

1 **Panta Rhei benchmark dataset: socio-hydrological data of paired events of floods and droughts**

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102 **Abstract**

103 As the adverse impacts of hydrological extremes increase in many regions of the world, a better
104 understanding of the drivers of changes in risk and impacts is essential for effective flood and drought
105 risk management and climate adaptation. However, there is currently a lack of comprehensive,
106 empirical data about the processes, interactions and feedbacks in complex human-water systems
107 leading to flood and drought impacts. Here we present a benchmark dataset containing socio-
108 hydrological data of paired events, i.e., two floods or two droughts that occurred in the same area. The
109 45 paired events occurred in 42 different study areas and cover a wide range of socio-economic and
110 hydro-climatic conditions. The dataset is unique in covering both floods and droughts, in the number
111 of cases assessed, and in the quantity of socio-hydrological data. The benchmark dataset comprises:
112 1) detailed review style reports about the events and key processes between the two events of a pair;
113 2) the key data table containing variables that assess the indicators which characterise management
114 shortcomings, hazard, exposure, vulnerability and impacts of all events; 3) a table of the indicators-of-
115 change that indicate the differences between the first and second event of a pair. The advantages of
116 the dataset are that it enables comparative analyses across all the paired events based on the
117 indicators-of-change and allows for detailed context- and location-specific assessments based on the
118 extensive data and reports of the individual study areas. The dataset can be used by the scientific
119 community for exploratory data analyses e.g. focused on causal links between risk management,
120 changes in hazard, exposure and vulnerability and flood or drought impacts. The data can also be used
121 for the development, calibration and validation of socio-hydrological models. The dataset is available
122 to the public through the GFZ Data Services (Kreibich et al. 2023, link for review:
123 [https://dataservices.gfz-](https://dataservices.gfz-potsdam.de/panmetaworks/review/923c14519deb04f83815ce108b48dd2581d57b90ce069bec9c948361028b8c85/)
124 [potsdam.de/panmetaworks/review/923c14519deb04f83815ce108b48dd2581d57b90ce069bec9c948](https://dataservices.gfz-potsdam.de/panmetaworks/review/923c14519deb04f83815ce108b48dd2581d57b90ce069bec9c948361028b8c85/)
125 [361028b8c85/](https://dataservices.gfz-potsdam.de/panmetaworks/review/923c14519deb04f83815ce108b48dd2581d57b90ce069bec9c948361028b8c85/)).

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127 **1 Introduction**

128 The Panta Rhei initiative of the International Association of Hydrological Sciences (IAHS) aims to
129 increase our knowledge of interactions and feedback between hydrological and social processes. Panta
130 Rhei research focuses on understanding and modelling spatial and temporal dynamics of human-water
131 systems in order to inform water management and hydrological risk reduction under global change,
132 while supporting the achievement of water-related sustainability goals (Montanari et al., 2013;

133 McMillan et al., 2016; Di Baldassarre et al., 2019). In particular, a large amount of work in Panta Rhei
134 has focused on floods and droughts and their interplay with human societies.

135 In recent decades, flood and drought impacts have been significantly increasing in many regions of the
136 world (Bouwer, 2011; Stahl et al., 2016), even where flow regimes are heavily engineered and
137 regulated by dams, reservoirs and other infrastructure (Razavi et al., 2020; Van Loon et al., 2022). Due
138 to complex human-water system interactions, the attribution of trends in flood and drought impacts
139 is particularly challenging (Merz et al., 2012a; Van Loon et al., 2016). For instance, trend analyses of
140 flood impacts revealed that the observed increase in impacts is dominated by an increase in exposure,
141 although changes in hazard, driven by climate change, may play a role as well (Bouwer, 2011; Merz et
142 al., 2012b). It is suggested that climate signals leading to an increase in hazard might be masked by a
143 counteracting decrease in vulnerability due to human interventions (Di Baldassarre et al., 2015;
144 Jongman et al., 2015; Mechler and Bouwer, 2015). Vulnerability can be positively influenced by risk
145 management practices, but it can also be negatively influenced, for example by the use of more water-
146 sensitive building materials (floods), or more water-stress sensitive crop types (droughts) (De Ruiter et
147 al., 2021; Kuhlicke et al., 2020; Ward et al., 2020). Few datasets are available on the temporal dynamics
148 of vulnerability and its influence on impacts (Bubeck et al., 2012; De Ruiter and Van Loon, 2022).

149 There is an urgent need to detect trends in hazard, exposure and vulnerability as well as their joint
150 effects on impacts, in order to understand and, in turn, model and project the dynamics of flood and
151 drought risks (e.g. Sairam et al., 2019; Ward et al., 2020). However, due to a lack of empirical data,
152 little is known about trends in flood and drought impacts and their causes (Kreibich et al., 2019). Impact
153 data are seldom available and, when present, they are highly fragmented and uncertain (Downton and
154 Pielke, 2005; Gall et al., 2009; Hayes et al., 2011; Stahl et al., 2016; Kron et al., 2012).

155 Some trend analyses of impact data have been undertaken at continental (Barredo, 2009) and global
156 scales (Neumayer and Barthel, 2011), since sufficient data about events and related impacts are
157 available at such large spatial scales. Yet, these studies cannot disentangle the changes in exposure
158 and vulnerability that influence impacts (Bouwer, 2011; Merz et al., 2012a). For such detailed analyses,
159 case studies need to be assessed from a socio-hydrologic perspective (Mostert, 2018).

