

1           **Landsat and Sentinel-derived glacial lake dataset in the**  
2           **China-Pakistan Economic Corridor from 1990 to 2020**

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18 **Abstract.** The China-Pakistan Economic Corridor (CPEC) is one of the flagship projects of  
19 the One Belt One Road Initiative, which faces threats from mountain disasters in the high  
20 altitude region, such as glacial lake outburst floods (GLOFs). An up-to-date high-quality  
21 glacial lake dataset with parameters such as lake type, acquisition date and area, which is  
22 fundamental to flood risk assessments and predicting glacier-lake evolutions and  
23 cryosphere-hydrological interactions, is still largely absent for the entire CPEC. This study  
24 describes a glacial lake dataset for CPEC, based on an object-oriented mapping method  
25 associated with rigorous visual inspection workflows. This dataset includes (1) a glacial lake  
26 inventory for the year 2020 at 10 m resolution produced from Sentinel spectral images, and (2)  
27 multi-temporal inventories for 1990, 2000, and 2020 produced from 30 m resolution Landsat  
28 images. The results show that Landsat derived 2234 glacial lakes in 2020, covering a total  
29 area of  $86.31 \pm 14.98 \text{ km}^2$  with a minimum mapping unit of 5 pixels ( $4500 \text{ m}^2$ ), whereas  
30 Sentinel derived 7560 glacial lakes in 2020 with a total area of  $103.70 \pm 8.45 \text{ km}^2$  with a  
31 minimum mapping unit of 5 pixels ( $500 \text{ m}^2$ ). The discrepancy implies that there is a  
32 significant quantity of small glacier lakes not recognized in existing glacial lake inventories  
33 and a more thorough inclusion of them require future efforts using higher resolution data. The  
34 total number and area of glacial lakes from consistent 30 m resolution Landsat images remain  
35 relatively stable despite a slight increase from 1990 to 2020. A range of critical attributes  
36 have been generated in the dataset, including lake types and mapping uncertainty estimated  
37 by an improved Hanshaw's equation. This comprehensive glacial lake dataset has potential to  
38 be widely applied in studies on glacial lake-related hazards, glacier-lake interactions and  
39 cryospheric hydrology, and is freely available at <https://doi.org/10.12380/Glaci.msdc.000001>  
40 (Lesi et al., 2022).

## 41 **1 Introduction**

42 Glaciers in High-mountain Asia (HMA) play a crucial role in regulating climate, supporting  
43 ecosystems, modulating the release of freshwater into rivers, and sustaining municipal water  
44 supplies (Wang et al., 2019; Viviroli et al., 2020), agricultural irrigation, and hydropower  
45 generation (Pritchard, 2019; Nie et al., 2021). Most HMA glaciers are losing mass in the  
46 context of climate change (Brun et al., 2017; Maurer et al., 2019; Shean et al., 2020;  
47 Bhattacharya et al., 2021), therefore, unsustainable glacier melt is reducing the hydrological  
48 role of glaciers and impacting downstream ecosystem services, agriculture, hydropower and  
49 other socioeconomic values (Carrivick and Tweed, 2016; Nie et al., 2021). The present and  
50 future glacier changes also alter the frequency and intensity of glacier-related hazards, such  
51 as glacier lake outburst floods (GLOFs) (Nie et al., 2018; Rounce et al., 2020; Zheng et al.,  
52 2021), and rock and ice avalanches (Shugar et al., 2021). Global glacial lake number and total  
53 area both increased between 1990 and 2018 in response to glacier retreat and climate change  
54 (Shugar et al., 2020), which inevitably affected the risk of GLOFs. The increasing frequency  
55 of GLOFs has been observed in the Karakoram and Himalaya (Nie et al., 2021), and the  
56 increasing risk of GLOFs (Zheng et al., 2021) is threatening existing and planned  
57 infrastructures in the mountain ranges, such as hydropower plants, railways, and highways.

58 A large number of major infrastructure construction projects for the One Belt One Road  
59 Initiative (BRI) play a fundamental role in strengthening the interconnection of infrastructure  
60 between countries and promoting international trade and investment (Battamo et al., 2021; Li  
61 et al., 2021). Taking the Karakoram Highway for example, it is a unique land route to link  
62 China and Pakistan. The China-Pakistan Economic Corridor (CPEC) is one of the BRI  
63 flagship projects, originating from Kashgar of the Xinjiang Uygur Autonomous region, China  
64 and extending to Gwadar Port, Pakistan (Ullah et al., 2019; Yao et al., 2020). The northern  
65 section of the CPEC passes through Pamir, Karakoram, Hindu Kush and Himalaya mountains  
66 where glacier-related hazards such as GLOFs are frequent and severe (Hewitt, 2014; Bhambri  
67 et al., 2019), threatening the existing, under-construction and planned infrastructure projects.  
68 Understanding the risk posed by GLOFs is a critical step to disaster prevention for  
69 infrastructures across the CPEC (Figure 1).

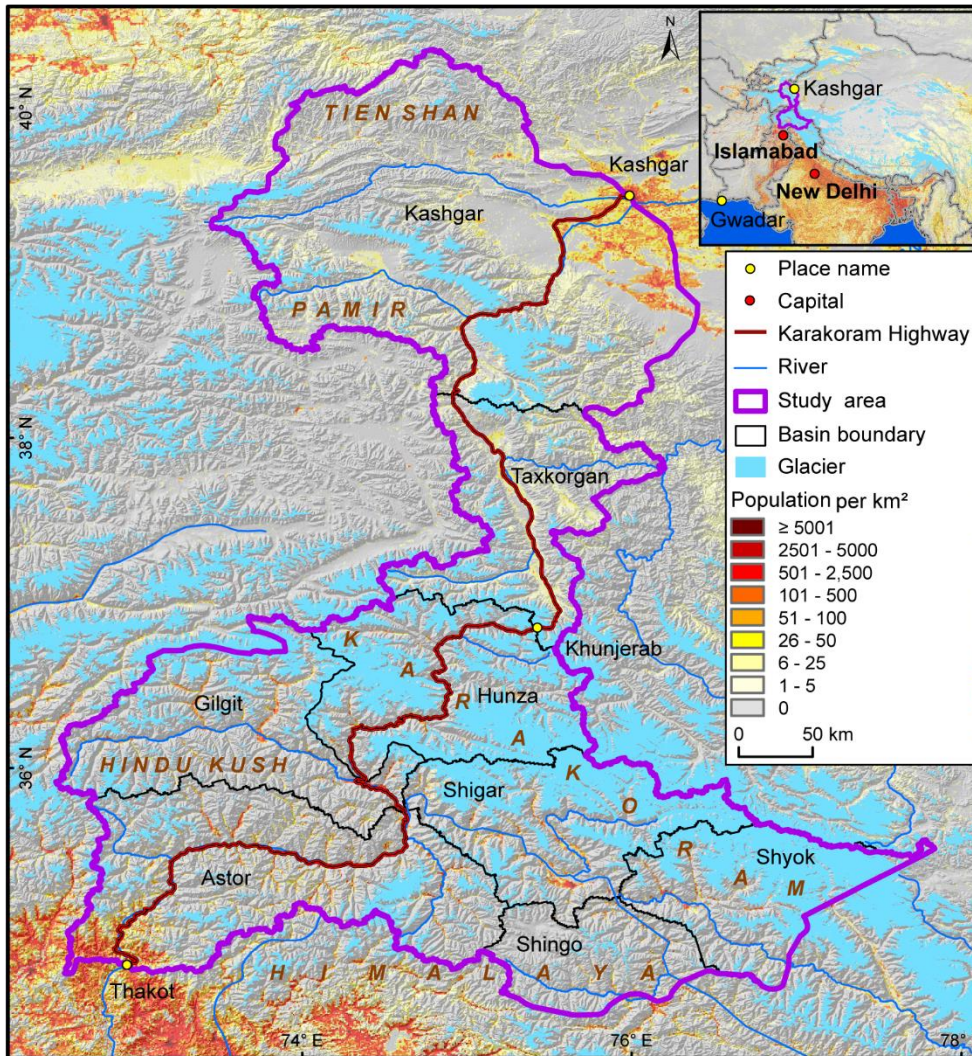
70 Glacial lake inventories with a range of attributes benefit risk assessment and disaster  
71 reduction related to GLOFs, and contribute to predicting glacier-lake evolution and  
72 cryosphere-hydrosphere interactions under climate change (Nie et al., 2017; Brun et al., 2019;  
73 Maurer et al., 2019; Carrivick et al., 2020; Liu et al., 2020). Remote sensing is the most  
74 viable way to map glacial lakes and detect their spatio-temporal changes in the high-elevation  
75 zones where in situ accessibility is extremely low (Huggel et al., 2002; Quincey et al., 2007).  
76 Studies in glacial lake inventories using satellite observations have been heavily conducted at  
77 regional scales recently, such as in the Tibetan Plateau (Zhang et al., 2015), the Himalaya  
78 (Gardelle et al., 2011; Nie et al., 2017), the HMA (Wang et al., 2020; Chen et al., 2021), the  
79 Tien Shan (Wang et al., 2013), the Alaska (Rick et al., 2022), the Greenland (How et al., 2021)  
80 and the northern Pakistan (Ashraf et al., 2017). However, the latest glacial lake mapping in  
81 2020 is still absent along the CPEC. Among existing studies, Landsat archival images are the  
82 most widely used due to their multi-decadal record of earth surface observations, reasonably  
83 high spatial resolution (30 m), and publicly available distribution (Roy et al., 2014). Freely  
84 available Sentinel-2 satellite images show a better potential than Landsat in glacial lake  
85 mapping and inventories due to their higher spatial resolution (10 m) and a global coverage,  
86 but have only been available since late 2015 (Williamson et al., 2018; Paul et al., 2020).  
87 Glacial lake inventories using Sentinel images are relatively scarce at regional scales, and  
88 studies of the latest glacial lake mapping as well as comparisons of glacial lake datasets  
89 derived from Sentinel and Landsat observations are still lacking.

90 Discrepancies between various glacial lake inventories (Zhang et al., 2015; Shugar et al.,  
91 2020; Wang et al., 2020; Chen et al., 2021; How et al., 2021) result from differences in  
92 mapping methods, minimum mapping units, definition of glacial lakes, time periods, data  
93 sources and other factors. For example, manual vectorization method was widely adopted at  
94 the earlier stage for its high accuracy. However, it is time-consuming associated with high  
95 labor intensity and is only practical at regional scales (Zhang et al., 2015; Wang et al., 2020).  
96 Automated and semi-automated lake mapping methods, such as multi-spectral index  
97 classification (Gardelle et al., 2011; Nie et al., 2017; Zhang et al., 2018; How et al., 2021),  
98 have been developed to improve the efficiency of glacial lake inventories using optical  
99 images, although manual modification is often unavoidable to assure the quality of lake data  
100 impacted by cloud cover, mountain shadows, seasonal snow cover and frozen lake surfaces  
101 (Sheng et al., 2016; Wang et al., 2017, 2018). Backscatter images from Synthetic Aperture

102 Radar (SAR) (Wangchuk and Bolch, 2020; How et al., 2021) were used to remove the impact  
103 of cloud cover for lake mapping. Besides, other approaches such as hydrological sink  
104 detection using DEM (How et al., 2021) and land surface temperature-based detection  
105 method (Zhao et al., 2020) were also used for lake inventories. Different classification  
106 methods impact the results of lake mapping and monitoring. Dam type classification of  
107 glacial lakes provides a crucial attribute for glacier-lake interactions and risk assessment  
108 (Emmer and Cuřin, 2021). So far, we are lacking a unified standard for the classification  
109 system of glacial lakes (Yao et al., 2018). Existing classification systems are mainly for their  
110 respective research purposes, mainly based on the relative positions of glacial lakes and  
111 glaciers, the supply conditions of glaciers, and the attributes of dams. In addition to different  
112 classification standards, the same type of glacial lakes may also have different names given  
113 by different scholars. For example, ice-marginal (Carrivick and Quincey, 2014; Carrivick et  
114 al., 2020), ice-contact (Carrivick and Tweed, 2013) and proglacial (Nie et al., 2017) lakes all  
115 represent glacial lakes sharing the boundary with glaciers. Glacier lakes in currently available  
116 datasets have been traditionally categorized by their spatial relationship with upstream  
117 glaciers (Gardelle et al., 2011; Wang et al., 2020; Chen et al., 2021), and classification  
118 attributes considering the formation mechanism and the properties of dams are rare or  
119 incomplete in the CPEC (Yao et al., 2018; Li et al., 2020). Therefore, an up-to-date glacial  
120 lake dataset with critical, quality-assured parameters (e.g. lake types) is necessary.

121 This study aims to (1) employ both Landsat 8 and Sentinel-2 images to create an up-to-date  
122 glacial lake dataset in the CPEC to accurately document its detailed lake distribution in 2020;  
123 (2) reveal glacial lake changes and the spatial heterogeneity across mountains and basins in  
124 the CPEC using consistent 30-m Landsat images at three time periods (1990, 2000 and 2020);  
125 and (3) share the glacial lake inventories with a range of critical attributes to benefit  
126 hazardous risk assessment of GLOFs and glacio-hydrological modeling in the HMA.

