

1 **A comprehensive geospatial database of nearly 100,000 reservoirs in China**

2 Chunqiao Song^{1*}, Chenyu Fan^{1, 2*}, Jingying Zhu^{1, 2*}, Jida Wang³, Yongwei Sheng⁴, Kai Liu¹,
3 Tan Chen¹, Pengfei Zhan^{1, 2}, Shuangxiao Luo^{1, 2}, [Chunyu Yuan^{1, 5}](#), -Linghong Ke⁶

4 ¹ Key Laboratory of Watershed Geographic Sciences, Nanjing Institute of Geography and
5 Limnology, Chinese Academy of Sciences, Nanjing 210008, China.

6 ² University of Chinese Academy of Sciences, Beijing 100049, China.

7 ³ Department of Geography and Geospatial Sciences, Kansas State University, Manhattan, KS
8 66506, USA.

9 ⁴ Department of Geography, University of California, Los Angeles, CA 90095, USA.

10 [⁵ College of Surveying and Land Information Engineering, Henan Polytechnic University,](#)
11 [Jiaozuo 454000, China.](#)

12 [^{5, 6} College of Hydrology and Water Resources, Hohai University, Nanjing 210098, China.](#)

13 * *Correspondence to* cqsong@niglas.ac.cn, fanchenyu21@mails.ucas.ac.cn, or

14 zhujingying18@mails.ucas.ac.cn

15 Abstract

16 With rapid population growth and socioeconomic development over the last century, a great
17 number of dams/reservoirs have been constructed globally to meet various needs. China has
18 strong economical and societal demands for constructing dams and reservoirs. The official
19 statistics reported more than 98,000 dams/reservoirs in China, including nearly 40% of the
20 world's large dams. Despite the availability of several global-scale dam/reservoir databases
21 (e.g., the Global Reservoir and Dam database (GRanD), the GLObal geOreferenced Database
22 of Dams (GOODD), and the Georeferenced global Dams And Reservoirs (GeoDAR)), these
23 databases have insufficient coverage of reservoirs in China, especially for small ~~and-or~~ newly
24 constructed ones. The lack of reservoir information impedes the estimation of water budgets
25 and evaluation of dam impacts on hydrologic and nutrient fluxes for China and its downstream
26 countries. Therefore, we presented the China Reservoir Dataset (CRD), which contains 97,435
27 reservoir polygons as well as fundamental attribute information (e.g., name and storage capacity)
28 based on existing dam/reservoir products, national basic geographic datasets, multi-source open
29 map data, and multi-level governmental yearbooks and databases. The reservoirs ~~in~~ compiled
30 in CRD have a total maximum water inundation area of 50,085.21 km² and a total storage
31 capacity of about 979.62 ~~Gt~~ km³ (924.96-1060.59 ~~km³Gt~~). The quantity of reservoirs decreases
32 from the southeast to the northwest, and the density hotspots mainly occur in hilly regions and
33 large plains, with the Yangtze River Basin dominating in reservoir count, area, and storage
34 capacity. We found that these spatial accumulations of reservoirs are closely related to China's
35 socioeconomic development and the implementation of major policies. Finally, we
36 ~~presented~~discussed the ~~comparison~~improvements of CRD ~~in-comparison~~ with GOODD,
37 GeoDAR, and GRanD ~~datasets~~databases. CRD has significantly ~~increased~~improved the
38 reservoir count, area, and storage capacity in China, especially for reservoirs smaller than 1 km².
39 The CRD database provides more comprehensive reservoir spatial and attribute information
40 and is expected to benefit water resources managements and the understanding of ecological
41 and environmental impacts of dams across China and its affected transboundary basins.

42 **1 Introduction**

43 Reservoirs and their dams play a crucial role in green energy generation and water resources
44 management. Since the mid-20th century, the ever-growing human demands for water use and
45 hydropower ~~has~~have driven an unprecedented boom in reservoir construction worldwide (Chao
46 et al., 2008; Wada et al., 2017). The dam construction and reservoir impoundment can lead to
47 many potential environmental and socio-economic impacts (Jiang et al., 2018; Zarfl et al., 2019).
48 These concerned consequences mainly include the threat to biodiversity and ecosystems
49 (Winemiller et al., 2016), change in the hydrological regime (Zhang et al., 2019; Vörösmarty
50 et al., 2003), degradation of water quality (Zarfl et al., 2019; Barbarossa et al., 2020),
51 modification of the geochemical cycle (Maavara et al., 2020), alternation of the- river
52 morphology (Bednarek, 2001; Nilsson and Berggren, 2000; Winemiller et al., 2016; Grill et al.,
53 2019; Latrubesse et al., 2017; Bond and Cottingham, 2008; Nilsson et al., 2005; Wang et al.,
54 2017a; Wang et al., 2013), disturbance in climate regimes (Pekel et al., 2016; Degu et al., 2011;
55 Wang et al., 2017b; Van Manh et al., 2015), migration of human settlement (Tilt et al., 2009),
56 and changes in the land-use patterns (Stoate et al., 2009; Carpenter et al., 2011).

57 Despite these controversial effects, artificial reservoirs have been constructed widely across
58 many basins of the world, serving a variety of purposes such as hydropower generation, water
59 supply, irrigation, navigation, flood control, recreation, and navigation (Belletti et al., 2020;
60 Biemans et al., 2011; Döll et al., 2009; Grill et al., 2019; Boulange et al., 2021). In addition,
61 reservoirs assist water managers in converting natural flow conditions into flow conditions that
62 meet human demands, which is especially important in locations where water resources are
63 restricted due to the hydrologic seasonality or the growing influences of climate change and
64 variability (Richter et al., 2006).

65 The solution to balance the benefits and consequences of reservoirs should not be a simple
66 decision on whether or not to construct them. The significant benefits and the additional effects
67 highlight the importance and necessity for a holistic picture of the reservoir distributions and
68 continuous monitoring of them to understand the impacts better. Information and data regarding
69 reservoirs are rather crucial for scientists, practitioners, and policymakers owing to various
70 purposes, for instance, estimation of water budgets and impacts on hydrologic and nutrient
71 fluxes on regional or global scales (Chao et al., 2008; Bakken et al., 2013; Bakken et al., 2016;
72 Popescu et al., 2020; Postel, 2000), water availability projection or flood/drought risk
73 mitigation (Di Baldassarre et al., 2017; Ehsani et al., 2017; Elmer et al., 2012; Veldkamp et al.,
74 2017; Metin et al., 2018), assessment of hydropower station construction (Bertoni et al., 2019;
75 Gernaat et al., 2017; Xu et al., 2013; Moran et al., 2018; Winemiller et al., 2016), and

76 investigation of biotic disturbance (Latrubesse et al., 2017; Maavara et al., 2020; Dorber et al.,
77 2020; Sabo et al., 2017). Considering reservoirs in physical models can significantly improve
78 the modeling performance (Gutenson et al., 2020). The modeling requires ~~knowing~~ a minimum
79 set of the reservoir characteristics, including their spatial location, abundance, area, and storage
80 capacity. Besides, the reservoirs are considered a key source of greenhouse gases (GHGs),
81 partly offsetting the carbon sink of continents (St. Louis et al., 2000; Aufdenkampe et al., 2011;
82 Barros et al., 2011; Raymond et al., 2013; Deemer et al., 2016). There is thus an increasing
83 concern about the true GHGs fluxes from reservoirs. Answering these questions requires a
84 comprehensive database depicting reservoir distributions and properties, especially for
85 hydropower-boom regions in Asia, South America, and Africa.

86 China has a strong economical and societal demand for hydroelectric development, flood
87 control, and agricultural irrigation. In 2007, China's Medium-and Long-Term Plan for
88 Renewable Energy Development projected constructing 300 GW of gross installed hydropower
89 capacity by 2020, exceeding the doubled capacity in 2007. The installed hydropower capacity
90 target has been reset to 420×10^6 kW by 2020, representing a 70% increase in 2012. In China,
91 more than 60% of total water consumption is taken by the agricultural water sector, among
92 which 90% of the quota is shared by ~~the~~ irrigation water use (Jiang et al., 2018). Therefore,
93 reservoir construction in China has experienced ~~a~~ drastic growth. The number of Chinese
94 reservoirs increased slowly after the 1980s and soared to the count of 98,000 around 2015
95 (MWR, 2016). According to the register of the International Commission on Large Dams
96 (ICOLD and CIGB, 2011), China possesses nearly 40% of the global large dams (storage
97 capacity greater than 0.1 km^3). However, little is known ~~on~~ about the spatial locations and
98 related georeferenced information of these constructed reservoirs at the national level for China.

99 There have been multiple efforts paid to ~~inventory global~~ produce global -reservoirs inventory,
100 including those of China. The most recognized and comprehensive database is the World
101 Register of Dams (WRD), hosted and maintained by ICOLD, which reports 23,841 dams for
102 China. However, as this database is not georeferenced, its utility is severely limited. The Global
103 Reservoir and Dam database (GRanD) (Lehner et al., 2011) was an initiative database that can
104 provide global geospatial details about reservoirs and their attributes. Its latest version, v1.3,
105 contains 7,320 dams/reservoirs, with a cumulative capacity of $6,881 \text{ km}^3$, while only 921
106 Chinese reservoirs were included. In recent, the GLObal geOreferenced Database of Dams
107 (GOODD) (Mulligan et al., 2020) and the Georeferenced global Dams And Reservoirs dataset
108 (GeoDAR) (Wang et al., 2022) were published, containing more than 38,000 and 20,214
109 reservoirs in a global scale, respectively. GOODD was manually digitized from high-resolution
110 Google Earth imagery, whereas GeoDAR was georeferenced from ICOLD WRD with a full

111 harmonization with GRanD. For the Chinese territory, the GOODD and GeoDAR databases
112 contain 9,238 and 4,859 reservoirs, respectively, still significantly below the scales of WRD
113 and MWR. Given the lacked information, a comprehensive and spatially-explicit database of
114 reservoirs in China is required.