160 The objective of this paper is to present a Panta Rhei dataset of paired events, i.e. two floods or two
161 droughts that occurred in the same area. The dataset contains data of 45 paired events in 42 study
162 areas encompassing different socio-economic and hydro-climatic conditions. The benchmark dataset
163 includes detailed reports of events and key processes between events, an overview table of key data
164 for all events, and a table of indicators-of-change indicating the differences between the first and
165 second event of each pair. The innovation and advantages of the dataset lie in its ability to allow
166 detailed context- and location-specific assessments based on the extensive data and reports on each

167 study area, and in turn to allow indicator-based comparative analyses across all paired events. A
168 challenge is the heterogeneity of the data in relation to the different hazard types and monitoring
169 approaches in the study areas, which prevents a quantitative comparison between the 45 paired
170 events. A first comparative analysis based on the dataset revealed the general pattern that risk
171 management normally reduces the impacts of floods and droughts, but faces difficulties in reducing
172 the impacts of unprecedented events of a magnitude not experienced before (Kreibich et al. 2022). In
173 addition, three risk management success factors were identified based on a detailed analysis of two
174 success stories (Kreibich et al. 2022). Additionally, this dataset has the potential to support the
175 development of models that simulate the dynamics of flood and drought risks generated by the
176 interplay of social and hydrological processes. As such, the dataset can support solving one of the
177 twenty-three unsolved problems in hydrology (Blöschl et al. 2019), namely “How can we extract
178 information from available data on human and water systems in order to inform the building process
179 of socio-hydrological models and conceptualisations?”.

180

181 **2 Methods**

182 The concept of collecting and analysing paired events of floods and droughts has been developed in
183 two preceding studies. The Panta Rhei working group “Changes in flood risk” has previously
184 undertaken a comparative paired-event study (Kreibich et al., 2017). Eight risk reduction success
185 stories were compiled, i.e. paired events where the second flood caused significantly lower impact in
186 comparison with the first flood in the same catchment. Subsequently, together with the Panta Rhei
187 working group “Drought in the Anthropocene”, the extended concept for the collection of paired
188 events of floods and droughts was developed and presented in the opinion paper “How to improve
189 attribution of changes in drought and flood impacts” (Kreibich et al., 2019).

190 **2.1 Definitions and concept of paired events of floods and droughts**

191 Floods can be defined as the “*temporary covering by water of land not normally covered by water*” (EC,
192 2007), or as water levels higher than a defined maximum (Blöschl et al., 2015). The main types of floods
193 are coastal floods caused by storm surges, inland pluvial floods, riverine floods, and flash floods, which
194 are usually caused by heavy precipitation, sometimes in combination with snowmelt, ice jams, high
195 soil moisture, or high groundwater levels (e.g. Danard et al., 2003; Gaume et al., 2009; Skougaard
196 Kaspersen et al., 2015; Tarasova et al., 2019, Stein et al. 2019). In contrast, drought can be defined
197 using a precipitation deficiency threshold over a predetermined period of time (WMO, 2006), or more
198 generally as an exceptional lack of water compared to normal conditions (Van Loon et al., 2016).
199 Besides precipitation, temperature can also play an important role as a driver of droughts, either in

200 relation to evapotranspiration or to changes in snow accumulation and melt (e.g. Teuling et al., 2013;
201 Staudinger et al., 2014; Huning and AghaKouchak, 2018, 2020). Droughts are typically categorized into
202 three types, propagating in the following order: meteorological, soil moisture and hydrological drought
203 (Wilhite and Glantz, 1985; Tallaksen and Van Lanen, 2004).

204 Flood and drought risks and their impacts are determined by hazard, exposure, and vulnerability
205 (UNDRR 2017). Hazard is a process, phenomenon or human activity that may cause loss of life, injury
206 or other health impacts, property damage, social and economic disruption or environmental
207 degradation; exposure is the situation of people, infrastructure, housing, production capacities and
208 other tangible human assets located in hazard-prone areas; and vulnerability are the conditions
209 determined by physical, social, economic and environmental factors or processes which increase the
210 susceptibility of an individual, a community, assets or systems to the impacts of hazards (UNDRR,
211 2017). Impacts, e.g. direct impacts such as fatalities or monetary impacts but also indirect and
212 intangible impacts such as microbial infection (De Man et al., 2014), are a manifestation of risk
213 (Poljanšek et al., 2017). The purpose of risk management is to reduce the impact of events by modifying
214 the hazard, exposure, and/or vulnerability. It is defined as the application of disaster risk reduction
215 policies and strategies to prevent new disaster risk, reduce existing disaster risk and manage residual
216 risk, contributing to the strengthening of resilience and reduction of disaster losses (UNDRR, 2017).

217 An important challenge of trend analyses of extremes is that every event, region, situation, etc. is
218 unique and has its own characteristics and processes. The concept of paired events aims to reduce this
219 heterogeneity by analysing comparable events of the same event type (e.g. two riverine floods or two
220 meteorological droughts) that occurred in the same catchment or region (Kreibich et al., 2017, 2022).
221 This concept is analogous to the one of paired catchment studies, which is well established in
222 hydrology, and can be used to determine the magnitude of water yield variations resulting from
223 changes in vegetation (Brown et al., 2005). The same concept has also been used for analysing whether
224 changes in flood discharge can be attributed to changes in land use (Prosdocimi et al., 2015) and to
225 disentangle the role of natural and human drivers of hydrological drought severity (Van Loon et al.,
226 2019).

