 357

Lake type/Region	Pamir	Tien	Tibet	Hindu <mark>k</mark>	Karakoram	Himalaya	Himalaya
		Shan		<u>K</u> ush		West/Central	East/Hengduan
							Shan
Moraine-	6/25	96/65	4/0	10/20	7/0	78/6236	1 <u>29</u> 32 /454
dammed							
Ice-dammed	4/0	<u>86</u> 67/0	0/0	0/0	144/0	0/0	0/0
Supraglacial	1/100	17/0	1 /0	3/0	26/1	19/0	<u>6</u> 1/0
Others/unknown	1/0	1 <u>5</u> 6 /0	0/0	6/3	19/2	<u>1</u> 2/0	1 <u>9</u> 5/0
TOTAL	12/125	<u>214</u> 195/	4/0	19/23	196/3	9 <u>8</u> 9/6236	15 <mark>4</mark> 7/454
		65					

358 Table 2: Number of GLOFs per region and type of lake with associated fatalities (N/fatalities).

359

360 33842 lakes were associated with 69782 GLOFs, with 614 (189%) of the lakes causing a GLOF more than once and the 17 361 (52%) lakes releasing GLOFs at least five times, made up more than 40%43% of all GLOFs (Table 3). The return period of 362 some of these GLOFs is rapid, occurring repeatedly over consecutive years such as at Merzbacher or Khurdopin, while for 363 others a GLOF may occur more than decades apart. In many cases, for ice-dammed lakes, this is coupled with the return period 364 of the respective glacier surge. Many of these lakes have resulted in GLOFs until very recently and we hence consider active. 365 Others, like the GLOFs at Chong Kumden (ice-dammed), are considered inactive as the tongue has receded so far that even 366 during a surge it cannot block the valley anymore. For Salyk or Topkaragay (moraine-dammed), the tongue recession has 367 presumably also resulted from a lack of direct meltwater supply to the local depression. While the three lakes draining most frequently (and all still *active*) are all ice-dammed, repeat GLOFs are common from all major types of glacial lakes (Table 3). 368 369 However, repeat drainages are decidedly uncommon in the Himalaya or the Tibetan Plateau.

370 1904 (278%) were potentially transboundary GLOFs, i.e. their flood could have crossed a border further downstream, 55 of

371 which originated in China. Fewer than 10 potentially transboundary of these GLOFs have however actually recorded impactsed

across borders (China to Nepal and Uzbekistan to Kyrgyzstan).

373 Table 3: Lakes with more or equal to five recurring GLOFs in HMA.

ſ						Region	Outburst		
	Lake/Glacier name		Lat (°)	Lon (°)	Elev (m a.s.l.)		recurrence	Period of GLOFs	Lake type
	Merzbacher/	Southern	42.20	79.85	3271	Central Tien Shan	<u>86</u> 67	1902 - 2015	Icedammed
	Inylshek								

				Region	Outburst		
Lake/Glacier name	Lat (°)	Lon (°)	Elev (m a.s.l.)		recurrence	Period of GLOFs	Lake type
Khurdopin/Khurdopin	36.34	75.47	3482	Karakoram	37	1882 - 2021	Icedammed
Kyagar/Kyagar	35.68	77.19	4880	Karakoram	34	1880 -2019	Icedammed
Unnamed/Aksay	42.53	74.54	3637	Northern/Western Tien Shan	30	1877 - 2015	Moraine <u>-</u> dammed
Unnamed/Kuturgansuu	42.52	74.61	3470	Northern/Western Tien Shan	17	1846 - 2010	Moraine <u>-</u> dammed
Unnamed/Chong Kumden	35.17	77.70	4691	Karakoram	14	1533 - 1934	Icedammed
Hassanabad/Shisper (both names for lake and glacier)	36.39	74.51	3370	Karakoram	13	1894 - 2022	Icedammed
Karambar/Karambar	36.62	74.08	2935	Karakoram	11	1844 - 1994	Icedammed
Unknown/Teztor	42.54	74.43	3606	Northern/Western Tien Shan	11	1910 - 2012	Moraine <u>-</u> dammed
Ghulkin/Ghulkin	36.42	74.88	2692	Karakoram	8	1980 - 2009	Supraglacial
Lake number 6/ Glacier No 182/Bezymyannyi/TEU- Severny	43.14	77.28	3380	Northern/Western Tien Shan	8	1973 - 2014	Supraglacial
Unnamed/Halji	30.27	81.48	5347	Central Himalaya	6	2004 - 2011	Supraglacial
Unnamed/Salyk	42.52	74.72	3390	Northern/Western Tien Shan	6	1938 - 1980	Moraine <u>-</u> dammed
Unnamed/Topkaragay	42.49	74.52	3680	Northern/Western Tien Shan	6	1928 - 1993	Moraine <u>-</u> dammed
Unnamed/Central Rimo	35.42	77.61	5100	Karakoram	5	1976 - 2014	Icedammed
Unnamed/Batura	36.51	74.85	2713	Karakoram	5	1873 - 1974	Supraglacial
Unnamed/North Terong	35.25	77.31	4400	Karakoram	5	1975 - 2002	Ice <u>-</u> -dammed

|



Figure 4: Different drivers that caused the lake to form (n=139) (A), caused GLOF to occur (n=84) (B), and mechanisms involved in lake breach/drainage (n=159178) (C). 'Glacier melt' refers simply to melt water provision from any adjacent glacier, irrespective of their state of retreat, advance or stability. T, P and WT+ stand for reported temperature, precipitation and water table and temperature increases, respectively. Mass movements include ice and rock avalanches as well as landslides, debris flows and other flood events. Moraine collapse is most often characterized by ice core thawing. 'Seepage/Overtopping' also includes piping through the dam.

