A daily and 500m coupled evapotranspiration and gross primary production product across China during 2000-2020

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- 10 **Abstract.** Accurate high-resolution actual evapotranspiration (ET) and gross primary production (GPP) information is essential for understanding the large-scale water and carbon dynamics. However, substantial uncertainties exist in the current ET and GPP datasets in China because of insufficient local ground measurements used for model constrain. This study utilizes a water-carbon coupled model, Penman-Monteith-Leuning Version 2 (PML-V2), to estimate 500m ET and GPP at a daily scale. The parameters of PML-V2(China) were well-calibrated against observations of 26 eddy covariance flux towers across
- 15 nine plant functional types in China, indicated by Nash-Sutcliffe Efficiency (*NSE*) of 0.75 and Root Mean Square Error (*RMSE*) of 0.69 mm d⁻¹ for daily ET respectively, and *NSE* of 0.82 and *RMSE* of 1.71 g C m⁻² d⁻¹ for daily GPP. The model estimates get a small *bias* of 6.28% and a high *NSE* of 0.82 against water-balance annual ET estimates across 10 major river basins in China. Further evaluations suggest that the newly developed product is <u>better than</u> other typical products (MOD16A2, SEBAL, GLEAM, MOD17A2H, VPM, and EC-LUE) in estimating both ET and GPP. Moreover, PML-V2(China) accurately monitors
- 20 the intra-annual variations in ET and GPP in the croplands with a dual-cropping system. Using the new data showed that <u>during</u> 2001-2018, the annual GPP and water use efficiency experienced a significant (p < 0.001) increase (8.99, g C m⁻² yr², and 0.02 g C mm⁻¹ H₂O yr⁻¹, respectively), but annual ET showed a non-significant (p > 0.05) increase (0.43, mm yr²). This indicates that vegetation in China exhibits a huge potential for carbon sequestration with little cost in water resources. The PML-V2(China) product provides a great opportunity for academic communities and various agencies for scientific studies and

25 applications, freely available at http://dx.doi.org/10.11888/Terre.tpdc.272389 (Zhang and He, 2022).

1 Introduction

Terrestrial evapotranspiration (ET) and photosynthesis (or gross primary productivity, GPP) are indispensable processes in hydrological and carbon cycles at global and regional scales. Forming the second largest water flux after precipitation in the terrestrial hydrological cycle, ET is the sum of plant transpiration (E_c), evaporation from the soil (E_s), and canopy evaporation

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from precipitation interception (E_i). Photosynthesis rate, tightly coupled to E_c by leaf stomata, is a key indicator of plant growth and provides food and fibre for human society.

In recent decades, numerous studies have been carried out to map ET at regional, continental, and global scales. Particular credit goes to remote sensing (RS)-based models that provide diagnostic ET estimates with a relatively high spatiotemporal

- 40 continuity and reasonable biophysical significance. For example, Miralles et al. (2011b) and Martens et al. (2017) developed a global and daily ET product employing the Global Land Evaporation Amsterdam Model 3.0a (hereafter GLEAM) based on the Priestley-Taylor (P-T) equation. Although GLEAM does a good job in temporal resolution, it has a coarse spatial resolution of 0.25°. Another widely used semi-empirical formula is Penman-Monteith (PM) equation. Mu et al. (2011) generated the MOD16A2 ET with 500m and 8-day resolutions based on PM equation as one global product of Moderate Resolution Imaging
- 45 Spectroradiometer (MODIS). Leuning et al. (2008) developed the Penman-Monteith-Leuning (PML) model that described the physical characteristics of canopy-soil water loss by improving the surface conductance (G_s) formulations and Zhang et al. (2010) estimated PML-based ET at 0.05° and 8-day resolution across the Australian continent. Cheng et al. (2021) produced a 1-km and daily ET dataset across China by the Surface Energy Balance Algorithm for Land (SEBAL), but only evaluated the SEBAL product at eight EC sites of three land cover types.
- 50 Apart from methods and products for the ET estimated above, numerous approaches have been used to estimate GPP, such as the enzyme kinetic (process-based) models (Houborg et al., 2013; Arain et al., 2006; Grant et al., 2005; Hanson et al., 2004; Medvigy et al., 2009), light use efficiency (LUE) principle (Liu et al., 2003; Turner et al., 2003; Yuan et al., 2007; Running et al., 2015; Zhang et al., 2017; Zheng et al., 2020), statistical methods (Potter et al., 1993; Hilker et al., 2008; Zhang et al., 2020b) and machine learning methods (Wolanin et al., 2019; Joiner and Yoshida, 2020; Huang et al., 2021). Among them, the LUE
- 55 principle is well known because of its simple structure, strong portability, and relatively high temporal cover of inputs. Running et al. (2015) recently updated the global GPP product of MODIS (hereafter MOD17A2H) at 500m and 8-day resolutions using the LUE principle. Zhang et al. (2017) and Zhang et al. (2021) also mapped global GPP for 2000 2019 dubbed Vegetation Photosynthesis Model GPP V20 (hereafter VPM) with the same spatiotemporal resolution as MOD17A2H using an improved LUE model adding the energy absorbed by chlorophyll. Zheng et al. (2020) generated a global GPP dataset at 0.05° and 8-day intervals by a revised LUE model (hereafter EC-LUE) integrating the atmospheric CO₂ concentration.

Although significant efforts have been put into estimating ET and GPP, there are barely any coupled products available in China, which meet the requirement of high temporal (≤ 1 day) and high spatial (≤ 500 m) resolutions simultaneously that is necessary to detect variations of the eco-hydrological cycle in diverse and large areas for a long term precisely (Table 1). For instance, products with low temporal resolutions are erratic to detect subtle seasonal changes in areas seriously affected by

65 human activities and in arid regions, such as irrigated farmland with a dry climate (Bodner et al., 2015) and an evergreen broad-leaf Mediterranean forest during severe summer drought (Liu et al., 2015). On the other hand, products with high temporal resolutions like GLEAM can monitor the diurnal variability of ET, but their low spatial resolutions limit the effectiveness in fine-scale environment applications (Gevaert and García-Haro, 2015). Deleted: cannot

- 70 Secondly, the phenomenon of ignoring the water-carbon coupling process frequently appearing in the existing products has brought systematic errors. The photosynthesis and transpiration are coupled by the plant stomatal control on both water and carbon exchange between the land ecosystem and the atmosphere (Xiao et al., 2013; Zhang et al., 2019). As indicated in Table 1, MODIS ET and MODIS GPP products are independent of each other, and cannot ensure similar biophysical characteristics of vegetation in the same place. Furthermore, using ET and GPP from different products can lead to large uncertainty in
- 75 analysing the interaction between ET and GPP such as water use efficiency of ecosystem (WUE is the ratio of GPP to ET). In that case, it is necessary to build a coupled ET and GPP model considering the water-carbon coupling process. Zhang et al. (2019) developed the second generation of PML (i.e., PML-V2 model) that estimates G_s using a water-carbon coupled model and mapped global ET and GPP at 500m and 8-day resolutions in 2002-2017.

More importantly, previous studies utilized sparse ground observations in China (as shown in Table 1) that covered few terrestrial ecosystems for models' calibration and validation, resulting in improper input parameters, and making it difficult to obtain more reliable estimates of ET and GPP in diverse landcover types_(Heinsch et al., 2006, Anon, 2013). Although the eddy-covariance (EC) flux sites have provided consecutive measurements of water and carbon fluxes since the early 1990s (Xiao et al., 2013; Wofsy et al., 1993; Baldocchi et al., 2001), the EC observed data in China remain much sparser than those

85 models for improving ET and GPP estimates (Villarreal and Vargas, 2021;_Chu et al., 2017). For instance, GLEAM which only employed 8 EC sites over China overestimates ET at a large scale, especially for evergreen needleleaf forest, evergreen broadleaf forest and mixed forest (Li et al., 2018). Therefore, the uncertainty in estimating ET and GPP is large, and it requests sufficient EC flux sites to calibrate and validate ET and GPP models for better local and regional applications.

in North America and Europe, and most of them are not publicly available, impeding a national scale constraint of RS-based

In addition, understanding the spatial and temporal pattern of ET and GPP is particularly important for China, the largest

- 90 contributor to the absolute growth of greenhouse gases that directly induces global warming over the past decade (Minx et al., 2021). On the other hand, China owns huge carbon sequestration potential of terrestrial ecosystems for slowing accumulation of atmospheric carbon dioxide and mitigating climate change. Additionally, given China's water shortage, it is crucial to clearly understand water budgets and transportation (Ma et al., 2020). Therefore, it becomes vital to estimate GPP and ET accurately across China under changes in climate and land cover types.
- 95 Facing the above challenges, this study utilizes a water-carbon coupled and remote sensing-based model, PML-V2 that is constrained against the most comprehensive observations in China (i.e. ___226 EC observations across nine plant functional types (PFTs)), to generate daily and 500m ET and GPP gridded products from 2000 to 2020. We then test whether the newly developed product for China outperforms PML-V2(global) and other mainstream products (i.e., MOD16A2, SEBAL, GLEAM, MOD17A2H, VPM, and EC-LUE), and investigate annual change and spatial pattern in ET and its components; plant

