A 250m annual alpine grassland AGB dataset over the Qinghai Tibetan Plateau (2000-2019) in China based on in-situ measurements, UAV imagesphotos, and MODIS Data

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16 Abstract. The alpine grassland ecosystem accounts for 53% of the Qinghai-Tibet Plateau (QTP) area and is an important 17 ecological protection barrier, but it is fragile and vulnerable to climate change. Therefore, continuous monitoring of 18 grassland aboveground biomass (AGB) is necessary. Although many studies have mapped the spatial distribution of AGB 19 for the QTP, the results vary widely due to the limited ground samples and mismatches with satellite pixel scales. This paper 20 proposed a new algorithm using unmanned aerial vehicles (UAVs) as a bridge to re-estimate the grassland AGB on the OTP 21 from 2000 to 2019. The innovations were as follows: 1) In terms of ground data acquisition, the spatial scale matching 22 among the traditional ground samples, UAV photos, and MODIS pixels was considered. A During 2015-2019, total of 906-23 pairs906 pairs between field harvested AGB and UAV sub-photos, -of quadrat-scale ground-UAV sample data and 2,602 sets of MODIS pixel -scale UAV data (over 37,000 UAV photos) were collected during 2015-2019 (over 37,000 UAV-24 25 photos). Therefore, the ground validation samples were sufficient and scale-matched. 2) In terms of model construction, the traditional quadrat scale (0.25 m²) was successfully upscaled to the MODIS pixel scale (6,2500 m²) based on the random 26 27 forest and stepwise upscaling methods. Compared with previous studies, the scale matching of independent and dependent 28 variables was achieved, effectively reducing the impact of spatial scale mismatch. The results showed that the correlation 29 between the AGB values estimated by UAV and the-MODIS vegetation indices was higher than that between field measured 30 AGB and MODIS vegetation indices at the MODIS pixel scaleof the traditional sampling method at the pixel scale. The 31 erosmultis-year validation results showed that the constructed MODIS pixel scale AGB estimation model had good 32 robustness, with an average R² of 0.83 and RMSE of 34.13 $g/m^2g \cdot m^2$. Our dataset provides an important input parameter for 33 a comprehensive understanding of the role of the -QTP underin global climate change-processes. The dataset is available

34 from the National Tibetan Plateau/Third Pole Environment Data Center (<u>https://doi.org/10.11888/Terre.tpdc.272587</u>, Zhang

35 et al., 2022).

36 1 Introduction

Grasslands, accounting for approximately 37% of the earth's surface, play an essential role in <u>the global carbon cycle eyeling</u> and food supply (Ómara, 2012). However, most natural grasslands have been degraded to a certain extent due to overgrazing, farmland encroachment, soil erosion, and global climate change (Suttie et al., 2005; Ramankutty et al., 2008; Ómara, 2012). Therefore, timely monitoring of grassland health is crucial for <u>the sustainable development of livestock</u> and understanding <u>of</u> the global carbon <u>cyclecycling processing</u>. Aboveground biomass (AGB) is a key indicator of grassland status and an important input parameter for ecological modeling and carbon storage estimation. Thus, accurate and rapid estimation of AGB is valuable for grassland monitoring.

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45 The advent of satellites has made it possible to map the spatiotemporal dynamics of grasslands over large areas. Spectral 46 information from different satellites sensors -has been employed for biomass estimation, such as Sentinel-2, Landsat, and 47 MODIS (Wang et al., 2019; Zhang et al., 2016). Although there are differences in spatial and spectral resolution, the core 48 idea of <u>building</u> the a-biomass estimation model model is to constructing the linear or nonlinear relationships between the 49 field-measured samples and various satellite spectral indices. Therefore, the estimation accuracy of the estimation is closely 50 related to the quality and quantity of ground samples (Morais et al., 2021; Yu et al., 2021). However, there are still two 51 deficiencies in ground data acquisition: the large spatial gap between the traditional samples and satellite pixels, and the low 52 efficiency.

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54 How to narrow the spatial gap between traditional samples and satellite pixels is an urgent problem to be solved. Since it is 55 impossible to harvest all grasses within a satellite pixel range, the average of 3-5 quadrats ($0.5 \text{ m} \times 0.5 \text{ m}$ or $1.4 \text{ m} \times 1 \text{ m}$) is 56 usually used as the measurement (Dusseux et al., 2015; Yang et al., 2017), which results in a considerable spatial gap. A lot 57 of studies have been carried out to upscale ground measurements to satellite pixels (Crow et al., 2012; Bian and Walsh, 58 1993), such as block Kriging geostatistical interpolation, -different types of regression models, or-and machine learning 59 algorithms (Cheng et al., 2007; Wang et al., 2014; Cannavacciuolo et al., 1998; Dancy et al., 1986; Li et al., 2018). However, 60 the accuracy of these methods depends on the density of sampling points. In addition, fine-resolution satellites images were used as a bridge to reduce the impact of scale mismatch on AGB estimation (Yu et al., 2021; He et al., 2019). The reason-61 62 rationale is that the finer the satellite resolution of the satellite image, the smaller the the spatial gap with the ground samples 63 (Wang and Sun, 2014; Morais et al., 2021). Therefore, obtaining ground samples that match the pixel scale filling the spatial 64 gap between ground samples and satellite pixels -is the key to improving the accuracy of satellited AGB estimation.

Improving the efficiency of ground sampling is another issue that needs to be addressed._-Although the traditional sampling method can yield high-accuracy results, it is time-consuming and labor-intensive. For example,-, five years were spent in completing the collectioning of ground samples to map the grassland AGB in China Yang et al. spent five years completing the collection of ground samples to invert the grassland AGB in China (Yang et al., 2010). Moreover, with limited original ground data, some scholars had to use the data published by others to increase the sample amount expand the sample-size (Xia et al., 2018; Jiao et al., 2017)._-However, datasets from different sources may affect the overall accuracy due to the differences in sample-quadrat plot-size, plot sample size, and harvesting sampling methods.

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74 As a linkage/bridge between field observation and satellites detecting for grassland biomass, the development and popularity 75 of unmanned aerial vehicle (UAV) technology has provided a new solution to the abovementioned two issues. The-76 development and popularity of unmanned aerial vehicle (UAV) technology has provided new solutions to the above-77 problems. UAV photograph images havehas been successfully used to estimate ecological metrics such as fractional 78 vegetation cover (FVC), biomass, and canopy height (Chen et al., 2016; Zhang et al., 2018; Bendig et al., 2015). The use of 79 UAVs has the following two unparalleled advantages over traditional sampling methods. First, UAVs can effectively obtain 80 two- or three-dimensional vegetation information in a non-destructive way, which is helpful for grassland estimation 81 monitoring (Lussem et al., 2019; Zhang et al., 2022a; Zhang et al., 2018). Second, UAVs can rapidly collect key parameters 82 of grassland within satellite pixels (e.g., FVC, Chen et al. 2016). Hence, UAV photographs images can serve as a bridge to 83 reduce-fill the spatial gap between field samples and satellite pixels. However, most current UAV-based grassland biomass 84 estimations are conducted on a small scale, but few studies are on a regional scale-small-scale, with few regional-scale 85 studies. Whether UAVs can be used to reduce fill -the spatial gap between traditional ground sampling and satellite pixels 86 remains an open question. In addition, previous studies there is a shortage of -multi-year validation lacked eross-year 87 validation to test the robustness of the AGB estimation model over time due to the limited sample amount in previous 88 studiesdue to the limited sample size.

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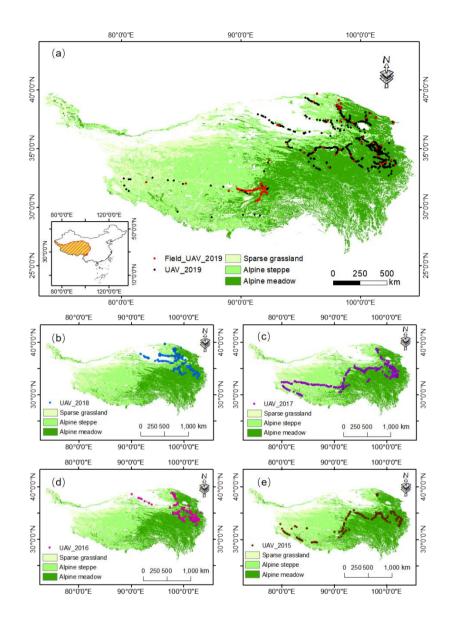
This study proposed a new approach that combines combining traditional ground sampling, UAV photography, and satellite image data-to produce a new reliable AGB dataset for the grasslands of the Qinghai-Tibetan Plateau (QTP) of QTP grassland. The objectives of this study were: 1) to construct a UAV-based grassland AGB estimation model at the quadrat/satellite MODIS pixel scales, respectively; 2) to investigate whether UAVs can be used as a bridge to reduce-fill the spatial gap between ground samples and satellite pixels to improve the accuracy of grassland AGB estimation, and 3) to map the AGB of alpine grasslands on the Qinghai-Tibetan Plateau (QTP) from 2000 to 2019.

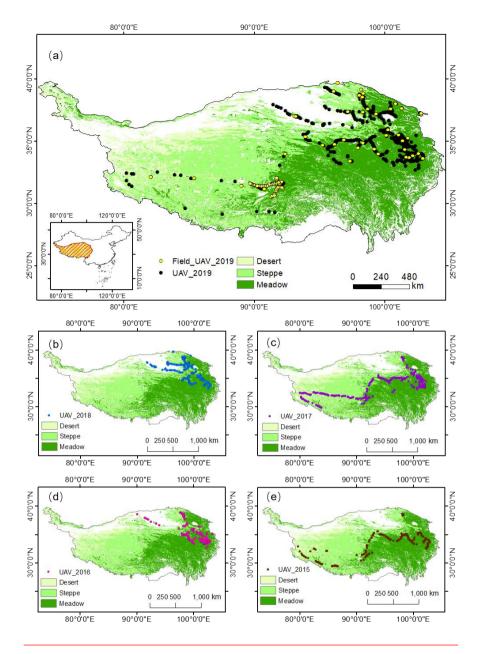
96 2 Materials and Methods

97 2.1 Study Site

98 QTP is the highest and largest plateau on the earth (26°00'12"~39°46'50"N, 73°18'52"~104°46'59"E), with an average 99 elevation of ~4000 m and an area of approximately 257.24×10^4 km² (Figure 1). It is located in western China, with an 100 average annual temperature and precipitation of about 1.6°C and 413.6 mm, respectively. The primary main-grassland types 101 are alpine meadows, alpine steppe, and sparse desert grassland, which play a critical role in climate regulation, water 102 conservation, and biodiversity protection (Ding et al., 2013). In this study, the boundary of the QTP of China (Zhang et al., 103 2014) was downloaded from the National Earth System Science Data Center, National Science & Technology Infrastructure 104 of China (http://www.geodata.cn). Grassland types data-wasere derived from the 1: 1000000 Chinese digital grassland 105 classification map provided by the China Resource and Environmental Science and Data Center (https://www.resdc.cn/). 106 This data-set, generated through field surveys in the 1980s and supplemented by satellite and aerial imagery, is the most detailed grassland--type map available. To facilitate comparison with others' AGB estimates For comparison with others, we 107 108 regrouped combined the grassland types into three categories: alpine meadow, alpine steppegrassland, - and sparse desert 109 (Table A1)grassland, and resampled to 250 m-resampled this regrouped vector to a grid with 250 m spatial resolution(Table-

