- 1 A comprehensive geospatial database of nearly 100,000 reservoirs in China
- 2 Chunqiao Song<sup>1\*</sup>, Chenyu Fan<sup>1, 2\*</sup>, Jingying Zhu<sup>1, 2\*</sup>, Jida Wang<sup>3</sup>, Yongwei Sheng<sup>4</sup>, Kai Liu<sup>1</sup>,
- 3 Tan Chen<sup>1</sup>, Pengfei Zhan<sup>1, 2</sup>, Shuangxiao Luo<sup>1, 2</sup>, Chunyu Yuan<sup>1, 5</sup>, Linghong Ke<sup>6</sup>
- 4 <sup>1</sup> Key Laboratory of Watershed Geographic Sciences, Nanjing Institute of Geography and
- 5 Limnology, Chinese Academy of Sciences, Nanjing 210008, China.
- 6 <sup>2</sup> University of Chinese Academy of Sciences, Beijing 100049, China.
- <sup>3</sup> Department of Geography and Geospatial Sciences, Kansas State University, Manhattan, KS
   66506, USA.
- <sup>4</sup> Department of Geography, University of California, Los Angeles, CA 90095, USA.
- <sup>5</sup> College of Surveying and Land Information Engineering, Henan Polytechnic University,
- 11 Jiaozuo 454000, China.
- <sup>6</sup> College of Hydrology and Water Resources, Hohai University, Nanjing 210098, China.
- 13 \* Correspondence to cqsong@niglas.ac.cn, fanchenyu21@mails.ucas.ac.cn, or
- 14 zhujingying18@mails.ucas.ac.cn

### 15 Abstract

16 With rapid population growth and socioeconomic development over the last century, a great 17 number of dams/reservoirs have been constructed globally to meet various needs. China has 18 strong economical and societal demands for constructing dams and reservoirs. The official 19 statistics reported more than 98,000 dams/reservoirs in China, including nearly 40% of the 20 world's large dams. Despite the availability of several global-scale dam/reservoir databases (e.g., the Global Reservoir and Dam database (GRanD), the GlObal geOreferenced Database 21 22 of Dams (GOODD), and the Georeferenced global Dams And Reservoirs (GeoDAR)), these 23 databases have insufficient coverage of reservoirs in China, especially for small or newly constructed ones. The lack of reservoir information impedes the estimation of water budgets 24 25 and evaluation of dam impacts on hydrologic and nutrient fluxes for China and its downstream countries. Therefore, we presented the China Reservoir Dataset (CRD), which contains 97,435 26 reservoir polygons as well as fundamental attribute information (e.g., name and storage capacity) 27 28 based on existing dam/reservoir products, national basic geographic datasets, multi-source open 29 map data, and multi-level governmental yearbooks and databases. The reservoirs compiled in 30 CRD have a total maximum water inundation area of 50,085.21 km<sup>2</sup> and a total storage capacity of about 979.62 km<sup>3</sup> (924.96-1060.59 km<sup>3</sup>). The quantity of reservoirs decreases from the 31 32 southeast to the northwest, and the density hotspots mainly occur in hilly regions and large 33 plains, with the Yangtze River Basin dominating in reservoir count, area, and storage capacity. 34 We found that these spatial accumulations of reservoirs are closely related to China's 35 socioeconomic development and the implementation of major policies. Finally, we presented 36 the comparison of CRD with GOODD, GeoDAR, and GRanD databases. CRD has significantly 37 increased the reservoir count, area, and storage capacity in China, especially for reservoirs 38 smaller than 1 km<sup>2</sup>. The CRD database provides more comprehensive reservoir spatial and 39 attribute information and is expected to benefit water resources managements and the 40 understanding of ecological and environmental impacts of dams across China and its affected 41 transboundary basins.

## 42 **1 Introduction**

43 Reservoirs and their dams play a crucial role in green energy generation and water resources 44 management. Since the mid-20th century, the ever-growing human demands for water use and 45 hydropower have driven an unprecedented boom in reservoir construction worldwide (Chao et 46 al., 2008; Wada et al., 2017). The dam construction and reservoir impoundment can lead to 47 many potential environmental and socioeconomic impacts (Jiang et al., 2018; Zarfl et al., 2019). These concerned consequences mainly include the threat to biodiversity and ecosystems 48 (Winemiller et al., 2016), change in the hydrological regime (Zhang et al., 2019; Vörösmarty 49 et al., 2003), degradation of water quality (Zarfl et al., 2019; Barbarossa et al., 2020), 50 51 modification of the geochemical cycle (Maavara et al., 2020), alternation of the river 52 morphology (Bednarek, 2001; Nilsson and Berggren, 2000; Winemiller et al., 2016; Grill et al., 53 2019; Latrubesse et al., 2017; Bond and Cottingham, 2008; Nilsson et al., 2005; Wang et al., 54 2017a; Wang et al., 2013), disturbance in climate regimes (Pekel et al., 2016; Degu et al., 2011; 55 Wang et al., 2017b; Van Manh et al., 2015), migration of human settlement (Tilt et al., 2009), 56 and changes in the land-use patterns (Stoate et al., 2009; Carpenter et al., 2011).

57 Despite these controversial effects, artificial reservoirs have been constructed widely across 58 many basins of the world, serving a variety of purposes such as hydropower generation, water 59 supply, irrigation, navigation, flood control, recreation, and navigation (Belletti et al., 2020; 60 Biemans et al., 2011; Döll et al., 2009; Grill et al., 2019; Boulange et al., 2021). In addition, 61 reservoirs assist water managers in converting natural flow conditions into flow conditions that 62 meet human demands, which is especially important in locations where water resources are 63 restricted due to the hydrologic seasonality or the growing influences of climate change and 64 variability (Richter et al., 2006).

