1	<b>Reconstructing 6-hourly PM<sub>2.5</sub> datasets from 1960 to</b>			
2	2020 in China			
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### 16 Abstract

17 Fine particulate matter (PM2.5) has altered radiation balance on earth and raised environmental and 18 health risks for decades but has only been monitored widely since 2013 in China. Historical long-19 term PM<sub>2.5</sub> records with high temporal resolution are essential but lacking for both research and 20 environmental management. Here, we reconstruct a site-based PM<sub>2.5</sub> dataset at 6-hour intervals from 21 1960 to 2020 that combines long-term visibility, conventional meteorological observations, 22 emissions, and elevation. The PM2.5 concentration at each site is estimated based on an advanced 23 machine learning model, LightGBM, that takes advantage of spatial features from 20 surrounding 24 meteorological stations. Our model's performance is comparable to or even better than those of 25 previous studies in by-year cross validation (CV) ( $R^2=0.7$ ) and spatial CV ( $R^2=0.76$ ) and is more advantageous in long-term records and high temporal resolution. This model also reconstructs a 26 27  $0.25^{\circ} \times 0.25^{\circ}$ , 6-hourly, gridded PM<sub>2.5</sub> dataset by incorporating spatial features. The results show 28 PM<sub>2.5</sub> pollution worsens gradually or maintains before 2010 from an interdecadal scale but mitigates 29 in the following decade. Although the turning points vary in different regions, PM2.5 mass 30 concentrations in key regions decreased significantly after 2013 due to clean air actions. In particular, 31 the annual average value of  $PM_{2.5}$  in 2020 is nearly the lowest since 1960. These two  $PM_{2.5}$  datasets 32 (publicly available at https://doi.org/10.5281/zenodo.6372847) provide spatiotemporal variations at 33 high resolution, which lay the foundation for research studies associated with air pollution, climate 34 change, and atmospheric chemical reanalysis.

### 36 **1 Introduction**

37 In the past decades, anthropogenic emissions of reactive gases and aerosols have been emitted 38 increasingly in the atmosphere and thus led to a substantial increase in fine particulate matter ( $PM_{2.5}$ ). 39 Increased PM<sub>2.5</sub> has strongly interacted with solar radiation through absorption and scattering, 40 thereby reducing visibility and influencing the earth's radiance balance. Inhalable PM<sub>2.5</sub> has 41 increased human morbidity and mortality through penetrating the respiratory system (Pope et al., 42 2002; Beelen et al., 2007; Chen et al., 2016b). To evaluate the impacts of PM<sub>2.5</sub> pollution on 43 environment, climate, and health, the primary concern is to understand the spatiotemporal variations 44 of PM<sub>2.5</sub> concentrations. Namely, extended PM<sub>2.5</sub> records with high temporal resolution lay the 45 foundation for research studies associated with air pollution, climate change, and environmental 46 health. Nevertheless, it was not until 2013 that the Ministry of Ecology and Environment (MEE) 47 established a nationwide PM2.5 monitoring network. Long-term, accurate historical PM2.5 datasets 48 are lacking for both research and environmental management.

49 Chemical transport models (CTMs) are expected to simulate the spatial and temporal variations 50 of PM2.5 with reasonable emission inventories inputted. However, significant uncertainties still exist 51 in historical emission inventories and physicochemical mechanisms, which resulted in inevitable 52 biases in the simulated absolute values of PM2.5. Satellite-based aerosol optical depth (AOD), which 53 measures the aerosol extinction of the solar beam, is an indicator of ground-level aerosols. AOD 54 data products from Moderate Resolution Imaging Spectroradiometer (MODIS) have broad spatial 55 coverage and relatively long observation periods (~ 20 years). Therefore, assimilating satellite-56 retrieved AOD to construct atmospheric chemical reanalysis is a practical approach to reducing 57  $PM_{2.5}$  biases. In recent years, several international aerosol reanalysis datasets have been developed 58 preliminarily, including the reanalysis data produced by the Copernicus Atmosphere Monitoring 59 Service (CAMS) from the European Centre for Medium-Range Weather Forecasts (ECMWF) 60 (Inness et al., 2019), the Modern-Era Retrospective analysis for Research and Applications, Version 61 2 (MERRA-2) from the National Aeronautics and Space Administration (NASA) (Gelaro et al., 62 2017; Randles et al., 2017), aerosol reanalysis from the Navy Aerosol Analysis and Prediction 63 System (NAAPS) (Lynch et al., 2016) and the Japanese Reanalysis for Aerosol (JRAero) from the 64 Japanese Meteorological Agency (Yumimoto et al., 2017). In particular, CAMS produced gridded 65 PM<sub>1</sub>, PM<sub>2.5</sub>, and PM<sub>10</sub> data at 80 km resolution since 2003 by assimilating satellite retrievals of total 66 AOD, total tropospheric NO<sub>2</sub> column, total O<sub>3</sub> column, CO column, and vertical profiles (Inness et 67 al., 2019). MERRA-2 reanalysis includes PM<sub>2.5</sub> and PM<sub>10</sub> at 50 km resolution since 1980 by 68 assimilating ground-based and satellite-retrieval (Gelaro et al., 2017; Randles et al., 2017). NAAPS 69 generates gridded AOD data at ~100 km resolution from 2003 to 2013 by assimilating satellite-70 based AOD products (Lynch et al., 2016). JRAero provides PM<sub>2.5</sub> and PM<sub>10</sub> at ~100 km resolution 71 from 2011 to 2015 by assimilating satellite AOD data (Yumimoto et al., 2017). These reanalysis 72 data have contributed significantly to research in aerosol-related fields. However, there are still some 73 weaknesses in accuracy, spatial resolution, time span, and types of assimilated data. In China, the 74 highest horizontal resolution of the four reanalysis is only 50 km, and this coarse grid setting may 75 not be sufficient to capture the spatial differences in atmospheric pollutants at regional scales. In 76 terms of the type of aerosol data assimilation, these reanalysis data mainly assimilate satellite-based 77 and ground-based AOD, and do not take into account ground PM2.5 observations.

