

1 **LegacyPollen 1.0: A taxonomically harmonized global late Quaternary pollen**  
2 **dataset of 2831 records with standardized chronologies**

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18 **Abstract.** Here we describe the LegacyPollen 1.0, a dataset of 2831 fossil pollen records with metadata,  
19 harmonized taxonomy, and standardized chronologies. A total of 1032 records originate from North America,  
20 1075 from Europe, 488 from Asia, 150 from Latin America, 54 from Africa, and 32 from the Indo-Pacific. The  
21 pollen data cover the Late Quaternary (mostly the Holocene). The original 10,110 pollen taxa names (including  
22 variations in the notations) were harmonized to 1002 terrestrial taxa (including Cyperaceae), with woody taxa  
23 and major herbaceous taxa to genus level and other herbaceous taxa to family level. The dataset is valuable for  
24 synthesis studies such as taxa areal changes, vegetation dynamics, human impact (e.g., deforestation), and  
25 climate change at global or continental scales. The harmonized pollen and metadata as well as the harmonization

26 table are available from PANGAEA (<https://doi.pangaea.de/10.1594/PANGAEA.929773>; Herzschuh et al., 2021).  
27 R code for the harmonization is provided at Zenodo (<https://doi.org/10.5281/zenodo.5910972>; Herzschuh et al.,  
28 2022) so that datasets at a customized harmonization level can be easily established.

29

## 30 **1 Introduction**

31 Broad-scale palaeo-proxy databases provide important opportunities for making comparisons of  
32 palaeoenvironmental synthesis studies and for palaeodata-model validation, where harmonized data processing  
33 is the foundation (Gaillard et al., 2010; Cao et al., 2013; Trondman et al., 2015). Several continental fossil pollen  
34 databases have been successfully established (Gajewski, 2008), for example, the European Pollen Database (EPD;  
35 <http://www.europeanpollendatabase.net/index.php>, last access: 1 July 2020), the North American Pollen  
36 Database (NAPD; <https://www.ncei.noaa.gov/products/paleoclimatology>, last access: 1 July 2020) and the Latin  
37 American Pollen Database (LAPD; <http://www.latinamericapollendb.com/>, last access: 1 July 2020). In recent  
38 years, efforts have been made to integrate such databases into the Neotoma Paleocology Database  
39 (<https://www.neotomadb.org/>, last access: 1 April 2021; Williams et al., 2018), which provides a global collection  
40 of pollen data among other palaeoenvironmental proxy data. Furthermore, fossil pollen datasets for China and  
41 Mongolia (Cao et al., 2013; Herzschuh et al., 2019) and Siberia (Cao et al., 2020) have been compiled.

42 The numerous pollen records available in open databases, however, are not yet consistent concerning data  
43 type (e.g., pollen counts or percentages), pollen taxonomy, and nomenclature (Fyfe et al., 2009; Cao et al., 2013)  
44 and neither are their metadata approved and harmonized. For example, palynologists identify pollen taxa to  
45 different taxonomic levels ranging from (sub-)species to order, depending on the purpose of their study and the  
46 differentiability and preservation of the pollen grains. Some efforts have been made to harmonize taxonomies  
47 of pollen taxa in the databases (Fyfe et al., 2009; Giesecke et al., 2019; Mottl et al., 2021; Githumbi et al., 2022),  
48 however, a general framework is needed that can be applied to existing and newly published records.

49 Here we present LegacyPollen 1.0, a global taxonomically harmonized pollen dataset along with standardized  
50 metadata from 2831 sites for which recent chronologies have also been established (Li et al., 2022). This dataset  
51 is based on a general framework and implemented in R, which allows customized datasets to be built as well as  
52 the inclusion of new pollen records. The LegacyPollen 1.0 dataset is available at PANGAEA  
53 (<https://doi.pangaea.de/10.1594/PANGAEA.929773>; Herzschuh et al., 2021) and provides both count and

54 percentage pollen data. We also provide the R code and the taxa harmonization table at Zenodo  
55 (<https://doi.org/10.5281/zenodo.5910972>; Herzschuh et al., 2022).

56

## 57 **2. Methods**

### 58 **2.1 Data sources**

59 We initially downloaded 3147 late Quaternary fossil pollen records (including dating) from the Neotoma  
60 Paleocology Database (Neotoma hereafter) using the *Neotoma* package in R (Goring et al., 2019; R Core Team,  
61 2020). As the spatial coverage of Neotoma records in certain regions is poor, for example, in China and Siberia,  
62 these records were supplemented by 324 records compiled by Herzschuh et al. (2019) and Cao et al. (2013, 2020)  
63 and our own data (AWI, Alfred Wegener Institute). Out of this pool, we selected 2831 records, including both  
64 raw (94.2%) and digitized (5.8%) data, for which standardized chronologies could be established (Li et al., 2022).

### 65 **2.2 Metadata processing**

66 After checking the metadata of all records from the Neotoma and Asian datasets, we implemented the following  
67 modifications: 1) we evaluated the units of the provided depth information (metre/millimetre to centimetre) of  
68 all records and contacted Neotoma to correct the depth information of one record (Dataset-ID 27027); 2) we  
69 checked each record's archive type (e.g., peat, lake) based on its site description from Neotoma or original  
70 publication; and 3) we integrated two records (Dataset-ID 835, 3127) into a combined record (Dataset-ID 70001).

71 We collected the sample ages from the chronologies provided by Li et al. (2022), which were newly established  
72 for all 2831 records using a standardized approach. Li et al. (2022) present estimated ages for each centimetre.  
73 For those records with sample depth at a sub-centimetre scale, we applied a linear interpolation to assign ages  
74 for each sample, performed in R (R Core Team, 2020). 2.3 Pollen data processing

#### 75 **2.3.1 Pollen taxa harmonization**

76 Only terrestrial pollen taxa (including Cyperaceae) were taken into account, excluding aquatic pollen taxa as well  
77 as spores from mosses, ferns, fungi, and algae. First, we standardized the taxon nomenclature. To do so, we set  
78 up a master table containing all pollen taxa names from the 2831 records and made names consistent (e.g.,

79 *'betula'* to *'Betula'*), italics for all taxa under family level (e.g., *'Artemisia'* to *'Artemisia'*), abbreviation (e.g., *'P.*  
80 *pumila'* to *'Pinus pumila'*), synonym (e.g., *'Gramineae'* to *'Poaceae'*), wrong spelling (e.g., *'Aluns'* to *'Alnus'*). This  
81 master table is published in a machine-readable data format on PANGAEA  
82 (<https://doi.pangaea.de/10.1594/PANGAEA.929773>, in the "Further details" section; Herzschuh et al., 2021).  
83 Second, we harmonized the pollen taxa according to the classification of the *Angiosperm Phylogeny Group IV*  
84 system (APG IV; The Angiosperm Phylogeny Group et al., 2016) and the Gymnosperm Database  
85 (<https://www.conifers.org/>). Woody taxa were harmonized to genus level as well as some very common  
86 herbaceous taxa such as *Artemisia*, *Thalictrum*, and *Rumex*. All other herbaceous taxa were harmonized to the  
87 family level. The various pollen taxa of heather plants were summarized at the order level as Ericales.