100 transpiration (E_c), evaporation from the soil (E_s), and canopy evaporation from precipitation interception (E_i), GPP and WUE in 2001-2018 across China. It is noted that that the years of 2019-2020 have not been selected for trend analysis. This is because that a different forcing dataset was used to drive PML-V2 (details are provided in section 2.2.2). The novelties of this study mainly include:

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110 (i) Observation data from 26 EC flux stations in nine PFTs across China are employed for constraining the PML-V2 calibration for estimating ET and GPP;

(ii) The country-specific meteorological forcing, i.e., the <u>China Meteorological Forcing Dataset (CMFD)</u>, is used to drive the PML-V2 in China, which is more accurate than those forcings extracted from global forcing products;

(iii) The PML-V2(China) product is generated with a daily resolution, compared to the previous global product with a temporalresolution of 8-day; and

(v) Improving intra-annual ET and GPP dynamics for various ecosystems, particularly for the cropland ecosystem, which provides more accurate estimates and monitoring of agricultural water consumption, compared to other mainstream products.

2 Materials and methods

2.1 Description of the PML-V2 model

PML-V2 model is a water-carbon coupled diagnostic biophysical model. Compared to the old version that does not calculate GPP and the effect of CO₂ on evapotranspiration, PML-V2 adopted coupling a photosynthesis model (Farquhar et al., 1980) and an improved canopy stomatal conductance model (Yu et al., 2004) with the Penman–Monteith (P-M) equation to estimate GPP and *E_c* collectively (Gan et al., 2018). Zhang et al. (2019) further improved PML-V2 by incorporating the vapor pressure deficit constraint to GPP that is then used to constrain canopy conductance and *E_c*. The detailed descriptions of PML-V2 are provided in the supplement.

2.2 Model input data

2.2.1 Remote sensing data

MODIS collections with 500m spatial resolutions from February 26, 2000 to December 31, 2020 (hereafter 2000-2020) are used, which includes the LAI from MOD15A2H.006 (Myneni et al., 2015), the albedo from MCD43A3.006 product (Schaaf

- 130 and Wang, 2015), and the surface emissivity from MOD11A2.006 (Wan et al., 2015). The smoothed LAI inputs for PML-V2(China) utilized the weighted Whittaker smoother with dynamic lambdas instead of a constant lambda to eliminate missing or unreliable pixels due to noise contaminations by snow, shadow, cloud, etc, compared with the PML-V2(Global) (Kong et al., 2019; Zhang et al., 2019). The improved LAI can better express peaks and seasonal changes. The albedo and surface emissivity inputs were gap-filled by the linear interpolation of the nearest good quality points (Zhang et al., 2019). If there
- 135 were not enough good-quality points close to the point with missing value, it was filled by the historical averaged values for the same grid. Besides, the PML-V2 model needs land cover types to accurately estimate ET and GPP in different terrestrial ecosystems. Here we used the International Geosphere-Biosphere Program (IGBP) layer of MCD12Q1.006 land cover product (Sulla-Menashe et al., 2019) during 2000-2020 since IGBP classification is annually continuous and has <u>acceptable accuracy</u> in China when compared with <u>other</u> land cover products (Feng and Bai, 2019).

Deleted: The PML-V2 model was employed in this study (Zhang et al., 2019). The detailed descriptions of PML-V2 are provided in Gan et al. (2018) and Zhang et al. (2019). Here we summarize the general modelling framework for readers to easily follow. PML-V2 derives ET by separately estimating its three components, including E_c , E_s and E_i (Eq.1). E_s is calculated by a simple water availability model that requires only precipitation and equilibrium evapotranspiration (Zhang et al., 2010; Morillas et al., 2013), as shown in Eq.2. Ei is estimated by a modified Gash model (Eq.3) that has been adopted widely as a rainfall interception function (Van Dijk and Bruijnzeel, 2001; Zhang et al., 2016). PML-V2 applies an improved Ball-Berry stomatal conductance model (Yu et al., 2004; Gan et al., 2018; Ball et al., 1987; Collatz et al., 1991), which couples Ec calculated by PM equation (Eq.4) with GPP Furthermore, GPP is constrained by vapor pressure deficit that shows the physiological significance of vegetation response, as shown in Eq.5 and Eq.6:



where f is a unitless variable that is computed by a function of soil equilibrium evaporation and accumulated precipitation for each grid cell; $\varepsilon = s/\gamma$ (unitless), in which $s = d/d\hat{T}$ is the curve slope relating saturation water vapor pressure to temperature (kPa °C-1) and γ is psychrometric constant (kPa °C); the total available energy absorbed by surface is partitioned by leaf area index (LAI, m²m⁻²) into canopy absorption (Ac, MJ m-2 d-1) and soil absorption (As, MJ m⁻² d⁻¹); $\hat{\rho}$ is the air density (g m⁻³); represents specific heat of air at constant pressure $(J g^{-1} \circ C^{-1})$; is vapor pressure deficit (VPD) at the air temperature; (m s⁻¹) is the aerodynamic conductance while G_c (m s⁻¹) is the canopy conductance; f_V is the area ratio covered by intercepting leaves (unitless), f_{FP} is the ratio of average evaporation rate over average precipitation (unitless) and assumes that it does not vary between the storms; Prcp is daily precipitation (mm d-1); is rainfall rate of the reference threshold if the vegetation canopy is wet (mm d⁻¹); (μ mol m⁻² s⁻¹) is the gross assimilation rate and f is a vapor pressure deficit constraint function where is the minimum threshold when there's no vapor pressure constraint and the maximum threshold when closing plant stomata leads to nonassimilation....

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185 2.2.2 Meteorological data

The meteorological inputs of PML-V2 include specific humidity, air pressure, air temperature, wind speed, precipitation, downward longwave radiation, downward shortwave radiation, and land surface temperature. In this study, the main meteorological data came from the CMFD from 2000 to 2018 (He et al., 2020), which has spatial and temporal resolutions of 0.1° and 3-hr, respectively. Generated through the fusion of five RS or reanalysis datasets and 753 China Meteorological 190 Administration stations, the CMFD dataset shows the best accuracy among most available meteorological datasets, and is widely employed in hydrological and land surface modelling of China (Zhang et al., 2019; Wang et al., 2020). The Global Land Data Assimilation System Version 2.1 (GLDAS-2.1) forcing data with 0.25° and 3-hr resolutions (Beaudoing and Rodell, 2016) were used for 2019-2020, as the CMFD was not available during such a period. Since the CMFD and the GLDAS-2.1 are different meteorological forcing datasets for driving the PML-V2 model, it is necessary to correct bias of 2019-2020. Here, 195 a widely used methodology: delta change (i.e., DC, also called change factor), was selected for bias-correction (Anandhi et al., 2011; Teutschbein and Seibert, 2012; Rasmussen et al., 2012; Hempel et al., 2013; Beck et al., 2018; Haro-Monteagudo et al., 2020). The underlying idea of the DC method is to use simulated future anomalies (i.e., GLDAS-2.1 in this study) for a perturbation of observed data (i.e., CMFD) rather than to use the simulations of future conditions directly. For each grid cell, we bias-corrected the daily meteorological data during 2019-2020 by monthly scaling factors. Specifically, A multiplicative 200 approach applied for precipitation (Eqs.1 to 2). $P_{(i,j)} = \Delta P_{(j)} \cdot P^*_{(i,j)}; i = 1, 2, ..., 31; j = 1, 2, ..., 12$ (1)where $P_{(i,j)}$ is the precipitation corrected by the relative change factor for day i and month j; and $P_{(i,j)}^*$ is the multi-year daily mean observed precipitation at the *i*th day, *j*th month in the historical or reference period (2000-2018 in this study). $\Delta P_{(1)}$ is the change factor that can be formulated as: 205 $\Delta P_{(j)} = \overline{P_{fut}} / \overline{P_{his}}; j = 1, 2, ..., 12$ (2)where $\overline{P_{hus}}$ is the daily mean precipitation of the *j*th month in the historic simulation (i.e., 2000-2018) and $\overline{P_{fut}}$ is similar but the average of the future simulation (i.e., 2019 or 2020). The multiplicative approach is also used for the variables: radiation, air pressure, and wind speed, whereas an additive approach was used to adjust temperature and specific humidity (Eqs 3 to 4). $X_{(i,j)} = \Delta X_{(j)} + X^*_{(i,j)}; i = 1, 2, ..., 31; j = 1, 2, ..., 12$ (3) 210 <u>in which $\Delta X_{(i)}$ is calculated as:</u> $\Delta X_{(j)} = \overline{X_{fut}} - \overline{X_{his}}; j = 1, 2, \dots, 12$ (4)The land surface temperature during 2000-2020 was from the Land component of the fifth generation of European ReAnalysis

The land surface temperature during 2000-2020 was from the Land component of the fifth generation of European ReAnalysis (i.e., ERA5-Land; Muñoz-Sabater et al., 2021) with spatial and temporal resolutions of 0.1° and 1-hr, respectively. Note that the above meteorological data were first aggregated into the daily scale, followed by resampling into 500m by the bilinear

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215 interpolation method (Zhang et al., 2019). The atmospheric CO₂ concentration data came from the National Oceanic and

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220 Atmospheric Administration. The pro-processing of remote sensing data and meteorological data for model inputs is summarised in Figure 1.