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To overcome the reanalysis's weaknesses in low spatial resolution and high biases, numerical

79 researchers focus on constructing relatively long-term PM2.5 datasets based on machine learning 80 techniques that fuse multisource data, including satellite-retrieved AOD, CTM simulations, and 81 even atmospheric chemical reanalysis. For example, Ma et al. (2016) estimated daily PM<sub>2.5</sub> records 82 at 0.1° resolution between 2004-2013 with MODIS AOD. Liang et al. (2020) rebuilt monthly PM2.5 83 concentrations at 1 km resolution during 2000-2016 based on the multiangle implementation of 84 atmospheric correction (MAIAC) from MODIS and reanalysis AOD and PM2.5 data from MERRA-85 2. Geng et al. (2021) reconstructed daily, 10 km PM<sub>2.5</sub> data between 2000-2020 with MODIS AOD 86 and CTM simulations. Wei et al. (2021a) regenerated monthly, 1 km PM<sub>2.5</sub> records between 2000-87 2018 based on MAIAC AOD. Huang et al. (2021) estimated  $1 \text{ km} \times 1 \text{ km} \text{ PM}_{2.5}$  concentrations daily 88 between 2013-2019 based on MAIAC AOD and CTM outputs. However, some inherent limitations 89 in satellited-based AOD are challenging to overcome. Due to the low sampling frequency of 90 satellite-retrieved AOD, AOD-based PM<sub>2.5</sub> datasets are limited to a maximum temporal resolution 91 of one day. With AOD over land unavailable before 2000, these PM<sub>2.5</sub> datasets can only be back-92 calculated to 2000 at the earliest. Although recent studies focus on estimating hourly PM<sub>2.5</sub> during 93 the daytime based on AOD from geostationary satellites like Himawari 8 (Chen et al., 2019; Yan et 94 al., 2020; Wang et al., 2021; Wei et al., 2021b), obtained PM<sub>2.5</sub> datasets can only extend for several 95 years, and the data is missing at night or with cloud cover.

96 Compared with satellite data, ground-based meteorological observations have the advantages 97 of long sequence time, high temporal resolution, and good data integrity. In China, the national 98 meteorological observation network of the China Meteorological Administration (CMA) was 99 established in the 1950s and is capable of continuously observing 6-hourly meteorological data on 100 visibility and conventional meteorological variables, including temperature, pressure, wind, and 101 relative humidity (RH). The number of national stations exceeded 2,000 in 1960 and stabilized at 102 around 2,450 afterward. Studies have shown that visibility and conventional meteorological 103 variables are closely related to PM<sub>2.5</sub> (Zhang et al., 2013a; Zhang et al., 2013b; Zhang et al., 2015; 104 Wang et al., 2018; Zhu et al., 2018; Zhong et al., 2018). For example, low wind speed is highly 105 unfavorable to the horizontal diffusion of pollutants (Zhang et al., 2013b). The increase in RH favors 106 the hygroscopic growth of PM<sub>2.5</sub> and also promotes the accelerated conversion of gaseous precursors 107 to particulate matter, leading to a rapid increase in PM<sub>2.5</sub> concentrations (Pilinis et al., 1989; Ervens 108 et al., 2011; Kuang et al., 2016). Atmospheric visibility is directly related to  $PM_{2.5}$  mass 109 concentrations under dry conditions and non-linearly related to PM<sub>2.5</sub> and RH under humid 110 conditions (Wang et al., 2019). Therefore, better results may be achieved if these ground-based 111 meteorological data can be used to estimate historical PM2.5 data in China. Liu et al. (2017) first 112 estimated monthly visibility-based PM<sub>2.5</sub> concentrations between 1957-1964 and 1973-2014 based 113 on 674 publicly available meteorological stations. Gui et al. (2020) constructed a virtual daily PM<sub>2.5</sub> 114 network at 1180 meteorological sites between 2017-2018. Our previous research also shows that the 115 visibility-based machine learning model that takes advantage of spatial features has great potential in reconstructing historical PM2.5 datasets with long-term records and high temporal resolution 116 117 (Zhong et al., 2021). In this study, we reconstruct a site-based PM<sub>2.5</sub> dataset at 6-hour intervals from 118 1960 to 2020 based on long-term visibility and conventional meteorological observations from 119  $\sim$ 2450 national stations, together with emissions and elevation. The PM<sub>2.5</sub> concentration at each site 120 is estimated based on a Light Gradient Boosting Machine (LightGBM) model that takes advantage 121 of spatial features from 20 surrounding meteorological stations. By incorporating spatial features, 122 this model also reconstructs a 0.25°×0.25°, 6-hourly, gridded PM<sub>2.5</sub> dataset. These two PM<sub>2.5</sub>

- 123 datasets provide spatiotemporal variations at high resolution, which constitute the basis for research
- 124 studies associated with air pollution, climate change, and atmospheric chemical reanalysis.