65 The solution to balance the benefits and consequences of reservoirs should not be a simple decision on whether or not to construct them. The significant benefits and the additional effects 66 67 highlight the importance and necessity for a holistic picture of the reservoir distributions and 68 continuous monitoring of them to understand the impacts better. Information and data regarding reservoirs are rather crucial for scientists, practitioners, and policymakers owing to various 69 70 purposes, for instance, estimation of water budgets and impacts on hydrologic and nutrient 71 fluxes on regional or global scales (Chao et al., 2008; Bakken et al., 2013; Bakken et al., 2016; 72 Popescu et al., 2020; Postel, 2000), water availability projection or flood/drought risk 73 mitigation (Di Baldassarre et al., 2017; Ehsani et al., 2017; Elmer et al., 2012; Veldkamp et al., 74 2017; Metin et al., 2018), assessment of hydropower station construction (Bertoni et al., 2019; 75 Gernaat et al., 2017; Xu et al., 2013; Moran et al., 2018; Winemiller et al., 2016), and 76 investigation of biotic disturbance (Latrubesse et al., 2017; Maavara et al., 2020; Dorber et al., 77 2020; Sabo et al., 2017). Considering reservoirs in physical models can significantly improve 78 the modeling performance (Gutenson et al., 2020). The modeling requires a minimum set of the 79 reservoir characteristics, including their spatial location, abundance, area, and storage capacity. 80 Besides, the reservoirs are considered a key source of greenhouse gases (GHGs), partly offsetting the carbon sink of continents (St. Louis et al., 2000; Aufdenkampe et al., 2011; Barros 81 82 et al., 2011; Raymond et al., 2013; Deemer et al., 2016). There is thus an increasing concern about the true GHGs fluxes from reservoirs. Answering these questions requires a 83 84 comprehensive database depicting reservoir distributions and properties, especially for 85 hydropower-boom regions in Asia, South America, and Africa.

86 China has a strong economical and societal demand for hydroelectric development, flood 87 control, and agricultural irrigation. In 2007, China's Medium-and Long-Term Plan for 88 Renewable Energy Development projected constructing 300 GW of gross installed hydropower capacity by 2020, exceeding the doubled capacity in 2007. The installed hydropower capacity 89 target has been reset to  $420 \times 10^6$  kW by 2020, representing a 70% increase in 2012. In China, 90 91 more than 60% of total water consumption is taken by the agricultural water sector, among 92 which 90% of the quota is shared by irrigation water use (Jiang et al., 2018). Therefore, reservoir construction in China has experienced drastic growth. The number of Chinese 93 94 reservoirs increased slowly after the 1980s and soared to the count of 98,000 around 2015 95 (MWR, 2016). According to the register of the International Commission on Large Dams 96 (ICOLD and CIGB, 2011), China possesses nearly 40% of the global large dams (storage 97 capacity greater than 0.1 km<sup>3</sup>). However, little is known about the spatial locations and related 98 georeferenced information of these constructed reservoirs at the national level for China.

99 There have been multiple efforts paid to produce global reservoir inventory, including those of 100 China. The most recognized and comprehensive database is the World Register of Dams (WRD), 101 hosted and maintained by ICOLD, which reports 23,841 dams for China. However, as this 102 database is not georeferenced, its utility is severely limited. The Global Reservoir and Dam 103 database (GRanD) (Lehner et al., 2011) was an initiative database that can provide global 104 geospatial details about reservoirs and their attributes. Its latest version, v1.3, contains 7,320 105 dams/reservoirs, with a cumulative capacity of 6,881 km<sup>3</sup>, while only 921 Chinese reservoirs 106 were included. In recent, the GlObal geOreferenced Database of Dams (GOODD) (Mulligan et 107 al., 2020) and the Georeferenced global Dams And Reservoirs dataset (GeoDAR) (Wang et al., 108 2022) were published, containing more than 38,000 and 20,214 reservoirs in a global scale, 109 respectively. GOODD was manually digitized from high-resolution Google Earth imagery, 110 whereas GeoDAR was georeferenced from ICOLD WRD with a full harmonization with

GRanD. For the Chinese territory, the GOODD and GeoDAR databases contain 9,238 and 4,859 reservoirs, respectively, still significantly below the scales of WRD and MWR. Given the lacked information, a comprehensive and spatially-explicit database of reservoirs in China is required.

115 This study aims to share, as comprehensively as possible, fundamental open-access information 116 on reservoirs in China. We have compiled the database based on a variety of data sources, 117 including the national 1:250,000 public basic geographic database, the Almanac of China's 118 Water Power, three global reservoir inventories (GeoDAR v1.1, GRanD v1.3, GOODD V1.0), 119 and other published documents and online maps (e.g., Open Street Map (OSM) and Tianditu 120 Map). A comparison with GeoDAR, the GRanD, and GOODD was conducted to assess the 121 database. Our inventory contains significantly more reservoirs than the currently available 122 databases. This database can provide researchers with basic information on reservoir locations, 123 spatially-explicit inundation areas, water storage, and related details in China, with the goal of advancing research on water resources, ecological and environmental consequences, and global 124 125 change impacts, and socioeconomic sector assessments on a national and worldwide scale.

### 126 2 Data description

# 127 2.1 Multi-source data for compiling national reservoir locations

128 2.1.1 Existing reservoir or dam databases

Before constructing the reservoir database in China, the data of existing dams and reservoirs are preliminarily compiled as the basis for determining the location of reservoirs. Existing dam/reservoir databases containing geographical information are one of the key spatial data sources for reservoirs, including GRanD, GOODD, GeoDAR, and Future Hydropower Dams (FHReD).

134 GRanD is a data product of the Global Water System Project and was released firstly in 2011 135 (Lehner et al., 2011). GOODD (Mulligan et al., 2020) is a comprehensive global dam database provided by manual inspection and digitization based on multi-source remote sensing satellite 136 137 observations and Google Earth images. FHReD database collects spatial locations of reservoirs 138 that are currently being built or those are planned in the future (Zarfl et al., 2015). GeoDAR is 139 a global dam and reservoir geographic database based on the multi-source data fusion and 140 online geocoding of the ICOLD reservoir records (Wang et al., 2022). The FHReD database provides information on 3,700 planned and under construction reservoirs worldwide, of which 141 142 251 reservoirs are located in China, and 97 have been dammed by 2020.

