1	TPHiPr: A long-term (1979-2020) high-accuracy precipitation dataset (1/30 °, daily) for the Third		
2	Pole region based on high-resolution atmospheric modeling and dense observations		
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21	Abstract: Reliable precipitation data are highly necessary for geoscience research in the Third Pole		
22	region but still lacking, due to the complex terrain and high spatial variability of precipitation here.		
23	Accordingly, this study produces a long-term (1979-2020) high-resolution (1/30°, daily) precipitation		
24	dataset (TPHiPr) for the TP by merging the atmospheric simulation-based ERA5_CNN with gauge		
25	observations from more than 9000 rain gauges, using the Climatology Aided Interpolation and Random		
26	Forest methods. Validation shows that the TPHiPr is generally unbiased and has a root mean square error		
27	of $4.55.0$ mm day <sup>-1</sup> , a correlation of $0.84-0.76$ and a critical success index of $0.67-0.61$ with respect to all		
28	197 independent rain gauges in the TP, demonstrating that this dataset is remarkably better than the		
29	widely-used global/quasi-global-datasets, including the latest generation of reanalysis (ERA5 land), the		
30	state of the art satellite-based dataset (IMERG), and the multi-source merging datasets (MSWEP V2 and		
31	AERA5-Asia).the fifth generation atmospheric reanalysis of the European Centre for Medium Range		
32	Weather Forecasts (ERA5), the final run version 6 of the Integrated Multi satellitE Retrievals for Global		
33	Precipitation Measurement (IMERG) and the Multi Source Weighted Ensemble Precipitation version 2		
34	(MSWEP V2). Moreover, the TPHiPr can better detect precipitation extremes compared with the		
35	threethese widely-used datasets. Overall, this study provides a new precipitation dataset with high		
36	accuracy for the TP, which may have broad applications in meteorological, hydrological and ecological		
37	studies. The produced dataset can be accessed via https://doi.org/10.11888/Atmos.tpdc.272763 (Yang		
38 and Jiang, 2022).			
39	Keywords: Third Pole region, Precipitation, High-density rain gauges, Atmospheric simulation, Merging		

#### 41 **1. Introduction**

42 The Third Pole (TP) region is one of the most complex-terrain regions with high elevations and 43 heterogeneous land surfaces, and strong water and energy exchanges between land surface and 44 atmosphere exists in this region (Chen et al., 2021). Moreover, it is the source of many large Asian rivers, 45 providing abundant water resources and hydropower within and beyond this region (Yao et al., 2022). 46 Meanwhile, the TP suffers from frequent natural hazards (e.g. flash floods, debris flows, landslides), 47 especially in the periphery of the TP (Cui and Jia, 2015). Reliable gridded precipitation data is essential 48 for understanding of hydrological processes, planning of water resources and preventingprevention of 49 natural hazards in the TP (Gao et al., 2021; Wang et al., 2018).

50 At present, quasi-global and regional precipitation datasets, including gauge-based products, satellite-51 based products and reanalysis products, have played an important role over the TP. These datasets include 52 the Asian Precipitation-Highly-Resolved Observational Data Integration Towards Evaluation 53 (APHRODITE; Yatagai et al., 2012), the Integrated Multi-satellitE Retrievals for Global Precipitation 54 Measurement (IMERG; Huffman et al., 2019), the TRMM Multisatellite Precipitation Analysis (TMPA; 55 Huffman et al., 2007), the China Meteorological Forcing Dataset (CMFD; He et al., 2020), the fifth 56 generation ECMWF atmospheric reanalysis (ERA5; Hersbach et al., 2020) and its downscaled version 57 for land applications (ERA5\_land; Muñoz-Sabater et al., 2021), the High Asia Refined analysis (HAR; 58 Maussion et al., 2014) and its version 2 (HAR V2; X. Wang et al., 2020), et al. Among these products, 59 gauge-based products may have large errors in the TP, since they are mostly interpolated based on sparse 60 gauge observations. Satellite or satellite-gauge combined products are most widely used in the TP. 61 However, they are proved proven to misrepresent solid precipitation and orographic precipitation, and 62 show large uncertainties in winter and in the western and southeastern TP (Gao et al., 2020; Lu and Yong, 63 2018; Xu et al., 2017). Atmospheric simulation with fine spatial resolution can give reasonable 64 atmospheric water transport and precipitation spatial variability in complex terrain (Curio et al., 2015; 65 Maussion et al., 2014; Norris et al., 2017; Ouyang et al., 2021; Sugimoto et al., 2021; Wang et al., 2020b; 66 Zhou et al., 2021), moreover, it is skillful in estimating solid precipitation (Lundquist et al., 2019; 67 Maussion et al., 2014). However, currently atmospheric simulation-based datasets consistently 68 overestimate precipitation amount in the TP (Gao et al., 2015; Wang et al., 2020b; Zhou et al., 2021). As a result, substantial differences exist among these datasets in the TP in terms of both amount and spatial
variability of precipitation (D. Li et al., 2020; Lu and Yong, 2018; Tan et al., 2020; Wang and Zeng, 2012;
You et al., 2012). In addition, these datasets typically have a horizontal resolution coarser than 10 km,
which is insufficient to represent the fine-scale precipitation variability and cannot be applied locally.

73 Errors in precipitation products hinder the correct understanding of water cycle processes in the TP. For 74 example, Immerzeel et al. (2015) found that the simulated runoff in the upper Indus using APHRODITE 75 is much smaller than the observations and further confirmed that APHRODITE severely underestimates 76 precipitation amount in this region. Sav éan et al. (2015) pointed out that precipitation from rain gauges 77 with poor spatial representativeness leads to irrational runoff component simulations in the central 78 Himalayas. Jiang et al. (2022) demonstrated that currently widely-used satellite-based precipitation 79 products cannot close the basin-scale water budget in the eastern edge of the TP. Some other studies also 80 demonstrated the high uncertainties in current precipitation products for simulations of snow cover (Gao 81 et al., 2020), soil moisture (Yang et al., 2020) and river discharge (Alazzy et al., 2017).

