A new inventory of High Mountain Asia surging glaciers derived from multiple elevation datasets since the 1970s

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- 9 Abstract. Glacier surging is an unusual instability of ice flow and complete inventories of surging glaciers are important for 10 regional glacier mass balance studies and glacier dynamic studies. Glacier surge eventssurges in High Mountain Asia (HMA) arehave been widely reported. However, the completeness of presentavailable inventories of HMA surging glaciers is 11 12 constrained hampered by the insufficient spatial and temporal coverage of glacier change observations, or by the limitations of the identification methods. In this study, we established a new inventory of HMA surging glaciers based on the glacier surface 13 14 elevation changes and morphological changes over four decades. Four types of Three elevation change datasets based on four elevation sources (the KH-9 DEM, NASA DEM, COP30 DEM, and HMA DEM), three elevation change datasets;) and long-15 16 term Landsat satellite image series were utilized to assess the presence of typical surge features over two time periods (1970s-17 2000 and 2000-2020). A total of 890 surging and 336 probably or possibly surging glaciers were identified in HMA. Compared to the most recent inventory of surging glaciers in HMA, our inventory incorporated 253 previously unidentified surging 18 19 glaciers. The number and area of surging glaciers accounted for ~2.49% (excluding glaciers smaller than 0.4 km²) and ~16.59% 20 of the total glacier number and glacier area in HMA, respectively. Glacier surges were found in 21 of the 22 subregions of 21 HMA (except for the Dzhungarsky Alatau); however, the density of surging glaciers is highly uneven. They are 22 commonGlacier surges occur frequently in the northwestern subregions (e.g., Pamir and Karakoram), but scarceless often in 23 the peripheral subregions. The inventory further confirmed shows that surge activity is more likely to occur for glaciers with a 24 larger area, longer length, and wider elevation range. Among glaciers with similar areas, the surging ones usually have steeper
- 25 slopes than non-surging ones. Besides, we found a potential relationship between surging glacier concentration and regional
- 26 glacier mass balance. The subregions with slightly negative or positive mass balance hold large clusters of surging glaciers,
- 27 while those with severe mass loss hold very few surging glaciers. The inventory and elevation change products of identified
- surging glaciers are available at: https://doi.org/10.5281/zenodo.79612077950838 (Guo et al., 2022). 28
- 29 Key words: High Mountain Asia, Surging glacier inventory, elevation change, KH-9, Digital Elevation Model (DEM)

30 1 Introduction

- 31 A surge is a glacier instability that translates into an abnormally fast flow over a period of a few months to years (Cogley et
- 32 al., 2011). A surging glacier exhibits an active phase (surge) and a quiescent phase that may occur at quasi-periodic intervals
- (Jiskoot, 2011). During a glacier's surging phase, a large volume of ice mass is transported downstream at a higher-than-33
- 34 average speed. In the quiescent phase, a glacier returns to a slow-moving state, and gradually regains mass in upper reaches, the
- 35 reservoir zone.
- 36 Previous studies pointed out that surge-type glaciers only represent ~1% of total glaciers (Jiskoot, 2011; Sevestre and Benn,
- 37 2015). However, glacier surges are far more than an occasional behavior in some specific regions, such as the Alaska-Yukon
- 38 (Clarke et al., 1986), Svalbard (Jiskoot et al., 2000; Farnsworth et al., 2016), and Karakoram-Pamir (Bhambri et al., 2017;
- 39 Goerlich et al., 2020; Guillet et al., 2022). Glaciers in these regions have experienced heterogeneous mass loss in the past

40 decades (Hugonnet et al., 2021). Understanding how glacier surge activities impact the regional mass balance needs further 41 investigation and requires to identify first the glacier surges firstly identification of surging glaciers. In recent years, substantial 42 efforts have been made to understand the mechanisms of glacier surges, including themodels that account for hydrologicalcontrols (Kamb, 1987; Fowler, 1987), thermal-controls (Fowler et al., 2001; Murray et al., 2003), environmental factors 43 44 (Hewitt, 2007; Van Wyk de Vries et al., 2022), friction state (Thøgersen et al., 2019; Beaud et al., 2021), and the unified 45 enthalpy (Sevestre and Benn, 2015; Benn et al., 2019) balance model. These theories require comprehensive validations by 46 conducting detailed analysis on various glacier samples. To support related investigations, the distribution of surging glaciers 47 is needed as a starting point. 48 Generally, a surging glacier could exhibit either exhibits one or several drastic of the following changes, including: extreme 49 speed-upincrease in flow velocity (by a factor of 10~1000 compared to the usual flow of non-surging glaciers), distinct contrasting elevation change pattern; (e.g. thickening in lower reaches and thinning in upper reaches), rapid terminus 50 51 advance, and surface morphological changes (deformed medial or looped medial moraines, erevasses, shearintense crevassing 52 or shearing at the margins, etc.) (Jiskoot, 2011). The identification of surging glaciers can be implemented based on the 53 observation of the abovethese changes, e.g., by studying glacier surface morphology_(Clarke et al., 1986; Paul, 2015; 54 Farnsworth et al., 2016), terminus position (Copland et al., 2011; Vale et al., 2021), glacier motion (Quincey et al., 2011), or 55 morphological-related indicators (e.g., normalized backscatter difference (Leclercq et al., 2021)). A surge-type glacier, 56 which refers to a glacier that possibly surged prior to the observation period, is generally identified by indirect morphological evidence (without observed changes) (Goerlich et al., 2020). The visual interpretation of glacier surface morphological changes 57 58 is less calculative, but fraught with uncertainty is prone to uncertainties due to the snow cover or the absence of supraglacial 59 moraine deformation (Jacquemart and Cicoira, 2022). To recognize sudden changes in glacier motion, a long-term flow 60 velocity time series is needed (Yasuda and Furuya, 2015; Round et al., 2017). Since the quiescent phase may last for decades 61 and the image sources for estimating the flow velocity are limited, the strong changes in glacier motion associated with the 62 surge might be missed. In contrast, the recognition of a specific surface elevation change pattern iscan be a more reliable way to identify surging glaciers, as it will becan remain visible for many years before and after a surge (Bolch et al., 2017; Zhou et 63 al., 2018). Accordingly, its source datasets Besides, digital elevation models (DEMs) can satisfy the required spatio-temporal 64 65 coverage with comparatively fewer datasets. By combining observations of multiplechanges in glacier surface elevation, flow 66 velocity, and morphological features, the identification of surging glaciers could be more efficient and complete (Mukherjee 67 et al., 2017; Goerlich et al., 2020; Guillet et al., 2022). However, When conducting such studies on a large spatial scale or a long temporal scale, one should select the least time-consuming but most effective identification method. In that case, it's ideal 68 69 to take the long termdatasets of elevation change as a criterion and to combine covering many decades can be helpful, 70 especially if this information is combined with other observations if possible such as flow velocity and morphological changes 71 (Guillet et al., 2022). 72 Except for the polar regions, High Mountain Asia (HMA) is the most densely glacierized region in the world, outside the polar regions. Within the HMA range, several subregions are famous well known for the concentration of surging glaciers as well as 73 74 the differing glacier mass balance in contrast to the common thinning in other glacierized regions (Hewitt, 2005; Gardelle et 75 al., 2013; Farinotti et al., 2020). The Inventories of surging or surge-like glaciers have been established for some subregions 76 like the Karakoram (Bhambri et al., 2017), West-Kunlun (Yasuda and Furuya, 2015), Pamir (Goerlich et al., 2020) and Tien 77 Shan (Mukherjee et al., 2017; Zhou et al., 2021). Sevestre and Benn (2015) presented the first global inventory of surging 78 glaciers by reanalyzing historical reports from 1861 to 2013. However, it was compiled from various data sources (publications, 79 reports, etc.) with inconsistent spatio-temporal coverage, which makes it difficult to ensure accuracy and completeness. Vale et al. (2021) identified 137 surging glaciers across HMA by detecting surge-induced terminus change and morphological 80

changes from Landsat images from between 1987 to and 2019. Theis number is obviously underestimated, because it,

however, is smaller than the numbers of previous subregional inventories (Bhambri et al., 2017; Goerlich et al., 2020),

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i-e-because not all glaciers that surge do also advance. Guillet et al. (2022) presented a new surging glacier inventory of HMA 83 84 by identifying multiple glacier change features. In total, 666 surging glaciers were identified across HMA. However, the glacier change observation period is shorter than two decades (2000-2018), and therefore some surging glaciers with relatively long-85 86 repetition cycles may be missed. 87 In this study, we aimed to build a new inventory to include more surging glaciers within HMA based on glacier surface 88 elevation changes observations over four decades. A workflow was developed to obtain the historical glacier surface elevation change from multiple DEMs, including the KH-9 DEM (1970s), NASA DEM (2000), COP30 DEM (2011-2014), HMA DEM 89 90 (2002-late 2016), and previously published elevation change datasets. The preliminary identified surging glaciers were divided 91 into three classes of confidence in surge detection. After that, theis elevation-change based inventory was further completed 92 and corrected by the identification of identifying morphological changes in a long-term time series of optical Landsat images 93 (between 1986- and 2021). Based on the present inventory, the distribution and geometric characteristics of surging glaciers 94 within HMA were statistically analyzed, in order to demonstrate their spatial heterogeneity and geometrical difference from

2 Study region

the normal glaciers.

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- 97 High Mountain Asia consists of the Qinghai-Tibet Plateau and its surrounding regions, including the Karakoram, Pamir,
- 98 Himalayas, and Tien Shan. According to the updated Glacier Area Mapping for Discharge from the Asian Mountains
- 99 (GAMDAM2) glacier inventory, HMA hosts 131819 glaciers, covering a total area of ~99817 km² (Sakai, 2019). The Hindu
- 100 Kush Himalayan Monitoring and Assessment Programme (HiMAP) divided HMA into 22 subregions (Fig-ure 4) (Bolch et al.,
- 101 2019). Different subregions are influenced by different climate regimes, such as the South Asia monsoon, the East Asia
- monsoons, and the westerlies (Bolch et al., 2012; Maussion et al., 2014). Glacier elevation changes across HMA were found
- to be heterogeneous in the past decades (Gardelle et al., 2013; Brun et al., 2017; Shean et al., 2020). In particular, glaciers in
- the Pamir-Karakoram-West Kunlun region had slightly positive or close to zero changes (Hewitt, 2005; Zhou et al., 2017;
- 105 Farinotti et al., 2020), while those in the Eastern Himalayas, Nyainqentanglha and Hengduan Shan mountain ranges
- 106 experienced substantial ice loss (Maurer et al., 2019).