227 **2.2 Data acquisition**

228 The development of this Panta Rhei benchmark dataset of socio-hydrological data of paired events of
229 floods and droughts was driven by a core group of five people (Heidi Kreibich, Kai Schröter, Giuliano di
230 Baldassarre, Anne Van Loon, Philip Ward) from the Panta Rhei working groups “Changes in flood risk”
231 and “Droughts in the Anthropocene”. The aim was to collect data on paired events of pluvial, riverine,
232 groundwater and coastal floods, as well as of meteorological, soil moisture and hydrological droughts.
233 For drought paired events, authors could choose to provide hazard data relative to one drought type

234 (meteorological, soil moisture, hydrological), or even two or three types, depending on the data
235 available and/or the focus on specific impacted sectors. In contrast to the previous paired event data
236 compilation which contained eight flood paired events (Kreibich et al., 2017), the collection of paired
237 flood or drought events was not limited to success stories but aimed to compile a set of diverse and
238 contrasting cases.

239 The campaign to collect data on paired events started at the EGU General Assembly in April 2019 in
240 Vienna and was continued with talks promoting the paired event data collection at the international
241 conferences KOSMOS (August 2019), REKLIM (September 2019), System-Risk (September 2019), and
242 INQUIMUS (November 2019). Communication with the Panta Rhei community and other flood and
243 drought experts identified through snowballing technique was important. Thus, data on paired events
244 were provided by professionals with excellent local knowledge of the events and risk management
245 practices. The academics and practitioners involved were either based in the study areas or worked
246 with local partners (data providers are all co-authors of this paper).

247 Based on templates, [\(provided in the appendix of the data description \(Kreibich et al. 2023\)\)](#), detailed
248 review-style reports describing the events and key processes between events in the study areas were
249 collected, with a focus on characterising impacts, management, hazard, exposure and vulnerability.
250 The paired event reports are between 3 and 18 pages long and are structured in the following sections:
251 1) short description of events with a focus on impacts; 2) descriptions of processes between events
252 with a focus on risk management 3) event comparison in respect to hazard; 4) event comparison in
253 respect to exposure; 5) event comparison in respect to vulnerability; 6) summary; 7) references. The
254 reports contain qualitative and quantitative information and data. Qualitative information includes
255 e.g. the description of risk management, quantitative information includes e.g. the amount of
256 discharge or the number of fatalities.

257 **2.3 Data processing and quality assurance**

258 The processes implemented to assure data quality followed the Delphi Method (Okoli and Pawlowski,
259 2004), which is built on structured discussion and consensus building among experts. First, an internal
260 review process of the collected reports was undertaken by the core group for quality assurance,
261 homogenization and data gap filling. Each paired event report was reviewed by two experts from the
262 core group. Firstly, it was important to ensure that there is sufficient information and data in the
263 reports to comprehensively characterise management shortcomings, hazard, exposure, vulnerability
264 and impacts of both events in the study area. Secondly, the information and data provided for the first
265 and second events of a pair must be comparable. This means that, if possible, the same variables must
266 be used for characterising both events. For instance, if the Standardized Precipitation Index (SPI-12) is

267 used to assess the severity of the first drought, it should also be used for the second drought of the
268 pair.

269 Based on the review-style reports, two further data sets were developed, namely the key data table
270 and the indicators of change, which were compiled in a second table.

271 **2.3.1 Compilation of key data**

272 The core group developed the key data table. This means that information and data were compiled to
273 assess various indicators characterising management shortcomings, hazard, exposure, vulnerability
274 and impacts (Table 1). As far as possible, the same indicators were used for all event types. For
275 management shortcomings, exposure and vulnerability, the indicators are the same for all event types.
276 The impact indicators are the same, except for 'number of fatalities' which was not used for droughts,
277 since in our cases fatalities during drought events were not caused by lack of water, but by a concurrent
278 heatwave. Necessarily, the hazard indicators are different, not only between floods and droughts, but
279 also e.g. between coastal floods and riverine floods (Table 1).

280 Commonly, more than one variable is provided per indicator, e.g. extreme rainfall at several
281 meteorological stations to assess the severity of pluvial floods. Examples of how to describe or
282 measure variables to assess the indicators of flood and drought impacts, hazard, exposure,
283 vulnerability and management shortcomings are provided in the data description (Kreibich et al. 2023).
284 For the assessment of the indicators, the same variables resulting from comparable measurements are
285 used for both events of a pair as far as possible. Thus, variables compiled for the first and second event
286 of a pair are comparable. However, the variables and the data quality differ strongly between the
287 paired events and study areas due to the different event types, monitoring facilities and detailedness
288 of event documentations. This data heterogeneity makes comparative analyses across the paired
289 events challenging.

290 ~~In addition~~Our aim was to compile as complete data as possible on the data, their events, but not for
291 all indicators of impacts, hazard, exposure, vulnerability and management shortcomings of all events
292 peer-reviewed data sources were available. Thus, we also resorted to e.g. newspaper articles or expert
293 knowledge. For transparency reasons, and to give data users the opportunity to judge the quality of
294 the data themselves, data source information (citations, references) is also compiled in the key data
295 table. SourcesAccording to our personal assessment, the sources of the data are classifiedcategorised
296 in descending quality as follows: scientific study (peer reviewed paper and PhD thesis), report (by
297 governments, administrations, non-governmental organisations (NGOs), research organisations,
298 projects), own analysis by authors, based on database (e.g. official statistics, monitoring data such as
299 weather, discharge data, etc.), newspaper article, and expert judgement.