115 This study aims to share, as comprehensively as possible, fundamental open-access information
116 on reservoirs in China. We have compiled the database based on a variety of data sources,
117 including the national 1:250,000 public basic geographic database, the Almanac of China's
118 Water Power, three global reservoir inventories (GeoDAR v1.1, GRanD v1.3, GOODD V1.0),
119 and other published documents and online maps (e.g., Open Street Map (OSM) and Tianditu
120 Map). A comparison with GeoDAR, the GRanD, and GOODD was conducted to assess the
121 database. Our inventory contains significantly more reservoirs than the currently available
122 databases. This database can provide researchers with basic information on reservoir locations,
123 spatially-explicit inundation areas, water storage, and related details in China, with the goal of
124 advancing research on water resources, ecological and environmental consequences, and global
125 change impacts, and socioeconomic sector assessments on a national and worldwide scale.

126 **2 Data description**

127 **2.1 Multi-source data for compiling national reservoir locations**

128 2.1.1 Existing reservoir or dam databases

129 Before constructing the reservoir database in China, the data of existing dams and reservoirs
130 are preliminarily compiled as the basis for determining the location of reservoirs. Existing
131 dam/reservoir databases containing geographical information are one of the key spatial data
132 sources for reservoirs, including GRanD, GOODD, GeoDAR, and Future Hydropower Dams
133 (FHReD).

134 GRanD is a data product of the Global Water System Project and was released firstly in 2011
135 (Lehner et al., 2011). GOODD (Mulligan et al., 2020) is a comprehensive global dam database
136 provided by manual inspection and digitization based on multi-source remote sensing satellite
137 observations and Google Earth images. FHReD database collects spatial locations of reservoirs
138 that are currently being built or those are planned in the future (Zarfl et al., 2015). GeoDAR is
139 a global dam and reservoir geographic database based on the multi-source data fusion and
140 online geocoding of the ICOLD reservoir records (Wang et al., 2022). The FHReD database
141 provides information on 3,700 planned and under construction reservoirs worldwide, of which
142 251 reservoirs are located in China, and 97 have been dammed by 2020.

143 In this study, these above-mentioned databases were used to provide location information on

144 the part of China's reservoirs, particularly those of large size. We integrated the spatial
145 information of existing reservoirs in China and eliminated duplicate information. This way, the
146 CRD retains the spatial information of each unique Chinese reservoir in these three global
147 databases.

148 2.1.2 National basic geographic databases

149 The national 1:250,000 public basic geographic database covers the whole land area of China
150 and major islands. Overall, the map elements represent the landscape's situation around 2015.
151 The database, which contains nine element layers such as waterbody (point, line, and surface
152 layer), is treated with the security technology of spatial location accuracy and attribute content.
153 Reservoir information is contained in the waterbody layer provided by the basic topographic
154 map and the layers of natural place names (notes), most of which have name attributes and
155 spatial positioning information. Although the national surveying authorities provide the basic
156 terrain data, the spatial coordinates are biased due to the confidential processing of the map.
157 Therefore, we carried out rigorous data correction and quality control by referring to the high-
158 resolution Google Earth imagery. Finally, the database provided the spatial information
159 references of 27,047 reservoirs for the CRD database.

160 The Tiandi Map is an online-map system developed by the State Bureau of Surveying and
161 Mapping of China (<https://map.tianditu.gov.cn/>, only in Chinese), which provides the
162 geographic information services in two forms: portal and service interface. It integrates public
163 geographic information resources from national, provincial, and prefecture (county)-level
164 mapping and geographic information departments, relevant government departments,
165 enterprises and institutions, social groups, and the public. In addition, users can use the service
166 interface to call the authoritative, standard, unified online geographic information
167 comprehensive service of the Tiandi Map. In this study, the Tiandi Map was mainly used in two
168 aspects: firstly, as a base map for visual interpretation and supplementing the potentially
169 missing reservoirs. In this process, we initially identified about 60,000 potential reservoirs;
170 secondly, the map was used to provide the reservoir name attribute. According to the locations
171 of the reservoir checked by manual inspection based on the Tiandi Map, the name of the
172 reservoir was queried by calling its reverse geocoding API.

173 2.1.3 Open-source map data

174 Open-source maps such as OSM were another key source of obtaining reservoir locations. OSM
175 is a platform for users, organizations, or countries worldwide to organize and maintain multi-
176 source geographic information data. Map vector data is available for download under an open
177 database license. Due to OSM data's open-source and shared characteristics, the collected

178 multi-source geographic information data can be used as a supplement to other time-limited
179 databases. They can better reflect the changes in land surface information promptly. OSM
180 contains data such as water system, road traffic, natural boundary, land use, and construction.
181 Water system data provides part of reservoir polygon data with names, mainly compiled
182 manually by OSM users. Finally, the spatial locations of 89 reservoirs were obtained from the
183 OSM.

184 **2.2 Data sources for reservoir inundation area mapping**

185 Water inundation area is an important indicator of the reservoir and a variable for modeling
186 reservoir storage capacity. Since the reservoir area is dynamically changing, we considered the
187 maximum water area of the reservoir over the last several decades (1984-2020) in this study.
188 Moreover, the maximum water area of the reservoir can indirectly reflect its water storage
189 capacity. Therefore, we merged two water occurrence datasets, the Global Surface Water v1.0
190 (GSW) and Global Land Analysis and Discovery (GLAD), to obtain long-term historical
191 maximum water areas of each of the compiled reservoirs.

192 GSW is a remote sensing big data computing platform developed by Pekel et al. (2016) using
193 Google Earth Engine (GEE). Based on all available Landsat 5, 6, 7, and 8 data acquired from
194 1984 to the present, Pekel et al. (2016) used the expert classification system to divide each
195 available pixel into water bodies and non-water bodies and integrated the results into the data
196 of monthly, annual, and decadal timescales. The maximum water boundary, water inundation
197 frequency, water change intensity, water transition, water recurrence, seasonal water, monthly
198 water range, monthly water recurrence, and annual water range are provided. GLAD is the
199 global water body map from 1999 to 2019 obtained by Pickens et al. (2020) using GEE remote
200 sensing big data computing platform based on Landsat 5, 7, and 8 images. The surface water
201 range changes during this period were highlighted, and the water was classified into several
202 categories based on water probability, including permanent water area, seasonal water area, lost
203 water area, new water area, temporary land area, ~~and~~ temporary water area, and high change
204 area.

205 Considering that both the GSW and GLAD datasets are at 30 m resolution, we also applied
206 FROM-GLC10 at 10 m resolution based on Sentinel-2 data in 2017 (Gong et al., 2019) to
207 handle the incomplete mapping of extremely narrow boundaries for a few reservoirs located in
208 deep valleys. This database takes the existing land cover data as training samples. It combines
209 the data of the ~~Space~~ Shuttle Radar Terrain Mission (SRTM) on the GEE big data computing
210 platform to classify the data by random forest method to obtain the maps of alpine and swamp
211 areas with an overall accuracy loss rate of less than 1%. The training samples were classified

212 based on Landsat 8 original images and eight important indices commonly used in remote
213 sensing monitoring, such as normalized difference vegetation index, modified water index, and
214 normalized difference building index.

215 **2.3 Data sources for reservoir storage capacity estimation**

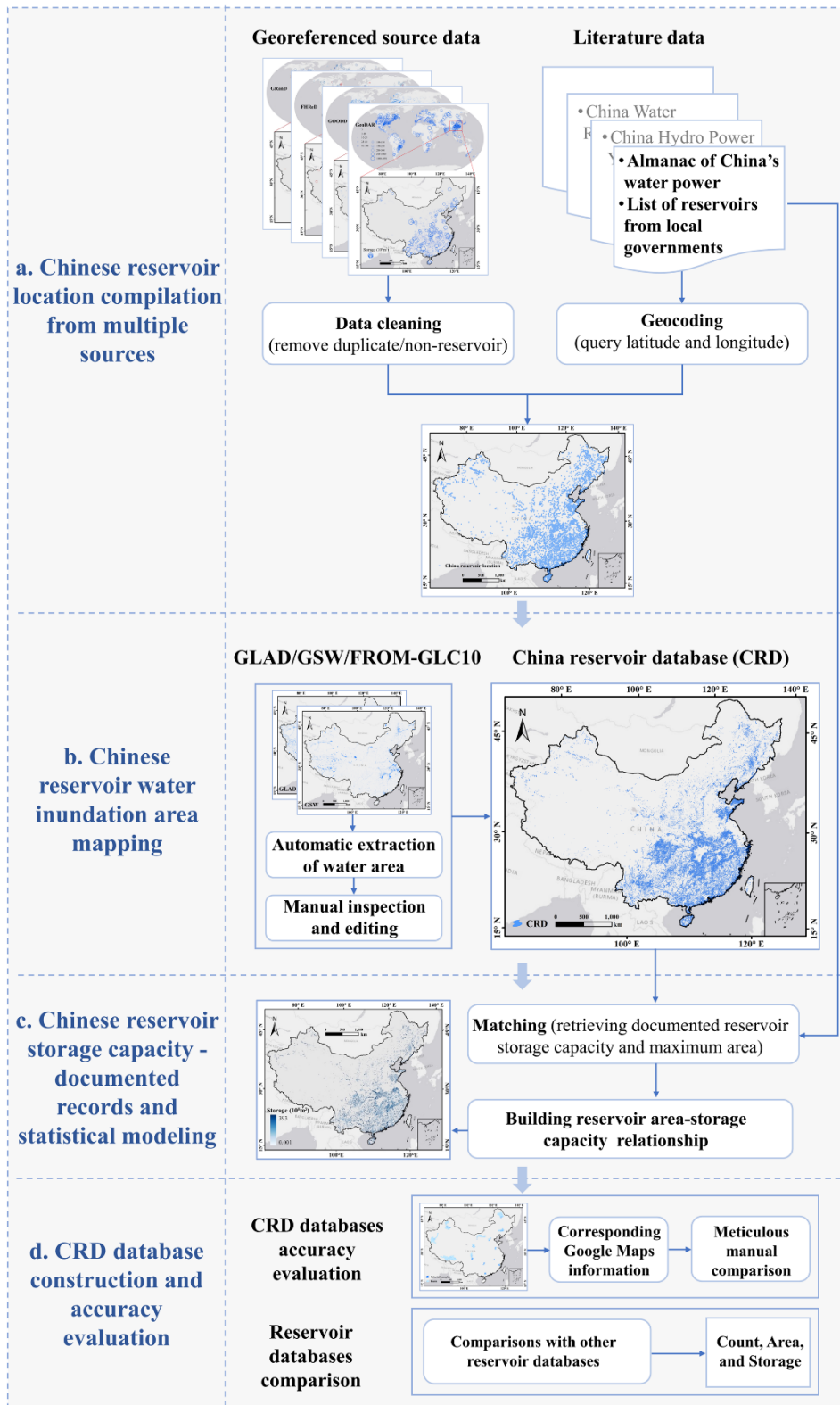
216 The reservoir storage capacity records were retrieved from various yearbook and documents,
217 including the Almanac of China's Water Power and other government documents. The Almanac
218 of China's Water Power is a professional industry yearbook for hydropower in China, providing
219 detailed information on China's mega reservoirs, including the reservoir location, the dam
220 purpose, the basin area, the storage capacity, and water level data of various types, and the dam
221 construction and impoundment time. Other government documents used in the study mainly
222 include the "List of Persons responsible for the safety of Large reservoirs in China in 2020"
223 issued by the Ministry of Water Resources, the "List of persons responsible for the safety of
224 large and medium-sized reservoirs" issued by different provinces and prefectures of China, and
225 the "List of Reservoirs in Hunan Province" issued by the Water Resources Department of
226 Hunan Province. The documents provide information on the type and location of the
227 dam/reservoir and the storage capacity of reservoirs of different sizes. Finally, from the
228 Almanac of China's Water Power and some other government documents, we collected
229 authoritative information on the locations and storage capacities of 5,143 reservoirs.