2.3 ET and GPP from eddy-covariance observations

We collated EC flux towers and automatic weather stations (AWSs) data from 26 sites across China (Fig. 2 and Table 2) and generated the high-quality ET and GPP observed for calibration and validation of PML-V2. These data came from the following sources: FLUXNET2015 (Pastorello et al., 2020), the National Tibetan Plateau Data Center (Ma et al., 2020), the Heihe Integrated Observatory Network (Liu et al., 2011; Liu et al., 2018), and the Chinese Terrestrial Ecosystem Flux Research Network (ChinaFLUX) (Yu et al., 2006). These 26 sites encompass nine major PFTs in China, including two in evergreen needleleaf forests, one in evergreen broadleaf forests, one in mixed forests, one in open shrublands, one in savannas, eight in

grasslands, three in wetlands, seven in croplands, and two in barren sparse vegetation. The observation variables, including air

- 230 temperature, relative humidity, incoming shortwave radiation, latent heat flux, sensible heat flux, and net ecosystem exchange, were collected from the interval of 0.5-hour or 1-hour to prepare for gap filling and flux partitioning (Reichstein et al., 2005; Wutzler et al., 2018). Considering certain gaps exist in the original half-hourly or hourly latent heat (LE) and net ecosystem exchange (NEE) fluxes data, we employed the marginal distribution sampling method (Reichstein et al., 2005) to fill these gaps using the station-observed air temperature, relative humidity, and solar radiation data. Subsequently, we partitioned NEE
- 235 into gross carbon uptake (GPP) and respiration of ecosystem according to the night-time-based method of Reichstein et al. (2005). Because any gap-filling of EC data may introduce extra uncertainties, we only used the days during which the percentage of the original observed and good-quality gap-filled data was no less than 60% in the present study. Note that the data of the sites from the ChinaFLUX (i.e., CN-CBF, CF-HBG_S01, CF-HBG_W01, CF-NMG, CF-QYF, and CF-YCA) and the FLUXNET2015 (i.e., CN-Cng, CN-Du2, and CN-HaM) have already been gap-filled by original data providers. Therefore,
- 240 they were used directly in this study. Note that while energy imbalance does exist in many EC sites, correcting such a problem may also introduce more uncertainties (Foken, 2008). Therefore, we used the observed LE directly in the present study.

2.4 Basin-scale water balance-based evapotranspiration data

The water balance method is generally regarded as a simple and accurate approach for calculating land evapotranspiration at the basin-scale (Liu et al., 2016). Here we used the water-balance-based evapotranspiration (ET_{wb} , mm) of 10 major basins across China to evaluate PML-V2 ET estimates at a basin scale, that is,

$ET_{wb} = Prcp - Q - TWSC,$

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where *Prcp*, *Q*, and *TWSC*(all with a unit of mm) are basin-wide precipitation, runoff, and change of terrestrial water storage at an annual scale, respectively. Among them, *Prcp* and *Q* are the annual values of ten major river basins in China from 2003 to 2013, including the Hai, Huai, Liao, Northwest, Pearl, Songhua, Southeast, Southwest, Yangtze, and Yellow (Fig. 2), from

250 the National Water Resources Bulletin, which is extensively used in water resources calculation (Miao et al., 2022) and assessment (Yang et al., 2004; Xie et al., 2018). *TWSC* was quantified using three Gravity Recovery and Climate Experiment

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(GRACE) products (Landerer and Swenson, 2012; Landerer, F. 2021) including the NASA Jet Propulsion Laboratory, the GeoForschungsZentrum Potsdam and the Center for Space Research, which are available since April 2002 at a monthly scale.

For reducing the uncertainties, this study used the mean values of these three products. We regarded the differences in the terrestrial water storage anomaly between two consecutive Decembers as the annual *TWSC*. Note that the ET_{wb} was only calculated from 2003 to 2013 in the present study since the December values from GRACE was not available in 2014.

2.5 Model calibration and model validation

The 11 parameters of the PML-V2 model for each PFT were calibrated and cross-validated against 26 EC sites by a global

260 optimisation method $\frac{1}{\sqrt{2}}$ genetic algorithm (GA). The GA generates a randomly initialized population, and then evaluates the fitness of solutions according to its objective function. As generations iterate, the population includes more appropriate solutions, and eventually it will converge (Holland, 1992; Konak et al., 2006), Specifically, we applied the GA algorithm with a population size of 1000 and number generations of 50., All EC-observed ET and GPP data within a PFT are used to minimize the following objective function (F_{opt}):

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$$F_{opt} = 2 - NSE_{ET} - NSE_{GPP} = \frac{\sum_{i=1}^{N} (ET_{obs} - ET_{obs})^2}{\sum_{i=1}^{N} (ET_{obs} - \overline{ET_{obs}})^2} + \frac{\sum_{i=1}^{N} (GPP_{obs} - GPP_{obs})^2}{\sum_{i=1}^{N} (GPP_{obs} - \overline{GPP_{obs}})^2}$$

where NSE_{ET} and NSE_{GPP} are the Nash-Sutcliffe Efficiency of the daily ET and the daily GPP, respectively. The subscripts *est* and *obs* stand for the estimated and the observed, respectively. In this way, <u>each of the nine_PFTs gained a unique set with</u> <u>11</u> calibrated parameter values, jllustrated in Table S1.

- The 'leave-one-out' cross-validation method was utilized to evaluate robustness of the PML-V2 model (Zhang et al., 2019). For each PFT, the data from one 'ungauged'' observation was excluded from the optimization while the data from all other observations at the same PFT were used for model calibration to obtain the simulated at the 'ungauged'' position. All nine PFTs were actualized in this way. Note that the PFT including EBF, MF, OSH, and SAV only has one ground site (Table 2). Therefore, it is appropriate to divide the data in each of the four sites into two sub-groups for cross-validation. The CF-CBF and the CF-HBG_S01 covering from 2003 to 2010, were divided into two sub-groups, each of which had 4 years: 2003-2006
- and 2007-2010. While both the BNXJL and YJGRHG only covered one year and were divided into two sub-groups by a two-day time step, separately. After that, the daily estimates in the cross-validation mode were against the daily observation from the 26 stations to explore the model transferability from known observations to any location.

2.6 Model performance metrics

We assessed the performance of calibration and cross-validation of PML-V2 (and other seven mainstream ET and GPP products) against the observed sites or water-balance basins utilizing the following four metrics:

$$NSE_{X} = 1 - \frac{\sum_{i=1}^{N} (X_{est} - X_{obs})^{2}}{\sum_{i=1}^{N} (X_{obs} - \overline{X_{obs}})^{2}}$$

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$$R_X = \frac{\sum_{i=1}^{N} (x_{est} - \overline{x_{est}})(x_{obs} - \overline{x_{obs}})}{\sqrt{\sum_{i=1}^{N} (x_{est} - \overline{x_{est}})^2 \times \sum_{i=1}^{N} (x_{obs} - \overline{x_{obs}})^2}}$$
$$RMSE_X = \sqrt{\frac{\sum_{i=1}^{N} (x_{est} - \overline{x_{obs}})^2}{N}},$$
$$Bias_X = \frac{\sum_{i=1}^{N} (x_{est} - \overline{x_{obs}})}{N \times \overline{x_{obs}}},$$

where *NSE*, *R*, *RMSE*, and *Bias* are the Nash-Sutcliffe Efficiency, the correlation coefficient, the Root Mean Square Error, and the ratio of the difference between the estimated and the observed to the observed average. The subscript *X* represents ET or GPP; the subscripts *est* and *obs* stand for the estimated and the observed, respectively.

