

# A dataset of 10-year regional-scale soil moisture and soil temperature measurements at multiple depths on the Tibetan Plateau

Pei Zhang<sup>1,2</sup>, Donghai Zheng<sup>2</sup>, Rogier van der Velde<sup>1</sup>, Jun Wen<sup>3</sup>, Yaoming Ma<sup>2</sup>, Yijian Zeng<sup>1</sup>, Xin
 Wang<sup>4</sup>, ZuoliangWang<sup>4</sup>, Jiali Chen<sup>2,5</sup>, and Zhongbo Su<sup>1</sup>

5 <sup>1</sup>Faculty of Geo-Information Science and Earth Observation (ITC), University of Twente, Enschede, 7514AE, the Netherlands

6 <sup>2</sup>State Key Laboratory of Tibetan Plateau Earth System, Environment and Resources, Institute of Tibetan Plateau Research, 7 Chinese Academy of Spinnese, Pailing, 100101, Chine

7 Chinese Academy of Sciences, Beijing, 100101, China

8 <sup>3</sup>College of Atmospheric Sciences, Chengdu University of Information Technology, Chengdu, 610225, China

9 <sup>4</sup>Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences, Lanzhou, 730000, China

10 <sup>5</sup>College of Earth and Environmental Sciences, Lanzhou University, Lanzhou, 730000, China

11

12 Correspondence: Donghai Zheng (zhengd@itpcas.ac.cn) and Zhongbo Su (z.su@utwente.nl)

13

14 Abstract. Soil moisture and soil temperature (SMST) are important state variables for quantifying exchange of heat and water between land and atmosphere. Yet, long-term regional-scale in-situ SMST measurements are scarce on the Tibetan Plateau 15 16 (TP), even fewer are available for multiple soil depths. "Tibet-Obs" is such a long-term regional-scale SMST observatory in the TP established 10 years ago that includes three SMST monitoring networks, i.e., Maqu, Naqu, and Ngari (including Ali 17 and Shiquanhe), located in the cold humid area covered by short grasses, the cold semiarid area dominated by tundra, and the 18 19 cold arid area dominated by desert, respectively. This paper presents a long-term (~10 years) SMST profile dataset collected 20 from the Tibet-Obs, which includes the original in-situ measurements at a 15-min interval collected between 2008 and 2019 21 from all the three networks and the spatially upscaled data (SMups and STups) for the Maqu and Shiquanhe networks. The quality 22 of the upscaled data is proved to be good with errors that are generally better than the measured accuracy of adopted SMST 23 sensors. Long term analysis of the upscaled SMST profile data shows that the amplitudes of SMST variations decrease with 24 increasing soil depth, and the deeper soil layers present later onset of freezing and earlier start of thawing and thus shorter 25 freeze-thaw duration in both Magu and Shiquanhe networks. In addition, there are notably differences noted between the 26 relationships of SM<sub>ups</sub> and ST<sub>ups</sub> under freezing conditions for the Maqu and Shiquanhe networks. No significant trend can be 27 found for the SM<sub>ups</sub> profile in the warm season (from May to October) for both networks that is consistent with the tendency 28 of precipitation. Similar finding is also found for the ST<sub>ups</sub> profile and air temperature in the Shiquanhe network during the 29 warm season. For the cold season (from November to April), a drying trend is noted for the SM<sub>ups</sub> above 20 cm in the Maqu 30 network, while no significant trend is found for those in the Shiquanhe network. Comparisons between the long-term upscaled 31 data and five reanalysis datasets indicate that none of current model-based products can reproduce the seasonal variations and 32 inter-annual trend changes of measured SMST profile dynamics in both networks. All the products underestimate the ST<sub>ups</sub> at 33 every depth, leading to earlier onset of freezing and later onset of thawing, which essentially demonstrates the current model 34 are not able to adequately simulate winter conditions on the TP. In short, the presented dataset would be valuable for evaluation



35 and improvement of long-term satellite- and model-based SMST products on the TP, enhancing the understanding of TP 36 hydrometeorological processes and their response to climate change. The dataset is available in the 4TU.ResearchData

37 repository at https://doi.org/10.4121/20141567.v1.

#### 38 1 Introduction

39 Soil moisture and soil temperature (SMST) are important state variables for quantifying water, energy, and carbon exchange 40 processes in the soil-vegetation-atmosphere system (Zheng et al., 2018a; van der Velde et al., 2009). Quantifying the seasonal dynamics and trend changes of the SMST is important to understand the response of hydrological cycle and vegetation 41 42 dynamics to climate change. Over the past decades, many efforts have been dedicated to obtain worldwide reliable SMST data 43 through in-situ measurements, remote sensing, and model simulations (Dorigo et al., 2011; Entekhabi et al., 2010; Rodell et 44 al., 2004). Thereinto, in-situ measurements are essential for the creation of ground reference for the validation of remote 45 sensing and model-based products (Colliander et al., 2017; Chen et al., 2017; Zeng et al., 2015), as well as improving model parametrizations (Zheng et al., 2017, 2015a, b) and remote sensing retrieval algorithms (Zheng et al., 2019, 2018b). Since the 46 47 SMST measurements at a single site cannot well represent the value of a satellite pixel or model grid due to spatial variability, 48 several regional-scale monitoring networks were established to collect SMST measurements at regional-scale, some of which 49 are contributing to the International Soil Moisture Network (ISMN) (Dorigo et al., 2011, 2021). 50 Known as the third pole, exchange of water and energy between land and atmosphere on the Tibetan Plateau (TP) plays a 51 crucial role in regulating climate processes in the Northern Hemisphere and the evolution of the Asian monsoon (Wu et al., 52 1998; Yao et al., 2012). Soil freeze-thaw (F/T) cycle is a typical process on the TP, which has a significant impact on the

- energy exchange between land and atmosphere as well as water cycle (Zheng et al., 2017, 2018a). Knowledge on SMST seasonal variations, trend changes and the F/T states on the TP can, therefore, contribute to a better understanding of the Asian monsoon circulation and cryosphere changes. However, SMST monitoring networks are scarce on the TP compared to its vast territory, and even fewer exist with a long time series measurements and/or with measurements at multiple soil depths. To our knowledge, there are only two operational SMST observatories that provide long-term measurements at multiple soil depths on the TP, i.e., Tibet-Obs (Tibetan Plateau observatory of plateau scale SMST) (Su et al., 2011; Zhang et al., 2021) and CTP-
- 59 SMTMN (Soil Moisture and Temperature Monitoring Network on the central TP) (Yang et al., 2013).
- The Tibet-Obs is the first operational SMST observatory on the TP that started to provide SMST measurements in 2008, which was designed to provide a representative coverage of distinct climate regimes and land surface conditions across the TP (Su et al., 2011). The Tibet-Obs comprises three in-situ monitoring networks, i.e., Maqu, Naqu, and Ngari (including Ali and Shiquanhe) (Fig. 1), which are respectively located in the cold humid area with cold dry winter and rainy summer covered by short grasses, the cold semiarid area dominated by tundra, and the cold arid area dominated by desert. In the Tibet-Obs, SMST sensors were installed at multiple depths, which facilitate the calibration/validation of satellite-based retrieval algorithms and products, as well as the model-based SMST products. Table 1 summarizes the main applications of the Tibet-Obs SMST data



67 with focus on simultaneous usage of SM and ST measurements or usage of SM/ST measurements at multiple depths for the 68 product validations. A summary related to the usage of only surface SM data is included in Zhang et al. (2021). Based on Table 1 and the summary made in Zhang et al. (2021), it may be concluded that the Tibet-Obs data were mainly applied to evaluate 69 70 surface SM products, whereas a few studies simultaneously evaluated SM and ST products, and even less focused on the 71 investigation of profile dynamics using measurements at multiple depths. In addition, most of previous studies focused on a 72 certain short-term period (e.g., several years) while the Tibet-Obs holds SMST data for more than 10 years (Zhang et al., 2021), 73 and most of current satellite- and model-based products also provide long-term (e.g.,  $\geq 10$  years) SMST data. Moreover, 74 previous assessments were mainly concentrated on estimating error metrics between SMST products and measurements, while 75 how well these SMST products can capture the long-term trend and variations of in-situ SMST dynamics is still unknown. 76 Therefore, development of a long-term dataset of SMST measurements at multiple depths based on the Tibet-Obs is essential 77 to comprehensively assess and improve the reliability of current SMST products regarding to seasonal variations and trend 78 changes, enhancing their applications to improve our understanding on changes of hydrological and cryosphere processes on 79 the TP. 80 In this paper, we present a long-term (~10 years) SMST profile dataset collected from the Tibet-Obs, which expands the surface

SM dataset introduced by Zhang et al. (2021) to include both SM and ST measurements collected at multiple depths. The analysis of seasonal dynamics and trend as well as validation of model-based products are also extended to multiple depths for an approximately 10-year period. In the Tibet-Obs, Decagon (now: METER Group) EC-TM/5TM probes and EM50 data loggers were deployed for each site at multiple depths (e.g., 5, 10, 20, 40, 60 or 80 cm below the surface) to record SMST profile measurements with a 15-minute interval. The presented SMST profile dataset includes in-situ measurements collected between May 2008 and August 2019 for all three networks of the Tibet-Obs, and spatially upscaled data for the Maqu and Shiquanhe networks.

The objective of this paper is two folds: 1) to describe the long-term in-situ SMST profile dataset including its generation and validation, and 2) to demonstrate its uniqueness for evaluating model-based SMST profile products for a long-term period (~10 years). The paper is organized as follows: Section 2 describes the in-situ SMST measurements collected from the Tibet-Obs, as well as other data used in this research including meteorological data and model-based products. Section 3 presents the spatial upscaling method, data pre-processing steps, statistical performance metrics, and Mann-Kendall trend test methods. The preliminary analysis and applications of the SMST profile dataset are presented in Section 4. The information of data availability is shown in Section 5. Finally, the conclusions are drawn in Section 6.





#### 95 2 Data

#### 96 2.1 Tibet-Obs network and in-situ SMST profile measurements

#### 97 2.1.1 Network design and instrumentation

98 The Tibet-Obs was originally established in 2008 and includes three regional-scale SMST monitoring networks (Fig. 1): the 99 Maqu network at the eastern TP located in cold humid climate area, the Naqu network in the central TP located in cold semiarid 100 climate area, and the Ngari network (including Ali and Shiquanhe) in the western TP located in cold arid climate area. Each network includes various numbers of in-situ SMST monitoring sites, and each monitoring site is configured with one Decagon 101 102 EM50 data logger and several Decagon SMST probes (i.e., EC-TM and 5TM) to record SMST profile dynamics every 15-103 minute. The SMST probes were installed with the pins inserted in horizontal direction at multiple depths up to 80 cm (see Fig. 1f). The measured range of the ST sensor is from -40 to 60 °C at 0.1 °C resolution with ± 1 °C accuracy. The SM sensor 104 measures liquid water content at a 0.0008 m<sup>3</sup> m<sup>-3</sup> resolution with  $\pm$  0.03 m<sup>3</sup> m<sup>-3</sup> accuracy. The accuracy of the SM sensor was 105 106 further improved via a soil-specific calibration, leading to a root mean square difference (RMSD) of about 0.02 m<sup>3</sup> m<sup>-3</sup> (Dente et al., 2012). Nominally instruments maintenance, battery replacement, and data collection took place every year. Several 107 108 initially established SMST monitoring sites were damaged by local people or animals, and there are more than 15 sites newly 109 installed between 2014 and 2016 (see Figs. A1-A3). Therefore, there are only few monitoring sites that could provide long-110 term continuous SMST data records throughout the period from 2008 to 2019. Brief descriptions of SMST profile data records 111 at each monitoring network are further provided in the following subsections, and additional information about the Tibet-Obs 112 can be found in Zhang et al. (2021) and Su et al. (2011).

#### 113 **2.1.2 Magu network**

The Magu network is located in the headwaters of the Yellow River (33.60°-34.20°N, 101.70°-102.70°E) with a land cover 114 115 dominated by short grasses. It covers a large river valley and its surroundings have elevations varying from 3400 to 3800 m above sea level (a.s.l). Its annual mean air temperature is about 1.2 °C and precipitation is around 600 mm per year. The Maqu 116 117 network includes 26 SMST monitoring sites and covers an area of approximately 40 km by 80 km (Fig. 1b). There are 13 sites 118 collecting SMST measurements at depths of 5, 10, 20, 40 and 80 cm, 4 sites with measurements at 5, 10, 20, and 40 cm, one 119 site with measurements at 5, 10, and 20 cm, and 8 sites with measurements at 5 and 10 cm. The corresponding data length for 120 every depth of each site is presented in Fig. A1 for every year from May 2008 to May 2019. Eight initially established 121 monitoring sites were damaged before 2015, and 6 new sites were installed between 2014 and 2016. Fig. 2a shows further the number of available monitoring sites for collecting SMST measurements at different depths in the Maqu network for every 122 123 month between 2008 and 2019. The number of available monitoring sites providing SMST measurements of 5 cm is up to 19 124 in 2009, which however, decreased as time progressed. The number of sites providing SMST measurements of 10 cm is 125 comparable to that of 5 cm, but the SMST measurements at 20, 40, and 80 cm depths are considerably less. It can be found 126 that the period between May 2010 and May 2011 contains the largest number of available monitoring sites. Among all the



sites, the CST05 and NST01 sites provide with 11 years of data the longest records of SMST measurements for depths of 5,
10, 20, 40, and 80 cm from 2008 to 2019 (see Fig. A1).