### 88 **2.3.2 Pollen data type standardization**

89 Although most pollen records contain the count data ('raw' data hereafter), the 'pollen counts' for those without  
90 raw pollen counts were back-calculated using the pollen percentages and assuming a terrestrial pollen sum of  
91 300 pollen grains, as most of the publications do not provide a pollen sum. We replaced the original taxon name  
92 with its harmonized name and summed up all counts of the harmonized taxa for each sample. As we only  
93 consider terrestrial plant taxa, some samples in records may contain no pollen counts, and those samples were  
94 excluded from the harmonized dataset. We then recalculated the terrestrial pollen percentages for each sample  
95 based on their total sum.

96

## 97 **3. Structure of the LegacyPollen 1.0 dataset**

### 98 **3.1. Structure of site metadata**

99 The metadata for each site in the LegacyPollen 1.0 dataset includes the following: Event (PANGAEA dataset  
100 identifier), Data Source, Data Type (raw or digitized), Site ID (in the source datasets), Dataset ID (in the  
101 LegacyPollen 1.0 dataset), Site Name, Location (longitude, latitude, elevation, and continent), Archive Type (e.g.,  
102 peat, lake sediment core), Site Description (from original publication/Neotoma), and Reference. All site-specific  
103 metadata are available at PANGAEA (<https://doi.pangaea.de/10.1594/PANGAEA.929773>; Herzschuh et al., 2021)  
104 in the "Further details" section ("Site metadata of LegacyPollen 1.0 dataset.csv").

### 105 3.2 Structure of pollen data

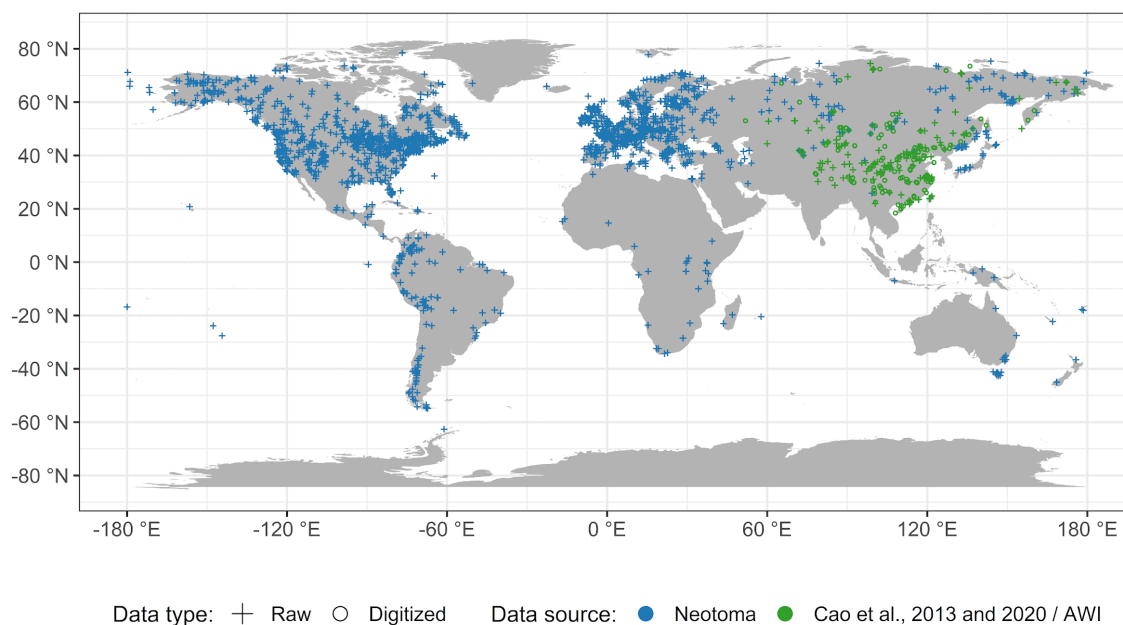
106 Sample-specific pollen metadata for the 2831 sites include depth, age (according to Li et al., 2022; minimum age,  
107 maximum age, mean age, median age), and harmonized taxon names with count and percentage data. To ease  
108 data handling, data files were separated for pollen count data and pollen percentages and files for each region  
109 (Western North America, Eastern North America, Europe, Asia, Latin America, Africa, and Indo-Pacific) are  
110 provided separately in both CSV and TXT format. In total, 28 pollen data files are published at PANGAEA  
111 (<https://doi.pangaea.de/10.1594/PANGAEA.929773>, in the 'Other version' section; Herzschuh et al., 2021) and  
112 can be joined by the dataset ID with other data products. Furthermore, we also provide the taxa harmonization  
113 table at PANGAEA (<https://doi.pangaea.de/10.1594/PANGAEA.929773>, in the "Further details" section;  
114 Herzschuh et al., 2021).