3 Results

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3.1 Model calibration and model validation

The simulated ET and GPP from the calibrated PML-V2(China) were first evaluated against EC measurements of 26 flux sites at a daily scale (Fig. 3). Overall, PML-V2(China) shows an excellent performance in estimating daily ET and daily GPP, as
evidenced by the *NSE* (0.75 and 0.82, respectively), *R* (0.88 and 0.90, respectively), *RMSE* (0.69 mm d⁻¹ and 1.71 g C m⁻² d⁻¹, respectively), and *Bias* (-5.81% and -2.3%, respectively). For the mean values of each site, the simulated daily ET and daily GPP show higher *NSE* (≥ 0.87) and *R* (≥ 0.93) values (Fig. 3).

PML-V2(China) is only slightly degraded from calibration to cross-validation, indicated by slightly declined performance in ET and GPP (Fig. 3). For daily ET, the *NSE* and *R* values decrease by 0.06 and 0.04, respectively, from the calibration mode

- 310 to the cross-validation mode. Correspondingly, the *RMSE* and *Bias* of ET in the cross-validation mode increase by 0.08 mm d⁻¹ and 3.5%, respectively. For daily GPP, the *NSE* and *R* values in the cross-validation mode reduce by 0.11 and 0.06, respectively; the *RMSE* and *Bias* increase by 0.45 g C m⁻² d⁻¹ and 1.79%, respectively. A similarly slight degradation is applied to their site means. These results demonstrate that the PML-V2(China) is robust for estimating daily ET and daily GPP across large regions, and suitable for generating good quality daily ET and daily GPP data for China.
- 315 Figure 4 further summarises PML-V2(China) performance at 26 flux sites across nine PFTs. The estimates of ET and GPP from the model calibration show high consistency with the EC-observed values in all terrestrial biomes. For daily ET (Fig. 4a), the *NSE* values vary from the range of 0.36 ~ 0.82, the *RMSE* 0.39 ~ 0.88 mm d⁻¹, and *Bias* -10.09% ~ -0.21%. For daily GPP (Fig. 4b), the ranges of statistical metrics become 0.41 ~ 0.91 for *NSE*, 0.3 ~ 3.19 g C m⁻² d⁻¹ for *RMSE*, and -10.52% ~ 3.26% for *Bias*. In terms of cross-validation, nine PFTs all showed slight declines in the statistical metrics when compared to those
- 320 in the calibration mode. For daily ET, the declines in NSE values are less than 0.14 in most PFTs except BSV and ENF, whose NSE decreased by 0.36 and 0.33, respectively. As expected, RMSE values all increased to some extent in all PFTs (ranging from 0.002 to 0.305 mm d⁻¹) when compared with those in calibration mode. The *Bias* values in the cross-validation mode were almost identical to those in the calibration mode for most PFTs except WET and ENF of which the absolute value of

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Bias increased by 10.59% and 17.42%, respectively (Fig. 4a). <u>From calibration to cross-validation, the degradation of BSV</u>, ENF, and WET is more serious than that for the remaining PFTs, which is mainly caused by the small samples (2, 2, and 3, respectively) for ET estimates. Regarding daily GPP, the *NSE* values all degraded by less than 0.04 for most PFTs except BSV,

- GRA and WET where there exists $0.21 \sim 0.32$ *NSE* degradation. In the meantime, the declines in *R* values are all within 0.19. Regarding *RMSE*, the increases are particularly marginal for most PFTs except WET with an increase of 1.58 g C m⁻² d⁻¹ (Fig. 4b). The above PFT tests suggest that the present PML-V2(China), with parameter values being calibrated against 26 EC flux stations, does perform satisfactorily in estimating both ET and GPP across different PFTs in China.
- To investigate the model performance at each EC site, this study also compares the variations in daily ET and GPP between 9ML-V2(China) in calibration mode and the EC observations (Fig. 5, Fig. 6, and Table S2). Overall, the estimates from PML-V2(China) show similar amplitude and phase to the EC observations, indicating that it performs well in capturing the seasonal phase of ET and GPP in most flux sites. From Figure 5, the PML-V2(China) ET reveals a single peak in each annual cycle for most flux sites, except those with double-cropping systems such as CF-YCA, DXZ, and GTZ cropland sites. In particular, the ET values are consistent with observed data at the desert site (e.g., HZZHMZ and QZ-NAMORS) with *NSE* ranging from 0.41
- 340 to 0.48, indicating that model performs well in the sparse vegetation area. The measurements fluctuate higher than PML-V2(China) ET at peak areas for most sites. For the site mean ET, the difference values between PML-V2(China) and EC observations range from -0.46 to 0.21, with the minimum at QZ-NAMORS and the maximum at CN-HaM. For daily GPP, the model also performs well in depicting the seasonal variation. However, in certain stations (e.g., DMCJZ)

the peak GPP values within a year appear to be underestimated. In terms of the cropland flux sites, the GPP also shows double

345 peaks within a year because of the double-cropping system (e.g., winter wheat and maize rotation), which is similar to ET. This is especially apparent for the GTZ, DXZ, and CF-YCA flux sites located in the North China Plain. For the site mean GPP, the discrepancies between the model and EC observations mainly range from -0.66 to 0.96 g C m⁻² d⁻¹ for most flux sites except CF-YCA, MYZ, and DXZ, where the differences exceed 1.3 g C m⁻² d⁻¹.

3.2 Comparing with other products

350 3.2.1 Comparisons at a plot-scale using EC observed data

To explore whether ET and GPP of PML-V2(China) simulations are more accurate than the previous products, we also evaluated ET and GPP accuracy from its global version, MOD16A2, MOD17A2H, and other five widely available ET or GPP products against EC observations from the 26 sites at a daily or 8-day resolution. In this study, PML-V2(China) uses its cross-validated simulations to compare with other products instead of the calibration results to avoid introducing a priori knowledge.

355 Additionally, to compare at a consistent time resolution, PML-V2(China) estimates in cross-validation mode need to be upscaled to an 8-day average or remain a daily scale, depending on the temporal resolution of the comparison products. Specifically, PML-V2(China) is compared to GLEAM and SEBAL at the daily scale, compared to PML-V2(Global), MOD16A2, MOD17A2H, EC-LUE, and VPM at the 8-day scale. **Deleted:** The *Bias* in the cross-validation mode is also similar to that in the calibration mode for most PFTs except for GRA and WET of which the absolute value *Bias* rose by 10.26% and 11.86%, respectively...

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Table 3 provides a direct comparison of model performance among varieties of ET or GPP products against observations overall from 26 ground stations. It is evident that PML-V2(China) excels other state-of-the-art ET or GPP products, presented by *NSE* being 0.12 ~ 7.76 higher for ET and 0.07 ~ 0.79 higher for GPP, *R* being 0.07 ~ 0.68 higher for ET and 0.04 ~ 0.51 higher for GPP, and *RMSE* being 0.15 ~ 3.62 mm d⁻¹ lower for ET and 0.24 ~ 1.98 g C m⁻² d⁻¹ lower for GPP. Specifically, at a daily scale, PML-V2(China) ET exhibits the highest *NSE* value of 0.66, followed by GLEAM (0.44) and SEBAL (-7.10). PML-V2(China) daily ET achieves the highest *R* (0.84), followed by GLEAM (0.69) and SEBAL (0.16); correspondingly it

- 370 obtains the smallest *RMSE* (0.33 mm d⁻¹), followed by GLEAM (1.04 mm d⁻¹) and SEBAL (3.94 mm d⁻¹). SEBAL is the worst performer, although its *Bias* of the closest to 0 (Table 3) because it is far away from the observed values significantly yielding a *Bias* value over 50% or less than -50% among five PFTs (Fig. 7). At the 8-day scale, PML-V2(China) outperforms PML-V2(Global) and MOD16A2 for estimating ET, with the highest value of *NSE* (0.74), *R* (0.87), and the lowest *RMSE* (0.66 mm d⁻¹). Moreover, PML-V2(China) has also the best performance in estimating 8-daily GPP, followed by PML-V2(Global),
- MOD17A2H, VPM, and EC-LUE, indicated by three statistics: *NSE*, *R*, and *RMSE*. In summary, PML-V2(China) performs well when compared with other mainstream ET or GPP products in China.
 Figure 7 displays the performance comparison of four ET products with PML-V2(China) under nine PFTs. The simulated ET by PML-V2(China) has greater *NSE* and *R*, and less *RMSE* values than the other four products in most PFTs, especially in EBF, SAV and WET. All models have poor performance with *NSE* being lower than 0 except for PML-V2(China) in EBF and
- SAV. But PML-V2(China) is not the best in both ENF and BSV. In BSV, most models perform poorly, rendered by *NSE* being lower than 0 except for GLEAM (0.50) and PML-V2(China) (0.07 for the daily scale; 0.11 for the 8-day scale). And only SEBAL achieves worse results than PML-V2(China) in ENF. As shown in Fig. 8, PML-V2(China) performs significantly better than other advanced products in simulating the GPP of CRO, MF, ENF, EBF, SAV, and BSV, producing higher *NSE*, *R*, and lower *RMSE* and *Bias*. While PML-V2(China) ranked second in GRA (following MOD17A2H), OSH (following PML-V2(China))
- 385 V2(Global)), and WET (following PML-V2(Global)). Synthetically, PML-V2(China) successfully captures the sites' seasonality in most PFTs compared to the high-resolution ET/GPP datasets currently available.