143 In this study, these above-mentioned databases were used to provide location information on

the part of China's reservoirs, particularly those of large size. We integrated the spatial information of existing reservoirs in China and eliminated duplicate information. This way, the CRD retains the spatial information of each unique Chinese reservoir in these three global databases.

148 2.1.2 National basic geographic databases

149 The national 1:250,000 public basic geographic database covers the whole land area of China 150 and major islands. Overall, the map elements represent the landscape situation around 2015. 151 The database, which contains nine element layers such as waterbody (point, line, and surface layer), is treated with the security technology of spatial location accuracy and attribute content. 152 153 Reservoir information is contained in the waterbody layer provided by the basic topographic 154 map and the layers of natural place names (notes), most of which have name attributes and 155 spatial positioning information. Although the national surveying authorities provide the basic terrain data, the spatial coordinates are biased due to the confidential processing of the map. 156 157 Therefore, we carried out rigorous data correction and quality control by referring to the highresolution Google Earth imagery. Finally, the database provided the spatial information 158 159 references of 27,047 reservoirs for the CRD database.

160 The Tiandi Map is an online-map system developed by the State Bureau of Surveying and Mapping of China (https://map.tianditu.gov.cn/, only in Chinese), which provides geographic 161 162 information services in two forms: portal and service interface. It integrates public geographic 163 information resources from national, provincial, and prefecture (county)-level mapping and 164 geographic information departments, relevant government departments, enterprises and 165 institutions, social groups, and the public. In addition, users can use the service interface to call the authoritative, standard, unified online geographic information comprehensive service of the 166 Tiandi Map. In this study, the Tiandi Map was mainly used in two aspects: firstly, as a base map 167 168 for visual interpretation and supplementing the potentially missing reservoirs. In this process, 169 we initially identified about 60,000 potential reservoirs; secondly, the map was used to provide 170 the reservoir name attribute. According to the locations of the reservoir checked by manual 171 inspection based on the Tiandi Map, the name of the reservoir was queried by calling its reverse 172 geocoding API.

173 2.1.3 Open-source map data

174 Open-source maps such as OSM were another key source of obtaining reservoir locations. OSM

175 is a platform for users, organizations, or countries worldwide to organize and maintain multi-

- 176 source geographic information data. Map vector data is available for download under an open
- 177 database license. Due to OSM data's open-source and shared characteristics, the collected

multi-source geographic information data can be used as a supplement to other time-limited databases. They can better reflect the changes in land surface information promptly. OSM contains data such as water system, road traffic, natural boundary, land use, and construction. Water system data provides part of reservoir polygon data with names, mainly compiled manually by OSM users. Finally, the spatial locations of 89 reservoirs were obtained from the OSM.

## 184 **2.2 Data sources for reservoir inundation area mapping**

Water inundation area is an important indicator of the reservoir and a variable for modeling reservoir storage capacity. Since the reservoir area is dynamically changing, we considered the maximum water area of the reservoir over the last several decades (1984-2020) in this study. Moreover, the maximum water area of the reservoir can indirectly reflect its water storage capacity. Therefore, we merged two water occurrence datasets, the Global Surface Water v1.0 (GSW) and Global Land Analysis and Discovery (GLAD), to obtain long-term historical maximum water areas of each of the compiled reservoirs.

- 192 GSW is a remote sensing big data computing platform developed by Pekel et al. (2016) using 193 Google Earth Engine (GEE). Based on all available Landsat 5, 6, 7, and 8 data acquired from 194 1984 to the present, Pekel et al. (2016) used the expert classification system to divide each 195 available pixel into water bodies and non-water bodies and integrated the results into the data 196 of monthly, annual, and decadal timescales. The maximum water boundary, water inundation 197 frequency, water change intensity, water transition, water recurrence, seasonal water, monthly 198 water range, monthly water recurrence, and annual water range are provided. GLAD is the 199 global water body map from 1999 to 2019 obtained by Pickens et al. (2020) using GEE remote 200 sensing big data computing platform based on Landsat 5, 7, and 8 images. The surface water 201 range changes during this period were highlighted, and the water was classified into several 202 categories based on water probability, including permanent water area, seasonal water area, lost 203 water area, new water area, temporary land area, temporary water area, and high change area.
- 204 Considering that both the GSW and GLAD datasets are at 30 m resolution, we also applied 205 FROM-GLC10 at 10 m resolution based on Sentinel-2 data in 2017 (Gong et al., 2019) to 206 handle the incomplete mapping of extremely narrow boundaries for a few reservoirs located in 207 deep valleys. This database takes the existing land cover data as training samples. It combines 208 the data of the Shuttle Radar Terrain Mission (SRTM) on the GEE big data computing platform 209 to classify the data by random forest method to obtain the maps of alpine and swamp areas with 210 an overall accuracy loss rate of less than 1%. The training samples were classified based on 211 Landsat 8 original images and eight important indices commonly used in remote sensing

212 monitoring, such as normalized difference vegetation index, modified water index, and 213 normalized difference building index.

#### 214 **2.3 Data sources for reservoir storage capacity estimation**

215 The reservoir storage capacity records were retrieved from various yearbook and documents, 216 including the Almanac of China's Water Power and other government documents. The Almanac 217 of China's Water Power is a professional industry yearbook for hydropower in China, providing 218 detailed information on China's mega reservoirs, including the reservoir location, the dam 219 purpose, the basin area, the storage capacity, and water level data of various types, and the dam 220 construction and impoundment time. Other government documents used in the study mainly 221 include the "List of Persons responsible for the safety of Large reservoirs in China in 2020" 222 issued by the Ministry of Water Resources, the "List of persons responsible for the safety of 223 large and medium-sized reservoirs" issued by different provinces and prefectures of China, and the "List of Reservoirs in Hunan Province" issued by the Water Resources Department of 224 225 Hunan Province. The documents provide information on the type and location of the 226 dam/reservoir and the storage capacity of reservoirs of different sizes. Finally, from the 227 Almanac of China's Water Power and some other government documents, we collected 228 authoritative information on the locations and storage capacities of 5,143 reservoirs.