82 Merging multiple precipitation products is an effective way widely conducted to mitigate precipitation 83 uncertainties (Hong et al., 2021; Ma et al., 2022; Shen et al., 2014). The most commonly used strategy 84 for improving the accuracy of satellite or modeling precipitation is bias correction with gauge 85 observation-based data. For example, Shen et al. (2014) combined the probability density matching and 86 the optimal interpolation to merge the CMORPH and rain gauge data and produced a high-accuracy 87 precipitation dataset over China. Ma et al. (2020, 2022) produced the AIMERG and AERA5-Asia 88 datasets by correcting the bias of IMERG and ERA5 land using precipitation from the APHRODITE, 89 respectively. Another strategy is merging multiple precipitation products by assigning different weights 90 to these products, in which the weights can be determined by Bayesian-based methods (Li et al., 2021; 91 Ma et al., 2018), machine learning or the inverse of errors against gauge data (Hong et al., 2021; Zhu et 92 al., 2022). These methods are flexible and able to integrate information from multiple sources. Recently, 93 many efforts have been made to merge different precipitation products over the TP, e.g. Ma et al. (2018) 94 used a dynamic Bayesian model to merge multiple satellite precipitation products in the TP and showed 95 that the merged precipitation has higher accuracy than the raw satellite data; Li et al. (2021) produced a 96 high-accuracy precipitation dataset for the southern TP by merging three satellite-based precipitation 97 datasets with high-density rain gauge data. Wang et al. (2020a) developed a long-term precipitation

98 dataset for the Yarlung Tsangpo River basin by merging data from satellites, reanalysis and rain gauges. 99 Although encouraging progresses have been made, there are still some limitations. First, these works 100 either corrected gridded precipitation with data from sparse rain gauge networks or were conducted in 101 sub-regions of the TP. Second, most works have merged satellite products with rain gauge data, while 102 both the two sources of precipitation perform poorly in reflecting heterogeneous precipitation in the 103 complex-\_terrain-TP. Therefore, substantial improvements are still needed for producing high-accuracy 104 precipitation data in the TP.

105 Therefore, the main goal of this study is to produce a long-term high-resolution precipitation dataset with 106 high accuracy for the TP, by merging unprecedented high densitydense rain gauge data with high-107 resolution atmospheric simulation-based precipitation. Different from many previous works that usually 108 merged satellite datasets with rain gauge data, our study uses an The atmospheric simulation-based 109 precipitation is selected with very high horizontal resolution (1/30°) as the background field, mainly due 110 to its advanced skill in giving the spatial variability of precipitation in complex terrain-and estimating solid precipitation, which is especially important in high mountains and the western TP. In addition, we 111 112 collected observations from more than 9000 rain gauges to generate the merged data, including 113 observations from rain gauges in the central and western TP that are set up by this study. To the best of 114 our knowledge, such a gauge density is the highest among the works of precipitation merging over the 115 TP that usually used a portion of data from the CMA (China Meteorological Administration) or MWR 116 (Ministry of Water Resources in China) stations that are mainly distributed in the eastern TP.

117 2. Data

# 118 2.1 Rain gauge data

Rain gauge data used in this study are obtained from several sources, including the China Meteorological Administration (CMA), the Ministry of Water Resources of China (MWR), the Department of Hydrology and Meteorology of Nepal (DHM), the Global Historical Climatology Network (GHCN; Menne et al., 2012), and some other field observation networks (Chen et al., 2014, 2015; Luo, 2018; Wei and Wang, 2019; Wang, 2021; Yang, 2018; Yang et al., 2017; Zhang, 2018; Zhao, 2018; Zhao et al., 2017). These networks provide either daily or sub-daily precipitation records. In addition, our group has set up more than 80 rain gauges over the TP since 2017, deployed in the Yadong Valley, the south slope of Gangdise Range, the eastern edge of the TP, the surroundings of the Namco Lake and the Inner TP. <u>These rain</u> gauges record precipitation every hour and oObservations from this network are also used in this study.
All the sub-daily records are aggregated into daily sum, so that they can be merged with gridded data at

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Figure 1: (a) Topography of the Third Pole region. (b) Spatial distribution of rain gauges used in this study and their temporal extent. (c) The independent rain gauges used for validation, in which rain gauges marked by both black dot and blue triangle are used in the analysis period of 1979-2020 (section 4.1.2), and rain gauges marked by blue triangles are used in the analysis period of 2008-2015 (section 4.2). (d) The number of available rain gauges in each year. The blue line denotes the 2500 m contour of elevation, which is obtained from Zhang (2019).

137 A series of quality control procedures are applied to the rain gauge data following the method of Hamada 138 et al. (2011), including outlier check, repetition check, and spatial consistency check. Detailed judgment 139 criteria for each check can refer to Hamada et al. (2011). In addition, for each rain gauge, data records 140 for a certain year less than 60 days are removed since they are likely to suffer from a technical broken. 141 After the quality control, data from 9798 rain gauges are eventually selected for precipitation merging 142 and these data have temporal coverages ranging from a few months to more than 40 years. Figure 1b 143 shows the spatial distribution and temporal extent of these rain gauges and Fig. 1d gives the number of 144 available rain gauges in each year.

145 Figure 1 shows the spatial distribution of these rain gauges.

146 Rain gauge observations usually suffer from measurement errors, including wind-induced undercatch, 147 wet loss and evaporation loss. This especially happens in the TP where the wind is strong and solid 148 precipitation accounts for a large proportion of the total precipitation. Therefore, the measurement errors 149 are corrected in this study. For gauges where observed wind speed and air temperature are provided, the 150 empirical relationships provided by Ye et al. (2007) and Ma et al. (2015) are used to correct the 151 measurements. For gauges without wind speed and air temperature observations, the Random Forest (RF: 152 Breiman, 2001) model is used to correct precipitation. This is achieved with the following steps: first, 153 the RF model is trained at the above-corrected gauges, using wind speed and air temperature from ERA5 154 and original observed daily precipitation as model input and the corrected precipitation as the target; then, 155 the trained model is applied to gauges without wind speed and air temperature observations to estimate 156 corrected precipitation, using wind speed and air temperature from ERA5. The ERA5 is used here mainly 157 because our evaluation with gauge observations showed that ERA5 could give reliable wind speed and 158 air temperature estimates over the TP, as well as reported by Huai et al. (2021) who demonstrated that 159 ERA5 is superior to other global reanalysis datasets for most near-surface meteorological variables in 160 the northeastern TP.