#### 129 2.1.3 Ngari network

130 The Ngari network is located in the Ngari prefecture and includes the Shiquanhe and Ali networks. The land cover of the network is dominated by desert system at elevations varying from 4200 to 4700 m a.s.l. Its annual mean air temperature is 131 132 about 7.0 °C and precipitation is less than 100 mm per year. The Shiquanhe network situated in vicinity of the Shiquanhe 133 county (32.36°-32.76°N, 79.75°-80.25°E), which includes 20 monitoring sites and covers an area of approximately 30 km by 40 km (Fig. 1d). There are 9 sites collecting the SMST measurements at depths of 5, 10, 20, 40, and 60 cm, 9 sites with 134 135 measurements at 5, 10, 20, and 40 cm, and 2 sites with measurements at 5, 10, and 20 cm. The corresponding data length for 136 every depth of each site is presented in Fig. A2 for every year from August 2010 to August 2019. Six initially established 137 monitoring sites were damaged before 2016, and 5 new sites were installed in 2016. Fig. 2b shows further the number of available monitoring sites for collecting SMST measurements at different depths in the Shiquanhe network every month 138 between 2010 and 2019. The number of available monitoring sites providing SMST measurements of 5 cm is up to 14 in 2010, 139 140 which then decreased as time progressed until 2016 when new additional sites were installed, making the total up to 13 sites 141 in 2017. The number of sites proving SMST measurements of 10, 20, and 40 cm are comparable to that of 5 cm, which is, 142 however, significantly less for the SMST measurements at 60 cm. It can be also found that the period between August 2017 143 and August 2018 contains the largest number of available monitoring sites. Among all the sites, the SQ03 and SQ14 sites 144 provide with 10 years of data the longest records of SMST measurements for depths of 5, 10, 20, and 40 cm from 2010 to 2019 145 (see Fig. A2). The Ali network is located near the Ngari station for the Desert Environment Observation and Research of the Chinese Academy of Science (NASDE/CAS) (33.30°-33.50°N, 79.60°-79.80°E). It consists of 4 monitoring sites (Fig. 1c) that 146 147 all collect the SMST measurements at depths of 5, 10, 20, 40, and 60 cm. The corresponding data length for every depth and 148 each site are presented in Fig. A2 for every year from August 2010 to August 2019 as well. Fig. 2c shows further the number 149 of available monitoring sites for collecting SMST measurements at different depths in the Ali network every month between 150 2010 and 2018. It can be found that the number of available monitoring sites providing SMST measurements for every depth 151 is generally less than 4 and the valid data records are not continuous, and thus the Ali network will not be used for further analysis in this study. 152

#### 153 **2.1.4 Naqu network**

The Naqu network is located in the Naqu River basin (31.20°-31.40°N, 91.75°-92.15°E) with a land cover dominated by grassland (tundra). It covers a flat terrain with rolling hills at 4500 m a.s.l. on average. It exhibits the dry winter and rainy summer receiving about 400 mm precipitation per year. The Naqu network includes 11 SMST monitoring sites (Fig. 1e) that all collect the SMST measurements at around 5, 10, 20, 40, and 60 cm depths. The corresponding data length for every depth of each site is presented in Fig. A3 for every year from June 2010 to August 2019. Three initially established monitoring sites





were damaged before 2016, and 4 new sites were installed in 2016. Fig. 2d shows further the number of available monitoring sites for collecting SMST measurements at different depths in the Naqu network every month between 2010 and 2019. The number of available monitoring sites providing SMST measurements for every depth is generally less than 4 before 2016, which increased significantly after 2016 but with continuous valid data of less than 2 years. Therefore, the SMST data in the Naqu network will also not be used for further analysis in this study.

#### 164 2.2 Meteorological data

165 Precipitation and air temperature used in this study for the Maqu and Shiquanhe networks are obtained from the meteorological 166 dataset provided by the China Meteorological Administration (CMA). The dataset includes air pressure, air temperature, 167 evaporation, precipitation, relative humidity, sunshine duration, and wind speed, which were collected by the automatic weather stations. The daily precipitation and air temperature collected at the Maqu (34.00°N, 102.08°E) and Shiquanhe 168 169 (32.50°N, 80.08°E) weather stations are used for comparison with the time series of SMST profile data, and the corresponding 170 monthly values are used for trend analysis. The daily precipitation is the cumulative value for the period between 20h of the previous day and 20h of the current day in Beijing time, while the daily air temperature is the mean value. The monthly 171 172 precipitation is calculated by summing the daily precipitation, while the monthly mean air temperature is the average of daily 173 air temperature within each month.

#### 174 2.3 Model-based SMST products

Basic information of selected model-based SMST products is given in Table 2, and brief descriptions of each product areprovided in the following subsections.

#### 177 2.3.1 ERA5

The ERA5 is a reanalysis product obtained through the assimilation of as many observations as possible in the upper air and near surface. The SMST data are available from 1979 till present, with a grid spacing of 0.25°\*0.25° and a temporal resolution of hourly. The SMST data of the top three model layers are used in this study, which represent the soil depths of 0-7, 7-28, and 28-100 cm, respectively. The ERA5 product is available in the Climate Change Service (CSC) Climate Data Store (CDS) at https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=form (last access: 27<sup>th</sup> June 2022). More information about the ERA5 product can be found in Hersbach et al. (2020).

#### 184 2.3.2 GLDAS-2.1 CLSM

185 The GLDAS-2.1 CLSM product (Global Land Data Assimilation System Version 2 Catchment Land Surface Model) is based

- 186 on simulations by the Catchment-F2.5 land surface model (LSM) performed with the Land Information System (LIS) Version
- 187 7. The SMST data are available from 2000 till present, with a grid resolution of  $1.0^{\circ}*1.0^{\circ}$  and at a time interval of 3-hour. The
- 188 ST data for the depths of 0-10, 10-29, and 29-68 cm are selected in this study, and the surface SM (0-2 cm) and rootzone SM



189 (0-100 cm) data are also used. The GLDAS-2.1 CLSM product is available in the Goddard Earth Science Data and Information

- $190 \quad \text{Services Center} \ (\text{GES DISC}) \ \text{at https://disc.gsfc.nasa.gov/datasets/GLDAS\_CLSM10\_3H\_2.1/summary} \ (\text{last access: } 27^{\text{th}} \ \text{June} \ \text{Services Center} \ (\text{GES DISC}) \ \text{at https://disc.gsfc.nasa.gov/datasets/GLDAS\_CLSM10\_3H\_2.1/summary} \ (\text{last access: } 27^{\text{th}} \ \text{June} \ \text{Services Center} \ \text{Services Cente$
- 191 2022). More information about the GLDAS product can be found in Rodell et al. (2004).

#### 192 2.3.3 GLDAS-2.1 Noah

- 193 The GLDAS-2.1 Noah product is based on the Noah LSM version 3.6 simulations performed with the LIS Version 7. The
- 194 SMST data are available from 2000 to present, with a grid resolution of  $0.25^{\circ}*0.25^{\circ}$  and with a 3-hour interval. The SMST
- 195 data for the depths of 0-10, 10-40, and 40-100 cm are used in this study. The GLDAS-2.1 Noah product is available in the
- 196 GES DISC at https://disc.gsfc.nasa.gov/datasets/GLDAS\_NOAH025\_3H\_2.1/summary (last access: 27th June 2022).

#### 197 2.3.4 GLDAS-2.1 VIC

The GLDAS-2.1 VIC (Variable Infiltration Capacity) product is based on the VIC 4.1.2 LSM simulations performed with the LIS Version 7. The coverage period, grid spacing and time interval of the SMST data are the same as the GLDAS-2.1 CLSM product. The SMST data of the first and second model layers are selected in this study. The surface layer has a 30 cm depth, whereas the depth of second layer varies with region that is about 30-130 cm for our study areas as can be found at https://ldas.gsfc.nasa.gov/gldas/specifications (last access: 27<sup>th</sup> June 2022). The GLDAS-2.1 VIC product is available in the GES DISC at https://disc.gsfc.nasa.gov/datasets/GLDAS\_VIC10\_3H\_2.1/summary (last access: 27<sup>th</sup> June 2022).

#### 204 2.3.5 MERRA2

- The MERRA2 (Modern-Era Retrospective analysis for Research and Applications version 2) is the latest version of global atmospheric reanalysis product, which uses the Goddard Earth Observing System Model (GEOS) version 5.12.4. The SMST data are available from 1980 to present, with a grid size of 0.5°\*0.625° and hourly interval. The ST data of the top three model layers as well as SM data of surface (0-5 cm) and rootzone (0-100 cm) are selected in this study. The layer thicknesses of model layers for the ST data also varies with region, which are 0-10, 10-30, and 30-70 cm for our study areas as can be found at https://disc.gsfc.nasa.gov/datasets/M2C0NXLND\_5.12.4/summary (last access: 27<sup>th</sup> Feb 2022). The MERRA2 product is
- 211 available in the GES DISC at https://disc.gsfc.nasa.gov/datasets/M2T1NXLND\_5.12.4/summary (last access: 27<sup>th</sup> June 2022).
- 212 More information about the MERRA2 product can be found in Gelaro et al. (2017).

#### 213 3 Methods

#### 214 3.1 Production and uncertainty analysis of upscaled SMST profile dataset

215 Spatial upscaling is used to create regional-scale SMST data from in-situ measurements collected at individual location that

216 matched with the spatial domain of satellite-based and model-based products. Zhang et al. (2021) demonstrated the good



(1)

- 217 performance of the arithmetic averaging approach in upscaling the surface SM of the Tibet-Obs network, which is also adopted 218 in this study to obtain the regional-scale SMST profile data for Magu and Shiquanhe.
- The arithmetic averaging method assigns equal weights to each SMST monitoring site of the network, which can be formulatedas:

221 
$$X_t^{ups} = \frac{1}{n} \sum_{i=1}^{M} X_{ti}^{obs}$$

where *t* represents the time in days, *i* represents the *i*<sup>th</sup> SMST monitoring site, *M* represents the total number of monitoring sites,  $X_t^{ups}$  stands for the upscaled SMST, and  $X_{t,i}^{obs}$  is the SMST measurements for the *i*<sup>th</sup> site.

224 Considering that the number of available SMST monitoring sites in the Tibet-Obs network generally changes with time (see 225 Fig. 2), Zhang et al. (2021) suggested to use only the sites that provide the longest continuous measurements to obtain the 226 long-term upscaled dataset. They also showed that the upscaled surface SM with input of all active monitoring sites regardless 227 of the continuity tends to produce an inconsistent trend. Therefore, we use the sites of Maqu and Shiquanhe networks that have the longest records of SMST profile data from 2009 to 2019 to produce the long-term upscaled dataset. Specifically, 228 229 measurements collected from the CST05 and NST01 sites in the Magu network are selected to produce the long-term regionalscale SMST dataset for depths of 5, 20, 40, and 80 cm for the period between May 2009 and May 2019. The measurements at 230 231 the 10 cm are not used for the upscaling because the sensor at the 10 cm of CST05 site was changed one time in the mid of 232 May 2011 which leads to a discontinuity in the collected time series. As in Zhang et al. (2021), the measurements collected in the year with the largest number of available monitoring sites, i.e., May 2010 and May 2011 for the Magu network (see Fig. 233 234 2), are used to preliminarily quantify the uncertainty of upscaled SMST profile data, whereby the average of the measurements 235 at all the available sites are treated as ground reference for the Maqu network. Similarly, measurements collected from the 236 SQ03 and SQ14 sites in the Shiquanhe network are selected to produce the long-term regional-scale SMST dataset for depths of 5, 10, 20, and 40 cm for the period between August 2010 and August 2019 since both sites only provide SMST profile 237 238 measurements up to 40 cm. The average of measurements collected at the period between August 2017 and August 2018 that 239 has the largest number of available sites are used to quantify the uncertainty of upscaled SMST data in the Shiquanhe network.

#### 240 3.2 Pre-processing of model-based products

We select five widely-used model-based products (see Section 2.3) which contain both SM and ST profile simulations. To make an objective evaluation of these products using the Tibet-Obs in-situ SMST data, some essential pre-processing steps are undertaken regarding to three aspects: unify time interval and units of SMST simulations, determine number of model grids that cover the in-situ network, and match the model layers to the depths of in-situ measurements.

The units of SM data from the GLDAS-2.1 CLSM, Noah, and VIC products is converted from "kg m<sup>-2</sup>" to "m<sup>3</sup> m<sup>-3</sup>", and the units for the ERA5 and MERRA2 SM data is already with "m<sup>3</sup> m<sup>-3</sup>". The units of ST data from all the model-based products is converted from "K" to "°C". The hourly or 3-hour SMST data from all the products are averaged to daily values. We define the period between 1<sup>st</sup> May and 31<sup>st</sup> October as the warm season, and the period between 1<sup>st</sup> November of the previous year



and 30<sup>th</sup> April of the following year as the cold season. The ERA5, GLDAS-2.1 CLSM and VIC SM data in the cold seasons are excluded for the analysis in this study since their values represent the total soil water content including both liquid water and ice content, while the in-situ SM data only provide measurements of liquid water content.