# 229 3 Methodology

## 230 **3.1 Reservoir location extraction**

To build this database, we started with a preliminary compilation of the location information of 231 232 Chinese dams and reservoirs from three types of data sources (see Figure 1a). The first type of 233 source is the published georeferenced databases for dams and reservoirs, including GRanD, 234 GOODD, FHReD, and GeoDAR. We combined China's reservoir location information with the 235 four published dam/reservoir products. After removing duplicates by manual inspection, we 236 obtained the names and locations of about 7,400 unique reservoirs. The second type of sources 237 is national basic geographic databases (including the national 1:250,000 public basic 238 geographic database and Tiandi Map), the Almanac of China's Water Power, and other 239 government documents. We checked the national 1:250,000 public basic geographic database, 240 and its drainage layer data and natural place name layer contained most reservoir information. 241 Here, the Tiandi Map was used a base map for visual interpretation to supplement missing 242 reservoirs in the national public basic geographic database. Moreover, we made a list of 243 reservoirs from the Almanac of China's Water Power and documents from local governments, which only provided the county-level address for each reservoir. We then employed the Tiandi 244 245 Map geocoding API to query the latitudes and longitudes of these reservoirs. Based on the

- second type of data sources, we obtained the location information of about 90,000 reservoirs.
- 247 The third type of data sources is open map database, the OSM. From the OSM, we obtained the
- 248 location information of 89 reservoirs. After harmonizing the three types of sources, we
- concluded with the locations of a total of 97,435 unique reservoirs in China.





Figure 1. Flow chart of constructing China reservoir database.

## 252 **3.2 Reservoir water inundation extent mapping**

253 After determining the spatial location of all reservoirs, we extracted the historical maximum water inundation extent (from the mid-1980s to 2020) of the corresponding reservoirs based on 254 255 GSW, GLAD, and FROM-GLC10 data (Figure 1b). GSW data can provide the maximum water area of reservoirs with a long-time series from 1984 to 2020. GLAD only maps images over 256 257 the last 20 years, but it combines Landsat with Sentinel-1 and Sentinel-2 to provide higher temporal resolution to describe ephemeral surface water better. Through comparative inspection, 258 259 we found that GLAD could describe the water area details more completely for some reservoirs, 260 especially narrow river-channel reservoirs. Therefore, we merged GSW and GLAD datasets to 261 obtain the maximum water area of all reservoirs. In addition, the FROM-GLC10 is based on 262 the Sentinel 10-m resolution imagery data, which can identify relatively small reservoirs 263 (reservoir area smaller than 0.01 km<sup>2</sup>). Therefore, we also supplemented a few narrow river-264 channel reservoirs, especially those in mountainous regions of Zhejiang, Fujian, Sichuan, 265 Jiangxi, and Guangxi provinces. The automatically-extracted water masks by intersecting with 266 our compiled reservoir point locations were visually inspected and if necessary, manually edited 267 (such as to separate the reservoir from the river segment) to form quality-controlled reservoir 268 boundaries. Up to now, there are still reservoirs that have not been collected except those 269 identified in Section 3.1. So, all the remaining water bodies were manually checked by 270 overlapping with the Google Earth high-resolution images to minimize the number of missed 271 reservoirs. Finally, a total of 97,435 reservoir polygons were extracted.

For reservoirs without corresponding names, the reverse geocoding API of Tiandi Map was used to query the names of corresponding reservoirs. Here, the reverse geocoding API refers to entering the reservoir's coordinate and then returning the relevant name information of the corresponding reservoir. Eventually, 66,253 reservoirs were identified and supplemented with the name attribute.

### 277 **3.3 Reservoir storage capacity and residence time estimation**

Reservoir storage capacity is one of the basic information about reservoirs. As shown in Figure 1c, the source of reservoir storage capacity in the CRD database is mainly divided into two types: the recorded values obtained from the yearbook and government documents as mentioned in Section 2.2, and statistical estimations by an empirical model.

According to the yearbook and other documents (Section 2.2), we collected the storage capacity

283 records for 5,143 reservoirs in various sizes, among which 162 Type-I super-large reservoirs

- (storage capacity greater than 1 km<sup>3</sup>), 580 Type-II large reservoirs (0.1-1 km<sup>3</sup>), and 4,407 small
- and medium-sized reservoirs (smaller than 0.1 km<sup>3</sup>). As super-large reservoirs (mostly canyon-

286 type reservoirs) tend to have different hypsometric (area-storage relationship) characteristics 287 from small and medium-sized reservoirs (mostly in plain and hilly areas), we excluded the 742 288 large reservoirs from model calibration. In addition, we removed 84 reservoirs that do not 289 conform small and medium-sized reservoirs class (storage capacity smaller than 0.1 km<sup>3</sup>). The statistical relationship between inundation area and storage of a total of 4,323 reservoirs was 290 291 established to estimate and supplement the capacity estimation of the remaining unrecorded 292 small and medium-sized reservoirs. The empirical model was used to fit the storage capacity 293 and area of the existing recorded reservoirs (Figure 2). The fitting equation is as follows, and the  $R^2$  is 0.844. 294

295 
$$\log_{10} V = 1.096 \times \log_{10} S + 0.349 \tag{1}$$

296 
$$SMAPE=100 \times \frac{1}{N} \sum \frac{|observed value - predicted value|}{(observed value + predicted value)/2}$$
(2)

where V represents the reservoir storage capacity in the unit of  $m^3$ , and S represents the 297 maximum reservoir area in the unit of m<sup>2</sup>. We calculated the SMAPE (Symmetric Mean 298 299 Absolute Percentage Error) of estimated storage capacity was biased of 32.62-32.64% at the 300 95% confidence interval based on the fitted model. Finally, the recorded values from yearbook 301 and other documents are regarded as the storage capacity of 5,143 reservoirs, totaling about 302 803.29 km<sup>3</sup>. The other 92,292 reservoir storage capacity was estimated using their maximum 303 inundation areas as in equation (1), with a total of 176.33 km<sup>3</sup>, ranging from 121.67 km<sup>3</sup> to 257.30 km<sup>3</sup>. Therefore, the total storage capacity of Chinese reservoirs is 979.62 km<sup>3</sup> (924.96-304 305 1060.59 km<sup>3</sup>).