230 **3 Methodology**

231 **3.1 Reservoir location extraction**

232 To build this database, we started with a preliminary compilation of the location information of
233 Chinese dams and reservoirs from three types of data sources (see Figure 1a). The first type of
234 sources is the published georeferenced databases for dams and reservoirs, including GRanD,
235 GOODD, FHReD, and GeoDAR. We combined China's reservoir location information ~~of-with~~
236 the four published dam/reservoir products. After removing duplicates by manual inspection, we
237 obtained the names and locations of about 7,400 unique reservoirs. The second type of sources
238 ~~are-is~~ national basic geographic databases (including the national 1:250,000 public basic
239 geographic database and Tiandi Map), the Almanac of China's Water Power, and other
240 government documents. We checked the national 1:250,000 public basic geographic database,
241 and its drainage layer data and natural place name layer contained most reservoir information.
242 Here, the Tiandi Map was used a base map for visual interpretation to supplement missing
243 reservoirs in the national public basic geographic database. Moreover, we made a list of
244 reservoirs from the Almanac of China's Water Power and documents from local governments,
245 which only provided the ~~county-county~~-level address for each reservoir. We then employed the

246 Tiandi Map geocoding API to query the latitudes and longitudes of these reservoirs. Based on
247 the second type of data sources, we obtained the location information of about 90,000 reservoirs.
248 The third type of data sources is open map database, the OSM. From the OSM, we obtained the
249 location information of 89 reservoirs. After harmonizing the three types of sources, we
250 concluded with the locations of a total of 97,435 unique reservoirs in China.



251

252

Figure 1. Flow chart of constructing China reservoir database

253 **3.2 Reservoir water inundation extent mapping**

254 After determining the spatial location of all reservoirs, we extracted the historical maximum

255 water inundation extent (from the mid-1980s to 2020) of the corresponding reservoirs based on
256 GSW, GLAD, and FROM-GLC10 data (Figure 1b). GSW data can provide the maximum water
257 area of reservoirs with a long-time series from 1984 to 2020. GLAD only maps images over
258 the last 20 years, but it combines Landsat with Sentinel-1 and Sentinel-2 to provide higher
259 temporal resolution to describe ephemeral surface water better. Through comparative inspection,
260 we found that GLAD could describe the water area details more completely for some reservoirs,
261 especially narrow river-channel reservoirs. Therefore, we merged GSW and GLAD datasets to
262 obtain the maximum water area of all reservoirs. In addition, the FROM-GLC10 is based on
263 the Sentinel 10-m resolution imagery data, which can identify relatively small reservoirs
264 (reservoir area smaller than 0.01 km²). Therefore, we also supplemented a few narrow river-
265 channel reservoirs, especially those in mountainous regions of Zhejiang, Fujian, Sichuan,
266 Jiangxi, and Guangxi provinces. The automatically-extracted water masks by intersecting with
267 our compiled reservoir point locations were visually inspected and if necessary, manually edited
268 (such as to separate the reservoir from the river segment) to form quality-controlled reservoir
269 boundaries. Up to now, there are still reservoirs that have not been collected except those
270 identified in Section 3.1. So, all the remaining water bodies were manually checked by
271 overlapping with the Google Earth high-resolution images to minimize the number of missed
272 reservoirs. Finally, a total of 97,435 reservoir polygons were extracted.

273 For reservoirs without corresponding names, the reverse geocoding API of Tiandi Map was
274 used to query the names of corresponding reservoirs. Here, the reverse geocoding API refers to
275 entering the reservoir's coordinate and then returning the relevant name information of the
276 corresponding reservoir. Eventually, 66,253 reservoirs were identified and supplemented with
277 the name attribute.

278 **3.3 Reservoir storage capacity and residence time estimation**

279 Reservoir storage capacity is one of the basic information about reservoirs. As shown in Figure
280 1c, the source of reservoir storage capacity in the CRD database is mainly divided into two
281 types: the recorded values obtained from the yearbook and government documents as
282 mentioned in Section 2.2, and statistical estimations by an empirical model.

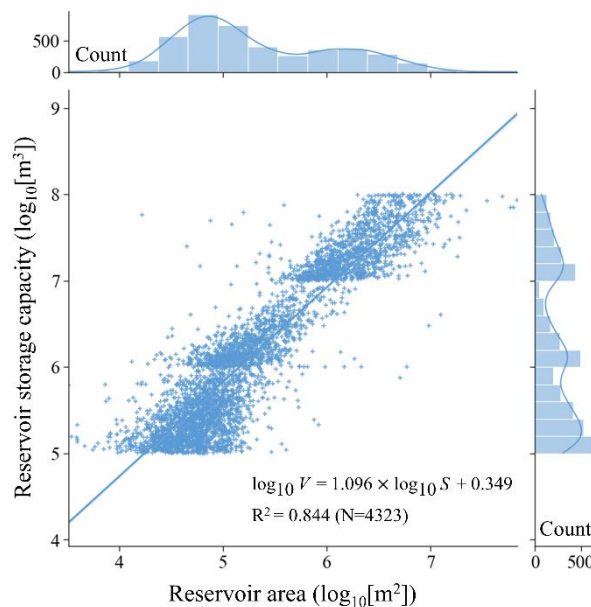
283 According to the yearbook and other documents (Section 2.2), we collected the storage capacity
284 records for 5,143 reservoirs in various sizes, among which 162 Type-I super-large reservoirs
285 (storage capacity greater than 1 km³Gt), 580 Type-II large reservoirs (0.1-1 km³Gt), and 4,407
286 small and medium-sized reservoirs (smaller than 0.1 km³Gt). As super-large reservoirs (mostly
287 ~~eanyon-canyon~~-type reservoirs) tend to have different hypsometric (area-storage relationship)
288 characteristics from small and medium-sized reservoirs (mostly in plain and hilly areas), we

289 excluded the 742 large reservoirs from model calibration. In addition, we removed 84 reservoirs
 290 that do not conform small and medium-sized reservoirs class (storage capacity smaller than 0.1
 291 km^3Gt). The statistical relationship between inundation area and storage of a total of 4,323
 292 reservoirs was established to estimate and supplement the capacity estimation of the remaining
 293 unrecorded small and medium-sized reservoirs. The empirical model was used to fit the storage
 294 capacity and area of the existing recorded reservoirs (Figure 2). The fitting equation is as
 295 follows, and the R^2 is 0.844.

$$296 \quad \log_{10} V = 1.096 \times \log_{10} S + 0.349 \quad (1)$$

$$297 \quad \text{SMAPE} = 100 \times \frac{1}{N} \sum \frac{|\text{observed value} - \text{predicted value}|}{(\text{observed value} + \text{predicted value})/2} \quad (2)$$

298 where V represents the reservoir storage capacity in the unit of m^3 , and S represents the ~~reservoir~~
 299 ~~maximum~~ ~~maximum reservoir~~ area in the unit of m^2 . We calculated the SMAPE (Symmetric
 300 Mean Absolute Percentage Error) of estimated storage capacity was biased of 32.62-32.64% at
 301 the 95% confidence interval based on the fitted model. Finally, the recorded values from
 302 yearbook and other documents are regarded as the storage capacity of 5,143 reservoirs, totaling
 303 about 803.29 km^3Gt . The other 92,292 reservoirs storage capacity ~~were was~~ estimated ~~by~~ using
 304 their maximum inundation areas as in equation (1), with a total of 176.33 km^3Gt , ranging from
 305 121.67 km^3Gt to 257.30 km^3Gt . Therefore, the total storage capacity of Chinese reservoirs is
 306 979.62 km^3Gt (924.96-1060.59 km^3Gt).



307
 308 Figure 2. Fitting relationship of area and storage capacity of small and medium-sized reservoirs.
 309 The bars and broken lines in the subgraph respectively represent the count of scattered points
 310 and kernel density in the corresponding interval. The upper and right subplots correspond to the

count of reservoir area and storage capacity values, respectively.

HydroSHEDS provides hydrographic baseline information in a consistent and comprehensive format to support regional and global watershed analyses and hydrological modeling. It is currently considered the leading global product in terms of quality and resolution (Lehner and Grill, 2013). HydroRIVERS is extracted from HydroSHEDS at a 15 arc-second resolution. HydroRIVERS represents a vectorized line network of all global rivers with a catchment area of at least 10 km² or an average river flow of at least 0.1 m³/s, or both. HydroRIVERS covers all rivers in the Pfafstetter Level 12 sub-basins of HydroBASINS and contains the attribute information of each river about an estimate of long-term average discharge. Here, we focused on reservoirs (17,185) located on HydroRIVERS rivers and extracted reservoir discharges based on HydroRIVERS. Moreover, these reservoirs cover 96% of CRD reservoirs larger than 1 km². The remaining smaller reservoirs, on the one hand, are not on the HydroRIVER rivers, on the other hand, it is difficult to obtain the discharge of smaller reservoirs. Therefore, they are generally not included in hydrological simulations. Notably, while the CRD database provided information about reservoir discharge and residence time, these data can be updated for specific hydrological modeling. The equation of average residence time is as follows:

$$RES_T = \frac{V}{DIS_AV_CMS} \quad (3)$$

where DIS_AV_CMS represents the reservoir discharge in the unit of m³/s, and RES_T represents the reservoir residence time in the unit of year. The R² of the estimated reservoir residence times and the corresponding results of HydroLAKES reservoirs is 0.82.

