

We appreciated the constructive comments of the reviewers. We have addressed the comments below. Reviewer/editor comments are shown in bold with our responses in blue. Line numbers refer to the tracked changes manuscript, and changes to the text are underlined.

Both reviewers asked for the inclusion of a new section demonstrating how the top-down estimates described in this dataset can be compared to National Greenhouse Gas Inventories (NGHGs) submitted to the UNFCCC. Therefore, we have added a new section (Sect. 8) addressing this concern:

L628-657: “8 Comparison with national inventories

Here we demonstrate how the dataset presented here can be compared with national greenhouse gas inventories (NGHGs) reported under the UNFCCC, which were downloaded from https://di.unfccc.int/flex_annex1. We also refer the reader to Chapter 6.10.2 in Volume 1 of IPCC (2019) for additional discussion of comparing top-down estimates with NGHGs. The fossil fuel emissions in Byrne et al. (2022) can be compared with the combined emissions from the energy and IPPU (Energy+IPPU) categories. In both cases, these estimates account for anthropogenic CO₂ emissions from the burning of fossil fuels and production of cement and other materials. We expect these estimates to generally be in good agreement, as they are similarly based on bottom-up accounting for national totals. However, the estimates may diverge when there is missing activity data, particularly in non-annex 1 countries and more recent years (Andrew, 2020).

ΔC_{loss} can be compared to the combined emissions and removals from the agriculture, LULUCF, and waste (Agr+LULUCF+Waste) categories. These quantities are not identical, with the most important difference being that NGHGs are only for managed land, while ΔC_{loss} includes both managed and unmanaged lands. Therefore, caution is needed for parties with large unmanaged land areas (e.g., Canada or the Russian Federation). Another difference from NGHGs is that ΔC_{loss} implicitly includes deposition of carbon in water body sediments within a country (such as lakes). However, this is expected to be a small contribution. Similarly, volcanic CO₂ emissions and are implicitly included in ΔC_{loss} but are also believed to be small contributions (global subaerial volcanic CO₂ emissions are $\sim 0.05 \text{ PgCO}_2 \text{ yr}^{-1}$, Fischer et al., 2019). It is worth noting that NGHGs require estimates of turnover times for wood products in producing countries, as these can have lifetimes of decades to centuries (see Appendix 3a.1 of Penman et al., 2003). No such estimate is needed for the top-down methods as emissions from decaying wood products will be implicitly incorporated in NCE. Therefore, top-down methods only need to account for the lateral movement of wood products from the region where the carbon is sequestered to the region where the wood products are used and decompose.

For this analysis, we compare NGHGs and our dataset for three entities: the USA, European Union plus the United Kingdom (EU27+UK), and Australia. These were chosen for two reasons. First, NCE is better constrained by atmospheric CO₂ data over these relatively large regions. This is reflected in the FUR metric, which gives values of 0.76–0.91 for the USA (meaning a 76–91% uncertainty reduction), 0.38–0.51 for EU27, and 0.45–0.78 for Australia. Second, each of these entities has small unmanaged land areas, making this more of an apples-to-apples comparison. 95% of the USA is managed, with most unmanaged land being in the state of Alaska (Ogle et al.,

2018). Similarly, all land in the EU27+UK is considered managed except for 5% of France’s territory (Petrescu et al., 2021).

Figure 15 shows timeseries of emissions and removals from NGHGs and Byrne et al. (2022) over 2015–2020. We focus our analysis on the 2015–2020 mean estimates, as top-down methods are expected to be more sensitive to IAV in the carbon cycle than NGHGI methods for individual years. Strong agreement is found between the NGHGI Energy+IPPU emissions and the fossil fuel emissions in Byrne et al. (2022), while larger differences are found between Agr+LULUCF+Waste and ΔC_{loss} . Averaged over the 2015–2020 period, we obtain statistically significant differences between Agr+LULUCF+Waste and ΔC_{loss} for the USA and EU27+UK for each experiment (based on student t-test at 0.05 significance level). In each case the top-down estimates suggest greater carbon sequestration by land, with mean differences of 0.59–0.91 Pg CO₂ yr⁻¹ for the USA and 0.99–1.79 Pg CO₂ yr⁻¹ for the EU27+UK. The reasons for these differences are unclear but are not expected to be explained by removals in unmanaged lands. It is possible that NGHGI methods miss or underestimate sink processes and/or that there are biases affecting the top-down estimates (see. Sec. 9 for remaining challenges in top-down estimates). We encourage further research and comparison between the NGHGI and top-down research communities to better understand the sources of these differences.”