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The bars and broken lines in the subgraph respectively represent the count of scattered points and kernel density in the corresponding interval. The upper and right subplots correspond to the count of reservoir area and storage capacity values, respectively.

HydroSHEDS (Hydrological data and maps based on SHuttle Elevation Derivatives at multiple 311 312 Scales) provides hydrographic baseline information in a consistent and comprehensive format 313 to support regional and global watershed analyses and hydrological modeling. It is currently 314 considered the leading global product in terms of quality and resolution (Lehner and Grill, 315 2013). HydroBASINS and HydroRIVERS are extracted from HydroSHEDS at a 15 arc-second 316 resolution. HydroRIVERS represents a vectorized line network of all global rivers with a 317 catchment area of at least 10 km<sup>2</sup> or an average river flow of at least 0.10 m<sup>3</sup>/s, or both. HydroRIVERS covers all rivers in the Pfafstetter Level 12 sub-basins of HydroBASINS and 318 319 contains the attribute information of each river about an estimate of long-term average 320 discharge. Here, we focused on reservoirs (17,185) located on HydroRIVERS rivers and 321 extracted reservoir discharges based on HydroRIVERS. Moreover, these reservoirs cover 96% of CRD reservoirs larger than 1 km<sup>2</sup>. The remaining smaller reservoirs, on the one hand, are not 322 323 on the HydroRIVERS rivers, on the other hand, it is difficult to obtain the discharge of smaller 324 reservoirs. Therefore, they are generally not included in hydrological simulations. Notably, 325 while the CRD database provided information about reservoir discharge and residence time, 326 these data can be updated for specific hydrological modeling. The equation of average residence 327 time is as follows:

328

$$RES_T = \frac{V}{DIS \ AV \ CMS} \tag{3}$$

where  $DIS\_AV\_CMS$  represents the reservoir discharge in the unit of m<sup>3</sup>/s, and *RES*\_T represents the reservoir residence time in the unit of year. The R<sup>2</sup> of the estimated reservoir residence times and the corresponding results of HydroLAKES reservoirs is 0.82.

332 **4 Results** 

### **333 4.1 Description of the CRD database**

This database catalogs the location information of 97,435 reservoirs in China, with an aggregated area of 50,085.21 km<sup>2</sup> and an estimated total storage capacity of 979.62 km<sup>3</sup> (924.96-1060.59 km<sup>3</sup>). The 5,143 reservoirs in the CRD database were directly derived from the yearbook and other documents data, accounting for 59% and 82% of the total reservoir area and storage capacity of the CRD database, respectively. This reservoir information was mainly obtained through manual compilation. The attributes of the recorded reservoirs include the longitude and latitude of the reservoir, name, province, prefecture, and county where the reservoir is located, water area, water level of normal storage capacity, storage capacity,
reservoir class, main use, and regulation type (Table 1). The attributes of all the CRD reservoirs
(in all cases) include location information (longitude, latitude, province, prefecture, and county),
inundation area, estimated storage capacity, river order, discharge, and residence time of
reservoirs, as shown in Table 2.

Table 1. Attributes in the recorded (5,143) reservoirs from yearbook and document data.

Attribute	Description
ID	Reservoir ID in this database (type: integer).
Name	Name of the reservoir.
Lat	Latitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).
Lon	Longitude of the reservoir point (type: float, datum: WGS 1984, unit: °).
Province	Province in which the reservoir is located.
Prefecture	Prefecture in which the reservoir is located.
County	County in which the reservoir is located.
Area	Maximum water area of the reservoir (unit: km <sup>2</sup> ).
Normal elevation	Water level of normal storage capacity (unit: m).
STOR_Recor	Total storage capacity of values from yearbook and literature records (unit: km <sup>3</sup> ).
ResvClass	Reservoir class (1: large Type-I, 2: large Type-II, 3: medium, 4: small Type-I, 5: small Type-II, 6: pumped storage type).
Comprehensive utilization	Main uses of the reservoir (mainly including power generation, water supply, shipping, flood control, and irrigation).
Type of regulation	Regulation types of reservoirs (mainly including day, week, season, and year).

Note: "Normal storage capacity" means that the reservoir reaches the storage capacity that can actually be used to regulate runoff.

Table 2. Attributes in all (97,435) reservoirs from CRD.

Attribute	Description		
ID	Reservoir ID in this database (type: integer).		
Name	Name of the reservoir.		
Lat	Latitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).		
Lon	Longitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).		
Province	Province in which the reservoir is located.		
Prefecture	Prefecture in which the reservoir is located.		
County	County in which the reservoir is located.		
Area	Maximum water area of the reservoir (unit: km <sup>2</sup> ).		
STOR	Total storage capacity (unit: km <sup>3</sup> ).		
RIV_ORD	Indicator of river order using river flow to distinguish logarithmic size classes. 'RIV_ORD' refers to 'RIV_ORD' of the HydroRIVERS.		
DIS_AV_CMS	Average long-term discharge estimate for reservoir (unit: m <sup>3</sup> /s).		
RES_T	Residence time of each reservoir (the ratio between reservoir storage capacity and discharge, unit: year).		

348 Note: Missing or inapplicable values are flagged by "-999".

349 The Pareto distribution can describe the global distribution abundance of artificial reservoirs 350 and their inundation areas (sizes) (Lehner et al., 2011; Downing et al., 2006). In Figure 3, we 351 applied such a statistical fitting distribution to the CRD database and inferred the count of smaller reservoirs and their total inundation area. Assuming that our data for reservoirs larger 352 than 0.01 km<sup>2</sup> are complete, trend lines can be fitted and extrapolated from the Pareto 353 distribution to estimate smaller reservoirs not included in the CRD database. As a result, there 354 is an overall good fitting in the Pareto model for the CRD reservoirs in the scale of 0.01-10 km<sup>2</sup> 355 (Figure 3a). In addition, the Pareto distributions in each basin are similar to that on the national 356 357 scale (Figure 3b-k).