3.2.2 Comparisons at the basin-scale using ET_{wb}

In addition to testing the model at a plot-scale, Figure 9 (a-e) presents the ET validations from PML-V2(China) and four ET products based on RS against the annual ET_{wb} in the 10 major river basins of China during 2003-2013. It illustrates that PML-

- 390 V2(China) shows the best performance among them, as indicated by the highest NSE (0.82) and the lowest RMSE (69.59 mm yr⁻¹) and Bias (6.28%) values. This is closely followed by the GLEAM and PML-V2(global) with NSE values of 0.36 and 0.26, respectively. However, MOD16A2 and SEBAL tend to overestimate ET in the majority of basins with much smaller NSE values of -0.21 and 0.02, respectively, which are consistent with the performance evaluations using the EC observation shown in Fig.7. Above basin-wide evaluations, together with the plot-scale validations against EC observation data, demonstrate
- 395 PML-V2(China) overall performing best among the tested products selected in this study.

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Fig. 9 (f) illustrates an inter-basin comparison of the 11-year mean ET of 2003–2013 within the five ET products. PML-V2(China) performs well in most basins (*Biases* within \pm 15%) except for the Northwest and Southwest Basins, where ET is overestimated by 24.96% and 61.57%, respectively. Even so, PML-V2(China) performs best in the Southeast River Basin with

400 Bias of -3.80%, which is still better than the five ET products selected herewith Bias ranging from 15.93% to 62.42%. Although PML-V2(China) overestimates ET in the Northwest to a large extent, it performs best relative to its global version (84.88%) and another ET product MOD16A2 (152.70%).

3.3 Spatial patterns and annual variations of ET, Ec, Ei, Es, GPP, and WUE

- Fig. 10 illustrates the spatial distribution of the multi-year (2001-2018) mean annual ET and three components (i.e., E_c , E_i , and 405 E_s) from PML-V2(China) across China. In general, the ET shows an increasing gradient from the northwest to the southeast (Fig. 10a). High annual ET (> 900 mm yr⁻¹) is mainly located in the water bodies, Hainan Island, and western Taiwan, while most parts of the Northwest River Basin exist the low annual ET (< 100 mm yr⁻¹), especially in the western Inner Mongolia and Gansu, and southern Xinjiang. Annual ET experiences a statistically insignificant increasing trend during 2001-2018, with a tendency of 0.43, mm yr⁻² (p > 0.05). On the whole, the mean annual ET over China is 392.12 ± 10.67, mm yr⁻¹ (mean ±
- 410 standard deviation) over the last <u>18</u> years. For three components, E_c and E_i products display a similar spatial distribution with annual ET, while high E_s values (> 400 mm yr⁻¹) are mainly scattered in higher soil moisture content areas including the Tibet Plateau, Pearl River Delta, and Yangtze River Delta (Fig. 10b-d). High E_c (> 600 mm yr⁻¹) and E_i (> 80 mm yr⁻¹) values overall occur in the tropical and subtropical forests (e.g., Southwest River and Pearl River Basins), but low E_c (< 50 mm yr⁻¹) and E_i (< 5 mm yr⁻¹) values in the Northwest River Basin except for the Tianshan, Altai, and Qilian mountains. Especially, low E_i
- 415 values also appear in the cropland areas, such as the Northeast Plain, North China Plain, and Sichuan Basin (Fig. 10c). For annual variation over China, E_c and E_i increase significantly during 2001-2018 with a rate of 0.91 and 0.16 mm yr² (p < 0.001), respectively. However, annual E_s shows a declining trend with an insignificant rate of -0.69 mm yr² (p < 0.05). The mean annual GPP shows similar spatial patterns compared to the mean annual ET, as indicated by Fig. 10a and Fig. 11a.
- High annual GPP (> 2000 g C m⁻² yr⁻¹) mainly occurs in the tropical and subtropical forests and North China Plain where there
 exists the double-cropping system, but low annual GPP (< 100 g C m⁻² yr⁻¹) in the arid zones such as the Northwest River
 Basin. On average, the multi-year GPP over China is 721.62 ± 51.83 g C m⁻² yr⁻¹, and interannual change displays a steady
 rise trend with a rate of 8.99 g C m⁻² yr² (p < 0.001) since 2001. Using the coupled estimation of PML-V2(China) model, we
 study WUE (GPP divided by ET) during 2001-2018 across China (Fig. 11b). This result indicates the high annual WUE (>3 g
 C mm⁻¹H₂O) occurring in the forests and cropland, particularly in Northeast China and North China Plain. The annual variation
 of WUE is similar to that of GPP, with a significant increasing trend (Slope = 0.02 g C mm⁻¹ H₂O yr⁻¹, p < 0.001).

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4 Discussion

4.1 Magnitude and trend in annual ET and GPP over China

- For annual ET over China, the multi-year (2001-20<u>18</u>) mean annual ET from PML-V2(China) is <u>392.12 ± 10.67, mm yr⁻¹ (Fig.</u>
 10a). This result is overall consistent with the country-wide averaged annual ET estimated by the machine learning method (Yin et al., 2021: <u>397.65 mm yr⁻¹ for 2000-2018</u>) and land surface models (Ma et al., 2019a: <u>395.34 mm yr⁻¹ for 2001-2012</u>), and slightly higher than MOD16A2 ET about 359.61 ± 59.52 mm yr⁻¹ for 2001-2018 (Cheng et al., 2021). But they are all less than the annual ET about 482.27 ± 192.31 mm yr⁻¹ from SEBAL for 2001-2018 (Cheng et al., 2021). Furthermore, previous studies by Ren et al. (2015) and Wang et al. (2012a) show that the long-term mean precipitation and runoff in China are about 720 mm yr⁻¹ and 280 mm yr⁻¹, respectively. Hence, it is believed that an annual ET less than 440 mm could be reasonable in
- China (Ma et al., 2019a). The annual mean GPP over China from our results is $721.62_{4} \pm 51.83_{4}$ g C m⁻² yr⁻¹ during 2001-2018 (Fig. 11a), which is lower than that of Jia et al. (2020) (771 g C m⁻² yr⁻¹), and higher than those of Yao et al. (2018) and Ma et al. (2019b) (690 g C m⁻² yr⁻¹ and 710 g C m⁻² yr⁻¹, respectively). These differences may associate with the distinctions in the time window and data sources (Jia et al., 2020).
- 455 The annual ET displays a statistically insignificant increasing trend from 2001 to 2018, which is consistent with the calculated ET using the Budyko equation (Feng et al., 2018; Su et al., 2022). In terms of annual GPP, we found that there is a significant (*p* < 0.001) increasing trend with a rate of 8.99g C m⁻² yr² during 2001-2018, in line with some other studies (Ma et al., 2019b; Yao et al., 2018; Ma et al., 2018). The most likely reason for the remarkable rise in GPP is the effect of ecological restoration projects in China (Tong et al., 2018). In fact, a large number of ecological restoration projects have been conducted since the
- 460 1990s, such as the Grain for Green project (Cao et al., 2009). These findings also confirmed that a significant increase in vegetation growth occurred in China over the past years, which agreed well with Ma et al. (2018).

4.2 Advantages of this new dataset

The multi-scale testing using EC observations and water balance showed that accuracy in ET and GPP by the present PML-V2(China) is better than the global product of PML-V2 and other mainstream ET or GPP models (Table 3). The reasons may be twofold. The first is that the water-carbon coupled process is particularly important for estimating ET and GPP since the water and carbon process are highly coupled by the stomatal aperture at the leaf level. This result was also supported by Xiao et al. (2013) and Zhang et al. (2019) in their recent studies. Second, this study employed 26 EC observations to calibrate the PML-V2 in China, which shows better accuracy than the previous global-scale ET and GPP estimates that were obtained using few EC observations to constrain the parameters. This indicates that more local observations will facilitate the improvement of ET and GPP estimates at regional and national scales. In fact, although the EC sites of MOD16A2 (72 EC sites), GLEAM

(91 EC sites), and PML-V2(Global) (95 EC sites) are more than in this study, there are only 0, 8, and 8 sites in China, respectively (Table 1). In particular, the SEBAL model only used eight EC sites for three PFTs (i.e., forests, cropland, and grassland).

