



1 **An urban extent dataset in late imperial China in 15th-19th**
2 **centuries**

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7 **Abstract.** Long-term urban extent data are highly desirable for understanding urban land use
8 patterns and achieving sustainable development goals. However, urban observation data based on
9 remote sensing are typically confined to recent decades. In this study, we advance in this arena by
10 reconstructing the urban extents for China that extend back from 15th century to 19th century based
11 on multiple historical documents. Cities in late imperial China (the Ming and the Qing Dynasties,
12 1368-1911) generally had city walls, and these walls were usually built around the urban built-up
13 area. By restoring the scope of the city walls, the urban extend in this period could be restored.
14 Firstly, we collected the years of construction or reconstruction of city walls from the historical
15 data. Specifically, the period in which the scope of the city wall keeps unchanged is recorded as a
16 lifetime of it. Secondly, specialization of the scope of the city wall could be conducted based on
17 the urban morphology method, and variety of documentation, including the historical literature
18 materials, the military topographic maps of the first half of the 20th century, and the remote
19 sensing images of the 1970s. Correlation and integration of the lifetime and the spatial data would
20 produce China City Wall Areas Dataset (CCWAD) in late imperial. Based on the proximity to the
21 time of most of the city walls, we generated China Urban Extent Dataset (CUED) in the 15th-19th
22 centuries in six representative years (i.e., 1400, 1537, 1648, 1708, 1787, and 1866). These datasets
23 are available at <https://doi.org/10.6084/m9.figshare.14112968.v1> (Xue et al., 2021)

24 **1 Introduction**

25 As cities are one of the most obvious phenomena on the earth surface arising from human
26 activities, human productivity has increased significantly since the industrial revolution, which has
27 led to the expansion of population and the acceleration of urbanization (Mumford, 1968; Roberto,
28 2005). The rapidly expanding urban built-up area has serious impacts on regional and global
29 changes by modifying the characteristics of the underlying surface while exacerbating human
30 activities such as fossil fuel combustion (Seto et al., 2012; Rodriguez et al., 2018). With complex
31 interactions happening in global environmental changes, the evolution of urban scale and spatial
32 distribution is an important part of global change research (Solecki et al., 2013; Seto et al., 2016;
33 Goldewijk et al., 2017; Bai et al., 2018; Kuang et al., 2021). Long-term data on historical
34 urbanization trends and patterns will be conducive to contextualize the current urbanization, as
35 well as to predict future trajectories on its process. In particularly, China has a history of urban
36 construction for thousands of years, and it is also one of the countries with a relatively fast
37 urbanization process in the world today (Gong et al., 2019; Liu et al., 2020). However, China's
38 industrial revolution did not start slowly until the end of the 19th century, while the pattern of cities
39 in late imperial China in the Ming and Qing Dynasties (1368-1911) laid the foundation for
40 Chinese cities in modern time (Skinner, 1977). Rebuilding the urbanization process during this
41 period will benefit exploration of the China's sustainable urbanization in the context of global
42 change (He et al., 2002).



43 The data using for the study in the historical period must take into account the availability and
44 integrity even though there are many methods and indicators to assess the level of urbanization.
45 The widely used data is the statistical material about the population and area of cities for the study
46 of urbanization before the industrial revolution (Doxiadis, 1970). Significantly, population is an
47 effective indicator of the level of urbanization for most current studies to estimate the historical
48 urbanization levels (Chandler, 1987; Reba et al., 2016; Letk et al., 2020). However, in the case of
49 late imperial China, population is not fully applicable to the study of China's urbanization during
50 the Ming and Qing Dynasties for obvious limitation and flaw on the data when the data on urban
51 population was usually originated from the regional level where it included cities, thus few
52 separate statistics data on the number of urban residents could be found, although the official
53 demographics of China during this period were detailed and generally credible (Ho, 1959; Perkins,
54 1969; Cao, 2001a). Therefore, the flaws in the original materials have led to a great controversy
55 over the different versions of estimation on Chinese urban population during this period (Li, 1998;
56 Cao, 2000; Cao, 2001b).

57 Another way to explore the urbanization process in the historical period is restoration of the
58 urban extents or the built-up areas of cities (He et al., 2002; Hedefalk, et al., 2019; Lin et al., 2017;
59 Qin et al., 2019; Uhl et al., 2021). However, before the popularization of scientific Cartography in
60 the 20th century, maps in China generally lacked the basis of surveying and mapping (Yee et al.,
61 1994; Cheng, 2019), and could not be used to restore the urban built-up areas in late imperial
62 period precisely. In addition, there was a lack of statistical data on urban area in late imperial
63 China. Therefore, researchers generally use alternatives to represent the built-up areas of Chinese
64 cities in late imperial period, and the one of the most commonly used indicator are the scope city
65 walls (Skinner, 1977; He et al., 2002; Qin et al., 2019). That was because most cities in late
66 imperial China built city walls, and these walls were usually built around the urban built-up area
67 (Yannis et al., 2017). The main residential areas of cities, as well as government offices, markets,
68 schools, military camps and important temples were mostly located within the city walls. In other
69 words, the city wall could be regarded as the boundary of the city. Through the study of the scope
70 of city walls, it can approximately restore the urban extent at that time. Historical materials in the
71 Ming and the Qing Dynasties in China recorded the length and construction time of the city wall
72 of each administrative city above the county level in detail, which provided reliable information
73 for restoring the scale of the city walls. Researchers have estimated the built-up area of Chinese
74 cities in late imperial period by converting the perimeter of the city wall into the area of the city
75 wall (He et al., 2002; Cheng, 2007). However, due to the shape of the city walls were often
76 irregular and their construction years were different from each other, the mentioned urban built-up
77 area estimation often produces large errors. There is still lack of urban extent datasets with high
78 resolution and definite age of late imperial China.

79 The aim of this project was to collect multiple historical data related to the city walls (urban
80 boundaries) of late imperial China, digitize it, and make China City Wall Areas Dataset (CCWAD)
81 and China Urban Extent Dataset (CUED) in late imperial in the 15th-19th centuries. We used a
82 similar method to product a dataset of urban extent areas in Northwest China in the Ming and the
83 Qing dynasties (Xue et al., 2018). And in this new database, we improved the research methods
84 and extended the study area across China. Firstly, based on the historical urban morphology theory
85 (Conzen, 1969), we restored the scope and construction time of walls of each administrative city
86 in the Ming (1368-1643) and the Qing (1644-1911) dynasties, and made the CCWAD product.



