

# A 29-year time series of annual 300-metre resolution plant functional type maps for climate models

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**Abstract.** The existing medium-resolution land cover time series produced under the European Space Agency's Climate Change Initiative provides 29 years (1992–2020) of annual land cover maps at 300-m resolution, allowing for a detailed study of land change dynamics over the contemporary era. Because models need **two-dimensional** parameters rather than **two-dimensional** land cover information, the land cover classes must be converted into model-appropriate plant functional types (PFTs) to apply this time series to Earth system and land surface models. The first generation cross-walking table that was presented with the land cover product prescribed pixel-level PFT fractional compositions that varied by land cover class but lacked spatial variability. Here we describe a new ready-to-use data product for climate modelling: spatially explicit annual maps of PFT fractional composition at 300 m resolution for 1992–2020, created by fusing the 300 m medium-resolution land cover product with several existing high-resolution datasets using a globally consistent method. In the resulting data product, which has 14 layers for each of the 29 years, pixel values at 300-m resolution indicate the percentage cover (0–100 %) for each of 14 PFTs, with pixel-level PFT composition exhibiting significant intra-class spatial variability at the global scale. We additionally present an updated version of the user tool that allows users to modify the baseline product (e.g., re-mapping, re-projection, PFT conversion, and spatial sub-setting) to meet individual needs. Finally, these new PFT maps have been used in two land surface models - ORCHIDEE and JULES - to demonstrate their benefit over the conventional maps based on a generic cross-walking table. Regional changes in the fractions of trees, short vegetation, and bare soil cover induce changes in surface properties, such as the albedo, leading to significant changes in surface turbulent fluxes, temperature, and vegetation carbon stocks.

## 1. Introduction

Terrestrial ecosystems have always been shaped by people who depend on land for their consumption of direct (e.g., food and materials) and indirect (e.g., land for human activities) goods (Vitousek et al., 1986; Foley et al., 2005). Land cover change induces significant biogeochemical and biogeophysical effects on the climate by altering greenhouse gas emissions (e.g., CO<sub>2</sub>) and the surface energy budget, induced by modified albedo, evapotranspiration, and roughness (Pielke et al., 2011; Mahmood et al., 2014; Pielke, 2005; Brovkin et al., 2006; Dale, 1997; Liu et al., 2017). The fragmented landscapes that result from land cover change also influence surface temperatures, altering clouds and precipitation (Dale, 1997; Perugini et al., 2017; Sampaio et al., 2007). The physical climate changes driven by land cover change can manifest far afield of the surface changes; for example, large areas deforested at the expense of brighter land cover (e.g., cropland expansion) modify albedo (Loarie et al.,

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44 2011; Lambin et al., 2001), with the altered energy balance driving changes in monsoon patterns (Feddemma et al., 2005;  
45 Devaraju et al., 2015).

46  
47 Anthropogenic activities, driven mainly by economic and population growth (Pachauri and Meyer, 2014), have changed the  
48 atmosphere's composition (IPCC, 2022). The land use, land-use change, and forestry sector is estimated to account for net  
49 emissions of  $4.1 \pm 2.6 \text{ Gt CO}_2 \text{ yr}^{-1}$  ( $1 \sigma$  uncertainty, period 2011–2020), accounting for 10 % of total anthropogenic  $\text{CO}_2$   
50 emissions (Friedlingstein et al., 2022). The estimated net  $\text{CO}_2$  emission uncertainty ( $\pm 2.6 \text{ Gt CO}_2 \text{ yr}^{-1}$ ) represents more than  
51 50 % of the 10-year mean emission estimate and is the most uncertain emission component of the global carbon budget  
52 (Friedlingstein et al., 2022; Houghton et al., 2012). Various sources contribute to this uncertainty, including differences in the  
53 processes implemented in models (Bastos et al., 2020; Houghton et al., 2012; Pitman et al., 2009; McGlynn et al., 2022),  
54 including the definition of the fluxes themselves (Pongratz et al., 2014) and the inclusion of management practices (Houghton  
55 et al., 2012); the estimates of vegetation biomass density (Houghton, 2005); and estimates of land cover and rates of change  
56 (Houghton et al., 2012; Bastos et al., 2021, 2020).

57  
58 In support of the United Nations Framework Convention on Climate Change (UNFCCC) needs for observations of the climate  
59 system, the Global Climate Observing System (GCOS) has identified 54 Essential Climate Variables (ECVs) that critically  
60 contribute to improved characterization of the state of the global climate, making predictions of climate changes, and  
61 performing attribution of the causes of such changes (GCOS, 2016). As a direct response, the European Space Agency (ESA)  
62 launched the Climate Change Initiative (CCI) to provide stable, long-term, and consistent satellite climate data records  
63 (Hollmann et al., 2013). The CCI thereby provides useful information to monitor the Paris Agreement goal of maintaining the  
64 global temperature increase above pre-industrial levels to less than  $2^\circ\text{C}$  (UNFCCC, 2016).

65  
66 Land cover, the observed biophysical cover of the Earth's surface (Di Gregorio and Jansen, 2005; Turner et al., 1993), is an  
67 ECV (Sessa, 2008) tackled by the ESA CCI (Plummer et al., 2017). The ESA CCI medium-resolution land cover (MRLC)  
68 dataset, operationalized within the EU Copernicus Climate Change Service (C3S) (2016-2020) thanks to strong user  
69 endorsement, provides the longest consistent land cover climate data record, with annual maps from 1992 to 2020 at a spatial  
70 resolution of 300 m. It describes the land surface in 22 land cover classes according to the standard of the United Nations Land  
71 Cover Classification System (UN-LCCS) (Di Gregorio and Jansen, 2005) and 13 land cover change types consistent with the  
72 IPCC land categories (Defourny et al., submitted).

73  
74 The land surface components of global circulation models and global Earth system models play a significant role in quantifying  
75 the historical and present-day representations of land use and land cover change impacts on climate. Most land surface models  
76 (LSMs) parameterize global vegetation processes (e.g., photosynthesis and evapotranspiration) for a reduced set of globally  
77 representative and similarly behaving plant types, referred to as Plant Functional Types (PFTs). PFTs can be related to  
78 physiognomy and phenology (Anon, 1991 in Box, 1996), climate (which defines the geographical ranges in which a plant type  
79 can grow and reproduce under natural conditions; Box, 1981), and physiological activity (e.g.,  $\text{C}_3/\text{C}_4$  photosynthetic pathways).

80  
81 Spectral information acquired by remote sensing techniques does not allow direct mapping of PFTs. However, land cover map  
82 series derived from satellite Earth Observations (EO) are a valuable source of physiognomy (life form and leaf type) and  
83 phenology information for inferring the spatial distribution of PFTs. EO-derived land cover maps must be translated ("cross-  
84 walked") into model-specific PFTs, which is typically accomplished using the information provided by the land cover class  
85 legend (Jung et al., 2006). Differences in land cover categories, spatial resolutions, and temporal coverage between various  
86 land cover products propagate errors to the cross-walked PFT maps and significantly contribute to uncertainties in deriving

87 gross primary production (GPP) and other climate-relevant variables at the regional scale (Poulter et al., 2011). To reduce  
88 uncertainty in model ensembles, Poulter et al. (2015) proposed a standardized cross-walking framework that converts each  
89 CCI MRLC class into pre-defined PFT fractions relevant for three leading ESMs (JULES-MOHC, ORCHIDEE-LSCE and  
90 JSBACH-MPI) based on expert knowledge and auxiliary data. This reclassification procedure was implemented in a flexible  
91 tool to generate other related PFT schemes required by the modelling community.

92  
93 Hartley et al. (2017) used the same three ESMs to quantify the impact of uncertainties in (1) the land cover map and (2) the  
94 cross-walking procedure on the spatio-temporal patterns of three important land surface variables: GPP, evapotranspiration,  
95 and albedo. To disentangle the two sources of uncertainty, the modelling setup translated the plausible uncertainty ranges of  
96 the land cover and cross-walking components into a common biomass scale. The simulations indicated that the uncertainty of  
97 the cross-walking procedure contributed slightly more than the uncertainty of the land cover map to the inter-model uncertainty  
98 for all three variables.

99  
100 In a continuation of the ESA CCI contribution to the land cover ECV, [this work aims to reduce the uncertainty in the cross-](#)  
101 [walking component](#), by adding spatial variability to the PFT composition within a land cover class. This work moves beyond  
102 fine-tuning the cross-walking approach for specific land cover classes and/or regions and, instead, separately quantifies the  
103 PFT fractional composition for each 300 m pixel globally for each year in the time series (1992–2020). The new PFT product  
104 is generated by fusing the annual CCI MRLC map series with existing high-resolution auxiliary data products that individually  
105 characterize one surface type with high accuracy. The resulting 300 m PFT product is a companion time series of continuous  
106 field PFT fractions that is consistent with the existing CCI MRLC map series. The global PFT product has an annual resolution,  
107 covering 1992–2020, and indicates the specific percentage cover of 14 PFTs for each pixel at 300 m resolution. The set of 14  
108 PFTs represented in the product includes the full set of 13 PFTs initially developed by Poulter et al. (2015) complemented  
109 with a new built-up surface type. The full set of PFTs includes bare soil, built, water, snow and ice, natural grasses, managed  
110 grasses (i.e., herbaceous cropland), broadleaved deciduous trees, broadleaved evergreen trees, needleleaved deciduous trees,  
111 needleleaved evergreen trees, broadleaved deciduous shrubs, broadleaved evergreen shrubs, needleleaved deciduous shrubs,  
112 and needleleaved evergreen shrubs. Thus, in this paper, the term "plant functional type" is applied even to the abiotic surface  
113 types to cleanly differentiate between the land types derived from Earth observation data (i.e., land cover classes) and the land  
114 types required by models (i.e., PFTs). Finally, these new PFT maps have been used in two land surface models (ORCHIDEE  
115 and JULES) to demonstrate their benefit over the conventional maps based on a generic cross-walking table. For brevity, the  
116 new PFT product is referred to as "PFT<sub>local</sub>" due to the new localised nature of the PFT fractions at the pixel level. Products  
117 derived by using the global cross-walking approach (using the same version 2.0.8 of the CCI MRLC map series) are referred  
118 to as "PFT<sub>global</sub>."

119  
120 The following sections describe the auxiliary inputs and method used to quantitatively determine the PFT fractional  
121 composition for each 300 m pixel globally; a description of the new PFT data product; and modelling results from the  
122 application of the new PFT distribution for the year 2010 to the ORCHIDEE and JULES land surface models.

## 123 2. Methods

124 The PFT distribution was created by combining auxiliary data products with the CCI MRLC map series. The land cover  
125 classification provides the broad characteristics of the 300 m pixel, including the expected vegetation form(s) (tree, shrub,  
126 grass) and/or abiotic land type(s) (water, bare area, snow and ice, built-up) in the pixel. For some classes, the class legend  
127 specifies an expected range for the fractional covers of the contributing PFTs and broadly differentiates between natural and

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131 cultivated vegetation. The applied auxiliary data products (described in Sect. 2.1; e.g., surface water cover and tree cover) are  
132 of higher resolution than the 300 m land cover product and therefore serve as the basis for computing the fractional covers of  
133 the contributing PFTs at 300 m resolution. In cases of inconsistency between the land cover product and the auxiliary datasets  
134 – for example, if the tree cover percentage derived from the auxiliary products falls outside of the range suggested by the class  
135 legend for a 300 m pixel – the characteristics from the land cover classification are maintained. This achieves a strong coupling  
136 between the CCI MRLC maps dataset and this new CCI PFT dataset. Deference to the class legend provides guardrails for the  
137 temporal extrapolation of the PFT fractional covers across the entire time series (1992–2020) given the lack of available  
138 auxiliary inputs extending across the full era. The approaches used to estimate the PFT fractions at 300 m resolution differ for  
139 (1) pixels that did not experience a change in land cover classification over the period 1992–2020 (termed "static pixels",  
140 described in Sect. 2.2.1) and (2) pixels that did experience a change at least once in this period (termed "change pixels",  
141 described in Sect. 2.2.2).

## 142 2.1. Input datasets

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### 143 2.1.1. CCI medium-resolution land cover time series (300 m)

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144 The CCI MRLC product (Defourny et al., submitted) delineates 22 primary classes and 15 additional sub-classes of land cover  
145 at a 10-arcsecond (300 m) resolution (**Table 1**). The maps have global coverage and an annual time step extending from 1992  
146 through 2020, with plans for the continued release of maps for 2021 and future years. The classification system used for the  
147 CCI MRLC map series is based on the Land Cover Classification System (LCCS) of the United Nations Food and Agriculture  
148 Organization (UN FAO) (Di Gregorio and Jansen, 2005). The LCCS defines fundamental landscape elements called  
149 "classifiers" (e.g., trees) forming the class legend when combined in various proportions (e.g. tree cover, broadleaved,  
150 evergreen, closed to open (>15 %)). The 15 sub-classes, also called "regional classes," are defined only in geographic regions  
151 where appropriate training data is available and are those with a numeric classification code that has a final digit of 1, 2, or 3  
152 (**Table 1**). The 22 primary classes and 15 sub-classes are collectively referred to here as simply "classes." For each year of the  
153 time series, each 300 m pixel in the dataset is assigned as a single land cover class. The change detection algorithm monitors  
154 thirteen possible land cover transitions through time. For a pixel to register a change in its assigned land cover class, the  
155 algorithm must identify the change for two consecutive years in the workflow. A lack of change in a pixel's assigned class  
156 does not necessarily indicate an absence of change in the land surface over the time series; rather, it indicates that any change  
157 that has occurred in the pixel was limited enough in scale or duration that the assigned class did not change. The full time  
158 series and an associated set of quality flags are freely available at <https://maps.elie.ucl.ac.be/CCI/viewer/> (last access August  
159 2022) in GeoTiff and <https://cds.climate.copernicus.eu/cdsapp#!/dataset/satellite-land-cover?tab=overview> (last access  
160 August 2022) in netCDF. This CCI PFT product is based on v2.0.8 of the CCI MRLC time series, which includes corrections  
161 for the known overestimation of cropland relative to grassland in South America (Defourny et al., submitted).

### 162 2.1.2. Surface water product (30 m)

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163 The Landsat-based surface water product developed by the Joint Research Centre (Pekel et al., 2016) is used to derive the  
164 permanent inland water fractions at 300 m resolution (calculation details in Sect. 2.2). The surface water occurrence layer  
165 (obtained at <https://global-surface-water.appspot.com>) indicates the frequency of water occurrence in each 30 m pixel (80°N–  
166 60°S) over the period March 1984 to December 2019. The frequency occurrence data is reported as integer values of 1–100  
167 %, where a value of 100 % occurrence indicates a permanent water surface that existed over the entire analysis period, which  
168 encompasses all but the most recent year (2020) of the time series of the MRLC product.

### 172 **2.1.3. Tree canopy cover product (30 m)**

173 A Landsat-based tree canopy cover product (Hansen et al., 2013) is used to derive the tree cover fractions for 300 m pixels  
174 belonging to vegetated classes (except where otherwise noted in Sect. 2.2). The product (obtained at  
175 [https://glad.umd.edu/Potapov/TCC\\_2010/](https://glad.umd.edu/Potapov/TCC_2010/)) is based on the application of a regression tree model to growing-season Landsat 7  
176 ETM+ data (<https://glad.umd.edu/dataset/global-2010-tree-cover-30-m>). The dataset indicates the maximum tree canopy cover  
177 percentage (integer values of 1–100 %) at 30 m resolution (80°N–60°S) and is approximately representative of 2010.

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### 178 **2.1.4. Tree canopy height product (30 m)**

179 The global forest canopy height product from Potapov et al. (2021) is used to derive the fractional covers of trees and shrubs  
180 in 300 m pixels classified as shrubland. The 30 m product (obtained at <https://glad.umd.edu/dataset/gedi/>) was created by  
181 combining the footprint-level lidar forest height measurements (using the 95<sup>th</sup> percentile relative height metric) for April–  
182 October 2019 from the Global Ecosystem Dynamics Investigation with wall-to-wall Landsat optical data to perform  
183 spatiotemporal extrapolation. The resulting dataset indicates the canopy height (0–60 m) at 30 m resolution (52°N–52°S),  
184 where canopy heights < 3 m were set to 0 m under the assumption that the pixel lacks woody vegetation.

