Improved global sea surface height and currents maps from remote sensing and in situ observations

Maxime Ballarotta¹, Clément Ubelmann², Pierre Veillard¹, Pierre Prandi¹, Hélène Etienne¹, Sandrine Mulet¹, Yannice 5 Faugère¹, Gérald Dibarboure³, Rosemary Morrow⁴ & Nicolas Picot³

 ¹Collecte Localisation Satellites, 31520 Ramonville-Saint-Agne, France
 ²Datlas, 38400 Saint Martin d'Hères, France
 ³Centre National d'Études Spatiales, 31400 Toulouse, France
 ⁴Centre de Topographie des Océans et de l'Hydrosphère, Laboratoire d'Etudes en Géophysique et Océanographie Spatiale, CNRS, CNES, IRD, Université Toulouse III, Toulouse, France

Corresponding author: M.Ballarotta (mballarotta@groupcls.com)

Abstract.

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- 15 We present a new gridded sea surface height and current dataset produced by combining observations from nadir altimeters and drifting buoys. This product is based on a multiscale & multivariate mapping approach that offers the possibility to improve the physical content of gridded products by combining the data from various platforms and in resolving a broader spectrum of ocean surface dynamic than in the current operational mapping system. The dataset covers the entire global ocean and spans from 2016-07-01 to 2020-06-30. The multiscale approach decomposes the
- 20 observed signal into different physical contributions. In the present study, we simultaneously estimate the mesoscale ocean circulations as well as part of the equatorial wave dynamics (e.g., tropical instability and Poincaré waves). The multivariate approach is able to exploit the geostrophic signature resulting from the synergy of altimetry and drifter observations. Sea level observations in Arctic leads are also used in the merging to improve the surface circulation in this poorly mapped region. A quality assessment of this new product is proposed against the DUACS with regard to
- 25 <u>an</u> operational product distributed in the Copernicus Marine Service. We show that the multiscale & multivariate mapping approach offers promising perspectives for reconstructing the ocean surface circulation: leads observations contribute to improve the coverage in delivering gap free maps in the Arctic; drifters observations help to refine the mapping in regions of intense dynamics where the temporal sampling must be accurate enough to properly map the rapid mesoscale dynamics; overall, the geostrophic circulation is better mapped in the new product, with mapping
- 30 errors significantly reduced in regions of high variability and in the equatorial band; the <u>effective resolution</u>resolved scales of this new product is <u>henceare</u> therefore between 5% and 10% finer than the Copernicus product.

1 Introduction

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Several oceanographic applications (e.g., operational oceanography, marine weather, climate monitoring...) rely on highquality observational datasets. The European Union (E.U.) Copernicus Marine & Climate Change Services provide operational services and indicators on the observed state of the climate. Sea level and surface currents are, among others, key

variables distributed by the services. There are also listed as Essential Climate Variables (ECVs) for the detection of climate change and the characterization of climate system variability (Bojinski et al., 2014).

As part of the Copernicus Services, the <u>Sea Level</u> Thematic Assembly <u>CentresCentre</u> (SL-TAC<u>& MOB TAC</u>) <u>deliver</u>) <u>delivers</u> near-real time and delayed time sea level and surface currents products (<u>satellite and in situ level-along-track Level-</u>

- 40 3 and gridded Level-4 products) that are used by the ocean science community to study, understand and monitor the evolution of the ocean system. These products do not resolve the entire spectrum of the ocean surface variability; they have resolution limits of about 60 km for the along-track products (Dufau et al., 2016) and >200 km x 20 days for the Level 4 gridded products (Ballarotta et al., 2019), but recent nadir altimetry instruments, such as the new Sentinel-3A and 3B SAR missions, or future missions based on large swath technologies (e.g., the upcoming Surface Water and Ocean Topography
- 45 SWOT mission) offer, for example, the possibility of observing finer ocean structures (Morrow et al., 2019) which could be used to provide better gridded product resolution.

In addition, the growing needs to develop observing systems or methods with finer spatial scales / higher frequencies have been identified by the ocean scientific community and the Copernicus Services as R&D priorities to serve Copernicus marine users and decision-makers (see, e.g., Abdalla et al., 2021, or the "Copernicus Marine Service Evolution Strategy:

- 50 R&D priorities Version 5 June 30, 2021" document, <u>https://marine.copernicus.eu/sites/default/files/media/pdf/2021-09/CMEMS%20Service evolution strategy RD priorities v5-June-2021.pdf</u>, last-access: <u>2021100920221201</u>). Therefore, with the support of the French Space Agency (CNES), the development of new experimental products has been undertaken, aiming at improving the resolution of the current Level-3 and Level-4 Sea level products (Mulet et al. <u>20192021a</u>, Ballarotta et al., 2020, Ubelmann et al., 2022, Prandi et al., 2021) and preparing operational systems for the SWOT era (Ubelmann et al., 2022).
- 55 al., 2015, Ubelmann et al., 2021, Le Guillou et al., 2021, Beauchamp et al., 2020). The present study focuses on the development and assessment of experimental global gridded products based on a recent multiscale & multivariate mapping approach (Ubelmann et al., 2021, 2022) and applied to real Earth observations. We here investigate the possibility of improving the content of gridded products in combining the data from various platforms (in situ and satellite) and in resolving a larger spectrum of the ocean surface dynamic than in current operational products.
- 60 The paper is structured as follows: the data sources and merging methods used in this study are described in section 2. Section 3 presents the experiments and validation metrics. The quality assessment of the new products is proposed in section 4. The key results are then summarized in section 5.

2 Data & Methods

2.1 Data sources

65 The mapping method used in this study takes input data from remote sensing or and in situ observations, which are summarized in Table 1 and described below.

Product type	Global Altimeter SLA products	Arctic leads Altimeter SLA	Drifters' geostrophic
		products	velocity product
Product ref.	SEALEVEL_GLO_PHY_L3_REP_OBSERVATIONS_008_062	Experimental	AOML
Spatial	[0 <u>°E</u> :360] [_ °E] [90 <u>°S</u> :90 <u>°N</u>]	>60°N	[0 <u>°E</u> :360] [- °E]
coverage			[90 <u>°S</u> :90 <u>°N]</u>
period	20160115-20200630 From 2016-01-15 to 2020-06-30	20160115-20200630From 2016-	20160115-
		<u>01-15 to 2020-06-30</u>	20200630From 2016-0
			<u>15 to 2020-06-30</u>

Table 1: List of observation datasets used in this study

2.1.1 Sea level anomaly products

The global ocean <u>Sea Surface Height (SSH)</u> observations are from the (Delayed-Time DT) Level-3 altimeter satellite along-track data, reprocessed in 2021 and distributed by the E.U. Copernicus Marine Service (product reference SEALEVEL_GLO_PHY_L3_MY_008_062, https://doi.org/10.48670/moi-00146). These data cover the period 19930101-20201231 from <u>1993-01-01</u> to 2020-12-31 over the world ocean (excluding ice-covered areas, e.g., Figure 1) and are available at a sampling rate of 1Hz (~7km1 Hz (~7 km spatial spacing). Homogenisation and cross-validation are applied to the dataset to remove any residual orbit error, long-wavelength error (lwe), large-scale biases and discrepancies between different data streams. The list of geophysical and environmental corrections applied to the data setsdatasets is described in the Quality Information Document (Taburet et al., 2021) and summarized below in Equation (1). In this study, unfiltered sea level anomalies (SLA) corrected with dynamic atmospheric correction (dac), ocean tide and lwe corrections are considered in the multi-scale/ & multivariate mapping.

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$$SLA = Orbit - Range - \sum (Environmental Corrections) - \sum (Geophysical Corrections) - Mean Sea Surface$$
 (1)

with ∑ (Environmental Corrections) = wet tropospheric + dry tropospheric + ionospheric + sea-state-bias, ∑ (Geophysical Corrections) = solid earth tide + load tide + ocean tide + pole tide + dynamic atmospheric correction. (see Taburet et al., 2021, for the references associated to each mission corrections). The Mean Sea Surface used here is the CNES-CLS18 (Mulet et al., 20212021b).

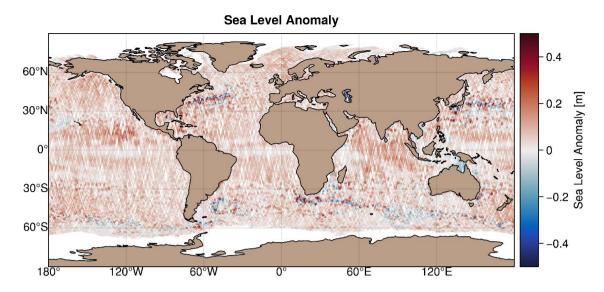


Figure 1: Example of sea level altimetry coverage for a 7-day period (20190701-20190707).from 2019-07-01 to 2019-07-07). Colour scale represents the sea level anomaly amplitude in meters. For this time interval, data originate from six altimeters: Jason-3, Sentinel-3A, Sentinel-3B, SARAL/Altika, Cryosat-2, Haiyang-2A

2.1.2 Sea level anomaly products in artic leads

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To fill In the polar gaps and improve SSH maps in ice covered areas, specific Arctic Level 3 SSH products regions satellite sea level observations are also used in the mapping. These products coverlimited by the Arctic region (upsea ice. Thanks to 88°N) and are available at a sampling rate of 20Hz (~350 metres) for 3 altimetry missions: SARAL/AltiKa, Sentinel 3A and 95 CryoSat 2 (Figure 2 & Table 2). The Level 2 datadedicated processing, sea level can however be estimated within fractures in the ice (leads). The echoes from the altimeters over the ice-covered region are classified to identify peaky waveforms corresponding to lead echoes. Range estimation is described in then made with specific retracking methods, and it is corrected from instrumental and geophysical corrections to get sea level anomaly (Prandi et al. (2021)). To ensure continuity with the open ocean, the corrections are derived from the global ocean Level-3 along-track processing (Taburet et al., 2021) 100 when possible. The noticeable exceptions concern 1) the wet tropospheric correction that comes from ECMWF the European Centre for Medium-Range Weather Forecasts (ECMWF) model since on-board radiometer estimates are not reliable over ice, 2) the sea state bias correction is not applied since waves and winds are considered small over leads, 3) orbit error corrections are not applied as they are difficult to compute over this small region. Then a constant bias of ~8cm is applied for each mission to ensure continuity with DUACS DT2021 open ocean SLA.the SEALEVEL GLO PHY L3 MY 008 062 105 open ocean SLA previously described. These products cover the Arctic region (up to 88°N) at a sampling rate of 20 Hz (~350 metres) for 3 altimetry missions: SARAL/AltiKa, Sentinel-3A and CryoSat-2 (Figure 2 & Table 2).

Table 2: Arctic leads product characteristics

Altimeter	SARAL/Altika	Sentinel-3A	CryoSat-2
Latitude max.	81,5° <u>N</u>	81,5° <u>N</u>	88° <u>N</u>
Retracking	Adaptive (LRM)	TFMRA 50% (SAR)	TFMRA 50% (SAR)

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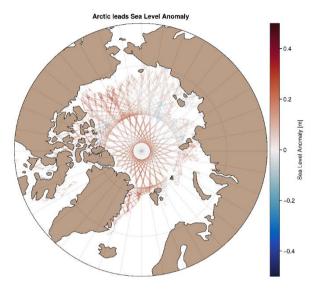


Figure 2: Example of arctic leads sea level altimetry coverage for a 7-day period (20190701-20190707).from 2019-07-01 to 2019-07-07). Colour scale represents the sea level anomaly amplitude in meters

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2.1.3 Geostrophic current anomaly products

WeTo further constrain the surface circulation, we used delayed-time horizontal surface velocities from the NOAA's Atlantic Oceanographic and Meteorological Laboratory (AOML) Surface Velocity Program (SVP, Lumpkin and Centurioni, 2019). The data cover the entire world ocean and are available at a 6-hour frequency. SVP are designed to follow the 15m15 m depth circulation, which is the centre depth of their drogues. When the drogue is lost, they follow the surface current, but are also under the direct influence of the wind.