115

## 116 4. Dataset assessment

### 117 4.1 Spatial and temporal coverage of the dataset

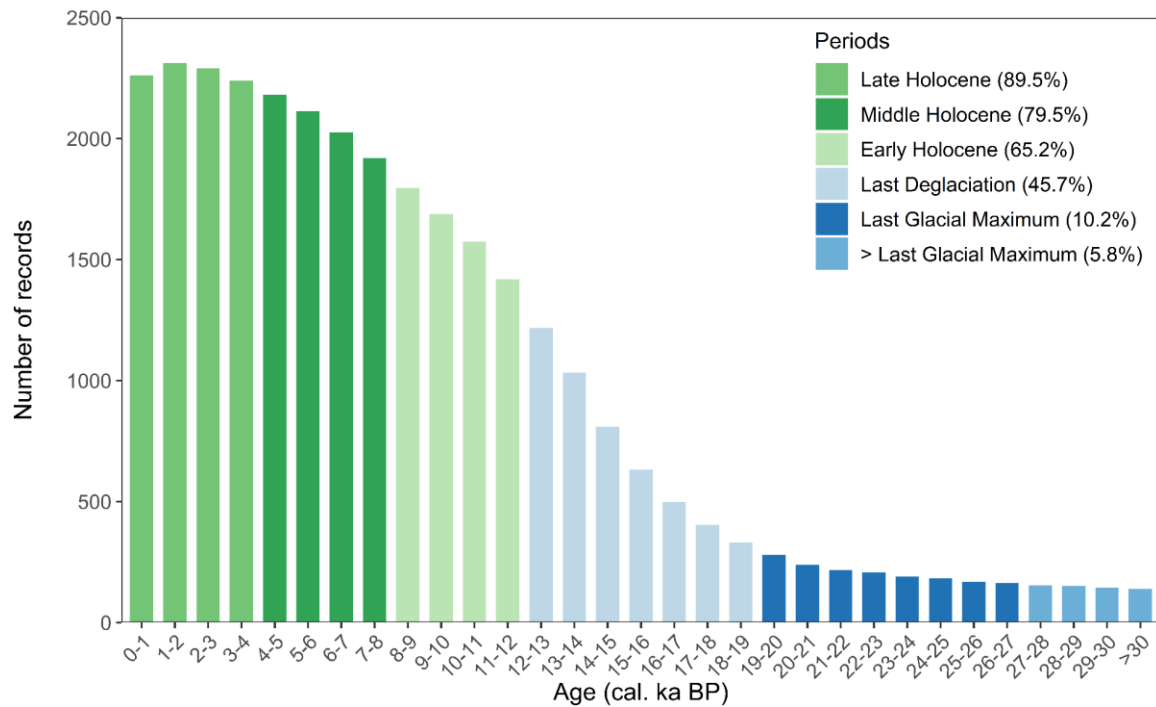
118 Of the 2831 records included in LegacyPollen 1.0, 670 records originate from Eastern North America (<105°W;  
119 Williams et al., 2000), 362 from Western North America, 1075 from Europe, 488 from Asia, 150 from Latin  
120 America, 54 from Africa, and 32 from the Indo-Pacific (Fig. 1). Most records (2659 records, 93.9%) are in the  
121 Northern Hemisphere, where the main vegetation and climate zones are covered.



122

123 **Figure 1.** Map of the 2831 records for which standardized chronologies were established by source and data  
 124 type.

125 As shown in Fig. 2, only 5.8% of the records are available from periods before the Last Glacial Maximum (>26.5  
 126 cal ka BP), 10.2% cover part of the Last Glacial Maximum (26.5–19.0 cal ka BP; Clark et al., 2009), and 45.7%  
 127 cover part of the Last Deglaciation (ca. 19.0–11.7 cal. ka BP; Clark et al., 2012). Almost all records (97.8%) cover  
 128 part of the Holocene, among them, 65.2, 79.5, and 89.5% cover the early Holocene (11.7–8.2 cal. ka BP), middle  
 129 Holocene (8.2–4.2 cal. ka BP), and late Holocene (4.2–0 cal. ka BP), respectively.



130

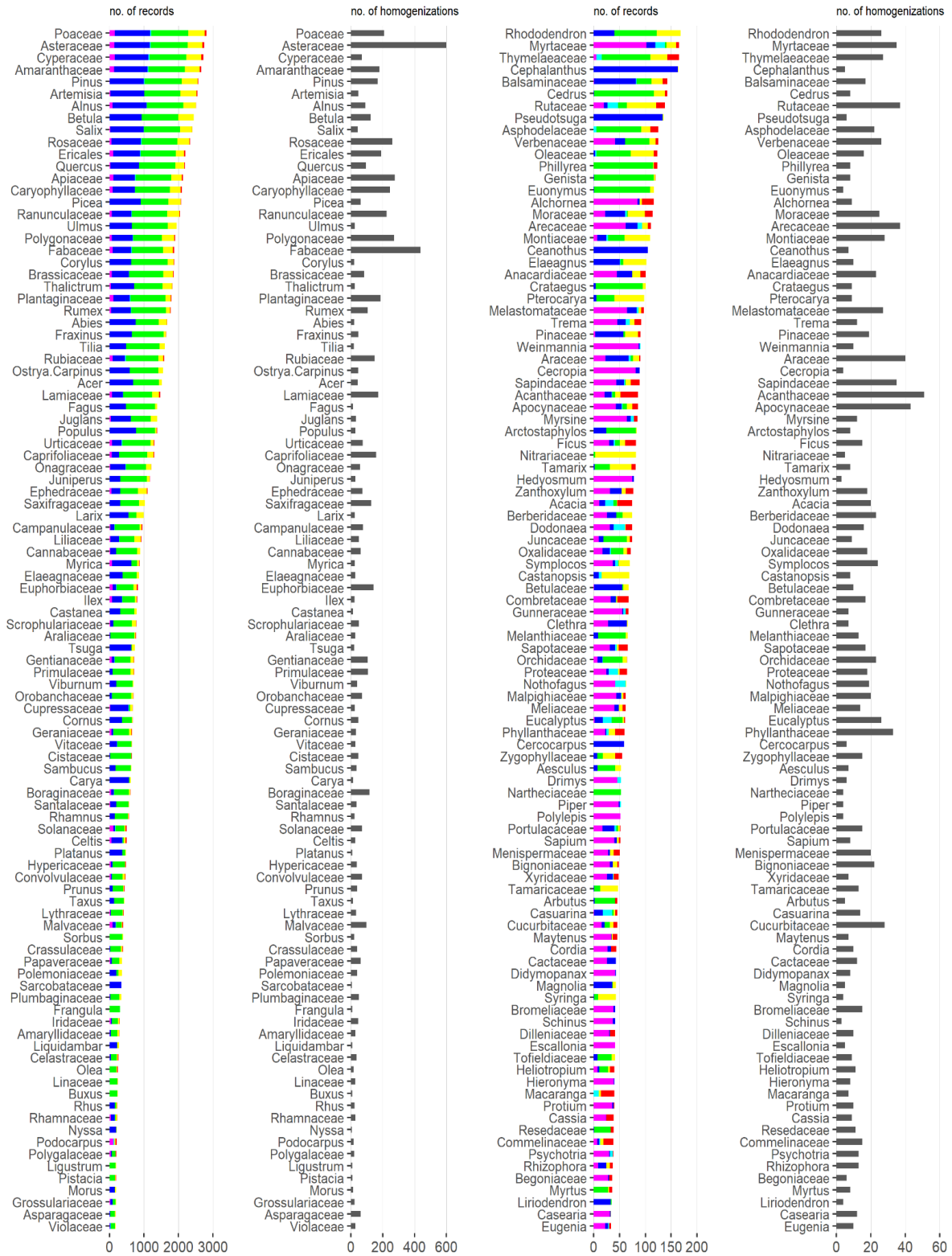
131 **Figure 2.** Histogram showing the number of available records in distinct time slices.132 **4.2 Harmonized taxonomy**