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### 185 **2.1.5. Built-up product (38 m)**

186 The Landsat-based Global Human Settlement Layer (GHSL) dataset produced by the Joint Research Centre (Pesaresi et al.,  
187 2013) is used to derive the built-up fraction for 300 m pixels classified as urban land cover by the Global Urban Footprint  
188 (GUF) dataset (Esch et al., 2017). The built-up fraction of the PFT dataset is defined as buildings, roads, and man-made  
189 structures. The GHSL (alpha version dated November 2014) consists of globally consistent built-up maps for four consecutive  
190 years (1975, 1992, 2000, and 2014) at 38 m resolution. Built-up areas include both permanent and temporary above-ground  
191 buildings.

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### 192 **2.1.6. Zonation products**

193 In addition, three zonation products are used complementarily to consolidate the assignment of the phenology type (deciduous  
194 or evergreen) and leaf type (broadleaved or needleleaved) to shrubs and, in a very small number of pixels, to trees belonging  
195 to a class legend of mixed trees. The Köppen-Geiger climate zone product from Beck et al. (2018) divides the Earth's land  
196 surface into 30 distinct climate zones at 0.0083° resolution (about 1 km) based on present-day (1980–2016) temperature and  
197 precipitation records. Data were obtained at [https://figshare.com/articles/dataset/Present\\_and\\_future\\_Koppen-Geiger\\_climate\\_classification\\_maps\\_at\\_1-km\\_resolution/6396959/2](https://figshare.com/articles/dataset/Present_and_future_Koppen-Geiger_climate_classification_maps_at_1-km_resolution/6396959/2). The landform dataset from Sayre et al. (2014) identifies  
198 landforms – surface water, plains, hills, or mountains – at 250 m resolution for 83.6°N–56°S and is derived from a digital  
199 elevation model (USGS GMTED2010: Danielson and Gesch, 2011). The data product was obtained at  
200 <https://rmgsc.cr.usgs.gov/outgoing/ecosystems/Global/>. Finally, world regions follow the definitions used in the Integrated  
201 Model to Assess the Global Environment 3.0 (IMAGE03) (Stehfest et al., 2014). The IMAGE03 regional classification  
202 framework has been harmonized with the CCI MRLC grid by reconstructing the original dataset using the IMAGE-based list  
203 of countries per region (available at [https://models.pbl.nl/image/index.php/Region\\_classification\\_map](https://models.pbl.nl/image/index.php/Region_classification_map)) along with country  
204 boundaries from the FAO Global Administrative Unit Layers (available at <https://data.apps.fao.org/>), expanding the list to  
205 include Antarctica, Greenland, and additional small islands. The resulting raster dataset divides Earth's surface into 28 regions  
206 on the CCI MRLC grid.  
207

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### 2.1.7. CCI medium-resolution water body product

The CCI MRLC water body product (Lamarche et al., 2017) is used to delineate between inland water and ocean. The dataset (available at <http://maps.elie.ucl.ac.be/CCI/viewer/download.php>) designates all pixels at 150 m resolution as either ocean or non-ocean, the latter of which includes both land and inland water. The dataset is consistent with the water body class (code 210) of the land cover maps of the CCI MRLC. An updated version 4.1 of the product was used here, in which the North American Great Lakes are now considered to be inland water rather than ocean. It is available at <http://maps.elie.ucl.ac.be/CCI/viewer/download.php>.

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## 2.2. PFT dataset development

The overall approach assumes that the definition of the MRLC class is the basis for harmonizing the four existing high-resolution land cover data sets. It proceeds through a systematic sequence of estimating water fraction and tree cover fraction, using tree height to assign life form, and finally deriving phenology. This step-by-step approach is first applied to static pixels before extending it to pixels that undergo changes over time, as identified in the CCI MRLC map series.

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### 2.2.1. Static pixels

For static pixels – that is, pixels that have not experienced a class change over the era covered by the CCI MRLC time series (1992–2020) – the derived PFT fractions are treated as temporally invariant for the entire period. Therefore, any intra-pixel change in the fractions of a static pixel is not captured in the PFT map series due to a lack of temporally resolved auxiliary inputs extending over the full time series. Such a change is expected to be so limited in scale and/or duration that it did not prompt a change in class assignment, underscoring the appropriateness of treating the fractional composition of the static pixels as consistent over time.

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The same set of auxiliary inputs and the same calculation method are applied to the widest possible set of land cover classes to ensure spatial consistency in the derived PFT fractions. Nonetheless, inherent differences between the classes necessitate the use of different input datasets and methods in some cases. For each class, only a subset of the 14 PFTs is permitted non-zero fractions (**Table 1**). Because the PFT fractional composition is estimated independently for each 300 m pixel of a class, in some cases, an individual pixel of the class can have zero fractional cover even for a PFT that is allowed non-zero cover for that class. For all pixels, the sum of PFT fractions is 100 %. The vegetation thresholds used to define, whether pixels are, predominantly vegetated or abiotic, are based on the definitions of the CCI MRLC classes, which are based on the concepts and definitions of the FAO LCCS (Di Gregorio, and Jansen, 2005). Table 2 is a high-level overview of the method used to derive the PFT fractional composition for the static pixels.

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The 30 m water frequency occurrence dataset of Pekel et al. (2016) is used to estimate the permanent inland water fraction of the 300 m pixels for all but the permanent snow and ice class, which has no liquid surface water cover. A threshold of 90 % frequency occurrence is applied to assign 30 m pixels as either water (frequency occurrence  $\geq 90$  %) or non-water (frequency occurrence  $< 90$  %). The resulting binary representation of water/non-water is aggregated to 300 m to estimate the percentage of the 300 m pixel that is permanent inland water PFT.

The percentage of the 300 m pixel that is vegetated is calculated as 100 % minus the inland water percentage; that is, for all vegetation-containing classes except for the sparse vegetation classes, which have bare soil PFT cover, all non-inland-water area in the 300 m pixel is entirely vegetated (0 % bare soil PFT) in the PFT product. Pixels belonging to the shrubland classes

259 (codes 120–122 and 180) can have a mixture of trees, shrubs, and herbaceous cover. For pixels of non-shrubland vegetation-  
260 containing classes, the vegetated portion of the pixel is composed of trees and herbaceous cover (i.e., cropland and/or natural  
261 grass). The percentage of the 300 m pixel that is tree cover is estimated using the 30 m tree cover dataset for 2010 from Hansen  
262 et al. (2013). This Landsat-based dataset provides the percentage of tree canopy cover (integers 1–100 %) based on growing  
263 season observations. The tree cover percentage of the vegetated (i.e., non-water) portion of the 300 m pixel is obtained from  
264 the median of the tree canopy cover fractions of the non-water 30 m pixels, where the 30 m non-water pixels are identified  
265 using the binary water/non-water representation derived using the surface water occurrence dataset. The tree cover percentage  
266 of the entire 300 m pixel is calculated as the product of this value (the tree cover fraction of the non-water part of the grid cell)  
267 and the non-water fraction of the grid cell. This approach harmonizes the Landsat-based surface water occurrence and tree  
268 canopy cover datasets such that the combined tree and water percentages never exceed 100 %.

269  
270 For the tree cover classes 50–82, the class legend specifies an expected range for the tree cover percentage (**Table 1**, class  
271 description column). For the tree cover classes 90, 160, and 170, a tree cover fraction of >15 % is implicit from the UN LCCS.  
272 Based on the spatial and temporal consistency of the map series, deference is made to the class legend for pixels in which the  
273 estimated tree cover fraction derived from the auxiliary datasets disagrees with the class legend. This allows the PFT product  
274 to retain the advantages of the CCI MRLC map series while improving the translation of the land cover dataset into PFT maps.  
275 For tree cover class pixels in which the estimated tree cover fraction derived from the auxiliary datasets disagrees with the  
276 class legend, the mean tree cover among all static 300 m pixels of its class is calculated over the  $0.25^\circ$  longitude  $\times$   $0.25^\circ$   
277 latitude window overlapping the pixel – that is, a window with width and height of  $0.25^\circ$  with the pixel of interest at the centre.  
278 The mean is based on the initially calculated tree cover fractions derived from the auxiliary data products (i.e., the tree cover  
279 fraction harmonized with the surface water occurrence dataset). The window is expanded to  $0.5^\circ$  longitude  $\times$   $0.5^\circ$  latitude if  
280 no static pixels of the class exist in the smaller window. (Because class 82 has so few pixels globally, class 72 pixels are  
281 additionally applied in the window mean calculation for class 82 pixels.)

282  
283 One of five cases is possible:

- 284 (1) If the mean tree fraction for the window falls within the expected range based on the class legend, then the tree cover  
285 fraction of the pixel of interest is assigned as the mean tree fraction for the window.
- 286 (2) If the mean tree fraction for the window is higher than the upper limit specified by the class legend, then the tree cover  
287 fraction of the pixel of interest is assigned as the upper limit from the legend. For classes 62, 72, and 82, the legend  
288 upper limit is 40%. For classes 50, 60, 61, 70, 71, 80, 81, 90, 160, and 170, the legend upper limit is 100 %, and the  
289 initial mean tree fraction for the window can never exceed this threshold.
- 290 (3) If the mean tree fraction for the window is lower than the lower limit specified by the class legend, then the tree cover  
291 fraction of the pixel of interest is assigned as the lower limit from the legend. For classes 50, 60, 62, 70, 72, 80, 82, 90,  
292 160, and 170, the legend lower limit is 16 %. For classes 61, 71, and 81, the legend lower limit is 41 %.
- 293 (4) If a window of  $0.5^\circ \times 0.5^\circ$  does not have any pixels of the class of interest and the tree cover fraction derived from the  
294 auxiliary products exceeds the upper limit specified by the class legend, then the tree cover fraction for the pixel is  
295 assigned as the upper limit of the class legend.
- 296 (5) If a window of  $0.5^\circ \times 0.5^\circ$  does not have any pixels of the class of interest and the tree cover fraction derived from the  
297 auxiliary products is lower than the lower limit specified by the class legend, then the tree cover fraction for the pixel is  
298 assigned as the lower limit of the class legend.

299  
300 For pixels that belong to a tree cover class and had tree cover percentages assigned using the neighbourhood mean, the resulting  
301 sum of the inland water and tree cover percentages can exceed 100 %. In such cases, the tree cover percentage is calculated as  
302 100 % minus the inland water percentage. If the resulting tree cover percentage is lower than the legend minimum for that  
303 class, then the tree cover percentage is set as the legend minimum and the water percentage is set as the residual area in the  
304 pixel (100 % minus tree cover percentage). For all tree cover class pixels, the grass cover percentage is calculated as 100 %  
305 minus the final tree cover percentage minus the inland water percentage, and the grass type is assigned as natural grasses. No  
306 minimum water percentage is defined for the flooded tree cover classes (codes 160 and 170).

307

308 For the biotic classes rainfed cropland (codes 10, 11, 12), irrigated or post-flooding cropland (code 20), mosaic of cropland–  
309 natural vegetation (codes 30 and 40), mosaic of woody–herbaceous vegetation (codes 100 and 110), and grassland (code 130),  
310 the tree cover percentage derived from the auxiliary products is used directly since the legend does not specifically define the  
311 expected tree cover; therefore, modification of the PFT fractions based on the class legend is not applied for these classes as it  
312 is for some other classes. The percentage of the 300 m pixel that is grass cover is calculated as 100 % minus the sum of the  
313 inland water and tree cover percentages. The grass type – managed (i.e., crops) or natural – is defined by the class legend. For  
314 most mixed classes, the assigned grass type reflects the majority type as indicated by the legend. All grass in the pixel is  
315 assigned as managed grass for classes 10, 11, 12, 20, and 30. Pixels belonging to the mosaic class 40 have a mix of herbaceous  
316 crops (up to 49 % of the pixel area) and natural grasses (for excess grass cover beyond 49 % of the pixel area). All grass cover  
317 is assigned as natural grass for all other classes.

318

319 In some of the classes in this set, an expected percentage cover is given for total woody vegetation (trees and shrubs) or for  
320 the shares of cropland and natural vegetation, where the two categories differentiate by management status rather than life  
321 form. In the PFT product, shrub cover is estimated only for the shrubland classes due to a lack of appropriate auxiliary inputs  
322 to discriminate between trees and shrubs for all classes, so modification of the life form shares in such pixels based on the  
323 legend description may introduce additional bias in the PFT product and is therefore avoided. Management status (cropland  
324 vs natural) is assigned in the PFT product only for grasses and is based on the class descriptions, so an independent assessment  
325 of the shares by management status is not possible.

326

327 Pixels belonging to the sparse vegetation classes (codes 150, 151, 152, and 153) can have non-zero fractions of bare soil, trees,  
328 natural grass, and inland water. The class definition requires a vegetation fraction of 4–14 %. Since shrub cover is not estimated  
329 for the sparse vegetation classes, the vegetation component is composed of trees and natural grasses; therefore, the total  
330 vegetation fraction is enforced for sparse vegetation pixels, but the resulting life form may differ from that indicated by the  
331 legend for the sub-classes with codes 151–153. If the tree cover derived from the auxiliary inputs is  $\geq 15\%$ , then the tree PFT  
332 is reduced to 14 % in deference to the legend of the CCI MRLC map series, natural grass PFT is assigned as 0 % since tree  
333 cover accounts for the maximum total vegetation fraction (trees + grass), and the bare soil PFT percentage is calculated as 100  
334 % minus the inland water percentage minus 14 % tree PFT. If the tree cover derived from the auxiliary inputs is  $< 15\%$ , then  
335 this input tree percentage value is assigned as the final tree PFT percentage in the pixel and additional legend-consistency steps  
336 are applied to assign the grass and bare fractions: (1) if the non-water area of the pixel is 4–14 %, then natural grass PFT  
337 accounts for the residual portion of the pixel (14 % minus tree PFT percentage minus inland water percentage); (2) if the non-  
338 water percentage of the pixel is  $< 4\%$ , then the natural grass PFT percentage is calculated as 4 % minus the tree PFT percentage  
339 (since the lower bound on total vegetation is 4 %) and the water PFT percentage is scaled down to 96 %; or (3) if the non-  
340 water percentage of the grid cell exceeds 14 %, then the natural grass percentage is calculated as 14 % minus the tree PFT  
341 percentage (that is, the upper bound of 14 % is assumed for total vegetation cover) and the residual pixel area is assigned as  
342 bare soil PFT (100 % minus water PFT percentage minus 14 % vegetation cover).

343

344 A mixture of tree and shrub woody vegetation types is assigned to pixels of the shrubland classes (codes 120, 121, 122, and  
345 180). The 30 m resolution tree canopy height dataset from Potapov et al. (2021) is applied to discriminate between shrubs and  
346 trees in pixels that are covered by this data product (52°N–52°S). Potapov et al. (2021) re-assign pixel values of  $\leq 2$  m to 0 m  
347 height. Here, the 30 m resolution pixels are assigned to three broad height classes: 0 m, 3–5 m, and  $> 5$  m. Mean re-sampling  
348 to the 300 m resolution of the land cover dataset results in pixel values that indicate the percentage cover of the three height  
349 classes. The percentage cover of the 3–5 m height class is taken to be the percentage shrub cover in the 300 m pixel and the



350 percentage cover of the > 5 m height class is taken to be the percentage tree cover in the 300 m pixel, recognizing that there  
351 may be some bias introduced by 30 m pixels in the input dataset that contain both shrubs and trees. In deference to the class  
352 legend, 300 m pixels with shrub cover < 16 % are assigned as having 16 % shrub cover and those with tree cover > 15 % are  
353 assigned as having 16 % tree cover. For shrubland pixels that occur outside of the extent of the Potapov et al. (2021) data  
354 product (52°N–52°S), the tree cover percentage is assigned according to the tree cover input derived from Hansen et al. (2013)  
355 and the shrub cover percentage is assigned following the most recent version of the global cross-walking table (CWT) (60 %  
356 shrub cover for classes 120–122 and 40 % shrub cover for class 180). For all shrubland pixels, in cases where the sum of water,  
357 tree, and shrub cover exceeds 100 %, the three PFTs are scaled down proportionally so that the sum is 100 % while retaining  
358 the legend expectations for the tree and shrub cover. Natural grass cover is assigned as the residual area of the pixel in cases  
359 where the sum of water, tree, and shrub cover is < 100 %. No minimum water percentage is defined for the flooded shrubland  
360 class (code 180).