107 3 Datasets

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3.1 Elevation Data

- 109 The NASA DEM is mainly reprocessed from the C-band SRTM (Shuttle Radar Topography Mission) imagesdata. Among the
- current global DEMs, the NASA DEM has the shortest source data acquisition period (~11/02/2000~22/02/2000) (Farr et al.,
- 111 2007). Based on an improved production flow, the NASA DEM has a better performance than the earlier SRTM void-free
- 112 product in most regions (Crippen et al., 2016). The NASA DEM serves as the reference elevation source because its acquisition
- time, 2000, is suitable to divide the elevation change observations into periods before and after the beginning of the 21st century
- with a moderate time span (one or two decades). Each tile of the product has an extent of 1°× 1° and a pixel spacing of 1 arc-
- 115 second (see Fig-ure 1a). In total, 313 tiles were downloaded from NASA LP DAAC
- 116 (https://e4ftl01.cr.usgs.gov/MEASURES/NASADEM HGT.001/).
- 117 Another global DEM we utilizedused is the newly released Copernicus DEM GLO-30-DGED (i.e., COP30 DEM). The COP30
- DEM was edited from the delicate WorldDEM™, which was generated based on the TanDEM-X mission. The global RMSE
- of the COP30 DEM is ±1.68 m (AIRBUS, 2020). Several studies have pointed out that this DEM is the most reliable open-
- access DEM to date (Purinton and Bookhagen, 2021; Guth and Geoffroy, 2021). The source images of the COP30 DEM were
- 121 mostly acquired between 2011 and 2014, and therefore the COP30 DEM is suitable for representing the surface elevation in

- 122 the 2010s. Like the NASA DEM, the COP30 DEM has a pixel spacing of 1 arcsecond. Each tile of the product has an extent
- of 1°×1°. In total, 313 tiles were downloaded through ESA Panda (https://panda.copernicus.eu/web/cds-catalogue/panda).
- 124 The High Mountain Asia 8-meter DEM (HMA DEM) was also utilized in this study. The HMA DEM was generated from
- 125 very high-resolution commercial optical satellite stereo images, including WorldView-1/2/3, GeoEye-1, and Quickbird-2
- 126 (Shean et al., 2020), through an automated photogrammetry workflow that is integrated with multiple error-control processes
- 127 (Shean et al., 2016). This DEM was originally produced for the mass balance estimation of HMA glaciers, so it covered most
- of the glacierized regions in HMA. In total, 3598 DEM tiles were downloaded from the National Snow and Ice Data Center
- 129 (https://nsidc.org/data/HMA DEM8m MOS/versions/1). About 95% of themthe underlying stereo images were acquired
- 130 between 2010 and 2016 (Fig-ure 1b). Due to the data voids and inconsistent acquisition time, the HMA DEM was taken as a
- 131 supplementary elevation source to increase the observations data coverage in the 2010s.
- 132 The Hexagon KeyHole-9 (KH-9) imagery was acquired in the 1970s. It is one of the earliest near-global satellite stereo image
- 133 sources. The KH-9 imagery is characterized by a spatial resolution of 6-9 m, a wide coverage (130 km x 260 km), and a 70%
- 134 forward overlap (Surazakov and Aizen, 2010). Many studies have utilized this imagery to estimate historical glacier surface
- elevation (Holzer et al., 2015; Zhou et al., 2017; Maurer et al., 2019). The KH-9 DEMs used in this study were generated
- 136 through the automated ASPy pipeline (Dehecq et al., 2020). The methodology, validated in the European Alps and Alaska,
- achieved a vertical accuracy of ~5m (68% confidence level). For more details on the method of KH-9 DEM generation,
- 138 pleasewe refer to Dehecq et al. (2020). In total, 238 DEMs with a spatial resolution of 48 m were generated from the KH-9
- images acquired between 1973 and 1980 (see Fig-ure 1c). The KH-9 DEMs were utilized to represent the glacier surface
- 140 elevation in the 1970s.
- 141 Several newly published elevation change datasets were also collected to included ocument the most recent surges that occurred
- between 2000 and 2020 (Brun et al., 2017; Shean et al., 2020; Hugonnet et al., 2021). We mainly used the elevation change
- results presented by Hugonnet et al. (2021) to extend the observation period to 2020, which has a spatial resolution of 100 m
- and a temporal interval of 5 years. Through the inter-comparison of the multiple elevation change results, the gross errors or
- false signals in the elevation change patterns from either our study or previously published results could be easily detected and
- 146 removed.

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3.2 Optical Satellite Images

- 148 In order To assist in the identification of surging glaciers, we also identified analyzed morphological changes associated with
- 149 surges in multi-temporal optical satellite images. We mainly relied on the 1986-2021 Landsat imagery to capture
- morphological changes. We acknowledge that due to the 30 m spatial resolution, not all details of a changed glacier surfaces
- 151 are visible. We downloaded from the USGS (https://earthexplorer.usgs.gov) the false-color composited LandsatLook images
- with 30m30 m resolution (geo-referenced) that have good brightness contrast over snow/ice areas from the USGS (). The.
- 153 Only images were pre-selected to satisfy the requirement of with less than 10% cloud cover (<10%). were selected. In total,
- 154 7843 LandsatLook images infrom 148 frames were used (see Fig-ure 1d). We also utilized the very high-resolution (VHR)
- 155 images basemaps (Google/ESRI/Bing, etc.) as complements for surging feature identification. The fine resolution of these
- images allows us to visually check the possible morphological features caused by past surges.

3.3 Glacier inventory

- 158 In this study, we used the GAMDAM2 glacier inventory (Sakai, 2019) as a template for the inventory of surging glaciers,
- 159 rather than the Randolph Glacier Inventory V6.0 (RGI6.0) (RGI Consortium, 2017). The GAMDAM glacier inventory has
- 160 included many small glaciers that are missed in RGI6.0, and provides a more accurate glacier extent by also excluding rock
- 161 outcrop rocksoutcrops, seasonal snow, and shaded areas (Nuimura et al., 2015). Since the GAMDAM2 inventory only contains
- 162 the glacier polygon vectors, we calculated the geometric and topographic attributes for each glacier in a way similar to that of

163 RGI6.0. The maximum glacier eentrelinecenterline was calculated through the Open Global Glacier Model (OGGM)

164 (Maussion et al., 2019). The attributes were used to interpretanalyze the geometric characteristics of surging glaciers.

4 Methodology

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4.1 Estimation of glacier surface elevation change

167 The four kinds of DEMs have different coordinate references, vertical references, and data formats. Firstly, all DEMs were 168 converted to float GeoTiff format. For datasets with quality files (the NASA DEM and the COP30 DEM), the DEMs were 169 preprocessed to mask out the pixels of low quality. The poor Pixels in the COP30 DEM tile were determined through the 170 attached with height error map (with values larger than 2.5 m) and or within the attached water body map (with values not 171 equal to zero), mask were excluded. The NASA DEM was directly masked with the attached water mask file. Subsequently, 172 the coordinate system, map projection, and vertical reference of all DEMs tiles were unifiedset as the WGS84 coordinate 173 system, HMA an Albers Equal Area projection customized for HMA regions (Shean et al., 2020), and the WGS84 ellipsoid. 174 The reprojection was performed using cubic resampling. The glacier surface elevation changes during 2000-2010s were 175 derived by subtracting the NASA DEM from the COP30 DEM and HMA DEM, and those during 1970s-2000 were derived 176 by subtracting the KH-9 DEM from the NASA DEM. 177 An automated DEM differencing workflow for large-scale glacier surface elevation change estimation was developed based 178 on the demcoreg package presented by Shean et al. (2019). The workflow integrated multiple DEM co-registration approaches, 179 such as thea polynomial fit of tilt error, and other adaptive outlier removal approaches that were operated based on the 180 observations over stable regions. Hence, a mask that excluded the water bodies and glacierized regions was generated in 181 advance. Before differencing, the two DEMs need to be co-registered, because a small geolocation shift can result in 182 considerable elevation change errors in high-mountain regions. The efficient analytical DEM co-registration method presented 183 by Nuth and Kääb (2011) was used to eliminate thea relative geolocation shift (horizontal and vertical) between DEMs. This 184 method assumes the geolocation shift vectors of all DEM pixels are identical. However, for the global DEM products like the 185 NASA DEM and the COP30 DEM, a DEM tile was usually merged from multiple DEM patches, and the geolocation shift 186 vectors at different parts of the DEM tile may be different. In view of this problem, we developed a block-wise version of the 187 analytical DEM co-registration method to reduce the impacts of the non-uniform geolocation accuracy anisotropyerrors of a 188 DEM tile. Each DEM tile was divided into m×n blocks, and the DEM shifts were estimated for each block. Then, the m×n groups of shift parameters were merged into one group of shift parameters through a cubic interpolation. Technically, the 189 190 estimated shift parameters become increasingly representative as the block size decreases. However, the fitting of shift 191 parameters requires a certain number of samples. The final block size was set to 300×300 pixels to reach the best balance 192 between the representativeness and estimation accuracy of the shift parameters. Besides, we found that the block-wise co-193 registration method could result in wrong fitting of shift parameters over flat regions. To deal with this, a threshold of mean 194 slope (10°) was set to classify the DEMs into the flat and the hilly eategoriesterrain, and the original global co-registration 195 method (Nuth and Kääb, 2011) was applied to the flat onesareas. 196 Due to the residual orbital error of satellite images, the elevation difference (dH) maps often showed planimetric trends. This 197 type of systematic error was fitted as a universal surface trend using corrected by subtracting from the elevation change a 198 quadratic polynomial model based on which was fitted to the observations in assumed stable regions, and then was removed 199 from the elevation difference tile (Li et al., 2017). Besides, due to the jitter of the SAR antenna and optical mapping camera, 200 the elevation difference maps often showed stripes (i.e., band-like artifacts) (Yamazaki et al., 2017). To eliminate the stripes, 201 the elevation difference map was converted to the frequency domain through a Fast-Fourier-Transform method. Since the 202 cyclic values have a high frequency in the power spectral density map, a threshold of frequency was set to separate the stripes 203 components from the normal elevation differences. The de-stripping was completed after the backward transformation. Finally,

204 pixels for which the outliers of elevation difference mapswas larger than three times the standard deviation of all pixels were 205 reduced through the 3-sigma threshold criterion considered as outliers and removed.