133 A total of 10,110 terrestrial pollen taxa or taxa notations were obtained from the 2831 records, which we  
 134 condensed to 1002 families or genera through taxonomic harmonization (Fig. 3; Appendix Fig. 1). On average,  
 135 10.8 original taxa or taxa notations are covered by one harmonized pollen taxon, ranging from 1 to 599 (median:  
 136 2). Overall, Asteraceae (599), Fabaceae (437), and Apiaceae (276) are the pollen taxa with most variants.

137 The biggest difference in taxa names and notations before and after harmonization can be found in Europe  
 138 with a mean of 42 variants per harmonized taxon and in Eastern and Western North America (average of 22)  
 139 with both regions also exhibiting the highest record density (Fig. 4). A high amount of tropical and subtropical  
 140 tree and shrub taxa can be found in the Southern Hemisphere, which are harmonized to genus level and  
 141 therefore subsume to fewer harmonized taxa, and overall have a higher taxa diversity than the Northern  
 142 Hemisphere continents. In the Southern Hemisphere, the most taxa and variants are harmonized for Fabaceae  
 143 as this is the most common family found in tropical rainforests and dry forests of Latin America and Africa.

144 Europe has the most harmonizations of herbaceous taxa from open landscapes: e.g., Asteraceae, Apiaceae, or  
 145 Caryophyllaceae. In North America and Asia, several species or species groups of major woody taxa are  
 146 harmonized to their respective genus level, e.g., *Alnus* and *Acer* in North America, or *Betula* and *Quercus* in Asia.

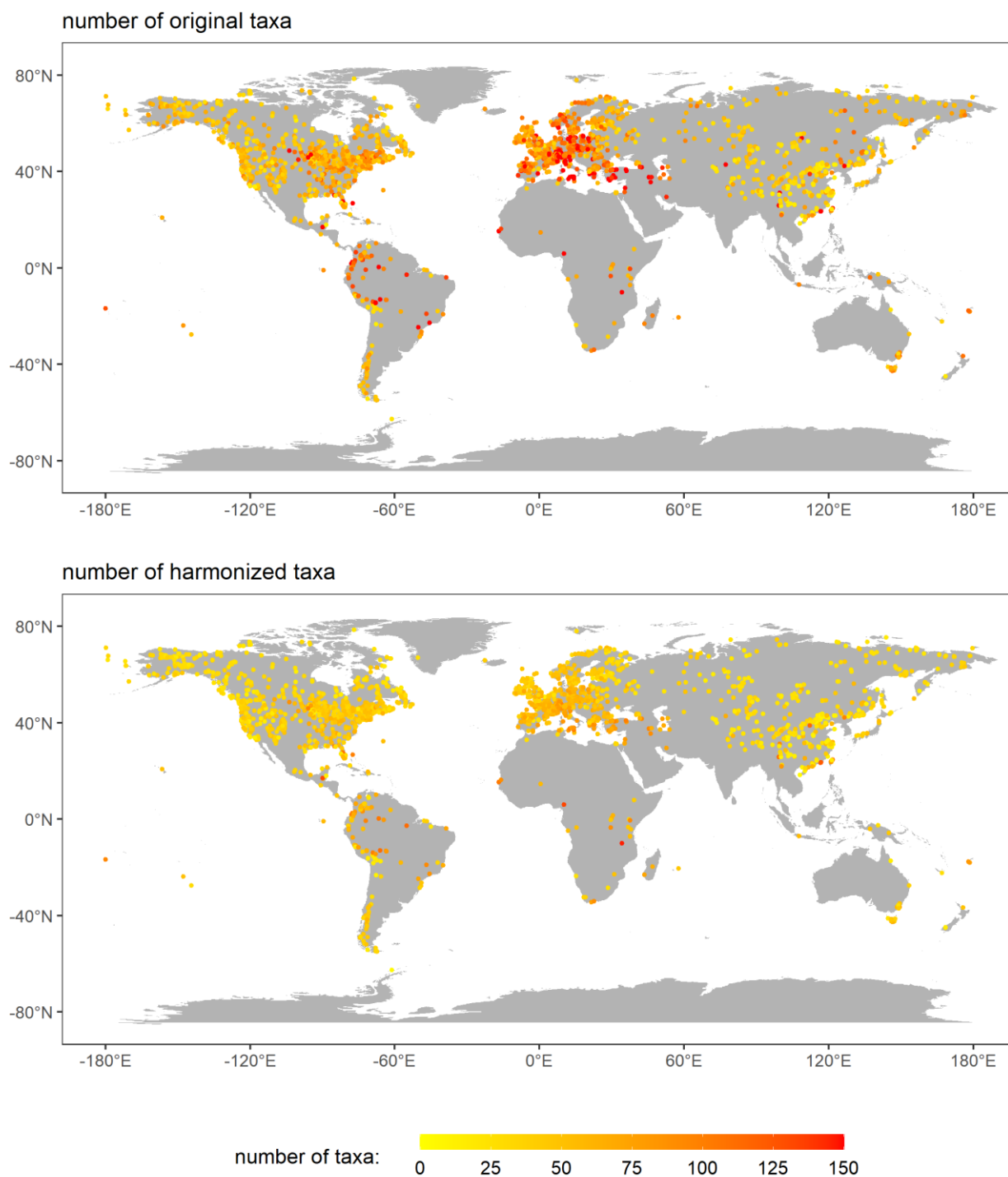
147 The *Pinus Haploxyylon* and *Diploxyylon* subgenera are subsumed into the genus level *Pinus*, as the differentiation  
 148 to subgenera level is not provided consistently.