127 **2 Study area**



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 129 **Figure 1.** Location of the study area and distribution of glaciers, mountains, basins and population.  
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131 The study area (**Figure 1**) covers all the drainage basins along Karakoram Highway starting  
 132 from Kashgar and ending at Thakot, with a total area of ~125,000 km<sup>2</sup>. The upper Indus  
 133 basins beyond the Pakistani-administrated border are excluded in this study due to little  
 134 impact of GLOFs there on CPEC infrastructures. The entire study area is divided into eight  
 135 sub-basins, covering most of the Karakoram with the highest altitude up to 8611 m, western  
 136 Himalaya and Tien Shan, eastern Hindu Kush and Pamir mountains. The 9710 glaciers in the  
 137 study area cover a total area of 17,447 km<sup>2</sup> and nearly 60% of glaciers are distributed in the  
 138 Karakoram (5818 glaciers with a total area of 14,067.52 km<sup>2</sup>) (RGI Consortium, 2017). Most  
 139 glaciers in the western Himalaya and eastern Hindu Kush are losing mass in the context of  
 140 climate change (Kääb et al., 2012; Yao et al., 2012; Brun et al., 2017; Shean et al., 2020;  
 141 Hugonnet et al., 2021), whereas the glaciers in the eastern Karakoram and Pamir have shown  
 142 unusually little changes, including unchanged, retreated, advanced and surged glaciers  
 143 (Hewitt, 2005; Kääb et al., 2012; Bolch et al., 2017; Brun et al., 2017; Shean et al., 2020; Nie  
 144 et al., 2021). The spatially heterogeneous distribution and changes of glaciers are primarily

145 explained as a result of differences in the dominant precipitation-bearing atmospheric  
146 circulation patterns that include the winter westerlies the Indian summer monsoon, their  
147 changing trends and their interactions with local extreme topography (Yao et al., 2012; Azam  
148 et al., 2021; Nie et al., 2021).

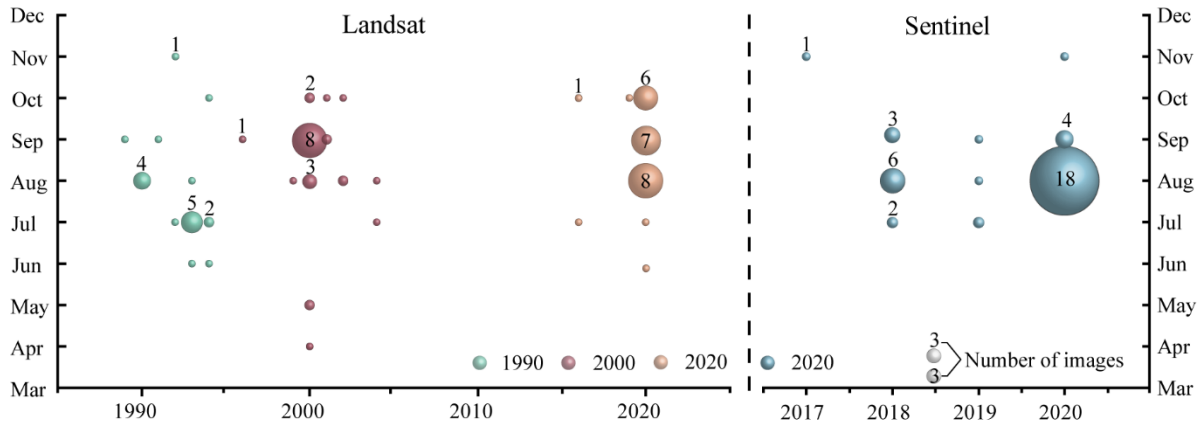
### 149 **3 Data sources**

150 Both Landsat and Sentinel images have been employed to map glacial lakes between 1990 and 2020 in the  
151 CPEC (Figure 2). A total number of 71 Landsat Thematic Mapper (TM), Thematic Mapper Plus (ETM+)  
152 and Landsat 8 Operational Land Imager (OLI) images with a consistent spatial resolution of 30 m were  
153 downloaded from the United States Geological Survey Global Visualization Viewer (GloVis,  
154 <https://glovis.usgs.gov/>) to be used to create glacial lake inventories in 1990, 2000 and 2020.  
155 High-quality Landsat images around 2010 are insufficient to cover the entire study area, so we had to give  
156 up glacial lake mapping in 2010 as a result of Landsat 7's scan-line corrector errors and significant cloud  
157 covers. In addition, 39 Sentinel-2 images were downloaded from Copernicus Open Access Hub  
158 (<https://scihub.copernicus.eu/>) to produce the 10-m resolution glacial lake inventory in 2020.

159 Cloud and snow covers heavily affect the usability of optical satellite images (Wulder et  
160 al., 2019) and their availability in the entire study area, so we took advantage of the images  
161 acquired before and after each of the baseline years 1990, 2000 and 2020 to construct the  
162 glacial lake inventories. To minimize the impact of intra-annual changes of glacial lakes,  
163 most of used images (82% for Sentinel and 75% for Landsat) were acquired from August to  
164 October in the given baseline year with cloud coverage of <20% for each image. For some  
165 specific scenes where cloud cover exceeded the threshold of 20%, we selected more than one  
166 image to remedy the effect of cloud contamination (Nie et al., 2010, 2017; Jiang et al., 2018).

167 Other datasets used include the Randolph Glacier Inventory version 6.0 (Pfeffer et al.,  
168 2014; RGI Consortium, 2017) and the Glacier Area Mapping for Discharge from the Asian  
169 Mountains (GAMDAM) glacier inventory (Sakai, 2019). These two glacier datasets were  
170 used to determine glacial lake types, such as ice-contact, ice-dammed and  
171 unconnected-glacier-fed lakes. The Shuttle Radar Topography Mission Digital Elevation  
172 Model (SRTM DEM) at a 1-arc second (30 m) resolution (Jarvis et al., 2008) was employed  
173 to extract the altitudinal characteristics of the glacial lakes. The absolute vertical accuracy of  
174 the SRTM DEM is 16 m (90%) (Rabus et al., 2003; Farr et al., 2007). We also applied other  
175 published glacial lake datasets for comparative analysis. They include the glacial lake  
176 inventories of HMA in 1990 and 2018 downloaded from  
177 <http://doi.org/10.12072/casnw.064.2019.db> (Wang et al., 2020), the Third Pole region in 1990,  
178 2000 and 2010 publicly shared at <http://en.tpedatabase.cn/> (Zhang et al., 2015), the Tibet  
179 Plateau from 2008 to 2017 accessed at <https://doi.org/10.5281/zenodo.3700282> (Chen et al.,  
180 2021), and the entire world in 1990, 2000 and 2015 provided at [https://nsidc.org/data/HMA\\_](https://nsidc.org/data/HMA_GLI/versions/1)  
181 [GLI/versions/1](https://nsidc.org/data/HMA_GLI/versions/1) (Shugar et al., 2020). In addition, field survey data collected between 2017  
182 and 2018 were also used to assist in lake mapping and glacial lake type classification.

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**Figure 2.** Acquisition years and months of Landsat and Sentinel images selected for glacial lake inventories. The bubble size indicates the available image number.

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## 4 Glacial lake inventory methods

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### 4.1 Definition of glacial lakes

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We consider a glacial lake as one that formed as a result of modern or ancient glaciation. Contemporary glacial lakes are easily recognized using a combination of glacier inventories and remote sensing images. Ancient glacial lakes can be identified from periglacial geomorphological characteristics, including moraine remnants and U-shaped valleys that are discernible from satellite observations (Post and Mayo, 1971; Westoby et al., 2014; Nie et al., 2018; Martín et al., 2021). Landslide-dammed lakes (Chen et al., 2017) in the periglacial environment were excluded in our inventories because of their irrelevance to glaciation. We abandoned the definition that considers all lakes surrounding a specific buffering distance of other glaciers also as glacier lakes, although this definition has been widely used in previous studies assuming glacial meltwater as the main water supply (Zhang et al., 2015; Wang et al., 2020). This is because the contribution of glacial meltwater to the lake supply is arduous to be quantified without an accurate modeling of the cryosphere-hydrological processes (Lutz et al., 2014). All glacial lakes in the study area were mapped according to our definition without regard to buffering distance of glaciers. We were able to implement this definition by carefully leveraging the spectral properties of glacial lakes and the periglacial geomorphological features that are often evident in remote sensing images (see more in sections 4.3 and 4.4).

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### 4.2 Interactive lake mapping

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A human-interactive and automated lake mapping method (Wang et al., 2014; Nie et al., 2017, 2020) was adopted to accurately extract glacial lake extents using Landsat and Sentinel-2 images, based on the Normalized Difference Water Index (NDWI) (Mcfeeters, 1996). The NDWI uses the green and near infrared bands and is calculated by the following equation:

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$$NDWI = \frac{Band_{Green} - Band_{NIR}}{Band_{Green} + Band_{NIR}} \quad (1)$$

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where the green band and near infrared band were provided by both Landsat and Sentinel

213 multispectral images.

214 Specifically, the method calculated the NDWI histogram based on the pixels with each  
215 user-defined and manually-drawn region of interest. The NDWI threshold that separates lake  
216 surface from land was interactively determined by screening the NDWI histogram against the  
217 lake region in the imagery (Wang et al., 2014; Nie et al., 2020). This way, the determined  
218 NDWI threshold can be well-tuned to adapt various spectral conditions of the studied glacier  
219 lakes. The raster lake extents segmented by the thresholds were then automatically converted  
220 to vector polygons. We first completed the glacial lake inventory in 2020 using this  
221 interactive mapping method, and the 2020 inventory was then used as a reference to facilitate  
222 the lake mapping for other periods.

223 The minimum mapping unit (MMU) was set to 5 pixels for both Landsat (0.0045 km<sup>2</sup>) and  
224 Sentinel-2 images (0.0005 km<sup>2</sup>) in this study. MMU determines the total number and area of  
225 glacial lakes in the dataset, and varies in the previous studies, such as 3 pixels (Zhang et al.,  
226 2015), 9 pixels (Chen et al., 2021), or 55 pixels (Shugar et al., 2020) for Landsat images for  
227 various objectives and spatial scales. While a smaller threshold leads to a large quantity of  
228 lakes mapped, it also generates larger mapping noises or uncertainties. Considering this  
229 signal-noise balance and our focus on identifying prominent glacier lake dynamics in the  
230 study area, we opted to use 5 pixels as the MMU for both Landsat and Sentinel-2 images.

231 Several procedures were taken to assure the quality assurance and quality control for lake  
232 mapping, including 1) visual inspection and modification for each lake based on Landsat,  
233 Sentinel-2 and Google Earth high-resolution images overlaying preliminarily lake boundary  
234 extraction at the given time period; 2) time series check for Landsat-derived glacial lake  
235 datasets from 1990 and 2020, and cross-check between Landsat and Sentinel-2-derived lake  
236 dataset in 2020 to reduce errors of omission and commission; 3) topological validation of  
237 glacial lake mapping, such as repeated removal, elimination of small sliver polygons; and 4)  
238 logical check for lake types between two classification systems of glacial lakes. False lake  
239 extents resulting from cloud or snow cover, lake ice, and topographic shadows (Nie et al.,  
240 2017, 2020) and were modified using alternative images acquired in adjacent years. Those  
241 procedures were time-consuming, but helped to minimize the effect of cloud and snow covers,  
242 lake mapping errors, and to maximize the quality of the produced lake product and the  
243 derived glacial lake changes.

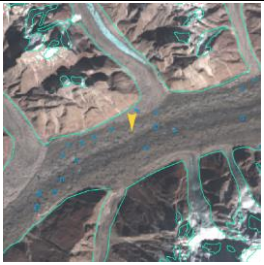


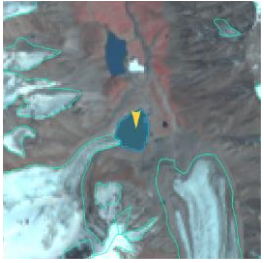
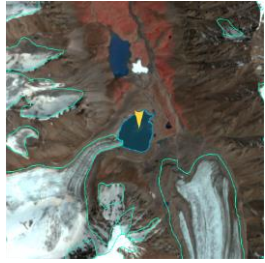
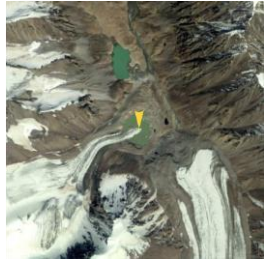
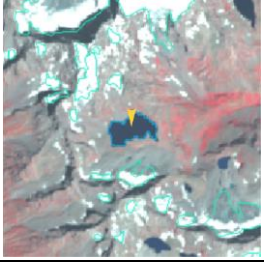
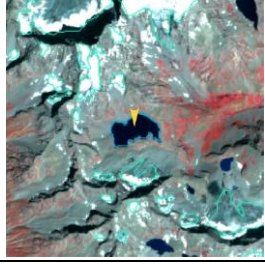
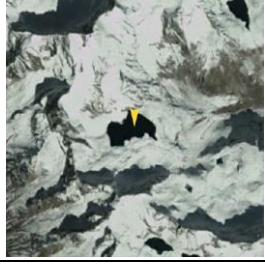
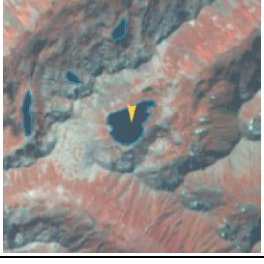
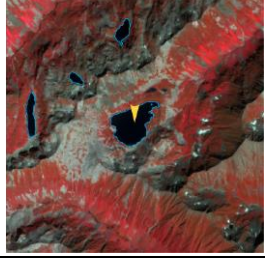

#### 244 4.3 Classification of glacial lakes

245 Two glacial lake classification systems (GLCS) have been established based on relationship  
246 of interaction between glacial lakes and glaciers as well as lake formation mechanism and  
247 dam material properties. In the first GLCS (GLCS1), glacial lakes were classified into four  
248 types based on their spatial relationship to upstream glaciers: supraglacial, ice-contact,  
249 unconnected-glacier-fed lakes, and non-glacier-fed lakes according to Gardelle et al. (2011)  
250 and Carrivick et al. (2013). Alternatively, combining the formation mechanism of glacial  
251 lakes and the properties of natural dam features, glacial lakes were classified into five  
252 categories (herein named GLCS2) modified from Yao's classification system (2018):  
253 supraglacial, end-moraine-dammed, lateral-moraine-dammed, glacial-erosion lakes and  
254 ice-dammed lakes. Subglacial lakes were excluded due to the mapping challenge from  
255 spectral satellite images alone. Characterization and examples for each type are provided in



256 **Table 1** and **Table 2**. Individual glacial lakes were categorized to the specific types for each  
 257 GLCS according to available glacier inventory data, geomorphological and spectral  
 258 characteristics interpreted from Landsat, Sentinel and Google Earth images. The synergy of  
 259 these two GLCSs is beneficial to predicting glacier-lake evolutions and providing  
 260 fundamental data for glacial lake disaster risk assessment.