## 161 **2.2 Gridded precipitation dataset**

162 The background precipitation dataset used in this study is called ERA5\_CNN, which was produced by 163 the downscaling method presented in our previous work (Jiang et al., 2021). This dataset is an 164 atmospheric simulation-based dataset, derived from combing a short-term high-resolution WRF 165 simulation (Zhou et al., 2021) with ERA5 reanalysis. More specifically, a two-year high-resolution WRF 166 simulation is firstly obtained and used for training a convolutional neural network (CNN)-based 167 downscaling model. Then, the trained model is used to downscale the long-term ERA5 precipitation to 168 generate the ERA5\_CNN (Jiang et al., 2021). The ERA5\_CNN has a high horizontal resolution of 1/30° 169 and daily temporal resolution, covering the period from 1979 to 2020. Compared with ERA5, the 170 ERA5 CNN has a higher horizontal resolution of 1/30° and smaller wet biases over the TP. Our previous 171 evaluations showed that the ERA5\_CNN can give fine-scale spatial variability of precipitation in-over 172 the complex-terrain TP and has with high spatial correlations with rain gauge data. Moreover, the ERA5\_CNN is more skillful in reproducing the elevation dependence of precipitation in the TP than the
coarse HAR V2 and the satellite-based IMERG (Jiang et al., 2022). However, the ERA5\_CNN generally
still\_overestimates precipitation in the TP, which is inherited from atmospheric simulation (Jiang et al.,
2021). Therefore, its accuracy needs to be further improved by merging it with high-density gauge
observations.

178 For comparison, three typically-widely-used global precipitation datasets, including ERA5\_land 179 (hereafter ERA5L)reanalysis, satellite based IMERG and the Multi-Source Weighted-Ensemble 180 Precipitation version 2 (MSWEP V2; Beck et al., 2019), as well as one regional dataset (AERA5-Asia, 181 hereafter AERA5), are also utilized in this study. The ERA5L is the latest generation reanalysis of the 182 ECMWF for land applications the latest generation reanalysis of the European Centre for Medium Range 183 Weather Forecasts (ECMWF), which provides 0.25°0.1° precipitation data at 1-hour intervals, compared 184 to 0.25 ° of ERA5. According to Mu ñoz-Sabater et al. (2021), the precipitation of ERA5L is produced by 185 interpolating the ERA5 with a linear model, thus, the precipitation of ERA5L and ERA5 is slightly 186 different, as shown in the results of Xu et al. (2022). IMERG is a satellite precipitation dataset retrieving 187 retrieved from the combination of both microwave and infrared observations and is currently the most 188 widely-used in the world, with a horizontal resolution of  $0.1^{\circ}$  and the highest temporal resolution of half 189 an0.5 hours. The IMERG Final Run V6 (hereafter IMERG), which has been corrected with monthly rain 190 gauge data, is used in this study. The MSWEP V2 with a horizontal resolution of  $0.1^{\circ}$  is a merged dataset 191 that has combined multiple satellite, gauge, and reanalysis precipitation datasets. Moreover, it is corrected 192 with observed discharge from many catchments worldwide. The AERA5 is a regional precipitation 193 dataset for Asia, which is produced by combining the ERA5L with the APHRODITE dataset. It has a 194 horizontal resolution of 0.1 ° and temporal resolution of 1 hour, covering the period from 1951 to 2015. 195 Previous evaluations showed that the AERA5 has a higher accuracy than ERA5L and IMERG, in terms 196 of several metrics involved in precipitation amounts, events and extremes (Ma et al., 2022).

**3. Methods** 

- 198 **3.1 Merging algorithm**
- 199 **3.1.1 General flowchart**
- 200 This study merges the ERA5\_CNN precipitation with high-density rain gauge data based on the idea of

the Climatology Aided Interpolation (CAI; Willmott and Robeson, 1995), in which the anomalies/ratios
of meteorological variables are interpolated and then added/multiplied to the climatology, instead of
directly interpolating the meteorological variables. The CAI method has been widely applied for gridding
precipitation and shown good performance (Contractor et al., 2020; Schamm et al., 2014; Xie et al., 2007).
Figure 2 shows the flowchart for merging ERA5\_CNN and rain gauge data. Three main parts are involved
in t<u>T</u>he merging procedures\_, includinge the construction of monthly precipitation climatology, monthly
precipitation and daily precipitation. Details are listed below.



characteristics. The subscript 'o' represents 'observation', 'e' represents 'ERA5\_CNN', 'g' represents 212 'gridded', 'c' represents 'climatology', 'm' represents 'monthly', and 'd' represents 'daily', 'n' represents

213 the number of days in a month and 'i' represents the *i*th day in a month.  $f_1(\bullet), f_2(\bullet)$  and  $f_3(\bullet)$  denote the

214 regression models based on Random Forest.  $\varepsilon_c$ ,  $\varepsilon_m$  and  $\varepsilon_d$  represent the residuals of estimations from 215 RF, which are interpolated using the Kriging method.

216 (1) Construction of monthly precipitation climatology.

Since the length of the data records varies from gauge to gauge, it is undesirable to obtain monthly climatology fields via directly interpolating the observed multi-year average monthly precipitation. Therefore, we first construct monthly precipitation climatology at gauge locations based on the monthly precipitation climatology of ERA5\_CNN, using the following formula:

221 
$$P_{co} = P_{ce} \times \frac{P_{co1}}{P_{ce1}},$$
 (1)

where  $P_{co}$  is the constructed monthly precipitation climatology at gauge locations,  $P_{ce}$  is the monthly precipitation climatology of ERA5\_CNN averaged over 1979-2020,  $P_{co1}$  is the monthly precipitation of rain gauge averaged over the observing period, which varies from gauge to gauge, and  $P_{ce1}$  is the monthly precipitation of ERA5\_CNN averaged over the same observing period at the collocated grids.