_AOML distributes a flag to indicate whether the drogue is lost or not (Lumpkin et al, 2013). These data are also distributed by the INSITU Thematic Assembly Centre of the E.U. Copernicus Marine Service (see Product User Manual, http://marine.copernicus.eu/documents/PUM/CMEMS-INS-PUM-013-044.pdf) with an additional wind slippage correction

for undrogued buoys derived from the Rio (2012) methodology. For the study, the undrogged and drogued drifters are selected over the global ocean and the period from 201606012016-06-01 to 202007312020-07-31. Note that for specific

experiments described hereafter, we excluded drifters' trajectories between -10°S and 10°N (e.g., Figure 3) to isolate and evaluate only the impact of the equatorial wave's mode in this region. In this study, As in Mulet et al. (2021a), we computed the geostrophic velocity anomaly components, which are defined as:

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$$U_{\text{anom}} = U_{\text{buoy}} - U_{\text{ekman}} - U_{\text{stokes}} - U_{\text{inertial}} - U_{\text{tidal}} - U_{\text{ahf}} - U_{\text{slip}} - U_{\text{mdt}}$$
(2)

$$V_{\text{anom}} = V_{\text{buoy}} - V_{\text{ekman}} - V_{\text{stokes}} - V_{\text{inertial}} - V_{\text{tidal}} - V_{\text{ahf}} - V_{\text{slip}} - V_{\text{mdt}}$$
(3)

135 With U_{buoy} (V_{buoy}) is the drifter's zonal (meridional) velocity. Each component is corrected from:

- the wind-driven component U_{ekman} (V_{ekman}) using an update of the model used in Mulet et al (2021. (2021a) and described in Etienne (2021). The Ekman component is not available in the Mediterranean basin, so there is not drifter used in this region for the study. In this recent version, ERA5 wind stress (Hersbach et al, 2018) replaces the ERAinterim data and the equatorial symmetry of the wind driven parameters is removed.
- 140 The Stokes drift U_{stokes} (V_{stokes}) from ERA5 reanalysis (Hersbach et al, 2018) is also removed from the surface drifter velocity (undrogued drifters). No Stokes drift is removed from the 15m depth velocity, as this component is supposed to mostly vanish in the first 2-4 m.

- The wind slippage which is the direct effect of the wind on the buoy U_{slip} (V_{slip}). This correction is significant only in the case of drogue loss (Etienne et al, 2021), when the drifters are advected by the surface current.

Then the data is filtered from the tidal and inertial velocities $U_{inertial}+U_{tidal}$ ($V_{inertial}+V_{tidal}$) as well as the residual high frequency ageostrophic signal U_{ahf} (V_{ahf}). Finally, the mean geostrophic velocity (CNES-CLS2018, Mulet et al., 20242021b) U_{mdt} (V_{mdt}) is subtracted to obtain the geostrophic velocity anomaly.

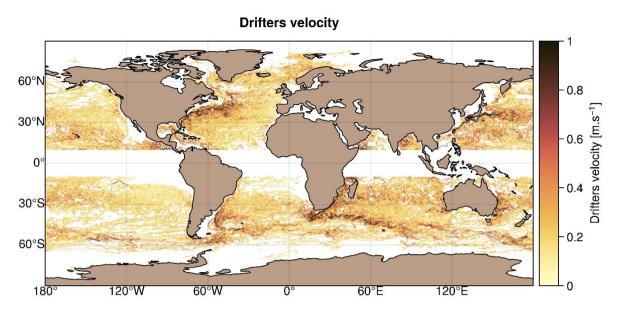


Figure 3: Example of drifter's trajectories coverage for the 201901012019-01-01 to 201912312019-12-31 period. Colour scale represents the velocity amplitude in m.s⁻¹

2.2 Methods

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Two mapping methods are compared in this study: the operational DUACS (Data Unification and Altimeter Combination System) mapping approach and the experimental MIOST multiscale & multivariate MIOST (Multiscale Inversion of Ocean Surface Topography) mapping approach. Each method is briefly described in the following sections.

155 <u>2.2.1 The detail in reference articles, such as Le Traon et al (1998, 2003), Ducet et al. (2000) or Pujol et al. (2016) for the DUACS mapping approach</u>

The DUACS mapping approach constructs a SSH field on a regular grid by combining measurements from various altimeters. It is based on a global suboptimal space-time objective analysis that considers along-track correlated errors as described for instance in Ducet et al., (2000) or Le Traon et al. (2003). The mathematical formulation, knows as Optimal

160 Interpolation, is described hereafter.

We assume a state to estimate, denoted x, and partial observations, denoted y, which can be related to the state by a linear operator H such as:

(4)

165 where ϵ is an independent signal (e.g., observation error) not related to the state. If we define B the covariance matrix of x and R the covariance matrix of ϵ , both variables being assumed Gaussian, then the linear estimate is written:

 $x^a = BH^{\mathcal{T}}(HBH^{\mathcal{T}} - R)^{-1}y \tag{5}$

The observation vector *y* represents the SLA observations. The state vector *x* is the gridded SLA. The operator *H* (formally a tri-linear interpolator transforming the gridded state SLA to the equivalent along-track SLA) is not considered explicitly. The matrices BH^T and HBH^T, representing the covariance of the signal in the (grid, obs) and (obs, obs) spaces, are directly written with the analytical formula of the Arhan and Colin de Verdière (1985) covariance model as described in Ducet et al., (2000), Le Traon et al. (2003) or Pujol et al. (2016). This covariance model is mainly optimized for mesoscale signal reconstruction. The *R* matrix represents the representativity and instrumental errors. Since the covariance of mesoscale SLA
is assumed to vanish beyond a few hundreds of kilometres in space and beyond 10–20 days in time (Le Traon & Dibarboure, 2002), separate inversions are performed locally selecting observations over time and space windows adjusted to these values. In practice, since the number of observations is limited to less than 1000, the inversion in observation space is computationally manageable. More details on the map production are given in Pujol et al. (2016). In DUACS, the geostrophic current (U_a, V_a) is then directly derived from the mapped SSH:

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$$\frac{U_g(x,y) = \frac{g \; \partial SSH(x,y)}{f_{\bar{e}} \quad \partial y}}{V_g(x,y) = \frac{g \; \partial SSH(x,y)}{f_{\bar{e}} \quad \partial x}}$$
(6)

where g is the gravity, f_{z} is the Coriolis frequency, which is a function of latitude.

2.2.2 A multiscale & multivariate mapping approach

The Optimal Interpolation requires the inversion of a matrix of the same size as the observation vector y. When the number of observations exceeds the size of the state to resolve, it can be interesting to use an equivalent formulation given by the Sherman-Morrison-Woodbury transformation, allowing an inversion in state space, with a matrix of the size of the state vector x,

$$-x^{a} = (H^{T}[R^{-1}H + B^{-1})]^{-1}H^{T}R^{-1}\gamma$$
(8)

(9)

190 The formulation of the multiscale & multivariate mapping algorithm is detailed in Ubelmann et al. (2022). We here recall the main-principle. We consider an extended state vector x composed by N physical components that will be later assumed independent (method; and Ubelmann et al. (2021, 2022) for the MIOST method. A description of the methods is given in Appendix A, and we propose hereafter to focus on the specific developments and processes that are considered in this study N=3 for 1) geostrophy and equatorial waves: 2) Tropical Instability Waves (TIW) and 3) Poincaré waves):

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 $x = (x_1^T, \dots, x_N^T)^T$

Each component $x_{\overline{k}}$ represents the state of the surface topography and surface current to be resolved in the grid space, noted $=(h_{\mu}^{\mp}, u_{\mu}^{\mp}, v_{\mu}^{\mp})^{T}$. The key aspect of the method is a rank reduction of the state vector, through a subcomponent decomposition, such as $x_{\overline{L}}$ can be written as: $-x_{k} = \begin{bmatrix} F_{k,h} \\ F_{k,k} \\ F_{k,k} \end{bmatrix} \eta_{k} = F_{k} \eta_{k}$ (10) 200 where η_{μ} is the reduced state vector for component k, $\Gamma_{\mu,\mu}$, $\Gamma_{\mu,\mu}$, and $\Gamma_{\mu,\mu}$ are the subcomponent matrices expressed in topography and currents, respectively. Note that for some components, one of the blocks can be set to zeros (e.g., if geostrophy component is considered with zero contribution on SSH, which is the case for the equatorial wave components). 205 Their concatenation is called Γ_{μ} which is the matrix transforming the reduced state vector in the grid space for topography and currents. In practice, $\Gamma_{\mathbf{z}}$ will be a wavelet decomposition of the time space domain, with elements of appropriate temporal and spatial scales to represent the component k. These wavelet scales, and their specified variance set with a diagonal matrix noted Q_{μ} , will define the equivalent covariance model B_{μ} in the grid space for component k: $B_{k} = \Gamma_{k} Q_{k} \Gamma_{k}^{T}$ (11)210The observation vector y is also extended to the observed surface topography and surface current noted $y = (h^{\theta \mp}, u_{\pm}^{\theta \mp})^{\frac{T}{2}}$. Then, if H_{μ} is the observation operator for component k (from grid space to observation space), we note $G_{\mu} = H_{\mu}\Gamma_{\mu}$ the subcomponent matrix expressed in observation space. In these conditions, the observation vector y is the sum of all component contributions plus the unexplained signal ∈ (instrument error and representativity), $-y = \sum_{k=1}^{N} G_{k} \eta_{k} + \epsilon$ 215 (12) $(\eta_{\pm}^T, ..., \eta_{\#}^E)^T$ for the concatenation of the subcomponent state vectors, and $G = (G_{\pm}, ..., G_{\#})$, then If we use the notation n =we have. $v = G\eta$ (13)220 Applying the same transformation from Equation -4, Equation 5, and Equation 8 to the reduced state vector n, the global solution is written: $\eta^a = (G^T R^{-1} G + Q^{-1})^{-1} G^T R^{-1} y$ (14)225

where Q is the covariance matrix of η , expressed as the concatenation of the diagonal matrices Q_{k} for each component.

$$x_a = \Gamma \eta^a \tag{15}$$

- 230 In practice, to solve Equation 14, each block of *G* is directly filled from the analytical expression of the reduced space elements constituting the columns of the matrix. Also, in many situations, the $(G^{\mp}R^{-1}G + Q^{-1})^{-1}$ matrix, noted A hereafter, would be too large to be inverted (as required by Equation 14 explicitly). We use a preconditioned conjugate gradient method to solve $\eta = \Lambda^{-1}z$ where $z = G^{\mp}R^{-1}y$ is computed initially from *G* and the observation vector *y*. The algorithm involves many iterations of A\eta computations for updated η until convergence is reached (when A η approaches *z*). Note that
- 235 if A is too large to be written explicitly, the result An can still be computed in two steps from a matrix multiplication of Gthen of $G^{\underline{\tau}}$. Once the solution η is obtained, the projection in physical grid space given by Equation 15 is applied sequentially, by summing the analytical expression of the ripples applied to grid coordinates (the columns of Γ), separately for each component k. As in any inversion based on linear analysis, the result strongly relies on the choice of covariance models, here defined by the reduced elements of each component. The choices of these elements are discussed in the
- 240 following section focussing on the components assessed in this study: the It is important to mention that DUACS maps are constrained by a single scale covariance function (Ahran and Colin de Verdière, 1985; Le Traon et al., 1998) and focus mainly on the geostrophic circulation (i.e., processes with typical space and time scales > 100km, 10 days). Consequently, they do not resolve the full spectrum of ocean surface variability. It is for example the case for the equatorial surface dynamics (see, e.g., Figure 7). While slow Rossby waves are already resolved
- 245 within geostrophy in DUACS maps, faster equatorial waves such as Poincaré waves are filtered out, even though the spacetime coverage of altimetry data allows sampling of large-scale waves with periods of 4-10 days and more (Farrar and Durland, 2022). The multi-scale approach proposed by the MIOST method offers the possibility to solve some of the missing surface variabilities in DUACS, accounting for the covariances of various surface processes in a single inversion. The covariance functions in the MIOST system are expressed as wavelet modes and the inversion is performed in this space
 250 using a variational approach (Ubelmann et al, 2021). In the following, we focus on the main components that have been tested in this study with the MIOST method: the geostrophy component already investigated in Ubelmann et al. (2021) and

two new components associated to the equatorial wave's dynamic.

The geostrophy and equatorial wave components.