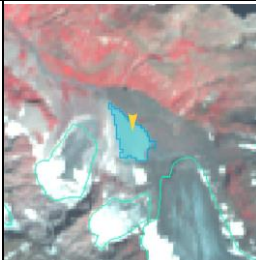
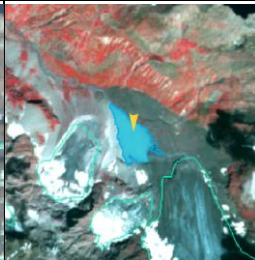





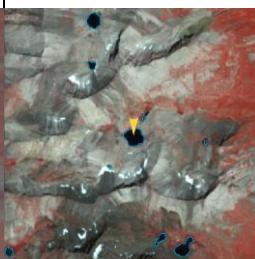

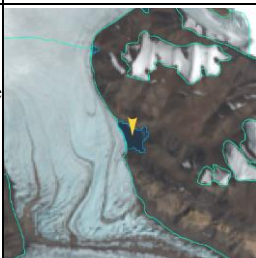
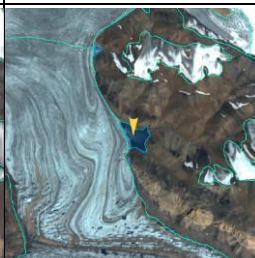
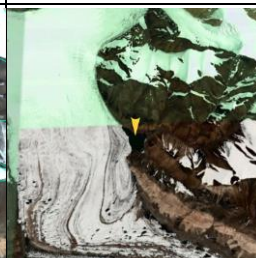
261  
 262 **Table 1.** Classification system of glacial lake types according to the relationship between glacial lakes and  
 263 glaciers (© Google Earth 2019).

Lake types	Characteristics	Landsat	Sentinel	Google earth
Supraglacial	Lakes formed on the surface of glaciers, generally dammed by ice and thin debris.  Case location: 35°43'49.74" N 76°13'53.88" E			
Ice-contact	Lakes dammed by moraine, ice or bedrock, supplied by glacial meltwater and shared boundary with glaciers.  Case location: 39°09'32.40" N 73°43'12.00" E			
Unconnected-glacier-fed	Lakes currently supplied by upstream glacial meltwater but disconnected with glaciers.  Case location: 35°47'60.00" N 72°55'15.60" E			
Non-glacier-fed	Lakes formed by glaciology, dammed by moraine or bed rock, and currently not supplied by glacial meltwater.  Case location: 34°50'39.99" N 74°48'29.31" E			

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**Table 2.** Classification system of glacial lake types according to the formation mechanism of glacial lakes and dam material properties (© Google Earth 2019).

Lake types	Characteristics	Landsat	Sentinel	Google earth
Supraglacial	Lakes formed on the surface of glaciers, generally dammed by ice and thin debris.  Case location: 36°46'7.39" N 74°20'7.59" E			
End-moraine-dammed	Lakes formed behind moraines as a result of glacier retreat and downwasting.  Case location: 35°42'50.40" N 73°09'57.60" E			
Lateral-moraine-dammed	Lakes formed behind lateral glacial moraine ridges and dammed by debris, different from ice-dammed glacial lake.  Case location: 38°28'45.62" N 75°20'52.30" E			
Glacial-erosion	Lakes formed in depressions created by glacial over-deepening. Bedrock dam dominates, partially superimposed by top moraine in rugged terrain. Dams are unclear in the satellite images.  Case location: 35°55'55.56" N 73°38'20.13" E			
Ice-dammed	Lakes formed behind glaciers, dammed by glacier ices (partially covered by debris on the top).  Case location: 35°28'31.32" N 77°30'46.81" E			

267

268 4.4 Attributes of glacial lake data

269 A total of 17 attribute fields were input into our glacial lake datasets (**Table 3**). They include  
270 lake location (longitude and latitude), lake elevation (centroid elevation), orbital number of  
271 the image source, image acquisition date, lake area, lake perimeter, lake types of the two  
272 GLCSs, mapping uncertainty, and the country, sub-basin, and mountain range associated with  
273 the lake. Amongst the attributes, lake location was calculated based on the centroid of each

274 glacial lake polygon associated with the DEM, N represents northing and E represents easting.  
 275 Orbital number of the image source was filled with the corresponding satellite image, with  
 276 the codes expressed as “PxxxRxxx” or “Txxxxx”, where P and R indicate the path and row  
 277 for Landsat image and T represents the tile of Sentinel image associated with 5 digits code of  
 278 military grid reference system. Area and perimeter were automatically calculated based on  
 279 glacial lake extents. Lake types were attributed using the characterization and interpretation  
 280 marks described in Section 4.3. Mapping uncertainty was estimated using our modified  
 281 equation which will be introduced in section 4.5 and appendix tutorial. Located country,  
 282 sub-basin and mountain range of each glacial lake was identified by overlapping the  
 283 geographic boundaries of countries, basins and mountain ranges.

284 **Table 3.** Classification system of glacial lake types according to the formation mechanism of glacial lakes  
 285 and dam material properties.

Field Name	Type	Description	Note
FID or OBJECTID	Object ID	Unique code of glacial lake	Number
Shape	Geometry	Feature type of glacial lake	Polygon
Latitude	String	Latitude of the centroid of glacial lake polygon	Degree minute second
Longitude	String	Longitude of the centroid of glacial lake polygon	Degree minute second
Elevation	Double	Altitude of the centroid of glacial lake polygon	Unit: meter above sea level
IMGSOURCE	String	Path and row numbers for Landsat image based on World Reference System 2 or Tile number for Sentinel image based on military grid reference system	PxxxRxxx or Txxxxx
ACQDATE	String	Acquisition date of source image	YYYYMMDD
GLCS1	String	The first classification system of glacial lakes based on relationship of interaction between glacial lakes and glaciers	Supraglacial, Ice-contact, Unconnected-glacier-fed, None-glacier-fed
GLCS2	String	The second classification system of glacial lakes based on lake formation mechanism and dam material properties	Supraglacial, End-moraine-dammed, Lateral-moraine-dammed,

Field Name	Type	Description	Note
			Glacial-erosion and Ice-dammed
Basin	String	Basin name where glacial lake locates in	
Mountains	String	Mountain name where glacial lake locates in	
Country	String	Country name where glacial lake locates in	
Perimeter	Double	Perimeter of glacial lake boundary	Unit: meter
Area	Double	Area of glacial lake coverage	Unit: square meter
Uncertainty	Double	Uncertainty of glacial lake mapping estimated based on modified Hanshaw's equation (2014).	Unit: square meter
Operator	String	Operator of glacial lake dataset	Muchu, Lesi
Examiner	String	Examiner of glacial lake dataset	Yong, Nie

286

#### 287 4.5 Improved uncertainty estimating method

288 We modified Hanshaw's (2014) equation that had been used to calculate lake-area mapping  
 289 uncertainty. Lake perimeter and displacement error are widely used to estimate the  
 290 uncertainty of glacier and lake mapping from satellite observation (Carrivick and Quincey,  
 291 2014; Hanshaw and Bookhagen, 2014; Wang et al., 2020). Hanshaw and Bookhagen (2014)  
 292 proposed an equation to calculate the error of area measurement by the number of edge pixels  
 293 of the lake boundary multiplied by half of a single pixel area. The number of edge pixels is  
 294 simply calculated by the perimeter divided by the grid size. The equation is expressed as  
 295 below:

$$296 \quad Error(1\sigma) = \frac{P}{G} \times 0.6872 \times \frac{G^2}{2} \quad (2)$$

$$297 \quad D = \frac{Error(1\sigma)}{A} \times 100\% \quad (3)$$

298 Where  $G$  is the cell size of the remote sensing imagery (10 m for Sentinel-2 image and 30 m  
 299 for Landsat image).  $P$  is the perimeter of individual glacial lake (m), and the revised  
 300 coefficient of 0.6872 was chosen assuming that area measurement errors follow a Gaussian  
 301 distribution. Relative error ( $D$ ) was calculated by equation 3, in which  $A$  is the area of an  
 302 individual glacial lake.

303 In the original equation 2, the number of edge pixels varies by the shape of lake and is  
 304 indicated by  $\frac{P}{G}$ . However, the pixels in the corner are double counted (Figure 3). The total  
 305 number of repeatedly calculated edge pixels equals the number of inner nodes. Therefore, we

306 adjusted the calculation of the actual number of edge pixels as the maximum of edge pixels ( $\frac{P}{G}$ )  
 307 subtracting the number of inner nodes. Accordingly, the equation of uncertainty estimation  
 308 for lake mapping is modified as below:

$$309 \quad Error(1\sigma) = \left(\frac{P}{G} - N_{Inner}\right) \times 0.6872 \times \frac{G^2}{2} \quad (4)$$

310 Where  $N_{Inner}$  is the number of inner nodes (inflection points) of each lake. The modified  
 311 equation is also suitable for lakes with islands (as illustrated in Figure 3b).

312 For polygons without islands (Figure 3a), use the following equation:

$$313 \quad N_{Inner} = \left(\frac{N_{Total} - 4 - 1}{2}\right) \quad (5)$$

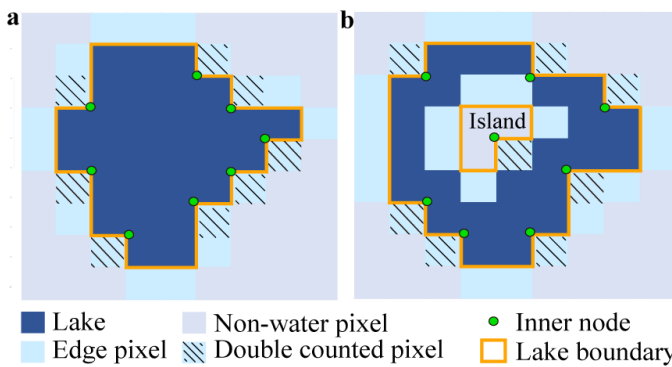
314  $N_{Total}$  is the total number of nodes, including both the outer and inner.  $N_{Total}$  were  
 315 calculated by the “Field Calculator” in ArcGIS, in some cases, it is necessary to remove the  
 316 redundant nodes before calculating the total number of nodes (See the Supplement for more  
 317 details). An inner node is a polygon vertex where the interior angle surrounding it is greater  
 318 than 180 degrees. An outer node is the opposite of the inner node, where the interior angle is  
 319 less than 180 degrees. We found that the outer nodes are usually four more than the inner  
 320 nodes in our glacial lake dataset. The total nodes in ArcGIS contain one overlapping node to  
 321 close the polygon, meaning the endpoint is also the startpoint. This extra count was deleted in  
 322 the calculation (equation 5).

323 For polygons with island (Figure 3b) use the following equation:

$$324 \quad N_{Inner} = \left(\frac{N_{Total} - (N_{Island} + 1) \times 5}{2}\right) \quad (6)$$

325  $N_{Island}$  is the number of islands within each polygon. A calculation method of  $N_{Island}$  is  
 326 given in the Supplement.