206 The radar penetration into glacier surfaces now and ice can result in elevation biases of elevation change estimation, which 207 could be several to dozenstens of meters, and potentially lead to false values over glaciers. We adopted a two-step procedure 208 to reduce the radar penetration bias in the final elevation change results. First, we used the DEM differencing workflow 209 mentioned above to subtract the NASA DEM from the SRTM-X DEM. The elevation differences over glacierized area were regarded as the penetration difference between X-bands and C-bands. Secondly, we fitted a 3rd-polynomial function of degree 210 211 three to the relationship between the glacial dHelevation difference and altitude, which was deemed as accounts for the fact 212 that penetration depth increases at higher altitude relationship. Then, the, in drier snow and ice conditions. The estimated 213 radar penetration biases were removed from the COP30 DEM related results by taking the glacier elevation as input for the 214 function to NASA DEM difference over glaciers. For the dH results calculated by differencing the NASA DEM and optical 215 DEMs (e.g. the HMA and KH-9 DEM), the penetration difference of X- and C- bands was multiplied by 2 to representaccount 216 for the absolute fact that the penetration depth of C-band is approximately twice that of X-band in dry snow (Rott et al., 1993; Abdel Jaber et al., 2019; Fan et al., 2022) and then removed subtracted from the related results.

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218 Finally, three elevation change maps were calculated: the COP30 DEM - NASA DEM, the HMA DEM - NASA DEM, and

219 the NASA DEM KH 9 DEM. The first two elevation change maps were combined with the three elevation change datasets

220 for surging glacier identification during the period 2000-2020, and the last one during the period 1970s-2000. In total, our

elevation change observations covered ~92% of the total glacier area within HMA in 2000-2020, and ~77% in 1970s-2000. 221

222 Gaps in observations were mainly due to: 1) data voids and incomplete coverage of the original DEMs tile, which was the

223 main cause for the KH-9 DEMs and HMA DEM related results; 2) gross error removal during the elevation change calculations,

224 which led to the scattered holes in the COP30 DEM related results.

4.2 Surging glacier identification

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- 226 The identification of surging glaciers in this study was divided into three steps. First, we generated a raw inventory of surging 227 glaciers through the qualitative interpretation of multi-temporal elevation changes. Then, the visual identification of
- 228 morphological changes was carried out for the identified surging and surge-like glaciers. This procedure can further confirm
- 229 the surges or correct the false identifications based on glacier elevation changes (Guillet et al., 2022). The identified results
- 230 were re-checked again by careful inspection on VHR images, and by comparing them with existing surging glacier inventory.
- 231 AlsoBesides, the surging tributaries were separated from the non-surging glacier trunk at this step.

4.2.1 Identification through elevation changes

- 233 In general, A typical glacier surge cycle can be divided into three phases (Jiskoot, 2011): 1) the build-up phase, characterized 234 by remarkable thickening in the upper reaches; 2) the active phase, characterized by remarkable thinning in the upper reaches 235 and thickening in the lower reaches; 3) the post-surge phase, characterized by strong down-wasting in the lower reaches. The 236 classical method of identifying surging glaciers is to recognize the combination of marked upper thinning and lower thickening in the longitudinal direction. However, to distinguish the surging glaciers in the build-up or post-surge phase, careful 237 238 comparison with surrounding glaciers is required, which is difficult to be carried out with a mathematical index. In this study, 239 we established a three-class indicator to distinguish the surge possibility through the visual interpretation recognition of
- 240 continuous glacier elevation change patterns: changes over an area larger than 0.04 km² that are higher than the thresholds
- 241 listed below:
- 242 I) "verified":
- 243 - a) obvious thickening in lower reaches (e.g., +30 m);
- 244 - b) contrasting upper-thinning (e.g₋₁ +20 m) and lower-thickening (e.g₋₁ +20 m);

- c) contrasting upper-thickening (e.g., +20 m) and lower-thinning (e.g., -30 m);
- d) severe thinning in the lower reaches (two times stronger than that of the normal glaciers, or comparable to the ablation of adjacent "verified" surging glaciers);
- 248 II) "probable":
- 249 ae moderate upper thinning (e.g. -15m) and lower thickening (e.g. +15m);
- 250 <u>bf</u>) only moderate thickening in the middle reaches (e.g., +15m);
- 251 III) "possible":
- 252 ag) only moderate thickening at the terminus (e.g., +15m);
- 253 bh) only strong thinning in the lower reaches (one time stronger than adjacent normal glaciers).
- Note that, the specific values of elevation change mentioned above were for information only. Because of the diversity in the
- 255 regional elevation change patterns under different climate or topographic conditions, the thresholds may vary spatially.
- 256 The identification of surging glaciers was conducted separately in the two observation periods (1970s-2000 and 2000-2020).
- 257 The sub-inventory covering the period 1970s-2000 was generated based on the dH results of the NASA DEM KH-9 DEM.
- For the sub-inventory covering the period 2000-2020, itsthe dH datasets contain the COP30 DEM NASA_DEM, the HMA
- DEM NASA_DEM, and three previously published elevation change datasets from Brun et al. (2017), Shean et al. (2020)
- 260 and Hugonnet et al. (2021). Within each observation period, each glacier will be was labeled with its possibility level of surging
- and elevation change pattern in the attribute table. For example, the label "I-c" means this glacier was classified as a "verified"
- surging glacier because contrasting upper-thickening and lower-thinning patterns were observed in the corresponding period.
- 263 Figure 2 shows an example of surging glacier identification result.

4.2.2 Identification through morphological changes

- 265 Long-term Landsat images (acquired between 1986 and 2021) were utilized to investigate the morphological changes of the
- 266 three types of potential surging glaciers identified from elevation change. Within each Landsat-image acquisition frame, all
- 267 Landsatlook images of different dates (acquired from 1986 to 2021) were merged into an animated time-series image. Based
- on the animated image, we are able to easily identify the morphological changes. Due to the moderate resolution of Landsat
- 269 images, only three types of feature changes were utilized as criteria for identifying glacier surges: terminus position change,
- looped moraine changes, and medial moraine changes. Similarly, we assigned a two-level index to each morphological change
- 271 to indicate our confidence in the identification, which was defined as follows:
- 272 1) terminus advance:

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- 1): obvious T1): strong terminus advancing (e.g., over 500 m);
- 274 HT2): slight terminus advancing (e.g., 0~500 m);
- 275 2) looped/medial moraine change:
- 276 FM1): fast formation/vanishment of the looped moraine, or obvious distortion of the medial moraine;
- HM2): slow formation or vanishment of the looped moraine, or slight shape changes of existing looped moraine, or slight distortion of the medial moraine.
- 279 Each of the three kinds of morphological changes was individually qualified and labeled in the attribute table. All criteria used
- 280 for identifying surging glaciers were listed in Table 1.

4.2.3 Generation of surging glacier inventory

- 282 Through the above identification steps, in total five indicators were compiled to describe the changes of possible surging
- 283 glaciers. The two sub-inventories of dH identified results based on elevation change maps (section 4.2.1) were first merged
- 284 firstly following the principle of possibility, i.e., if a glacier was identified as a surging glacier in both periods but associated
- 285 with different indicators, its indicator in the final inventory was taken from the indicator having a higher possibility. The

possibility of indicators follows the order: "verified" > "probable" > "possible". For example, a glacier was-identified as a

"verified" surging glacier in the period 1970s-2000, and was-identified as a "probable" surging glacier in the period 2000
2010s, then it was quaclassified as a "verified" surging glacier. After that, the merged dH indicators were This intermediate

inventory was further compacted with the inventory based on morphological indicators to determine the final indicator of

surge possibility. The "probable" or "possible" class was changed to a class with higher possibility (e.g., from "probable" to

"verified") only if an "I" kind of obvious morphological change was found observed (i.e., "T1" type of terminus advancing or

292 <u>"M1" type of looped/medial moraine change).</u>293 We think the advancing glaciers usually hav

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We think the advancing glaciers usually have such features: 1) only thickened in a small area at the terminus, without contrasting upper thinning; 2) the advancing distance is relatively short (Lv et al., 2019, 2020; Goerlich et al., 2020). These features are corresponding correspond to the "III-ag" type of elevation change, and the "HTI" type of terminus advance. Therefore, if a glacier only shows these two kinds of changes, it will be qualified as an advancing glacier, rather than a surging glacier.

For glacier complexglaciers, in which a tributary surged but the main trunk did not show any features of a surge, such as the
Biafo-glacier, Fedchenko-Glacier, and, or Panmah glaciers (Hewitt, 2007; Goerlich et al., 2020; Bhambri et al., 2022), it's
necessary to separatewe separated the surging tributary from the trunk. A tributary will be considered as an individual surging
glacier if it hasin the following features. Firstly,conditions: the transition of contrasting elevation change is located in this
tributary. Secondly, and the mass contributed by this tributary to the glacier trunk is relatively small. ThenIn that case, we
manually edited the outline to separate the tributary from the glacier complex. This kind of surge was also marked by the
attribute of "trib_surge".

In the final step, we inspected the identified surging glaciers on VHR imagery. The inspection aimed to remove the wrong identification due to identifications caused by some false signals, such as the severe lower-thinning in a lake-terminating glacier and remarkable surface heightening caused by nearby landslides. We also refined our inventory after careful comparison with inventories presented by Guillet et al. (2022), Goerlich et al. (2020), and Bhambri et al. (2017).

4.3 Estimation of the uncertainty

The reliability of surging glacier identification is directly related to the accuracy of glacier surface elevation change. Assuming the uncertainties in elevation difference are similar over glacierized and stable areas, we evaluated the uncertainties of glacier elevation difference based on elevation difference observations in stable areas, whose true values are zeros. Here we adopted the normalized median absolute deviation (NMAD) as the indicator of uncertainty of elevation difference, which is less sensitive to outliers and can be deemed as a better proxy of the standard deviation for dH in mountainous area (Höhle and Höhle, 2009; Li et al., 2017). The NMAD is calculated as follow:

$$NMAD = 1.4826 \times median(|\Delta h_i - median(\Delta h)|)$$
 (1)

317 where Δh is the elevation difference and the subscript *i* denotes the index of the pixel.