87 Then, we analyzed the years and sites of the construction of the city walls, and we found out six
88 representative years that could illustrate the general level of urban extent in China of this period.
89 Based on this strategy, we developed the product of the CUED product in 1400, 1537, 1648, 1708,
90 1787, and 1866 across China. These datasets provide a foundation for understanding cities in the
91 traditional agricultural society, and they will also be helpful in current and future research and
92 practices in urban environmental and cultural sustainability.

93 **2 Study area**

94 This research aims at the cities in China in 15th-19th centuries. Definition of city is the same as the
95 general research practice of ancient Chinese cities, namely administrative cities, including *county*,
96 *Zhou*, *Fu*, and *Ting*. In addition, the military cities of the Ming Dynasty, *Wei* and *Suo*, and the
97 *Eight Banner cities of Manchu* of the Qing Dynasty were added.

98 The research period consisted of the Ming and the Qing Dynasties, and there were some
99 differences in the territory of the two dynasties. In order to explore the temporal and spatial
100 characteristics of lat imperial China's urban extent, the study area is divided into five sub-regions
101 based on landform types, local socio-economic history and ethnic distribution, as shown in Figure.
102 1. (I) The Northeast Region, which mainly covers the area to the east of Daxing'anling mountain
103 and the north of the Great Wall of the Ming Dynasty. This region was sparsely populated until the
104 influx of large numbers of immigrants in the 18th-19th century, and a number of cities were
105 established at the end of the 19th century and the beginning of the 20th century. (II) The North
106 Region includes the Inner Mongolia Plateau, the Ordos Plateau and the Hetao Plain. This region
107 was to the north of the Great Wall and was inhabited by Mongolian herdsmen in 15th-19th centuries.
108 (III) China Proper Region was densely populated, with many cities and a long history. (IV) The
109 Northwest Region, mainly includes Xinjiang Province. This region was located in the continental
110 interior, and the population was concentrated in oasis. This region became the territory of the Qing
111 Dynasty after the mid-18th century. (V) The Qinghai-Tibet Plateau Region is mainly located on the
112 Qinghai-Tibet Plateau, which is the highest-elevation plateau in the world. There were some
113 historic cities on the edge of the region, but the administrative cities within the region were
114 established very late.

115 **3 Data sources**

116 **3.1 City wall records in historical literature**

117 We regarded the city wall as an alternative of urban boundary, and there were detailed and
118 systematic records of city walls in Chinese historical literatures, such as the *Book Integration of*
119 *Ancient and Modern Times* (edited in 1701-1728), *Book Integration of Ancient and Modern Times*
120 (edited in 1842), and more than three thousand *Local Chronicles* edited before 1949 all over China.
121 There was a tradition of compiling *Local Chronicles* in the Ming and Qing Dynasties. Most of
122 these literatures were compiled by local governments, and the city wall, as an important
123 achievement, had been paid much attention. These records detailed the construction and
124 transformation of local city walls, such as their construction time, scale and form (see Figure 2).
125 And the *Book Integration of Ancient and Modern Times* and *Book Integration of Ancient and*
126 *Modern Times* were collections of *Local Chronicles*. The historian in our research team have
127 systematically collated and studied these literatures, and compiled a series of Data Compilations
128 (Cheng, 2016a, 2016b, 2016c). And the historical literatures of this study were from these Data
129 Compilations.

130 **3.2 Old maps and remote sensing image**



131 Spatialization of the text of historical data was the next step to make this database. Most of the
132 city walls of Chinese cities were demolished after 1949, which made it impossible for us to
133 spatialize them directly on today's map. Fortunately, the 1: 25,000, 1: 50,000, and 1: 100,000
134 military topographic maps produced by the bureau of surveying and mapping of the Republic of
135 China (1912-1949) and the Japanese army in 1910s-1930s drawn the location of the city walls,
136 making it easier to restore these walls on modern maps (Figure 3a). These topographic maps were
137 mainly plotted in the periods of 1916-1925 and 1930-1939, and they are mainly collected in
138 Taiwan and Japan at present (Jiang, 2017). More than sixty thousand digitalized maps covering 25
139 provinces in China can be viewed online on various websites, and an integrated query system has
140 been launched (<http://map.rchss.sinica.edu.tw>).

141 In addition, we also need some remote sensing images for auxiliary work. The 1970s China
142 remote sensing image from the U.S. Geological Survey (USGS) website
143 (<https://earthexplorer.usgs.gov/>) was the most important (Figure 3b). That is because before the
144 1980s, the city of Chinese mainland has not started large-scale expansion, and the ancient relics
145 can be clearly identified from these remote sensing images. And the modern remote sensing
146 images are obtained from Google Earth.

147 **3.3 City sites and their lifetime**

148 We need obtain the amount of cities in China during the study period including where they were
149 located, what time they appeared, and when they disappeared contributes. As mentioned above,
150 the research object was administrative city. If a site was chosen as a local administrative center, it
151 would be regarded as the birth of a new city; if all the administrative agencies mentioned above
152 were abandoned or moved, then it will be regarded as the abandoned city; and the period between
153 them was called the city's lifetime. Most of the city's lifetime information can be obtained from
154 the China Historical Geographic Information System (CHGIS, Version: 6.0, 2016; available at
155 https://dataverse.harvard.edu/dataverse/chgis_v6). In addition, we supplemented and corrected
156 some missing and mistaken data of CHGIS based on the *Historical Atlas of China* (Tan et al.,
157 1982) and *General History of Administrative Regions in China* (Zhou et al., 2007-2016). Through
158 the above work, the city site point layer of the Ming and Qing Dynasties could be obtained, as
159 well as the time records they set up or abandoned, including 2,560 lifetime records for 2,376 city
160 sites in total (Figure 1), functioning as the basis for the next step to make the CCWAD and the
161 CUED products.