### 126 **2** Data and Methods

#### 127 **2.1 Multisource input data**

Observational PM<sub>2.5</sub> data. The MEE began laying out a PM<sub>2.5</sub> monitoring network in January 128 129 2013, expanding the scope from key regions including the North China Plain (NCP), the Yangtze River Delta (YRD), the Pearl River Delta (PRD), and the Sichuan Basin (SB) as well as 130 131 municipalities directly under the Central Government and provincial capitals, to 113 key and model 132 cities for environmental protection, and eventually to all cities above prefecture level, with the number of observation sites expanded from the initial 520 to over 1,600. Since then, PM<sub>2.5</sub> mass 133 134 concentrations have been recorded continuously using the  $\beta$ -absorption methods or a micro-135 oscillating balance following a standard protocol (Huang et al., 2021). Hourly PM<sub>2.5</sub> data of all sites 136 between 2013-2020 are collected from the China National Environmental Monitoring Center 137 (CNEMC, http://www.cnemc.cn). To produce high-quality PM<sub>2.5</sub> data, a series of quality controls 138 were conducted, including integrity checking, duplicate rejection, and outlier handling. All sites 139 with a proportion of valid  $PM_{2.5}$  records exceeding 60% were considered. For each site, identical 140 data for 3 consecutive hours were excluded first, and PM<sub>2.5</sub> values over three standard deviations 141 from 24-hour and 3-day moving average were regarded as outliers and discarded then. Eventually, 142 PM<sub>2.5</sub> data from 1485 sites remained for model development and application. In addition, pre-2013 143 PM<sub>2.5</sub> measurements in US embassies in Beijing and Shanghai are used for independent validation 144 evaluations (http://www.stateair.net/web/historical).

145 Visibility and conventional meteorological data. The CMA established a national 146 meteorological observation network in the 1950s, with the station number exceeding 2000 at the 147 beginning and stabilizing at ~2,450 afterward. The observation network can continuously record 148 meteorological data on visibility and conventional meteorological variables, including temperature, 149 pressure, wind, and RH. In recent years, meteorological observations, including 6-hourly records 150 between 1960-2020 and gradually increasing hourly records after 2013, have been collected from 151 the National Meteorological Information Center (NMIC). Due to the inconsistency of visibility data 152 in terms of observation methods, we conducted a series of data conversions to ensure continuous 153 and consistent data. Visibility data recorded on a scale ranging from 0 to 9 between 1960-1979 were 154 converted to numerical data based on probability density distributions. Specifically, the probability 155 density distribution of visibility for each of the ten years before and after 1980 was calculated at 156 first. The numerical visibility from 1980 to 1989 was graded into classes, with the median value of 157 each class being the corresponding value for each station, and finally, the class observations were converted into numerical observations. From September 2013 to 2016, visibility measurements 158 159 gradually shifted from 6-hourly manual observations to 1-hourly automatic observations site-by-160 site. In keeping with manual measurements, the automatic records, which are slighter lower than 161 manual measurements, were calibrated by dividing 0.75 following the guideline from the CMA 162 (Cma, 2014).

*Emission inventories and elevation*. Historical anthropogenic emissions from 1960-2012 are taken from Peking global emission inventories, developed using a bottom-up approach with spatial resolution at 0.1°×0.1° and temporal resolution at 1-month intervals (<u>http://inventory.pku.edu.cn</u>) (Chen et al., 2016a; Huang et al., 2014; Huang et al., 2015; Wang et al., 2014). Current anthropogenic emissions during 2013-2020 are from the multiresolution Emission Inventory in
China (MEIC, <u>http://meicmodel.org</u>) (Zhang et al., 2009; Zheng et al., 2018; Zheng et al., 2021).
Six emission variables from these two inventories are used as inputs for model development,
including PM<sub>2.5</sub>, NOx, SO<sub>2</sub>, NH<sub>3</sub>, BC, OC, and CO. Thirty-meter elevation data are collected from
the Global Digital Elevation Model (GDEM) version 2 (https://earthexplorer.usgs.gov). Both
emission and elevation data are interpolated from grids to sites to match existing PM<sub>2.5</sub> sites.

173 Auxiliary data. Monthly Normalized Difference Vegetation Index (NDVI) products are 174 downloaded from Level-1 and Atmosphere Archive & Distribution System Distributed Active 175 Archive Center (LADDS DAAC, https://ladsweb.modaps.eosdis.nasa.gov). Land cover 176 classification data are taken from National Geographic Information Resources Catalogue Service 177 System (https://www.webmap.cn/mapDataAction.do?method=globalLandCover). Population data 178 of the are taken from the Gridded Population World version 4 (GPWv4, 179 https://sedac.ciesin.columbia.edu/data/collection/gpw-v4) and are calibrated based on the total 180 population in China City Yearbooks. NDVI, Land cover, and population data are also interpolated 181 according to PM<sub>2.5</sub> sites and trained as inputs for model development. However, during the model 182 training process, we found that these data had little or no improvement in the hindcast capability of 183 the model, and the time span of these data is insufficient for long-term historical retrieval. Hence, 184 these auxiliary data are not used in model building.