110 Al).





113 Figure 1. Distribution of field and UAV sampling sites in 2019 (a); UAV sampling sites in alpine-grasslands on the QTP from 2015-

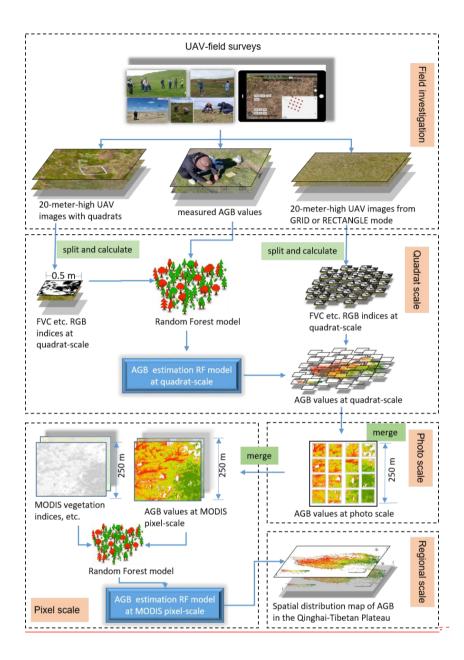
- 114 2018 (b-e). Field_UAV_2019 represents the quadrat-scale sampling sites for the 2019 UAV-Field synchronous grassland biomass
- 115 experiment. UAV_year represents the UAV sampling points based on the GRID or RECTANGE mode of the corresponding year.

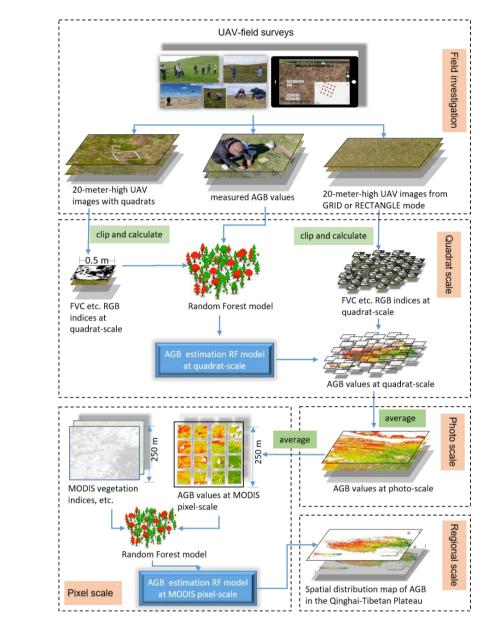
116 2.2 Overall technology roadmap

117 Figure 2 was tThe overall flowchart of this study is shown in Figure 2. It consisted of four main steps: 1) UAV and field

118 investigation; 2) constructing the AGB estimation model at the quadrat scale; 3) upscaling the grassland AGB to the MODIS

- 119 pixel scale (250 m); 4) building the AGB estimation model at the MODIS pixel scale (250 m) and applying it to the QTP
- 120 region. More detailed information <u>on-about</u> each step was described in the following sections.





122 123

Figure 2. The overall flowchart of UAV field survey and the construction of grassland AGB estimation models at different spatial scales.

126 2.3 Field investigation

127 2.3.1 UAV and route planning

128 DJI Phantom 3 Professional (DJI Company, Shenzhen, China), a popular consumer quadrotor UAV with a high-resolution

- 129 RGB camera, was used to collect UAV photosimages of the QTP from 2015 to 2019. It has a 1/23-inch CMOS sensor and is
- 130 capable of taking 12-megapixel photos. In addition, it uses a 3-axis stable gimbal to take photos vertically downward to

131 eliminate the distortion of UAV photosimages. It has good environmental adaptability, with an operating temperature range

132 from $0_^{\circ}C$ to $40_^{\circ}C^{\circ}__{3}$ and a maximum take-off altitude of $6000_$ mmeters. Therefore, DJI Phantom 3 Professionalit is

133 adequate well adapted to monitor grassland states the low temperature and high altitude of on the QTP. More detailed

134 information about the UAV system was listed in Table A2.

135

Fragmentation <u>m</u>Monitoring and <u>a</u>Analysis with aerial <u>p</u>Photography (FragMap) system, <u>capable of long term collaborative</u> observation, was used for UAV route planning (Yi, 2017). During 2015-2019, we conducted UAV monitoring of the QTP grasslands using FragMap (Figure 1). Over 2,000 fixed flight routes were set up during this period, and more than <u>37,00040,000</u> UAV <u>photos images</u> were collected, providing a <u>sufficient reliable UAV</u> dataset for this study (Table 1).

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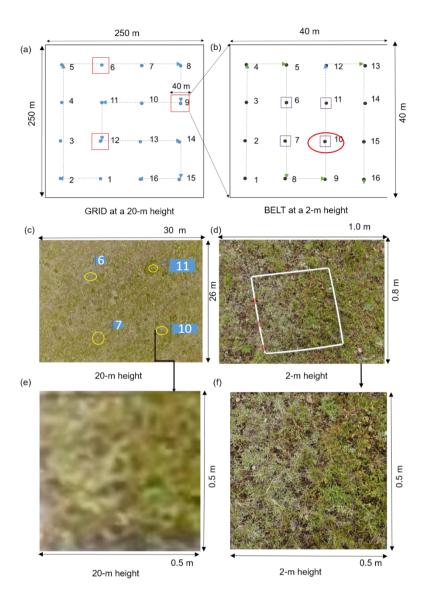
141 Table 1. UAV sampling	information from	2015 to 2019
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Year	Flight Mode	Number of routes	Photo number	Acquisition time_date
2015	RECTANGLE	214	2568	7/-05_~_8/-24
2016	RECTANGLE	334	4008	6 <u>/</u> -20_~_9 <u>/</u> -29
	GRID	150	2400	6 <u>/</u> -20_~_9 <u>/</u> -23
2017	RECTANGLE	315	3780	5 <u>/</u> -10_~_10 <u>/</u> -24
	GRID	322	5152	7 <u>/</u> -15_~_8- <u>/</u> 22
2018	RECTANGLE	79	948	7 -/ 22_~_8 -/ 03
	GRID	303	4848	7 <u>/</u> -04_~_8 <u>/</u> -29
2019	GRID	885	14160	7 <u>/</u> -12_~_9 <u>/</u> -21
	<u>BELT</u>	<u>151</u>	<u>2416</u>	<u>7/12 ~ 9/21</u>
<u>Total</u>	Total	2602 2753	3786 4 <u>40280</u>	

142

143 GRID, RECTANGLE, and BELT are the most commonly-widely used flight modes in the FragMap software. Among these 144 modes, GRID and RECTANGLE modes have 16 and 12 waypoints for capturing UAV photosimages within a MODIS pixel 145 range (250 m \times 250 m) (Figure A1). Their The flying height and speed are set to 20 m and 3 m/s, respectively. The spatial coverage area of a 20-meter-high UAV photo is about 26 m \times 35 m. The BELT mode is similar to GRID, but is designed to 146 147 obtain near-ground UAV photos image data with higher resolution (Figure 3b). - It can be combined with the traditional 148 sampling method to ensure the consistency of UAV images with the ground samples (Figure 3d). Normally Typically, the 149 BELT size is set to 40 m \times 40 m, and the flying height and speed are set to 2 m and 1 m/s- to to-ensure that field crews have 150 enough time to place sampling quadrats frames-under the UAV waypoints. Therefore, it can be used to help field workers 151 quickly and evenly place sampling quadrats. As with the GRID mode, 16 UAV -photos images can be captured in a single

- 152 flight of BELT. Compared with the MOSAIC flight mode (which requires a guaranteed overlap rate between photos to
- 153 obtain a full view of an area), our design is more in line with the traditional ecological sampling concept and more conducive
- 154 to rapid sample collection. It allows for a better balance of spatial representation and accessibility of samples, resulting in-
- 155 efficient sample collection.



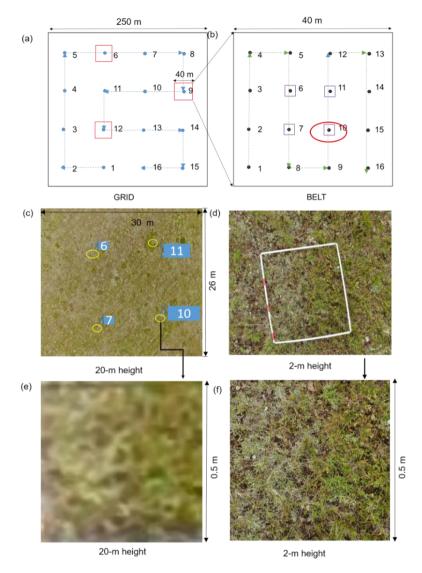




Figure 3. Schematic diagram of the UAV-field synchronization experiment in 2019: a combination design of GRID (a) and BELT
(b) flight modes; a UAV image photo with a quadrat from the BELT mode at the height of 2 m (d); a 20-meter-high UAV image photo including four sample quadrats (c); and the cropped UAV images-photos at the quadrat scale from 20 m (e) and 2 m (f) height, respectively.

162 2.3.2 Synchronization experiment of UAV and field sampling

163 A UAV-field biomass synchronization experiment was designed conducted in 2019 to ensure spatial matching among

- 164 satellites, UAVs, and ground sampling (Figure 3). The specific implementation-four steps were as follows. Firstly, we set a
- 165 GRID flight mode with a MODIS pixel size (250 m × 250 m) (Figure 3a). ThenSecondly, three waypoints were randomly-
- 166 selected from the GRID -flight mode –to set the BELT flight modes (40 m \times 40 m). For each BELT, a sampling frame-
- 167 quadrat (0.5 m \times 0.5 m) was placed at its 6, 7, 10, and 11 waypoints to ensure that the GRID image-photo could contain the

- 168 four above frames-mentioned quadrats above (Figure 3b-c). Then Thirdly, after the implementation of all fights at the end of
- 169 all flights, the grassland AGB-samples were cut, bagged, and numbered. Finally, these samples were oven-dried at 65°C to
- 170 constant weight to obtain the field-measured AGB values.
- 171

172 2.4 Data processing

173 2.4.1 UAV photo pre-processing and indices calculation

174 Pre-processing of UAV photos included image quality inspection, cropping, and calculation of different indices. It should be 175 noted that only UAV photos at 20 m height were used in this paper. Firstly, we eliminated overexposed or blurred 20-meter-176 high UAV imagesphotos. Secondly, the pixels in the sampling quadrats frames-were cropped and saved (Figure 3e). Thirdly, 177 the RGB indices, including color space, histogram, and vegetation indices, for the cropped UAV imagesphotos were 178 calculated. Similar to based on the method in our previous study (Zhang et al., 2022a), indices included color space, 179 histogram, and vegetation indices, the details of which can be found in Zhang et al. (2022a). In addition, 30 other RGB 180 vegetation indices were added as candidate independent variables. The names, formulas, and references of the above indices 181 were are shown in Table A3.