The precipitation climatology fields for the 12 months are then constructed by interpolating the monthly climatology at gauge locations using a <u>Random ForestRF-(RF; Breiman, 2001)</u> and Kriging-based method, in which the <u>monthly</u> climatology of ERA5\_CNN is taken as an auxiliary and will be introduced in section 3.1.2.

# 230 (2) Construction of gridded monthly precipitation

231 In this study, the ratios of monthly precipitation to its climatology are adopted for constructing monthly 232 precipitation fields. There are four steps for constructing monthly precipitation fields. First, the ratios of 233 observed monthly precipitation ( $P_{mo}$ ) to the precipitation climatology ( $P_{co}$ ) are calculated at gauge 234 locations (i.e.  $R_{mo}$  in Fig. 2); second, the ratios ( $P_{mo}/P_{eo}$ ) are gridded using the RF method by taking the 235 monthly precipitation ratios of ERA5\_CNN ( $R_{me}=P_{me}/P_{ce}$ ) and static variables (Y) as auxiliaries; third, 236 the gridded ratios  $(R_{mg})$  are multiplied by the gridded monthly precipitation climatology  $(P_{cg})$  obtained 237 in step (1) to construct the first guess of gridded monthly precipitation fields  $(P_{mt})$ ; finally, the residuals 238  $(\mathcal{E}_{m})$  of the first guess against gauge observations are gridded using the Kriging method and added to the 239 first guess to construct the final monthly precipitation fields  $(P_m)$ .

240 (3) Construction of gridded daily precipitation

The procedures for constructing daily precipitation fields are similar to monthly precipitation, with only two differences. First, the ratios are daily precipitation to monthly climatology (<u>i.e.</u>  $P_{do}/P_{co}$  and  $P_{de}/P_{ce}$ ) in this part. Second, the daily precipitation fields after residual correction ( $P_{d\underline{l}2}$ ) are further adjusted to ensure that the sum of the daily precipitation amount in a month is equal to the corresponding monthly precipitation amount obtained in step (2), given that monthly precipitation fields are more reliable due to their less spatial variability than daily fields (He et al., 2020). The adjustment can be expressed as follow:

- 247  $P_{d,i} = P_m \times \frac{P_{d1,i}}{\sum_{i=1}^n P_{d1,i}}$ (2)
- 248 Where  $P_{d,i}$  is the adjusted precipitation for the *i*th day in a month,  $P_{dl,i}$  is the precipitation after residual 249 correction for the *i*th day,  $P_m$  is the monthly precipitation and *n* is the number of days in that month. 250 When the monthly precipitation  $(P_m)$  is no-zero but the sum  $(\sum_{i=1}^{n} P_{d1,i})$  of the daily precipitation amount 251 in that month is zero, we will search the nearest grid that has a non-zero  $\sum_{i=1}^{n} P_{d1,i}$  and then disaggregate 252  $P_m$  to daily precipitation according to the day-to-day variation of precipitation in the nearest grid.
- In the above procedures, gridding multiple variables, including the monthly climatology, the ratios of monthly/daily precipitation to monthly climatology and the monthly/daily residuals, is achieved based on the RF and Ordinary Kriging, which will be introduced in section 3.1.2.

# 256 3.1.2 Gridding method

257 Gridding monthly precipitation climatology-and, precipitation ratio and the residual is the key for 258 merging ERA5\_CNN and rain gauge data. In this study, the RF is combined with the Ordinary Kriging 259 to interpolate these variables, which is inspired by the Regression Kriging method, in which the 260 interpolated target is assigned to the spatial trend (deterministic) and the stochastic component (residual). 261 A regression model is applied to predict the spatial trend and the Ordinary Kriging is used to estimate the 262 stochastic component that is expected to be a Gaussian distribution. In this method, various regression 263 methods can be combined with Kriging, including machine learning methods. Machine learning-based 264 regression models combined with Kriging were widely applied in earth science and proved to have good 265 performance, as reported in many previous works (Araki et al., 2015; Cellura et al., 2008; Demyanov et 266 al., 1998). The main gridding method machine learning method used in this study is the RF model, which 267 is an ensemble machine learning model based on the decision tree algorithm and can learn the complex 268 non-linear relationships between multiple covariates and the target variable. It randomly selects samples 269 for training each Decision Tree and aggregates estimates from multiple Decision Trees. Compared to 270 other machine learning methods, the RF is less sensitive to hyperparameters, less likely to suffer from 271 overfitting and has good generalization capability. Moreover, The RF is easy to implement and has robust 272 prediction accuracy, thus making it a widely-used method for the correction and downscaling of 273 meteorological variables (Baez-Villanueva et al., 2020; He et al., 2016; Sekulić et al., 2021; Zhang et al., 274 2021). The general formulation for griding constructing precipitation at multiple timescales based on RF 275 and Kriging with the RF can be expressed as follow:

276  $\begin{cases} P_{eg} = f_4(x_{e,1}, x_{e,2}, \dots, x_{e,n}) + \varepsilon_4, & \text{for monthly precipitation climatology} \\ P = P_{eg} \times f_2(x_1, x_2, \dots, x_n) + \varepsilon_2, & \text{for monthly and daily precipitation'} \end{cases}$ (2)

	$(P_c = f_1(P_{ce}, Y) + \varepsilon_c,$	for monthly precipitation climatology	
2	77 $\begin{cases} P_m = P_c \times f_2(R_{me}, Y) + \varepsilon_m \end{cases}$	for monthly precipitation	(3)
	$(P_{d1} = P_c \times f_3(R_{de}, Y) + \varepsilon_d)$	for daily precipitation	

where  $P_{cg}$  is the monthly precipitation climatology,  $P_{\underline{m}}$  and  $P_{\underline{dl}}$  is are the monthly or and daily precipitation, respectively,  $f_{\underline{l},\underline{f_2}}$  and  $f_{\underline{3}\underline{1}}(\bullet)$  and  $f_{\underline{2}}(\bullet)$  are the non-linear regressive relationships built with the RF model,  $\underline{-x_{e,i}} P_{ce}$  is the monthly precipitation climatology from ERA5\_CNN, and  $x_{i} R_{me}$  and  $R_{\underline{de}}$ are the covariates used to predict the precipitation climatology or the ratio of monthly/\_ and\_ daily precipitation to the climatology from ERA5\_CNN, respectively, *Y* is the static variables and  $\varepsilon_{c}$ ,  $\varepsilon_{m}$  and  $\varepsilon_{d^{4}}$ and  $\varepsilon_{2}$ -are the residuals of the estimated precipitation.