In this study, uncertainties in glacier elevation change are caused by uncertainties in the elevation difference and in the

penetration depth. Since the penetration depth was also estimated from a DEM difference (SRTM-X DEM – NASA DEM),

its uncertainty can also be evaluated through the NMAD. Assuming that these two kinds of uncertainties are uncorrelated,

321 the uncertainty of the glacier elevation change is estimated through the error propagation law:

$$\delta_{dH} = sqrt(\delta_{elev_diff}^2 + n \times \delta_{pene}^2)$$
 (2)

323 Where *elev_diff* means the elevation difference, and *pene* means the penetration depth difference between C-band and X-

324 <u>band SRTM. The coefficient *n* is the factor between the C- and X-band penetration depth, which is 1 for the results of</u>

325 COP30 DEM – NASA DEM and 2 for the results of KH-9/HMA DEM – NASA DEM.

326 5 Results

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5.1 Identified surging glaciers

A total of 1226 surge-related glaciers across the HMA were identified based on the elevation changes and morphological 328 changes. The identified surge-related glaciers consisted of 890 'verified' surging ones, 208 'probable' ones, and 128 'possible' 329 330 ones. A total of 175 surging tributaries were identified in 86 glacier complexes. When merging the identification results of the 331 two periods, we found that a considerable proportion of identified surging glaciers were simultaneously recognized in both 332 periods. This makes our inventory more reliable since a surging glacier could exhibit different kinds of changes in different 333 periods. For example, 26 probable and 51 possible surging glaciers identified during 2000-2020 turned out to be "verified" surging glaciers during 1970s-2000. Meanwhile, 60 "probable" and 21 "possible" surging glaciers identified during 1970s-334 335 2000 turned out to be 'verified' surging glaciers during 2000-2020. ThanksDue to the almost complete coverage of elevation 336 change observations, we were able to classify almost all glaciers in HMA. Table 42 shows the number of surging glaciers 337 identified from two periods of elevation changes and morphological changes. Due to the incomplete coverage of KH-9 DEMs, 338 103 identified surging glaciers have no observations during the period 1970s-2000. The data voids in KH-9 DEMs may be one 339 of the reasons why fewer surging glaciers were identified in this period. In the following text, the "probable" and "possible" 340 classes were deemed as surge-like glaciers, and only the "verified" surging glaciers were used for analysis and comparison 341 throughout the rest of this study.

5.2 Distribution of surging glaciers

343 Surging glaciers were identified in 21 subregions of HMA (except for the Dzhungarsky Alatau); however, the spatial density 344 of identified surging glaciers is far from even (Fig. varies between different subregions (Figure 3). Glacier surges are common 345 in the northwestern regions, sporadic in the inner regions, and scarce in the peripheral regions. Figure 4 and Table 2 show the ratios of surging glacier number and area in each subregion. Considering the area of the smallest identified surging glacier is 346 347 0.42 km², we only took the counted glaciers larger than 0.40 km² in the glacier number related ratio. When conducting statistical 348 analysis, the surge-like glaciers were excluded from the dataset, and Besides, a surging tributary was regarded as an individual 349 glacier. The number (890) and area (16556.42 km²) of identified surging glaciers accounted for ~2.49% and ~16.59% of the 350 total glacier number and glacier area in HMA, respectively.

Among the 22 subregions, the Karakoram is the largest cluster of surging glaciers. In total 354 surging and 128 surge-like glaciers were identified in the Karakoram. The number and area of verified surging glaciers in the Karakoram accounted for 39.80% and 47.90% of the total identified surging glaciers within HMA. We found that more than half of the tributary surges (101) occurred in the Karakoram, where large glaciers are much more developed than in other regions. In the Karakoram, although surging glaciers haveaccount for only accounted for 8.59% of the total glacier number, their area occupied 39.48% of the total glacierized area. The Pamirs, composed of the Eastern Pamir, Western Pamir, and Pamir Alay, hosts 249 surging glaciers and 128 surge-like glaciers. About 27.74% of the glacier area in the Eastern and Western Pamir belongs to surging glaciers. We also found 28 surging tributaries in 15 glacier complexes in the Pamirs. Surging glaciers are also common in the Western Kunlun. In total 82 surging and 47 surge-like glaciers were identified in the West Kunlun, and the area of surging glaciers accounted for prepresenting 30.48% of the total glacier area. The Central Tien Shan has the fourth-largest surging glacier area. In total 59 surging glaciers were identified in the Central Tien Shan host ~83% of the surging glaciers across HMA. Figure 5 shows the distribution of identified surging and surge-like glaciers in these four regions.

Within interior HMA subregions (including the Tibetan Interior Mountains, Eastern Kunlun Shan, and Tanggula Shan), the number of identified surging glaciers represents less than 2% of the total number but the area accounted for nearly 15% of the total glacier area. Surging glaciers Glacier surges in these regions are generally gathered occurred in a few watersheds. Similar

367 localized surging glacier clusters were also found in the Nyainqentanglha, Northern, and Western Tien Shan, and Central

Himalaya, but the corresponding area ratios are much lower. In these regions, our inventory covereds dozens of surging glaciers,

which were rarely reported before. Figure 6 shows some samples of identified surging glaciers in these regions.

5.3 Geometric characteristics of surging glaciers

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371 In this part, only the surging glaciers and non-surging-glaciers are taken for analysis. The surge-like glaciers are not included.

372 All glacier samples in the surging and non-surging classes are larger than 0.40 km².

We divided all glaciers into 9 classes according to their area, and calculated the ratios of surging glacier number and area in

each class. As shown in Figure 7 and Table 34, surging glaciers were found in all classes. Both the ratios of surging glacier

area and number became increasingly high as the glacier size increased, except for the last class. Surging glaciers with an area

of 1-50 km² occupy 82% of all surging glaciers. For the three classes in which glaciers are larger than 50 km², the ratios of

surging glaciers area and number were about 52% and 54%, respectively. In particular, 2 of 6 very large glaciers (the Siachen

glacier and the Hispar glacier) surged during our observation periods.

379 When comparing the geometric characteristics of the surging glaciers and non-surging glaciers, we selected samples in the

following way: for each surging glacier, we selected 10 non-surging glacier samples that have the closest area but from an

arbitrary region; and then we randomly sampled 3 out of the 10 selected non-surging glaciers. This is to minimize the

discrepancy resulteding from the sample differences. There are two reasons for doing so. First, the gap between the sample

numbers is huge (~35000 non-surging vs. 890 surging). Second, a high proportion of non-surging glaciers are very small

glaciers. The final selected 890×3 non-surging glaciers formed the reference group.

We first analyzed the distribution of surging glacier number and area in eight orientations. As shown in Fig. 8, both the number

and area of glaciers facing the north are the largest, and then followed by those facing the northwest and northeast. The

distribution of the glacier orientation in the reference group was different from that of the non surging glaciers, which

confirmed the statistical analysis would be affected by sample differences. The number of surging glaciers facing the north

accounted for ~30.1% of the total surging glacier number, and their area accounted for ~27.8% of all surging glacier area. The

number and area ratios of surging glaciers facing the north are obviously higher than that of the non-surging glaciers facing

north, while the number and area ratios of surging glaciers facing northwest are obviously lower than that of the non surging

glaciers facing northwest. Meanwhile, the area ratio of surging glaciers facing northeast is considerably higher than the number

393 ratio, but for surging glaciers facing northwest and southwest, the situation is opposite.

Figure 98 illustrates the comparisons between the basic geometric properties of surging and non-surging glaciers. The sampling

strategy mentioned above was also utilized here. If we directly compare the surging glaciers with all non-surging glaciers, we

will find that surging glaciers generally have a larger area, wider elevation range (i.e., the highest glacier surface elevation

minus the lowest), and longer flow line (Fig 9aFigures 8a-c). Taking the median values as the candidates, the quantitative

comparisons are 7.3 km² (surging) vs. 0.87 km² (non-surging) for glacier area, 1534 m vs. 642 m for elevation range, and 6695

m vs. 1854 m for maximum glacier length, respectively. In terms of mean surface slope and median elevation, the values of

the surging glaciers are less spread out than the non-surging glaciers. However, the median values of the two kinds of glaciers

are very close (see Figures 948d and 9e8e). If we took the non-surging glaciers in the reference group for comparison, the

discrepancies between the two kinds of groups on these geometric properties became much more different. As shown in Figure

9a, the similar boxplots of the reference group and surging glacier samples proved that our sampling strategy has successfully

re-organized corrected the bias in area between surging and non-surging glacier samples for comparisons glaciers. The gaps

between the surging and non-surging glaciers (reference group) in the glacier area (7.3 km² vs. 7.0 km²), elevation range (1534

406 m vs. 1180 m), and glacier length (6695 m vs. 5560 m), are much smaller. More importantly, the mean slope of the glaciers in

the reference group becomes smaller than that of the surging glaciers.

408 The correlation between different glacier geometric properties was analyzed through the bivariate scatterplots (see Figure 109). 409 Among the glacier area, glacier length, and glacier surface elevation range, any two of them have an apparent positive 410 correlation. The glacier mean slope has a moderate correlation with glacier area, length, and elevation range as they are auto-411 correlated. By contrast, glacier median elevation has little correlation with these parameters. The correlation of any two 412 geometric properties makes little difference between surging and non-surging glaciers. All variables mentioned above are 413 embedded in the attribute table of the published inventory. Detailed descriptions of these variables can be found in Table 5.