182 2.4.2 MODIS vegetation index and other spatial data

The MOD13Q1(v006) product was downloaded from the NASA the National Aeronautics and Space Administration (NASA) 183 184 earth explorer website (https://earthexplorer.usgs.gov/)- for detecting inversion of the alpine grassland AGB on the QTP. The 185 data contained two commonly used vegetation indices, the Normalized Vegetation Index (NDVI) and the Enhanced 186 Vegetation Index (EVI), with spatial and temporal resolutions of 250 m and 16 days, respectively. A total of 2.842 scenes 187 from 2000 to 2019 were downloaded. Then, the MODIS images were reprojected and mosaiced stitched-using the MODIS 188 Projection Tool (MRT). After that, the corresponding vegetation indices closest to the time-date of the UAV sampling were 189 extracted to construct/validate a-the MODIS pixel-scale AGB estimation model. -In addition, the kNDVI index-was 190 calculated to overcome the NDVI saturation issue based on the equation kNDVI = -TANH (NDVI²) (Camps-Valls et al., 191 2021). The annual maximum vegetation indices were calculated by the maximum value composition (MVC) algorithm to 192 estimate the spatial AGB distribution of the QTP from 2000 to 2019 (Holben, 1986; Wang et al., 2021; Gao et al., 2020).

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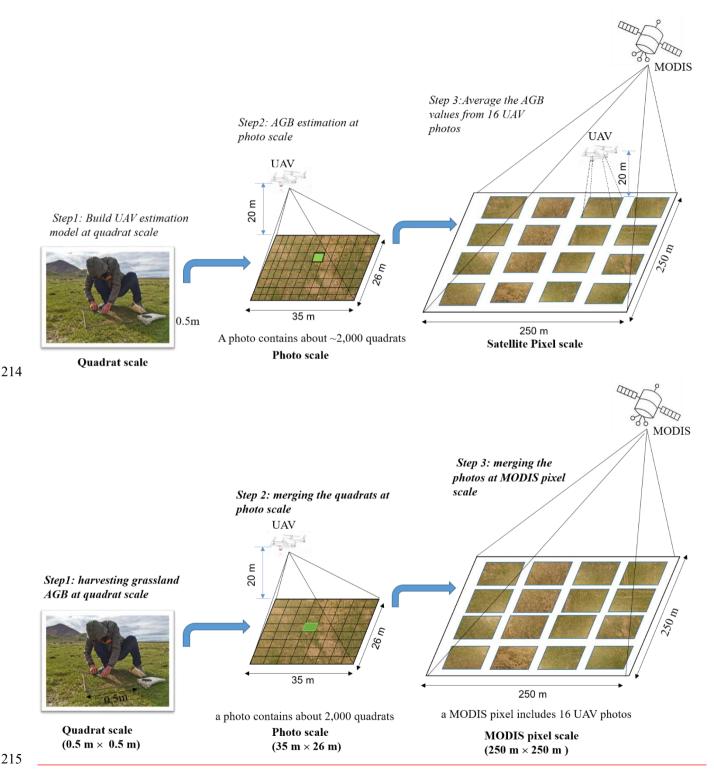
195 Furthermore, ,-meteorological, ,-soil texture, -and topographic data were also included as candidate independent variables for

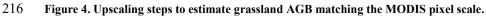
- 196 constructing the the MODIS pixel--scale AGB estimation model. Meteorological factors, including annual mean annual
- 197 temperature (<u>MATTA</u>), <u>annual</u> mean<u>annual</u> precipitation (<u>MAPPREC</u>), and <u>annual</u> total <u>annual</u> solar radiation (<u>TASRRAD</u>),
- 198 were calculated based on the daily meteorological dataset from the National Meteorological Information Center of China

- (http://data.cma.cn/). The data processing steps mainly included checking and eliminating the anomalous values of attributes, interpolation, cumulative summation, and annual_-averaging, and interpolation to obtain a meteorological raster dataset with a spatial resolution of 1000 1_meters km (Li et al., 2021). Moreover, soil texture data at 1 km spatial resolution, including the ratio of soil organic matter (SOM), clay, sand, and silt, were downloaded from the Resource and Science and Data Center of China (https://www.resdc.cn/). All the meteorological and soil raster_datasets were_regridded_-resampled_into 250 m by ArcGIS software (Version 10.2, Environmental Systems Research Institute, Inc.) to match the MODIS imagedata.
- 205
- 206 Terrain factors ,-including altitude, slope, and aspect, were derived from the digital elevation model (DEM) using the terrain
- analysis tool of ArcGIS software. Terrain factors included the digital elevation model (DEM), slope, and aspect. The DEM
 was retrieved_derived_from Shuttle Radar Topography Mission (SRTM) imagery (version 004, 90 m) and regridded
 resampled to 250 m. The slope and aspect data were derived from DEM data using the terrain analysis tool of ArcGIS
 software.

211 2.5 AGB modeling and computation at different scales

- 212 We estimated the grassland AGB at three scales: the quadrat scale, the photo scale, and the satellite MODIS pixel scale
- 213 (Figure 4). More detailed information was described as follows.





217 2.5.1 Modeling method Random forest model

Random Forest (RF) (Breiman, 2001) is an ensemble-learning algorithm that has been widely used to estimate AGB due to its excellent performance (Ghosh and Behera, 2018; Mutanga et al., 2012; Wang et al., 2016). The two main-primary parameters₁₅ namely-named the number of regression trees in the forest *(ntree)* and the number of feature variables required to create branches *(mtry)*, were firstly optimized based on the root mean square error (RMSE) of training data. Here, the value of *-ntree* was set from 100 to 5000 with an interval of 100, while *mtry* was set as the square root of the number of training sample features. In addition, the importance of each predictor was ranked by calculating the percentage increased increase-in mean square error (%IncMSE).

225

The backward feature elimination method (BFE) was used to reduce the number of input variables to simplify the RF model (Vergara and Estévez, 2014). The main-primary steps were as follows: 1) constructing an AGB RF model by including all predictors variables in the initial stages and calculating the %IncMSE index for each variable; 2) eliminating the least promising variable and then rerunning the RF model until only one independent variable was left. Moreover, the corresponding coefficient of determination (R^2) and the corresponding RMSE_-were calculated in each iteration; ; 3) the smallest subset of variables with the highest R^2 was selected as the final optimized indices.

232

In addition, different training and validation strategies were used at different scales. At the quadrat scale, a_10-fold crossvalidation method was used 10-fold cross-validation method was used at the quadrat scale due to the limited ground samples (Kohavi, 1995). At the <u>MODIS</u> pixel scale, 30% of the UAV-estimated AGB samples in 2019 were randomly selected as an independent validation dataset due to <u>its large size</u>the large sample size. Meanwhile, the UAV_AGB values from 2015 to 2018 were used for crossmulti-year validation to test the robustness of the model over time. Statistical metrics R² (Eq.1) and RMSE (Eq.2) were used to evaluate the performance of the model<u>model's performance</u>.

239
$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (\hat{y}_{i} - y_{i})^{2}}{\sum_{i=1}^{n} (\hat{y}_{i} - y_{i})^{2}}$$
(1)

240

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (\hat{y_i} - y_i)^2}{n}}$$
(2)

where where n is the number of samples, y_i and \hat{y}_i represent the measured and the predicted AGB value, respectively, $\overline{y} \cdot y_i$ is the mean value mean value of measured AGB samples.

243 2.5.2 AGB RF estimation model at the quadrat scale (0.25 m²)

Since the spatial coverage <u>area_of</u> a 20-meter-high UAV photo (26 m_×_35 m) is much <u>wider-larger</u> than a single 2-meter-

high UAV photo (0.8 m \times 1 m), making it easier to match to the MODIS pixel scale (250 m \times 250 m). Hence, the 20-meter-

246 high UAV photos containing the sample <u>quadrats</u> frames were chosen for constructing the quadrat-scale AGB estimation

247 model. A total of 906 pairs between field harvested AGB and UAV sub-photosof quadrat-scale UAV-field AGB observation

- 248 data-were collected, with good spatial representativeness (Figure 1-a, yellowred dots). The observed AGB values ranged
- from 0 to 450 $\underline{g \cdot m^{-2}g/m^2}$, with mean and median values of 59.75 $\underline{g \cdot m^{-2}g/m^2}$ and 33.04 $\underline{g \cdot m^{-2}g/m^2}$, respectively, most of which
- 250 were less than 100 g/m² (Figure 5a). The cropped 20-meter-high UAV image photo indices and the measured AGB values
- 251 were used as the independent and dependent variables to build the RF model at the quadrat scale (Figure 2).

252 2.5.3 AGB calculation at the photo scale (~900 m²)

- The steps for AGB estimation of the whole 20-meter-high UAV photo were as follows: 1) Firstly, each UAV photo was divided split into_~2,000 quadrat-sized small patches. 2) Secondly, the AGB of each small patch was calculated based on the quadrat-scale AGB estimation model. 3) Finally, the average of all small patches was calculated as the AGB of the whole photo. Based on the above steps, the AGB values of more than 75 million quadrats in 37,864 photos in GRID or Rectangle mode were calculated using more than 75 million AGB values of the UAV quadrat scale (Table 1)Based on the above steps, the AGB values of 37,487 images in GRID or Rectangle mode were calculated using more than 74 million AGB values of the quadrat scale (Table 1).
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- 261

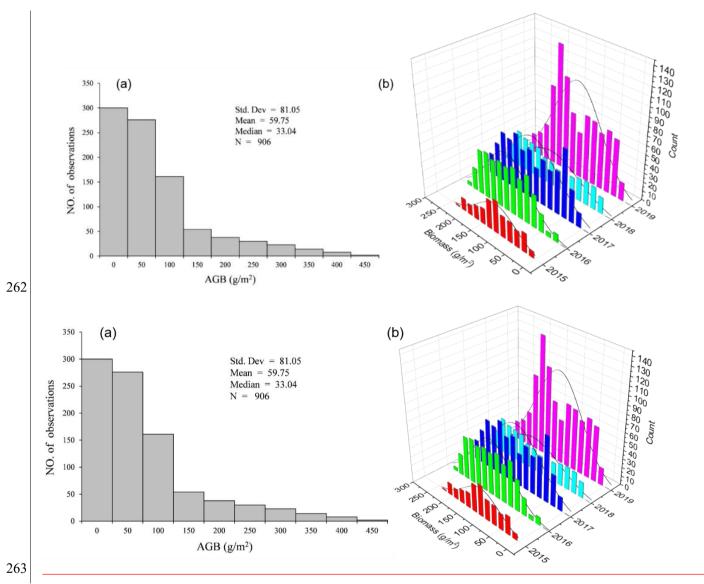


Figure 5. Histograms of field-measured AGB values at quadrat scale (a) and UAV-estimated AGB values of different years at the photo scale (b).