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Figure 3. China reservoir area and count using a Pareto model. Distributions are plotted as the total number of reservoirs larger than a given surface area in China (a) and ten first-level water

resources divisions (b-k). Blue circles intersecting the fitting lines represent the values used for
 model fitting. Note: SER-Southeastern River, HR-Haihe River, HuR-Huaihe River, YR-Yellow

- 363 River, LR-Liaohe River, SHR-Songhua River, NWR-Northwest River, SWR-Southwest River,
- 364 YZR-Yangtze River, PR-Pearl River.

## 365 **4.2 Accuracy evaluation of the CRD database**

366 To evaluate the commission and omission accuracy of the CRD database, we randomly selected 367 sub-basin areas in each first-level river basin across China and manually checked 3,634 368 reservoirs (Figure 4). The collection of the validation sub-basins followed the Create Random Sampling Points method. Most of them are third-level river basins. However, for the Yangtze 369 370 River and the Yellow River basins with more reservoirs, four sub-basins were selected to evenly 371 distribute the sampled reservoirs. For each sampled reservoir, we manually confirmed its 372 relevant information with the recorded in the Tiandi Map. We overlapped 3,634 selected 373 samples with Tiandi Map to validate the geo-matching accuracy of the CRD. Then, we manually 374 checked whether the spatial coordinates of each sample were consistent with those recorded in 375 the Tiandi Map. In addition, we conducted a second round quality control to check if any 376 reservoirs were missing.





Figure 4. The distribution of all sampled validation reservoirs.

379 As shown in Table 3, the overall evaluation accuracy for the CRD database is 95.13%, ranging

380 from 92.79% to 97.17% in different basins. The main cause of errors in most basins is the

381 misclassification of "false" reservoirs (commission error), such as ponds and paddy fields. Also,

these ponds and paddy fields are generally less than 0.10 km<sup>2</sup>. In comparison, the accuracy was

383 lowest in the Southwest River basin due to the commission error.

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Table 3. Accuracy validation in each river basin.

Region	Sample	Commission error	Omission error	Total error	Accuracy (%)
SER	393	9	16	25	93.64
HR	167	8	3	11	93.41
HuR	311	8	6	14	95.50
YR	289	12	4	16	94.46
LR	212	5	2	7	96.70
SHR	195	13	0	13	93.33
NWR	214	8	0	8	96.26
SWR	222	16	0	16	92.79
YZR	1278	28	29	57	95.54
PR	353	5	5	10	97.17

Note: SER-Southeastern River, HR-Haihe River, HuR-Huaihe River, YR-Yellow River, LR-Liaohe River,
SHR-Songhua River, NWR-Northwest River, SWR-Southwest River, YZR-Yangtze River, PR-Pearl
River. "Commission error" represents geocoding errors where the CRD information is inconsistent with
the validation reference. "Omission error" indicates the number of missing reservoirs in the samples.

# 389 4.3 Spatial distribution of reservoirs in China

The total area of reservoirs in China is 50,085.21 km<sup>2</sup>, and the total storage capacity is estimated 390 391 to be 979.62 km<sup>3</sup>. The spatially divergent pattern is generally characterized by the topographic 392 division of the Hengduan Mountains in the east-west direction and the Qinling Mountains and 393 the Huaihe River in the north-south direction. The overall distribution of the reservoirs is 394 bounded by the Heihe-Tengchong Line that is widely recognized as a separated line for the 395 contrasting pattern of population, industrial development, and landscape characteristics, decreasing from southeast to northwest. Latitudinally, reservoirs in China are dominantly 396 397 distributed in the belt between 20-30°N, both in terms of count and area, whereas longitudinally, 398 reservoirs in China are concentrated between 100-120°E.

Chinese reservoirs are widely distributed and have obvious agglomeration characteristics.
Reservoirs are distributed not only from the hot and humid southern areas to the arid desert
areas but also from the eastern coastal areas to the Qinghai-Tibet Plateau. From Figure 5,

- 402 reservoirs are mainly distributed in China's major "Commodity Grain Production Bases" that
- 403 have a relatively great demand for agricultural irrigation, such as the Poyang Lake and Dongting

Lake Plain, Huaihe River basin, Songnen Plain, and Sanjiang Plain. Moreover, many large
reservoirs are accumulated in areas with large elevation drops and abundant water resources.
For example, reservoirs in Sichuan province are clustered along the main stems of Fujiang River,
Jialing River, and Yangtze River. In addition, as a major water supply, many reservoirs are
concentrated in urban areas such as the Shandong Peninsula urban agglomerations. In the
Shandong Peninsula, reservoirs are mainly concentrated in Yimeng Mountain and the Bohai
Rim area.



Figure 5. Spatial distribution of reservoirs in China (a). The histogram and lines represent the area and count of reservoirs in China by 0.1° latitude (b) and longitude (j), respectively. The ci subgraphs show the details of Baishi Reservoir, Miyun Reservoir, Danjiangkou Reservoir, Three Gorges Reservoir, Longyangxia Reservoir, Nuozhadu Reservoir, and Daxihaizi Reservoir.

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#### 416 **4.4 Distribution characteristics of reservoir storage capacity in China**

In terms of storage capacity spatial distribution, reservoirs with substantial storage capacity are mostly found in the Yangtze River and the Pearl River. Many major reservoirs have been built in the Southwest River in recent years, primarily in the upper stages of the Lancang, Yuan, and Nujiang rivers. The Huaihe River and Haihe River basins, on the other hand, have several

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421 reservoirs, although their storage capabilities are limited, owing to the flat terrain's minimal 422 elevation changes. While the Yellow River has no evident benefit in terms of count or storage 423 capacity, it has the biggest reservoir regulation of any basin, and its total reservoir capacity has 424 reached three times its annual runoff.