300 Table 1: Indicators characterising management shortcomings, hazard, exposure, vulnerability and
 301 impacts of flood and drought events. In general, the indicators are relevant for all event types. If an
 302 indicator is only relevant for certain event types, this is indicated in brackets. These indicators are
 303 column headers in the key data table.

Management shortcomings	Hazard	Exposure	Vulnerability	Impacts
<ul style="list-style-type: none"> • Problems with water management infrastructure • Non-structural risk management shortcomings 	<ul style="list-style-type: none"> • Duration of meteo. drought (only meteo. droughts) • Severity of meteo. drought (only meteo. droughts) • Duration of soil moisture drought (only soil moisture droughts) • Severity of soil moisture drought (only soil moisture droughts) • Duration of hydro. drought (only hydro. droughts) • Severity of hydro. drought (only hydro. droughts) • Tidal level (only coastal floods) • Storm surge (only coastal floods) • Antecedent conditions (only pluvial & riverine floods) • Precipitation / weather severity (only floods) • Severity of flood (only floods) 	<ul style="list-style-type: none"> • People/area /assets exposed • Exposure hotspots 	<ul style="list-style-type: none"> • Lack of awareness and precaution • Lack of preparedness • Imperfect official emergency / crisis management • Imperfect coping capacity 	<ul style="list-style-type: none"> • Number of fatalities (only floods) • Direct economic impacts • Indirect impacts • Intangible impacts

304

305 The data compiled in the key data table were first individually quality checked by the respective data
 306 providers (i.e. report authors) for each paired event. In a second step, the whole key data table was
 307 reviewed by all authors to improve homogeneity across paired events.

308 2.3.2 Assignment of indicators-of-change

309 On the basis of the key data table, indicators-of-change between the first and second event of a pair
 310 were assigned to enable comparative analyses across the paired events. All indicators-of-change were
 311 designed such that consistently positive correlations with impact changes are expected, e.g. “lack of

312 awareness and precaution". Thus, a decrease in "lack of awareness and precaution" is expected to lead
313 to a decrease in impacts, and relates to a decrease in vulnerability. The first event was used as the
314 baseline. The changes are indicated as follows, using a Likert scale ranging from -2 to 2. Values of -2/2
315 indicate large decrease or increase, values of -1/1 indicate small decrease or increase and a value of 0
316 indicates no change. In cases where more variables are associated with an indicator, a combination or
317 selection of the variables was used for the derivation of the indicator-of-change based on hydrological
318 reasoning on the most relevant piece of information. In case of quantitative variables (e.g.
319 precipitation intensities) commonly a change of less than 50% is treated as small, and above 50% as
320 large. For drought paired events, if more hazard indicators on different drought types (i.e.,
321 meteorological, soil moisture and hydrological drought) are provided, these were taken together to
322 get an overall assessment of change in drought duration and severity. If the drought types showed
323 different behaviour, the most representative value was chosen. The development of the indicators-of-
324 change had to take into account expert judgements that considered the whole context of the paired
325 event. Representative examples are provided from flood and drought paired events showing how
326 differences in quantitative and qualitative variables between the two events of a pair correspond to
327 the values of the indicators-of-change (data description of Kreibich et al. 2023).

328 Additionally, five summary indicators-of-change were derived for management shortcomings, hazard,
329 exposure, vulnerability and impacts to enable an easy comparison between flood and drought paired
330 events. These summary indicators-of-change were derived by qualitatively comparing and integrating
331 the values of their related indicators-of-change, according to Table 1. For instance, the summary
332 indicator-of-change of exposure is derived from the two indicators-of-change of People/area/assets
333 exposed and Exposure hotspots.

334 Indicators-of-change were assigned in an iterative process following a quality assurance protocol: for
335 each paired event, first a core group member suggested values for the indicators-of-change and
336 consequently the five summary indicators-of-change based on the key data table. Next, another
337 member of the core group reviewed these suggestions. In case of doubt, both core group members
338 checked again the variables in the key data table and also the paired event report, and provided a joint
339 suggestion. All suggested values for the indicators-of-change for all paired events were discussed in
340 the core group to assure comparability across paired events. Then, again individually per paired event,
341 the suggested values of the indicators-of-change were cross-checked with the respective data
342 providers (i.e. report authors of the paired event). Finally, the completed table of indicators-of-change
343 was reviewed again by all authors to improve homogeneity across paired events.

344

345 **3 Results**

346 **3.1 Overview of paired events**

347 In total 45 paired events of floods and droughts from all over the world were collected in 42 study
 348 areas (Table 2). In three study areas we have data on three flood events that formed two paired events,
 349 e.g. pluvial floods in 2007, 2010 and 2014 in Malmö, Sweden with the first paired event: pluvial floods
 350 in Malmö 2007 and 2010 (paired event ID 27); second paired event: pluvial floods Malmö 2010 and
 351 2014 (paired event ID 45). Our dataset includes 26 flood and 19 drought paired events. Most events
 352 occurred between 1970 and 2019, with three exceptions: the drought in 1947 in southwest Germany,
 353 the riverine flood in 1951 in Kansas, USA, and the riverine flood in 1963 at the Baiyangdian River, China
 354 (Table 2). The average time between the two events of a pair is 16 years with a range of 1 to 71 years.
 355 The geographical distribution of the paired events encompasses 3 paired events in South America, 7
 356 in North America, 2 in Africa, 22 in Europe, 10 in Asia and 1 in Australia (Figure 1).