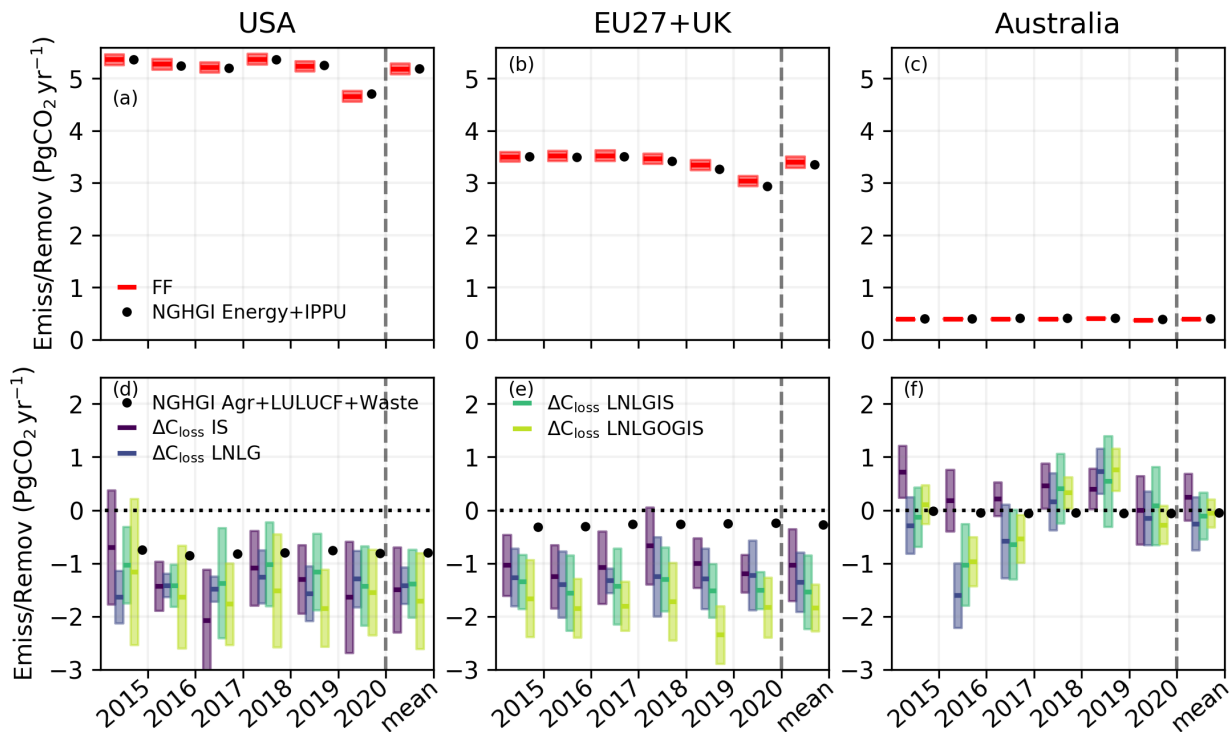


Figure 15. Emissions and removals of CO₂ from the (a-c) Energy and IPPU categories and (d-f) Agriculture, LULUCF and waste categories reported in NGHGs and ΔC_{loss} for four OCO-2 MIP experiments (IS, LNLG, LNLGIS, LNLGOGIS). Values are provided for individual years and the 2015–2020 mean.

Reviewer 1

General comments

Since this study is aimed at “informing countries’ carbon budgets”, as stated in the abstract, I am missing a bit a section on how the carbon stock changes defined in this study compare to what is required by the UNFCCC for national reporting. Moreover, this study calculates the Net Biosphere Exchange (NBE) from the inversions and then adjusts this using the terms for crop and wood trade as well as river export to derive changes in terrestrial carbon stock. The UNFCCC guidelines on reporting also correct carbon stocks for carbon losses due to harvest. For wood, the guidelines include consideration of the turn-over rate of wood products, since many wood products have lifetimes of decades to centuries. For crop, the turn-over time is generally much shorter, order of annual, thus I would think that this term should have little affect on carbon stock changes.