6 Discussion

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6.1 Uncertainty analysis

The reliability of surging glacier identification is directly related to the accuracy of glacier surface elevation change. Assuming the uncertainties in surface elevation change are similar over glacierized areas and stable areas, we evaluated the glacier elevation change uncertainties based on elevation change observations in stable areas, whose true values are zeros. Meanwhile, the uncertainties in the radar penetration calculation were also considered through the error propagation law. The normalized median absolute deviation (NMAD) is less sensitive to outliers and can be deemed as a better proxy of uncertainty in dH than the standard deviation. Hence, the NMAD was used to denote the uncertainty of individual glacier surface elevation change tile. Figure 11 shows the NMAD of elevation change observations in stable areas within each DEM differencing tiles, which were used for the co-registration and biases removal during the glacier elevation change estimation. Due to large distortions in the KH 9 images, the NASADEM KH 9 DEM results had the highest uncertainties. Benefiting from the advantages of bistatic SAR image pairs, the COP30 DEM has high quality, and the COP30 DEM related results had the lowest uncertainties. The HMA DEM related results had moderate uncertainties. The average NMAD of all DEM differencing tiles was smaller than 5 m. Significant elevation errors usually occurred in highly rugged regions such as crests and horns. The terrain of glacier surface is relatively gentle, and therefore the uncertainties of glacier surface elevation changes should be lower than the estimated values over the area where surges occur. The head of glaciers usually includes very steep faces and has a lot of uncertainties, but it does not matter too much for this study. In general, the uncertainties of our elevation change results are well controlled. Figure 10 shows the uncertainties in four kinds of elevation difference observations and three kinds of glacier 432 elevation change observations. The four kinds of elevation difference observations include three kinds of DEM difference observations and one kind of radar penetration depth difference observation. The uncertainties in glacier elevation change originated from the DEM difference and from the radar penetration depth difference. For each kind of elevation difference observation, the average uncertainty (NMAD) is generally smaller than 4.50 m, and the uncertainty of the penetration depth difference is the smallest. Due to the large distortion in the KH-9 images, the NASA DEM - KH-9 DEM results had the highest uncertainties. In general, the uncertainties of our elevation change results are acceptable. Compared with the typical surface elevation change resulting from a glacier surge (tens to hundreds of meters), the uncertainties are very small. For example, a large uncertainty in the KH-9 DEM related elevation change observation (say 8.00 m) is only about half of the threshold we used for identifying a 'possible' surging glacier. In order to illustrate the impact of topography on the uncertainty, we calculated the NMAD of COP30 DEM - NASA DEM difference over stable regions within different slope ranges (0-55°). As shown in Figure 11, the uncertainties in elevation difference observations increase as the terrain becomes steeper. Uncertainties over very steep regions (slope > 40°) can be two times larger than over flat terrain (slope < 10°). Therefore, dH observations over crests, horns, and heads of glaciers, generally have relatively large errors. In such regions, stereo optical images suffer from serious distortion, and sometimes have very low brightness contrast due to snow cover; in the meantime, SAR images are affected by shadows and layover (Pieczonka and Bolch, 2015) magnitudes of uncertainties are very small. However, the terrain of main glacier bodies where surges usually occur is gentler, and therefore the uncertainties of glacier surface elevation changes should be much lower than the thresholds

- 449 that we used for identifying surges. Besides, the relatively large errors in dH maps are discontinuous in space, while the
- 450 elevation changes used for identifying surges are spatially continuous. Hence, the uncertainty of glacier elevation change has
- 451 <u>no substantial impact on the identification of glacier surges.</u>
- 452 Similar to previous studies (Sevestre and Benn, 2015; Goerlich et al., 2020), the surging glacier identification in this study
- 453 was completed through a manual qualitative interpretation. It's is difficult to provide a quantitative index to represent
- 454 the uncertainty of surge identification. However, the fourwe have assigned a three-class indicator of to represent the
- surge likelihood, which could aid that to a degree.

6.2 Characteristics of surging glaciers

- 457 The direct comparisons between geometric characteristics of surging and non-surging glaciers manifestshow that surge activity
- 458 is more likely to occur in the glacier with a larger area, wider elevation range, and longer length (Fig. 9). Figures 7 and 8),
- 459 which is consistent with previous studies also reported this phenomenon (Barrand and Murray, 2006; Jiskoot, 2011; Sevestre
- and Benn, 2015; Mukherjee et al., 2017; Guillet et al., 2022). Larger area, wider elevation range, and longer length mean a
- 461 larger glacier scale and more mass storage. Surge is a self-balancing process of a glacier to regulate its internal instability of
- 462 thermal or hydrologic conditions which needs enough mass storage. In this case, about 97% of the surging glacier has an area
- 463 larger than 1 km². For glaciers larger than 10 km², surge becomes a quite common behavior (with a number ratio higher than
- 464 20%), rather than an accidental behavior (see Fig.7).
- 465 In terms of mean surface slope, we could not observe a statistically significant difference in the median value of the surging
- 466 and non-surging glaciers, although the surging glaciers have a more concentrated value range (Fig 9d and Figure 10, 3rd row,
- 467 1st column). After minimizing this kind of bias, we observed an obviously higher mean slope of surging glaciers in the
- 468 comparison with the reference group. Several studies have demonstrated that the surging glacier tends Several studies have
- demonstrated that surging glaciers tend to have a shallower slope (Jiskoot et al., 2000; Guillet et al., 2022). However, here we
- 470 reasonably argue that this rule was concluded from an unbalanced comparison, as non-surging glaciers have a higher proportion
- of small glaciers than surging glaciers. Meanwhile, the inverse relationship between the glacier slope and length (Clarke, 1991;
- Sevestre and Benn, 2015) may not apply to very small glaciers (i.e. smaller than 1 km²). As shown in Fig-<u>ures</u> 9d and Fig. 10,
- 473 among the non-surging glaciers, the small ones occupy a high proportion and their mean slope presents slopes have strong
- 474 variability. Regarding this Thus, we can conclude that steeper glaciers are more likely to surge when the comparison is restricted
- 475 to similar areas. As for the glacier median elevation, since it is almost irrelevantuncorrelated to the glacier area, glacier length,
- 476 glacier elevation range, and glacier mean slope (see Fig. 10Figure 9), it can be deemed as an irregular glacier index. However,
- 477 among glaciers that have similar areas, steeper glaciers generally have a lower median elevation. That's why the median
- 478 elevation of surging glaciers is slightly smaller than that of non-surging glaciers (Fig. 9eFigure 8e).
- 479 These comparisons could now lead to a conclusion as follows: the surging glaciers are generally longer, and have a larger
- 480 elevation range than non-surging glaciers, since they have more mass storage. However, when glaciers are similar in area, a
- 481 steeper surface slope is more likely to lead to surge.
- 482 Besides, our results highlight that the ratio distribution of surging glaciers in eight aspects is slightly different from that of
- 483 non surging glaciers (see Fig. 8). Overall, the ratio of surging glaciers is relatively higher than the non surging glaciers in the
- 484 north and northeast directions, but lower in the northwest direction. It is generally known that glaciers facing north are more
- 485 developed in HMA. Due to the orientation of the mountains, most of the large glaciers flow toward north and northeast. Besides,
- 486 the area to number ratio of surging glaciers is much larger than non surging glaciers in the northeast orientation, but smaller
- 487 in the northwest orientation. This is true for the Karakoram, Pamirs, and West Kunlun Shan, the three largest clusters of surging
- 488 glaciers, indicating that large northeast facing glaciers have a higher chance to be surging glaciers. Accordingly, the surging
- 489 glaciers facing north and northeast have a higher area ratio than that facing the northwest.

The spatial distribution of surging glaciers in HMA presents a strong heterogeneity. About 83% of identified surging glaciers weare located in the northwestern region including the Central Tien Shan, Pamirs, Karakoram, and West Kunlun, and their area occupiedthey occupy about 87% of the total identified surging glacier area (see Fig-ure 4 and Table 23). As discussed above, larger glaciers are more likely to surge. The northwest regions generally hold more largelarger glaciers- and therefore hold more surging glaciers. In other subregions, large glaciers are usually concentrated in some great ice fields, such as the Geladandong, Puruogangri, and Xinqingfeng. Accordingly, Surging glaciers in these subregions are usually clustered in severala few watersheds. Several studies have pointed out that glacier surge activities have little impact on the glacier mass balance. However, glacier mass balance may also affect the occurrence of glacier surges. Copland et al. concluded that the increase of glacier surges in the Karakoram could be related to the positive mass budget. The accumulated ice mass would accelerate a glacier to surge, and the significant mass loss could prevent or postpone the surge in return. On a regional large scale, the relationship between mass balance and surge occurrence needs to be further analyzed. Our glacier elevation change maps of the period 2000-2010s are similar to that derived by Brun et al. and Shean et al. . We found that, at the regional scale, the occurrence of surging glaciers is correlated with the regional glacier mass balance. The three subregions holding the largest clusters of surging glaciers, i.e., the Pamirs, Karakoram, and West Kunlun, are characterized by slightly negative or positive elevation changes, which is known as one part of the 'Pamir Karakoram West Kunlun' anomaly . Likewise, the subregions Central Tien Shan, Tibetan Interior Mountains, and East Kunlun Shan, which hold the moderate clusters of surging glaciers, have glacier mass loss rates much lower than the average rates of HMA. By contrast, subregions with severe glacier mass loss hold the lowest

Guillet et al. (2022) presented a comprehensive surging glacier inventory of HMA for the period 2000-2018 from a multi-

6.3 Comparison with previous surging glacier inventories

surging glacier ratio, such as the Dzhungarsky Alatau, Hengduan Shan, and Eastern Himalaya.

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factor remote sensing approach. Prior to the comparison, we generated an inventory based on the RGI6.0, as Guillet et al. 511 512 (2022) did. Guillet et al. (2022) identified 666 surging glaciers, and the area of surging glaciers occupies covering 19.5% of 513 the total glacier area. We identified 890 surging glaciers (809 if represented by RGI6.0 polygons), and their area only 514 occupies covering 16.59% of the total glacier area. We attributed the lower area ratio of surging glaciers to two reasons. First, 515 in our inventory, the surging tributaries were separated from the non-surging trunks. Second, many outcrop rocks and shaded 516 areas are excluded from the GAMDAM2 glacier areas (Sakai, 2019), which would lower our surging area ratio, but make the 517 result more accurate. If we assign our identified surging glaciers to the RGI6.0 polygons without tributary separation, the 518 surging area ratio would be larger (20.25%). 519 Within our inventory, 556 surging and 62 surge-like glaciers were also identified by Guillet et al. (2022), and the discrepancy of identifications mostly occurred on small glaciers. If only the period 2000-2020 was considered, 657 surging glaciers were 520 521 identified by us, which is very close to that of Guillet et al. (6656). For the period 1970s-2000, we found 151 surging and 101 522 surge-like glaciers that were not identified by Guillet et al. (2022). Overall, we have newly identified 253 surging and 248 523 surge-like glaciers. We owed the new findings to the longer observation period and multiple elevation change observations. 524 However, 47 surging glaciers presented by Guillet et al. were missed in this study, and 62 surge-like glaciers in our new 525 inventory were identified as surging glaciers by Guillet et al. (2022). We carefully checked the glaciers not included in our 526 inventory but included in the inventory of Guillet et al. (2022)'s inventory, as well as those included in our inventory but not 527 included in Guillet et al.'s inventory, and this step helped us to find 21 more surging glaciers. We attribute this to the deficiency 528 of using a singlemissing criterion of flow velocities, which could be aided by combining other features capture some small 529 surges without obvious elevation change or morphological change. Besides, the DEMs used in this study were suffering from data voids and incomplete spatial coverage, especially for the KH-9 DEM, which could result in a relatively conservative 530 531 identification.