267 2.5.4 AGB RF model construction at MODIS pixel-scale (6,2500 m²)

268 The following steps were involved in constructing the AGB estimation model at the MODIS pixel scale. 1) Since the

coverage area of a GRID or RECTANGLE -mode was similar to that of a MODIS pixel, -tthe average value of 16 or 12

270 UVA photos' AGB was taken as the AGB value of the corresponding MODIS pixelhe average of its 16 or 12 photos was

271 taken as the AGB value of the corresponding pixel. From During 2015-2019, a total of -2,602 UAV-estimated AGB samples -

were obtained at the <u>MODIS</u> pixel scale (Table 1). 2) The MODIS vegetation indices and other spatial metrics (such as meteorological,__,-soil texture,__and topographic data) corresponding to each GRID or RECTANGLE mode were then extracted using the ArcGIS software. Here, the MODIS NDVI, EVI, and kNDVI indices closest to the sampling <u>time-date</u> were chosen to minimize the time difference between sampling and satellite overpass._3) Subsequently, the UAV-estimated AGB values, <u>theMODIS vegetation indices</u> <u>extracted spatial indices</u>, and other spatial metrics were used as dependent and independent variables to build the <u>the-AGB</u> estimated model at the <u>the-MODIS</u> pixel scale using the RF algorithmmodel.

278 2.6 Uncertainty analysis

279 Since the actual AGB values of MODIS pixels cannot be directly obtained, the regression coefficient between vegetation 280 indices and estimated AGB vegetation indices werewas used to quantify the uncertainty of different AGB estimation 281 methods. -In other words, the higher the correlation between the estimated AGB and MODIS vegetation indices, the more 282 accurate the estimation model was. -The performance of the estimation model was evaluated through three aspects. -In this 283 study, we first compared the correlation between the MODIS vegetation indices and AGB values obtained by traditional 284 sampling and UAV estimation methods. We also explored the uncertainties of UAV sampling coverage area -by regularly 285 randomly combining the number of photos in a MODIS pixel, and tested whether the estimated AGB was closer to the "true" 286 value as the number increased. -Furthermore, the AGB validation results from between GRID or and RECTANGLE at the 287 pixel scale were compared to understand the uncertainties caused by different flight modes.

288 2.7 Trend analysis of grassland AGB

289 This study combined the Theil-Sen median trend analysis and Mann-Kendall test to analyze the temporal variation 290 characteristics -of grassland AGB of in QTP (Jiang et al., 2015). Theil-Sen median trend analysis is a robust trend statistical method with high computational efficiency, insensitive to outliers (Hoaglin et al., 1983). The Mann-Kendall test is a 291 292 nonparametric test for time series trends, which does not require the measurements to follow a normal distribution and is not 293 affected by missing values and outliers. The Theil-Sen Median trend analysis and Mann-Kendall trend test have been widely 294 used to analyze the temporal trend of vegetation index, cover, and biomass (Gao et al., 2020; Jiang et al., 2015; Fensholt et 295 al., 2009). The detailed formulas for the Theil-Sen median trend analysis and the Mann-Kendall method are detailed 296 provided by in-Jiang et al. (2015).

297 3 Results

298 3.1 Independent variables selected for AGB modeling

299 The independent variables for AGB estimation at the quadrat and MODIS pixel scales were presented in Table 2. A total of

300 36 independent variables were selected at the quadrat scale, including 26 vegetation RGB indices, 6-six histogram indices,

- 301 and 4-four_color space indices (Figure A2). At the MODIS pixel scale, _- five variables were selected, including NDVI,
- 302 kNDVI, EVI, <u>PRECMAP</u>, and DEM (Figure A3).
- 303
- 304

Scale	Model	Number	Independent variables			
Quadrat	RF _Q	36	FVC, WI, GI, EXG, TGI, EXGR, VEG, GRATIO, COM, CIVE, RGBVI, EX			
			GLA, GRRI, MVARI, MGRVI, GRVI, RGRI, GBRI, VARI, NDI, RRATIO,			
			EXB, V, IPCA, INT,			
			HOC_R_CORR, HOC_B_CHIS, HOC_R_CHIS, HOC_G_CHIS,			
			HOC_G_CORR, HOC_B_CORR,			
			B, H, G, R ₇			
Pixel	RF <mark>₽</mark> ₽	5	NDVI, kNDVI, EVI, DEM, <u>MAP</u> PREC			

Table 2:- Selected independent variables for the AGB modeling at quadrat and pixel scales. The full names of each variable at the quadrat scale were listed in Table A3.

307

308 3.2 Modeling and accuracy assessment

309 For the AGB estimation model at the quadrat scale, the results of 10-cross validations showed that there was a significant 310 linear relationship between the estimated and the field measured values ($R^2 = 0.73$, p < 0.001, Table 3, Table A4). The 311 student's t-test was also used to assess whether there was a significant difference between the predicted AGB values and the 312 measured values at a confidence level of 95%. As shown in Table 4, tThere was no significant difference (p = 0.51 > 0.05) 313 between the predicted <u>AGB values</u> and the measured values values of the mean AGB at a confidence level of 95% (Table 4) with an RMSE of -32.94 -g·m⁻² (Table 3)g/m2._-The-scatter plot showed that the model predicted well when the measured 314 315 biomass was less than 150 g·m⁻²g/m₂, -buthowever, showed some underestimation was found when the measured biomassit 316 was more than 200 g·m⁻²g/m² (Figure 6a). It may be because the number of samples more than 200g/m² is relatively small, 317 accounting for only 8.50% of all samples (Figure 5a). Although the sample amount size of UAVs varied from year to by year,-318 most of -the AGB values estimated from UAV photos typically ranged from 0 to 300 g·m⁻²g/m² (Figure 5b).

319

320 For the AGB estimation model at the MODIS pixel- scale-AGB estimation model, there was a strong linear relationship (p < p321 0.05) between the estimated AGB predicted AGB and that measured by UAV photos estimates for 2015-2019 (Table A4). 322 The fitting coefficient R² was 0.85 for 2017-2019, and slightly lower for 2015-2016 at with the value of 0.63 and 0.77, 323 respectively (Table 3, Figure 6b-f). -The RMSE of the pixel-scale model ranged from 23.36 -to 34.07 g·m⁻²g/m² (Table 3). In 324 addition, we found no significant differences (p > 0.05) between the predicted and measured values of the average-average 325 AGB, -values except for 2017 and 2018 (Table 4). The average values of AGB estimated by the MODIS pixel-scale model for 2017 and 2018 were 131.48 g·m⁻² and 120.60 g·m⁻², which were 14.72% and 13.78% lower than those -ofestimated by 326 327 UAV photos, respectively. Although the estimated average AGB estimates there were differences between the MODIS 328 pixel-scale model-estimates and UAV were differencet-estimates in 2017 and 2018, the error percentages were 329 acceptable. While the average model projections for 2017 and 2018 were 14.72% and 13.78% lower than the UAV estimates,

- 330 they were within acceptable ranges_.- Therefore, the constructed MODIS pixel-scale AGB estimation model had good
- 331 performance and robustness in different years (Figure 6b_~f).

337 Table 3.t-Validation results of AGB models at the quadrat and pixel scales

Scale	Year	Training set		Validation s	et
		R ²	RMSE(<u>g·m⁻²g/m</u> 2)	R ²	RMSE(<u>g·m⁻²g/m²</u>)
Quadrat-scale	2019	0.94	20.18	0.73 ***	32.94
Pixel-scale	2019	0.96	10.68	0.85 ***	23.36
	2018			0.85 ***	24.83
	2017			0.85 ***	23.83
	2016			0.77 ***	31.28
	2015			0.63 ***	34.07

338 '***' significant at p_<_0.001

339

340

341 Table 4:-. T--test results between the predicted and measured AGB values for the modes at the quadrat and pixel scales

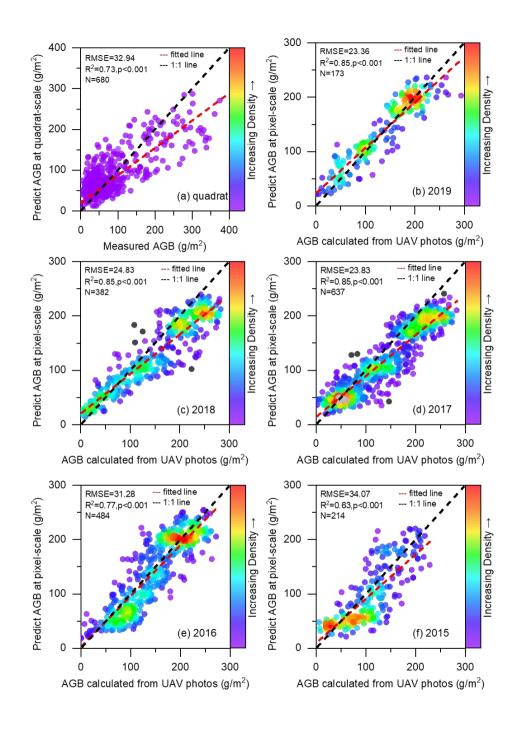
Validation model	Measured mean (g·m ⁻²) -	Predicted mean (g·m ⁻²)	t	df	p-value
2019_Quadrat-scale	51.57	54.35	-0.66	939.35	0.51
2019 Pixel scale	136.68	137. 7461<u>75</u>	-0.15	340.78	0.88
2018 Pixel scale	152.49	131.48	4.01	723.81	6.63e-05
2017 Pixel scale	141.42	120.60	5.48	1225.2 <mark>0</mark>	5.26e-08
2016 Pixel scale	149.56	142.70	1.68	961.99	0.09 <mark>413</mark>
2015 Pixel scale	108.65	98.23	1.96	1225.2 <mark>0</mark>	0.05

342

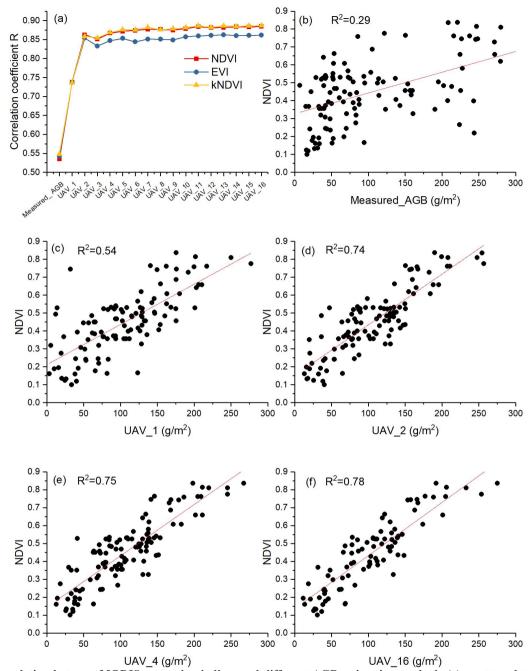
343

344 3.3 Correlation analysis between AGB values and MODIS indices

345 The correlations between the UAV-estimated AGB values and MODIS vegetation indices were much better than that 346 between field harvested AGB and MODIS vegetation indicesthe traditional sampling method (Figure 7a). -For example, the 347 correlation between NDVI and field harvested traditionally measured AGB was only 0.53, considerably lower than the 348 correlation between NDVI and AGB obtained from a single UAV photo much lower than that obtained from a single UAV 349 image (r = 0.74). Moreover, the correlation between NDVI and UAV-estimated AGB increased with the increasing with the 350 number of UAV photos. It increased rapidly as the number of the UAV photos number increased from 1 to 4 (from 0.74 to 351 0.86), then slowed down and stabilized (from 0.87 to 0.88). In addition, we compared the scatter plots and fitting lines 352 between NDVI and different AGB estimation methods (Figure 7b-f). The results showed a weak linear relationship between 353 the traditionally-field-measured AGB and NDVI, with an R^2 of 0.29. With While the using the UAV sampling method, the 354 linear relationship was greatly improved and increased with the increasing <u>-the</u>-number of photographsphotos. The fit 355 coefficient R^2 increased from 0.54 to 0.78, much higher than the traditional sampling method (Figure 7).