We have added a section comparing our estimates with NGHGs (please see above) and explaining that top-down methods do not require an estimate of the turnover rate for harvested wood, as the decomposition of wood products should be implicitly included in the NBE flux. What needs to be accounted for is the lateral movement of wood products from the region where carbon is fixed to the region where the wood products are used and decompose. In contrast, the bottom-up methods described in the UNFCCC guidelines need to explicitly estimate the turnover time for wood products, as the release of this carbon to the atmosphere is not captured by these methods. Further, the emissions from wood products are reported by the country that produces the product (under the responsibility of this country). The global sum of wood products used and decompose emissions is thus reported in NGHGs over the territory of producing countries, but inversions solve for spatial patterns and this is why we included explicitly the displacement of wood products and emissions at the location where they are actually released to the atmosphere. Nevertheless, both approaches should account for the impact of turnover time on wood products in stockchange estimates.

Specific comments

L135: Add a reference for the N-fertilization effect

Added

L209: Concerning Eq. 2, why is the carbon in crop harvest considered in the change of carbon stocks, since crop harvest is only a relatively short-term stock of carbon (turn-over on annual time scales) since this will most be consumed or used for fuel?

When carbon is fixed on land through photosynthesis and then exported laterally and respired elsewhere (e.g., crop growth and harvest, forest growth out of which wood products are harvested), it will result in a local net carbon sink in NBE. However, this does not change the local carbon stocks as this carbon is exported. Therefore, we need to remove this lateral flux (as a global map of sinks where CO₂ is fixed and sources where CO₂ is emitted after displacement of carbon) of carbon from NBE to calculate the stock change.

Section 2: How does the carbon stock definition compare to what is required by UNFCCC (see also the general comment)? Also, are the turn-over times of wood products considered in calculating changes in carbon stock?

Please see new Sect 8 (posted above).

Eq. 4: May be there is some confusion on my side, but to correct the IQR to standard deviation (SD), I would think one would need to divide by ~1.47, since the IQR includes 50% of the values while one SD includes 34%. Or where does the value of 1.35 come from?

The conversion from IQR to standard deviation requires the use of normal tables (https://en.wikipedia.org/wiki/Standard_normal_table). We have clarified this in the text, and added a reference to Hoaglin et al. (1985), where a more detailed explanation can be found:

L284-287: “The uncertainty in NCE is calculated as an (denoted σ_{NCE}) of the distribution’s standard deviation using the interquartile range (IQR) of the v10 OCO-2 MIP ensemble. It is a robust estimate that requires only the middle 50% of the ensemble to be normally distributed (Hoaglin et al., 1985). Hence from the normal tables, to two decimal places:”

L358: What is the reasoning for assuming 30% uncertainty on the crop and wood exchange fluxes?

This value was chosen based on expert opinion. There has been no rigorous method devised to estimate the uncertainty for these values. We have clarified this in the manuscript:

L385-387: “For each $1^\circ \times 1^\circ$ grid cell, we assume the standard deviation of the mean flux to be 30% for $F_{\text{wood trade}}$ and $F_{\text{crop trade}}$, and 60% for $F_{\text{rivers export}}$. These uncertainty estimates are based on expert opinion as a rigorous error budget has not yet been developed for the $1^\circ \times 1^\circ$ lateral flux estimates.”

L415: Please change “data-model” to “observation-model” if that is what is meant since “data” can be either modelled or observed (also L419). Also, this sentence is a bit ambiguous, do the authors mean that the difference for the OG experiments is more negative in the evaluation data sets compared to experiments?

We have replaced “data-model” with “observation-model” throughout. We have also clarified the statement about the OG bias:

L438-441: “Over 30° – 60° N, where independent observations are densest, we find that the OG ensemble median is biased by -0.69 ppm against TCCON, -0.74 ppm against withheld in situ, and -0.48 ppm against withheld OCO-2 LNLG, suggesting a possible meridional bias (higher retrieved X_{CO_2} than independent observations) in the OCO-2 ocean X_{CO_2} retrievals.”