425 The distribution of reservoir storage capacity in China is shown in Figure 6. There are 135 426 reservoirs with a storage capacity of above 1 km<sup>3</sup> (see Figure 6b), accounting for 60.81% of the 427 total. Among them, there are 15 reservoirs with a storage capacity of more than 10 km<sup>3</sup> in China, 428 accounting for 29.39% of the total reservoir capacity. Also, the top 10 reservoirs (Three Gorges 429 Reservoir, Danjiangkou Reservoir, Longtan Reservoir, Longyangxia Reservoir, Nouzhadu 430 Reservoir, Xin'anjiang Reservoir, Xiaowan Reservoir, Shuifeng Reservoir, Xinfengjiang 431 Reservoir, and Xiluodu Reservoir) are mainly distributed in the Yangtze River, Pearl River, and 432 Southwest River, which are rich in water resources. These ten reservoirs alone account for 23.51% 433 of the total storage capacity of the CRD.



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Figure 6. Distribution of reservoir storage capacity in China. Panel a shows all 97,435 reservoirs in CRD, which are displayed in gradient color according to the total storage capacity of reservoirs in the  $0.1^{\circ} \times 0.1^{\circ}$  gridded statistics. Panel b shows the 135 reservoir larger than 1 km<sup>3</sup>.

Furthermore, we analyzed the distribution characteristics of reservoir number, area, and storage capacity in each primary and secondary watershed of the water resources division. The big bubbles illustrated in Figure 7 represent basins with a large count, large area, and large storage capacity, which belong to the Yangtze River. Almost all the second-level river basins with relatively large storage capacity are distributed in the middle and upper reaches of the Yangtze River, including the Dongting Lake Basin, Poyang Lake Basin, the Jinsha River Basin, and the Han River Basin.



Figure 7. Bubble chart of reservoir count, area, and storage capacity of each basin in the firstlevel (a) and second-level (b) hydrologic basins. Different colors represent the ten first-level
basin units. Bubble size represents the size of reservoir capacity. Note: SER-Southeastern River,
HR-Haihe River, HuR-Huaihe River, YR-Yellow River, LR-Liaohe River, SHR-Songhua River,
NWR-Northwest River, SWR-Southwest River, YZR-Yangtze River, PR-Pearl River.

### 451 **5 Discussions**

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## 452 **5.1 Comparisons with other reservoir databases**

To better examine the supplemented reservoirs in the CRD database over Chinese territory, we 453 454 compared the CRD reservoirs with the widely recognized and publicly available reservoir/dam 455 databases, including GOODD, GeoDAR v1.1, and GRanD v1.3. Figure 8 shows the contrasts among these four databases in the count, area, and storage capacity. Since GOODD does not 456 457 provide reservoir attribute information (except locations and catchment areas), it is only compared with CRD in reservoir count. The quantity of reservoirs in CRD (97,435) exceeds 458 459 those of the Chinese subsets of the global databases (from 9,238 in GOODD to 921 in GRanD) 460 by one to two orders of magnitude. CRD increased the total reservoir area by about 169% and 461 194% compared with GeoDAR and GRanD, respectively. In comparison, the total storage 462 capacity of CRD exceeds the GeoDAR and GRanD by 249.23 km<sup>3</sup> and 293.51 km<sup>3</sup> in China, respectively. Notably, although GeoDAR still largely exceeds GRanD in dam count, their total 463 464 storage capacity was comparable, with GeoDAR increasing its reservoir storage capacity by approximately 6% (44 km<sup>3</sup>). This is because GRanD has included the largest reservoirs in China. 465 We also compared CRD with the three global databases at different levels of reservoir areas. As 466 467 shown in Figure 9a, the advantage of CRD is most evident in the supplement of reservoirs with 468 an area less than 1 km<sup>2</sup>, particularly reservoirs smaller than 0.1 km<sup>2</sup>. Therefore, the total

- reservoir areas of the corresponding CRD database with an area smaller than 0.1 km<sup>2</sup> and 0.1-
- $470 \qquad 1 \text{ km}^2 \text{ are also higher than those of other databases. For larger reservoirs (1-10 \text{ km}^2, 10-100 \text{ km}^2, 1$
- 471 and larger than 100 km<sup>2</sup>), the counts of CRD, GeoDAR, and GRanD have little difference, but
- 472 the CRD area is slightly higher, mainly because the reservoir polygons applied in this study
- 473 represent the maximum water extents. In addition, we found that the storage capacity of CRD
- 474 reservoirs increased at different area levels, with an average increase of 54.28 km<sup>3</sup>.



476 Figure 8. Comparison of reservoirs in count (a), area (b), and storage capacity (c) between the



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Figure 9. Comparison of reservoirs in count (a), area (b), and storage capacity (c) between the
CRD database, GeoDAR v1.1, and GRanD v1.3 database with different area levels.

481 Figure 10a shows the distribution of large reservoirs (storage capacity larger than 3 million m<sup>3</sup>) 482 in the upper reaches of the Yangtze River in GRanD v1.3, GeoDAR v1.2, and CRD. Because 483 the GOODD dataset is limited by the basic property (reservoir storage capacity, dam height), it 484 was not included in this comparison. GeoDAR v1.2 incorporates GRanD v1.3 so that the pattern 485 of large reservoirs in the upper Yangtze River is generally comparable between the two databases. Compared with GRanD v1.3 and GeoDAR v1.2, CRD has added 16 large reservoirs 486 487 in the upper reaches of the Yangtze River, with a total storage capacity of 52.60 km<sup>3</sup>, of which 488 the total storage capacity of new reservoirs constructed in the past five years accounted for 489 77.00% (40.50 km<sup>3</sup>). The large reservoirs dominate the total storage capacity in the basin. 490 Therefore, the increase of new large reservoirs dammed in recent years is one of the major 491 differences of CRD in storage capacity. As shown in Figure 10b-c, GRanD v1.3, GeoDAR v1.2, 492 GOODD, and CRD can all digitize reservoirs on rivers with catchments of more than 10 km<sup>2</sup>. 493 However, many smaller reservoirs were not compiled in GRanD v1.3, GeoDAR v1.2, and 494 GOODD.



Figure 10. Comparisons between GRanD v1.3, GeoDAR v1.2, GOODD, and CRD in selected
regions of China. Distribution of the large reservoirs (storage capacity larger than 3 million m<sup>3</sup>)
in the upper reaches of Yangtze River (a). Distribution of reservoirs in GRanD v1.3, GeoDAR
v1.2, GOODD, and CRD in a 10-level sub-basin of Poyang Lake (b-d). Bright green triangles,
orange squares, dark green diamonds, and red dots represent GRanD v1.3, GeoDAR v1.2,
GOODD, and CRD, respectively. Background image source: ESRI imagery base map.