384 3.2.1 Uncertainty of individual variables

385 GLOFs often occur in remote areas, where reports are scant and confirming process chains and quantifying associated volumes 386 and impacts is challenging. Here we discuss the uncertainties related to variables documented in this database. -For many 387 GLOFs (1817; 267%) even the year of occurrence is uncertain, which is reflected in the database by either providing NA or a time window during which it must have happened. Days of occurrence (available for 3927% of the records) are often also 388 389 different between reports on the same event, either due to erroneous records or the magnitude of certain GLOFs resulting in 390 floodwaters reaching areas over more than one day. Any recorded day hence likely has an uncertainty of +/-3 days. Coordinates 391 of the source lake are correct if provided, however, do not reflect the exact location of the breach but any coordinate within 392 the perimeter of the lake. Coordinates of the impact area are a lot more uncertain. If provided they show either the lowest 393 location where deposits are still visible on Maxar imagery from 2021 (Deposit) or where high flow or damages were reported 394 (Observation). Naturally, this is a conservative estimate and high flows have likely reached hundreds-thousands of meters 395 further downstream and with deposition or erosion occurring along the riverbed that may not be visible on imagery. Elevation 396 values are generally retrieved via the coordinates from the Shuttle Radar Topography Mission (SRTM). Considering the 397 steepness of the terrain across HMA, hence resulting in a vertical accuracy between 10 and 50 m we expect an unknown uncertainty of the actual elevation of the source, and values should be considered an indication of the elevation range, rather 398 399 than for example an exact input for a hydrodynamical model. Considering the steepness of the terrain across HMA, errors are 400 frequent. 401 For a few events, the primary source are is local informants, while for some events that have been reported in news articles or

402 by other studies, local sources serve as a way of confirming the event and the associated recorded variables. While local sources

403 have their own uncertainties, including misidentification of another mass flow event as a GLOF or misrepresentation of impacts

404 to either gain attention for compensation (and hence exaggerating impacts) or dispelling concerns of potential tourists (hence

- 405 underestimating impacts). they also can provide insights that other sources can not. When the source lake is regularly visited
- 406 due to agricultural activities or for leisure, locals are often able to make statements on what likely outburst mechanisms were
- 407 or what caused the event in the first place. Observations on the discharge hydrograph, especially whether the discharge peak
- 408 arrived rapidly or gradually provide indications on the type of drainage mechanism.
- When reporting areas of lakes associated with GLOFs, we refer to the reported value provided in the respective studies y. or
 <u>For the few events we report here for the first time and where we have if satellite imagery is available a few days before the</u>
- 411 event, we make estimates from based on that satellite imagery. -close to the drainage date. For further analysis (Figure 6), we
- 412 used lake areas reported in the inventories. Lakes linked to GLOFs often exhibit rapid their areal changes in the weeks and
- 413 days prior to eventual failure of the dam or opening of a tunnel. This is especially true for ephemeral ice-dammed lakes
- 414 (Muhammad et al., 2021; Round et al., 2017; Steiner et al., 2018). Therefore, the lake area mapped many weeks or even months
- 415 before a drainage event is not necessarily a good proxy for the actual water volume that drained. We report estimated drained
- 416 volumes and sometimes even estimates of discharge exist. However, the accuracy of such estimates is impossible to verify and
- 417 measurements during GLOFs are rarely possible (Muhammad et al., 2021), and rating curves in fast changing river beds are
- 418 <u>unlikely to be accurate</u>. Such data should hence be considered as first order indications of magnitude rather than accurate
- 419 representations of actual discharge.
- 420 Estimates on impacts are conservative. Based on our observations from fieldwork and the concurrent reporting of hazards,
- 421 <u>m</u>Media coverage often <u>neglects overlooks</u> to report on remote villages and numbers of mortalities or valuations of
- 422 infrastructure impacted are prone to deflation or inflation as a result of inaccurate transmission of information or wilful 423 tampering with data. This can happen for various reasons, as evidenced through fieldwork across the region. The government
- 423 tampering with data. This can happen for various reasons, as evidenced through heldwork across the region. The government
- 424 <u>Nnot reporting impacts by the government or making them look smaller than they are can be favourable as this would otherwise</u>
- 425 provide arguments for becoming more active in mitigation response, which they may not be able or willing to do. Reports on
- 426 <u>high impacts are often also perceived as damaging to tourism and hence discouraged. In light of financial reparations, either</u>
- 427 from the national to the local governments or communities or by the global community to a country, overreporting of impacts
- 428 <u>is naturally preferred</u>. If impacts in doubtful cases could not be verified by additional sources, they were not reported. <u>Reported</u>
- 429 data from scientific literature we dismissed in rare cases where for example a GLOF was reported twice within two days, and
- 430 it was likely that this happened due to an erroneous reporting of dates.