162 **4 The strategy of developing the CCWAD product**

163 **4.1 The historical urban morphology theory**

164 The historical urban morphology theory was proposed by British architect Michael Conzen,
165 emphasizing the importance on studying the urban plan pattern from the perspective of
166 morphology (Conzen, 1969). It was believed that the plan pattern retaining the residual
167 characteristics of each stage of its development process was a complex record of the development
168 of urban form space. Therefore, based on the evolutionary perspective, it is a worthwhile analysis
169 method to study and reveal the potential history from the existing planning pattern. The urban
170 morphology theory focuses on large-scale city map, combine with field research and literature
171 analysis, to analyze the urban plane pattern based on the perspective of evolution, and interprets it
172 as three elements complex: street and its layout in the street system; burgh and its agglomeration
173 in the block; and block-plan of a building. And the city wall are generally considered as an
174 important "fixation line" that has the role of defining the static edge of the city (Conzen, 1969).



175 Conzern also put forward a series of basic concepts to describe the urban form and its evolution
176 phenomenon, which is of great significance to the study of urban historical form in China (Li et al.,
177 1992; Zhong, 2015; Lai, 2019). Chinese researchers often combine historical text data and old
178 maps to fix the lack of systematic ancient cadastral records. The main elements of the urban flat
179 pattern are appropriately adjusted to aggregation including streets, water systems and bridges, city
180 walls, moats, government offices, and temples for analysis. Thus, a relatively clear urban plan
181 pattern was obtained on several time sections in the pre-industrialization period. The production of
182 our database does not involve the restoration of streets and buildings, but focuses on the
183 restoration of the location of the city walls, thus reducing the difficulty of practice and the
184 requirements for the fineness of the original materials. With the historical urban morphology
185 theory, it is not difficult to restore the location of city walls in late imperial China by combining
186 historical literature data, old maps and remote sensing images with some necessary field
187 investigations, thus helping to understand the urban extent of this period in China.

188 Figure 4 provides a schematic overview of dataset construction and is referred to throughout the
189 methods section to clarify the dataset development process.

190 **4.2 Restoration of the scope of the city walls**

191 Sorting out the city wall records in historical records and tabulating them by Microsoft Excel
192 involved much work on filtering the city wall information in the historical literature data since it is
193 lengthy, messy, and mixed with many literary descriptions. Besides, the perimeter of the city walls
194 recorded is often not accurate and can only be used as a reference. Therefore, it is focus on
195 extracting information about construction time and reconstruction time. The literary descriptions
196 of city walls in the historical records were helpful to the interpretation of remote sensing images,
197 and were retained as for reference.

198 We georeferenced and digitized the military topographic maps and the 1970s remote sensing
199 images. In the georeferencing process, we used modern topographic web maps and Google Earth
200 to identify common points in the historic maps and 1970s remote sensing images, such as temples,
201 city gates, city walls, drum-towers, and crossroads. Using all of the above processed materials, it
202 is allowed to identify the location of city wall ruins, or other associated ruins, on the Google Earth
203 platform. Then, according to the literary description in historical records, the correspondence
204 between the text records and the identified ruins are judged, thereby identifying the time of the
205 ruins.

206 Although most of the city walls of Chinese cities were demolished after 1949, there were still
207 many associated relics, such as the moat parallel to the city wall, or a ring road built after the city
208 wall was demolished, as well as the radial spread of multiple roads often implies the location of
209 the city gate. These associated relics could be investigated from remote sensing images of the
210 1970s, and even in modern remote sensing images (e.g., see Fig. 3 b, c, d). For example, Figure 5
211 and 6 show the scope of the city walls of several famous Chinese cities from 1368 to 1911, and the
212 red lines on these figures are the location of city walls presented in the dataset. The eight cities
213 shown in Figure 5 did not change the scope of the city walls during the period, while the six cities
214 in Figure 6 changed to varying degrees. Among these cities, Nanjing in Figure 5 and Xi'an
215 (1368-1642) in Figure 6 have retained relatively complete city walls today, so it is not difficult to
216 restore their scopes on the remote sensing images. Chengdu, Hangzhou and Suzhou in Figure 5
217 retained their city moats, so their city walls were located inside the moats. Shanghai and Kunming
218 in Figure 5 and Beijing, Shenyang, Tianjin (1369-1860) and Urumqi in Figure 6 demolished their



219 city wall and built ring roads on its old site, for example the “Second Ring Road” in Beijing and
220 the “Renmin Road” in Shanghai, so their city walls position overlaps with these ring roads. The
221 the scope of city walls in other cities were verified through various ground markers and Local
222 Chronicles. In cities where the scope of the city walls changed, most of the newly built walls were
223 located outside the old city gates (e.g. Xi’an, Lanzhou) or around the old cities (e.g. Shenyang,
224 Tianjin). This was to protect the newly urban built-up areas. There were also cities that built a new
225 city wall far from the old city (e.g. Urumqi).

226 The maps and remote sensing images were transformed using a piecewise interpolation method
227 (spline). Target geographic objects, such as city walls, city gates, moats, and ring roads built after
228 the city walls demolished, were digitized as temporal snapshots from the maps. The
229 georeferencing and digitalization steps were performed by using ArcGIS Desktop 10.3
230 (<http://www.esri.com/software/arcgis/arcgis-for-desktop>). It would be next step to generate layers
231 in .kml format on Google Earth, marking their corresponding lifetime, and then use ArcGIS
232 Desktop 10.3 to covert .kml layers into .shp format. The .shp layers are associated with the Excel
233 table that previously saved the Local Chronicles data, thereby generating the .shp layer of the
234 scope of the city walls area with spatio-temporal attributes.

235 This section shows the process of making the CCWAD product during the Ming and Qing
236 Dynasties. Users could query and obtain the nationwide city wall area data for any year during
237 1368 to 1911 by GIS software from this dataset. This dataset is the basis for the further
238 development of CUED product.