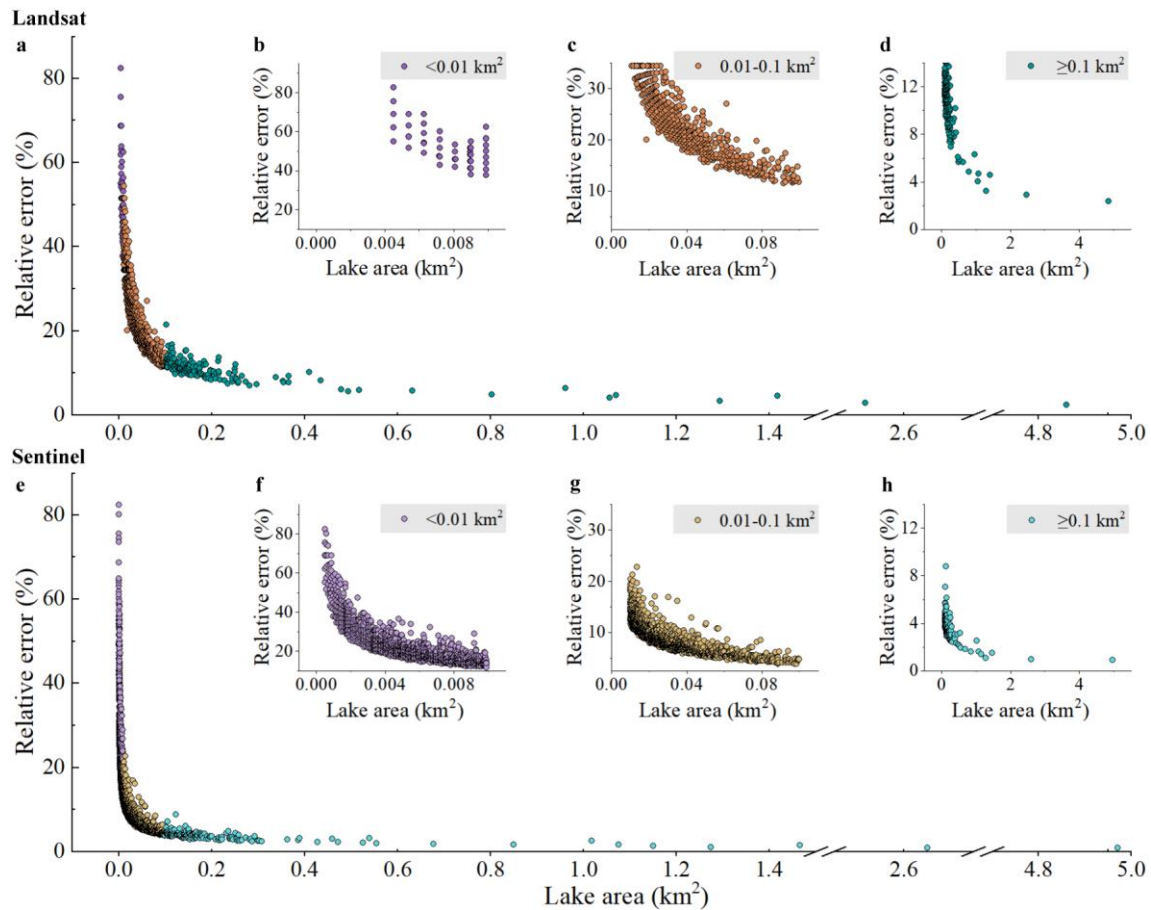
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329 **Figure 3.** Sketch of estimating the actual edge pixels for uncertainty calculation of individual glacial lake

330 (with and without islands).



331  
 332 **Figure 4.** Relationships between individual lake size and its estimated relative error for glacial lakes of all  
 333 or specific size ranges in study area. Error estimation is based on the modified equation and lake data  
 334 extracted from Landsat (a-d) and Sentinel images (e-h).  
 335

336 The uncertainty estimated from our improved equation shows that the relative error of  
 337 individual glacial lake decreases when lake size increases or cell size of remote sensing  
 338 images reduces (Lyons et al., 2013; Carrivick and Quincey, 2014) (Figure 4). Total area error  
 339 of glacial lakes in study area is approximate  $\pm 14.98 \text{ km}^2$  and  $\pm 8.45 \text{ km}^2$  in 2020 for Landsat  
 340 and Sentinel images, respectively, and the average relative error is  $\pm 17.36\%$  and  $\pm 8.15\%$ .  
 341 Generally, small lakes have greater relative errors. For example, the mean relative error is  
 342  $35.38\%$  for Landsat derived glacial lakes between  $0.0045$  and  $0.1 \text{ km}^2$  and  $10.63\%$  for glacial  
 343 lakes greater than  $0.1 \text{ km}^2$ . The mean area error of Sentinel-derived glacial lakes is almost  
 344 one sixth of that extracted from Landsat images for glacial lakes of all or specific size group.

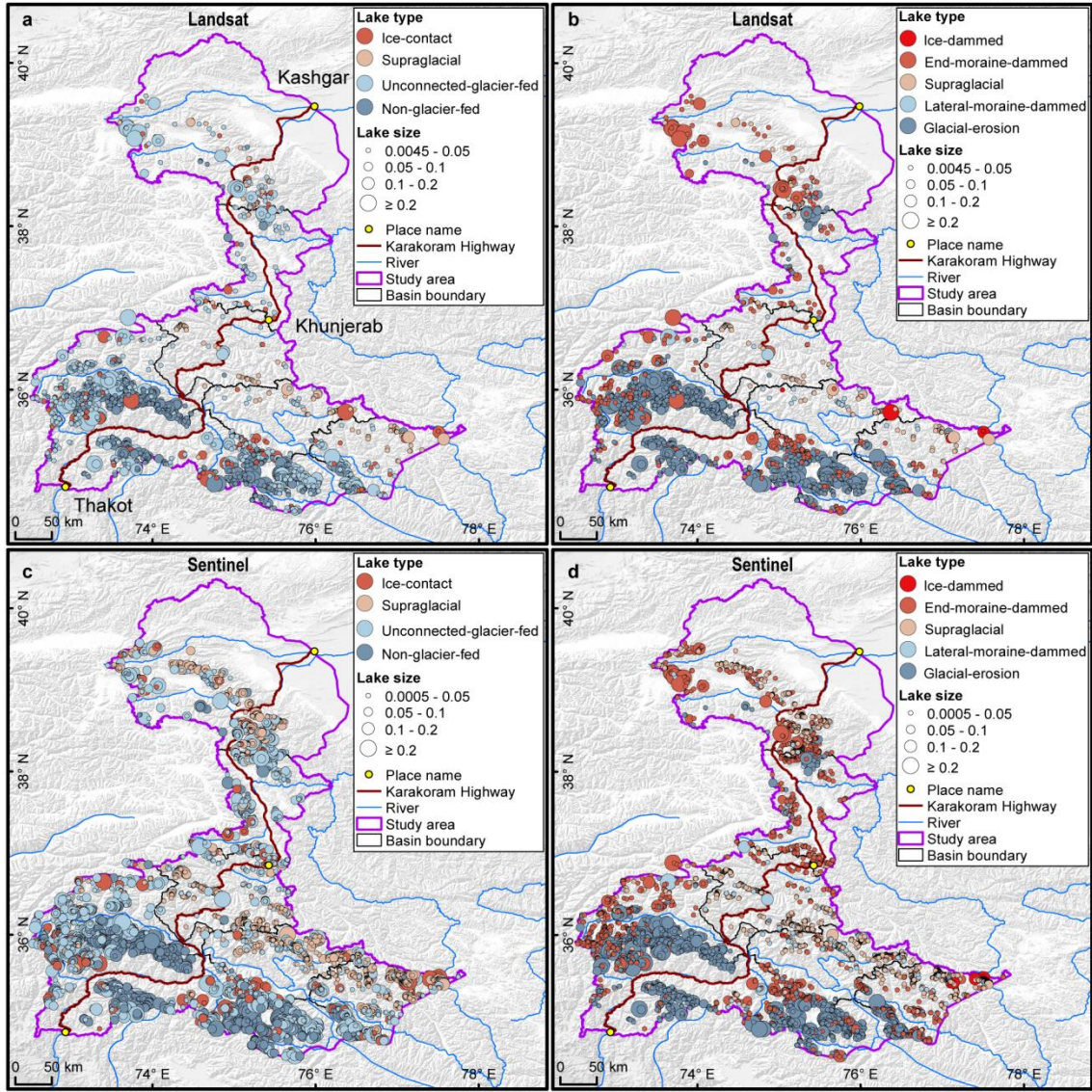
## 345 5 Results

### 346 5.1 Glacier lake distribution and changes observed from Landsat

347 We mapped 2,234 glacial lakes for 2020 across the studied CPEC from Landsat-8 images,  
 348 with a total area of  $86.31 \pm 14.98 \text{ km}^2$  (Figure 5a and b). The majority of these glacial lakes  
 349 (1,870 or 83.71%) are smaller than  $0.05 \text{ km}^2$  and contribute 36.5% of the total area. 45  
 350 (2.01%) of the lakes are larger than  $0.2 \text{ km}^2$  and contribute 28.8% of the total area (Figure 6).  
 351 With the increase of lake size, the abundance (count) of glacial lakes consistently decreases

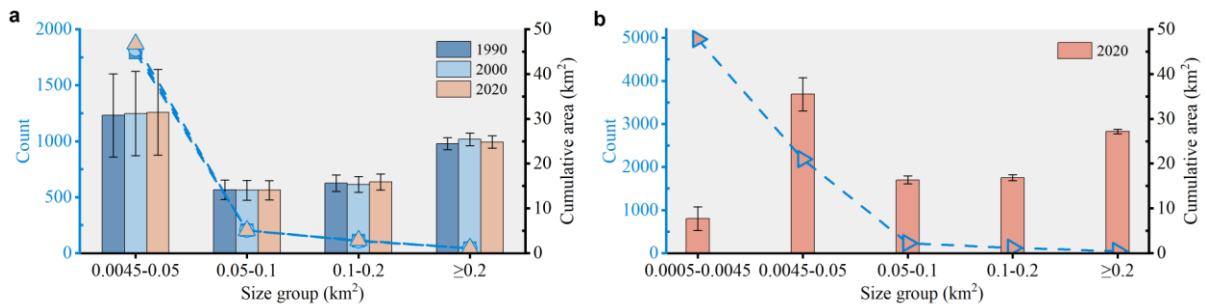
352 but the total lake area first reduces and then increases. Unconnected-glacier-fed lakes are  
353 dominant in the first classification system, followed by non-glacier-fed lakes (Figure 7)  
354 whereas glacial-erosion lakes dominate at both number (1478) and area (57.02 km<sup>2</sup>) in the  
355 second classification system (Figure 8), followed by end-moraine-dammed lakes and  
356 supraglacial lakes. Among the classified lakes, 137 are ice-contact lakes and cover an area of  
357 5.56 km<sup>2</sup>, implying a higher mean size of ice-contact lakes than supraglacial lakes.

358 Glacial lakes are spatially heterogeneous among various mountain ranges and basins in the  
359 study area. Himalaya sub-region has the maximum glacier lake count and area across the  
360 entire study area, followed by Hindu Kush. Supraglacial lakes are mainly distributed in the  
361 Karakoram but they cover less area than those in the Pamir. Tien Shan has fewer glacial lakes.  
362 Astor, Gilgit and Shingo basins have the largest percentages of glacier lakes in both number  
363 and area (>17%) (Figure 9a), and each of the other basins contributes less than 10% except  
364 Kashgar basin in area due to several large ancient glacial lakes. Glacial lakes of less than 0.05  
365 km<sup>2</sup> dominate in number within each basin and the total number decreases as lake size  
366 increases. Small lakes consistently account for the maximum percentage in area except  
367 Kashgar basin as a result of the disproportionately large lakes.



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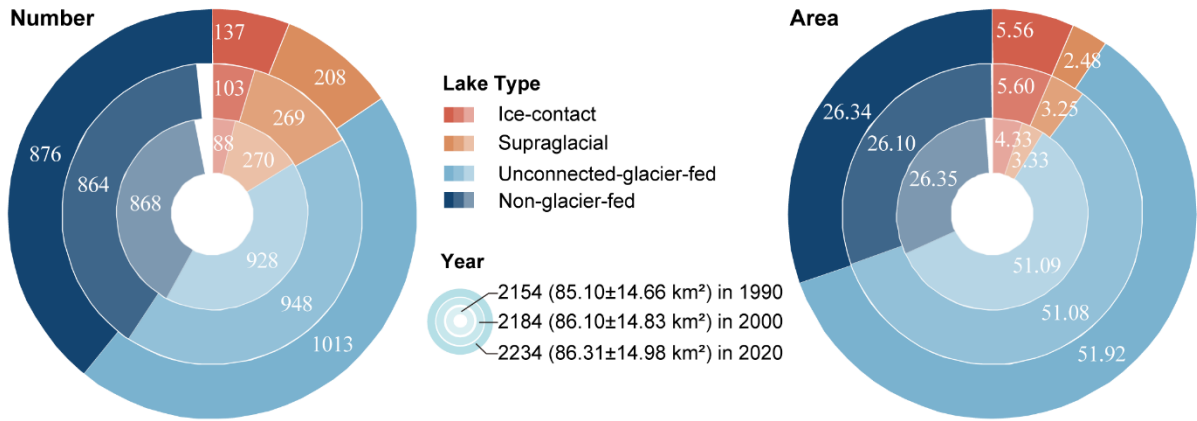
**Figure 5.** Distribution of glacial lakes in 2020 extracted from Landsat (a, b) and Sentinel (c, d) images. Panels a and c are classified by GLCS1, and GLCS2 for sub-graph b and d.



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**Figure 6.** Statistics of different sizes of glacial lakes in the study area from 1990 to 2020. Panels a and b were derived from Landsat and Sentinel images, respectively.