■ Africa ■ Asia ■ Europe ■ Indopacific ■ North America ■ South America



150 **Figure 3.** Number of records with taxa occurrences (per continent) and number of subsumed variants per  
 151 harmonized taxon. The figure shows the top 200 taxa with the highest number of records in the dataset. A full  
 152 overview of all taxa is given in Appendix Fig. 1.



153  
 154 **Figure 4.** Number of taxa before and after harmonization (number of taxa > 150 were all grouped into the class  
 155 of 150).

## 156 5. Discussion

### 157 5.1 Quality of the LegacyPollen 1.0 dataset

158 To our knowledge, LegacyPollen 1.0 is the largest harmonized fossil pollen dataset including more than twice  
159 the number of records integrated in previously published datasets (e.g., Fyfe et al. (2009): 1032 records;  
160 Trondman et al. (2015): 636 records; Marsicek et al. (2018): 642 records; Giesecke et al. (2019): 749 records;  
161 Mottl et al. (2021): 1181 records; Githumbi et al. (2022): 1128 records). Several regions have poor pollen-record  
162 coverage either because no records are available due to the scarcity of suitable archives (e.g., continental  
163 interiors) or because available records were not compiled and integrated into Neotoma. Ongoing initiatives on  
164 compilation of pollen data from Africa and Latin America will allow a straightforward extension of the  
165 LegacyPollen 1.0 dataset using the provided framework.

166 Representing a further advantage, the LegacyPollen 1.0 dataset is accompanied by consistent metadata  
167 allowing for subsetting of the dataset. Aside from information about the location and archive type, the metadata  
168 also include sample ages that were inferred from recently revised chronologies (Li et al., 2022) along with their  
169 age uncertainties (i.e., output from BACON; Blaauw and Christen, 2011) and the framework and R code also  
170 allows a customized reestablishment of the age-depth models.

171 Generally, temporal coverage is good since about 14 cal. ka BP. Rather few records cover the glacial period,  
172 which is mainly due to an absence of archives as many lakes and peatlands were dry or covered by ice-sheets.  
173 Many Asian records cover the Marine Isotope Stage 3 compared with Europe and North America.

174 Taxonomic harmonization is required for multi-site synthesis studies (Fyfe et al., 2009; Trondman et al., 2015;  
175 Marsicek et al., 2018; Herzschuh et al., 2019; Routson et al., 2019; Mottl et al., 2021; Zheng et al., 2021; Githumbi  
176 et al., 2022). This is particularly true when numerical approaches are applied that measure compositional  
177 dissimilarity between pollen spectra, for example, between fossil and modern sites for climate reconstructions  
178 using the Modern Analogue Technique or regression methods, or among fossil records for beta-diversity studies  
179 (Birks et al., 2012). If taxa are not harmonized, an inferred high dissimilarity between two spectra may originate  
180 just from differences in taxa nomenclature. On the other hand, if all taxa are harmonized to too high a taxonomic  
181 level, the ecological signal might be lost (Giesecke et al., 2019). We applied an intermediate level of  
182 harmonization taking growth-form (i.e., woody vs. non-woody) as additional guidance. We assume that our  
183 approach best reflects the typical presentation of pollen data which is mainly limited by the pollen morphological

184 features visible at 400x magnification using light microscopy and the typical precision in taxa identification of  
185 most pollen analysts.

## 186 **5.2 Potential uses of LegacyPollen 1.0**

187 LegacyPollen 1.0 can be used for a variety of palaeoenvironmental synthesis studies including reconstructions  
188 of taxa distributions, climate, and biome change, which can be used for palaeo-model validation (Gaillard et al.,  
189 2010; Cao et al., 2013; Trondman et al., 2015; Cao et al., 2020; Mottl et al., 2021).

190 Plant taxa distribution changes based on mapping of pollen taxa can yield information about glacial refugia and  
191 past migration patterns, as, for example, previously implemented for *Quercus* (Brewer et al., 2002), *Picea* (van  
192 der Knaap et al., 2005; Zhou and Li, 2012), *Larix* (Cao et al., 2020), east Asian tree taxa (Cao et al., 2015), and  
193 European broad-leaf forest (Woodbridge et al., 2014; Fyfe et al., 2015). With the establishment of LegacyPollen  
194 1.0, a Northern Hemisphere-wide analysis of past changes in distributional ranges is now possible, as would help,  
195 for example, to better understand the different post-glacial colonization patterns of *Larix* in Europe, North  
196 America, and Siberia (Herzschuh, 2020). Such understanding of past range changes can underpin conservation  
197 management via the use of species distribution modelling at a broad scale enhanced by the higher spatial  
198 resolution and larger extent of LegacyPollen 1.0.

199 Studies aiming at broad-scale pollen-based vegetation reconstructions can benefit from the harmonized  
200 LegacyPollen 1.0 dataset including via biomization approaches (Prentice et al., 1996), multi-site ordination or  
201 classification approaches (e.g., two-way indicator species analysis; Hill, 1996; Fletcher and Thomas, 2007; Connor  
202 and Kvavadze, 2009), or approaches relating modern to fossil datasets (e.g., Modern Analogue Technique;  
203 Overpeck et al., 1985). Furthermore, quantitative vegetation reconstructions (e.g., Regional Estimates of  
204 Vegetation Abundance from Large Sites (REVEALS) model; Sugita, 2007) can be easily implemented, as a  
205 synthesis of relative pollen productivity estimates is already available for the Northern Hemisphere (Wieczorek  
206 and Herzschuh, 2020). Such quantitative information about taxa covers changes that can be directly compared  
207 to vegetation model outputs (Dallmeyer et al., 2021) at regional to continental scales, which is a potentially more  
208 accurate approach than translating pollen and model outputs first to biomes (Cao et al., 2019).

209 Pollen-based climate reconstructions are the backbone of palaeoclimate synthesis studies for the continents  
210 (Marcott et al., 2013; Marsicek et al., 2018; Routson et al., 2019; Kaufman et al., 2020a, b). The reconstruction  
211 of mean annual temperature ( $T_{ann}$ ), mean annual precipitation ( $P_{ann}$ ), and mean temperature of July ( $T_{July}$ ) using  
212 LegacyPollen 1.0 as input is an ongoing LegacyClimate 1.0 project. This will substantially increase the number of  
213 records and close data gaps in the global temperature datasets and thus enable the evaluation of climate  
214 simulations at a hemispheric scale (Wu et al., 2013; Hao et al., 2019). It will contribute to the “Holocene  
215 conundrum” debate (Liu et al., 2014) and to the discussion of the relationship between temperature and  
216 precipitation change (Trenberth, 2011; Routson et al., 2019).