357

358 Table 2: Overview of paired events, sorted according to the summary indicator-of-change of impacts

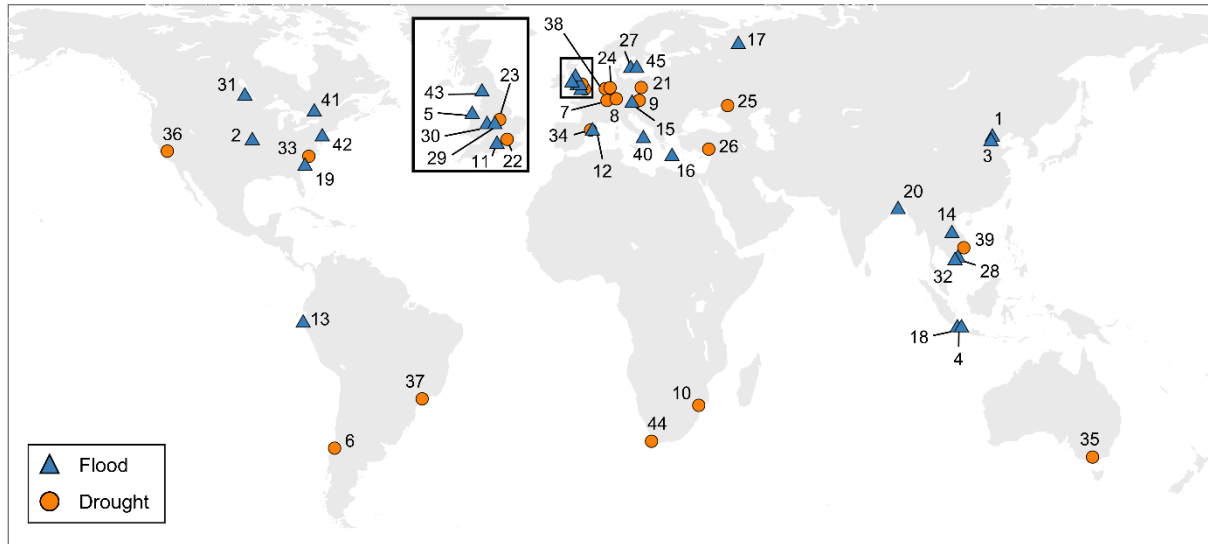
Paired event ID	Event type	Area: Catchment / region	Area: Country	Year(s) 1 st event	Year(s) 2 nd event	indicator-of-change in impact
1	pluvial flood	City of Beijing	China	2012	2016	-2
2	riverine flood	Kansas catchment	USA	1951	1993	-2
3	riverine flood	Baiyangdian catchment	China	1963	1996	-2
4	riverine flood	Jakarta	Indonesia	2007	2013	-2
5	coastal flood	North Wales	UK	1990	2013	-2
6	meteorological drought	Maule region	Chile	1998	2013	-1
7	meteorological & hydrological drought	Lorraine region	France	1976	2018	-1
8	meteorological & hydrological drought	South-West Germany	Germany	1947	2018	-1
9	meteorological drought	Central Europe		2003	2015	-1
10	hydrological drought	Limpopo catchment	Mozambique	1991	2005	-1
11	groundwater flood	West Berkshire	UK	2000-2001	2013-2014	-1
12	pluvial flood	Barcelona city	Spain	1995	2018	-1
13	riverine & pluvial flood	Piura region	Peru	1998	2017	-1
14	riverine flood	Mekong River	Cambodia	2000	2011	-1
15	riverine flood	Danube catchment	Austria & Germany	2002	2013	-1
16	riverine flood	Crete	Greece	1994	2015	-1

17	riverine flood	Sukhona catchment	Russia	1998	2016	-1
18	riverine flood	Jakarta	Indonesia	2002	2007	-1
19	coastal flood	Charleston	USA	2016	2017	-1
20	coastal flood	Coastal Region	Bangladesh	2007	2009	-1
21	soil moisture drought	Wielkopolska Province	Poland	2006	2015	0
22	hydrological drought	Ver catchment	UK	2003-2006	2010-2012	0
23	meteorological & hydrological drought		UK	2003-2004	2005-2006	0
24	hydrological drought	Meuse and Rhine catchments	The Netherlands, Germany & Belgium	1976	2003	0
25	meteorological soil moisture & hydrological drought	Don catchment	Russia	1972	2010	0
26	meteorological drought	Seyhan River basin	Turkey	1973	2014	0
27	pluvial flood	Malmö	Sweden	2007	2010	0
28	pluvial flood	Ho Chi Minh City	Vietnam	2010	2016	0
29	riverine & pluvial flood	Birmingham	UK	2008	2016	0
30	riverine & pluvial flood	Birmingham	UK	2016	2018	0
31	riverine flood	Assiniboine catchment	Canada	2011	2014	0
32	riverine, pluvial & coastal flood	Can Tho city, Hau River	Vietnam	2011	2016	0
33	Meteorological soil moisture & hydrological drought	North Carolina	US	2000-2002	2007-2009	1
34	meteorological drought	Catalonia	Spain	1986-1989	2004-2008	1
35	meteorological drought	Melbourne	Australia	1982-1983	2001-2009	1
36	hydrological drought	California	USA	1987-1992	2012-2017	1
37	hydrological drought	Sao Paulo	Brazil	1985-1986	2013-2015	1
38	meteorological & hydrological drought	Raam catchment	The Netherlands	2003	2018-2019	1
39	meteorological soil moisture & hydrological drought	Central Highlands	Vietnam	2004-2005	2015-2016	1
40	pluvial flood	Corigliano-Rossano city	Italy	2000	2015	1
41	riverine flood	Ottawa River	Canada	2017	2019	1