361

362 Pixels classified as urban (code 190) can have non-zero fractions of inland water, trees, natural grass, and urban impervious  
363 (built-up) PFTs. In the land cover classification, pixels are assigned as an urban class when a minimum threshold of 50 % built  
364 was exceeded based on the GUF dataset (Esch et al., 2017). In the PFT product, the tree and surface water fractions are derived  
365 using the same protocol as the one applied to the vegetated classes. The urban impervious fraction is derived from the GHSL  
366 dataset (Pesaresi et al., 2013) by aggregating the built-up pixels from the four epochs into a binary built-up / non-built-up  
367 distribution at 38 m. Re-sampling to 300 m provides the percentage of the 300 m pixel that is built PFT, introducing local  
368 variability which at the global scale ranges from 0–100 % built. Only pixels classed as urban by GUF are assigned a non-zero  
369 urban impervious fraction in the PFT dataset. Non-urban pixels (i.e., those with less than 50 % urban land cover according to  
370 GUF) are not refined with GHSL data or assigned a percentage built-up. The GHSL appears to capture urban impervious areas  
371 more consistently whereas GUF misses road fractions in the built fractions. This is most notable in rural areas and a few  
372 selected locations in city centres. If the sum of the urban impervious, tree, and water fractions exceeds 100 %, then the urban  
373 impervious percentage is retained and the water and tree percentages are scaled down proportionally to a total sum of 100 % ;  
374 otherwise, the residual of the urban impervious, tree, and water percentages is assigned as the natural grass percentage.

375

376 Water body class (code 210) pixels that are ocean are assigned as 100 % water PFT, while those that are inland can additionally  
377 have a non-zero cover of tree and natural grass PFTs. The designation of ocean vs. inland at 300 m is determined using the  
378 150 m water body product. The ocean designation is applied to water body class pixels in which all four of the overlapping  
379 150 m pixels of the water body product are classified as the ocean; all other water body class pixels are designated as inland  
380 water. The water and tree PFT fractions for inland water body class pixels are assigned using the same 300 m harmonized  
381 surface water and tree cover auxiliary inputs that are used for the other classes; however, a minimum of 86 % water PFT is  
382 enforced following the legend definition for this class. If the sum of the tree fraction and the adjusted water PFT fraction  
383 exceeds 100 %, then the tree percentage is scaled down as 100 % minus the adjusted water PFT percentage. Any residual area  
384 is assigned as natural grass PFT.

385

386 The bare area classes (codes 200, 201, and 202) can have up to 3 % vegetation cover, (by definition of the abiotic class in the  
387 [FAO LCCS](#), Di Gregorio, and Jansen, 2005), so bare area pixels can have non-zero fractions of bare soil, tree, and water PFTs.

388 The auxiliary products define the tree and inland water fractions, but tree cover exceeding 3 % is scaled down to the class  
389 maximum of 3 %. Bare soil PFT percentage is calculated as 100 % minus the inland water percentage minus the tree percentage.  
390 Pixels of the mosses and lichens class (code 140) can have non-zero fractions of surface water and natural grasses, the latter  
391 of which is estimated as 100 % minus the inland water percentage.

392

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396 The permanent snow and ice class (code 220) is assigned as 100 % snow and ice PFT. All other classes are assigned as 0 %  
397 snow and ice PFT. Nearly all pixels classified as permanent snow and ice class in the CCI MRLC time series are static pixels;  
398 that is, such pixels are snow and ice cover for every year of the land cover map series. This is due to a lack of temporally  
399 resolved input data available at the global scale to track the evolution of this surface type. Therefore, neither the CCI MRLC  
400 classification nor the associated PFT product should be used to track changes in glaciers over time.

401

402 For all pixels – of any class – that have a non-zero tree fraction, the total tree fraction is assigned as a single tree type  
403 (broadleaved or needleleaved leaf type, deciduous or evergreen phenology). For the tree cover classes coded 50–82, the specific  
404 tree type follows the class legend. For example, class 50 is defined as "Tree cover – broadleaved evergreen >15 %," so the tree  
405 component of this class is assigned as the broadleaved evergreen tree type. Tree cover is assigned as broadleaved deciduous  
406 in pixels of classes 60–62, needleleaved evergreen in pixels of classes 70–72, and needleleaved deciduous in pixels of classes  
407 80–82. For pixels of the tree cover classes coded 90, 160, and 170 and all other vegetation-containing classes except the  
408 shrubland classes, the specific tree type is assigned by pixel based on the majority tree type in the surrounding  $0.25^\circ \times 0.25^\circ$   
409 neighbourhood window, where the majority calculation is performed on static pixels of the tree cover classes with legend-  
410 defined tree types (classes 50–82). If the  $0.25^\circ \times 0.25^\circ$  window does not contain any static pixels of the well-defined tree types,  
411 then the window is incrementally expanded by  $0.25^\circ$  in each direction (longitude and latitude) to a maximum window size of  
412  $2^\circ \times 2^\circ$  until such a pixel is contained within the search window. The same tree type is assigned to all pixels in a class for the  
413 tree cover classes 50–82, while the assigned tree type can vary between pixels within a class for the other classes. [The vast  
414 majority \(75 %\) of pixels with a non-zero tree fraction were assigned a tree type directly using the class legend; an additional  
415 24 % had tree type assigned using a surrounding window of  \$0.25^\circ \times 0.25^\circ\$ , < 1 % using a larger window up to a size of  \$1^\circ \times\$   
416  \$1^\circ\$ , and < 0.1 % using an even larger window up to a size of  \$2^\circ \times 2^\circ\$ .](#)

417

418 For a very small number of pixels, static pixels of the type-defined tree cover classes are absent from the surrounding  $2^\circ \times 2^\circ$   
419 window, so a climatological approach is instead used to assign the tree type to such pixels. This approach uses three auxiliary  
420 inputs: (1) the present-day Köppen-Geiger climate zone map from Beck et al. (2018), downscaled from 1 km resolution to the  
421 300 m CCI MRLC grid using mode resampling; (2) the map of world regions derived for use with the IMAGE03 model,  
422 expanded to include Greenland, Antarctica, and additional small islands; and (3) the landform map from Sayre et al. (2014),  
423 resampled from 250 m resolution to the 300 m CCI MRLC grid using mode resampling. A nearest neighbour analysis is used  
424 to gap fill missing data at 300 m resolution for each of the three auxiliary inputs. Pixels requiring data are those with < 100 %  
425 water PFT cover in the PFT product. Pixels that are designated as surface water in the landform dataset and have < 100 %  
426 water PFT cover in the PFT product are additionally filled with one of the terrestrial landforms (plains, hills, and mountains).  
427 Missing data generally occur along coastlines due to mismatches in the land-sea masks of the auxiliary datasets and the CCI  
428 MRLC data. The gap-filled datasets are combined to create a dataset of 1,531 unique combinations of landform, region, and  
429 climate zone. For each of the unique combinations, the areal cover of each of the tree cover classes with well-defined tree  
430 types (codes 50–82) is calculated using static pixels of those classes, and the majority tree type by area is identified for each  
431 unique combination. There are very few static pixels of the type-defined tree cover classes in the Middle East and Sahara  
432 regions, so the dominant tree type in these regions is set as broadleaved deciduous. For pixels in which the tree type –  
433 broadleaved or needleleaved, deciduous or evergreen – cannot be assigned based on the neighbourhood window, the majority  
434 tree type of the pixel's unique zone is assigned. This method is also applied to assign the types of both shrubs and trees in all  
435 shrubland class pixels. Thus, there may be inconsistencies between the shrub type indicated by the class legend and that  
436 assigned using this biogeographical approach.

437

438 Most of the auxiliary inputs are based on Landsat images and therefore have an extent of 80°N–60°S. The main processing  
439 algorithm for the PFT product, explained above for the static pixels, therefore operates on this extent. Less than 0.5 % of the  
440 area outside of this extent is composed of pixels belonging to a class other than water bodies (code 210) or permanent snow  
441 and ice (code 220). The largest contributors to this small area are the sparse vegetation classes followed by the bare area classes  
442 with negligible contributions from shrubland (including flooded shrubland), grassland, and lichens and mosses classes. To  
443 extend the PFT product to global extent, the following assumptions are applied to the pixels north of 80°N and south of 60°S:  
444 (1) 100 % snow and ice PFT is assigned to pixels of the permanent snow and ice class; (2) 100 % water PFT is assigned to  
445 pixels of the water body class; (3) 100 % bare soil PFT is assigned to pixels of the bare area classes; (4) 100 % natural grass  
446 PFT is assigned to pixels of the grassland and lichens and mosses classes; (5) 96 % bare soil PFT and 4 % natural grass PFT  
447 (to meet the legend minimum of vegetation cover) are assigned to pixels of the sparse vegetation classes; and (6) 84 % natural  
448 grass PFT and 16 % needleleaved deciduous shrub PFT (matching the legend minimum shrub cover) are assigned to pixels of  
449 the shrubland classes. For the shrubland classes, the shrub type of needleleaved deciduous is assigned because the shrubland  
450 class pixels needing assignment (north central Russia) occur nearest pixels of needleleaved deciduous shrubs that had shrub  
451 type assigned using the standard method.

### 452 2.2.2. Pixels experiencing land cover change

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453 Dynamic pixels – that is, pixels that have experienced at least one land cover class change over the 1992–2020 era – correspond  
454 to 5.88 % of the ice-free land surface (Defourny et al., submitted). For such pixels, the derived PFT fractions are derived for  
455 each of the classes assigned to that pixel over the era. For example, if a pixel changed from forest to cropland, PFT fractions  
456 associated with the forest class are estimated and PFT fractions associated with the cropland class are also estimated for the  
457 pixel. The method used to assign the PFT fractions depends on the timestamp of the class in relation to the timestamp (2010)  
458 of the auxiliary dataset (Hansen et al., 2013) from which the tree cover fractions are derived. The PFT fraction of a pixel in  
459 2010 was derived using the following class-specific methods described in Sect. 2.2.1. Any change of class occurring before or  
460 after 2010 leads to deriving new PFT fractions as the mean PFT fractions of all 300 m pixels of the same class pixels within  
461 the overlapping 0.25° x 0.25° window centred on the pixel of interest. The input pixels over which the mean is calculated are  
462 the 300 m pixels that did not experience land cover class change over the 1992–2020 era. If no pixels of the relevant class are  
463 within the 0.25° x 0.25° window, then the window is incrementally expanded by 0.25° in both the latitude and longitude  
464 directions until at least one pixel of the relevant class is contained in the window. A pixel can experience up to 7 land cover  
465 changes in the 1992–2020 era (Defourny et al., submitted), which leads to deriving new PFT fractions for each new land cover  
466 class encountered.

### 467 2.3. Modelling assessment

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468 The impact of the updated PFT distribution on land surface fluxes is evaluated using global simulations of two land surface  
469 models: the Organizing Carbon and Hydrology in Dynamic Ecosystems (ORCHIDEE; Krinner et al. 2005 and later revisions)  
470 and the Joint UK Land Environment Simulator (JULES; Best et al., 2011; Clark et al., 2011). The simulations with ORCHIDEE  
471 focus on evaluating the impact of the updated PFT distributions on a selected set of climate-relevant variables. The ORCHIDEE  
472 model applies the Climatic Research Unit (CRU)–Japanese reanalysis (JRA55) v2.0 6-hourly atmospheric driving data for  
473 1901–2018 (Harris et al., 2014; Kobayashi et al., 2015; UEA CRU and Harris, 2019) and the CCI PFT distribution maps for  
474 2010. Two PFT distributions are applied: (1) the new PFT map (PFT<sub>local</sub>) described above and (2) the PFT distribution based  
475 on the application of the global standard CWT to the CCI MRLC product for 2010 (PFT<sub>global</sub>) (Table C1) (Hartley et al., 2017,  
476 Lurton et al., 2020). The 2010 PFT map is used (recycled) for each year of the simulation. ORCHIDEE is run at a horizontal  
477 resolution of 0.5° latitude × 0.5° longitude over the period 1900 - 2018, and all simulated data before 1980 are discarded as

480 spin-up, with analysis based on the years 1980–2018. The impact of the updated distribution relative to that based on the global  
481 CWT is compared with ORCHIDEE for an ensemble of climate-related variables, including albedo, surface fluxes (latent and  
482 sensible heat and their ratio), gross primary productivity, surface temperature, tree fraction, leaf area index (LAI), and above-  
483 ground biomass (Sect. 4).

484

485 In a separate assessment of the implications of the updated PFT distributions for model evaluation, JULES simulations of PFT  
486 distributions, created for the Inter-Sectoral Impacts Model Inter-comparison Project (ISIMIP; Frieler et al., 2017), were used.  
487 This was done to compare evaluation results using both the CWT-derived PFT distributions ( $PFT_{global}$ ) and the updated PFT  
488 distributions ( $PFT_{local}$ ). The 2010 PFT distributions are used to evaluate the JULES dynamic vegetation results. JULES was  
489 driven by the ISIMIP2b protocol described in Frieler et al. (2017) and applied to JULES as described in Mathison et al. (in  
490 preparation). Sect. 4.2 describes the Dynamic Global Vegetation Model results for 2010 from the JULES offline simulations  
491 driven by HADGEM2-ES climate for the period 1850 to 2100.

### 492 3. CCI PFT dataset description

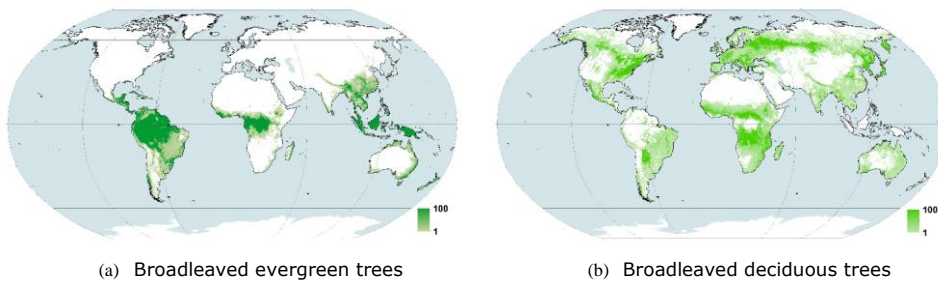
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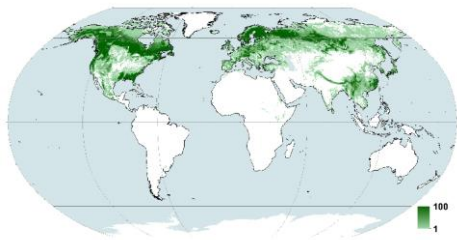
#### 493 3.1. General description

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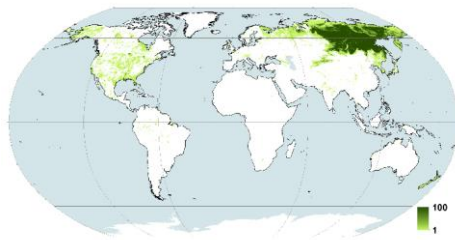
494 The CCI PFT dataset (hereafter called  $PFT_{local}$ ) provides the percentage cover as discrete values of 0–100 % of 14 PFTs at 10  
495 arc-second resolution (300 m at the equator; 64,800 pixels in the latitude dimension  $\times$  129,600 pixels in the longitude  
496 dimension). The global continuous field maps are produced at an annual resolution, covering the years 1992–2020. The PFT  
497 distributions are consistent with the CCI MRLC data product and eliminate the need to use a CWT to translate land cover  
498 classes into PFTs. The 14 PFTs encompass: (1) permanent inland water bodies; (2) permanent snow and ice cover; (3) bare  
499 soil; (4) built-up areas, which includes artificial impervious area such as buildings and, frequently but not exhaustively, other  
500 paved surfaces such as roads; (5) managed grasses (i.e., herbaceous crops); (6) natural grasses (i.e., non-cultivated herbaceous  
501 vegetation); (7) broadleaved deciduous shrubs; (8) broadleaved evergreen shrubs; (9) needleleaved deciduous shrubs; (10)  
502 needleleaved evergreen shrubs; (11) broadleaved deciduous trees; (12) broadleaved evergreen trees; (13) needleleaved  
503 deciduous trees; and (14) needleleaved evergreen trees (Figure 1). Following the auxiliary inputs, trees are woody vegetation  
504 with a height  $> 5$  m, while shrubs are woody vegetation with a height of 3–5 m, inclusive. An updated water body product  
505 (version 4.1) at 150 m resolution, used here to delineate between inland water and ocean, likewise replaces the older version  
506 and can be downloaded from the same data repository as the PFT maps.