252 All the model grids falling into the scope of in-situ network are extracted from each product. Afterwards, the native grids of

each product are downscaled to 0.25°\*0.25° sub-grid cells using a bilinear interpolation. Subsequently, the SMST data in all

the sub-grid cells falling into the scope of in-situ network are averaged to match the upscaled in-situ SMST data that represent

255 the regional-scale mean values of in-situ network (see Fig. B1).

256 To match the depths of in-situ SMST measurements, the model-based SMST data are resampled across the vertical soil profile

257 using the linear interpolation method. We assume that the SMST values of each model layer are representative for the mid-

258 point of this layer. For example, the SMST for the layer of 10-40 cm in the GLDAS-2.1 Noah product are representative for

259 the depth of 25 cm. The detailed calculation processes are presented in the Appendix B.

#### 260 3.3 Statistical indicator

Four statistical indicators are used in this study for the evaluation of upscaled in-situ SMST data as well as the model-based products, including Bias, root-mean-square-difference (RMSD), unbiased RMSD, and Pearson correlation coefficient (R). They can be formulated as:

264 Bias = 
$$\frac{\sum_{t=1}^{n} (X_t^{est} - X_t^{obs})}{N}$$
 (2)

265 RMSD = 
$$\sqrt{\frac{\sum_{t=1}^{n} (X_t^{obs} - X_t^{est})^2}{N}}$$
 (3)

$$266 \quad ubRMSD = \sqrt{RMSD^2 - Bias^2} \tag{4}$$

267 
$$R = \frac{\sum_{t=1}^{n} (X_t^{obs} - \overline{X^{obs}})}{\sqrt{\sum_{t=1}^{n} (X_t^{obs} - \overline{X^{obs}})^2} \sqrt{\sum_{t=1}^{n} (X_t^{est} - \overline{X^{est}})^2}}$$
(5)

where *N* denotes the number of data points. For the evaluation of upscaled in-situ SMST data,  $X_t^{obs}$  represents the mean SMST of the largest number of available monitoring sites in a certain year for each in-situ network (see Section 3.1), and  $X_t^{est}$ represents the upscaled SMST based on the monitoring sites that provide the longest continuous measurements as input. For the assessment of model-based products,  $X_t^{obs}$  represents the upscaled SMST for each in-situ network, and  $X_t^{est}$  represents the SMST simulations derived from each product.

#### 273 3.4 Trend analysis

The Mann Kendall trend test is used in this study to determine whether a trend is presented within the long-term SMST time

275 series derived either from the upscaled in-situ measurements or from the model-based products. The trend analysis is also

276 performed for the precipitation and air temperature data for comparison purposes. The trend analysis is respectively carried

277 out over the warm season, the cold season, and the full year. Therefore, the data points are monthly mean values of each year



for calculating seasonal statistics instead of annual mean value, and all missing data points are assigned an equal value smaller than existed valid data points. If the trend test results show a significant upward or downward tendency, the Sen's slope estimate method is adopted to quantify the magnitude of the tendency. A detailed description of the trend analysis process can be found in Appendix C.

#### 282 **4 Results**

Section 4.1 presents the upscaled SMST profile data for the Maqu and Shiquanhe networks spanning the 10-year period from 2010 to 2019 (see Section 3.1), as well as the analysis results for the SMST seasonal dynamics, trend test, detection of F/T state and soil freezing characteristics at different depths. The uncertainty analysis results for the upscaled SMST profile data are given in Section 4.2. Application of the upscaled data to evaluate the performance of model-based products is presented in Section 4.3 to demonstrate its suitability for the evaluation of readily available SMST profile products.

#### 288 4.1 Analysis of the upscaled SMST profile measurements

#### 289 **4.1.1 Maqu network**

290 Figs. 3a and 3c show the time series of upscaled daily SM (SM<sub>ups</sub>) and ST (ST<sub>ups</sub>) at depths of 5, 20, 40, and 80 cm from 291 January 2010 to December 2018 for the Maqu network, respectively. The daily precipitation (P) and air temperature ( $T_a$ ) 292 collected from the Maqu weather station (Fig. 1b) are also shown for comparison purposes. The time series of the SM<sub>ups</sub> at 293 different depths shows similar seasonal variations, with high values in warm summer with larger amounts of rainfall and low 294 values in cold winter with soil freezing and much smaller amounts of snowfall. The amplitudes of SM<sub>ups</sub> variations generally 295 decrease with increasing soil depth, with larger variations noted for soil layers above 20 cm, and smallest one at the deepest depth of 80 cm. The soil layers below 20 cm are dryer than the upper layers in the warm season. The time series of the ST<sub>ups</sub> 296 297 at different depths also show similar seasonality with peak values in summer and lowest values in winter that is in agreement with the seasonal  $T_a$  dynamics. The soil layers above 40 cm generally drop below 0 °C in winter, while the ST<sub>ups</sub> of 80 cm is 298 299 always greater than 0 °C throughout the year, indicating that the maximum freezing depth in the Maqu network is shallower 300 than 80 cm. The magnitude of ST<sub>ups</sub> variations also diminish with increasing soil depth.

301 Figs. 3b and 3d further show the SM<sub>ups</sub> and ST<sub>ups</sub> profile dynamics with 15-min interval for a single year between May 2010 302 and May 2011, which confirm that amplitudes of both SMups and STups variations decrease with depth. The SMups variations at 303 5 and 20 cm are comparable to each other and larger than those at 40 and 80 cm, which also show better response to the 304 precipitation in rainy season. Obvious diurnal cycles can be noted for the  $ST_{ups}$  at 5 cm, which diminish with depth and are 305 virtually absent at 40 cm. The ST<sub>ups</sub> at 5 cm starts to drop below 0 °C around mid-November, leading to a sharp decrease of 306 surface SM<sub>ups</sub> due to freezing of the soil. The deeper layers gradually freeze as time progresses, and the freezing depths reach 307 its peak around mid-February. Later on, the soil starts thawing with a sharp increase of SMups as the STups rises above 0 °C, and the entire soil profile is totally thawed around the mid-April. In general, both start date of soil freezing and end date of soil 308



309 thawing increase with increasing soil depth. To further explore the characteristics of F/T cycle in the Magu network, Fig. 3e 310 shows the freezing start day (FSD), thawing end day (TED), and F/T duration of each year for the depths of 5, 20 and 40 cm 311 during the study period, and the 80 cm layer does not freeze (see Figs. 3c and 3d). The FSD is defined as the first day that the 312 daily ST drops below 0 °C along with sharp SM decrease in current year, and the TED is the last day of ST below 0 °C in next year. The number of days between the FSD and TED is referred to as the F/T duration. There is no specific information of the 313 314 FSD and TED in 2017 for the depths of 5 and 20 cm due to missing data of in-situ ST measurements in this period, and the 315 same holds for the soil depth of 40 cm between 2015 and 2018 (see Figs 2a and A1). It can be observed that the inter-annual 316 variabilities of the FSD, TED, and F/T duration for each depth are within 30 days, and no significant trend is found. It also 317 confirms that the deeper layer generally shows late onset of freezing and an earlier start of thawing every year leading to 318 shorter F/T duration.

319 Figs. 4a and 4b show the Mann Kendall trend test and Sen's slope estimate for the 9-year (2010-2018) SMups and STups at 320 depths of 5 and 20 cm for the Magu network in the warm season, cold season, and full year. The trend analysis for the depth 321 of 40 cm is not presented since there is not long enough (< 7 years) continuous SMST time series due to missing data. The 322 trends of the P and T<sub>a</sub> are also shown in Figs. 4a and 4b, respectively. As described in Section 3.4, the time series would present 323 a significant trend if the absolute value of statistic Z is greater than 1.96 in this study. The results show that no significant trend 324 is found for the SM<sub>ups</sub> at 5 and 20 cm in the warm season like the P. For the cold season, the SM<sub>ups</sub> at depths of 5 and 20 cm 325 show a drying trend despite the absence of a P trend. Consequently, the  $SM_{ups}$  at 5 and 20 cm in the full year also show a 326 drying trend with the Sen's slopes of -0.004 and -0.002, respectively, which is in agreement with the P trend. The ST<sub>ups</sub> at depth of 5 cm shows a decreasing trend in the warm season while no significant trend is found for the  $T_a$  and ST<sub>ups</sub> at 20 cm. 327 328 In the cold season, there is no significant trend found for the  $T_a$  and  $ST_{ups}$  at 5 and 20 cm. For the full year, the  $ST_{ups}$  at 5 cm 329 shows a decreasing trend with a Sen's slope of -0.08 while no significant trend found for the  $ST_{ups}$  at 20 cm like the  $T_a$ .

330 Fig. 5 shows the soil freezing characteristics for the depths of 5, 20 and 40 cm for the Maqu network by plotting the ST<sub>ups</sub> 331 against corresponding measured unfrozen SM for all subzero temperatures during the freezing and thawing periods in the cold 332 season. The power function fitting curves to the soil freezing characteristics and corresponding fitting parameters are given in 333 figure for both freezing and thawing periods. The difference between the soil freezing characteristics of freezing and thawing 334 periods is much smaller at the surface layer (i.e., 5 cm), which increases with increasing soil depth. At the deeper soil layers (e.g., 20 and 40 cm), the freezing rate (i.e., the amount change of unfrozen SM with temperature) of unfrozen SM with 335 decreasing ST in the freezing period is larger than the thawing rate of ice content with increasing ST during the thawing period. 336 337 As such, the obtained parameter values of the power function fitting curves are identical to each other at the surface layer for 338 the freezing and thawing periods, which are different for the deeper soil layers. The obtained parameter values are also distinct

339 from each other at different soil layers, indicating the layering characteristics of frozen soil in the Maqu network.



#### 340 4.1.2 Shiquanhe network

341 Figs. 6a and 6c show the time series of daily SM<sub>ups</sub> and ST<sub>ups</sub> at depths of 5, 10, 20, and 40 cm from January 2011 to December 342 2018 for the Shiquanhe network, respectively. The daily P and  $T_a$  collected from the Shiquanhe weather station (Fig. 1d) are 343 also shown for comparison purposes. The SM<sub>ups</sub> time series at different depths display the similar seasonality to that found for 344 the Maqu network. The amplitudes of SMups variations generally decrease with increasing soil depth, with slightly larger 345 variations noted for soil layers above 10 cm, and smallest one at the deepest depth of 40 cm. The layers above 10 cm are dryer than the deeper layers in the warm season expect for the rainy period. The time series of the ST<sub>ups</sub> at different depths also show 346 347 the similar seasonality to that found for the Maqu network, whereas the amplitudes of  $ST_{ups}$  variations are larger than those of 348 the Maqu network and diminish with soil depth. The soil layers above 40 cm generally drop below 0 °C in winter, indicating 349 that the maximum freezing depth in the Shiquanhe network is deeper than 40 cm.

350 Figs. 6b and 6d further show the SMups and STups profile dynamics with 15-min interval for a single year between August 2017 351 and August 2018, which confirm that amplitudes of both  $SM_{ups}$  and  $ST_{ups}$  variations decrease with depth. The  $SM_{ups}$  variations 352 at 5 and 10 cm are comparable to each other and larger than those at 20 and 40 cm, which also show better response to the 353 precipitation. Obvious diurnal cycles can be noted for the ST<sub>ups</sub> at 5, 10, and 20 cm, which diminish with depth and are almost 354 absent at 40 cm. The ST<sub>ups</sub> at 5 and 10 cm starts to drop below 0 °C around early November, leading to a decrease of SM<sub>ups</sub> 355 due to soil freezing. The deeper layers freeze as time progresses, and the freeze depths reach its maximum around early January. 356 Later on, the soil starts thawing with an increase of  $SM_{ups}$  when the  $ST_{ups}$  rises above 0 °C, and the entire soil profile is totally 357 thawed around mid-March. To further explore the characteristics of F/T cycles in Shiquanhe, Fig. 6e shows the FSD, TED, 358 and F/T duration of each year for the depths of 5, 10, 20, and 40 cm during the study period. There is no specific information 359 of the FSD and TED in 2011 and 2013 for the depth of 5 cm due to missing data of in-situ ST measurements in this period, 360 and the same holds for the soil depths of 20 and 40 cm in 2018 (see Figs 2b and A2). In general, the FSD increases with 361 increasing soil depth whereas the TED is comparable at each depth. It can be observed that the inter-annual variabilities of the 362 FSD, TED, and F/T duration for each depth are within 20 days, and there is no significant trend found for them. It also confirms that the F/T cycles at 5 and 10 cm are almost the same with each other, and the deeper layers (i.e., 20 and 40 cm) generally 363 364 show late onset of freezing, leading to shorter duration.