Multiple studies have identified surging glaciers in the Karakoram based on different data sources. For example, Bhambri et al. (2017) identified 221 surging and surge-like glaciers (counting tributaries of a glacier system as individual glaciers) based on glacier morphological changes detected from space-borne optical images acquired from 1972 to 2016, in-situ observations, and archive photos dating back to the 1840s. However, the boundary used by Bhambri et al. (2017) to define the extent of Karakoram is much smaller than that used in our inventory. A much smaller group of surging glaciers (88) was identified by Copland et al. (2011) based on a similar method and the data acquired between 1960 and 2013. Rankl et al. (2014) identified 101 surging glaciers in the Karakoram by detecting changes in glacier surface velocity and terminus position between 1976 and 2012. The results of Guillet et al. (2022) should be more reliable than previous ones because more criteria were used for identifying surging glaciers. Compared with previous inventories, our inventory includes more surging glaciers in the Karakoram (354). Among the 223 surging glaciers in the Karakoram identified by Guillet et al. (2022), 203 were identified as surging glaciers, and 12 were identified as surge-like glaciers in this study, which means only 8 surging glaciers presented by Guillet et al. (2022) were not included in our inventory. The high coincidence between the two inventories indicates our surging glacier identification result is reliable. In total, we have newly identified 101 surging and 101 surge-like glaciers in this region. Based on the method of glacier terminus change monitoring in Google Earth Engine, Vale et al. (2021) identified obviouschanges in the terminus change of 137 surging glaciers. We found that In total, 127 verified surging and 6 surge-like glaciers in our inventory were included in their inventory, i.e., only four glaciers were missed in this study. The possible reason for this gap is that the technique used by Vale et al. cannot identify the internal glacier surges that did not result in a terminus advance. Also, the inadequate quality and spatial resolution of satellite images could limit the performance of detecting changes in glacier terminus position. We found these four missing surging glaciers had slight terminus advancing (<200 m) during long surging periods (>10 years). The very slow and slight terminus advance is difficult to identify through visual interpretation. In the Pamirs, Sevestre and Beenn (2015) identified 820 surge-type glaciers based on publications and reports, but Goerlich et al. (2020) reported only 186 surging glaciers based on observations of glacier flow velocity, elevation change, etc. We found that if Goerlich et al. (2020) applied the GAMDAM2 glacier polygons used in this study, the number of identified surging glaciers would be 182. Among the 182 surging glaciers identified by Goerlich et al. (2020), 153 were identified as surging glaciers and 15 were identified as surge-like glaciers in our study. Although 14 surging glaciers are missed in this study, our inventory has contained other contains another 94 surging and 44 surge-like glaciers. The main cause for the discrepancy is that the glacier elevation change observations before 2000 conducted used by Goerlich et al. (2020) only covered a small part of the Western Pamir. In this region, our inventory shared 193 surging glaciers with Guillet et al.'s inventory, and 185 of them were identified during the period 2000-2020, which also manifests a high coincidence of the two results. In the West Kunlun, Yasuda and Furuya (2015) reported 9 surging glaciers in the main range only, based on changes in glacier flow velocity and terminus position of 31 glaciers, and another 9 surging glaciers were found in the northwest part of the West Kunlun Shan by Chudley et al. (2019). A larger number (60) were found by Guillet et al. (2022). However, our inventory hasincludes even-included more surging (82) and surge-like (47) glaciers in the West Kunlun Shan. During For the period 2000-2020, we have identified 61 surging glaciers, which is very close to the number presented by Guillet et al. (2022). In Central Tien Shan, Mukherjee et al. (2017) identified 39 surge-type (including 9 surging and 13 very probable surging) glaciers through the analysis of changes in surface elevation and morphology from 1964 to 2014, whereas 79 (59 surging and 20 surgelike) were identified in our studiesy. The insufficient coverage of elevation change observation (only covering the west part of the Central Tien Shan) may be the main reason for the discrepancy in identification results. Guillet et al. (2022) identified 54

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surging glaciers during 2000-2018, infrom which 36 were confirmed in our inventory.

7 Conclusions

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This study presents a new inventory of surging glaciers across the entire HMA range, which was accomplished based on the 572 573 For the surge identification, we relied on glacier surface elevation changes derived from multiple elevation sources, by using 574 theand complementarily on optical satellite images for assessing morphological changes from optical images as complements. 575 In total, 890 surging and 336 probably or possibly surging glaciers were identified in the new inventory. Through the analysis 576 of geometric parameters, we found that surging glaciers generally have a greater area, length, and elevation range than non-577 surging glaciers. However, the differences are smaller when taking the glacier size distribution into account. When considering 578 glaciers of similar areas, the steeper ones are more likely to surge. Furthermore, by combining the region wide glacier mass 579 balance measurements, we found a similar distribution between the positive mass balance and the number of surging glaciers. 580 Benefiting from the long period and wide coverage of surface elevation change observations, our study newly identified 253 581 surging and 248 surge-like glaciers in HMA thancompared to the previous inventory (Guillet et al., 2022). However, our 582 inventory does not provide the surge duration period and the maximum flow velocity, which are useful to describe the dynamic 583 process of each glacier surge activity. Improvements should be made by combining multi-criteria identification methods. 584 Considering the fact that glacier surges are more widespread than we thought, the inventory presented in this study still needs 585 further replenishment improvement.

8 Data and code availability

- 587 The presented inventory and corresponding the multi-temporal elevation change results of identified used to identify surging glaciers are freely available at: https://doi.org/10.5281/zenodo.79612077950838 (Guo et al., 2022). The inventory is 588 589 distributed in the format of GeoPackage (.gpkg) and ESRI shapefile (.shp), which is represented by contains glacier outlines 590 and manually defined center points of surging glaciers with geometric attributes, and is distributed in GeoPackage (.gpkg) and ESRI shapefile (.shp) formats. The glacier polygons of the inventory are compiled from the GAMDAM2 glacier inventory. In 591 592 total, eight fields are integrated into the attributes table to describe the surging information of the corresponding glacier as 593 mentioned in section 45.3. The description of each field in the attribute table is listed in Table 45. The DEM differencing 594 results of the differences (COP30 DEM - NASA_DEM, HMA DEM - NASA_DEM, and NASA_DEM - KH-9 DEM) are 595 compressed into individual zip files, respectively. The elevation change results of surging glaciers were divided into multi-596 temporal 1° × 1° tiled GeoTiff grids. The metadata file is stored in a text file (README.txt), which contains the datasets 597 description and details of the attribute information of the inventory. 598 The code used for elevation change estimation ean beis available at: https://github.com/TristanBlus/dem coreg. This code was
- 600 Author contribution
- 601 J.L. and L.G. conceived this study and wrote the paper. L.G. developed the processing flow, complied the inventory, and drew
- 602 the figures with support from J.L. A.D. generated the KH-9 DEM. A.D., Z.L., and X.L. helped with the results analysis and
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- agreed to the published version of the manuscript.

605 Competing interest

The authors declare that they have no conflict of interest.

developed based on the demcoreg package (Shean et al., 2019).

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- 610 Copernicus DEM from the European Space Agency (ESA) (https://spacedata.copernicus.eu/web/cscda/cop-dem-faq), the
- 611 HMA DEM processed by David Shean from National Snow and Ice Data Center (NSIDC)
- 612 (https://nsidc.org/data/HMA_DEM8m_MOS/versions/1), and the Randolph Glacier Inventory Version 6.0
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Tables and Figures

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Table 1: Criteria for identifying surging glaciers based on changes in elevation and morphological features.

Surging feature	Identified class	<u>Description</u>					
	<u>I-a</u>	obvious thickening in lower reaches (e.g., ±30m)					
	<u>I-b</u>	contrasting upper-thinning and lower-thickening (e.g., ±20m)					
	<u>I-c</u>	contrasting upper-thickening and lower-thinning (e.g., +20m and -30m)					
Elevation change	<u>I-d</u>	severe thinning in the lower reaches (e.g., two times stronger than normal glaciers)					
Elevation change	<u>II-e</u>	moderate upper thinning and lower thickening (e.g., ±15m)					
	<u>II-f</u>	only moderate thickening in the middle reaches (e.g., +15m)					
-	<u>III-g</u>	only moderate thickening at the terminus (e.g., +15m)					
	<u>III-h</u>	only strong thinning in the lower reaches (e.g., one time stronger than normal glaciers)					
Torminus advance	<u>T1</u>	strong terminus advancing (e.g., >500m)					
Terminus advance	<u>T2</u>	slight terminus advancing (e.g., 0~500m)					
Maraina ahanga	<u>M1</u>	fast change of the looped moraine, or obvious distortion of the medial moraine					
Moraine change	<u>M2</u>	slow shape change of the looped moraine, or slight distortion of the medial moraine					

Table 2: Surging glacier identification results.

Clasianahanan		Total			
Glacier changes	I/T1/M1	II/T2/M2	III	Total	
2000-2020 elevation change	719	157	169	1045	
1970s-2000 elevation change	507	156	57	720	
1986-2021 terminus advance	247	397	-	645	
1986-2021 looped moraine	112	31	-	144	
1986-2021 medial moraine	69	29	-	108	
Final identified surging glaciers	890 (verified)	208 (probable)	128 (possible)	1226	

Table 23: Results of surging glacier identification in 22 subregions of HMA. Only glaciers larger than 0.4 km² were considered in the glacier number related values.

	Glacier Number				Glacier Area			
HiMAP regions	Surging	Surge-like	Total	Ratio* (%)	Surging	Surge-like	Total	Ratio*
Karakoram	354	128	4121	8.59	7936.12	1329.40	20103.68	39.48
Western Pamir	188	48	3058	6.15	2232.52	289.597	8172.64	27.32
Western Kunlun Shan	82	47	2508	3.27	2580.21	589.17	8466.12	30.48
Central Tien Shan	59	20	2248	2.62	881.61	305.47	6816.95	12.93
Eastern Pamir	56	16	1148	4.88	796.35	79.12	2746.47	29.00
Tanggula Shan	22	4	697	3.16	441.94	41.71	1937.39	22.81

Tibetan Interior Mountains	22	12	1471	1.50	286.29	140.22	3933.48	7.28
Northern Western Tien Shan	21	6	1374	1.53	116.27	81.09	2502.60	4.65
Central Himalaya	17	21	3433	0.50	164.12	185.07	9928.72	1.65
Eastern Kunlun Shan	16	7	1191	1.34	458.11	55.38	2960.26	15.48
Nyainqentanglha	10	5	2916	0.34	119.53	184.79	7216.62	1.66
Eastern Hindu Kush	9	5	1279	0.70	178.18	77.19	3055.80	5.83
Western Himalaya	9	4	3659	0.25	110.22	69.41	8619.19	1.28
Eastern Himalaya	6	0	1334	0.45	94	0	3371.89	2.79
Pamir Alay	5	0	991	0.50	35.72	0	1957.94	1.82
Qilian Shan	4	6	851	0.47	35.99	26.40	1627.94	2.21
Eastern Tibetan Mountains	3	2	156	1.92	36.33	3.85	341.46	10.64
Altun Shan	2	3	156	1.28	4.13	3.17	294.95	1.40
Eastern Tien Shan	2	1	1243	0.16	12.03	2.59	2440.11	0.49
Hengduan Shan	2	0	700	0.29	26.22	0	1335.39	1.96
Gangdise Mountains	1	0	768	0.13	10.52	0	1339.54	0.79
Dzhungarsky Alatau	0	1	407	0	0	10.98	648.61	0
Total	890	336	35709	2.49	16556.42	3474.60	99817.72	16.59

^{*} The value of ratio only considered the number and area of <u>verified</u> surging glaciers.