#### 185 **2.2 Spatiotemporal feature extraction**

186 For each  $PM_{2.5}$  site, we extract five variables as temporal inputs, including year, month, day, hour, and day of year. The longitude and latitude variables are taken out as location inputs (Fig. 1b). 187 188 Visibility, RH, and temperature from the nearest meteorological station of each PM<sub>2.5</sub> are used as 189 basic meteorological inputs. The distance between these two sites was also added as a feature. In 190 addition to the influence of the nearest meteorological station, PM2.5 concentrations at a site are also 191 affected by surrounding conditions. For example, transport of pollution due to air movement is the 192 main cause of heavy pollution episodes in the early stage (Zhong et al., 2017; Zhong et al., 2018). 193 Hence, we need to consider spatial effects from surrounding meteorological stations. Our previous 194 study developed a novel feature engineering approach, which incorporated surrounding impact by 195 extracting spatial features (Zhong et al., 2021). Specifically, the remaining 19 nearest stations were 196 matched for each PM<sub>2.5</sub> site, except the nearest meteorological station. Five variables, including 197 longitude, latitude, temperature, visibility, and RH, were selected from the 19 stations. Then, we 198 calculated the maximum, the minimum, the average value, the skewness value, and the standard 199 deviation for each of the five variables. These produced features, which take advantage of 200 surrounding conditions, are also considered as inputs. After spatiotemporal feature extraction, a total 201 of 71 features were used as inputs for model training. To reduce computation and training time with 202 guaranteed accuracy, the top 40 features in order of importance during small-sample-testing 203 processes are used for the following model training and hindcasting. These features included 204 visibility, temporal features, spatial features, emission features, and elevation.

#### 205 **2.3 Gridded input construction**

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In the previous construction of input features for PM<sub>2.5</sub> sites, we used location information,

207 time information, meteorological information from 20 surrounding meteorological stations,

208 emission information, and elevation. If we assume that each cell in grid cells is a virtual PM<sub>2.5</sub> site,

then it is possible to generate input features for each grid point. After the model is trained based on input features and  $PM_{2.5}$  concentrations at real  $PM_{2.5}$  sites, we can feed the gridded input data into

input features and  $PM_{2.5}$  concentrations at real  $PM_{2.5}$  sites, we can feed the gridded input data into the model in turn and consequently construct a gridded  $PM_{2.5}$  network. Therefore, we define a grid

211 the model in turn and consequently construct a graded  $1 M_{2.5}$  network. Therefore, we define a grad

area at  $0.25^{\circ} \times 0.25^{\circ}$  with longitude from 70° E to 150° E and latitude from 10° N to 60° N and select the grid points covering mainland China. For each grid point, we performed spatiotemporal

feature extraction and generated the same 71 input features as those of real PM<sub>2.5</sub> sites.

### 215 **2.4 Model description**

216 LightGBM is one of the state-of-the-art gradient boosting frameworks with better accuracy, 217 lower memory usage, faster training speed, and capability of handling large-scale data (Ke et al., 218 2017). Our previous research used this machine learning model to predict PM<sub>2.5</sub> mass concentrations, 219 which shows an unprecedented predictive capacity on hourly, daily, monthly, and annual 220 timescales(Zhong et al., 2021). This study will continue to use this algorithm and previously tuned 221 hyperparameters for model development (Zhong et al., 2021). For hindcasting historical PM2.5 222 datasets prior to 2013, a LightGBM model is trained and validated based on PM2.5 observations and 223 feature inputs from 2013 to 2020. The hindcast capability is validated using cross-validation 224 methods, which are standard methods for parameter tuning and model validation in machine 225 learning. The training dataset is divided into several parts, one of them is used as test data, and the 226 remaining parts are used as training data in turn. Each result yields a corresponding evaluation value, 227 which is then averaged to provide an estimate of the model's accuracy. This estimation is quantified 228 by two metrics: the coefficient of determination  $(R^2)$  and root-mean-square error (RMSE). The 229 hindcast capability is also validated using PM2.5 observations from the US embassies in Beijing and 230 Shanghai, which have been observing PM<sub>2.5</sub> data since as early as 2008. After model training and 231 validation, historical 6-hourly input data are inputted into this model to reconstruct a site-based 232  $PM_{2.5}$  dataset at 6-hour intervals from 1960 to 2020; and gridded input data are inputted into the 233 model to reconstruct a 0.25°×0.25°, 6-hourly, gridded PM<sub>2.5</sub> dataset. The daily, monthly, yearly, and 234 decadal average PM<sub>2.5</sub> concentrations for each site and each grid are also calculated based on the 235 two datasets. Monthly-average values were obtained with daily values no less than 20 days; 236 otherwise, they will be missing. Year-average values were calculated with 12 valid month values, 237 and decadal-average values were calculated with 10 valid year-average values. The flowchart for 238 reconstructing PM<sub>2.5</sub> datasets is shown in Fig. 1.



Fig. 1 A conceptual scheme for constructing long-term historical site-based and gridded PM<sub>2.5</sub>
 records based on long-term visibility, conventional meteorological observations, emissions, and
 elevation.

### 244 **3 Results and Discussion**

### 245 **3.1 Evaluation of model hindcast performance**

The hindcast performance of our model is evaluated using two CV methods, including 10-fold 246 247 CV and by-year CV. The 10-fold CV partitions the original training datasets into 10 subsamples, one of which is retained as the validation data in turn for testing the model, and the remaining 9 248 249 subsamples are used as training data. This method is the most common CV that can be compared 250 with results in other studies. However, 10-fold CV often overestimates the model's ability to 251 hindcast continuous historical data. Therefore, we also use by-year CV, during which one year of data is selected sequentially for testing, and the remaining data are used for model training. This 252 253 method is specifically designed to evaluate the hindcast capability of the model.