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Geostrophy component

Geostrophy is the component that has a signature on both topography and currents, and on which some synergy between altimetry and drifter observations can be expected. We define here the gridded variable H_{\pm} to resolve, and the corresponding gridded geostrophic current field (U_{\pm}, V_{\pm}) writes

$$\begin{aligned}
\mathcal{U}_{\pm} &= -\frac{g}{f_{e}} \frac{\partial H_{\pm}}{\partial y} \\
\mathcal{V}_{\pm} &= \frac{g}{f_{e}} \frac{\partial H_{\pm}}{\partial x}
\end{aligned} \tag{16}$$

The proposed reduced state for geostrophy is based on an element decomposition of H_{\pm} , expressed by $\Gamma_{\pm,A}$ with wavelets of various wavelength and temporal extensions. This will allow to approximate the standard covariance models used in altimetry mapping, accounting for specific variations with wavelength and time. A given p element of the decomposition $\Gamma_{\pm,A}$ is expressed as follows:

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$$-F_{\pm,n}[i,p] = \cos(k_{x,p}(x_t - x_p) + k_{y,p}(y_t - y_p) + \Phi_p) * f_{tap}\left(\frac{x_t - x_p}{L_{x_p}}, \frac{y_t - y_p}{L_{y_p}}, \frac{t_t - t_p}{L_{t_p}}\right)$$
(17)

where the ith line of the matrix stands for a given grid index of coordinates (x_i, y_i, t_i) . For the ensemble of p, Φ_p is alternatively 0 and $\pi/2$, such as all subcomponents are defined by pairs of sine and cosine functions to allow the phase degree of freedom. $k_{x,p}$ and $k_{y,p}$ are zonal and meridional wavenumbers respectively, set to vary in the mappable mesoscale range (between 80 km and 900 km with a spacing inversely proportional to the wavelet extensions, allowing to represent a signal of any intermediate wavelength). (x_p, y_p, t_p) are the coordinates of a space-time pavement. The function f_{tap} localizes the subcomponent in time and space (at seales L_{t_p} , L_{x_p} and L_{y_p} , respectively) as geostrophy has local extension of covariances. It is expressed as:

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$$f_{tap}(\delta x, \delta y, \delta t) = \begin{cases} \cos\left(\frac{\pi}{2}\delta x\right)\cos\left(\frac{\pi}{2}\delta y\right)\cos\left(\frac{\pi}{2}\delta y\right), & for\left(|\delta x|, |\delta y|, |\delta y| < (1,1,1)\right) \\ 0, & elsewhere \end{cases}$$
(18)

In practice, L_{x_p} and L_{y_p} will be set to 1.5 the wavelength of element p and L_{t_p} to the decorrelation time scale. Then, the same element p of the decomposition has also an expression in geostrophic current (through the geostrophic relation Equation 16) written in the $\Gamma_{1,p}$ and $\Gamma_{1,p}$ matrices:

$$\begin{cases} \Gamma_{\underline{1},it}[i,p] = -\frac{g}{f_{\overline{e}}} \frac{\partial \Gamma_{\underline{1},h}[i,p]}{\partial y_{\overline{i}}} \\ \Gamma_{\underline{1},it}[i,p] = \frac{g}{f_{\overline{e}}} \frac{\partial \Gamma_{\underline{1},h}[i,p]}{\partial x_{\overline{i}}} \end{cases}$$
(19)

The whole time-space domain is paved with similar subcomponents, along coordinates (x_p, y_p, t_p) for wavelengths between 80 km and 900 km spanning in all directions of the plan. The ensemble can be seen as a wavelet basis. Finally, each subcomponent p is assigned an expected variance in the Q₊ matrix, consistent with the power spectrum observed from altimetry at the corresponding wavelength with isotropy assumption. For component follows the same formulation provided in Ubelmann et al. (2021) (see, their section 2.3.2.1, where the analytical formula of the ensemble of wavelet elements is given) and is also reported in Appendix A. The covariance function associated to the geostrophy component is plotted for a given point i on the time space grid (210°E, 5°N), the representer $\Gamma_{1,R}$ [i,:]Q₁ $\Gamma_{1,R}$ is plotted) on Figure 4<u>4a and 4c</u>, shown as a function of space (bottom left panel) and as a function of time (top left panel). It illustrates the equivalent This covariance

290 function of space (bottom left panel) and as a function of time (top left panel). It illustrates the equivalent This covariance function, which is quite likesimilar to what is currently used for altimetry mapping with DUACS.

In the present study, we simultaneously estimate the surface signatures of the geostrophy, and equatorial Tropical Instability Waves (TIW) and Poincaré waves. As for the geostrophy component, the equatorial waves covariances are expressed as a reduced wavelet basis with typical wavelength and propagation speed given in the literature (e.g., Shinoda et al., 2009; Farrar, 2008, 2011; Farrar and Durland, 2012; Tanaka and Hibiya, 2019). For Poincaré waves, we built an ensemble of wavelet between 10°S and 10°N which follow the dispersion relation (Matsuno, 1966):

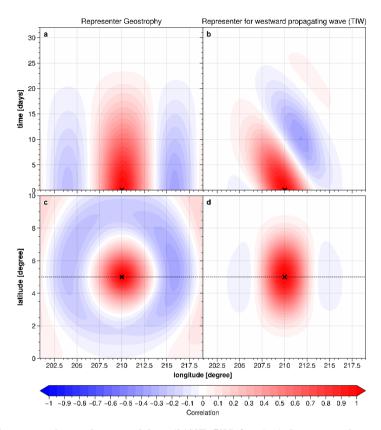
$$\omega = \sqrt{k^2 \cdot c^2 + \beta \cdot c} \cdot (2 \cdot n + 1)$$
(4)

300 where ω is the time frequency, $c = \pm 2.8 \text{ m. s}^{-1}$ is the Poincaré waves propagation speed (considered as a constant here), k the spatial wavenumber and n a positive integer defining the waves mode. The wavelets are localized with a Hamming window having half-widths of 1000 km in the zonal direction, 300 km in the meridional direction and 5 days in the temporal direction. For the TIW component, we also built an ensemble of wavelet between 10°S and 10°N which follow the dispersion relation (Matsuno, 1966):

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$$\omega = c \quad .k \tag{5}$$

where ω is the time frequency, c = -0.5 m. s⁻¹ is the TIW propagation speed (considered as a constant here), and k the spatial wavenumber. The wavelets are here localized with a Hamming window having half-widths of 500 km in the zonal direction, 300 km in the meridional direction and 20 days in the temporal direction. The covariance function for a westward propagation wave like TIW is illustrated on Figure 4b and 4d for a given point (210°E, 5°N), shown as a function of space
 (bottom right panel) and as a function of time (top right panel). Note that for Poincaré waves, both eastward and westward propagation are considered.OI inverted in observation space. A more detailed description of the equatorial wave's components implemented in MIOST is provided in the Appendix A.



315 Figure 4: Example of spatio-temporal covariance models at (210°E, 5°N) for a), c) the geostrophy component (left panel) and for b), d) a westward propagating wave component, e.g., TIW (right panel)

Equatorial waves component

320 We define here the gridded variable H_2 to resolve and we consider no contributions of the equatorial wave components on the geostrophic currents, therefore the corresponding gridded geostrophic current field- (U_2, V_2) -writes: $U_2=0, V_2=0$. The reduced state is represented in the time space domain by the following $\Gamma_{2,\mu}$ matrix:

$$\Gamma_{\underline{z},\underline{h}}[i,p] = \cos\left(\omega_{\underline{z},p}(t_l - t_p) - k_{\underline{x},p}(x_l - x_p)\right) * f_{tap}\left(\frac{x_l - x_p}{L_{\underline{x}_p}}, \frac{y_l - y_p}{L_{\underline{y}_p}}, \frac{t_l - t_p}{L_{\underline{t}_p}}\right)$$
(20)

325

where $k_{x,p}$ refers to the zonal wavenumber, and $\omega_{t,p}$ is the frequency which satisfies the dispersion relation of the wave component, e.g.:

$$\begin{cases} \omega_{t,p} = c_{wave} \cdot k_{x,p} \text{ for the TIW}, \ c_{wave} = -0.5 \ m.s^{-1} \\ \omega_{t,p} = \sqrt{k_{k,p}^2 \cdot c_{wave}^2 + \beta \cdot c_{wave} \cdot (2.n+1)} \text{ for the Poincaré waves, } c_{wave} = \pm 2.8 \ m.s^{-1} \end{cases}$$
(21)

With c_{ware} the wave propagation speed (the sign indicating the direction of propagation, negative for westward, positive for eastward), β the meridional gradient of the Coriolis frequency f_c, and n = 1,2,3
 In the present study, we chose L_{tp} = 20 days, L_{xp} = 500 km and L_{yp} = 300 km for the TIW component; L_{tp} = 5 days, L_{xp} = 500 km and L_{yp} = 300 km for the geostrophy component, the function f_{tap} localizes the subcomponent in time and space (at scales L_{tp}, L_{xp} and L_{yp}, respectively).

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For a given point i on the time space grid (210°E, 5°N), the representer $\Gamma_{2,\mu}$ [i,:]Q₂ $\Gamma_{2,\mu}$ for a westward propagation wave like TIW is plotted on Figure 4, shown as a function of space (bottom right panel) and as a function of time (top right panel). It illustrates the equivalent covariance function for a westward propagation wave like TIW. Note that for Poincaré waves, both eastward and westward propagation are considered.

340 3 Experiments and validation metrics

3.1 Experiments

We produced 4 years (<u>from</u> 2016-07-01 to 2020-06-30) of SSH maps using the MIOST multiscale & multivariate approach by combining the Level-3 altimeter dataset from SARAL/Altika, Envisat, Jason-1, Jason-2, Jason-3, Cryosat-2, Haiyang-2A, Haiyang-2B, Sentinel-3A, Sentinel-3B missions, the Level-3 arctic leads sea-level anomaly products from SARAL/AltiKa,

345 Sentinel-3A and CryoSat-2 missions and geostrophic current anomaly data from AOML drifter database. <u>These MIOST</u> products are available on the AVISO+ (Archivage, Validation et Interprétation des données des Satellites Océanographiques) website (see section 6: Data availability, for more details).

Specific maps were also made to quantitatively assess the quality of thethese MIOST products: Table 3 summarises the list

- 350 of experiments conducted in this study, indicating the input data used in the mapping and the physical content of the maps. DUACS allsat-1 and MIOST allsat-1 experiments focus on the geostrophic variability. These SSH maps for the period 2019-01 01 to 2019 12 31 were produced with the available altimeterfrom six altimeters (Jason-3, Cryosat-2, Sentinel-3A, Sentinel-3B, Haiyang-2A, Haiyang-2B) and drifter data, for the period 2019-01-01 to 2019-12-31, excluding one along track altimeter (Saral/Altika, over open ocean region) and from the mapping to perform independent assessments. The MIOST
- 355 <u>allsat-1 80% drifters + equatorial waves+ L3 arctic</u> experiment focuses on the geostrophic and equatorial waves variabilities. This experiment is based on 1) 80% of the drifter data, 2) the six altimeters previously mentioned over ocean and 3) leads altimeter observations. The Saral/Altika dataset (*over open ocean region*) and the remaining 20% of the drifter

trajectories were here excluded from the mapping to perform independent assessments. Note that for these specific maps, drifter trajectories between -10°S and 10°N (e.g., Figure 3) were <u>also</u> excluded to evaluate only the impact of the equatorial
wave's mode in this region. A twin experiment was also conducted with the optimal interpolation method used by the DUACS operational system, allowing the comparison between the multi-scale approach and the operational method chosen in the Copernicus Marine Service. Table 3 summarises the list of experiments conducted in this study, specifying the input data used in the mapping and the physical content of the maps.

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1	able 3: List o	of mapping experime	nts with the input d	lata and physical c	ontent considered

	Input data			Physical content		
EXP <u>Experiment</u>	altimeter	drifters	L3 arctic	geostrophy	equatorial waves	
EXP01: DUACS allsat-1	All w/o Altika	No	No	Yes	No	
EXP02: MIOST allsat-1	All w/o Altika	No	No	Yes	No	
EXP03: MIOST allsat-1 80% drifters	All w/o Altika	Yes (80%)	Yes	Yes	Yes	
+ equatorial waves+ L3 arctic						

3.2 Validations metrics

The validation metrics are based on statistical and spectral analysis.