42	riverine flood	Delaware catchment	USA	2004	2006	1
43	riverine flood	Cumbria	UK	2009	2015	1
44	meteorological drought	Cape Town area	South Africa	2003-2004	2015-2017	2
45	pluvial flood	Malmö	Sweden	2010	2014	2

359

360



361

362 Figure 1: Geographical distribution of the paired events, numbers represent the IDs of the paired
363 events.

364

365 3.2 Content of the Panta Rhei benchmark dataset

366 The dataset comprises: 1) the paired event reports, i.e. review style reports about the events and key
367 processes between the events, particularly with respect to changes in risk management; 2) the key
368 data table containing variables that assess the indicators which characterise management
369 shortcomings, hazard, exposure, vulnerability and impacts of all events; and 3) the table containing
370 the indicators-of-change, including the summary indicators-of-change. These three parts of the dataset
371 are described in detail in the following sections.

372 3.2.1 Paired event Reports

373 The reports about the paired events are all written in the style of review papers, i.e. they primarily
374 compile and analyse available information and data from various sources about the events and key
375 processes between the events. For some reports, the authors also undertook their own analyses and
376 included statements based on their expert judgement. The reports are between 3 and 18 pages long
377 and are structured in the following sections: 1) short description of both events with a focus on
378 impacts; 2) description of processes between events with a focus on risk management; 3) event

379 comparison in respect to hazard; 4) event comparison in respect to exposure; 5) event comparison in
380 respect to vulnerability; 6) summary; and 7) references. In the three cases where we have three events,
381 i.e. two paired events in one study area, all three events and processes between events are described
382 in one report. Thus, the dataset contains 43 reports which enable detailed contextual insights into
383 physical and socio-economic changes between the paired drought or flood events in an area.

384 3.2.2 Key data table

385 The key data table is an Excel file with the following two spreadsheets: 1) “key data”, which contains
386 the data of the flood and drought paired events, 2) “references”, which contains the references cited
387 in the key data compilationspreadsheet, separated by paired events and linked via the paired event
388 IDs.

389 The key data spreadsheet is structured as follows: The first columns identify and roughly characterise
390 the paired event and study area, i.e. their headers are: “Paired event ID”, “Event type”, “Area:
391 Catchment/region”, “Area: Country”, “Year of event”. The following columns contain the data (every
392 second column) and the category of the data source (every second column). The data columns contain
393 variables that assess the management shortcomings, hazard, exposure, vulnerability and impacts
394 indicators, structured in analogue to Table 1. Citations leading to the source of the data are included,
395 e.g. citation of a scientific paper. In the following column, the category of the data source is provided-
396 to give data users the opportunity to judge the quality of the data themselves. Always 2 rows belong
397 to one paired event, the first line contains the information of the first event, the second line contains
398 the information of the second event. The variables compiled for the first and second event of a pair
399 are comparable, i.e. the same variables resulting from comparable measurements are provided as far
400 as possible. Any missing data which could not be retrieved for the specific event is indicated as not
401 available (NA). The indicators which are not relevant for the specific event type are indicated as not
402 relevant (NR).

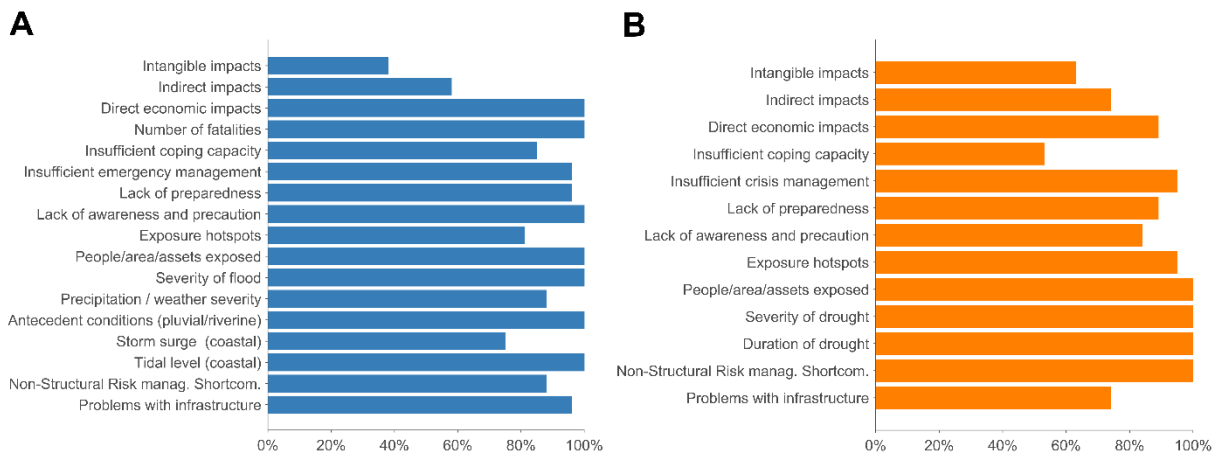
403 The references spreadsheet contains the following columns: “Paired event ID”, “DOI”, “Web-link”,
404 “Accessed (web-link)”, “References”. If possible, DOIs are given, which is mainly the case for scientific
405 studies. Otherwise, the web link is given if possible, this is often the case for reports. In these cases,
406 additionally the date is provided on which the data source provided via a web-link was last accessed.
407 References are provided for all citations contained in the key data spreadsheet, this is mainly the case
408 for scientific study and report categories of the data source.