431 4 Discussion

- 432 In the section Bbelow-, we first-discuss the potential of the GLOF database with respect to for comparisons to otherwith existing
- 433 and future data -sourcesthat is already available or may become available in future, relating related to regional assessments as

434 well as and investigations of individual events. We then close conclude by comparing the database to previous efforts as well
 435 as and address its inherent limitations.

436 4.1 Comparison of lake databases

437 We join the database of GLOFs with two existing and one new lake database. This allows us to evaluate our ability to capture potentially dangerous glacial lakes with satellite imagery. As the ICIMODDB using manual delineations are only available for 438 439 the HKH region, the following comparison is only made for lakes and GLOFs that took place within this region. Figure 5a 440 shows that the manually delineated inventories for lakes (Maharjan et al., 2018; Wang et al., 2020) were able to capture a greater number of smaller lakes. 380 GLOFs (56%) could be associated with lakes documented in one or more inventories. 441 The rest are not mapped (14%), of ephemeral nature (28%) or else there were no lakes apparent (1%). Their mean area is 442 174983 m^2 (min 1752, max: 5623530, σ =526176). The smallest lake captured was 1752 m² for the database presented in this 443 manuscript and 4644 m² (Wang et al., 2020) respectively, while the fully automated product (Chen et al., 2021) does not 444 capture lakes smaller than 8100 m². Six lakes associated to GLOFs were smaller than 4644 m² (3% of all GLOFs with known 445 446 areas), 23 smaller than 8100 m^2 (10%). 447 The lakes are similarly distributed across altitude, but it is also obvious here that the manual approaches manage to capture 448 more lakes, especially at high elevations, considering that the ICIMOD dataset covers only one year in this case (2005,

449 WangDB covers two (1990 and 2018) and ChenDB covers ten (2008 2017; Figure 5b). The distribution of lakes that caused

450 outburst floods, suggests that the susceptibility simply follows the presence of lakes. Higher lakes (>5000 m a.s.l. compared

451 to lakes between 3500 and 5500 m a.s.l.) are not less likely to cause a flood. However, a larger number of GLOFs occurred at

452 low elevations (<3500 m a.s.l.), where relatively fewer lakes were mapped. This suggests that lakes at this elevation in general

453 have a higher probability to cause a GLOF.



Figure 5: (a) Cumulative distribution function for areas of all lakes mapped within the HKH (see text for description of spatial domain). Note that for the ICIMOD dataset only data from 2005 is used, as other years were only covered for a subset of the HKH.
 (b) Elevation distribution of lakes of all inventories in the HKH domain as well as lakes with recorded GLOFs in the same area. (c) Distribution of areas of all recorded GLOFs within the HKH.

459 4.12 Temporal and spatial trends

460 The discussion on whether GLOFs are increasing with a changing climate trend goes beyond the scope of this manuscript and 461 has been dealt with elsewhere in detail (Harrison et al., 2018; Veh et al., 2022). Here, we here only provide a brief discussion 462 that stems from the compilation of the data, and that is crucial when using databases of hazard events in remote areas like mountains. As we rely on different source types, namely previous academic publications, news and technical reports, satellite 463 464 imagery and local knowledge from involvement in field work in numerous locations across HMA, we are able to complement the deficiency any single of these approaches may have and provide some perspectives on trends that may be due to 465 observational bias. Figure 3a Figure 3A shows a clear and rapid increase of recorded events in the Tien Shan from mid-the mid 466 467 20th century with a subsequent decrease in the 1990s. There is little indication for this being climate-related and we hypothesize this is a function of increased visibility thanks to the extensive efforts of scientists at the end of the Soviet era to monitor debris 468 469 flows (and as a consequence related GLOFs), which died down as the Central Asian states became independent. This aligns 470 exactly with the development and subsequent demise of cryosphere monitoring in the area between 1950 and 1990 (Hoelzle 471 et al., 2019). (Medeu et al., (2019) on the other hand argue, that at least for Kazakhstan a decrease in debris flows from glacial 472 sources can be attributed to the successful monitoring and lowering of lakes in the region since the late 1990s. Conversely, for the Karakoram, there are many peaks in observed events starting at the turn of the 19th century. This may be explained either 473