One quantitative assessment is based on the comparison between SSH maps and independent SSH along-track data. This
diagnostic follows 3 main steps: 1) the SSH gridded data is interpolated to the locations of the independent SSH along-track, geo-referenced by their longitude, latitude, and time; 2) the difference SSH_{error} = SSH_{map}. SSH_{alongtrack} is calculated and 3) a statistical analysis on the SSH_{error} is performed in 1° × 1° longitude × latitude boxes. Prior to the statistical analysis, a filtering operation can be applied to isolate the spatial scales of interest. For example, the analysis can be performed over the spatial range [65km:500km65 km:500 km] typically representative of the medium mesoscale ocean signal. This excludes the noisy part of the reference signal (along-track) as well as possible large-scale biases (scale > 500km500 km). In the study, the validation metric is based on the error variance scores in 1°x1° longitude x latitude boxes (or averaged over specific region of interest), defined as:

$$\sigma_{err}(x,y) = \frac{\sum_{t=1}^{N} \left(SSH_{error}(x,y,t) - \overline{SSH_{error}(x,y,t)}\right)^{2}}{N}$$
(22)

$$\sigma_{err}(x,y) = \frac{\sum_{t=1}^{N} \left(SSH_{error}(x,y,t) - \overline{SSH_{error}(x,y,t)}\right)^2}{N}$$
(4)

The similar statistical analysis can also be performed on the geostrophic velocity errors $U_{error} = U_{map}$. $U_{drifter}$, for the zonal component, and $V_{error} = V_{map}$. $V_{drifter}$, for the meridional component.

The comparison of the error variance score between two experiments informs about the gain or reduction Δ of the mapping error, for example:

$$\Delta = 100. \frac{\sigma_{err}(EXP2) - \sigma_{err}(EXP1)}{\sigma_{err}(EXP1)}$$

$$\Delta = 100. \frac{\sigma_{err}(EXP2) - \sigma_{err}(EXP1)}{\sigma_{err}(EXP1)}$$
(5)

The previous diagnosis is undertaken in physical space (space/time space). For a more descriptive assessment by wavelength 390 and to avoid spatio-temporal filtering of independent and study datasets, diagnostics can be performed in frequency space, using spectral analysis of SSH altimetry and gridded datasets. More specifically, a spectral analysis can be applied to altimetry data to estimate the effective resolution of gridded SSH products. It is described for example in Ballarotta et al. (2019). Here, we recall the main processing steps for the estimation of the effective resolution: 1) the SSH_{map} data are interpolated to the locations of independent SSH_{alonetrack} data, 2) the along-track and interpolated data are divided into 395 overlapping segments of 1500 km length every 300 km, 3) each segment is stored in a database and referenced by its median coordinates (longitude, latitude), 4) finally, between latitudes 90°N-90°S and longitudes 0°-360°E, we consider $10^{\circ} \times 10^{\circ}$ longitude \times latitude boxes for the global products every 1° increment. All available segments referenced in the 10° \times 10° box are selected to compute the mean power spectral densities of the independent signal (SSH_{alongtrack}) and the mapping error (SSH_{map-} SSH_{alonetrack}). Before the spectral calculation, the signals are detrended and a Hanning window is applied. The 400 signal-to-noise ratio (Equation 246) is then derived from the power spectral density of the PSD along the trace (SSH_{alonetrack}) and the power spectral density of the error (SSH_{map}- SSH_{alongtrack}). The As in Ballarotta et al. (2019), the effective resolution is then given by the wavelength λ s where the SNR(λ s) is 2 (Equation 257), i.e., the wavelength where the SSH_{error} is two times

lower than the signal SSH_{alongtrack}.

$$SNR(\lambda) = \frac{PSD(SSH_{along-track})(\lambda))}{PSD(SSH_{arror})(\lambda)}$$
(24)

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 $SNP(\lambda_s) = 2$		(25)
$\frac{SWR(AS) - 2}{2}$		(23)
DCD (CCU	> < 1 > >	

$$SNR(\lambda) = \frac{PSD(SSH_{along-track})(\lambda))}{PSD(SSH_{error})(\lambda)}$$
(6)

$$SNR(\lambda s) = 2$$
 (7)

4 Results

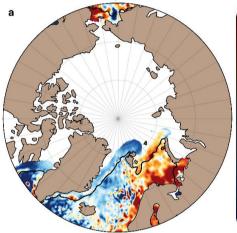
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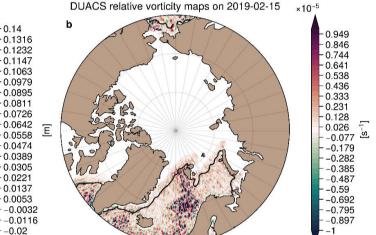
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410 4.1 Qualitative assessment

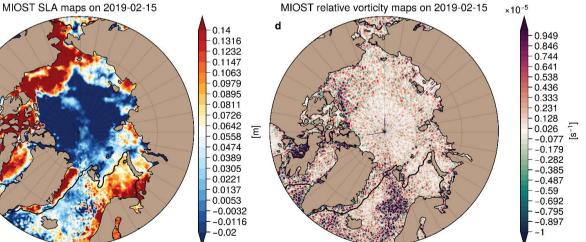
We here qualitatively assess the gridded products from the DUACS allsat-1 and MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic experiments. The SLA maps from the DUACS and MIOST mapping approaches are relatively similar in the subpolar region, as illustrated in Figure 5 by an example of SLA reconstruction on 2019-02-15 for a) the DUACS mapping approach and eb) the MIOST mapping approach. More significant differences take place in the Arctic basin: in contrast to the DUACS products, the use of arctic leads observations in MIOST offers the possibility to extend sea level mapping into ice-covered area and thus to deliver gap-free maps to the end-users (Figure 5c). Differences also appear in the relative vorticity field (Figure 5b and 5d): a more turbulent surface ocean circulation associated with finer structures is found in the maps constructed by the MIOST approach (Figure 5d) than in the DUACS maps (Figure 5b). 5b).

DUACS SLA maps on 2019-02-15





MIOST SLA maps on 2019-02-15



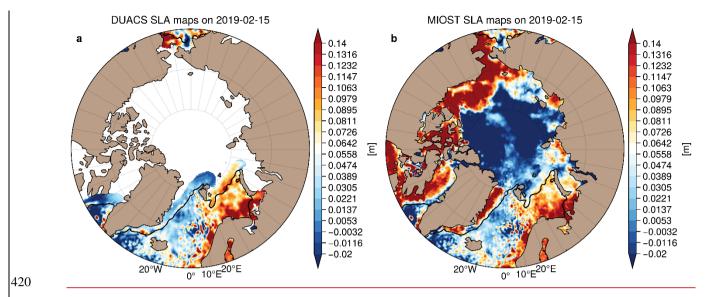
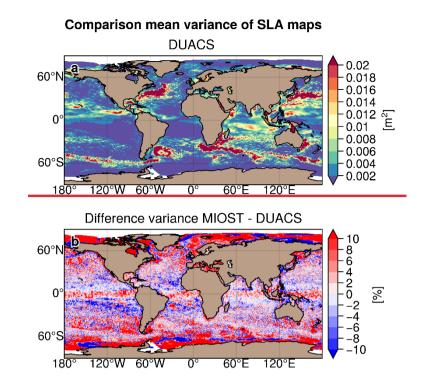


Figure 5: Example of sea level anomaly and relative vorticity-maps on 2019-02-15 over the Arctic region constructed with the DUACS mapping approach a) and b) and with the MIOST mapping approach c) and db). The black line contour indicates the 15% sea-ice concentration on 2019-02-15 from the OSI-SAF product

From a global perspective, the MIOST maps are indeed-slightly more energetic than the DUACS maps (as illustrated in Figure 6): with the variance maps and their differences. The difference between MIOST and DUACS variance maps (Figure 6b6c) indicates regions of higher variability in the MIOST maps (>10%) than in the DUACS maps, such as in the equatorial band, regions of low variability at mid-latitudes, coastal and polar regions. Tropical ocean regions are prone to lower SSH variability (10%) in the MIOST maps than in the DUACS maps.



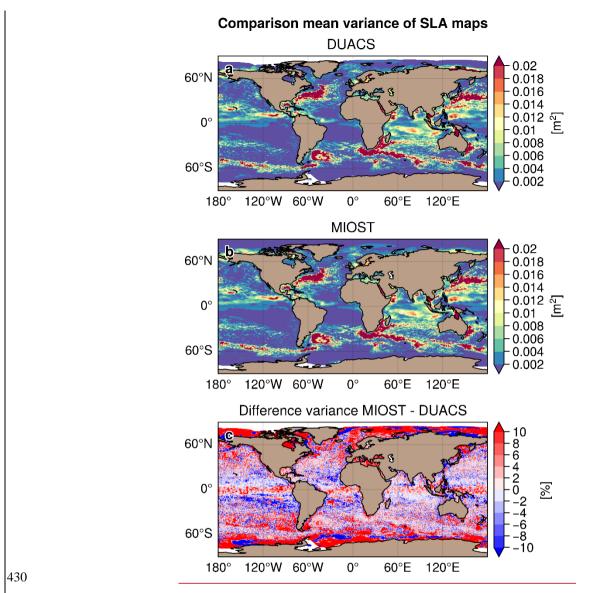
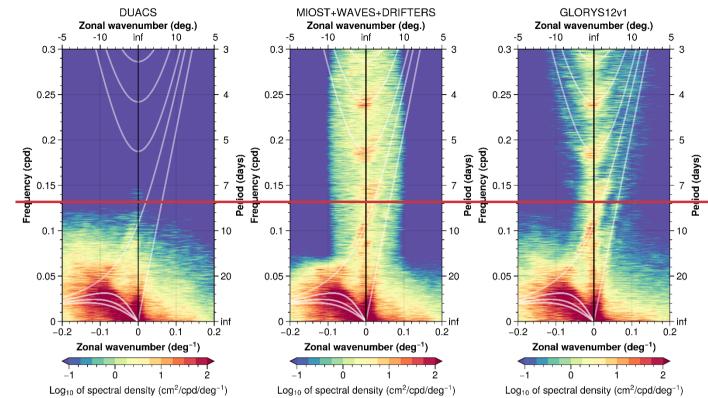


Figure 6: Variance (in m²) of sea level anomaly maps constructed with a) the DUACS approach, b) the MIOST approach and b) difference between the MIOST and DUACS variance maps expressed in %

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The large SSH variability in the equatorial band of the MIOST maps is mainly associated with the equatorial wave components. The zonal wavenumber-frequency spectrum of SSH in the Pacific has been investigated in several study (e.g., Shinoda et al., 2009; Farrar, 2008, 2011) to examine the SSH variability associated with Tropical and Equatorial waves. Figure 7 shows contours of the base 10 logarithm of power in the wavenumber-frequency space calculated from SSH in the equatorial Pacific (region [180°E-280°E] [10°S:10°N]) for the period 2008 to 2018, for a) DUACS, b) MIOST with equatorial wave modes and c) in the GLORYS12V1 reanalysis (Lellouche et al., 2018). The rapid equatorial wave dynamics are resolved in the GLORYS12v1 ocean numerical simulation (Figure 7c): the zonal wavenumber-frequency spectrum of the SSH in the Pacific reveals significant spectral peaks at periods close to 4 days, 5 days, and 7 days for a wavelength > 20° in longitude. These peaks are associated with inertia-gravity (Poincaré) waves. These SSH variabilities for time scales smaller than 10 days are filtered in the DUACS mapping approach (Figure 7a). In contrast, the MIOST multiscale mapping approach (EXP03*MIOST allsat-1 80% drifters + equatorial waves + L3 arctic*) resolves spectral peaks near 4 days, 5 days, and 7 days for wavelengths > 20° in longitude (Figure 7b). We show in the next section that parameterizing these equatorial wave modes in MIOST also contributes to significantly reduce the mapping error in this region. For time scale > 10 days, each dataset has relatively similar spectral contents, particularly the energetic westward propagation of equatorial Rossby waves

for negative wavenumbers.