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- 490 In addition to the advantages of the overall accuracy in ET and GPP in the present study, the PML-V2(China) showed its strong ability to reveal the characteristics of the water consumption from the croplands that have the double-cropping system. The GTZ in Hebei, DXZ in Beijing, and CF-YCA in Shandong are the only three observed sites with winter wheat - summer maize rotation cropping systems. We compared the intra-annual variation of the simulated ET and GPP between PML-V2(China) and other products against the EC-observed values at the three cropland sites (Fig. 12). In theory, when the winter
- 495 wheat is harvested, the ET or GPP should decline to their valley values in June, which often occur between the two peaks (i.e., the reproductive growth stage of winter wheat and summer maize, respectively) within a given year. With this in mind, it can be seen that PML-V2(China) has improved its ability when compared to its global version, as has been indicated by its better performance in capturing the time when the lowest ET and GPP values emerged. This is mainly because an improved weighted Whittaker smoother was carried out to get better quality of LAI, as described in Section 2.2.1. While the GLEAM is also able
- 500 to detect the time when the valley values appeared, it underestimates ET evidently during the wheat growing season. In terms of the SEBAL and MOD16A2, both have much poorer performances in detecting such intra-annual variations in ET. Regarding other GPP models, only MOD17A2H can catch the time when the valley values appear. However, it substantially underestimates GPP in winter both wheat and summer maize growing seasons. Moreover, this study also estimated the ability of the simulated ET to identify the crop phenology at the regional scale (Fig. S1). We extracted the cropland with peaks and
- 505 identified the dates of peaks appearing within a year at each pixel by a faster peak detection algorithm (Liu et al., 2020). Taking the typical double-cropping system as an example, we quantified the cropping intensity in croplands (Fig. S1a1) and identified the dates of the first peak and the second peak appearing in one year (Fig. S1a2, a3). To verify the reliability of the results, we mapped the double-cropping cropland areas of winter wheat and summer maize rotations (Fig. S1b1), the heading dates distribution of winter wheat and summer maize (Fig. S1b2, b3) in 2015 based on the crop phenological dataset (Luo et al., S1b2, b3)
- 510 2020). The croplands with a double-cropping show similar spatial patterns, as indicated by Fig. S1a1 and b1. In particular, we also compared the first ET peak date (Fig. S1a2) with the heading date of winter wheat (Fig. S1b2) in 2015. The first ET peak date (i.e., day of the year (DOY)) is mainly between DOY 120 and 150, occurring after the heading date of winter wheat about DOY 100 to 130. During the entire growth period of winter wheat, the ET intensity was the highest from the heading date to the filling date, although the critical periods of water demand for winter wheat are the "jointing date-heading date-filling date".
- 515 (He et al., 2022). This is consistent with our result that the ET peak appears slightly later than the crop heading date. Similarly, the heading date of summer maize also occurs early than the second ET peak date (Fig. S1a3, b3), which further proves the ability of the simulated ET in evaluating crop phenology.

4.3 Implications of PML-V2(China)

Based on the substantial advantages discussed above, PML-V2(China) has great implications and application prospects. For

520 instance, daily outputs from PML-V2(China) can be better used by the agricultural and water sectors for operational applications. Timely access to daily data at the regional or national scale helps the Ministry of Agriculture and Water Resources to develop better policies. Indeed, there is a remarkable relationship between soil water content and ET (Graf et al., 2014;

Brust et al., 2021), so getting daily ET information accurately is of great significance for soil water depletion assessment, irrigation system design, and water resources management in agricultural areas, such as in the North China Plain. On the other

525 hand, this dataset has better simulations of carbon consequences and water use efficiency, which is important for carbonneutron policy (Yang et al., 2022). Specifically, for 2001-2018, the annual GPP and water use efficiency experienced a significant increase (8.99 g C m⁻² yr² and 0.02 g C mm⁻¹ H₂O yr⁻¹, respectively), but annual ET showed a non-significant increase (0.43 mm yr²). This indicates that vegetation in China exhibits a huge potential for carbon sequestration with little cost in water resources, which plays an important role in the global carbon cycle.

530 4.4 Uncertainties

4.4.1 Eddy-covariance method and water-balance formula

Although PML-V2(China) showed relatively good performance when compared to the EC sites and water-balance-based evapotranspiration (ET_{wb}), there exist several uncertainties related to the observed data (i.e., flux sites and ET_{wb}). First, the EC technique, considered as a standard way to measure surface fluxes (Aubinet et al., 1999; Liu et al., 2011; Baldocchi, 2014),

- 535 meets some issues as well including corrections when processing the turbulence data and from energy non-closure problem. The corrections, such as the spike detection, lag correction of H₂O and CO₂ based on the vertical wind, coordinating rotation, corrections for density fluctuation, and frequency response correction, had been pre-processed before the investigators shared data. Nevertheless, there is evidence that diverse data processing designs may lead to errors of 10% ~ 15% (Mauder et al., 2007). Besides, systematic bias in device, the loss from the contribution of low-frequency eddies to energy transmission and
- 540 the ability to capture larger eddies and the secondary circulations, could cause the energy-imbalance problem (Liu et al., 2011). Hence, there are usually two schemes to deal with the energy non-closure issue for EC users, that is, to perform energy closure correction (Cheng et al., 2021), or to maintain the original four-component data including latent heat (LE), sensible heat (H), soil heat flux (G) and net radiation (R_n) (Zhang et al., 2019; Ma and Zhang, 2022). We chose the second method in this study, considering that (i) forcing energy closure will introduce new errors artificially, and (ii) most Chinese EC observation towers
- 545 lack G and R_n . The observed ET calculated from latent heat flux of the site without energy closure correction will be slightly less than the real value, resulting in a smaller ET simulated by the model determined by calibration using the sites. This phenomenon is not fully reflected in comparing with the basin ET based on the water-balance calculation that only in the Pearl and Southeast River Basin PML-V2(China) underestimates multi-year mean ET (Fig. 9f), because the ET_{wb} used for assessing the simulation performance of models also needs to be explored its accuracy.
- 550 Second, the inconsistency of the grid cell and EC footprint could also result in uncertainty when compared rudely to the measurements. Generally, the EC towers have a footprint of 100 -_1000 m², which is usually decided by tower height and heterogeneity of the underlying surface (Liu et al., 2016; Xu et al., 2017). For example, the footprint of the forest sites is larger than the grassland and wetland sites, (Chen et al., 2012). In this study, PML-V2(China) model is first calibrated at 500m grid cell avoiding the inconsistency issue to some extent. However, there still exists a mismatch between the grid cell center and

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- 560 EC sites. In this regard, higher spatial resolution products or additional ground observations at relevant scales would be beneficial for the cross-validation of the modelling grid cell (Ma et al., 2019a). Note also that the limited flux sites for some PFTs may introduce extra uncertainties for model parameters since only one site was available for the OSH, SAV, EBF, and MF in this study. In fact, we artificially construct multiple site samples in the above PFTs sites, utilizing the characteristics of the long time series of these sites. Nevertheless, the terrestrial biome of the sole flux site maybe not typical in other climate
- zones for the same PFT (Cheng et al., 2021). Therefore, more flux sites for the same PFT are necessary to calibrate the model. Third, ET derived from water-balance over China was invested as a reference at the basin-scale, although the results may be affected by some sources of uncertainty. For instance, the applicability of water-balance relies on its formula composition. In this paper, the water storage change (in Equation 5) from GRACE was included for purpose of reducing the uncertainties in estimating annual ET_{wb}. Those studies not using TWSC in ET_{wb} may not explain the decreasing groundwater such as in the
- 570 Hai River Basin due to the human water extraction, which is not conducive to the credibility of the verification results (Cheng et al., 2021). In addition, the precision of its input data also affects the reliability of ET_{wb} (Mao and Wang, 2017). Precipitation, as the main source for ET, impacts ET_{wb} to varying extent. Nevertheless, precipitation data are derived from observations of field rain gauge network and its usability relies on the intensive and high-quality ground observations, which makes the Prcp estimates from statistics of stations worse in the less populated remote regions or areas having highly various topography,
- 575 particularly in the west China (Immerzeel et al., 2015; Tang et al., 2016; Zhong et al., 2019). Zhong et al. (2019) evaluated three precipitation products in China and found that there was a slight overestimation in the west of China and an obvious underestimation in the west-Tibet Plateau. Accordingly, it could be stated that ET_{wb} was overestimated in Pearl and the Southeast and underestimated in the Southwest River basin using Eq. 7.