507

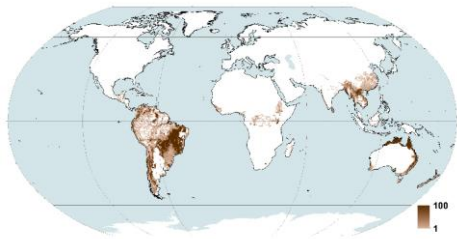




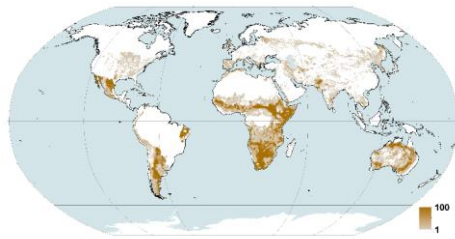
(c) Needleleaved evergreen trees



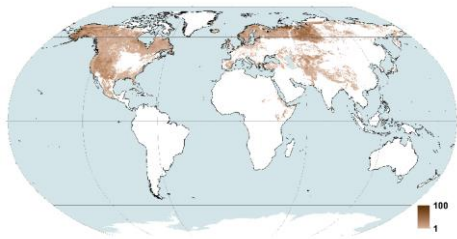
(d) Needleleaved deciduous trees



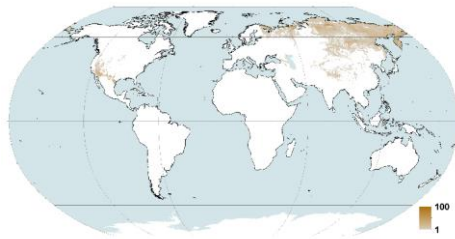
(e) Broadleaved evergreen shrubs



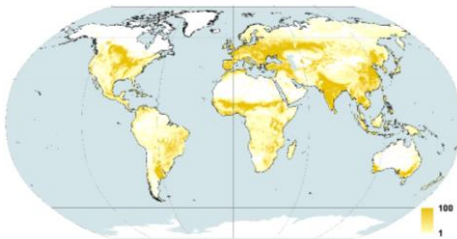
(f) Broadleaved deciduous shrubs



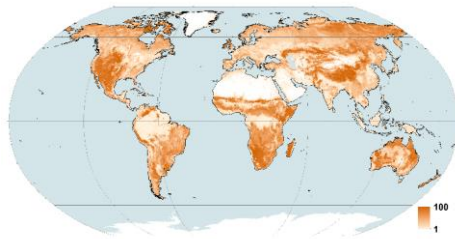
(g) Needleleaved evergreen shrubs



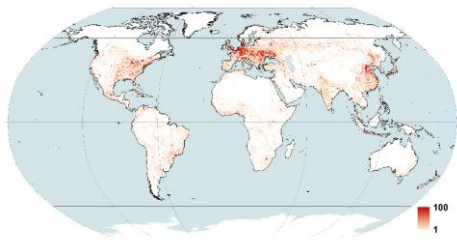
(h) Needleleaved deciduous shrubs



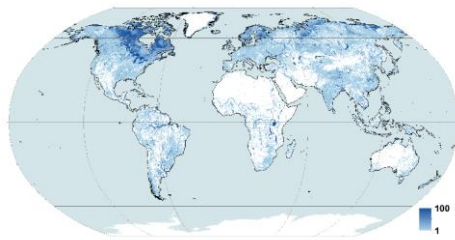
(i) Managed grasses



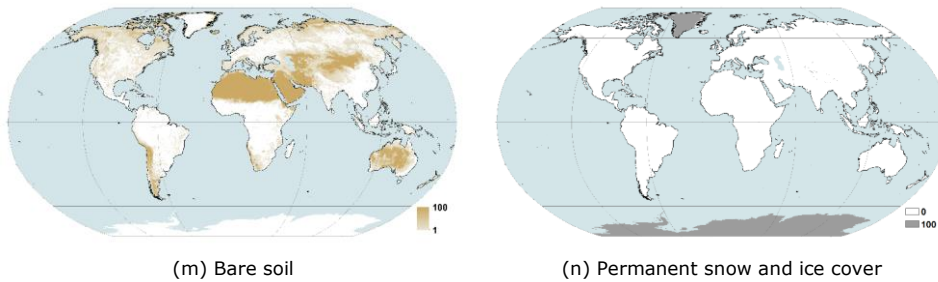
(j) Natural grasses



(k) Built-up areas



(l) Permanent inland water bodies



(m) Bare soil

(n) Permanent snow and ice cover

510 **Figure 1. Percentage cover in 2010 for the 14 PFTs included in the PFT<sub>local</sub> data product at a spatial resolution of 0.25° × 0.25°.** (a)  
 511 Broadleaved evergreen trees, (b) Broadleaved deciduous trees, (c) Needleleaved evergreen trees, (d) Needleleaved deciduous trees, (e)  
 512 Broadleaved evergreen shrubs, (f) Broadleaved deciduous shrubs, (g) Needleleaved evergreen shrubs, (h) Needleleaved deciduous shrubs,  
 513 (i) Managed grasses, (j) Natural grasses, (k) Built-up areas, (l) Permanent inland water bodies, (m) Bare soil, and (n) Permanent snow and  
 514 ice cover.

515 The PFT<sub>local</sub> dataset indicates that herbaceous vegetation covers 44.8 % of the Earth's land surface, with around one-third of  
 516 that area devoted to herbaceous crops. Tree cover accounts for 21.3 % of the land surface, which is much larger than that of  
 517 shrubs (3.2 %). The abiotic surface types cumulatively cover 30.8 % of the land surface: 18.4 % bare soil, 10.0 % snow and  
 518 ice, 2.1 % inland water, and 0.3 % built.

519  
 520 The CCI PFT dataset is provided as a companion product to the ESA CCI LC map series products with similar specifications  
 521 with a global extent, a pixel size of 300 m and a Plate Carrée projection. However, climate models may need products  
 522 associated with a coarser spatial resolution, over specific areas (e.g., for regional climate models), and/or in another projection.  
 523 To tackle the variety of requirements, a user tool has been developed that allows users to adjust the products in a way which  
 524 is suitable to their models. A minimum list of possibilities in terms of spatial resolution and projection has been established  
 525 and the conversion of CCI-Land Cover classes to other user-defined classes is also foreseen. The CCI PFT product and the  
 526 user tool are freely available at [maps.elie.ucl.ac.be/CCI/viewer/](https://maps.elie.ucl.ac.be/CCI/viewer/) and [climate.esa.int/en/projects/land-cover/data/](https://climate.esa.int/en/projects/land-cover/data/).

527 **3.2. PFT layer description considering the CCI MRLC categories and the PFT<sub>global</sub> dataset**

528 Table 3 shows the global areal coverage of each PFT by class for 2010 for the PFT<sub>local</sub> product, and Table A1 shows the  
 529 equivalent data corresponding to the application of the most recent version of the CCI MRLC global CWT (Lurton et al. 2020;  
 530 Table A2) to the v2.0.8 CCI MRLC map for 2010 (hereafter called PFT<sub>global</sub>). Figure A2 complements Table A1 by illustrating  
 531 the differences between the PFT<sub>local</sub> and the PFT<sub>global</sub> products globally at a spatial resolution of 0.25 x 0.25 degrees. For each  
 532 class of PFT<sub>global</sub>, the global CWT specifies the fractional composition of contributing PFTs; in this approach, each pixel of a  
 533 class is assigned the same fractional PFT composition regardless of its location on Earth. Table 4 indicates the percentage PFT  
 534 composition by class for 2010 for PFT<sub>local</sub>, calculated as an area-weighted mean taken over all pixels of the class globally.  
 535 Figure A3 provides a spatialized summary of the largest differences between the PFT<sub>local</sub> and the PFT<sub>global</sub> products. (a) PFTs  
 536 with the largest increase and (b) corresponding fraction gained, (c) PFT loss and (d) corresponding fractions lost are illustrated  
 537 globally with 0.25° x 0.25° pixels.

538 **3.2.1. Tree cover**

539 The PFT<sub>local</sub> product indicates global areal tree cover of 31.4 million km<sup>2</sup> (Figure 1): 45.2 % broadleaved evergreen; 24.3 %  
 540 needleleaved evergreen; 23.0 % broadleaved deciduous; and 7.5 % needleleaved deciduous. The PFT<sub>local</sub> product indicates  
 541 global areal tree cover that is 4.6 % higher than in the PFT<sub>global</sub> distribution. Globally, tree coverage is higher in the PFT<sub>local</sub>

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545 product relative to the PFT<sub>global</sub> distribution for all tree types except needleleaved deciduous trees. Compared to the global  
546 CWT, in which every pixel belonging to a given class is assigned the same PFT fractions, the updated method for estimating  
547 PFT fractions locally results in greater variability of tree fractions among 300 m pixels within a single class. For example, the  
548 global CWT suggests that all class 10 (rainfed cropland) pixels are 0 % tree cover, but the PFT<sub>local</sub> product based on auxiliary  
549 inputs suggests a much wider range of tree cover at the pixel level, ranging from 0–100 % tree cover at the 300 m pixel level.  
550 The distribution for Africa is shown in Figure A1, where tree crops in the Sahel are readily apparent. Globally, class 10 pixels  
551 have 5.1 % tree cover on average (Table 4). On average, class 12 pixels (rainfed cropland – tree or shrub cover) have 18.4 %  
552 tree cover. The auxiliary dataset used to derive tree cover for most classes in the PFT<sub>local</sub> product is based on Landsat 7 images  
553 (Hansen et al. 2013); the artifacts associated with the failure of the Landsat 7 Scan Line Corrector (Andrefouet et al., 2003)  
554 are visible in the 300 m PFT<sub>local</sub> dataset in some regions, particularly in west-central Africa. Because the PFT product is  
555 harmonized with the CCI MRLC class product, potential classification errors can impact the PFT product. For example, recent  
556 high-resolution mapping in the circumpolar Arctic (Bartsch et al. 2019) suggests that the CCI MRLC classification may  
557 overestimate needleleaved evergreen tree cover in this region, resulting in a possible overestimate of the tree PFT percentage  
558 in such pixels. Future improvements to the land cover classification will likewise flow through to the PFT product.

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### 3.2.2. Shrub cover

561 The PFT<sub>local</sub> product indicates 4.7 million km<sup>2</sup> of global shrub cover. The largest contributors to total shrub cover are  
562 broadleaved deciduous (44.6 %) and needleleaved evergreen shrubs (25.2 %). Shrub cover is 74 % lower in the PFT<sub>local</sub> product  
563 than in the PFT<sub>global</sub> dataset. Some of this difference arises because the PFT<sub>local</sub> product estimates lower shrub PFT in shrubland  
564 class pixels (codes 120–122 and 180) compared to the PFT<sub>global</sub> dataset, which estimates 8.8 million km<sup>2</sup> of shrub PFT in  
565 shrubland classes. The area-weighted mean percentage composition of shrubs in shrubland class pixels is 30.0 % for class 120  
566 in the PFT<sub>local</sub> product, 26.1 % for class 121, 34.4 % for class 122, and 30.7 % for class 180. The CWT suggests 60 % shrub  
567 cover for classes 120–122 and 40 % for class 180. The CWT estimates 0 km<sup>2</sup> of tree PFT cumulatively in these classes  
568 compared to 630,000 km<sup>2</sup> in the PFT product. The uncertainty associated with the height estimation in the global canopy height  
569 product of Potapov et al. (2021) may contribute to the confusion of shrubs and trees in some cases. Nonetheless, the evidence-  
570 based PFT<sub>local</sub> product indicates a significantly lower estimate for global woody vegetation cover in pixels of the shrubland  
571 classes compared to the PFT<sub>global</sub> dataset, which was largely based on expert knowledge.

572

573 In addition to the differences in the shrubland class pixels, a large part of the difference in total shrub cover between the PFT<sub>local</sub>  
574 product and the PFT<sub>global</sub> dataset can be ascribed to the fact that the PFT<sub>local</sub> product estimates shrub PFT only in pixels  
575 belonging to the shrubland classes (codes 120–122 and 180) due to a lack of appropriate datasets to apply to the other classes.  
576 The CWT estimates 9.5 million km<sup>2</sup> of shrub cover in non-shrubland PFTs, and some of this shrub cover may indeed be  
577 missing from the PFT<sub>local</sub> product. However, because the PFT<sub>local</sub> product, which is based on quantitative estimation using  
578 auxiliary inputs, and the CWT, which is largely based on expert input, differed so strongly in the estimates of shrub PFT in  
579 the shrubland class pixels, some of the differences in the non-shrubland class pixels may likewise be due to bias in the CWT.

580

### 3.2.3. Natural and managed grasses

581 Global grass PFT cover in the PFT<sub>local</sub> product is 65.7 million km<sup>2</sup>, two-thirds of which is natural grass. Total grass cover is  
582 29.6 % higher in the PFT<sub>local</sub> product than in the PFT<sub>global</sub> map (38.3 % higher for natural grass and 14.7 % higher for managed  
583 grass). In the PFT<sub>local</sub> product algorithm, for the vegetated classes except for sparse vegetation, the entire non-water fraction  
584 of the 300 m pixel is assigned as vegetation; typically, water, trees, and other PFTs are estimated based on auxiliary inputs and  
585 the CCI MRLC class legend, and then the residual area is assigned as grass cover. Thus, grass vegetation may be assigned in  
586 some cases that might otherwise be a temporary bare area.

587

### 3.2.4. Water

588 In the PFT<sub>local</sub> product, the per-pixel fraction of surface water PFT is estimated for pixels of all classes except the permanent  
589 snow and ice class (Table 1). The PFT<sub>local</sub> product indicates around 142,000 km<sup>2</sup> of water cover globally among pixels of all  
590 classes except the water body class (code 210). Only two classes – a sparse vegetation sub-class (code 151) and a needleleaved  
591 deciduous tree cover sub-class (code 82) – have no pixels with inland water cover (Table 3), but both classes have extremely  
592 limited total areal coverage, each accounting for only a few square kilometres of area globally. Classes with significant water  
593 coverage include: needleleaved evergreen tree cover classes 70 and 71 (40,000 km<sup>2</sup> combined); sparse vegetation class 150  
594 (20,000 km<sup>2</sup>); lichens and mosses class 140 (14,000 km<sup>2</sup>); flooded shrub/herbaceous cover class 180 (12,000 km<sup>2</sup>); and bare  
595 area class 200 (12,000 km<sup>2</sup>). Coverage of water PFT in pixels of the non-water body classes is especially prevalent in the  
596 boreal region. Classes with the highest fractional composition of inland water – calculated as the area-weighted mean among  
597 all pixels of the class globally (Table 4) – include the flooded tree cover class 170 (2.3 %), the needleleaved evergreen tree  
598 cover class 72 (1.3 %), and the lichens and mosses class 140 (0.9 %).

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602 The PFT<sub>local</sub> product indicates 3 % (91,000 km<sup>2</sup>) lower inland water fractional cover than the PFT<sub>global</sub> product distribution.  
603 While the non-water body classes have a total inland water PFT cover of 142,000 km<sup>2</sup> in the PFT<sub>local</sub> product (compared to 0  
604 km<sup>2</sup> from the PFT<sub>global</sub>), the PFT<sub>local</sub> product indicates a lower inland water PFT area in the water body class than does the CWT  
605 (difference of 233,000 km<sup>2</sup>). The difference in the water body class occurs because the PFT<sub>local</sub> product allows up to 14 %  
606 vegetation cover in this class whereas the CWT assumes 100 % water PFT. PFTs with significant global coverage in water  
607 body class pixels in the PFT<sub>local</sub> product include natural grasses (183,000 km<sup>2</sup>) and needleleaved evergreen trees (31,000 km<sup>2</sup>)  
608 with smaller contributions from the other tree types.