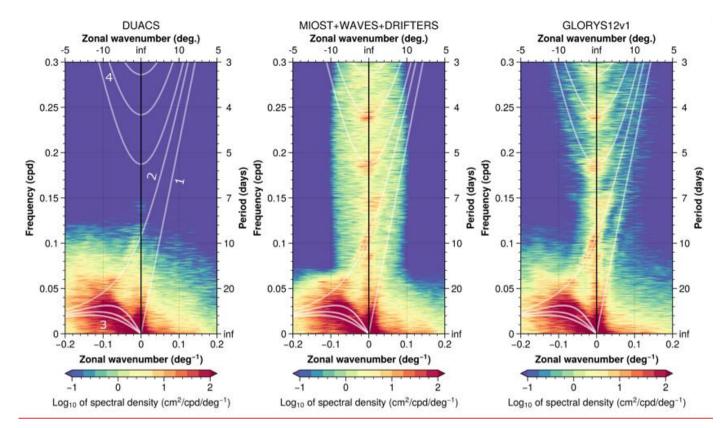


Figure 7: Zonal wavenumber–frequency spectrum of SLA in the Equatorial Pacific computed for a) DUACS, b) MIOST with equatorial wave modes and c) in the GLORYS12V1 reanalysis. White lines represent the theoretical dispersion relation curves for equatorial waves corresponding to the Kelvin₇[1], Yanai₇[2], Rossby [3] and Poincaré [4] waves.

455 **4.2 Quantitative assessment**

4.2.1 Mesoscale mapping assessments

The first assessment is a comparison of the EXP01-DUACS allsat-1 and EXP02 experiments (MIOST allsat-1 and DUACS allsat-1 experiments). Both experiments aim to map the mesoscale circulation from altimetry data only. The SARAL/Altika altimeter and drifter sensors are not included in the mapping forbut are used as independent evaluation validation.

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Sea level anomaly quality

The largest SSH mapping error σ_{err} in *DUACS allsat-1* reaches 50-100cm²100 cm² in the western boundary surface current and over the continental plateaus (Figures 8a and 8b). In the offshore low variability region, the error variance is < 10cm²10 cm². Figures 8c and 8d show the difference in mapping error between the EXP02<u>MIOST allsat-1</u> and EXP01<u>DUACS allsat-1</u>
465 experiments for all spatial and spatial scales between 65 and 500km500 km, respectively. Blue (red) pattern means a reduction (increase) of the mapping error in MIOST compared to DUACS. For all spatial scale considered, MIOST mapping errors are smaller than those of DUACS, especially at mid-latitude with an average reduction in mapping error between 5%

and 10%. The largest reduction in mapping error (~10%) is found in regions of high variability. In the inter-tropical region, MIOST and DUACS have similar scores. For spatial scale between 65 and <u>500km500 km</u>, MIOST mapping errors are

- 470 reduced by ~10% compared to DUACS in high variability region at mid-latitude. In low variability regions, the mapping error is between 3 and 4% smaller with MIOST than with DUACS, but the mapping errors are locally larger with MIOST than with DUACS: for example, in the Argentine Sea, in the Siberian plateau and New Zealand plateau. Table 4 summarises the results of the comparison over different regions of interest (arctic, Antarctic, equatorial band, low variability region, and high variability region). Overall, the geostrophic flows in the MIOST SSH maps are closer to the independent 475 SAPAL (AltiKa observations than those in DUACS maps).
- 475 SARAL/AltiKa observations than those in DUACS maps.

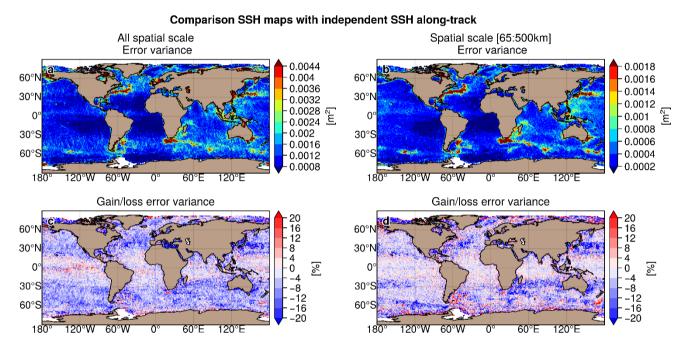


Figure 8: Variance of the difference SSH_{map}-SSH_{alongtrack} computed for the DUACS allsat-1 experiment and in considering a) all spatial scale and b) spatial scale between <u>65km65 km</u> and <u>500km500 km</u>. Gain/loss of the mapping error variance of SLA in MIOST allsat-1 experiment relatively to the DUACS allsat-1 mapping error variance for c) all spatial scale and d) scale between <u>65km65 km</u> and <u>500km500 km</u>. Blue colour means a reduction of error variance in MIOST.

Table 4: Regionally averaged mapping error variance and gain/reduction of error variance on the SSH variable between MIOST and DUACS

		All spatial scale		Spatial scale [65:500km]			
Region	Error variance DUACS [m ² cm ²]	Error variance MIOST [m²cm²]	Gain/loss error variance MIOST vs DUACS [%]	Error variance DUACS [m²cm²]	Error variance MIOST [m²cm²]	Gain/loss error variance MIOST vs DUACS [%]	
Arctic	0,0023 23,18	0,0023 23,17	<u>-0,0%02</u>	0,0007<u>7.07</u>	0,0007<u>6.84</u>	- 3,3% .31	
Antarctic	0,0033<u>33,07</u>	0,0031<u>31,13</u>	-5, 9%<u>86</u>	0,0008<u>7.86</u>	0,0008<u>7.65</u>	-2 ,6% .64	
Equatorial band	0,0014<u>14,07</u>	0,0014<u>13,96</u>	-0, 8% 80	0,0005<u>4.66</u>	0,0005<u>4.67</u>	<u>+0,3%.32</u>	

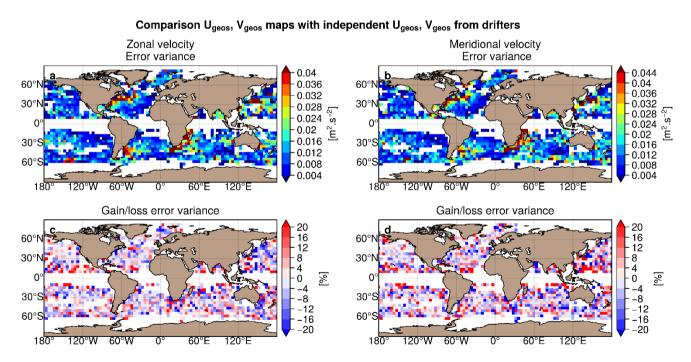
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Low variability - offshore	0,0013<u>12,54</u>	0,0012<u>11,81</u>	-5, 8% 83	0,0004<u>3.70</u>	0,0004<u>3.55</u>	-4 ,1% .11
High variability - offshore	0,0031<u>30,87</u>	0,0028<u>27,71</u>	-10, 2% 22	0,0014<u>14.28</u>	0,0013<u>12.87</u>	-9 ,9% . <u>86</u>

485

Geostrophic current quality

Figures 9a and 9b show the validation against the independent drifter velocity data in terms of mapping error σ_{err} for the zonal and meridional velocities. The largest mapping error σ_{err} in DUACS reaches 300 to 400em²400 cm².s⁻² in the western boundary surface current (e.g., the Gulfstream, the Kuroshio, Mozambique, and Agulhas currents). In offshore low variability region, the error variance is < 80em²80 cm².s⁻². The differences in mapping error between MIOST and DUACS are shown in Figures 9c and 9d for zonal and meridional velocities, respectively. Mapping errors are smaller in MIOST than in DUACS mainly in the core of the ocean gyres. In the intertropical region, the DUACS maps appear to be closer to the independent drifter velocities than MIOST. Table 5 summarises the results of the comparison over different regions of interest (arctic, Antarctic, equatorial band, low variability region, and high variability region). Overall, MIOST surface velocities are slightly closer to drifter velocities than the DUACS surface velocities.



500

Figure 9: Variance of the difference U_{map}-U_{drifter} computed for the DUACS allsat-1 experiment and in considering a) the zonal velocity component and b) the meridional velocity component. Gain/loss of the mapping error variance of currents in MIOST allsat-1 experiment relatively to the DUACS allsat-1 mapping error variance for c) the zonal velocity component and d) the meridional velocity component. Blue colour means a reduction of error variance in MIOST.

Table 5: Regionally averaged mapping error variance and gain/reduction of error variance on the surface currents between505MIOST and DUACS

		Zonal velocity		Meridional velocity		
Region	Error variance Error variance DUACS [m ² cm ² .s ⁻²] MIOST [m ² cm ² .		Gain/loss error variance MIOST vs DUACS [%]	Error variance DUACS [m ² cm ² .s ⁻²]	Error variance MIOST [m ² <u>cm²</u> .s ⁻²]	Gain/loss error variance MIOST vs DUACS [%]
Arctic	0,0153<u>153,17</u>	0,0149<u>148,78</u>	-2, 9% 87	0,0134<u>133,50</u>	0,0131<u>131,34</u>	-1, 6% 62
Antarctic	-	-	-	-	-	-
Equatorial band	-	-	-	-	-	-
Low variability - offshore	0,0130<u>130,36</u>	0,0129<u>128,52</u>	-1,4 <u>%42</u>	0,012 4 <u>124,36</u>	0,0123<u>123,20</u>	-0, 9% 94
High variability - offshore	0,0386<u>385,86</u>	0,0372<u>372,40</u>	-3, 5% 49	0,0410<u>409,75</u>	0,0404<u>403,54</u>	-1, 5% 51

4.2.2 Contribution of equatorial waves modes, and drifters' observations

The comparison of experiment EXP03 with EXP02 (*MIOST allsat-1 80% drifters + equatorial waves*+ *L3 arctic* with experiment *MIOST allsat-1*) examines the impact of the equatorial waves' mode and the drifters' observations in the MIOST mapping approach.

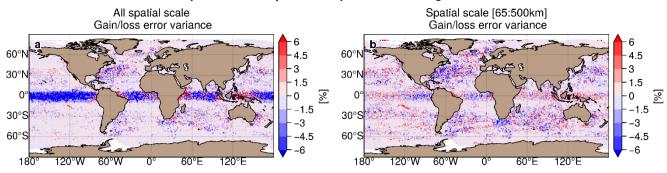
Sea level anomaly quality

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The difference in mapping error between EXP03MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic and EXP02MIOST allsat-1 are shown in Figures 10a and 10b for all spatial and spatial scales between 65 and 500km500 km, respectively. For all spatial scale considered, we observe that the equatorial waves modes locally reduce the mapping error in the equatorial band by more than 10%. However, coastal equatorial regions (e.g., Indonesian Archipelago, western and Eastern part of Africa and South America) are prone to deterioration. This suggest that the equatorial wave mapping is not adapted in these coastal regions where different ocean processes are at play. In extra-equatorial regions, we evaluate the impact of drifter observations in MIOST. This impact is moderate on the SLA mapping (a few % of difference in the 520 mapping error variance), with a reduction of error variance mainly in the high variability regions. For spatial scale between 65 and 500km500 km (Figure 10b), the equatorial waves modes deteriorate the mapping solution in the western and central

- Equatorial Pacific Ocean, in the Indian Ocean, while a reduced mapping error is found in the eastern Equatorial Pacific and the Equatorial Atlantic. In the extra-equatorial region, the impact of drifter observations remains moderate (with 1.5% error variance reduction in the high variability region). Overall, the drifters reduce the mapping errors primarily in regions of
- 525 intense dynamics where the temporal sampling must be sufficiently accurate to properly map the rapid mesoscale dynamics. Table 6 summarises the results of the comparison over different regions of interest (arctic, Antarctic, equatorial band, low variability region, and high variability region).