Table 34: The number and area ratios of surging glaciers in all glaciers for different area classes.

Area Class _	Total		Surgin	g Glacier	Ratio (%)	
	Count	Area (km²)	Count	Area (km²)	Count	Area
0.4-1	19428	12215.4	28	20.8	0.14	0.17
1-3	10983	18305.7	169	345.0	1.54	1.88
3-5	2404	9229.4	141	560.3	5.87	6.07
5-10	1650	11370.1	195	1416.4	11.82	12.46
10-30	946	15048.9	227	3861.2	24.00	25.66
30-50	161	5979.1	56	2036.5	34.78	34.06
50-100	92	6337.4	48	3329.2	52.17	52.53
100-300	39	6191.4	24	3651.5	61.54	58.98
>300	6	3466.3	2	1335.6	33.33	38.53

Table 45: Attribute information in the present surging glacier inventory.

Attribute	Description	Attribute	Description
Glac_ID	Glacier identifier composed by Lat/Lon	Surge_20	Surge identified in 2000-2020 by dH
Area	Glacier area (km²)	Surge_70s	Surge identified in 1970s-2000 by dH
Zmin	Minimum elevation of the glacier (m a.s.l)	Delta_T	Identified class of glacier terminus advance
Zmax	Maximum elevation of the glacier (m a.s.l)	Loop_M	Identified class of looped moraine change
Zmed	Median elevation of the glacier (m a.s.l)	Medial_M	Identified class of medial moraine change
Slope	Mean glacier surface slope (°)	False_signal	False positive signal of identification
Aspect	Mean glacier aspect/orientation (°)	Trib_surge	If the glacier has/is surging tributary
MaxL	Maximum length of glacier flow line (m)	Surge_class	Final surge identification during 1970s-2020
HiMAP_region	HMA subregion that the glacier belongs to		

788 |789

786 |787

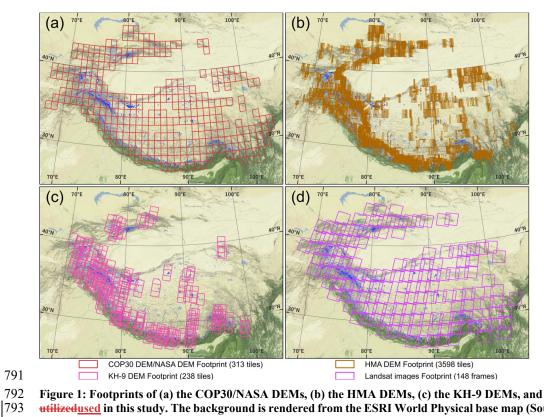
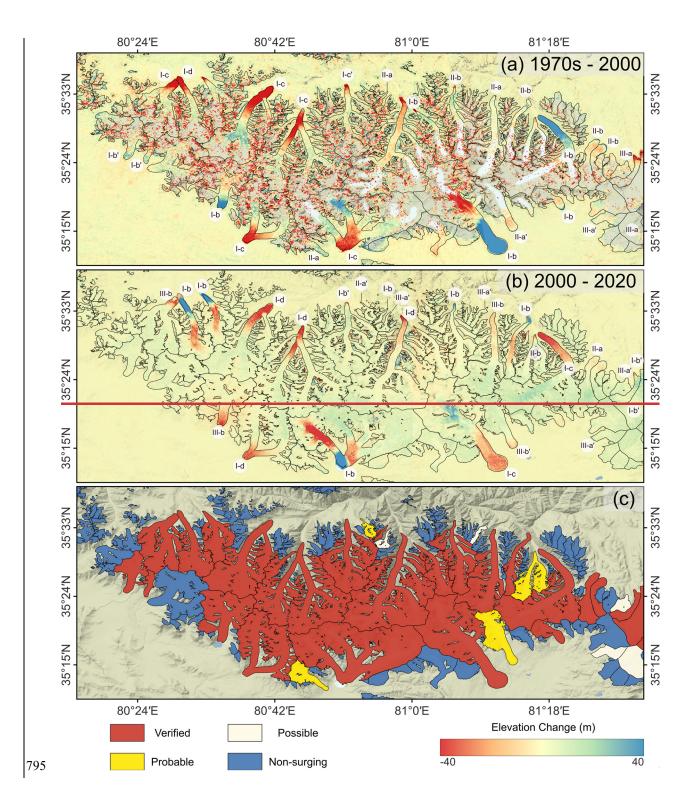


Figure 1: Footprints of (a) the COP30/NASA DEMs, (b) the HMA DEMs, (c) the KH-9 DEMs, and (d) Landsat imageries that were <a href="https://doi.org/10.1016/j.com/ntms/et/al-2016



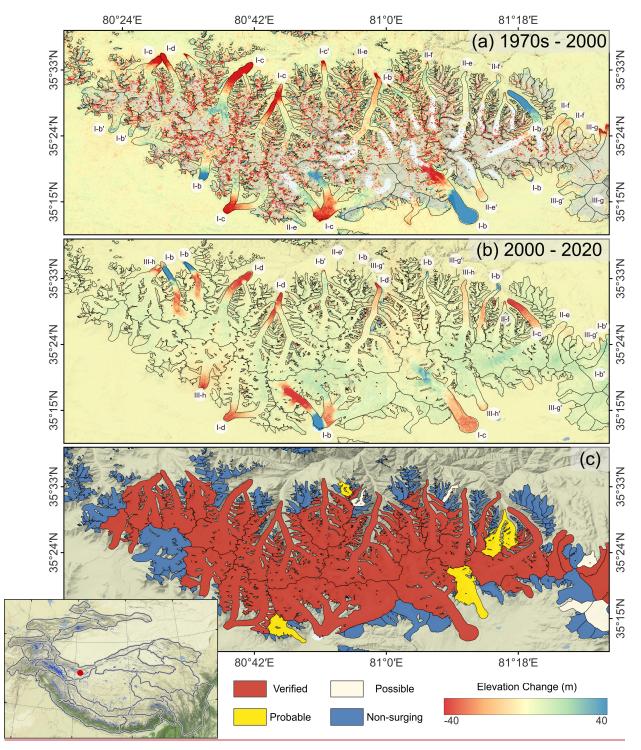


Figure 2: An example of derived elevation change maps during (a) 1970s-2000, (b) 2000-2020, and (c) the surging glacier identification results. Black curves are glacier outlines. The labels in panels (a) and (b) represent the identified classes based on the elevation change patterns (the criteria of identification isare elaborated in section 4.2.1 and Table 1). The subscript "in the labels indicates that the surging glacier is identified by combining other elevation change maps. The red circle in the inset panel denotes the location of the area in the main panel (Western Kunlun Shan). The background is the shaded relief of the COP30 DEM (Source: ESA). The area is in the main massif of Western Kunlun Shan.

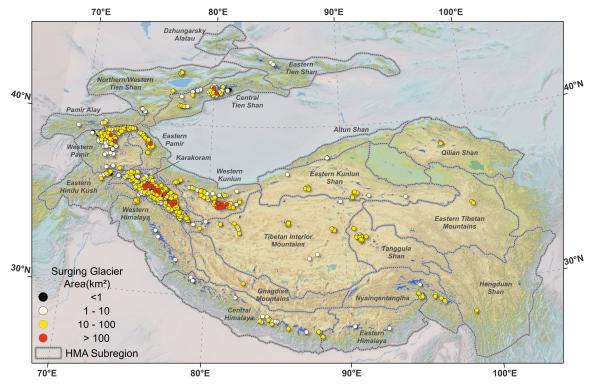
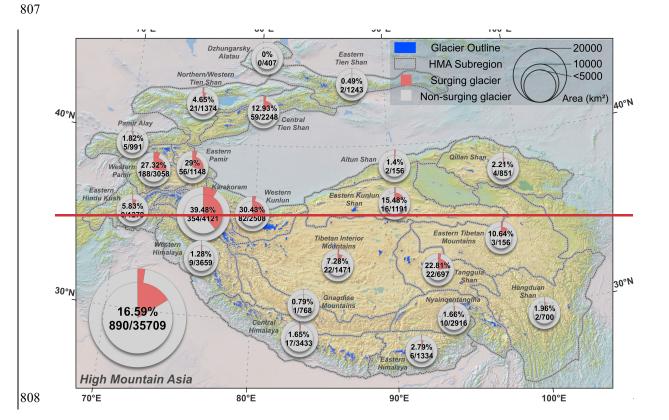


Figure 3: Overview of the distribution of identified surging glaciers in 22 subregions of HMA. The background is the shaded relief of SRTM DEM (Source: USGS).



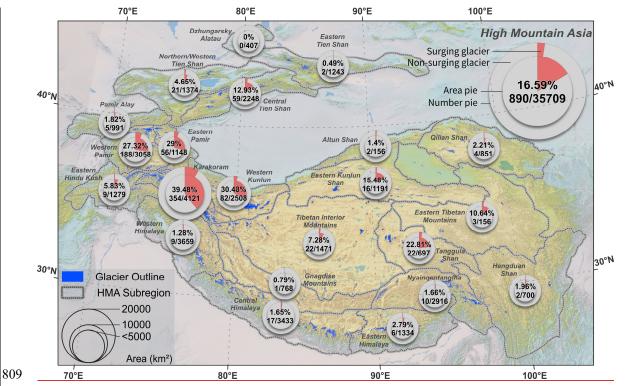


Figure 4: Distribution of surging glaciers in the 22 subregions of HMA. The double-level pie chart represents the ratios of surging glacier number and area in each subregion. The inner pie denotes the area ratio labeled by a percentage, and the outer pie denotes the number ratio labeled by a fraction (only glaciers larger than 0.4 km² are considered). The background is the shaded relief of SRTM DEM (Source: USGS).