409

410 3.2.3 Table of indicators-of-change

411 The table containing the indicators-of-change is structured in analogue to the key data spreadsheet of
 412 the key data table. Differences are the following: 1) the indicators-of-change characterising drought
 413 hazard are aggregated into two indicators-of-change: “Duration of drought” and “Severity of drought”,
 414 for all drought types; 2) the five summary indicators-of-change are additionally included; 3) Each event
 415 pair is represented by one row, since the indicators-of-change represent the difference between the
 416 data of the first event (1st row of paired event in key data) and of the second event (2nd row of paired
 417 event in key data).

418 Overall, the flood and drought paired events have similar amounts of data availability for the
 419 indicators-of-change, with only 12% and 14% NAs, respectively. However, for both floods and
 420 droughts, data on indirect and intangible impacts are scarce (Figure 2). For droughts, hazard and
 421 exposure data are readily available, while data on coping capacity is scarce. Additionally, storm surge
 422 data for coastal floods is scarce (Figure 2).



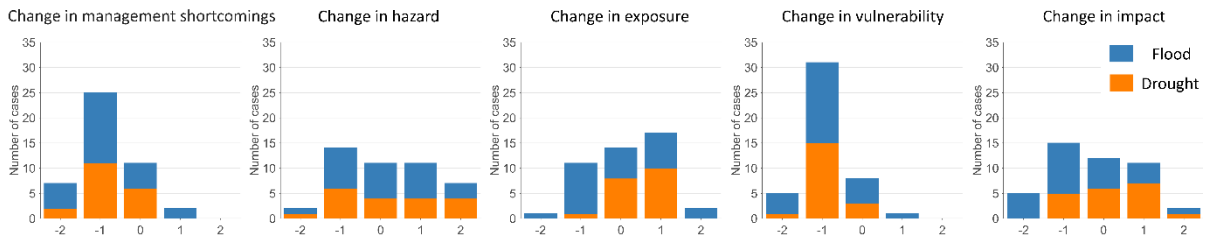
423
 424 **Figure 2: Fraction of entries in [%] (in contrast to NA values) for each indicator-of-change of flood (A)**
 425 **and drought (B) paired events.**

426 Across all paired events, a small decrease and no change were the most common values across all
 427 summary indicators-of-change, with 43% and 25%, respectively (Figure 3). Large changes (-2/2) are
 428 rare, with counts below 10% across all indicators-of-change. Changes in hazard, exposure and impact
 429 show a relatively even distribution (except for large changes), whereas changes in vulnerability and
 430 management shortcomings mainly show a decrease.

431 Differences between the collected flood and drought paired events are apparent for exposure and
 432 impacts. Flood paired events include one pair with a large decrease in exposure, two pairs with a large
 433 increase in exposure and a rather even distribution across small decreases, no change and a small
 434 increase for the rest of the pairs. However, most common is a small decrease in exposure, apparent in
 435 38% of the flood paired events. In contrast, no large changes (-2/2), and only one pair with a small
 436 decrease in exposure occurred among the drought paired events. Most common is a small increase in

437 exposure, reported in 53% of the drought paired events, with the remaining 42% reporting no change
 438 in exposure. In five flood paired events, a large decrease in impacts was reported and many flood
 439 paired events showed a small decrease in impacts (38%). In the collected drought paired events, no
 440 large decrease in impacts occurred and most common is a small increase in impacts (37%).

441



442

443 Figure 3: Histograms of summary indicators-of-change for flood and drought paired events, indicating
 444 large decrease or increase (-2/2), small decrease or increase (-1/1) and no change (0) between the first
 445 and the second event.

446

447 **4 Potential uses of the dataset**

448 The presented dataset supports detailed context- and location-specific assessments of the paired
 449 events, based on the paired event reports and the key data table. Based on the descriptions and the
 450 comparable variables per paired event that characterise the management shortcomings, hazard,
 451 exposure, vulnerability and impacts, it is possible to qualitatively attribute changes in impact to their
 452 drivers and identify successful or unsuccessful risk management strategies. During the first data
 453 analyses, only two paired events, i.e. “Pluvial floods in Barcelona, Spain” and “Riverine floods in
 454 Danube catchment in Germany and Austria” were analysed in detail and successful risk management
 455 strategies identified (Kreibich et al. 2022). This leaves a lot of room for further detailed analyses, e.g.
 456 of drought success stories (e.g. droughts in the Wielkopolska Province in Poland and in the Don River
 457 catchment in Russia), or impact attribution studies. Detailed suggestions for the attribution of changes
 458 in drought and flood impacts are provided by Kreibich et al. (2019).