4 Results

4.1 Description of the CRD database

This database catalogs the location information of 97,435 reservoirs in China, with an aggregated area of 50,085.21 km² and an estimated total storage capacity of 979.62 km³Gt (924.96-1060.59 km³Gt). The 5,143 reservoirs in the CRD database were directly derived from the yearbook and other documents data, accounting for 59% and 82% of the total reservoir area and storage capacity of the CRD database, respectively. This reservoir information was mainly obtained through manual compilation. The attributes of the recorded reservoirs include the longitude and latitude of the reservoir, name, province, prefecture, and county where the reservoir is located, water area, Normal storage level~~normal water level~~, storage capacity, reservoir class, main use, and regulation type (Table 1). The attributes of all the CRD reservoirs (in all cases) include location information (longitude, latitude, province, prefecture, and county), inundation area, ~~and~~ estimated storage capacity, river order, -discharge, and residence time of

344 [reservoirs](#), as shown in Table 2.

345 Table 1. Attributes in the recorded (5,143) reservoirs from yearbook and document data.

Attribute	Description
ID	Reservoir ID in this database (type: integer).
Name	Name of the reservoir.
Lat	Latitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).
Lon	Longitude of the reservoir point (type: float, datum: WGS 1984, unit: °).
Province	Province in which the reservoir is located.
Prefecture	Prefecture in which the reservoir is located.
County	County in which the reservoir is located.
Area	Maximum water area of the reservoir (unit: km ²).
Normal elevation	Normal elevation of the reservoir (unit: m).
STOR_Recor	Total storage capacity of values from yearbook and literature records (unit: km³Gt).
ResvClass	Reservoir class (1: large Type-I, 2: large Type-II, 3: medium, 4: small Type-I, 5: small Type-II, 6: pumped storage type).
Comprehensive utilization	Main uses of the reservoir (mainly including power generation, water supply, shipping, flood control, and irrigation).
Type of regulation	Regulation types of reservoirs (mainly including day, week, season, and year).

Note: “Normal storage capacity” means that the reservoir reaches the storage capacity that can actually be used to regulate runoff.

346 Table 2. Attributes in all (97,435) reservoirs from CRD.

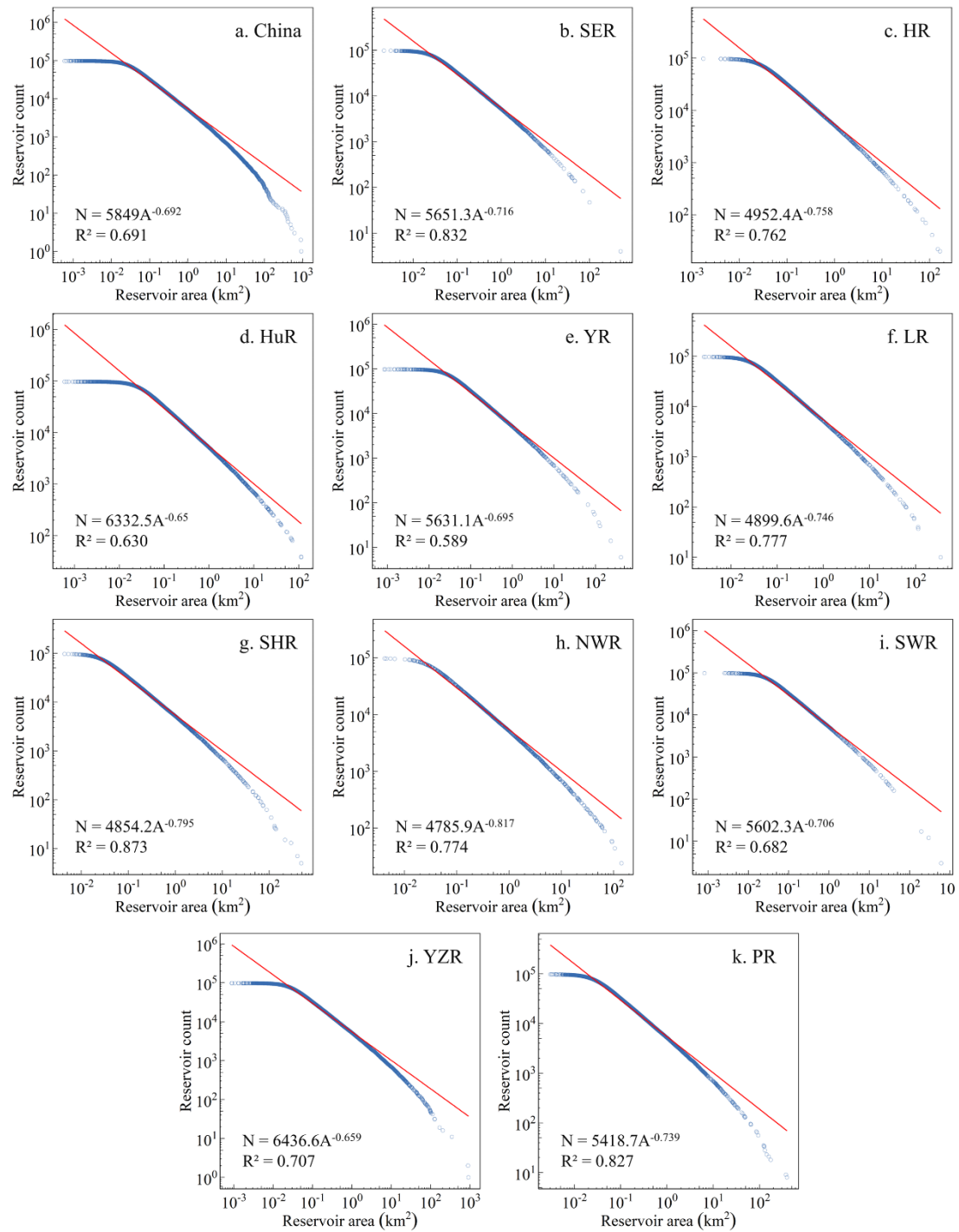
Attribute	Description
ID	Reservoir ID in this database (type: integer).
Name	Name of the reservoir.
Lat	Latitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).
Lon	Longitude of the reservoir point (type: float, datum: World Geodetic System (WGS) 1984, unit: °).
Province	Province in which the reservoir is located.
Prefecture	Prefecture in which the reservoir is located.
County	County in which the reservoir is located.
Area	Maximum water area of the reservoir (unit: km ²).
STOR	Total storage capacity (unit: km³Gt).
<u>RIV_ORD</u>	<u>Indicator of river order using river flow to distinguish logarithmic size classes. ‘RIV_ORD’ refers to ‘RIV_ORD’ of the HydroRIVERS.</u>
<u>DIS_AV_CMS</u>	<u>Average long-term discharge estimate for reservoir (unit: m³/s).</u>
<u>RES_T</u>	<u>Residence time of each reservoir (the ratio between reservoir storage capacity and discharge, unit: year).</u>

347 Note: Missing or inapplicable values are flagged by “-999”.

348 The Pareto distribution can describe the global distribution abundance of artificial reservoirs

349 and their inundation areas (sizes) (Lehner et al., 2011; Downing et al., 2006). In Figure 3, we

350 applied such a statistical fitting distribution to the CRD database and inferred the count of
 351 smaller reservoirs and their total inundation area. Assuming that our data for reservoirs larger
 352 smaller than 0.01 km² are complete, trend lines can be fitted and extrapolated from the Pareto
 353 distribution to estimate smaller reservoirs not included in the CRD database. As a result, there
 354 is an overall good fitting in the Pareto model for the CRD reservoirs in the scale of 0.01-10 km²
 355 (Figure 3a). In addition, the Pareto distributions in each basin are similar to that on the national
 356 scale (Figure 3b-k).



357

358 Figure 3. China reservoir area and count using a Pareto model. Distributions are plotted as the
359 total number of reservoirs larger than a given surface area in China (a) and ten first-level water
360 resources divisions (b-k). Blue circles intersecting the fitting lines represent the values used for
361 model fitting. Note: SER-Southeastern River, HR-Haihe River, HuR-Huaihe River, YR-Yellow
362 River, LR-Liaohe River, SHR-Songhua River, NWR-Northwest River, SWR-Southwest River,
363 YZR-Yangtze River, PR-Pearl River.

364 4.2 Accuracy evaluation of the CRD database

365 To evaluate the commission and omission accuracy of the CRD database, we randomly selected
366 sub-basin areas in each first-level river basin across China and manually checked 1,8823,634
367 reservoirs (Figure 4). The collection of the validation sub-basins followed the Create Random
368 Sampling Points method. Most of them are third-level river basins. However, for the Yangtze
369 River (YZR) and the Yellow River (YR) basins with more reservoirs, three sub-~~watersheds~~
370 basins were selected to evenly distribute the sampled reservoirs. For each sampled reservoir,
371 we manually confirmed its relevant information with the recorded in the Tiandi Map. We
372 overlapped 1,8823,634 selected samples with Tiandi Map ~~for validating~~ to validate the geo-
373 matching accuracy of the CRD. Then, we manually checked whether the spatial coordinates of
374 each sample ~~are~~ were consistent with those recorded in the Tiandi Map. In addition, we
375 conducted a second round quality control to check if any reservoirs were missing.