239 **5 Extract representative years and develop the CUED product**

240 To produce the dataset of the scope of the city walls of the Ming and Qing Dynasties (CCWAD)
241 did not mean that we have a dataset of the urban extent (CUED) in late imperial China. Although
242 the construction of city walls of Chinese cities during the Ming and Qing Dynasties were often
243 consistent with the urban boundaries at that time, after the city walls were built, the scope of the
244 city walls generally did not change with the built-up areas over time. In addition, the urban
245 boundaries before the construction of the city wall were practically unknown. Finally, some
246 special cities, such as those established in the northeast of China at the end of the Qing Dynasty,
247 and the colonial cities (such as Hong Kong and Qingdao) and urban concessions (such as the
248 Shanghai concession) established by foreigners in the 19th century, often did not build city walls.
249 Therefore, to make the dataset of city extent (CUED) during the late imperial period, it is
250 necessary to extract some suitable representative years to make the time of city boundaries in
251 close proximity to the time of most of the city walls built. It should to analysis the time series of
252 the changes in the area of the city walls scope, the number of city walls built, the total number of
253 cities in China, and the total number of cities that built the city wall, during the study period.

254 We plotted the time series of the number of city walls built (Fig. 7b), the total number of cities
255 (Fig. 7d), the total number of cities that built the city wall (Fig. 7e), and its percentage of the total
256 number of cities (Fig. 7c). It can be seen from Figure 7b that there were some correlation between
257 the number of wall constructions and the area of the walls scope. The periods of more
258 constructions were often of faster area growth, and the less construction periods were always of
259 area decline or unchanged. In 1368, there were 1,375 cities in China, of which 851 had city walls,
260 accounting for only 62% of the total (Fig. 7c, d, e). However, in the year 1393, 70% of cities had
261 city walls; in 1469 it reached 80%, in 1540 it was 90%, and in 1576 it was 95%. Since then, even
262 though the number of cities fluctuated to a considerable extent, the proportion of cities with walls



263 to the total cities has remained stable between 95%-97% for a long time. But after 1868, this
264 percentage began to decline, and after 1900 it dropped sharply.

265 According to the above facts, we selected six base years where the area of the city wall scope
266 were closest to the urban boundary from the six time periods (i.e. 1368-1404, 1405-1564,
267 1565-1662, 1663-1727, 1728-1860, and 1861-1911.), to product the CUED product in 15th-19th
268 centuries. The selection criteria for the base year are as follows. Firstly, the proportion of cities
269 with walls in the total cities should be higher. The proportion should generally be more than 90%,
270 except in the 14th and early 15th centuries. Secondly, after the city walls were built, the scope of
271 the city walls generally did not change with the built-up areas over time, so the base years should
272 be within only one or two years after the end of a large-scale construction activities of the city
273 wall period. In addition, the base year should be selected at a moderate level of changes in the
274 scope of the city wall within the period. Finally, the base year should avoid major political,
275 military events and severe natural disasters in order to reflect the general level of urban
276 development in that period.

277 Therefore, we selected 1400, 1537, 1648, 1708, 1787, and 1866 as the representative year to
278 develop the CUED product in 15th-19th centuries.

279 **6 The accuracy of the CCWAD and CUED**

280 Due to the differences in data richness and existing relics in various cities, the accuracy of urban
281 extent would also be different. Reliability is a necessary factor to allow researchers and data users
282 to be aware of the accuracy of the data and the subsequent analytical results. So we established an
283 accuracy ranking system for the entire dataset to test consistency. This ranking system consists of
284 a five-tiered structure with ranks of A, B, C, D, and BW. Cities holding a rank of A are considered
285 most accurate, while those of rank C are least accurate. Cities holding a rank of D indicates that
286 they had never built city walls. And those of rank BW indicates that the city did not build a city
287 wall during this lifetime, but it was built later (next lifetime). Ranks were determined through
288 consistency of results.

289 This ranking system was created with the intention of allowing researchers to subset the dataset
290 to the most suitable level of accuracy for each specific analysis. For example, for studies where
291 the most exact information is required, cities with a certainty ranking of C or D could be rejected.
292 Furthermore, improvement and enhancement of the dataset can be better targeted to those cities
293 where geo-locations are suspect—cities with an accuracy value of B or C.

294 **7 Results**

295 Based on the CCWAD product, we plotted the time series of the changes in the area of the city
296 walls scope. Taking the scope of the city walls area of 1368 AD (=1,087.06 km²) as the origin, the
297 Figure 7a reflecting the change in the area of the city wall area in the Ming and Qing Dynasties of
298 China. It can be seen that in the 14th-20th centuries, the scope of the city walls area grown at slow
299 rate. The minimum is located in 1373 (=1,040.98 km²) and the maximum is in 1911 (=1,367.22
300 km²). According to the change of the slope of the Figure 7a, the area change of the city wall scope
301 can be divided into six periods: 1368-1404, 1405-1564, 1565-1662, 1663-1727, 1728-1860, and
302 1861-1911. Period 1369-1404 was in the early years of the Ming Dynasty, many cities were
303 abandoned due to years of war, which led to a decline of city wall areas. However, these cities
304 were quickly rebuilt as well as many military cities were built, making the built-up area soon
305 exceed the level of 1368. At the beginning of the 15th century, the Ming Dynasty abandoned the
306 area north of the Great Wall, and most of the cities in this area were abandoned. After that, in the



307 period 1405-1564, the city wall scope area grew slowly. Since the middle of the 16th century, the
308 situation in the north and southeast was tense, and many cities there built outer city walls, which
309 accelerated the growth of the city wall scope area (period 1565-1662). In the middle of the 17th
310 century, the city wall scope area fell again, partly because of the war in the late Ming and early
311 Qing dynasties, and also because the Qing government abolished many military cities built by
312 Ming Dynasty (period 1663-1727). The growth of the city wall scope area in the period 1728-1860
313 was very slow. Until the middle of the 19th century, the government opened up immigrants to the
314 northeast of China, and the city wall scope area began to grow rapidly.