 Tab. 1 Model performance in primary predictors, temporal resolution, and hindcast capability compared with other national PM<sub>2.5</sub> datasets in China.

Related studies	Primary predictors	Temporal resolution	CV type	CV resolution	CV R <sup>2</sup>	CV RMSE
Ma et al., 2016	AOD	daily (2004-2013)	10-fold CV	daily	0.79	27.40
			by-year CV		0.41	
Fang et al., 2016	AOD	daily (2013-2014)	10-fold CV	daily	0.80	22.80
Liu et al., 2017	Visibility	monthly (1957-1964, 1973-2014)	10-fold CV	monthly	0.71	25.62
Xiao et al., 2018	AOD	daily (2013-2017)	10-fold CV	daily	0.79	21.00
Xue et al., 2019	AOD、CTM outputs	daily (2000-2016)	by-year CV	daily	0.61	27.80
Liang et al., 2020	AOD	monthly (2000-2016)	10-fold CV	monthly	0.93	6.20
Huang et al., 2021	AOD、CTM outputs	daily (2013-2019)	10-fold CV	daily	0.87-0.88	11.90-21.90
-	-		by-year CV	-	0.62	27.70
Wei et al, 2021	AOD	monthly (2000-2020)	10-fold CV	monthly	0.86-0.90	10.00-18.40
		• • •	by-year CV		0.80	11.26
van Donkelaar et al. 2021	AOD、CTM outputs	monthly (1998-2020)	Non-CV	yearly	0.69	11.90
Geng et al., 2021	AOD, CTM outputs	daily (2000-2020)	out-of-bag CV	daily	0.80-0.88	13.90-22.10
0			by-year CV		0.58	27.50
Bai et al., 2022	AOD	daily (2000-2020)	10-fold CV	daily	0.79	20.04
Our study	Visibility	6-hourly (1960-2020)	10-fold CV	hourly/6-hourly	0.79	20.07
-		,		6-hourly	0.78	21.14
				daily	0.85	16.11
				monthly	0.92	7.90
			by-year CV	hourly/6-hourly	0.70	26.36
				6-hourly	0.71	25.63
				daily	0.78	20.90
				monthly	0.83	13.37

Table 1 compares our dataset and the available datasets in primary predictors, temporal 257 resolution, and CV results(Ma et al., 2016; Fang et al., 2016; Liu et al., 2017; Xiao et al., 2018; Xue 258 259 et al., 2019; Liang et al., 2020; Huang et al., 2021; Wei et al., 2021a; Van Donkelaar et al., 2021; 260 Geng et al., 2021; Bai et al., 2022). AOD-based datasets are only available from around 2000 at the 261 earliest, with temporal resolutions ranging from daily scale to monthly scale. In contrast, our 262 visibility-based dataset spans 61 years from 1960 to 2020 at 6-hourly intervals, showing a clear 263 advantage in terms of time span and resolution. The R<sup>2</sup> and RMSE values of our 10-fold CV results are 0.78 and 21.14 µg m<sup>-3</sup> for 6-hourly estimations, respectively, which indicates our model is quite 264 robust in estimating PM2.5. Due to a reduction in data amount, the R<sup>2</sup> and RMSE values further 265 improved to 0.85 and 16.11 µg m<sup>-3</sup> for daily estimations and 0.92 and 7.90 µg m<sup>-3</sup> for monthly 266 267 estimations. This result is comparable to or even better than those of other available datasets whose 10-fold CV R<sup>2</sup> ranges from 0.61 to 0.80 on a daily scale and from 0.71 to 0.93 on a monthly scale. 268 Our by-year CV's  $R^2$  and RMSE values are 0.71 and 25.63 µg m<sup>-3</sup> for 6-hourly estimations, which 269 270 indicates our model is still robust in hindcast performance. The by-year CV R<sup>2</sup> values for daily and 271 monthly estimations (0.78 and 0.83) are higher than those in other available datasets (0.41-0.62 and 0.80), which might be partly attributed to spatial feature extraction and the large volume of our training dataset. Zhong et al. (2021) has shown that extracting spatial features can result in a better hindcast performance by fully representing dimensional heterogeneity. Compared to hundreds of thousands to millions of training samples in AOD-based models, the training samples for the visibility-based model are over 100 million. An increase in the order of magnitude for training datasets will yield better results in machine learning.



Fig. 2 Density scatterplots of observed PM<sub>2.5</sub> and estimated PM<sub>2.5</sub> across China for by-year CV
 from 2013 to 2020. The time resolution for CV results is hourly and 6-hourly between 2013-2017
 and hourly between 2017-2020. (Colors are probability distribution densities).

281 282

> 283 The refined by-year CV results for each year between 2013-2020 are shown in Fig. 2. The 284 by-year CV  $R^2$  lies between 0.58 and 0.79, with better hindcast performance after 2014. The potential reasons why the R<sup>2</sup> value in 2013 is slightly lower than those in other years are as follows. 285 286 First, the  $PM_{2.5}$  observation network was just established in 2013, during which dehumidification 287 systems, processing procedures, and data quality control methods are incomplete, and therefore the overall data quality cannot be guaranteed. With the improvement of the observation network after 288 289 2014, both the quality and quantity of observations increase significantly. This situation where data 290 quality is relatively low initially but increases over time is also found in O<sub>3</sub> observations. Second, 291 the CMA began to convert some of the manual visibility observations to automatic observations in 292 2013, during which there were also some irregular procedures in instrument equipment, observation 293 steps, and data quality control. Lastly, although we have corrected the biases between manual and 294 automated observations, some biases may still exist. However, the biases are further reduced as we 295 integrate all manual visibility observations in 2013 into our training dataset.