- Figs. 7a and 7b show the trend analysis results for the 8-year (2011-2018) SM<sub>ups</sub> and ST<sub>ups</sub> at depths of 5, 20, and 40 cm for the
- 366 Shiquanhe network in the warm season, cold season, and full year. The trends of the P and  $T_a$  are also shown in Fig. 7a and
- 367 7b, respectively. The results show that no significant trend is found for the SM<sub>ups</sub> at all three depths in the warm season, which
- 368 is in agreement with the *P* trend. Meanwhile, the SM<sub>ups</sub> at 5 and 20 cm also do not show a significant trend in the cold season
- 369 like the *P*, whereas the SM<sub>ups</sub> at 40 cm shows a wetting trend. Consequently, the SM<sub>ups</sub> at 40 cm shows a wetting trend with a
- 370 Sen's slope of 0.001 while no trend found for the P and  $SM_{ups}$  at 5 and 20 cm for the full year. The  $ST_{ups}$  at all three depths do
- 371 not show a significant trend in the warm season, while an increasing trend is found in the cold season, which is in agreement



with  $T_a$  trend. For the full year, no trend is found for the ST<sub>ups</sub> at depths of 5 and 20 cm like  $T_a$ , while an increasing trend is found for ST<sub>ups</sub> of 40 cm.

Fig. 8 shows the soil freezing characteristics for the depths of 5, 20 and 40 cm for the Shiquanhe network. The fitted power functions to the soil freezing characteristics and the corresponding parameters are also given for the freezing and thawing periods. It is observed that there is no notable difference between the soil freezing characteristic of freezing and thawing periods at each depth. As such, the obtained parameter values of the power function fitting curves are identical for the freezing and thawing periods. However, the obtained parameter values are distinct from each other at different soil layers, indicating the layering characteristics of frozen soil in the Shiquanhe network.

#### 380 **4.2 Uncertainty analysis of the upscaled SMST profile dataset**

The spatial upscaling data is inevitably subject to uncertainty as a result of the SMST spatial variabilities. Therefore, in this section we quantify the uncertainties of the long-term upscaled SMST profile dataset for the Maqu and Shiquanhe networks via comparisons to the mean of SM and ST measurements collected during the year with the largest number of active monitoring sites that is considered as the "ground truth" (hereafter SM<sub>tru</sub> and ST<sub>tru</sub>) as shown in Zhang et al. (2021) (see Section 3.1). The selected validation periods are from 16 May 2010 to 15 May 2011 and from 1 September 2017 to 31 August 2018 for the Maqu and Shiquanhe networks, respectively.

- 387 Fig. 9a shows the comparisons between the time series of SM<sub>ups</sub> and SM<sub>tru</sub> at soil depths of 5, 20, and 40 cm with 15-min 388 interval for the Maqu network from 16 May 2010 to 15 May 2011, and the comparisons between the ST<sub>ups</sub> and ST<sub>tru</sub> profile 389 dynamics are shown in Fig. 9b. The statistical performance metrics, i.e., bias, RMSD, ubRMSD, and R, computed between 390 the upscaled SMST and the ground truth are shown in the figure as well. In general, the variations of  $SM_{ups}$  and  $SM_{tru}$  are 391 consistent with each other at every depth as indicated by very high R values ( $\geq 0.985$ ), yielding RMSD values of 0.025, 0.019, 392 and 0.030 m<sup>3</sup> m<sup>-3</sup> at the depths of 5, 20, and 40 cm, respectively. These RMSD values are comparable and even better than the 393 measurement accuracy (see Section 2.1), indicating the good performance for the SM<sub>ups</sub> profile data. The consistency between 394 the ST<sub>ups</sub> and ST<sub>tru</sub> variations is even better as indicated by higher R values ( $\geq 0.995$ ) for each soil depth, yielding RMSD values 395 of 0.7, 0.2, and 0.3 °C at the depths of 5, 20, and 40 cm, respectively. These RMSD values are also better than the reported 396 accuracy of temperature measurements (see Section 2.1), implying the good performance for the ST<sub>ups</sub> profile data as well. 397 Table 3 presents further the FSD, TED, and F/T duration for 5, 20, and 40 cm soil depths estimated based on the upscaled 398 SMST profile data and ground truth, respectively. The estimated FSD, TED, and F/T duration are close to each other especially
- at upper soil layers (e.g., 5 and 20 cm), and the noted differences for the FSD and TED are generally less than 3 days except
  that of TED at 40 cm, leading to differences of not more than 4 days for the F/T duration.
- Fig. 10a shows the comparisons between the time series of  $SM_{ups}$  and  $SM_{tru}$  at soil depths of 5, 20, and 40 cm with 15-min interval for the Shiquanhe network from 1 September 2017 to the 31 August 2018, and the comparisons between the  $ST_{ups}$  and  $ST_{tru}$  profile dynamics are shown in Fig. 10b. The statistical performance metrics are shown in the figures as well. Similar to the Maqu network, the variations of  $SM_{ups}$  and  $SM_{tru}$  are consistent with each other for each soil depth as indicated by high R



values (> 0.92), yielding RMSD values of 0.011, 0.009, and 0.010 m<sup>3</sup> m<sup>-3</sup> at the depths of 5, 20, and 40 cm, respectively. These RMSD values are much better than the measured accuracy of adopted SM sensor (see Section 2.1), indicating the good performance for the SM<sub>ups</sub> profile data. The consistence between the ST<sub>ups</sub> and ST<sub>tru</sub> variations is even better as indicated by higher R value ( $\geq$  0.97) for every soil depth. Table 3 presents further the FSD, TED, and F/T duration for 5, 20, and 40 cm soil depths estimated based on the upscaled SMST profile data and ground truth, respectively. The estimated FSD, TED, and F/T duration are close to each other especially at upper soil layers (e.g., 5 and 20 cm), and there is little difference for the FSD and TED except that of TED at 40 cm, leading to differences of not more than 8 days for the F/T duration.

#### 412 4.3 Application of the upscaled SMST profile dataset to validate model-based products

To demonstrate the uniqueness of the upscaled SMST profile dataset for validating existing products for a long-term period, the performance of five model-based products is investigated in this section, including the ERA5, MERRA2, GLDAS-2.1 CLSM (hereafter CLSM), GLDAS-2.1 Noah (hereafter Noah), and GLDAS-2.1 VIC (hereafter VIC) (see Section 2.3). The performance of these model-based products in capturing the SMST seasonal variations, long-term trend changes, and the F/T

417 cycle at depths of 5, 20, and 40 cm in the Maqu and Shiquanhe networks is evaluated. The cold season SM data of the ERA5,
418 CLSM, and VIC products are excluded for the analysis since their values represent the total soil water content while the in-

419 situ sensors can measure the liquid soil water content in frozen soil.

#### 420 4.3.1 Maqu network

421 Figs. 11a-11c show the time series of daily average SM at soil depths of 5, 20, and 40 cm derived from the SMups and the five 422 model-based products from January 2010 to December 2018 for the Maqu network. The error metrics, i.e., bias, RMSD, ubRMSD, and R, computed between the five model-based SM data and the SM<sub>ups</sub> for the warm and cold season are listed in 423 424 Table 4. Among the five model-based products, the ERA5 SM product agrees best with the SMups at 5 and 20 cm in the warm 425 season with the lowest RMSD values of 0.053 and 0.032 m<sup>3</sup> m<sup>-3</sup> and the largest R values of 0.76 and 0.74, but it tends to 426 overestimate the SM<sub>ups</sub> at 40 cm with a bias of 0.108 m<sup>3</sup> m<sup>-3</sup>. Similarly, the VIC SM product is also able to capture the magnitude 427 of SM<sub>ups</sub> dynamics at 5 and 20 cm in the warm season with slightly larger RMSD values of 0.060 and 0.049 m<sup>3</sup> m<sup>-3</sup>, but also overestimates the SM<sub>ups</sub> at 40 cm with a bias of 0.088 m<sup>3</sup> m<sup>-3</sup>. The other three products tend to considerably underestimate the 428 429 SM<sub>ups</sub> at 5 and 20 cm in the warm season, but they yield better estimates of the SM at 40 cm as indicated by smaller biases and RMSD values. In the cold season, the Noah SM product generally captures well the SM<sub>ups</sub> variations at surface layer (i.e., 5 430 cm) but overestimates the SM<sub>ups</sub> at deeper layers (e.g., 20 and 40 cm), and overestimations are also found for the MERRA2 431 432 products at all the depth. The trend analysis results for the five model-based SM data are also presented in Fig. 4a. The results 433 show that no significant trend is found for any of five model-based SM products at every depth in the warm season, which is in agreement with the trend of SMups. Both Noah and MERRA2 SM products are able to reproduce the drying trend noted for 434 435 the  $SM_{ups}$  in the cold season and full year except for the Noah SM product of 5 cm.



436 Figs. 11d-11f show the time series of monthly average ST at soil depths of 5, 20, and 40 cm derived from the ST<sub>ups</sub> and the 437 five model-based products for the Maqu network. The corresponding error metrics computed by daily ST<sub>ups</sub> are listed in Table 438 4 as well. In general, the five model-based ST products have similar performance and can well capture the seasonal variations 439 of  $ST_{ups}$  at every depth. However, they tend to underestimate the  $ST_{ups}$  across the entire study period, and the magnitude of 440 underestimations generally increases with increasing soil depths. The trend analysis results for the five model-based ST data 441 are also presented in Fig. 4b. At the surface layer (i.e., 5 cm), only the VIC ST product shows a decreasing trend in the warm 442 season like the ST<sub>ups</sub>, while no significant trend is found for other products. In the cold season, there is no significant trend 443 presented for the CLSM, Noah, and MERRA2 ST products at surface layer that is consistent with ST<sub>ups</sub>, while the other two 444 products show a decreasing trend. For the full year, the Noah and VIC ST products are able to reproduce the decreasing trend 445 found for the ST<sub>ups</sub> of 5 cm, whereas no significant trend is found for other products. The trends for the deeper soil layers (i.e., 446 20 and 40 cm depths) are consistent with each other for each model-based ST product, and there is no significant trend found 447 for the products in both warm and cold season like that ST<sub>ups</sub>, expect the VIC ST product shows a decreasing trend. 448 Consequently, the ERA5, CLSM, and MERRA2 ST products do not show significant trend at deeper layers in the full year, 449 that is consistent with ST<sub>ups</sub>, whereas the VIC product of two depths and Noah product of 20 cm show a decreasing trend for 450 the full year.

451 To further investigate the performance of five model-based products in capturing the characteristics of F/T cycle in the Magu 452 network, Fig. 12 shows the FSD, TED, and F/T duration derived from the five model-based products and upscaled dataset for 453 each year during the study period. It can be observed that all the five mode-based products underestimate the FSD especially 454 at deeper depths. The FSD estimated based on the upscaled dataset generally increases with increasing depth, while those 455 estimates using the model-based products are close to each other at different depth. In contrast to the FSD, all the products 456 overestimate the TED at deeper depths. In other words, all the model-based products tend to produce earlier onset of freezing 457 and later onset of thawing, leading to longer F/T duration in comparison to the upscaled dataset. The soil freezing 458 characteristics for depths of 5, 20 and 40 cm obtained based on the Noah and MERRA2 products are shown in Fig. 5 as well. 459 It can be observed that the difference between the soil freezing characteristics of freezing and thawing periods generally 460 decreases with increasing soil depth for the two models that is inconsistent with the upscaled dataset. In comparison to the 461 upscaled dataset, both Noah and MERRA2 products tend to produce higher unfrozen SM values at the same subzero ST in the 462 freezing period, and overestimations are also found in the thawing period except that of Noah model at 5 cm. This can explain 463 why the two models overestimate the SM<sub>ups</sub> in the cold season especially at deeper depths as shown in Fig. 11.

#### 464 4.3.2 Shiquanhe network

Figs. 13a-13c show the time series of daily average SM at soil depths of 5, 20, and 40 cm derived from the  $SM_{ups}$  and the five model-based products from January 2011 to December 2018 for the Shiquanhe network. The error metrics computed between the five model-based SM data and the  $SM_{ups}$  for the warm and cold season are listed in Table 5. Among the five model-based SM products, the ERA5 product agrees best with the  $SM_{ups}$  at 5 cm in the warm season with the lowest RMSD of 0.06 m<sup>3</sup> m<sup>-3</sup>



469 and largest R value of 0.80, while other products tend to overestimate the SM<sub>ups</sub> especially for the VIC product. Both the Noah and MERRA2 products also overestimate the SM<sub>ups</sub> of 5 cm in the cold season. For the 20 and 40 cm deeper depths, all the 470 471 products systematically overestimate the SM<sub>ups</sub>, among which the ERA5 product shows the lowest bias while the VIC product 472 presents the largest bias. The trend analysis results for the five model-based SM data are also presented in Fig. 7a. The results show that no significant trend is found for the MERRA2 product at every depth throughout the year, that is consistent with the 473 474 SM<sub>ups</sub> of upper layers (i.e., 5 and 20 cm), whereas both CLSM and VIC products show a drying trend at each depth. At soil 475 depths of 5 cm, there is also no significant trend found for the ERA5 and Noah products like the SM<sub>ups</sub>, while the ERA5 476 product shows a drying trend at deeper layers (i.e. 20 and 40 cm) in the warm season, and Noah product also presents a drying 477 trend at deeper layers for the cold season and full year, both of which are inconsistent with those of SM<sub>ups</sub>.