Comparison SSH maps with independent SSH along-track



530 Figure 10: Gain/loss of the mapping error variance of SLA in MIOST allsat-1 80% drifters + equatorial waves+<u>L3 arctic</u> experiment relatively to the MIOST allsat-1 mapping error variance for a) all spatial scale and b) scale between <u>65km65 km</u> and <u>500km500 km</u>. Blue colour means a reduction of error variance in MIOST when drifters are included in the mapping and with equatorial waves parametrization

535

 Table 6: Regionally averaged mapping error variance and gain/reduction of error variance on the SSH variable between EXP03

 and EXP02MIOST allsat-1 80% drifters + equatorial waves + L3 arctic and MIOST allsat-1

		All spatial scale		Spatial scale [65: 500km<u>500 km</u>]			
Region	Error variance EXP02 [m ³ MIOST allsat-1 [cm ²]	Error variance EXP03 [m ² MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic [cm ²]	Gain/loss error variance <u>EXP03MIOST</u> allsat-1 80% drifters <u>+ equatorial waves+</u> L3 arctic vs <u>EXP02MIOST</u> allsat-1 [%]	Error variance EXP03 [m ² MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic [cm ²]	Error variance EXP02 [m ² MIOST] allsat-1 [cm ²]	Gain/loss error variance <u>EXP03MIOST</u> allsat-1 80% drifters <u>+ equatorial waves+</u> L3 arctic vs <u>EXP02MIOST</u> allsat-1 [%]	
Arctic	0,0023 23,17	0,0023 23,18	<u>+0,0%02</u>	0,0007<u>6,84</u>	0,0007 <u>6,84</u>	<u>+</u> 0, 0% <u>00</u>	
Antarctic	0,0031<u>31,13</u>	0,0031<u>31,14</u>	<u>+0,0%02</u>	0,0008<u>7,65</u>	0,0008<u>7,65</u>	<u>+</u> 0, 0% <u>01</u>	
Equatorial band	0,0014<u>13,96</u>	0,0014<u>13,53</u>	-3, 0%<u>03</u>	0,0005<u>4,67</u>	0,0005<u>4,69</u>	<u>+</u> 0, 3% <u>32</u>	
Low variability - offshore	0,0012<u>11,81</u>	0,0012<u>11,72</u>	-0, 8% 77	0,0004<u>3,55</u>	0,0004<u>3,54</u>	-0, 1%<u>10</u>	
High variability - offshore	0,0028<u>27,71</u>	0,0027<u>27,42</u>	-1, 1%<u>06</u>	0,0013<u>12,87</u>	0,0013<u>12,67</u>	-1, 5% 54	

540 Geostrophic current quality

The difference in mapping error of surface geostrophic currents between EXP03<u>MIOST allsat-1 80% drifters + equatorial</u> <u>waves+ L3 arctic</u> and EXP02<u>MIOST allsat-1</u> are shown in Figures 11a and 11b for the zonal component and the meridional component of the velocity, respectively. It is difficult to draw conclusions from this diagnosis: the mapping errors are reduced with MIOST in some regions in the tropics (such as the Bay of Bengal), in the Kuroshio extension. Overall, the

545 contribution of drifters remains moderate for the restitution of geostrophic currents (only a few % improvement in the open ocean) as summarized in Table 7.

Comparison U_{geos}, V_{geos} maps with independent U_{geos}, V_{geos} from drifters

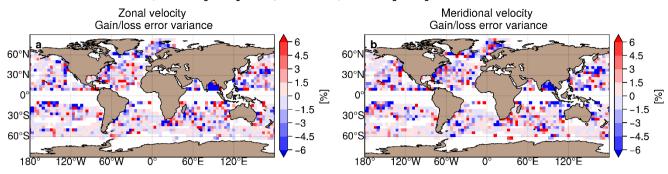


Figure 11: Gain/loss of the mapping error variance of currents in MIOST allsat-1 80% drifters + equatorial waves+L3 arctic experiment relatively to the MIOST allsat-1 mapping error variance for c) the zonal velocity component and d) the meridional velocity component. Blue colour means a reduction of error in MIOST when drifters are included in the mapping and with equatorial waves parametrization

Zonal velocity Meridional velocity Gain/loss error variance Gain/loss error variance Error variance Error variance EXP03MIOST allsat-1 Error variance EXP03MIOST allsat-1 EXP03 [m²MIOST Error variance EXP03 [m²MIOST 80% drifters + EXP02 80% drifters + equatorial EXP02 [m²MIOST Region allsat-1 80% drifters allsat-1 80% drifters equatorial waves+ L3 fm²MIOST waves+ L3 arctic vs + equatorial waves+ allsat-1 [cm².s⁻²] + equatorial waves+ arctics vs allsat-1 [cm².s⁻²] EXP02MIOST allsat-1 EXP02MIOST allsat-1 L3 arctic $[cm^2.s^{-2}]$ L3 arctic [cm².s⁻²] [%] [%] 0.0149148.78 0.0145145.04 -2.5%51 0.0131131.34 0.0128127.83 Arctic -2.7%67 Antarctic Equatorial band 0,0129128,52 0,0123123,20 0,0122122,04 -0,9%94 Low 0,0128127,80 -0,6%56 variability offshore -0,7%65 High 0,0372372,40 0,0367366,81 -1,5%50 0.0404403.54 0.0401400.90 variability offshore

Table 7: Regionally averaged mapping error variance and gain/reduction of error variance on the surface currents between EXP02 and EXP03_MIOST allsat-1 and MIOST allsat-1 80% drifters + equatorial waves + L3 arctic

555 4.2.3 Overall assessment

The comparison of the EXP03 and EXP01 experiments (*MIOST allsat-1 80% drifters + equatorial waves*—with the <u>+L3</u> <u>arctic and DUACS allsat-1 experiment</u>) allows to evaluate the complete MIOST product distributed to users against the DUACS method.

560 Sea level anomaly quality

The difference in mapping error between EXP03MIOST allsat-1 80% drifters + equatorial waves + L3 arctic and EXP01DUACS allsat-1 are shown in Figures 12a and 12b for all spatial and spatial scales between 65 and $\frac{500 \text{km}}{500 \text{km}}$ 500 km,

respectively. We have the same pattern as found in the previous sections: for all spatial scale considered (Figure 12a), the equatorial waves modes help to reduce the mapping error variance in the equatorial band by more than 20% locally. At mid-

- 565 latitude, the mapping error are between 5% and 10% smaller with MIOST than with DUACS. For spatial scales between 65 and 500km500 km, MIOST and DUACS solutions are globally equivalent, except in the high variability region where the mapping error is between 10% and 20% smaller with MIOST than with DUACS. The mapping errors are locally larger with MIOST than with DUACS in regions where the circulation interact with bathymetry feature such as in the Argentine Sea, near the Siberian plateau and New Zealand plateau. Table 8 summarises the results of the comparison over different regions
- 570 of interest: mapping errors are ~11% smaller in high variability region in MIOST than in DUACS. In other regions, the errors are ~3-6% smaller.

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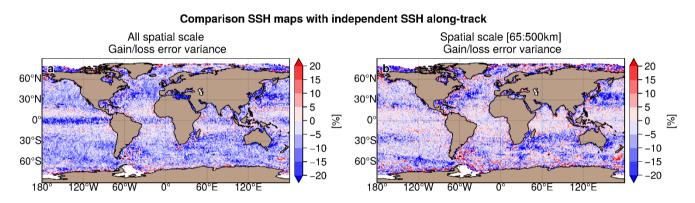


Figure 12: Gain/loss of the mapping error variance of SLA in MIOST allsat-1 80% drifters + equatorial waves<u>+ L3 arctic</u> experiment relatively to the DUACS allsat-1 mapping error variance for a) all spatial scale and b) scale between <u>65km65 km</u> and <u>500km500 km</u>. Blue colour means a reduction of error variance in MIOST.

Table 8: Regionally averaged mapping error variance and gain/reduction of error variance on the SSH variable between EXP03	
and EXP01MIOST allsat-1 80% drifters + equatorial waves + L3 arctic and DUACS allsat-1	

		All spatial sca	ale	Spatial scale [65: 500km500 km]			
Region	Error variance EXP01 [m ² DUACS allsat-1 [cm ²]	Error variance EXP03 [m ² MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic [cm ²]	Gain/loss error variance <u>EXP03MIOST allsat-1 80%</u> <u>drifters + equatorial waves+</u> <u>L3 arctic</u> vs <u>EXP01DUACS</u> <u>allsat-1</u> [%]	Error variance EXP01 [m ² DUACS allsat-1 [cm ²]	Error variance EXP03 [m ² MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic [cm ²]	Gain/loss error variance <u>EXP03MIOST allsat-1 80%</u> <u>drifters + equatorial waves+</u> <u>L3 arctic</u> vs <u>EXP01DUACS</u> <u>allsat-1 [%]</u>	
Arctic	0,0023 23,18	0,0023 23,18	<u>+0,0%01</u>	0,0007<u>7,07</u>	0,0007<u>6,84</u>	-3, 3% <u>31</u>	
Antarctic	0,0033<u>33,07</u>	0,0031<u>31,14</u>	-5, 8% 85	0,0008<u>7,86</u>	0,0008<u>7,65</u>	-2, 6%<u>63</u>	
Equatorial band	0,001 4 <u>14,07</u>	0,001 4 <u>13,53</u>	-3, <u>8%81</u>	0,0005<u>4,66</u>	0,0005<u>4,69</u>	<u>+0,6%64</u>	
Low variability - offshore	0,0013<u>12,54</u>	0,0012<u>11,72</u>	-6, 6% 56	0,000 4 <u>3,70</u>	0,000 4 <u>3,54</u>	-4, <u>2%20</u>	
High variability - offshore	0,0031<u>30,87</u>	0,0027<u>27,42</u>	-11, <mark>2%<u>16</u></mark>	0,0014<u>14,28</u>	0,0013<u>12,67</u>	-11, 2%<u>24</u>	

580 <u>ss</u>

Geostrophic current quality

The difference in mapping error of surface geostrophic currents between EXP03MIOST allsat-1 80% drifters + equatorial waves + L3 arctic and EXP01DUACS allsat-1 are shown in Figures 13a and 13b for the zonal component and the meridional component of the velocity, respectively. The mapping errors are globally smaller in MIOST than in DUACS, particularly in

585 the high variability regions. In the tropical regions, DUACS outperforms MIOST for reconstructing the surface geostrophic velocities. Overall, the mapping errors are on average between ~2% and 5% smaller with MIOST than with DUACS (Table 9).

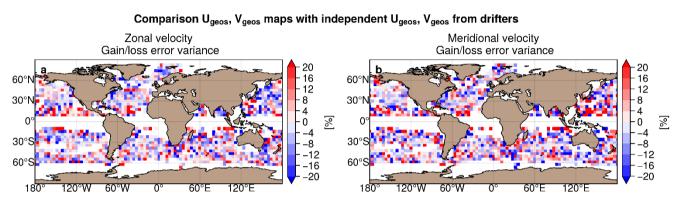


Figure 13: Gain/loss of the mapping error variance of currents in MIOST allsat-1 80% drifters + equatorial waves <u>+ L3 arctic</u> experiment relatively to the DUACS allsat-1 mapping error variance for c) the zonal velocity component and d) the meridional velocity component. Blue colour means a reduction of error in MIOST

Table 9: Regionally averaged mapping error variance and gain/reduction of error variance on the surface currents between	
EXP03 and EXP01MIOST allsat-1 80% drifters + equatorial waves + L3 arctic and DUACS allsat-1	

	Zonal velocity			Meridional velocity		
Region	Error variance EXP01 [m ² DUACS allsat-1 [cm ² .s ⁻²]	Error variance EXP03 [m ² MIOST allsat-1 80% drifters + equatorial waves+ L3 arctic [cm ² .s ²]	Gain/loss error variance <u>EXP03MIOST allsat-1 80%</u> <u>drifters + equatorial waves+</u> <u>L3 arctic vs EXP01DUACS</u> <u>allsat-1 [%]</u>	Error variance EXP01 [m ² DUACS allsat-1 [cm ² .s ⁻²]	Error variance EXP03 $[m^2MIOST]$ allsat-1 80% drifters <u>+ equatorial waves+</u> L3 arctic $[cm^2.s^2]$	Gain/loss error variance <u>EXP03MIOST allsat-1 80%</u> <u>drifters + equatorial waves+</u> <u>L3 arctic vs EXP01DUACS</u> <u>allsat-1</u> [%]
Arctic	0,0153<u>153,17</u>	0,0145<u>145,04</u>	-5 ,3% . <u>31</u>	0,013 4 <u>133,50</u>	0,0128<u>127,83</u>	-4 ,2% .25
Antarctic	-	-	-	-	-	-
Equatorial band	-	-	-	-	-	-
Low variability - offshore	0,0130<u>130,36</u>	0,0128<u>127,80</u>	- 2,0%<u>1.96</u>	0,0124<u>124,36</u>	0,0122<u>122,04</u>	-1 ,9%.87
High variability - offshore	0,0386<u>385,86</u>	0,0367<u>366,81</u>	-4 ,9%.94	0,0410<u>409,75</u>	0,0401<u>400,90</u>	-2 ,2%.16