4.4.2 Input data

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- 580 While the daily ET and GPP of the PML-V2(China) product (in the calibration mode) simulated well against 26 flux sites overall (Fig. 3) and in most PFTs (Fig. 4), PML-V2(China) in calibration is degraded compared to its cross-validation (Fig. 4), such as in GRA. In this study, we got one parameter set for the GRA type by employing eight sites, including the sparse grassland (QZ-BJ) and the dense grassland (QZ-NAMORS). Although it may be appropriate to use diverse parameter values for estimating ET and GPP by further dividing the grassland type into finer land types, this comes at the expense of ignoring
- 585 the possible interannual changes in land types because few LUCC maps with fine classifications and annual resolutions simultaneously (Ma and Zhang, 2022).

PML-V2(China) mainly used the remote sensing and meteorological data (e.g., MODIS, CMFD, GLDAS-2.1, and ERA5) as the inputs (see section 2.2). However, there are still some uncertainties in these data (Zhang et al., 2019; Cheng et al., 2021). For example, we used the land cover datasets (MCD12Q1.006) as the PFTs data across China. However, there exist misclassification issues for MCD12Q1 because of spectral confusion (e.g., savannas and grasslands) and coarse resolution

(e.g., the mixed pixel of cropland and natural vegetation) (Zhang et al., 2019; Liang et al., 2015; Adzhar et al., 2022). Moreover, LAI is a critical variable describing vegetation growth, and its temporal changes affect stomatal conductance and further affect

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Deleted: (Deleted:)Liang et al., 2015 the transpiration rate from the vegetation canopy. Using LAI, PML-V2(China) model simulated E_c and E_i components at the canopy scale. To avoid noise issues caused by clouds, shadows, snow, and so on, MODIS LAI in this study has been smoothed by the weighted Whittaker smoother which can deal with underestimation and inefficiency issues (Kong et al., 2019). However, there are still underestimates in the sparse vegetation areas. This may explain why the ET and GPP estimates are poor in BSV (Fig. 7 and Fig. 8).

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Additionally, downscaling uncertainties could be also introduced by the bilinear interpolation method which has been applied to minimize the footprint impact of coarse meteorological inputs, such as CMFD (Fig. 1). This approach depends only on nearby grid cells to downscale, which could neglect the other relative supports. For instance, precipitation is not only related to the surrounding precipitation but also location and terrain (e.g., elevation and aspect) (Yue et al., 2020). Chao et al. (2018)

605 found that gridded precipitation products in the high-altitude regions are far below what is inversely inferred by glacier mass balances. Consequently, the geographically weighted regression method coupled with a weighting function could work well to interpolate meteorological data (Chao et al., 2018).

5 Conclusions

This study developed a daily, 500m ET and GPP data product (PML-V2(China)) using the locally calibrated water-carbon
coupled model, PML-V2. The model has been well-calibrated against observations at 26 flux sites across nine plant functional types, and it performs satisfactorily in the cross-validation mode. More importantly, the plot- and basin-scale evaluations suggest that the newly developed product outperforms not only the global version of PML-V2 but also other mainstream RS-based ET and/or GPP products. With such a new product, we investigated spatial patterns and trends in ET and its components (E_c, E_i, E_s), GPP, and WUE from 2001 to <u>2018</u> across China. In short, the present PML-V2(China) product has the following
advantages: (i) the water output is tightly constrained by carbon flux; (ii) it has high spatial and temporal resolutions simultaneously; (iii) it obtains the improved accuracy in ET and GPP across different plant functional types because of the optimal parameter sets for China by exploiting 26 EC sites; and (iv) showing the better ability to reveal the ET and GPP for the croplands with the double-cropping system. In summary, we provide a novel daily and 500m resolution ET and GPP product across China, which can be used by research communities and various water and ecological departments for

620 operational applications.

Data availability statement

The product named PML-V2(China) with daily and 500m resolutions from February 26, 2000 to December 31, 2020 is freely available at the National Tibetan Plateau Data Center (http://dx.doi.org/10.11888/Terre.tpdc.272389, Zhang and He, 2022).

Deleted: To extend the simulation period, we used GLDAS-2.1 meteorological forcing data during 2019-2020 since the CMFD dataset is only up to 2018. To check if using these two datasets generates a systematic bias, we reran the PML-V2(China) in 2001-2018 using GLDAS-2.1 and compared the modelling results with those obtained using CMFP (Fig. S1). At the national scale, the mean *difference*, calculated by /, varied from -1.22% to 1.62% among E, E_c and GPP, and was 13.72% for E, and 78% for ET. The *difference* is within -25% ~ 25% in more than 66% of the research region for all five variables (Fig. S1b2-e2), specifically 100% for GPP, 95% for E, 84% for ET, 73% for E, and 66% for E, (Fig. S1b3-e3). This illustrates that PML-V2(China) using the GLDAS-2.1 in 2019-2020 does not generate a noticeable systematic deviation.

Deleted: 2020

Code availability statement

640 <u>The core source code of PML-V2(China) is available through the public GitHub repository</u> (https://github.com/SylviaHeee/PML-V2-China).

Author contributions

SH and YZ designed the research. SH collected the EC observations and other input data. DK provided the improved LAI data. SH wrote the paper. YZ, NM, JT, DK and CL revised the paper.

645 Competing interests

The authors declared that they have no conflict of interest.

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650 FLUXNET2015). We are grateful to the Google Earth Engine team for the data distribution and online computing. We thank NOAA for providing CO₂ concentration at ftp://aftp.cmdl.noaa.gov/products/trends/co2/co2_mm_gl.txt. We appreciate the China Meteorological Forcing Dataset shared at https://doi.org/10.6084/m9.figshare.c.4557599. Thanks to the Ministry of Water Resources of the People's Republic of China for providing the basin-wide precipitation and runoff data from the National Water Resources Bulletin at http://szy.mwr.gov.cn/gbsj/index.html.

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Variable	Dataset abbreviation	Spatial resolution	Temporal resolution	Temporal coverage	Principle or model	EC evaluation	Reference
ET	MOD16A2	500m	8-day	2001- present	РМ	72 EC sites in AmeriFlux (no using sites in China)	Mu et al. (2011)
ET	SEBAL	1000m	daily	2001-2018	one-source model of surface energy balance residual	8 EC sites in China	Cheng et al. (2021)
ET	GLEAM	0.25°	daily	1980-2020	P-T	91 global EC sites (including 8 sites in China)	Miralles et al. (2011a) and Martens et al. (2017)
GPP	MOD17A2H	500m	8-day	2000- present	LUE	Not performed.	Running et al. (2015)
GPP	VPM	500m	8-day	2000-2019	LUE	113 global ECsites (including8 sites in China)	Zhang et al. (2017), Zhang et al. (2017); Zhang et al. (2021)
GPP	EC-LUE	0.05°	8-day	1982-2018	LUE	95 global ECsites (including7 sites in China)	Zheng et al. (2020)
ET, GPP	PML-V2 (Global)	500m	8-day	2000-2020	PML-V2	95 global EC sites (including 8 sites in China)	Zhang et al. (2019)
ET, GPP	PML-V2 (China)	500m	daily	2000-2020	PML-V2	26 EC sites in China	This study

Table 1: Summary of typical ET and GPP products with high temporal and/or high spatial resolutions.





Figure 1: Flowchart of EC flux and AWSs data pre-processing and PML-V2 model processing which is used to convert RS images and meteorological forcing images into GPP, E_c, E_i, E_s, E_w, and ET. For pre-processing part: NEE (Net Ecosystem Exchange, µmol m⁻² s⁻¹), LE (Latent heat, W m⁻²), Rg (incoming radiation, W m⁻²), rH (relative humidity, %), Ta (air temperature, °C), and QC (Quality Control). For the PML-V2 model part: Tmax (daily maximum temperature, °C), Tavg (daily mean temperature, °C), Pa (atmosphere pressure, kPa), U (wind speed at 10-m height, m s⁻¹), q (specific humidity, kg kg⁻¹), Prcp (precipitation, mm d⁻¹), RI (inward longwave solar radiation, W m⁻²), RS (inward shortwave solar radiation, W m⁻²), Pi (the difference of Prcp and Ei, mm d⁻¹), Es_eq (equilibrium evaporation, mm d⁻¹), ET_w (evaporation from water body, snow and ice, mm d⁻¹) and GEE (Google Earth Engine).





Figure 2: Geographical locations of 26 EC flux towers for nine major IGBP PFTs, the main rivers_a and the ten major river basins in China. Overlain are 20-year mean annual aridity index (AI) values during 2001-2020 using GLDAS-2.1, that is, the ratio of annual precipitation to Penman potential evapotranspiration. PFTs shown in legend are ENF (Evergreen Needleleaf Forests), EBF (Evergreen Broadleaf Forests), MF (Mixed Forests), OSH (Open Shrublands), SAV (Savannas), GRA (Grasslands), WET (Permanent Wetlands), CRO (Croplands), and BSV (Barren Sparse Vegetation).