459 ~~The table of indicators of change can support comparative analyses across all paired events or~~
 460 ~~separately for flood paired events and drought paired events. The latter eases the comparison of the~~
 461 ~~hazard indicators of change, since these differ between floods and droughts. Such~~While the variables
 462 describing the first and second event of a pair are comparable, variables and data quality differ strongly
 463 between the paired events. The great heterogeneity of data and events represents both the strength
 464 and the weakness of the Panta Rhei dataset with regard to comparative analyses. As quantitative
 465 comparative analyses across all paired events are impossible, such analyses can only be undertaken

466 on the basis of the indicators-of-change. Although these indicators were created with great care
467 according to the quality assurance protocol, they are subject to uncertainties and caution is required
468 when interpreting the results. Still, such comparative analyses are analogous to other comparative
469 studies in hydrology, which have shown their value especially for obtaining more generic, transferable
470 results (Duan et al., 2006; Blöschl et al., 2013). Conclusions can be drawn about the attribution of
471 impacts or the effectiveness of risk management, based on common patterns of the paired events
472 across socio-economic and hydro-climatic situations. During the first data analyses, only the five
473 summary indicators-of-change for management shortcomings, hazard, exposure, vulnerability and
474 impact were analysed. So, there is still much scope for further more detailed comparative analysis by
475 including all indicators-of-change. Examples of comparative analyses of socio-hydrological data of
476 paired events are provided by Kreibich et al. (2017, 2022).

477 The table of key data can further support the development of socio-hydrological models, individually
478 per paired event. The empirical data available for two points in time (i.e. first data points: data of first
479 event in first row of paired event and second data points: data of second event in second row of paired
480 event) can be used to estimate the parameters of socio-hydrological flood or drought risk models
481 through Bayesian inference (Barendrecht et al. 2019; Schoppa et al. 2022). Even better would be if
482 complementary data for some of the ~~variable extent~~variables extended the two points in time to build
483 a time series. This might be rather easily possible for monitored data like precipitation amounts or
484 discharge as well as statistical data like exposed population or assets. Bayesian inference is suitable for
485 the incorporation of different types of socio-hydrology data, i.e. qualitative and quantitative data, less
486 or more uncertain data, many data points versus only a few data points (Gelman et al., 2014). The gain
487 of using a socio-hydrological modelling approach in combination with empirical data is that it allows
488 for a consistent interpretation of all available data together, including their interactions (Barendrecht
489 et al. 2019). This approach enables the simulation of historical risk dynamics for the study areas and
490 allows to inform adaptation planning by exploring the possible system evolutions in the future
491 (Schoppa et al. 2023). The dataset has not yet been used to calibrate socio-hydrological models. Due
492 to the diversity of hazard types as well as diverse socio-economic and hydro-climatic situations covered
493 by the 45 paired events from all continents, the table of key data can be used to benchmark the
494 performance of socio-hydrological flood or drought risk models. Examples of how heterogenous socio-
495 hydrological data (e.g. discharge time series, level of protection, settlement density, flood awareness,
496 level of private precaution, direct economic damage) can be used to estimate the parameters of socio-
497 hydrological flood models are provided by Barendrecht et al. (2019) and Schoppa et al. (2022).

498

499 **5 Data availability**

500 The “Panta Rhei benchmark dataset: socio-hydrological data of paired events of floods and droughts
501 (version 2)” is published under the Creative Commons Attribution International 4.0 Licence (CC BY 4.0)
502 via GFZ Data Services (Kreibich et al., 2023, the review link is the following: [https://dataservices.gfz-
504 361028b8c85/](https://dataservices.gfz-
503 potsdam.de/panmetaworks/review/923c14519deb04f83815ce108b48dd2581d57b90ce069bec9c948
504 361028b8c85/)

505 **Conclusions**

506 Developing sustainable and efficient risk management strategies under non-stationary conditions
507 requires understanding of the temporal changes of flood and drought impacts and their causes. The
508 comprehensive Panta Rhei dataset presented in this paper can support detailed context and location-
509 specific assessments of changes in impacts and their drivers and of risk management strategies based
510 on the detailed paired event reports and key data regarding the individual paired events. The dataset
511 can support indicator-based comparative analyses across all paired events, and eventually reveal
512 generic and transferable conclusions in the occurrence of common patterns. Such analyses might be
513 particularly useful to attribute changes in flood and drought impacts, including understanding of the
514 role of human activities and decisions in reducing or exacerbating the impacts of drought and flood
515 events. Ultimately, the dataset can support the development and benchmarking of socio-hydrological
516 models and as such can supports solving the following unsolved problem in hydrology “How can we
517 extract information from available data on human and water systems in order to inform the building
518 process of socio-hydrological models and conceptualisations?” (Blöschl et al. 2019).

519 Additionally, we want to encourage more collection of socio-hydrological data of floods and droughts,
520 but also of other water-related phenomena. Such data are scarce, but essential to understand spatial
521 and temporal dynamics of human-water systems and inform and support improved water
522 management under global change. The contact author, Heidi Kreibich, will be happy to advise and help
523 with data collection if desired. Templates for the collection of socio-hydrological data on paired events
524 of floods and droughts are provided in the [appendix of the](#) data description (Kreibich et al. 2023).

525

526 **Author contributions.** Heidi Kreibich initiated the compilation of the Panta Rhei benchmark dataset.
527 Heidi Kreibich, Kai Schröter, Giuliano di Baldassarre, Anne Van Loon and Philip Ward developed the
528 concept for the data collection (including templates and structure of the data tables), coordinated the
529 data collection and undertook the internal review process, data quality control and homogenisation.
530 All co-authors contributed data included in the paired event reports. The authors of each paired event
531 report are responsible for the data of their case study. Maurizio Mazzoleni additionally designed the

532 figures. Heidi Kreibich, Kai Schröter, Giuliano di Baldassarre, Anne Van Loon and Philip Ward wrote the
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534

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536

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546 03G0876B), project MYRIAD-EU (H2020, 101003276), project PerfectSTORM (ERC-2020-StG 948601),
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612

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