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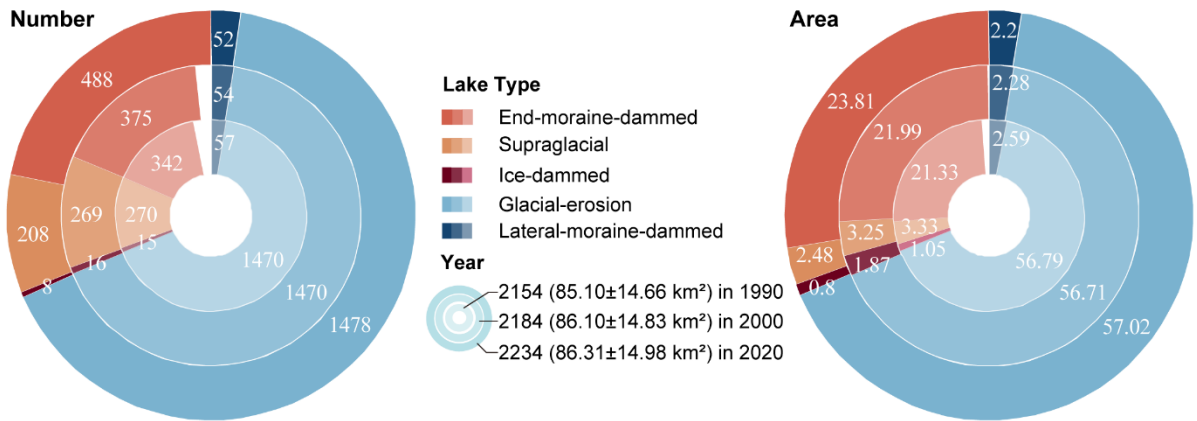
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**Figure 7.** Number and area of different types of glacial lakes classified based on the condition of glacier supply in the study area. The outermost ring represents glacial lake data in 2020, middle ring for 2000 and innermost ring for 1990. Lake number and area in 2020 were selected as reference, meaning a concept of "100 %" for a complete ring. Labeled values are scaled in degrees rather the radius of rings.



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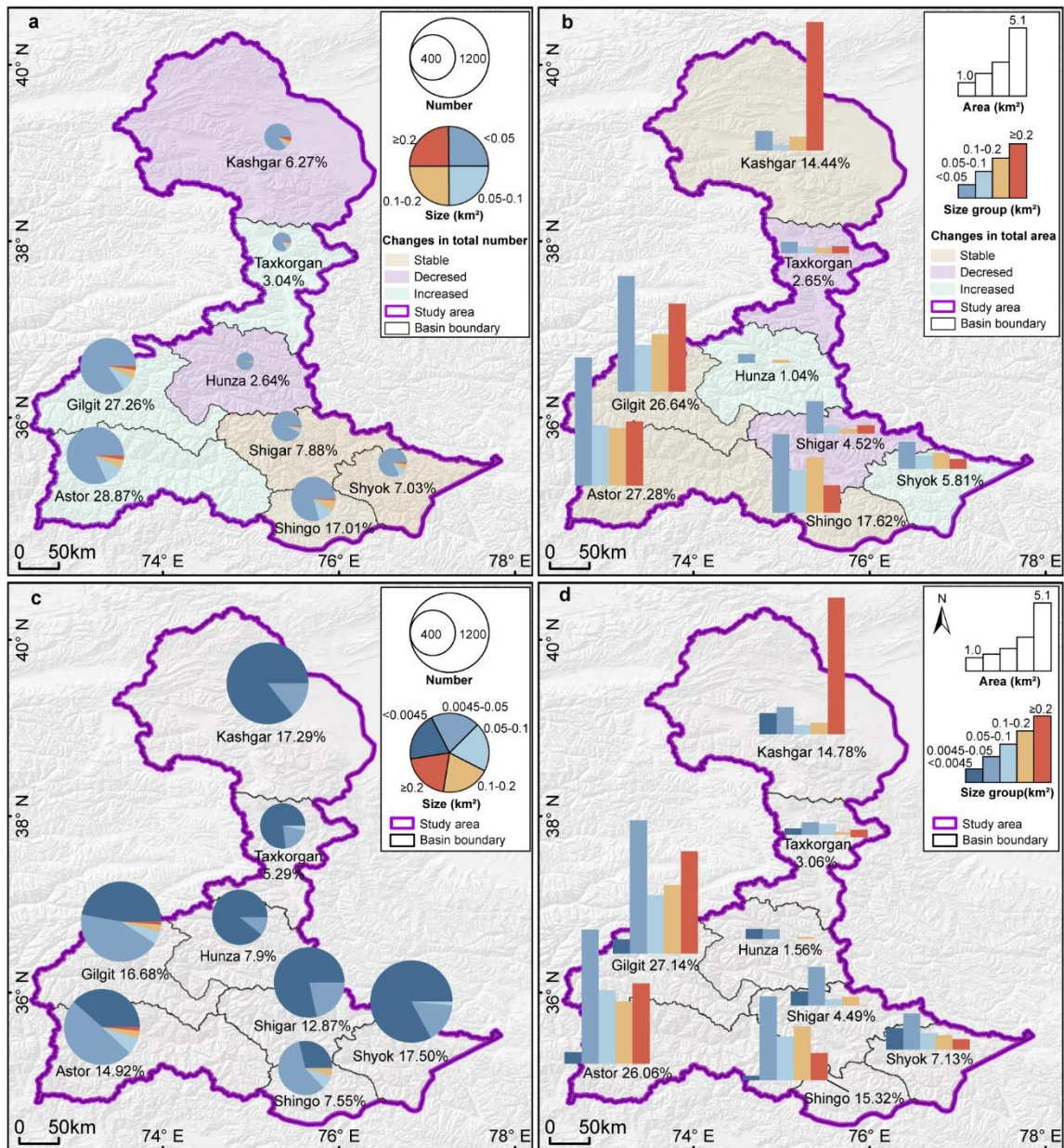
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**Figure 8.** Number and area of different types of glacial lakes classified based on glaciation and nature of dam in the study area. The outermost ring represents glacial lake data in 2020, middle ring for 2000 and innermost ring for 1990. Lake number and area in 2020 were selected as reference, meaning a concept of "100 %" for a complete ring. Labeled values are scaled in degrees rather the radius of rings.



389  
 390 **Figure 9.** Distributions and changes in count and area of glacial lakes. Percent of glacial lakes in number  
 391 or area is labeled in each basin. Pie charts present the number of glacial lakes at various size groups  
 392 between basins (a and c) and bar charts represent total area of glacial lakes at different size groups in each  
 393 basin (b and d). The background colors represent changes in total number and area between 1990 and 2020  
 394 based on Landsat derived dataset (a and b) and distribution of Sentinel derived glacial lakes in 2020 among  
 395 basins are shown in sub-graphs c and d.  
 396

397 The total number and area of glacial lakes in the study remain relatively stable with a slight  
 398 increase between 1990 and 2020, and the changes in count and area among various types of  
 399 glacial lakes vary substantially (Figure 7 and Figure 8). From 1990 to 2020, the total number  
 400 of glacial lakes increased by 80 or 3.70%, while the area grew by 1.21 km<sup>2</sup> (or 1.42%). Small  
 401 lakes (<math>< 0.05\text{ km}^2</math>) continuously increased in number and area, and contributed most in the  
 402 total lake expansion (Figure 6). Lakes in the size group of 0.05-0.1 km<sup>2</sup> remained stable. The  
 403 total area of lakes greater than 0.1 km<sup>2</sup> consistently increased.

404 In GLCS1, unconnected-glacier-fed lakes have the largest increase in number, followed by  
 405 ice-contact and non-glacier-fed lakes, whereas supraglacial lakes decreased by 62 in count.  
 406 Ice-contact lakes expanded by 1.24 km<sup>2</sup> (equaling an increase of 26% in ice-contact lakes),  
 407 contributed one third of the total area increase. Supraglacial lakes decreased by 0.85 km<sup>2</sup> in  
 408 area whereas the areas of unconnected-glacier-fed and non-glacier-fed lakes remained stable  
 409 as a result of disconnections from glaciers (Figure 7).

410 In GLCS2, end-moraine-dammed lakes increased by 2.48 km<sup>2</sup> and contributed most of the  
 411 glacier lake area expansion, whereas supraglacial, ice-dammed and lateral-moraine-dammed  
 412 lakes decreased slightly in both number and area. Glacial-erosion lakes accounted for the  
 413 maximum percentage (about 66% for both count and area) in each time period and remained  
 414 stable (Figure 8).

415 Spatially, glacial lake changes in number and area vary among different mountain ranges  
 416 and basins between 1990 and 2020 in the study area. Glacial lakes across the west Himalaya  
 417 and Hindu Kush increased both in number and area between 1990 and 2020 whereas the total  
 418 number of glacial lakes decreased in the Karakoram, Pamir and Tien Shan of study area  
 419 (**Table 4**). The total area of glacial lakes continued to increase in the Hindu Kush, but  
 420 decreased between 1990 and 2000 and increased between 2000 and 2020 in the Himalaya.  
 421 The total number of glacial lakes continuously decreased in the Pamir and Tien Shan in the  
 422 past three decades but increased at the first stage and decreased after in the Karakoram. The  
 423 total area of glacial lakes persistently grew in the Pamir whereas fluctuated in the Tien Shan  
 424 and Karakoram.

425 The total numbers of glacial lakes in Shingo, Shigar and Shyok basins were stable (Figure  
 426 9a and b); however, the areal changes were less so, including a stable trend for Shingo,  
 427 decreasing for Shigar, and increasing for Shyok. The total number of glacial lakes increased  
 428 in the basins of Astor, Gilgit and Taxkorgan, whereas the total area of glacial lakes remained  
 429 stable in Astor and Gilgit basins and decreased in Taxkorgan basin. The total numbers of  
 430 lakes in Kashgar and Hunza basins decreased, whereas the total area of glacial lakes  
 431 remained stable in Kashgar and increased in the Hunza basin.

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**Table 4.** Distributions in count and area (km<sup>2</sup>) of glacial lakes among mountain ranges within the study area.

Source and year	Tien Shan	Karakoram	Pamir	Hindu Kush	Himalaya	Total
Landsat in 1990	10 (0.12)	370 (11.11)	178 (13.73)	780 (28.33)	816 (31.81)	2154 (85.10)
Landsat in 2000	7 (0.11)	393 (11.76)	163 (13.96)	792 (28.50)	829 (31.77)	2184 (86.10)
Landsat in 2020	5 (0.17)	334 (10.10)	182 (14.14)	835 (29.25)	878 (32.65)	2234 (86.31)
Sentinel in 2020*	11 (0.21)	479 (11.69)	262 (15.71)	880 (34.96)	959 (33.39)	2591 (95.96)

435 \*Note: Glacial lake greater than 4500 m<sup>2</sup> are calculated for Sentinel-2 derived dataset in order to be in line with Landsat  
 436 derived dataset.

## 437 5.2 Glacier lake distribution observed from Sentinel-2

438 Sentinel-derived results shows that there are 7,560 glacial lakes (103.70±8.45 km<sup>2</sup>) in 2020  
 439 across the entire CPEC (Table 5) under a minimum mapping unit of 5 pixels (500 m<sup>2</sup>).  
 440 Similar to the pattern from Landsat mapping, the lake abundance extracted from Sentinel  
 441 images is inversely related to lake size (following a typical Pareto distribution). The smallest

442 size class (0.0005-0.0045 km<sup>2</sup>) contains the maximum lake count (4,969) but the least lake  
 443 area (7.73±2.62 km<sup>2</sup>) (Table 5), which is not available in the Landsat-derived lake data due to  
 444 a coarser spatial resolution. In each size class, there are also a higher number of larger glacial  
 445 lakes from Sentinel than that from Landsat images. The discrepancy is mainly attributed to  
 446 the inconsistency of spatial resolutions and image acquisition dates.