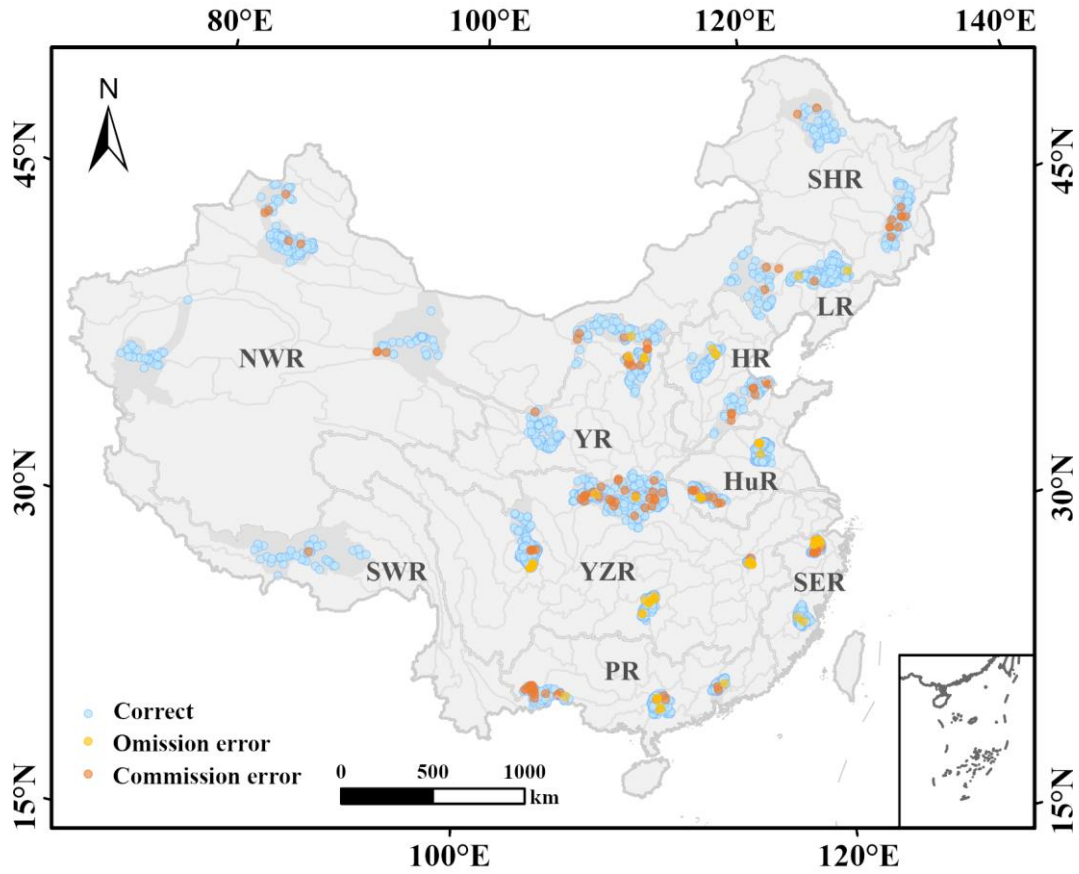


Figure 4. The distribution of all sampled validation reservoirs.

As shown in Table 3, the overall evaluation accuracy for the CRD database is 96.5595.13%, ranging from 95.4792.79% to 97.1798.15% in different basins. The main cause of errors in most basins is the misclassification of “false” reservoirs (commission error), such as ponds and paddy fields. Also, these ponds and paddy fields are generally less than 0.10 km². In comparison, the accuracy was lowest in the Southwest River Yangtze River basin due to the commission error of reservoirs located in complex topographic or landscape conditions.

Table 3. Accuracy validation in each river basin.

<u>Region</u>	<u>Sample</u>	<u>Commission error</u>	<u>Omission error</u>	<u>Total error</u>	<u>Accuracy (%)</u>
<u>SER</u>	<u>393</u>	<u>9</u>	<u>16</u>	<u>25</u>	<u>93.64</u>
<u>HR</u>	<u>167</u>	<u>8</u>	<u>3</u>	<u>11</u>	<u>93.41</u>
<u>HuR</u>	<u>311</u>	<u>8</u>	<u>6</u>	<u>14</u>	<u>95.50</u>
<u>YR</u>	<u>289</u>	<u>12</u>	<u>4</u>	<u>16</u>	<u>94.46</u>
<u>LR</u>	<u>212</u>	<u>5</u>	<u>2</u>	<u>7</u>	<u>96.70</u>
<u>SHR</u>	<u>195</u>	<u>13</u>	<u>0</u>	<u>13</u>	<u>93.33</u>
<u>NWR</u>	<u>214</u>	<u>8</u>	<u>0</u>	<u>8</u>	<u>96.26</u>
<u>SWR</u>	<u>222</u>	<u>16</u>	<u>0</u>	<u>16</u>	<u>92.79</u>
<u>YZR</u>	<u>1278</u>	<u>28</u>	<u>29</u>	<u>57</u>	<u>95.54</u>

PR	353	5	5	10	97.17
Region	Sample	Commission-error	Omission-error	Total-error	Accuracy(%)
SER	125	0	3	3	97.60
HR	81	0	3	3	96.30
HuR	151	0	4	4	97.35
YR	161	5	1	6	96.27
LR	162	2	1	3	98.15
SHR	69	2	0	2	97.10
NWR	177	5	0	5	97.18
SWR	45	1	0	1	97.78
YZR	685	4	27	31	95.47
PR	226	3	4	7	96.90

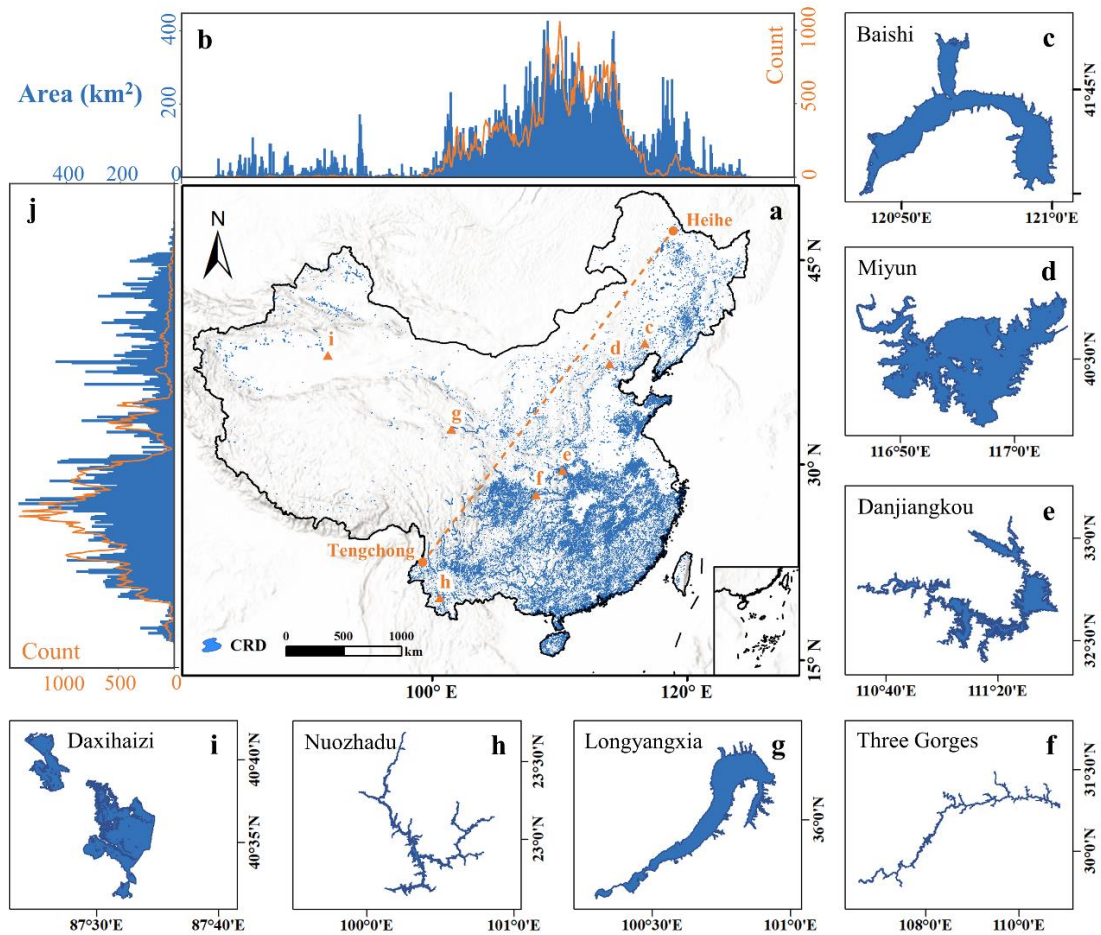
385 Note: SER-Southeastern River, HR-Haihe River, HuR-Huaihe River, YR-Yellow River, LR-Liaoh River,
386 SHR-Songhua River, NWR-Northwest River, SWR-Southwest River, YZR-Yangtze River, PR-Pearl
387 River. “Commission error” represents geocoding errors where the CRD information is inconsistent with
388 the validation reference. “Omission error” indicates the number of missing reservoirs in the samples.

389 4.3 Spatial distribution of reservoirs in China

390 The total area of reservoirs in China is 50,085.21 km², and the total storage capacity is estimated
391 to be 979.62 km³Gt. The spatially divergent pattern is generally characterized by the
392 topographic division of the Hengduan Mountains in the east-west direction and the Qinling
393 Mountains and the HuR-Huaihe River in the north-south direction. The overall distribution of
394 the reservoirs is bounded by the Heihe-Tengchong Line that is widely recognized as a separated
395 line for the contrasting pattern of population, industrial development, and landscape
396 characteristics, decreasing from southeast to northwest. Latitudinally, reservoirs in China are
397 dominantly distributed in the belt between 20-30°N, both in terms of count and area, whereas
398 longitudinally, reservoirs in China are concentrated between 100-120°E.

399 Chinese reservoirs are widely distributed and have obvious agglomeration characteristics.
400 Reservoirs are distributed not only from the hot and humid southern areas to the arid desert
401 areas but also from the eastern coastal areas to the Qinghai-Tibet Plateau. From Figure 45,
402 reservoirs are mainly distributed in China’s major “Commodity Grain Production Bases” that
403 have a relatively great demand for agricultural irrigation, such as the Poyang Lake and Dongting
404 Lake Plain, HuR-Huaihe River basin, Songnen Plain, and Sanjiang Plain. Moreover, many large
405 reservoirs are accumulated in areas with large elevation drops and abundant water resources.