474 by surge cycles occurring around the same time, resulting in ephemeral ice-dammed lakes, or the piqued interest of the British 475 Empire around the turn of the century in the region during the Great Game (most reports on GLOFs stem from British officers 476 stationed in the region, cf. Hewitt and Liu, 2010). Interest decreased until Independence and finally grew again towards the 477 end of the century as seen in other areas with increased infrastructure development, starting with the construction of the 478 Karakoram Highway between the 1960s and 1970s (Kreutzmann, 1991) and the arrival of media in remote areas. There is a 479 relatively consistent increase of reported events in the Hinduk Kush and the Himalaya region, albeit total events are much 480 lower (i.e. <1 event yr⁻¹ for a whole region). We believe that our records of events have also increased This is in line with a general steepdue to an increase in interest in the topic in the region (Emmer et al., 2022), which led to more detailed 481 482 documentation of individual events by various scientists.-483 The seasonal patterns (Figure 3bFigure 3B) are instructive as they show a clear earlier peak in the year for the Himalaya region, 484 a more stretched out peak in the Karakoram and shift in the Tien Shan, influenced by Westerlies rather than the South Asian 485 Monsoon, providing an indication for the potential of using such a dataset to investigate the importance of regional precipitation 486 patterns for drivers of outburst floods. 487 The database records four GLOF events in Afghanistan, three of which for the first time in this database, with 15 fatalities and 488 more than 300 houses destroyed, and one from a previous publication only based on geomorphic evidence with no recorded 489 impacts. This puts the country on the map with respect to GLOF hazards and emphasizes the importance of local data for 490 accurate assessments, considering that these events occurring between 2013 and 2021 remained previously unnoticed in 491 literature. Due to the lakes located on a debris-covered surface draining englacially, hence leaving no clear evidence of a dam 492 breach, potentially visible from satellite imagery, they also constitute examples that remain difficult to identify with automated 493 approaches relying on satellite imagery only.

494 4.23 Statistics of lakes with and lakes without GLOFsComparisons to other regional data





500 Combining the lake and GLOF inventories allows for a comparison of topographic as well as meteorological conditions which 501 may have contributed to the occurrence of GLOFs and those that did not. This is useful for the identification of potentially 502 dangerous glacial lakes (Ahmed et al., 2022; Ashraf et al., 2021, 2012; Bajracharya et al., 2020; Bolch et al., 2008; Duan et 503 al., 2020; Zhang et al., 2022b). The evaluation of meteorological conditions would require an in depth discussion of the 504 respective datasets and wider synoptic conditions, attempted previously for mudflows (Mamadjanova et al., 2018)., but which 505 is beyond the scope of this study. The database suggests that mass movements in the lake's vicinity, as well as intense 506 precipitation immediately preceding the GLOF are important drivers (Figure 4B), but only 12% of all entries record any driver 507 and they are generally only based on local assessments that may not provide the definite reason. As quality controlled reanalysis 508 data becomes more readily available at the kilometre scale for HMA (Wang et al., 2021), more comprehensive analysis of 509 climate drivers become possible and would elucidate, which climate variables are crucial to understand with respect to a changing climate, to anticipate changes in GLOF occurrence. Topographic data is more straightforward to evaluate. Figure 6 510 511 shows area, area change, horizontal distance to the nearest glacier and the average slope in the immediate environment of the 512 lake for all lakes in HMA in the WANGDB as well as for all lakes where a GLOF was recorded. The hypothesis would be that 513 lakes resulting in GLOFs are, on average, larger (providing greater volumes in an outburst), exhibit rapid growths in area 514 (resulting in dam instabilities), are located closer to the glacier itself (favouring calving events), and are located in generally 515 steeper terrain, favouring mass movements into the lake, a frequent driver (Figure 4), as well as a rapid propagation

- 516 downstream. Lakes that resulted in a GLOF are on average larger (175000 m²) than the average lake (60000 m²). However, 517 many small lakes that do not even appear in the lake inventory resulted in GLOFs. The change in lake area (here only shown 518 between 1990 and 2018) is positive on average for all lakes ($\mu = 372 \text{ m}^2 \text{ yr}^{-1}$), in line with the general trend of lake area increase 519 in the region. Lakes associated with GLOFs do not show a distinctly larger increase (or decrease) in area over that time period 520 $(\mu = 76 \text{ m}^2 \text{ yr}^{-1})$. Future studies should make use of inventories that are available at shorter time intervals and investigate time 521 periods specifically before GLOF events. Lakes that resulted in GLOFs are furthermore not located closer to glaciers on 522 average ($\mu = 1600$ m and 1800 m respectively). Note however that we are using a glacier inventory from a specific point in 523 time, that may not be accurate for the time the lake or GLOF was observed. The point is that glacier inventories like RGI and 524 lake inventories do not provide a proxy that can be relied upon for identifying potentially active glacial lakes that may be prone to GLOFs. 525
- 526 Comparing slopes surrounding lakes, suggests that GLOFs are indeed more likely when the lake is in steeper terrain ($\mu = 18.5$ 527 and 22.4° respectively). Future investigation should differentiate between areas above and below lakes, focusing specifically 528 on surrounding headwalls (specifically their slope and potential for landslide, rockfall or avalanche occurrence) and the 529 immediate downstream area potentially subject to a flood.
- 530 A comparison to already established inventories of glacial lakes is more straightforward. Figure 5 shows elevational and area
- 531 statistics of lakes from two different inventories (Chen et al., 2021; Wang et al., 2020). While both inventories rely on Landsat 532 data for identification, one follows a manual approach in identifying the outlines in 1990 and 2018 (Wang et al., 2020; 533 henceforth referred to as WANGDB), while the second delineates automatically at the 30 m pixel resolution (Chen et al., 2021; 534 CHENDB), allowing for decadal data between 2008 and 2017 but a coarser resolution of outlines. Both inventories include 535 lakes from different time steps, and we consider a lake that is present in more than one time step once, at whatever earliest 536 year it appears. The GLOF database allows us to quickly visualize, that while lakes follow a unimodal distribution over altitude 537 similar for both approaches of delineation, GLOFs are bimodally distributed with peaks above 2500 and 4000 m a.s.l. (Figure 538 5A). This provides a clear indication of lakes at lower elevation being relatively more susceptible to an outburst, but no clear 539 decrease of the risk with elevation. Of the 339 lakes that resulted in GLOFs, 99 (29%) appear in inventories at one point and 540 we hence have an indication of their size (Figure 5B). While CHENDB covers more time steps, the minimum lake area it can 541 detect (8100 m²) is nearly twice as large as for WANGDB (4600 m²). 9 out of the 99 lakes with area data that resulted in
- 542 <u>GLOFs, hence only appear in WANGDB.</u> On top of the many lakes that do not appear in inventories at all (71%) due to their
- 543 <u>ephemeral nature or their presence onlybeing present</u> before the coverage of inventories, the possibility that potentially
- 544 <u>dangerous glacial</u> lakes are completely missed by lake inventories relying on satellite imagery hence needs to be considered.