### 609 3.2.5. Bare

610 In the PFT<sub>local</sub> product, bare soil PFT occurs in the bare area classes (codes 200–202) and the sparse vegetation classes (codes  
611 150–153), accounting for 19.4 million km<sup>2</sup> and 7.6 million km<sup>2</sup> bare soil area, respectively, at the global scale (Table 3). The  
612 global area-weighted mean bare soil percentages are 85.9 % in sparse vegetation class pixels and 99.9 % in bare area class  
613 pixels, which are nearly identical to the compositions suggested by the global CWT (85 % for sparse vegetation classes and  
614 100 % for bare area classes). Cumulatively for these classes, the PFT<sub>local</sub> product suggests only 0.2 % lower bare soil PFT  
615 coverage at the global scale relative to the assumed distribution in the PFT<sub>global</sub> dataset (difference of 65,000 km<sup>2</sup>). In the  
616 PFT<sub>local</sub> product, the bare area classes contain, in addition to bare soil PFT, inland water PFT (13,000 km<sup>2</sup>) and tree cover  
617 (1,000 km<sup>2</sup>).

618

619 The PFT<sub>local</sub> product does not include bare soil PFT in the shrubland classes, while the PFT<sub>global</sub> dataset assumes 20 % bare  
620 soil for the non-flooded shrubland classes 120–122. Because the non-flooded shrubland class pixels have such a large extent  
621 globally (13.3 million km<sup>2</sup>), the PFT<sub>global</sub> dataset suggests 2.7 million km<sup>2</sup> of additional bare soil in such pixels relative to the  
622 PFT product. Differences in the distribution of bare area between the PFT<sub>local</sub> product and the PFT<sub>global</sub> product are especially  
623 pronounced in the U.S. intermountain west, parts of southern and eastern Africa, the northern coast of Australia, and the  
624 highlands of Argentina and Brazil, as these are regions with significant shrubland class cover. In the PFT<sub>local</sub> product, all  
625 residual area in the shrubland class pixels that is not assigned as surface water or woody vegetation (trees and/or shrubs) based  
626 on the auxiliary input data is assigned as natural grass cover rather than bare soil.

627

628 In the PFT<sub>local</sub> product, the bare soil PFT represents areas that are not expected to support vegetation regardless of  
629 environmental conditions. For shrubland class pixels, we assume that vegetation growth can be supported given the appropriate  
630 environmental conditions; therefore, the residual pixel area (after accounting for inland water, tree, and shrub cover) is assigned  
631 as natural grass PFT. Since the PFT<sub>local</sub> product is built mainly for application to land surface models, the actual presence of  
632 grass vegetation vs. bare soil for such pixels (of the shrubland class, but also of the other vegetated classes) will be determined  
633 by the model given simulated or prescribed local climate conditions. Users should consider the definition of the bare soil PFT  
634 to determine suitability of the data product for their use case.

### 635 3.2.6. Built fraction

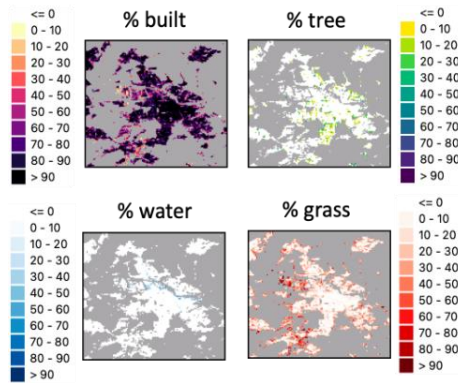
636 Both the PFT<sub>local</sub> product and the PFT<sub>global</sub> assign built PFT only to pixels of the urban class (code 190). The presence of a built  
637 PFT is not universal in land surface or Earth system models; for example, the current version of the ORCHIDEE land-surface  
638 model considers built areas to be 80 % bare soil and 20 % grasslands. The cross-walking of land cover classes to PFTs for the  
639 urban class strongly depends on the framework used to calculate surface fluxes in the urban environment and therefore inter-  
640 model variation in the global CWT may be stronger for the urban class than for vegetated classes. The global CWT used for

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642 this analysis assigns 100 % of the urban class as built PFT. For comparison, the JULES land surface model assigns urban class  
643 pixels as 75 % built and 25 % bare soil.

644

645 The PFT<sub>local</sub> product suggests 477,000 km<sup>2</sup> of built area globally (Table 3), which corresponds to an area-weighted mean  
646 composition of 73.7 % built PFT in urban class pixels (Table 4). The auxiliary inputs suggest that about 1.6 % of urban class  
647 pixels have 0 % built PFT coverage. This suggests a mismatch between the land cover classification and the auxiliary inputs  
648 for a small number of pixels, which could be related to a mismatch in the time stamp of the auxiliary inputs (2014) relative to  
649 the land cover dataset. Considering all urban class pixels, 6.2 % have built PFT of 0–25 %, 7.8 % have built PFT of 26–50 %,  
650 31.9 % have built PFT of 51–75 %, and 54.1 % have built PFT of 76–100 %. As area-weighted means, the non-built portion  
651 of urban class pixels is 25.1 % natural grass cover, 0.3 % inland water, and 0.9 % tree cover. The increased spatial heterogeneity  
652 in urban class pixels due to the PFT<sub>local</sub> product is readily apparent in Figure 2, which shows the PFT distribution for  
653 Amsterdam, the Netherlands. The more realistic characterization of the urban environment in the PFT<sub>local</sub> product that gives  
654 more variability of built PFT coverage within a city should allow a more faithful representation of urban surface fluxes in land-  
655 surface models.



656

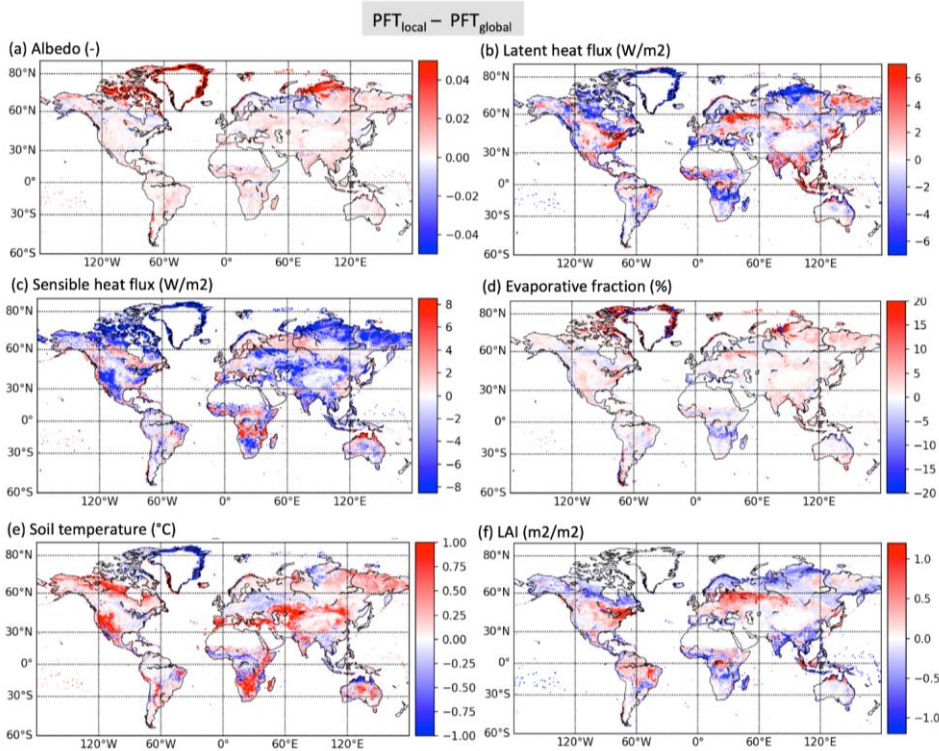
657 **Figure 2.** Percentage cover in 2010 for built, total tree, grass, and inland water PFTs in Amsterdam, the Netherlands, in the PFT<sub>local</sub>  
658 product.

### 659 3.2.7. Permanent snow and ice

660 The permanent snow and ice in PFT<sub>local</sub> accounts for 14.7 million km<sup>2</sup> of area globally, largely in Greenland and Antarctica,  
661 but also in the Arctic and mountainous regions of Asia. The PFT<sub>local</sub> product and PFT<sub>global</sub> dataset indicate identical coverage  
662 for this PFT since both datasets assign 100 % snow and ice PFT to the permanent snow and ice class (code 220) and 0 % snow  
663 and ice PFT to all other classes.

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669 **Figure 3.** Differences in (a) albedo, (b) latent heat flux, (c) sensible heat flux, (d) evaporative fraction (Latent heat flux / (Latent + Sensible  
 670 heat fluxes), (e) soil surface temperature, and (f) Leaf Area Index (LAI) simulated by the ORCHIDEE model between the new PFT ( $PFT_{local}$ )  
 671 and the old PFT distributions ( $PFT_{global}$ ), for the summer (June - July - August, northern hemisphere) of year 2010.

672 In this section, we compare the results of two ORCHIDEE simulations performed, respectively, by applying the old standard  
 673 PFT maps ( $PFT_{global}$ ) and the new PFT product derived in this study ( $PFT_{local}$ ). The results are shown for the year 2010.

674 The impacts of the changes in the land surface representation between the local and global PFT maps on the surface albedo,  
 675 latent and sensible heat fluxes, evaporative fraction (ratio of latent heat flux to the sum of latent and sensible heat fluxes),  
 676 surface temperature, and the LAI are shown in Figure 3. Averaged differences (local vs global) for the northern hemisphere  
 677 summer period (June-July-August, JJA) were plotted here to highlight the main changes but the plots at the annual scale are  
 678 also given in the Supplementary Information. The results show that the energy, water, and carbon fluxes are mainly (and  
 679 significantly) impacted in the regions where woody vegetation was replaced by grasslands or where the bare soil fraction has  
 680 changed. Since, in ORCHIDEE, the shrub PFTs are assigned to tree PFTs, the regions highlighted in Sect. 3 with significant  
 681 fractions of shrub losses or gains in profit of grasses show the largest changes. Given that tree PFTs present a lower albedo,  
 682 higher roughness (linked to vegetation height) and maximum transpiration capacity, and higher LAI and biomass, the simulated  
 683 differences between the two simulations show coherent features across the different variables. In summer, surface albedo  
 684 increases up to 4 % (absolute deviation) in the northern boreal regions because of the decrease of shrubs and the increase of  
 685 grasslands and in some regions (like in the Taymir peninsula) the increase of bare soils. More southern of this boreal zone,

688 both in Eurasia and North America, the increase of trees and decrease of shrubs, show opposite variations. In the tropical  
689 region (between 0° and 30°S), the PFT changes principally concern differences in the shrubs/grasses partition at the benefit of  
690 grasslands. In these regions, the tree fraction decrease results in a slight increase of the albedo around 2 % (absolute deviation).  
691 At the annual scale (Figure B1), the larger impact of the PFT differences in the high latitudes is explained by the cumulative  
692 impact of changes in snow cover. Indeed, snow melting is more rapid on tree cover compared to grasslands, inducing a shorter  
693 duration of the snow cover with high albedo values, leading to even more differences between short and high vegetation albedo  
694 values.

695  
696 Surface albedo differences (impacting surface net radiation) combined with roughness changes (impacting turbulent  
697 exchanges) explain generally the surface flux variations. The balance between the two effects varies according to the latitude  
698 following the amount of solar radiation: in the northern latitudes, the impact of surface roughness is larger than in more  
699 southern ones. In the tropics, we observe a decrease in the turbulent fluxes where the albedo is larger, explaining the lower  
700 evapotranspiration and lower GPP, with different partitions when comparing arid and humid zones. For example, the  
701 consequences of a decrease of shrubs to the benefit of grasses do not have the same effects on the heat flux partition according  
702 to the water availability. In regions where soil moisture limits evapotranspiration, like central Africa (south of the Democratic  
703 Republic of the Congo) or the Sahel, fewer trees lead to less evapotranspiration up to  $6 \text{ Wm}^{-2}$  in annual mean, and larger  
704 sensible heat flux at the same level, whereas in the northern latitudes like in eastern Siberia, fewer shrubs lead to larger  
705 evapotranspiration and lower sensible heat flux. This is summarized in the representation of the evaporative fraction which  
706 shows opposite variations in these regions.

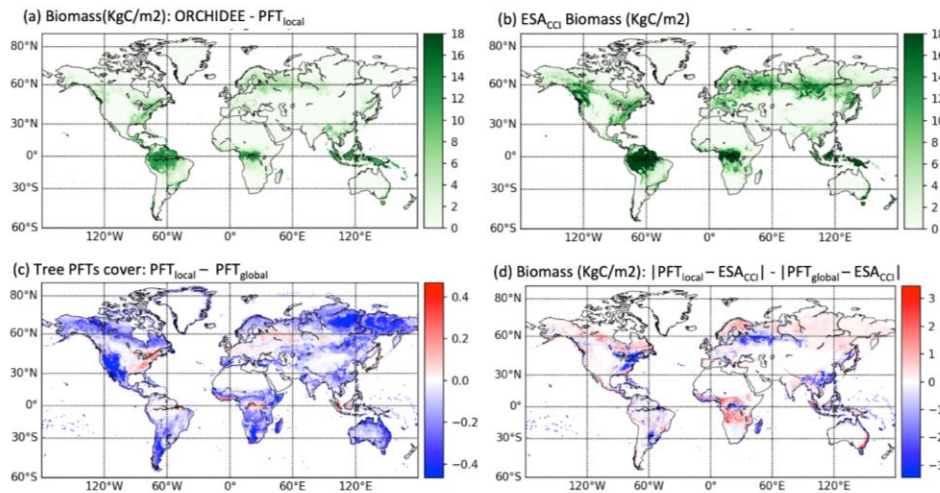
707  
708 The surface temperature, as the result of the energy and water budgets, shows differences in line with the sensible heat flux  
709 variations, with larger temperatures where the sensible heat flux has decreased. The differences in summer and in annual mean  
710 are significant and can reach 1 K but can show differences up to 3 K on a daily scale.

711  
712 LAI differences are in coherence with the PFT differences: lower values where woody vegetation was replaced by grasses,  
713 except in eastern Siberia and northern Australia where the increase of net radiation favored transpiration and GPP and, finally,  
714 LAI. The LAI variations may reach  $1 \text{ m}^2\text{m}^{-2}$  in some regions like southeastern Canada or Central Europe, where the broadleaf  
715 deciduous trees have increased in the  $\text{PFT}_{\text{local}}$  map.

716  
717 Figure 4 illustrates the impacts on the above-ground biomass (AGB) with the tree cover variations. To see if the biomass  
718 changes are more realistic, they have been compared to the ESA CCI Biomass product, version 3 ( $\text{ESA}_{\text{CCI}}$  Biomass, Santoro  
719 and Cartus, 2019; Santoro et al., 2021) aggregated at  $0.5^\circ$  resolution. Note that, unlike for the turbulent fluxes discussed above,  
720 the change in AGB between low and high vegetation covers should be large enough and thus easier to evaluate. In Figure 4a,  
721 we first compare the simulated AGB with the new PFTs ( $\text{PFT}_{\text{local}}$ ) to the  $\text{ESA}_{\text{CCI}}$  Biomass product, which highlights some  
722 issues related to ORCHIDEE model deficiencies and also, in part, to relatively large errors in the  $\text{ESA}_{\text{CCI}}$  Biomass product,  
723 especially for high AGB. -The model simulates too low AGB on average with a large underestimation over the tropical forests,  
724 which cannot be due to the PFT cover (above 90 % forest cover). Over temperate and high latitudes, we also find significant  
725 model AGB underestimation. The improvements/degradations with respect to changing the PFT distribution (Figure 4d; where  
726 the mean errors between the two simulations performed with  $\text{PFT}_{\text{local}}$  and  $\text{PFT}_{\text{global}}$  are represented), provide contrasting results  
727 between regions. The benefits of the new  $\text{PFT}_{\text{local}}$  maps (blue color in Figure 4d) are visible in northeast Europe, the eastern  
728 USA and in Democratic Republic of the Congo where the increase of tree fraction (Figure 4c) and biomass seems to be in  
729 better agreement with the remote sensing AGB product. In the other regions, where the tree fractions decreased (northern  
730 Canada and Europe, Sahel, Angola, Zambia and southern China, Figure 4c), the associated decrease of biomass leads to larger

731 errors compared to the AGB satellite product. In the western USA (California), the losses of tree PFTs to the benefit of  
 732 grasslands did not impact the simulated biomass since, in these arid regions, the trees have very low productivity comparable  
 733 to grasses and thus similar low biomass values (less than 1 KgCm<sup>-2</sup>).