315 Figure 8 based on the CUED product shows the urban extent areas in some provinces in each
316 representative year. Combine with Table 1 and Figure 1, it could be seen that provinces in the
317 northeast of the Region III had the largest urban extent area in late imperial period in 15th-19th
318 centuries. Hebei, where the capital Beijing was located, had the largest urban area. Jiangsu and
319 Shanghai, an economically developed area, ranked second, and Henan, a populous province,
320 ranked third. Shandong, Shanxi and Zhejiang also have large urban areas. During the study period,
321 the urban extent of the above provinces increased steadily or slowly, but Zhejiang province
322 decreased slightly in 1708. That was because the Qing Dynasty issued an order to demolish some
323 coastal cities at that time. The urban extents of other provinces in the Region III were roughly the
324 same. Among them, Anhui, Guangxi, Hubei, Hunan, Jiangxi, Sichuan and Chongqing had long
325 history of land development, and the urban extent had remained stable during in 15th-19th centuries.
326 Fujian, Guangdong and Hainan decreased slightly in 1708 by the same reason with Zhejiang.
327 Yunnan and Guizhou province developed intensively and built a number of cities in the early
328 Ming Dynasty. In the middle and late Ming Dynasty, the urban extent of Shaanxi, Liaoning, Gansu
329 and Ningxia increased rapidly because of the severe military pressure faced by nomads at that
330 time. Taiwan began large-scale development only after the 18th century, and some small cities
331 were built mainly on the west coast.

332 Jilin and Heilongjiang, located in the Region I, had no administrative cities in the Ming Dynasty.
333 After the mid-18th century, with the influx of immigrants, a number of cities were established.
334 Inner Mongolia, located in the Region II, had a certain number of cities in the Yuan Dynasty
335 (1271-1368) and the early Ming Dynasty, but by the middle of Ming Dynasty, these cities were
336 gradually abandoned. It was not until the late 18th century that Inner Mongolia rebuilt some cities
337 with the influx of immigrants. Xinjiang, located in the Region IV, was not under the rule of the
338 Ming Dynasty. In the late 18th century, the Qing Dynasty completely conquered Xinjiang and
339 established a number of administrative cities. And Qinghai Province, located in the Region V, only
340 had some cities in the Valley of Yellow River and Huangshui River in the east of Qinghai Tibet
341 Plateau.

342 **8 Data availability**

343 The datasets include the CCWAD in 1368-1911 and the CUED in 1400, 1537, 1648, 1708, 1787,
344 and 1866 are publicly available and can be downloaded from
345 <https://doi.org/10.6084/m9.figshare.14112968.v1> (Xue et al., 2021)

346 **9 Conclusion and outlook**

347 Ultimately, we view the CUED dataset as a beginning compilation of a richer historical, city-level
348 urban extent database in late imperial China. Despite of the current reliability gaps, the dataset
349 does provide a spatially explicit, long-term historical record of urban extent of China especially no
350 alternative geo-coded dataset at such resolution exists. As a result, this dataset could be used as a



351 foundation to build a full and accurate record of urban built-up areas through history, creating
352 systematic, global built-up area data to measure urban growth at a long timescale.

353 However, we caution potential CCWAD and CUED users of the following limitations and
354 dataset details:

355 1. The urban extent dataset (CUED) is based on the scope of the city wall (CCWAD). Strictly
356 speaking, the scope of the city wall cannot be completely equal to the scope of the urban extent.
357 The data may better reflect the urban extent in which year the city wall was built. The lifetime of
358 each urban extent provided by the CCWAD is a period of time, and the urban extent of any year
359 within the time period can be intercepted. However, if the year of interception is too far from the
360 year of construction of the city wall, the actual urban extent may have a large difference with the
361 wall's scope. Before the construction of the city wall, in fact, we were hardly to know the actual
362 scope of the urban extent, and only the later wall's area was referred to. More often, after the city
363 wall was built, as time goes by, the area farther away from the city gates and the center were
364 gradually becoming uninhabited and even becomes cultivated land; the area with convenient
365 transportation outside the city gates forms new built-up areas. Therefore, we recommend that
366 potential CCWAD users should be careful not to be too far away from the year of construction of
367 the city wall when choosing the research years. And this was why we generated six representative
368 years in the CUED product in 15th-19th centuries China.

369 2. In general, the increase or decrease of the city wall range often means the increase or
370 decrease of the urban extent, but they are not completely synchronized in time. The expansion of
371 urban extent is often caused by economic development and population growth while the
372 construction of the city wall is often caused by the stimulation of wars. Therefore, a paradox is
373 that the expansion of the city wall area often happened in the period of wars. Users should
374 understand that it is not the war that has led to the expansion of urban extents, but the expansion of
375 the city wall reflects the development of the city's economy and the increase of population before
376 the outbreak of wars.

377 3. To sum up, the reliability of this dataset is acceptable, but users need to be aware of whether
378 the reliability rating of the area has fallen when it comes to smaller areas. In the 15th-19th
379 centuries, cities in some regions generally did not built city walls, such as some cities in
380 southwestern of Zhejiang province. When researching these areas, be sure to pay attention to the
381 reliability rating.

382

383 **Author contributions.** JX, XQ and CY originated, conceived and designed the work. CY collated
384 and studied the historical literatures. XQ, JX, YX and ZY developed and analyzed the dataset. All
385 authors contributed to the writing of the manuscript.

386

387 **Competing interests.** The authors declare that they have no conflict of interest.

388

389 **Acknowledgements.** We would like to thank Lijun Qin, Rui Sun, Shuai Cao, Yuchao Jiang,
390 Xiaolin Zhang of Nanjing University, Zihao Xu of Yunnan University and Xinghua Chen of
391 Nanjing Agricultural University for their work of the dataset.

392

393 **Financial support.** This research has been supported by the National Natural Science Foundation
394 of China (No.41671082).