Figs. 13d-13f show the time series of monthly average ST at soil depths of 5, 20, and 40 cm derived from the  $ST_{ups}$  and the five 478 479 model-based ST products from January 2011 to December 2018 for the Shiquanhe network. The corresponding error metrics 480 computed by daily ST<sub>ups</sub> are also listed in Table 5. Similar to the Maqu network, all the five model-based products well capture 481 the seasonal variations of ST<sub>ups</sub> at every depth, but they tend to underestimate the ST<sub>ups</sub> throughout the entire study period, and 482 the magnitude of underestimations also increases with increasing soil depth. Among all the products, the Noah and CLSM 483 products yields the lowest bias and RMSD in the warm and cold seasons, respectively, while the VIC product presents the 484 largest bias for both seasons. It should be noted that the Noah product is slight worse than the CLSM product in the cold 485 season. The trend analysis results for the five model-based ST data are also presented in Fig. 7b. The results show that all 486 products do not show significant trend at every depth in the warm season that is consistent with the ST<sub>ups</sub>. In the cold season, the ERA5, CLSM, and MERRA2 products show an increasing trend at every depth that is consistent with the ST<sub>ups</sub>, while no 487 significant trend is found for the VIC product. An increasing trend is also noted for the Noah product of 5 and 20 cm despite 488 489 no trend is found at 40 cm. For the full year, only the ERA5 and MERRA2 products capture the trends of  $ST_{ups}$  at all three 490 depths. At the depth of 5 and 20 cm, except the CLSM product, no significant trend is found for other products that is consistent 491 with the ST<sub>ups</sub>. For the depth of 40 cm, besides the Noah and VIC products, an increasing trend is found for other products and 492 the ST<sub>ups</sub>.

493 To further investigate the performance of five model-based products in capturing the characteristics of F/T cycle in the 494 Shiquanhe network, Fig. 14 shows the FSD, TED, and F/T duration derived from the five model-based products and upscaled 495 dataset for each year during the study period. Similar as the Maqu network, all the model-based products tend to produce 496 earlier onset of freezing and later onset of thawing at every depth, leading to underestimation of FSD and overestimation of 497 TED and thus longer F/T duration in comparison to the upscaled dataset. Among the five model-based products, the CLSM product provides the closet estimates of TED and F/T duration compared to the upscaled dataset, while the VIC product 498 499 presents the worst performance. The soil freezing characteristics for the depths of 5, 20, and 40 cm obtained from the Noah 500 and MERRA2 products are shown in Fig. 8 as well. Similar to the Maqu network, both Noah and MERRA2 products tend to 501 produce higher unfrozen SM values at the same subzero ST in both freezing and thawing periods, leading to the overestimation



502 of SM in the cold season in comparison to the upscaled dataset (see Fig. 13), and the magnitude of overestimation increases 503 with increasing soil depth.

#### 504 **5 Data availability**

A long-term (2008-2019) dataset of SMST at multiple depths on the TP is freely available from the 4TU.ResearchData repository at https://doi.org/10.4121/20141567.v1 (Zhang et al., 2022). The original in-situ SMST data, the upscaled SMST data, and the supplementary data are stored in .xlsx files. A user guide document is given to introduce the content of the dataset and to provide the method to download online datasets used in this paper.

#### 509 6 Conclusions

- 510 The Tibet-Obs is a long-term SMST observatory in the TP covering different representative climatic and land surface conditions, which includes the Maqu, Naqu, and Ngari (including Ali and Shiquanhe) networks. The three networks are located 511 in the cold humid area covered by short grass, the polar area dominated by tundra, and the cold arid area dominated by desert, 512 513 respectively. Each network includes various numbers of in situ SMST monitoring sites, and each monitoring site is configured 514 with one Decagon (now: METER group) EM50 data logger and several Decagon SMST probes (i.e., EC-TM and 5TM) to monitor SMST dynamics at multiple depths (e.g., 5, 10, 20, 40, and 60/80 cm underground) every 15-minute, which have 515 generally been in operation for over a decade. This paper presents a long-term (~10 years) SMST profile dataset collected from 516 517 the Tibet-Obs, which includes original in-situ measurements collected between 2008 and 2019 from all the three networks and 518 the spatially upscaled data (SM<sub>ups</sub> and ST<sub>ups</sub>) for the Maqu and Shiquanhe networks. The uncertainty of the spatially upscaled 519 dataset are further quantified via comparison to the average of SMST measurements collected at a certain year having the largest number of available valid monitoring sites, i.e., ground truth (SM<sub>tru</sub> and ST<sub>tru</sub>). The results show that the SM<sub>ups</sub> and 520 521 SM<sub>tru</sub> are consistent with each other at every depth for both Maqu and Shiquanhe networks, yielding RMSD values that are 522 better than the measured accuracy of adopted SM sensor. The variations of  $ST_{ups}$  also agree well with the  $ST_{tru}$ , and the obtained 523 RMSD value is also better than the measured accuracy of adopted ST sensor in the Maqu network. Therefore, it can be 524 concluded that the quality of the upscaled dataset is generally good. Based on the upscaled dataset, the analysis on the seasonal variations and inter-annual trend changes of profile SMST 525
- dynamics, as well as the characteristics of F/T cycle in an approximately 10-year period is carried out for the two hydrometeorologically contrasting networks. The results show that the time series of both  $SM_{ups}$  and  $ST_{ups}$  at each depth display notable seasonality with peak values in warm summer and lowest values in cold winter, and the amplitudes of their variations generally decrease with increasing soil depth for both networks. It can be noted that the amplitudes of the seasonal  $SM_{upas}$ variations in the cold-humid Maqu network area are larger than those of the cold-arid Shiquanhe network, whereas the  $ST_{ups}$ seasonality is generally stronger within the Shinquanhe measurements. The Mann Kendall trend analysis results demonstrate



532 that no significant trend is found for the SM<sub>ups</sub> profile in the warm season (from May to October) for both networks that is 533 consistent with the precipitation (P) trend. A similar finding is also found for the  $ST_{ups}$  profile and air temperature  $T_a$  for the 534 Shiquanhe network during the warm season. For the cold season (from November to April) and the full year, a drying trend is 535 noted for the SM<sub>ups</sub> above 20 cm in the Maqu network, while no significant trend is found for those in the Shiquanhe network. In general, the deeper soil layers in both networks present later onset of freezing and earlier thawing and thus shorter F/T 536 duration in comparison to the surface layer. The obtained parameter values of the power function fitting curves to the soil 537 538 freezing characteristics are distinct from each other at different soil layers in both networks, confirming the layering 539 characteristics of frozen soil on the TP.

540 To demonstrate the uniqueness of the upscaled SMST profile dataset for validating existing products for a long-term period, 541 the performance of five model-based products is investigated. The results show that none of the model-based products can 542 reproduce the seasonal variations and inter-annual trend changes of profile SMST dynamics, and the characteristics of F/T 543 cycle obtained based on the upscaled dataset. Among the five products, only the ERA5 product captures well the seasonal 544 variations and trend changes of SM<sub>ups</sub> dynamics at surface layer (i.e., 5 cm) during the warm season in both networks, which 545 also provides the lowest bias for the estimations of SM above 20 cm during the warm season. All the products underestimate 546 the ST<sub>ups</sub> at every depth in both networks, whereby the Noah and ERA5 products provide better estimations in the warm season, 547 and the CLSM and Noah products yield better simulations for the cold season. Consequently, all the model-based products 548 tend to produce earlier onset of freezing and later start of thawing at every depth, leading to underestimation of FSD and 549 overestimation of TED and thus longer F/T duration than observed on the ground.

550 Overall, the Tibet-Obs SMST observatory has greatly advanced the evaluation and improvement of satellite- and model-based 551 SM and ST products for their applications to the TP over the past decade (see Table 1). Development of the long-term (~10 552 years) SMST profile dataset collected from the Tibet-Obs is urgently needed to further strengthen relevant research and could 553 be of value for calibration and validation of long-term satellite- or/and model-based SMST products, improving the 554 representation of TP hydrometeorological processes in current land surface model and satellite-based SM retrieval algorithms, 555 and other applications across scientific disciplines such hydrology, meteorology and climatology.

#### 556 Author contribution

Pei Zhang, Donghai Zheng, Rogier van der Velde and Zhongbo Su designed the framework of this work. Pei Zhang performed the computations and data analysis, and wrote the manuscript. Donghai Zheng, Rogier van der Velde, and Zhongbo Su supervised the progress of this work and provided critical suggestions, and revised the manuscript. Zhongbo Su, Jun Wen, and Yaoming Ma designed the setup of Tibet-Obs, Yijian Zeng, XinWang and Zuoliang Wang involved in maintaining the Tibet-

561 Obs and downloading the original measurements. Pei Zhang, Zuoliang Wang, and Jiali Chen organized the data.





#### 562 Competing interests

563 The authors declare that they have no conflict of interest.

#### 564 Acknowledgments

- 565 This study was supported by the National Key Research and Development Program of China (grant no. 2021YFB3900104),
- 566 the Strategic Priority Research Program of the Chinese Academy of Sciences (grant no. XDA20100103) and the National
- 567 Natural Science Foundation of China (grant nos. 41971308 and 41871273).

#### 568 Reference

- 569 Bhatti, H. A., Rientjes, T., Verhoef, W., and Yaseen, M.: Assessing temporal stability for coarse scale satellite moisture 570 validation in the Maqu area, Tibet, Sensors (Basel), 13, 10725–10748, https://doi.org/10.3390/s130810725, 2013.
- 571 Bi, H., Ma, J., Zheng, W., and Zeng, J.: Comparison of soil moisture in GLDAS model simulations and in situ observations 572 over the Tibetan Plateau, 121, 2658–2678, https://doi.org/10.1002/2015JD024131, 2016.
- 573 Cao, B., Gruber, S., and Zheng, D.: The ERA5-Land soil temperature bias in permafrost regions, 14, 2581–2595, 574 https://doi.org/10.5194/tc-14-2581-2020, 2020.
- 575 Chen, Y., Yang, K., Qin, J., Cui, Q., Lu, H., La, Z., Han, M., and Tang, W.: Evaluation of SMAP, SMOS, and AMSR2 soil
  576 moisture retrievals against observations from two networks on the Tibetan Plateau, 122, 5780–5792,
  577 https://doi.org/10.1002/2016JD026388, 2017.
- 578 Colliander, A., Jackson, T. J., Bindlish, R., Chan, S., Das, N., Kim, S. B., Cosh, M. H., Dunbar, R. S., Dang, L., Pashaian, L., Asanuma, J., Aida, K., Berg, A., Rowlandson, T., Bosch, D., Caldwell, T., Caylor, K., Goodrich, D., al Jassar, H., 579 Lopez-Baeza, E., Martínez-Fernández, J., González-Zamora, A., Livingston, S., McNairn, H., Pacheco, A., 580 581 Moghaddam, M., Montzka, C., Notarnicola, C., Niedrist, G., Pellarin, T., Prueger, J., Pulliainen, J., Rautiainen, K., 582 Ramos, J., Seyfried, M., Starks, P., Su, Z., Zeng, Y., van der Velde, R., Thibeault, M., Dorigo, W., Vreugdenhil, M., 583 Walker, J. P., Wu, X., Monerris, A., O'Neill, P. E., Entekhabi, D., Njoku, E. G., and Yueh, S.: Validation of SMAP surface soil moisture products with core validation sites, 191, 215–231, https://doi.org/10.1016/j.rse.2017.01.021, 584 585 2017.
- Deng, M., Meng, X., Lyv, Y., Zhao, L., Li, Z., Hu, Z., and Jing, H.: Comparison of Soil Water and Heat Transfer Modeling
   Over the Tibetan Plateau Using Two Community Land Surface Model (CLM) Versions, 12, e2020MS002189,
   https://doi.org/10.1029/2020MS002189, 2020.
- Deng, M., Meng, X., Lu, Y., Li, Z., Zhao, L., Hu, Z., Chen, H., Shang, L., Wang, S., and Li, Q.: Impact and Sensitivity Analysis
   of Soil Water and Heat Transfer Parameterizations in Community Land Surface Model on the Tibetan Plateau, 13,
   e2021MS002670, https://doi.org/10.1029/2021MS002670, 2021.
- Dorigo, W., van Oevelen, P., Wagner, W., Drusch, M., Mecklenburg, S., Robock, A., and Jackson, T.: A New International
   Network for in Situ Soil Moisture Data, 92, 141–142, https://doi.org/10.1029/2011EO170001, 2011.
- Dorigo, W., Himmelbauer, I., Aberer, D., Schremmer, L., Petrakovic, I., Zappa, L., Preimesberger, W., Xaver, A., Annor, F.,
  Ardö, J., Baldocchi, D., Bitelli, M., Blöschl, G., Bogena, H., Brocca, L., Calvet, J.-C., Camarero, J. J., Capello, G.,
  Choi, M., Cosh, M. C., van de Giesen, N., Hajdu, I., Ikonen, J., Jensen, K. H., Kanniah, K. D., de Kat, I., Kirchengast,
  G., Kumar Rai, P., Kyrouac, J., Larson, K., Liu, S., Loew, A., Moghaddam, M., Martínez Fernández, J., Mattar Bader,
  C., Morbidelli, R., Musial, J. P., Osenga, E., Palecki, M. A., Pellarin, T., Petropoulos, G. P., Pfeil, I., Powers, J.,
  Robock, A., Rüdiger, C., Rummel, U., Strobel, M., Su, Z., Sullivan, R., Tagesson, T., Varlagin, A., Vreugdenhil, M.,
  Walker, J., Wen, J., Wenger, F., Wigneron, J. P., Woods, M., Yang, K., Zeng, Y., Zhang, X., Zreda, M., Dietrich, S.,
- 601 Gruber, A., van Oevelen, P., Wagner, W., Scipal, K., Drusch, M., and Sabia, R.: The International Soil Moisture



 602
 Network: serving Earth system science for over a decade, 25, 5749–5804, https://doi.org/10.5194/hess-25-5749-2021,

 603
 2021.