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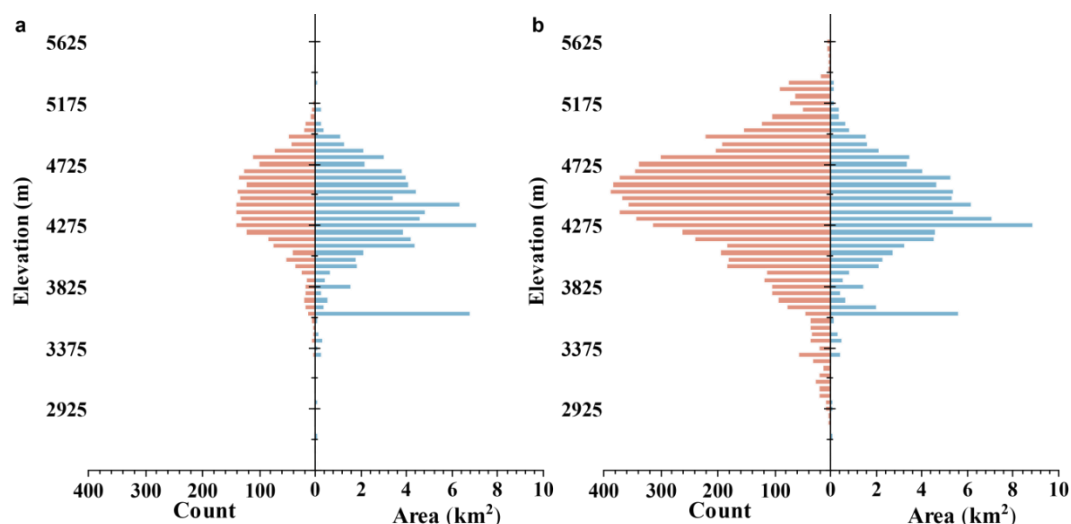
448 **Table 5.** Count and area of glacial lakes mapped from Sentinel and Landsat images in 2020 between  
 449 various size classes

Lake size km <sup>2</sup>	Glacial lakes from Sentinel count (km <sup>2</sup> )	Glacial lakes from Landsat count (km <sup>2</sup> )	Overlap % (%)
0.0005-0.0045	4969 (7.73±2.62)	—	—
0.0045-0.05	2182 (35.52±3.72)	1870 (31.47±9.57)	85.70 (88.60)
0.05-0.1	237 (16.37±0.89)	204 (14.07±2.18)	86.08 (85.95)
0.1-0.2	122 (16.88±0.68)	115 (15.91±1.83)	94.26 (94.25)
≥0.2	50 (27.20±0.54)	45 (24.86±1.40)	90.00 (91.40)
Total	7560 (103.70±8.45)	2234 (86.31±14.98)	—

450

451 Compared with our Landsat-based product, glacial lakes from Sentinel-2 have similar  
 452 distribution characteristics (Figure 9c and d) among mountain ranges, basins, types and  
 453 altitudinal locations (Figure 10); meanwhile, a larger quantity of glacier lakes, with more accurate  
 454 boundaries and a greater total lake area, were generated from Sentinel-2 images. Taking altitudinal  
 455 distribution for example, the number and size of glacial lakes in the study area appear follow a normal  
 456 distribution against elevation for both Sentinel-2 and Landsat derived products (Figure 10). The elevation  
 457 of all glacial lakes mapped in 2020 based on Sentinel-2 images ranged from 2500 m to 5750 m (a.s.l.),  
 458 with 89.58% between 3600 m and 5100 m and a mean altitude of 4421 m. The peak number appears  
 459 between 4500 m and 4550 m whereas the maximum area emerges between 4250 m and 4300 m. The  
 460 anomalously large area between 3600 and 3650 m shows up in Fig. 10b because of several large lakes.  
 461 Although Landsat derived lakes show a similar distribution pattern to Sentinel derived lakes, the lake count  
 462 and area in each altitudinal band are greater in the Sentinel product due to the improved spatial resolution  
 463 and image quality.

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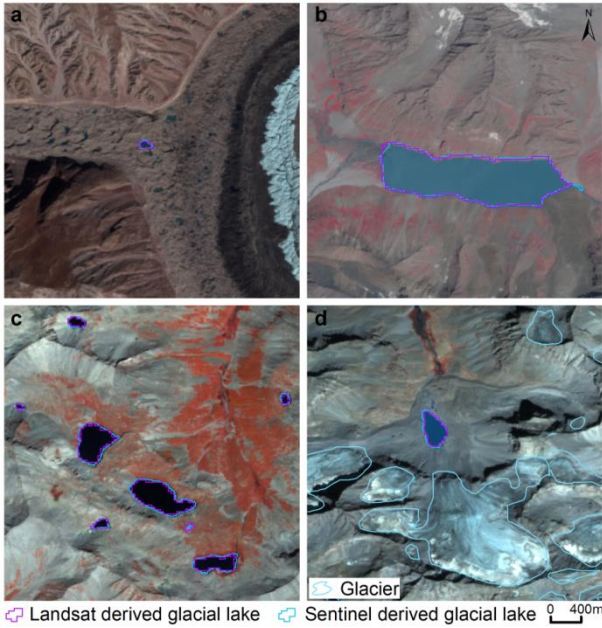
465  
466 **Figure 10.** Altitudinal distribution of glacial lakes in 2020 derived from Landsat (a) and Sentinel images  
467 (b)  
468

## 469 6 Discussions

### 470 6.1 Comparison of Sentinel-2 and Landsat derived products

471 Glacial lakes from Landsat and Sentinel images have a high consistency in number and area  
472 with overlap rates from 85.7% to 94.26% for all lakes greater than 0.0045 km<sup>2</sup> approximately  
473 (Table 5), implying a good potential for coordinated utility with Landsat archived observation  
474 (Figure 11). Lake extents extracted from Landsat and Sentinel images match well for various  
475 types and sizes (Table 4). The best consistency rate reaches 94% for the glacial lakes between  
476 0.1 km<sup>2</sup> and 0.2 km<sup>2</sup>. The difference in area of glacial lakes extracted from Landsat and  
477 Sentinel images generally lies within the uncertainty ranges.

478 The high consistency of Sentinel-2 and Landsat derived glacial lake products in 2020  
479 assures the value of our lake dataset. Taking the usage in assessing GLOFs as an example, we  
480 set 0.05 km<sup>2</sup> as the area threshold to select object lakes, including ice-contact lakes and  
481 ice-dammed lakes that are the most active lakes and source lakes of GLOFs in the CPEC (Nie  
482 et al., 2021). A total of 24 and 29 ice-contact lakes were selected from Landsat and  
483 Sentinel-derived products, respectively. Among them, there were 4 ice-dammed lakes from  
484 the Landsat-derived product and 5 from the Sentinel-derived product. These selected lakes  
485 can be used for GLOFs hazard evaluation. Because of the high consistency between our  
486 Landsat and Sentinel-based mappings, users may have the flexibility to customize the lake  
487 size criteria to facilitate their specific purposes.



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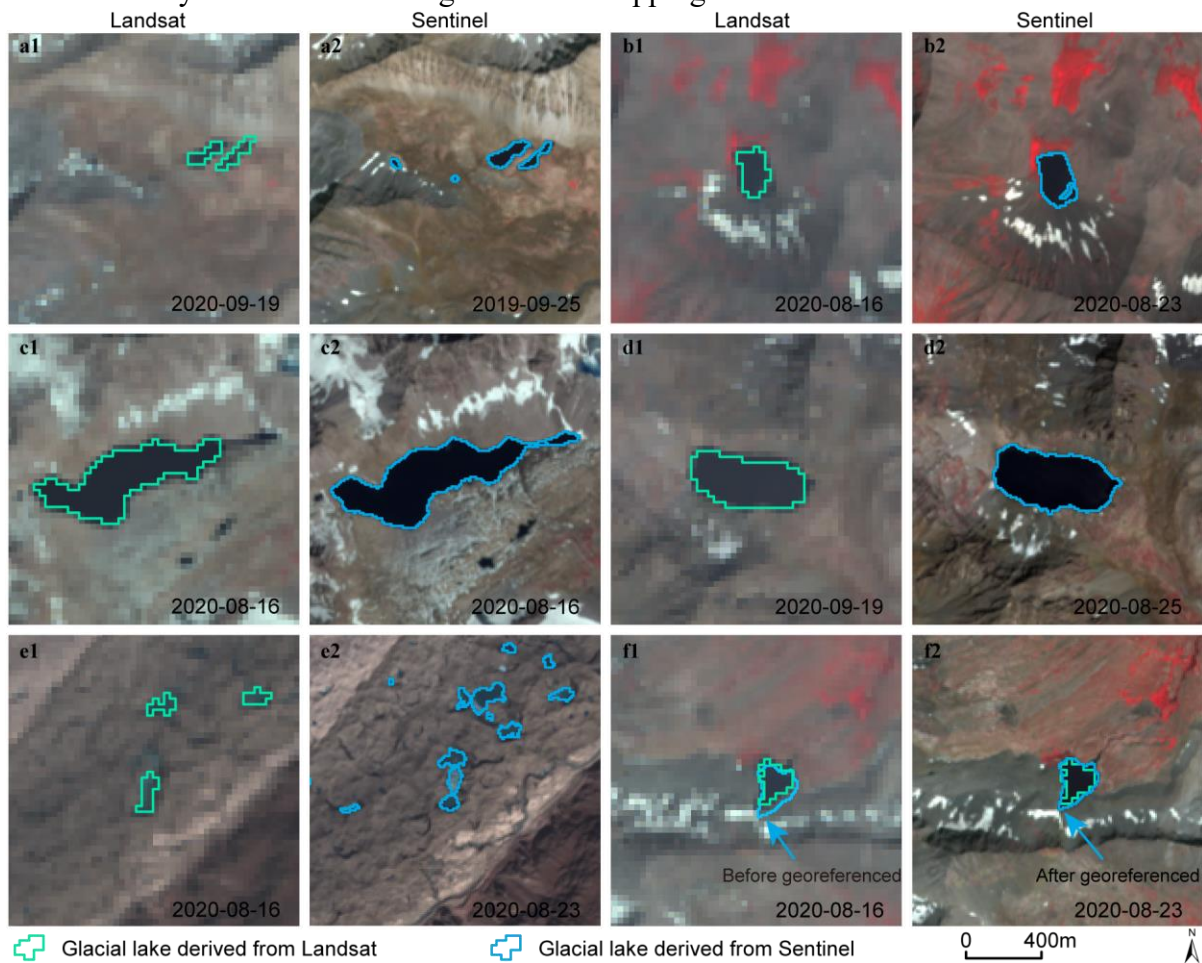
**Figure 11.** High consistency of lake extents extracted from Landsat and Sentinel images. Lake types shown include supraglacial (a), glacier-fed moraine-dammed (b), unconnected glacial-erosion lake without glacier melt supply (c) and glacier-fed moraine-dammed (d).

Spatial resolution of satellite images plays a primary role in the discrepancies in count and area of glacial lakes extracted from Landsat (30 m) and Sentinel (10 m) observations. Due to a finer spatial resolution, Sentinel images can extract more glacial lakes and more accurate extents than those from Landsat images. We set the same 5 pixels as the minimum mapping unit for both Landsat and Sentinel images, which corresponds to a minimum area of 0.0045 km<sup>2</sup> and 0.0005 km<sup>2</sup>, respectively. The minimum mapping area results in generating nearly 5000 more lakes from Sentinel images than from Landsat images, causing the greatest discrepancy in number of the two glacial lake products (Table 5), such as Figure 12a. Small lakes such as supraglacial lakes play an important role in understanding meltwater runoff and supraglacial drainage systems (Liu and Mayer, 2015; Miles et al., 2018). Our dataset can be used not only for GLOFs evaluation, but also for glacial lake evolution simulation and glacio-hydrological prediction. Meanwhile, Sentinel images are able to depict boundaries of glacial lake with a lower uncertainty (Figure 12b-d). For example, some small islands and narrow channels (Figure 12b and c) were mapped from Sentinel imagery that were unable to be detected in Landsat imagery.

Different acquisition dates between Sentinel and Landsat images also contribute to the discrepancy of those two glacial lake datasets. Acquiring same-day images from the two sensors were not always possible due to the impacts of cloud contaminations, topographic shadows, snow cover and revisit periods (Williamson et al., 2018; Paul et al., 2020). Glacial lakes are changing temporally in the context of climate and glacier changes, taking supraglacial lakes for example that evolve dramatically in a short period (Figure 12e). Despite our efforts of leveraging all available high-quality images, the overlap of acquisition dates between Landsat and Sentinel images for the same location is relatively low (only 7 scenes of Sentinel images or 112 glacial lakes in 2020) in this study area, and the consequential

517 temporal gaps led to a difference in the number and area of the derived glacial lakes.

518 Displacement between images also resulted in a certain degree of discrepancy between  
519 Landsat and Sentinel derived glacial lakes. All images used in this study have been  
520 orthorectified before download, but we still find that one Sentinel image was not well  
521 matched with Landsat images, leading to the discrepancy between the two glacial lake  
522 datasets (Figure 12f). We manually georeferenced the shifted image to minimize the  
523 difference between Sentinel and Landsat derived glacial lakes (Figure 12f). Original  
524 geo-referencing accuracy is approximately half of one pixel for Landsat and Sentinel image,  
525 and this displacement likely contributes a minor error to glacial lake changes at various time  
526 periods. Although we could not eliminate this intrinsic error, the error has been considered in  
527 the uncertainty assessment of our glacial lake mapping.



529 **Figure 12.** Discrepancy of lake extents extracted from Landsat and Sentinel images.  
530

## 531 6.2 Comparison with other datasets

532 Glacial lake datasets play a fundamental role in GLOF risk evaluation, glacier change  
533 prediction, and water resource availability. An increasing number of glacier lake datasets  
534 have been released over the past years, and most of them were produced from long-term  
535 Landsat archives. Regional glacial lake datasets using Sentinel images are scarce. Lack of  
536 Sentinel-derived glacial lake data in the study area makes it impossible to compare. Here we  
537 selected four available glacial lake datasets to compare with our Landsat-derived dataset.

538 Our study provides the latest glacial lake dataset (in 2020) and the most long-term Landsat  
539 observation (1990 to 2020) for this study, with a range of critical attributes including two  
540 types of classification systems. Within the same study area, our 2020 glacial lakes appear to  
541 be closest to the 2018 dataset produced by Wang et al (2020), with the highest overlap of  
542 greater than 74% in both number and area (Table 6). In Wang et al. (2020) , the minimum  
543 mapping unit is 6 pixels so their dataset has a smaller lake quantity. However, their dataset  
544 contains all lakes within 10 km of glacier boundaries, including many large  
545 landslide-dammed lakes that are excluded in our glacial lake mapping. As a result, their total  
546 glacier lake area is greater than ours. The overlapping rates between Wang’s glacial lakes  
547 (2020) in 1990 and ours are more than 69% in both number and area. However, their results  
548 show a distinct increase of glacial lakes in number and area between 1990 and 2018 (Wang et  
549 al., 2020) whereas our data show a more stable change between 1990 and 2020. One possible  
550 reason is that manually delineating glacial lakes twice by different operators during Wang’s  
551 lake mapping (2020) exacerbates the errors of mapping. Another reason is that their data  
552 contains landslide-dammed lakes that fluctuate greatly with time and expanded recently. One  
553 example is the Attabad Lake (Located at 36°18'22.33"N, 74°49'34.36"E).