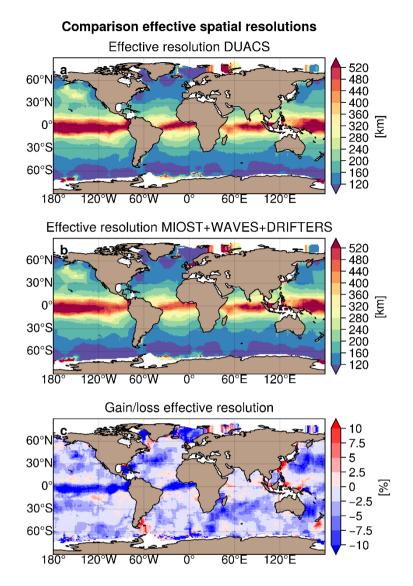
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Effective resolution

The effective spatial resolution quantifies the minimum spatial scale resolved in the maps-<u>(Ballarotta et al., 2019)</u>. Maps of the effective spatial resolution (expressed in kilometres) are presented in Figure 14a and Figure 14b for <u>EXP01DUACS</u> <u>allsat-1</u> and <u>EXP03MIOST allsat-1</u> 80% <u>drifters</u> + <u>equatorial waves+ L3 arctic</u>, respectively. For each experiment, the

- 600 effective spatial resolution varies from ~500km500 km at the equator to ~100km100 km at high altitude, and a mean value at mid-latitude close to 200km200 km. The difference in effective spatial resolution between the two experiments is shown in Figure 14c. The resolution of the SLA maps of the MIOST experiment is overall finer than in the SLA maps of the DUACS experiment. It is between 5% and 10% finer than the DUACS maps in regions of high variability (Gulfstream, Kuroshio, and Agulhas regions), in the Atlantic and equatorial Pacific, and in the Norwegian and Greenland seas. Some regions (e.g.,
- 605 tropical regions, coastal regions, the East China Sea, the New Zealand Shelf, or the Argentine Sea) are subject to a coarser effective resolution in MIOST maps than in DUACS maps. These regions will require further investigation in the near future.



610 Figure 14: Maps of effective spatial resolution (in km) for a) the DUACS allsat-1 and b) MIOST allsat-1 80% drifters + equatorial waves<u>+L3 arctic</u> experiments; and c) gain/loss of effective resolution (in %) between MIOST and DUACS. Blue means finer resolution in MIOST than in DUACS

5 Summary & Conclusions

Ubelmann et al (20202021, 2022) evaluated the multiscale & multivariate mapping approach in Observing System 615 Simulation Experiment (OSSE) and Observing System Experiment (OSE) for simultaneous mapping of mesoscale circulation, coherent internal tides, surface geostrophic and ageostrophic velocities. Here, we extend the application of the MIOST solution to the simultaneous mapping of equatorial waves and mesoscale circulation from real observations. Furthermore, we investigate the levels of mapping improvement by enhancing the sampling of the ocean surface state with in-situ data and altimetry data in the Arctic sea-ice regions. We found that the Arctic leads SSH observations allow to

- 620 significantly improve the monitoring coverage in this remote region. The gap-free maps, proposed with MIOST, hence offer to the end-users the opportunity to study the arctic surface circulation and its connections to the subpolar and mid-latitude regions. It is important to mention that this polar mapping will need to be validated against independent data in the near future. Drifters' observations have a moderate impact in the mapping. They mainly contribute to reduce mapping errors in regions of intense dynamics where the temporal sampling must be accurate enough to properly map the rapid mesoscale
- 625 dynamics. It is important note that drifter observations can potentially improve surface circulation in areas not or poorly sampled by altimeters. Therefore, their impact on the sea level reconstruction may be larger over period of weak altimeter sampling.

The ocean surface circulation involves a superposition of processes acting at widely different spatial and temporal scales, from the geostrophic large-scale and slow varying flow to the mesoscale turbulent eddies and at even smaller scale, the 630 mixing generated by the internal wave field. It is also important to mention that the DUACS maps are constructed from altimetry data using an interpolation method optimized for mapping mesoscale variability. Consequently, some ocean surface variabilities are not or poorly represented in these DUACS maps: equatorial wave dynamics is thus part of the filtered ocean signals in DUACS. The multiscale approach allows to decompose the observed SSH into various physical 635 contributions. Here, we explored and validated the possibility of improving the content of altimetry maps by simultaneously estimating the ocean mesoscale circulations as well as the equatorial wave dynamics associated to the Tropical Instability Waves and Poincaré Waveswaves. We show that mapping these ocean surface variabilities from altimeter observations broadens the spectrum of mappable space-time scales and reduces mapping errors by almost 20% locally relative to independent data, primarily in the equatorial Pacific and Atlantic basins. This is possible because the spatio-temporal 640 coverage of the altimeter data allows to sample large scale waves of 4-day periods and longer. At global scale, we also found that, compared to the operational DUACS mapping approach, MIOST approach improves the surface mesoscale circulations in regions of high variability. Consequently, the effective resolution of the maps produced by the multiscale approach is finer than the DUACS maps, particularly in the western boundary currents and in the equatorial band.

645 This experimental product is currently available on the AVISO+ (Archivage, Validation et Interprétation des données des Satellites Océanographiques) website (see the Data Availability section for more detail), but our results suggest that the multiscale & multivariate mapping approach is very promising for use in an operational context. It is also worth mentioning that several other global gridded products exist as an alternative to the DUACS/MIOST products which provide only the geostrophic part of the surface current. Examples of these other products that provide a broader spectrum of ocean surface current variability (e.g., the total surface currents) include, 1) the Copernicus GLORYS12v1 global ocean reanalysis (Lellouche et al., 2018; https://doi.org/10.48670/moi-00021), 2) the Copernicus GLOBCURRENT product (Rio et al., 2014;

https://doi.org/10.48670/moi-00050), or 3) the OSCAR product (Dohan, 2021; https://doi.org/10.5067/OSCAR-25I20) distributed by the NASA-JPL Distributed Physical Oceanography Active Archive Center (PO. DAAC).

To conclude, these results pave the way for the exploration of new types of ocean signals that may eventually be mapped with MIOST from remote sensing and in situ observations. Future work could consist of enriching the MIOST modescomponents in considering oceanic signals missing in the maps and yet captured by observing systems: for example, in mapping high frequency signals such as the near-inertial oscillation from drifter observations, in using SSH leads products in the Southern Ocean (Auger et al., in prep2022); or by enhancing the SLA maps content with dynamical model approach (Ubelmann et al, 20162015) or Artificial Intelligence methods (Beauchamp et al., 2020).

660 6 Data Availability

The MIOST gridded products (<u>https://doi.org/10.24400/527896/a01-2022.009</u>, Ballarotta et al., 2022) are hosted on the AVISO+ (<u>Archivage</u>, <u>Validation et Interprétation des données des Satellites Océanographiques</u>) website at the following repository: <u>https://data.aviso.altimetry.fr/aviso-gateway/data/SLA_MIOST_alti_drifters/</u>. The reference DUACS maps are hosted on the E.U. Copernicus Marine Service portal (https://doi.org/10.48670/moi-00146).

665 7 Product description

The multiscale & multivariate products are distributed on a regular grid: the spatial grid extends from $0^{\circ}E$ to $360^{\circ}E$ in longitude, $80^{\circ}S$ to $90^{\circ}N$ in latitude, with a grid spacing of 0.1° ; the temporal grid covers the period 2016-07-01 to 2020-06-30 with a time step of 1 day. The dataset is distributed in netCDF4 format. Each netCDF file contains 6 variables: sla, adt, ugosa, vgosa, ugos, and vgos.

670 8 Acknowledgements

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time = 1 ; latitude = 1702 ; longitude = 3600 : bounds = 2 ; variables: int sla(time, latitude, longitude) ; sla:_FillValue = -2147483647 ; sla:coordinates = "longitude latitude" ; sla:grid_mapping = "crs" ; sla:long name = "Sea level anomaly"; sla:standard_name = "sea_surface_height_above_sea_level" ; sla:units = "m" ; sla:scale factor = 0.0001 ; int ugosa(time, latitude, longitude) ; ugosa:_FillValue = -2147483647 ; ugosa:coordinates = "longitude latitude" ; ugosa:grid_mapping = "crs" ; ugosa:long_name = "Geostrophic velocity anomalies: zonal component" ; ugosa:standard_name = "surface_geostrophic_eastward_sea_water_velocity_assuming_sea_level_for_geoid" ; ugosa:units = "m" ; ugosa:scale factor = 0.0001 : int vgosa(time, latitude, longitude) : vgosa: FillValue = -2147483647 ; vgosa:coordinates = "longitude latitude" ; vgosa:grid_mapping = "crs" ; vgosa:long_name = "Geostrophic velocity anomalies: meridional component" ; vgosa:standard_name = "surface_geostrophic_northward_sea_water_velocity_assuming_sea_level_for_geoid" ; vgosa:units = "m" ; vgosa:scale_factor = 0.0001 ; int adt(time, latitude, longitude) : adt: FillValue = -2147483647 ; adt:coordinates = "longitude latitude" : adt:grid_mapping = "crs" ; adt:long_name = "Absolute dynamic topography" ; adt:standard_name = "sea_surface_height_above_sea_level" ; adt:units = "m" ; adt:scale_factor = 0.0001 ; int ugos(time, latitude, longitude) ; ugos:_FillValue = -2147483647 ; ugos:coordinates = "longitude latitude" ; ugos:grid_mapping = "crs" ; ugos:long name = "Absolute geostrophic velocity: zonal component" ; ugos:standard_name = "surface_geostrophic_eastward_sea_water_velocity" ; ugos:units = "m" ; ugos:scale_factor = 0.0001; int vgos(time, latitude, longitude) ; vgos:_FillValue = -2147483647 ; vgos:coordinates = "longitude latitude" ; vgos:grid_mapping = "crs" ; vgos:long_name = "Absolute geostrophic velocity: meridional component" ; vgos:standard name = "surface geostrophic northward sea water velocity"; vgos:units = "m" ; vgos:scale factor = 0.0001 ; float latitude(latitude) ; latitude:_FillValue = NaNf; latitude:axis = "Y" ; latitude:long_name = "Latitude" ; latitude:standard_name = "latitude" ; latitude:units = "degrees_north" ; latitude:valid_max = 90. ; latitude:valid_min = -80.1 ; latitude:bounds = "latitude_bounds" ; float longitude(longitude) ; longitude: FillValue = NaNf ; longitude:axis = "X" ; longitude:long_name = "Longitude" ; longitude:standard_name = "longitude" ; longitude:units = "degrees_east" ; longitude:valid_max = 359.9 ; longitude:valid_min = 0. ; longitude:bounds = "longitude_bounds" ; double time(time) ; time: FillValue = NaN ; time:units = "days since 1950-01-01 00:00:00" ; time:calendar = "gregorian" ; time:axis = "T" ; time:standard_name = "time" ; float longitude_bounds(longitude, bounds) ; longitude_bounds:_FillValue = NaNf ; float latitude_bounds(latitude, bounds) ; latitude_bounds:_FillValue = NaNf ;

dimensions:

Appendix A

2.2.1 The Optimal Interpolation (DUACS mapping approach)

<u>The DUACS mapping approach constructs a SSH field on a regular grid by combining measurements from various altimeters. It is based on a global suboptimal space-time objective analysis that considers along-track correlated errors as
 <u>described for instance in Ducet et al., (2000) or Le Traon et al. (2003). The mathematical formulation, knows as Optimal Interpolation, is described hereafter.</u>
</u>

We assume a state to estimate, denoted x, and partial observations, denoted y, which can be related to the state by a linear operator H such as:

$$y = Hx + \epsilon \tag{A1}$$

685

where ϵ is an independent signal (e.g., observation error) not related to the state. If we define B the covariance matrix of x and R the covariance matrix of ϵ , both variables being assumed Gaussian, then the linear estimate is written:

$$x^a = \mathbf{B}H^T (H\mathbf{B}H^T - R)^{-1} y \tag{A2}$$

- 690 The observation vector y represents the SLA observations. The state vector x is the gridded SLA. The operator H (formally a tri-linear interpolator transforming the gridded state SLA to the equivalent along-track SLA) is not considered explicitly. The matrices BH^T and HBH^T , representing the covariance of the signal in the (grid, obs) and (obs, obs) spaces, are directly written with the analytical formula of the Arhan and Colin de Verdière (1985) covariance model as described in Ducet et al., (2000), Le Traon et al. (2003) or Pujol et al. (2016):
- 695

$$C(x, y, t) = \left(1 + ar + \frac{1}{6}(ar)^2 - \frac{1}{6}(ar)^3\right)e^{-ar}e^{-(\frac{t}{L_t})^2}$$
(A3)

$$r = \sqrt{\left(\frac{x - C_{px}t}{L_x}\right)^2 + \left(\frac{y - C_{py}t}{L_y}\right)^2}$$
(A4)

where, x, y, t corresponds the zonal, meridional and temporal position, Lx, Ly, Lt are the zonal, meridional and temporal decorrelation scale, C_{px} and C_{py} the phase speed, a is a constant (3.337).