- Entekhabi, D., Njoku, E. G., O'Neill, P. E., Kellogg, K. H., Crow, W. T., Edelstein, W. N., Entin, J. K., Goodman, S. D.,
  Jackson, T. J., Johnson, J., Kimball, J., Piepmeier, J. R., Koster, R. D., Martin, N., McDonald, K. C., Moghaddam,
  M., Moran, S., Reichle, R., Shi, J. C., Spencer, M. W., Thurman, S. W., Tsang, L., and Zyl, J. van: The Soil Moisture
  Active Passive (SMAP) Mission, 98, 704–716, https://doi.org/10.1109/JPROC.2010.2043918, 2010.
- Gelaro, R., McCarty, W., Suárez, M. J., Todling, R., Molod, A., Takacs, L., Randles, C. A., Darmenov, A., Bosilovich, M. G.,
  Reichle, R., Wargan, K., Coy, L., Cullather, R., Draper, C., Akella, S., Buchard, V., Conaty, A., da Silva, A. M., Gu,
  W., Kim, G.-K., Koster, R., Lucchesi, R., Merkova, D., Nielsen, J. E., Partyka, G., Pawson, S., Putman, W.,
  Rienecker, M., Schubert, S. D., Sienkiewicz, M., and Zhao, B.: The Modern-Era Retrospective Analysis for Research
  and Applications, Version 2 (MERRA-2), 30, 5419–5454, https://doi.org/10.1175/JCLI-D-16-0758.1, 2017.
- Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., Nicolas, J., Peubey, C., Radu, R., Schepers,
  D., Simmons, A., Soci, C., Abdalla, S., Abellan, X., Balsamo, G., Bechtold, P., Biavati, G., Bidlot, J., Bonavita, M.,
  de Chiara, G., Dahlgren, P., Dee, D., Diamantakis, M., Dragani, R., Flemming, J., Forbes, R., Fuentes, M., Geer, A.,
  Haimberger, L., Healy, S., Hogan, R. J., Hólm, E., Janisková, M., Keeley, S., Laloyaux, P., Lopez, P., Lupu, C.,
  Radnoti, G., de Rosnay, P., Rozum, I., Vamborg, F., Villaume, S., and Thépaut, J.-N.: The ERA5 global reanalysis,
  146, 1999–2049, https://doi.org/10.1002/qj.3803, 2020.
- Ju, F., An, R., Yang, Z., Huang, L., and Sun, Y.: Assimilating SMOS Brightness Temperature for Hydrologic Model
   Parameters and Soil Moisture Estimation with an Immune Evolutionary Strategy, 12, https://doi.org/10.3390/rs1210
   -1556, 2020.
- Li, C., Lu, H., Leung, L. R., Yang, K., Li, H., Wang, W., Han, M., and Chen, Y.: Improving Land Surface Temperature
   Simulation in CoLM Over the Tibetan Plateau Through Fractional Vegetation Cover Derived From a Remotely
   Sensed Clumping Index and Model-Simulated Leaf Area Index, 124, 2620–2642, https://doi.org/10.1029/2018JD028
   -640, 2019.
- Liu, Y., Jing, W., Sun, S., and Wang, C.: Multi-Scale and Multi-Depth Validation of Soil Moisture From the China Land Data
   Assimilation System, 14, 9913–9930, https://doi.org/10.1109/JSTARS.2021.3116583, 2021.
- Rodell, M., Houser, P. R., Jambor, U., Gottschalck, J., Mitchell, K., Meng, C.-J., Arsenault, K., Cosgrove, B., Radakovich, J.,
  Bosilovich, M., Entin, J. K., Walker, J. P., Lohmann, D., and Toll, D.: The Global Land Data Assimilation System,
  Bull Am Meteorol Soc, 85, 381–394, https://doi.org/10.1175/BAMS-85-3-381, 2004.
- Su, Z., Wen, J., Dente, L., van der Velde, R., Wang, L., Ma, Y., Yang, K., and Hu, Z.: The tibetan plateau observatory of
   plateau scale soil moisture and soil temperature (Tibet-Obs) for quantifying uncertainties in coarse resolution satellite
   and model products, 15, 2303–2316, https://doi.org/10.5194/hess-15-2303-2011, 2011.
- Su, Z., de Rosnay, P., Wen, J., Wang, L., and Zeng, Y.: Evaluation of ECMWF's soil moisture analyses using observations on
   the Tibetan Plateau, 118, 5304–5318, https://doi.org/10.1002/jgrd.50468, 2013.
- Velde, R., Su, B., Ek, M., Rodell, M., and Ma, Y.: Influence of thermodynamic soil and vegetation parameterizations on the
   simulation of soil temperature states and surface fluxes by the Noah LSM over a Tibetan plateau site, 13,
   https://doi.org/10.5194/hessd-6-455-2009, 2009.
- Wang, L., Li, X., Chen, Y., Yang, K., Chen, D., Zhou, J., Qi, J., and Huang, J.: Validation of the global land data assimilation
  system based on measurements of soil temperature profiles, 218–219, 288–297, https://doi.org/10.1016/j.agrformet.
  -2016. 01.003, 2016.
- Yang, K., Qin, J., Zhao, L., Chen, Y., Tang, W., Han, M., Lazhu, Chen, Z., Lv, N., Ding, B., Wu, H., and Lin, C.: A Multiscale
  Soil Moisture and Freeze–Thaw Monitoring Network on the Third Pole, Bull Am Meteorol Soc, 94, 1907–1916, https://doi.org/10.1175/BAMS-D-12-00203.1, 2013.
- Yao, T., Thompson, L. G., Mosbrugger, V., Zhang, F., Ma, Y., Luo, T., Xu, B., Yang, X., Joswiak, D. R., Wang, W., Joswiak,
  M. E., Devkota, L. P., Tayal, S., Jilani, R., and Fayziev, R.: Third Pole Environment (TPE), 3, 52–64,
  https://doi.org/10.1016/j.envdev.2012.04.002, 2012.
- Zeng, J., Li, Z., Chen, Q., Bi, H., Qiu, J., and Zou, P.: Evaluation of remotely sensed and reanalysis soil moisture products
  over the Tibetan Plateau using in-situ observations, 163, 91–110, https://doi.org/10.1016/j.rse.2015.03.008, 2015.





- Zhang, P., Zheng, D., van der Velde, R., Wen, J., Ma, Y., Zeng, Y., Wang, X., Wang, Z., Chen, J., and Su, Z.: A dataset of 10year regional-scale soil moisture and soil temperature measurements at multiple depths on the Tibetan Plateau.
   4TU.ResearchData. Dataset. https://doi.org/10.4121/20141567.v1, 2022.
- Zhang, P., Zheng, D., van der Velde, R., Wen, J., Zeng, Y., Wang, X., Wang, Z., Chen, J., and Su, Z.: Status of the Tibetan
  Plateau observatory (Tibet-Obs) and a 10-year (2009–2019) surface soil moisture dataset, 13, 3075–3102, https://doi.org/10.5194/essd-13-3075-2021, 2021.
- Zheng, D., van der Velde, R., Su, Z., Wang, X., Wen, J., Booij, M. J., Hoekstra, A. Y., and Chen, Y.: Augmentations to the
  Noah Model Physics for Application to the Yellow River Source Area. Part II: Turbulent Heat Fluxes and Soil Heat
  Transport, 16, 2677–2694, https://doi.org/10.1175/JHM-D-14-0199.1, 2015a.
- Zheng, D., van der Velde, R., Su, Z., Wang, X., Wen, J., Booij, M. J., Hoekstra, A. Y., and Chen, Y.: Augmentations to the Noah Model Physics for Application to the Yellow River Source Area. Part I: Soil Water Flow, 16, 2659–2676, https://doi.org/10.1175/JHM-D-14-0198.1, 2015b.
- Zheng, D., der Velde, R., Su, Z., Wen, J., Wang, X., Booij, M. J., Hoekstra, A. Y., Lv, S., Zhang, Y., and Ek, M. B.: Impacts
  of Noah model physics on catchment-scale runoff simulations, 121, 807–832, https://doi.org/10.1002/2015JD023695,
  2016.
- Zheng, D., van der Velde, R., Su, Z., Wen, J., Wang, X., and Yang, K.: Evaluation of Noah Frozen Soil Parameterization for
   Application to a Tibetan Meadow Ecosystem, 18, 1749–1763, https://doi.org/10.1175/JHM-D-16-0199.1, 2017.
- Zheng, D., van der Velde, R., Su, Z., Wen, J., Wang, X., and Yang, K.: Impact of soil freeze-thaw mechanism on the runoff
   dynamics of two Tibetan rivers, 563, 382–394, https://doi.org/10.1016/j.jhydrol.2018.06.024, 2018a.
- Zheng, D., Wang, X., van der Velde, R., Ferrazzoli, P., Wen, J., Wang, Z., Schwank, M., Colliander, A., Bindlish, R., and Su,
  Z.: Impact of surface roughness, vegetation opacity and soil permittivity on L-band microwave emission and soil
  moisture retrieval in the third pole environment, 209, 633–647, https://doi.org/10.1016/j.rse.2018.03.011, 2018b.
- Zheng, D., Li, X., Wang, X., Wang, Z., Wen, J., van der Velde, R., Schwank, M., and Su, Z.: Sampling depth of L-band
  radiometer measurements of soil moisture and freeze-thaw dynamics on the Tibetan Plateau, 226, 16–25,
  https://doi.org/10.1016/j.rse.2019.03.029, 2019.
- Zhuang, R., Zeng, Y., Manfreda, S., and Su, Z.: Quantifying Long-Term Land Surface and Root Zone Soil Moisture over
   Tibetan Plateau, 12, https://doi.org/10.3390/rs12030509, 2020.

21

677



#### 693 Table 1. Summary of the applications of Tibet-Obs SMST data and corresponding findings.

Literature	In-situ data	Satellite- and/or model-based	Key findings
	S:r	products/simulations	
Zheng et al. (2016)	SMST at 5, 10, 20, 40, and 80 cm depths from the Maqu network, period between 2009 and 2010.	SMST simulations by the Noah model including three sets of augmentations.	The augmentations for the turbulent and soil heat transport improved the ST profile simulations, while the augmentations for the soil water flow mitigated deficiencies of SM profile simulations by Noah model.
Deng et al. (2020)	SMST at 5, 10, 20, and 40 cm depths from the Maqu network, period between 2010 and 2011.	SMST simulations by two versions of the Community Land Model (CLM), i.e., versions 4.5 and 5.0.	The ST simulations from both CLM model versions coincided with the in-situ measurements, while the SM simulations showed large biases.
Deng et al. (2021)	SMST at 5 cm depth from the Maqu network during period of 2011 and from the Ngari network during period between 2013 and 2014.	SMST simulations by the CLM5.0 that include nine experiments evaluating soil water and heat transfer parameterizations.	<ul> <li>(i) At the Ngari network, ST simulations in all experiments generally coincided with the observations yielding RMSE within 3°C, while SM simulations in Experiment 6 (i.e., replaced soil property data, adopted virtual temperature scheme and dry surface scheme) showed the best performance.</li> <li>(ii) At the Maqu network, ST simulations in Experiment 5 (i.e., replaced soil property data, adopted Balland and Arp scheme and dry surface scheme) showed the best performance, while SM simulations in Experiment 1 (i.e., replaced soil property data) showed the best performance.</li> </ul>
	U	Usage of SM at multiple depths	
Su et al. (2013)	SM at 5, 10, 20, 40, and 80 cm depths from the Maqu network, period between 2008 and 2009; SM around 5, 10, 20, 40, and 60 cm depths from the Naqu network, period of 2008.	SM simulations by the European Centre for Medium-Range Weather Forecasts (ECMWF) based on optimum interpolation scheme and point-wise extended Kalman filter scheme, respectively.	<ul> <li>(i) At the Naqu network, both ECMWF's SM products showed significant overestimations in the monsoon season, indicating the ECMWF model and soil texture parameter need to be improved for the cold-semiarid area on the TP.</li> <li>(ii) At the Maqu network, both ECMWF's SM products generally showed good and comparable performance in the humid monsoon period.</li> </ul>
Bhatti et al. (2013)	SM at 5, 10, 20, 40, and 80 cm depths from the Maqu network, period of 2009.	Advanced Microwave Scanning Radiometer-Earth Observing System (AMSR-E) SM product generated by the Vrije University Amsterdam and NASA.	The in-situ SM measurements at 10 cm are more suitable to validate the AMSR-E SM product.
Bi et al. (2016)	SM at 5, 10, 20, 40, and 80 cm depths from the Maqu network, period between 2008 and 2010.	SM products generated by CLM, Noah, Mosaic, and VIC models implemented in Global Land Data Assimilation System V1 (GLDAS-1) and Noah model adopted in GLDAS-2.	<ul> <li>(i) The GLDAS-2 SM product did not show better performance than the GLDAS-1 products.</li> <li>(ii) All four models can capture well the temporal variations of in-situ SM measurements but underestimated the SM values, and the Mosaic model yielded the largest bias.</li> </ul>