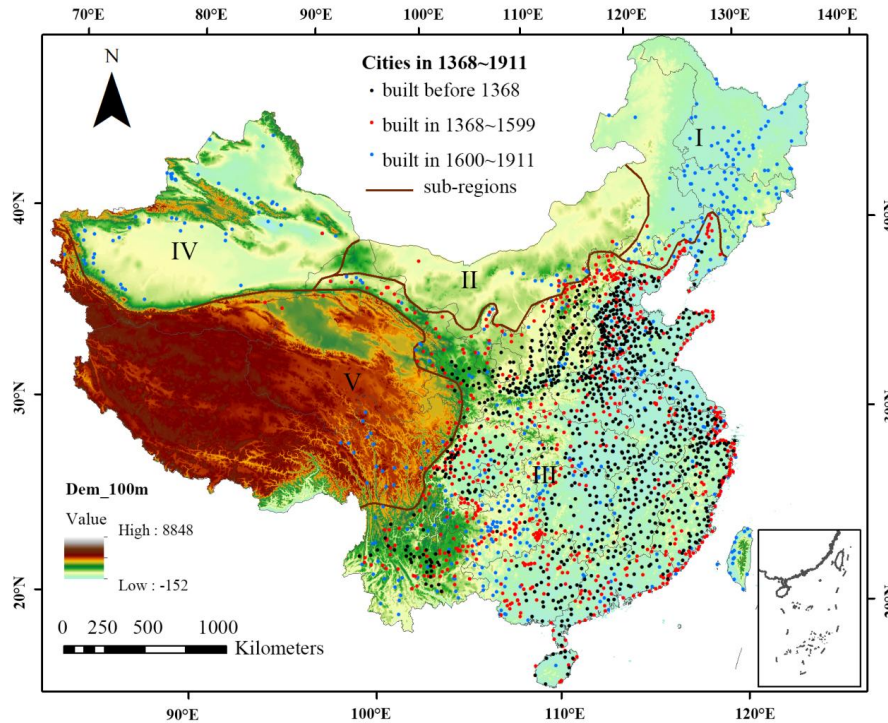
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396 **Figures and figures legends**

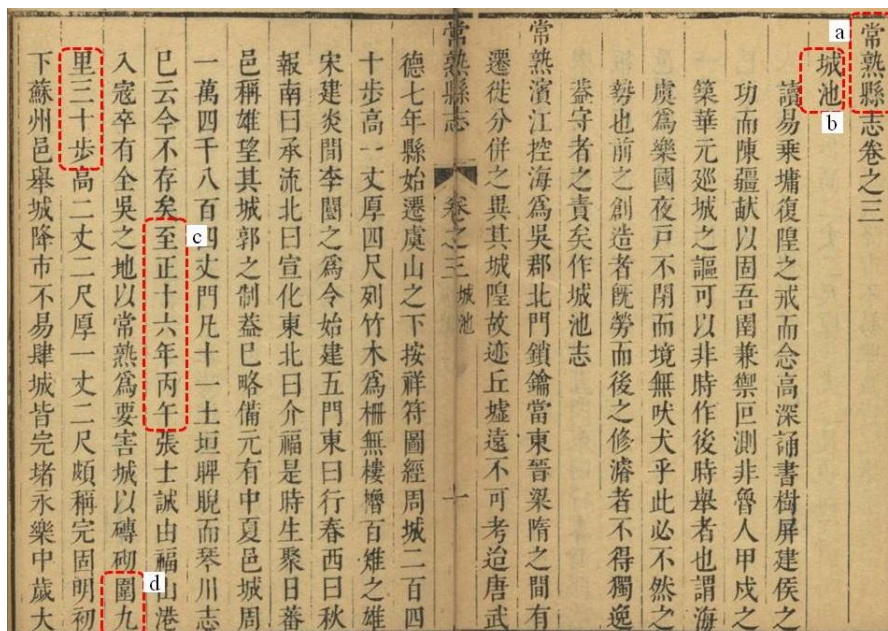
397 **Table 1.** Provincial distribution of urban extents in 15th-19th centuries.

| Province | Urban extent area (km ²) | | | | | |
|--------------------------|--------------------------------------|--------|--------|--------|--------|--------|
| | 1400 | 1537 | 1648 | 1708 | 1787 | 1866 |
| Anhui | 52.68 | 53.54 | 53.64 | 53.39 | 53.19 | 54.55 |
| Fujian | 40.33 | 42.04 | 43.77 | 37.88 | 38.55 | 38.71 |
| Gansu & Ningxia | 32.76 | 49.71 | 52.29 | 51.64 | 53.47 | 53.41 |
| Guangdong & Hainan | 40.26 | 44.92 | 51.32 | 49.47 | 44.05 | 44.30 |
| Guangxi | 22.34 | 23.95 | 25.46 | 24.83 | 26.24 | 26.24 |
| Guizhou | 13.08 | 14.72 | 18.34 | 15.89 | 18.18 | 18.00 |
| Hebei, Beijing & Tianjin | 168.88 | 154.87 | 182.13 | 175.69 | 180.04 | 201.36 |
| Heilongjiang | 0 | 0 | 0.29 | 5.81 | 17.53 | 18.30 |
| Henan | 102.62 | 112.01 | 113.74 | 111.26 | 112.58 | 114.32 |
| Hubei | 41.05 | 41.80 | 42.28 | 42.10 | 42.73 | 42.73 |
| Hunan | 26.85 | 26.27 | 27.70 | 26.59 | 27.26 | 27.77 |
| Inner Mongolia | 28.59 | 3.16 | 2.90 | 0.79 | 10.60 | 10.60 |
| Jiangsu & Shanghai | 122.06 | 120.26 | 127.08 | 126.27 | 127.39 | 124.55 |
| Jiangxi | 44.74 | 45.38 | 46.97 | 46.68 | 47.08 | 47.08 |
| Jilin | 0 | 0.18 | 0.18 | 4.22 | 4.68 | 5.51 |
| Liaoning | 21.34 | 26.02 | 37.73 | 37.71 | 38.93 | 39.69 |
| Qinghai | 2.23 | 2.21 | 2.66 | 2.66 | 3.03 | 3.28 |
| Shaanxi | 47.82 | 51.63 | 58.74 | 57.96 | 60.04 | 63.80 |
| Shandong | 87.22 | 92.51 | 94.80 | 93.38 | 90.56 | 104.98 |
| Shanxi | 79.68 | 91.50 | 98.37 | 97.65 | 94.13 | 93.65 |
| Sichuan & Chongqing | 55.24 | 58.71 | 59.59 | 55.30 | 58.91 | 59.72 |
| Taiwan | 0 | 0 | 0 | 3.31 | 4.03 | 4.64 |
| Xinjiang | 0.33 | 0.15 | 0.15 | 0.15 | 20.79 | 20.96 |
| Yunnan | 29.28 | 32.50 | 35.05 | 31.54 | 35.10 | 35.21 |
| Zhejiang | 82.62 | 87.44 | 87.92 | 73.91 | 74.18 | 74.41 |