361 Figure 6. Validation results of the AGB estimation models at the quadrat (a) and MODIS pixel scale for 2015-2019 (b--f).

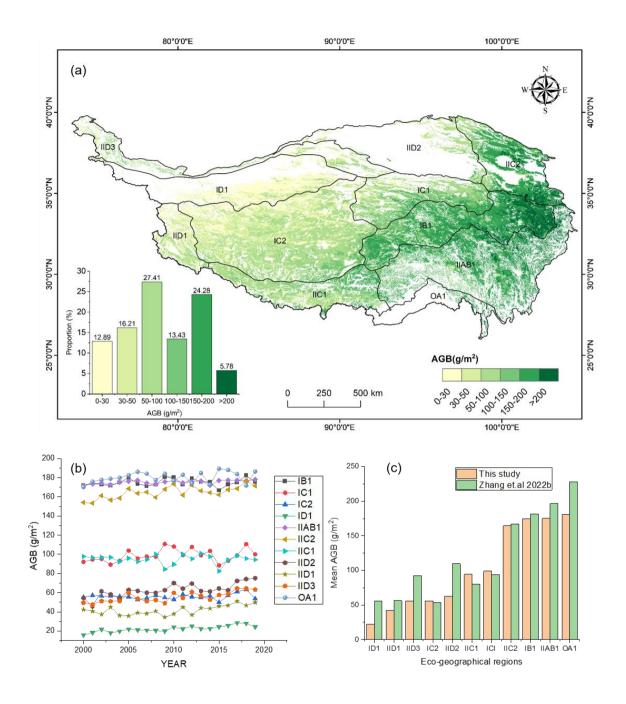


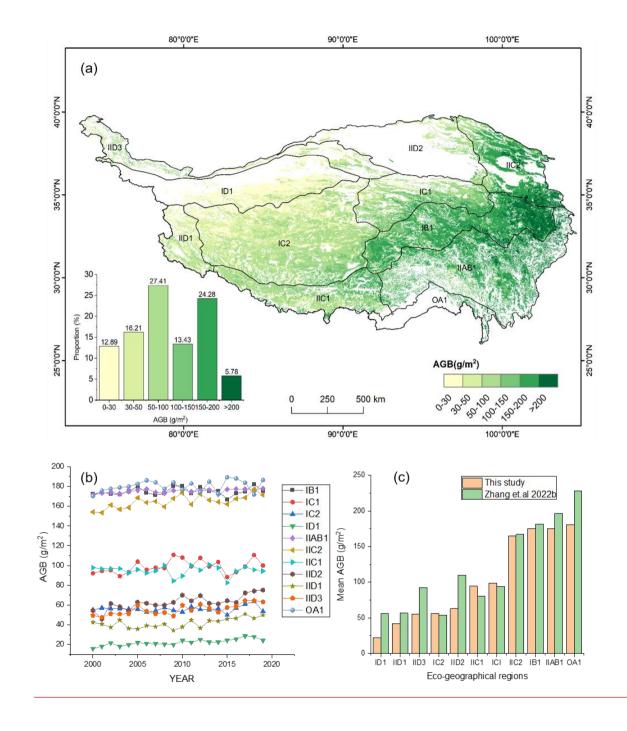
UAV_4 (g/m²)
 Figure 7. Correlation between MODIS vegetation indices and different AGB estimation methods (a); scatter plots of NDVI with
 different AGB estimation methods (b-f). UAV x, x represents the number of UAV photos used to estimate the average AGB at the

366 MODIS pixel scale. Here, x ranges from 1 to 16.

369 3.4 Spatial distribution of grassland AGB

- 370 The spatial distribution of the average grassland AGB on the OTP from 2000 to 2019 was calculated (Figure 8). The AGB 371 gradually increased from west to east. As shown in Figure 8b, t The average AGB biomass of eastern OA1, IIAB1, IB1, and 372 IIC2 eco-geographical regions ranged from 150 –to 190 $g \cdot m^{-2}g/m^{2}$, and the average AGB of IC1 and IIC1 ranged from 80 to 373 110 g·m⁻² (Figure 8b)g/m². The average AGB of IID2, TID3, TIC2, and IID1 in the west was relatively low, ranging -374 from 35 to 75 g·m⁻²g/m². The ID1 region was dominated by sparse-desert grassland –with the lowest average annual 375 interannual AGB values, which fluctuated around 20 g·m⁻²g/m² (Figure 8b). Except for the low AGB due to low 376 precipitation in 2015 (Figure A4), the mean AGB showed an overall increasing trend from 2000 to 2019, with an average 377 growth rate of 0.22 g·m⁻²·a⁻¹ (Figure 9a). The average AGB of QTP showed an insignificant increasing trend between 2000-378 and 2019, with an average growth rate of 0.22 gm⁻²a⁻⁺ (Figure 9a). The overall mean AGB of the QTP was 103.6 g m⁻²g/m², 379 with 151.85 g·m⁻²g/m², 60.85 g·m⁻²g/m², and 28.91 g·m⁻²g/m² for alpine meadow, alpine steppe, and sparse desert grassland, 380 respectively (Figure 9b). -In addition, the temporal trend of grassland AGB in each pixel was analyzed. -As shown in Figure 381 10, the IID3, ID1, IID2, and IIC2 eco-geographical regions of the northern QTP showed an increasing trend from 2000 to 382 2019, while the IC2, IB1, and IIC1 regions showed some a degradation decreasing trend. Therefore, there was spatial 383 heterogeneity in the temporal variation.
- 384





387 388

Figure 8. (a) The spatial distribution of average grassland AGB on the QTP from 2000 to 2019. IID1, IID2, IID3, ID, IIC1, IIC2,
IC1, IB1 IIAB1, and OA1 are the eco-geographical regions of the QTP(Zheng, 1996). The full names of each eco-geographical

391 region were listed in Table A5. (b) AGB values of each eco-geographical region from 2000 to 2019. (c) Comparison of multi-year

392 AGB averages in the different eco-geographical regions.

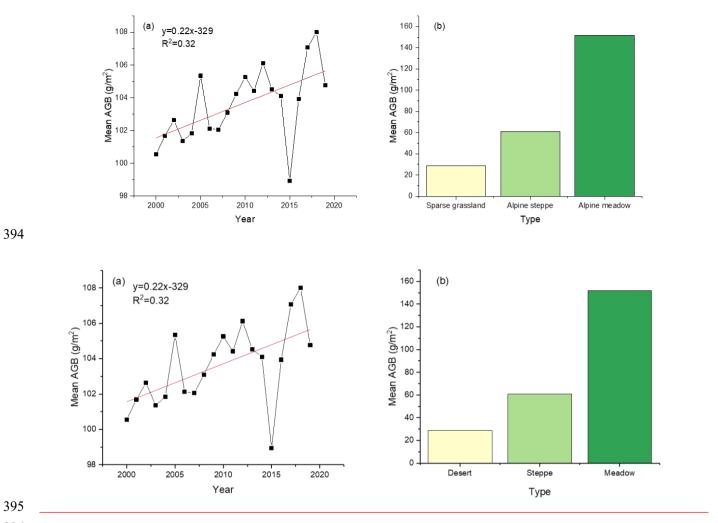
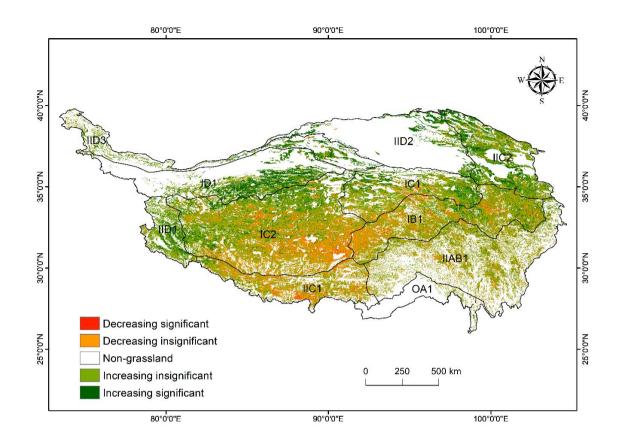


Figure 9. Variation trend of average grassland AGB on the QTP from 2000 to 2019 (a) and average AGB of different grassland types (b).



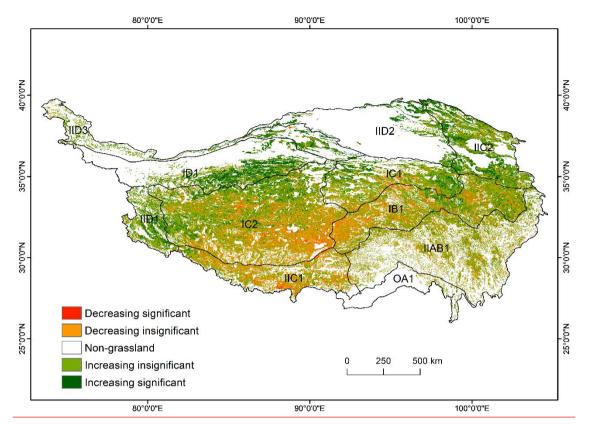


Figure 10. Spatial trends of grassland AGB on the QTP from 2000 to 2019. IID1, IID2, IID3, ID, IIC1, IIC2, IC1, IB1 IIAB1, and
OA1 are the eco-geographical regions of the QTP (Zheng, 1996). The full names of each eco-geographical region were listed in
Table A5.