406 For example, reservoirs in Sichuan province are clustered along the main stems of Fujiang River,
 407 Jialing River, and ~~YZR~~Yangtze River. In addition, as a major water supply, many reservoirs are
 408 concentrated in urban areas such as the Shandong Peninsula urban agglomerations. In the
 409 Shandong Peninsula, reservoirs are mainly concentrated in Yimeng Mountain and the Bohai
 410 Rim area.



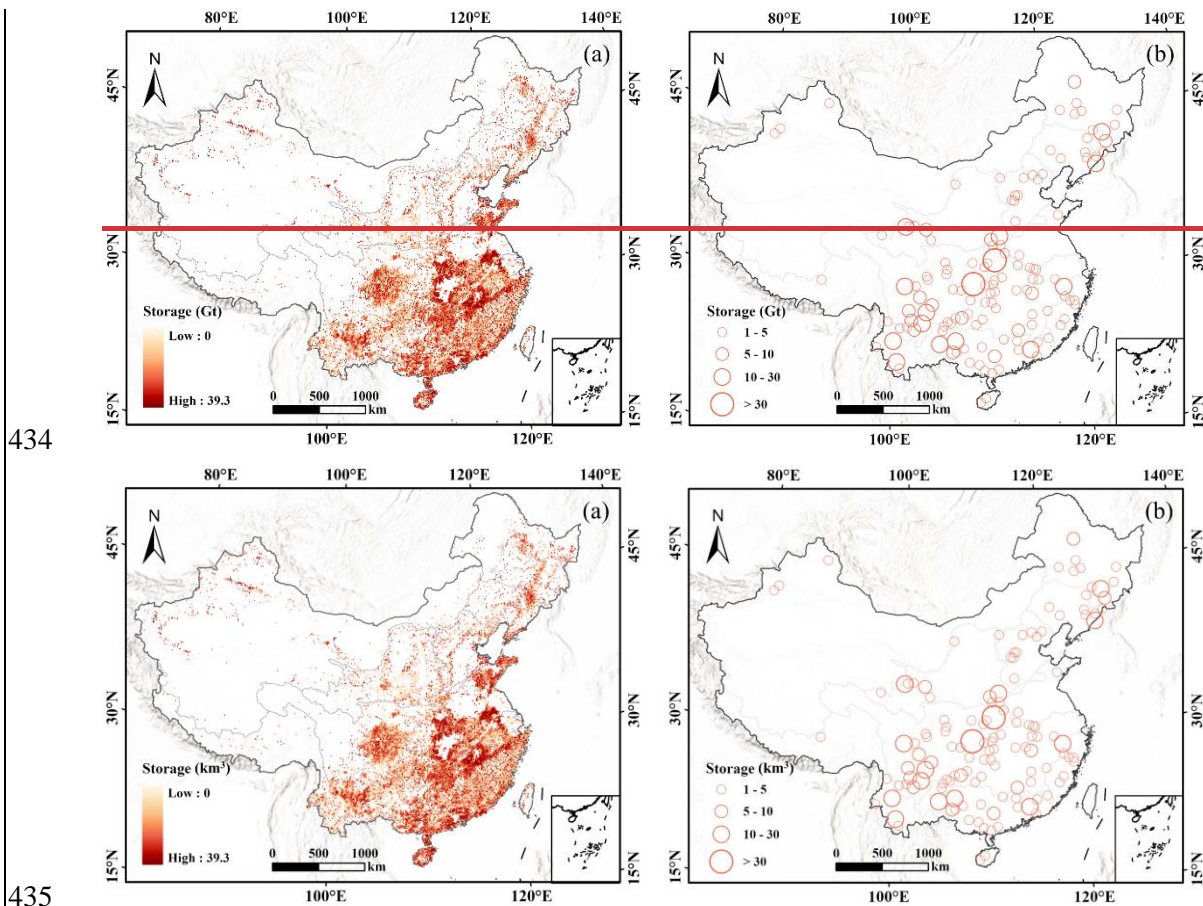
411
 412 Figure 45. Spatial distribution of reservoirs in China (a). The histogram and lines represent the
 413 area and count of reservoirs in China by 0.1° latitude (b) and longitude (j), respectively. The c-
 414 i subgraphs show the details of Baishi Reservoir, Miyun Reservoir, Danjiangkou Reservoir,
 415 Three Gorges Reservoir, Longyangxia Reservoir, Nuozhadu Reservoir, and Daxihaizi Reservoir.

416 4.4 Distribution characteristics of reservoir storage capacity in China

417 In terms of storage capacity spatial distribution, reservoirs with substantial storage capacity are
 418 mostly found in the ~~YZR~~Yangtze River and the ~~PR~~Pearl River. Many major reservoirs have
 419 been built in the ~~SWR~~Southwest River in recent years, primarily in the upper stages of the
 420 Lancang, Yuan, and Nujiang rivers. The ~~HR~~Huaihe River and ~~HR~~Haihe River basins, on the
 421 other hand, have several reservoirs, although their storage capabilities are limited, owing to the
 422 flat ~~terrain's-terrain's~~ minimal elevation changes. While the ~~YR~~Yellow River has no evident

423 benefit in terms of count or storage capacity, it has the biggest reservoir regulation of any basin,
424 and its total reservoir capacity has reached three times its annual runoff.

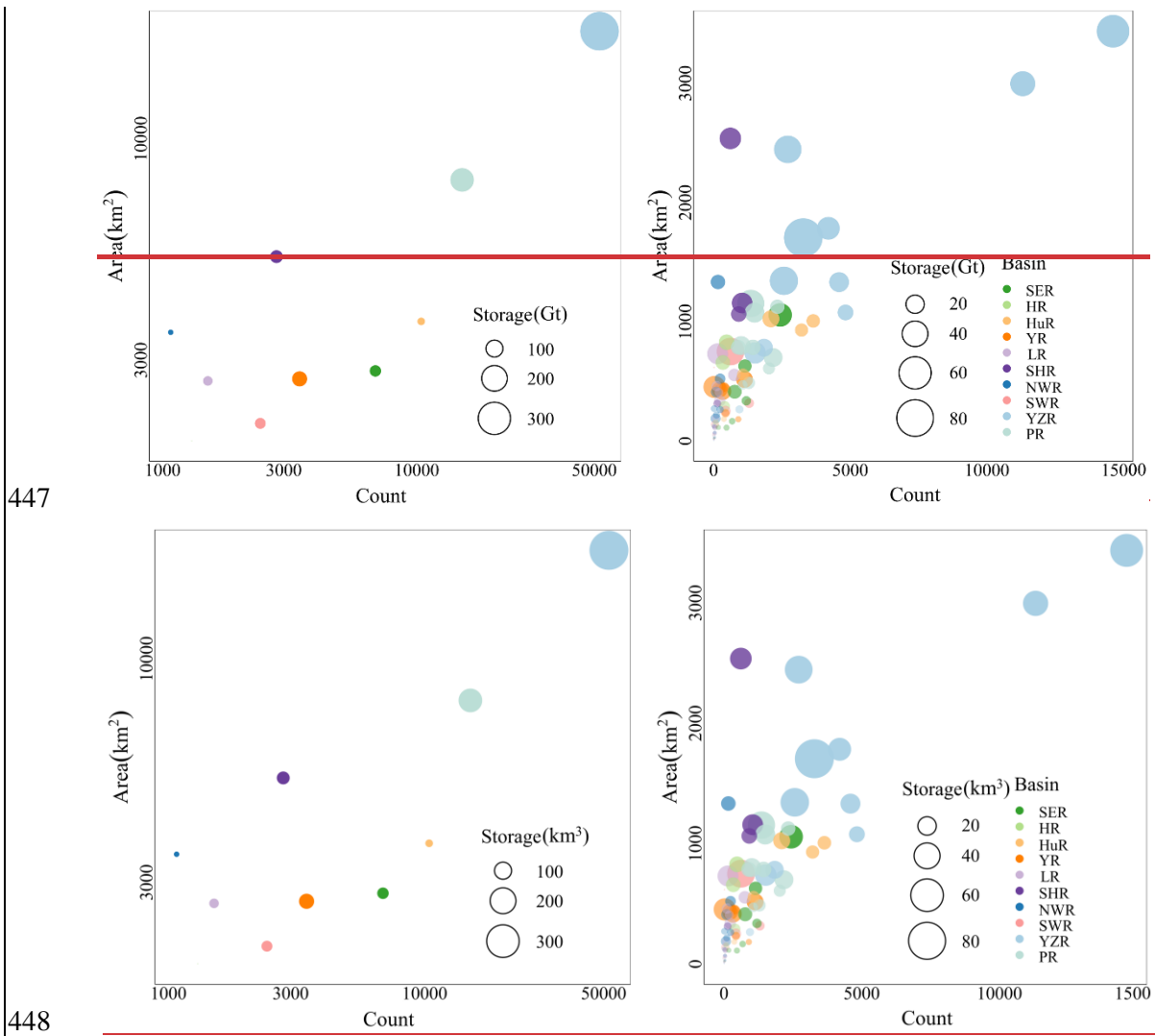
425 The distribution of reservoir storage capacity in China is shown in Figure 56. There are 135
426 reservoirs with a storage capacity of above 1 km^3Gt (see Figure 56b), accounting for 60.81%
427 of the total. Among them, there are 15 reservoirs with a storage capacity of more than 10 km^3Gt
428 in China, accounting for 29.39% of the total reservoir capacity. Also, the top 10 reservoirs
429 (Three Gorges Reservoir, Danjiangkou Reservoir, Longtan Reservoir, Longyangxia Reservoir,
430 Nouzhadu Reservoir, Xin'anjiang Reservoir, Xiaowan Reservoir, Shuifeng Reservoir,
431 Xinfengjiang Reservoir, and Xiluodu Reservoir) are mainly distributed in the YZR Yangtze
432 River, PR Pearl River, and SWR Southwest River, which are rich in water resources. These ten
433 reservoirs alone account for 23.51% of the total storage capacity of the CRD.



436 Figure 56. Distribution of reservoir storage capacity in China. Panel a shows all 97,435
437 reservoirs in CRD, which are displayed in gradient color according to the total storage capacity
438 of reservoirs in the $0.1^\circ \times 0.1^\circ$ gridded statistics. Panel b shows the 135 reservoir larger than 1
439 km^3Gt .