398



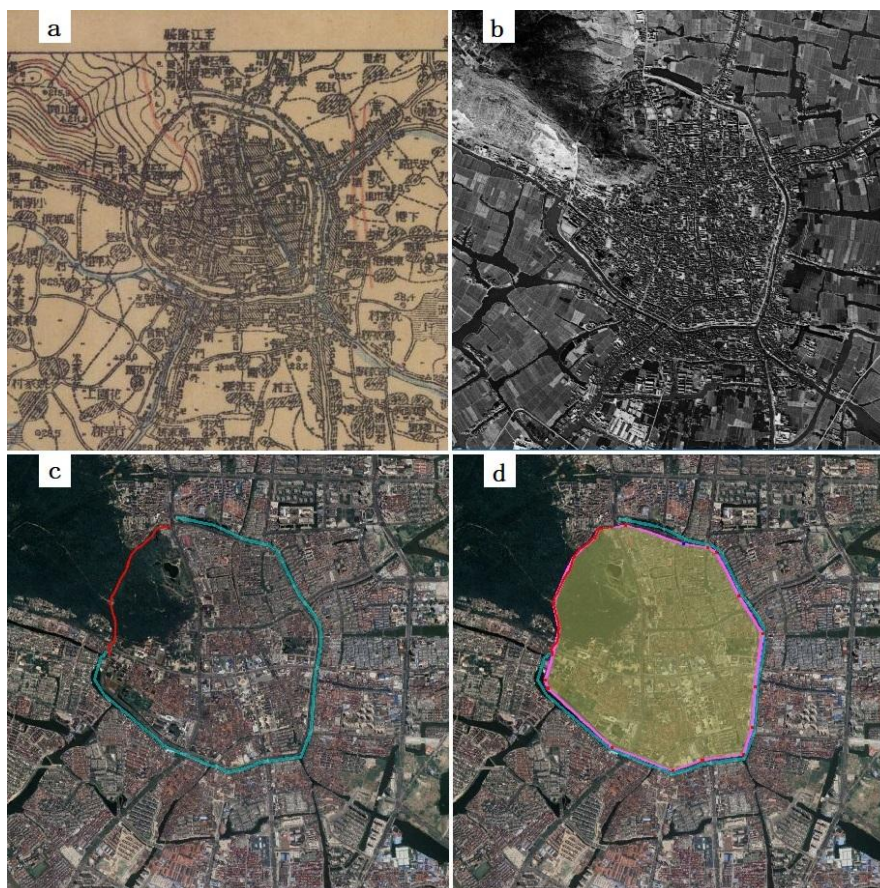
399
 400 **Figure 1. Cities in the Ming and Qing Dynasties (1368-1911). The study area is divided into**
 401 **five natural sub-regions (I, II, III, IV and V).**
 402



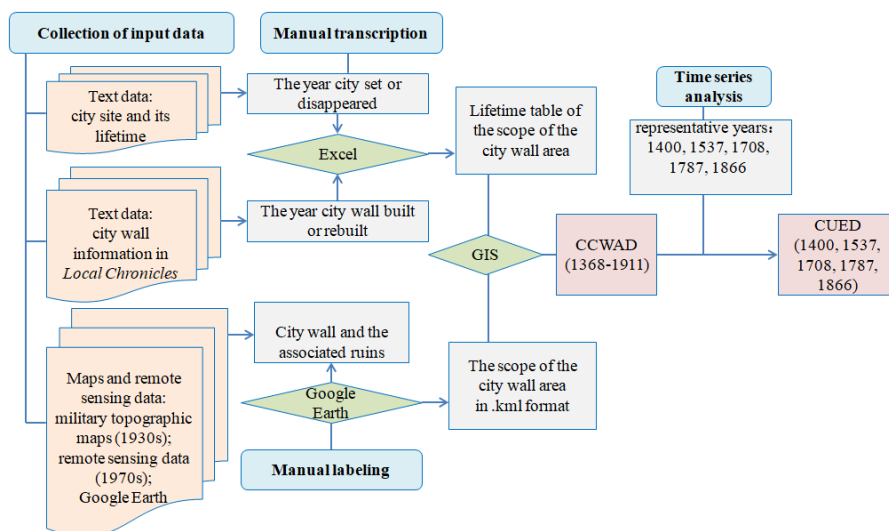
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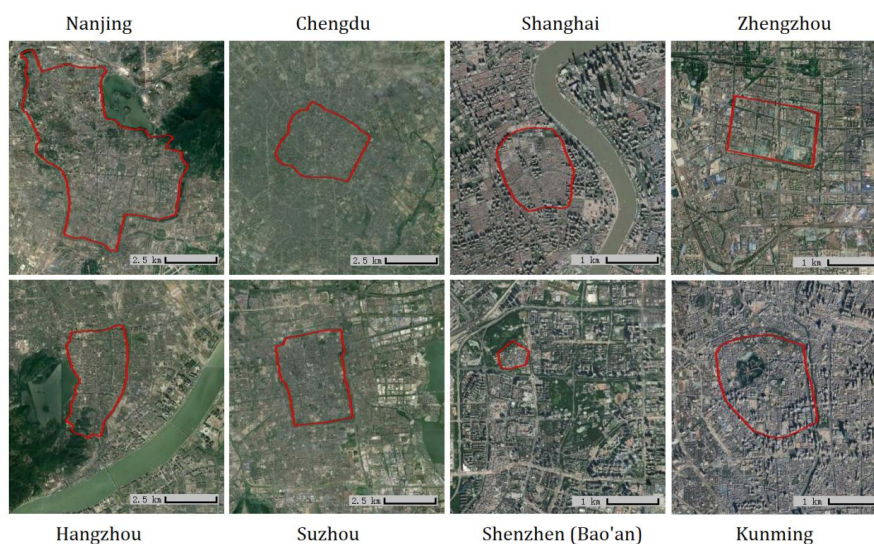
404 **Figure 2.** The image of the record of the city wall in a *Local Chronicles* of the 17th century
405 (*Kang-Xi Changshu county's Chronicle*). (a) City's name: Changshu (Jiangsu Province). (b)
406 Chapter name: city wall and moat. (c) Year of the city wall built: the 16th year of *Zhizheng* in
407 the Yuan Dynasty (1356 AD). (d) The perimeter of the wall: around 4.6 kilometers (actual
408 about 5.44 kilometers).
409