This covariance model is mainly optimized for mesoscale signal reconstruction. The *R* matrix represents the representativity
 and instrumental errors. Since the covariance of mesoscale SLA is assumed to vanish beyond a few hundreds of kilometres in space and beyond 10–20 days in time (Le Traon & Dibarboure, 2002), separate inversions are performed locally selecting observations over time and space windows adjusted to these values. In practice, since the number of observations is limited to less than 1000 (Le Traon et al, 1998), the inversion in observation space is computationally manageable. More details on the map production are given in Pujol et al. (2016).

705 In DUACS, the geostrophic current (U_q, V_q) is then directly derived from the mapped SSH:

$$U_g(x,y) = -\frac{g}{f_c} \frac{\partial SSH(x,y)}{\partial y}$$
(A5)

$$V_g(x,y) = \frac{g}{f_c} \frac{\partial SSH(x,y)}{\partial x}$$
(A6)

where g is the gravity, f_c is the Coriolis frequency, which is a function of latitude.

2.2.2 A multiscale & multivariate mapping approach

710 <u>The Optimal Interpolation requires the inversion of a matrix of the same size as the observation vector y. When the number</u> of observations exceeds the size of the state to resolve, it can be interesting to use an equivalent formulation given by the Sherman-Morrison-Woodbury transformation, allowing an inversion in state space, with a matrix of the size of the state vector x.

$$x^{a} = (H^{T}[[R^{-1}H + B^{-1})]]^{-1}H^{T}R^{-1}y$$
(A7)

715

<u>The formulation of the multiscale & multivariate mapping algorithm is detailed in Ubelmann et al. (2022). We here recall the main principle.</u> We consider an extended state vector x composed by N physical components that will be later assumed independent. In this study N=3 for 1) geostrophy and equatorial waves: 2) Tropical Instability Waves (TIW) and 3) Poincaré waves:

720

$$x = (x_1^T, \dots, x_N^T)^T \tag{A8}$$

Each component x_k represents the state of the surface topography and surface current to be resolved in the grid space, noted $x_k = (h_k^T, u_k^T, v_k^T)^T$. The key aspect of the method is a rank reduction of the state vector, through a subcomponent decomposition, such as x_k can be written as:

725

$$x_{k} = \begin{bmatrix} \Gamma_{k,h} \\ \Gamma_{k,u} \\ \Gamma_{k,v} \end{bmatrix} \eta_{k} = \Gamma_{k} \eta_{k}$$
(A9)

where η_k is the reduced state vector for component k, Γ_{k,h}, Γ_{k,u}, and Γ_{k,v} are the subcomponent matrices expressed in topography and currents, respectively. Note that for some components, one of the blocks can be set to zeros (e.g., if geostrophy component is considered with zero contribution on SSH, which is the case for the equatorial wave components).
730 Their concatenation is called Γ_k which is the matrix transforming the reduced state vector in the grid space for topography and currents. In practice, Γ_k will be a wavelet decomposition of the time-space domain, with elements of appropriate temporal and spatial scales to represent the component k. These wavelet scales, and their specified variance set with a diagonal matrix noted Q_k, will define the equivalent covariance model B_k in the grid space for component k:

$$B_k = \Gamma_k \, Q_k \, \Gamma_k^T \tag{A10}$$

735

The observation vector y is also extended to the observed surface topography and surface current noted $y = (h^{oT}, u_r^{oT})^T$. Then, if H_k is the observation operator for component k (from grid space to observation space), we note $G_k = H_k \Gamma_k$ the subcomponent matrix expressed in observation space. In these conditions, the observation vector y is the sum of all component contributions plus the unexplained signal ϵ (instrument error and representativity),

$$y = \sum_{k=1}^{N} G_k \eta_k + \epsilon \tag{A11}$$

If we use the notation $\eta = (\eta_1^T, ..., \eta_k^T)^T$ for the concatenation of the subcomponent state vectors, and $G = (G_1, ..., G_N)$, then we have,

$$y = G\eta + \epsilon \tag{A12}$$

745

740

<u>Applying the same transformation from Equation A1, Equation A2, and Equation A7 to the reduced state vector η , the global solution is written:</u>

$$\eta^a = (G^T R^{-1} G + Q^{-1})^{-1} G^T R^{-1} y \tag{A13}$$

750

where Q is the covariance matrix of η , expressed as the concatenation of the diagonal matrices Q_k for each component. Finally, the solution in the reduced-space projects into the grid space with the following relation:

$$x_a = \Gamma \eta^a \tag{A14}$$

In practice, to solve Equation A13, each block of *G* is directly filled from the analytical expression of the reduced-space elements constituting the columns of the matrix. Also, in many situations, the (*G^TR⁻¹G* + *Q⁻¹*) matrix, noted A hereafter, would be too large to be inverted (as required by Equation A13 explicitly). We use a preconditioned conjugate gradient method to solve η = A⁻¹z where z = *G^TR⁻¹y* is computed initially from *G* and the observation vector *y*. The algorithm involves many iterations of Aη computations for updated η until convergence is reached (when Aη approaches z). Note that if A is too large to be written explicitly, the result Aη can still be computed in two steps from a matrix multiplication of *G* then of *G^T*. Once the solution η is obtained, the projection in physical grid space given by Equation A14 is applied sequentially, by summing the analytical expression of the ripples applied to grid coordinates (the columns of Γ), separately for each component k. As in any inversion based on linear analysis, the result strongly relies on the choice of covariance models, here defined by the reduced elements of each component.

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Geostrophy component

<u>Geostrophy is the component that has a signature on both topography and currents, and on which some synergy between</u> <u>altimetry and drifter observations can be expected.</u> Following the formulation provided in Ubelmann et al. (2021), we define here the gridded variable H_1 to resolve, and the corresponding gridded geostrophic current field $(U_{12}V_1)$ writes

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$$U_{1} = -\frac{g}{f_{c}} \frac{\partial H_{1}}{\partial y}$$

$$V_{1} = \frac{g}{f_{c}} \frac{\partial H_{1}}{\partial x}$$
(A15)

<u>The proposed reduced state for geostrophy is based on an element decomposition of H_1 , expressed by $\Gamma_{1,h}$ with wavelets of various wavelength and temporal extensions. This will allow to approximate the standard covariance models used in altimetry mapping, accounting for specific variations with wavelength and time. A given p element of the decomposition $\Gamma_{1,h}$ is expressed as follows:</u>

$$\Gamma_{1,h}[i,p] = \cos(k_{x,p}(x_i - x_p) + k_{y,p}(y_i - y_p) + \Phi_p) * f_{tap}\left(\frac{x_i - x_p}{L_{x_p}}, \frac{y_i - y_p}{L_{y_p}}, \frac{t_i - t_p}{L_{t_p}}\right)$$
(A16)

where the ith line of the matrix stands for a given grid index of coordinates (x_i, y_i, t_i) . For the ensemble of p, Φ_p is alternatively 0 and $\pi/2$, such as all subcomponents are defined by pairs of sine and cosine functions to allow the phase degree of freedom. $k_{x,p}$ and $k_{y,p}$ are zonal and meridional wavenumbers respectively, set to vary in the mappable mesoscale range (between 80 km and 900 km with a spacing inversely proportional to the wavelet extensions, allowing to represent a signal of any intermediate wavelength). (x_{p}, y_{p}, t_{p}) are the coordinates of a space-time pavement. The function f_{tap} localizes the subcomponent in time and space (at scales L_{t_p}, L_{x_p} and L_{y_p} , respectively) as geostrophy has local extension of covariances. It is expressed as:

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$$f_{tap}(\delta x, \delta y, \delta t) = \begin{cases} \cos\left(\frac{\pi}{2}\delta x\right)\cos\left(\frac{\pi}{2}\delta y\right)\cos\left(\frac{\pi}{2}\delta y\right), & for\left(|\delta x|, |\delta y|, |\delta y| < (1,1,1)\right) \\ 0, & elsewhere \end{cases}$$
(A17)

In practice, L_{x_p} and L_{y_p} will be set to 1.5 the wavelength of element p and L_{t_p} to the decorrelation time scale. Then, the same element p of the decomposition has also an expression in geostrophic current (through the geostrophic relation Equation A15) written in the $\Gamma_{1,u}$ and $\Gamma_{1,v}$ matrices:

$$\begin{cases} \Gamma_{1,u}[i,p] = -\frac{g}{f_c} \frac{\partial \Gamma_{1,h}[i,p]}{\partial y_i} \\ \Gamma_{1,v}[i,p] = \frac{g}{f_c} \frac{\partial \Gamma_{1,h}[i,p]}{\partial x_i} \end{cases} \tag{A18}$$

The whole time-space domain is paved with similar subcomponents, along coordinates (x_{p}, y_{p}, t_{p}) for wavelengths between 80 km and 900 km spanning in all directions of the plan. The ensemble can be seen as a wavelet basis. Finally, each

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Equatorial waves component

We define here the gridded variables H_2 and H_3 to resolve TIW and Poincaré waves, respectively, and we consider no contributions of the equatorial wave components on the geostrophic currents, therefore the corresponding gridded geostrophic current fields (U_2, V_2) and (U_3, V_3) writes: $U_2 \equiv U_3 \equiv 0$, $V_2 \equiv V_3 \equiv 0$. The reduced state is represented in the timespace domain by the following $\Gamma_{2,h}$ and $\Gamma_{3,h}$ matrix:

$$T_{2,h}[i,p] = \cos(\omega_{2,t,p}(t_i - t_p) - k_{2,x,p}(x_i - x_p)) * f_{tap}\left(\frac{x_i - x_p}{L_{2,x_p}}, \frac{y_i - y_p}{L_{2,y_p}}, \frac{t_i - t_p}{L_{2,t_p}}\right)$$
(A19)

$$\Gamma_{3,h}[i,p] = \cos(\omega_{3,t,p}(t_i - t_p) - k_{3,x,p}(x_i - x_p)) * f_{tap}\left(\frac{x_i - x_p}{L_{3,x_p}}, \frac{y_i - y_p}{L_{3,y_p}}, \frac{t_i - t_p}{L_{3,t_p}}\right)$$
(A20)

where $k_{2,x,p}$ and $k_{3,x,p}$ refer to the zonal wavenumber, and $\omega_{2,t,p}$ and $\omega_{3,t,p}$ are the frequency which satisfies the dispersion relation of the wave component (Matsuno, 1966), e.g.:

$$\begin{cases} \omega_{2,t,p} = c_2 \cdot k_{2,x,p} \text{ for the TIW}, \ c_2 = -0.5 \ m. \ s^{-1} \\ \omega_{3,t,p} = \sqrt{k_{3,k,p}^2 \cdot c_3^2 + \beta \cdot c_3 \cdot (2.n+1)} \text{ for the Poincaré waves, } \ c_3 = \pm 2.8 \ m. \ s^{-1} \end{cases}$$
(A21)

- 810 With c_2 and c_3 the wave propagation speed (the sign indicating the direction of propagation, negative for westward, positive for eastward), β the meridional gradient of the Coriolis frequency f_c , and n = 1,2,3 In the present study, we chose $L_{2,t_p} = 20 \ days$, $L_{2,x_p} = 500 \ km$ and $L_{2,y_p} = 300 \ km$ for the TIW component; $L_{3,t_p} = 5 \ days$, $L_{3,x_p} = 1000 \ km$ and $L_{3,y_p} = 300 \ km$ for equatorial Poincaré wave component. As for the geostrophy component, the function f_{tap} localizes the subcomponent in time and space (at scales L_{t_p} , L_{x_p} and L_{y_p} , respectively).
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