440 Furthermore, we analyzed the distribution characteristics of reservoir number, area, and storage

441 capacity in each primary and secondary watershed of the water resources division. The big
 442 bubbles illustrated in Figure 6-7 represent basins with a large count, large area, and large storage
 443 capacity, which belong to the YZR Yangtze River. Almost all the second-level river basins with
 444 relatively large storage capacity are distributed in the middle and upper reaches of the
 445 YZR Yangtze River, including the Dongting Lake Basin, Poyang Lake Basin, the Jinsha River
 446 Basin, and the Han River Basin.



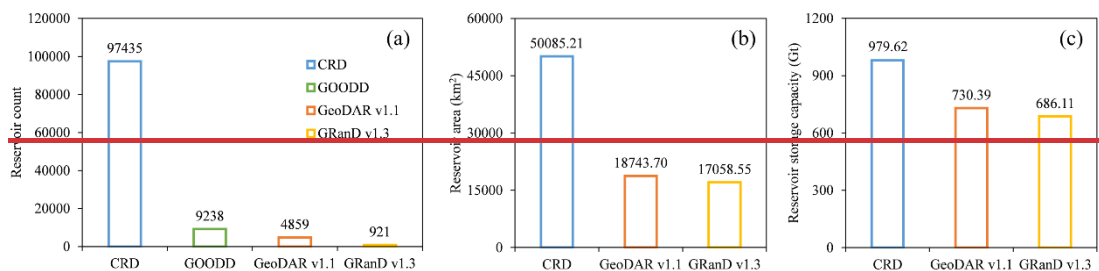
448
 449 Figure 6.7. Bubble chart of reservoir count, area, and storage capacity of each basin in the first-
 450 level (a) and second-level (b) hydrologic basins. Different colors represent the ten first-level
 451 basin units. Bubble size represents the size of reservoir capacity. Note: SER-Southeastern River,
 452 HR-Haihe River, HuR-Huaihe River, YR-Yellow River, LR-Liaohe River, SHR-Songhua River,
 453 NWR-Northwest River, SWR-Southwest River, YZR-Yangtze River, PR-Pearl River.

454 5 Discussions

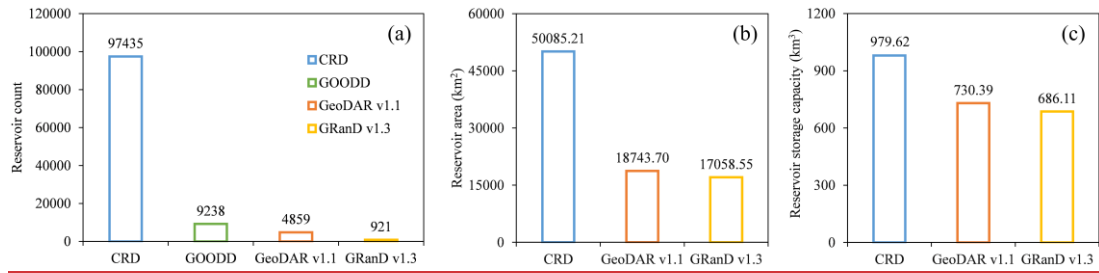
455 5.1 Comparisons with other reservoir databases

456 To ~~better examine~~verify the ~~supplemented reservoirs reliability of in~~ the CRD database ~~over~~
457 Chinese territory, we compared the CRD reservoirs with the widely recognized and publicly
458 available reservoir/dam databases, including GOODD, GeoDAR v1.1, and GRanD v1.3. Figure
459 7-8 shows the contrasts among these four databases in the count, area, and storage capacity.
460 Since GOODD does not provide reservoir attribute information (except locations and catchment
461 areas), it is only compared with CRD in reservoir count. The quantity of reservoirs in CRD
462 (97,435) exceeds those of the Chinese subsets of the global databases (from 9,238 in GOODD
463 to 921 in GRanD) by one to two orders of magnitude. CRD increased the total reservoir area
464 by about 169% and 194% compared with GeoDAR and GRanD, respectively. In comparison,
465 the total storage capacity of CRD exceeds the GeoDAR and GRanD by 249.23 ~~km³Gt~~ and
466 293.51 ~~km³Gt~~ in China, respectively. Notably, although GeoDAR still largely exceeds GRanD
467 in dam count, their total storage capacity was comparable, with GeoDAR increasing its
468 reservoir storage capacity by approximately 6% (44 ~~km³Gt~~). This is because GRanD has
469 included the largest reservoirs in China.

470 We also compared CRD with the three global databases at different levels of reservoir areas. As
471 shown in Figure ~~8a9a~~, the advantage of CRD is most evident in the ~~supplement~~improvement of
472 reservoirs with an area less than 1 km², particularly reservoirs ~~smaller with an area less~~ than 0.1
473 km². Therefore, the total reservoir areas of the corresponding CRD database with an area
474 smaller than 0.1 km² and 0.1-1 km² are also higher than those of other databases. For larger
475 reservoirs (1-10 km², 10-100 km², and larger than 100 km²), the counts of CRD, GeoDAR, and
476 GRanD have little difference, but the CRD area is slightly higher, mainly because the reservoir
477 polygons applied in this study represent the maximum water extents. In addition, we found that
478 the storage capacity of CRD reservoirs increased at different area levels, with an average
479 increase of 54.28 ~~km³Gt~~. In general, CRD databases have greatly improved in terms of reservoir
480 count, area, and storage capacity compared with other databases in China.



481

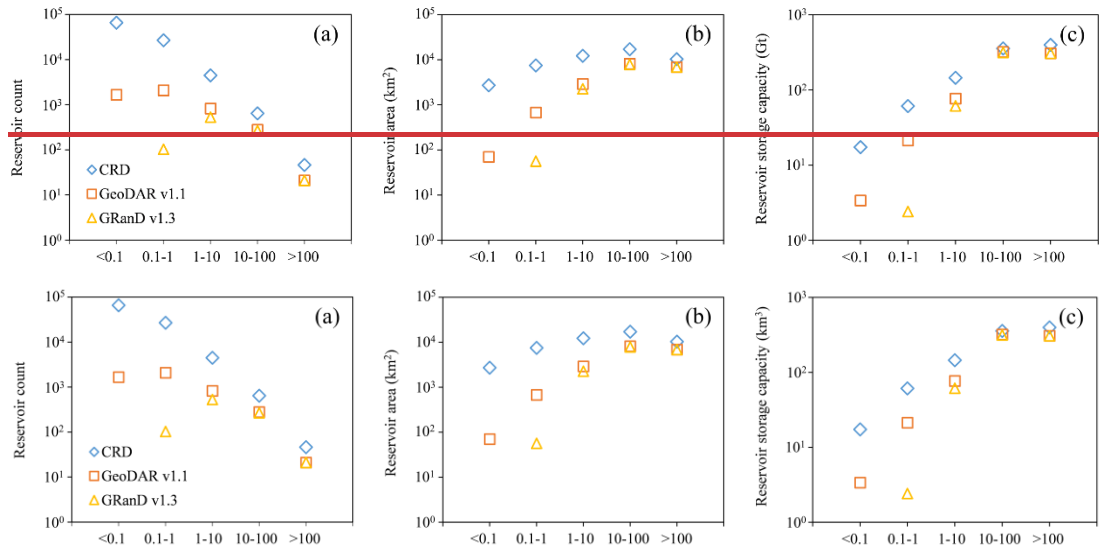


482

483

Figure 78. Comparison of reservoirs in count (a), area (b), and storage capacity (c) between the CRD database, GOODD, GeoDAR v1.1, and GRanD v1.3 database.

484

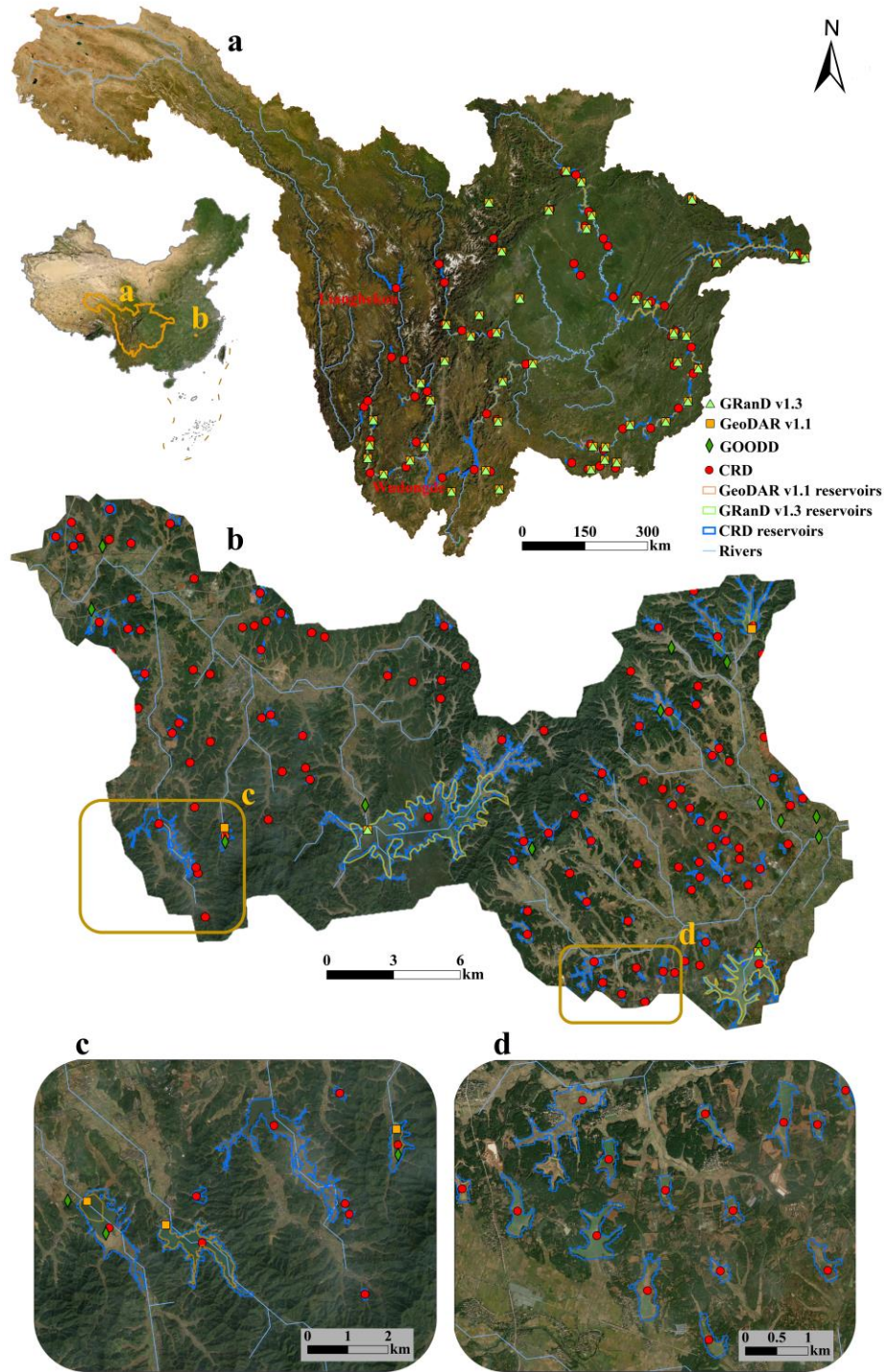


485

486

Figure 89. Comparison of reservoirs in count (a), area (b), and storage capacity (c) between the CRD database, GeoDAR v1.1, and GRanD v1.3 database with different area levels.