734  
 735 Overall, these results highlight the importance and impact of land surface PFT distribution on simulated energy, water, and  
 736 carbon fluxes as well as carbon stocks in global land surface models.  
 737



738  
 739 **Figure 4.** Above Ground Biomass (AGB) (a) simulated by the ORCHIDEE model with the PFT<sub>local</sub> dataset and (b) observed by the ESA<sub>CCI</sub>  
 740 Biomass product version v3, for the year 2010 (Santoro and Cartus (2019)); (c) Differences in the tree PFT fraction prescribed; (d) Difference  
 741 between the mean bias of simulated versus ESA<sub>CCI</sub> Biomass AGB between the new (PFT<sub>local</sub>) and the former (PFT<sub>global</sub>) distributions of  
 742 PFTs. Negative values indicate a decrease in the bias from the PFT<sub>global</sub> to the PFT<sub>local</sub>.

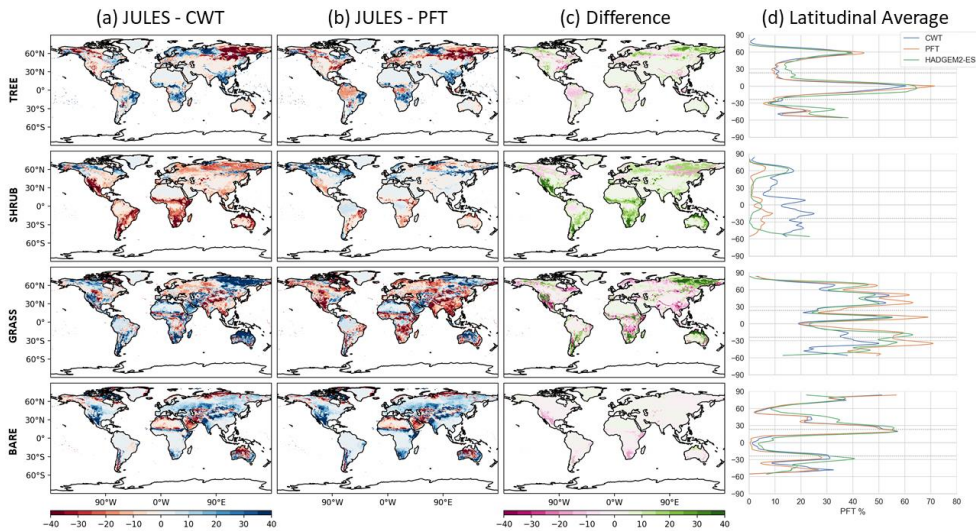
743 **4.2. Evaluation of DGVM (JULES-TRIFFID) using PFT fractions**

744 The impact of using the new PFT distributions (PFT<sub>local</sub>) as a benchmark for JULES-TRIFFID dynamic vegetation is shown  
 745 in Figure 5. In contrast to results shown in Sect. 4.1, differences found here indicate the value of the new PFT distributions as  
 746 a product for model evaluation, rather than a direct improvement of model predictions. When compared to PFT<sub>global</sub>(“CWT”),  
 747 JULES-TRIFFID indicates significant over-estimation of tree cover in tropical savannahs, and under-estimation of tree cover  
 748 in boreal northeast Russia. Additionally, comparison with the global CWT product (PFT<sub>global</sub>) indicates that JULES-TRIFFID  
 749 under-estimates shrub cover in tropical savannas in South America, Africa, and Australia, as well as many semi-arid regions  
 750 such as western North America. Biases in grass cover are more spatially heterogeneous, but comparison with the global CWT  
 751 indicates that JULES-TRIFFID strongly over-estimates in northeast Russia and northern Australia.  
 752

753 When using the new PFT distributions as a benchmark, many of these biases are reduced, as indicated by green areas in column  
 754 “c” of Figure 5. In particular, northeast boreal Russia shows reduced biases in tree, shrub, and grass cover. Globally, using the  
 755 new PFT distributions results in a reduction in biases in shrub cover in JULES-TRIFFID in almost every part of the world,  
 756 particularly savannahs and semi-arid regions (Figure 4d). Whilst no large areas showed a large increase in bias, some areas  
 757 did show increases in bias of up to 25 %, such as tropical forests (10 % increase), grass cover in tropical savannahs (15 to 25  
 758 %) and northern high latitudes (10 to 20 %), and bare cover in arid regions (up to 10 % increase).

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**Figure 5.** Comparison of JULES PFT distributions to both the CWT (PFT<sub>global</sub>) and PFT (PFT<sub>local</sub>) products for major vegetation types. Rows show each major surface type (Tree, Shrub, Grass, Bare), whilst rows show (a) JULES vegetation distribution compared to the global CWT; (b) the same compared to new PFT<sub>local</sub> distributions; (c) the difference between (a) and (b), where green (pink) indicates positive or negative anomalies evaluate closer to 0 (further away from 0) using new PFT<sub>local</sub> distributions; (d) absolute latitudinal average fractions for each major vegetation type from CWT, PFT, and JULES.

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## 5. Conclusion and perspectives

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The new PFT product (PFT<sub>local</sub>) was generated to reduce the cross-walking component of uncertainty by adding spatial variability to the PFT composition within a LC class. This work moved beyond fine-tuning the cross-walking approach for specific LC classes or regions and, instead, separately quantifies the PFT fractional composition for each 300 m pixel globally. The result is a dataset representing the cover fractions of 14 PFTs at 300 m for each year in the 1992–2020 era, consistent with the CCI MRLC map for the corresponding year. The PFT<sub>local</sub> dataset exhibits intraclass spatial variability in PFT fractional cover at the 300 m pixel level and is complementary to the CCI medium resolution multi-mission LC map series since the derived PFT fractions maintain consistency with the original LC class legend.

The PFT<sub>local</sub> dataset provides a more faithful representation of PFT distributions because it draws on high-resolution peer-reviewed mapping of specific vegetation classes to refine global assumptions about PFT fractions. In many cases, the global CWT presented a reasonable approximation for estimating PFT fractions within many land cover classes as shown by the fractions estimated from the auxiliary products falling close to that suggested by the global CWT.

Note that a recent study by Marie et al. (2022) followed the same objective of refining the global CWT (used to map the ESA land cover classes onto PFTs) but with a different approach. Instead of using the tree cover dataset from Hansen et al. (2013), they valorised a map of above-ground biomass over Africa (Bouvet et al., 2018) to define local CWTs, using the information from AGB to better constrain the partition between tree and short vegetation PFTs, for each LC class. As shown in our study, they found that LC class 10 (rainfed cropland) in the Sahel should contain tree PFTs which correspond to tree crops (Figure A1). Overall, these efforts highlight the benefits of using additional high-resolution products, like tree cover, AGB, etc. when translating land cover into PFT distributions for land surface models. Merging all sources of information into a coherent PFT

790 product remained however a difficult task. This study demonstrated that using the consistent CCI MRLC time series and  
791 maintaining deference to the original LCCS class in the combination rules allowed bringing these auxiliary data into  
792 consistency.

793

794 Changing the PFT distribution in the ORCHIDEE model (PFT<sub>local</sub> vs PFT<sub>global</sub>) induces significant impacts on the simulated  
795 water, energy, and carbon fluxes as well as on the modelled carbon stocks. These differences are coherent with changes in  
796 surface properties (albedo, roughness, type of cover) induced by changes in PFT types (mainly tree vs short vegetation and  
797 bare soil covers). However, it is not possible and beyond the scope of the paper to evaluate globally and quantitatively model  
798 improvements due to changes in PFTs given i) existing model biases that have been partly compensated by previous model  
799 parameters tuning with the old PFT maps (PFT<sub>global</sub>) and ii) the large uncertainty still associated with data-driven products at  
800 global scale. We initiated an evaluation with AGB; however, the new simulated biomass (induced by PFT changes) is not  
801 always closer to the satellite ESA<sub>CCI</sub> AGB product. In addition, the fact that ORCHIDEE does not differentiate shrubs and  
802 trees limits such biomass evaluation. Additional simulations/tests with more models and a more comprehensive evaluation  
803 with a larger ensemble of variables and data-driven products are therefore needed to quantify the benefits of the PFT<sub>local</sub> maps.  
804

805 Using the PFT<sub>local</sub> as a benchmark improves the evaluation of every major surface type in the JULES-TRIFFID dynamic  
806 vegetation model, particularly shrub cover. This allows a new perspective on priorities for dynamic vegetation model  
807 development.

808

809 The user tool described in Poulter et al. (2015) has been reformatted such that it can be applied directly to the new PFT map  
810 series to create user-specific ready-to-use inputs for LSMs. The user tool creates model-ready inputs at user specification,  
811 which greatly expands the ease of use of the product both within and beyond the modelling community. The PFT dataset is  
812 designed primarily for use in land surface and Earth system models. For the vegetated classes except for sparse vegetation, the  
813 entire non-water fraction of the 300 m pixel is assigned as vegetation, allowing the actual presence of grass vegetation to be  
814 determined by the land surface models. For use outside of modelling, this could introduce some bias (e.g., underestimating  
815 bare soil cover in some pixels and overestimating grass cover), but the fractions of the high biomass veg types (trees and  
816 shrubs) can be used for non-modelling use cases.

817

818 Production of the PFT<sub>local</sub> product is dependent on the availability and quality of the auxiliary datasets at a spatial resolution  
819 higher than 300 m; this is especially critical for mapping the shrubland class. With the combined information of the  
820 phenological attribute of the ESA CCI LC classes, the percentage of tree canopy cover from Hansen et al. (2013), and the  
821 GEDI product (Potapov et al., 2021), it was possible, for the first time, to map four shrubland classes at the global scale:

822 broadleaved evergreen, broadleaved deciduous, needleleaved evergreen, and needleleaved deciduous. Yet, further research is  
823 still needed to improve the estimation of shrubland class pixels north of 52°N (i.e., outside of the extent of the GEDI product).

824 The urban PFT would benefit from separating impervious surfaces from buildings. Finally, the current workflow should further  
825 be tested against annual ancillary product updates as operational production of very-high-resolution datasets becomes the  
826 norm.

827

828 The proposed methodology is automated so that the PFT dataset will be updated annually as new annual land cover maps are  
829 produced in C3S. [Because the PFT product is harmonized with the CCI MRLC map series, future improvements in the land  
830 cover product will flow through to the PFT product.](#)

831 Tables

832 **Table 1.** For each of the 22 global and 15 regional land cover classes of the CCI MRLC map series, listed are the set of  
 833 contributing PFTs with the possibility for non-zero fractional cover. The regional land cover classes with codes ending with  
 834 1, 2, or 3, are thematically richer than the global classes but can be found only at the regional scale depending on training data  
 835 availability.

Class code	Class description	PFTs for which non-zero fractions are permitted in the PFT product
10	Rainfed cropland	Trees, water, managed grass
11	Rainfed cropland – herbaceous cover	Trees, water, managed grass
12	Rainfed cropland – tree or shrub cover	Trees, water, managed grass
20	Irrigated or post-flooding cropland	Trees, water, managed grass
30	Mosaic: > 50 % cropland/ < 50 % natural tree, shrub, herbaceous cover	Trees, water, managed grass
40	Mosaic: > 50 % natural tree, shrub, herbaceous cover/ < 50 % cropland	Trees, water, natural grass, managed grass
50	> 15 % broadleaved evergreen tree cover	Broadleaved evergreen trees, water, natural grass
60	> 15 % broadleaved deciduous tree cover	Broadleaved deciduous trees, water, natural grass
61	> 40 % broadleaved deciduous tree cover	Broadleaved deciduous trees, water, natural grass
62	15–40 % broadleaved deciduous tree cover	Broadleaved deciduous trees, water, natural grass
70	> 15 % needleleaved evergreen tree cover	Needleleaved evergreen trees, water, natural grass
71	> 40 % needleleaved evergreen tree cover	Needleleaved evergreen trees, water, natural grass
72	15–40 % needleleaved evergreen tree cover	Needleleaved evergreen trees, water, natural grass
80	> 15 % needleleaved deciduous tree cover	Needleleaved deciduous trees, water, natural grass
81	> 40 % needleleaved deciduous tree cover	Needleleaved deciduous trees, water, natural grass
82	15–40 % needleleaved deciduous tree cover	Needleleaved deciduous trees, water, natural grass
90	Mixed leaf type (broadleaved and needleleaved) tree cover	Trees, water, natural grass
100	Mosaic: > 50 % tree and shrub cover / < 50 % herbaceous cover	Trees, water, natural grass
110	Mosaic: > 50 % herbaceous cover / < 50 % tree and shrub cover	Trees, water, natural grass
120	Shrubland	Trees, water, natural grass, shrubs
121	Evergreen shrubland	Trees, water, natural grass, shrubs
122	Deciduous shrubland	Trees, water, natural grass, shrubs
130	Grassland	Trees, water, natural grass
140	Lichens and mosses	Water, natural grass
150	Sparse vegetation: < 15 % tree, shrub, herbaceous cover	Trees, water, natural grass, bare soil
151	Sparse vegetation: < 15 % tree cover	Trees, water, natural grass, bare soil
152	Sparse vegetation: < 15 % shrub cover	Trees, water, natural grass, bare soil
153	Sparse vegetation: < 15 % herbaceous cover	Trees, water, natural grass, bare soil
160	Flooded tree cover – fresh or brackish water	Trees, water, natural grass
170	Flooded tree cover – saline water	Trees, water, natural grass
180	Flooded shrub or herbaceous cover – fresh, saline, or brackish water	Trees, water, natural grass, shrubs
190	Urban areas	Trees, water, natural grass, built
200	Bare areas ( <a href="#">total vegetative cover &lt; 4%</a> )	Trees, water, bare soil
201	Consolidated bare areas	Trees, water, bare soil
202	Unconsolidated bare areas	Trees, water, bare soil
210	Water body	Trees, water, natural grass
220	Permanent snow and ice	Snow and ice

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839 Table 2. Summary of method applied to derive pixel-level functional type composition by land cover class. See Table 1 for more  
 840 comprehensive class descriptions. PEA16 = surface water data product of Pekel et al. 2016. HEA13 = tree canopy cover product  
 841 of Hansen et al. 2013. PEA13 = Global Human Settlement Layer from Pesaresi et al. 2013. PEA21 = tree canopy height dataset of  
 842 Potapov et al. 2021. For the calculation of tree percentage: “Method 1” indicates that, in cases of disagreement in tree cover  
 843 percentage between the ancillary dataset and the class legend, a window of up to 0.5° x 0.5° is used to estimate the final tree cover  
 844 percentage based on neighborhood pixels of the same class; and “Method 3” indicates that an upper limit of 14 % tree cover is  
 845 applied based on the class definition. See the text for additional details about the processing and use of the ancillary data products,  
 846 the method used to align the derived PFT percentages with the class legend, the scaling method applied in cases where the sum of  
 847 PFT percentages from the ancillary data exceeds 100% in a pixel, and the method used to derive the PFT fractional composition  
 848 for pixels falling outside of the extents of the ancillary datasets.

849

Class description	Inland water %	Tree %	Tree type	Grass %	Grass type	Shrub %	Bare soil %	Built %	Snow/ice %
Rainfed cropland (10-12)	PEA16	HEA13	Neighborhood majority	100% - water % - tree %	Managed	0%	0%	0%	0%
Irrigated or post-flooding cropland (20)									
Mosaic of cropland and natural vegetation (30)									
Mosaic of cropland and natural vegetation (40)					Managed & natural mixture				
Mosaic of tree/shrub and herbaceous (100 & 110)					Natural				
Grassland (130)									
Broadleaved evergreen tree cover (50)					HEA13, Method 1				
Broadleaved deciduous tree cover (60-62)									
Needleleaved									

<u>evergreen tree cover (70-72)</u>									
<u>Needleleaved deciduous tree cover (80-82)</u>									
<u>Mixed leaf type tree cover (90)</u>			<u>Neighborhood majority</u>						
<u>Flooded tree cover (160-170)</u>									
<u>Lichens and mosses (140)</u>		0%	N/A	100% - water %					
<u>Sparse vegetation (150-153)</u>		<u>HEA13, Method 2</u>	<u>Neighborhood majority</u>	<u>Tree % + grass % must be in range 4-14%</u>			<u>100% - water % - tree % - grass %</u>		
<u>Shrubland (120-122)</u>		<u>PEA21</u>	<u>Biogeographical approach</u>	<u>100% - water % - tree % - shrub %</u>		<u>PEA21</u>	0%		
<u>Flooded shrub or herbaceous cover (180)</u>									
<u>Urban areas (190)</u>		<u>HEA13</u>	<u>Neighborhood majority</u>	<u>100% - water % - tree % - built %</u>		0%		<u>PEA16</u>	
<u>Bare areas (200-202)</u>				0%	<u>N/A</u>		<u>100% - water % - tree %</u>	0%	
<u>Inland water bodies (210)</u>				<u>100% - water % - tree %</u>	<u>Natural</u>		0%		
<u>Ocean (210)</u>	100%	0%	N/A	0%	<u>N/A</u>				
<u>Permanent snow and ice (220)</u>	0%								100%

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Table 3. Global areal cover (1000 km<sup>2</sup>) of each PFT by land cover class for 2010 in the PFT<sub>local</sub> product.