Ju et al. (2020)	SM at 5 and 40 cm depths from the Maqu network, period between 2011 and 2012.	SM simulations by Variable Infiltration Capacity (VIC) model with assimilation of brightness temperature (T <sub>B</sub> ) data from the Soil Moisture and Ocean Salinity (SMOS) mission.	Assimilation of SMOS $T_B$ data improved the performance of VIC SM product indicated by reducing the root mean square difference (RMSD) for the SM at 5 cm from 0.126 to 0.087 m <sup>3</sup> m <sup>-3</sup> , which however, had a slight positive impact for the SM at 40 cm.
Zhuang et al. (2020)	SM at 5, 10, 20, 40, and 60/80 cm depths from the Maqu, Naqu, and Ngari networks, period between 2013 and 2016.	Surface SM (SSM) data generated by using the blend method, and then rootzone SM (RZSM) data generated by Cumulative Distribution Function (CDF) matching approach and Soil Moisture Analytical Relationship (SMAR) model based on the blended SSM data.	<ul> <li>(i) The blended SSM product constrained by in-situ SM measurements can eliminate the influence of different LSM simulations.</li> <li>(ii) Both SMAR model and CDF matching approach can give reliable RZSM estimates, but the performances varied from different regions, e.g., the SMAR model provided better estimates in the semi-arid area while the CDF matching approach performed slightly better in the arid area.</li> </ul>
Liu et al. (2021)	SM at 5, 10, 20, and 40 cm depths from the Maqu and Ngari networks, period between 2013 and 2015.	China Meteorological Administrational Land Data Assimilation System (CLDAS) and GLDAS SM products	The CLDAS and GLDAS SM data can capture the temporal dynamics with favorable performances, expect for the GLDAS SM data at the layer of 10-40 cm
Wang at al. (2016)	ST at 5 am danth from the	Usage of ST	CLDAS 1 CLM product overestimated the
wang et al. (2010)	Maqu network, period between 2008 and 2009.	CLM models from GLDAS-1, and by Noah model from GLDAS-2	ST, while both GLDAS-1 and GLDAS-2 Noah products showed underestimations although they can replicate the daily variability of in-situ ST measurements.
Li et al. (2019)	ST at 5 m depth from the Maqu and Ngari networks, period between 2010 and 2011.	ST simulations by Common Land Model (CoLM) implementing three different fractional vegetation cover (FVC) schemes.	<ul><li>(i) At the Ngari network dominated by sparse grassland or desert, ST simulations were not sensitive to FVC scheme.</li><li>(ii) At the Maqu network dominated by grass, ST simulations were improved by implementing a new FVC scheme.</li></ul>
Cao et al. (2020)	ST at 5, 10, 20, and 40 cm depths from the Maqu network, period between 2008 and 2016	ERA5-land ST product.	ERA5-land ST data showed a negative bias in the TP, and it matched better to in-situ ST measurements in permafrost regions than in non-permafrost regions.

/01





#### 704 Table 2. Information for the selected model-based products.

Product	Spatial Resolution	Temporal Resolution	Temporal Coverage	SM Stratification (cm	a) ST Stratification (cm)
ERA5		Hourly	1979 ongoing	0-7, 7-	28, 28-100, 100-289
Noah	0.25°× 0.25°			0-1	0, 10-40, 40-100
CLSM	10 10	3 Hours	2000 ongoing	0-2, 0-100	0-10, 10-29, 29-68, 68-144
VIC	1°×1°			0-30,	30-130*, 130-150*
MERRA2	0.5°×0.625°	Hourly	1980 ongoing	0-5*, 0-100*	0-10*, 10-30*, 30-70*, 70-146*

705 \* The depth of this layer varies with region, and the value shown here is for our study area.

## Table 3. Estimation of FSD, TED, and F/T duration at soil depths of 5, 20, and 40 cm using the upscaled SMST profile dataset and ground truth in the selected single year for the Maqu and Shiquanhe networks.

		SMSTups			SMST <sub>tru</sub>	
	5 cm	20 cm	40 cm	5 cm	20 cm	40 cm
			Maqu 1	network		
FSD	19 Nov	10 Dec	23 Dec	16 Nov	8 Dec	26 De
TED	24 Mar	5 Mar	3 Mar	23 Mar	7 Mar	10 Ma
F/T duration	125	85	70	127	89	74
			Shiquanh	e network		
FSD	14 Nov	17 Nov	23 Nov	14 Nov	18 Nov	23 No
TED	18 Mar	18 Mar	13 Mar	18 Mar	18 Mar	21 Ma
F/T duration	124	121	110	124	120	118

708

## Table 4. Statistical indicators of model-based SMST products at soil depths of 5, 20, and 40 cm for the Maqu network in the warm and cold season, respectively.

			Warr	n season			Cold	season	
		Bias	RMSD	ubRMSD	R	Bias	RMSD	ubRMSD	R
Warm season         Cold season           Bias         RMSD         ubRMSD         R         Bias         RMSD         ubRMSD         R           Soil moisture           GLDAS CLSM         -0.081         0.098         0.056         0.35         -									
	ERA5	0.036	0.053	0.039	0.76	-	-	-	-
	GLDAS CLSM	-0.081	0.098	0.056	0.35	-	-	-	-
5cm	GLDAS Noah	-0.102	0.116	0.055	0.42	-0.047	0.088	0.075	0.52
	GLDAS VIC	0.000	0.060	0.060	0.38	-	-	-	-
	MERRA2	-0.092	0.104	0.049	0.58	0.009	0.089	0.088	0.05
20cm	ERA5	0.016	0.032	0.027	0.74	-	-	-	-
20011	GLDAS CLSM	-0.102	0.108	0.038	0.32	-	-	-	-





	GLDAS Noah	-0.122	0.127	0.037	0.49	-0.031	0.085	0.079	0.46
	GLDAS VIC	-0.013	0.049	0.047	0.39	-	-	-	-
	MERRA2	-0.113	0.118	0.034	0.50	-0.016	0.089	0.087	0.13
	ERA5	0.108	0.111	0.025	0.69	-	-	-	-
	GLDAS CLSM	-0.018	0.028	0.022	0.44	-	-	-	-
40cm	GLDAS Noah	-0.040	0.049	0.028	0.54	0.042	0.075	0.062	0.06
	GLDAS VIC	0.088	0.093	0.029	0.45	-	-	-	-
	MERRA2	-0.025	0.034	0.024	0.50	0.047	0.074	0.057	0.34
Soil temperature									
	ERA5	-3.5	3.7	1.1	0.96	-2.4	3.0	1.8	0.84
	GLDAS CLSM	-3.1	3.4	1.3	0.94	-2.0	2.8	2.0	0.91
5cm	GLDAS Noah	-3.5	3.9	1.8	0.89	-2.4	3.6	2.7	0.89
	GLDAS VIC	-4.3	4.4	1.2	0.95	-2.7	3.1	1.6	0.87
	MERRA2	-3.5	3.8	1.4	0.93	-2.6	3.3	2.0	0.91
	ERA5	-5.0	5.0	0.7	0.98	-3.2	3.5	1.4	0.84
	GLDAS CLSM	-4.8	4.9	1.1	0.95	-3.0	3.4	1.7	0.87
20cm	GLDAS Noah	-5.9	6.3	2.1	0.84	-2.9	3.3	1.6	0.88
	GLDAS VIC	-5.5	5.6	1.3	0.92	-3.8	4.1	1.5	0.85
	MERRA2	-5.1	5.2	1.0	0.95	-3.6	4.0	1.8	0.86
	ERA5	-5.3	5.4	0.8	0.97	-2.8	3.0	1.2	0.79
	GLDAS CLSM	-5.1	5.2	0.8	0.97	-2.8	3.2	1.6	0.77
40cm	GLDAS Noah	-6.2	6.5	1.9	0.85	-2.8	3.1	1.4	0.82
	GLDAS VIC	-5.7	5.8	1.1	0.93	-3.7	4.0	1.7	0.74
	MERRA2	-5.9	6.0	0.9	0.95	-3.3	3.8	1.8	0.70

711

### 712 Table 5. Same as Table 4 but for the Shiquanhe network.

			Warm season           Bias         RMSD         ubRMSD           Soil moistur         -0.001         0.060         0.060           0.156         0.158         0.027           0.134         0.142         0.046           0.256         0.259         0.042           0.070         0.082         0.042           0.084         0.088         0.026           0.159         0.161         0.025           0.256         0.259         0.042           0.084         0.088         0.026           0.152         0.153         0.021           0.159         0.161         0.025           0.256         0.259         0.042           0.087         0.092         0.028           0.107         0.110         0.021           0.154         0.155         0.019           0.173         0.174         0.020           0.272         0.274         0.032           0.117         0.118         0.015           Soil temperat           -5.5         5.8         1.8           -5.9         6.2         1.6           -4.7         5.0         1.				Cold	season	
		Bias	RMSD	ubRMSD	R	Bias	RMSD	ubRMSD	R
				Soil mois	sture				
	ERA5	-0.001	0.060	0.060	0.80	-	-	-	-
	GLDAS CLSM	0.156	0.158	0.027	0.53	-	-	-	-
5cm	GLDAS Noah	0.134	0.142	0.046	0.64	0.072	0.075	0.023	0.12
	GLDAS VIC	0.256	0.259	0.042	0.38	-	-	-	-
	MERRA2	0.070	0.082	0.042	0.73	0.060	0.065	0.024	0.13
	ERA5	0.084	0.088	0.026	0.55	-	-	-	-
	GLDAS CLSM	0.152	0.153	0.021	0.56	-	-	-	-
20cm	GLDAS Noah	0.159	0.161	0.025	0.66	0.145	0.146	0.008	0.28
	GLDAS VIC	0.256	0.259	0.042	0.31	-	-	-	-
	MERRA2	0.087	0.092	0.028	0.70	0.086	0.087	0.016	0.10
	ERA5	0.107	0.110	0.021	0.30	-	-	-	-
	GLDAS CLSM	0.154	0.155	0.019	0.39	-	-	-	-
40cm	GLDAS Noah	0.173	0.174	0.020	0.49	0.174	0.175	0.010	-0.19
	GLDAS VIC	0.272	0.274	0.032	0.29	-	-	-	-
	MERRA2	0.117	0.118	0.015	0.62	0.123	0.124	0.009	0.08
				Soil tempe	rature				
	ERA5	-5.5	5.8	1.8	0.95	-6.2	7.0	3.3	0.83
	GLDAS CLSM	-5.9	6.2	1.6	0.96	-3.0	3.8	2.2	0.93
5cm	GLDAS Noah	-4.7	5.0	1.6	0.96	-3.8	4.8	3.0	0.86
	GLDAS VIC	-11.8	12.2	3.1	0.84	-6.6	7.9	4.4	0.69
	MERRA2	-8.2	8.4	1.8	0.95	-5.5	5.8	1.9	0.95





	ERA5	-6.6	6.8	1.7	0.94	-5.8	6.7	3.3	0.76
GLI	DAS CLSM	-7.1	7.2	1.4	0.96	-3.2	3.8	2.1	0.92
20cm GL	DAS Noah	-5.5	5.6	1.4	0.96	-2.9	4.1	2.9	0.83
GL	DAS VIC	-12.0	12.2	2.2	0.89	-7.2	8.1	3.7	0.71
Ν	IERRA2	-9.2	9.4	1.6	0.95	-5.6	5.9	1.6	0.95
	ERA5	-7.5	7.7	1.5	0.93	-6.1	6.8	2.9	0.75
GLI	DAS CLSM	-8.9	9.0	1.3	0.96	-3.3	3.8	1.8	0.92
40cm GL	DAS Noah	-6.6	6.7	1.4	0.95	-2.9	4.0	2.8	0.77
GL	DAS VIC	-12.8	12.9	1.7	0.92	-7.7	8.2	3.0	0.72
Ν	IERRA2	-10.8	11.0	1.6	0.95	-5.9	6.0	1.4	0.95





715

716 Figure 1. (a) Location of the Tibet-Obs network over the TP; Spatial distributions of SMST monitoring sites and weather station 717 within the (b) Maqu, (c) Ali, (d) Shiquanhe, and (e) Naqu networks; and (f) an example of instruments configured for each SMST

718 monitoring site. The triangles with different colours represent the SMST measured at different depths. (Base map is from EROS, 719 Copyright: © EROS)







721

Figure 2. Number of available SMST monitoring sites for different depths at each month for the (a) Maqu, (b) Shiquanhe, (c) Ali and (d) Naqu networks.