554 **Table 6.** Comparison of different glacial lake datasets sourced from Landsat images in the study area.

Acquisition year (period)	Method	MMU m <sup>2</sup> (pixels)	Count (km <sup>2</sup> )	Overlap % (%)	Reference
1990 (1988-1993)	Manual	5400 (6)	1720 (89.68±13.69)	69.17 (76.33)	Wang et al., 2020
1990 (1990-1999)	Automated	50000 (55)	145 (20.28)	6.27 (21.66)	Shugar et al., 2020
1990 (1989-1992)	Manual	2700 (3)	622 (51.93±10.15)	27.72 (39.94)	Zhang et al., 2015
1990 (1989-1994)	Automated	4500 (5)	2154 (85.10±14.66)	—	<i>This study</i>
2000 (1999-2001)	Manual	2700 (3)	724 (61.41±11.91)	31.91 (46.97)	Zhang et al., 2015
2000 (2000-2004)	Automated	50000 (55)	155 (22.35)	6.78 (23.72)	Shugar et al., 2020
2008	Automated & Manual	8100 (9)	1067 (65.45)	44.14 (53.58)	Chen et al., 2021
2000 (1996-2004)	Automated	4500 (5)	2184 (86.10±14.83)	—	<i>This study</i>
2018 (2017-2018)	Manual	5400 (6)	1956 (102.46±15.48)	74.57 (85.63)	Wang et al., 2020
2015 (2015-2018)	Automated	50000 (55)	148 (21.45)	6.27 (22.97)	Shugar et al., 2020
2017	Automated & Manual	8100 (9)	1063 (63.23)	45.21 (57.78)	Chen et al., 2021
2020 (2016-2020)	Automated	4500 (5)	2234 (86.31±14.98)	—	<i>This study</i>

556 Note: MMU represents minimum mapping units.

557  
558 The second highest overlapping rate is approximate 55% in area with Chen’s data in 2008  
559 and 2017 (Chen et al., 2021). However, the overlapping rate in number is nearly 45% due to  
560 their larger minimum mapping unit (9 pixels). Similarly, a minimum mapping unit of 55  
561 pixels (50000 m<sup>2</sup>) in Shugar et al.’s, dataset (2020) led to the lowest overlap with less than 24%  
562 in area. The dataset from Zhang et al. (2015) shows fewer glacial lakes in 1990 and 2000  
563 even with a smaller minimum mapping unit of 3 pixels. By inspecting their dataset, we  
564 attributed this anomalous discrepancy to a range of glacial lakes that were missing due to lack  
565 of thorough cross-check quality assurance and the limit of a 10-km buffer zone from glaciers  
566 during their manual delineation. Our Landsat derived glacial lake dataset has been visually  
567 cross-checked over three time periods after the step of object-based automated lake mapping,



568 and also been visually validated by Sentinel-2 derived glacial lakes. Through this series of  
569 quality assurance, we aim at delivering one of the most reliable multi-decadal glacial lake  
570 products for this study area.

571 Other factors, such as minimum mapping units, definition of glacial lakes and study areas,  
572 image quality and acquisition dates, mapping methods and quality assurance workflow, might  
573 also lead to the discrepancies between the glacial lake datasets. Despite such discrepancies,  
574 an increasing number of publically-shared datasets benefit potential users to select the most  
575 suitable one for their objectives. Herein, we provide an up-to-date glacial lake dataset derived  
576 from both Landsat and Sentinel observations, which further increased the availability of  
577 glacial lake datasets for GLOFs risk assessment, predicting glacier evolutions (Carrivick et al.,  
578 2020) cryosphere-hydrological changes in the context of climate change.

### 579 6.3 Limitation and updating plan

580 We would like to acknowledge several limitations of our glacier lake dataset, largely due the  
581 availability of high quality satellite images in the study area and inadequate field survey data  
582 (Wang et al., 2020; Chen et al., 2021). First, it is unlikely to collect enough good-quality  
583 images within one calendar year for the entire study area due to high possibility of cloud or  
584 snow covers. Even though the capacity of repeat observations for Landsat-8 OLI and  
585 Sentinel-2 increased (Roy et al., 2014; Williamson et al., 2018; Wulder et al., 2019; Paul et  
586 al., 2020), the 2020 glacial lake dataset has to employ images acquired in other years besides  
587 2020. Most images used from Landsat and Sentinel platforms were imaged in autumn, and  
588 some images taken between April and July and in November also were employed.  
589 Distribution and changes in glacial lakes primarily represent the characteristics between  
590 August and October. Glacial lakes evolve with time and space (Nie et al., 2017), and subtle  
591 inter- and intra-annual changes (Liu et al., 2020) for each time period were ignored. Second,  
592 field investigation data are limited due to low accessibility of high mountain environment in  
593 the study area, which restrained the accuracy in classifying the glacial lake types. Although  
594 very high-resolution Google Earth images were utilized to assist in lake type interpretation,  
595 occasional misclassification was inevitable. We implemented two types of classification  
596 systems based on a careful utilization of glacier data, DEM, geomorphological features and  
597 expert knowledge. However, the lack of in situ survey prohibited a thorough validation of the  
598 glacial lake types.

## 599 **7 Data availability**

600 Our glacial lake dataset extracted from Sentinel-2 images in 2020 and Landsat observation  
601 between 1990 and 2020 are available online via the Mountain Science Data Center, the  
602 Institute of Mountain Hazards and Environment, the Chinese Academy of Sciences at  
603 <https://doi.org/10.12380/Glaci.msdc.000001> (Lesi et al., 2022). The glacial lake dataset is  
604 provided in both ESRI shapefile format (total size of 22.6 MB) and the Geopackage format  
605 (version 1.2.1) with a total size of 9.2MB, which can be opened and further processed by  
606 open-source geographic information system software such as QGIS. The glacial lake dataset  
607 will be updated using newly collected Landsat and Sentinel images at a five-year interval or  
608 modified according to user feedbacks. The updated glacial lake dataset will continue to be

609 released freely and publicly on the Mountain Science Data Center sharing platform.

## 610 **8 Conclusions**

611 Glacial lake inventories of the entire China-Pakistan Economic Corridor in 2020 were  
612 completed based on Landsat and Sentinel-2 images using a human-interactive and automated  
613 mapping method. Both Landsat and Sentinel derived glacial lake datasets show similar  
614 characteristics in spatial distribution and in the statistics of count and area. By contrast,  
615 glacial lake dataset derived from Sentinel-2 images with a spatial resolution of 10 m has a  
616 lower mapping error and more accurate lake boundary than those from 30 m spatial  
617 resolution Landsat images whereas Landsat imagery is more suitable to analyze  
618 spatial-temporal changes at a longer time scale due to its long-term archived observations at a  
619 consistent spatial resolution of 30 m starting from around 1990.

620 Glacial lakes in the study area remain relatively stable with a slight increase in number and  
621 area between 1990 and 2020 according to Landsat observations. Our dataset reveals that 2154  
622 glacial lakes in 1990 covering  $85.1 \pm 14.66\text{km}^2$  increased to 2234 lakes with a total area of  
623  $86.31 \pm 14.98\text{km}^2$ . The same mapping method and rigorous workflow of quality assurance  
624 and quality control used in this study reduced the error in multi-temporal changes of glacial  
625 lakes.

626 The Hanshaw's error estimation method for automated lake mapping was improved by  
627 removing repeatedly calculated edge pixels that vary with lake shape. Therefore, the newly  
628 proposed method reduces the estimated value of uncertainty from satellite observations.

629 Our glacial lake dataset contains a range of critical parameters that maximize their  
630 potential utility for GLOFs risk evaluation, cryosphere-hydrological and glacier-lake  
631 evolution projection. The dual classification systems of glacial lake types were developed and  
632 are very likely to attract broader researchers and scientists to use our datasets. In comparison  
633 with other existing glacial lake datasets, our products were created through a thorough  
634 consideration of lake types, cross checks and rigorous quality assurance, and will be updated  
635 and released continuously in the data center of mountain science. As such, we expect that our  
636 glacial lake dataset will have significant value to cryospheric-hydrology research, the  
637 assessment of glacier-related hazards and engineering project construction in the CPEC.

638

639 **Supplement.** The supplement related to this article is available online.

640

641 **Author contributions.** ML and YN conceived the study, ML, YN and XD performed data  
642 processing and analysis of the glacial lake inventory data, JW contributed to tool development  
643 and mapping methods, ML and YN wrote the manuscript. All authors reviewed and edited the  
644 manuscript before submission.

645

646 **Competing interests.** The authors declare no conflict of interest.

647

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879 **Appendix**

880 **Tutorial for Improved Uncertainty Estimating Method**

881

882 The Hanshaw’s equation was originally proposed for pixelated polygons (such as a polygon  
883 directly extracted from a remote sensing image), and performed more robustly than manually  
884 digitized polygons (where vertices do not necessarily follow the pixel edges). Our improved  
885 method also performs better for pixelated polygons. This tutorial is dedicated to helping  
886 implement our improved uncertainty estimation method.

887

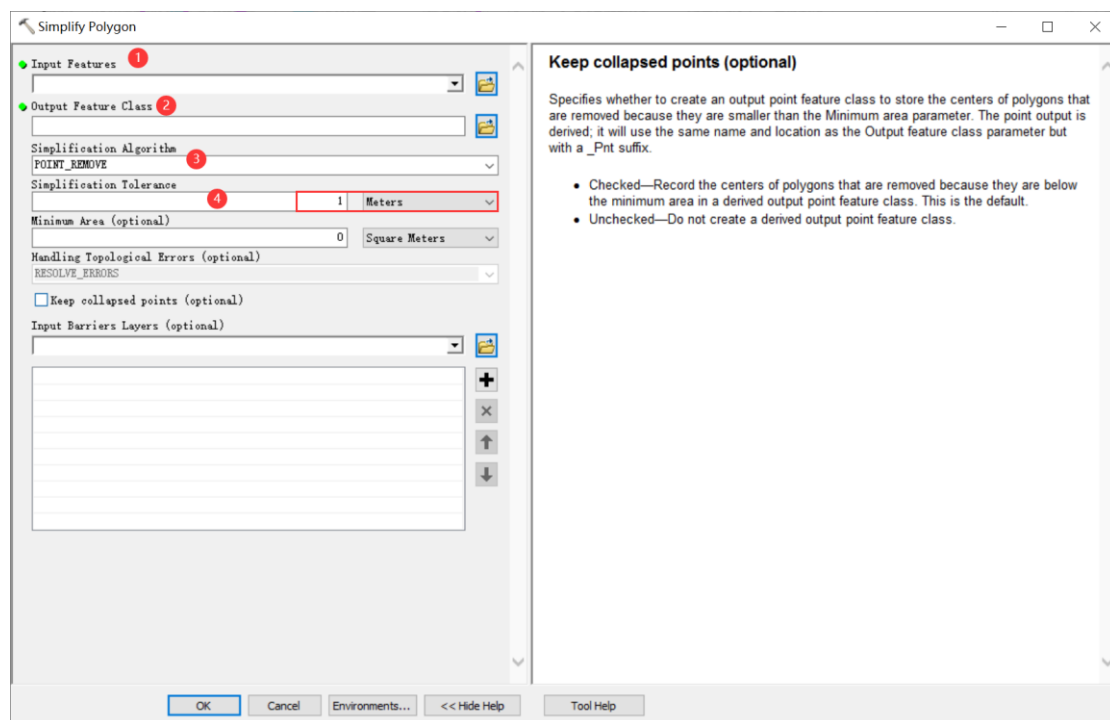
888 **Procedure of uncertainty estimating method (using ArcGIS for example)**

889 1. Removing redundant nodes (optional)

890 We found that a small proportion (~1%) of the pixelated lake polygons (directly extracted  
891 from satellite images) have redundant nodes, which affects the value of inner nodes. If no  
892 redundant nodes exist, this step can be skipped. Or, we recommend using the “Simplify  
893 Polygon” tool in ArcGIS to remove those nodes (**Figure A1**).

894 In the Simplify Polygon panel

- 895
- 896 • Input your dataset.
  - 897 • Set the output path and output file name.
  - 898 • Choose the simplification algorithm. We recommended “POINT\_REMOVE”.
  - 899 • Set the tolerance of simplification algorithm. In this step, we need to ensure that the  
900 polygon boundaries remain unchanged after deleting redundant nodes. Generally, a  
tolerance of 1 meter will suffice, or you can adjust the threshold until your satisfaction.