403

404

405 4 Discussion

406 4.1 Scale matching and its <u>impact influence</u> factor

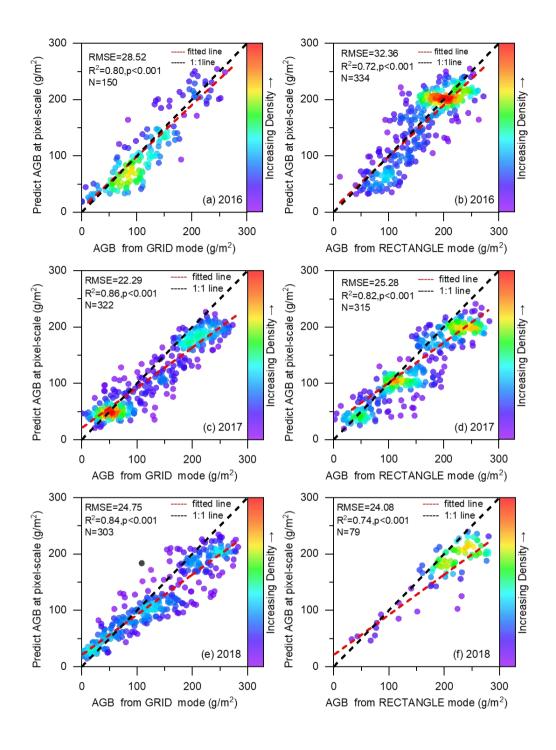
In previous studies, the AGB values at the a-satellite pixel scale wereas usually represented by the average of 3-5 quadratscale samples <u>placed in the corresponding satellite pixel</u>, resulting inso there is a large spatial gap between the ground samples and the satellite pixels (Yang et al., 2017; Yang et al., 2009; Meng et al., 2020)._-The spatial gap between ground samples and satellite <u>indices pixels</u> affects the accuracy of grassland AGB <u>inversion estimation</u> models. The smaller the spatial gap between the two, the higher the accuracy of the model. (Morais et al., 2021)._-Therefore, -weW addressed this <u>issue usingused the UAVs as a bridge to reduce-fill the spatial gap</u>. -Spatial scale matching of dependent and independent

413 variables was achieved in estimating AGB values at different scales. -Firstly, at the quadrat scale, the independent variables

414 were all derived from cropped 20-meter-high UAV images-photos corresponding to the ground samples (Figure 3e). Then 415 Secondly, the 20-meter-high UAV image-photo was cropped-split into ~2000 quadrat-sized patches to ensure consistency 416 with the quadrat-scale model, and the average of these patches was used as the final AGB at the photo scale. -Finally, by-417 averaging the AGB of 16 or 12 UAV photos within the MODIS pixel, the AGB value matching the MODIS pixel scale was 418 calculated by averaging the AGB of 16 or 12 UAV photos within the MODIS pixel (Figure A1). With these three steps, we 419 successfully upscaled the measured AGB from the traditional quadrat scale $(0.5 \text{ m} \times 0.5 \text{ m})$ to the photo scale $(26 \text{ m} \times 35 \text{ m})$ and MODIS pixel scale (250 m \times 250 m). Our results showed that the correlations between the UAV-estimated AGB values 420 421 and the MODIS vegetation indices were higher than that between field harvested AGB and MODIS vegetation indices of the 422 traditional sampling method (Figure 7).

423

424 Furthermore, we found that the spatial coverage area of the UAV sampling had an impact on the scale matching. Our results 425 showed that the closer the spatial coverage area of the UAV sampling was to the satellite pixel, the higher its correlation with 426 MODIS vegetationspectral indices (Figure 7a). It was also further confirmed by comparing the validation results of different 427 flight modes. At the MODIS pixel scale, we found that the R² between the model predictions and the AGB values estimated 428 based on the by GRID mode was better than that of the RECTANGLE mode (Figure 11). The reason is that the GIRD mode 429 can take 16 pictures-photos within a MODIS pixel, while the RECTANGLE mode can only takes 12 pictures photos (Figure 430 A1).- The above results confirmed that As a result, UAV photos s-could serve as a bridge to effectively reduce fill the spatial 431 gap between traditional samples and satellite data.





433 Figure 11. Comparison of validation results for the GRID (a,c,e) and RECTANGLE (b,d,f) modes in 2016-2018.

434 **4.2 Importance of the addition of non-vegetation samples**

435 Compared with traditional sampling (Yang et al., 2017), UAV sampling has the the advantage of wide-larger spatial 436 coverage area (0.5 m \times 0.5 m vs. 35 m \times 26 m). Thus, the UAV photo image-could capture vegetation and non-vegetation 437 background information, such as roads, water, soil, gravel, and riverbed -(Figure A54). Adding non-vegetation samples 438 could improve the accuracy of AGB estimation at the photo scale, especially for areas with low vegetation cover-low-cover-439 areas, to avoid overestimation. It was also suitable true for the pixel-scale AGB estimation model. However, the traditional-440 sampling method gave less consideration to the non-vegetation areas. The sample plots were mainly set in areas with 441 homogeneous spatial distribution, and rarely in areas with spatial heterogeneity. This shortcoming may limit the accuracy of 442 AGB estimation due to the high spatial heterogeneity of the OTP. Fortunately, the UAV sampling method can objectively 443 record surface information and reduce the influence of manual plot selection on AGB estimation.

444 4.3 Comparison of the estimated AGB with previous studies

445 We compared our results with previous studies at the quadrat, pixel, and regional scales.

446

At the quadrat scale, consistent with our previous study, we further confirmed that the UAV RGB images photos could be used to estimate grassland AGB (Zhang et al., 2022a; Zhang et al., 2018)._-Similar to the 2-meter-high UAV imagephoto, the indices from the 20-meter-high UAV photo image could be used to estimate the grassland AGB at the quadrat scale (R^2 = 0.73, RMSE_=_44.23_-g·m⁻²g/m², Figure 6a).__-Compared with the 2-meter-high UAV photo (0.8 m × 1 m)image, the 20meter-high UAV photo (26 m × 35 m) image is more suitable for matching the MODIS pixel due to its wider-larger spatial coverage_area (26 m 35 m). In addition, the direct use of the 20-meter-high photo eliminates the need for spatial scale conversions when upscaling the AGB estimation from the quadrat scale to the photo scale.

454

455 At the pixel scale, compared with other studies, this paper achieved the spatial scale matching of independent and dependent 456 variables during the modeling. .- In previous studies (Yang et al., 2009; Yang et al., 2017; Meng et al., 2020), they 457 constructed the models from the measured AGB values at the quadrat -scale and the spectral indices of the satellites without considering the spatial scale difference. It partly explained why the R^2 of the AGB linear model constructed by Yang et al. 458 459 (2009) was only 0.4 (Yang et al., 2009). Our results confirmed that the R^2 of the linear model could be increased from 0.29 460 to 0.78 after filling reducing the spatial gap between measured AGB and MODIS NDVI (Figure 7). In addition, thanks to the rapid sampling of UAV_technologyAGB, a total of 2,602 UAV sampless matching the MODIS pixel scale were collected 461 462 during 2015-2019. It allowed us to perform erossmulti-year validation to assess the robustness of the model over time, which has rarely been performed in previous studies. Our results showed similar validation results for 2017-2019, $-\frac{R^2-0.85}{R^2-0.85}$. 463 p < 0.001) despite different sample amountssizes and spatial distributions (Figure 1, Table 1). But in 2015-2016, R² was 464 465 relatively low, at 0.63 and 0.77, respectively (Table 3, Figure 6). The reason was that during 2015-2016, some photos with

- 466 abnormal unnatural white balance were obtained due to improper settings, which reduced the estimation accuracy (Figure
- 467 A65). The validation results showed that the MODIS pixel-scale AGB estimation model had good robustness in different
- 468 regions and times when<u>ever</u> the photo quality photo quality -was acceptable.
- 469

470 At the regional scale, consistent with previous results, we found an overall increase in AGB over the QTP from 20040 to

471 <u>2019</u>, albeit with fluctuations (Zeng et al., 2019; Gao et al., 2020). The annual average AGB of grassland was 103.6 g·m⁻²,

472 which was closest to Zhang et al.(2022b) and within the range of the previous estimates (59.63-120.73 g \cdot m⁻²) (Table 5). The

473 <u>mean</u>

474 Table 5: Comparison of AGB estimation results of different studies on the QTP

Mean AGB- (g/m²)	Alpine steppe (g/m²)	Alpine- meadow (g/m²)	Study period	Approach	Input parameter	References
68.8	50.1-	90.8	2001-200 4	Linear- regression-	EVI	(Yang et al., 2009)
=	22. 4	4 2.37	2000-2012	Linear- regression	NDVI	(Liu et al., 2017)
120.73	=	=	1980–201 4	Exponential regression	NDVI	(Jiao et al., 2017)
78.4			1982-2010	RF	NDVI, elimate	(Xia et al., 2018)
77.12	76 .43	15 4.72	2000-2014	RF	NDVI, EVI, climate, terrain	(Zeng et al., 2019)
59.63	4 2.75	77.56	2000-2017	RF	NDVI, climate	(Gao et al., 2020)
102.4	—	=	2000-2020	RF	climate, soil, and terrain	(Zhang et al., 2022b)
70.00	—	—	1960–2002	Century	climate and soil data	(Zhang et al., 2007)
119.78	=	—	2002–200 4	Orchidee	climate, soil and LAI data	(Tan et al., 2010)
103.6	60.85	151.85	2000-2019	RF	MODIS	this study

475

476 AGB At the regional scale, consistent with previous results, we found an overall increase in AGB over the QTP from 477 2001 to 2019, albeit with fluctuations (Zeng et al., 2019; Gao et al., 2020). The annual average AGB of grassland was 478 103.6 g/m², which was closest to Zhang et al. (Zhang et al., 2022b) and within the range of the previous estimates (59.63- $\frac{120.73 \text{ g/m}^2}{120.73 \text{ g/m}^2}$ (Table 5). The mean AGB-varied among different grassland types, with 151.85 g·m⁻²g/m² for the alpine-479 480 meadow and 60.85 g·m⁻²g/m² for the alpine-steppe. Our estimation results were similar to those of Zeng et al.(2019) (Zeng et 481 al., 2019), but the overall average AGB was higher than their estimate of 77.12 g·m⁻²g/m². The spatial distribution of AGB 482 was consistent with previous studies, showing a west-to-east increasing trend (Zhang et al., 2022b; Xia et al., 2018). 483 Specifically, the average AGB of OA1, IIAB1, IB1, and IIC2 eco-geographical regions in the east was significantly higher 484 than that of IID2, IID3, IC2, IID1, and ID1 regions in the west (Figure 8). In general, the average AGB estimates for each eco-geographical region in this paper were similar to those reportednot much different from by those of Zhang et al. (2022b). 485 486 Among them, our average AGB estimates for ID1, IID1, IID1, and IID2 regions were slightly lower, but our values were

closer to the measured values of these regions (Figure 8c). The reason may be that they calculated the potential AGB, while we calculated the actual AGB, so our estimate was relatively low. In terms of spatial and temporal trends, the data results showed that the eco-geographical regions in the northern part of the QTP demonstrated an increasing trend (IID3, ID1, IID2, and IIC2), while the IC2, IIC1, and IB1 regions exhibited significant or non-significant decrease, which was consistent with the results of others (Gao et al., 2020; Liu et al., 2017).