284 Multiple covariates are used to build the RF model. For gridding monthly precipitation climatology, the 285 target for training the RF model is the monthly precipitation climatology at the gauge locations  $(P_{co})$ , and the inputs are monthly precipitation climatology from ERA5\_CNN (Pce) at nine grids around the target 286 287 location, longitude, latitude, elevation and standard deviation of elevation around the target location. In 288 addition, the study area is divided into 25 clusters according to the monthly variation of precipitation and 289 the identifier for the cluster is also input into the RF model. For griding the ratio of monthly/daily 290 precipitation to monthly climatology, the training target is the observed ratio of monthly/daily 291 precipitation to monthly climatology  $(R_{mo} \text{ or } R_{do})$ , and the inputs are the same as those for griding 292 precipitation climatology except that the ratios of monthly/daily precipitation to monthly climatology 293 from ERA5\_CNN (R<sub>me\_</sub> or R<sub>de</sub>) are input to the model rather than monthly climatology. Model training

294 performs for each month, i.e. samples from all gauges and all years in a month are gathered together and295 used for model training.

# As shown in Eq. (2), there are residuals ( $\varepsilon_1$ and $\varepsilon_2$ ) between the precipitation estimates from the RF model and the gauge observations. Therefore, In Eq. (3), the residuals are calculated as follows: first, we first-calculate the differences between the gauge observations ( $P_{mo}$ or $P_{do}$ ) and the precipitation estimates from RF at each gauge gauge locations,-; Then, the Ordinary Kriging is used to interpolate the differences. Finally, the The difference fields is are added to the precipitation estimates from RF to obtain the final estimates of precipitation.

#### 302 3.2 Evaluation metrics

Several metrics are used for validating the merged precipitation, including relative bias (Rbias), root mean square error (RMSE), correlation coefficient (CC), probability of detection (POD), false alarm ratio (FAR) and critical success index (CSI). The formulas and perfect values for these metrics are listed in Table 1. <u>These metrics are calculated at a daily scale by comparing the gauge observations with the</u> gridded precipitation from the nearest grid to the rain gauge.

# Metrics Formula Perfect value $Rbias = \frac{\sum_{i=1}^{n} (M_i - O_i)}{\sum_{i=1}^{n} O_i}$ $RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (M_i - O_i)^2}$ Relative bias 0 Root mean square error 0 $CC = \frac{\sum_{i=1}^{n} (M_i - \overline{M}) (O_i - \overline{O})}{\sqrt{\sum_{i=1}^{n} (M_i - \overline{M})^2} \sqrt{\sum_{i=1}^{n} (O_i - \overline{O})^2}}$ Correlation coefficient 1 $POD = \frac{H}{H + MM}$ Probability of detection 1 $FAR = \frac{F}{H+F}$ False alarm ratio 0 $CSI = \frac{1}{POD^{-1} + (1 - FAR)^{-1} - 1}$ Critical success index 1 where n is the number of days, $M_i$ and $O_i$ are the merged and observed precipitation at a specific day,

## 308 **Table 1** The error metrics used in this study

309 where *n* is the number of days,  $M_i$  and  $O_i$  are the merged and observed precipitation at a specific day, 310 respectively,  $\overline{M}$  and  $\overline{O}$  are the mean values of merged and observed precipitation, respectively. *H* is the 311 days when both merged data and observation have precipitation. *MM* is the days when only observation

- 312 has detected precipitation. F is the days when only merged data has detected precipitation. For calculating
- 313 POD, FAR and CSI, a threshold of 0.1mm day<sup>-1</sup> is adopted for distinguishing precipitation and non-

314 precipitation day.

#### 315 **4. Results**

## 316 **4.1 Validation of the merging algorithm**

#### 317 4.1.1 Merging effect on precipitation amount and spatial pattern

- 318 The spatial patterns of average annual precipitation from ERA5\_CNN and the merged data (TPHiPr)
- during 1979-2020 are shown in Fig. 3a and b. It can be found that ERA5\_CNN and TPHiPr have similar
- 320 spatial patterns of precipitation in the TP. Both have large precipitation amounts in the southeast of the
- 321 TP and along the Himalayas, while having small precipitation amounts in the Qaidam Basin, the Tarim
- 322 Basin and the Inner TP. The similar spatial patterns of ERA5\_CNN and TPHiPr demonstrate that the
- 323 merging algorithm generally retains the spatial characteristics of precipitation from ERA5\_CNN.



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Figure 3: Spatial patterns of the annual average precipitation from (a) ERA5\_CNN and (b) the merged data (TPHiPr), as well as (c) the relative difference between them. The precipitation is averaged over the period from 1979 to 2020. The relative difference is calculated by subtracting ERA5\_CNN from TPHiPr,

328 and then dividing by ERA5\_CNN.

329 The relative difference between ERA5\_CNN and TPHiPr is also calculated and shown in Fig. 3c. 330 Generally, by merged with rain gauge data, the precipitation amount is reduced in the TP. The 331 precipitation amount averaged over the study area decreases from 696.4 mm year<sup>-1</sup> of ERA5\_CNN to 332 600.9 mm year<sup>-1</sup> of TPHiPr. This corresponds to previous works that have demonstrated the 333 overestimation in the atmospheric simulation-based precipitation datasets (Gao et al., 2015; Jiang et al., 334 2021; Wang et al., 2020b; Zhou et al., 2021). Spatially, the precipitation decrease is evident (up to 20%) 335 in the central and eastern TP, the western Himalayas, the Karakoram and the Tarim Basin, while 336 precipitation amount increases in the Qaidam Basin and its north, the southwest of the TP and the eastern 337 Kunlun.

#### 338 4.1.2 Validation with independent gauge data

In this study, about 10% of the total rain gauges are randomly excluded for independent validation of TPHiPr, and several metrics against rain gauge data are calculated for ERA5\_CNN and TPHiPr at these rain gauges based on daily precipitation.