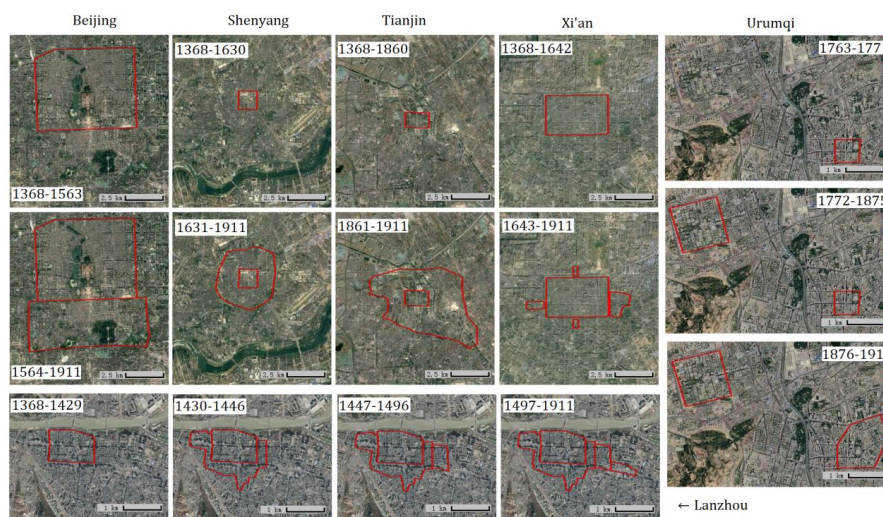
410
411 **Figure 3.** Maps and remote sensing images that show the city wall and associated relics of
412 Changshu, Jiangsu Province. (a) The 1:50,000 military topographic maps made in 1928. The
413 jagged line on the map represents the city wall and the double line represents the river. (b)
414 The 1970s remote sensing image from USGS. (c) The remaining city walls (tagged as red line)
415 and moats (tagged as blue line) are still clearly visible. The map is based on © Google Earth
416 image, 2018. (d) According to the remains of the city walls and the moat, the scope of the city
417 wall is drawn (yellow area). The map is based on © Google Earth image, 2018.



418
 419 **Figure 4. A flowchart of the methodology used to generate the China City Wall Areas**
 420 **Dataset (CCWAD) and China Urban Extent Dataset (CUED) in 15th -19th centuries in late**
 421 **imperial China**
 422



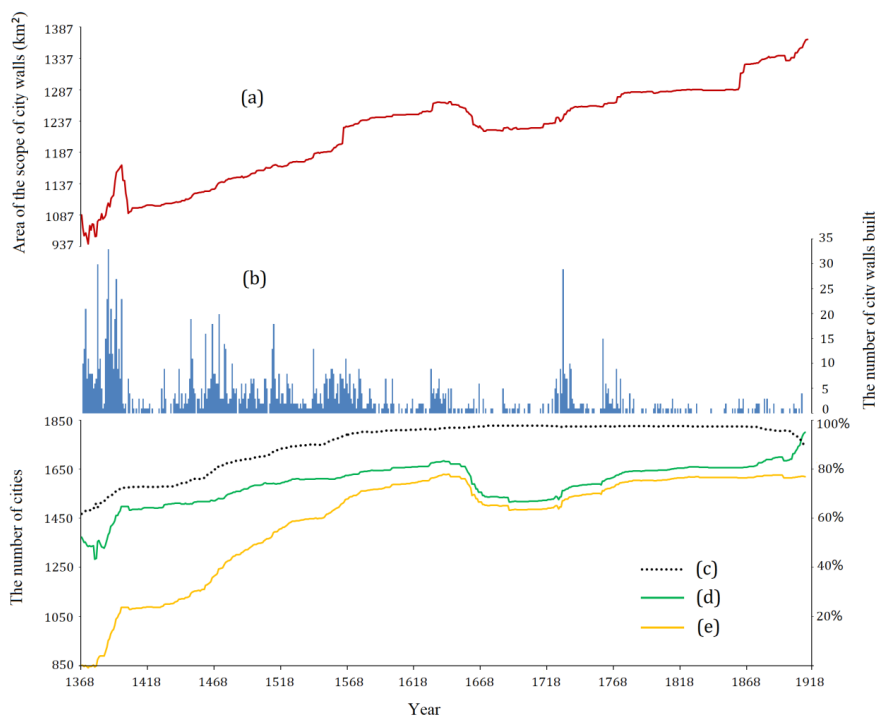
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 424 **Figure 5. Several scope of city walls of Chinese cities from 1368 to 1911. The red aerials are**
 425 **from the China City Wall Areas Dataset (CCWAD) which illustrate the location of city walls.**
 426 **These maps are based on © Google Earth image, 2020.**



427

428 **Figure 6.** Several scope of city walls of Chinese cities from 1368 to 1911. The red aeriels are
429 from the China City Wall Areas Dataset (CCWAD) which illustrate the location of city walls.
430 These maps are based on © Google Earth image, 2020.

431

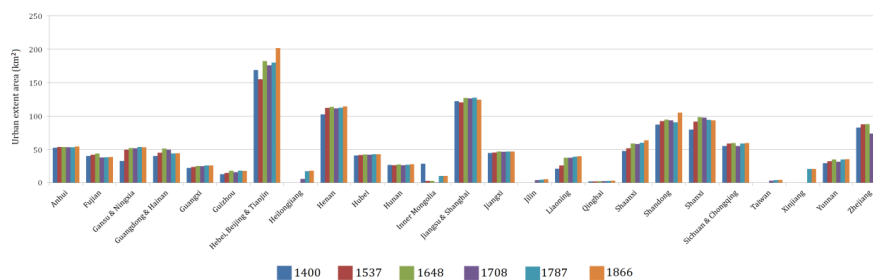


432

433 **Figure 7.** Time series of cities and city walls in the Ming and Qing Dynasties (1368-1911). (a)
434 The time series of the area of the scope of city walls. (b) The number of city walls built. (c)



435 **Walled cities' percentage of the total number of cities. (d) The total number of cities. (e) The**
436 **total number of walled cities.**
437



438
439 **Figure 8. Provincial distribution of urban extents in 1400, 1537, 1648, 1708, 1787 and 1866.**
440

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