Figure 6: Properties of all lakes (blue; from WangDB) and lakes that experienced GLOF events (red). Area change is calculated only
 between the two-time steps available (1990 and 2018). Distance to glacier is the horizontal distance to the closest glacier mapped in
 RGI 6.0. Periglacial slope is the mean terrain slope in a square with 2km width around the lake.

549 4.4 GLOF paths

550 Records of the extent of GLOF events (n=45963) allow for an evaluation of GLOF paths (Figure 6Figure 7). Exploiting 551 other spatial datasets, this can help in evaluating possible drivers as well as impacts. Repeat spatial products related to the 552 cryosphere available in HMA include decadal glacier outlines (He and Zhou, 2022; Lee et al., 2021; Xie et al., 2023), 553 distributed data on ice mass loss (Brun et al., 2017; Hugonnet et al., 2021), permafrost probability (Obu, 2021) and snow cover 554 (Muhammad and Thapa, 2021). Such datasets can be compared with recorded GLOF events and lakes that have not resulted 555 in GLOFs to evaluate potential drivers. The risk-hazard of a GLOF is closely associated with moraine stability, which is linked 556 to the immediate history of glacier retreat in the area. Areas of recent permafrost change are likely also more susceptible to 557 mass movements, which have already been identified as important drivers of GLOF events (Figure 4). Rapid increase in local 558 temperature is often recorded as a reason for GLOFs occurring (Figure 4), but to date no studies exist that establish a definite 559 link between increased melt from either ice or snow that eventually results in the drainage of a lake or failure of a dam.

560 On the downstream, distributed datasets on infrastructure, population or ecosystems allow for assessments of impacts and 561 vulnerabilities (Figure 6Figure 6Figure 7). Coupling of GLOF paths with distributed population data (Thornton et al., 2022)

- 562 would allow for the computation of people potentially impacted, coupled with remotely sensed vegetation and agriculture data
- 563 which would allow for estimates on local economic and ecological impacts. GLOF paths could also be used to develop hazard
- 564 zonation maps, as is already standard practice for avalanches in many regions.



Figure <u>667</u>: Extracted GLOF path for one event (<u>GF ID 651HD GF090590E28274N_0</u>), showing the RGI 6.0 outline, the possible glacier extent during the little ice age (Lee et al., 2021) and probability of permafrost occurrence, with high values suggesting a likely and low values a less likely occurrence of permafrost (PZI, Obu, 2021). Shape files of roads are taken from <u>Open Street Map (OSM)</u>, residential and agricultural areas are mapped from Maxar imagery. The figure can be directly created in the available R code from the database for any event.



Figure 778: Reach angles (*Fahrböschung*) for all GLOF events with recorded downstream impact location (n=45963). Black dots are events where no recorded lake areas exist. Shaded from yellow to red are GLOFs for which some record of lake area exists (either from the original source or a lake database), that may not necessarily be the lake area just before the event. In light blue records from (Kääb et al. (-2021) are shown, which largely relate to glacier detachments or historic large scale debris flows and GLOFs.

578 For GLOFs where the location of the lake and the lowest recorded evidence of the outburst flood wave (either reported flood 579 or observed debris deposits), we are able to calculate reach angles, i.e. the elevation difference over the distance of the flood 580 path between start and end point (Figure 7Figure 7Figure 8). Current studies estimating the future exposure of downstream 581 infrastructure and livelihoods to potentially dangerous glacial lakes are based on estimated runouts (Schwanghart et al., 2016; 582 Taylor et al., 2023; Zheng et al., 2021a), without the ability to rely on actual expected reach. While a definite prediction of any 583 future GLOF reach will never be possible and even detailed numerical studies of individual events are sensitive to small 584 changes in topography (Muhammad et al., 2021; Westoby et al., 2014), the data presented here allows future studies to apply 585 ranges of a potentially likely reach, depending on glacier size and path topography. With a median runout length of 6.17.2 km 586 (mean $\mu = 32$ km, biased towards a few events of exceptional reach; Figure 7Figure 7Figure 8 inset) and a median elevation 587 drop of 10362 m ($\mu = 112638$ m), the mean reach angle is 0.14 (σ =0.09; 8°). Nearly all of the markedly cluster below a reach