Supprimé: 2

Class	Bare soil	Built	Managed grasses	Natural grasses	Snow/ice	Water <sup>1</sup>	BD trees	BE trees	ND trees	NE trees	BD shrubs	BE shrubs	ND shrubs	NE shrubs
10	0	0	7729.7	0	0	2.3	175.1	199.5	0.7	36	0	0	0	0
11	0	0	6774.9	0	0	1.5	110.4	112.9	4.1	19.7	0	0	0	0
12	0	0	155.1	0	0	0.1	4.6	29.8	0	0.6	0	0	0	0
20	0	0	2415.5	0	0	1.2	19	7.4	0.2	1.8	0	0	0	0
30	0	0	2803	0	0	1.1	123.2	467.2	0.8	39	0	0	0	0
40	0	0	1557.4	1247.9	0	1.2	195.1	493.2	4.7	65.1	0	0	0	0
50	0	0	0	1262.6	0	4.3	0	11476.1	0	0	0	0	0	0
60	0	0	0	2237.9	0	1.7	3599.6	0	0	0	0	0	0	0
61	0	0	0	337	0	0.2	538.6	0	0	0	0	0	0	0
62	0	0	0	2673.9	0	0.2	1000	0	0	0	0	0	0	0
70	0	0	0	2411.4	0	21.9	0	0	0	4060.4	0	0	0	0
71	0	0	0	710.7	0	18	0	0	0	1720.3	0	0	0	0
72	0	0	0	0.7	0	0	0	0	0	0.3	0	0	0	0
80	0	0	0	2977.6	0	4	0.1	0	2143.5	0	0	0	0	0
81	0	0	0	0.7	0	0	0	0	4.1	0	0	0	0	0
82	0	0	0	0	0	0	0	0	0	0	0	0	0	0
90	0	0	0	441	0	1.6	674.1	63.7	77.8	918.5	0	0	0	0
100	0	0	0	2443.7	0	2.6	233.8	329.3	43.4	354	0	0	0	0
110	0	0	0	977.3	0	0.5	66.1	26.1	3.5	11.1	0	0	0	0
120	0	0	0	7246.3	0	4	176.3	125.5	3.9	80.7	1746.8	632.9	164.7	724.5
121	0	0	0	142.5	0	0	1.6	26.3	5.3	0.6	2.7	31.2	26.8	1.5
122	0	0	0	1294.6	0	1.1	41	68.5	10.4	4.2	211	154.6	293.8	86.8
130	0	0	0	13338.8	0	5.8	144	159	8.5	47.4	0	0	0	0
140	0	0	0	1476.9	0	14.2	0	0	0	0	0	0	0	0
150	7254.8	0	0	1157.7	0	20.4	0.5	1.3	0.6	12	0	0	0	0
151	0	0	0	0	0	0	0	0	0	0	0	0	0	0
152	63	0	0	8.9	0	0.2	0.1	0	0.2	1.1	0	0	0	0
153	323.8	0	0	52.7	0	0.1	0	0	0	0	0	0	0	0
160	0	0	0	200.4	0	2.3	71.7	442.6	27.4	151.4	0	0	0	0
170	0	0	0	86.1	0	5	12.3	110	4.6	0.8	0	0	0	0
180	0	0	0	1231.9	0	11.7	12.7	15.1	5.5	51.8	122.3	56.6	47.7	362.7
190	0	476.7	0	162.5	0	1.9	2.5	1.3	0.2	2.1	0	0	0	0
200	19156.9	0	0	0	0	12.2	0.4	0	0.2	0.5	0	0	0	0
201	108.8	0	0	0	0	0.3	0	0	0	0	0	0	0	0
202	97.2	0	0	0	0	0.1	0	0	0	0	0	0	0	0
210	0	0	0	182.6	0	365991.8	7.2	8.9	3.1	31.3	0	0	0	0
220	0	0	0	0	14694.2	0	0	0	0	0	0	0	0	0

Table 4. Percentage PFT composition by class for 2010 calculated as an area-weighted mean over all pixels of the class globally.

Supprimé: 3

Class code	Bare soil	Built	Managed grasses	Natural grasses	Snow/ice	Water <sup>2</sup>	BD trees	BE trees	ND trees	NE trees	BD shrubs	BE shrubs	ND shrubs	NE shrubs
10	0.0	0.0	94.9	0.0	0.0	0.0	2.1	2.5	0.0	0.4	0.0	0.0	0.0	0.0
11	0.0	0.0	96.5	0.0	0.0	0.0	1.6	1.6	0.1	0.3	0.0	0.0	0.0	0.0
12	0.0	0.0	81.6	0.0	0.0	0.0	2.4	15.7	0.0	0.3	0.0	0.0	0.0	0.0
20	0.0	0.0	98.8	0.0	0.0	0.1	0.8	0.3	0.0	0.1	0.0	0.0	0.0	0.0
30	0.0	0.0	81.6	0.0	0.0	0.0	3.6	13.6	0.0	1.1	0.0	0.0	0.0	0.0
40	0.0	0.0	43.7	35.0	0.0	0.0	5.5	13.8	0.1	1.8	0.0	0.0	0.0	0.0
50	0.0	0.0	0.0	9.9	0.0	0.0	0.0	90.1	0.0	0.0	0.0	0.0	0.0	0.0
60	0.0	0.0	0.0	38.3	0.0	0.0	61.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0
61	0.0	0.0	0.0	38.5	0.0	0.0	61.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0
62	0.0	0.0	0.0	72.8	0.0	0.0	27.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0
70	0.0	0.0	0.0	37.1	0.0	0.3	0.0	0.0	0.0	62.5	0.0	0.0	0.0	0.0
71	0.0	0.0	0.0	29.0	0.0	0.7	0.0	0.0	0.0	70.2	0.0	0.0	0.0	0.0
72	0.0	0.0	0.0	72.6	0.0	1.3	0.0	0.0	0.0	26.1	0.0	0.0	0.0	0.0
80	0.0	0.0	0.0	58.1	0.0	0.1	0.0	0.0	41.8	0.0	0.0	0.0	0.0	0.0
81	0.0	0.0	0.0	15.4	0.0	0.5	0.0	0.0	84.1	0.0	0.0	0.0	0.0	0.0
82	0.0	0.0	0.0	82.9	0.0	0.0	0.0	0.0	17.1	0.0	0.0	0.0	0.0	0.0
90	0.0	0.0	0.0	20.3	0.0	0.1	31.0	2.9	3.6	42.2	0.0	0.0	0.0	0.0
100	0.0	0.0	0.0	71.7	0.0	0.1	6.9	9.7	1.3	10.4	0.0	0.0	0.0	0.0
110	0.0	0.0	0.0	90.1	0.0	0.0	6.1	2.4	0.3	1.0	0.0	0.0	0.0	0.0
120	0.0	0.0	0.0	66.4	0.0	0.0	1.6	1.2	0.0	0.7	16.0	5.8	1.5	6.6
121	0.0	0.0	0.0	59.7	0.0	0.0	0.7	11.0	2.2	0.3	1.1	13.1	11.3	0.6
122	0.0	0.0	0.0	59.8	0.0	0.1	1.9	3.2	0.5	0.2	9.7	7.1	13.6	4.0
130	0.0	0.0	0.0	97.3	0.0	0.0	1.1	1.2	0.1	0.3	0.0	0.0	0.0	0.0
140	0.0	0.0	0.0	99.1	0.0	0.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
150	85.9	0.0	0.0	13.7	0.0	0.2	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.0

<sup>1</sup> For the water body class (code 210), the water PFT area includes 2,877,500 km<sup>2</sup> of inland water. For all other classes, all water PFT area is inland water.

<sup>2</sup> For the water body class (code 210), the water PFT percentage includes inland water. The area-weighted mean percentage composition of inland water PFT in water body class pixels is 0.8 %. For all other classes, all water is inland water.

151	86.0	0.0	0.0	14.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
152	85.8	0.0	0.0	12.1	0.0	0.2	0.1	0.0	0.3	1.5	0.0	0.0	0	0.0
153	86.0	0.0	0.0	14.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0.0
160	0.0	0.0	0.0	22.4	0.0	0.3	8.0	49.4	3.1	16.9	0.0	0.0	0	0.0
170	0.0	0.0	0.0	39.3	0.0	2.3	5.6	50.3	2.1	0.4	0.0	0.0	0	0.0
180	0.0	0.0	0.0	64.2	0.0	0.6	0.7	0.8	0.3	2.7	6.4	3.0	2.5	18.9
190	0.0	73.7	0.0	25.1	0.0	0.3	0.4	0.2	0.0	0.3	0.0	0.0	0	0.0
200	99.9	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0	0.0
201	99.7	0.0	0.0	0.0	0.0	0.2	0.0	0.0	0.0	0.0	0.0	0.0	0	0.0
202	99.9	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0	0.0
210	0.0	0.0	0.0	0.0	0.0	99.9	0.0	0.0	0.0	0.0	0.0	0.0		0.0
220	0.0	0.0	0.0	0.0	100.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0.0

860 Data availability

861 The CCI PFT dataset 1992-2020 is freely, permanently, and publicly available on a web viewer:  
862 <http://maps.elie.ucl.ac.be/CCI/viewer/download.php> (doi: 10.5285/26a0f46c95ee4c29b5c650b129aab788, Harper et al.,  
863 2022).

864 Author contribution

865 PD conceived the idea and supervised the research effort with contribution from PP. CL coordinated the project. KH designed  
866 the methodology with contribution from CL. KH developed the code to generate the CCI PFT dataset 2010. AH, PP, CO, VB,  
867 RSM, and SIB designed the modelling experiments and analysed the results. GK, MB, RS, and CB designed and developed  
868 the user tool. GK and RS managed and produced the CCI PFT dataset 2010 metadata. KH wrote the original draft with  
869 contributions from CL, AH, SIB, PP, and CO. All co-authors reviewed the manuscript.

870 Competing interests

871 "The authors declare that they have no conflict of interest."

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878 dataset 2010.

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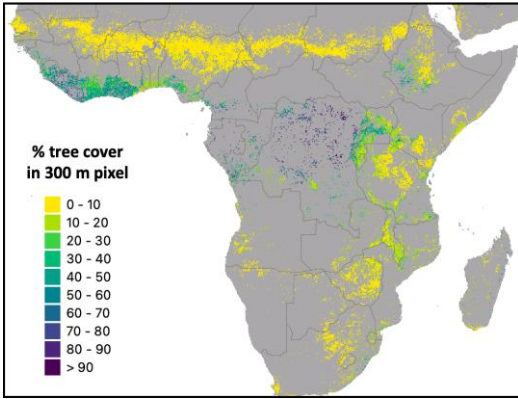
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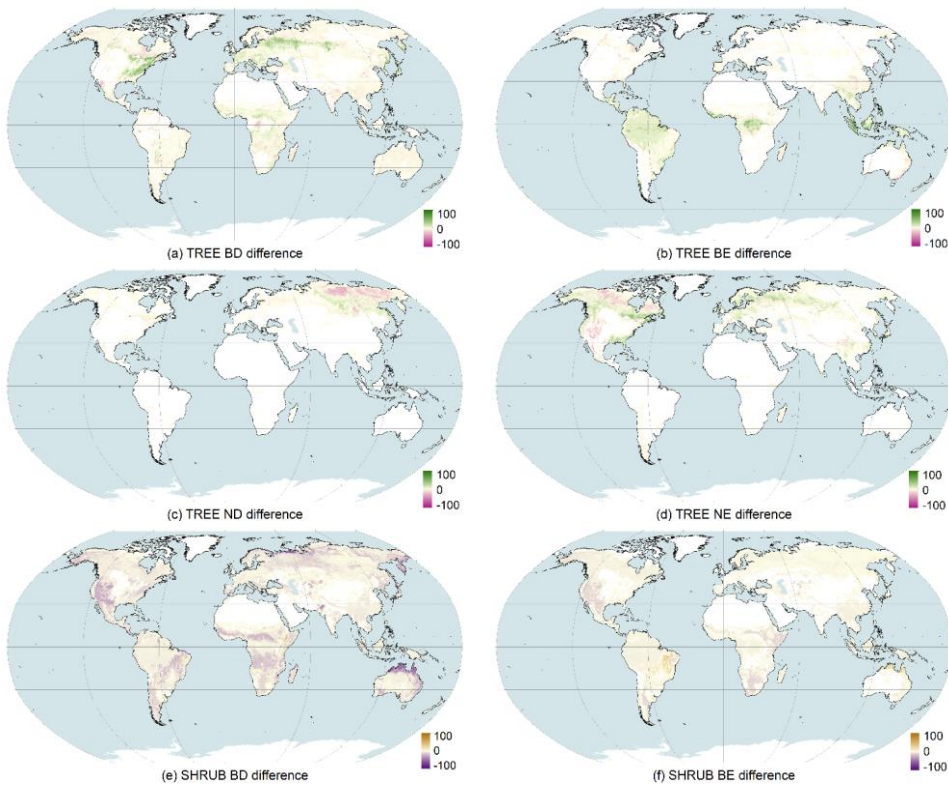
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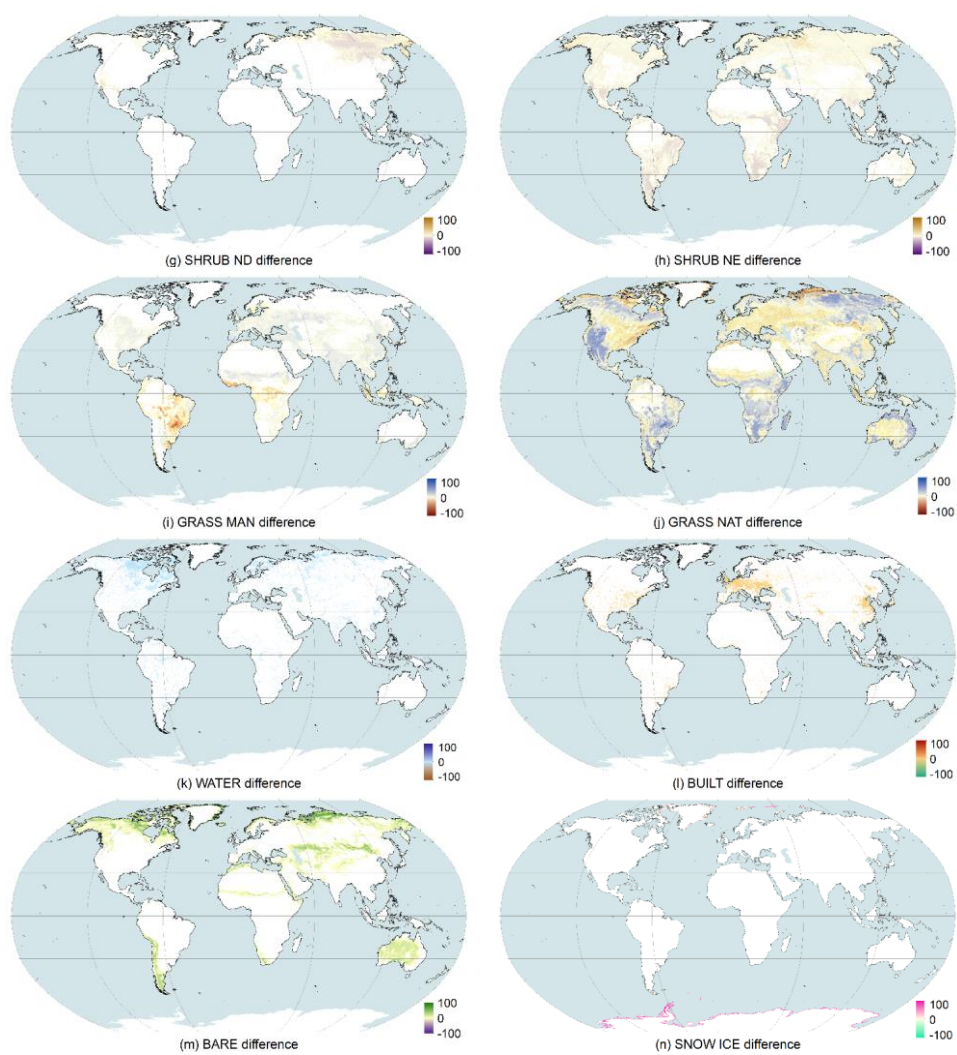


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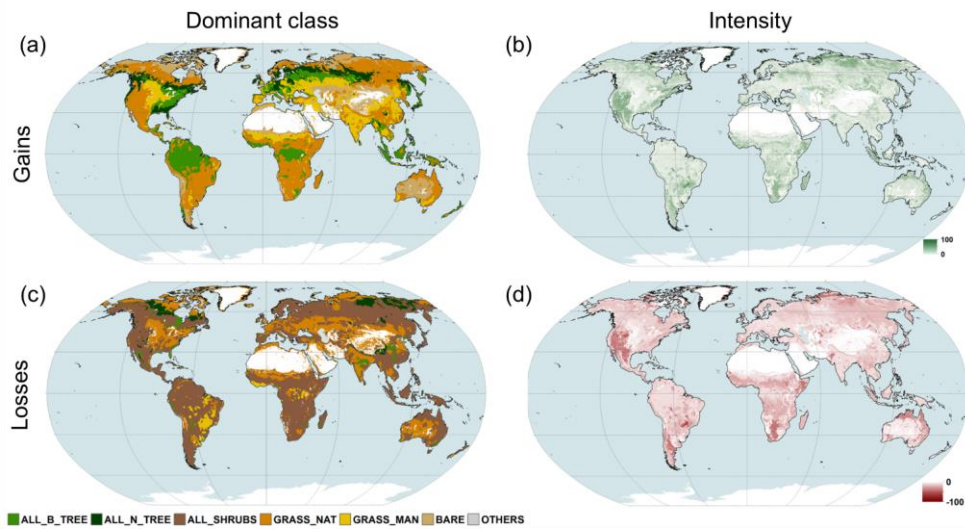
1133 **Figure A1.** Distribution of tree cover percentage in rainfed cropland class pixels in Africa. Gray pixels belong to other classes.