342 Figure 4 compares the boxplot of these metrics for ERA5\_CNN and TPHiPr. TPHiPr has remarkably 343 better performance than the ERA5 CNN. In terms of the Rbias, ERA5 CNN generally overestimates 344 precipitation in the TP, with the median Rbias value for all these rain gauges of 16.6%. In comparison, 345 the overestimation is largely reduced in TPHiPr, which has a median value of 0.5%. Also, TPHiPr shows 346 smaller RMSE values (with a median value of 4.5 mm day<sup>-1</sup>) than the ERA5\_CNN (with a median value 347 of 8.6 mm day<sup>-1</sup>). Regarding CC, ERA5\_CNN has values between 0.40 and 0.60 at most rain gauges (the 348 median value is 0.53), while they are generally larger than 0.70 for TPHiPr with a median value of 0.84, 349 indicating that precipitation from the TPHiPr has highly consistent temporal variations with rain gauge 350 data. In addition, it can be seen that the Rbias (Fig. 4a) and RMSE (Fig. 4b) for TPHiPr are less divergent 351 than those for ERA5\_CNN, implying that TPHiPr has more spatially homogeneous accuracy than 352 ERA5\_CNN.



353

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Figure 4: Comparison of error metrics for ERA5\_CNN and TPHiPr at 966 independent rain gauges. The

355 box represents the distribution of the metrics for all the independent rain gauges in the TP.



356

Figure 5: Spatial distribution of error metrics differences between ERA5\_CNN and TPHiPr. The
 differences are calculated by subtracting the metrics of ERA5\_CNN from those of TPHiPr.

Figure 5 shows the differences in the three metrics between ERA5\_CNN and the TPHiPr at each rain gauge. After the merging, the rain gauges with better Rbias, RMSE and CC account for 68%, 97% and 96% of the total validation rain gauges, respectively. More than 50% of the rain gauges have RMSE reductions larger than 3.0 mm day<sup>-1</sup> and about 67% of the rain gauges have CC improved by more than 0.2. Moreover, obvious improvements can be found at many east rain gauges. In the western region, improvements can also be found at many rain gauges in the high elevations, while the metrics change little at some rain gauges outside the 2500 m contour.

- 366 In summary, by merged with rain gauge data, the accuracy of ERA5 CNN is well improved in the TP,
- 367 especially in regions where high-density rain gauges are located.

# 368 4.2 Comparison with other datasets

We also compare the merged precipitation data with other widely-used precipitation products. The comparison focuses mainly on three aspects: the amount and spatial patterns of precipitation, the error metrics against rain gauge data and the ability to reproduce precipitation extremes. <u>Because the AERA5</u> <u>dataset is only available before 2015, the comparison between these datasets is conducted for the period</u> <u>from 2008 to 2015.</u>

# 374 **4.2.1 Precipitation amount and spatial patterns**



Figure 6: Spatial patterns of (a-e) the average annual precipitation during 2008-2015 from the five
 datasets and (f-i) the relative differences between TPHiPr and the other four datasets. The differences are
 calculated by subtracting TPHiPr from the other four datasets and then dividing by TPHiPr.

Figure 7-6 shows the spatial patterns of the average annual and seasonal-precipitation during 2008-2015
 during 2008-2020 from the fivefour precipitation datasets, along with the relative differences between
 TPHiPr and the other four datasets. For calculating the differences between them, the coarser datasets
 are first resampled to the same horizontal resolution of TPHiPr using bilinear interpolation. Generally,

383 the average annual precipitation (Fig.  $\frac{7a6a-7d6e}{2}$ ) from all the four datasets decreases from the southeast 384 to the northwest because the monsoon has brought abundant water vapor to the southeastern region of 385 the study area while its impact is reduced in the northwest. In addition, high mountains along the 386 Himalayas block the northward moisture and result in large precipitation amounts in this region, which 387 is revealed by all these datasets. As shown in Fig. 76a-6e, precipitation from IMERG, and MSWEP V2 388 and AERA5 varies more smoothly in space than that from TPHiPr and ERA5L. Moreover, compared 389 with ERA5L, TPHiPr presents more details related to local topography. For example, the dry belt in the 390 northern slope of the central Himalayas (around 90°E, 29°N), which was proved in the results of Wang 391 et al. (2019), is more evident in TPHiPr than in ERA5L. Besides, TPHiPr shows greater spatial variability 392 of precipitation than ERA5L in the Hengduan Mountains where the topography is much complex with 393 many large mountain ranges and valleys. In terms of the total precipitation amounts, as shown in Fig. 6f-394 6i, the ERA5L generally has larger precipitation amounts than TPHiPr, while the opposite is true for the 395 other three datasets. The precipitation amounts averaged over the study area from ERA5L, IMERG, 396 MSWEP V2, AERA5 and TPHiPr are 712.72 mm, 490.50 mm, 496.79 mm, 481.74 mm and 614.11 mm, 397 respectively. Particularly, it can be noted from Fig. 6f-6i that the differences between these datasets are 398 relatively small in the eastern TP but are remarkable in the south of the Kunlun mountains (around 85°E, 399 35°N) where almost no rain gauges are located, highlighting the high uncertainties of precipitation in 400 ungauged regions.





**Figure 7:** Spatial patterns of average seasonal precipitation from ERA5L (first row), IMERG (second row), MSWEP V2 (third row), AERA5 (fourth row) and TPHiPr (fifth row). The precipitation is averaged

404 over the period from 2008 to 2015.