487 Figure 10a shows the distribution of large reservoirs (storage capacity larger than 3 million m³)
 488 in the upper reaches of the Yangtze River in GRanD v1.3, GeoDAR v1.2, and CRD. Because
 489 the GOODD dataset is limited by the basic property (reservoir storage capacity, dam height), it
 490 was not included in this comparison. GeoDAR v1.2 incorporates GRanD v1.3 so that the pattern
 491 of large reservoirs in the upper Yangtze River is generally comparable between the two
 492 databases. Compared with GRanD v1.3 and GeoDAR v1.2, CRD has added 16 large reservoirs
 493 in the upper reaches of the Yangtze River, with a total storage capacity of 52.60 km³, of which
 494 the total storage capacity of new reservoirs constructed in the past five years accounted for
 495 77.00% (40.50 km³). The large reservoirs dominate the total storage capacity in the basin.
 496 Therefore, the increase of new large reservoirs dammed in recent years is one of the major
 497 differences of CRD in storage capacity. As shown in Figure 10b-c, GRanD v1.3, GeoDAR v1.2,
 498 GOODD, and CRD can all digitize reservoirs on rivers with catchments of more than 10 km².
 499 However, many smaller reservoirs were not compiled in GRanD v1.3, GeoDAR v1.2, and
 500 GOODD.
 501
 502



503

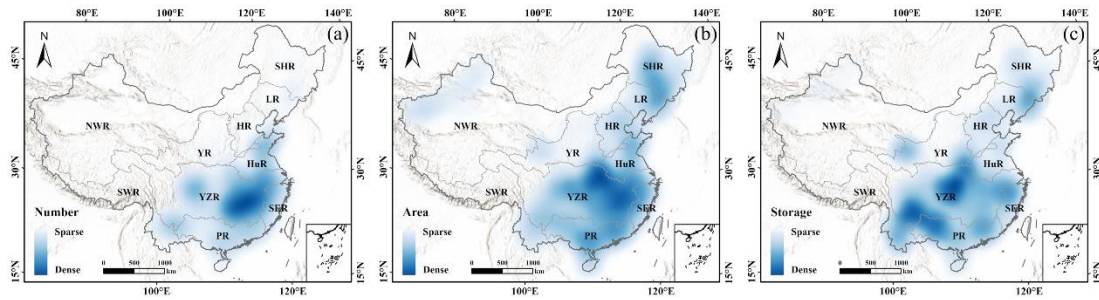
504 Figure 10. Comparisons between GRanD v1.3, GeoDAR v1.2, GOODD, and CRD in selected
 505 regions of China. Distribution of the large reservoirs (storage capacity larger than 3 million m³)
 506 in the upper reaches of Yangtze River (a). Distribution of reservoirs in GRanD v1.3, GeoDAR
 507 v1.2, GOODD, and CRD in a 10-level sub-basin of Poyang Lake (b-d). Bright green triangles,
 508 orange squares, dark green diamonds, and red dots represent GRanD v1.3, GeoDAR v1.2,
 509 GOODD, and CRD, respectively. Background image source: ESRI imagery base map.

510 5.2 Analysis on the accumulation hotspots of the CRD reservoir distribution

511 The construction of hydropower stations alleviates the energy shortage in China, reduces the
512 consumption of non-renewable coal energy, and makes a great contribution to the sustainable
513 development of China's economy and society. To further understand the characteristics of
514 reservoir accumulation distribution in China, we quantified the degree of reservoir
515 accumulation from the dimensions of the count, area, and storage, respectively.

516 Figure 9-11 shows the reservoir accumulation degree in the count, area, and storage capacity of
517 the CRD reservoirs. High reservoir density hotspots can be observed in the YZRYangtze River's
518 middle and lower reaches, mainly in the Poyang Lake and Dongting Lake basins. These two-
519 lake basins have rugged terrains, which provide topographic convenience for constructing
520 reservoirs. Besides, the basins are densely populated and is-are an important commodity grain
521 base, so reservoirs are critical to meeting the agricultural irrigation water demand. The large
522 labor force also facilitated ~~the~~-reservoir construction. The construction of small and medium-
523 sized reservoirs in China reached a peak era under the impact of the new and old "three pillars"
524 policy from the founding of the People's Republic of China in 1949 to the reform and openness
525 in 1978.

526 Figure 9b-11b shows that the hotspots in the reservoir area are mainly distributed in
527 YZRYangtze River, Northeast China, and HuRHuaihe River, where the terrain is relatively flat.
528 Combined with the boom of building small reservoirs throughout the country during the "Great
529 Leap Forward" period, the practice of "one piece of land for one piece of sky" even appeared
530 in the Huaibei Plain, resulting in many reservoirs and a large total area in the HuRHuaihe River.
531 ~~In-comparison~~Compared with the storage accumulation hotspots shown in Figure 8c, we found
532 that large reservoirs are mostly localized in the upper reaches of the YZRYangtze River and the
533 PRPearl River. It is mainly because the Chinese reservoir construction entered the era of a big
534 hydropower project in the 21st century. With the construction of Xiaolangdi Reservoir, Three
535 Gorges Reservoir, and other large hydropower stations as examples, China has built a series of
536 large reservoirs in the southwest of China, where there are large elevation drops and abundant
537 stream powers, such as the Jinsha River (the upper reaches of the YZRYangtze River), the upper
538 reaches of the PRPearl River, and the upper reaches of the Lancang River.



539

540 Figure 9.11. Distribution map of the accumulation degree of the reservoir count (a),
 541 area (b), and storage capacity (c) in CRD.

542 6 Data availability

543 The China Reservoir Database (CRD) is publicly available for download from the Zenodo
 544 repository <https://doi.org/10.5281/zenodo.6984619>. The database is supplied as both shapefile
 545 format and the comma-separated values (csv) format.

546 7 Conclusions

547 In this study, the location information of a total of 97,435 reservoirs in China has been identified
 548 and collected in the China Reservoir Dataset (CRD) by compiling multiple existing
 549 dam/reservoir products, national basic geographic datasets, multi-source open map data, and
 550 multi-level government yearbooks and database. Then, by merging three remote sensing
 551 waterbody products, the maximum water inundation area was extracted for each of the
 552 identified reservoirs. Based on a collection of 5,143 reservoirs with official storage capacity
 553 records, an empirical model fitting the reservoir area-storage relationship was established to
 554 estimate the storage capacities of other unrecorded reservoirs in CRD. The compiled reservoirs
 555 in CRD have a total maximum inundation area of 50,085.21 km² and a total storage capacity of
 556 about 979.62 km³Gt (924.96-1060.59 km³Gt).

557 Based on the CRD database, the spatial distribution characteristics of reservoir count, area, and
 558 storage capacity were comprehensively analyzed and compared. In addition, we discussed the
 559 major updates improvement of CRD over Chinese territory compared with other
 560 commonly-used global dam/reservoir databases and the potential causes of several hotspots of
 561 the reservoir concentration on in the context of China's socioeconomic development and major
 562 policy implementations. The results show that reservoirs are widely distributed across China,
 563 yet there are strong spatial heterogeneities with several concentration hotspots. The
 564 YZR Yangtze River basin has the most dominant distribution in terms of reservoir count, area,
 565 and storage capacity. Specifically, the reservoirs are mainly concentrated in the basins of
 566 Dongting Lake, Poyang Lake, and the Han River, the middle and lower reaches of the
 567 HaR Huaihe River and the YZR Yangtze River, the Shandong Peninsula, the Sichuan Basin, and

568 the Yunnan-Guizhou Plateau. The CRD database has greatly improved the reservoir mapping
569 in terms of count, area, and storage capacity compared with existing dam/reservoir products
570 over the territorial area of China. The prominent advantage of CRD could be a complete map
571 of reservoirs smaller than 1 km². The CRD database can be used for a wide range of reservoir
572 impact assessments and is expected to benefit water resources management, river system
573 investigation, hydrological modeling, and other aspects in scientific research and sector
574 practices.

575 **8 Author contribution**

576 CS: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation,
577 Methodology, Programming, Project administration, Quality assurance, Quality control,
578 Supervision, Validation, Visualization, Writing – original draft preparation, and Writing –
579 review and editing. CF: Data curation, Formal Analysis, Investigation, Methodology,
580 Programming, Validation, Visualization, Quality control, Writing – original draft preparation,
581 and Writing – review and revision. JZ: Conceptualization, Data curation, Formal Analysis,
582 Investigation, Methodology, Programming, Quality control, and Writing – review and revision.
583 JW: Methodology, Quality control, Supervision, Validation, Writing – review and revision. YS:
584 Quality control, Supervision, and Writing – review and revision. KL: Quality control,
585 Validation, and Writing – review and revision. TC: Quality control, Validation, and Writing –
586 review and revision. PZ: Quality control and Validation. SL: Quality control and Validation.
587 LK: Quality control, Validation, and Writing – review and editing.

588 **9 Competing interests**

589 The authors declare no conflict of interest.

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