217 Human activities are an important driver of vegetation change in addition to climate and other natural forces  
218 (Ellis and Ramankutty, 2008; Mottl et al., 2021; Pavlik et al., 2021). Deforestation during the Holocene period is  
219 of particular relevance which, with the help of the LegacyPollen 1.0 dataset, can now be investigated at the  
220 hemispheric scale. The harmonized chronologies of the LegacyPollen 1.0 dataset allow for the analysis of  
221 similarities and dissimilarities in the temporal pattern of deforestation between continents.

## 222 **6 Data and code availability**

223 The data are published in the PANGAEA repository under PANGAEA  
224 (<https://doi.pangaea.de/10.1594/PANGAEA.929773>, in the “*Other version*” section; Herzschuh et al., 2021) in  
225 both comma-separated values (.CSV) and tab-delimited text (.TXT) formats for LegacyPollen 1.0 dataset of  
226 counts per continent and LegacyPollen 1.0 dataset of percentages per continent. Site metadata, as well as a taxa  
227 harmonization master table, are provided in the “*Further details*” section.

228 The R code for taxa harmonization is stored on Zenodo (<https://doi.org/10.5281/zenodo.5910972>; Herzschuh  
229 et al., 2022) along with an example dataset. Downloading pollen data from the Neotoma Paleoecology Database,  
230 harmonizing the pollen taxa, and assigning ages to sample depth data to create customized datasets can thus  
231 be easily done.

232

233 **Author contributions:** UH had the idea, set up the implementation plan, led the study and wrote a first version  
234 of the manuscript together with CL and TB. CL, TB, AP implemented the harmonization supervised by UH and  
235 AA. BH and MW supervised the setup of the dataset and its upload to the repository and documentation. All  
236 authors contributed to the final version of the manuscript.

237 **Competing interests.** The contact author has declared that neither they nor their co-authors have any competing  
238 interests.

239 **Acknowledgements.** The majority of data were obtained from the Neotoma Paleocology Database  
240 (<https://www.neotomadb.org/>, last access: 1 April 2021). The work of data contributors, data stewards, and the  
241 Neotoma community is gratefully acknowledged. We would like to express our gratitude to all the palynologists  
242 and geologists who, either directly or indirectly, contributed pollen data and chronologies to the dataset. We  
243 also thank Cathy Jenks for language editing on a previous version of the paper.

244 **Financial support.** This research has been supported by the PalMod Initiative (01LP1510C to UH) and the  
245 European Research Council (ERC Glacial Legacy 772852 to UH). TB is supported by the German Federal Ministry  
246 of Education and Research (BMBF) as a Research for Sustainability initiative (FONA; <https://www.fona.de/en>)  
247 through the PalMod Phase II project (grant no. FKZ: 01LP1926D). CL holds a scholarship from the Chinese  
248 Scholarship Council (grant no. 201908130165).