## 502 **5.2** Analysis on the accumulation hotspots of the CRD reservoir distribution

503 The construction of hydropower stations alleviates the energy shortage in China, reduces the 504 consumption of non-renewable coal energy, and makes a great contribution to the sustainable 505 development of China's economy and society. To further understand the characteristics of 506 reservoir accumulation distribution in China, we quantified the degree of reservoir 507 accumulation from the dimensions of the count, area, and storage, respectively.

508 Figure 11 shows the reservoir accumulation degree in the count, area, and storage capacity of 509 the CRD reservoirs. High reservoir density hotspots can be observed in the Yangtze River's 510 middle and lower reaches, mainly in the Poyang Lake and Dongting Lake basins. These two-511 lake basins have rugged terrains, which provide topographic convenience for constructing 512 reservoirs. Besides, the basins are densely populated and are an important commodity grain 513 base, so reservoirs are critical to meeting the agricultural irrigation water demand. The large 514 labor force also facilitated reservoir construction. The construction of small and medium-sized 515 reservoirs in China reached a peak era under the impact of the new and old "three pillars" policy 516 from the founding of the People's Republic of China in 1949 to the reform and openness in 517 1978.

518 Figure 11b shows that the hotspots in the reservoir area are mainly distributed in Yangtze River, 519 Northeast China, and Huaihe River, where the terrain is relatively flat. Combined with the boom 520 of building small reservoirs throughout the country during the "Great Leap Forward" period, the practice of "one piece of land for one piece of sky" even appeared in the Huaibei Plain, 521 522 resulting in many reservoirs and a large total area in the Huaihe River. Compared with the 523 storage accumulation hotspots shown in Figure 8c, we found that large reservoirs are mostly 524 localized in the upper reaches of the Yangtze River and the Pearl River. It is mainly because the 525 Chinese reservoir construction entered the era of a big hydropower project in the 21st century. 526 With the construction of Xiaolangdi Reservoir, Three Gorges Reservoir, and other large 527 hydropower stations as examples, China has built a series of large reservoirs in the southwest 528 of China, where there are large elevation drops and abundant stream powers, such as the Jinsha 529 River (the upper reaches of the Yangtze River), the upper reaches of the Pearl River, and the 530 upper reaches of the Lancang River.



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Figure 11. Distribution map of the accumulation degree of the reservoir count (a), area (b), andstorage capacity (c) in CRD.

# 534 6 Data availability

535 The China Reservoir Database (CRD) is publicly available for download from the Zenodo 536 repository https://doi.org/10.5281/zenodo.6984619. The database is supplied as both shapefile 537 format and the comma-separated values (csv) format.

#### 538 **7 Conclusions**

539 In this study, the location information of a total of 97,435 reservoirs in China has been identified 540 and collected in the China Reservoir Dataset (CRD) by compiling multiple existing 541 dam/reservoir products, national basic geographic datasets, multi-source open map data, and 542 multi-level government yearbooks and database. Then, by merging three remote sensing 543 waterbody products, the maximum water inundation area was extracted for each of the identified reservoirs. Based on a collection of 5,143 reservoirs with official storage capacity 544 records, an empirical model fitting the reservoir area-storage relationship was established to 545 estimate the storage capacities of other unrecorded reservoirs in CRD. The compiled reservoirs 546 547 in CRD have a total maximum inundation area of 50,085.21 km<sup>2</sup> and a total storage capacity of about 979.62 km<sup>3</sup> (924.96-1060.59 km<sup>3</sup>). 548

549 Based on the CRD database, the spatial distribution characteristics of reservoir count, area, and 550 storage capacity were comprehensively analyzed and compared. In addition, we discussed the major updates of CRD over Chinese territory compared with other commonly-used global 551 dam/reservoir databases and the potential causes of several hotspots of the reservoir 552 concentration in the context of China's socioeconomic development and major policy 553 554 implementations. The results show that reservoirs are widely distributed across China, yet there 555 are strong spatial heterogeneities with several concentration hotspots. The Yangtze River basin 556 has the most dominant distribution in terms of reservoir count, area, and storage capacity. 557 Specifically, the reservoirs are mainly concentrated in the basins of Dongting Lake, Poyang Lake, and the Han River, the middle and lower reaches of the Huaihe River and the Yangtze 558 559 River, the Shandong Peninsula, the Sichuan Basin, and the Yunnan-Guizhou Plateau. The CRD

- 560 database has greatly improved the reservoir mapping in terms of count, area, and storage
- 561 capacity compared with existing dam/reservoir products over the territorial area of China. The
- prominent advantage of CRD could be a complete map of reservoirs smaller than 1 km<sup>2</sup>. The
- 563 CRD database can be used for a wide range of reservoir impact assessments and is expected to
- benefit water resources management, river system investigation, hydrological modeling, and
- other aspects in scientific research and sector practices.

## 566 8 Author contribution

567 CS: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Programming, Project administration, Quality assurance, Quality control, 568 Supervision, Validation, Visualization, Writing - original draft preparation, and Writing -569 570 review and editing. CF: Data curation, Formal Analysis, Investigation, Methodology, 571 Programming, Validation, Visualization, Quality control, Writing - original draft preparation, and Writing - review and revision. JZ: Conceptualization, Data curation, Formal Analysis, 572 573 Investigation, Methodology, Programming, Quality control, and Writing – review and revision. 574 JW: Methodology, Quality control, Supervision, Validation, Writing - review and revision. YS: 575 Quality control, Supervision, and Writing - review and revision. KL: Quality control, Validation, and Writing – review and revision. TC: Quality control, Validation, and Writing – 576 577 review and revision. PZ: Quality control and Validation. SL: Quality control and Validation. 578 CY: Quality control and Validation. LK: Quality control, Validation, and Writing - review and 579 editing.

# 580 9 Competing interests

581 The authors declare no conflict of interest.

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