Figure 8: Spatial patterns of the relative differences in average seasonal precipitation between TPHiPr

407 <u>and the other four datasets. The differences are calculated by subtracting TPHiPr from the other four</u>
 408 <u>datasets and then dividing by TPHiPr.</u>

409 With respect to the seasonal variations of precipitation, affected by the monsoon climate, most parts of 410 the TP have large precipitation in summer but small precipitation in winter. In the westerly-dominant 411 western TP, the precipitation is large in spring and winter but small in summer. All these datasets can 412 generally capture the seasonal cycles of precipitation in the TP (Fig. 7). The precipitation differences 413 among these datasets in spring, summer and autumn are generally similar to those of annual precipitation, 414 with ERA5L having a larger precipitation amount than the TPHiPr but the other three datasets having 415 smaller. Apparent differences between these datasets occur in winter (fourth column in Fig. 8), in which 416 the relative differences between ERA5L and TPHiPr are larger than 80% in most regions while most 417 regions have the relative differences between IMERG and TPHiPr less than -80%. The large differences 418 in winter likely ascribe to solid precipitation which is challenging for current precipitation datasets, 419 especially for satellite-based datasets (D. Li et al., 2020; Lu and Yong, 2018). 420 In summer (Fig. 7i 1), the differences between these datasets mainly occur in the Inner TP, where TPHiPr 421 and ERA5 show larger precipitation than the IMERG and MSWEP V2. In spring (Fig. 7e h) and winter 422 (Fig. 7q t), apparent differences between these datasets are shown in the Karakoram and the western 423 Himalayas. TPHiPr and ERA5 yield large precipitation amounts in these regions, while the precipitation 424 amount from IMERG and MSWEP V2 is relatively small. This is likely because solid precipitation 425 accounts for a large part of the total precipitation in these regions and the model based ERA5 and TPHiPr 426 are more skillful in estimating solid precipitation than the IMERG and MSWEP V2, which has also been 427 pointed out in the work of D. Li et al. (2020).

428 4.2.2 Comparison of error metrics

The performance of the three-four widely-used global/quasi global datasets is evaluated using with the rain gauge data used for independent validation in section 4.1.2 and compared with that of TPHiPr in this study. Note that the evaluation in this section span a shorter period from 2008 to 2020-2015 considering the availability of the IMERG-AERA5 data and there are only 197 independent rain gauges (blue triangles in Fig. 1c) during this period.

Figure <u>8-9</u> compares the boxplots of the Rbias, RMSE and CC of the <u>fivefour</u> datasets. In terms of the







455 Figure 82: Comparison of (a) Rbias, (b) RMSE and (c) CC for ERA5L, IMERG, MSWEP V2. <u>AERA5</u>
456 and TPHiPr. The box represents the distribution of the metrics for all the <u>197</u> independent rain gauges in
457 the TP.



Figure 910: Spatial distribution of Rbias (first column), RMSE (second column) and CC (third column)
for (a-c) ERA5L, (d-f) IMERG, (g-i) MSWEP V2. (j-1) AERA5 and (j-4m-o) TPHiPr. The metrics are
calculated at daily scale.

462 This study also calculates the POD, FAR and CSI for the four these datasets to compare their performance 463 in detecting precipitation occurrence. In this section, a threshold of 0.1 mm day<sup>-1</sup> is used to distinguish rain and no-rain days. Figure 10-11 compares the boxplots of these metrics for ERA5, IMERG, MSWEP 464 465  $\frac{V2}{V2}$  and <u>TPHiPr(hese datasets</u>, and the spatial distributions for these metrics are shown in Fig. <u>1112</u>. 466 Among the four five datasets, the ERA5L, and MSWEP V2 and AERA5 have high values of POD (both 467 all have a median value of 0.97). However, it can be seen from Fig. 10b-11b and Fig. 11-12 that they 468 ERA5L and MSWEP V2 also have large FAR values. This is mainly because both ERA5L and MSWEP 469 V2 have data sources fromis atmospheric reanalysis, which that tends to overestimate precipitation 470 frequency in the TP (Hu and Yuan, 2021) while the MSWEP V2 is produced by weighted averaging



Figure 11: Similar to Fig. 9 but for (a) POD, (b) FAR and (c) CSI. These metrics are calculated using a threshold of 0.1 mm day<sup>-1</sup>.



482 **Figure 1112:** Similar to Fig. 9-<u>10</u> but for POD (first column), FAR (second column) and CSI (third 483 column).

In summary, the comparison of these error metrics shows that TPHiPr generally has better performance than the widely-used reanalysis data (ERA5<u>L</u>), satellite-based data (IMERG), and—<u>even performs better</u> than the multiple-sources merged data (MSWEP V2) and AERA5. In addition, it should be noted that some validation data from CMA, DHM and GHCN have been used to produce the IMERG, <u>MSWEP V2</u> and <u>MSWEP V2AERA5</u>. Therefore, if these data are removed from the validation, more evident superiority of TPHiPr is expected,<u>compared with IMERG and MSWEP V2</u>.

# 490 **4.2.3 Comparison of precipitation extremes**

481

Extreme precipitation is the leading cause of many water-related disasters. Therefore, this study also
evaluates the performance of TPHiPr to reproduce extreme precipitation. Following some previous works
(Katsanos et al., 2016; Li et al., 2022; Lockhoff et al., 2014), the 90th percentile of daily precipitation on

wet days is set as the threshold for extreme precipitation in this study. Due to discontinuous temporal
coverages of gauge observations, this study only evaluates the extreme precipitation of these datasets at
136-91 rain gauges with at least 2-year precipitation records and covering a complete seasonal cycle.

Figure <u>12–13</u> compares the detection skill of these precipitation datasets for extreme precipitation.
Compared with the detection skill for all precipitation events (Fig. <u>1011</u>), the detection skill of all the
four datasets for extreme precipitation is obviously reduced, with lower POD and CSI but higher FAR.
Nevertheless, TPHiPr <u>also shows good performanceperforms the best among these datasets</u>. The median
values of POD, FAR and CSI for TPHiPr <u>are 0.39, 0.42 and 0.28 is 0.27</u>, respectively, which is <u>the highest</u>
among these datasets

The 90th percentile (R90p) of daily precipitation on wet days, the average intensity (R90p\_INT) and the frequency (R90p\_FRQ) of precipitation greater than R90p are also calculated for each dataset and compared with those of rain gauge data. Figure <u>13-14</u> shows that all these datasets <u>have smaller R90p</u> and R90p\_INT but higher R90p\_FRQ compared to the gauge data, indicating all these datasets underestimate the intensity but overestimate the frequency of extreme precipitation. TPHiPr has <u>a</u> worse performance than IMERG, however, it performs better than the <u>ERA5 and MSWEP V2other three</u> <u>datasets</u>.