Reviewer 2

General comments:

The authors put together a comprehensive analysis and study on atmospheric CO₂ observations, aimed in informing countries’ C budgets. The use of atmospheric observations (and OCO-2 MIP outputs in this case) is useful and greatly needed in the view

of future CO2M development and in the context of the Paris Agreement global reduction targets. The authors mention often the Paris Agreement and its GST but nowhere in this study UNFCCC reported estimates are presented. Do these atmospheric observations agree or not with the NGHGs, or better say complement them? How do OCO-2 MIP observations could/will be used for the “informing” purpose? I would highly recommend a section dedicated to this.

We have added a new section (Sect 8) dedicated to comparing our estimates with NGHGs. Please see the text for this section posted at the top of this document.

Specific comments:

Introduction: The first three paragraphs contain text widely used in previous studies, references are needed, e.g. VERIFY H2020 project and references therein

We did not copy any text but likely converged on similar wordings. Nevertheless, we are happy to acknowledge the previous work. We have added references to Petrescu et al. (2021) and Monteil et al. (2020). Please let us know if there are others that we have missed.

Petrescu, A. M. R., McGrath, M. J., Andrew, R. M., Peylin, P., Peters, G. P., Ciais, P., Broquet, G., Tubiello, F. N., Gerbig, C., Pongratz, J., Janssens-Maenhout, G., Grassi, G., Nabuurs, G.-J., Regnier, P., Lauerwald, R., Kuhnert, M., Balkovič, J., Schelhaas, M.-J., Denier van der Gon, H. A. C., Solazzo, E., Qiu, C., Pilli, R., Konovalov, I. B., Houghton, R. A., Günther, D., Perugini, L., Crippa, M., Ganzenmüller, R., Luijkx, I. T., Smith, P., Munassar, S., Thompson, R. L., Conchedda, G., Monteil, G., Scholze, M., Karstens, U., Brockmann, P., and Dolman, A. J.: The consolidated European synthesis of CO₂ emissions and removals for the European Union and United Kingdom: 1990–2018, *Earth System Science Data*, 13, 2363–2406, <https://doi.org/10.5194/essd-13-2363-2021>, 2021.

Monteil, G., Broquet, G., Scholze, M., Lang, M., Karstens, U., Gerbig, C., Koch, F.-T., Smith, N. E., Thompson, R. L., Luijkx, I. T., White, E., Meesters, A., Ciais, P., Ganesan, A. L., Manning, A., Mischurow, M., Peters, W., Peylin, P., Tarniewicz, J., Rigby, M., Rödenbeck, C., Vermeulen, A., and Walton, E. M.: The regional European atmospheric transport inversion comparison, EUROCOM: first results on European-wide terrestrial carbon fluxes for the period 2006–2015, *Atmospheric Chemistry and Physics*, 20, 12 063–12 091, <https://doi.org/10.5194/acp-20-12063-2020>, 2020.

Line 27: The 5 sectors specified by the IPCC 2006 guidelines are: Energy, IPPU, Agriculture, LULUCF and Waste. The AFOLU (Agriculture + LULUCF) is defined in the 2019 Refinement to the 2006 Guidelines and IPCC ARs reports. However, in their NGHGs, countries report separates the two sectors.

AFOLU is defined in the 2006 Guidelines and is the title of volume 4. Nevertheless, we agree that NGHGs are categorized into Energy, IPPU, Agriculture, LULUCF and Waste. We have revised the text to reflect this:

L23-39: “In support of the first GST, Parties to the Paris Agreement are compiling national greenhouse gas inventories (NGHGs) of emissions and removals, which are submitted to the United Nation Framework Convention of Climate Change (UNFCCC) and inform their progress toward the emission-reduction targets in their individual NDCs. For these inventories, emissions and removals are generally estimated using “bottom-up” approaches, wherein CO₂ emission estimates are based on activity data and emission factors while CO₂ removals by sinks are based on inventories of carbon stock changes and models, following the methods specified in the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC, 2006). This approach allows for explicit characterization of CO₂ emissions and removals into five categories: Energy; Industrial Processes and Product Use (IPPU); Agriculture; Land Use, Land-Use Change and Forestry (LULUCF); and Waste. Bottom-up methods can provide precise and accurate country-level emission estimates when the activity data and emission factors are well quantified and understood (Petrescu et al., 2021), such as for the fossil fuel combustion category of the energy sector in many countries. However, these estimates can have considerable uncertainty when the emission processes are challenging to quantify (such as for agriculture, LULUCF, and waste) or if the activity data are inaccurate or missing. For example, Grassi et al. (2022) and McGlynn et al (2022) estimate the uncertainty on the net LULUCF CO₂ flux to be roughly 35% for Annex I countries and 50% for non-Annex I countries. In addition, these estimates do not capture carbon emissions and removals from unmanaged systems, which are not directly considered in the Paris Agreement, but impact the global carbon budget and growth rate of atmospheric CO₂.”