492

493 <u>Table 5. Comparison of AGB estimation results of different studies on the QTP</u>

Mean AGB (g·m ⁻²)	Steppe (g·m ⁻²)	<u>Meadow</u> (g·m ⁻²)	<u>Study period</u>	<u>Approach</u>	Input parameter	<u>References</u>
<u>68.8</u>	<u>50.1</u>	<u>90.8</u>	2001-2004	Linear regression	EVI	(Yang et al., 2009)
_	<u>22.4</u>	<u>42.37</u>	<u>2000-2012</u>	Linear regression	<u>NDVI</u>	<u>(Liu et al., 2017)</u>
<u>120.73</u>		_	<u>1980-2014</u>	Exponential	<u>NDVI</u>	<u>(Jiao et al., 2017)</u>
				<u>regression</u>		
<u>78.4</u>			<u>1982-2010</u>	<u>RF</u>	NDVI, climate	<u>(Xia et al., 2018)</u>
<u>77.12</u>	<u>76.43</u>	<u>154.72</u>	<u>2000-2014</u>	<u>RF</u>	<u>NDVI, EVI,</u>	(Zeng et al., 2019)
					<u>climate, terrain</u>	
<u>59.63</u>	<u>42.75</u>	<u>77.56</u>	<u>2000-2017</u>	<u>RF</u>	<u>NDVI, climate</u>	<u>(Gao et al., 2020)</u>
<u>102.4</u>			<u>2000-2020</u>	<u>RF</u>	climate, soil, and	<u>(Zhang et al.,</u>
					<u>terrain</u>	<u>2022b)</u>
<u>70.00</u>			<u>1960-2002</u>	<u>Century</u>	climate and soil data	<u>(Zhang et al.,</u>
						<u>2007)</u>
<u>119.78</u>	_		<u>2002-2004</u>	<u>Orchidee</u>	climate, soil and LAI	<u>(Tan et al., 2010)</u>
100 6	(0,0 7	151.05	2000 2010	DE	data	
<u>103.6</u>	<u>60.85</u>	<u>151.85</u>	<u>2000-2019</u>	<u>RF</u>	MODIS	<u>this study</u>

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495

496 The difference between our estimated grassland AGB and previous studies might be due to differences in data sources and 497 modeling methods. -Firstly, the sample size amount and spatial distribution of ground samples were different. The number 498 of ground samples is the most important variable affecting the accuracy of the grassland AGB estimation model (Morais et 499 al., 2021). Unlike previous studies, we collected ground validation data by combining the traditional sampling method and 500 UAVs. The newly proposed method could overcome the shortcomings of traditional samplings (time-consuming and labor-501 intensive). It no longer takes years to obtain spatially representative, large-scale ground validation data (Yang et al., 2017). 502 With UAV sampling, ground observations matching the satellite pixel scale can be obtained in only 15-20 minutes, which is 503 difficult to achieve in traditional surveys. Our new sampling method not only accelerates the sampling speed and increases 504 the sample amountsize, but also improves the spatial match between ground samples and satellite pixels. As a result, our 505 ground validation data is superior better to than previous studies in terms of quantity and spatial scale -matching with to the 506 satellite data. Secondly, the input parameters of AGB estimation models were different. Some scholars used only a single 507 vegetation index (NDVI or EVI), while others combined the vegetation index with meteorological, soil, and terrain indices to 508 construct the AGB estimation models (Table 5). In this study, NDVI, kNDVI, EVI, DEM, and PREC-MAP were used as the 509 final predictor variables to construct the AGB estimation model at the MODIS pixel scale (Table 2). Thirdly, modeling 510 methods might also affect the simulation estimation results. As shown in Table 5, the overall AGB averages of the OTP 511 estimated based on different methods (such as linear or nonlinear regression, machine learning, and ecological process model 512 methods) varied considerably. Yang et al. (2017) found that the model performance of the artificial neural network (ANN) was much better than the linear regression model when using the same dataset to estimate grassland AGB in the Three-River 513 514 Headwaters Region of China. Jia et al. (2016) reported that the model forms could bring -13% uncertainty to the AGB 515 estimation. Wang et al. (2017) compared the RF with the bagging, mboost, and support vector regression (SVR) machinelearning algorithms, and found that the RF yielded the the best best performance in grassland biomass-AGB estimation. 516 517 (Wang et al., 2017).

518

519 4.4 Limitations and further work

520 We acknowledge that there are some shortcomings in this study. 1) The predicted values of the quadrat-scale model were 521 underestimated when the measured biomass values were greater than 250 $g \cdot m^2 g/m^2$ (Figure 6). One of the reasons may be 522 that the number of samples larger than 250 g \cdot m⁻² at the quadrat scale is relatively small, accounting for only 5.18% of the 523 total samples. Another possible reason is that the height of the grassland could not be detected by a single UAV photo. 524 Therefore, it could lead to an underestimation of AGB for grassland species with the same FVC but greater heights. One-525 reason may be that the number of samples greater than 250 g/m² was relatively small, accounting for only 5.18 % of all 526 samples. Another reason may be that for high biomass grasslands, a single UAV RGB photo can only reflect information 527 such as vegetation cover and greenness, but not height information. This feature is very unfavorable for estimating AGB in-528 grassland areas with high vegetation coverage and height. Previous sStudies have shown that adding vegetation height 529 information can help improve the estimation accuracy of grassland AGB (Zhang et al., 2022a; Lussem et al., 2019; Viljanen 530 et al., 2018). In future work, an affordable DJI Zensil L1 Lidar UAV will be introduced to invert-detect the height of the 531 grassland, 2) At the MODIS pixel scale, limited by the estimation accuracy of AGB from UAV photos, there was also some 532 underestimation in the high biomass area. Although the MODIS indicesindex closest to the sampling time-date was-were 533 chosen for the construction/validation of the AGB estimation model, there was still a time gap difference between the 534 measured samples and the MODIS indices, which might lead to estimation uncertaintieserrors. In addition, the NDVI 535 saturation problem was not considered in this study, which might affect the AGB estimation accuracy in of OTP (Tucker, 536 1979a; Gao et al., 2000; Mutanga and Skidmore, 2004; Tucker, 1979b). In the next step, we will continue to collect samples 537 with high biomass and try to correct the NDVI saturation problem forte -optimizeing the simulation accuracy of the data-set. 538 3) During 2015-2016, we set the automatic white balance mode for UAV shooting due to inexperienceour study had just 539 started, and the appropriate camera parameters were still being explored. As a result, some photos with abnormal-unnatural 540 white balance were obtained, reducing the accuracy of AGB estimation at the photo scale (Figure A5A6). -4) We collected 541 grassland AGB only during the peak growing season, and the applicability of the proposed method to other growing seasons

needs further study. 5) During the modeling process, due to the <u>poor limited</u>-positioning accuracy, only the center points of
the flight path were used to find the corresponding MODIS pixels. Moreover, although the UAV <u>photos images</u>-in GRID or
RECTANGLE mode could cover most areas of a MODIS pixel, full pixel coverage was still not achieved. Therefore, we will
gradually upscale to MODIS pixels by combining UAVs with Sentinel-2 or Landsat images.

546

547 5 Data availability

548 The dataset is available from the National Tibetan Plateau/Third Pole Environment Data Center 549 (https://doi.org/10.11888/Terre.tpdc.272587). The dataset contains 20 years of AGB spatial data of the QTP with a resolution 550 of 250 m and is stored in TIFF format. The name of the file is "AGB yyyy.tif", where yyyy represents the year. For example, 551 AGB 2000.tif represents this TIFF file describing the alpine grassland AGB condition of QTP in 2000. The data can be 552 readily imported into standard geographical information system software (e.g., ArcGIS) or accessed programmatically (e.g., 553 MATLAB, Python).

554 6 Conclusion

555 T-In this study developed, - a new AGB dataset for alpine grasslands on the QTP was calculated based on traditional ground 556 sampling, UAV photography, and MODIS imagery. The uniqueness of this dataset is the use of UAVs as a spatial scale-557 matching bridge between traditional samples and satellite MODIS pixels. The study confirmed that the UAV photos images 558 could be used for AGB estimation at the quadrat-/MODIS pixel scale, with R² of 0.73/0.83 and RMSE of 44.23/34.13 g·m⁻ 559 $\frac{2}{g/m^2}$, respectively. At the MODIS pixel scale, the correlations between AGB estimated by UAV and MODIS vegetation 560 indices index was were higher than that of the betweentraditional field harvested AGB and MODIS vegetation 561 indicessampling method that of the traditional sampling method (0.88 vs. 0.53). Moreover, the spatial scale matching of the 562 dependent and the independent variables was achieved during the modeling. In addition, we performed a erossmulti-year 563 validation of the MODIS pixel-scale AGB estimation model to confirm the robustness of the model and the accuracy of this 564 dataset. The availability of the new dataset is helpful in many applications. First, this dataset provides reliable regional data 565 for estimating grassland productivity, - carbon storage, ecological carrying capacity, and ecological service functions (such 566 as feed for grazing livestock) of the OTP. Second, the dataset can be used to understand the mechanisms of environmental 567 processes, such as hydrological cycle processes, soil erosion and degradation, and carbon cycle processes in the QTP. In 568 addition, this dataset can be used as input or validation parameters for various ecological models to understand the response 569 mechanism of the QTP to global climate change.

570 7 Author contributions

- 571 HZ contributed to the study conceptualization, methodology, funding acquisition, and the original draft of the manuscript.
- 572 ZT, BW, and HK contributed to resources and formal analysis. $\underline{Y}Q\underline{Y}$ and YS contributed to data collection and manuscript
- 573 review. BM, ML, and JC contributed to the methodology and reviewed the manuscript. YL and JZ participated in reviewing
- 574 and editing the manuscript. SN contributed to the data collection and review of the manuscript. SY contributed to the study
- 575 conceptualization, funding acquisition, and manuscript review. All authors have read and approved the manuscript.

576 8 Competing interests

577 The authors declare that they have no conflict of interest.

578 9 Acknowledgements

579 We would like to express our gratitude to the other students and staff who participated in the field investigation.

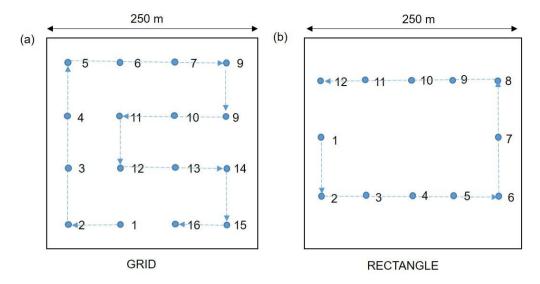
580 10 Financial support

581 This research was supported by the National Natural Science Foundation of China [grant nos: 41801023], the National Key

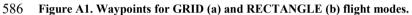
582 R&D Program of China [grant nos: 2017YFA0604801], and the National Natural Science Foundation of China [grant nos:

583 4<u>180110242071056</u>].

584 Appendix







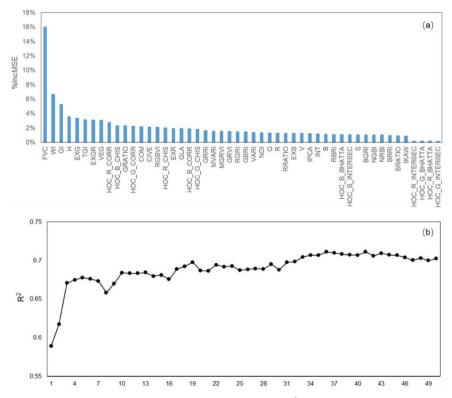


Figure A2. The importance values for each independent variable (a) and the R² results of the different number of input variables
 at the quadrat scale.