588 angle of 0.27 (15° , Figure 7Figure 7Figure 8), considerably shallower than large scale mass flow events like glacier 589 detachments (Kääb et al., 2021). For comparable drop heights GLOFs hence travel considerably further than glacier 590 detachments, but the data also suggests a limit in reach, that can provide an upper bound when assessing the potential reach of 591 lakes at risk of an outburst in future. While it would be possible to establish lake volumes from lake areas (Cook and Quincey, 592 2015), the inherent uncertainties in these approaches and the discrepancy between date of lake mapping and GLOF event 593 makes this unfeasible for an adequate discussion within this manuscript result in large overall uncertainties trying to establish 594 a link between lake volumes and potential reach of GLOFs. We therefore only use areas from the available WangDB 595 inventory inventories or mapped areas from just before the event as a proxy (Figure 7Figure 7Figure 8). Lakes with an area 596 larger than 10^5 m^2 (n=12387) result in median reach angles of 0.1609 (9.04.9°), lakes smaller (n=10779) in slightly steeper 597 angles of 0.1713 (7.59.8°), suggesting that the size of a GLOF holds some potential for projecting its reach, apart from the 598 many other influencing factors-influencing.

599 4.5 Downstream impacts

600 Excluding the single Kedarnath event in 2013, wherein 6000 people were reportedly killed by- a combination of other hazards 601 including but not limited to the GLOF, 907-906 people were reported to be killed directly by 24 GLOFs. This number is three 602 times higher than the previous record of 300 (Carrivick and Tweed, 2016), only considering the time until 2005 to cover the 603 same periods our database still records 854 fatalities. Only 25% (54) of all recorded events (216) in the region had previously 604 any impact recorded (Carrivick and Tweed, 2016), while our database now has impacts on livelihoods or infrastructure noted 605 for 149 events (21%). Comparing this number to other mountain hazards in the region is difficult, as data are rare. Snow and 606 ice avalanches (excluding mountaineering accidents) in the same region have resulted in more than 3000 fatalities, with records 607 only starting in the 1970s (Acharya et al., in review). Landslide fatalities for countries entirely in HMA suggest 67 deaths in 608 Afghanistan, 50 in Bhutan, 809 in Nepal and 75 in Tajikistan between 2004 and 2010 (Petley, 2012), suggesting this hazard 609 to be considerably more dangerous to human life.

610 Impacts beyond fatalities are often recorded, but socioeconomic valuations are lacking. In the few individual events with 611 valuations of damage by the immediate event, this is typically focused on the value of expensive national infrastructure like 612 hydropower projects. The value of agricultural land, residential or commercial buildings, or long-term damage due to damage 613 to road, health or education infrastructure are rarely assessed. Infrastructure datasets that allow for a rapid assessment of 614 damage are generally not available. Such an assessment was previously attempted for GLOFs globally (Carrivick and Tweed, 615 2016). However, a more thorough discussion of available impact datasets for HMA is required. Many of these data are available in reports prepared for disaster response (e.g. for the Upper Indus basin, both Afghanistan and Pakistan, prepared by AKAH 616 617 or UNDP (Ashraf et al., 2015; Gohar, 2014) or Kazakhstan prepared by scientists from local Universities (Medeu et al., 2016)) 618 but have so far not been compiled in a standardized manner.

619 A few GLOF events have now been recorded already that have reached urban (e.g. GF077083E43066N-GF_ID 131 south of 620 Almaty, where dam structures were erected as adaptation measures) and semi-urban (e.g. GF085514E28131N-GF_ID 515 621 north of Melamchi) space. As more and more infrastructure isare being built in upstream areas and close to river channels,

622 vulnerabilities are expected to increase. Future studies should investigate potential flood impacts on built up areas as well as

623 hydropower infrastructure, which often require different model setups than for environments with infrastructure only including

624 roads and single houses (Fischer et al., 2022).

- While nearly one-third of all GLOFs could potentially be transboundary (i.e. the downstream path eventually crosses a current national border), less than ten events have resulted in confirmed transboundary impacts. While a lot of attention has been given to transboundary climate risks in the recent past, our data suggests that it may be less the actual flood wave that is of transboundary concern and more the associated impacts in one country (e.g. road disruptions with impacts on trade) that could have ripple knock-on effects across borders, including a disruption of trade, access to health care of or education (Steiner et al., 2023).
- 631 Mass flow events like GLOFs have been previously identified as an important part of the sediment balance in mountain rivers
- 632 (Cook et al., 2018), with potential implications for landscape formation as well as hazards (Li et al., 2022). The database does 633 include recorded values of discharge of water (n=72; $\mu = 324850$ m³ s⁻¹; min: 3.3 - max: 21300 m³ s⁻¹) as well as sediment 634 (n=19; $\mu = 620$ m³ s⁻¹; 25 - 2000 m³ s⁻¹) but those observations are already associated to large uncertainties. An appraisal of 635 the existing data and estimates of drained volumes based on satellite imagery from before and after the GLOF events could 636 provide an approach to estimate the role this large number of GLOFs plays in overall sediment fluxes and could be a focus of 637 future research.