Line 30: “quantified and understood” please reference

Added a reference

Line 40: I would not use “verification system” but complementing, informing..

We chose this term to be consistent with Chapter 6.10 of the 2019 refinement to the 2006 IPCC guidelines, where atmospheric inversions are discussed.

Line 60: “several previous studies “ how about European BU vs TD studies? Ciais et al., add RECCAP2, as well as Grassi et al., 2022 preprint informing on consistent comparison for the land-se fluxes

We have added references to Petrescu et al. (2021) and Monteil et al. (2020). The Ciais et al (2021) RECCAP-2 paper was already cited here. We don’t believe the Grassi et al. (2022) preprint is relevant to this paragraph but have added a citation to the first paragraph.

Line 64: explain CAMS

Defined acronym

Line 90: comma (,) before Including

Done.

Lines 94-95: The first two sentences don't read well, rephrase please

We have re-worded these sentences:

L102-108: “The burning of fossil fuels and cement production release geologic carbon to the atmosphere ($40.0 \pm 3.3 \text{ PgCO}_2 \text{ yr}^{-1}$ or $10.9 \pm 0.9 \text{ PgC yr}^{-1}$ over 2010–2019; Canadell et al., 2021). These emissions, along with land use activities, impact carbon cycling between atmospheric, oceanic, and biospheric reservoirs that make up a near-closed system on annual timescales. As a result, roughly half of the emitted CO₂ from anthropogenic sources is absorbed by terrestrial ecosystems and oceans...”

Figure 1 caption: I think number 2 should be moved to forests/logs, to the reservoir itself (under GPP), same as its done for agriculture and water, now its on the urban areas. Add (BB) after biomass burning.

We agree that this is not an ideal location, but the portion of the figure with the logs has a number of arrows nearby, which makes the number look ambiguous in this location as well. We feel that the current location is better, and the message can still get across with referencing the caption.

Line 157: can add examples for DGVMs priors

We have revised DGVM to Terrestrial biosphere model, which is more accurate given that many priors are simple diagnostic models. We have also added some examples:

L165-167: “Prior mean fluxes of net ecosystem exchange are usually obtained from terrestrial biosphere models (such as CASA, ORCHIDEE, and CARDAMOM), while prior mean air-sea fluxes...”

Line 187 Section 2: a table summarizing all data sources for all lateral fluxes and not only would be of great help.

We have added a table that lists the data sources and the sub-sections where more details can be found.

Table 3. Data sources for lateral flux estimates

Resolution	Flux	Model / Data source	Section
National	$F_{\text{rivers export}}$	Dynamic Land Ecosystem Model (DLEM)	Sect. 3.3.1
		and Global NEWS with COSCAT's data	
National	$F_{\text{wood trade}}$	UN FAO	Sect. 3.3.2
National	$F_{\text{crop trade}}$	UN FAO	Sect. 3.3.2
$1^\circ \times 1^\circ$	$F_{\text{rivers export}}$	Global NEWS with COSCAT's data	Sect. 3.3.3
$1^\circ \times 1^\circ$	$F_{\text{wood trade}}$	UN FAO with downscaling	Sect. 3.3.3
$1^\circ \times 1^\circ$	$F_{\text{crop trade}}$	UN FAO with downscaling	Sect. 3.3.3

Line 190: can reference (Fig. 1) after ocean

Done.

Line 195: Reco already explained (L116)

Removed redundant definition

Line 219: Please mention EU27

The European Union is meant to be the EU27. We have clarified, "..., the European Union (EU or EU27), ..."

Line 228: add "including 'those' from"...

Done.

Line 249: not clear to which network they belong to. In situ collection is referenced as Masarie et al., 2014, perhaps add this ref to the Abstract where you mention first in situ. It appears late in the text (line 185)

This is a special collection of in situ data made specifically for this activity. They originate from many networks across the globe, we have clarified this in the text:

L297-299: "In situ CO₂ measurements (Fig. 3a,d) are drawn from five data collections made available in Obspack format (Masarie et al., 2014). Those source ObsPacks and their references are listed in Table 2. These data include measurements from 55 international laboratories at 460 sites around the world."