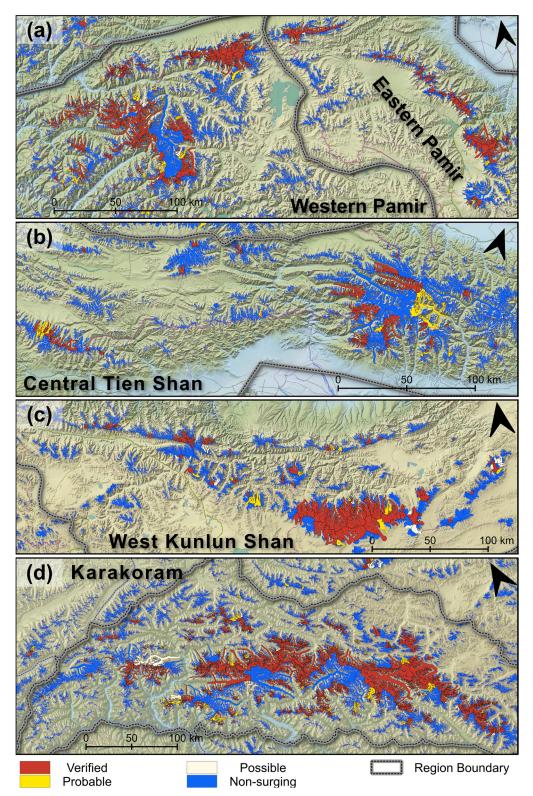


Figure 5: Results of surging glacier identification in (a) the Pamirs, (b) Central Tien Shan, (c) West Kunlun Shan, and (d) Karakoram. The background is the shaded relief of SRTM DEM (Source: USGS).

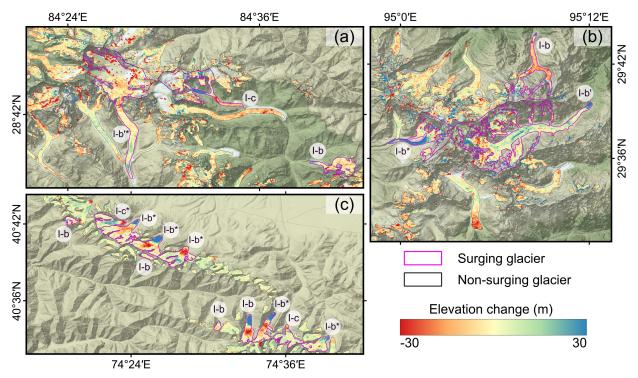


Figure 6: Elevation change map of identified surging glaciers samples in (a) Central Himalaya (1970s-2000), (b) Nyainqentanglha (1970s-2000), and (c) Northern Western Tien Shan (2000-2020). The labels in panels (a) and (b) represent the identified classes based on the elevation change pattern-(the criteria of identification are elaborated in section 4.2.1 and Table 1). The subscripts '*' and '' indicate that the identified class of the glacier is determined by combining morphological changes, and other elevation change maps, respectively. The background is the shaded relief of SRTM DEM (Source: USGS).

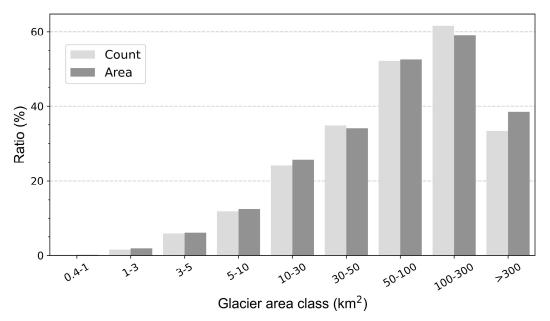


Figure 7: Illustration of the number and area ratios of surging glaciers for different area classes.

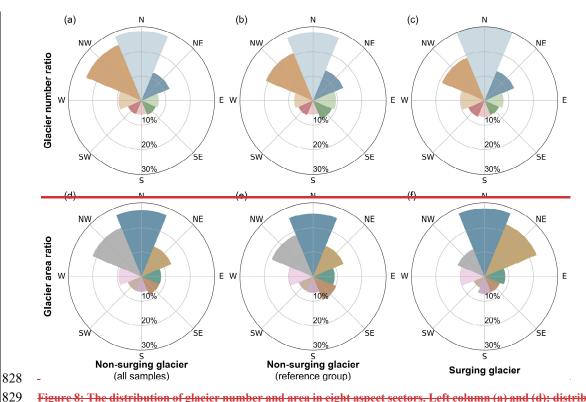


Figure 8: The distribution of glacier number and area in eight aspect sectors. Left column (a) and (d): distribution of glacier number and area ratio for non-surging glaciers; central column (b) and (e): distribution of glacier number and area ratio for non-surging glaciers in the reference group; right column (c) and (f): distribution of glacier number and area ratio for all surging glaciers. Glaciers smaller than 0.4 km²-were excluded from the non-surging glacier class.

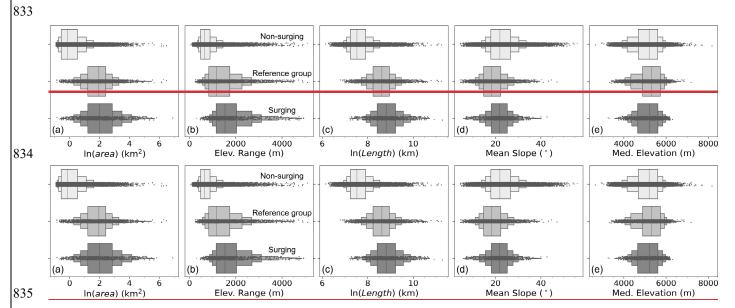


Figure 98: The comparison between the boxplots of geometric properties of non-surging glaciers (top), non-surging glaciers in the reference group (center), and surging glaciers (bottom). (a) Natural logarithm of area, (b) elevation range, (c) Natural logarithm of length, (d) Mean surface slope, (e) Median elevation. Glaciers smaller than 0.4 km² were excluded from the non-surging glacier class.

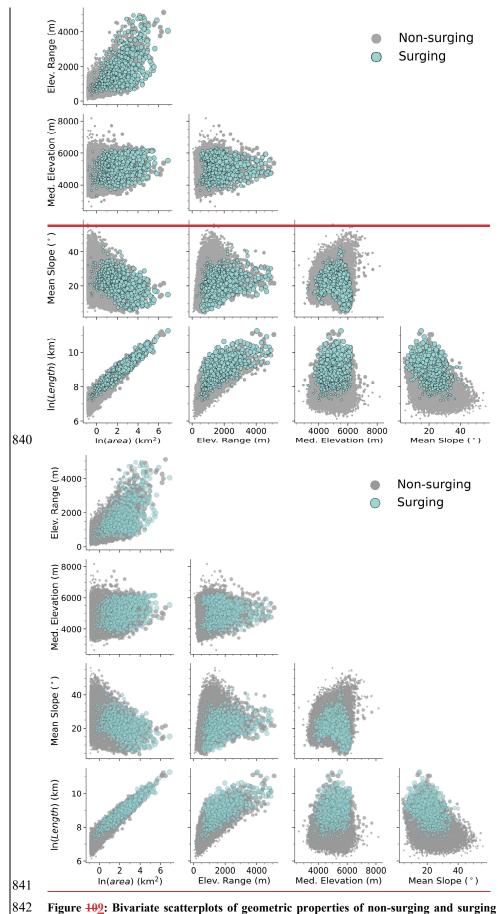


Figure $\frac{109}{2}$: Bivariate scatterplots of geometric properties of non-surging and surging glaciers. The larger dots represent larger glaciers. Glaciers smaller than $0.4~\mathrm{km^2}$ were excluded in the non-surging glacier class.

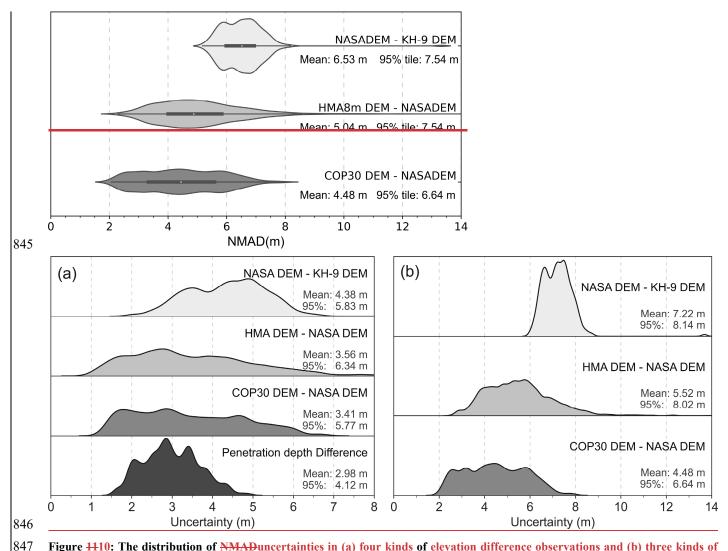


Figure 4110: The distribution of NMADuncertainties in (a) four kinds of elevation difference observations and (b) three kinds of glacier elevation change observations in stable areas of all DEM differencing tiles. In each category, the shaded area denotes the density distribution of the uncertainties in corresponding observations the NMAD of all DEM differencing tiles. The white dot denotes the median in each group. The thick line represents the interquartile range (IQR, i.e., 75th percentile 25th percentile) in each group. The thin line represents the range between the minimum value (25th percentile 1.5IQR) and the maximum value (75th percentile + 1.5IQR).

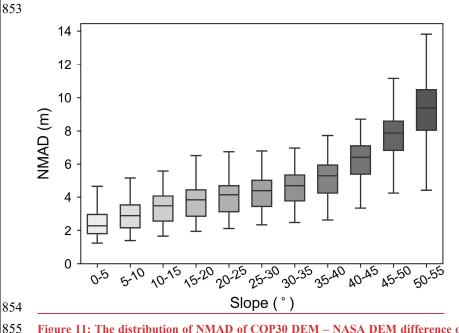


Figure 11: The distribution of NMAD of COP30 DEM – NASA DEM difference over stable regions within different slope ranges. The box denotes the interquartile range (IQR, i.e., 75th percentile-25th percentile) in each group. The horizontal line in the box

858 denotes the median value in each group. The upper and lower line represents the range between the minimum value (25th percentile - 1.5IQR) and the maximum value (75th percentile + 1.5IQR).