638 **4.6 Comparison to previous inventories and limitations**

639 The most comprehensive databases of GLOFs covering HMA were produced by Veh et al., (2019a), focusing on moraine-640 dammed lakes exclusively but identifying many previously unidentified events. Zheng et al., (2021b) also identified many 641 events that had hitherto gone unidentified. Both studies have been consulted for this study. 144 GLOFs in this inventory 642 (2119%) of the total) were previously only reported in (Zheng et al., 2021b), 15 (2%) in (Veh et al., 2019a). We also followed 643 the approach of (Zheng et al., (2021b)) to question any previous records, and keep a separate record of events that were initially 644 identified as GLOFs but turned out not to be or cannot be ascertained to be. Future studies should investigate what we identify 645 as glacier outburst floods events we have identified as outbursts from water pockets (GOFs; 4 definite and 17 potential 22 cases 646 as well as potentially more-cases in what we have discarded as GLOFs in a separate database), to better understand involved 647 processes. None of these water pockets are visible before any event, and posterior evidence of their existence is limited to vast subglacial channels that appeared (e.g. Figure 2Figure 2cC) as well as apparent rapid lowering of glacier surfaces but 648 649 sometimes simply the observation of previously filled crevasses at the snout where a debris flow suddenly emerged. In Switzerland, these types of outburst floods have been earlier estimated to account for 30 to 40% of all glacier floods (Haeberli, 650 651 1983), but detailed investigations are limited to one especially disastrous case dating back to the 19th century (Vincent et al., 652 2015). All events in HMA originated originating at the terminus of relatively steep glacier tongues and, they may have some 653 similarity in genesis to glacier detachments (Kääb et al., 2021, 2018) but runout properties – fast debris flows with no visible fraction of ice - are distinctly different. <u>More comprehensive investigations into these cases will be required in future.</u> (Veh et al., (2022), a global study that also covers the region already provides an accessible database, however a considerable smaller number of recorded events (459, not all of which are definitely GLOFs; 11 events in our database were sourced from this database).

658 A large motivation for GLOF studies has been the question of whether GLOFs have been increasing in the recent past with a 659 change in climate. Recent global and regional studies on moraine-dammed lakes have shown that there is no apparent trend, 660 but suggest a possible lag that may eventually result in an increase in such events (Harrison et al., 2018; Veh et al., 2019a). A 661 recent global study investigating all types of outbursts suggests a weak coupling of temperature rise and GLOF frequency (Veh et al., 2022). It is beyond the scope of this manuscript to investigate trends. However, wWe can confirm findings from Veh et 662 663 al. (2022) that currently available records likely do not cover all GLOFs that have actually occurred. The fact that we still overlook past events and the strong regional variability seem to make it challenging to find definite climate relations and any 664 665 such statements should be treated with caution. For some individual lakes that have a documented history of draining since the end of the 19th century (e.g. Hassanabad/Shisper, Kyagar, Merzbacher, Karambar) no apparent trend is visible, while damages 666 667 have likely increased due to increased exposure of infrastructure in high mountain areas (Li et al., 2022). Hotspots where ice-668 dammed lake outbursts have repeatedly been unleashed have, in some cases, even completely ceased to exist as the glacier 669 tongues have receded so far that they cannot dam the main valley anymore (e.g. the Shyok glaciers). This is in line with a 670 recent global study finding a decrease in extreme outburst floods from ice dammed lakes (Veh et al., 2023).

671 The attention that GLOFs in general have received in the region in the past (see Emmer et al., (2022) for a comparison of 672 events versus actual studies globally, with a notable discrepancy for the Himalaya) has possibly led to the overestimation of 673 the number the misidentification of GLOF events. Flash floods and debris flows where the source remains unclear are often 674 immediately recorded as GLOFs in media (especially in the Western Himalaya, the Karakoram and Hindu kKush), as this 675 generally gives it more attention than the term 'debris flow' would. Conversely, due to a lack of records in unpopulated areas 676 many GLOFs may have gone unrecorded (Veh et al., 2022; Zheng et al., 2021b) as confirmed in this study. What remains 677 poorly documented so far are impacts in numerous dimensions. Not only are direct impacts poorly recorded in accessible 678 format, secondary medium and long-term effects remain missing from the literature. People injured (qualitatively and 679 quantitatively), people displaced temporarily and permanently, gender and age group of people affected are rarely reported. 680 Effects on mental health have been recently reported but no studies exist in scientific literature (Ebrahim, 2022). With high 681 rates of both erosion and deposition, GLOFs can cause damage to agricultural land as well as infrastructure for years after the 682 actual event, eventually causing damage from the remaining devaluation of land or lack of access to health facilities, markets 683 or education. Information on the severity of deposits would be needed for actual economic impacts and is crucial to further 684 contextualize GLOFs in loss and damage frameworks (Huggel et al., 2019). To this end, it is crucial that future efforts rely on 685 datasets that are transparent and accessible to all stakeholders to make decisions on adaptation that are targeted and sustainable.