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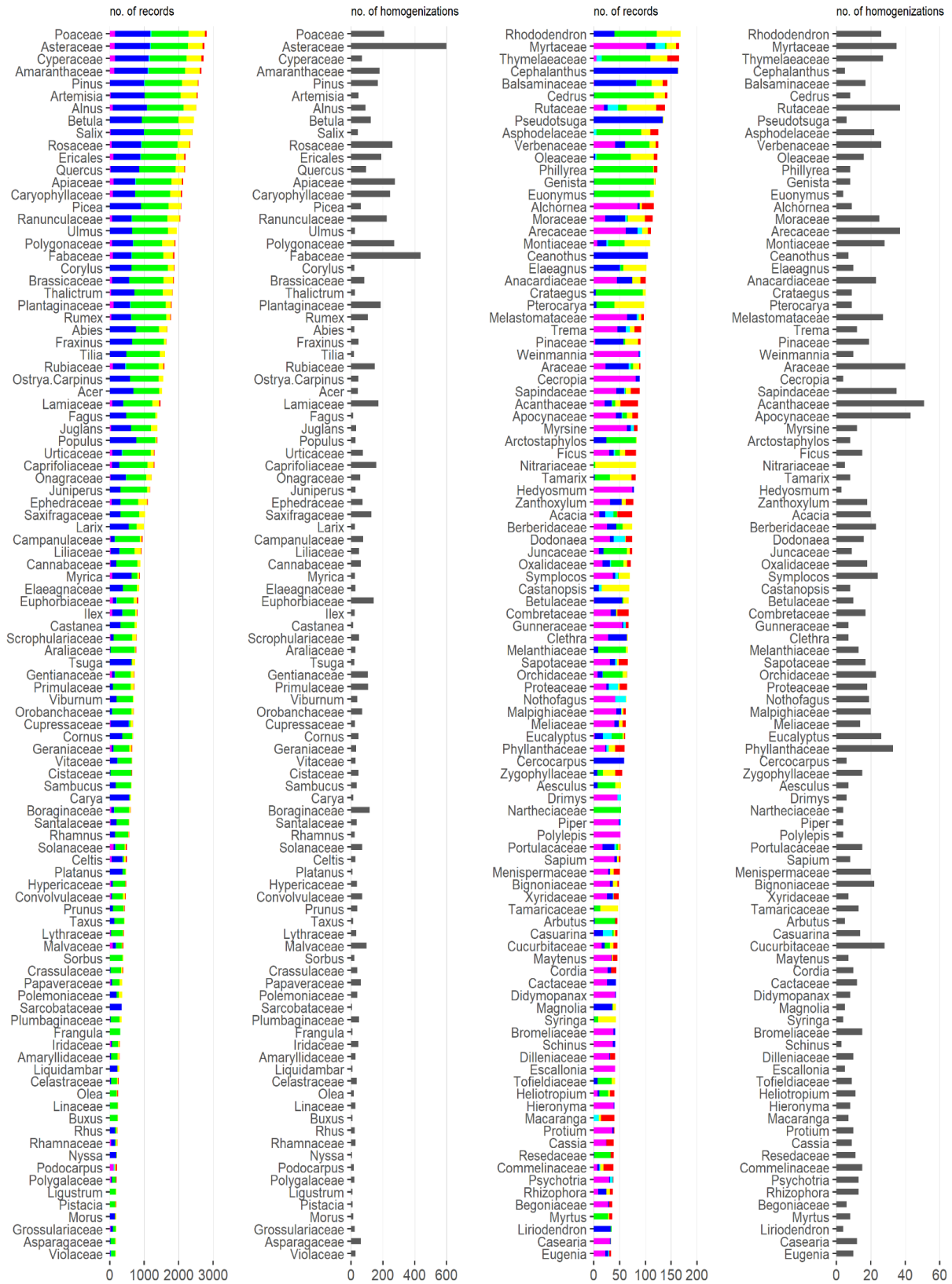
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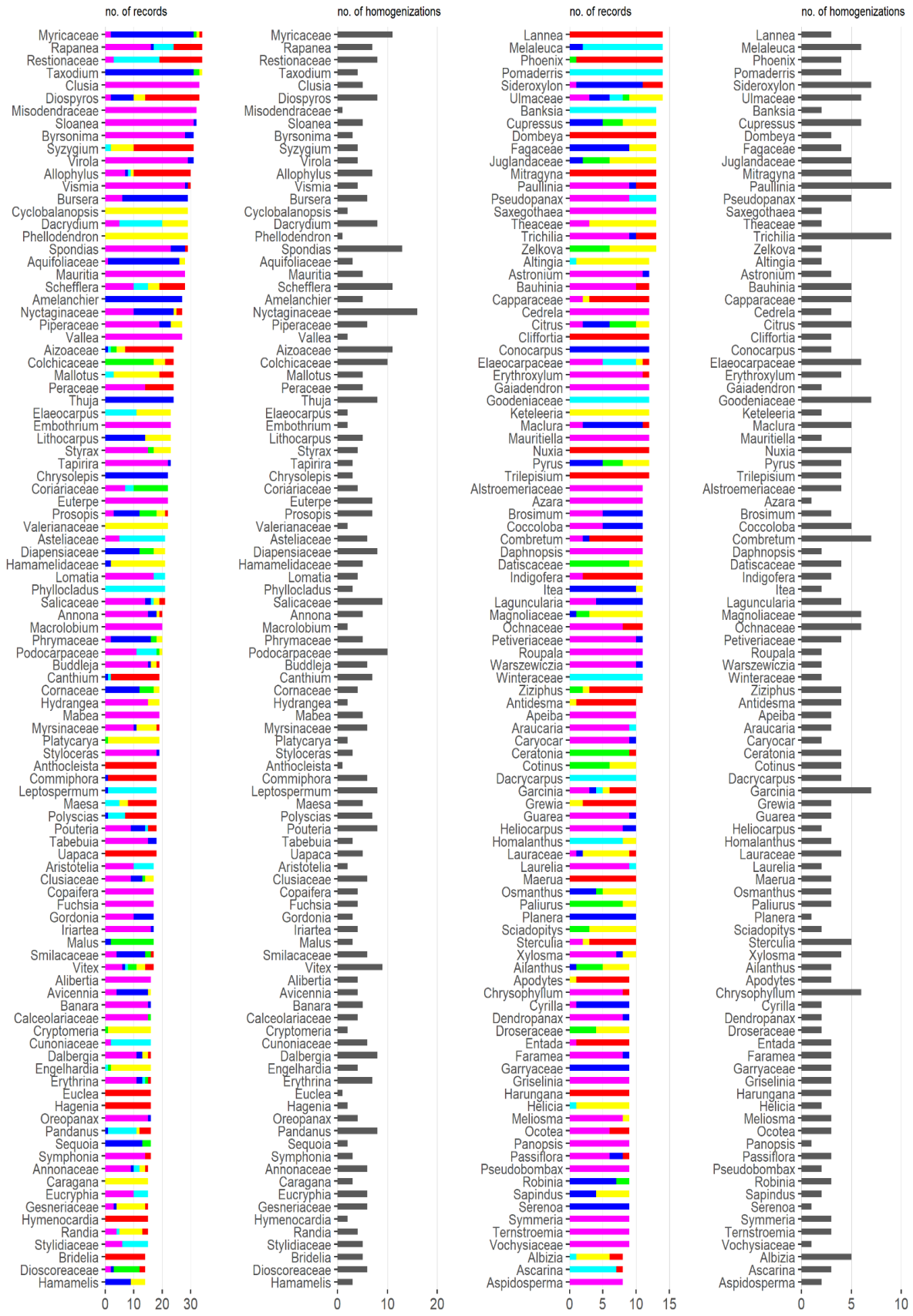
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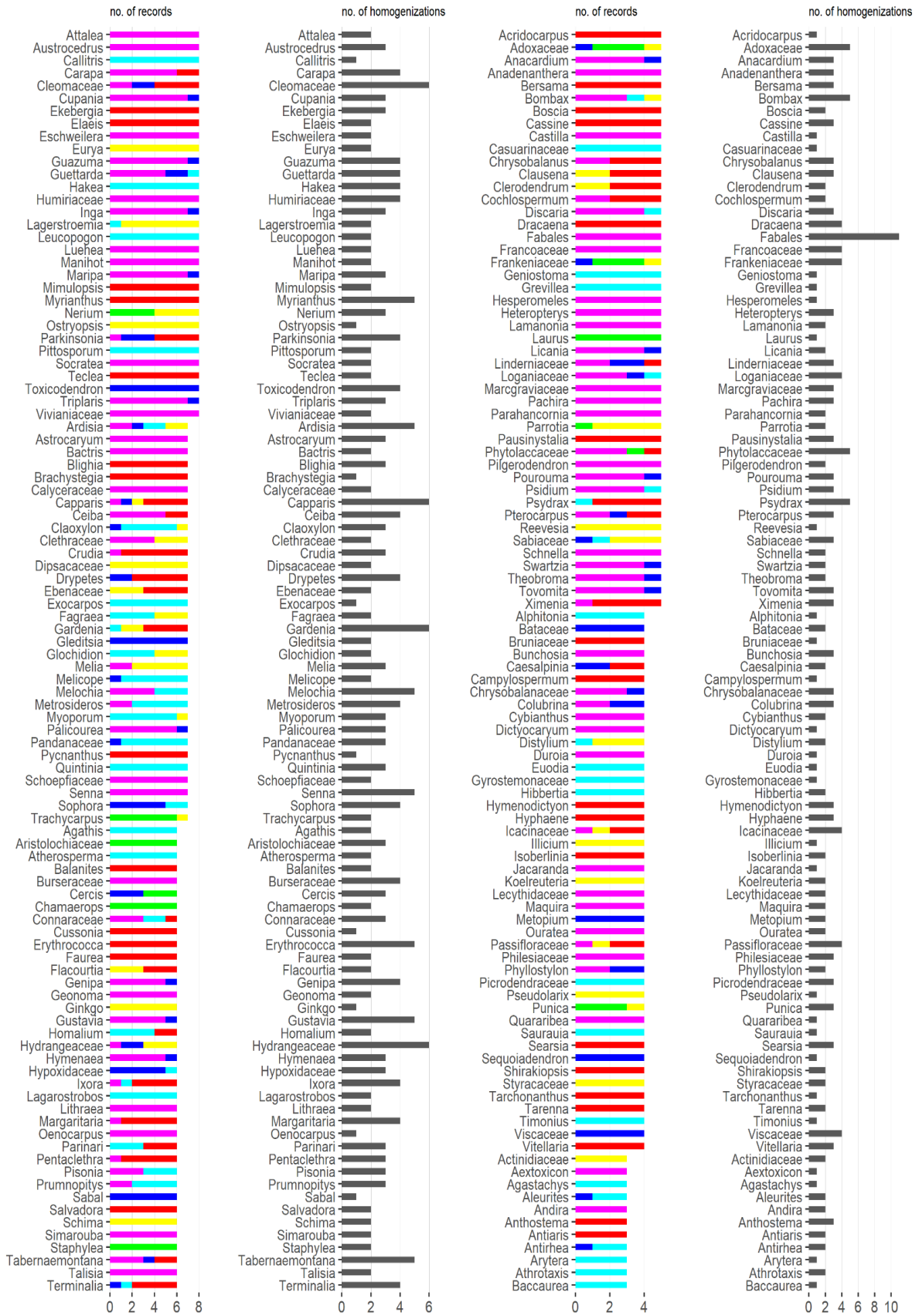
416 Appendix Figures