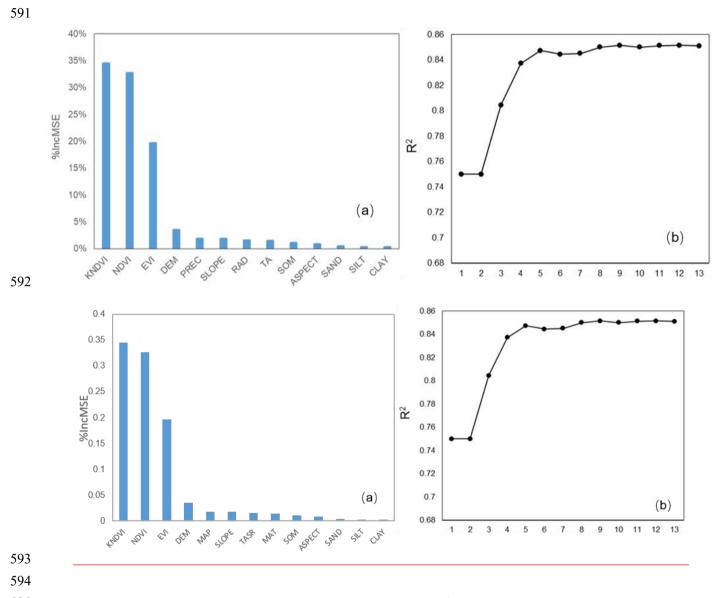
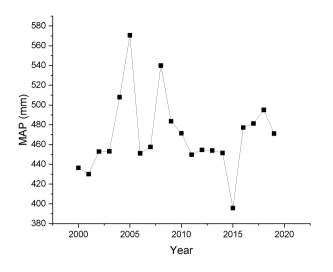
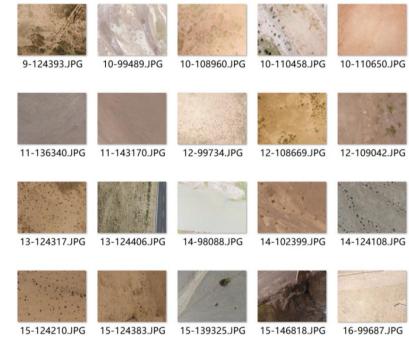


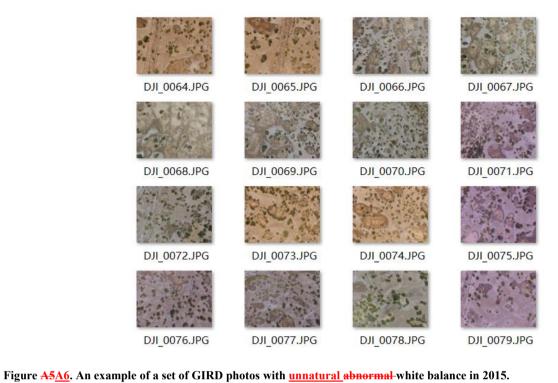
Figure A3. The importance values for each independent variable (a) and the R² results of the different number of input variables
 at the MODIS pixel scale.



598 Figure A4. Mean annual precipitation (MAP) on the QTP from 2000-2019.



601 Figure A4A5. Examples of 20-meter-high UAV imagesphotos with different non-vegetation background information.



606 Table A1. Combined grassland types

New grassland type	Original grassland type	
Alpine-Mmeadow	Alpine meadow, Lowland meadow, Montane meadow,	
Alpine-Ssteppe	Temperate steppe, Alpine steppe, Alpine meadow steppe	
DesertSpare grassland	Temperate steppe desert, Alpine desert	

610 Table A2. Features of DJI Phantom 3 Pro

Features	Description
Sensor	1/23-inch; Effective-pixel: 12-megapixel
Fileld of view	FOV 94° 20 mm
Aperture	f/2.8

	Shooting speed	Electronic shutter: 8-1/8000 s
DJI Phantom 3 Pro	Photo size	4000×3000
	Flight time	~25 min
	Image format	JPEG
	Hovering accuracy	± 0.5 m vertically; ± 1.5 m horizontally
	Weight	1280 g
611		

615 Table A3:-_Details of the independent variables for quadrat-scale AGB estimation

Acronym	Index name	Formula	Reference	
GRVI	Green Red Vegetation Index	(G-R)/(G+R)	(Tucker, 1979a)	
EXG	Excess Green Vegetation Index	2G-R-B	(Woebbecke et al., 1995)	
GLA	Green leaf area	(2G-R-B)/(2G+R+B)	(Louhaichi et al.)	
MGRVI	Modified Green Blue Vegetation Index	(G2-R2)/(G2+R2)	(Bendig et al., 2015)	
RGBVI	Red Green Blue Vegetation Index	(G2-B*R)/(G2+B*R)	(Bendig et al., 2015)	
EXB	Excess Blue Vegetation Index	(1.4*B-G)/(G+R+B)	(Maimaitijiang et al., 2019)	
NDI	Normalized difference index	(R-G)/(R+G)	(Woebbecke et al., 1993)	
EXR	Excess Red Vegetation Index	1.4*R-B	(Meyer and Neto, 2008)	
EXGR	Excess Green minus Excess Red index	ExG-ExR	(Meyer and Neto, 2008)	
RRATIO	Red Ratio	R/(R+B+G)	(Woebbecke et al., 1995)	
BRATIO	Blue Ratio	B/(R+B+G)	(Woebbecke et al., 1995)	
GRATIO	Green Ratio	G/(R+B+G)	(Woebbecke et al., 1995)	
VARI	Visible Atmospherically Resistance Index	(G - R)/(G + R - B)	(Gitelson et al., 2002)	
NRBI	Normalized Red Blue Index	(R-B)/(R+B)	(Michez et al., 2016)	
NGBI	Normalized Green Blue Index	(G-B)/(G+B)	(Michez et al., 2016)	
VEG	Vegetative index	G/(RaB(1-a)),where a_=_0.667	(Hague et al., 2006)	
WI	Woebbecke Index	(G-B)/(R-G)	(Woebbecke et al., 1995)	
CIVE	Color Index of Vegetation	0.441R -	(Kataoka et al., 2003)	
		0.881G+0.385B+18.78745		
СОМ	Combination Vegetative index	0.25ExG+0.3ExGR+0.33CIVE +0.12VEG	(Guijarro et al., 2011)	
TGI	Triangular Greenness Index	G-0.39R-0.61B	(Hunt et al., 2014; Michez e al., 2018)	
RGBVI	Red Green Blue Vegetation Index	(G2-B*R)/(G2+B*R)	(Bendig et al., 2015)	
GRRI	Green Red Ratio Index	G/R	(Maimaitijiang et al., 2019)	
GBRI	Green Blue Ratio Index	G/B	(Maimaitijiang et al., 2019)	
RBRI	Red Blue Ratio Index	R/B	(Maimaitijiang et al., 2019)	
BRRI	Blue Red Ratio Index	B/R	(Jibo et al., 2018)	
BGRI	Blue Green Ratio Index	B/G	(Jibo et al., 2018)	
RGRI	Red Green Ratio Index	R/G	(Jibo et al., 2018)	
INT	Color Intensity Index	(R+B+G)/3	(Ahmad and Reid, 1996)	
MVARI	Modified VARI	(G-B)/(G+R-B)	(Cen et al., 2019)	
IPCA	Principal Component Analysis Index	0.994× R-B + 0.961× G-B + 0.914× G-R	(Saberioon et al., 2014)	

619 Table A3:-__Details of the independent variables for quadrat-scale AGB estimation (continued)

Acronym	Index name	Formula	Reference
R	An average value of R channel of the quadrat-scale UAV imagephoto		
G	An average value of G channel of the quadrat-scale UAV imagephoto		
В	An average value of B channel of the quadrat-scale UAV photoimage		
Н	An average value of H channel of the quadrat-scale image in HSV color space		
S	An average value of S channel of the quadrat-scale image in HSV color space		
V	An average value of V channel of the quadrat-scale image in HSV color space		
FVC	Fractional Vegetion Cover		
EGI	Extra Geen Index	EGI_=_2G-R-B	
GI	Green Index	GI_=_9×(H×3.14159/180) +3×S+V	(Zhang et a 2022a)
HOC_i_C ORR	The histogram correlation coefficient between the i band and the black reference histogram, where the i represents the three bands of RGB	$corr = \frac{\sum_{I} (H_{1}(I) - \overline{H}_{1})(H_{2}(I) - \overline{H}_{2})}{\sqrt{\sum_{I} (H_{1}(I) - \overline{H}_{1})^{2} \sum_{I} (H_{2}(I) - \overline{H}_{2})^{2}}}$	
HOC_i_ INTERSE C	The histogram intersection coefficient between the i band and the black reference histogram, where the i represents the three bands of RGB	$intersec = \sum_{I} \min \left(H_1(I), H_2(I) \right)$	
HOC_i_ BHATTA	The histogram Bhattacharyya distance coefficient between the i band and the black reference histogram, where the i represents the three bands of RGB	$\frac{bhatta}{\equiv \sqrt{1 - \frac{1}{\sqrt{\underline{H}_1 \underline{H}_2 \underline{N}^2}} \sum_l \sqrt{\underline{H}_1(I) \cdot \underline{H}_2(I)}} bhatta}$ $= \sum_l \min(H_1(I); H_2(I))$	
HOC_i_C HIS	The histogram correlation coefficient between the <i>i</i> band and the black reference histogram, where the <i>i</i> represents the three bands of RGB.	$chis = \sum_{I} \frac{(H_1(I) - H_2(I))^2}{H_1(I)}$	

Model name	Coefficient	Value	Standard Error	t-Value	p-value
2010 Quedret seels	Slope	0.67	0.016	42.58	9.05e-194
2019_Quadrat-scale	Intercept	20.10	1.49	13.59	5.96e-37
2010 Direct1-	Slope	0.84	0.03	31.59	2.75e-73
2019_Pixel_scale	Intercept	23.20	4.04	5.74	4.24e-8
2019 Direl1-	Slope	0.73	0.02	45.81	8.28e-157
2018_Pixel_scale	Intercept	20.43	2.74	7.46	6.01e-13
2017 Divel seels	Slope	0.75	0.01	59.13	1.98e-260
2017_Pixel_scale	Intercept	13.89	2.04	6.82	2.19e-11
2016 Direct1-	Slope	0.94	0.02	40.45	4.69e-157
2016_Pixel_scale	Intercept	2.48	3.75	0.66	0.03
2015 Direct1-	Slope	0.82	0.04	18.88	2.59e-47
2015_Pixel_scale	Intercept	9.50	5.25	1.81	0.04

621 Table A4- Regression analysis for AGB estimation models at quadrat and pixel scales

622

623 Table A5: List of abbreviations of eco-geographical regions and the mean AGB of the QTP

Abbreviation	Full name			
IB1	Golog-Nagqu high-cold shrub-meadow zone			
IIAB1	Western Sichuan-eastern Tibet montane coniferous forest zone			
IC1	Southern Qinghai high-cold meadow steppe zone			
IC2	Qiangtang high-cold steppe zone			
ID1	Kunlun high-cold desert zone			
<u>IIAB1</u>	Western Sichuan-eastern Tibet montane coniferous forest zone			
IIC1	Southern Tibet montane shrub-steppe zone			
IIC2	Eastern Qinghai-Qilian montane steppe zone			
IID1	Nagri montane desert-steppe and desert zone			
IID2	Qaidam montane desert zone			
IID3	Northern slopes of Kunlun montane desert zone			
OA1	Southern slopes of Himalaya montane evergreen broad-leaved forest zone			

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