Site code	Site name	IGBP	Latitude (°E)	Longitude (°N)	AP (mm yr-1)	AT (°C)	Time coverage	References
ARCJZ	Arou	GRA	38.0473	100.4643	521	-2.7	2013-2017	Liu et al. (2018)
BNXJL	Xishuangbanna rubber	EBF	21.9000	101.2667	1765	22.1	2013	Yu et al. (2021)
CF-CBF	Chinaflux Changbai forest	MF	42.4025	128.0958	608	4.3	2003-2010	Zhang et al. (2006a)
CF- HBG_S01	Chinaflux Haibei grassland	OSH	37.6653	101.3311	610	-5.9	2003-2010	Hui et al. (2021)
CF- HBG_W01	Chinaflux Haibei wetland	WET	37.6086	101.3269	616	-3.9	2004-2006	Zhang et al. (2020a)
CF-NMG	Chinaflux Neimengu grassland	GRA	43.3233	116.4036	387	1.2	2004	Hao et al. (2020)
CF-QYF	Chinaflux Qianyanzhou forest	ENF	26.7414	115.0581	1490	19.3	2004-2006	Wen et al. (2006)
CF-YCA	Chinaflux Yucheng	CRO	36.8290	116.5702	602	14.8	2006-2007	Zhao et al. (2021)
CN-Cng	Changling	GRA	44.5934	123.5092	364	6.5	2007-2010	Dong et al. (2011)
CN-Du2	Duolun_grassland (D01)	GRA	42.0467	116.2836	388	3.0	2006-2008	Chen et al. (2009)
CN-HaM	Haibei Alpine Tibet site	GRA	37.6975	101.2733	534	-4.0	2002-2004	Kato et al. (2006)
DMCJZ	Daman	CRO	38.8555	100.3722	163	9.2	2017	Liu et al. (2018)
DSLZ	Dashalong	WET	38.8399	98.9406	346	-8.3	2015-2018	Liu et al. (2018)
DXZ	Daxing	CRO	39.6213	116.4271	547	12.7	2010	Liu et al. (2013)
DYKGTSLZ	Dayekouguantan forest	ENF	38.5337	100.2502	228	0.2	2010-2011	Li et al. (2009)
GTZ	Guantao	CRO	36.5150	115.1274	433	14.0	2008	Liu et al. (2013)
HLZ	Huailai	CRO	40.3491	115.7880	377	10.2	2014	Liu et al. (2013)

Table 2: Details of 26 EC flux towers employed in this study. Note that AP indicates mean annual precipitation and AT refers to mean annual temperature in its observed period.

HZZHMZ	Huazhaizi Desert Steppe	BSV	38.7659	100.3201	167	8.7	2017	Liu et al. (2018)
MYZ	Miyun	CRO	40.6308	117.3233	584	9.0	2008	Liu et al. (2013)
QZ-BJ	Tibetan Plateau BJ	GRA	31.3688	91.8988	460	0.2	2011-2013	Ma et al. (2020)
QZ- NAMORS	Tibetan Plateau NAMORS	GRA	30.7730	90.9632	405	-0.3	2008-2009	Ma et al. (2020)
QZ-QOMS	Tibetan Plateau QOMS	BSV	28.3607	86.9491	199	1.2	2015	Ma et al. (2020)
YJGRHG	Yuanjiang dry-hot valley	SAV	101.2667	21.9000	876	20.2	2014	Yang et al., (2021)
YKGQLZZ	Yingke	CRO	38.8569	100.4103	85	8.3	2011	Liu et al. (2018)
YKZ	Yakou	GRA	38.0142	100.2421	484	-1.2	2016-2018	Liu et al. (2018)
ZYSDZ	Zhangye wetland	WET	38.9751	100.4464	146	8.8	2013-2018	Liu et al. (2018)



1045 Figure 3: Scatter plots between the observed ET and GPP against PML-V2(China) simulations in calibration and cross-validation modes: daily comparisons in the left panels and site mean comparison in the right panels.



Figure 4: Comparison of ET and GPP between PML-V2(China) model calibration and validation across ten PFTs.



Figure 5: The daily ET simulated by PML-V2(China) in calibration mode and the observed daily ET variation in time series from 26 EC sites (see Figure 2) across China. 'ALL' represents the site mean value for each EC site.



Figure 6: The daily GPP simulated by PML-V2(China) in calibration mode and the observed daily GPP variation in time series from 26 EC (see Figure 2) sites over China. 'ALL' represents the site mean value for each EC site.

Scale	Variable	Models	NSE	R	RMSE	Bias
daily	ET	PML-V2(China)	0.66	0.84	0.33	-7.97
		GLEAM	0.44	0.69	1.04	-14.45
		SEBAL	-7.10	0.16	3.95	5.31
8-day	ET	PML-V2(China)	0.74	0.87	0.66	-11.54
		PML-V2(Global)	0.62	0.80	0.81	-5.05
		MOD16A2	0.37	0.63	1.07	-10.90
<u>daily</u>	<u>GPP</u>	PML-V2(China)	<u>0.76</u>	<u>0.87</u>	0.87	<u>-0.82</u>
8-day	GPP	PML-V2(China)	0.75	0.87	1.93	-6.51
		PML-V2(Global)	0.68	0.82	2.17	-1.74
		MOD17A2H	0.49	0.78	2.74	-38.79
		EC-LUE	-0.04	0.35	3.91	-41.91
		VPM	0.21	0.60	3.41	-8.21

Table 3: Statistical indicators of PML-V2(China) and other models for simulating ET and GPP at 26 EC flux towers. *NSE* and *R* values are unitless. The unit of *RMSE* for ET is mm d⁻¹ while it is g C m⁻² d⁻¹ for GPP. The unit of *Bias* is %.



Figure 7: Statistical indicators of PML-V2(China) and other models for estimating ET at each PFTs. Open and solid dots represent PML-V2(China) estimated ET in cross-validation mode and other models. For the daily temporal resolution of GLEAM and SEBAL, PML-V2(China) is also daily scale; while for 8-day resolution of PML-V2(Global) and MOD16A2, the referred PML-V2(China) is upscaled to 8-day. Note that '(+)' indicates the model's simulation statistics dot is more than the upper bound while '(-)' indicates the model's simulation statistics dot is less than the low bound.



Figure 8: Statistical indicators of PML-V2(China) and other models for estimating GPP at each PFTs. Open and solid dots represent 1075 PML-V2(China) estimated GPP in cross-validation mode and other models. PML-V2(China) is upscaled to 8-day to compare with the 8-day resolution of PML-V2(Global), MOD17A2H, EC-LUE, and VPM. Note that '(+)' indicates the model's simulation statistics dot is more than the upper bound while '(-)' indicates the model's simulation statistics dot is less than the low bound.



1080 Figure 9: Annual evapotranspiration (ET) of (a) PML-V2(China), (b) PML-V2(Global), (c) MOD16A2, (d) GLEAM, and (e) SEBAL plotted against the water-balanced derived ET (ET_{wb}) values for ten major river basins over China during 2003–2013. The boxplot in (f) shows a multi-year mean of the five ET products above per river basin.



Figure 10: Spatial pattern of mean annual ET, E_c, E_i, E_s and their annual variation during 2001–2018. In all insets, the shaded areas
 represent the 95% confidence interval based on the linear regression modelling. The number in the parentheses of each inset is mean
 ± standard deviation of the annual simulated variables during the 18 years.



Deleted: Figure 10: Spatial pattern of mean annual ET, $E_{ex} E_{iy} E_{a}$ and their interannual changes during 2001–2020. In all insets, the shaded area represents the standard deviation of the simulated data. The number in the parentheses of each inset is mean \pm standard deviation in the past 20 years over China.



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 Figure 11: Spatial pattern of mean annual GPP, WUE and their annual variation during 2001–2018. In all insets, the shaded areas represent the 95% confidence interval based on the linear regression modelling. The number in the parentheses of each inset is mean ± standard deviation of the annual simulated variables during the 18 years.

Deleted: Figure 11: Spatial pattern of mean annual GPP and WUE and their interannual changes during 2001–2020. The shaded area in the inset represents the standard deviation of the simulated data. The number in the parentheses of each inset is mean ± standard deviation in the past 20 years over China.





1105 Figure 12: The intra-annual variation of (a) ET at three crop-rotation stations between the observed and the simulated by PML-V2(China) in validation mode, PML-V2(Global), SEBAL, GLEAM, and MOD16A2, respectively; (b) GPP at three crop-rotation stations between the observed and the simulated by PML-V2(China) in validation mode, PML-V2(Global), EC-LUE, VPM, and MOD17A2H, respectively. The blue dotted lines above pass through the lowest values between the two peaks of the observed ET or GPP per year. Note that all variables are averages every 8 days although units are per day.