Figure 1213: Similar to Fig. 1011, but for extreme precipitation. The 90th percentile of observed daily
precipitation at each rain gauge is taken as the threshold for calculating these metrics.



Figure 1314: Comparison of (a) R90p, (b) R90p\_INT and (c) R90p\_FRQ for rain gauge data (OBS), ERA5L, IMERG, MSWEP V2. <u>AERA5</u> and TPHiPr. R90p represents the 90th percentile of daily precipitation <u>on wet days</u> for each dataset. R90p\_INT represents the average precipitation intensity of daily precipitation larger than R90p. R90p\_FRQ represents the frequency of daily precipitation larger than R90p.

519 In summary, although the TPHiPr underestimates the intensity but overestimates the frequency of 520 extreme precipitation, it has better performance than the other <u>three\_four\_datasets</u> in detecting the 521 occurrence of extreme precipitation.

# 522 5. Limitations

- 523 The above analysis shows that the TPHiPr produced in this study generally has high accuracy in the TP 524 and is superior to the most widely-used global/quasi-global-precipitation datasets. However, there are 525 still some limitations in TPHiPr that need to be clarified.
- As shown in Fig. 5, by merged with the rain gauge data, the accuracy of the gridded data is generally improved, but the improvements vary greatly in space. In the eastern TP, the improvement is evident, however, the accuracy at some western rain gauges outside the 2500 m contour changes little and even gets worse. This highlights the importance of high-density rain gauge data for precipitation merging, as demonstrated in many previous works that rain gauge density greatly impacts the accuracy of the produced dataset (Berndt et al., 2014; Girons et al., 2015; Xie et al., 2007). Therefore, the TPHiPr may still have large uncertainties in the west of the TP and regions where rain gauges are sparse.



Figure 1415: Comparison of the probability density function by (a) precipitation frequency and (b)
amount for rain gauge data and the four datasets. The x axis is in log space.

533

536 Besides, previous studies have reported that the atmospheric simulation-based datasets generally 537 overestimate the precipitation frequency (Hu and Yuan, 2021; P. Li et al., 2020). Therefore, we 538 investigate the probability distribution function (PDF) of both precipitation frequency and amount in 539 TPHiPr with respect to different precipitation intensities. As shown in Fig. 1415, the TPHiPr largely 540 overestimates the frequency of light precipitation (less than 5 mm day<sup>-1</sup>), but although the overestimation 541 is smaller than that in ERA5L.-and MSWEP V2 and AERA5. In addition, we can find from Fig. 14b-15b 542 that the TPHiPr overestimates the amount of light to moderate precipitation but underestimates the 543 amount of heavy precipitation, and the same is also found in ERA5L-and, MSWEP V2 and AERA5. 544 Particularly, Fig. 15 shows that the satellite-based IMERG has relatively good performance in 545 reproducing the PDF of precipitation frequency and amount, indicating that the IMERG can be an 546 effective data source for correcting the PDF of precipitation. Besides, some previous works have reported 547 that considering both occurrence and amount of precipitation could contribute to better precipitation 548 merging results compared to only correcting the precipitation amount (Zhang et al., 2021; Zhu et al, 549 2022), therefore, methods including both precipitation occurrence and amount correction should be 550 considered in precipitation merging in the future.

#### 551 **6. Conclusion**

552 This study collects more than 9000 rain gauges over and around the Third Pole (TP) region from multiple 553 sources. Then, the following steps are applied for merging the high-density gauge observations and the 554 atmospheric simulation-based ERA5\_CNN: first, the monthly precipitation climatology at gauge 555 locations is obtained by correcting the climatology of ERA5\_CNN with rain gauge data and the monthly 556 climatology at gauge locations is interpolated using a Random ForestRF and Kriging based method; 557 second, the ratios of observed monthly/daily precipitation to the climatology at gauge locations is are 558 interpolated for each month/day using the RF-based method; third, the monthly/daily precipitation fields 559 are obtained by multiplying the interpolated monthly climatology by the interpolated monthly/daily ratios 560 and then adding the residual fields; finally, the daily precipitation fields are further adjusted using the 561 monthly precipitation. Eventually, a long-term (1979-2020) high-resolution (1/30°, daily) precipitation 562 dataset (TPHiPr) is produced for the TP.

563 We compare the performance of the merged TPHiPr with the original ERA5\_CNN data and three-four 564 widely-used precipitation datasets, including the atmospheric simulation based ERA5L, the satellite-565 based-IMERG, and the MSWEP V2 merged from multiple sources and AERA5. Results show that the 566 TPHiPr retains the general spatial patterns of precipitation from ERA5\_CNN but has a reduced wet bias 567 in the TP, resulting in better error metrics than ERA5\_CNN at most validation gauges. Meanwhile, the 568 TPHiPr generally performs better than the three-four widely-used precipitation datasets in the TP, with 569 respect to errors in both precipitation amount and detection skill. Validation with 197 independent gauges 570 shows that the TPHiPr has a negligible small relative bias (0.9%), low RMSE (4.55.0 mm day-1), high 571 correlation (0.840.76) and high detection skill (CSI=0.670.61). In addition, the TPHiPr is more-skillful 572 than the three datasets-in detecting extreme precipitation events, although it overestimates the frequency 573 but underestimates the intensity of extreme precipitation.

In summary, a new high-accuracy precipitation dataset is produced for the data-sparse TP, which can be used for land surface modeling, water resource management, water-related disasters assessment, climate change research, et al. This dataset is expected to deepen our understanding of land surface processes and water cycles in the TP. Nevertheless, further efforts (e.g. setting up more rain gauges in remote regions and developing more skillful merging methods) are still needed for obtaining higher-accuracy precipitation datasets for the TP, as clarified in section 5, the produced data may still have large 580 uncertainties in data-sparse regions and cannot reproduce the observed frequency and intensity of

581 precipitation well.

#### 582 Data and code availability

- 583 The TPHiPr precipitation dataset in NETCDF format is available at the National Tibetan Plateau Data
- 584 Center, which can be accessed by https://doi.org/10.11888/Atmos.tpdc.272763 (Yang and Jiang, 2022).

585 The codes used for producing this dataset are available upon request to the authors.

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