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1135 **Figure A2.** Absolute differences (percentage of pixel) between the 2010 PFT<sub>local</sub> dataset and corresponding PFT<sub>global</sub> maps  
 1136 (i.e., applying the global cross-walking scheme) for the 14 PFT types. The spatial resolution is 0.25 × 0.25 degrees.



**Figure A3.** PFT with the largest increase (a) and largest loss (c) in coverage within 0.25° x 0.25° pixels in the PFT<sub>local</sub> dataset compared to the PFT<sub>global</sub> and corresponding fractions gained (b) and lost (d). White areas remained stable in both PFT datasets.

**Table A1.** Global areal cover (1000 km<sup>2</sup>) of each PFT by land cover class for 2010 based on the most recent version of the global CWT applied to v2.0.8 of the CCI MRLC map.

Class	Bare soil	Built	Grass Man	Grass NAT	Snowic e	Water <sup>3</sup>	BD trees	BE trees	ND trees	NE trees	BD shrubs	BE shrubs	ND shrubs	NE shrubs
10	0	0	7328.9	814.3	0	0	0	0	0	0	0	0	0	0
11	0	0	6321.2	702.4	0	0	0	0	0	0	0	0	0	0
12	0	0	57.1	0	0	0	0	0	0	0	133.2	0	0	0
20	0	0	2200.6	244.5	0	0	0	0	0	0	0	0	0	0
30	0	0	2060.5	515.1	0	0	171.7	171.7	0	0	171.7	171.7	0	171.7
40	0	0	712.9	1069.4	0	0	267.4	267.4	0	0	534.7	356.5	0	356.5
50	0	0	0	0	0	0	0	11468.7	0	0	637.1	637.1	0	0
60	0	0	0	1751.8	0	0	2919.6	0	0	0	1167.9	0	0	0
61	0	0	0	131.4	0	0	613.1	0	0	0	131.4	0	0	0
62	0	0	0	1653.3	0	0	1102.2	0	0	0	918.5	0	0	0
70	0	0	0	974	0	0	0	0	0	4545.6	324.7	324.7	0	324.7
71	0	0	0	367.4	0	0	0	0	0	1714.4	122.5	122.5	0	122.5
72	0	0	0	0.5	0	0	0	0	0	0.3	0	0	0	0.3
80	0	0	0	1537.6	0	0	0	0	2562.6	0	128.1	128.1	640.7	128.1
81	0	0	0	0.7	0	0	0	0	3.4	0	0.2	0.2	0	0.2
82	0	0	0	0	0	0	0	0	0	0	0	0	0	0
90	0	0	0	544.2	0	0	653	0	217.7	435.3	108.8	108.8	0	108.8
100	0	0	0	1362.7	0	0	681.4	340.7	170.3	170.3	340.7	170.3	0	170.3
110	0	0	0	650.8	0	0	108.5	54.2	0	54.2	108.5	54.2	0	54.2
120	2181.1	0	0	2181.1	0	0	0	0	0	0	2181.1	2181.1	0	2181.1
121	47.7	0	0	47.7	0	0	0	0	0	0	0	71.6	0	71.6
122	433.2	0	0	433.2	0	0	0	0	0	0	1299.7	0	0	0
130	0	0	0	13703.6	0	0	0	0	0	0	0	0	0	0
140	0	0	0	1491	0	0	0	0	0	0	0	0	0	0
150	7180.2	0	0	422.4	0	0	253.4	84.5	0	84.5	253.4	84.5	0	84.5
151	0	0	0	0	0	0	0	0	0	0	0	0	0	0
152	62.4	0	0	3.7	0	0	0	0	0	0	4.4	1.5	0	1.5
153	320.1	0	0	56.5	0	0	0	0	0	0	0	0	0	0
160	0	0	0	224	0	0	335.9	335.9	0	0	0	0	0	0
170	0	0	0	0	0	0	0	164.1	0	0	0	54.7	0	0
180	0	0	0	1150.8	0	0	0	0	0	0	479.5	0	0	287.7
190	0	647.1	0	0	0	0	0	0	0	0	0	0	0	0
200	19170.2	0	0	0	0	0	0	0	0	0	0	0	0	0
201	109.1	0	0	0	0	0	0	0	0	0	0	0	0	0
202	97.3	0	0	0	0	0	0	0	0	0	0	0	0	0
210	0	0	0	0	0	36622.5	0	0	0	0	0	0	0	0
220	0	0	0	0	14694.2	0	0	0	0	0	0	0	0	0

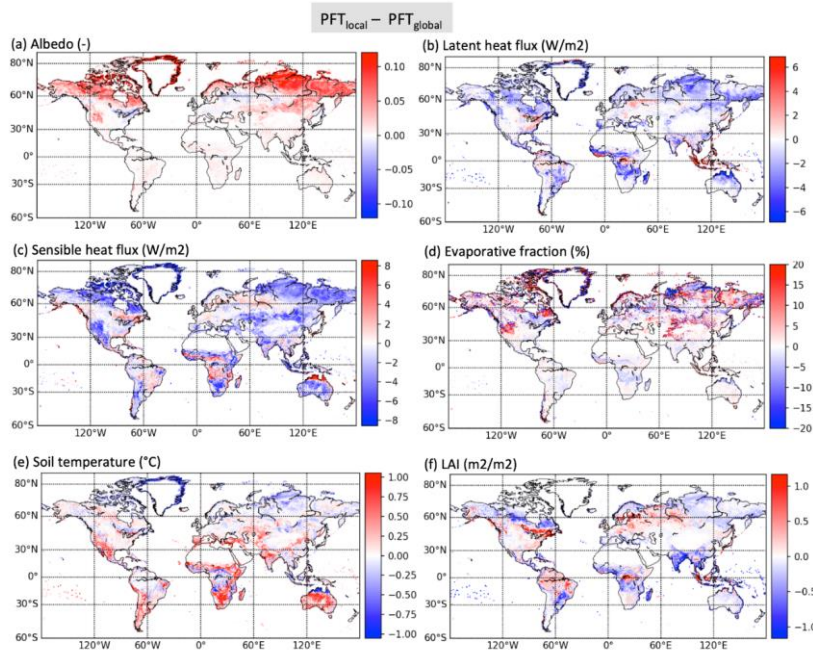
<sup>3</sup> For the water body class (code 210), the water PFT area includes 3,110,600 km<sup>2</sup> of inland water.

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**Table A2.** Percentage PFT composition by class based on the most recent update to the global cross-walking table.

Class code	Bare soil	Built	Managed grasses	Natural grasses	Snow/ice	Water	BD trees	BE trees	ND trees	NE trees	BD shrubs	BE shrubs	ND shrubs	NE shrubs
10	0	0	90	10	0	0	0	0	0	0	0	0	0	0
11	0	0	90	10	0	0	0	0	0	0	0	0	0	0
12	0	0	30	0	0	0	0	0	0	0	70	0	0	0
20	0	0	90	10	0	0	0	0	0	0	0	0	0	0
30	0	0	60	15	0	0	5	5	0	0	5	5	0	5
40	0	0	20	30	0	0	7.5	7.5	0	0	15	10	0	10
50	0	0	0	0	0	0	0	90	0	0	5	5	0	0
60	0	0	0	30	0	0	50	0	0	0	20	0	0	0
61	0	0	0	15	0	0	70	0	0	0	15	0	0	0
62	0	0	0	45	0	0	30	0	0	0	25	0	0	0
70	0	0	0	15	0	0	0	0	0	70	5	5	0	5
71	0	0	0	15	0	0	0	0	0	70	5	5	0	5
72	0	0	0	45	0	0	0	0	0	30	0	0	0	25
80	0	0	0	30	0	0	0	0	0	50	2.5	2.5	12.5	2.5
81	0	0	0	15	0	0	0	0	0	70	5	5	0	5
82	0	0	0	45	0	0	0	0	0	30	0	0	25	0
90	0	0	0	25	0	0	30	0	0	10	20	5	5	0
100	0	0	0	40	0	0	20	10	5	5	10	5	0	5
110	0	0	0	60	0	0	20	10	5	5	10	5	0	5
120	20	0	0	20	0	0	0	0	0	0	20	20	0	20
121	20	0	0	20	0	0	0	0	0	0	0	30	0	30
122	20	0	0	20	0	0	0	0	0	0	60	0	0	0
130	0	0	0	100	0	0	0	0	0	0	0	0	0	0
140	0	0	0	100	0	0	0	0	0	0	0	0	0	0
150	85	0	0	5	0	0	3	1	0	1	3	1	0	1
151	85	0	0	5	0	0	2	0	2	6	0	0	0	0
152	85	0	0	5	0	0	0	0	0	0	6	2	0	2
153	85	0	0	15	0	0	0	0	0	0	0	0	0	0
160	0	0	0	25	0	0	37.5	37.5	0	0	0	0	0	0
170	0	0	0	0	0	0	0	75	0	0	0	25	0	0
180	0	0	0	60	0	0	0	0	0	0	25	0	0	15
190	0	100	0	0	0	0	0	0	0	0	0	0	0	0
200	100	0	0	0	0	0	0	0	0	0	0	0	0	0
201	100	0	0	0	0	0	0	0	0	0	0	0	0	0
202	100	0	0	0	0	0	0	0	0	0	0	0	0	0
210	0	0	0	0	0	100	0	0	0	0	0	0	0	0
220	0	0	0	0	100	0	0	0	0	0	0	0	0	0

- Supprimé: 9
- Supprimé: 9
- Supprimé: 3
- Supprimé: 9
- Supprimé: 6
- Supprimé: 2
- Supprimé: 0
- Supprimé: 0
- Supprimé: 0
- Supprimé: 7
- Supprimé: 7
- Supprimé: 3
- Supprimé: 2
- Supprimé: 5
- Supprimé: 1
- Supprimé: 5
- Supprimé: 5
- Supprimé: 3
- Supprimé: 0
- Supprimé: 0
- Supprimé: 25
- Supprimé: 0



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 1178 **Figure B1.** Differences in albedo (a), latent heat flux (b), sensible heat flux (c), evaporative fraction (d: Latent heat flux / (Latent + Sensible  
 1179 heat fluxes), soil surface temperature (e) and Leaf Area Index (LAI, f) simulated by the ORCHIDEE model between the new PFT and the  
 1180 old PFT distributions, for the annual mean of year 2010 (same as figure 4 but for the annual mean).

1181 Appendix C: the original default land cover to plant functional type cross-walking table

1182 **Table C1.** Default land cover to plant functional type cross-walking table provided by the conversion tool with the level 1 UNLCCS  
 1183 classes and level 2 UNLCCS sub-classes in italics. The units are % coverage of each PFT per UNLCCS class (from Poulter et al., 2015).

Code	UN LCCS Land Cover Class Description	Trees				Shrubs				Grasses		Non-vegetated		
		Br Ev	Br De	Ne Ev	Ne De	Br Ev	Br De	Ne Ev	Ne De	Nat Gr	Crops	Bare Soil	Water	Snow/Ice
10	Cropland, rainfed										100			
11	<i>Herbaceous cover</i>										100			
12	<i>Tree or shrub cover</i>						50				50			
20	Cropland, irrigated or post-flooding										100			
30	Mosaic cropland (>50%) / natural vegetation (tree, shrub, herbaceous cover) (<50%)	5	5			5	5	5		15	60			
40	Mosaic natural vegetation (tree, shrub, herbaceous cover) (>50%) / cropland (<50%)	5	5			7.5	10	7.5		25	40			
50	Tree cover, broadleaved, evergreen, closed to open (>15%)	90				5	5							
60	Tree cover, broadleaved, deciduous, closed to open (>15%)		70					15		15				
61	<i>Tree cover, broadleaved, deciduous, closed (&gt;40%)</i>		70					15		15				

Code	UN LCCS Land Cover Class Description	Trees				Shrubs				Grasses		Non-vegetated		
		Br Ev	Br De	Ne Ev	Ne De	Br Ev	Br De	Ne Ev	Ne De	Nat Gr	Crops	Bare Soil	Water	Snow/Ice
62	Tree cover, broadleaved, deciduous, open (15-40 %)		30				25			35			10	
70	Tree cover, needleleaved, evergreen, closed to open (>15 %)			70		5	5	5		15				
71	Tree cover, needleleaved, evergreen, closed (>40 %)			70		5	5	5		15				
72	Tree cover, needleleaved, evergreen, open (15-40 %)			30			5	5		30			30	
80	Tree cover, needleleaved, deciduous, closed to open (>15 %)				70	5	5	5	0	15				
81	Tree cover, needleleaved, deciduous, closed (>40 %)				70	5	5	5		15				
82	Tree cover, needleleaved, deciduous, open (15-40 %)				30		5	5	0	30			30	
90	Tree cover, mixed leaf type (broadleaved and needleleaved)		30	20	10	5	5	5		15			10	
100	Mosaic tree and shrub (>50 %) / herbaceous cover (<50 %)	10	20	5	5	5	10	5		40				
110	Mosaic herbaceous cover (>50 %) / tree and shrub (<50 %)	5	10	5		5	10	5		60				
120	Shrubland					20	20	20		20			20	
121	Shrubland evergreen					30		30		20			20	
122	Shrubland deciduous						60			20			20	
130	Grassland									60			40	
140	Lichens and mosses									60			40	
150	Sparse vegetation (tree, shrub, herbaceous cover) (<15 %)	1	3	1		1	3	1		5			85	
151	Sparse tree (<15 %)		2	6	2					5			85	
152	Sparse shrub (<15 %)					2	6	2		5			85	
153	Sparse herbaceous cover (<15 %)									15			85	
160	Tree cover, flooded, fresh or brakish water	30	30							20			20	
170	Tree cover, flooded, saline water	60				20							20	
180	Shrub or herbaceous cover, flooded, fresh/saline/brakish water		5	10			10	5		40			30	
190	Urban areas		2.5	2.5						15			75	5
200	Bare areas												100	
201	Consolidated bare areas												100	
202	Unconsolidated bare areas												100	
210	Water bodies												100	
220	Permanent snow and ice													100