> 296 The model's hindcast capability is further evaluated independently using pre-2013 PM<sub>2.5</sub> 297 observations. For the PM<sub>2.5</sub> data currently available, only the US embassies in Beijing and Shanghai 298 have at least one year's PM<sub>2.5</sub> observations. Therefore, PM<sub>2.5</sub> data from these two sites are applied as an independent evaluation dataset. Figure 3 shows our estimated PM2.5 are in close agreement 299 with in-situ measurements in Beijing and Shanghai, where the overall R<sup>2</sup> between observations and 300 301 estimations is 0.74 and 0.79, respectively. For each year between 2008-2012 in Beijing, the  $R^2$ 302 values fluctuated between 0.70 and 0.81, reflecting a stable and accurate by-year hindcast capability. As shown in Fig. 3 (c-h), the low values, high values, and temporal variations in PM<sub>2.5</sub> 303 304 measurements are all well estimated. In particular, PM2.5 measurements are lacking at the US

Embassy in Beijing in early 2008 and around 2009, but our model can provide reasonable and continuous estimations to fill in the gaps. This ability can also be used to fill in missing  $PM_{2.5}$ observations of MEE from 2013 onwards, building a complete  $PM_{2.5}$  dataset. Overall, the independent validation results show that historical  $PM_{2.5}$  data can be well reconstructed by our model.



Fig. 3 (a) Density scatterplots of observed PM<sub>2.5</sub> and estimated PM<sub>2.5</sub> between 2008-2012 at the
US Embassy in Beijing; (b) Density scatterplots of observed PM<sub>2.5</sub> and estimated PM<sub>2.5</sub> in 2012 at
the US Embassy in Shanghai; (c-g) Timeseries of observed PM<sub>2.5</sub> and estimated PM<sub>2.5</sub> for each
year between 2008-2012 at the US Embassy in Beijing; and (h) Timeseries of observed PM<sub>2.5</sub> and
estimated PM<sub>2.5</sub> for each year in 2012 at the US Embassy in Shanghai.

317	The model's ability to make PM2.5 predictions at locations outside the scope of the training
318	stations is evaluated by spatial CV. For spatial CV, all the monitoring stations are randomly divided
319	into five subsets, and the model is trained using data from four subsets and tested on the data from
320	the remaining subset each time. As shown in Fig. 4, the R <sup>2</sup> for spatial cross-validation in different
321	groups is between 0.75 and 0.79, reflecting robust predictive power for $PM_{2.5}$ concentrations at sites
322	outside the training sites. Our previous study also examined this predictive ability using PM2.5 data
323	from 23 untouched regional PM <sub>2.5</sub> stations (Zhong et al., 2021).



#### 327 **3.2** Spatiotemporal variations in the site-based PM<sub>2.5</sub> dataset during 1960-2020

328 Figure 5 shows the spatiotemporal variations in annual average site-based PM<sub>2.5</sub> between 1960-329 2020. The trend of  $PM_{2.5}$  in China experiences three major stages, corresponding to a slow increase under low concentrations between 1960-1978, a continuous accumulation with high concentrations 330 reached between 1979-2013, and a rapid decrease between 2014-2020. During the first stage, though 331 332 PM<sub>2.5</sub> pollution occurred in parts of the NCP and the Guanzhong Plain (GZP), PM<sub>2.5</sub> concentrations 333 remain low in the vast majority of areas. This is mainly because anthropogenic emissions of PM<sub>2.5</sub> precursors and primary PM<sub>2.5</sub> grow slowly at a low base, resulting in relatively low total emissions 334 335 in different regions. However,  $PM_{2.5}$  pollution still occurring in the NCP and GZP, even with 336 relatively low emissions, indicates the low environmental capacity of these two regions. During the second stage, PM<sub>2.5</sub> reached an unprecedentedly high concentration after a continuous increase in 337 nearly all regions in China. The heaviest PM2.5 pollution occurred in the NCP and the GZP. The SB 338 339 and the Northeast China Plain (NeCP) are the polluted regions with the next highest PM<sub>2.5</sub> pollution. 340 Even the YRD and the PRD also experienced PM<sub>2.5</sub> pollution during this stage. This worsening of 341 PM<sub>2.5</sub> pollution is closely associated with massive anthropogenic emissions from rapidly increasing 342 living and industrial activities after reform and opening-up policies. From 1979 to 2013, primary PM<sub>2.5</sub>, NOx, SO<sub>2</sub>, NH<sub>3</sub>, BC, OC, and CO from the Peking emission inventory increased by 98%, 343 344 457%, 159%, 117%, 45%, -22%, and 243%, respectively. Despite a slow reduction in SO<sub>2</sub> after 345 2006, the total anthropogenic emissions each year still increased and thereby caused high-level PM<sub>2.5</sub> pollution after 2006. The results indicate that air pollutants cannot be emitted without restraint, 346 347 even in regions with high atmospheric capacity. Otherwise, PM2.5 pollution will inevitably occur. In 348 addition to anthropogenic emissions, sand and dust storms, resulting in high PM2.5 concentrations 349 in western Xinjiang, worsened PM<sub>2.5</sub> pollution by trans-regional transport from the desert regions. 350 During the last stage, PM<sub>2.5</sub> decreased nationwide with the mass concentrations in nearly all stations 351 approximately or below 35 ug m<sup>-3</sup> in 2020, even in the NCP and the GZP with limited environmental

- 352 capacity. The substantial declines in PM<sub>2.5</sub> illustrate the effectiveness of implementing the toughest-
- ever clean air policy in China. The spatiotemporal variations of PM<sub>2.5</sub> between 1960-2020 clearly
- 354 show the long-term impact of economic development and energy consumption on our air quality
- and the effectiveness of recent years' unprecedented emission control policies.