- 724
- 725





Figure 3. Time series of upscaled daily (a) SM<sub>ups</sub> and (c) ST<sub>ups</sub> at depths of 5, 20, 40, and 80 cm for the Maqu network between January 2010 and December 2018; the subplots highlight the time series of upscaled (b) SM<sub>ups</sub> and (d) ST<sub>ups</sub> with interval of 15-min between 16-5-2010 and 16-5-2011; and (e) annual variations of TSD, TED, and F/T duration at 5, 20, and 40 cm depths. The time series of daily precipitation and air temperature are shown in (a) and (c) as well.







731

Figure 4. Mann Kendall trend test and Sen's slope estimate for the long-term (a) SM and (b) ST at depts of 5, 20 and 40 cm from
2010 to 2018 obtained from the upscaled dataset and different model-based products for the Maqu network. The trend analysis
results for the precipitation and air temperature are also shown in (a) and (b), respectively. The digits in the figure represent the

735 values of Sen's slope estimate.







Figure 5. Soil freezing characteristics for depths of (a) 5, (b) 20 and (c) 40 cm determined from the measured and simulated unfrozen
 SM and subzero ST obtained from the upscaled dataset, GLDAS Noah and MERRA2 products for the Maqu network.

740

737







742

743Figure 6. Time series of upscaled daily (a) SMups and (c) STups at depths of 5, 10, 20, and 40 cm for the Shiquanhe network between744January 2011 and December 2018; the subplots highlight the time series of upscaled (b) SMups and (d) STups with interval of 15-min745between 9-1-2017 and 8-31-2018; and (e) annual variations of TSD, TED, and F/T duration at 5, 10, 20, and 40 cm depths. The time

746 series of daily precipitation and air temperature are shown in (a) and (c) as well.







749 Figure 7. Same as Figure 4 but for the Shiquanhe network from 2011 to 2018.

750

748











Figure 9. Comparisons between the time series of (a)  $SM_{ups}$  and  $SM_{tru}$ , and (b)  $ST_{ups}$  and  $ST_{tru}$  at soil depths of 5, 20, and 40 cm with 15-min interval from 16<sup>th</sup> May 2010 to 16<sup>th</sup> May 2011 for the Magu network.

756

753









759

Figure 11. Time series of daily average SM (a-c) and monthly mean ST (d-f) at soil depths of 5 (a, d), 20 (b, e), and 40 cm (c, f) derived
 from the upscaled SMST dataset and five model-based products from January 2010 to December 2018 for the Maqu network.







763

Figure 12. The annual variations of FSD, TED and F/T duration at the depth of (a) 5, (b) 20, and (c) 40 cm obtained from the upscaled dataset and five model-based products for the Maqu network.

- 766
- 767







768

769 Figure 13. Same as Figure 11 but for the Shiquanhe network from January 2011 to December 2018.









772 Figure 14. Same as Figure 8 but for the Shiquanhe network.







#### 774 Appendix A: SMST data records of the Tibet-Obs

Figure A1. Data records of the SMST measured at different depths with temporal persistence from May 2008 to May 2019 (Y-axis) for all the monitoring sites in the Maqu network (X-axis). Cells with different colours and digits represent different number of months that contain valid SMST data in each year. Blank cells indicate that there are no measurements performed. Site names with highlight and red font represent the sites used for producing the long-term (May 2009 ~ May 2019) upscaled SMST dataset, and site

names only with highlight represent the sites used for generating "ground truth" for a selected year (May 2010 ~ May 2011).







#### 781

Figure A2. Same as Table A1 but for the Ngari network with temporal persistence from August 2010 to August 2019. Site names
 with highlight and red font represent the sites used for producing the long-term (August 2010 ~ August 2019) upscaled SMST dataset,
 and site names only with highlight represent the sites used for generating "ground truth" for a selected year (August 2017 ~ August

785 **2018**) in the Shiquanhe network.







788 Figure A3. Same as Table A1 but for the Naqu network with temporal persistence from June 2010 to August 2019.



#### (b) Shiquanhe (a) Maqu SQ21 SQ20 NST13NST25 NST24 NST06 NST CST03NST14NST22 NST02NST21 ST15CST01NST01 NST09 SQ08 SQ07 SQ05SQ0 NST10 NST15 SQ0 SQ06 \$Q12 SQ09 NST03 CST04 SQ14 SQ11 SQ10 SQ10 NS NST1 CST02 NST32 NST12 CST05NST04NST05 SMST Network ERA5 grid Noah and MERRA2 grid SMST Network ERA5 grid CLSM, Noah, VIC, and MERRA2 grid CLSM and VIC grid

#### 795 Appendix B: Linear interpolation method for the model-based SMST data.

Figure B1: Grids of the model-based products falling into the (a) Maqu and (b) Shiquanhe network areas (denoted by the colourfuldashed rectangles).

#### 799 B1 ERA5 SMST data

- 800 The SMST derived from the ERA5 product for the depths of 5, 20, and 40 cm are calculated as:
- 801  $X_{5,ERA5} \approx X_{0-7,ERA5}$
- 802  $X_{20,ERA5} \approx X_{7-28,ERA5} + (X_{28-100,ERA5} X_{7-28,ERA5}) * (20 17.5)/(64 17.5)$
- 803  $X_{40,ERA5} \approx X_{7-28,ERA5} + (X_{28-100,ERA5} X_{7-28,ERA5}) * (40 17.5)/(64 17.5)$
- where  $X_{5,ERA5}$ ,  $X_{20,ERA5}$ , and  $X_{40,ERA5}$  represent the interpolated SMST values at 5, 20, and 40 cm depths for the ERA5 product,
- and  $X_{0-7,ERA5}$ ,  $X_{7-28,ERA5}$ , and  $X_{28-100,ERA5}$  represent the SMST values for layers of 0-7, 7-28, 28-100 cm derived from the
- 806 ERA5 product.
- 807

796

#### 808 B2 GLDAS-2.1 CLSM SMST data

- 809 The SM derived from GLDAS-2.1 CLSM product for the depths of 5, 20, and 40 cm are calculated as:
- 810  $X_{5,GLDAS \ CLSM} \approx X_{0-2,GLDAS \ CLSM}$
- 811  $X_{20,GLDAS CLSM} \approx X_{0-2,GLDAS CLSM} + (X_{0-100,GLDAS CLSM} X_{0-2,GLDAS CLSM}) * (20-1)/(50-1)$
- 812  $X_{40,GLDAS\,CLSM} \approx X_{0-2,GLDAS\,CLSM} + (X_{0-100,GLDAS\,CLSM} X_{0-2,GLDAS\,CLSM}) * (40-1)/(50-1)$
- 813 The ST derived from GLDAS-2.1 CLSM product for the depths of 5, 20, and 40 cm are calculated as:
- 814  $X_{5,GLDAS \ CLSM} \approx X_{0-10,GLDAS \ CLSM}$





815  $X_{20,GLDAS CLSM} \approx X_{10-29,GLDAS CLSM} + (X_{29-68,GLDAS CLSM} - X_{10-29,GLDAS CLSM}) * (20 - 19.5)/(48.5 - 19.5)$ 816  $X_{40,GLDAS CLSM} \approx X_{10-29,GLDAS CLSM} + (X_{29-68,GLDAS CLSM} - X_{10-29,GLDAS CLSM}) * (40 - 19.5)/(48.5 - 19.5)$ 817

#### 818 B3 GLDAS-2.1 Noah SMST data

- 819 The SMST derived from the GLDAS-2.1 Noah product for the depths of 5, 20, and 40 cm are calculated as:
- 820  $X_{5,GLDAS Noah} \approx X_{0-10,GLDAS Noah}$
- 821  $X_{20,GLDAS Noah} \approx X_{0-10,GLDAS Noah} + (X_{10-40,GLDAS Noah} X_{0-10,GLDAS Noah}) * (20-5)/(25-5)$
- 822  $X_{40,GLDAS Noah} \approx X_{10-40,GLDAS Noah} + (X_{40-100,GLDAS Noah} X_{10-40,GLDAS Noah}) * (40 25)/(70 25)$
- 823

#### 824 B4 GLDAS-2.1 VIC SMST data

- 825 The SMST derived from the GLDAS-2.1 VIC product for the depths of 5, 20, and 40 cm are calculated as:
- 826  $X_{5,GLDAS\,VIC} \approx X_{0-30,GLDAS\,VIC}$
- 827  $X_{20,GLDAS\,VIC} \approx X_{0-30,GLDAS\,VIC} + (X_{30-130,GLDAS\,VIC} X_{0-30,GLDAS\,VIC}) * (20 15)/(80 15)$
- 828  $X_{40,GLDAS\,VIC} \approx X_{0-30,GLDAS\,VIC} + (X_{30-130,GLDAS\,VIC} X_{0-30,GLDAS\,VIC}) * (40 15)/(80 15)$
- 829

#### 830 B5 MERRA2 SMST data

- 831 The SM derived from MERRA2 product for the depths of 5, 20, and 40 cm are calculated as:
- 832  $X_{5,MERRA2} \approx X_{0-5,MERRA2}$
- 833  $X_{20,MERRA2} \approx X_{0-5,MERRA2} + (X_{0-100,MERRA2} X_{0-5,MERRA2}) * (20 2.5)/(50 2.5)$
- 834  $X_{40,MERRA2} \approx X_{0-5,MERRA2} + (X_{0-100,MERRA2} X_{0-5,MERRA2}) * (40 2.5)/(50 2.5)$
- 835 The ST derived from MERRA2 product for the depths of 5, 20, and 40 cm are calculated as:
- 836  $X_{5,MERRA2} \approx X_{0-10,MERRA2}$
- 837  $X_{20,MERRA2} \approx X_{10-30,MERRA2}$

838  $X_{40,MERRA2} \approx X_{10-30,MERRA2} + (X_{30-70,MERRA2} - X_{10-30,MERRA2}) * (40 - 20)/(50 - 20)$ 839

#### 840 Appendix C: Mann Kendall trend test and Sen's slope estimate

- 841 Trend analysis for each time series is carried out as following steps:
- 842 1.Calculate month statistics  $(S_i)$





843 For the  $i^{th}$  month (1~12),  $S_i$  is calculated as:

844 
$$S_i = \sum_{K=1}^{Y-1} \sum_{L=K+1}^{Y} sgn(X_{i,l} - X_{i,k})$$

- 845  $sgn(X_{i,L} X_{i,K}) = \begin{cases} 1 & X_{i,L} > X_{i,K} \\ 0 & X_{i,L} = X_{i,K} \\ -1 & X_{i,L} < X_{i,K} \end{cases}$
- where  $X_{i,L}$  and  $X_{i,K}$  represent the monthly value of the data (e.g., SMST at different depths, precipitation, air temperature) for the K<sup>th</sup> and L<sup>th</sup> year (satisfied  $1 \le K \le Y$ -1,  $K \le L \le Y$ ), Y represents the total number of years (e.g., 9 for the Maqu network
- 848 and 8 for the Shiquanhe network).
- 849 2.Calculate the variance of  $S_i$  (*VAR*( $S_i$ ))
- 850 For the  $i^{th}$  month (1~12),  $VAR(S_i)$  is calculated as:

851 
$$VAR(S_i) = \frac{1}{18} [Y(Y-1)(2Y+5) - \sum_{p=1}^{g_i} t_{i,p}(t_{i,p}-1)(2t_{i,p}+5)]$$

- where  $g_i$  is the total number of equal-value data point group, and  $t_{i,p}$  is the number of equal-value data point in the *p*th group.
- 853 3. Calculate the seasons statistic and its variance (S and VAR (S))
- For the fully year, cold seasons, and warm seasons, S and VAR (S) are calculated as:
- 855 S =  $\sum S_i$
- 856 VAR (S) =  $\sum VAR(S_i)$
- where *i* denotes  $1 \sim 12$  for the full year,  $5 \sim 10$  for the warm season, and  $1 \sim 4$ , 11, and 12 for the cold seasons.
- 858 4. Calculate the final statistic (Z)
- 859 The final statistics Z for the full year, cold seasons, and warm seasons is calculated as:

860 
$$Z = \begin{cases} \frac{S-1}{\sqrt{Var(S)}} & \text{if } S > 0\\ 0 & \text{if } S = 0\\ \frac{S+1}{\sqrt{Var(S)}} & \text{if } S < 0 \end{cases}$$

861 If the final statistics Z is positive (negative) and its absolute value is greater than  $Z_{1-\alpha/2}$  (here  $\alpha = 0.05$ ,  $Z_{1-\alpha/2} = 1.96$ ), the

- time series showed uptrend (downtrend) at the significance level of  $\alpha$ . Otherwise, there is no significant trend existed.
- 863 5. Sen's slope estimate

If there is a trend existed, we will further estimate the trend slope using Sen's method. For the  $i^{th}$  month, individual slope  $Q_i$  is calculated as:

$$866 \quad Q_i = \frac{X_{i,L} - X_{i,K}}{L - K}$$

where *i* denotes  $1 \sim 12$  for the full year,  $5 \sim 10$  for the warm season, and  $1 \sim 4$ , 11, and 12 for the cold seasons. The median value of the  $Q_i$  is considered as the Sen's trend slope.