■ Africa 
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 ■ Indopacific 
 ■ North America 
 ■ South America

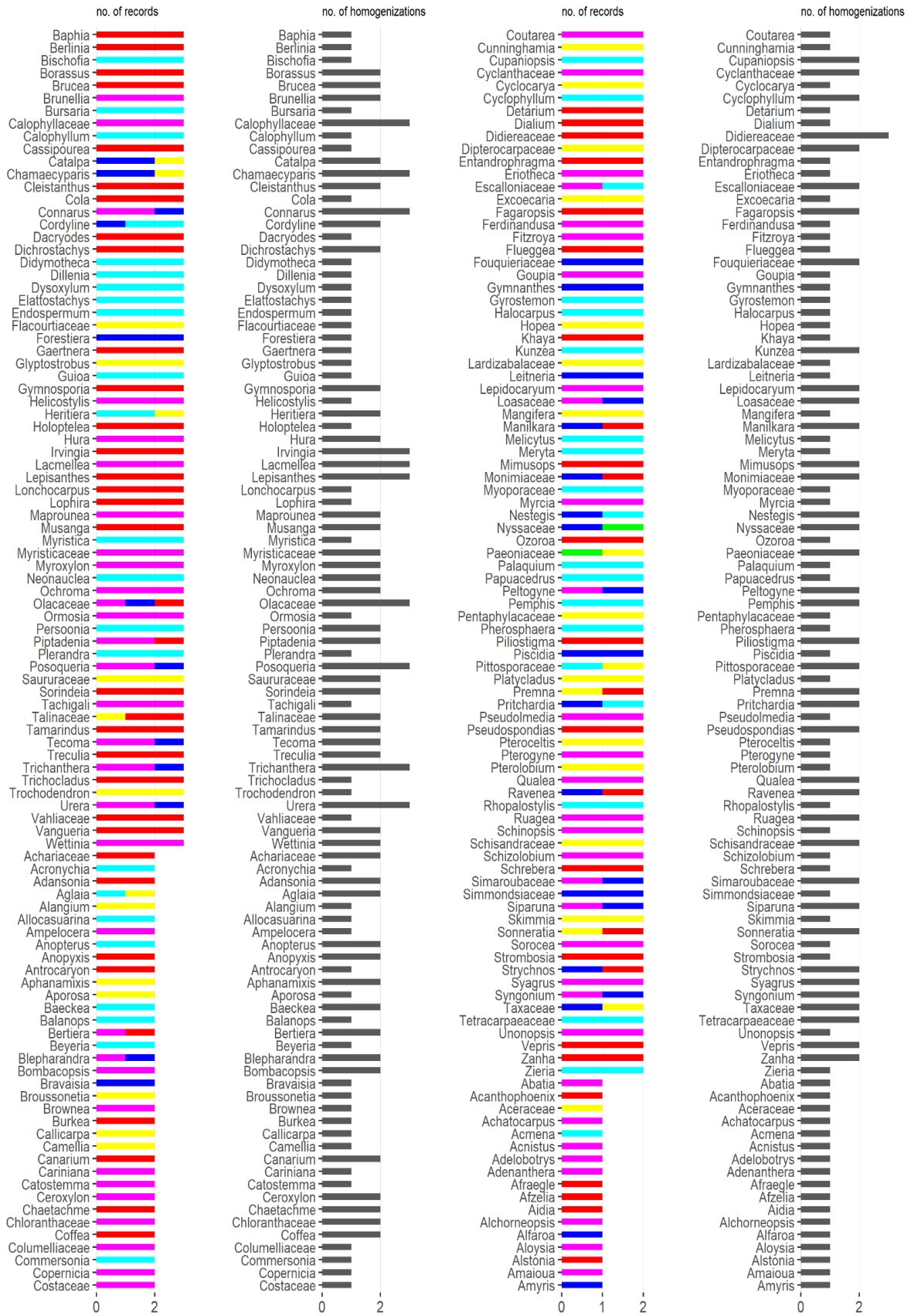


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422 **Appendix Figure 1 (complete Figure 3).** Number of records with taxa occurrences (per continent) and number

423 of harmonizations per taxon (full taxon list).