Line 276, Eq 4: what does 1.35 stands for?

We have clarified this in the text and added a reference to Hoaglin et al. (1985) where a more detailed explanation can be found:

L284-287: “The uncertainty in NCE is calculated as an (denoted σ_{NCE}) of the distribution’s standard deviation using the interquartile range (IQR) of the v10 OCO-2 MIP ensemble. It is a robust estimate that requires only the middle 50% of the ensemble to be normally distributed (Hoaglin et al., 1985). Hence from the normal tables, to two decimal places:”

Line 322: reference DLEM

added

Line 326: please explain DIC, DOC and POC

Defined: “...that include dissolved inorganic carbon (DIC) of atmospheric origin, dissolved organic carbon (DOC) and particulate organic carbon (POC).”

Line 358: can you please explain why 30%?

This value was chosen based on expert opinion. There has been no rigorous method devised to estimate the uncertainty for these values. We have clarified this in the manuscript:

L385-387: “For each $1^\circ \times 1^\circ$ grid cell, we assume the standard deviation of the mean flux to be 30% for $F_{\text{wood trade}}$ and $F_{\text{crop trade}}$, and 60% for $F_{\text{rivers export}}$. These uncertainty estimates are based on expert opinion as a rigorous error budget has not yet been developed for the $1^\circ \times 1^\circ$ lateral flux estimates.”

Line 491: FUR already explained in Fig. 7 caption, then in 5.2, then again here

Removed this redundant definition.

Line 538: How about future CO2M mission, what do authors recommend for estimating fluxes at smaller scale (regions, cities etc.)

The coarse-resolution global models used here are insufficient for monitoring urban emissions from future missions, such as CO2M. These will require higher spatial resolution to resolve urban CO₂ plumes from cities. In most cases this will likely require regional models. This is certainly an active area of research, but it is hard to make recommendations based on the analysis here. We can only point out that the methods employed here, such as using an ensemble of inversion systems, will also be useful for quantifying errors in these applications.

Line 721: perhaps worth mentioning RECCAP2 initiative. I think this paragraph should be in the Introduction

We have added a mention of RECCAP-2 in this section. We have put it under international activities since it is coordinated through GCP, though with significant funding from ESA-CCI. In addition, we have highlighted the recent co-ordination by WMO:

L813-819: “Finally, there are ongoing internationally organized activities. Phase 2 of the Regional Carbon Cycle Assessment and Processes project (RECCAP-2), coordinated by the Global Carbon Project (<https://www.globalcarbonproject.org/reccap/>), has aimed to characterize regional carbon budgets. This included investigating how different data sources – including atmospheric inversion analyses – can contribute to this goal (Bastos et al, 2022; Deng et al., 2022). In addition, the WMO has hosted workshops and symposiums with the greenhouse gas monitoring community to develop a framework for sustained, internationally coordinated global greenhouse gas monitoring (e.g., <https://community.wmo.int/meetings/wmo-international-greenhouse-gas-monitoring-symposium>).”

Line 807: agree with improving sub-national and sub-annual estimates of lateral fluxes, however its not the aim of the NGHGs.

Agreed, this is motivated by improving top-down systems, and this research area may motivate funding of these estimates.

Line 811: can use ‘GST’

Done.

Line 817: “We recommend that each party provide a mask” very well thought and optimistic, however very hard to achieve, countries do not invest in it as it’s not really required by guidelines, however several newly EU funded projects might look into it for some key countries.

Agreed that this is hard to achieve, we are happy to hear that EU-funded projects will investigate this.

Line 824: FUR ok, how about the distribution of the observation network?

FUR is impacted by the distribution of the observation network, as it is a metric of how much country NCE uncertainties are reduced by the assimilation of available observations. We are unclear on the meaning of the reviewer’s question.

Line 838: a bit more text on how this analysis should inform GSTs and countries’ budgets is needed (as for the moment GST is designed for country NGHGs only), and, as mentioned in the beginning, the UNFCCC and country reported data is missing in this study.

Please see new Sect 8 (posted at top).