Fig. 5 Spatial distribution of annual average PM<sub>2.5</sub> mass concentration at 1485 stations from 1960 to 2020

The specific turning points in annual PM2.5 concentrations for different regions were 360 investigated additionally. Figure 6 shows the temporal variations in national-average monthly and 361 362 yearly PM<sub>2.5</sub> mass concentrations and regional average 6-hourly, monthly, and yearly PM<sub>2.5</sub> mass 363 concentrations in "2+26" cities of the NCP, the YRD, the PRD, and the SB. The national-average yearly PM<sub>2.5</sub> reached a peak of 67 ug m<sup>-3</sup> in 2007, declined in 2008, and then remained steady until 364 365 2013. A sharp fall followed after 2014, with PM<sub>2.5</sub> concentrations decreasing from 63 ug m<sup>-3</sup> in 2013 to 34 ug m<sup>-3</sup> in 2020. The annual PM<sub>2.5</sub> concentrations in the "2+26" cities also experienced similar 366 changes with a peak in 2007 and a reduction in 2008, which might be related to emission reduction 367 368 for the Beijing Olympics in 2008. For the YRD, the maximum value of PM<sub>2.5</sub> mass concentration occurred in 2013 without a striking peak in 2007. For the PRD, the annual PM2.5 concentrations 369 370 increased steadily between 1960-1978, then rose more and more steeply in the following years with 371 a steep increase in 2003 and 2004 and peaked in 2004. A steady decrease with slight fluctuation 372 occurred from 2005 to 2013, and then a sharp fall followed after 2014. This trend is different from 373 that in the "2+26" cities and the YRD. For the SB, the turning point occurred in 2013, before which





Fig. 6 (a) Spatial distribution of average PM<sub>2.5</sub> mass concentrations between 1960-2020; (b-f)
 Timeseries of average PM<sub>2.5</sub> mass concentrations for all sites in China (b), "2+26" cities (c),

Yangtze River Delta (d), Pearl River Delta (e) and Sichuan Basin (f), "2+26" cities (c)

#### 379 **3.3 Detailed spatial distributions from gridded PM2.5 datasets**

Figure 7 shows the annual spatial variations in  $0.25^{\circ} \times 0.25^{\circ}$  gridded PM<sub>2.5</sub> between 1960-2020. 380 Compared to site-based distributions, gridded PM<sub>2.5</sub> can portray the spatiotemporal variations in a 381 382 clearer and more detailed way. For example, the most widespread and heaviest PM2.5 pollution in 383 western Xinjiang occurred in 1979. This abnormal pollution corresponds to the historical 384 construction of northern severe dust storms, which recorded the event with the largest affected areas 385 in April 1979 (Zhou and Zhang, 2003). As exposed to nearly the most frequent air stagnation in 386 winter due to terrain and meteorological conditions (Wang et al., 2018), the NCP is the region with 387  $PM_{2.5}$  pollution first to appear and last to disappear except areas affected by dust storms (Fig. 7). 388 For year-to-year comparisons, it can be clearly seen that PM<sub>2.5</sub> concentrations in the NCP decreased 389 slightly from 2007 to 2008 and from 2012 to 2013, respectively, and decreased significantly in 2014 390 relative to 2013. The PM<sub>2.5</sub> reduction is insignificant from 2015 to 2016 but striking from 2016 to 391 2017. In 2020, the nationwide PM<sub>2.5</sub> concentrations are comparable to those in 1960s and close to 392 the lowest level ever recorded in almost 61 years.



394

Fig. 7 Gridded distribution of annual average PM<sub>2.5</sub> mass concentration from 1960 to 2020

Figure 8 shows inter-decadal spatial variations in gridded PM<sub>2.5</sub> between 1961-2020. PM<sub>2.5</sub>

396 397 concentrations maintained at low levels in most areas over the first decade and increased to a certain 398 extent in the NCP and western Xinjiang over the second decade. In the following decades, PM2.5 399 pollution has worsened significantly in several key regions, including the NCP, the GZP, and the SB. This worsening was maintained until the last decade, during which PM2.5 pollution mitigates 400 401 significantly in nearly all populous and polluted regions in eastern China.