686 <u>5 Data availability</u>

687	The GLOF database is published under https://DOI:10.5281/zenodo.7271188 (Steiner and Shrestha, 2022). The development
688	version is available on github (https://github.com/fidelsteiner/HMAGLOFDB). To provide an accessible version of the data to
689	non-academic stakeholders, the database is also shared on the ICIMOD RDS (Regional Database System), where it will be
690	updated annually (https://doi.org/10.26066/RDS.1973283) (ICIMOD, 2022). The dataset will be updated annually at this
691	database. Regular traceable updates and possible additions in terms of variables are accessible on github
692	(https://github.com/fidelsteiner/HMAGLOFDB) and Zenodo (https://DOI:10.5281/zenodo.7271188); (Steiner and Shrestha,
693	2022). The database is also accessible in interactive format at
694	https://experience.arcgis.com/experience/20a0ef1d86ec4a77b2744df9e495214e. The lake datasets discussed in this paper for
695	the years 2000, 2010 and 2020 are also available on the github repository. The previously published lake dataset for the year
696	2005 covering the HKH (Maharjan et al., 2018) is available at https://doi.org/10.26066/RDS.35856.

697

698 65 Conclusion

699 In this manuscript study, we present a comprehensive compilation of GLOF events in High Mountain Asia from the mid 19th century until 2022. The inventory is machine-readable and version-controlled and will be updated as information on new 700 701 events become available in future. It includes basic information on time and location, involved processes and impacts and is 702 linked to other inventories of glacial lakes and glaciers allowing for future investigations into drivers of outburst floods. Of 703 69782 individual events, 475% have a known month of occurrence, allowing investigations into seasonality, and 3927% have 704 a recorded day of drainage, allowing future investigations of prevailing weather preceding the event. 5255% of all GLOFs can 705 be associated with a lake mapped in at least one glacial lake inventory, and 95% to a mapped glacier, allowing for 706 straightforward analysis on how upstream glacier mass loss and lake area change influences the occurrence of outburst events. 707 We have a good overview of what type of lakes were the source, revealing that large regional differences exist, with sparingly 708 few ice-dammed lakes in the Himalaya and only very few moraine-dammed lakes in the Karakoram linked to GLOFs. The 709 mechanisms of lake formation or drainage are documented for only very few events. Volumes of floods are not only seldom 710 documented but also highly uncertain. However, the combination with lake inventories allows for approximate estimates, with 711 lake area changes as a proxy. With a record of minimal potential reach of GLOF events in 669% of all cases we can show that 712 GLOF events generally do not exceed reach angles of 15° (and events with higher volumes even less), information that can be 713 useful for future hazard mapping.

714 Our dataset suggests that 907-906 deaths can be were directly associated to a GLOF event, three times as many as previously

715 reported for the region. Compared to other mountain hazards in the region, GLOFs however have caused a relatively small

716 loss of human life. Other impacts however remain relatively poorly documented.

717 With 7% of all events recorded here never mentioned in literature before coming from local and oral information rather than 718 other academic publications., Our study emphasizes the importance of considering all types of sources and acknowledges the 719 high likelihood, that our current records of GLOFs probably remain an underestimation of actual events. Conversely, we also 720 emphasize the importance of cross-checking sources carefully, as mass flow events in the region have been previously 721 mistakenly recorded as GLOFs without sufficient examination. While this is the first GLOF inventory to comprehensively 722 address downstream impacts, much of this information has never been recorded. Injuries are rarely recorded nor are the 723 monetary values of damaged property or the long-term economic effect of damaged infrastructure. Future studies should 724 evaluate methods to estimate damages rapidly, which will require more interdisciplinary approaches including social scientists 725 for field assessments and economists for ways to upscale risk and damage assessments. Further focus should also be given to 726 documenting local and indigenous knowledge on GLOF hazards, which could reveal impacts that so far have been overlooked. 727 As our understanding of the changing cryosphere in HMA is ever increasing, and with the expansion of our understanding of 728 topics such as snow melt and permafrost, future studies should attempt to combine inventories of lakes and GLOFs with 729 potential processes even further upstream that may result in cascading hazards in future. Such issues are expected to increase 730 in future. Other hazards should ideally also be documented in formats that make it possible to pair it with already existing 731 inventories for regional studies and modelling attempts.

732 6 Data availability

733 The GLOF database is published under https://doi.org/10.26066/RDS.1973283. The dataset will be updated annually at this 734 database. Regular traceable updates and possible additions in terms of variables are accessible (https://github.com/fidelsteiner/HMAGLOFDB) and Zenodo (https://DOI:10.5281/zenodo.7271188); (Steiner and Shrestha. 735 736 2022) The databasa also accessible interactive https://experience.arccis.com/experience/20a0ef1d86ec4a77b2744df9e495214e. The lake datasets discussed in this pap 737 738 years 2000, 2010 and 2020 are also available on the github repository. The previously published lake dataset for the the 2005 covering the HKH (Maharian et al., 2018) is available at https://doi.org/10.26066/RDS.35856. 739

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