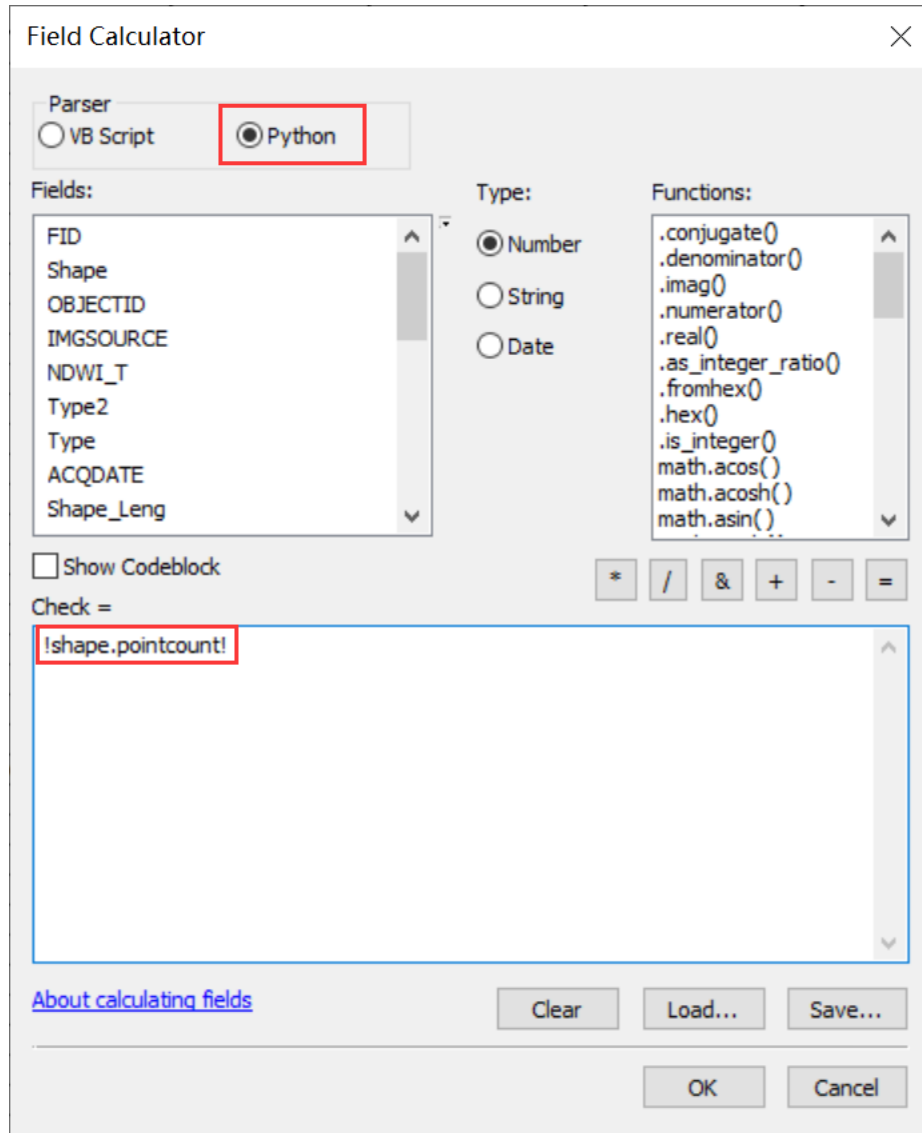
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Figure A1. Input and option for Simplify Polygon in ArcGIS.

- 904 2. Calculating the total number of nodes using ArcGIS (**Figure A2**):
- 905 • Add a new field in the attribute table of dataset.
- 906 • Open Field Calculator.
- 907 • Switch the parser to python mode, and enter the following code “!shape.pointcount!” in
- 908 the blue box to calculate the total number of nodes for each glacial lake boundary.



909 Figure A2. Total node calculation in ArcGIS.

- 910
- 911
- 912 3. Calculating the number of inner nodes:

913

914 For polygons without islands (**Figure A3**), use the equation 5. An inner node is a polygon

915 vertex where the interior angle surrounding it is greater than 180 degrees. An outer node is

916 the opposite of the inner node, where the interior angle is less than 180 degrees. We found

917 that the outer nodes are usually four more than the inner nodes in our glacial lake dataset. The

918 total nodes in ArcGIS contain one overlapping node to close the polygon, meaning the

919 endpoint is also the startpoint. This extra count was deleted in the calculation (equation 5).

920

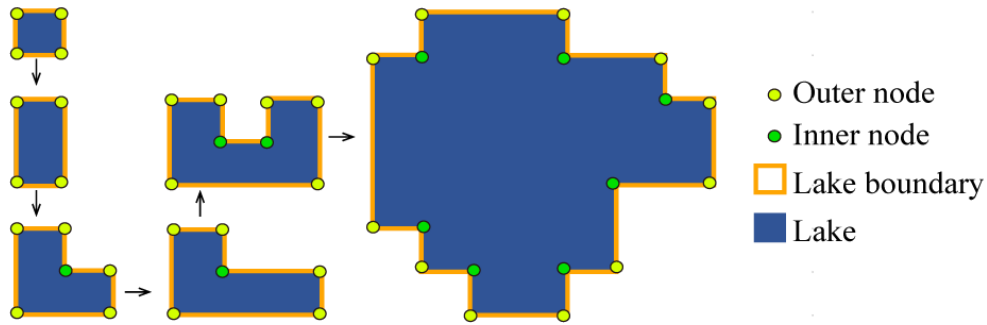


Figure A3. Sketch of outer and inner nodes of various glacial lakes without island.

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For polygons with island (**Figure A4**) use the equation 6.

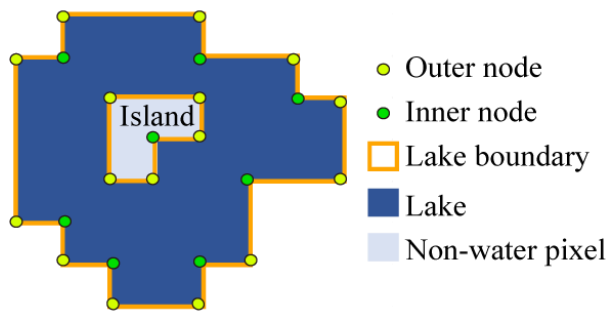


Figure A4. Sketch of outer and inner nodes for glacial lake with island.

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We further specify the steps below to help implement equation 6.

Sept 1: detect the number of islands within each polygon.

- Convert the initial lake polygon to polyline using the “Feature To Line” tool (**Figure A5**).

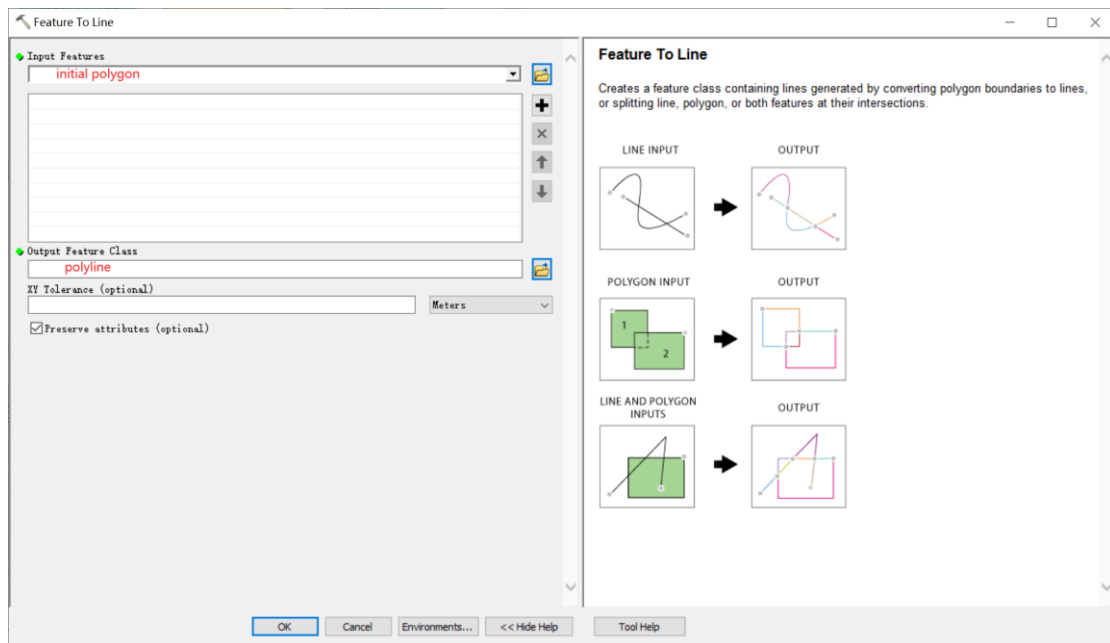


Figure A5. Feature To Line tool in ArcGIS

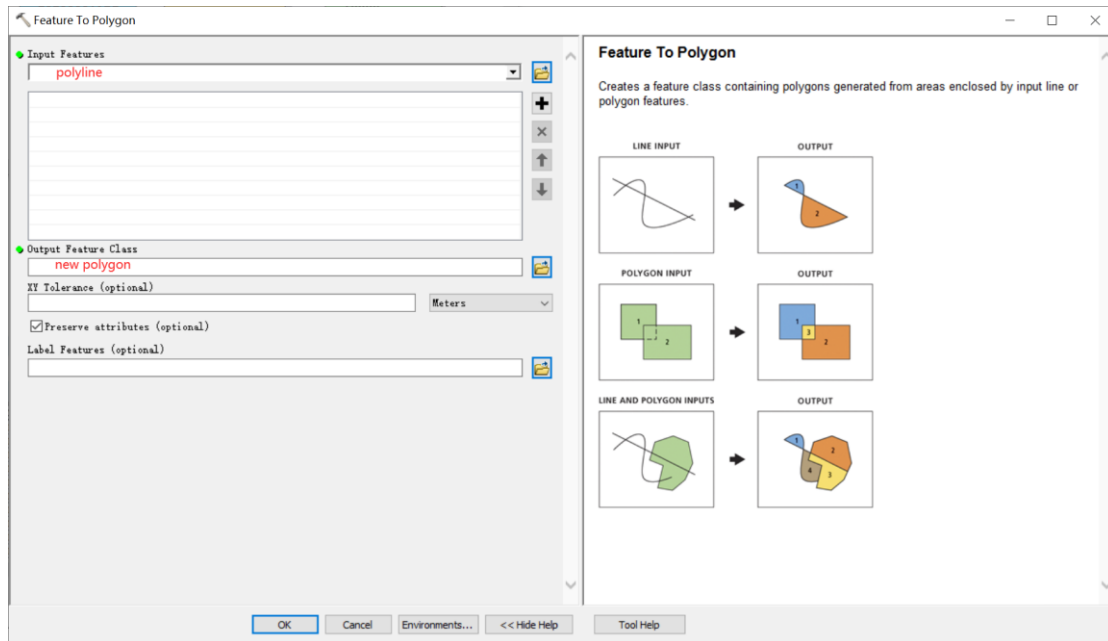
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- Convert the polyline to generate a new polygon (**Figure A6**).



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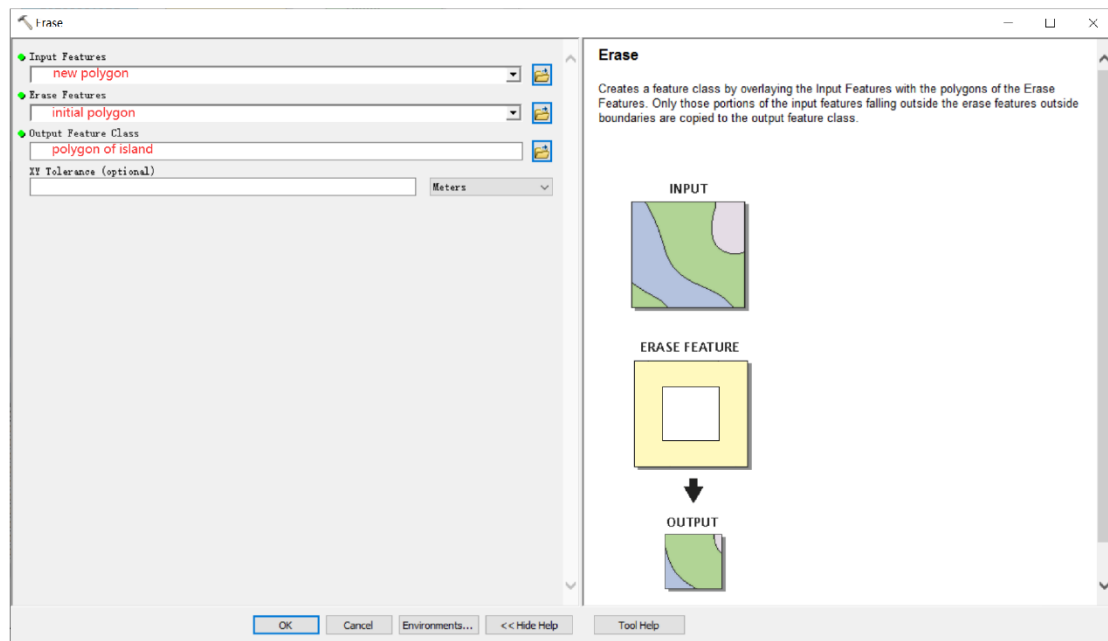
Figure A6. Feature To Polygon tool in ArcGIS

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- Erase the new polygon by the initial polygon, which outputs the islands. Then we can count how many islands there are in each lake (**Figure A7**).



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944

Figure A7. Erase tool in ArcGIS.

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946

Step 2: calculate the number of inner nodes for each polygon with island using equation 6.

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948

4. Calculating the uncertainty of lake mapping using equation 4.

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