![](_page_15_Figure_6.jpeg)

![](_page_15_Figure_7.jpeg)

404 The multi-year trend of our gridded  $PM_{2.5}$  dataset is also compared with those of publicly 405 available datasets, including the TAP data (Geng et al., 2021), the GEFPM data (Van Donkelaar et 406 al., 2021), the LGHAP data (Bai et al., 2022), and the CHAP data (Wei et al., 2021a), which have 407 been interpolated to the same grid resolution. Figure 9 shows the spatial distributions of PM<sub>2.5</sub> from 408 those datasets at 5-year intervals between 2000-2020. One consistent trend across all datasets was 409 that nationwide PM2.5 mass concentrations experienced an increase following a decrease from 2000 to 2020. However, the turning points are different for different datasets. From 2010 to 2015, PM<sub>2.5</sub> 410 411 pollution alleviated for TAP, CHAP, and our data but worsened for GEFPM and LGHAP. For the time (2015 and 2020) with ground observations available, all PM2.5 data show similar spatial 412 413 distributions with the most severe pollution in the NCP in 2015 and significant improvement in 414 nationwide air pollution in 2020. For the years (2000, 2005, and 2010) when ground observations 415 were unavailable, significant disparities in pollution levels and regional distribution emerged from 416 different datasets. Specifically, the LGHAP data are significantly lower than other data, while the 417 TAP data are higher than others in nearly all regions except western Xinjiang. In western Xinjiang, 418 PM<sub>2.5</sub> concentrations from the GEFPM data are the highest among all the datasets. Due to a lack of 419 ground  $PM_{2.5}$  observations before 2000, it is challenging to determine which dataset has the least 420 bias and more reasonable distributions. In the future, applying ensemble average to multi-datasets 421 might be an effective way to eliminate systematic bias.

![](_page_16_Figure_1.jpeg)

Fig. 9 Distribution of reconstructed PM<sub>2.5</sub> by different PM<sub>2.5</sub> datasets in 2000, 2005, 2010, 2015,
and 2020. From top to down are TAP, GEFPM, LGHAP, CHAP, and our dataset.

# 426 **4 Data availability**

427 The 6-hourly  $PM_{2.5}$  datasets from 1960 to 2020, including site-based and gridded data, are publicly 428 accessible. Daily, monthly, and yearly sited-based and gridded  $PM_{2.5}$  datasets are also provided. The 429 sited-based  $PM_{2.5}$  dataset is in the CSV format, and the gridded dataset  $PM_{2.5}$  is in the NETCDF

430 format. All of them are available at https://doi.org/10.5281/zenodo.6372847 (Zhong et al., 2022).

## 431 **5** Conclusion

432 This study is among the first to generate long-term site-based and gridded PM<sub>2.5</sub> datasets between 1960-2020 with 6-hourly resolution, based on long-term visibility, conventional 433 434 meteorological observations, emissions, and elevation. A new feature engineering method that takes 435 advantage of spatial features from 20 surrounding meteorological stations is employed in our 436 LightGBM model to incorporate spatial effects of meteorological conditions. For by-year CV, the 437 R<sup>2</sup> values of our model are 0.71, 0.78, and 0.83 for 6-hourly, daily, and monthly estimations, 438 respectively, which are higher than those in other available datasets (0.41-0.62). This hindcast 439 capability is further evaluated independently using pre-2013 PM<sub>2.5</sub> data of 6 years from US 440 embassies in Beijing and Shanghai. The low values, high values, and temporal variations in USembassy PM<sub>2.5</sub> measurements are all well estimated with the overall R<sup>2</sup> being 0.74 and 0.79 in 441 442 Beijing and Shanghai, respectively. Both by-year CV and independent validation show that our 443 model has a stable by-year hindcast capability and can reconstruct historical PM<sub>2.5</sub> data in a 444 relatively accurate way. Our datasets show that PM2.5 variations in China experience a slow increase 445 under low concentrations between 1960-1978, a continuous accumulation with high concentrations 446 reached between 1979-2013, and a rapid decrease between 2014-2020. The worsening of PM<sub>2.5</sub> 447 pollution is closely associated with massive anthropogenic emissions after reform and opening-up 448 policies, while the substantial declines in  $PM_{2.5}$  are mainly due to the implementation of the 449 toughest-ever clean air policy in China. In 2020, the nationwide PM<sub>2.5</sub> concentrations were close to 450 the lowest recorded level in almost 61 years. These two reconstructed PM<sub>2.5</sub> datasets provide 451 spatiotemporal variations at high resolution, which lay the foundation for research studies associated 452 with air pollution, climate change, and atmospheric chemical reanalysis. It is worth noting that our 453 datasets still have some weaknesses, with the main weakness being a lack of detailed bias 454 estimations for each value in our datasets due to limited historical observations. In the future, we 455 will collect as many PM<sub>2.5</sub> observations as possible to validate the accuracy of our datasets and 456 provide evaluations of uncertainty for our datasets.

# 458 Acknowledgements

The authors are grateful to all the organization and groups that provided indispensable datasets that we used in this study. We would like to acknowledge to CMA for providing the long-term visibility and MEE for the observational  $PM_{2.5}$  data. Also, we acknowledge the MEIC team and Peking for providing the emission inventories and GDEM data provider.

463

# 464 **Financial support**

465

This research was supported by the Major Project from Natural Science Foundation of China
(42090030) and the Distinguished Young Scholars Project from Natural Science Foundation of
China (41825011).

# 469 Author Contributions

470 XZ designed the research and led the overall scientific questions. JZ, KG, and LG carried out data 471 processing and analysis based on suggestions from ZZ, DW, YW, and HC. LL calibrated PM<sub>2.5</sub> data 472 and meteorological observations. YF performed visibility conversions from class values to numeric 473 values before 1980. JL and LJ provided calibrated visibility from 2013 to 2016 and suggestions 474 about manuscript structures. JZ wrote the first draft of the manuscript, and XZ revised the 475 manuscript. All authors read and approved the final version.

# 476 **Competing financial interests**

477 The authors declare no competing financial interests.

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